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Authors' information:

Xiao Ma: xiaoma5@illinois.edu, Ahmed E. Elbanna: elbanna2@illinois.edu

Department of Civil and Environmental Engineering, University of Illinois at Urbana-Champaign, Illinois, US

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Xiao Ma ^{*1} and Ahmed Elbanna¹

¹Department of Civil and Environmental Engineering, University of Illinois,
Urbana-Champaign, Illinois, USA

Abstract

An active fault zone is home to a plethora of complex structural and geometric features that are expected to affect earthquake rupture nucleation, propagation, and arrest, as well as interseismic deformation. Simulations of these complexities have been largely done using continuum plasticity or scalar damage theories. In this paper, we use a highly efficient novel hybrid finite element-spectral boundary integral equation scheme to investigate the dynamics of fault zones with small scale pre-existing branches as a first step towards explicit representation of anisotropic damage features in fault zones. The hybrid computational scheme enables exact near-field truncation of the elastodynamic field allowing us to use high resolution finite element discretization in a narrow region surrounding the fault zone that encompasses the small scale branches while remaining computationally efficient. Our results suggest that the small scale branches may influence the rupture in ways that may not be realizable in homogenized continuum models. Specifically, we show that these short secondary branches significantly affect the post event stress state on the main fault leading to strong heterogeneities in both normal and shear stresses and also contribute to the enhanced generation of high frequency radiation. The secondary branches also affect off-fault plastic strain distribution and suggest that co-seismic inelasticity is sensitive to pre-existing damage features. We discuss our results in the larger context of the need for modeling earthquake ruptures with high resolution fault zone physics.

*xiaoma5@illinois.edu

1 Introduction

The internal structure of fault zones in the upper continental crust exhibits considerable complexity. There is variation along strike in the form of bends and segmentation, and with depth due to changes in deformation mechanism, including brittle to ductile transition. Mature faults consist of several basic structural elements including: (i) A zone of concentrated shear, the fault core, which is often defined by the presence of extremely comminuted gouge; (ii) A damage zone, with the primary fault core centralized in or bordering that damage zone, in addition to a segmented network of several secondary cores within the damage zone. Damage zones display a greater intensity of deformation relative to the surrounding host rock, and contain features such as secondary faults and fractures, microfractures, folded strata, and comminuted grains; and (iii) host country rock with little or no damage. In general, the intensity of damage increases towards the fault core and the transition from undeformed host rock to damage zone rock is often gradual [Chester et al.1993, Ben-Zion and Sammis2003, Savage and Brodsky2011]. Overall, fault zones exhibit a combination of distributed damage as well as discrete anisotropic secondary fractures of different orientations and density [Rowe et al.2018].

Off-fault damage has been investigated extensively using numerical models that implement either off-fault plastic strain accumulation [Andrews2005, Templeton and Rice2008, Hok et al.2010, Dunham et al.2011a, Dunham et al.2011b], or continuum damage evolution [Ben-Zion and Shi2005, Xu et al.2015a, Bhat et al.2012]. The starting point in both approaches is a virgin material that has not experienced damage before. Furthermore, both approaches are found to be prone to numerical localization and have been, for the large part, constrained to scalar damage variables or isotropic formulations [Duru and Dunham2016, Uphoff et al.2017]. Except for a few pioneering studies, for example [Dunham et al.2011b, Shi and Day2013, Tal et al.2018], that considered off-fault dissipation generated by rough fault surfaces, most of the prior studies considered planar faults with no structural complexity. In particular, the effect of pre-existing anisotropic damage features on rupture dynamics, in both the elastic and inelastic regimes, remains an area that is under-studied.

An exception to the aforementioned discussion has been the investigation of the critical problem of the influence of a fault branch on the termination or continued propagation of rupture on the main fault [Poliakov et al.2002, Kame et al.2003, Bhat et al.2004, Biegel et al.2007, Rousseau and Rosakis2009, Suzuki2013]. These studies suggest that the rupture may continue to propagate on the main fault without jumping to the branch, or propagate on both the main and secondary faults, or terminate on the main fault and continue on the branch. The fate of the rupture depends on the angle of the branch, the background stress field, and the rupture propagation speed.

60 However, to the best of our knowledge, all these studies have been limited to a single long branch.
61 Short and repeated branches that are routinely mapped in fault zones [Rempe et al.2013, Rowe
62 et al.2018] are largely neglected or homogenized as an effective damage variable. An outstanding
63 challenge in explicit modeling of these anisotropic secondary features has been largely attributed
64 to the prohibitive computational cost in terms of problem size, runtime, and memory requirements
65 of domain-based methods such as finite element or finite difference techniques.

66

67 Domain based modeling approaches are very versatile in handling complex geometries and mate-
68 rial nonlinearities compared to boundary-based methods such as the spectral boundary integral
69 equation. However, to capture small scale details associated with short fault branches, a very fine
70 mesh must be used to resolve the complex boundaries as well as the multiple stress concentration
71 regions associated with the propagating rupture tips. This fine mesh is generally carried out for a
72 significant portion of the domain to appropriately propagate the seismic waves and avoid artificial
73 reflection from varying the mesh size over small distances. Furthermore, the simulation domain has
74 to be truncated at some distance by imposing absorbing boundary conditions [Lysmer and Kuh-
75 lemeyer1969, Bettess1977, Berenger1994] far enough from the fault so that reflections from these
76 boundaries do not affect the solution on the fault plane during the simulation time of interest. As
77 a result, the computation cost of a domain-based method grows as $(L/dx)^3$ in 2D and $(L/dx)^4$ in
78 3D, making it very challenging to incorporate small scale physics in large scale simulations.

79

80 A novel approach in addressing the above challenge has been recently presented by [Klinger
81 et al.2018], who combined optical image correlation, field observation, and a new numerical method
82 for dynamic rupture simulations using discrete finite element model to study co-seismic off-fault
83 damage generation resolving complex rupture process. The numerical method presented by Klinger
84 et al. enabled generation of co-seismic damage patterns that localize into a set of nearly periodic
85 parallel branches. While their formulation is based on continuum damage theory, the damage
86 parameter may numerically localize and eventually be replaced by a slip-weakening crack. Earlier
87 work by [Ando and Yamashita2007] has also provided a framework for spontaneous generation of
88 off-plane faults using a novel formulation of the boundary integral method. However, what contin-
89 ues to be missing in this work is the effect of pre-existing secondary cracks, which is expected to
90 influence the dynamic rupture characteristics high frequency radiation and new damage generation,
91 in a way that is different from co-seismically generated damage in a virgin material. In this paper
92 we plan to address this missing piece using a novel numerical scheme that enables incorporating
93 high resolution fault zone physics and geometric structures in dynamic rupture calculations.

94

95 Here, we use our recently developed hybrid computational scheme that combines a domain-based
96 numerical method which is used to discretize a confined region encompassing the fault plane and
97 all its related structural and material complexities, with an independent spectral boundary integral
98 formulation that models the exterior linear elastic half spaces [Hajarolasvadi and Elbanna2017, Ma
99 et al.2018]. This approach overcomes the limitations of the domain-based methods by limiting
100 the discretization to only a subset of the whole domain but benefits from their flexibility in mod-
101 eling complex geometry and material nonlinearity. The reduction in the size of the domain to
102 be discretized enables us to use higher resolution within the fault zone to resolve the complexity
103 of the secondary branches while saving computational cost and not compromising the accuracy
104 of long range elastodynamic interactions, which are handled exactly using the spectral boundary
105 integrals. In our prior work [Ma et al.2018] we have discussed the novelty of our hybrid formulation
106 in the context of existing literature on coupling boundary and bulk numerical schemes as in [Bielak
107 et al.2003, Yoshimura et al.2003]. In this paper, we will use the hybrid scheme to investigate the
108 dynamics of rupture propagation on a fault plane with multiple short branches mimicking the fish
109 bone architecture idealized in [Sowers et al.1911, Poliakov et al.2002].

110

111 The remainder of the paper is organized as follows. In Section 2, we describe the model setup
112 and give an overview of the hybrid numerical scheme. In Section 3, we summarize the numerical
113 simulation results from explicitly modeling the fault zone complexity. In Section 4, we discuss the
114 new insights from the consideration of the anisotropic and discrete damage features that exist in
115 complex fault zones. In Section 5, we summarize our conclusions.

116 2 Numerical method and model Setup

117 2.1 Hybrid Finite Element-Spectral Integral Equation Method

We solve the initial boundary value problem of dynamic fracture using the recently developed hybrid method [Ma et al.2018]. The hybrid method is a combination of the FEM (finite element method) and SBI (spectral boundary integral method), although any other domain-based method may be used in lieu of FEM. In the hybrid method, all nonlinearities, such as fault surface roughness or material nonlinearity, as well as small-scale heterogeneities, are contained in a virtual strip of a certain width that is introduced for computational purposes only (Fig. 1). Appropriate meshing techniques are then used to discretize and model this strip using FEM. The step-by-step time

integration approach for the fault nodes is a central-difference explicit formulation as follows:

$$\dot{u}^{n+1/2} = \dot{u}^{n-1/2} + \Delta t M^{-1}(T^n - f^n) \quad (1)$$

$$u^{n+1} = u^n + \Delta t \dot{u}^{n+1/2} \quad (2)$$

118 where $\dot{\cdot}$ represents the partial derivative with respect to time and the superscript n indicates the
 119 time step index. M is the lumped mass matrix. T_n is the traction on the fault interface based
 120 on the fault discontinuity condition. The fault discontinuity condition is implemented using the
 121 Traction at **Split** Nodes (TSN) method [Day1982]. f is the internal force due to the deformation
 122 of the solid and Δt the time step.

123

For the interior nodes in the FEM domain, the step-by-step time integration approach is as follows:

$$\dot{u}^{n+1/2} = \dot{u}^{n-1/2} + \Delta t M^{-1}(-f^n) \quad (3)$$

$$u^{n+1} = u^n + \Delta t \dot{u}^{n+1/2} \quad (4)$$

124 The rest of the domain, which is homogeneous and linear-elastic, may be modeled as two half spaces
 125 coupled with this strip on each side (S^+ , S^-). The elastodynamic response of these half spaces is
 126 modeled using the SBI technique. Throughout the simulation, the two methods communicate along
 127 the virtual boundaries of the strip by exchanging displacement and traction boundary conditions.
 128 The spectral formulation for this method gives an exact form of such a relationship in the Fourier
 129 domain. We use the spectral formulation introduced in [Geubelle1995], where the elastodynamic
 130 analysis of each half space is carried out separately. In view of the hybrid method, where SBI
 131 constitutes a boundary condition to the FEM model, we focus the description on modeling a half-
 132 space. The relationship between the traction τ_i and the resulting displacements at the boundary
 133 of a half-space may be expressed as

$$\begin{aligned} \tau_1^\pm(x_1, t) &= \tau_1^{0\pm}(x_1, t) \mp \frac{\mu}{c_s} \dot{u}_1^\pm(x_1, t) + f_1^\pm(x_1, t) \\ \tau_2^\pm(x_1, t) &= \tau_2^{0\pm}(x_1, t) \mp \frac{(\lambda + 2\mu)}{c_p} \dot{u}_2^\pm(x_1, t) + f_2^\pm(x_1, t) \end{aligned} \quad (5)$$

134 where **subscripts 1 and 2 represent fault-parallel and fault-normal direction respectively**, \pm rep-
 135 represents upper and lower half-plane, c_p is the pressure wave speed, c_s is the shear wave speed, τ_i^0
 136 indicates the externally applied load (*i.e.*, at infinity); and f_i are linear functionals of the prior
 137 deformation history and are computed by the time convolution in the Fourier domain.

138

139 The coupling of the two methods is done as follows. The FEM and SBI share nodes at the virtual
 140 boundaries introduced to truncate the FEM domain. While FEM provides SBI with the tractions
 141 along the virtual boundary, SBI returns the displacement that is to be imposed on S^\pm of FEM.
 142 The detailed step-by-step procedure is as follows

- 143 1. Solve full time step within the FEM by solving Eq. (1 - 2) (FEM interior nodes only).
- 144 2. Set interface tractions in the SBI equal to the internal force from FEM: $\tau_i^{n,\text{SBI}} = f_i^{n,\text{FEM}}$,
 145 where f_i^n is given through Eq. 1.
- 146 3. Solve full time step within SBI by solving Eq. (5) for velocity and apply explicit integration
 147 scheme to get displacements.
- 148 4. Set displacements of the shared nodes in FEM equal to displacement in SBI: $u_i^{n+1,\text{FEM}} =$
 149 $u_i^{n+1,\text{SBI}}$.
- 150 5. Return to Step 1 to advance to the next time step.

151 For a full description of the hybrid scheme, its verification, and some of its prior applications please
 152 refer to [Ma et al.2018].

153

154 2.2 Model Setup

155 2.2.1 Material and Friction model

156 In this paper, we consider both linear elastic material and elasto-plastic material.

157

158 Linear elastic Material

159

160 A 2D plane strain elastic model is used to describe the elastic material behavior. The constitutive
 161 equation for the linear elastic material is as follows:

$$\sigma_{ij} = \lambda \delta_{ij} \varepsilon_{kk} + 2\mu \varepsilon_{ij} \quad (6)$$

162 where ε_{ij} is the infinitesimal strain tensor and μ, λ are the Lamé parameters.

