

Title: Uniformitarian prediction of early-Pleistocene atmospheric CO₂

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This manuscript is a non-peer reviewed preprint submitted to EarthArXiv. It has been submitted to a journal for peer review.

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2 CO₂

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5 May 15, 2022

6 **Abstract**

7 A number of groups attempted to predict atmospheric CO₂ concentrations between 420 to 800 ka
8 prior to publication of the Dome C ice-core record by the European Project for Ice Coring in Antarc-
9 tica, EPICA [44]. The predictions that fared best assumed that the relationships between CO₂ and
10 proxies of air temperature remained consistent over the past 800 ky [7]. Here we extend predictions
11 of atmospheric CO₂ concentrations over the last 2 Ma under a similar assumption of consistent
12 physical relationships between CO₂ and climate over time and test this assumption against existing
13 observations. Our principal approach is to use a recently-developed Bayesian paleoclimate model
14 [25] to infer CO₂ values conditional on past sea level. An ensemble of seven different CO₂ histories
15 are inferred from an equal number of sea-level reconstructions. Five of the ensemble members give a
16 consensus prediction that CO₂ in the early Pleistocene, 2-0.8 Ma, averaged 241 ppm (238 ppm - 245
17 ppm 95% c.i.) with 95% of CO₂ values within 206 ppm and 275 ppm. Uncertainty estimates account
18 for contributions from orbital forcing, the ice-albedo feedback, age uncertainties, and other factors.

19 The other two ensemble members indicate 20-50 meter higher sea level during the early Pleistocene
20 and imply much higher CO₂ levels. Our consensus prediction aligns well with a compilation of pre-
21 viously published $\delta^{11}\text{B}$ -based CO₂ reconstructions that, after calibration to late-Pleistocene ice-core
22 CO₂ values, average 237 ppm (95% of CO₂ values within 195 ppm to 273 ppm). Furthermore, 94%
23 of consensus CO₂ predictions fall within the range indicated by 60 early-Pleistocene CO₂ measure-
24 ments from air trapped in discontinuous ice segments from the Allan Hills in East Antarctica. Our
25 consensus prediction can be definitively tested by obtaining continuous ice-core atmospheric CO₂
26 records that extend into the early Pleistocene.

27 **1 Introduction**

28 The first reconstructions of atmospheric composition over multiple glacial-interglacial cycles derived
29 from the Vostok ice cores [2, 32] revealed a close relationship between atmospheric CO₂ and and
30 global climate over at least the past 400,000 years. Atmospheric CO₂ decreased from ~ 280 ppm
31 to ~ 180 ppm over order 100 ky periods before rising back to interglacial levels in order 10 ky,
32 following the same sawtooth-like pattern that preceding studies had identified in the benthic $\delta^{18}\text{O}$
33 proxy for global ice-volume and deep-ocean temperature [18, 21]. The close coupling of CO₂ and ice
34 volume gave crucial insight, albeit over a limited time interval, into the sensitivity of past climate.
35 Importantly, a subsequent extension of the ice-core record to 650 ka [39] revealed that the relationship
36 between CO₂ and δD , an air temperature proxy, remained consistent through at least 650 ka, and a
37 similar finding was made when the ice-core record was eventually extended to 800 ka [28], its current
38 extent.

39 The apparent stability of the CO₂-climate relationship might suggest we could predict CO₂ levels
40 in earlier epochs on the basis of similar coupling, but it is unclear how similar the CO₂-climate
41 relationships are before and after the mid-Pleistocene [11, 30, 35, 37]. Whereas late Pleistocene ice
42 ages feature a strong ~ 100 ky component and follow a sawtooth pattern, their early-Pleistocene

43 counterparts appear to mainly follow variations in obliquity at the 41-ky period [20, 33] and have
44 smaller amplitude [38] and greater symmetry [1]. Specific evidence of changes over time in the CO₂-
45 climate relationship comes from CO₂ reconstructions derived from foraminiferal Boron isotope ratios
46 that indicate sea-level responded less sensitively to CO₂ radiative forcing in the early Pleistocene
47 than in the late Pleistocene [10, 16].

