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Coupled, Physics-based Modeling Reveals Earthquake Displacements are Critical to the 2018 Palu, Sulawesi Tsunami

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Abstract The September 2018, M_w 7.5 Sulawesi earthquake occuring on the Palu-Koro strike-slip fault system was followed by an unexpected localized tsunami. We show that direct earthquake-induced uplift and subsidence could have sourced the observed tsunami within Palu Bay. To this end, we use a physics-based, coupled earthquake-tsunami modeling framework tightly constrained by observations. Our model combines rupture dynamics, seismic wave propagation, tsunami propagation and inundation. The earthquake scenario, featuring sustained supershear rupture propagation, matches key observed earthquake characteristics, including the moment magnitude, rupture duration, fault plane solution, teleseismic waveforms and inferred horizontal ground displacements. In our model, a straight fault segment dipping 65° East beneath Palu Bay hosts a combination of up to 6 m left-lateral slip and up to 2 m normal slip determined by a regional transtensional stress regime. The time-dependent, 3D seafloor displacements are translated into bathymetry perturbations with a mean vertical offset of 1.5 m across the submarine fault segment. This sources a tsunami with wave amplitudes and periods that match those measured at the Pantoloan wave gauge and inundation that reproduces observations from field surveys. We conclude that a source related to earthquake displacements is probable and that landsliding may not have been the primary source of the tsunami. Our results have important implications for submarine strike-slip fault systems worldwide. Physics-based modeling offers rapid response specifically in tectonic settings which are currently underrepresented in operational tsunami hazard assessment.

Keywords Sulawesi, tsunami, earthquake dynamics, coupled model, physics-based modeling, strike slip

1 Introduction

Tsunamis occur due to abrupt perturbations to the water column, usually caused by the seafloor deforming during earthquakes or submarine landslides. Devastating tsunamis associated with submarine strike-slip earthquakes are rare. While such events may trigger landslides that in turn trigger tsunamis, the associated ground displacements are predominantly horizontal, not vertical, which does not favor tsunami genesis.

However, strike-slip fault systems in complex tectonic regions, such as the Palu-Koro fault zone cutting across the island of Sulawesi, may produce vertical deformation. Strike-slip systems may also include complicated fault geometries, such as non-vertical faults, bends or en echelon step-over structures. These can host complex rupture dynamics and produce a variety of displacement patterns when ruptured, which may promote tsunami generation (Legg and Borrero, 2001; Borrero et al, 2004).

To mitigate the commonly under-represented hazard of strike-slip induced tsunamis, it is crucial to fundamentally understand the direct effect of coseismic dis-

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placements on tsunami genesis. Globally, geological settings similar to that governing the Sulawesi earthquake-tsunami sequence are not unique. Large strike-slip faults crossing off-shore and running through narrow gulfs include the elongated Bodega and Tomales bays in northern California, USA, hosting major segments of the right-lateral strike-slip San Andreas fault system, and the left-lateral Anatolian fault system in Turkey, extending beneath the Marmara Sea just south of Istanbul. Indeed, historical data do record local tsunamis generated from earthquakes along these and other strike-slip fault systems, such as in the 1906 San Francisco (California), 1994 Mindoro (Philippines), and 1999 Izmit (Turkey) earthquakes (Legg et al, 2003) and, more recently, the 2016 Kaikōura, New Zealand earthquake (Ulrich et al, 2019; Power et al, 2017).

In most tsunami modelling approaches, the tsunami source is computed according to the approach of Mansinha and Smylie (1971) and subsequently parameterized by the Okada model (Okada, 1985), which translates finite fault models into seafloor displacements. Okada's model allows computing analytically static ground displacements generated by a uniform dislocation over a finite rectangular fault assuming a homogeneous elastic half space. Heterogeneous slip can be captured by linking several dislocations in space, and time-dependence is approximated by allowing these dislocations to move in sequence (e.g., Tanioka et al, 2006). While seafloor and coastal topography are ignored, the contribution of horizontal displacements may be additionally accounted for by a filtering approach suggested by Tanioka and Satake (1996), which includes the gradient of local bathymetry. Applying a traditional Okada source to study tsunami genesis is specifically limited for near-field tsunami observations and localized events due to its underlying, simplifying assumptions.

Realistic modeling of earthquakes and tsunamis benefits from physics-based approaches. Finite fault models are affected by inherent non-uniqueness, which may spread via the ground displacement fields to the modeled tsunami genesis. Constraining the kinematics of multi-fault rupture is especially challenging, since initial assumptions on fault geometry strongly affect the slip inversion results. Mechanically viable earthquake source descriptions are provided by dynamic rupture modeling combining spontaneous frictional failure and seismic wave propagation. Dynamic rupture simulations fully coupled to the time-dependent response of an overlying water layer have been performed by Lotto et al (2017a,b, 2018). These have been instrumental in determining the influence of different earthquake parameters and material properties on coupled systems, but are restricted to 2D. Ryan et al (2015) couple a 3D dynamic earthquake rupture model to a tsunami model, but these are restricted to using the final, static seafloor displacement field as the tsunami source.

To capture the physics of the interaction of the Palu earthquake and tsunami we utilize a physics-based, coupled earthquake-tsunami model. The dynamic earthquake rupture model incorporates spatial variation in subsurface material properties, spontaneously developing slip on a complex, non-planar system of 3D faults, off-fault plastic deformation, and the non-linear interaction of frictional failure with seismic waves. The coseismic deformation of the crust generates time-dependent seafloor displacements, which we translate into bathymetry perturbations to source the tsunami. The tsunami model solves for non-linear wave propagation and inundation at the coast.

Using this coupled approach, we evaluate the influence of coseismic deformation during the strike-slip Sulawesi earthquake on generating the observed tsunami waves. The physics-based model reveals that the rupture of a fault crossing Palu Bay with a moderate but wide-spread component of normal fault slip produces vertical deformation, which can explain the observed tsunami wave amplitudes and wave run-up heights.

2 The 2018 Palu, Sulawesi earthquake and tsunami

2.1 Tectonic setting

The Indonesian island of Sulawesi is located at the triple junction between the Sunda plate, the Australian plate and the Philippine Sea plate (Bellier et al, 2006; Socquet et al, 2006, 2019) (Fig. 1a). Convergence of the Philippine and Australian plates toward the Sunda plate is accommodated by subduction and rotation of the Molucca Sea, Banda Sea and Timor plates, leading to complicated patterns of faulting (Fig. 1a).

In central Sulawesi, the NNW-striking Palu-Koro fault (PKF) and the WNW-striking Matano faults (MF) (Fig. 1a) comprise the Central Sulawesi Fault System. The Palu-Koro fault runs off-shore to the north of Sulawesi through the narrow Palu Bay and is the fault that hosted the earthquake that occurred on 28 September 2018. With a relatively high slip rate of 40 mm/yr inferred from recent geodetic measurements (Socquet et al, 2006; Walpersdorf et al, 1998) and clear evidence for Quaternary activity (Watkinson and Hall, 2017), the Palu-Koro fault was presumed to pose a threat to the region (Watkinson and Hall, 2017). In addition, four tsunamis associated with earthquakes on the Palu-Koro fault have struck the northwest coast of Sulawesi in the

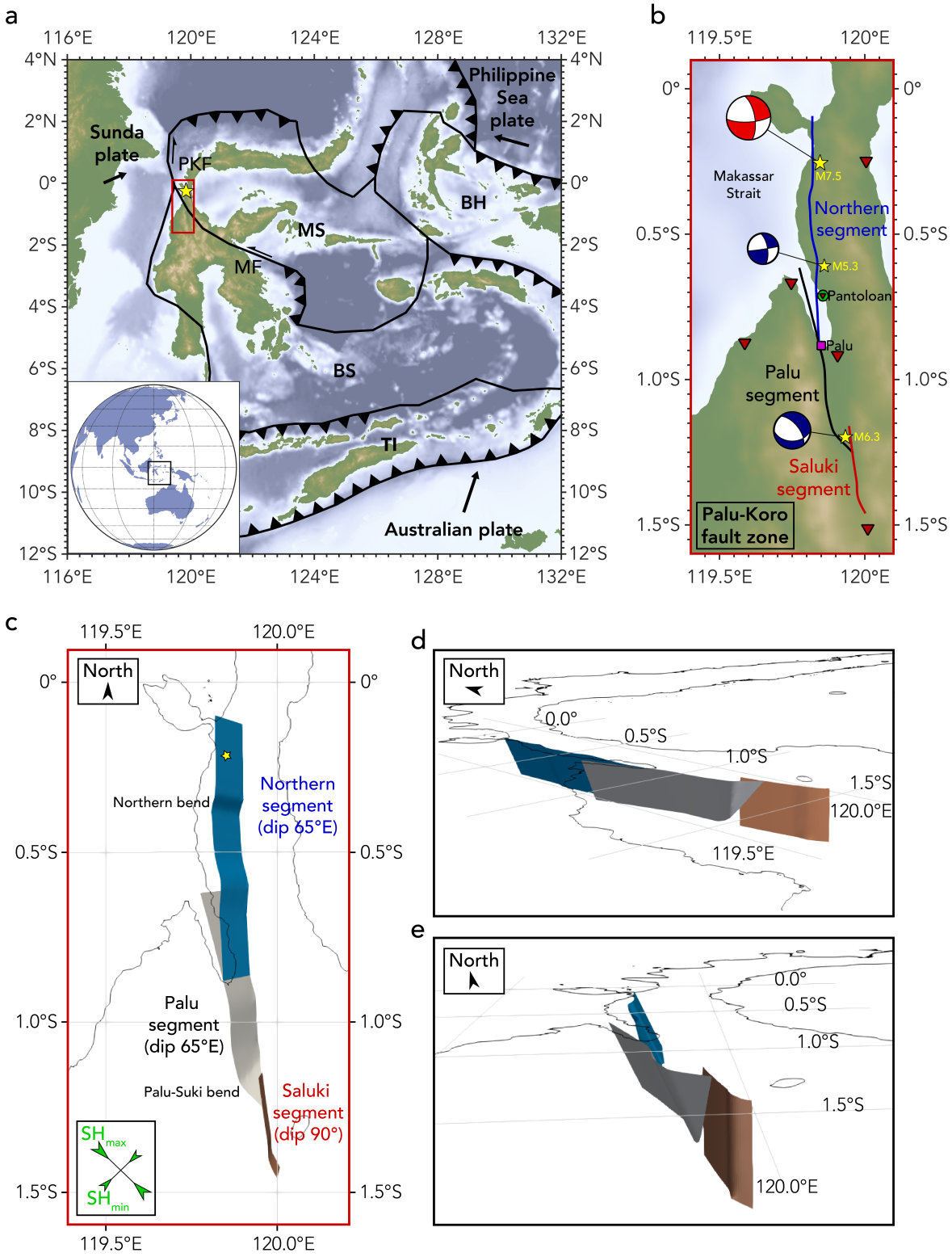

Fig. 1 (a) Tectonic setting of the September 28, 2018 M_w 7.5 Sulawesi earthquake (epicenter indicated by yellow star). Black lines indicate plate boundaries based on Bird (2003); Argus et al (2011). Abbreviations: BH – Bird's Head plate; BS – Banda Sea plate; MF – Matano fault zone; PKF – Palu-Koro fault zone; MS – Molucca Sea plate and TI – Timor plate. Arrows indicate the far-field plate velocities with respect to Eurasia (Socquet et al, 2006). The red box corresponds to the zoom-in region displayed in (b). (b) A zoom of the region of interest. The site of the harbor tide gauge of Pantoloan is indicated as well as the city of Palu. Locations of the GPS stations at which we provide synthetic ground displacement time series (see Appendix Sec.8.2) are indicated by the red triangles. Focal mechanisms and epicenters of the September 28, 2018 Palu earthquake (USGS (2018a), top), October 1, 2018 Palu aftershock (middle), and January 23, 2005 Sulawesi earthquake (bottom) are shown. These later two events provide constraints on the dip angles of individual segments of the fault network. Individual fault segments of the Palu-Koro fault used in the dynamic rupture model are coloured. (c), (d) and (e) 3D model of the fault network viewed from top, SW and S.

past century (1927, 1938, 1968 and 1996) (Pelinovsky et al, 1997; Prasetya et al, 2001).

The complex regional tectonics subject northwestern Sulawesi to transtensional strain (Socquet et al, 2006). Transtension promotes some component of dip-slip faulting on the predominantly strike-slipping Palu-Koro fault (Bellier et al, 2006; Watkinson and Hall, 2017) and leads to more complicated surface deformation than is expected from slip along a fault hosting purely strike-slip motion.

2.2 The 2018 Palu, Sulawesi earthquake

The M_w 7.5 Sulawesi earthquake that occurred on September 28, 2018 ruptured a 180 km long section of Palu-Koro fault (Socquet et al, 2019). It nucleated 70 km north of the city of Palu at shallow depth, with inferred hypocentral depths varying between 10 km and 22 km (Valkaniotis et al, 2018). The rupture propagated predominantly southward, passing under Palu Bay and the city of Palu. It arrested after a total rupture time of 30–40 seconds (Socquet et al, 2019; Okuwaki et al, 2018; Bao et al, 2019).