163

164 Elasto-Plastic Material

165

166 In this paper, we also consider the off-fault material to be idealized with the Drucker-Prager
 167 plasticity model [Drucker and Prager1952]. The Drucker-Prager model is closely related to the

168 Mohr-Coulomb model. It describes inelastic deformation in brittle solids arising from frictional
 169 sliding of microcracks [Rudnicki and Rice1975, Templeton and Rice2008]. We use the Drucker-
 170 Prager plasticity model to mimic the inelastic effects on dynamic rupture from cracks on scales
 171 that are smaller than the scale of branches. The yield function of the Drucker-Prager plasticity
 172 model is given by Eq.7,

$$F(\sigma_{ij}) = \sqrt{J_2} - (A + BI_1) \quad (7)$$

173 Here, $I_1 = \sigma_{kk}$ is the first invariant of the Cauchy stress σ_{ij} and $J_2 = s_{ij}s_{ij}/2$ is the second
 174 invariant of the deviatoric stress tensor $s_{ij} = \sigma_{ij} - (\sigma_{kk}/3)\delta_{ij}$. Following [Templeton and Rice2008],
 175 we take the intermediate principal stress, in the Drucker-Prager formulation, to be the average of
 176 the maximum and the minimum principle stress. The constants A and B are determined from
 177 experiments and are functions of the cohesion c and the angle of internal friction ϕ that are used
 178 to describe the Morh-Coulomb yield surface. When $F(\sigma_{ij}) < 0$, the material response is elastic.
 179 Plastic flow is partitioned between various components of the plastic strain rate tensor by the flow
 180 rule. Neglecting the effect of plastic dilatancy we have:

$$\dot{\epsilon}_{ij}^p = \dot{\epsilon}_p^{eq} s_{ij} / (2\sqrt{J_2}) \quad (8)$$

181 Where $\dot{\epsilon}_p^{eq} = \sqrt{2\dot{\epsilon}_{ij}^p\dot{\epsilon}_{ij}^p}$ is the equivalent plastic strain rate. The equivalent plastic strain ϵ_p^{eq} is
 182 defined through $\dot{\epsilon}_p^{eq} = d\epsilon_p^{eq}/dt$

183

184 Slip-weakening friction model

185

186 In this paper, all the faults are governed by the slip-weakening friction law [Ida1972]. The frictional
 187 strength is given by

$$f(D) = \begin{cases} f_s - (f_s - f_d)D/D_c, & D < D_c \\ f_d, & D \geq D_c \end{cases} \quad (9)$$

188 where f_s and f_d are the static and dynamic frictional coefficients and D_c the critical slip required
 189 for stress to reach the dynamic value. Continuity of displacements at the fault is enforced (*i.e.*,
 190 no slip) if the shear traction is lower than frictional strength, otherwise local slip occurs. Uenishi
 191 and Rice [Uenishi and Rice2003] defined the characteristic length scale for frictional instability on
 192 linear slip-weakening faults. We base our reference length scale for normalizing the spatial scales
 193 in our problem on this characteristic length scale term as shown in Eq. 10 (omitting the constant
 194 term from [Uenishi and Rice2003]).

$$L_c = \frac{\mu D_c}{\tau_s - \tau_d} \quad (10)$$

195 Here, μ is the shear modulus, D_c is the characteristic slip distance, τ_s is the static frictional stress
 196 and τ_d is the dynamic frictional stress.

197

198 Normal Stress Regularization

199

200 Due to the complex topology of our fault network, normal stress may be altered on the main fault
 201 as well as secondary faults. In order to avoid numerical instability and for the friction model to be
 202 compatible with laboratory observations, we include normal stress regularization following priors
 203 studies [DeDontney et al.2012, Xu et al.2015b].

$$\frac{d\tau}{dt} = -\frac{1}{t^*}(\tau - f\sigma_N) \quad (11)$$

204 where the shear strength τ evolves over a finite time scale (t^*). t^* was taken to be $2\Delta x/c_s$, which
 205 is several times larger than the stable time step. Here Δx is the mesh size.

206 2.2.2 Geometry

207 We consider our fault system to exist in an infinite medium. A planar horizontal main fault is
 208 placed in the middle of the domain with secondary fault branches explicitly modeled as shown in
 209 Fig. 1. The main fault is right lateral and the secondary faults are placed on one side of the fault
 210 (on the tension side) starting at a distance L_a from the nucleation zone. This minimizes the effect
 211 of these secondary branches on the rupture nucleation. The angle between the secondary faults and
 212 main fault is assumed to be θ_f . While this angle may be arbitrary, in this paper we have explored
 213 a number of different secondary faults orientation that vary around the the direction of optimally
 214 oriented shear plane computed using the background tectonic stress field and a Mohr-Coulomb
 215 failure criterion.

$$\theta = 45^\circ + \frac{\psi}{2} - \theta_p \quad (12)$$

216 In Eq. 12 above, ψ is the angle of internal friction, and θ_p is the maximum principle stress direction.
 217 The secondary faults have constant spacing L_s along the fault strike. The length of each secondary
 218 fault is L_f . Vertically, the secondary fault branches are placed a small distance L_o away from the
 219 main fault. We note that other approaches may be used to handle the triple junction between the
 220 branch fault and the main fault without having to enforce this shift. This may be accomplished
 221 by manipulating the kinematics of the split nodes at the junction through either retaining the
 222 continuity of the main fault only, or the continuity of the branch fault only, or by assuming that
 223 neither fault is continuous and having only one node at the triple junction point as described

224 in [DeDontney et al.2012]. The effect of the various modeling assumptions will be examined in
 225 future work We limit the FEM discretization to a domain of length L and width W_H . The length
 226 L is taken to be $100L_c$. The width W_H is much smaller than the length L . The domain width W_H
 227 is determined by the length of secondary branches and is taken to be $4L_c$ to ensure that the FEM
 228 domain contains the complex fault geometry. All parameters are listed in Table 1.

229 2.2.3 Initial and Boundary Condition

230 We assume the domain is in static equilibrium at time $t = 0$. We consistently resolve the normal
 231 stress σ_N and tangential stress τ on all the faults from the background stress σ_{xx} , σ_{yy} and σ_{xy}
 232 using Eq. 13 .

$$\begin{aligned} \sigma_N &= \sigma_{xx} \sin^2 \theta + \sigma_{yy} \cos^2 \theta - 2\tau_{xy} \sin \theta \cos \theta \\ \tau &= \sigma_{xx} \sin \theta \cos \theta - \sigma_{yy} \sin \theta \cos \theta + \tau_{xy} (\cos^2 \theta - \sin^2 \theta) \end{aligned} \quad (13)$$

233 where θ is the angle between secondary faults and the horizontal direction. We nucleate the rupture
 234 by overstressing the fault beyond the static friction strength over a localized region in its center
 235 with a width L_c . For the medium with elasto-plastic material, we apply a smooth nucleation
 236 approach. We use a union of hyperbolic tangent function to smoothly approximate an overstressing
 237 ing region width of L_c to avoid stress concentration from the edges of the nucleation zone. The
 238 overstressing region stress level starts at 90 percent of the fault strength and gradually increases
 239 over a period of time to reach the fault strength stress level. Other nucleation approaches could
 240 also be used such as using consistent initial slip and slip rate profile extracted from quasidynamic
 241 simulations for the nucleation process on a planar fault [Liu and Lapusta2008].

242

243 From [Andrews1976, Das and Aki1977], the relative strength parameter is defined as $S = (\tau_s -$
 244 $\tau)/(\tau - \tau_d)$, which quantifies the closeness of initial stress to failure relative to the stress drop.
 245 For this study, we are considering background stress conditions which correspond to strength
 246 parameter $S = 2$ on the main fault. Thus, the ambient stress conditions favor sub-Rayleigh
 247 rupture propagation on the main fault.

248 3 Results

249 To normalize our results, we adopt the following dimensionless quantities for length, time, slip,
 250 slip rate, and stress:

- 251 • Length, $x^* = x/L_c$

- 252 • Time, $t^* = tc_s/L_c$
- 253 • Slip, $D^* = D/D_c$
- 254 • Slip rate, $V^* = VL_c/(D_c c_s)$
- 255 • Stress, $\sigma_{ij}^* = \sigma_{ij}/(-\sigma_{yy}^0)$

256 3.1 Elastic Domain

257 Fig. 2 compares several rupture metrics on the main fault plane with and without the short
 258 branches. The short branches lead to a reduction in the peak slip rate as well as the accumulated
 259 slip on the main fault plane. This may be explained by the fact that when the short branches are
 260 activated, the frictional slip on these secondary features contributes to the total energy dissipation
 261 leading to reduced slip and slip rate. The increased energy dissipation in the presence of the sec-
 262 ondary branches also slows the rupture on the main fault and decreases the rupture propagation
 263 speed at least within the fish bone region. However, there is a slight increase in the slip near the
 264 center of the main fault (around $x^* = 0$) for the case with the short branches. The initiation
 265 and arrest of ruptures on the secondary branches lead to the generation of seismic signals that are
 266 reflected back on the main fault leading to ripples in the slip rate profile that propagate backward
 267 (See Video 1 from Supplementary Material) and accumulate more slip away from the rupture tip
 268 that would not have been generated in the homogeneous medium case. The reduction in slip rate
 269 and rupture speed due to increased energy dissipation has also been previously observed in models
 270 with off-fault energy dissipation using plasticity [Templeton and Rice2008] or continuum damage
 271 theories [Bhat et al.2012]. The backward propagating ripples, however, is a consequence of the
 272 geometric complexity of the model.

273
 274 The secondary faults have a significant effect on the post-rupture stress distribution. Fig. 2(c)
 275 and 2(d) show that both the shear and normal stress exhibit strong spatial heterogeneities within
 276 the fish bone region after the passage of the rupture front. These strong heterogeneities are absent
 277 in the homogeneous medium case. The activation and arrest of slip on the secondary branches
 278 lead to the development of normal and shear stress concentrations at their ends which load the
 279 main fault nonuniformly. These stress fluctuations lead to both stress increase as well as reduction
 280 in both of the normal and shear stress components. In particular, the normal stress is reduced
 281 to 70% of its original value at some locations. This may suggest that some configurations of the
 282 secondary branches may even lead to fault opening, although we have not observed this yet in the
 283 cases we investigated. Furthermore, the shear stress drops to 50% of its corresponding value in
 284 the homogeneous case at several points. This is also indicative that geometric complexities may

285 potentially lead to the reversal of the shear stress sense if they cause large enough shear stress
286 fluctuations. This pattern of stress fluctuations on the main fault may be predicted qualitatively
287 using Linear Elastic Fracture Mechanics (LEFM) as has been done in a previous study of dynamic
288 rupture with a single backthrust branch fault [Xu et al.2015b]. We present an example of such
289 calculations in Appendix A.

290

291 Another major result in this paper is the influence of secondary branches on the high-frequency
292 generation in the bulk. Fig. 3 shows the near-field particle velocity for both cases with and
293 without the secondary branches. For the homogeneous medium, the wave field is smooth almost
294 everywhere with concentration of high frequencies neat the rupture tips. On the other hand, for the
295 medium with branches, we observe coherent wave fronts that are propagating away from the tips
296 and spaced apart periodically, consistent with the periodic distribution of the secondary branches.
297 These coherent fronts are generated due to the constructive interference of seismic radiation from
298 the secondary faults. We have also included videos for the process of high frequency generation in
299 Supplementary Materials 2 and 3.

300

301 To demonstrate the enhanced generation of high frequencies for the case with the fish bone struc-
302 ture, we plot in Fig 4. the fault-parallel and fault-normal components of the velocity at a station
303 located $20L_c$ from the main fault and represented by the star in Fig. 4(c). Both components of
304 the velocity show high frequency fluctuations in the case of the fault with branches compared to
305 the homogeneous case. The acceleration spectra plotted in Fig. 4(c) further prove this point. The
306 fault with small branches has a spectrum that is richer in high-frequency content and furthermore
307 shows an almost flat spectrum in the frequency range 2-20 Hz. This is consistent with observations
308 in [Wald and Heaton1994, Chen1995] and similar to the results from dynamic rupture simulation
309 on rough faults [Dunham et al.2011b]. This suggests that small scale fault branches may be a
310 candidate for explaining near field radiation characteristics of active faults.

311

312 Another effect of the secondary faults is shown in Fig. 5 which illustrates the distribution of the
313 normal displacement of the main fault plane. For the homogeneous medium, the fault plane simply
314 rotates. The existence of the secondary branches, however, leads to the development of undula-
315 tions in the fault plane profile as shown in Fig. 5. The stress concentrations corresponding to the
316 secondary faults, load the fault in the normal direction and promote repeated peaks in its vertical
317 profile near the locations where the secondary branches are positioned. While the magnitude of
318 these undulations is small, they may contribute, over several cycles, to the evolution of the main
319 fault roughness.

320

321 To gain further insight into the dynamics of the branch faults, we show in Fig. 6 the time evolution
322 of the slip, slip rate, and the rupture speed on one of the secondary faults (the first branch). The
323 secondary fault is triggered dynamically by the main fault rupture as it approaches the branch
324 tip leading to a rapid increase in slip rate and slip over a segment of the branch that is closest
325 to the main fault . As stated in Section 2, the background stress favors a sub-Rayleigh rupture
326 propagation on the main fault. However, this is not the case for the secondary faults which are
327 loaded dynamically from the propagating rupture on the main fault in addition to the loading
328 from the background stress field. The insert in Fig. 6(a) shows the rupture tip position along the
329 secondary fault versus time, and it suggests that the secondary fault fails in a supershear mode.
330 This result suggests that even though the far field background stress favors a sub-Rayleigh rupture
331 propagation on the main fault, the small scale branching faults may fail differently. This may
332 potentially have important implications for seismic hazard from complex fault zones.