48 There are several recent indications, however, that glacial-cycle characteristics did not change
49 as much across the middle Pleistocene as previously described. In addition to obliquity-period
50 variations, early-Pleistocene glacial cycles were shown to contain both significant climatic precession
51 variability [24, 27] as well as ~100 ky cycles [8, 13, 22, 26, 29], similar to the late Pleistocene.

52 In a previous study [25], we used a Bayesian approach to constrain a model of the relationships
53 among orbital variations, CO₂, and sea-level. The model includes a zonally-averaged representation
54 of an ice sheet that grows and retreats in response to orbital variations, CO₂ forcing, and meridional
55 heat flux. We showed that observed changes in sea-level sensitivity to CO₂ forcing through the MPT
56 [10] can be explained by ice-albedo feedbacks and nonlinear scaling of ice-sheet volume with length
57 without requiring a change in dynamics over time. Here we build upon these results by conditioning
58 the model upon seven different published sea-level reconstructions (Figure 1 and methods) in order
59 to make a more-complete inference of early-Pleistocene CO₂ and comparing predictions against
60 Boron isotope and old-ice indicators of early Pleistocene CO₂ levels. Two of the seven sea-level
61 estimates are also accompanied by their own CO₂ inferences. The principle value of our analysis
62 lies in providing a self-consistent set of data-constrained inferences of early-Pleistocene CO₂ for
63 purposes of comparison to one another and against independent observations, and to make a specific
64 prediction that can be tested by future observations.

2 Inverting sea level for early-Pleistocene CO₂

There are two broad patterns of Pleistocene sea-level variation among our seven different reconstructions, and they have diverging implications for the trajectory of Pleistocene CO₂ levels. According to one group of reconstructions – the first five displayed in Figure 1 – early-Pleistocene sea level varied with smaller amplitude than in the late Pleistocene. Glacials were milder with smaller ice sheets, but interglacials retained similar sea level as in the later interval. An alternative perspective [17, 34], suggests that interglacial sea-level was up to 20 – 50 meters higher during early-Pleistocene interglacials than in late-Pleistocene interglacials and implies a gradual trend toward overall colder average conditions as the Quaternary progressed. A similar pair of contrasting proposals has been put forward regarding the evolution of Pleistocene CO₂ levels, with some studies invoking a gradual trend toward lower average CO₂ [43], with consequent cooling of interglacials over the Pleistocene, and others suggesting only an intensification of glacials, with similar conditions across Pleistocene interglacials [10, 19, 45].

We take the five sea-level reconstructions with stable interglacial sea-level through the Pleistocene to represent a consensus reconstruction, and address them first. There is close agreement among the early-Pleistocene CO₂ values inferred from these five time series. The average inferred CO₂ between 2 and 1 Ma has a range across these models of just 5 ppm, from 241 ppm (239 ppm – 242 ppm) to 246 ppm (244 ppm – 248 ppm). Glacial-interglacial cycles also have similar amplitude across the five models: the 10th percentile of CO₂ levels ranges across models from 214 ppm (211 ppm – 216 ppm) to 217 ppm (214 ppm – 221 ppm), and the 90th percentile ranges from 264 ppm (261 ppm – 267 ppm) to 272 ppm (268 ppm – 277 ppm).

3 Comparison against $\delta^{11}\text{B}$ -derived CO_2 and Allan Hills blue-ice CO_2

Our consensus CO_2 prediction is compared against two sets of observational constraints. The first is a collection of 113 $\delta^{11}\text{B}$ -derived CO_2 estimates from [10], [19], and [16]. These data are used for their better agreement with late-Pleistocene ice core variations than many other biogeochemical proxies, such as those based on paleosols [14] or alkenones [36, 46], and because they contain two quasi-continuous segments in the early Pleistocene that span multiple glacial-interglacial cycles. The second constraint is a collection of 60 direct measurements of early Pleistocene CO_2 from discontinuous ice cores in the Allan Hills Blue Ice Area in East Antarctica. In keeping with our assumption that processes behave uniformly across the Pleistocene, we account for observational biases in both the $\delta^{11}\text{B}$ proxy and blue-ice CO_2 values under an assumption that factors affecting late-Pleistocene data proportionally affect early-Pleistocene data.

