#### Detection of delay in post-monsoon agricultural burning across 1 Punjab, India: potential drivers and consequences for air quality 2

3 Tianjia Liu<sup>1\*</sup>, Loretta J. Mickley<sup>2\*</sup>, Ritesh Gautam<sup>3</sup>, Manoj K. Singh<sup>4</sup>, Ruth S. DeFries<sup>5</sup>,

- 4 and Miriam E. Marlier<sup>6</sup>
- 5 <sup>1</sup>Department of Earth and Planetary Sciences, Harvard University, Cambridge, MA, 02138, USA
- 6 <sup>2</sup>School of Engineering and Applied Sciences, Harvard University, Cambridge, MA, 02138,
- 7 USA
- 8 <sup>3</sup>Environmental Defense Fund, Washington, D.C., 20009, USA
- 9 <sup>4</sup>University of Petroleum and Energy Studies, Dehradun, Uttarakhand, India
- 10 <sup>5</sup>Department of Ecology, Evolution, and Environmental Biology, Columbia University, New
- 11 York, NY, 10027, USA
- 12 <sup>6</sup>RAND Corporation, Santa Monica, CA, 90401, USA
- 13
- 14 \*Correspondence to: Tianjia Liu (tianjialiu@g.harvard.edu), Loretta Mickley
- 15 (mickley@fas.harvard.edu)

#### 16 Abstract

17 Since the Green Revolution in the mid-1960s, a widespread transition to a rice-wheat

- rotation in the Indian state of Punjab has led to steady increases in crop yield and productivity. 18
- 19 After harvest of the monsoon rice crop, the burning of excess crop residue in Punjab from
- 20 October to November allows for rapid preparation of fields for sowing of the winter wheat crop.
- 21 Here we use daily satellite remote sensing data to show that the timing of peak post-monsoon fire
- 22 activity in Punjab and regional aerosol optical depth (AOD) has shifted later by approximately
- 23 two weeks in Punjab from 2003-2016. This shift is consistent with delays of 11-15 days in the
- 24 timing of maximum greenness of the monsoon crop and smaller delays of 4-6 days in the timing
- 25 of minimum greenness during the monsoon-to-winter crop transition period. The resulting
- 26 compression of the harvest-to-sowing period coincides with a 40% increase in total burning and
- 27 50% increase in regional AOD. Potential drivers of these trends include agricultural
- 28 intensification, variations in monsoon rainfall, and a recent groundwater policy that delays
- 29 sowing of the monsoon crop. The delay and amplification of burning into the late post-monsoon 30
- season suggest greater air quality degradation and public health consequences across northern
- 31 India.

#### 32 **1. Introduction**

33 Rapid increases in mechanized harvesting in the Indo-Gangetic Plain (IGP) since the 34 mid-1980s, together with steady increases in crop production, have led many farmers to burn the

35 abundant residue left behind by this practice (Badarinath et al 2006). Such burning is a quick,

- cheap, and efficient method to ready the fields for the next crop. However, the smoke from post-36
- 37 monsoon crop residue burning, primarily during October to November, amplifies severe haze
- 38 events in the region (Kaskaoutis et al 2014), such as that observed in early November 2016
- 39 (Cusworth et al 2018). Of particular concern is the observed increase in aerosol loading
- 40 associated with an upward trend in post-monsoon burned area and with a shift toward a later

41 peak in post-monsoon fires in northwestern India (Thumaty et al 2015, Jethva et al 2018, Liu et

42 *al* 2019). Here we use daily satellite remote sensing data to better quantify the temporal shift

- 43 toward later burning in the state of Punjab, the "breadbasket" of India. Such a shift would have
- 44 implications for air quality, since peak burning is more likely to coincide with meteorological
- 45 conditions that are favorable in amplifying persistent haze.

46 Agricultural intensification of rice and wheat in India has led to over two-fold and three-47 fold increases, respectively, in crop yield since the Green Revolution in the mid-1960s. In the 48 western IGP, the predominant rice-wheat rotation is highly productive (Kumar et al 2015). 49 Punjab, an agricultural state in northwestern India, contributes more than one-fifth of rice and 50 one-third of wheat to the central grain pool in India, and thus generates large amounts of crop 51 residue annually. Since the mid-to-late 1980s, farmers have increasingly used mechanized 52 harvesting methods in preference to sickle-based manual harvesting in order to reduce labor 53 costs and save time (Badarinath et al 2006, Kumar et al 2015). The use of combine harvesters, 54 however, leaves behind an abundance of scattered and root-bound residue that is difficult to 55 remove and thus often burned post-harvest to prepare for timely sowing of the next crop (Kumar 56 et al 2015). The burning allows for quick disposal of crop residues and shortens the harvest-to-57 sowing transition from the kharif (monsoon crop) to rabi (winter crop) season. A quicker 58 transition between crops also allows for earlier sowing of wheat during post-monsoon to avoid

59 springtime heat (Lobell *et al* 2013).

60 However, the burning of post-monsoon rice residue can severely degrade air quality 61 downwind of the agricultural fires over the IGP (Badarinath et al 2006, Kaskaoutis et al 2014, 62 Liu et al 2018b, Cusworth et al 2018, Jethva et al 2018). In particular, smoke from rice residue 63 burning in October and November may account for more than half the fine particulate matter 64 (PM<sub>2.5</sub>) concentrations in the Delhi National Capital Region (Cusworth et al 2018), which already experiences intense urban pollution from local and other regional sources (Amann et al 65 66 2017). A temporal shift in fire activity to later in the year could exacerbate air quality 67 degradation since late autumn-to-winter meteorology in the IGP favors smog formation due to 68 weak winds, frequent temperature inversion, and a shallow boundary layer (Choudhury et al 69 2007, Saraf et al 2010, Liu et al 2018b).

70 Observations from the Moderate Resolution Imaging Spectroradiometer (MODIS), 71 aboard NASA's Terra and Aqua satellites, have been extensively used to investigate fire activity, 72 crop yields, production, and phenology, and land use change detection. However, MODIS multi-73 day composites (8-day, 16-day) typically analyzed are insufficient to capture and resolve rapid 74 changes in crop phenology (Zhao et al 2009). Here we use daily active fire and surface 75 reflectance data from MODIS to investigate trends in agricultural activity in Punjab. Specifically, 76 we quantify the delays in post-monsoon agricultural fire activity and determine whether the 77 seasonal cycle of monsoon to post-monsoon vegetation greenness reveals similar delays. We 78 conclude with a discussion of the potential drivers of these interannual changes and an analysis 79 of the consequences for regional air quality.

