1	Identifying and correcting the World War 2 warm anomaly
2	in sea surface temperature measurements
3	Comments are welcome by contacting the corresponding author directly.
4	Short title: World War 2 sea surface temperature anomaly
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# 8 Abstract

Most foregoing estimates of historical sea surface temperature (SST) feature warmer global-9 average SSTs during World War 2 well in excess of climate-model predictions. This warm 10 anomaly, referred to as the WW2WA, was hypothesized to arise from incomplete corrections of 11 12 biases associated with rapid changes in measurement instruments and protocols. Using linear mixed-effects methods we confirm highly significant offsets among specific groups of bucket and 13 engine-room-intake SST measurements that, upon correction, reduce the WW2WA by 0.26°C 14 (95% c.i. 0.15 to 0.38°C). Furthermore, SST measurements during WW2 coming from buckets 15 are reportedly warmer at night than day, and controlling for this evident bias reduces the WW2WA 16 by another 0.05°C (0.02 to 0.08°C). Adjusted SSTs give a more stable and smoothly evolving 17 record of historical warming with a WW2WA of 0.09°C (-0.01 to 0.18°C) that is consistent with 18 internal variability in climate models. 19

## 20 INTRODUCTION

The two most recent versions of the extended-reconstructed SST (*1*, *2*) both show an anomalous warmth in global-mean SSTs that averages 0.28°C during 1941 to 1945 compared with the average over the 10 surrounding years (1936 to 1940 and 1946 to 1950, Fig. 1 and Table 1). Version 4 of the Hadley Center SST (HadSST4) shows a similar anomaly of 0.20°C (*3*). Such a warming anomaly greatly exceeds that produced by any of the 94 historical CMIP5 simulations available over this interval (*4*, gray shading in Fig. 1), as well as what can be explained by current knowledge of climate forcing and internal variability using statistical models (*5*).

If the WW2 Warm Anomaly, WW2WA, reflects physical changes in climate, it would have important implications for understanding the magnitude of decadal climate variability (6-8), constraining uncertain external forcing (9), and partitioning relative contributions of anthropogenic forcing and internal variability in driving historical climate change (10-13). For example, such an anomaly could indicate the ability of the El Niño Southern Oscillation (ENSO) to lead to larger and more persistent warming than is typically observed (14).

However, the physicality of the WW2WA is questionable. Different instrumental estimates disagree on the SST evolution during WW2 (Fig. 1). Despite highly significant warm spikes in ERSSTs and HadSST4, HadSST2 (15) was shown to exhibit a step in global-average SST of 0.3°C once the effects of variations in Niño and ocean-land atmospheric effects were filtered from the global average (16), and the WW2WA was essentially absent in HadSST3 (17). Furthermore, neither air temperatures from near-shore weather stations (18) nor temperature proxies derived from isotopes in Tropical coral reefs (19) show a similar WW2 anomaly.

## 41 Hypothesized causes and existing corrections

The presence of discontinuities in the SST record appears especially likely an artifact because of 42 a major transition in instruments (16) changes in protocols (20), and a 58% reduction in the number 43 of SST measurements during the WW2 interval (17). It has been suggested that the WW2WA 44 occurs because the dominant data-collecting instrument alternates from buckets in the five years 45 prior and post WW2 to engine-room-intake (ERI) during 1941 to 1945 (16). Bucket SSTs are 46 generally biased cold from evaporative and sensible cooling, with the cold bias of a typical U.K. 47 canvas bucket estimated to average 0.4°C (21). Conversely, although ERI measurements are 48 49 typically extracted from 5 to 15m below the surface and are consequently cooler than true SSTs defined at depths of 20 to 30 cm (3). ERI SSTs have an average warm bias of 0.1 to 0.3°C because 50 51 of absorption of heat from ship engines (3, 17).

A second hypothesis involves changes in protocols for taking measurements at night. Night-time marine air temperature reading is known to have been taken in-board in order to avoid detection and, consequently, to be warmly biased by approximately 0.8°C (*20*). We speculate that bucket SSTs were also read in-board during WW2. The fact that the proportion of SST readings during day shifts from 55% of the total in the surrounding ten years of the war to 61% between 1941 and 1945 also suggests a preference for avoiding night-time readings. Incomplete corrections of biases among ERI and bucket measurements could be responsible for the WW2WA.

59 The six major SST estimates covering the WW2 period each account for SST biases using 60 distinct methods. Because these estimates all rely upon data coming from the International 61 Comprehensive Ocean-Atmosphere Data Set (ICOADS, *22*), differences among estimates 62 generally arise from differences in correction schemes that can be divided into two groups. In one

approach, bucket and ERI measurements are not distinguished and average SSTs are corrected to 63 follow independent estimates of temperatures. For example, ERSST5 (2) is referred to Hadley 64 night-time marine air temperatures (23), from which the global average inherits a 0.22°C warm 65 anomaly during WW2 relative to the ten surrounding years. Note that our taking the average 66 between 1941 and 1945 relative to the average over the prior and subsequent five years accounts 67 68 for the potential of an underlying linear trend between 1936 and 1950. Ship-based air temperatures are, however, potentially subject to their own biases on account of non-standard measurement 69 practices (20) and reconstruction choices (23) during WW2. Another example is to reference SSTs 70 71 to air temperature from coastal and island weather stations, in which case the WW2 SST anomaly is removed (18). 72

73 A second approach to correcting SSTs distinguishes between bucket and ERI measurements and attempts to correct their respective biases (3, 17). A major impediment to such 74 75 corrections, however, is that measurement methods are poorly documented during WW2, with 76 only 6% of observations being specifically documented as coming from buckets, 11% from ERIs, and 83% undetermined (22, 24). The magnitudes of SST biases are also uncertain, and, as noted, 77 may have changed during WW2 (20, 23). The lack of information regarding measurements has 78 79 been addressed through plausible but uncertain assumptions. In constructing HadSST3, for example, it was assumed that U.S. and U.K. naval ships with unknown methods make ERI 80 measurements of SST that are, on average, warmly biased by 0.2°C (17). HadSST4, however, 81 82 randomly designates unknown measurements during WW2 to be either bucket or ERI SSTs, with the portion of bucket measurements ranging from 0 to 25%. Wartime ERI measurements in 83 HadSST4 are specified to have a 0.25°C warm bias, on average, whereas buckets are specified to 84 have a  $-0.2^{\circ}$ C cold bias (3). 85

### 86 **RESULTS**

## 87 Groupwise SST offsets

We examine hypotheses that changes in instrumental and measurement protocols account for the 88 WW2WA using a linear-mixed-effects (LME) methodology. This LME method was recently 89 shown to accurately identify offsets among groups of bucket SST measurements in the ICOADS 90 dataset (25,26), and we extend the approach to encompass ERI measurements (Fig. S1). SST 91 measurements coming from distinct groups that are within 300 km and 2 days of one another are 92 differenced (Fig. 2D), and systematic structures in the differences are partitioned among regional, 93 seasonal, temporal, and groupwise offsets. Groups are defined according to instrument type, 94 nation, and 'decks', where the term 'deck' originally refers to punch cards that marine observations 95 96 were encoded upon (22). Although decks were not necessarily originally organized according to physical features, in practice, there are major offsets between different decks of bucket 97 measurements (25). Variance not attributable to systematic offsets by our LME method is 98 99 considered noise that is parameterized in relation to location, season, and distance in space and time between measurements (see methods). Importantly, the LME methodology permits for 100 101 obtaining groupwise offsets regardless of whether the method of measurement is known.