95% of inferred early-Pleistocene CO_2 values among the consensus predictions are between 206 ppm and 275 ppm (Figure 3a). As published, the $\delta^{11}\text{B}$ -based data indicate greater early-Pleistocene CO_2 variability (gray histogram in Figure 3b), ranging between 185 ppm (182 ppm – 200 ppm) and 319 ppm (307 ppm – 326 ppm), where the stated intervals represent 95% of values when resampling the data 10^4 times using the published uncertainties. Importantly, however, $\delta^{11}\text{B}$ -based CO_2 values also show greater variability during the late Pleistocene as compared against Antarctic ice-core CO_2 observations, suggesting that not all of the disagreement is from model error. Specifically, from 0 – 800 ka, the $\delta^{11}\text{B}$ -based CO_2 data give a mean value of 240 ppm and a range of 180 ppm, whereas ice-core values, which have much smaller uncertainty, give a mean of 224 ppm and a range of 125 ppm.

There are several factors that could lead to inferences from $\delta^{11}\text{B}$ overestimating CO_2 mean and variance, including a simplified representation of the relationship between pH and total alkalinity [10]. We scale the $\delta^{11}\text{B}$ CO_2 values over the last 2 Ma such by a factor that renders its range

111 over last two glacial cycles, 10 – 260 ka, consistent with that of the composite ice-core record over
112 that same time interval. After applying the late-Pleistocene derived adjustment, 95% of the $\delta^{11}\text{B}$ -
113 derived data vary between 195 ppm (193 ppm – 204 ppm) and 273 ppm (266 ppm – 277 ppm) in
114 the early Pleistocene, with an average of 237 ppm (blue histogram in Figure 3b). Consensus model
115 ensemble values, similarly, have a 95% range of 206 to 275 and a mean of 241 ppm. Notwithstanding
116 a remaining difference of 11 ppm in the lower 2.5th percentile, we note that the lowest model and
117 $\delta^{11}\text{B}$ -derived CO_2 values are identical at 181 ppm. Accounting for the bias of the proxy data relative
118 to late-Pleistocene ice cores is, apparently, sufficient to eliminate the majority of difference relative
119 to early-Pleistocene consensus model estimates.

120 We next consider consistency of the consensus CO_2 prediction with the Allan Hills blue-ice data.
121 One important question is the representativeness of a small number of blue-ice samples, particularly
122 considering the fact that these observations are uncertain in age and concentrated in two depth
123 sections that are 8 m and 22 m in length. We account for these factors by simulating the process of
124 obtaining 60 clustered samples from our model ensemble. The average early- and mid-Pleistocene
125 CO_2 value from these model samples is 241 ppm (221 ppm – 253 ppm), close to the blue-ice value
126 of 239 ppm. The 2.5th and 97.5th percentiles of the 60 model values are respectively at 212 ppm
127 (199 ppm – 230 ppm) and 268 ppm (249 ppm – 288 ppm), values that are statistically consistent
128 with those from the observations at 217 ppm and 277 ppm. Green markers in Figure 3c indicate the
129 mean, 2.5 percentile, and 97.5 percentile of the clustered samples from the model along with their
130 95% confidence intervals (Figure 3c).

131 A further important question is the role of temperature-dependence of ice accumulation rates.
132 A random sample from an ice core in depth is more likely to recover an interglacial than a glacial
133 sample because accumulation rates are generally higher during interglacials. For example, in the late
134 Pleistocene [9] notes a doubling of accumulation rates from $\sim 2 \text{ cm yr}^{-1}$ during glacials to $\sim 4 \text{ cm yr}^{-1}$
135 during interglacials in the EPICA Dome C core. Similarly, [40] reports Holocene-LGM accumulation
136 rate ratios of 1.8 at Vostok and 1.8-2.2 at Dome C, and [31] also identifies an approximate doubling

137 in accumulation rates. It follows that a higher proportion of interglacial CO₂ values are expected to
138 be present in the blue ice data relative to the true early-Pleistocene distribution of CO₂ values.