# 80 2. Data and Methods

81 2.1 Study region

The IGP is home to over 700 million people (appendix S1.4), many of whom rely on agricultural productivity of the densely cropped belt of northern India and parts of Pakistan,

- 84 Nepal, and Bangladesh for livelihood and food security. Relative to other double-cropped states
- 85 in northern India, such as Haryana, Uttar Pradesh, and Bihar, Punjab has the highest rice-wheat
- (Figure 1a), rice-wheat yields, and topography (Azzari *et al* 2017). Here we focus on Punjab
  during the post-monsoon rice residue burning season (defined here as September 20 to
- 89 November 30), when fields are prepared for winter wheat sowing. To a lesser degree, we
- 90 examine the pre-monsoon wheat residue burning season (April 1 to May 31), when fields are
- 91 prepared for monsoon rice sowing (Figure 1b).

#### 92 2.2 Active fires and vegetation indices

- 93 For analysis of fire activity, we sum daily 1-km maximum Fire Radiative Power (FRP), a
- 94 proxy for fire intensity, derived from MODIS/Terra and Aqua (MOD14A1/MYD14A1,
- 95 Collection 6). We also compare FRP with MODIS-derived fire counts and burned area and
- 96 MODIS-based fire emissions from the Global Fire Emissions Database, version 4 with small
- 97 fires (GFEDv4s) (Table S1). For analysis of vegetation greenness, we use daily 500-m
- 98 MODIS/Terra surface reflectance (MOD09GA, Collection 6) to derive two vegetation indices,
- 99 the Normalized Difference Vegetation Index (NDVI) and Normalized Burn Ratio (NBR):

100 NDVI = 
$$\frac{\rho_2 - \rho_1}{\rho_2 + \rho_1}$$
 (1)

101 
$$NBR = \frac{\rho_2 - \rho_7}{\rho_2 + \rho_7}$$
(2)

102 where  $\rho_i$  is the surface reflectance of MODIS band *i*. The wavelength range of the bands is as

103 follows: 620-670 nm for band 1 (red), 841-876 nm for band 2 (near infrared), and 2105-2155 nm

- 104 for band 7 (shortwave infrared). These active fire and surface reflectance datasets are described
- 105 in more detail in appendix S1.

### 106 2.3 Statistical analysis

We estimate linear trends with residuals bootstrapping. Unlike the linear regression t-test, which assumes that the residuals are normally distributed, bootstrapping preserves and resamples from the sample residuals distribution. To obtain a sample distribution, 1000 iterations are performed in which residuals are randomly sampled with replacement for each iteration and the

111 dependent variable *y* re-fit using linear regression.

### 112 2.3.1 Characterizing the temporal progression of agricultural fires

113 We characterize the progression of the pre-monsoon and post-monsoon burning seasons, 114 defined in Section S2.1, of each year in order to assess interannual temporal trends. While the moderate spatial resolution of MODIS likely leads to large underestimates in total post-monsoon 115 116 agricultural fire activity in northwestern India (Liu *et al* 2019), here we aim to quantify linear 117 trends using the relative temporal distribution of fire intensity, which is minimally impacted by 118 spatial resolution (appendix S1.1). To estimate the midpoint date of each burning season, 119  $x(FRP)_{midpoint}$ , we weight each day of the burning season, from 1 to n total days, by the corresponding daily sum of Terra and Aqua MODIS FRP and take the average. We approximate 120

121 the timing of the start and end date of burning for that season,  $x(FRP)_{start}$  and  $x(FRP)_{end}$ , as 122  $x(FRP)_{midnoint} \pm 1.5\sigma$ , where  $\sigma$ , also weighted by daily FRP, is one standard deviation.

123 The value  $x_{midpoint}$  may not correspond to the day of peak burning,  $x(FRP)_{peak}$ . To 124 estimate  $x_{peak}$ , we fit Gaussian density curves to daily FRP, thus smoothing potential noise in 125 FRP due to inconsistencies in observing area caused by cloud and haze cover:

126 
$$g(x) = k \cdot e^{-0.5 \left[\frac{(x-\mu)}{\sigma}\right]^2}$$
(3)

127 where g(x) is the Gaussian function, x is days of the burning season expressed as 1 to n total 128 days, m is the mean of x,  $\sigma$  is the standard deviation of x, and k is an arbitrary scaling parameter. 129 We then use the *optim* function from the R *stats* package to minimize non-linear least squares of

g(x) and y, or fractional daily FRP, and to estimate the  $\mu$ ,  $\sigma$ , and k parameters that yield the

131 optimal Gaussian fit. As first guesses of the three parameters for the *optim* function, we use

132  $x(FRP)_{midpoint}$  as  $\mu$ , 7 as  $\sigma$ , and 1 as k.

#### 133 2.3.2 Tracking crop phenology with NDVI and NBR

134 NDVI is widely used to characterize the cycling in vegetation growth, land cover change, 135 and crop productivity (Yengoh et al 2015, Justice et al 1985). NBR, while typically used in burned area and burn severity classification (Key and Benson 2006), is analogous to NDVI, 136 137 which relies on the visible red reflectance instead of the shortwave infrared (SWIR) reflectance. 138 A major advantage of NBR is that compared to visible wavelengths, SWIR wavelengths can 139 better discriminate between vegetation and bare soil (Chen et al 2005, Asner and Lobell 2000) 140 and are less susceptible to atmospheric interference from smoke aerosols and thin clouds (Roy et 141 al 1999, Eva and Lambin 1998, Avery and Berlin 1992). Here we use NBR as a complement to 142 NDVI to track crop phenology with variations in vegetation greenness.