333 3.2 Elasto-Plastic Domain

334 To account for additional energy dissipation mechanisms at a scale smaller than the scale of the
335 secondary branches that we haven't explicitly modeled, we consider the possibility of inelastic
336 strain generation using an elasto-plastic material model. Since we have only considered one level
337 of the secondary branches, the plasticity model may be used as a proxy for small scale damage that
338 is randomly distributed and arising from microcracks or dislocation movement at nano or micro
339 scale. Drucker-Prager plasticity is used as described in Section 2.

340

341 Figure 7 compares several rupture metrics on the main fault plane with and without the short
342 branches but in the presence of off-fault plasticity. In this case, the rupture may generate off-fault
343 plastic strain if the Drucker-Prager yield criterion is met. Consistent with the elastic case, the
344 short branches also lead to a reduction in the peak slip rate as well as the accumulated slip on
345 the main fault plane. The frictional slip on the secondary branches contributes to the total energy
346 dissipation leading to reduced slip, slip rate, and rupture propagation speed. However, unlike in
347 the elastic case, there is no slight increase in the slip near the center of the main fault (around
348 $x^* = 0$) for the case with the short branches. Plasticity, which acts as an additional energy sink on
349 its own, has suppressed the backward propagating ripples and greatly reduced their effect. Overall,
350 the slip, the slip rate, and the rupture speed are all lower in this case compared to the case of
351 rupture propagation in an elastic medium.

352

353 The effect of the secondary faults on the post-rupture stress distribution persists even with plas-

354 ticity. Fig. 7(c) and 7(d) show that both the shear and normal stress exhibit strong spatial
355 heterogeneities within the fish bone region after the passage of the rupture front. These strong
356 heterogeneities are absent in the homogeneous medium case with off-fault plasticity. The activation
357 and arrest of slip on the secondary branches leads to the development of normal and shear stress
358 concentrations at their ends which load the main fault nonuniformly. These stress fluctuations lead
359 to both stress increase as well as reduction in both of the normal and shear stress components and
360 the amplitude of the fluctuations are very similar to those generated in the elastic case indicating
361 that they are unaffected by plasticity.

362
363 The secondary branches, as pre-existing damage features, have strong influence on the off-fault
364 plastic strain distribution as shown in Fig. 8. While in the homogeneous case, the plastic strain
365 distribution has the characteristic fan-like shape consistent with previous studies [Templeton and
366 Rice2008, Dunham et al.2011a, Dunham et al.2011b], the plastic strain distribution is increasingly
367 non-uniform due to the presence of the short branches. In particular, the spatial extent of the
368 off-fault plasticity in the vicinity of the main fault is greatly reduced within the region that hosts
369 the short branches. Furthermore, the short branches seem to have little or no plastic strain
370 accumulation, suggesting that what should have been bulk plastic strain has collapsed in the form
371 of localized slip along the short secondary fault. However, there is a large increase in the plastic
372 strain accumulation at the ends of the short branches due to the abrupt arrest of the slip and the
373 associated stress concentration. Namely, there is a concentration in plastic strain in the region
374 between the secondary branch tip and the main fault suggesting that even if the branch is not
375 directly connected to the main fault, this region will be severely damaged. Furthermore, there is
376 another region of plastic strain concentration at the far end of the secondary fault. This region also
377 does not extend along the strike of the secondary branches but is slightly bent in another direction
378 suggesting a possible growth plane for the secondary faults if they are allowed to extend.

379 3.3 Rupture Characteristics with and without plasticity

380 Fig. 9(a) shows the rupture tip position versus time for four cases: the homogeneous medium
381 with and without plasticity, and the fish bone structure with and without plasticity. The slope
382 of these curves gives the rupture propagation speed for each case. The existence of the secondary
383 branches significantly reduces the rupture speed compared to the homogeneous case. The rupture
384 propagation speed generally decreases with off-fault plastic dissipation. The rupture propagates
385 the slowest on the main fault for the case with fish bone structure in elasto-plastic medium. An
386 unexpected observation is that with the existence of the secondary branches, the rupture may
387 temporarily travel faster than the homogeneous case at first and then decelerate (See insert of

388 Fig. 9(a)). This may be explained by the fact that initially the rupture speed on the main fault
389 is small, and that when these secondary branches are activated, they generate waves that may
390 constructively interfere with the main rupture tip, channel energy to this tip, and promote its
391 transient acceleration. As the main rupture accelerates further, this effect is diminished and the
392 secondary faults act primarily as energy sinks, increasing the overall energy dissipation and decel-
393 erating the main fault rupture propagation. Once the rupture tip on the main fault moves beyond
394 the fish bone region, it accelerates further approaching the propagation speed of the rupture in the
395 homogeneous case with and without plasticity respectively.

396

397 Fig. 9(b) shows the maximum slip rate versus rupture tip position for the different cases. The
398 secondary branches lead to a significant reduction in the peak slip rate on the main fault. Cases
399 with off-fault plasticity also show a reduction in the peak slip rate compared to the elastic case.
400 The existence of secondary branches also leads to high-frequency oscillations in the peak slip rate as
401 the rupture propagates, indicative of enhanced radiation efficiency and high-frequency generation.
402 After the rupture on the main fault has propagated beyond the region with the fish bone architec-
403 ture, the peak slip rate increases and approaches the peak slip rate values for rupture propagation
404 in the homogeneous medium.

405

406 Fig. 10 shows the main fault frictional energy dissipation normalized by the potency at each time
407 step versus the average slip for the fish bone case and the homogeneous case with and without
408 plasticity. The frictional dissipation is calculated by integrating the product of the frictional stress
409 and the slip rate over the fault length and over time $E_f = \int_0^t (\int \tau_f \dot{D} da) dt'$. The potency is defined
410 as the integral of the slip over the fault domain $P = \int D da$. The frictional dissipation normalized
411 by the potency gives a stress-like quantity which may be taken indicative of an average frictional
412 strength on the fault. Thus, the plots shown in Fig. 8 may be considered as modified effective
413 slip-weakening laws for the fault as a whole. The homogeneous cases with and without plasticity
414 have relatively similar effective stress-slip response. This is because the energy dissipated by off-
415 fault plasticity is smaller than 0.1 percent of the frictional dissipation. Interestingly, the fish
416 bone structure case with plasticity shows the least amount of frictional energy dissipation on the
417 main fault of the four cases. This may be attributed to the other energy dissipation avenues that
418 exist due to the combination of off-fault plasticity and frictional slip on the additional surfaces
419 of the secondary faults. In particular, in the complex fish bone structure, the stress tends to be
420 concentrated at the ends of the secondary faults leading to higher concentration of the plastic
421 strain in this region. This increases the contribution to off-fault energy dissipation on the expense
422 of the energy dissipation by frictional sliding on the main fault.

423 3.4 Parametric Study for the Elastic Case

424 In order to explore the effect of the secondary faults on the rupture characteristics of the main
425 fault, we carried out a limited parametric study by varying some geometric properties of the sec-
426 ondary faults including length L_f , spacing L_s , and the angle with the main fault θ .

427

428 Effect of secondary fault length

429

430 Fig. 11 shows a snapshot of slip, slip rate, shear stress, and normal stress distribution on the main
431 fault at a given instant of time. We examine three cases of secondary fault length $L_f = L_c; 4L_c;$
432 $6L_c$, while keeping all the other parameters the same as in the default case. With increased length
433 of the secondary faults, the rupture speed on the main fault decreases as well as the maximum
434 slip rate as shown in Fig. 11(b). However, the oscillations in the slip rate, shown in the insert in
435 Fig. 11(b), increase with increasing the secondary faults length. Furthermore, Fig. 11(c) and Fig.
436 11(d) show that longer secondary faults promote a more complex pattern in the shear and normal
437 stress perturbations. In particular, not all stress peaks or troughs have the same amplitude. This is
438 because with longer secondary faults, slip is not necessarily accumulated through the whole length
439 of each fault suggesting that some secondary faults may accumulate less slip or their rupture may
440 stop before reaching the far end of the secondary fault. Fig. 12 shows the distribution of maximum
441 slip on the secondary faults for different secondary fault length. The results suggest that as the
442 secondary fault length increases, a crack shielding effect emerge; the slip distribution along the
443 secondary faults is non-uniform in the sense that as one secondary fault accumulates large slip, the
444 following one or two accumulate smaller slip, but then comes another secondary fault with large
445 slip, and the pattern continues. The non-uniformity in slip that increases as the secondary fault
446 length increases, leads to non-monotonicity in the stress peaks on the main fault with some of the
447 peaks smaller than others. This crack shielding-like phenomenon has been observed both in the
448 experimental work by [Ngo et al.2012] for tensile cracks as well as numerical simulation results
449 using finite-discrete element method by [Klinger et al.2018].

450

451 Effect of spacing distance between secondary faults

452

453 Fig. 13 shows a snapshot of slip, slip rate, shear stress, and normal stress distribution on the
454 main fault at a given instant of time for three cases of secondary faults spacing $L_s = L_c; 2L_c;$
455 $4L_c$. As shown in Fig. 13(c) and 13(d), as the spacing between the secondary faults increases,
456 the amplitude of perturbations in the shear and normal stresses on main fault increases since each
457 secondary fault accumulates more slip on average than in the case of smaller spacing. With smaller

spacing between the secondary faults, the secondary faults are more effective in decelerating the rupture on the main fault. The insert in Fig. 13(b) shows that with the increased spacing, the oscillations in the slip rate are spaced at a larger distance but their amplitude increases.

461

462 **Effect of secondary fault angle with respect to the main fault**

463

464 For the parameters shown in Table 1, the direction of optimally oriented shear plane makes approximately a 40 degree angle counterclockwise with the direction of the main fault. Here we consider three cases of orientation of the branching faults in addition to the default case discussed earlier: $\theta = 25^\circ$; 30° ; 40° ; 50° . We focus primarily on the effect of secondary fault orientation on the stress perturbations on the main fault. Fig. 14 suggests that the amplitude of the shear and normal stress fluctuations on the main fault have a nonmonotonic trend as the secondary faults are rotated away from the main fault. The case for $\theta = 40^\circ$, which corresponds to optimally oriented shear plane, results in the largest amplitude of stress perturbations. This is also consistent with the observation that the secondary faults have larger slip values in this case (not shown here). As the secondary faults rotate away from this optimal direction, they accumulate less slip and also cause smaller stress perturbations on the main fault.

474

475 **4 Discussion**

476 Earthquake ruptures are nonlinear multiscale phenomena. The multiscale nature of the rupture process exists in both space and time. Spatially, a moderate-size earthquake typically propagates over tens of kilometres. However, the physical processes governing the rupture propagation operates within a narrow region at the rupture tip, called the process zone, which may not exceed a few millimetres in size if realistic laboratory-based friction parameters are used [Noda et al.2009]. Between these two distant limits, multiple intermediate scales exist and need to be resolved including shear bands, branches, foliations, kinks, and spatially varying damage zones both along strike and throughout depth. Temporally, an earthquake episode, where rapid slip occurs, only lasts for few to tens of seconds. However, the time required for stress buildup and the attainment of the right condition for the initiation of the friction instability during the interseismic period may be tens to hundreds of years [Lapusta et al.2000]. A fundamental challenge in earthquake source physics is to resolve this vast range of scales. In this paper we have focused on resolving the influence of one of the intermediate spatial scales, namely small scale fault branches, on the rupture dynamics of a single event. These branches are characterized as being small scale since their length is of the order of the reference length scale for nucleation in mature faults.

490

491

492 Our investigation of the effect of explicitly represented small scale branches on rupture dynamics
493 reveals several results that are consistent with the more conventional method of modeling small
494 scale damage as an effective elasto-plastic or continuum damage constitutive relation. For example,
495 slip on these secondary faults increases the overall energy dissipation leading to a reduction in the
496 accumulated slip, maximum slip rate, and rupture propagation speed on the main fault. However,
497 explicit representation of these anisotropic pre-existing slip planes also lead to some novel insights
498 that may not be captured by continuum plasticity models.

499

500 For example, the interaction of the main rupture with the short branches leads to strong hetero-
501 geneities in the final normal and shear stress distributions. These stress fluctuations may poten-
502 tially lead to fault opening or reversal in the sign of the shear stress on the main fault, although
503 this has not been observed within the parameter range explored in this paper. Interestingly, these
504 stress heterogeneities due to the existence of the secondary branches persist even in the presence of
505 elasto-plastic material response. They do not get smeared or homogenized. The nonuniform stress
506 distribution left over after the seismic event may influence the nucleation, propagation, and arrest
507 of future seismic events. Furthermore, the secondary branches may also act as potential nucleation
508 sites for future ruptures, that do not lie directly on the main fault, but may potentially jump over
509 to its plane. Thus, there is significant potential that this model may form a basis for earthquake
510 complexity.

