

1 **How do variably striking faults reactivate during rifting? Insights from southern**
2 **Malawi**

3
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16
17 **Key points**

- 18 • Stress states at the southern end of the Malawi Rift are tested by assessing fault
19 reactivation potential.
- 20 • Variably oriented rift faults reactivate by all striking slightly obliquely to an E-W
21 trending minimum principal compressive stress.
- 22 • Faults may locally accommodate pure normal dip-slip due to the presence of a deep
23 seated crustal weakness.
- 24

25 Abstract

26 Active normal faults at the southern end of the Malawi Rift follow an arcuate bend in the
27 high grade metamorphic foliation, with strikes ranging from NW to NNE. However, previous
28 estimates of the stress state that allows such a wide range of normal fault strikes to reactivate
29 are enigmatic, as both a NW-SE and NE-SW trending minimum compressive stress (σ_3) have
30 been proposed. Furthermore, we present field observations of a consistently N-S striking sub-
31 vertical joint set in southern Malawi, which suggests an E-W trending σ_3 . We address this
32 problem by calculating the stress ratio (σ_3/σ_1 , where σ_1 is the maximum compressive stress)
33 and effective coefficient of friction (μ_s') required to reactivate the rift's variably striking
34 faults in stress states based on earthquake focal mechanisms (Stress State 1: $\sigma_3=06/242$) and
35 joint sets (Stress State 2: $\sigma_3=00/082$). Given the consistency of joint orientations, we infer a
36 uniform stress state and reject an alternative hypothesis that σ_3 rotates along the rift. In Stress
37 State 1, NW-striking faults are well oriented. However, misoriented NNE-striking faults
38 require $\mu_s' < 0.7$ to reactivate, which is inconsistent with the lack of frictionally weak
39 phyllosilicates detected in compositional analysis of these faults. In Stress State 2, all faults
40 are well oriented and can reactivate at $\mu_s' > 0.6$. This is also comparable to a previously
41 reported geodetically-derived extension direction. Stress State 2 is therefore favoured,
42 indicating that the southern Malawi Rift consists of a series of slightly-oblique basins, and
43 not alternating orthogonal and highly-oblique sections as predicted by typical models of
44 oblique rifting.

45

46 Plain Language Summary

47 Stretching of the upper brittle part of the Earth's crust should be accommodated by cracks
48 (faults) oriented at 90° to the stretching direction. However, this idealized scenario is rarely
49 observed because the crust is often mechanically heterogenous, or because the stretching
50 direction rotates over geological time. Thus, faults are often non-orthogonal (i.e. oblique) to
51 the stretching direction. Here, we use a mechanical analysis to test the obliquity of faults in
52 southern Malawi at the southern juvenile end of the East African Rift system where the crust
53 is actively extending at ~ 2 mm/yr. This section is of interest as fault orientation varies along
54 the rift, and a range of stretching directions have been proposed previously. Our mechanical
55 analysis indicates that extension is most likely accommodated in southern Malawi by faults
56 that are all slightly oblique to an E-W stretching direction. This is in contrast to previous
57 models of oblique rifting, which suggest that stretching is accommodated by some faults at
58 90° to the stretching direction, whilst others are at a very low ($< 40^\circ$) angle to stretching.

59

60 Key words

61 Continental rift, East African Rift, Fault reactivation, Tectonic stress, Normal faults, Stress
62 inversions

63

64 1. Introduction

65 The axis of a continental rift is expected to be orthogonal to the minimum principal
66 compressive stress (σ_3). This is, however, rarely the case [Brune *et al.*, 2018] due to factors
67 such as temporal stress rotations since the inception of the rifting [Bellahsen *et al.*, 2006;
68 Henstra *et al.*, 2015], or pre-existing crustal fabrics that present cohesionless [Etheridge,
69 1986; Morley *et al.*, 2004] or frictionally-weak planes [Massironi *et al.*, 2011]. These spatial

70 and temporal heterogeneities allow a much greater range of fault orientations, which strike
71 oblique to σ_3 and do not contain the intermediate principal compressive stress (σ_2).

72
73 Often, obliquely oriented rifts will contain faults with a wide range of strikes, whereby some
74 faults strike orthogonal to σ_3 and are linked oblique-slip or strike-slip transfer zones where
75 faults striking highly obliquely to σ_3 [Acocella *et al.*, 1999; Bellahsen & Daniel, 2005;
76 McClay & White, 1995; Withjack & Jamison, 1986], as has been proposed in the East African
77 Rift [Corti, 2012; Delvaux, 2001], Rio Grande Rift [Aldrich, 1986], Rhine Graben
78 [Chorowicz & Deffontaines, 1993; Lopes Cardozo & Behrmann, 2006], and the Gulf of Aden
79 [Bellahsen *et al.*, 2006]. The stress directions in these rifts are typically inferred from
80 measurements of incremental fault strain (i.e. fault slickensides, earthquake focal
81 mechanisms). This is justified by the Wallace-Bott criterion, which predicts that fault slip is
82 parallel to the direction of maximum resolved shear stress on a fault plane [Bott, 1959;
83 Wallace, 1951]. However, this criterion can break down [Pollard *et al.*, 1993; Twiss &
84 Unruh, 1998], including cases whereby rift faults that strike oblique to the regional σ_3 trend
85 accommodate pure normal dip-slip [Corti *et al.*, 2013; Morley, 2010; Petit *et al.*, 1996;
86 Philippon *et al.*, 2015]. Deriving stress states in rifts from fault-hosted slickensides is further
87 complicated because dip-slip faults can host oblique-slip and even strike-slip components due
88 to convergent patterns of co-seismic slip [Hampel *et al.*, 2013; Philippon *et al.*, 2015].

89
90 In this study, we address the problem of resolving stress states in rifts with variably striking
91 faults by assuming *a priori* different stress states, and then interpreting their applicability in
92 terms of fault reactivation potential. We use the southern end of the Malawi Rift (Figure 1) as
93 a case example, as geological maps [Bloomfield & Garson, 1965; Habgood *et al.*, 1973;
94 Walshaw, 1965], fault scarps [Hodge *et al.*, 2019; Wedmore *et al.*, in prep.], and earthquake
95 focal mechanisms [Delvaux & Barth, 2010] demonstrate that active faults switch from
96 dominantly NW-SE striking in the Makanjira Graben to NNE-SSW in the Zomba Graben and
97 then back to NW-SE in the Lower Shire Graben as the rift follows an arcuate bend in the high
98 grade metamorphic foliation (Figure 2). Furthermore, there is an inconsistency in the regional
99 σ_3 trend when inferred from fault slickensides [Chorowicz & Sorlien, 1992], fault geometry
100 [Mortimer *et al.*, 2007], earthquake focal mechanisms [Delvaux & Barth, 2010], and geodetic
101 models [Stamps *et al.*, 2018]. Here, three possible stress states are considered:

- 102
- 103 • Stress State 1: A uniform stress state where σ_3 trends SW (06/242, Figure 2d), as
104 proposed by an earthquake focal mechanism stress inversion for the Malawi Rift
105 [Delvaux & Barth, 2010]. In this way, the angle (α) between fault strike and σ_3 is
106 $\sim 90^\circ$ for NW-striking faults, whilst for NNE-striking faults α is $\sim 40^\circ$, and thus they
107 would act as oblique transfer zones.
 - 108 • Stress State 2: A uniform stress state with an \sim E-W trending σ_3 (00/082, Figure 2e),
109 which is consistent with the extension direction inferred from geodetic models
110 [Stamps *et al.*, 2018] and regional joint orientations (Figure 2c). Thus, both faults sets
111 form slightly oblique grabens σ_3 ($\alpha > 60^\circ$).
 - 112 • Stress State 3: The stress state is heterogenous in southern Malawi, with Proterozoic
113 fabrics actively rotating σ_3 along the rift so that α is consistently 90° (Figure 2f;
114 Morley, [2010]).