143 We estimate the timing of crop maturation, or maximum greenness, during the monsoon 144 growing season with both the daily median NDVI and NBR time series. Assuming that the 145 seasonal progression in the crop cycle is similar across years, the timing of peak greenness in the 146 growing season diagnoses the timing of the overall growing season. To estimate the timing of the 147 maximum monsoon greenness with the noisy daily time series, we apply weighted cubic splines 148 smoothing with bootstrapping on time steps within a defined window that straddles the day of 149 monsoon peak greenness. Cubic splines smoothing stitches together piecewise third-order 150 polynomial interpolation between "knots," or selected experimental points, and has been used 151 extensively for crop phenology applications (Jain et al 2013, Mondal et al 2014, 2015, Jain et al 152 2017). We apply weights to the NDVI and NBR time series using the daily fraction of "usable" pixels, or those uncontaminated by clouds or thick haze (hereafter referred to as usable fraction) 153 154 in the study area. This weighting follows from our greater confidence in daily median NDVI and 155 NBR on clearer days versus cloudier and/or hazier days. Prior to bootstrapping, we make initial 156 guesses of the four local maxima and minima: monsoon and winter peak greenness and pre-157 monsoon and post-monsoon trough greenness. We use these initial guesses to center a window of 158 300 days. Using a smoothing parameter of 0.75, we smooth the vegetation index time series with 159 weighted cubic splines within the defined window and estimate the bootstrapped mean timing of 160 maximum NDVI or NBR for each year. We repeat this process to estimate the earliest date when 161 fields are ready to sow the winter crop, or trough greenness, during the post-monsoon transition

#### 162 period.

163 To further assess whether the 2008-09 policy implementations led to abrupt shifts in 164 monsoon peak greenness or post-monsoon trough greenness, we quantify the mean difference 165 between the 2003-2007 and 2008-2016 time periods. As we will see, the observed shifts are 166 primarily localized in 2 years from 2008-09 with little change thereafter, and so the overall linear 167 trend may overestimate delays in peak or trough greenness. To find the mean delay, we use 168 weighted two-sample t-tests with bootstrapped statistics. The weights are  $1/\sigma^2$ , in which  $\sigma$  is 169 associated with bootstrapped estimates of the timing in peak or trough greenness over the two 170 time periods.

#### 171 2.3.3 Regional aerosol optical depth exceedances

172 To quantify enhancements in regional air quality degradation during the post-monsoon 173 burning season, we use MODIS/Terra Deep Blue retrievals of aerosol optical depth (AOD) over

174 Punjab, Haryana, Delhi, and western Uttar Pradesh (i.e., encompassing the aerosol source and

- 175 downwind transport regions of the IGP; appendix S1.3). In order to minimize the contribution of
- background AOD, we analyze regionally averaged AOD "exceedances" that is, the daily
- 177 spatial mean of AOD increments above the mean AOD  $\pm 1\sigma$  for each pixel and season across
- 178 Punjab, Haryana, Delhi, and western Uttar Pradesh. We analyze these daily mean AOD
- 179 exceedances within the  $x(FRP)_{start}$  and  $x(FRP)_{end}$  window to isolate the effect of agricultural
- burning. To estimate the timing of peak AOD exceedances, or  $x(AOD)_{peak}$ , we apply Gaussian
- 181 density curve optimization to values within this window expanded by two weeks. Such
- 182 expansion ensures that the optimization is not thrown off by high AOD days isolated at the
- 183 beginning or end of the season.

### 184 **3. Results**

#### 185 *3.1 Trends in seasonal agricultural fire activity*

186 The bimodal distribution of peak agricultural fire activity in both pre-monsoon and post-187 monsoon periods is limited to northwestern India, primarily in Punjab, as well as northern 188 Haryana (Figures 1, S1). Generally, 90% of post-monsoon fires in Punjab are set within an 189 approximate four-week window ( $27 \pm 3$  days) from mid-October to early November. We 190 estimate that the timing of peak post-monsoon fire intensity has shifted later in Punjab by 1.17 191 days yr<sup>-1</sup>, statistically significant at the 95% confidence interval (CI), indicating that the burning 192 of rice residue has shifted later by over two weeks from 2003-2016 (Figure 2, Table S2). These 193 findings are corroborated by similar temporal and magnitude shifts in GFEDv4s fire emissions 194 and MODIS fire counts and burned area (Table S3). In contrast, we find no such statistically 195 significant delays in the pre-monsoon burning season in Punjab (Table S2).

Spatially, the post-monsoon temporal shift is larger in magnitude in districts in western Punjab than in eastern Punjab (Figure S3). Moreover, the 14-year trends in total fire intensity for each 3-day block within this window signal a shift in the peak burning period, with decreasing FRP in mid-to-late October and increasing FRP in early November (Figure 2). We estimate that the magnitude of the peak fire activity, indicated by the 99th percentile of 3-day block sums of

FRP, has doubled over the 14-year period, an increase that may be partly attributed to some

homogenization in the timing of burning across districts.

#### 203 *3.2 Trends in vegetation greenness from monsoon to post-monsoon*

204 We also examine whether vegetation greenness in Punjab show similar shifts during the 205 monsoon growing season and post-monsoon harvest-to-sowing transition period. Whereas the 206 timing of minimum NBR and NDVI occurs after near-completion of post-monsoon burning in 207 mid-to-late November, the temporal maximum of these vegetation indices occurs near the end of 208 the monsoon around late August or early September (Figure 1b), indicating crop maturation. In 209 Punjab, the timing of maximum NDVI and NBR shows an overall delay of 11-15 days, with a 210 large, abrupt shift of 7-9 days from 2008, relative to previous years (Figure 3a-b). Concurrently, there is an evident increasing trend in maximum monsoon NBR (0.06 decade<sup>-1</sup>, 95% CI: [0.04, 211 212 0.08]) and NDVI (0.07 decade<sup>-1</sup>, 95% CI: [0.05, 0.09]), consistent with steady increases in 213 annual total *kharif* rice production in Punjab of 0.13 Tg yr<sup>-1</sup> (95% CI: [0.09, 0.17]) (Figures 3b, 214 S4, Table S5). Such increases in peak NBR and NDVI also suggest greater quantities of crop 215 residue, which may lead to amplified fire intensity and emissions. In contrast to the shift in 216 maximum NBR and NDVI, we find a smaller delay of 4-6 days in the timing of the minimum 217 values of these indices during post-monsoon (Figure 3c-d, Table S5), indicating that the shift in 218 the monsoon growing season is greater than the corresponding shift in the timing of the earliest 219 date when fields are ready for winter wheat sowing. In addition, we find that the duration from 220 the start of the burning season to trough post-monsoon greenness has decreased by 0.77 days yr<sup>-1</sup> 221 (95% CI: [-1.14, -0.41]), providing evidence for a shortened harvest-to-sowing period (Figure 222 S5). Taken together, our results suggest that the temporal shifts in post-monsoon burning are 223 likely associated with later sowing and harvesting of the monsoon crop.