139 We repeat our sampling procedure after generating synthetic ice cores in which accumulation
140 rates are sensitive to temperature. This further step leads to a subtle upward shift in the 2.5 and
141 97.5 model CO₂ percentiles, respectively to 217 ppm (202 ppm – 238 ppm) and 272 ppm (254 ppm –
142 289 ppm). This adjustment for variable accumulation rates thus gives a slight improvement in model
143 agreement with the extremes of the blue-ice data (orange markers in Figure 3c). The adjustment
144 also slightly increases the model average CO₂ to 248 ppm (236 ppm – 262 ppm), a value that remains
145 consistent with the blue-ice average of 239 ppm.

146 4 Further discussion and conclusions

147 Two sea-level reconstructions [17, 34], are left out of the ensemble CO₂ estimate. Only a partial
148 inference of CO₂ is possible from those records because they contain high sea levels that imply
149 substantial Antarctic melting and are outside the domain of our Northern Hemisphere model. If
150 we make a simple assumption that the interglacial relationship between CO₂ and sea-level holds at
151 higher sea-levels, the reconstruction of Ref. 17 would imply early-Pleistocene CO₂ levels reaching
152 374 ppm and that of Ref. 34 would imply CO₂ levels reaching 479 ppm, a 50 – 200 ppm disagreement
153 with the $\delta^{11}\text{B}$ -derived and blue-ice data. These results indicate that high early-Pleistocene sea-levels
154 are unlikely to have occurred unless early-Pleistocene climate responded fundamentally differently
155 to radiative forcing than late-Pleistocene climate.

156 Our results do not necessarily rule out more complicated explanations for observed early-Pleistocene
157 CO₂ levels, including a role for regolith removal or changes in Antarctic ice-sheet stability that could
158 imply consistency of high early-Pleistocene sea-level scenarios [17, 34] with CO₂ data. We propose,
159 however, that these more-complicated mechanisms need not be invoked to explain presently available
160 early-Pleistocene CO₂ data.

161 A main implication of our result is for the nature of the transition in glacial-cycle characteristics
162 during the middle Pleistocene. The transition is widely suggested to arise from changes in the
163 factors controlling ice-sheet responses to variations in radiative forcing, for example, through ice-
164 sheet scouring of regolith in the Northern Hemisphere [12] or phase-locking of an internal climate
165 mode to orbital forcing [41]. Our results instead suggest that the factors controlling the climate
166 response remained consistent through the Pleistocene and that changes in the forcing associated
167 with atmospheric CO₂ are instead responsible for changes in glaciation.

168 Our best estimate is that early-Pleistocene CO₂ varied between 206 ppm and 275 ppm (95% of
169 values) and averaged 241 ppm, values derived from an assumption that processes controlling the
170 relationship between sea level and CO₂ remained consistent throughout the Pleistocene. This prior
171 assumption is well-supported by both bias-corrected reconstructions derived from foraminiferal $\delta^{11}\text{B}$
172 and direct air samples from ancient ice segments. The possibility of ice-core records that extend up
173 to 1.5 My [5] and additional samples from the Allan Hills [23] suggest that a more complete test of
174 these predictions may soon be possible.