115
116 We first compare these stress states to a new rift-wide stress inversion performed using an
117 updated compilation of earthquake focal mechanisms and fault slickenside orientations. Then
118 the reactivation potential of three differently oriented faults in these stress states is

119 determined in terms of their stress ratio, slip tendency, and effective coefficient of friction.
120 By comparing these results to the frictional properties of the faults inferred from new field
121 observations and compositional analysis, and deformation experiments performed by
122 *Hellebrekers et al.*, [in review], we can determine which stress state is most applicable in
123 southern Malawi. In doing so, new insights are gained into the applicability of using
124 incremental fault strain measurements in stress inversions, and on the controls on fault
125 geometry in an incipient rift.
126

127 **2. Geological setting of the southern Malawi Rift**

128 The Malawi Rift is a 900 km long amagmatic section of the East African Rift System's
129 (EARS) Western Branch, and runs from the Rungwe Province in the north to the Urema
130 Graben in the south (Figure 1; *Ebinger et al.*, [1987]). It is typically further divided along its
131 axis into a series of 100-150 km long grabens and half grabens with alternating polarities
132 [*Ebinger*, 1989; *Ebinger et al.*, 1987; *Flannery & Rosendahl*, 1990; *Laõ-Dávila et al.*, 2015].
133 The focus of this study are the three southernmost grabens: the Lower Shire, Zomba, and
134 Makanjira Grabens (Figure 2a).
135

136 Basement rock within these grabens constitute part of the Southern Irumide Belt (Figure 1), a
137 structurally complex Mesoproterozoic orogenic belt that underwent amphibolite-granulite
138 facies metamorphism during the Pan African orogeny (c. 800-450 Ma.; *Kröner et al.*, [2001];
139 *Johnson et al.*, [2006]; *Fritz et al.*, [2013]). Whether this belt experienced earlier Irumide age
140 deformation (c. 1020-950 Ma) is unclear [*Andreoli*, 1984; *Fritz et al.*, 2013; *Johnson et al.*,
141 2006; *Kröner et al.*, 2001] and the Lower Shire graben may strictly be part of the
142 Neoproterozoic Zambesi Belt [*Chorowicz & Sorlien*, 1992; *Hargrove et al.*, 2003; *Laõ-*
143 *Dávila et al.*, 2015]. The Lower Shire Graben also underwent NW-SE Karoo extension
144 (*Habgood*, 1963; *Castaing*, 1991), whereas this extension was comparatively minor further
145 north in the Zomba Graben [*Bloomfield*, 1965]. This was followed by a major period of
146 Upper Jurassic-Lower Cretaceous magmatism throughout southern Malawi, which formed
147 the Chilwa Alkaline Province [*Bloomfield*, 1965; *Castaing*, 1991; *Dulanya*, 2017; *Habgood*,
148 1963].
149

150 EARS extension initiated at the northern end of the Malawi Rift c. 8.6 Ma [*Ebinger et al.*,
151 1993], although a c. 25 Ma has also been proposed [*Mortimer et al.*, 2016; *Roberts et al.*,
152 2012]. Given the gradual southward propagation of the EARS [*Ebinger et al.*, 1987], the
153 southern grabens analyzed here are likely younger (<5 Ma) than those further north; however,
154 there is little chronostratigraphic control on their evolution [*Dulanya*, 2017; *Wedmore et al.*,
155 in prep.]. As elsewhere in the EARS [*Versfelt & Rosendahl*, 1989], these grabens follow the
156 trend of regional foliation (Figure 2a). Thus, a range of NW-NNE striking faults have formed
157 in southern Malawi (Figure 2b). Topographic relationships demonstrate that both NW and
158 NNE striking faults can dip in either direction orthogonal to strike (Figure 2a). Therefore, the
159 range of fault orientations is polymodal [*Healy et al.*, 2015]; although given the lack of
160 accurate measurements of fault dip, we cannot be sure if the range is strictly quadrimodal
161 (four distinct clusters) or polymodal (continuous distribution of orientations).
162

163 3. Strain and stress indicators within the Malawi Rift

164 3.1 Previous estimates of strain and stress within the Malawi Rift

165 At the scale of the EARS, kinematic models have been developed using a combination of
166 earthquake slip vectors, and continuous and campaign GPS measurements [Saria *et al.*, 2014;
167 Stamps *et al.*, 2008, 2018]. For southern Malawi, these models indicate an extension azimuth
168 of $086^{\circ}\pm 5^{\circ}$ relative to a fixed Nubia Plate [Saria *et al.*, 2014; Stamps *et al.*, 2018]. The
169 current azimuth of the one continuous GPS station in southern Malawi (ZOMB) is 072°
170 (Figure 2a; Stamps *et al.*, [2018]).

171
172 *Delvaux and Barth*, [2010] used an earthquake focal mechanisms stress inversion to derive a
173 near Andersonian normal fault stress state for the Malawi Rift, with a sub-vertical maximum
174 compressive principal stress (σ_1 , 83/070) and sub-horizontal σ_3 (06/242). This σ_3 orientation
175 implies NE-SW extension across the rift, in contrast to the E-W extension inferred from
176 geodetic models [Stamps *et al.*, 2018]. Furthermore, this stress inversion predicts that NNE
177 striking faults accommodate oblique extension (Figure 2d). However, slickensides on NNE
178 striking faults indicate nearly pure dip-slip motion, and thus approximately NW-SE extension
179 [Bloomfield and Garson, 1965; Chorowicz and Sorlien, 1992; Wedmore *et al.*, in prep.]. The
180 geometry of faults from seismic reflection surveys within Lake Malawi have also been used
181 to infer NW-SE rift extension [Mortimer *et al.*, 2007; Scott *et al.*, 1992].

182 3.2 An updated stress inversion for the Malawi Rift

184 The discrepancy in rift extension direction when inferred from earthquake focal mechanisms,
185 geodetic models, and fault slickensides may reflect the high azimuthal error and limited
186 dataset (13 focal mechanism across the 900 km long rift) used in the *Delvaux and Barth*,
187 [2010] stress inversion. We therefore update this stress field with an expanded dataset of 23
188 focal mechanisms (Table 1, Figure 1a), which incorporates: (1) subsequent seismicity such as
189 the 2009 Karonga [Biggs *et al.*, 2010; Hamiel *et al.*, 2012] and 2018 Nsanje earthquake
190 sequences [U.S. Department of the Interior U.S. Geological Survey, 2018], and (2) focal
191 mechanisms from revised bodywave modelling [Craig *et al.*, 2011], which are considered
192 more accurate than the Global Centroid Moment Tensor solutions used in the *Delvaux and*
193 *Barth*, [2010] inversion (Table 1). As in *Delvaux and Barth*, [2010], we use Win-Tensor
194 (version 5.8.8, *Delvaux and Sperner*, [2003]) to perform the inversion. Here, the data are first
195 processed using the “Right Dihedron Method” to determine the possible range of σ_1 and σ_3
196 orientations [Angelier & Mechler, 1977]. This range is then refined by using “Rotational
197 Optimisation” [Delvaux & Sperner, 2003], which seeks to reduce the misfit angle (ω)
198 between the earthquake slip vectors and the azimuth of maximum shear stress within the
199 inversion. This inversion is first run for both nodal planes and then subsequently with just the
200 plane that has the smallest misfit. Focal mechanisms were progressively filtered during the
201 Right Dihedron Method analysis using the Counting Deviation method (*Delvaux and*
202 *Sperner*, [2003], see supplementary information S1), and then by removing cases where
203 $\omega > 45^{\circ}$ for both nodal planes during Rotational Optimisation.

204
205 The revised stress field shows a slight clockwise rotation of σ_3 to 12/070 relative to the
206 *Delvaux and Barth*, [2010] inversion (Figure 3). This σ_3 azimuth thus lies approximately
207 halfway between those derived in Stress States 1 and 2 and is still inconsistent with NW
208 trending slickensides. Notably, however, the azimuthal accuracy has been improved (from
209 $\omega = \pm 22^{\circ}$ to $\pm 12^{\circ}$). This may reflect that *Delvaux and Barth*, [2010] included all focal
210 mechanisms in their inversion regardless of whether they were compatible with each other,

211 whilst our expanded dataset allowed a more selective approach. Stress inversions combining
212 fault slickensides [*Chorowicz and Sorlien, 1992; Wedmore et al., in prep.*] and earthquake
213 focal mechanisms were also attempted. However, these could not produce a reliable reduced
214 stress tensor as either the data filtering was too severe, or the resulting stress shape ratio
215 ($\Phi = \sigma_2 - \sigma_3 / \sigma_1 - \sigma_3$) indicates an unrealistic prolate stress ellipsoid ($\Phi = 0.04$, *Lisle et al., [2006]*;
216 see Supplementary Information S1). In summary, the updated stress inversions for the
217 Malawi Rift cannot distinguish between the three stress states for southern Malawi postulated
218 in the introduction. Hence, there is a need to consider other indicators of stress and strain
219 within the rift, and to assess fault reactivation potential in different stress states.

220

221 3.3 Joint orientations

222 Figures 2 and 4 show the orientations of two steeply-dipping joint sets in southern Malawi,
223 which strike N-S and E-W. N-S striking joints have bare surfaces and are mutually cross
224 cutting with the E-W striking set, though tend to cut across them more commonly than vice-
225 versa. The majority of measurements were taken within the Zomba Graben; however, the N-S
226 and E-W sets are also observed at two locations within the Makanjira Graben (Figure 2).
227 Joint orientations were all measured >50 m from faults and are inferred to be outside their
228 respective damage zones.

229

230 By inferring that these joints are opening parallel to the trend of σ_3 , it is possible to derive
231 another estimate for its orientation within southern Malawi. To do this, we quantitatively
232 analyse joint orientations using Kamb Contours (Figure 4a), where contours represent
233 standard deviations away from the expected density of a random sample [*Kamb, 1959*]. This
234 analysis finds that the trend of the highest concentration of poles to the N-S striking joint set
235 trends $082^\circ \pm 7^\circ$, which is taken here as the joint-derived σ_3 trend. This trend indicates an
236 extension direction that is within error of the geodetically-derived extension direction for the
237 Malawi Rift [*Saria et al., 2014; Stamps et al., 2018*]. The E-W striking joints are interpreted
238 to reflect either: (1) an orthogonal joint set to the N-S set, and/or (2) the emplacement of E-W
239 striking Chilwa Akaline Province dykes [*Bloomfield, 1965*]. Many of the N-S striking joints
240 are foliation-parallel and thus may not necessarily reflect tectonic stresses [e.g. *Price, 1959*;
241 *Engelder, 1985; Williams et al., 2018*]. However, the N-S striking joint set is also observed
242 within isotropic rocks, and so the σ_3 trend is not significantly changed when foliation-parallel
243 joints are removed from the analysis ($079^\circ \pm 8^\circ$, Figure S4).