The earthquake appears to have propagated at a supershear rupture speed, i.e., faster than the shear waves produced by the earthquake are able to travel through the surrounding rock (e.g., Socquet et al, 2019; Bao et al, 2019; Mai, 2019). Socquet et al (2019) note that the characteristics of the relatively straight, clear rupture trace to the south of the Bay, with few aftershocks, match those for which supershear rupture speeds have been inferred in other earthquakes. Using back-projection analysis, which maps the location and timing of earthquake energy from the waves recorded on distant seismic arrays, Bao et al (2019) do not resolve any portion of the rupture as traveling at sub-Rayleigh speeds. The authors conclude that this fast rupture velocity began at, or soon after, earthquake nucleation and was sustained for the length of the rupture. Surprisingly, Bao et al (2019) infer supershear rupture speeds at the lower end considered theoretically stable, possibly due to the influence of widespread, pre-existing damage around the fault. While the actual speed, point of onset, and underlying mechanics of this event's supershear rupture propagation remain to be studied further, it will initiate re-assessment of hazard associated with strike-slip faults worldwide with respect to the potential intensification of supershear shaking.

2.3 The induced tsunami

The Palu earthquake triggered a local but powerful tsunami that devastated the coastal area of the Palu Bay quickly after the earthquake. Inundation depths of over 6 m and run-up heights of over 9 m were recorded at specific locations (e.g. Yalciner et al, 2018). At the only tide gauge with available data, located at Pantoloan harbor, a trough-to-peak wave amplitude of almost 4 m was recorded just five minutes after the rupture (Muhari et al, 2018). In Ngapa (Wani), on the northeastern shore of Palu Bay, CCTV coverage show the arrival of the tsunami wave after only 3 minutes.

Coseismic subsidence and uplift, as well as submarine and coastal landsliding, have been suggested as causes of the tsunami in Palu Bay (Heidarzadeh et al, 2018). Both displacements and landsliding are documented on land (Valkaniotis et al, 2018; Løvholt et al, 2018; Sassa and Takagawa, 2019), and also at coastal slopes (Yalciner et al, 2018).

Tsunami models of the Sulawesi event performed using Okada's solution in combination with the USGS finite fault model (USGS, 2018b) do not generate tsunami amplitudes large enough to agree with observations (Heidarzadeh et al, 2018; Sepulveda et al, 2018; Liu et al, 2018; van Dongeren et al, 2018). Liu et al (2018) and Sepulveda et al (2018) perform Okada-based tsunami modeling with earthquake sources generated by inverting satellite data, but also produce wave amplitudes that are too small. Reasonable tsunami waves are produced by combining tectonic and hypothetical landslide sources (van Dongeren et al, 2018; Liu et al, 2018). However, the predominantly short wavelengths associated with the observed small scale, localized landsliding (Yalciner et al, 2018) appears to be incompatible with the observed long period tsunami waves (Løvholt et al, 2018).

3 Physical and Computational Models

3.1 Earthquake-tsunami modeling within the ASCETE framework

The ASCETE framework (Advanced Simulation of Coupled Earthquake and Tsunami Events; see Gabriel et al, 2018) establishes methods for coupling physics-based models of geodynamic subduction zone processes, seismic cycling, dynamic earthquake rupture, and tsunami propagation and inundation. Here, we apply part of the framework to model the coupling between a single dynamic earthquake rupture and the resulting occurrence of a tsunami.

Since the earthquake and tsunami communities use different vocabulary, we specify the terminology used

throughout this manuscript. We call the complete physical setup, including, e.g., the bathymetry dataset, fault structure and the governing equations for an earthquake or tsunami, a 'physical model'. Furthermore, a computer program discretizing the equations and implementing the numerical workflow is termed a 'computational model'. The result of a computation for a specific event achieved with a computational model and according to a specific physical model will be called a 'scenario'. We use 'model' where the use of the term as either physical or computational model is unambiguous.

The computational model used to produce the earthquake scenario is SeisSol (Dumbser and Käser, 2006; Pelties et al, 2014; Uphoff et al, 2017), which solves the elastodynamic wave equation. Seissol solves for spontaneous dynamic rupture and seismic wave propagation to determine the temporal and spatial evolution of slip on predefined frictional interfaces, and the stress and velocity fields throughout the modeling domain. With this approach, the earthquake source is not predetermined, but evolves spontaneously as a consequence of the model's initial conditions and of the time-dependent, non-linear processes occurring during the earthquake. Initial conditions include the geometry and frictional strength of the fault(s), the tectonic stress state, and the regional lithological structure. Fault slip evolves as frictional shear failure according to an assigned friction law that controls how the fault yields and slides. Model outputs include spatial and temporal evolution of the earthquake rupture front(s), off-fault plastic strain, surface displacements, and the ground shaking caused by the radiated seismic waves.

SeisSol uses the Arbitrary high-order accurate DERivative Discontinuous Galerkin method (ADER-DG). It employs fully non-uniform, unstructured tetrahedral meshes to combine geometrically complex 3D geological structures, nonlinear rheologies, and high-order accurate propagation of seismic waves. Fast time to solution is achieved thanks to end-to-end computational optimization (Breuer et al, ????; Heinecke et al, 2014; Rettenberger et al, 2016) and an efficient local time-stepping algorithm (Uphoff et al, 2017). To this end, dynamic rupture simulations can reach high spatial and temporal resolution of increasingly complex geometrical and physical modelling components (e.g. Bauer et al, 2017; Wollherr et al, 2018a). SeisSol is verified with a wide range of community benchmarks, including strike-slip, dipping and branching fault geometries, laboratory derived friction laws, as well as heterogeneous on-fault initial stresses and material properties (de la Puente et al, 2009; Pelties et al, 2012, 2013, 2014; Wollherr et al, 2018b) in line with the SCEC/USGS Dynamic Rupture Code Verification exercises (Harris et al, 2011, 2018). SeisSol is freely available (SeisSol website, 2019; SeisSol github, 2019).

The computational model to generate the tsunami scenario is StormFlash2D, which solves the nonlinear shallow water equations using an explicit Runge-Kutta discontinuous Galerkin discretization combined with a sophisticated wetting and drying treatment for the inundation at the coast (Vater and Behrens, 2014; Vater et al, 2015, 2017). A tsunami is triggered by a (possibly time-dependent) perturbation of the discrete bathymetry. StormFlash2D allows for stable and accurate simulation of large-scale wave propagation in deep sea, as well as small-scale wave shoaling and inundation at the shore, thanks to a multi-resolution adaptive mesh refinement approach based on a triangular refinement strategy (Behrens et al, 2005; Behrens and Bader, 2009). Bottom friction is parameterized through Manning friction by a split-implicit discretization (Liang and Marche, 2009). The model's applicability for tsunami events has been validated by a number of test cases (Vater et al, 2018), which are standard for the evaluation of operational tsunami codes (Synolakis et al, 2007).

Coupling between the earthquake and tsunami models is realized through the time-dependent coseismic 3D seafloor displacement field computed in the dynamic earthquake rupture scenario, which is translated into 2D bathymetry perturbations of the tsunami model.

3.2 Earthquake model

The 3D dynamic rupture model of the Sulawesi earthquake requires initial assumptions related to the structure of the Earth, the structure of the fault system, the stress state, and the frictional strength of the faults. These input parameters are constrained by a variety of independent near-source and far-field data sets. Most importantly, we aim to ensure mechanical viability by a systematic approach integrating the observed regional stress state and frictional parameters and including state-of-the-art earthquake physics and fracture mechanics concepts in the model (Ulrich et al, 2019).

3.2.1 Earth structure

The earthquake model incorporates topography and bathymetry data and state-of-the-art information about the subsurface structure in the Palu region. Local topography and bathymetry are honored at a resolution of about 900 m (GEBCO, 2015; Weatherall et al, 2015). At depth, 3D heterogeneous media are included by combining two subsurface velocity data sets. A local model by Awaliah et al (2018), which is built from ambient noise tomography, covers the model domain down to 40 km

depth. The Global Earth Model (Fichtner et al, 2018) is used to cover the model domain down to 150 km.

3.2.2 Fault structure

For this model, we construct a network of non-planar, intersecting crustal faults that ruptured in this earthquake. This includes three major fault segments: the Northern segment, a previously unmapped fault on which the earthquake nucleated, and the Palu and the Saluki segments of the Palu-Koro fault (cf. Fig. 1b-e). We map the fault traces from the horizontal ground displacement field inferred from correlation of Sentinel-2 optical images (De Michele, 2018) and from synthetic aperture radar (SAR) data (Bao et al, 2019), which is discussed more below. Differential north-south offsets clearly delineate the on-land traces of the Palu and Saluki fault segments. The trace of the Northern segment is less well-constrained in both data sets. Nevertheless, we produce a robust map by honoring the clearest features in both datasets and smoothing regions of large variance using QGIS v2.14 (Quantum, 2013).

Beneath the Bay, we adopt a relatively simple fault geometry motivated by the on land fault strikes, the homogeneous pattern of horizontal ground deformation east of the Bay (De Michele, 2018), which suggests slip on a straight, continuous fault under the Bay, and the absence of direct information available to constrain the rupture's path. We extend the Northern segment southward as a straight line from the point where it enters the Bay to the point where the Palu segment enters the Bay. We extend the Palu segment northward, adopting the same strike that it displays on land to the south of the Bay. This trace deviates a few km from the mapping reported in Bellier et al (2006, their Fig. 2), both on and off land. South of the Bay, the modeled segment mostly aligns with the fault as mapped by Watkinson and Hall (2017, their Fig. 5).

We constrain the 3D structure of these faults using focal mechanisms and geodetic data. We assume that the Northern and Palu segments both dip 65° East, as suggested by the mainshock focal mechanisms (67°, USGS (2018a) and 69°, IPGP (2018), Fig. 1b) and the focal mechanism of the 2018, October 1st M_w 5.3 aftershock (67°, BMKG solution, Fig. 1b). This also is consistent with pronounced asymmetric patterns of ground deformation suggesting slip on dipping faults around the city of Palu and the Northern fault segment in both the optical De Michele (2018) and SAR data. In addition, the eastward dip of the Palu segment on land is consistent with the analysis of Bellier et al (2006). The southern end of the Palu segment bends towards the Saluki segment and features a dip of 60° to the northeast, as constrained by the source mechanism of the 2005 M_w 6.3 event (see Fig. 1b). In contrast, we assume that the Saluki segment is vertical. The assigned dip of 90° acknowledges the inferred ground deformation of comparable amplitude and extent on both sides of this fault segment (De Michele, 2018). All faults reach a depth of 20 km.

3.2.3 Stress state

The fault system is subject to a laterally homogeneous regional stress field, systematic constraints based on seismo-tectonic observations, fault fluid pressurization and the Mohr-Coulomb theory of frictional failure following Ulrich et al (2019). This is motivated by the fact that tractions on and strength of natural faults are difficult to quantify. With this approach, only four parameters must be specified to fully describe the state of stress and strength governing the fault system, as further detailed in the appendix (Sec. 8.3). This systematic approach facilitates rapid modeling of an earthquake.

Using static considerations and few trial dynamic simulations, we identify an optimal stress configuration for this scenario that simultaneously (i) maximizes the ratio of shear over normal stress all across the fault system; (ii) determines shear traction orientations that predict surface deformation compatible with the measured ground deformation and focal mechanisms; and (iii) allows dynamic rupture across the fault system's geometric complexities.

The resulting physical model is characterized by a stress regime acknowledging transtension, high fluid pressure, and relatively well oriented, apparently weak faults. The effective confining stress increases with depth by a gradient of 5.5 MPa/km. From 11–15 km depth, we taper the deviatoric stresses to zero, to represent the transition from a brittle to a ductile deformation regime. The depth range is consistent with the 12 km interseismic locking depth estimated by Vigny et al (2002).

3.2.4 Earthquake nucleation and fault friction

Failure is initiated within a highly overstressed circular patch with a radius of 1.5 km situated at a depth of 10 km. This depth is at the shallow end of the range of inferred hypocentral depths (Valkaniotis et al, 2018) and shallower than the modeled brittle-ductile transition mimicking the lower end of the seismogenic zone.

Slip evolves on the fault according to a rapid velocity-weakening friction formulation, which is motivated by laboratory experiments that show strong dynamic weakening at coseismic slip rates (e.g., Di Toro et al, 2011).

This formulation reproduces realistic rupture characteristics, such as reactivation and pulse-like behavior, without imposing small-scale heterogeneities (e.g., Dunham et al, 2011; Gabriel et al, 2012). We here use a form of fast-velocity weakening friction proposed in the community benchmark problem TPV104 of the Southern California Earthquake Center (Harris et al, 2018) and as parameterized by Ulrich et al (2019). Friction drops rapidly from a steady-state, low-velocity friction coefficient, here 0.6, to a fully weakened friction coefficient, here 0.1 (see Sec. 8.4).

3.2.5 Model resolution

A high resolution computational model is crucial in order to accurately resolve the full dynamic complexity of our earthquake scenario. The required high numerical accuracy is achieved by combining a numerical scheme that is accurate to high-orders and a mesh that is locally refined around the fault network.

The earthquake model domain is discretized into an unstructured computational mesh of 8 million tetrahedral elements. The shortest element edge lengths are 200 m close to faults. The static mesh resolution is coarsened away from the fault system. Simulating 50 s of this event using 4th order accuracy in space and time requires about 2.5 hours on 560 Haswell cores of phase 2 of the SuperMUC supercomputer of the Leibniz Supercomputing Centre in Garching, Germany. We point out that running hundreds of such simulations is well within the scope of resources available to typical users of supercomputing centres.

3.3 Tsunami model

The bathymetry and topography for the tsunami model is composed with the high-resolution data set BATNAS (v1.0), provided by the Indonesian Geospatial Data Agency (DEMNAS, 2018). This data set has a horizontal resolution of 6 arc seconds (or approximately 190 m), and it allows for sufficiently accurate representation of bathymetric features, but is certainly relatively inaccurate with respect to inundation treatment.