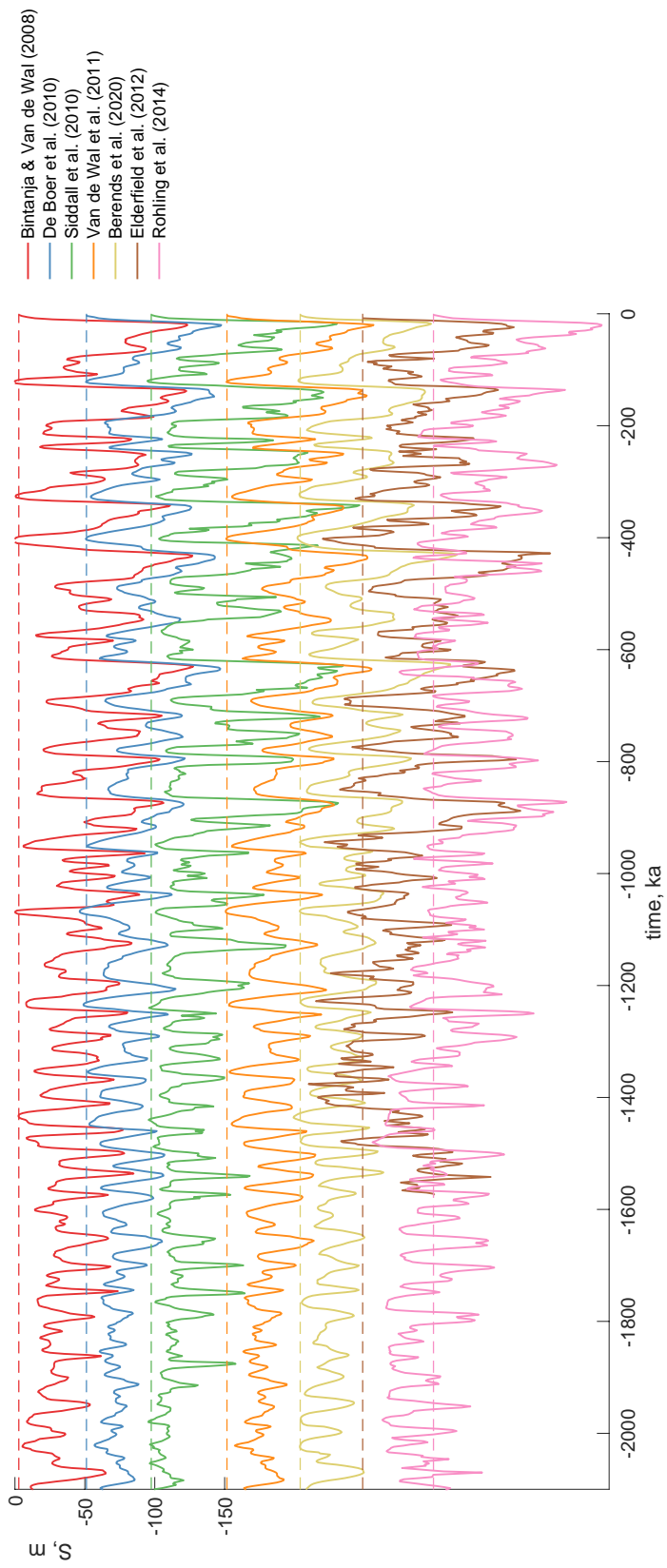


Figure 1: Seven sea-level reconstructions used in our inverse model to estimate atmospheric CO_2 levels through the Pleistocene. Only the estimates of Ref. 17 and Ref. 34 give sea-levels that are substantially higher than present day. Reconstructions are offset by 50m for visual clarity, and dotted lines indicate present-day sea level.