Of the 66 groups present between 1935 and 1949, 29 have significant offsets (P<0.05, Table S1). Significance is assessed relative to a null hypothesis of zero-mean offset with respect to the average across all groups. After correcting for multiple hypothesis testing using a Bonferroni correction, 12 groups still show significant offsets (P<0.05/n, n=66). There are five positively identified ERI groups that are found to be warmer than the 24 bucket groups, on average, by 0.53°C (0.25 to 0.72°C, Table S1). All uncertainties are reported as 95% coverage intervals unless otherwise noted. Offsets of unknown groups range from -0.4 to 0.6°C, a range similar to that spanned by the entire population of the bucket and ERI groups, suggesting that at least someof these groups are distinctly from bucket or ERI measurements.

A check of our estimated groupwise offsets is afforded through comparing against the 111 amplitude of the diurnal cycle associated with individual groups. ERI measurements typically 112 come from greater depths and, thus, exhibit a smaller amplitude diurnal cycle than actual SSTs, 113 whereas buckets are subject to solar gain and generally exhibit a larger amplitude diurnal cycle 114 (27). A strong correlation emerges between diurnal amplitudes and offsets among groups 115 consistent with many groups containing a mixture of bucket and ERI measurements (28). 116 117 Extending the same techniques to measurements of unknown origin (Fig. 3), we find that most U.S. measurements that are biased warm also exhibit a small diurnal amplitude, consistent with 118 119 their being ERI measurements. Specifically, five U.S. groups (deck 110, 116, 195, 281, and 705) 120 account for 88% of all U.S. measurements during 1935 to 1949 and each is significantly warmer than the average across all groups (P < 0.05, Fig. 3, Table S1) and exhibits a diurnal amplitude that 121 122 is significantly smaller (P<0.05, Fig. 3, Table S1, and Fig. S2) than a climatology derived from drifting buoys (see methods). The combination of warm offsets and small diurnal amplitudes 123 124 confirm the assumption in HadSST3 that U.S. measurements with missing method information during WW2 are ERI measurements. 125

Although it is not necessary to identify the instrumental origin of measurements in order for our method to estimate and correct for offsets, we first make a provisional classification of measurements with unknown instrumental information for purposes of estimating SSTs using bucket-only and ERI-only measurements. In particular, we assign all U.S. ships of unknown origin to ERIs because of their anomalously warm offsets and anomalously small diurnal amplitudes (Table S1). Estimates of global-average SSTs using only observations thought to come from ERIs, Our main line of reconstruction of historical SSTs during WW2 relies on combining all groups of SSTs together after correcting for groupwise offsets, which gives an estimate of global SSTs featuring a WW2WA that is decreased from 0.41°C in the raw ICOADS measurements (Fig. 2A) to 0.14°C (0.02 to 0.27°C) in the groupwise corrected SSTs (Fig. 2B). Groupwise adjusted SSTs are consistent between bucket and ERI-only estimates, with collocated ERI minus bucket difference decreasing from an average of 0.48°C over 1936 to 1950 in raw ICOADS3.0 to being centered on zero after adjustments (Fig. 2C).

Diminishment of the WW2WA reflects negative adjustments of SSTs from U.S. Navy ship logs (deck 195) that have a warm offset of 0.43°C (0.17 to 0.68°C) that alone brings the WW2WA from 0.41°C in raw ICOADS to 0.22°C (Fig. 2E). Also of note are U.K. Royal Navy ship logs (deck 245) that have a data gap in 1940 (Fig. S1A) and offsets that are -0.31°C (-0.53 to -0.09°C) cold before 1940 and 0.25°C (0.03 to 0.47°C) warm over 1941-1947 (Fig. 3). Adjusting offsets in deck 245 contributes another 0.06°C to the diminishment of the WW2WA (Fig. 2E), with the largest local decrease of more than 0.4°C over the Indian Ocean and the Pacific Warm Pool.

## 149 Night-time bucket SSTs

The second hypothesis we test is whether warm biases arise as a result of measuring bucket SSTs in-board during the night. When isolated, raw night-time bucket measurements contain a 0.32°C warm anomaly during the war (Fig. 4A). Night-time SSTs reverse from being cooler than collocated daytime temperatures by -0.20°C during the five years before and after WW2, as expected regardless of bucket design (28) to actually being 0.02°C warmer during WW2. Spatially, warm WW2 night-time bucket SSTs are found over the Indian Ocean and the extratropical Atlantic (Fig. 4B). No such night-time-warming anomaly is found in measurements coming from ERIs. Furthermore, the WW2WA is consistent between raw daytime bucket SSTs (0.10°C, Fig. 4A) and raw daily-mean ERIs (0.09°C, Fig. 2A and 4C).

The inversion of the diurnal cycle in bucket SSTs during WW2 is mainly attributable to 159 British Navy ships (deck 204) that contribute more than 75% of open-ocean bucket SSTs during 160 161 1942 to 1945. (SST observations from buckets that are concentrated near shore have little overall 162 influence on global SST estimates after gridding.) When resolved at the hourly resolution, SST anomalies from deck 204 show a diurnal cycle that peaks at 4 pm and has a diurnal amplitude that 163 164 is 0.04°C higher than drifters in the ten years surrounding WW2. During WW2 the diurnal peak of deck 204 occurs at 8 pm, and the amplitude of the diurnal cycle is 0.03°C smaller than drifters 165 166 (Fig. S3). The smaller diurnal amplitude is unlikely to relate to switching to ERI measurements 167 in decks 204 because the average temperature of daytime measurements remains both consistent with bucket measurements and cooler than known ERI measurements taken before and after WW2. 168 169 These results are consistent with both night-time marine air temperatures (20) and bucket temperatures being measured in-board during WW2. British Naval group deck 245 exhibits a 170 similar, albeit less dramatic, decrease in the amplitude of the diurnal cycles (Fig. 3). 171

Although our LME method could be further extended to temporally resolve anomalies in night-time biases, building in such flexibility would essentially make night-time temperatures uninformative. Instead, we simply repeat our analysis using only daytime measurements. Specifically, we use 24.1 million pairings of SST measurements between 1850 and 2014 with 1.1 million of these pairs available between 1935 and 1950. Whereas using both day and night measurements gives a 0.14°C (0.02 to 0.27°C) WW2WA, the daytime-only analysis gives a WW2WA of 0.09°C (-0.01 to 0.18°C, Table 1, Fig. S4). Sampling hourly-resolved climatological diurnal cycles from drifters indicates that the shift from 55% of observations occurring in the daytime in the five years before and after WW2 to 61% during WW2 accounts for only 0.005°C of the anomaly, with the systematically warmer night-time temperatures inferred to account for the majority of the difference.