The coupling between the earthquake and tsunami models is enforced by adding a perturbation derived from the 3D coseismic seafloor displacement from the dynamic rupture scenario to the initial 2D bathymetry and topography of the tsunami model. These time-dependent displacement fields are given by the three-dimensional vector $(\Delta x, \Delta y, \Delta z)$. Additionally to the vertical displacement Δz, we incorporate the horizontal components Δx and Δy into the tsunami source by applying the method proposed by Tanioka and Satake (1996).

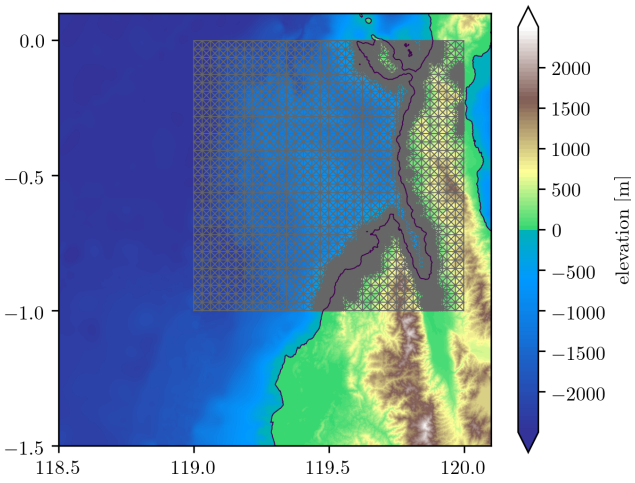

Fig. 2 Setup of the tsunami model including high-resolution bathymetry and topography data overlain by the initial adaptive triangular mesh refined near the coast.

This is motivated by the potential influence of Palu Bay's steep seafloor slopes (more than 50%). The ground displacement of the earthquake model is translated into the tsunami generating bathymetry perturbation by

$$\Delta b = \Delta z - \Delta x \frac{\partial b}{\partial x} - \Delta y \frac{\partial b}{\partial y}, \quad (1)$$

where $b = b(x, y)$ is the bathymetry (increasing in the upward direction). Δb is time-dependent, since Δx, Δy and Δz are time-dependent (cf. Fig. S2). The tsunami is sourced by adding Δb to the initial bathymetry and topography of the tsunami model. It should be noted that a comparative scenario using only Δz as bathymetry perturbation (see appendix, Sec. 8.5) did not result in large deviations with regards to the preferred model.

The domain of the computational tsunami model (latitudes ranging from $-1°$ to $0°$, longitudes ranging from $119°$ to $120°$, see Fig. 2) encompasses Palu Bay and its near surroundings in the Makassar Strait, since we here focus on the wave behavior within the Bay of Palu. The tsunami model is initialized as an ocean at rest, for which (at $t = 0$) the initial fluid depth is set in such manner that the sea surface height (ssh, deviation from mean sea level) is equal to zero everywhere in the model domain. Additionally, the fluid velocity is set to zero. This defined initial steady state is then altered by the time-dependent bathymetry perturbation throughout the simulation, which triggers the tsunami. The simulation is run for 40 min (simulation time), which needs 13 487 time steps.

The triangle-based computational grid is initially refined near the coast, where the highest resolution within Palu Bay is about 3 arc seconds (or 80 m). This results

in an initial mesh of 153 346 cells, which expands to more than 300 000 cells during the dynamically adaptive computation. The refinement strategy is based on the gradient in sea surface height (ssh).

The parametrization of bottom friction includes the Manning's roughness coefficient n. We assume $n = 0.03$, which is a typical value for tsunami simulations (Harig et al, 2008).

4 Results

In the following, we present a well-constrained, physics-based, coupled earthquake and tsunami model scenario explaining local and far-field seismic and tsunami observations.

4.1 The dynamic earthquake rupture scenario: sustained supershear rupture and normal slip component within Palu Bay

Based on a systematic derivation of initial conditions (Sec. 3.2), we find that early and persistent supershear rupture is required to reproduce seismological data, geodetic data, as well as field observations in the near- and far-field. The model produces moderate vertical displacements beneath Palu Bay due to oblique slip on a dipping fault, even though it does not feature significant submarine geometric complexities.

4.1.1 Earthquake rupture

The dynamic earthquake scenario is characterized by an unilateral southward rupture (Fig. 3). The rupture nucleates at the northern tip of the Northern segment, then transfers to the Palu segment at the southern end of Palu Bay, on which it propagates also unilaterally southward. Additionally, a shallow portion of the Palu-Koro fault beneath the Bay ruptures from North to South (see inset of Fig. 4a). This segment is dynamically unclamped (due to reduced normal stress) while the rupture of the Northern segment passes. The rupture passes from the Palu segment onto the Saluki segment through a restraining bend at a latitude of -1.2°. In total, 195 km of faults are ruptured leading to a M_w 7.6 earthquake scenario.

4.1.2 Fault slip

The modeled slip distributions and orientations (Fig. 4) are modulated by the geometric complexities of the fault system. On the northern part of the Northern segment, slip is lower than elsewhere along the fault due to a restraining fault bend near -0.35° latitude (Fig. 4a). South of this small bend, the slip magnitude increases and remains mostly homogeneous, ranging between 6 and 8 m. Peak slip occurs on the Palu segment.

Over most of the fault network, the faulting mechanism is predominantly strike-slip, but does include a small to moderate normal slip component (Fig. 4b). This dip-slip component varies as a function of fault orientation with respect to the regional stress field. It increases at the junction between the Northern and Palu segment just south of Palu Bay, and at the big bend between the Palu and Saluki fault segments, where dip-slip reaches a maximum of approx. 4 m. Pure strike-slip faulting is modeled on the southern part of the vertical Saluki segment (Fig. 4b). The dip-slip component along the rupture shown in Fig. 4b produces subsidence above the hanging wall (east of the fault traces) and uplift above the foot wall (west of the fault traces). The resulting seafloor displacements are further discussed in Sec. 4.2.

4.1.3 Earthquake rupture speed

The earthquake scenario features an early and persistent supershear rupture velocity (Fig. 4d). This means that the rupture speed exceeds the seismic shear wave velocity (V_s) of 2.5 to 3.1 km/s in the vicinity of the fault network from the onset of the event. This agrees with the inferences for supershear rupture by Bao et al (2019) from back-projection analyses and by Socquet et al (2019) from satellite data analyses. However, we here infer supershear propagation faster than Eshelby speed ($\sqrt{2}V_s$), and thus faster than Bao et al (2019), well within the stable supershear rupture regime (Burridge, 1973).

4.1.4 Teleseismic waves, focal mechanism, and moment release rate

The dynamic rupture scenario satisfactorily reproduces the teleseismic surface waves (Fig. 5a) and body waves (Fig. 5b). Synthetics are generated at 5 teleseismic stations around the event (Fig. 5c). Following Ulrich et al (2019), we translate the dynamic fault slip time histories of the dynamic rupture scenario into a subset of 40 double couple point sources (20 along strike times 2 along depth). From these sources, broadband seismograms are calculated from a Green's function database using Instaseis (Krischer et al, 2017) and the PREM model for a maximum period of 2 s and including anisotropic effects. Our synthetics agree well with the observed teleseismic signals in terms of both the dominant, long-period surface waves and the body wave signatures.

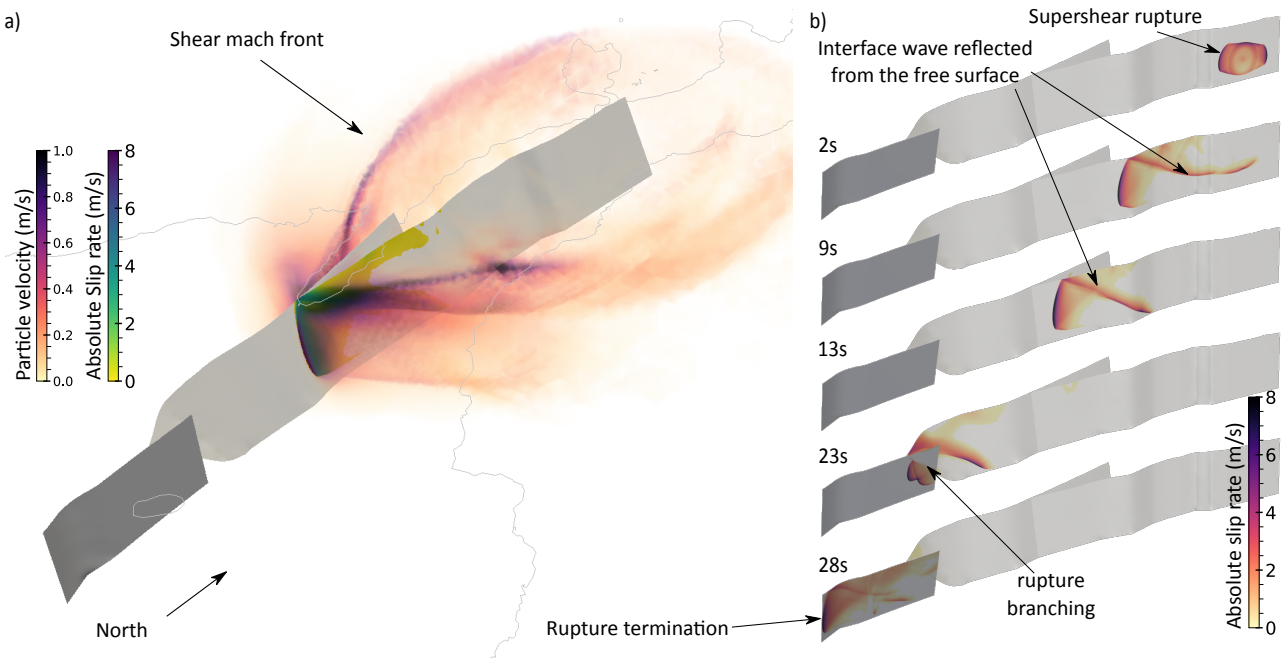

Fig. 3 (a) Snapshot of the wavefield (absolute particle velocity in m/s) and the slip rate (in m/s) across the fault network at a rupture time of 15 s. (b) Overview of the simulated rupture propagation. Snapshots of the absolute slip rate are shown at a rupture time of 2, 9, 13, 23 and 28 s. Labels indicate noteworthy features of the rupture.

The focal mechanism of the modeled source is compatible with the one inferred by USGS (compare Fig. 1b and Fig. 5c). The nodal plane characterizing this model features strike/dip/rake angles of $354°/69°/-14°$, which is very close to the $350°/67°/-17°$ focal plane inferred by USGS.

The dynamically released moment rate is in agreement with source time functions inferred from teleseismic data (Fig 5d). Our scenario yields a relatively smooth, roughly box-car shaped moment release rate spanning the full rupture duration. This is consistent with Okuwaki et al (2018)'s inference and consistent with the smooth inferred fault slip reported by Socquet et al (2019). Interestingly, we can identify a pronounced effect of the rupture slowing down at the geometrical complexity posed by the Northern segment restraining bend at -0.35° latitude. This resembles the moment rate solutions by USGS and SCARDEC at $\approx$ 5 s rupture time. The transfer of the rupture from the Palu segment to the Saluki segment at 23 s produces a transient decrease in the moment release rate in our model. This feature is discernible in observations as well.

4.1.5 Earthquake surface displacements

We use observations from optical and radar satellites, both sensitive to the horizontal coseismic surface displacements, to validate the outcomes of the earthquake scenario.

The patterns and magnitudes of the final horizontal surface displacements in two dimensions (black arrows in Fig. 6a) are inferred from subpixel correlation of coseismic optical images acquired by the Copernicus Sentinel-2 satellites by the European Space Agency (ESA) (De Michele, 2018). We use both, east-west and north-south components from optical image correlation.

We also infer coseismic surface displacements by incoherent cross correlation of synthetic aperture radar (SAR) images acquired by the Japan Aerospace Exploration Agency (JAXA) Advanced Land Observation Satellite-2 (ALOS-2). SAR can measure surface displacements horizontally in the along-track direction and in the slant direction between the satellite and the ground that is a combination of vertical and horizontal displacement. Here, we use the along-track horizontal displacements (Fig. 6c) that are nearly parallel to the strike of the fault. Further details about our data processing approach and the dataset used can be found in appendix Sec. 8.6.