#### 3.2.1 The utility of NBR as a vegetation index

We have so far considered NBR and NDVI as complementary vegetation indices. Here 225 226 we further demonstrate the utility of NBR for tracking crop phenology, particularly in resolving 227 the troughs of the crop cycle. The weaker detrended correlations ( $r = 0.23 \pm 0.39$ ) between the 228 two vegetation indices during transition months between the *kharif* and *rabi* seasons (May, June, 229 October, and November) compared to other months ( $r = 0.88 \pm 0.12$ ) support the notion that 230 NDVI more poorly resolves and tends to "flatten" the troughs of the double-crop cycle curve 231 (Figure S6). Moreover, the monthly distributions of detrended r(NDVI, NBR) values closely 232 follow variations in greenness in the double-crop cycle, with greater correlation during seasons 233 of crop growth. This pattern of correlation suggests that the performance of NDVI depends on 234 the level of greenness in-field and that NDVI values at or near-minimum greenness should be 235 interpreted with caution.

#### 236 3.3 Trends in post-monsoon regional aerosol optical depth

237 To quantify the consequences of the delays in post-monsoon agricultural fire activity for 238 regional air quality, we assess AOD exceedances during the main burning period bounded by  $x(FRP)_{start}$  and  $x(FRP)_{end}$ . Within this window, post-monsoon AOD exceedances have 239 increased by 50% from 2003-2016, likely associated with the reported upward trend in fire 240 intensity (Figure 4). Similar to the magnitude of the delay in  $x(FRP)_{peak}$ , the timing of the peak 241 in AOD,  $x(AOD)_{peak}$ , has shifted by 0.82 days yr<sup>-1</sup>(95% CI: [0.46, 1.16]), or ~12 days during 242 the 14-year period. The delay and increase in post-monsoon agricultural fire activity appear to 243 244 drive the coherent shifting pattern in heavy aerosol loading episodes (higher AOD exceedances),

despite the variability in AOD impacted by meteorology and other pollution sources, such asfireworks during the Diwali festival.

### 247 **4. Discussion**

#### 248 4.1 Implications of delays in post-monsoon fire activity

249 We find that the peak fire intensity of the post-monsoon burning season in Punjab has 250 shifted later in time by over two weeks from 2003 to 2016, with a 40% increase in overall fire 251 intensity. This delay is gradual, likely influenced by steady increases in crop production and 252 mechanization, which yield higher amounts of excess crop residue. We hypothesize that a 253 shortened harvest-to-sowing turnaround time after *kharif* rice harvests has amplified this increase 254 by making it difficult for farmers to prepare fields for timely sowing of *rabi* wheat. The optimal 255 time to sow wheat in Punjab is late October to early November (Liu et al 2019, Balwinder-Singh 256 et al 2016), yet co-occurring post-monsoon fires indicate that fields are often not ready at this 257 time, particularly in recent years. Since fire is a quick and cheap method to remove the leftover 258 residue generated by combine harvesters, farmers may have even greater incentive to burn crop 259 residue, especially if harvests are delayed past the optimal date to sow wheat. Consistent with 260 this hypothesis, we find that high fire intensity days preferentially occur during the latter half of 261 the fire season, when the optimal window for sowing is shrinking.

262 We also hypothesize that as post-monsoon fires increase in response to mechanization 263 and pressures to sow on time, the burning season gradually trends later, further compressing the 264 harvest-to-sowing window and increasing fire intensity rates. As a result, winter wheat sow dates 265 across the region will likely homogenize, collapsing around a small window to mitigate crop 266 losses from increasing temperatures later in the winter growing season (Lobell et al 2012). 267 Additionally, we estimate a 50% increase in regional AOD exceedances and  $\sim$ 12-day delay in the 268 timing peak AOD within the post-monsoon burning period from 2003-2016. Delays in the post-269 monsoon burning season also suggest that high fire days may increasingly coincide with late-270 autumn/winter meteorological conditions that favor severe fog/smog and haze events across the 271 IGP (Dey 2018). Dense fog formation peaks in winter (December to January) over the IGP (Dey 272 2018, Gautam and Singh 2018, Ghude et al 2017), but in recent years there appears to be an 273 increasing tendency in dense fog episodes observed earlier in November, coinciding with the 274 buildup of intense smoke associated with crop residue burning activity (Figure S7). Aside from 275 increasing exposure to high regional PM both locally and in urban centers downwind, crop 276 residue burning depletes soil moisture and decreases roadside visibility (Kumar et al 2015, 277 Badarinath et al 2006, Sidhu et al 2015, Sinha et al 2015). In spite of bans, such burning 278 continues to persist and gain traction (Tallis *et al* 2017). New technology that simultaneously 279 reuses crop residue as mulch cover and incorporates seeds into the bare soil has been tested as an 280 alternative to slash-and-burn methods of managing crop residue (Sidhu et al 2015, Tallis et al 281 2017).

282 4.2 Potential drivers of delays in the rice-wheat rotation

Delays in the post-monsoon burning season are consistent with such shifts in the timing of monsoon peak greenness (11-15 days) and post-monsoon trough greenness (4-6 days), though of lesser magnitude. Unlike the steady shifts seen in post-monsoon burning, an abrupt delay of roughly one week occurring around 2008-09 dominates the overall delay in the timing of

287 monsoon peak greenness, with relatively little change thereafter. Abrupt delays of similar 288 magnitude are also apparent in the timing of the start of the post-monsoon burning season. Here 289 we consider whether policy changes implemented around this time may have contributed toward 290 these abrupt shifts. In 2009, in order to counteract severe groundwater depletion driven by low 291 monsoon rainfall and widespread agricultural intensification, the Government of Punjab enacted 292 the "Preservation of Sub-Soil Water Act" (ordinance in 2008), which prohibits sowing rice 293 nurseries before May 10 and transplanting the resulting rice seedlings to flooded paddies before 294 June 10 (Ramanathan et al 2005, Asoka et al 2017, Singh 2009, Tripathi et al 2016). The Act 295 delays the onset of water-intensive agricultural practices that would otherwise coincide with 296 warm temperatures and high pre-monsoon evapotranspiration rates, which lead to excessive 297 usage of the groundwater supply from tube wells and other reservoirs (Humphreys et al 2010).