The CMIP5 ensemble of 25,236 years of pre-industrial simulations indicates a 0.10°C 183 anomaly in global SSTs as the 95% value for all 15-year intervals (see methods). The residual 184 185 warm anomaly found after groupwise correction to daytime-only data, therefore, accords with internal SST variability (Fig. 5B). Also consistent with internal variability are bucket-only 186 187 estimates of SST, having a WW2WA of 0.08°C (-0.02 to 0.17°C) and ERI-only estimates having an anomaly of 0.04°C (-0.07 to 0.14°C). This revision from SST anomalies being highly 188 189 inconsistent between observations and simulations during WW2 to being consistent, after 190 accounting for observational biases, may also point to a means of resolving the greater SST 191 variance in observational data relative to simulations found at decadal and longer timescales (29).

## 192 **DISCUSSION**

## 193 Comparison with other estimates

The SST adjustments obtained through our LME approach agree with an independent estimate arrived at using near-shore, land-station data (*18*, Fig. 5A). Whereas the approach of ref. (*18*) requires average SSTs to agree with land-station data, our analysis shows that the WW2 warm anomaly is an artifact arising from specific groups and features of SST measurements. Our results confirm the assumption made in ref. (18) that trends derived from land-station data are more

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199 dependable during WW2 than those coming from uncorrected SSTs.

Compared with corrections in HadSST, our groupwise intercomparison suggests that ERI 200 and bucket groups have an average offset of 0.53°C (0.25 to 0.72°C), nearly 0.1°C greater than the 201 0.45°C difference used in HadSST4. Furthermore, our analysis indicates that unknown U.S. and 202 U.K. measurements during 1942 to1945, which account for 98% of unknown wartime 203 measurements, are offset warm. In HadSST4, 12.5% of the unknown measurements were assumed 204 to be offset cold and corrected as if from buckets. The smaller offset assumed between bucket and 205 206 ERI SSTs and a higher percentage of observations assumed to come from buckets explains the reemergence of the WW2WA in HadSST4. Finally, whereas HadSST4 assumes large uncertainties 207 208 in data origin during WW2, our analysis uses relative offsets to provide corrections and reduces the standard error of WW2WA from 0.14°C in HadSST4 to 0.05°C in our estimates. 209

An important attribute of groupwise adjustments is the ability to resolve regional biases 210 arising from spatially heterogeneous distributions of distinct groups (Fig. S5). Removing 211 groupwise offsets leads to a greater decrease in the WW2WA over the Indian ocean and Pacific 212 213 Warm Pool and smaller decreases over the Tropical Eastern Pacific and the South Atlantic (Fig. S5B). The spatial correlation of WW2 anomalies between our adjustments and adjusted daytime-214 only estimates is  $r_s = 0.02$ , where  $r_s$  indicates the Pearson corss-correlation taken across space and 215 216 its small value indicates that the magnitude of the pattern that we remove is appropriate. HadSSTs partially accounts for biases associated with shifting instruments and has a similar pattern of 217 correction albeit one that is smaller such that  $r_s = -0.15$  (Fig. S5E) for HadSST3 and -0.18 for 218 HadSST4 (Fig. S5F). In contrast, ERSST products use a fixed spatial pattern (1,2) that is unable 219 to correct for local patterns of spatial bias giving a  $r_s = -0.30$  for ERSST4 (Fig. S5D) and  $r_s = -$ 220

0.31 for ERSST5. The zonally symmetric corrections from ref. (18) are also ineffective at
 removing the WW2 pattern of offsets.

In addition to the removal of the WW2WA, our adjustments give a more stable and smoothly 223 evolving SST estimate (table 1). The 1936 to 1950 variance of global-average, annual SST 224 anomalies decreases from 5.6×10<sup>-2</sup>°C<sup>2</sup> in ICOADS raw to 0.5×10<sup>-2</sup>°C<sup>2</sup> in the adjusted davtime-225 only estimates. Such sub-decadal variability is consistent with estimates from HadSST3 and 226 Cowtan SST and lies within the 95% confidence interval of CMIP5 simulations. In contrast, 227 HadSST4 and ERSSTs have significantly higher variance estimates (P<0.05). On regional scales, 228 the effect of groupwise adjustments is smaller compared with physical variability, sampling 229 uncertainty, and random measurement errors, such that the 1936 to 1950 variance on  $5^{\circ} \times 5^{\circ}$  grids 230 231 decreases by approximately 20%, on average.

## 232 Conclusion

233 The WW2WA in instrumental SST estimates has long been suggested to be a data artifact that arises from instrumental changes (16). Our analysis confirms the existing hypothesis, identifies 234 warm biases in WW2 night-time SSTs, and provides corrections that remove the WW2WA at both 235 global and regional scales. This adjustment leads to a more homogeneous trend in SSTs and 236 reconciles the largest discrepancy between historical surface temperatures and models (5). 237 bringing SST estimates into accord with estimates of forcing, climate sensitivity, and internal 238 variability. Our results also highlight the importance of further resolving heterogeneity in 239 historical SSTs (24, 26, 30, 31). Adjusting measurements at the level of individual ships (32, 33) 240 in future work may permit more detailed corrections and may reveal even more homogeneous 241 trends in SST. 242

#### 243 Materials and Methods

### 244 Grouping

After the same quality control procedures as in ref. (25), sea surface temperatures (SSTs) from 245 ICOADS 3.0 (22) are grouped according to nation, deck, and measurement method. Nation is 246 247 identified first using ICOADS country code (C1, 22). When C1 is not available, nation is inferred from ship call signs (26, 28) or deck information (17, 25). If still unidentified, nations information 248 is considered missing, and associated SSTs are grouped only according to deck and method 249 250 information. Deck is the primary metadata for tracking ICOADS data collections and is available for all SST measurements in ICOADS. Decks having the same description in ICOADS and are 251 combined as in ref. (28). Measurement method is identified from ICOADS SST measurement 252 method (SI) metadata. When SI is not available, we use information from the World Meteorology 253 Organization No. 47 publication (WMO No.47). If both are not available, we assign measurement 254 method to be unknown. There are cases that SI and WMO No.47 do not agree (27), this, however, 255 does not affect results during WW2 because WMO No.47 becomes available in ICOADS after the 256 1960s. 257

## 258 Groupwise adjustment

259 Groupwise adjustments to SSTs are estimated using a linear-mixed-effects (LME) model,

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$$\delta \mathbf{T} = \mathbf{X}\boldsymbol{\alpha} + \mathbf{Z}_{\mathbf{y}}\boldsymbol{\beta}_{\mathbf{y}} + \mathbf{Z}_{\mathbf{r}}\boldsymbol{\beta}_{\mathbf{r}} + \boldsymbol{\beta}_{\sigma}$$
(1)

The vector of temperature differences,  $\delta \mathbf{T}$ , is determined from pairs of SST observations that are associated with different nation-deck-method group assignments and are within 300 km and 2 days of one another. All SST measurements that are identified to come from bucket, ERI, hull sensor, or unknown methods between 1850 and 2014 are analyzed for purposes of fully accounting for information outside WW2 of focus. We identify a total of 45.8 million pairs of SSTs, which comprises a subset of 1.8 million SST differences during 1935 to 1950.