511

512 Moreover, explicit representation of the secondary branches suggest that these features may con-
513 tribute significantly to the near field high frequency generation. **The constructive interference**
514 **between the seismic radiation from the secondary faults lead to coherent high-frequency genera-**
515 **tion in the bulk that is strongly correlated to the geometric distribution of the secondary branches.**
516 Furthermore, we demonstrated that the near-field acceleration spectrum in the presence of sec-
517 ondary faults is almost flat in the range of 2-20 Hz. This feature has been widely documented in
518 observations [Wald and Heaton1994, Chen1995]. It is also similar to what [Dunham et al.2011b]
519 have observed in dynamic rupture simulations on rough faults. This suggests that complex geo-
520 metric features, other than fault roughness, such as secondary short branches, may lead to similar
521 coherent high frequency generation patterns.

522

523 During dynamic rupture propagation, energy may be dissipated on the fault plane through fric-
524 tional sliding or off the fault plane in bulk processes such as damage and plasticity. Explicitly
525 introduced secondary branches, as done here, provide additional pathways for energy dissipation

526 through frictional sliding on these planes. Furthermore, combining secondary branches with plas-
527 ticity leads to an overall increase in energy dissipation. Interestingly, however, this overall increase
528 in energy dissipation may be accompanied by a reduction in the effective energy dissipation through
529 frictional sliding on the fault plane as illustrated in Fig. 10. Reduced frictional dissipation corre-
530 sponds to potentially lower increase in the fault temperature and thus may contribute to resolving
531 the heat flow paradox. This is a topic of future investigation.

532

533 Different mechanisms have been proposed for fault roughness evolution [Brodsky et al.2016, Ben-
534 Zion and Sammis2003]. These mechanisms include fragmentation, wear, and healing. We have
535 shown here that slip on secondary branches may lead to stress concentrations that load the main
536 fault in a way that leads to undulations in the fault plane with a periodicity comparable to the
537 spacing between the secondary branches. While the amplitude of these undulations is small, they
538 may grow due to repeated ruptures, thus, providing an additional mechanism for fault plane rough-
539 ness evolution on small scales.

540

541 In this paper, we have used linear slip-weakening as the fault constitutive model. Extensive field
542 and laboratory observations suggest that friction is a more complicated function that does not
543 depend directly on slip but rather on the instantaneous slip rate as well as the history of the slip
544 rate. The rate and state formulation [Dieterich1979, Ruina1983] has been successful in interpreting
545 several lab and field observations. While the slip-weakening friction may not be a realistic represen-
546 tation of the fault physics, it is a useful mathematical model. Furthermore, it may be shown that
547 linear slip weakening friction may approximate rate and state friction response, without strong ve-
548 locity weakening, with the appropriate choice of parameters. In future work, we plan to investigate
549 our results in the framework of rate and state friction with dynamic weakening. This is crucial
550 for extension to cycle simulations as well as in investigations of the role of large dynamic stress
551 drops. Furthermore, it will be important to explore if time dependent post-seismic deformation
552 may reduce the stress concentrations generated by the fish bone structure.

553

554 The parametric study conducted here related to the effect of secondary faults length, spacing,
555 and orientation, provides new insights into how a main fault and a system of secondary faults
556 may interact. We have found that a crack shielding phenomenon emerges as the length of the
557 secondary faults in the sense that slip amplitude goes up and down along subsequent branches.
558 Larger secondary fault length or larger spacing between the secondary faults generate stronger
559 slip rate and stress perturbations and may lead to slower rupture propagation on the main fault.
560 Secondary faults with orientation close to the direction of optimally oriented shear plane generate

561 larger stress changes on the main fault than branches rotated away from the optimal directions.
562 Similar effects on stress complexity were identified in a previous study on the effect of a single
563 backthrust secondary fault on a main fault [Xu et al.2015b]. The hybrid scheme is enabling us to
564 extend the explorations in this path by incorporating multiple secondary fault interactions with
565 high resolutions.

566

567 The recent models by [Klinger et al.2018] provide a pioneering step towards exploration of the
568 influence of co-seismically evolving off-fault damage on rupture dynamics. The current paper com-
569 plements these on-going efforts in the community and provides a step forward towards explicit
570 inclusion of small scale physics in fault zone in the form of pre-existing anisotropic damage fea-
571 tures. Continuum damage models and conventional plasticity algorithms are prone to numerical
572 localization. In our case, we pre-define the secondary slip planes based on the background tec-
573 tonic stress field. While this biases our choice for the fault plane orientations, our results are not
574 mesh dependent. There is a need for development of computational algorithms that may nucleate
575 and grow faults on the fly with minimum or no mesh dependency. Potential candidates include
576 nonlocal damage and plasticity models [Ma and Elbanna2018, Preuss et al.2019], extended finite
577 element methods [Liu and Borja2009, Liu and Borja2013], and Discontinuous Galerkin scheme with
578 adaptive mesh refinement [Pelties et al.2012, Pelties et al.2014].

579

580 In this paper, for modeling energy dissipation at scales smaller than the scale that is explicitly
581 represented by the secondary branches, we adopted the rate-independent Drucker-Prager plasticity
582 model. Without any regularization technique, the model is prone to artificial strain localization.
583 While the stress concentration at the tips of the secondary branches is physical and necessitates a
584 concentration in the plastic strain, a robust feature in our model that seems to persist at different
585 resolutions, the orientation of the localization band shown in Fig. 8 around the tips of the fish
586 bone structures may have a mesh-dependent ingredient. In the results presented here, the reported
587 shear bands are several elements wide in some places but this does not entirely eliminate the
588 mesh sensitivity. In future work, a rate-dependent plasticity model will be used such as rate-
589 dependent Drucker-Prager plasticity model or rate sensitive Shear Transformation Zone theory [Ma
590 and Elbanna2018] to avoid or limit the effects of any potential numerical artifacts.

591

592 In this paper we introduced an application of the recently developed hybrid method which attests
593 to its potential for modeling dynamic rupture with high resolution fault zone physics. While ex-
594 plicit representation of short branches is a start, other candidate applications are also possible.
595 For example, we may use the hybrid method to model strain localization and shear band evolution

596 within the gouge region [Ma and Elbanna2018] while maintaining the influence of long range elastic
597 stress transfer in the bulk. Another potential application is to model small-scale damage patterns,
598 as has been done experimentally by [Biegel et al.2010] to study the transient and steady-state
599 effect of damage patterns on the rupture dynamic. These problems are too challenging for the
600 traditional domain-based numerical schemes but the efficient domain truncation using the hybrid
601 scheme may make them more doable.

602

603 The characteristics of the hybrid method suggests that it may also potentially be used for long-
604 duration earthquake cycle simulations on faults with near-field material heterogeneities, material
605 nonlinearities, or fault surface complexities. The SBI formulation offers an accurate means for
606 truncating the wave field in both dynamic and quasi-dynamic limits, making the hybrid method
607 capable of capturing the effects of both seismic and interseismic phases of the cycle. Moreover, by
608 exploiting the mode truncation and adaptive time-stepping techniques already embedded in the
609 spectral formulation by Lapusta et al. [Lapusta et al.2000], it is possible to resolve the tempo-
610 ral multiscale nature of the rupture in an efficient manner. One can then envision coupling the
611 SBI method with an implicit FEM scheme during the interseismic period to enable this exten-
612 sion. An outstanding challenge in modeling interseismic deformation on large scales is the need
613 for efficient preconditioners for the large linearized system of equations resulting from FEM. The
614 hybrid method reduces the size of the domain to be discretized explicitly using the FEM and thus
615 is expected to yield a smaller system of equations which may be solved efficiently and accurately
616 using existing packages.

617

618 Future extensions of this work may include expanding the parametric study initiated here to include
619 nonuniform spacing, orientation, and length of the secondary faults. It may also include exploration
620 of the the effect of the strength parameter on interaction between the secondary branches and the
621 main fault in terms of supershear susceptibility on either the main or secondary faults as well as
622 scattering and interference of multiple Mach cones. The investigation may be extended to explore
623 the influence of multiple scales and hierarchies of the secondary branches. The ultimate goal would
624 be to use the hybrid scheme to model earthquake cycles in complex fault zone structures bridging
625 both seismic and aseismic episodes and enabling the interplay between dynamics, stress evolution,
626 and geometry to understand the underpinnings of earthquake complexity.

627 5 Conclusion

628 In this paper, we apply our recently developed hybrid numerical scheme to investigate the influence
629 of explicitly represented small scale branches on rupture dynamics. This endeavor has been a
630 challenge for most existing domain-based numerical methods. The complex interaction between
631 the main fault rupture and the secondary fault branches is investigated. The results show the
632 importance of considering near-fault complexities when performing dynamic rupture simulations.
633 The main conclusions may be summarized as follows:

- 634 • The secondary faults increase the overall energy dissipation leading to a reduction in the slip,
635 peak slip rate, and rupture propagation on the main fault.
- 636 • The activation of the secondary faults may lead to backward propagating ripples in the slip
637 rate that increases slip far from the rupture tip.
- 638 • Rupture activation, propagation, and arrest on the secondary branches lead to a strongly
639 heterogeneous normal and shear stress field on the main fault. These heterogeneities may
640 potentially be large enough to cause fault opening or shear stress reversal. The complex
641 post-event stress field would not have been generated using continuum plasticity models.
- 642 • The interaction of the seismic waves generated by the secondary branches promotes high-
643 frequency generation and generate high-frequency fluctuations on the computed seismograms.
- 644 • The secondary branches lead to the evolution of normal undulations in the main fault strike.

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653 **Appendix A Linear Elastic Fracture Mechanics analysis on**
654 **the stress perturbation on the main fault**

655 Here we present an example calculation of using Linear Elastic Fracture Mechanics to predict the
656 stress perturbation pattern on the main fault due to the presence of a secondary fault in its vicinity.
657 We idealize the secondary fault as a Mode II finite length crack in an infinite domain. From [Sun
658 and Jin2012], the stress distribution around the crack tip in this case is given by Eq. A.1

$$\begin{aligned}
\sigma_{xx} &= -\frac{K_{II}}{\sqrt{2\pi r}} \sin \frac{\phi}{2} \left(2 + \cos \frac{\phi}{2} \cos \frac{3\phi}{2}\right) \\
\sigma_{yy} &= \frac{K_{II}}{\sqrt{2\pi r}} \sin \frac{\phi}{2} \cos \frac{\phi}{2} \cos \frac{3\phi}{2} \\
\sigma_{xy} &= \frac{K_{II}}{\sqrt{2\pi r}} \cos \frac{\phi}{2} \left(1 - \sin \frac{\phi}{2} \sin \frac{3\phi}{2}\right)
\end{aligned} \tag{A.1}$$

659 where K_{II} is the stress intensity factor for Mode II fracture. ϕ and r are the angle and radius in
660 the polar coordinate system. The geometry model is defined as shown in Fig. A.1.

661

662 From Eq. A.1, we compute the stress tensor σ from which we may compute the normal traction
663 component σ_n and the tangential component τ on the main fault as given by Eq. A.2:

$$\begin{aligned}
\mathbf{T} &= \sigma \mathbf{n} \\
\sigma_N &= \mathbf{T} \cdot \mathbf{n} \\
\tau &= \sqrt{|\mathbf{T}|^2 - \sigma_N^2}
\end{aligned} \tag{A.2}$$

664 Where \mathbf{n} is the vector normal to plane of the main fault. The results are plotted in Fig. A.2, and
665 give a pattern for the stress perturbation expected from a branch that qualitatively agrees with
666 the numerical results shown in the paper.

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Medium Material Properties	Value
Shear Modulus μ	32 GPa
S wave velocity c_s	$3.464 \text{ km} \cdot \text{s}^{-1}$
P wave velocity c_p	$6.0 \text{ km} \cdot \text{s}^{-1}$
Angle of Internal Friction ψ	30.96°
Maximum Principle Stress direction θ_p	19.33°
Fault constitutive Parameters	Value
Static friction coefficient μ_s	0.6
Dynamic friction coefficient μ_d	0.3
Characteristic slip-weakening distance d_c	0.2 m
Background Stress	Value
Background Vertical Stress σ_{yy}	-50.0 MPa
Background Horizontal Stress σ_{xx}	-100.0 MPa
Background Shear Stress σ_{xy}	20.0 MPa
Domain Geometry	Value
Reference length scale L_c	500 m
Length of the secondary faults L_f	Varies
Spacing between the secondary faults L_s	Varies
The off distance of the secondary fault from the main fault L_o	$0.1L_c$
The angle between the secondary fault and the main fault θ_n	Varies
Finite element cell size h	6.25m