244

245 4. Fault strength in southern Malawi

246 To calculate fault reactivation potential at the southern end of the Malawi Rift, it is necessary
247 to consider the frictional properties of its faults. We therefore selected three faults
248 (Thyolo, Chingale Step, and Bilila-Mtakataka, Figure 2a), which: (1) encompass the range of
249 fault orientations observed in southern Malawi, (2) have late-Quaternary fault scarps, and are
250 therefore considered active [*Jackson and Blenkinsop, 1997; Hodge et al., 2018, 2019*;
251 *Wedmore et al., in prep.*], and (3) are well-exposed, so it is possible to sample them for
252 compositional analysis. The footwalls of the Chingale Step and Thyolo faults consist of
253 intensely fractured basement, which is in contact with the hanging wall post-Miocene
254 sediments (Figure 5; *Dulanya, [2017]*). The contact itself consists of a <1 m thick fault gouge
255 (Figure 5). Along most of its length, the Bilila-Mtakataka fault consists of a soil-mantled
256 scarp [*Hodge et al., 2018; Jackson & Blenkinsop, 1997*]. However, at Kasinje (Figure 2a), the
257 fault consists of a 3 m thick unit of intensely fractured gneiss that separates footwall and
258 hanging wall hornblende gneisses [*Hodge et al., 2018*].

259

260 To assess the composition of the fault zones, X-ray diffraction (XRD) analyses were
 261 conducted on two samples from each of the faults: (1) a ‘country rock’ sample from the intact
 262 protolith closest to the fault, and (2) a ‘fault rock’ sample from the faulted contact itself, i.e.
 263 the fault gouge for the Thyolo and Chingale Step faults (Figure 5), and intensely fractured
 264 gneiss for the Bilila-Mtakataka fault. XRD patterns were collected on powdered samples with
 265 a Philips PW1710 Automated Powder Diffractometer using Cu-K α radiation at 35kV and
 266 40mA, between 2 and 70° 2 θ , at a scan speed of 0.04 °2 θ /s. From the scans, phases were
 267 identified using Philips PC Identify software. Using the peak areas, semi-quantitative analysis
 268 was then performed to estimate the weight percentage of each identified phase (Table 2,
 269 Figure S5).

270

271 For each fault, we find that the phyllosilicate content is <15% (Table 2). This is significant as
 272 faults that are frictionally weak (fault static coefficient of friction (μ_s)<0.4) typically contain
 273 interconnected phyllosilicates phases that constitute >30-40% of the fault rock [Massironi *et*
 274 *al.*, 2011; Moore & Lockner, 2004]. Thus, we infer that these faults exhibit ‘Byerlee’
 275 frictional strengths (μ_s ~0.6-0.8; Byerlee, [1978]), which is also consistent with the results of
 276 deformation experiments on a suite of basement lithologies from the Malawi Rift (μ_s =0.55-
 277 0.80; Hellebrekers *et al.*, [in review]). There are non-systematic differences in composition
 278 between some footwall country rock and fault rock samples (Table 2). For example, the
 279 Chingale Step fault gouge is near pure calcite, yet this phase is not detected in its country
 280 rock sample. These samples alone, however, are insufficient to determine if these differences
 281 reflect local protolith variations, near-surface weathering [Isaacs *et al.*, 2007], or fault zone
 282 alteration [*sensu* Sutherland *et al.*, 2012].

283

284 5. Fault reactivation potential analysis in southern Malawi

285 Fault reactivation potential considers how susceptible a fault, of a given orientation and stress
 286 state, is to slip under the Mohr-Coulomb failure criterion. This criterion describes the shear
 287 stress (τ) required for a fault to exceed its frictional resistance:

288

$$289 \tau = c + \mu_s(\sigma_n - P_f) \quad (1)$$

290

291 where σ_n is the normal stress, c is the cohesive strength, and P_f is pore fluid pressure. We
 292 consider fault reactivation in potential in southern Malawi in terms of Stress Ratio, Slip
 293 Tendency, and effective coefficient of friction (Figure 6).

294

295 5.1. Stress ratio

296 The stress ratio is the ratio between σ_3 and σ_1 required for fault slip ($Q=\sigma_3/\sigma_1$, Figure 6;
 297 Sibson, [1985]). For the faults considered here, which strike obliquely to σ_3 and do not
 298 contain σ_2 , we use the 3D solution outlined by Leclère and Fabbri, [2013], where:

299

$$300 Q = \frac{-(2AD\mu_s + 2C) \pm \sqrt{\Delta}}{A^2\mu_s^2 - C} \quad (2)$$

301

302 Here, A , B , C , D and Δ are functions defined by the stress shape ratio (Φ), c , μ_s , magnitude of
 303 σ_1 , and the direction cosines between the normal to the fault plane and the three principal
 304 stress axes (see supplementary information S2). Q and μ_s must be real numbers with $Q \leq 1$
 305 and $\mu_s \geq 0$ [Leclère & Fabbri, 2013].

306

307 We calculate Q for the three faults described in section 4, given Stress States 1 and 2. For
 308 Stress State 1 (α is $\sim 90^\circ$ for NW-striking faults and $\sim 40^\circ$ for NNE-striking faults) we use the
 309 principal stress orientations derived in the *Delvaux and Barth*, [2010] stress inversion
 310 ($\sigma_1=83/070$, $\sigma_2=02/333$, $\sigma_3=06/242$), whilst for Stress State 2 (α is $\sim 60^\circ$ for all faults) the
 311 principal stress orientations are based on joint orientations ($\sigma_1=90/000$, $\sigma_2=00/172$,
 312 $\sigma_3=00/082$). No reactivation analysis is conducted for the stress rotation hypothesis (Stress
 313 State 3), as it intrinsically assumes that all faults are optimally oriented for failure [*Morley*,
 314 2010].

315

316 The strike of the Chingale Step and Thyolo faults is well constrained from their prominent
 317 scarps that are visible in a 12 m resolution TanDEM-X digital elevation model [*Hodge et al.*,
 318 2019; *Wedmore et al.*, in prep.] . For the Chingale Step fault, the strike is the orientation of
 319 the line that connects the two ends of its scarp, whilst for the segmented Thyolo fault, strike
 320 is the orientation of its longer north-western section (Figure 2a). The Bilila-Mtakataka fault is
 321 best described by two sub-parallel segments, the longest of which is oriented 156/46 NE
 322 [*Hodge et al.*, 2018]. Dips of 57° and 60° for the Chingale and Thyolo faults were derived
 323 from field measurements (Figure 5). Although there is an uncertainty in how representative
 324 these surface measurements of fault dip are, these measurements are similar to those inferred
 325 at depth from geophysical surveys elsewhere in the Malawi Rift ($45\text{--}65^\circ$; *Wheeler and*
 326 *Rosendahl*, [1994]; *Mortimer et al.*, [2007]; *Kolawole et al.*, [2018]).

327

328 As justified in section 4, we infer that these faults exhibit Byerlee frictional strengths, and so
 329 a value of $\mu_s=0.7$ is used. A foliation-parallel pre-existing fault would generally be
 330 considered cohesionless [*Morley et al.*, 2004; *Sibson*, 1985]. However, the high-grade
 331 metamorphic fabrics within the Malawi Rift are qualitatively observed to be cohesive (Figure
 332 4b). Furthermore, the low rift strain rates (~ 2 mm/yr; *Saria et al.*, [2014]) imply that there are
 333 long recurrence intervals between earthquakes, so it is possible that interseismic healing has
 334 led to a recovery of some fault cohesion [*Tenthorey & Cox*, 2006]. To account for this
 335 ambiguity, we calculate Q for end-member cases where $c=0$ and $c=40$ MPa, the latter of
 336 which is derived from crystalline rocks typically exhibiting tensile strengths (T_0) of 20 MPa,
 337 and that $c \approx 2T_0$ [*Lockner*, 1995; *Sibson*, 1985, 1998].

338

339 No knowledge of stress magnitudes is required for calculating Q for a cohesionless fault
 340 [*Leclère & Fabbri*, 2013]. However, the magnitude of σ_1 is needed to determine Q for a
 341 cohesive fault, which is calculated by assuming an Andersonian normal fault stress state
 342 where:

343

344

$$\sigma_1 = \sigma_v = \bar{\rho}(z)gz \quad (3)$$

345

346 where σ_v is the vertical stress, g is gravity (9.8 ms^{-2}), z is depth, and $\bar{\rho}(z)$ is the average
 347 density of the overlying crust for a given depth, which is a function of a Malawi Rift three-
 348 layer crustal model (Table S2; *Nyblade and Langston*, [1995]; *Fagereng*, [2013]). As Q will
 349 vary with depth for a cohesive fault, it is calculated here between 6-35 km, which
 350 encompasses the depth range for instrumentally-recorded earthquake nucleation in the
 351 Malawi Rift [*Biggs et al.*, 2010; *Craig et al.*, 2011; *Nyblade & Langston*, 1995].