267 SST differences contained in  $\delta \mathbf{T}$  are represented as a `fixed-effect' term describing offsets 268 between groups,  $\boldsymbol{\alpha}$ , and random effects describing temporal variations (five-year blocks),  $\boldsymbol{\beta}_y$ , and 269 regional variations (17 sub-basin regions),  $\boldsymbol{\beta}_r$ . Matrices  $\mathbf{X}$ ,  $\mathbf{Z}_y$ , and  $\mathbf{Z}_r$  specify, respectively, 270 common pairs of nations, five-year blocks, and sub-basins.  $\boldsymbol{\beta}_{\sigma}$  is the residual. Offsets are estimated 271 relative to the mean of all paired measurements.

More details on the LME design and implementation is available in a methodology paper (25). In an update to ref. (25), the analysis presented here intercompares both bucket and engineroom-intake SSTs and, therefore, yields a total of 492 groups each contributing to at least 5,000 pairs of SST observations. In addition, to account for seasonal variations in groupwise offsets, LME models are run for subsets of consecutive three months and repeated twelve times with the central month sliding from January to December. Months of Southern Hemisphere SSTs are shifted by half of a year to account for different seasons between hemispheres.

Uncertainties of groupwise offsets,  $\epsilon_g$ , are quantified by the LME methodology and associated 95% c.i. of individual offsets are estimated as marginal distributions assuming that offsets follow a multivariate normal distribution (25). As noted in previous studies (26, 34), random errors ( $\epsilon_r$ ) that arise from partial sampling and observational noise become negligible under global and decadal averaging, whereas  $\epsilon_g$  is partially systematic. We, therefore, only quantify and report uncertainties that stem from  $\epsilon_g$  for adjusted SSTs. Uncertainties in groupwise adjustments are realized by a 1000-member ensemble of random adjustments that perturb groupwise offsets
using their error estimates in keeping with covariance and spatial structures (*26*).

The LME method estimates groupwise offsets relative to the mean of all paired 287 measurements and does not account for common biases shared by all groups. Biases between 288 bucket and engine-room-intake measurements may vary with time. One example is that night-289 time SSTs are abnormally warm from 1941 to 1945 (Fig. 4A), which increases common biases 290 during WW2 and results in a residual warm anomaly after adjusting for groupwise offsets. To 291 exclude the influence of WW2 biases in night-time SSTs, we perform another LME analysis with 292 293 the same model setup but using only daytime measurements. The daytime-only analysis makes use of a total of 24.1 million SST pairs throughout 1850 to 2014, with a subset of 1.1 million pairs 294 295 between 1935 and 1950. Another example of changing common biases involves systematic changes from less-insulated canvas to more-insulated rubber buckets (17). However, the overall 296 297 change in bucket types have been estimated to happen after the 1950s (17) or gradually take place 298 from the 1930s to the 1970s (3). In either case, the associated changes in common biases will have a small influence on the WW2WA. 299

# 300 Diurnal Cycle

Recent studies (*27, 28*) illustrate the utility of using the diurnal cycle for better understanding biases in historical SST measurements. In this study, diurnal cycles of individual groups are calculated using tracked ships (*35*) following the methodology of ref. (*28*). Only 33 out of 66 ship groups have acceptable estimates of diurnal cycles, whereas the others have issues arising from lack of tracked ships or insufficient measurements distributed across the day. Diurnal amplitudes are estimated using data between 1935 and 1949 using a leas-squares fit of a once-per-day sinusoid

(28). The diurnal amplitude of U.S. deck 195 is determined by a least-squares fit using the diurnal 307 cycles determined from bucket- and ERI-only measurement, where this special approach is taken 308 because of the large number of measurements U.S. deck 195 contributes buts its having an 309 insufficient sampling frequency to independently constrain its amplitude (see Fig. S2 for more 310 details). To account for distinct spatial and seasonal coverage of individual groups, reported 311 312 diurnal amplitudes in Fig. 3 are anomalies relative to 1990 to 2014 climatological amplitudes estimated from drifting buoys (25). The scaling between diurnal amplitudes and groupwise offsets 313 is estimated using a York regression (36) and associated uncertainties are estimated by 314 bootstrapping individual groups 10,000 times with replacement. 315

#### 316 **Comparison with other estimates**

There are different versions of the ICOADS dataset that have been used in various studies, but 317 these differences should have limited influence on SST biases during WW2. First, whereas 318 Cowtan SST (18), HadSST3 (17), and ERSST4 (1) are based on ICOADS2.5 (37), our adjusted 319 SSTs, HadSST4 (3), and ERSST5 (2) are based on ICOADS3.0 (22). Compared with 7.4 million 320 measurements over 1935 to 1949 in ICOADS2.5, ICOADS3.0 only includes an additional 17 321 thousand measurements from the U.S. lightship collection. Second, we make use of only ship-322 based measurements, but other datasets also make use of measurements from moored and drifting 323 buoys and the coastal-marine automated network, but which only become available after the 1980s. 324 325 Third, ERSSTs and Cowtan SST infill monthly grid boxes without data, but our estimates and HadSSTs leave these boxes unfilled. Finally, ERSSTs and Cowtan SSTs provides only central 326 estimates, whereas our adjusted SSTs and HadSSTs provide publicly available ensembles that 327 allow for estimating uncertainties associated with corrections. 328

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To compare in-situ SST estimates with model simulations, we make use of 94 historical

runs from 39 CMIP5 models and a total of 25,236 years of pre-industrial simulations (4) that we

re-grid to a common 5° resolution. Pre-industrial runs are segmented into consecutive 15-year

segments. We then mask each historical run using the monthly coverage in ICOADS3.0 and each

15-year pre-industrial segment using the ICOADS coverage between 1936 and 1950. The mean

difference between the central five years (1941 to 1945) and surrounding ten years (1936 to 1940

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430	
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435	financial interests.