The use of two independent but partially coinciding datasets provides additional insight on data quality. We compare the SAR data and the optical data by projecting the optical data into the along-track direction of the SAR data. This allows for identification of the robust features in the imaged surface displacements. Along most of the rupture, fault displacements are sharp and linear, highlighting smooth and straight fault orientations with some bends. Both datasets appear to be consistent to first order ($\pm 1m$) in a 30 km wide area centered on the

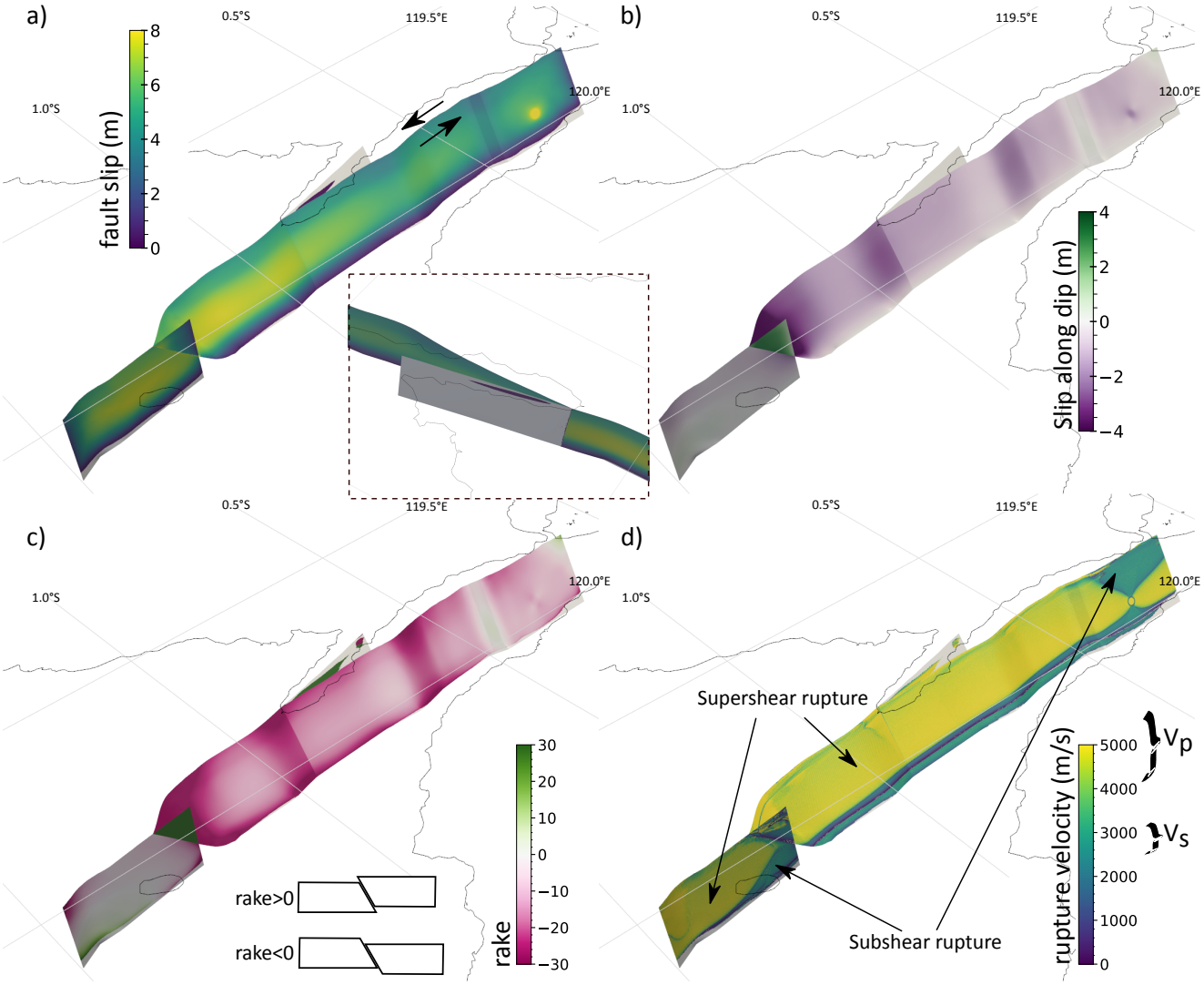

Fig. 4 Kinematic and dynamic source properties of the dynamic rupture scenario. (a) Final slip magnitude. The inset shows the slip magnitude on the main Palu-Koro-fault within the Bay. (b) Dip-slip component. (c) Final rake angle. (b) and (c) both illustrate a moderate normal slip component. (d) Maximum rupture velocity indicating pervasive supershear rupture.

fault and south of $-0.6°$ latitude, as identified in Fig. 6a. North of the Bay, the optical displacements are large in magnitude relative to the SAR measurements. Such large displacements continue north of the inferred rupture trace, suggesting a bias in the optical data in this region. These large apparent displacements may be due to partial cloud cover in the optical images or to image misalignment. The EW component seems unaffected by this problem. Significant differences between inferences from SAR and optical data are furthermore observed in the area near the Palu-Saluki bend. Thus, deviations between model synthetics and observational data in the the affected areas North of the Bay will be analyzed with caution.

Overall, the earthquake dynamic rupture scenario matches observed ground displacements well. East of the Palu segment, a good agreement between synthetic displacements and observations is achieved. Horizontal surface displacement vectors predicted by the model are well aligned with and of comparable amplitude to optical observations (Fig. 6a). West of the Palu segment, the modeled amplitudes are in good agreement with the SAR and optical data, however the synthetic orientations point to the southwest, whereas the optical data are oriented to the southeast. While surface displacement orientations around the Saluki segment are reproduced well, amplitudes may be overestimated by about 1 m on the eastern side of the fault (Fig. 6d). North of the Bay, the modeled amplitudes are exceed SAR measurements by about 2 m. Nevertheless, the subtle eastward rotation of the horizontal displacement vectors near the Northern

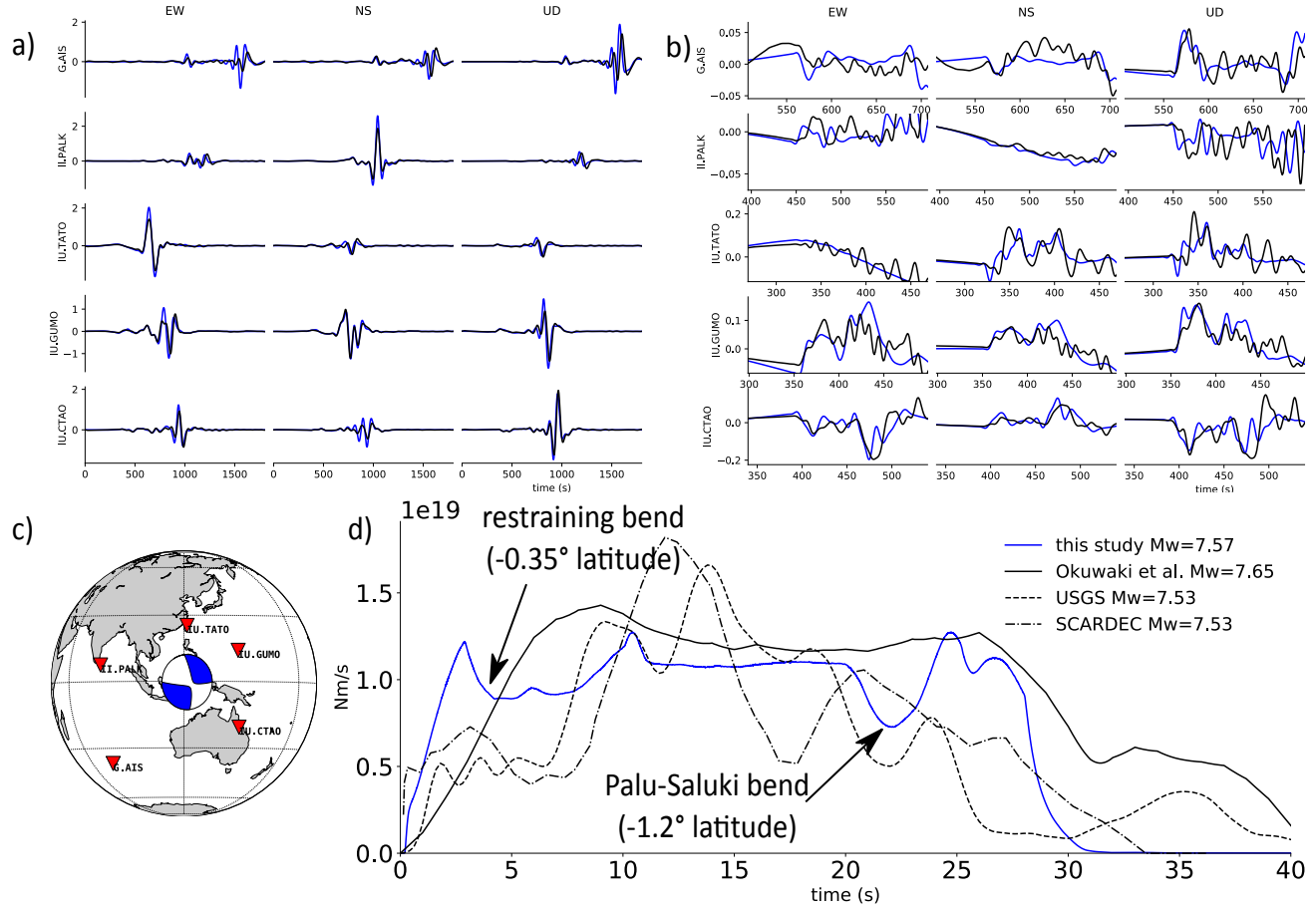

Fig. 5 (a) and (b): Comparison of modeled (blue) and observed (black) teleseismic displacement waveforms. A 10-450 s band-pass filter is applied to all traces. (a) Full seismograms dominated by surface waves. (b) Zoom in to body wave arrivals. Synthetics are generated using Instaseis (Krischer et al, 2017) and the PREM model including anisotropic effects and a maximum period of 2 s. (c) Moment-tensor representation of the dynamic rupture scenario and locations at which synthetic data are compared with observed records. (d) Synthetic moment rate release function compared with those observationally inferred from teleseismic data by Okuwaki et al (2018), USGS and by the SCARDEC method (optimal solution, Vallée et al, 2011)
.

segment bend (at $-0.35°$ latitude) is captured well by the scenario.

4.2 Tsunami propagation and inundation: an earthquake-induced tsunami

The surface displacements induced by the earthquake result in a bathymetry perturbation Δb (as defined in Eq. (1)), which is visualized after 50 s simulation time (equal to earthquake rupture time) in Fig. 7a. In general, the bathymetry perturbation shows subsidence east of the faults and uplift west of the faults. The additional bathymetry effect present through the approach of Tanioka and Satake (1996) locally modulates the smooth displacement fields from the earthquake rupture scenario (cf. Fig. S5). Four cross-sections of the final perturbation in W–E direction are shown in Fig. 7b which capture the area of Palu Bay and clearly show the step induced by the normal slip component. The variation along the fault is displayed in Fig. 7c. The step varies between 0.8 m and 2.8 m, with an average of 1.5 m. Note, that this step is essentially defined as fault throw in structural geology. However, here we explicitly incorporate effects of bathymetry and thus refer to the resulting seafloor perturbation.

The tsunami generated in this scenario is mostly localized in Palu Bay, which is illustrated in snapshots of the dynamically adaptive tsunami simulation after 20 s and 600 s simulation time in Fig. 8. This is expected as the modeled fault system is offshore only within the Bay. At 20 s, the seafloor displacement due to the earthquake is clearly visible in the sea surface height (ssh) within Palu Bay. Additionally, the effect of a small uplift is visible along the coast north of the Bay.The local behavior within Palu Bay is displayed in Fig. 9

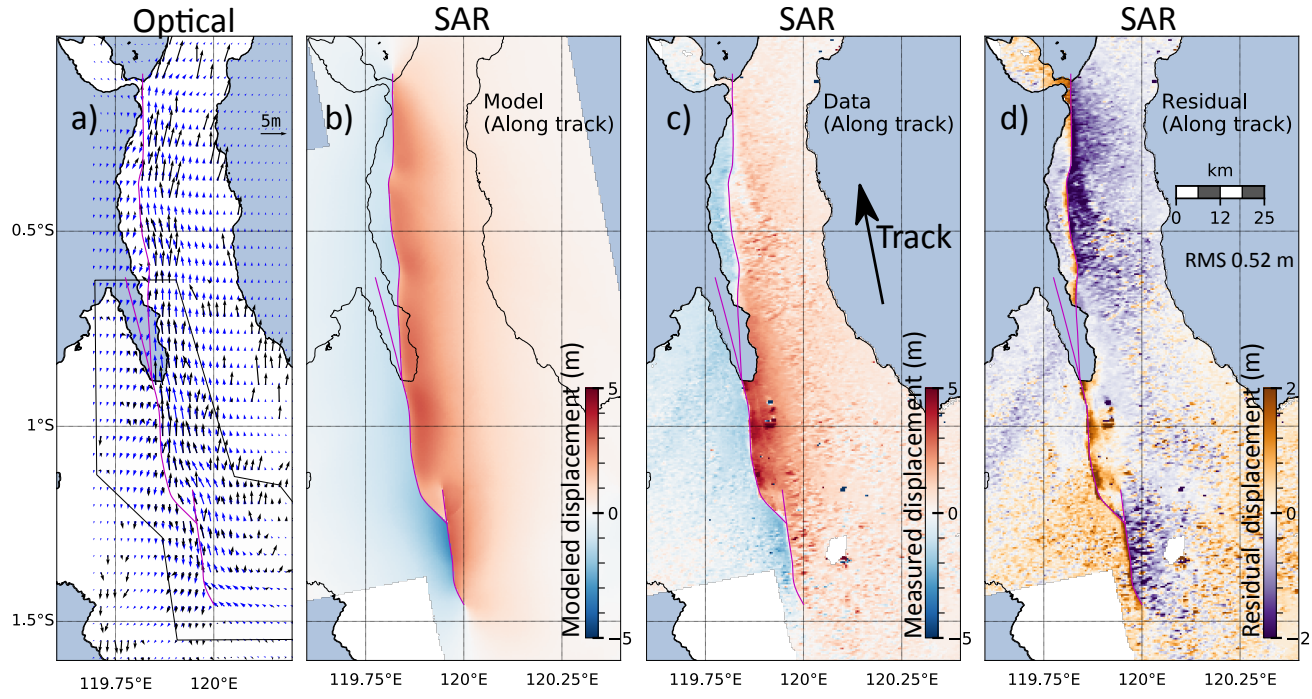

Fig. 6 (a) Comparison of the modeled and inferred horizontal surface displacements from subpixel correlation of Sentinel-2 optical images by De Michele (2018). Some parts of large inferred displacements, e.g., north of −0.5° latitude, are probably artifacts, because they are not visible in SAR data. The area inside the black polygon highlights where an at least first order agreement between SAR and optical data is achieved. Our (b) modeled and (c) measured ground displacements in the SAR satellite along-track direction (see text). (d) residual = (c) − (b).