Table 1: Parameters Description

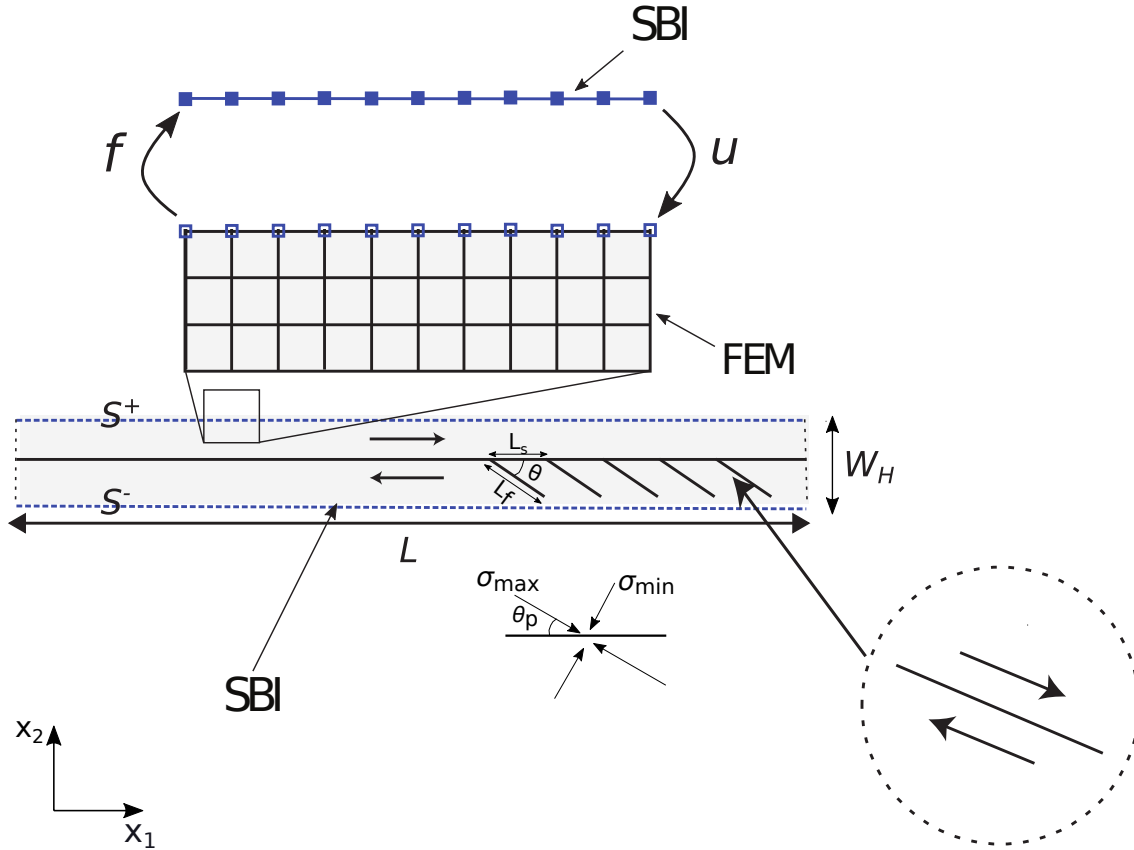


Figure 1: Schematic of the complex fault zone structure considered in this paper. The main fault lies horizontally in the middle of the domain, and the secondary branches are located in a limited region on one side of the fault (tension side). Following [Poliakov et al.2002] we call this setup a fish bone structure. All secondary faults are contained in a narrow virtual strip of dimensions $L \times W$ that is discretized using the Finite element method (FEM). On the upper and lower edges S^+ and S^- , the FEM is coupled with the Spectral Boundary Integral Equation which exactly model the exterior homogeneous elastic half spaces. Traction and displacements are consistently exchanged between the two methods at the shared nodes. The details of the coupling is outlined in the text. σ_{max} and σ_{min} represents the maximum and minimum principle stresses respectively. θ_p is the angle between the maximum principle stress and the main fault parallel direction. L_s is the spacing between the secondary fault, θ is the angle between the secondary fault and the main fault. L_f is the secondary fault length.

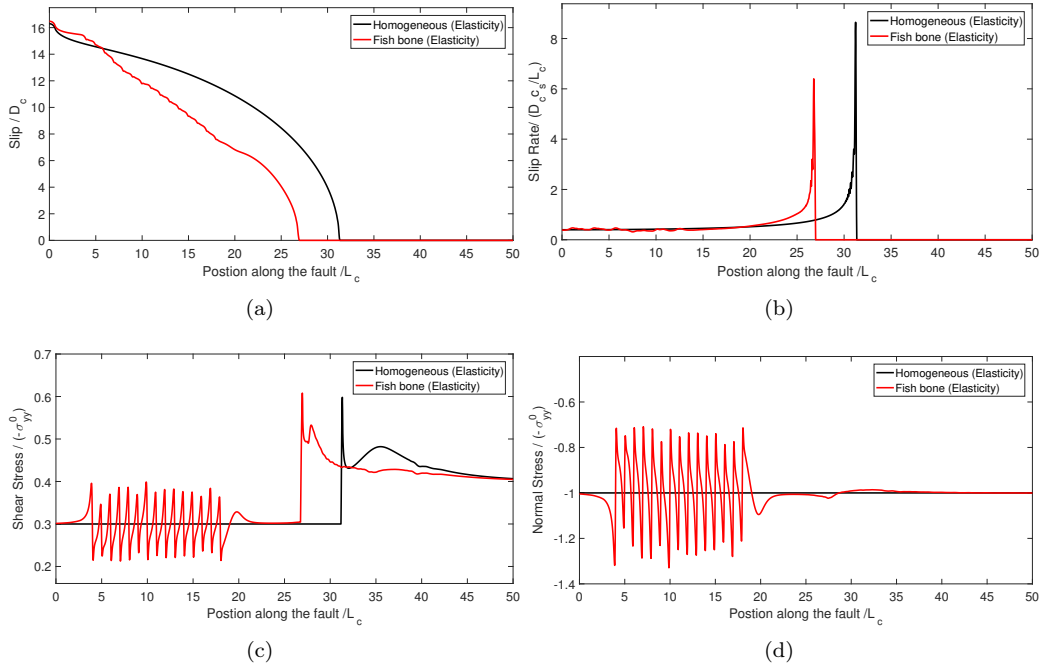
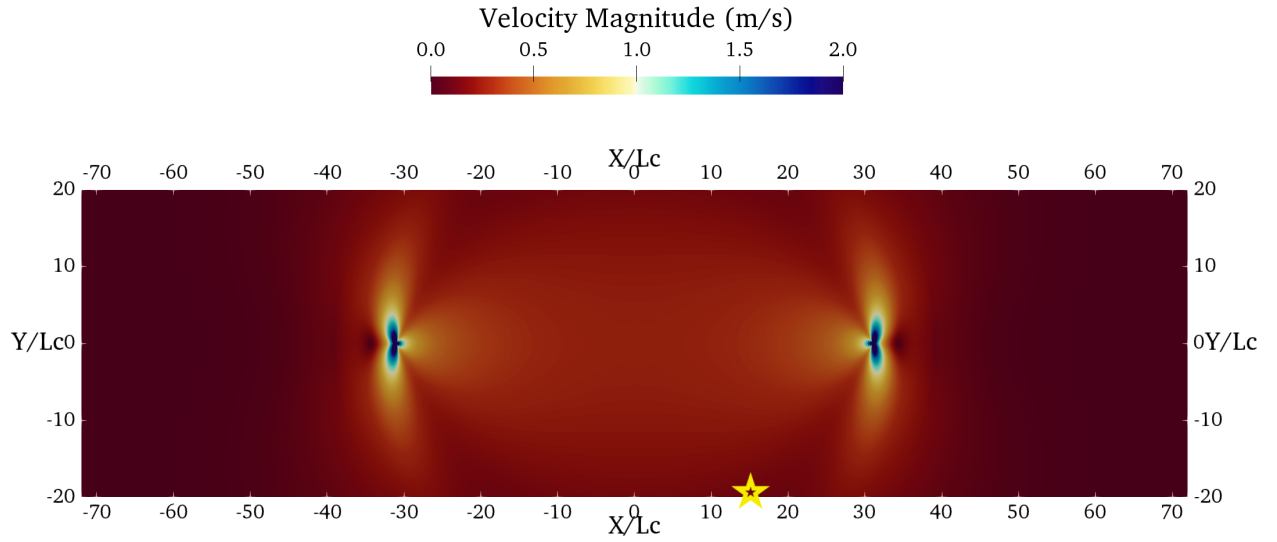
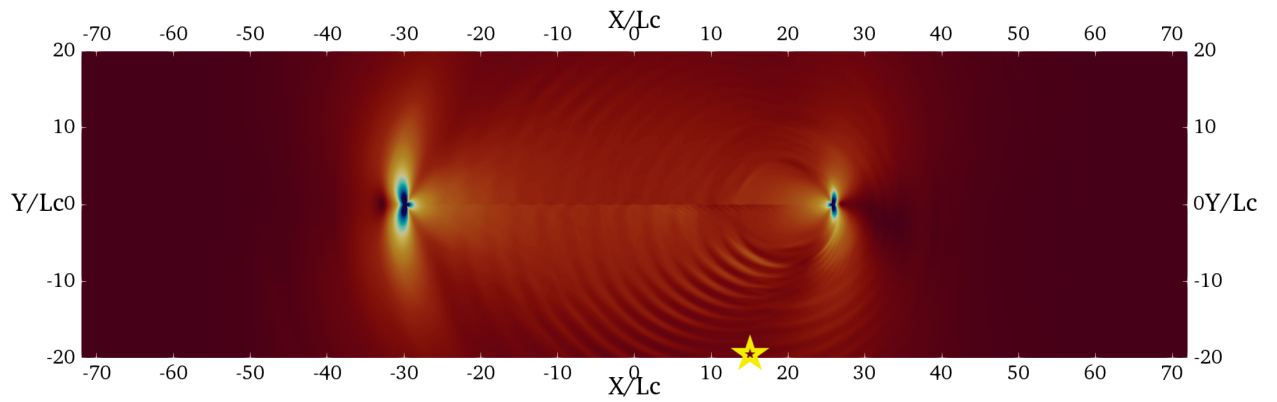


Figure 2: Slip, slip rate, shear stress and normal stress distributions on the main fault, at the same point in time, with and without secondary branches for the elastic material case. (a) Slip, (b) Slip rate, (c) Shear stress distribution, and (d) Normal stress distribution. Overall, the fish bone case shows significant post-event stress heterogeneities as well as reduced slip, maximum slip rate, and rupture speed. **The full time history for the evolution of the slip, slip rate, and shear stress on the main fault is included in Supplementary Material 1.**



(a)



(b)

Figure 3: Contours of the bulk velocity field. (a) Homogeneous medium. (b) Domain with fish bone structure. Coherent high frequency generation emerge in the case of the fault with secondary branches (fish bone structure) and propagate away from the fault plane as concentric fringes. These high frequency waves are generated as a result of the constructive interference between the waves emitted by the the secondary branches. In the homogeneous case the high frequency wave field is localized near the rupture fronts. **We have also included videos for the process of high frequency generation in Supplementary Materials 2 and 3.**

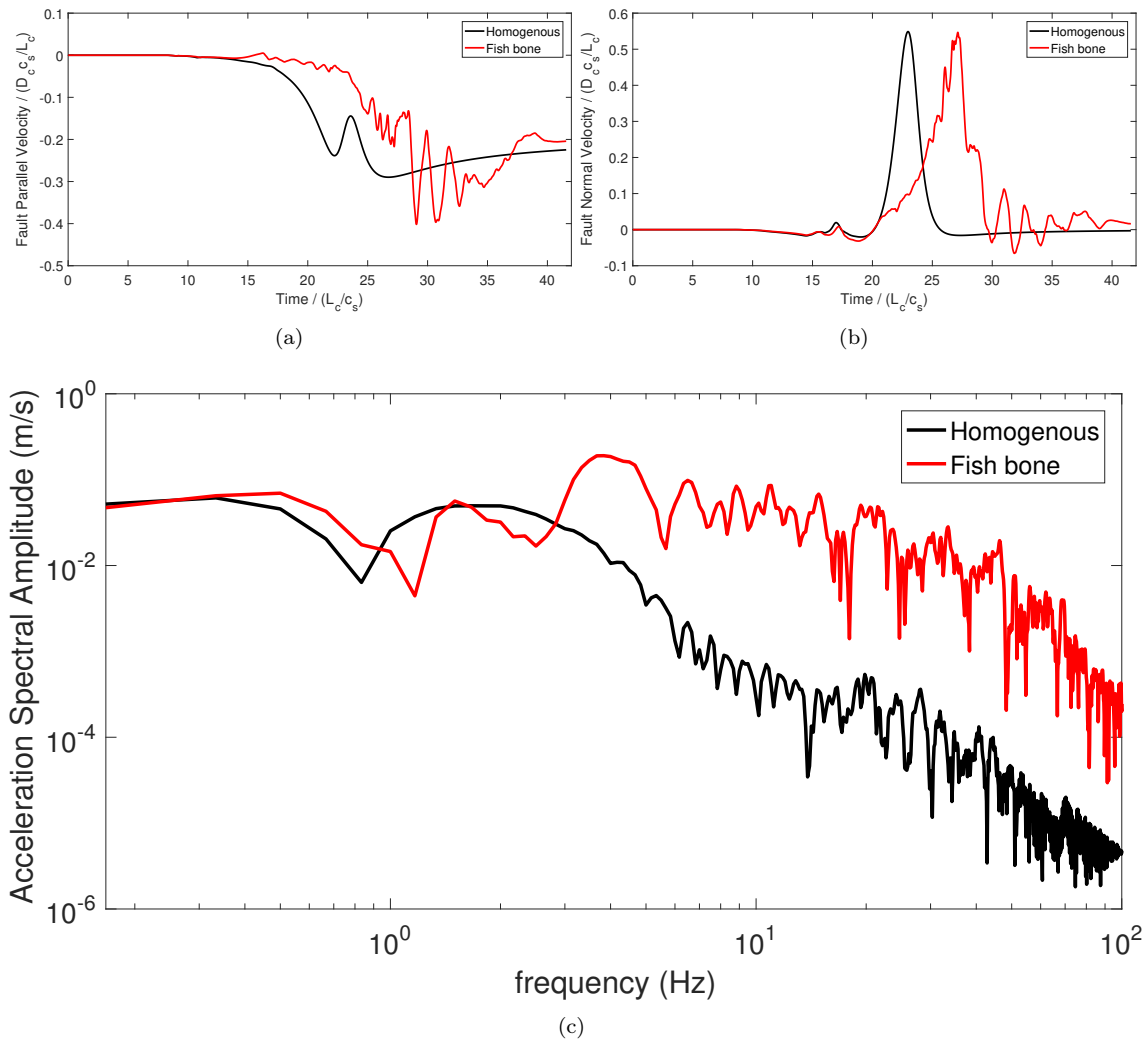


Figure 4: High frequency generation with and without secondary branches. (a),(b) Fault-parallel and fault-normal velocities at a station located at $x^* = 15L_c$ and $y^* = -2L_c$ (c) fault-normal acceleration spectral amplitude at station $x^* = 15L_c$ and $y^* = -2L_c$.