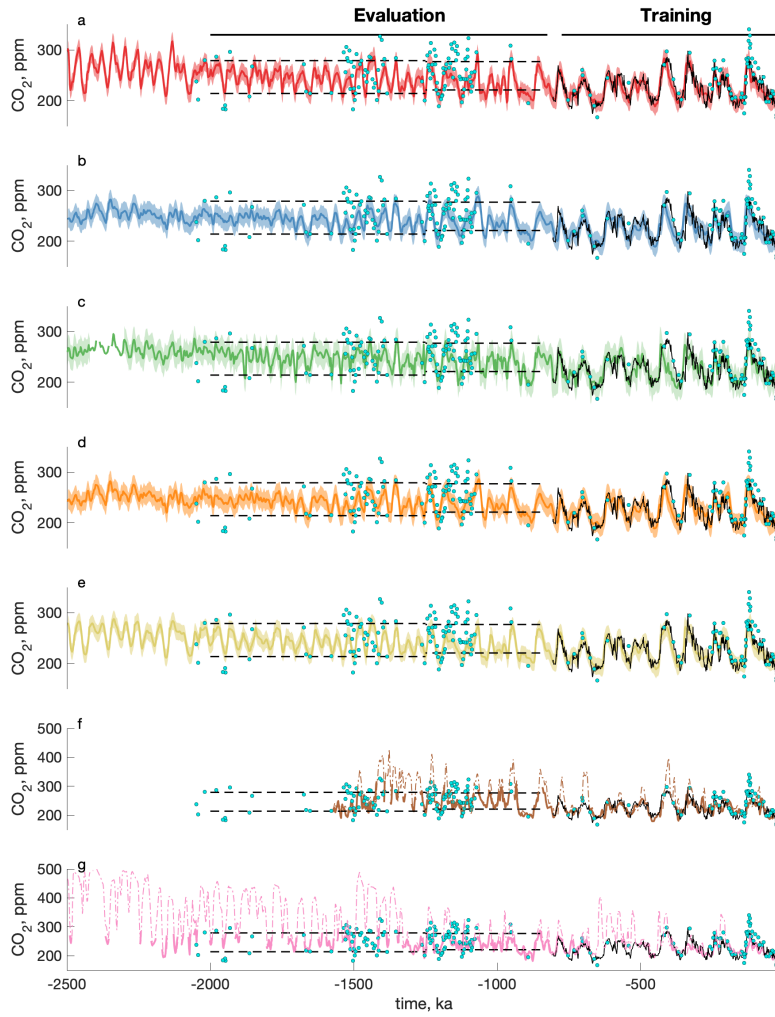


Figure 2: Inferred Pleistocene CO₂ values. Colors correspond with Figure 1, and the 95% confidence intervals are indicated by the width of shading. The upper five reconstructions are broadly consistent among one another and generally agree with both $\delta^{11}\text{B}$ -derived reconstructions (blue markers) and the range of blue-ice measurements for the early and middle Pleistocene (black dashed lines). Note that $\delta^{11}\text{B}$ and blue-ice measurements are displayed here without calibration to the late-Pleistocene ice-core values. Sea levels more than 8m above present-day are outside the model domain, and where they occur in panels f and g we show an extrapolation based on linear regression of sea-level against CO₂ at values greater than 250 ppm (dash-dotted lines).

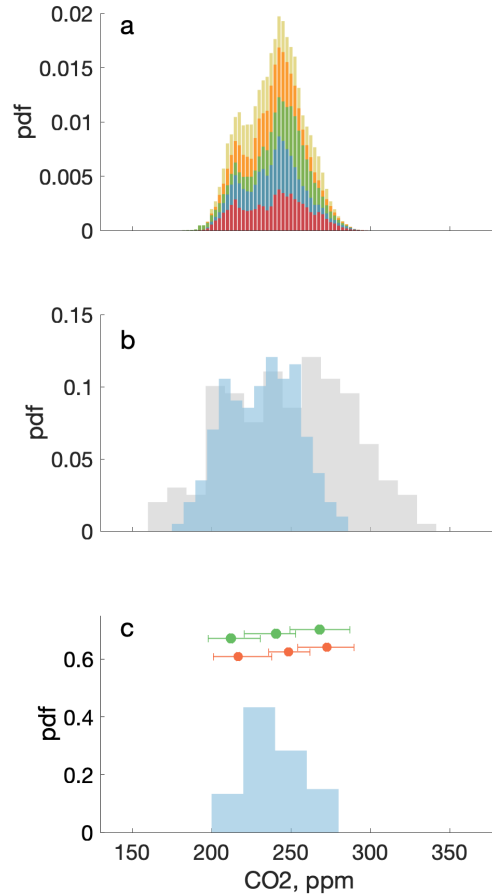


Figure 3: Consistency of multiple lines of evidence for early-Pleistocene CO₂ levels. **(a)** The distribution of model CO₂ inferred from a collection of five different sea-level reconstructions (stacked histogram). Colors correspond with those of the sea-level curves in Figure 1. **(b)** $\delta^{11}\text{B}$ -derived early-Pleistocene CO₂ reconstructions from Refs. 19, 10 and 16. Values are shown both as published (gray histogram) and after an adjustment to be consistent with late-Pleistocene ice-core CO₂ values (blue histogram). The adjustment brings $\delta^{11}\text{B}$ -derived values into close agreement with the model. **(c)** Agreement of the early Pleistocene blue-ice CO₂ values (blue histogram, $n = 60$) with the ensemble model results. The model and blue-ice data are consistent both if simulating clustered sampling of 60 values from the model ensemble (green markers, representing the 2.5th percentile, mean, and 97.5th percentile from left to right, and intervals representing their 95% c.i.) and if additionally accounting for the temperature-dependence of accumulation rates (orange markers and intervals, analogous to those in green).