298 Another policy that could be related to the shift is the all-India implementation of the 299 Mahatma Gandhi National Rural Employment Guarantee Act (MGNREGA), a measure that 300 provides a social security net to rural workers (Reddy et al 2014) and may have decreased the 301 seasonal migration of workers to Punjab and led to labor shortages there (Singh 2009). Such 302 shortages may have delayed the sowing of rice and incentivized use of combine harvesters, 303 which may in turn explain the increase in crop residue burning. However, the already widespread 304 transition to mechanized harvesting in Punjab, with diminishing dependence on manual labor, 305 suggests that MGNREGA may have had a smaller impact on the timing of harvest and burning. 306 Finally, variations in the timing of monsoon onset may also be partly responsible for the 307 interannual variability in these observed shifts. Figure S8 summarizes the potential drivers and 308 implications of the delay in and amplification of post-monsoon fire activity associated with 309 double-crop cycle.

## 310 **5. Conclusion**

311 In summary, we show robust, statistically significant temporal shifts of over two weeks in 312 the timing of peak fire activity during the post-monsoon burning period in Punjab over a 14-year 313 period from 2003-2016, and smaller delays of 9-11 days in monsoon peak greenness and 3-6 314 days in post-monsoon trough greenness. We estimate the start, midpoint, and end of the burning 315 season using FRP as weights and the timing of peak FRP and regional AOD exceedances by 316 optimizing the Gaussian mean. We further demonstrate the viability and applicability of using 317 daily MODIS surface reflectance to characterize crop cycles and the utility of NBR as a useful 318 complement to NDVI. We hypothesize that while the gradual delays in the post-monsoon 319 burning season are likely linked to agricultural intensification and increasing mechanization, the 320 abrupt delay of one week around 2008-09 seen in the monsoon crop growing season appears to 321 coincide with groundwater and labor policy changes. The unintended consequences of these 322 temporal shifts in the double-crop cycle may be severe. First, a shortened harvest-to-sowing 323 period may further encourage farmers to burn crop residues in order to sow winter wheat on 324 time. Second, the timing of peak crop residue burning may increasingly coincide with winter 325 meteorology that favors severe smog events downwind across the IGP, where we diagnose a 326 50% increase in AOD exceedances, defined as the increment of AOD above the mean  $+ 1\sigma$ , over 327 2003-2016. Alternative technology that combines the co-benefits of incorporating wheat seeds 328 with rice residue and eliminating the need to burn residue, as well as switching to less water-329 intensive and stubble-producing crops, may alleviate the double bind of having to conserve 330 groundwater while reducing public health exposure to smoke from post-monsoon fires.

# 331 Data Availability

- 332 All satellite-derived data used in this study are publicly available. MODIS-derived datasets can
- be accessed through NASA Earthdata (https://search.earthdata.nasa.gov/) and Google Earth
- Engine (Gorelick *et al* 2017) (https://earthengine.google.com/). The Global Fire Emissions
- 335 Dataset, version 4s, (GFEDv4s) and MODIS and VIIRS active fire geolocations are available
- 336 from GFED (http://www.globalfiredata.org/), University of Maryland
- 337 (http://fuoco.geog.umd.edu/), and NASA Fire Information for Resource Management System
- 338 (FIRMS) (https://firms.modaps.eosdis.nasa.gov/).

# 339 Acknowledgements

- 340 We thank Marena Lin and Peter Huybers for key contributions to early versions of this work (Liu
- 341 *et al* 2018a) and Meghna Agarwala for helpful discussions regarding this manuscript. This work
- 342 was supported by a National Science Foundation Graduate Research Fellowship awarded to T.L.
- 343 (DGE1745303).

# 344 **References**

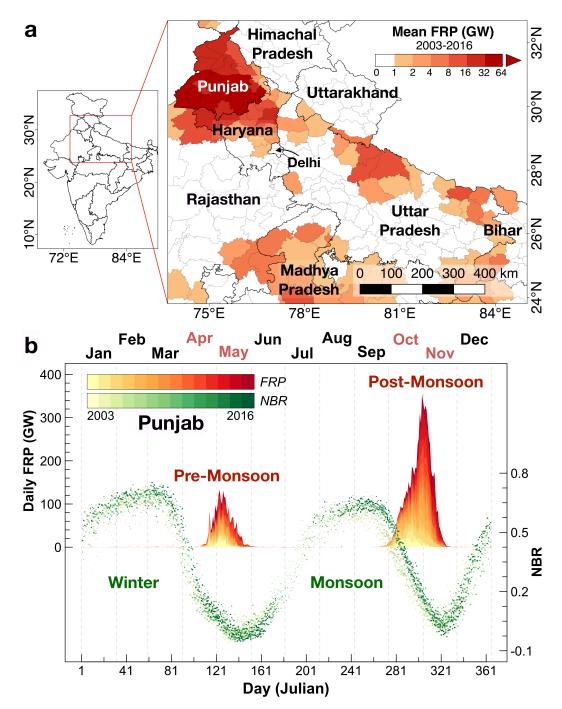
- 345 Amann M, Purohit P, Bhanarkar A D, Bertok I, Borken-Kleefeld J, Cofala J, Heyes C,
- Kiesewetter G, Klimont Z, Liu J, Majumdar D, Nguyen B, Rafaj P, Rao P S, Sander R,
  Schöpp W, Srivastava A and Vardhan B H 2017 Managing future air quality in megacities:
- 348 A case study for Delhi *Atmos. Environ.* **161** 99–111 Online:
- 349 https://doi.org/10.1016/j.atmosenv.2017.04.041
- Asner G P and Lobell D B 2000 A Biogeophysical Approach for Automated SWIR Unmixing of
   Soils and Vegetation *Remote Sens. Environ.* 74 99–112 Online:
- 352 https://doi.org/10.1016/S0034-4257(00)00126-7
- Asoka A, Gleeson T, Wada Y and Mishra V 2017 Relative contribution of monsoon precipitation
   and pumping to changes in groundwater storage in India *Nat. Geosci.* 10 109–17 Online:
   https://doi.org/10.1038/ngeo2869
- Avery T E and Berlin G L 1992 *Fundamentals of remote sensing and airphoto interpretation* (New York, NY: Macmillan Publishing Company)
- Azzari G, Jain M and Lobell D B 2017 Towards fine resolution global maps of crop yields:
   Testing multiple methods and satellites in three countries *Remote Sens. Environ.* 202 129–
   41 Online: http://dx.doi.org/10.1016/j.rse.2017.04.014
- Badarinath K V S, Kiran Chand T R and Krishna Prasad V 2006 Agriculture crop residue
  burning in the Indo-Gangetic Plains A study using IRS-P6 AWiFS satellite data *Curr. Sci.*91 1085–9
- Balwinder-Singh, Humphreys E, Gaydon D S and Eberbach P L 2016 Evaluation of the effects
  of mulch on optimum sowing date and irrigation management of zero till wheat in central
  Punjab, India using APSIM F. Crop. Res. 197 83–96 Online:
- 367 http://dx.doi.org/10.1016/j.fcr.2016.08.016