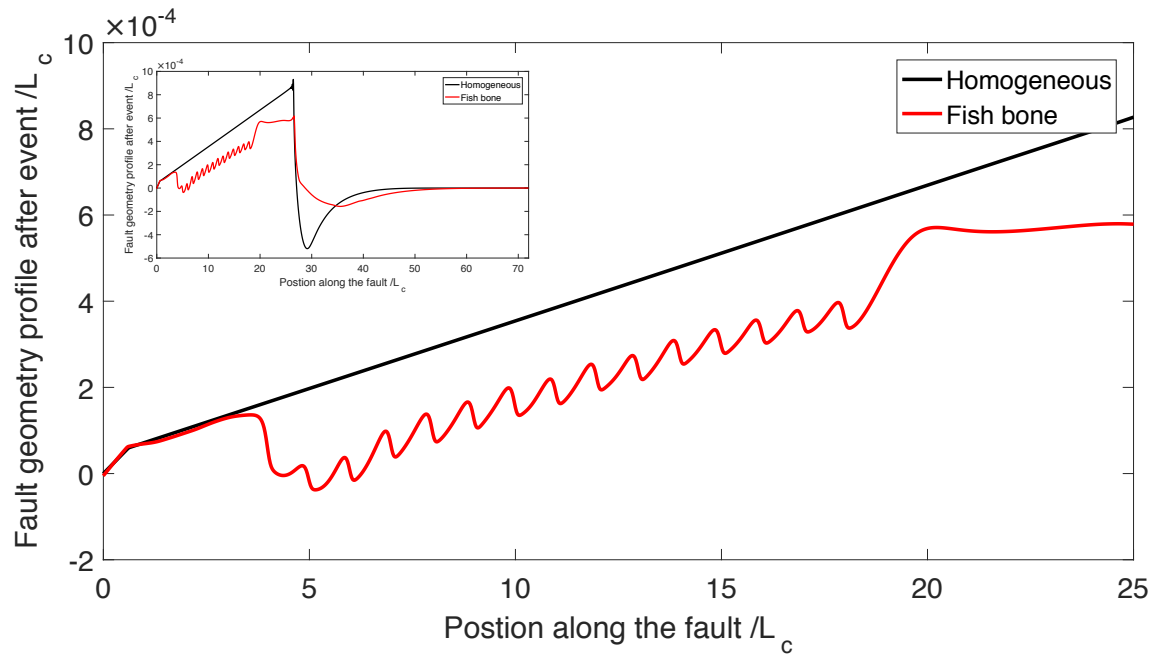


Figure 5: Normal displacement distribution with and without secondary branches. The insert figure shows the whole distribution along the full half length of the fault. The secondary faults cause periodic undulations in the main fault profile

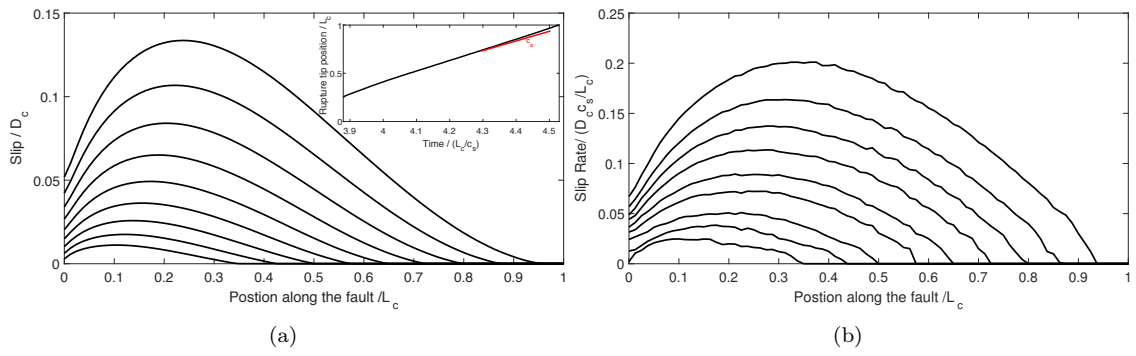


Figure 6: Slip and slip rate distributions on the first secondary fault at consecutive time steps (plotted every 0.02s). (a) Slip, (b) Slip rate. Insert figure in (a) shows the rupture tip position along the secondary fault versus time suggesting that the rupture is propagating at supershear speeds.

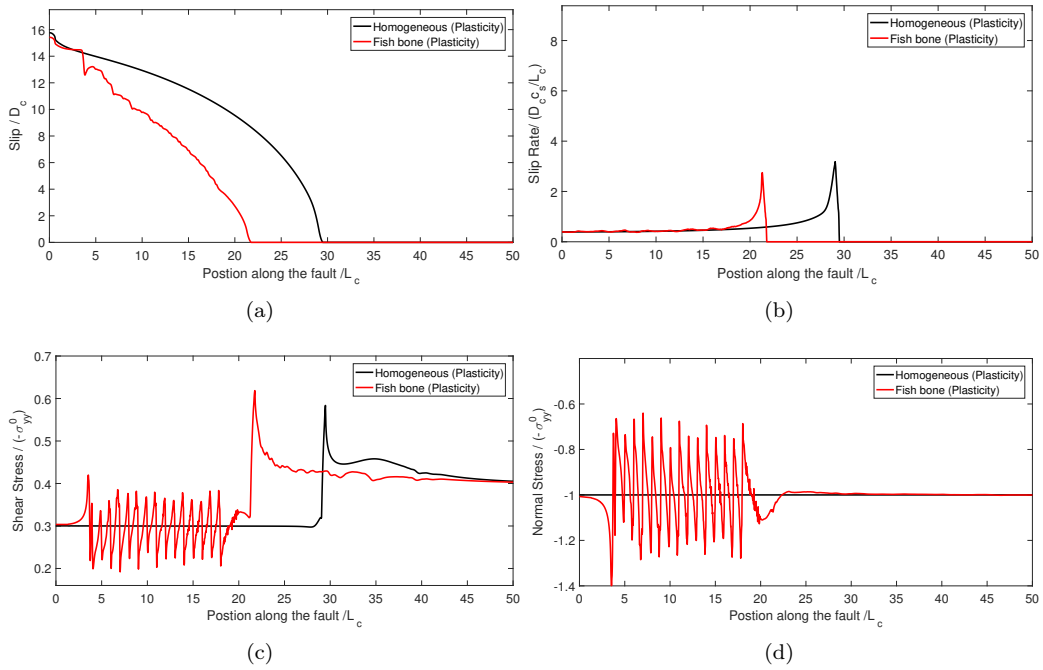


Figure 7: Slip, slip rate, shear stress, and normal stress distributions on the main fault, at the same point in time, with and without secondary branches for the elasto-plastic material case. (a) Slip, (b) Slip rate, (c) Shear stress distribution, and (d) Normal stress distribution. Overall, the fish bone case shows significant post-event stress heterogeneities as well as reduced slip, maximum slip rate, and rupture speed. The values of slip and maximum slip rate in the elasto-plastic case are lower than the elastic case.

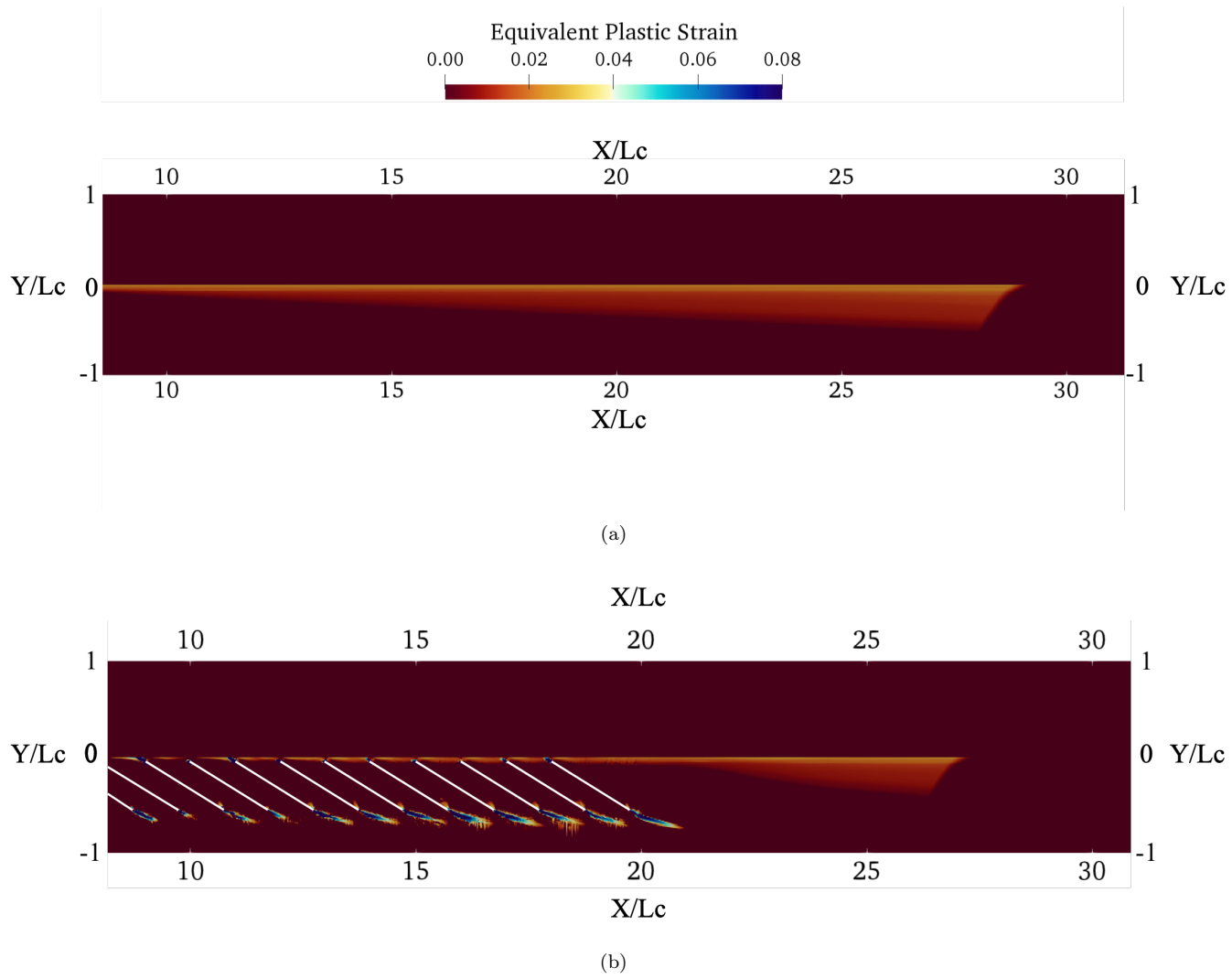


Figure 8: Equivalent Plastic Strain distribution (a) Homogeneous material (b) Fish bone structure. The lines in white are the location of the secondary branches.