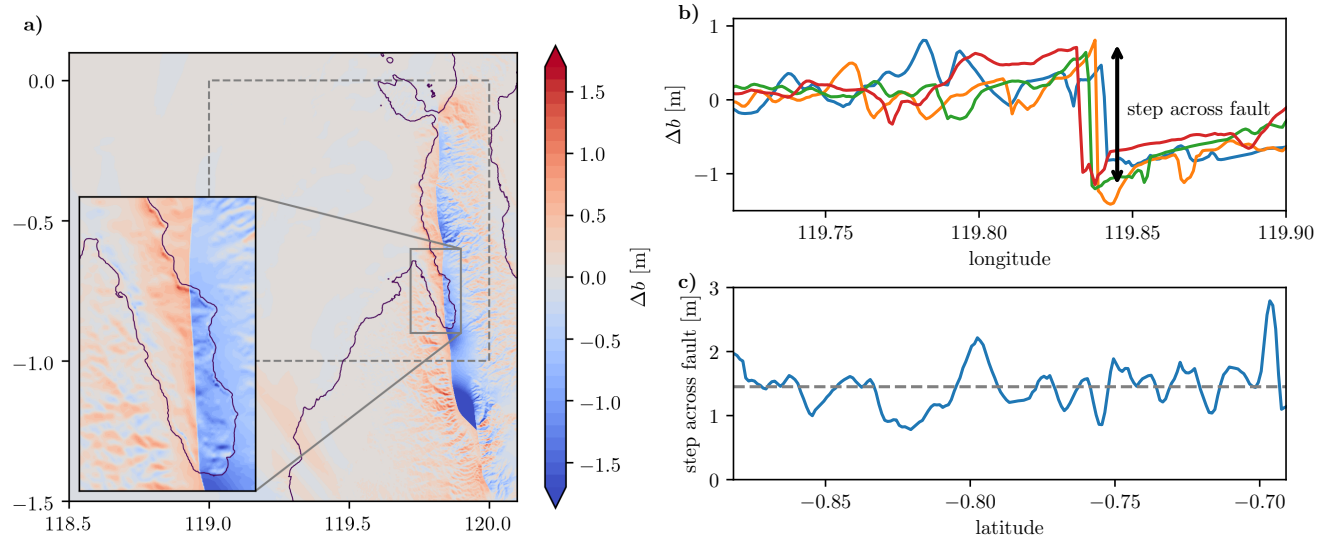

Fig. 7 (a) Snapshot of the computed bathymetry perturbation Δb used as input for the tsunami model. The snapshot corresponds to a 50 s simulation time at the end of the earthquake scenario. (b) W–E cross-sections of the bathymetry perturbation at −0.85° (blue), −0.8° (orange), −0.75° (green), −0.7° (red) latitude showing the induced step in bathymetry perturbation across the fault. (c) step in bathymetry perturbation (as indicated in panel (b)) as function of latitude. Grey dashed line shows the average.

at 20 s, 180 s and 300 s. The local extrema along the coast reveal the complex wave reflections and refractions within the Bay caused by complex, shallow bathymetry as well as funnel effects.

We compare the tsunami modeling results with observational data based mainly on the comprehensive overview of run-up data, inundation data, and arrival times of tsunami waves around the shores of the Palu Bay compiled by Yalciner et al (2018). In view of the available, relatively low resolution topography data, we conduct a macro-scale comparison between the scenario and the inundation data, rather than point-wise comparison. Additionally, we compare the synthetic time series of the Pantoloan harbor tide gauge at (119.856155°E, 0.71114°S) to the observational gauge data, which has a 1-minute sampling rate. The observational time series was detided by a low-pass filter eliminating wave periods above 2 hours.

The Pantoloan tide gauge is the only tide gauge with available data in Palu Bay. The instrument is installed on a pier in Pantoloan harbor and thus records the change of water height with respect to a pier moving synchronous with the land. It recorded the tsunami with a leading trough arriving five minutes after the earthquake onset time (Fig. 10). The first and highest wave arrived approximately eight minutes after the earthquake rupture time. The difference between trough and cusp amounts to almost 4 m. A second wave arrived after approximately 13 minutes with a preceding trough at 12 minutes.

The corresponding synthetic time series derived from the tsunami scenario is also shown in Fig. 10. Although a leading wave trough is not present in the scenario results, the magnitude of the wave is well captured. Note, that the initial negative shift of approx. -80 cm within the first minute of the scenario is a modeling artefact that we explain hereafter in Sec. 5.3. It cannot be easily filtered out, due to re-adjustments throughout the computation to the background mean sea level. After 5 min of simulated time, the model mareogram resembles the measured wave behavior, characterized by a dominant wave period of about 4 min. The scenario exposes a clear resonating wave behavior due to the narrow geometry of the Bay. We note that these wave amplitudes are reproduced due to displacements resulting from the earthquake, without any contribution from landsliding.

Fig. 11 displays the maximum run-up obtained from the tsunami scenario at locations where observations have been reported around the Bay. We consider only those points on land that are reached by water in the scenario. A quantitative view comparing these same results with observations is shown in Fig. 12. The overall agreement is quite remarkable, with some overestimation of the run-up in the northern margins of the bay and some slight underestimation in the southern part near Grandmall Palu City. In general, errors are lower than 10%. What we can conclude is that large misfit in the run-up heights are more or less randomly distributed, suggesting local amplification effects that cannot be captured in the scenario due to insufficient bathymetry/topography resolution. Fig. 13 shows maximum inundation depths computed from the tsunami scenario near Palu City. Qualitatively, the results from the scenario agree quite well with observations, as the largest inundation depths are close to the Grandmall area, where vast damage due to the tsunami was reported.

In summary, the tsunami scenario sourced by coseismic displacements from the dynamic earthquake rupture scenario yields results that are qualitatively comparable to available observations. Wave amplitudes match well, as do the run-up distribution and the inundation distances, given the limited quality of the available topography data.

5 Discussion

The Palu, Sulawesi tsunami was as unexpected as it was devastating. While the Palu-Koro fault system was known as a very active strike-slip plate boundary tsunamis from strike-slip events are generally not anticipated. Fears arise that other regions, currently not expected to sustain tsunami-triggering ruptures, are at risk. The here presented physics-based, coupled earthquake-tsunami model shows that a submarine strike-slip fault can produce a tsunami, if a component of dip-slip faulting occurs. In the following, we discuss advantages and limitations of physics-based models of tsunamigenesis as well as of the earthquake and tsunami model individually. We then focus on the broader implications of rapid coupled scenarios for seismic hazard mitigation and response. Finally, we look ahead to improving the here presented coupled model in light of newly available information and data.

5.1 Success and limitation of the physics-based tsunami source

We constrain the initial conditions for our coupled model according to the available earthquake data and physical constraints provided by previous studies, including those reporting regional transtension (Walpersdorf et al, 1998; Socquet et al, 2006; Bellier et al, 2006). A stress field characterized by transtension induces a normal component of slip on the dipping faults in the earthquake scenario. The here assumed degree of transtension

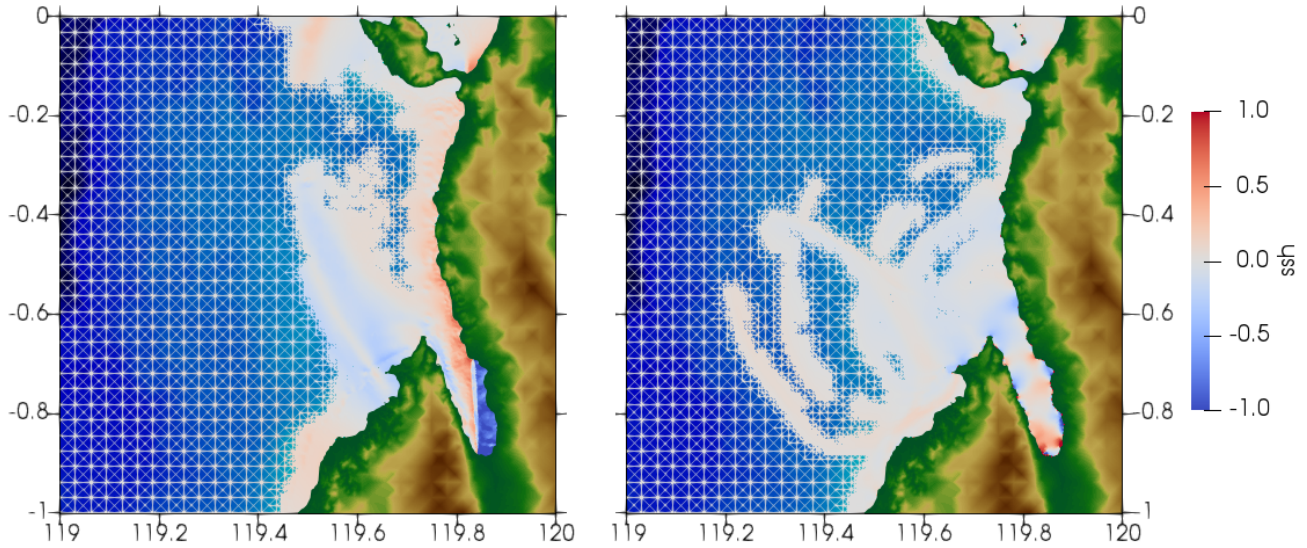

Fig. 8 Snapshots of the tsunami simulation at 20 s (left) and 600 s (right), showing the dynamic mesh adaptivity of the simulation.

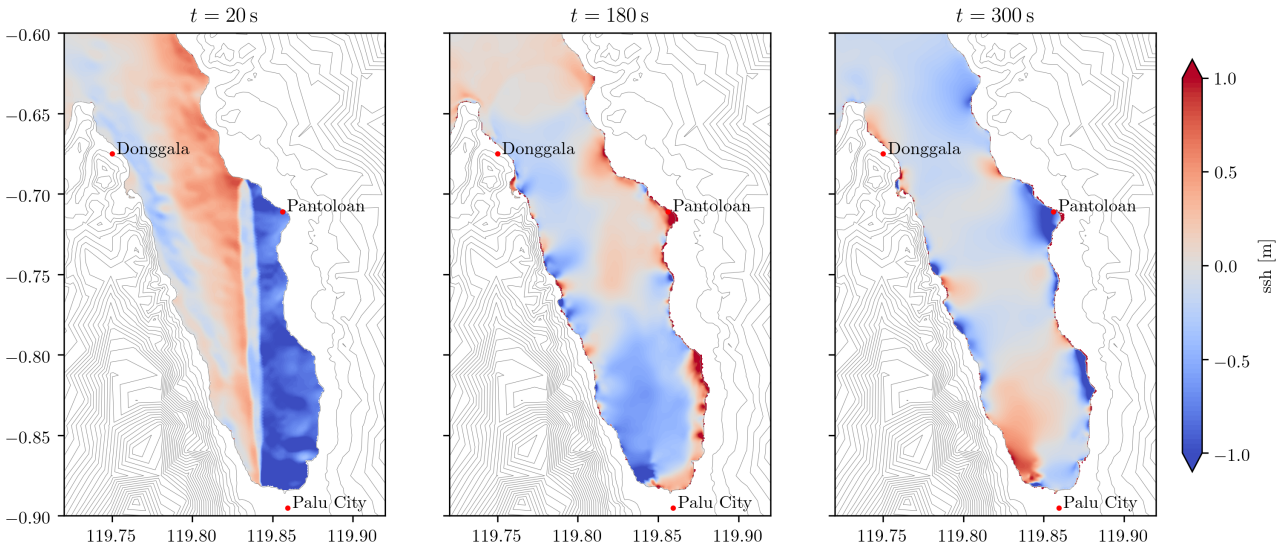

Fig. 9 Snapshots of the tsunami simulation at 20 s, 180 s and 300 s (left to right), showing only the area of Palu Bay.

translates into a fault slip rake of about 15° on the 65° dipping modeled faults (Fig. 4c), which is consistent with the earthquake focal mechanism (USGS, 2018a).

The such induced normal slip component results in widespread uplift and subsidence. Fault surface rupturing generates a step in the bathymetry across the fault of 1.5 m in average within Palu Bay, which translates into a step in the bathymetry perturbation of similar magnitude. (Fig. 7c). This is sufficient for triggering a realistic tsunami that reproduces the observational data quite well. In particular it is enough to obtain the observed wave amplitude at the Pantoloan harbor wave gauge and the recorded run-up heights.

However, we point out that transtension is not an indispensable condition to generate oblique faulting in such a fault network. From static considerations, we indeed infer that specific alternative stress orientations can equally induce a considerable dip-slip component in biaxial stress regimes (Fig. S3).