175 **Methods**

176 **Sea-level data**

177 Ref. 34 converts $\delta^{18}\text{O}$ of planktonic foraminifera to relative sea level using a hydraulic model of the
178 Mediterranean, the region from which the $\delta^{18}\text{O}$ record they use was obtained. Ref. 17 estimates the
179 temperature component of benthic $\delta^{18}\text{O}$ on the basis of foraminiferal Mg/Ca ratios, and subtracts it
180 from $\delta^{18}\text{O}$ to obtain an estimate of its ice-volume component. In Ref. 38 a piecewise-linear transfer
181 function is developed for the relationship between $\delta^{18}\text{O}$ and global sea level by comparing a set
182 of independent sea-level markers against coeval $\delta^{18}\text{O}$ levels, and linearly interpolating between the
183 pairs of observations. $\delta^{18}\text{O}$ through the Pleistocene is converted to sea level under an assumption
184 that this relationship remained stationary over the past 5 million years.

185 Whereas the reconstructions of Refs. 34, 17, and 38 exclusively use observational constraints,
186 those of Refs. 6, 15, 42, and 4 use earth-system models to estimate the global ice-volume component
187 of $\delta^{18}\text{O}$. Ref. 6 models the effect of changes in atmospheric temperatures on ice volume using
188 a three-dimensional ice-sheet model, and their effect on deep-water temperature using a simple
189 linear scaling. Sea-level is reconstructed using an inverse approach in which changes in Northern
190 Hemisphere air temperature implied by changes in $\delta^{18}\text{O}$ are propagated into the ice-sheet and deep
191 ocean temperature models. Ref. 15 takes a similar approach but uses a one-dimensional ice sheet,
192 and the methods of Refs. 42 and 4 are analogous to that of Ref. 6 but where implied changes in
193 atmospheric CO_2 are additionally included in their model.

194 **Bayesian sea-level and CO_2 model**

195 Estimates of Pleistocene CO_2 conditional on sea level are obtained using the inverse model that
196 is detailed in Ref. [25] and briefly summarized here. The relationship among orbital variations,
197 atmospheric CO_2 , and sea-level is represented using a zonally-averaged energy-balance model
198 that is paired with an ice sheet following a plastic rheology. The model is inverted to estimate CO_2

199 levels as a function of the ice-sheet length that is implied by the input sea-level:

$$\varphi = \beta_0 + \beta_1 \frac{dx_s}{dt} \quad (1)$$

200 where $\varphi = \log([\text{CO}_2]/278)$, x_s is the latitude of the southern terminus of the ice sheet. β_0 and β_1
201 are functions of eight physical parameters that include the ice-free and ice-covered surface albedos,
202 temperature at which ablation overtakes accumulation, an outgoing longwave radiation parameter,
203 the ice sheet sensitivity to ablation, the amplitudes of obliquity and climatic precession forcing,
204 and the precession phase. β_0 and β_1 also depend on x_s and several specified constants such as the
205 ice-sheet basal shear stress and upper terminus position of the ice sheet. Additionally, the relative
206 ages of sea-level and CO_2 have important consequences for both implied orbital forcing and implied
207 rates and magnitudes of the ice-sheet response to CO_2 changes. For this reason, sea-level and CO_2
208 ages are made flexible by interpolation between eight adjustable age-control points placed at every
209 100 ky in both time-series.

210 A joint posterior probability distribution for 8 physical parameters and 16 age parameters is
211 obtained using a Bayesian sampling algorithm in which model-data error is assumed to follow a
212 first-order autoregressive process for which the variance and degree of autocorrelation are inferred
213 alongside model parameters. The inference procedure is repeated independently for each of the
214 different sea-level reconstructions. To make an estimate of CO_2 , parameters are drawn from the
215 posterior distribution and used in combination with the sea-level curve in question to compute φ .
216 A complete description of the model and inference procedure is in Ref. [25].