Chen D, Huang J and Jackson T J 2005 Vegetation water content estimation for corn and
 soybeans using spectral indices derived from MODIS near- and short-wave infrared bands
 *Remote Sens. Environ.* 98 225–36 Online: https://doi.org/10.1016/j.rse.2005.07.008

- Choudhury S, Rajpal H, Saraf A K and Panda S 2007 Mapping and forecasting of North Indian
   winter fog: an application of spatial technologies *Int. J. Remote Sens.* 28 3649–63 Online:
   https://doi.org/10.1080/01431160600993470
- Cusworth D H, Mickley L J, Sulprizio M P, Liu T, Marlier M E, DeFries R S, Guttikunda S K
  and Gupta P 2018 Quantifying the influence of agricultural fires in northwest India on urban
  air pollution in Delhi, India *Environ. Res. Lett.* 13 044018 Online:
  https://doi.org/10.1088/1748-9326/aab303
- 378 Dey S 2018 On the theoretical aspects of improved fog detection and prediction in India *Atmos.* 379 *Res.* 202 77–80 Online: https://doi.org/10.1016/j.atmosres.2017.11.018
- Eva H and Lambin E F 1998 Burnt area mapping in Central Africa using ATSR data *Int. J. Remote Sens.* 18 3473–97 Online: https://doi.org/10.1080/014311698213768
- Gautam R and Singh M K 2018 Urban Heat Island Over Delhi Punches Holes in Widespread
  Fog in the Indo-Gangetic Plains *Geophys. Res. Lett.* 45 Online: https://doi.org/10.1002/2017GL076794
- 385 Ghude S D, Bhat G S, Prabhakaran T, Jenamani R K, Chate D M, Safai P D, Karipot A K,
- Konwar M, Pithani P, Sinha V, Rao P S P, Dixit S A, Tiwari S, Todekar K, Varpe S,
  Srivastava A K, Bisht D S, Murugavel P, Ali K, Mina U, Dharua M, Jaya Rao Y,
  Padmakumari B, Hazra A, Nigam N, Shende U, Lal D M, Chandra B P, Mishra A K,
  Kumar A, Hakkim H, Pawar H, Acharja P, Kulkarni R, Subharthi C, Balaji B, Varghese M,
- Bera S and Rajeevan M 2017 Winter fog experiment over the Indo-Gangetic plains of India
   *Curr. Sci.* 112 767–84 Online: https://doi.org/10.18520/cs/v112/i04/767-784
- Gorelick N, Hancher M, Dixon M, Ilyushchenko S, Thau D and Moore R 2017 Google Earth
   Engine: Planetary-scale geospatial analysis for everyone *Remote Sens. Environ.* 202 18–27
   Online: https://doi.org/10.1016/j.rse.2017.06.031
- Humphreys E, Kukal S S, Christen E W, Hira G S, Balwinder-Singh, Sudhir-Yadav and Sharma
   R K 2010 Halting the groundwater decline in north-west india-which crop technologies will
   be winners? *Adv. Agron.* 109 155–217
- Jain M, Mondal P, DeFries R S, Small C and Galford G L 2013 Mapping cropping intensity of
   smallholder farms: A comparison of methods using multiple sensors *Remote Sens. Environ.* 134 210–23 Online: http://dx.doi.org/10.1016/j.rse.2013.02.029
- Jain M, Mondal P, Galford G, Fiske G and DeFries R 2017 An Automated Approach to Map
   Winter Cropped Area of Smallholder Farms across Large Scales Using MODIS Imagery
   *Remote Sens.* 9 566 Online: http://www.mdpi.com/2072-4292/9/6/566
- Jethva H, Chand D, Torres O, Gupta P, Lyapustin A and Patadia F 2018 Agricultural Burning
  and Air Quality over Northern India: A Synergistic Analysis using NASA's A-train Satellite
  Data and Ground Measurements *Aerosol Air Qual. Res.* 18 1756–73 Online:
  http://doi.org/10.4209/aaqr.2017.12.0583
- Justice C O, Townshend J R G, Holben B N and Tucker C J 1985 Analysis of the phenology of
  global vegetation using meteorological satellite data *Int. J. Remote Sens.* 6 1271–318
  Online: https://doi.org/10.1080/01431168508948281
- Kaskaoutis D G, Kumar S, Sharma D, Singh R P, Kharol S K, Sharma M, Singh A K, Singh S,
  Singh A and Singh D 2014 Effects of crop residue burning on aerosol properties, plume
  characteristics, and long-range transport over northern India J. Geophys. Res. Atmos. 119