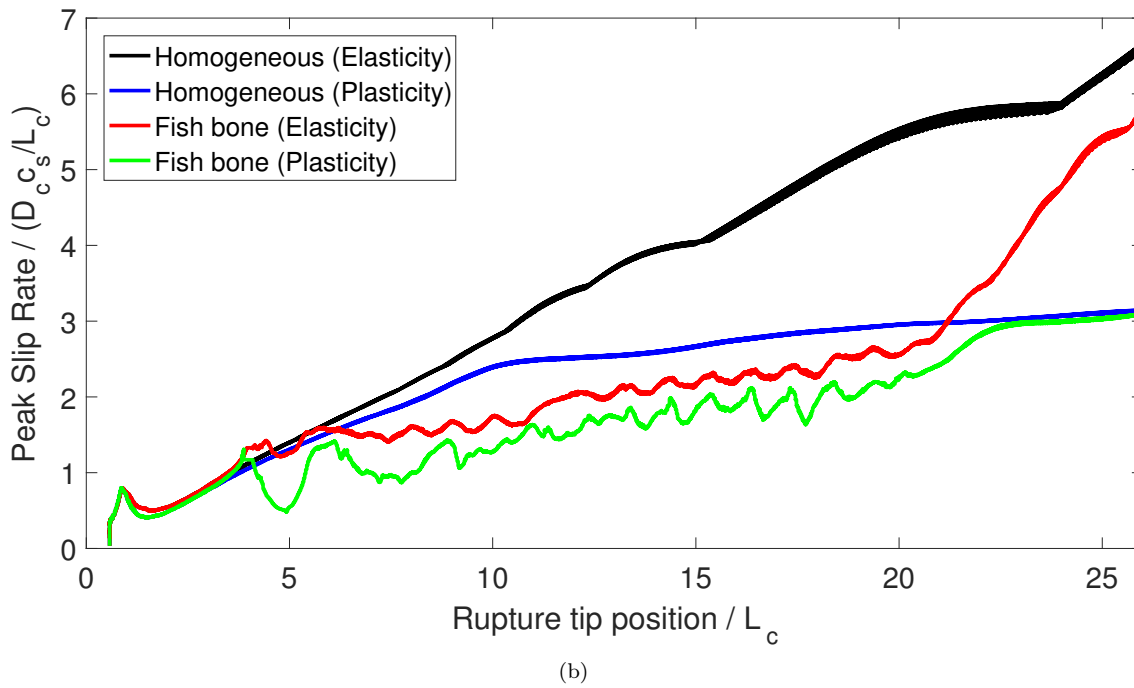
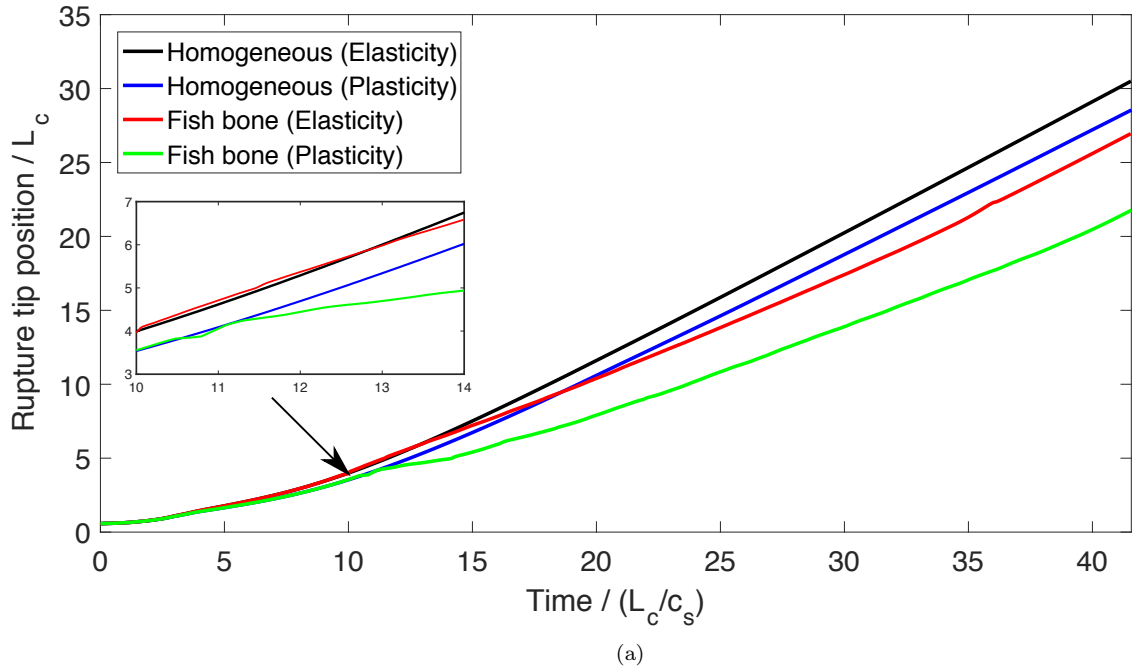


Figure 9: Comparison of rupture characteristics in the different cases (a) Rupture Tip position on the main fault as a function of time for the homogeneous and fish bone cases with elastic and elasto-plastic material models (b) Peak slip rate as a function of rupture tip position on the main fault for homogeneous and fish bone cases with elastic and elastoplastic material models

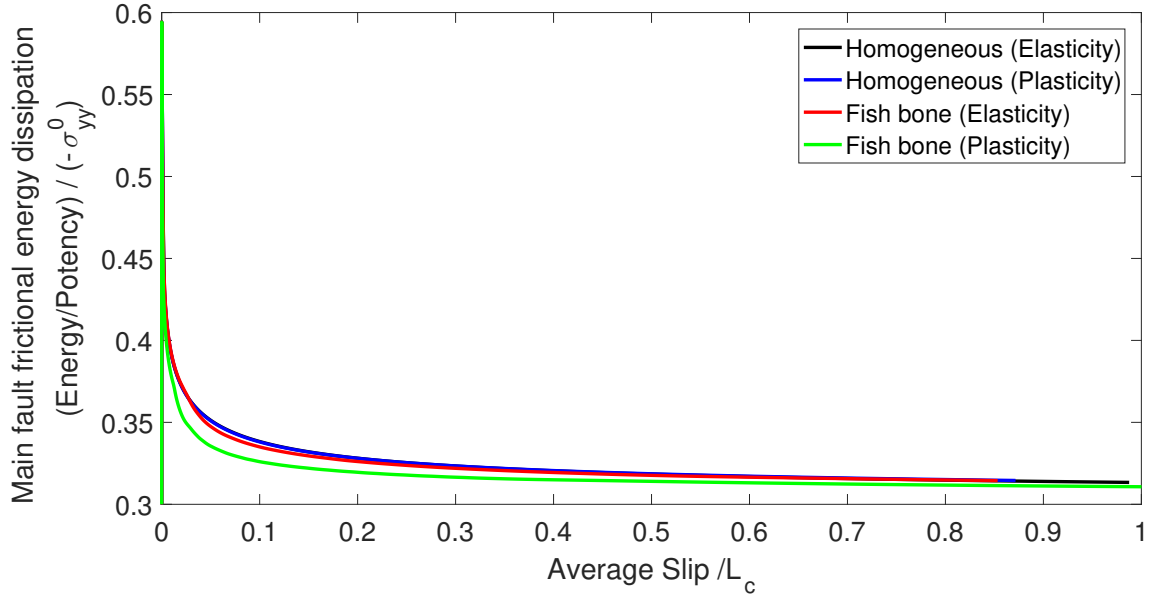


Figure 10: Frictional dissipation normalized by potency for the main fault in the four different cases investigated in the manuscript. The homogeneous case with either elastic or elasto-plastic material models shows similar normalized frictional energy dissipation. The fish bone structure with elastic material has lower normalized frictional dissipation on the main fault than the homogeneous case due to off-fault energy dissipation by frictional sliding on the secondary branches. The fish bone structure with plasticity dissipate the least energy on the main fault as frictional heat among the four cases because more energy is being dissipated by the localized plastic deformation at the tips of the secondary faults.

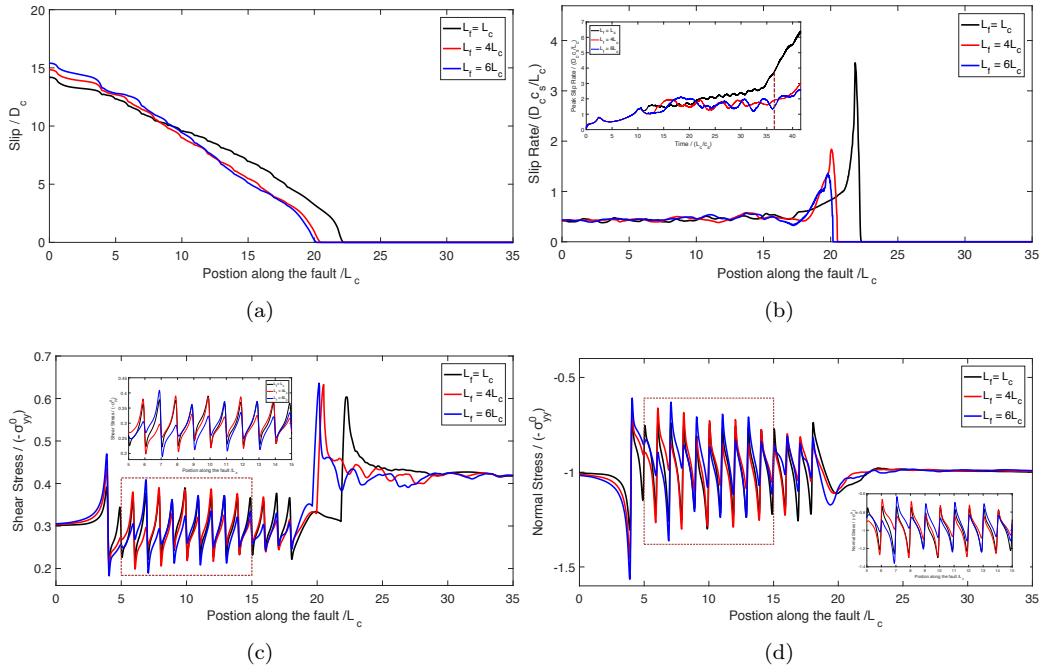


Figure 11: Slip, slip rate, shear stress, and normal stress distributions on the main fault, at the same point in time, with different lengths of secondary faults $L_f = L_c, 4L_c, 6L_c$ for the elastic material case. (a) Slip, (b) Slip rate, (c) Shear stress distribution, and (d) Normal stress distribution. Longer secondary faults promote a more complex pattern of stress perturbations on the main fault and lead to further reduction in the main rupture propagation speed.

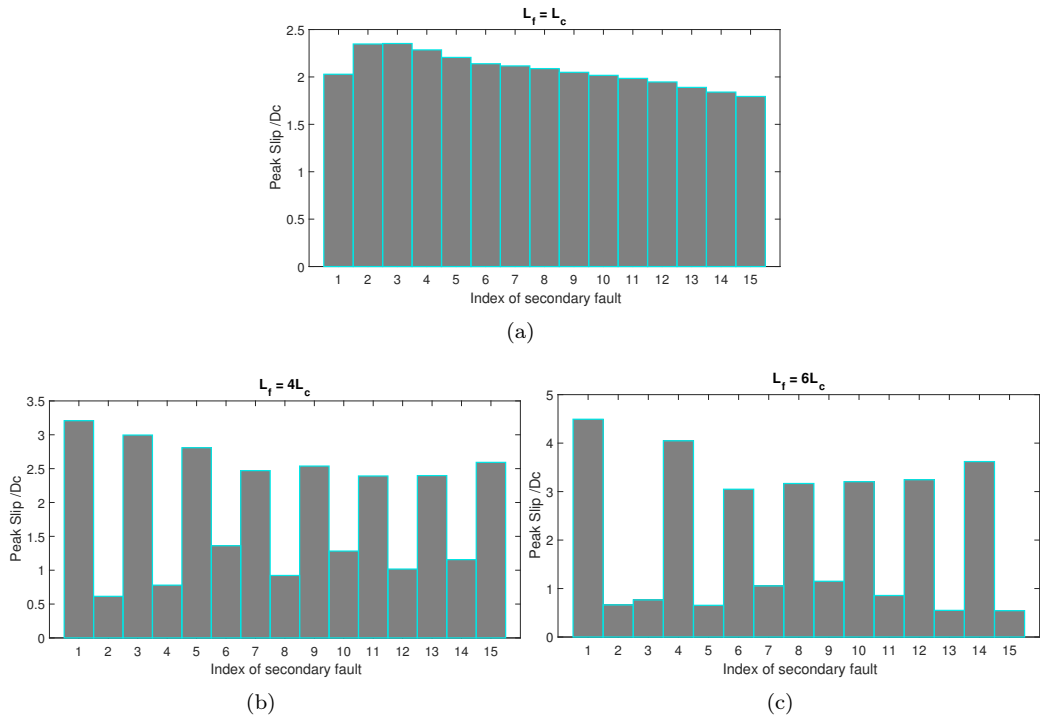


Figure 12: Peak Slip distribution on the secondary faults with different length $L_f = L_c$, $L_f = 4L_c$ and $L_f = 6L_c$. The crack shielding effect is more significant in the presence of longer secondary faults.