352

353 We assume the pore fluid pressure, $P_f=0$; however, the influence of fluids on fault
 354 reactivation is assessed in section 5.3. The stress shape ratio (Φ) is 0.33, as derived from the

355 updated stress inversion (Figure 3). For comparison, the orientation of the faults is shown in a
 356 stereoplot that is contoured by Q values for a given set of Φ , μ_s , principal stress orientations,
 357 and (for cohesive faults) depth. To allow for the uncertainty in Φ and μ_s , Q -contour plots are
 358 also constructed in Φ - μ_s space for a fixed set of fault and principal stress orientations
 359 [Boulton *et al.*, 2018].

360

361 5.2 Slip tendency

362 Slip tendency (T_s) is a measure of the ratio of τ to σ_n acting on the fault surface [Lisle &
 363 Srivastava, 2004; Morris *et al.*, 1996]:

364

$$365 \quad T_s = \frac{\tau}{\sigma_n} \quad (4)$$

366

367 For a given stress state, there is a maximum value of T_s , which is that acting on a
 368 cohesionless optimally-oriented fault (Figure 6; Lisle and Srivastava, [2004]). This leads to
 369 the definition of a normalized index of slip tendency (T'_s) that ranges between 0-1:

370

$$371 \quad T'_s = \frac{T_s}{\max(T_s)} = \frac{\tau}{\sigma_n \tan \phi} \quad (5)$$

372

373 (corrected from eq. 3 in Lisle and Srivastava, [2004]; pers. comm. R. Lisle) where ϕ is the
 374 angle of internal fault friction ($\tan \phi = \mu_s$). A fault with low T'_s thus also reactivates at low Q
 375 (Figure 6). To calculate T_s and T'_s for the Chingale Step, Thyolo and Bilila-Mtakataka faults
 376 without knowledge of the magnitudes of τ and σ_n , we use the solutions outlined by Lisle and
 377 Srivastava, [2004] (see supplementary information S3). This analysis is performed for Stress
 378 States 1 and 2, assuming $\mu_s=0.7$, $P_f=0$, and $\Phi = 0.33$.

379

380 5.3 Fault effective coefficient of friction

381 The concept of T_s can be extended to calculate the effective coefficient of friction (μ_s'),
 382 which describes the maximum value of μ_s or lowest value of P_f that allows faults to reactivate
 383 for a given stress state, without also inducing failure along optimally oriented planes in intact
 384 rock (Figure 6; Sibson, [1985]; Muluneh *et al.*, [2018]). Like T_s , μ_s' is a measure of the ratio
 385 of τ to σ_n acting on a fault, however, it is derived using inferred principal stress magnitudes,
 386 and fault cohesion can be incorporated. This is advantageous as μ_s' can then be compared to
 387 values of μ_s inferred from experimental and compositional analysis of faults to determine if
 388 they will reactivate in a given stress state, or if elevated fluid pressures are required for
 389 reactivation.

390

391 Principal stress magnitudes can be derived as μ_s' is being equated to the stresses acting on an
 392 optimally oriented fault (Figure 6). In this case, the relative principal stress magnitudes can
 393 be calculated using Mohr-Coulomb theory [Jaeger *et al.*, 2007]:

394

$$395 \quad \sigma_1 = 2c \sqrt{\frac{1 + \sin \phi_{intact}}{1 - \sin \phi_{intact}}} + \sigma_3 \left(\frac{1 + \sin \phi_{intact}}{1 - \sin \phi_{intact}} \right) \quad (6)$$

396

397 where $\phi_{intact} = \tan^{-1} \mu_{s-intact}$, and $\mu_{s-intact}$ is the frictional strength of intact rock. Given the results
 398 of Hellebrekers *et al.*, [in review], we take $\mu_{s-intact}$ to equal 0.7, thus $\phi_{intact} = 35^\circ$ and is the same

399 as the fault frictional strength (μ_s). Since σ_1 can be derived from eq. 3, it is thus also possible
 400 to calculate σ_3 and σ_2 by rearranging eq. 6 and the equation for Φ (eq. S1) respectively. The
 401 principal stress magnitudes can then be used to calculate τ and σ_n as a function of depth
 402 [Jaeger *et al.*, 2007], and μ_s' can be derived by rearranging the Mohr Coulomb criterion (eq.
 403 1). Thus, for the parameters assumed here:

$$404 \quad \mu_s'(z) = \frac{\sqrt{C \left(\frac{2.7z\bar{\rho}(z) - 42}{9.8z\bar{\rho}(z)} \right)^2 - 2C \left(\frac{2.7z\bar{\rho}(z) - 42}{9.8z\bar{\rho}(z)} \right) + C - \frac{c}{\sigma_1}}}{A \left(\frac{2.7z\bar{\rho}(z) - 42}{9.8z\bar{\rho}(z)} \right) + B} \quad (7)$$

406 where the functions A , B , and C are defined by equations S2-S4 (see supplementary
 407 information S4). As previously, we calculate μ_s' for the Thyolo, Chingale Step, and Bilila-
 408 Mtakataka faults being reactivated in Stress States 1 and 2 over a depth range of 6-35 km and
 409 consider both cohesionless and cohesive faults. If μ_s' and $\mu_{s-intact}$ are set to be the same, then
 410 the minimum pore fluid pressure (P_f') required to reactivate a fault (Figure 6) can be
 411 calculated from μ_s' as a function of depth:

$$412 \quad P_f(z) = \sigma_n(z) - \left(\frac{\sigma_n(z)\mu_s'(z)}{\mu_s} \right) \quad (8)$$

413 (see supplementary information S4). This is calculated with $\mu_s=0.7$ and is plotted in terms of
 414 the effective pore-fluid factor ($\lambda_v'=P_f'/\sigma_v$). In addition, we show the results of this analysis at
 415 a depth of 20 km in 3D Mohr Space using MohrPlotter v. 2.8.3 [Allmendinger *et al.*, 2013].
 416
 417
 418

419 6. Fault reactivation potential results

420 The Thyolo and Bilila-Mtakataka faults have a high reactivation potential under Stress State
 421 1, as their Q value is 'favourable' ($Q>0.5Q_{Optimal}$, Figures 6b and 7; Sibson, [1985]; Leclère
 422 and Fabbri, [2013]), and $T'_s \sim 1$ (Table 3, Figure S6). Thus, they will reactivate under Stress
 423 State 1 at relatively high μ_s' (>0.55), regardless of whether they are cohesive or not (Table 3,
 424 Figures 8 and 9a). Conversely, the Chingale Step fault is 'unfavourably' ($0.5Q_{Optimal}>Q>0$;
 425 Figures 6c and 7a) to 'severely misoriented' ($Q<0$ Figures 6d and 7c) in this stress state,
 426 depending on depth and whether it is cohesive or not. $T'_s=0.67$ (Table 3), and at depths >10
 427 km, will not reactivate in Stress State 1 unless $\mu_s'<0.7$ or $\lambda_v'>0.1$ (Table 3, Figures 8b, 9, and
 428 S7b).

429
 430 In Stress State 2, all faults are favourably oriented (Figure 6b) and exhibit $T'_s>0.8$, although
 431 the reactivation potentials of the Thyolo and Bilila-Mtakataka faults are slightly less than
 432 under Stress State 1 (Table 3). In Stress State 2, all faults will reactivate at $\mu_s>0.5$ at depths
 433 >10 km (Table 3, Figures 8 and 9b). All results for Q are broadly independent of the values
 434 of Φ and μ_s used in this analysis (Figures 10 and S8).
 435

436 7. How do faults in southern Malawi reactivate?

437 Although the Thyolo and Bilila-Mtakataka faults are well oriented in Stress State 1, the
 438 Chingale fault in the Zomba Graben is unfavourably to severely misoriented (Table 3). Late
 439 Quaternary activity on this fault has been demonstrated by Wedmore *et al.*, [in prep.], and so

440 its orientation is representative of a structure currently accommodating extension in this
441 region. To reactivate as a cohesionless fault under Stress State 1 at 10-35 km depth -the depth
442 range at which the majority of earthquakes nucleate in the Malawi Rift (Table 1)- μ_s' ranges
443 between 0.5-0.7 (Figure 8b). This is at the lower end of frictional strengths inferred from its
444 composition (Table 2) and deformation experiments on basement rocks in Malawi
445 [Hellebrekers *et al.*, in review]. In the cohesive fault case, $\mu_s' < 0.45$ (Figure 8b), and so below
446 its likely frictional strength.