The coupled earthquake model performs well at reproducing observations from a macroscopic perspective and suggests that additional sources of tsunami generation are not needed to explain the tsunami. However, it does not constrain the small-scale features of the tsunami source and thus does not allow to completely

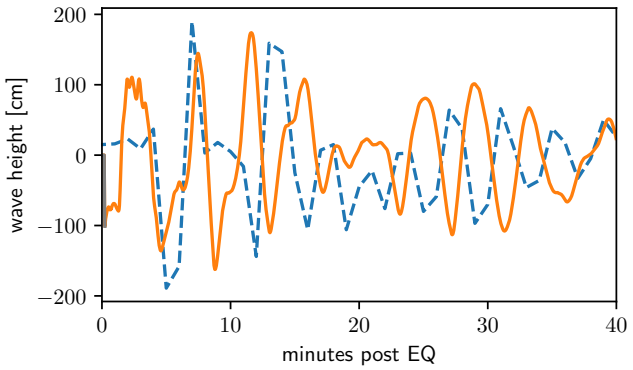

Fig. 10 Time series from the wave gauge at Pantoloan port. Blue dashed: measurements, orange: output from the model scenario.

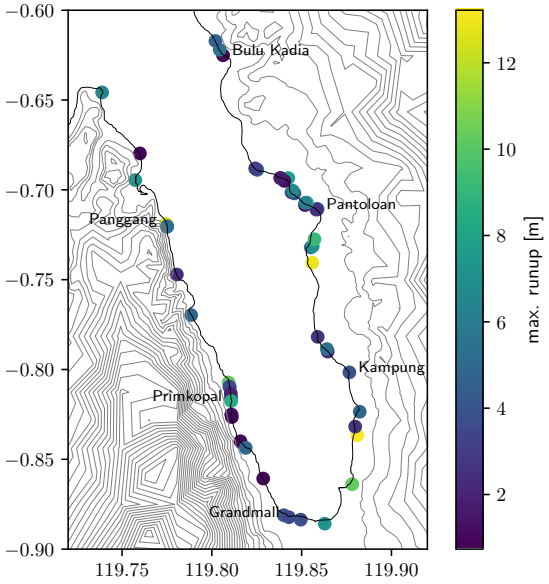

Fig. 11 Maximum simulated run-up at different locations around Palu Bay, where observations have been recorded.

rule out other, potentially additional, sources of tsunami generation.

For example, despite the overall consistency of the earthquake scenario results with data, the fault within the Bay may have hosted a different or more complicated slip profile than this scenario produces. The fault geometry underneath the Bay is not known. We here choose a simple geometry that honors the information at hand (see Sec. 3.2.2). However, complex faulting may also exist there, as observed south of the Bay where slip partitioning between minor dip-slip fault strands and the primary rupture occurred (Socquet et al, 2019). Furthermore, a less smooth fault geometry in the Northern region, closely fitting inferred fault traces, may allow reducing fault slip locally, and therefore better fitting ground displacement observations in the North.

Finally, incorporating the effect of landslides is likely to be necessary to capture local features of the tsunami wave and inundation patterns. Constraining these sources is very difficult without pre- and post-event high-resolution bathymetric charts. Our study suggests that these sources play a secondary role in explaining the overall tsunami magnitude and wave patterns, since these can be generated by strike-slip faulting with a normal slip component.

5.2 The Sulawesi earthquake scenario

The speed of this earthquake is of utmost interest, although it does not provide an important contribution to the tsunami generation in this scenario. We review our results here and note avenues for additional modeling. The initial stress state and lithology included in the physical earthquake model are areas that could be improved with more in-depth study and better available data.

The dynamic earthquake model requires supershear rupture velocities to produce results that agree with the teleseismic data and moment rate function. This scenario also provides new perspectives on the possible timing and mechanism of this supershear rupture. Bao et al (2019) infer an average rupture velocity of about 4 km/s from back-projection. This speed corresponds to a barely stable mechanical regime, which is interpreted as being promoted by a damage zone around the mature Palu-Koro fault that formed during previous earthquakes.

In contrast, our earthquake scenario features an early and persistent rupture velocity of 5 km/s on average, close to P-wave speed. Supershear rupture speed is enabled in our model by a relatively low fault strength and triggered immediately at rupture onset by a highly overstressed nucleation patch. Supershear transition is enabled and enhanced by high background stresses (or more generally, low ratios of strength excess over stress drop) (Andrews, 1976). The so called transition distance, the rupture propagation distance at which supershear rupture starts to occur, also depends on nucleation energy (Dunham, 2007; Gabriel et al, 2012, 2013). Observational support for the existence of a highly stressed nucleation region arises from the series of foreshocks that occurred nearby in the days before the mainshock, including a M_w 6.1 on the same day of the mainshock.

We conducted numerical experiments reducing the level of overstress within the nucleation patch, reaching a critical overstress level at which supershear is not anymore triggered immediately at rupture onset. These

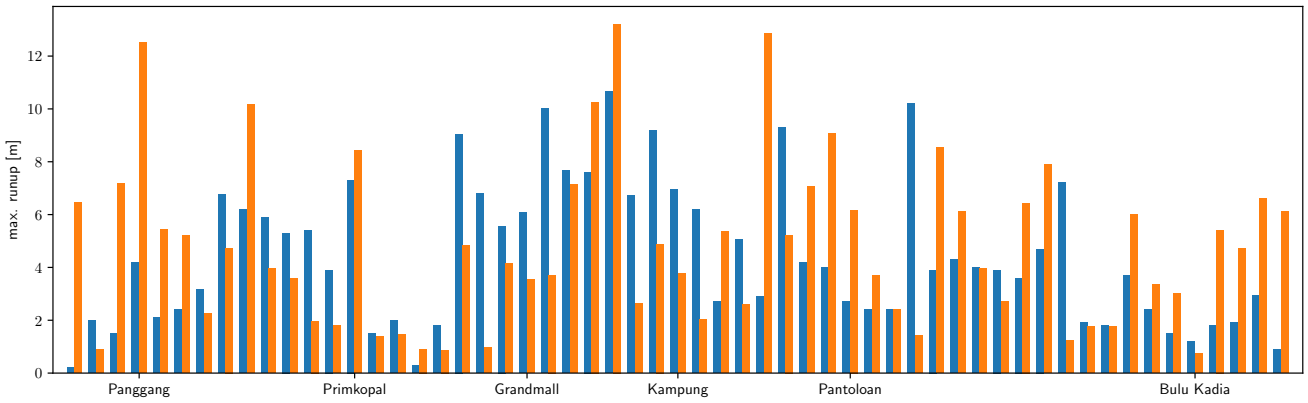

Fig. 12 Maximum run-up from observations (blue) and simulation (orange) at different locations around Palu Bay (left to right: around the Bay from the northwest to the south to the northeast, see Fig. 11 for locations).

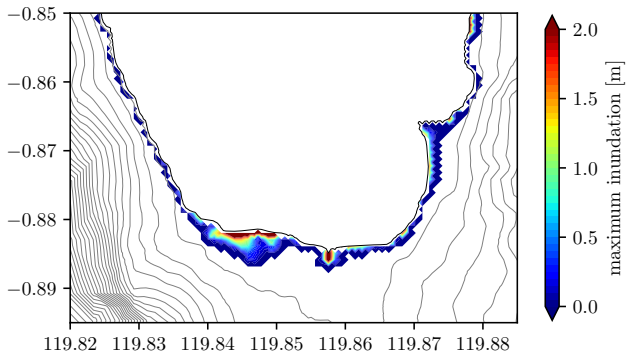

Fig. 13 Maximum inundation computed from the tsunami scenario near Palu City.

alternative models initiate at subshear rupture speeds and never transition to supershear. Importantly, these slower earthquake scenarios do not reproduce our observational constraints, specifically teleseismic waveforms and moment release rate.

Stress and/or strength variations due, for example, to variations in tectonic loading, stress release by previous earthquakes, or local material heterogeneities are expected, but poorly constrained and therefore not included in our dynamic rupture model. Accounting for such features in relation to long term deformation can distinctly influence the stress field and lithological contrasts (e.g., van Dinther et al, 2013; Dal Zilio et al, 2018, 2019; Preuss et al, 2019; D'Acquisto et al, 2018; van Zelst et al, 2019). Realistic initial conditions in terms of stress and lithology are shown to significantly influence the dynamics of individual ruptures (Lotto et al, 2017a; van Zelst et al, 2019). Specifically, different fault stress states for the Palu and the Northern fault segments are possible, since the Palu-Koro fault acts as the regional plate-bounding fault that likely experiences increased tectonic loading (Fig. 1a). The introduction of self-consistent, physics-based stress and strength states could be obtained by coupling this earthquake-tsunami framework to geodynamic seismic cycle models (e.g., van Dinther et al, 2013, 2014), as done in Gabriel et al (2018). However, in light of an absence of data or models justifying the introduction of complexity, we here use the simplest option with a laterally homogeneous stress field that honors the regional scale transtension.

We also note that the earthquake scenario is dependent on the subsurface structure model (e.g., Lotto et al, 2017a; van Zelst et al, 2019). The local velocity model of Awaliah et al (2018) is of limited resolution within the Palu area, since only one of the used stations allows illuminating this region. Despite the strong effects of data regularization, this is to our knowledge the most detailed data set characterizing the subsurface in the area of study.

5.3 The Sulawesi tsunami scenario

Overall, the tsunami model shows good agreement with available key observations. Wave amplitudes and periods at the only available tide gauge station in the Bay match well. Run-up and inundation data from our model show satisfactory agreement with the observations by international survey teams (Yalciner et al, 2018).

Apart from the above discussed earthquake model limitations that may influence the tsunami characteristics, the following additional reasons may cause deviations to tsunami observations: (a) insufficiently accurate bathymetry/topography data; (b) simplified coupling between earthquake rupture and tsunami scenarios; (c) approximation by hydrostatic shallow water wave theory. In the following we will briefly discuss these topics.

The insufficient resolution of the bathymetry and topography datasets may prevent us from properly capturing local effects, which may dominate some tsunami and inundation observations. In fact, subtle features such as a wall or dam, a small inlet of a few meters width, rocks or submarine obstacles can strongly modulate the water wave locally. These effects cannot be accounted for in our computation based on a relatively coarse bathymetry/topography data set of about 190 m resolution.

The accuracy of the tsunami model may also be affected by the simplification underlying the shallow water equations. In particular, a near-field tsunami within a narrow bay may be affected by large bathymetry gradients. In the shallow-water framework, all three spatial components of the ground displacements generated by the earthquake model cannot be properly accounted for. In fact, a direct application of a horizontal displacement to the hydrostatic (single layer) shallow water model would lead to unrealistic momentum in the whole water column. Additionally, all bottom movements are immediately and directly transferred to the whole water column, since we model the water wave by (essentially 2D) shallow water theory. In reality, an adjustment process takes place. The large bathymetry gradients may also lead to non-hydrostatic effects in the water column, which cannot be neglected. Suitable numerical discretizations are underway (e.g., Jeschke et al, 2017), and should be tested to quantify the influence of such effects in realistic situations such as the Sulawesi event.

We account for the effect of the horizontal seafloor displacements by applying the method proposed by Tanioka and Satake (1996). We observe only minor differences in the modeled water waves when including the effect of the horizontal ground displacements (see Fig. 9, 13, S7 and S8). We thus conclude that vertical ground displacements are the primary cause of the tsunami.

A modeling artefact is visible in the synthetic marеogram at Pantaloan wave gauge, directly after the earthquake (Fig. 10). About 80 cm of ground subsidence is imprinted on the synthetic data, but not visible in the observed signal. This is the direct effect of the subsidence at Pantoloan (cf. Fig. 7 and Fig. S2). We cannot remove this shift from the time series, since the tsunami model includes a background mean sea level, to which it readjusts throughout the computation. On the other hand, the tide gauge at Pantaloan is not sensitive to a possible uplift or subsidence at that site. In fact, the instrument and the water surface are displaced jointly during an earthquake, and therefore the distance between them remains fixed.

5.4 Advantages and outcome of a physics-based coupled model

By capturing dynamic slip evolution that is consistent with the fault geometry and the regional stress field, the dynamic rupture model produces mechanically consistent ground deformation, even in submarine areas where space borne imaging techniques are blind. These seafloor displacement time-histories, which include the influence of seismic waves, in nature contribute to source the tsunami and are utilized as such in this coupled framework. However, the earthquake-tsunami coupling is not physically seamless. For example, as noted above, seismic waves cannot be captured using the shallow water approach, but rather require a non-hydrostatic water body (e.g. Lotto et al, 2018). However, the coupled system remains mechanically consistent to the order of the typical spatio-temporal scales governing tsunami modeling. Thus, a physics-based, coupled model is well-posed to shed light on the mechanisms and competing hypotheses governing earthquake-tsunami sequences as puzzling as the Sulawesi event.

The use of a dynamic rupture earthquake source has distinct contributions relative to the standard finite-fault inversion source approach, which is typically used in tsunami models. The latter enables close fitting of observations through the use of a large number of free parameters. Despite recent advances (e.g., Shimizu et al, 2019), kinematic models typically need to pre-define fault geometries. Naive first-order finite-fault sources are automatically determined after an earthquake and this can be done quickly (e.g. by USGS or GFZ German Research Centre for Geoscience), which is a great advantage. Models can be improved later on by including new data and more complexity. However, kinematic models are characterized by inherent non-uniqueness and do not ensure mechanical consistency of the source (e.g., Mai et al, 2016). The physics-based model also suffers from non-uniqueness, but this is reduced, since it excludes scenarios that are not mechanically viable.