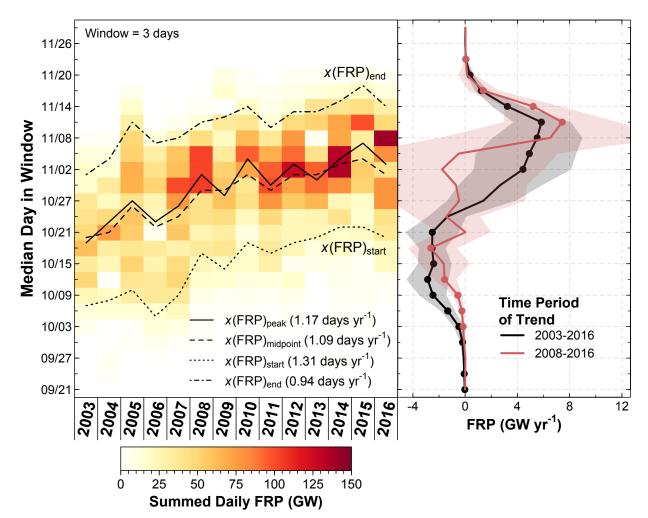
- 414 5424–44 Online: https://doi.org/10.1002/2013JD021357
- 415 Key C H and Benson N C 2006 Landscape Assessment (LA). In: Lutes, Duncan C.; Keane,
- 416 Robert E.; Caratti, John F.; Key, Carl H.; Benson, Nathan C.; Sutherland, Steve; Gangi,
- 417 *Larry J. 2006. FIREMON: Fire effects monitoring and inventory system* Online:
- $418 \qquad https://www.fs.fed.us/rm/pubs/rmrs_gtr164/rmrs_gtr164_13_land_assess.pdf$
- Kumar P, Kumar S and Joshi L 2015 Socioeconomic and Environmental Implications of *Agricultural Residue Burning: A Case Study of Punjab, India* Online:
  https://doi.org/10.1007/978-81-322-2014-5
- Liu T, Lin M, Mickley L J, Huybers P, Gautam R, Singh M K, DeFries R S and Marlier M E
  2018a Consequences for regional air quality from temporal shifts in post-monsoon
  agricultural burning associated with the double-crop cycle of Punjab, India American *Geophysical Union Fall Meeting* Online:
- 426 https://agu.confex.com/agu/fm18/meetingapp.cgi/Paper/431161
- Liu T, Marlier M E, DeFries R S, Westervelt D M, Xia K R, Fiore A M, Mickley L J, Cusworth
  D H and Milly G 2018b Seasonal impact of regional outdoor biomass burning on air
  pollution in three Indian cities: Delhi, Bengaluru, and Pune *Atmos. Environ.* 172 83–92
  Online: https://doi.org/10.1016/j.atmosenv.2017.10.024
- Liu T, Marlier M E, Karambelas A, Jain M, Singh S, Singh M K, Gautam R and DeFries R S
  2019 Missing emissions from post-monsoon agricultural fires in northwestern India:
  regional limitations of MODIS burned area and active fire products *Environ. Res. Commun.*1 011007 Online: https://doi.org/10.1088/2515-7620/ab056c
- Lobell D B, Ortiz-Monasterio J I, Sibley A M and Sohu V S 2013 Satellite detection of earlier
  wheat sowing in India and implications for yield trends *Agric. Syst.* 115 137–43 Online: http://dx.doi.org/10.1016/j.agsy.2012.09.003
- 438 Lobell D B, Sibley A and Ivan Ortiz-Monasterio J 2012 Extreme heat effects on wheat
  439 senescence in India *Nat. Clim. Chang.* 2 186–9 Online:
  440 http://dx.doi.org/10.1038/nclimate1356
- 441 Mondal P, Jain M, DeFries R S, Galford G L and Small C 2015 Sensitivity of crop cover to
  442 climate variability: Insights from two Indian agro-ecoregions *J. Environ. Manage.* 148 21–
  443 30 Online: http://dx.doi.org/10.1016/j.jenvman.2014.02.026
- 444 Mondal P, Jain M, Robertson A W, Galford G L, Small C and DeFries R S 2014 Winter crop
   445 sensitivity to inter-annual climate variability in central India *Clim. Change* 126 61–76
- Ramanathan V, Chung C, Kim D, Bettge T, Buja L, Kiehl J T, Washington W M, Fu Q, Sikka D
  R and Wild M 2005 Atmospheric brown clouds: Impacts on South Asian climate and
  hydrological cycle *Proc. Natl. Acad. Sci.* **102** 5326–33 Online:
- 449 https://doi.org/10.1073/pnas.0500656102
- 450 Reddy D N, Reddy A A and Bantilan M C S 2014 The impact of Mahatma Gandhi National
   451 Rural Employment Guarantee Act (MGNREGA) on rural labor markets and agriculture
   452 *India Rev.* 13 251–73
- 453 Roy D P, Giglio L, Kendall J D and Justice C O 1999 Multi-temporal active-fire based burn scar
  454 detection algorithm *Int. J. Remote Sens.* 20 1031–8 Online:
  455 https://doi.org/10.1080/014311699213073
  - 11

- 456 Saraf A, Bora A, Das J, Rawat V, Sharma K and Jain S K 2010 Winter fog over the Indo 457 Gangetic Plains: Mapping and modelling using remote sensing and GIS *Nat. Hazards* 52
- 457 Gangette Frans. Mapping and moderning using remote sensing and Grs *Nat. Hazar* 458 199–220 Online: https://doi.org/10.1007/s11069-010-9660-0
- Sidhu H S, Singh M, Yadvinder S, Blackwell J, Lohan S K, Humphreys E, Jat M L, Singh V and
  Singh S 2015 Development and evaluation of the Turbo Happy Seeder for sowing wheat
  into heavy rice residues in NW India *F. Crop. Res.* 184 201–12 Online:
  https://doi.org/10.1016/j.fcr.2015.07.025
- 463 Singh K 2009 Act to Save Groundwater in Punjab: Its Impact on Water Table, Electricity
  464 Subsidy and Environment Agric. Econ. Res. Rev. 22 365–386
- Sinha B, Singh Sangwan K, Maurya Y, Kumar V, Sarkar C, Chandra B P and Sinha V 2015
  Assessment of crop yield losses in Punjab and Haryana using 2 years of continuous in situ
  ozone measurements *Atmos. Chem. Phys.* 15 9555–76
- 468 Tallis H, Polasky S, Shyamsundar P, Springer N, Ahuja V, Cummins J, Datta I, Dixon J, Gerard
- B, Ginn W, Gupta R, Jadhav A, Jat M, Keil A, Krishnapriya P, Ladha J, Nandrajog S, Paul
- 470 S, Lopez Ridaura S, Ritter A, Sidhu H, Skiba N and Somanathan R 2017 *The Evergreen*
- 471 *Revolution: Six Ways to empower India's no-burn agricultural future* Online:
- 472 https://www.nature.org/science-in-action/the-evergreen-revolution.pdf
- Thumaty K C, Rodda S R, Singhal J, Gopalakrishnan R, Jha C S, Parsi G D and Dadhwal V K
  2015 Spatio-temporal characterization of agriculture residue burning in Punjab and
  Haryana, India, using MODIS and Suomi NPP VIIRS data *Curr. Sci.* 109 1850–5 Online:
  https://doi.org/10.18520/v109/i10/1850-1855
- 477 Tripathi A, Mishra A K and Verma G 2016 Impact of Preservation of Subsoil Water Act on
  478 Groundwater Depletion: The Case of Punjab, India *Environ. Manage.* 58 48–59
- 479 Yengoh G T, Dent D, Olsson L, Tengberg A E and Tucker C J 2015 Use of the Normalized
- 480 Difference Vegetation Index (NDVI) to Assess Land Degradation at Multiple Scales:
- 481 *Current Status, Future Trends, and Practical Considerations* (Springer International
  482 Publishing)
- Zhao H, Yang Z, Di L, Li L and Zhu H 2009 Crop phenology date estimation based on NDVI
   derived from the reconstructed MODIS daily surface reflectance data 2009 17th Int. Conf.
   *Geoinformatics* 3–8