447

448 Alternatively, the Chingale fault may reactivate under Stress State 1 at $\mu_s = 0.7$ through an
449 increase in fluid pressure ($\lambda_v' < 0.2$, Figure S8). These fluid pressures are sustainable in a
450 normal fault stress state [Sibson & Rowland, 2003]. However, the crust in the Malawi Rift
451 has been dehydrated during one or more episodes of high grade metamorphism, and is
452 therefore likely to be comparatively dry [Fagereng, 2013]. Furthermore, where faults do host
453 high fluid pressures, they often contain extensive vein networks [e.g. Bruhn *et al.*, 1994;
454 Caine *et al.*, 2010; Sutherland *et al.*, 2012], which are not observed in the fault zones we
455 considered (Figures 4b and 5).

456

457 We emphasise that this reactivation analysis cannot definitively discount any of the possible
458 stress states assessed here. Ideally, stress orientations would be measured using a range of
459 techniques (e.g. borehole breakouts). Nevertheless, if we assume frictionally strong faults and
460 cohesive high grade metamorphic fabrics, then it is difficult to account for why a structure
461 with the NNE-SSW strike of the Chingale Step fault (or indeed other similarly-oriented faults
462 in the Zomba Graben, Figure 2a) would have activated and continue reactivating in Stress
463 State 1, instead of a more optimally oriented fault forming. Conversely, all faults are
464 favourably oriented in Stress State 2, and so can reactivate at μ_s or P_f that require neither
465 frictionally weak minerals nor elevated fluid pressure (Figure 8). Furthermore, this stress
466 state is consistent with joint orientations and the geodetically-derived extension direction
467 [Stamps *et al.*, 2018].

468

469 Under the Wallace-Bott criterion, southern Malawi accommodates NE-SW extension in
470 Stress State 1 (Figure 2d) or E-W extension in Stress State 2. It is thus difficult to reconcile
471 these stress states to the range of NW-SE to NE-SW extension directions have been proposed
472 (see Section 3.1). Notably, however, fault slickensides and earthquake focal mechanisms in
473 the Zomba Graben (Table 1, Figure 5; Chorowicz and Sorlien, [1992]; Wedmore *et al.*, in
474 [prep.]) indicate NW-SE extension, in contrast to the highly oblique ($\alpha < 40^\circ$) NE-SW
475 extension predicted by applying the Wallace-Bott criterion to Stress State 1.

476

477 A range of extension directions can be accounted for by the model proposed in Morley,
478 [2010] where pre-existing Southern Irumide metamorphic fabrics rotate σ_3 along the southern
479 Malawi rift, so that all faults are pure dip-slip (i.e. Stress State 3, Figure 2f). In this way, all
480 faults will be optimally oriented for reactivation. Furthermore, although some oblique-slip
481 focal mechanisms (Table 1) and fault slickensides (Figure S2) are recorded in southern
482 Malawi, in the former case, these tend to be historical focal mechanisms that were not
483 instrumentally well-recorded, whilst with regards to the latter, this may relate to slickensides
484 that record the inherent oblique slip component of normal faulting earthquakes as the fault tip
485 is approached [Hampel *et al.*, 2013; Philippon *et al.*, 2015]. There is, however, a discrepancy
486 between this hypothesis and the homogenous orientation of joint sets in southern Malawi
487 Rift, which suggest a uniform stress state (Figure 2 and 4). The Bilila Mtakataka and
488 Chingale Step faults also locally cross-cut the foliation in a non-systematic manner at the

489 surface (Figure 2a; *Bloomfield*, [1965]; *Jackson and Blenkinsop*, [1997]; *Hodge et al.*,
 490 [2018]), further suggesting that the foliation is not actively rotating stresses.

491
 492 We therefore propose a variation of the *Morley*, [2010] hypothesis based on analogue models
 493 [*Corti et al.*, 2013; *Philippon et al.*, 2015]. Here, the regional principal stress axes [*sensu*
 494 *Pollard et al.*, 1993] in southern Malawi are uniformly parallel to those in Stress State 2,
 495 however, at the local scale [*sensu Twiss and Unruh*, 1998] fault slip vectors are rotated to
 496 dip-slip along the rift by a deep-seated weak ductile shear zone that is oblique to σ_3 , but
 497 which conditions the geometry and distribution of the rift's faults (Figure 2e; *Hodge et al.*,
 498 [2018]; *Wedmore et al.*, [in prep.]; Figure 2e). The following constraints are therefore
 499 satisfied: (1) frictionally strong normal faults with a wide range of strikes are reactivated, (2)
 500 consistently oriented sets of N-S and E-W striking joints, (3) all faults have dip-slip
 501 kinematics. If true, this hypothesis has the following implications:

- 502
- 503 • A polymodal range of fault orientations at the southern end of the Malawi Rift (Figure
 504 2a) can be accounted for by a uniform stress state and the Mohr Coulomb criterion,
 505 given that Φ is low and variably oriented pre-existing crustal weaknesses [c.f. *Healy*
 506 *et al.*, 2015].
 - 507 • Unlike in other rifts, variably striking faults in southern Malawi do not reactivate with
 508 faults striking orthogonally to σ_3 being linked by faults striking highly obliquely to
 509 σ_3 . Instead, all faults can reactivate while striking slightly oblique to a uniformly E-W
 510 trending σ_3 (Figure 2e).
 - 511 • Using fault slickensides and earthquake focal mechanisms in stress inversions is
 512 problematic as regional stresses and fault displacement are not necessarily aligned
 513 [*Philippon et al.*, 2015; *Twiss & Unruh*, 1998]. Furthermore, accurate principal stress
 514 directions will not be derived from stress inversions in which only a subset of fault
 515 orientations from a polymodal distribution are included [*Healy et al.*, 2015; *Twiss &*
 516 *Unruh*, 1998].
 - 517 • This justifies a reassessment of the stress states and extension directions that have
 518 been inferred elsewhere in the Malawi Rift [*Chorowicz & Sorlien*, 1992; *Delvaux &*
 519 *Barth*, 2010; *Mortimer et al.*, 2007; *Ring et al.*, 1992], and other rifts where highly-
 520 oblique transfer zones have been proposed [e.g. *Chorowicz and Deffontaines*, 1993;
 521 *Acocella et al.*, 1999].
 - 522 • Normal faults with a wide range of strikes can all reactive within the same stress state,
 523 which should be considered during seismic hazard assessment of continental rifts.
- 524

525 8. Conclusions

526 Attempts to determine the stress state in the Malawi Rift using fault geometry and
 527 slickensides [*Chorowicz & Sorlien*, 1992; *Mortimer et al.*, 2007; *Scott et al.*, 1992],
 528 earthquake focal mechanisms (Figure 3, *Delvaux and Barth*, [2010]), and geodetic models
 529 [*Saria et al.*, 2014; *Stamps et al.*, 2018] have produced ambiguous results. Therefore, to test
 530 the applicability of two possible stress states, we determined the reactivation potential of
 531 three representative differently-oriented faults in southern Malawi, in terms of their slip
 532 tendency, stress ratio, and effective coefficient of friction. The NW-SE striking Thyolo and
 533 Bilila-Mtakataka faults are well-oriented with respect to a stress state where σ_3 is SW
 534 trending (Stress State 1, Figure 2d). However, it is difficult to account for the reactivation of
 535 the unfavourably to severely misoriented NNE-SSW striking Chingale Step fault under Stress
 536 State 1, given realistic frictional properties of the rift ($\mu_s \sim 0.7$, $P_f \sim 0$). If σ_3 has a consistent

537 ~E-W trend (Stress State 2, Figure 2e), all faults are favourably oriented to reactivate. An
 538 alternative hypothesis that fabrics actively rotate the stresses along the rift (Stress State 3,
 539 Figure 2f; *Morley*, [2010]), is inconsistent with spatially homogeneous joint orientations and
 540 local variations in the foliation orientation.

541
 542 From this reactivation analysis, we consider that Stress State 2 is most applicable to southern
 543 Malawi, which is also consistent with regional joint sets (Figures 2 and 4) and large scale
 544 geodetic models [*Stamps et al.*, 2018]. This would suggest that all faults in southern Malawi
 545 reactivate by being slightly oblique (angle between fault strike and regional σ_3 trend $<30^\circ$),
 546 and thus counter to typical models of oblique rifting in which one fault set strikes orthogonal
 547 to σ_3 and the other is highly oblique [*Acocella et al.*, 1999; *Bellahsen & Daniel*, 2005;
 548 *McClay & White*, 1995].

549
 550 It is unclear whether the slightly oblique E-W extension predicted by Stress State 2 in
 551 southern Malawi is reflected in the fault's kinematics, or if the faults are actually pure dip-
 552 slip as indicated by the few well-determined focal mechanisms (Table 1). In the latter case,
 553 this inconsistency can be explained by a deep-seated zone of crustal weakness, which is
 554 exploited by the rift's faults and re-orient slip [*Corti et al.*, 2013; *Hodge et al.*, 2018;
 555 *Philippon et al.*, 2015]. Nevertheless, in rifts where stress states derived from measurements
 556 of fault displacement are ambiguous, fault reactivation potential analysis provides a powerful
 557 way to test their applicability.