## 437 **Figures and Tables**

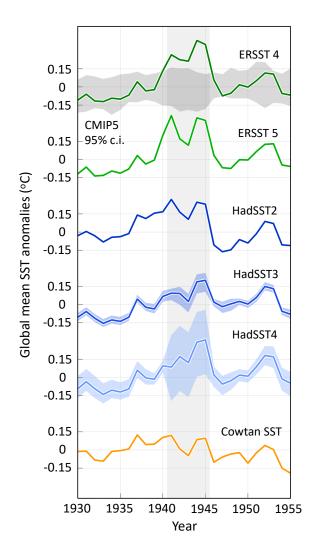
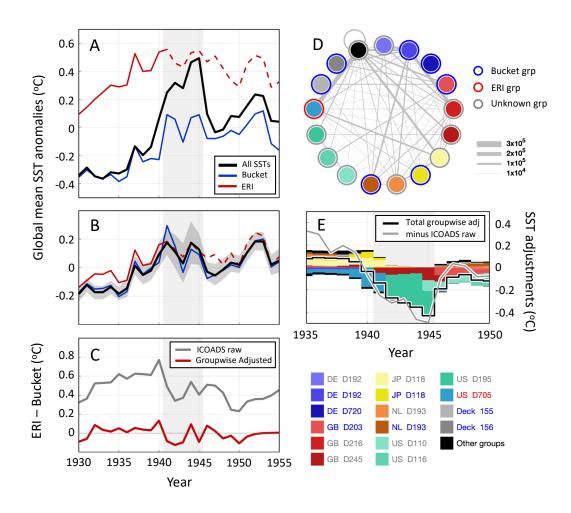
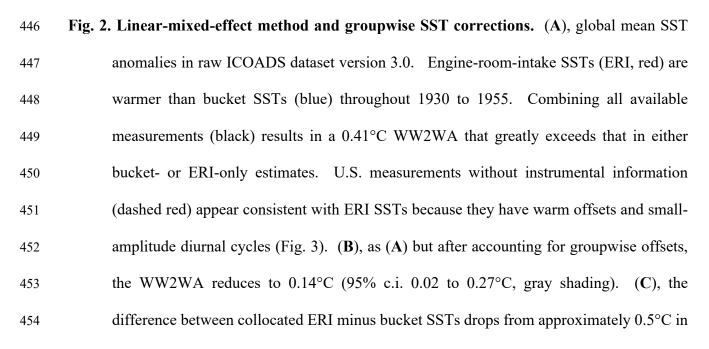
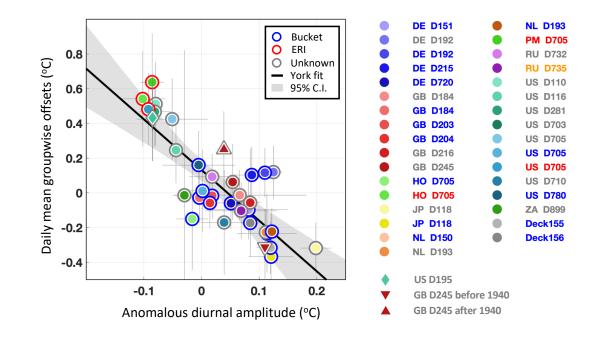


Fig. 1. Various World War 2 Warm Anomalies (WW2WAs). ERSST4 (dark green), ERSST5 (light green), and HadSST4 (light blue) have SSTs during WW2 that greatly exceed (P<0.01) CMIP5 historical simulations (gray shading). HadSST3 (medium blue) and Cowtan SST (orange) do not show an apparent WW2WA. Global-mean SST anomalies are plotted relative to the average over 1936 to1940 and 1946 to1950 and error bars are 95% confidence intervals.</li>





455	raw ICOADS (gray) to being centered on zero after groupwise adjustments (red). (D),
456	numbers of SST pairs (width of connections) between individual groups (filled circles)
457	during 1935 to 1949. Groups are designated according to nation and deck number (inner
458	circle color) as well as instrument type (outer circle color). (E), groupwise decomposition
459	of SST adjustments (stacked bars). Adjustments during WW2 foremost relate to U.S. Navy
460	ship logs (deck 195) and G.B. Royal Navy ship's logs (deck 245). Nation abbreviations
461	are: DE, Germany; GB, Great Britain; JP, Japan; NL, the Netherlands; and US, the United
462	States. Groups having fewer than 100,000 measurements during 1935 to 1949 are labeled
463	as 'other groups'.



464

Fig. 3. Groupwise offsets scale negatively with amplitudes of diurnal cycles. Shown offsets (y-465 axis) are fixed and five-yearly offsets averaged over 1935 to 1949. Shown amplitudes (x-466 axis) are anomalies relative to the 1990 to 2014 climatology from drifters. U.S. deck 195 467 468 (diamond) mainly samples at three local hours and has its diurnal amplitude estimated using a different method (see Fig. S2). Although Great Britain deck 245 always has diurnal 469 470 amplitudes significantly (P < 0.05) higher than that from drifters, SSTs before the war (1935) 471 to 1939, upward-pointing triangle) are coldly offset by -0.31°C, whereas SSTs after the 472 beginning of the war (1941 to 1947, downward-pointing triangle) are warmer and have an offset 0.25°C. Colors of outer cycles denote instruments. 95% c.i.s are estimated from the 473 linear-mixed-effect model (vertical bars on each marker) and least-squares sinusoidal fits 474 475 of amplitudes (horizontal bars). The central estimate of the scaling between diurnal amplitude and offsets (black line) is from a York regression with the 95% c.i. (gray 476 shading) estimated by bootstrapping individual groups (28). 477

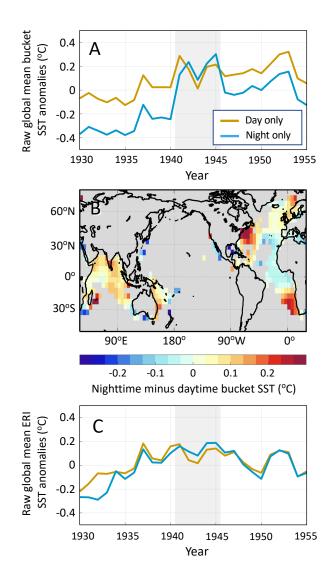


Fig. 4. Night-time bucket SSTs during WW2. (A), during WW2, night-time bucket SSTs in raw
ICOADS 3.0 data (light blue) are 0.32°C warmer than in the surrounding ten years and are
0.02°C warmer than daytime bucket SST (orange). (B), spatially, night-time minus
daytime bucket SSTs (shading) are positive over the Indian Ocean and the extra-tropical
Atlantic during WW2 (1942 to 1945). Grid boxes having less than three months of data
are displayed in gray. (C), as (A) but for ERI SSTs, which show no apparent WW2WA.