These advantages and the demonstrated progress potentially make physics-based, coupled earthquake-tsunami modeling an important tool for seismic hazard mitigation and rapid earthquake response. We facilitate rapid modeling of the earthquake scenario by systematically defining a suitable parameterization for the regional and fault-specific characteristics. We use a pre-established, efficient algorithm, based on physical relationships between parameters, to assign the ill-constrained stress state and strength on the fault using a few trial simulations (Ulrich et al, 2019). This limits the required input parameters to subsurface structure, fault structure, and four parameters governing the

stress state and fault conditions. This enables rapid response in delivering physics-driven interpretations that can be integrated synergistically with established data-driven efforts within the first days and weeks after an earthquake.

5.5 Looking forward

The coupled model presented here produces a realistic scenario that agrees with key characteristics of available earthquake and tsunami data. However, future efforts will be directed toward improving our model as new information on fault structure or displacements within the Bay or additional tide gauge measurements become available.

In addition, different earthquake models varying in their fault geometry or in the physical laws governing on- and off-fault behavior can be utilized in further studies of the influence of earthquake characteristics on tsunami generation and impact.

Our model provides high resolution synthetics of, e.g., ground deformation in space and time. These predictions can be readily compared to observational data yet to be made available to the scientific community. We provide this in Appendix Sec. 8.2.

Spatial variations of regional stress and fault strength could be constrained in the future by tectonic seismic cycle modeling capable of handling complex fault geometries. Future dynamic earthquake rupture modeling may additionally explore how varying levels of preexisting and coseismic off-fault damage affect the rupture speed specifically and rupture dynamics in general.

Future research should also be directed towards an even more realistic coupling strategy together with an extended sensitivity analysis on the effects of such coupling. This, e.g., requires the integration of non-hydrostatic extensions for the tsunami modeling part (Jeschke et al, 2017) into the ASCETE coupling framework .

6 Conclusions

We present a coupled, physics-based scenario of the 2018 Palu, Sulawesi earthquake and tsunami, which is constrained by rapidly available observations. We demonstrate that coseismic oblique-slip on a dipping strike-slip fault produces a vertical step across the submarine fault segment of 1.5 m on average in the tsunami source. This is sufficient to produce reasonable tsunami amplitude and wave run-up. The critical normal-faulting component results from transtension, prevailing in this region, and the fault system geometry.

The fully dynamic earthquake model captures important features, including the timing and speed of the rupture, 3D geometric complexities of the faults, and the influence of seismic waves on the rupture propagation. We find that an early-onset of supershear rupture speed, sustained for the duration of the rupture across geometric complexities, is required to match a range of far-field and near-fault observations.

The modelled tsunami amplitudes and wave run-ups agree with observations within the range of modeling uncertainties dominated by the available bathymetry and topography data.We conclude that the primary tsunami source may have been coseismically generated vertical displacements. However, in a holistic approach aiming to match high-frequency tsunami features, local effects such as landsliding, non-hydrostatic wave effects, and high resolution topographical features should be included.

The coupling of physics-based models, as tackled within the ASCETE framework, is specifically useful to assess tsunami hazard in tectonic settings currently underrepresented in operational hazard assessment. We demonstrate that high-performance computing empowered dynamic rupture modeling produces well-constrained studies integrating source observations and earthquake physics very quickly after an event occurs. In the future, such physics-based earthquake-tsunami response can complement both on-going hazard mitigation and the established urgent response tool set.

7 Acknowledgements

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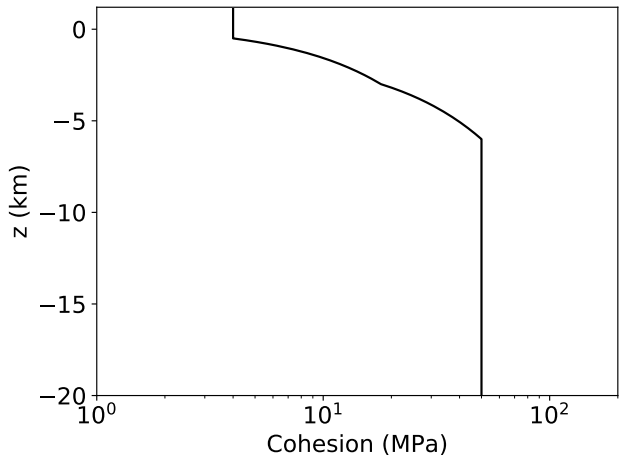

Fig. S1 Depth dependence of cohesion in the off-fault plastic yielding criterion

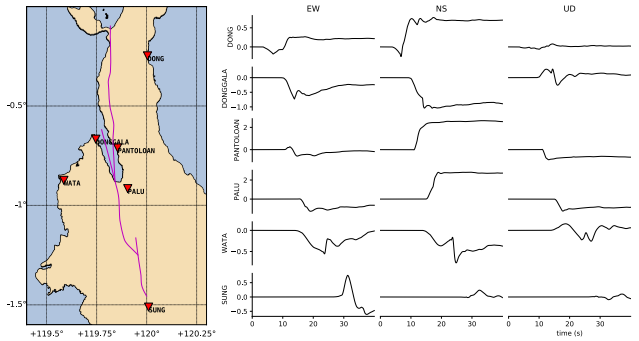

Fig. S2 (a) Locations of known geodetic observation sites for which we provide synthetic ground displacement time series. (b) Synthetic unfiltered time-dependent ground displacement in meters at selected locations.

8 Appendix

8.1 Off-fault plasticity

We account for the possibility of off-fault energy dissipation, by assuming a Drucker-Prager elasto-viscoplastic rheology (Wollherr et al, 2018b). The model is parameterized similarly as in Ulrich et al (2019). The internal friction coefficient is set equal to the reference fault friction coefficient (0.6). Similarly, off-fault initial stresses are set equal to the depth-dependent initial stresses prescribed on the fault. The relaxation time T_v is set at 0.05 s. Finally, the cohesion is assumed depth dependent (see Fig. S1) to account for the tightening of the rock structure with depth.

8.2 Displacement time histories

Many high-rate GNSS stations have recorded the Palu event in the near field (Simons et al, 2018). Nevertheless, these data are not yet available. In Figure S2, we provide the displacements time histories at a few of these sites. We hope future access to this data will provide further constraints to our model.

8.3 Initial stress

In this section, we detail the initial stress parametrization, presented in general terms in 3.2.

The fault system is loaded by a laterally homogeneous regional stress regime. Assuming an Andersonian stress regime, where $s_1 > s_2 > s_3$ are the principal stresses and s_2 is vertically oriented, the stress state is fully characterized by four parameters: $SH_{\max}$, ν, R_0 and γ. $SH_{\max}$ is the azimuth of the maximum horizontal compressive stress; ν is a stress shape ratio balancing the principal stress amplitudes; R_0 is a ratio describing the relative strength of the faults; and γ is encapsulating fluid pressure.

The World Stress Map (Heidbach et al, 2018) constrains $SH_{\max}$ to the range of $120 \pm 15°$. The stress shape ratio $\nu = (s_2 - s_3)/(s_1 - s_2)$ allows characterizing the stress regime: $\nu \approx 0.5$ indicates pure strike-slip, $\nu > 0.5$ indicates transtension and $\nu < 0.5$ indicates transpression. A transtensional regime is suggested by geodetic studies (Walpersdorf et al, 1998; Socquet et al, 2006), fault kinematic analyses from field data (Bellier et al, 2006), and by the USGS focal mechanism of the mainshock, which clearly features a normal faulting component. However, the exact value of ν is not constrained.

The fault prestress ratio R_0 describes the closeness to failure of a virtual, optimally oriented plane according to Mohr-Coulomb theory (Aochi and Madariaga, 2003). On this virtual plane, the Coulomb stress is maximized. Optimally oriented planes are critically loaded when $R_0 = 1$. Faults are typically not optimally oriented in reality. In a dynamic rupture scenario, only a small part of the modeled faults need to reach failure in order to nucleate sustained rupture. Other parts of the fault network can break cascadingly even if well below failure before rupture. The propagating rupture front raises the local shear tractions to match fault strength locally.

We assume fluid pressure γ throughout the crust is proportional to the lithostatic stress: $P_f = \gamma\sigma_c$, where γ is the fluid-pressure ratio and $\sigma_c = \rho g z$ is the lithostatic pressure. A fluid pressure of $\gamma = \rho_{\text{water}}/\rho = 0.37$ indicates purely hydrostatic pressure. Higher values correspond to overpressurized stress states. Together, R_0

and γ control the average stress drop $d\tau$ in the dynamic rupture model as:

$$d\tau \sim (\mu_s - \mu_d) R_0 (1-\gamma)\sigma_c. \quad (2)$$

The such prescribed average stress drop $d\tau$ is a critical characteristic of our model, controling the average fault slip, rupture speed and rupture size.

Following Ulrich et al (2019), we can evaluate different stress and strength initial settings using purely static considerations. By varying the stress parameters within their observational constrains we compute the distribution of the relative prestress ratio R and of the shear traction orientation resolved on the fault system for each configuration. R is defined by:

$$R = (\tau_0 - \mu_s \sigma_n)/((\mu_s - \mu_d)\sigma_n) \ , \quad (3)$$

where τ_0 and σ_n are the initial shear and normal tractions resolved on the fault plane and μ_s and μ_d are the static and dynamic fault friction assigned in the model.

We can characterize the spatially variable fault strength in our model by calculating R (Eq. (3)) at every point on each fault (Fig. S3 and S4). By definition, R is always lower or equal to R_0, since the faults are not necessary optimally oriented.

We then select the stress configuration that maximizes R across the fault system, especially around rupture transition zones to enable triggering, and that represents a shear stress orientation compatible with the inferred ground deformations and the inferred focal mechanisms.

Our purely static considerations suggest that a transtensional regime is required to achieve a favourable stress orientation on the fault system. In fact, we see that a biaxial stress regime ($\nu = 0.5$) does not resolve sufficient shear stress simultaneously on the main north-south striking faults and on the Palu-Saluki bend (see Fig. S3). Dynamic rupture experiments confirm that the Saluki fault could not be triggered under such a stress regime. On the other hand, such optimal configuration can be achieved by a transtensional stress state, for instance by choosing $\nu = 0.7$ and $SH_{\max}$ in the range 125 to 135° (see fig. S4). We choose $SH_{\max} = 135°$, which allows for nucleation with less overstress than lower values and generates ruptures with the expected slip orientations and magnitudes.

The here assumed fault system does not feature pronounced geometrical barriers apart from the Palu-Saluki bend. As a consequence, R_0 is actually poorly constrained, and trade-offs between R_0 and γ are expected. The preferred, realistic model is characterized by $R_0 = 0.7$ and $\gamma = 0.79$. This results in an effective confining stress $(1-\gamma)\sigma_c$ that increases with depth by a gradient of 5.5 MPa/km.

Table S1 Fault frictional properties assumed in this study.

Direct-effect parameter	a	0.01
Evolution-effect parameter	b	0.014
Reference slip rate	V_0	10^{-6} m/s
Steady-state low-velocity friction coefficient at slip rate V_0	f_0	0.6
Characteristic slip distance of state evolution	L	0.2 m
Weakening slip rate	V_{w}	0.1 m/s
Fully weakened friction coefficient	f_{w}	0.1
Initial slip rate	V_{ini}	10^{-16} m/s

8.4 Friction law

We here use a form of fast-velocity weakening friction proposed in the community benchmark problem TPV104 of the Southern California Earthquake Center (Harris et al, 2018) and as parameterized by Ulrich et al (2019). Friction drops rapidly from a steady-state, low-velocity friction coefficient, here $f_0 = 0.6$, to a fully weakened friction coefficient, here $f_w = 0.1$ (see Table S1).

8.5 Horizontal displacements as additional tsunami source

For computing the seafloor displacement used as source for the tsunami model, we apply the method of Tanioka and Satake (1996) to additionally account for horizontal displacements, computed from the earthquake simulation. The final states of the three components Δx,Δy and Δz are given in Fig. S5. Applying the approach of Tanioka and Satake by using Eq. (1) the vertical displacement translates into Δb, which is given in Fig. 7. The difference between Δz and Δb locally amounts up to 0.6m as shown in Fig. S6. Although this difference is quite remarkable and compared to the overall magnitude more than 30%, it is only very local. Due to the local bathymetry of Palu bay it also not only amplifies the displacement, but also diminishes it at some locations.