558

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565

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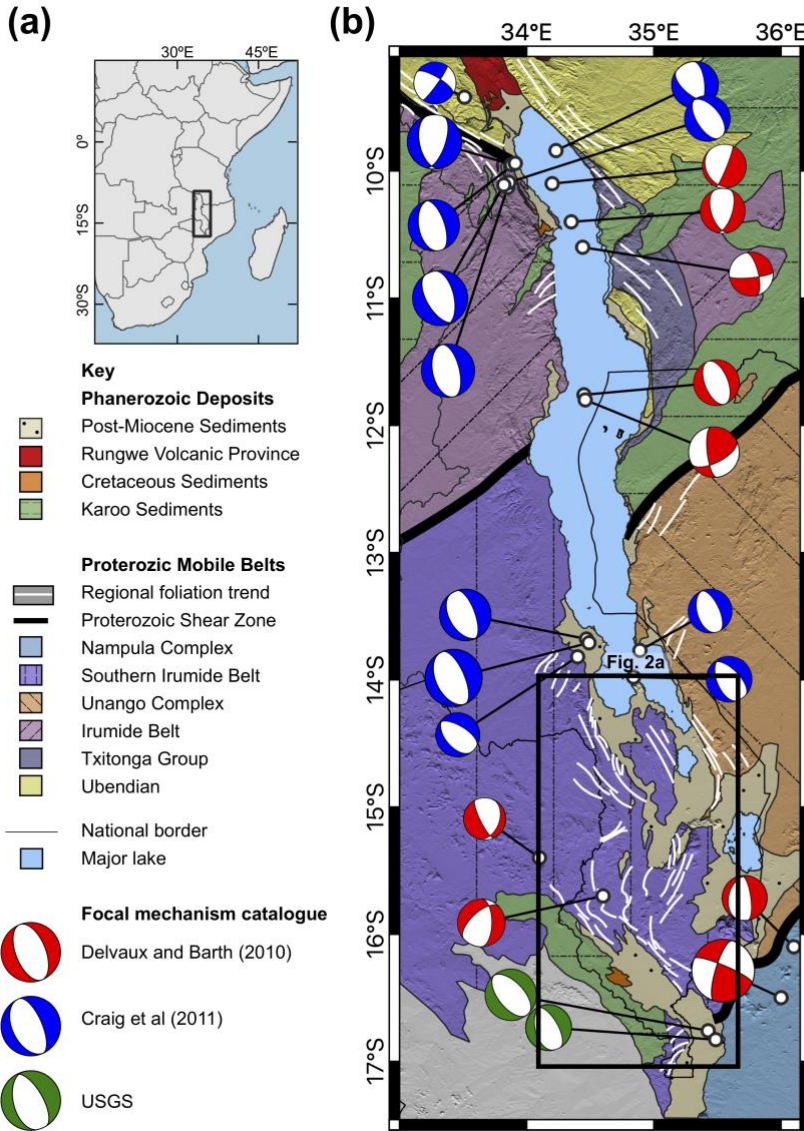
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850 **List of figures**

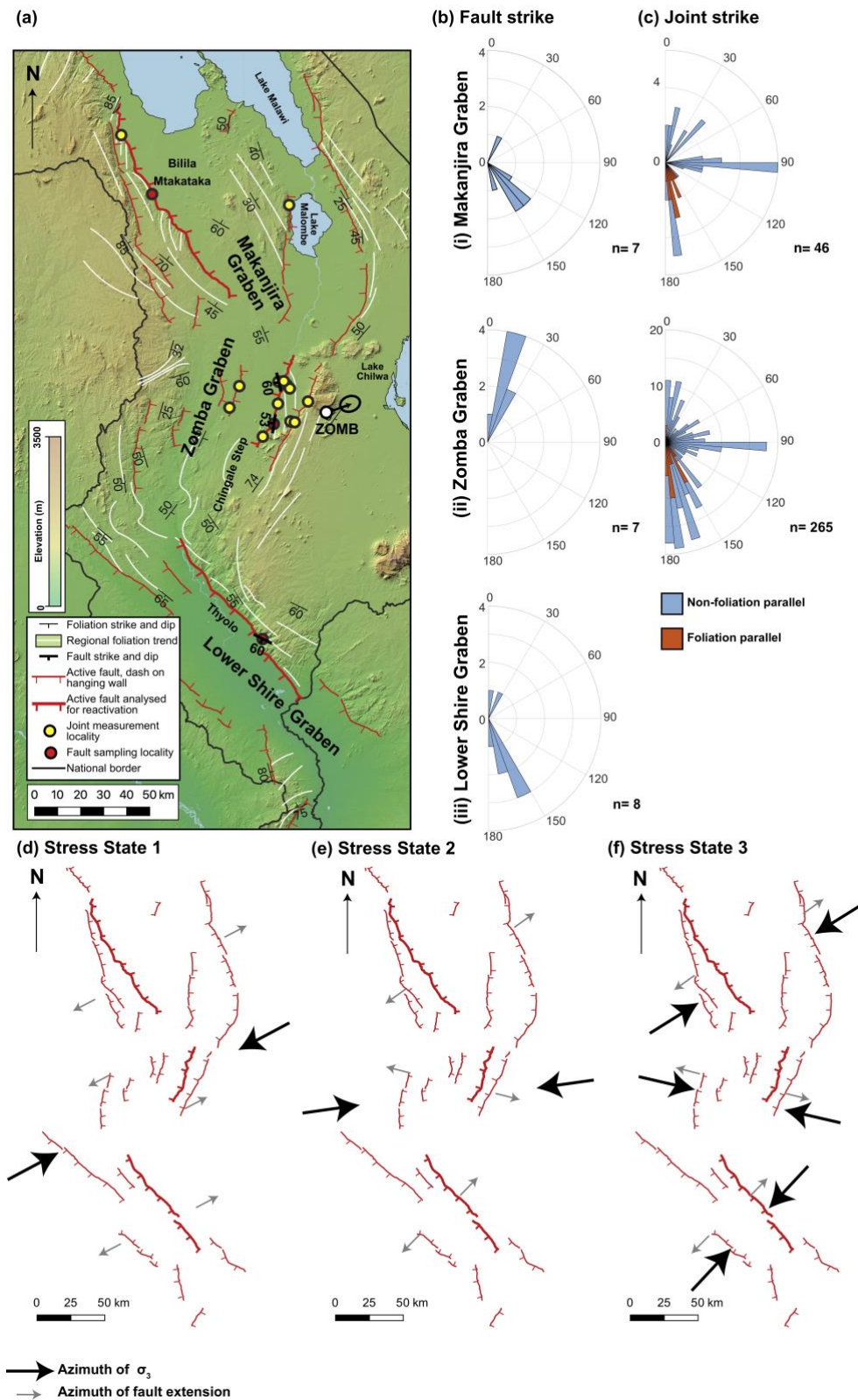
851 Figure 1



852

853 Figure 1: (a) Location of Malawi Rift within East Africa. (b) Simplified geological map of
 854 the rift with Proterozoic units taken from *Fritz et al.*, [2013], and underlain by Shuttle Radar
 855 Topography Mission (SRTM) 30 m digital elevation model (DEM; *Sandwell et al.*, [2011]).
 856 Location of focal mechanisms listed in Table 1 also given. Foliation orientations and trends
 857 collated from SRTM images, field measurements and previous studies [*Bloomfield*, 1958,
 858 1965; *Bloomfield & Garson*, 1965; *Habgood et al.*, 1973; *Hodge et al.*, 2018].