217 When sea-level is outside the model domain, the model returns no CO_2 value and those data
218 are excluded in the Bayesian step to accept or reject a proposed set of parameters. Whereas it is
219 necessary to retain a fixed number of data points through the algorithm, the presence of flexible ages
220 in the algorithm means that the number of admissible data points may vary unpredictable with each
221 parameter vector proposal. For this reason, when conducting inference using the sea-level records of
222 [17] or [34], we use a fixed number of 340 admissible data points that, from manual tests, represents

223 the maximum number feasible for our inversion strategy.

224 **Comparison of ensemble model and blue-ice data**

225 To evaluate consistency between model and blue-ice CO₂ values in the early and mid Pleistocene, we
226 first generate synthetic ice cores in which accumulation rates can be made sensitive to temperature,
227 then sample the synthetic cores using a method that accounts for clustering in the observations.

228 **Generating synthetic cores with temperature-dependent accumulation rates**

229 The accumulation rate, denoted A , increases with saturation vapor pressure, itself increasing ex-
230 ponentially with temperature [9]. Previous studies [3, 31] have used a simple parameterization
231 for A based on changes in δD of the ice – a close proxy for local surface temperature – where
232 $A = A_0 \exp(\beta \Delta D)$, A_0 is present-day accumulation rate, and β is an adjustable parameter. We
233 adopt the similar parameterization $A = A_0 \exp(\gamma R_f)$, where γ is a constant and R_f is the CO₂
234 radiative forcing. A γ value of zero implies a constant accumulation rate, and we use this when ne-
235 glecting temperature-dependent accumulation rates (green markers in Figure 3c). We otherwise use
236 a value of $\gamma = 1.1$ (orange markers in Figure 3c) that is consistent with previous studies [9, 31, 40]
237 in giving interglacial accumulation rates that are twice as high as glacial accumulation rates.

238 We neglect A_0 by setting it to 1 because it does not influence the relative probability of sampling
239 from a glacial or interglacial within the same depth profile and because absolute depth plays no role in
240 this test. In addition, the appropriate value of γ here is uncertain for several reasons, including that
241 the parameterization for A is a simplification of a more complete expression that contains saturation
242 vapor pressure and that the temperature-accumulation relationship is not spatially uniform but
243 would depend on other factors, such as distance from the coast and height of the ice sheet.

244 **Sampling the synthetic cores**

245 The 60 blue-ice samples are distributed across two sections spanning 116m – 138m and 177m – 185m
246 in depth. 82% of the samples are in the shallower section. Our synthetic sampling approach seeks
247 to replicate both the observed clustering structure and sample fractions between the two sections.
248 We randomly select two sections of the synthetic core, each having 1/20th the length of the core.
249 49 samples are randomly drawn without replacement from one section and, similarly, 11 samples
250 are drawn from the other section. The process is repeated for each member of the posterior model
251 ensemble, and the distribution of the resulting samples are then compared against that of the 60
252 blue-ice samples.

253 **Extrapolation of CO₂ at high sea levels**

254 Because the domain of our model extends only to 8 meters above present-day sea level, the sea-
255 level reconstructions of Refs. 17 and 34 are too high throughout most of the early Pleistocene to
256 yield a continuous CO₂ inference. For this reason we do not provide detailed CO₂ estimates from
257 these reconstructions, but we undertake an extrapolation procedure to indicate the approximate
258 range of CO₂ values they could imply. A linear regression is performed of CO₂ against sea level
259 in late-Pleistocene interglacials, here defined as times when CO₂ exceeds 250 ppm. The regression
260 relationship, having a slope of 1.1 m/ppm for both records, is used to extrapolate CO₂ when sea level
261 is outside the model domain (dash-dotted lines in Figure 2). Over the 2-1 Ma interval of interest,
262 extrapolated CO₂ reaches 384 ppm for the model based on [17] 479 ppm for the model based on
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