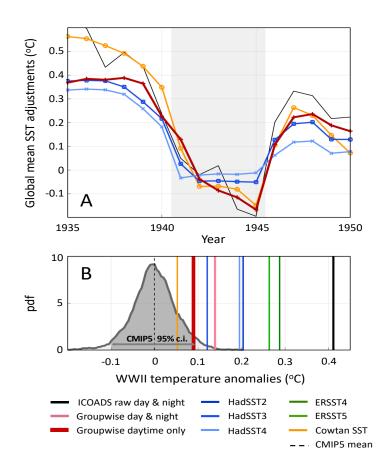
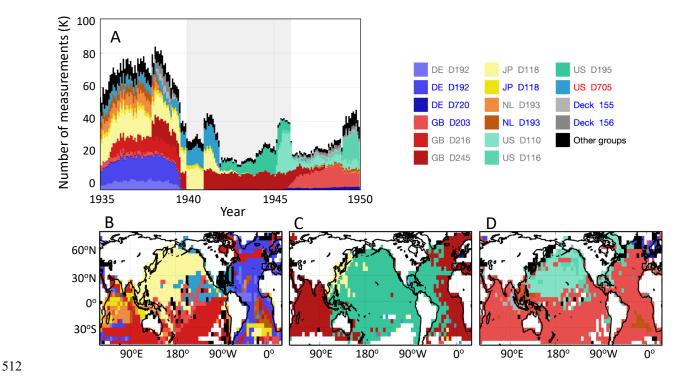


Fig. 5. Comparison against other SST estimates and model simulations. (A), SST anomalies 487 from ICOADS 3.0 (black, reversed for purposes of comparison) along with global-mean 488 SST adjustments from this study (red), HadSST3 (dark blue), HadSST4 (light blue), and 489 Cowtan SST (orange). Global mean adjustments from this study are calculated as daytime 490 groupwise- adjusted SSTs minus all unadjusted SSTs and are shifted positively by 0.22°C 491 for purpose of comparison. (B), WW2WAs (vertical lines) are calculated as the mean 492 difference between the average over 1941 to 1945 and the average over the ten surrounding 493 years (1936 to 1940 and 1946 to 1950). Estimates from this study (dark red) and Cowtan 494 (18) are within the 95% c.i. of internal variability (gray distribution) estimated from CMIP5 495 pre-industrial control simulations. 496

Table 1. WW2 SST anomalies and variance. The WW2WA is defined as the average over 1941-497 -1945 minus the average over 1936 --1940 and 1946 --1950. Global-mean variance is 498 computed from annual averages from 1936 to 1950, and regional variance is the spatial-499 average of interannual variance across 5°×5° grids. ERSSTs have lower regional variance 500 because their mapping technique truncates small-scale variability. Cowtan SSTs are only 501 available as global averages. CMIP5 models do not contain sampling and random 502 observational errors and also show lower regional variability. Sampling and random errors 503 cancel under averaging and become negligible at global and decadal scales (26,34). 95% 504 confidence intervals are given in brackets and are estimated from available materials: 1,000 505 random correction members for groupwise-adjusted SSTs, 100 correction members for 506 HadSST3, 200 correction members for HadSST4, 94 simulation members for CMIP5 507 historical runs, and 1,662 15-year segments for CMIP5 pre-industrial control runs. 508

	WW2 Anomaly (°C)	Global-mean var. (×10 <sup>-2°</sup> C <sup>2</sup> )	Average regional var. (°C²)
ICOADS (all)	0.41	5.6	0.28
Adjusted (all)	0.14 [0.02, 0.27]	0.8 [0.4, 2.2]	0.22 [0.22, 0.23]
ICOADS (day only)	0.35	4.1	0.26
Adjusted (day only)	0.09 [-0.01, 0.18]	0.5 [0.4, 1.2]	0.21 [0.22, 0.23]
ERSST4	0.29	2.4	0.16
ERSST5	0.26	2.4	0.17
HadSST2	0.20	2.4	0.24
HadSST3	0.12 [0.03, 0.18]	0.7 [0.4, 1.1]	0.21 [0.21, 0.22]
HadSST4	0.20 [-0.09, 0.45]	1.4 [0.5, 5.3]	0.22 [0.21, 0.25]
Cowtan SST	0.05	0.6	
CMIP5 historical	-0.02 [-0.13, 0.07]	0.5 [0.1, 1.2]	0.13 [0.07, 0.22]
CMIP5 control	0.00 [-0.10, 0.10]	0.4 [0.1, 1.1]	0.13 [0.07, 0.20]

## 511 Supplementary Materials



513 Fig. S1. Groups of SST measurements. (A) number of SST measurements from individual groups identified by country, deck, and instrument. Nation abbreviations are: DE, 514 Germany; GB, Great Britain; JP, Japan; NL, the Netherlands; and US, the United States. 515 Groups having fewer than 100,000 measurements between 1935 and 1949 are labeled as 516 'other groups'. Instruments are indicated by the color of group names for bucket (blue), 517 ERI (red), and unknown method (gray). (B-D), maps indicating groups that contribute the 518 most observations within 5° grid boxes for 1936 to 1940 (B), 1941 to 1945 (C), and 1946 519 to 1950 (D). White grid boxes have fewer than three years of data within corresponding 520 5-year intervals. 521

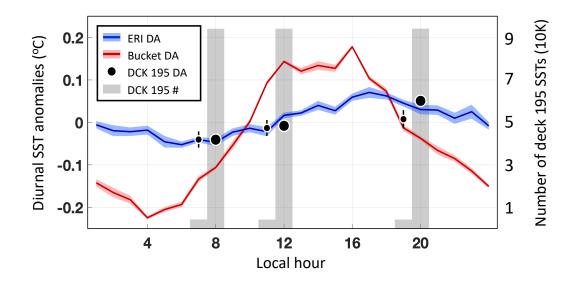


Fig. S2. The diurnal amplitude of U.S. deck 195. 96% measurements of SSTs from U.S. deck 524 195 are sampled at 8 am, 12 pm, and 8 pm (gray bars, right y-axis), which does not meet 525 the required minimum number of measurements in each 6-hourly bin in a day for 526 estimating diurnal amplitudes using a sinusoidal fit (27, 28). We, therefore, take an 527 alternative approach that uses diurnal cycles from bucket (red) and ERI (blue) 528 measurements as templates. Diurnal anomalies of bucket and ERI SSTs are obtained from 529 tracked ships over 1935 to 1949 and are shifted such that the mean over 8 am, 12 pm, and 530 8 pm is zero. Unknown U.S. measurements from decks other than 195 are assumed to be 531 ERI measurements. Specifically, diurnal anomalies of deck 195 are represented as a linear 532 combination of bucket and ERI cycles, with the mixture determined using least-squares 533 fitting. The best fit yields 115% ERI and -15% bucket, equivalent to a diurnal amplitude 534 of 0.04°C that is 0.08°C smaller than that of drifting buoys. A percentage higher than 535 100% should be interpreted as a smaller amplitude than other ERI groups, possibly 536 associated with a deeper sampling depth of large naval ships. 537