859 Figure 2



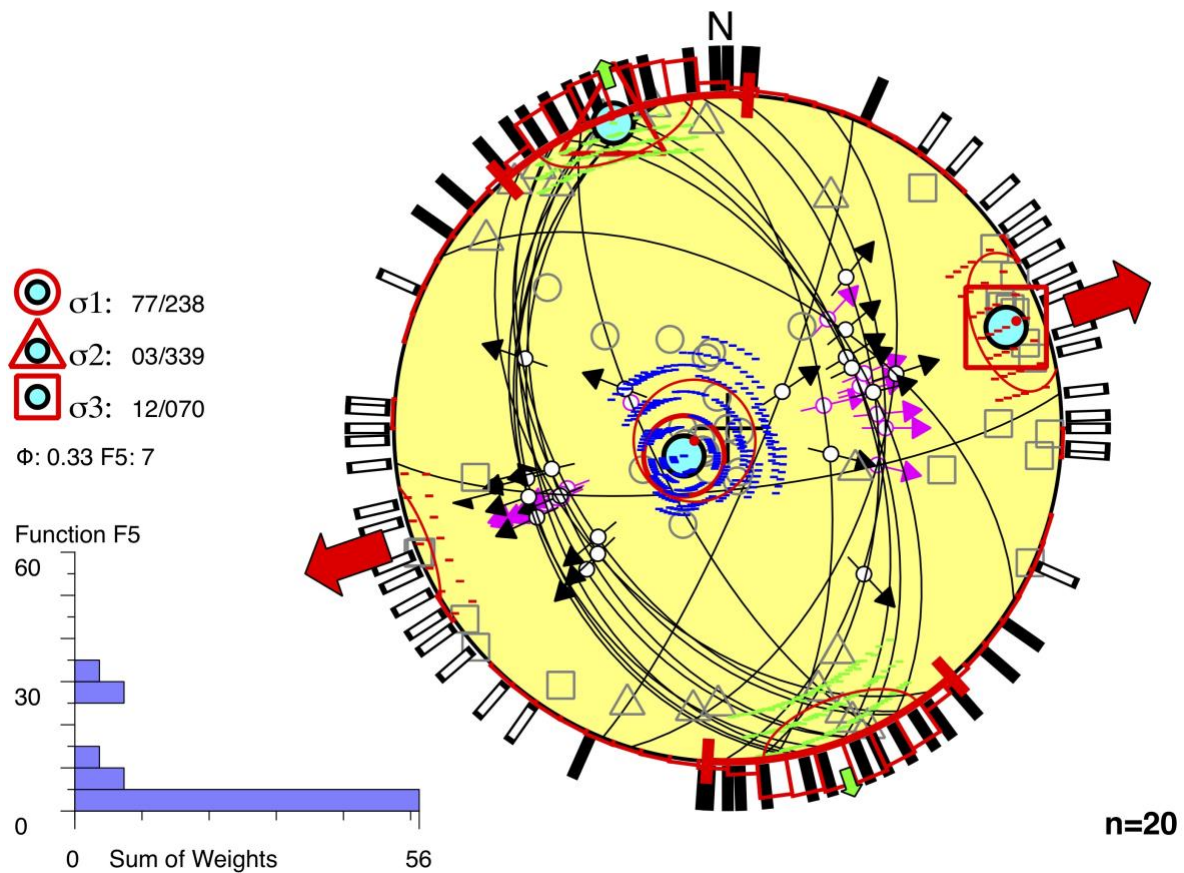
860

861 Figure 2: (a) Map of active faults in southern Malawi collated from TanDEM-X DEM and geological
 862 maps [Bloomfield, 1958, 1965; Bloomfield and Garson, 1965; Walshaw, 1965; Habgood et al., 1973;
 863 Hodge et al., 2018, 2019; Wedmore et al., in prep.]. Area shown is indicated in Figure 1b. The
 864 azimuth of the ZOMB permanent GPS station is also shown [Stamps et al., 2018]. Joint and fault

865 sampling and measurement localities also shown. Rose plots for measurements of (b) fault and (c)
866 joint strike for each of the grabens. Schematic representation of the σ_3 azimuth in Stress States (d) 1,
867 (e) 2, and (f) 3 with respect to faults in southern Malawi. In addition, we show extension direction as
868 inferred by the Wallace Bott criterion (for Stress States 1 and 3), or if a slip reorientation occurs (for
869 Stress State 2; *Philippon et al.*, [2015]). Area shown for each map is the same as in (a). Weighted
870 fault lines are those on which reactivation analysis was conducted.

871

872 Figure 3

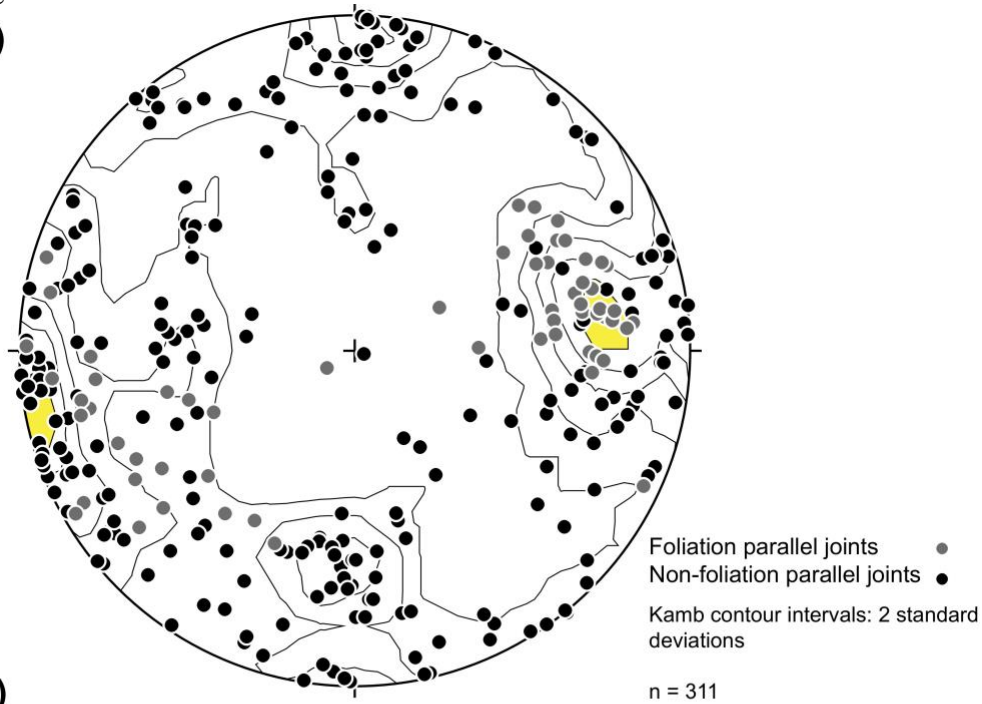


873
 874 Figure 3: Results of earthquake focal mechanism stress inversion for the Malawi Rift using
 875 Win-Tensor [Delvaux & Sperner, 2003] and the mechanisms listed in Table 1. Lower-
 876 hemisphere equal area stereoplot depicts selected nodal planes (black lines) with slip vectors
 877 (black arrows), the three principal stress axes (blue circles), maximum and minimum
 878 horizontal stress (S_{Hmax} and S_{Hmin}) trajectories (small green and large red arrows), S_{Hmax} and
 879 S_{Hmin} trajectories for individual focal mechanisms (black and white bars outside stereoplot),
 880 and kinematic axes for individual focal mechanisms (grey circle: p axis, triangle: b axis,
 881 square: t axis). Histogram represents distribution of misfit angles (ω), weighted arithmetically
 882 according to magnitude.

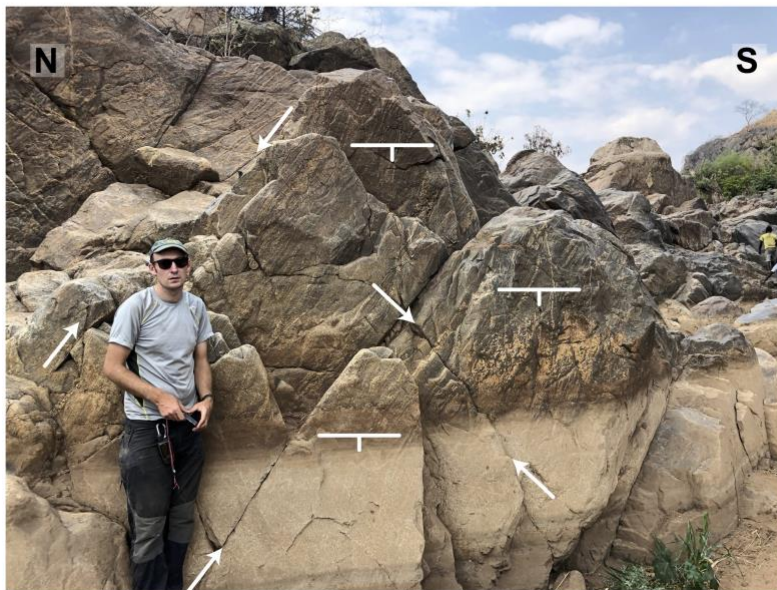
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884 Figure 4

(a)



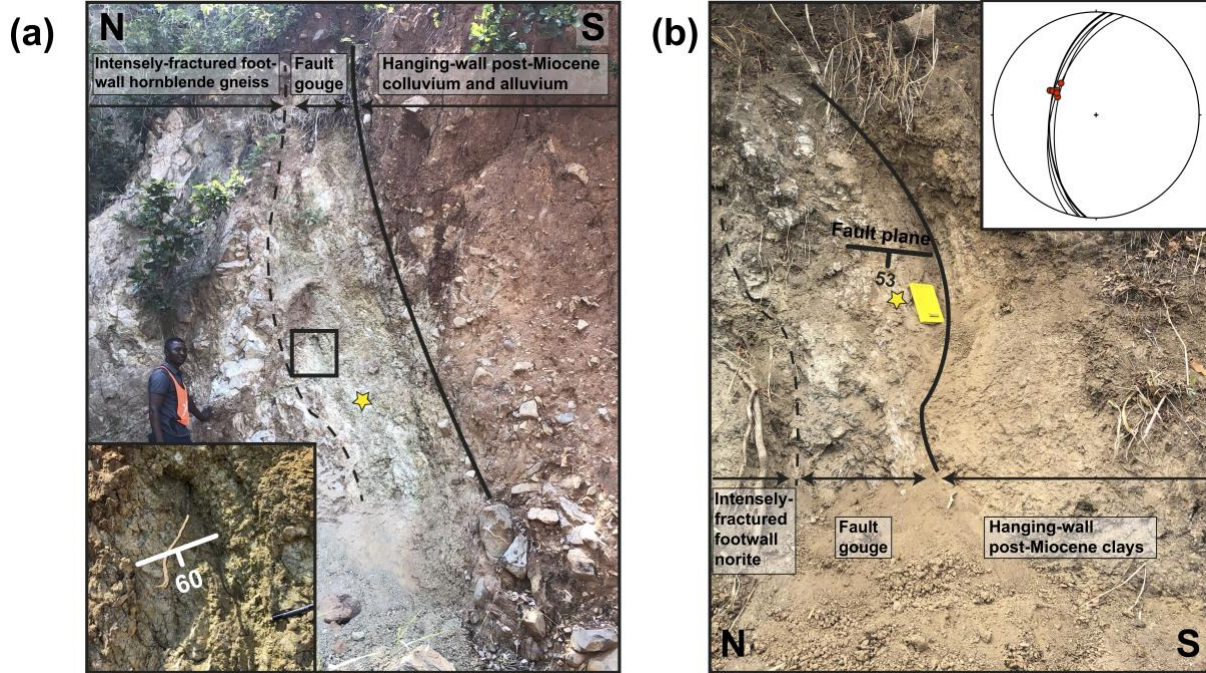
(b)