The local influence of the method by Tanioka and Satake (1996) can be seen by comparison to the results section. We have run a similar simulation as described in the main part of the paper, but with the computed seafloor displacement Δz as source for the tsunami model. Snapshots of this scenario in Palu Bay can be seen in Fig. S7. Compared to the original scenario (cf. Fig. 9) only local effects are visible, especially at points along the coast. The maximum inundation at Palu city is given for this alternative scenario in Fig. S8. Again, only minor differences appear compared to the

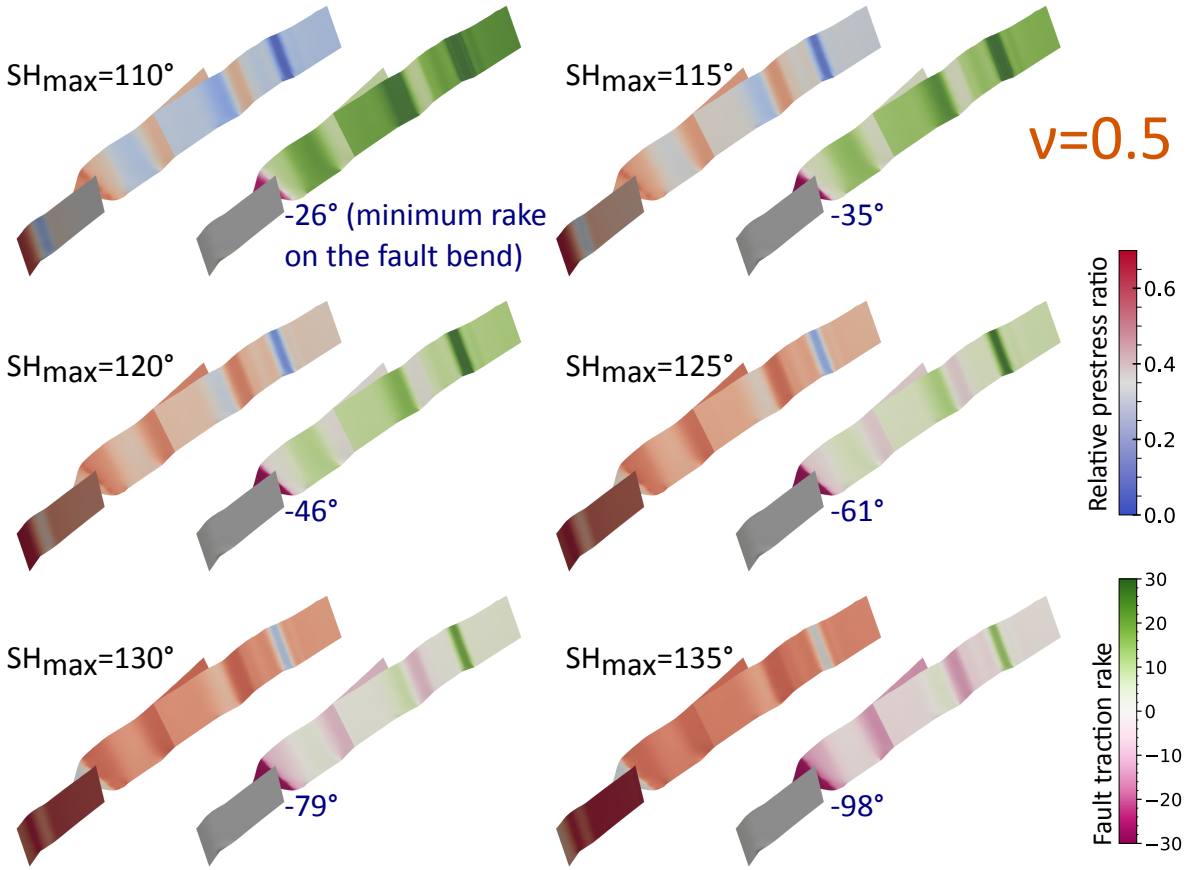

Fig. S3 Magnitude and rake of prestress resolved on the fault system for a range of plausible $SH_{\max}$ values, assuming a stress shape ratio $\nu = 0.5$ (pure-shear). For each stress state , we show the spatial distribution of the pre-stress ratio (left) and the rake angle of the shear traction (right). Here we assume $R_0 = 0.7$ on the optimal plane, which results in $R < R_0$ for all faults since these are not optimally oriented. In blue, we label the (out-of-scale) minimum rake angle on the Palu-Saluki bend.

computation which includes horizontal displacements in the source (cf. Fig. 13). This illustrates that the method by Tanioka and Satake (1996) might be important to capture some local effects of the tsunami, but is not crucial for the general result, which is also confirmed by other studies (Heidarzadeh et al, 2018).

8.6 Along-track SAR measurements

We here describe our measurements of the final coseismic surface displacements in along-track direction from SAR images acquired by the Japan Aerospace Exploration Agency (JAXA) Advanced Land Observation Satellite-2 (ALOS-2) SAR. We measure along-track pixel offsets incoherent cross correlation of ALOS-2 stripmap SAR images acquired along ascending path 126 on 2018/08/17 and 2018/10/12 and ascending path 127 on 2018/08/08 and 2018/10/03. We used modules of the InSAR Scientific Computing Environment (ISCE) (Liang and Fielding, 2017; Rosen et al, 2012) for ALOS-2 SAR data processing.

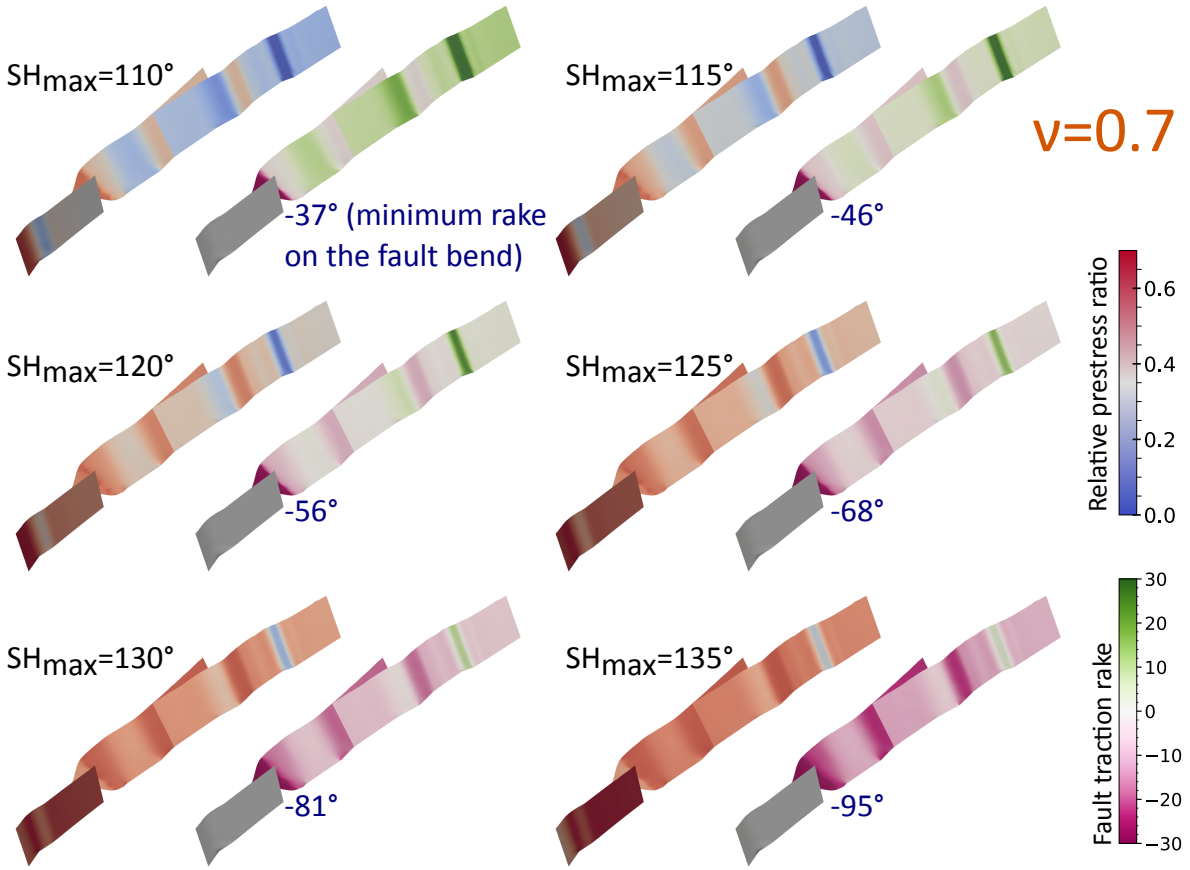

Fig. S4 Same as Fig. S3, but assuming a stress shape ratio $\nu = 0.7$ (transtension).

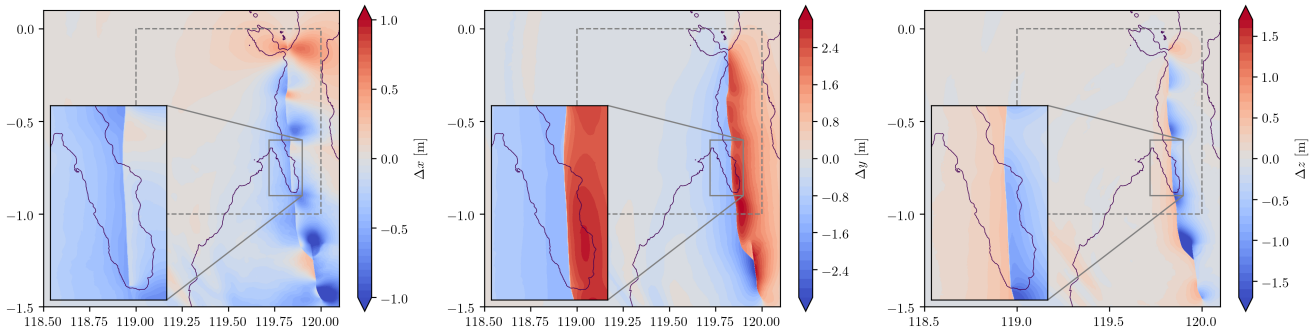

Fig. S5 Final horizontal (Δx and Δy) and vertical (Δz) surface displacements as computed by the earthquake model.

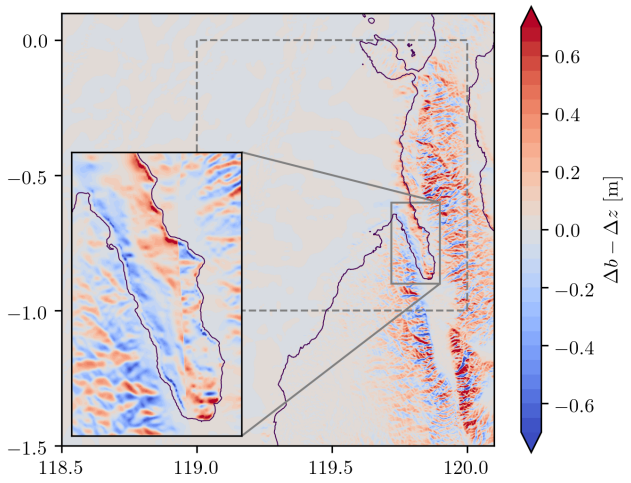

Fig. S6 The contribution $\Delta b - \Delta z$ of horizontal displacements to the final bathymetry perturbation, following Tanioka and Satake (1996)

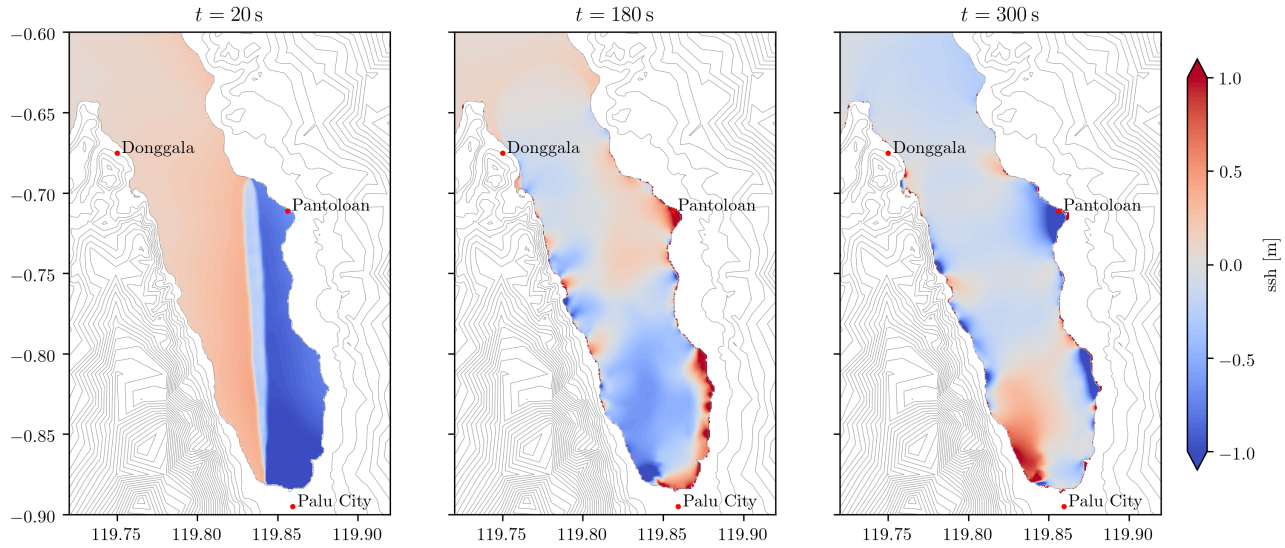

Fig. S7 Snapshots at 20 s, 180 s, and 300 s of the tsunami scenario using only the vertical displacement Δz from the rupture simulation as source for the tsunami model.

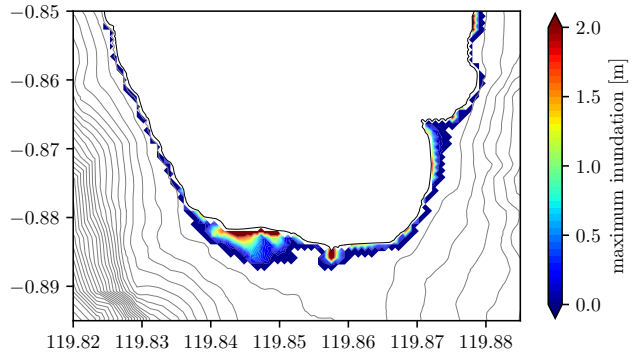

Fig. S8 Computed maximum inundation at Palu City using only the vertical displacement Δz from the rupture simulation as source for the tsunami model.