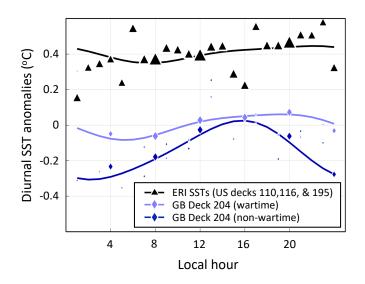
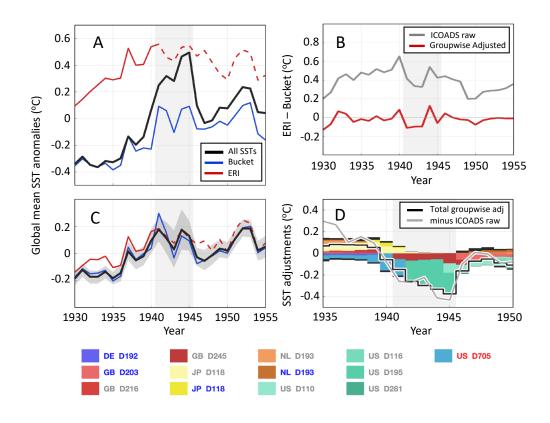


Fig. S3. Warm biases in night-time bucket SSTs during WW2. Night-time SSTs from British 540 deck 204 are anomalously warmer during the war (1941 to 1945, light blue) than 541 surrounding years (dark blue). To obtain diurnal anomalies in this figure, we bin all 542 measurements by local hour and calculate the mean in each bin. Such a method is less 543 544 rigorous than that uses tracked ships (28) but allows for using the maximum amount of data and for a qualitative investigation of offsets between periods. To control noise arising 545 from sampling different regions, we remove collocated groupwise-adjusted daytime SSTs 546 547 on monthly 5° grids before binning. Results are first calculated for individual years and then averaged over respective periods. Smoothed diurnal cycles are determined with once-548 and twice-per-day sinusoidal harmonics using a least-square fitting weighted by numbers 549 of measurements in each hourly bin. Also shown are diurnal anomalies of ERI SSTs 550 551 estimated from U.S. decks 110, 116, and 195. Other U.S. decks are excluded because they take SSTs at fixed Greenwich rather than local hours, which induces additional noise by 552 preferentially sampling certain regions at different local hours. 553



554

555 Fig. S4. Groupwise corrections for daytime SSTs. Individual panels are as those in Fig. 2 but

556 for the LME analysis that only uses daytime measurements.

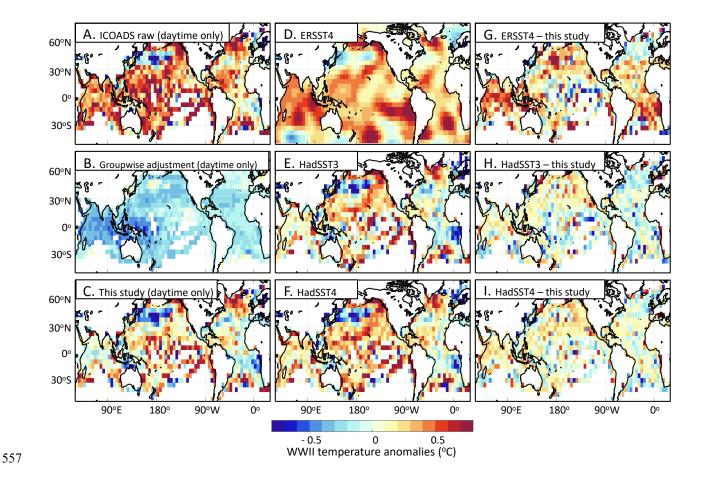


Fig. S5. Patterns of WW2 SST anomalies. Shown temperature difference are between 1941 to 558 1945 and the mean over 1936 to 1940 and 1946 to 1950 for (A), raw daytime SSTs in 559 ICOADS 3.0, (B), groupwise adjustments of daytime SSTs, (C), adjusted daytime SSTs 560 561 (A plus B), (D), ERSST4 (ERSST5 has a similar pattern), (E), HadSST3, and (F), HadSST4. A grid box is shown if it has at least one year of data that each has at least six 562 months (a valid year) of observations during 1941 to 1945 and at least one valid year of 563 data during the ten surrounding years. Also shown are comparisons of our adjusted 564 WW2WA with other estimates from (G), ERSST4, (H), HadSST3, and (I), HadSST4. 565

568	Table S1. Groups containing SST measurements during 1935 to 1949.         Among 66 groups
569	that have groupwise offset estimates, 33 have valid estimates for the amplitude of diurnal cycles.
570	Shown LME offsets are mean offsets averaged over 1935 to 1949 for the analysis that uses both
571	daytime and night-time SSTs and the analysis that only uses daytime SSTs, respectively. Diurnal
572	amplitudes are anomalies relative to collocated climatology estimated from drifting buoys. '*'
573	indicates groupwise offsets differing from zero ( $P \le 0.05$ ) or diurnal amplitudes differing that of
574	drifting buoys ( $P < 0.05$ ). '**' indicates significance after Bonferroni corrections ( $P < 0.05/66$ for
575	groupwise offsets and $P < 0.05/33$ for diurnal amplitudes). Checkmarks highlight U.S. groups with
576	unknown methods, which are assumed to contain ERI SSTs when computing ERI-only estimates.