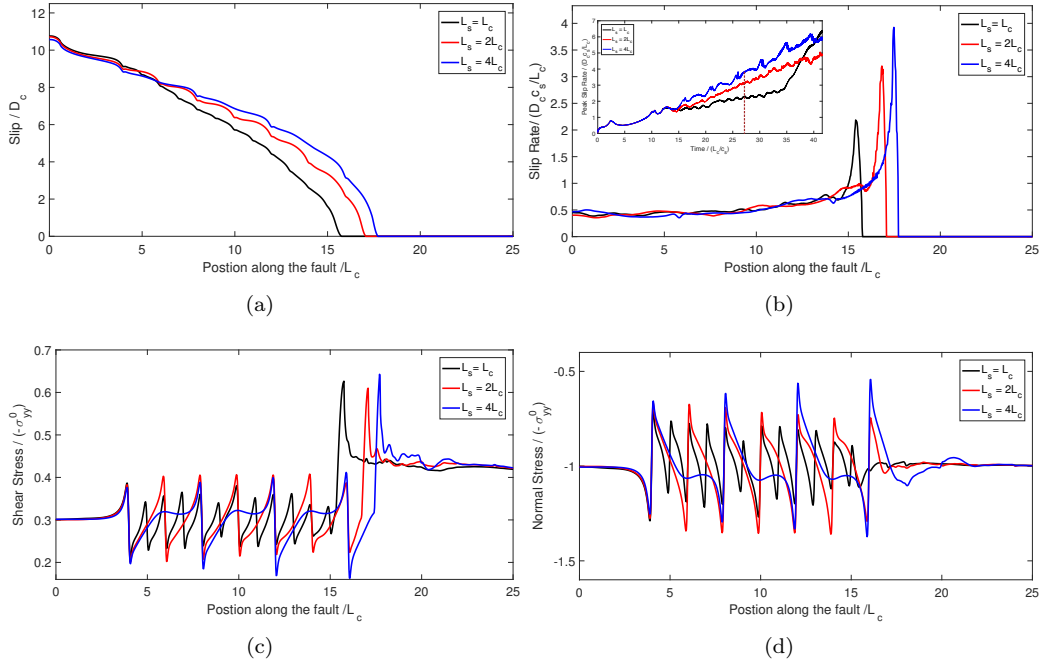
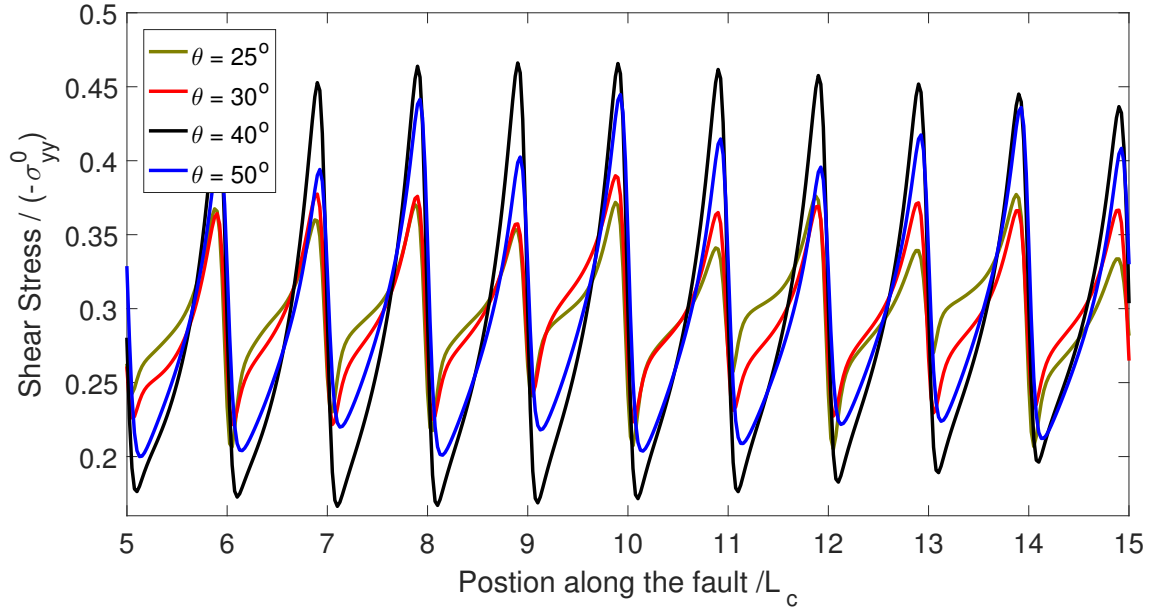
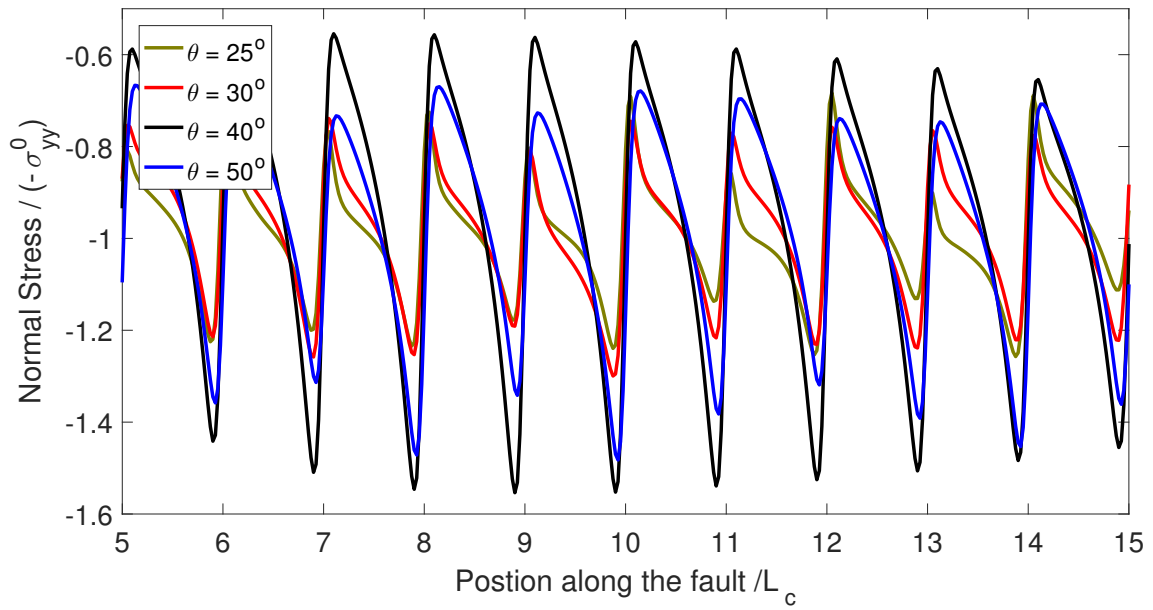


Figure 13: Slip, slip rate, shear stress, and normal stress distributions on the main fault, at the same point in time, with different spacing between the secondary faults $L_s = L_c, 2L_c, 4L_c$ for the elastic material case. (a) Slip, (b) Slip rate, (c) Shear stress distribution, and (d) Normal stress distribution. Larger spacing between secondary faults promote stronger perturbations in the stress and slip rate on the main fault.



(a)



(b)

Figure 14: Shear stress and normal stress distributions on the main fault, at the same point in time, for different orientations of secondary faults with respect to the fault parallel direction $\theta = 25, 30, 40, 50$ degrees in the elastic material case. (a) Shear stress distribution, (b) Normal stress distribution. The amplitude of the stress perturbations decrease as the secondary faults rotate away from the optimally oriented shear plane direction ($\theta = 40$).

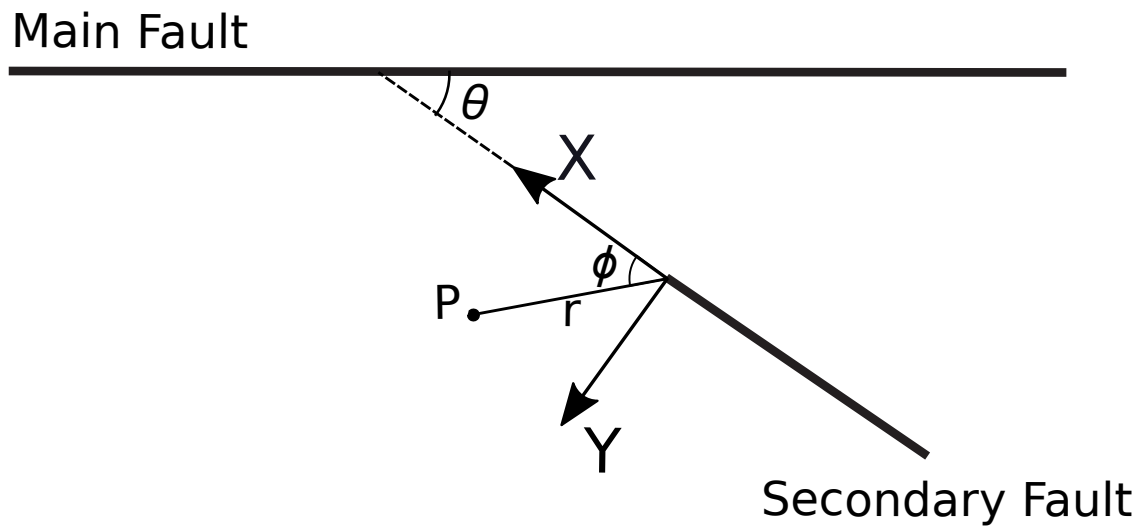
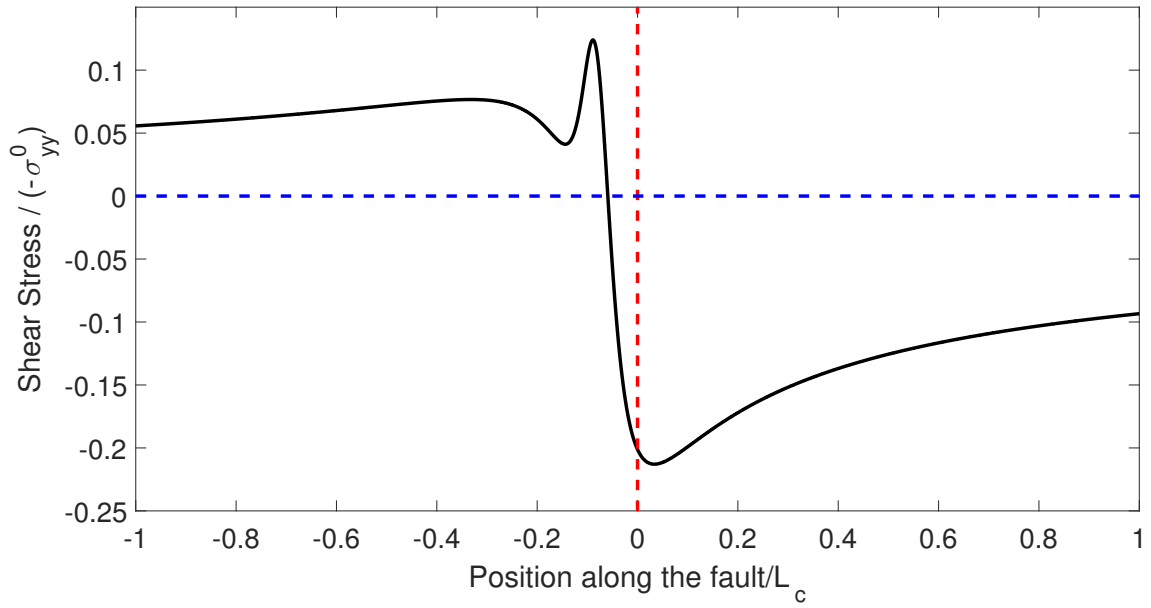
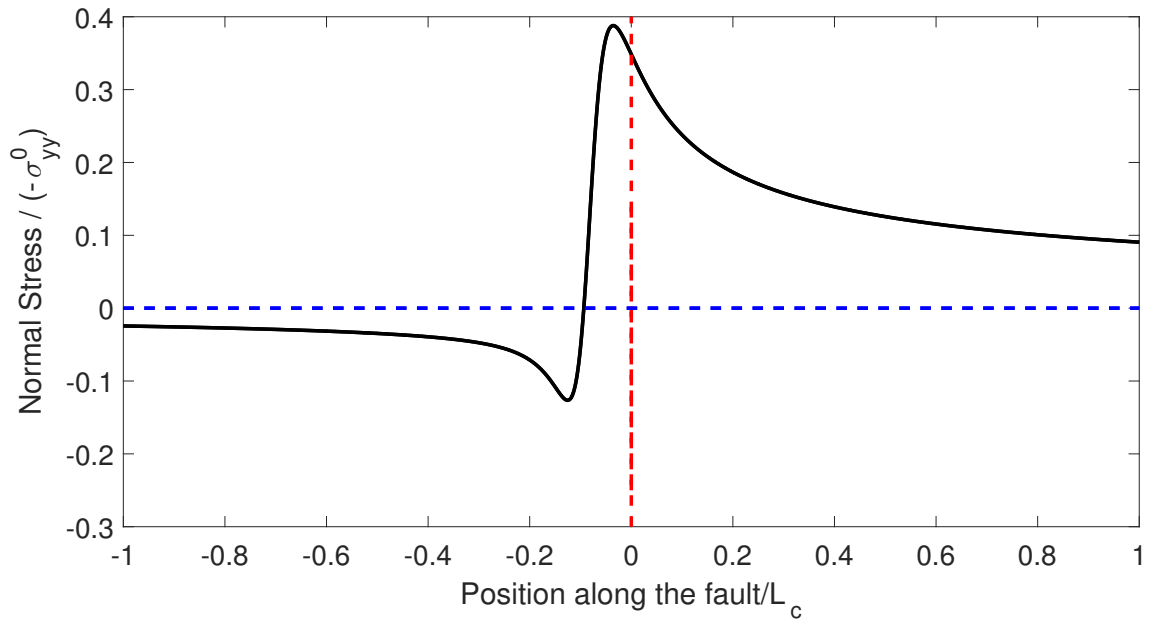


Figure A.1: Model geometry setup for Linear Elastic Fracture Mechanics analysis. The origin of the coordinate system is set at the near end of the secondary fault. The polar coordinates are defined with radius r and angle ϕ . The angle between the main fault and the secondary fault is θ .



(a)



(b)

Figure A.2: Shear and normal stress distribution along the main fault by applying LEFM analysis at the near end of the secondary fault. The secondary fault is located near the position 0 (indicated by the red dash line). The sign of the shear and normal stress switches around the secondary fault position (indicated by the blue dash line). The LEFM analysis gives a stress perturbation pattern that is in qualitative agreement with the results from numerical simulations.