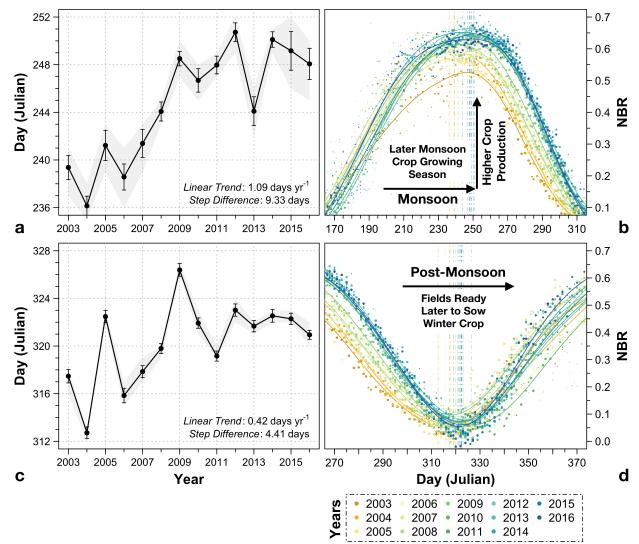
486

487 Figure 1. Cycles of fire activity and vegetation greenness in Punjab, India. District-level 488 maps of (a) the Indo-Gangetic Plain (IGP) overlaid with annual agricultural MODIS Aqua + 489 Terra Fire Radiative Power (GW), averaged over 2003-2016. (b) Daily FRP (left axis) and 490 median Normalized Burn Ratio (NBR; right axis) in Punjab. FRP values are stacked with earlier 491 years on the bottom. The double-crop cycle indicated by NBR, a proxy for greenness, is 492 predominantly a rice-wheat rotation. Pre-monsoon fires occur from April to May after the winter 493 wheat growing season, and post-monsoon fires occur from October to November after the 494 monsoon rice growing season.



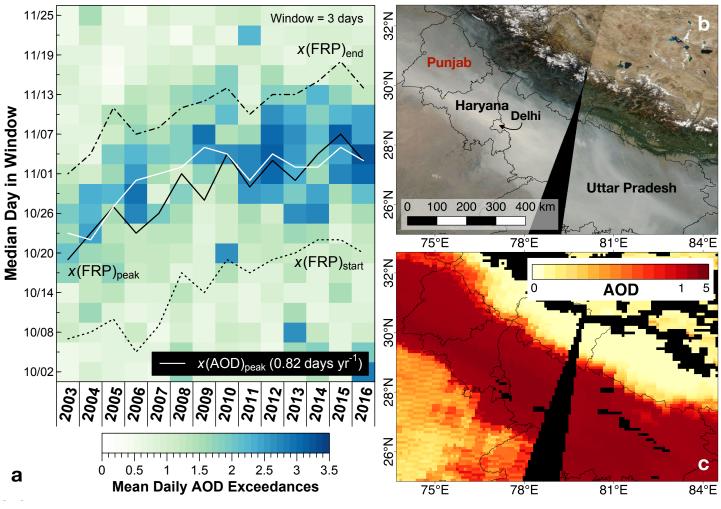
495

496 Figure 2. Temporal shifts in post-monsoon fires in Punjab from 2003-2016. (*left*) Each block 497 represents the 3-day summed Fire Radiative Power (FRP). Dashed and solid lines represent the 498 timing of the start, peak, midpoint, and end of the post-monsoon burning season, based on daily 499 observations of FRP. Text inset in the left panel shows the linear trends in the  $x(FRP)_{start}$ ,  $x(FRP)_{peak}$ ,  $x(FRP)_{midpoint}$ , and  $x(FRP)_{end}$ ; all trends shown are statistically significant at 500 501 the 95% confidence level. (right) Trends in summed FRP (GW yr<sup>-1</sup>) for each 3-day block 502 window from September 20 to November 30 for the 2003-2016 (black line) and 2008-2016 time 503 periods (red line). The shaded envelopes denote the 95% confidence interval, and dots represent 504 statistically significant increases or decreases in 3-day block FRP.



505

506 Figure 3. Trends in monsoon peak greenness and post-monsoon trough greenness in 507 Punjab from 2003-2016. Bootstrapped mean maximum NBR during the (a) monsoon crop 508 growing season and (c) post-monsoon harvest season, from 2003-2016. Error bars show one  $\sigma$ 509 uncertainty, and shaded gray envelopes denote the 95% confidence interval. Text inset shows the 510 bootstrapped linear trend in the timing of (a) maximum monsoon greenness and (c) minimum 511 post-monsoon greenness from 2003-2016 and mean step difference between the 2003-2007 and 512 2008-2016 time periods. Daily median NBR during the (b) monsoon crop growing season and 513 (d) post-monsoon harvest season, with lines showing the weighted parabola smoothing. Different 514 colors denote different years. The bootstrapped mean day of (b) maximum monsoon greenness 515 and (d) minimum post-monsoon greenness of each year is shown by vertical dashed-dot lines.



517 Figure 4. Trend in the timing of peak post-monsoon AOD over the western Indo-Gangetic

518 Plain from 2003-2016. (a) Each block represents the 3-day average of regional aerosol optical

- depth (AOD) exceedances from the MODIS/Terra Deep Blue retrieval algorithm over Punjab,
   Haryana, Delhi, and western Uttar Pradesh. Here exceedances are defined as the spatially
- signal and a start of the mean AOD +  $1\sigma$  for each season and pixel. Dashed lines
- represent the timing of the start, peak, and end of the post-monsoon burning season, based on
- daily FRP (same as in Figure 2). Text shows the linear trend in the  $x(AOD)_{neak}$ , statistically
- 524 significant at the 95% confidence level. Example of thick haze over the western IGP on
- 525 November 6, 2016, as observed by MODIS/Terra, shown as (b) true color and (c) Deep Blue
- 526 AOD (NASA/Worldview; https://worldview.earthdata.nasa.gov/). The colorbar in (c) is
- 527 logarithmic.