Group	Nation	Deck	Method - ICOADS SI	# of Meas.	Mean offsets	Day offsets	Excess DA	
DE DCK 151	German	Pacific HSST German Receipts	Bucket	3K	-0.10	-0.07	0.08*	-
DE DCK 192	German	Deutsche Seewarte Marine	Unknown	259K	0.12	0.13	0.13**	
DE DCK 192	German	Deutsche Seewarte Marine	Bucket	707K	0.12	0.10	0.11**	
DE DCK 215	German	German Marine	Bucket	35K	0.10	0.13	0.09**	
DE DCK 720	German	Deutscher Wetterdienst Marine Met. Archive	Bucket	103K	-0.06	-0.12	0.05**	
GB DCK 184	Great Britain	Great Britain Marine	Unknown	10K	-0.01	-0.02	0.07	
GB DCK 184	Great Britain	Great Britain Marine	Bucket	62K	-0.03	-0.02	-0.00	
GB DCK 203	Great Britain	Selected UK Ships	Bucket	568K	-0.02	0.01	0.02**	
GB DCK 204	Great Britain	British Navy (HM) Ships	Bucket	71K	-0.06	-0.09	0.01	
GB DCK 216	Great Britain	UK Merchant Ship logbooks	Unknown	415K	-0.06	-0.07	0.08**	
GB DCK 245	Great Britain	Royal Navy Ship's Logs	Unknown	901K	0.06	0.04	0.05**	
HO DCK 705		US Merchant Marine Collection (series 500)	Bucket	13K	-0.15	-0.17	-0.02	
HO DCK 705		US Merchant Marine Collection (series 500)	ERI	21K	0.54**	0.47**	-0.10**	
JP DCK 118	Japan	Kobe Collection data	Unknown	1056K	-0.32**	-0.28**	0.20**	
JP DCK 118	Japan	Kobe Collection data	Bucket	178K	-0.37*	-0.31*	0.12**	
NL DCK 150	Netherlands	Pacific HSST Netherlands Receipts	Bucket	9K	-0.23*	-0.23	0.11**	
NL DCK 193	Netherlands	Netherlands Marine	Unknown	296K	-0.23*	-0.17*	0.11**	
NL DCK 193	Netherlands	Netherlands Marine	Bucket	227K	-0.22*	-0.20*	0.12**	
PM DCK 705		US Merchant Marine Collection (series 500)	ERI	27K	0.64**	0.55**	-0.09**	
RU DCK 732	Russia	Russian Marine Met Data Set	Unknown	52K	0.09	0.02	0.02	
RU DCK 735	Russia	Russian Research Vessel	Hull sensor	1K	-0.10	-0.11	0.07*	
US DCK 110	United States	US Navy Marine	Unknown	402K	0.51**	0.45**	-0.08**	$\checkmark$
US DCK 116	United States	US Merchant Marine	Unknown	234K	0.25*	0.19*	-0.04**	$\checkmark$
US DCK 281	United States	US Navy Monthly Aerological Record	Unknown	90K	0.47**	0.41**	-0.08**	$\checkmark$
US DCK 703	United States	US Lightship Collections	Unknown	17K	-1.08**	-1.02**	0.03	$\checkmark$
US DCK 705	United States	US Merchant Marine Collection (series 500)	Unknown	26K	0.42**	0.39**	-0.05	$\checkmark$
US DCK 705	United States	US Merchant Marine Collection (series 500)	Bucket	99K	0.01	-0.03	0.00	$\checkmark$
US DCK 705	United States	US Merchant Marine Collection (series 500)	ERI	542K	0.48**	0.45**	-0.09**	

US DCK 710	United States	US Arctic Logbooks	Unknown	2K	-0.17	0.02	0.04*	
US DCK 780	United States	NOAA World Ocean Database	Bucket	9K	0.16	0.11	-0.00	
ZA DCK 899	South Africa	South Africa Whaling	Unknown	13K	-0.01	-0.12	-0.03**	
DCK 155		Indian HSST	Bucket	119K	-0.32**	-0.23	0.12**	
DCK 156		Atlantic HSST	Bucket	189K	-0.17*	-0.15*	0.08**	
BX DCK 706		US Merchant Marine Collection (series 600)	Unknown	5K	-0.10	-0.15		
CN DCK 706	China	US Merchant Marine Collection (series 600)	Unknown	1K	-0.38*	-0.43**		
DL DCK 706		US Merchant Marine Collection (series 600)	Unknown	2K	0.07	0.04		
DN DCK 706		US Merchant Marine Collection (series 600)	Unknown	3K	-0.12	-0.04		
FR DCK 706	France	US Merchant Marine Collection (series 600)	Unknown	7K	0.42**	0.39**		
GB DCK 152	Great Britain	Pacific HSST UK Receipts	Bucket	<1K	-0.04	-0.05		
GB DCK 202	Great Britain	All Ships (UK MetO MDB)	Bucket	<1K	-0.06	-0.03		
GB DCK 205	Great Britain	Scottish Fishery Cruisers	Bucket	4K	-0.43*	-0.39*		
GB DCK 705	Great Britain	US Merchant Marine Collection (series 500)	Bucket	18K	-0.12	-0.07		
GB DCK 705	Great Britain	US Merchant Marine Collection (series 500)	ERI	11K	0.13	0.08		
GB DCK 706	Great Britain	US Merchant Marine Collection (series 600)	Unknown	23K	-0.03	-0.09		
GB DCK 707	Great Britain	US Merchant Marine Collection (series 700)	Unknown	<1K	-0.19	-0.26*		
HO DCK 706	Great Britain	US Merchant Marine Collection (series 600)	Unknown	<1K	0.05	0.00		
HO DCK 707	Great Britain	US Merchant Marine Collection (series 700)	Unknown	<1K	0.09	0.05		
IY DCK 706	Great Britain	US Merchant Marine Collection (series 600)	Unknown	6K	0.19	0.20*		
JP DCK 705	Japan	US Merchant Marine Collection (series 500)	Unknown	10K	-0.31*	-0.27*		
JP DCK 705	Japan	US Merchant Marine Collection (series 500)	Bucket	26K	-0.38*	-0.35*		
JP DCK 705	Japan	US Merchant Marine Collection (series 500)	ERI	5K	-0.31*	-0.31*		
JP DCK 706	Japan	US Merchant Marine Collection (series 600)	Unknown	8K	-0.26*	-0.28**		
NL DCK 705	Netherlands	US Merchant Marine Collection (series 500)	Bucket	10K	-0.21	-0.19		
NL DCK 706	Netherlands	US Merchant Marine Collection (series 600)	Unknown	17K	-0.18	-0.14*		
NO DCK 706	Norway	US Merchant Marine Collection (series 600)	Unknown	3K	0.34*	0.31**		
PM DCK 707		US Merchant Marine Collection (series 700)	Unknown	<1K	0.59**	0.46*		
RU DCK 735	Russia	Russian Research Vessel	Bucket	<1K	-0.05			
SP DCK 706		US merchant Marine Collection (series 600)	Unknown	<1K	0.01	-0.01		
US DCK 116	United States	US Merchant Marine	Bucket	13K	-0.12	-0.14		
US DCK 195	United States	US Navy Ships Logs	Unknown	383K	0.43**	0.39**		$\checkmark$
US DCK 706	United States	US Merchant Marine Collection (series 600)	Unknown	51K	0.28*	0.29**		$\checkmark$
US DCK 707	United States	US Merchant Marine Collection (series 700)	Unknown	2K	0.30*	0.27*		
US DCK 780	United States	NOAA World Ocean Database	Unknown	1K	0.22*	0.20*		
ZA DCK 927	South Africa	International Marine	Unknown	17K	0.01	0.01		
DCK 197		Danish (and other) Marine (Polar)	Unknown	3K	-0.12	-0.06		
DCK 255		Undocumented TDF-11 Decks or MDB Series	Bucket	<1K	0.10	0.13		