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Figure 4: (a) Stereoplot showing poles to joint orientations that were also shown in Figure 2c. Shaded contour interval indicates highest concentration of the N-S striking joints. The trend at the centre of this interval (082°) is used to infer the trend of the minimum principal stress (σ_3) for Stress State 2. The range of this interval $\pm 7^\circ$. (b) Examples of joint sets in the Malawi Rift. The joint set the facing the photo is a steeply dipping N-S set, which are mutually cross cutting with an inclined E-W set.

892 Figure 5

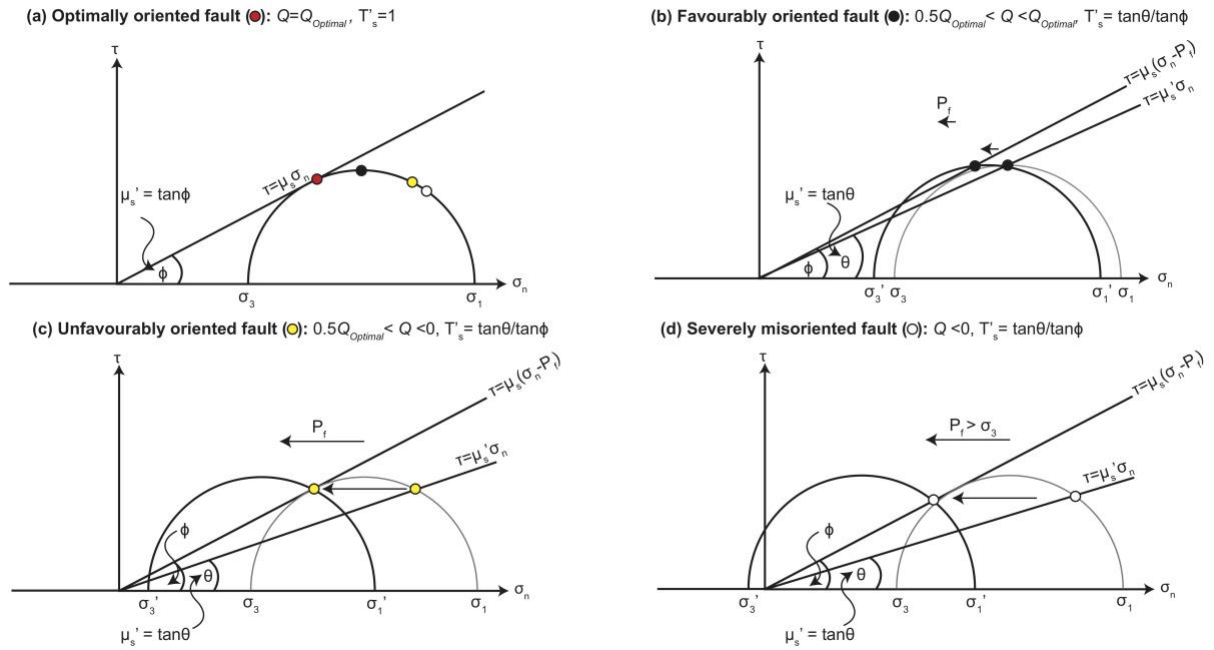


893

894 Figure 5: Examples of outcrops from (a) the Thyolo and (b) Chingale Step faults. Stars depict
 895 where ‘fault rock’ samples were taken from for these faults. Footwall and hanging-wall unit
 896 descriptions taken from *Habgood et al.*, [1973] and *Bloomfield*, [1965] respectively. Box in
 897 (a) highlights plane that was used to measure dip of Thyolo fault and is shown in the inset.
 898 Inset in (b) shows fault slickenside orientations [*Wedmore et al.*, in prep.]. Note, a dip of 57°
 899 was used for the Chingale Step fault reactivation analysis, based on the average dip measured
 900 over other sites (Figure 2a).

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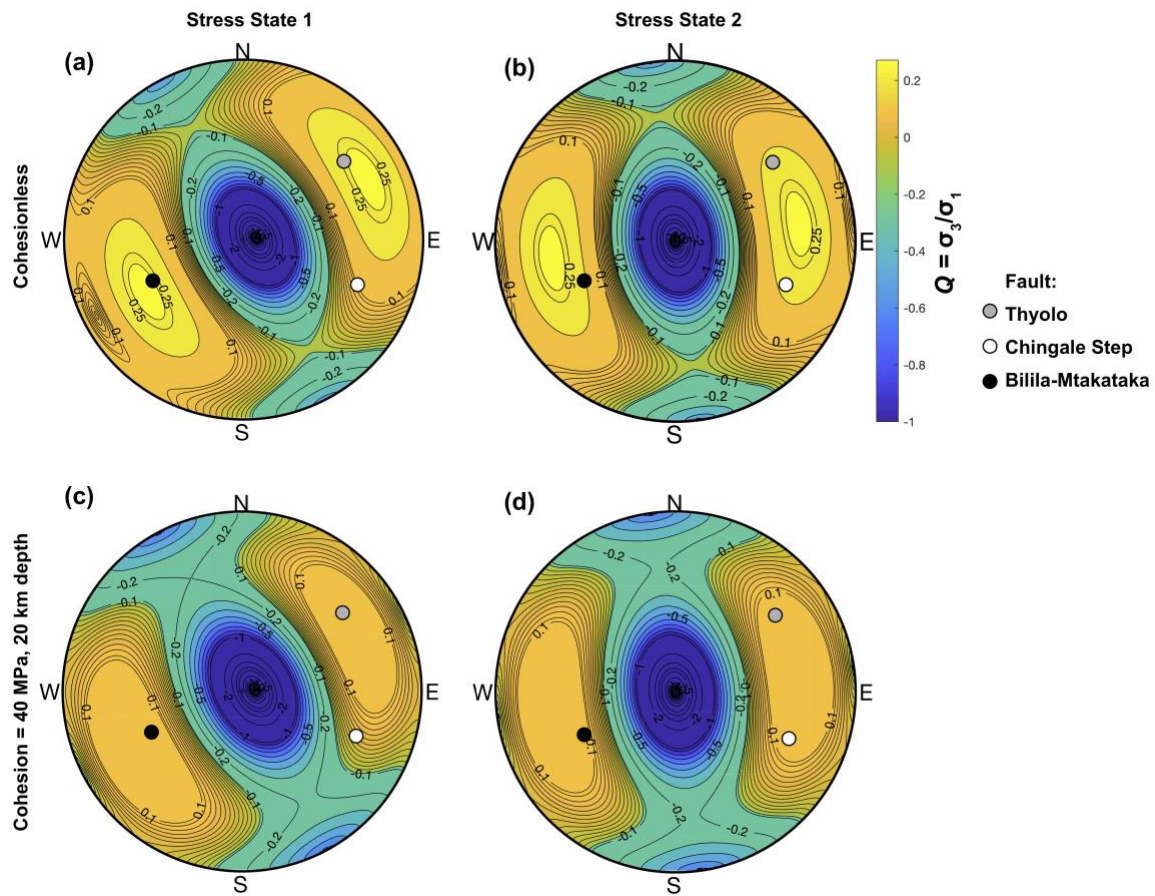
902 Figure 6



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Figure 6: Illustration in Mohr Space of different concepts for analysing fault reactivation. (a) The stress ratio ($Q = \sigma_3/\sigma_1$), normalized slip tendency (T'_s), and effective coefficient of friction (μ_s') acting on an optimally-oriented cohesionless fault. In this case, $Q = Q_{Optimal}$, $T'_s = 1$, μ_s' is the same as the frictional strength of an optimally oriented fault ($\mu_s = \tan\phi$), and no fluid pressure (P_f) is required for reactivation. In addition, the orientation of three hypothetical faults is also depicted. The Q , T'_s , μ_s' , and P_f required for reactivation of these (b) favourably oriented, (c) unfavourably oriented, and (d) severely misoriented fault is then also shown. For clarity, this example is for a 2D reactivation analysis when the fault plane contains σ_2 . However, the principles are the same for a 3D analysis.

914 Figure 7

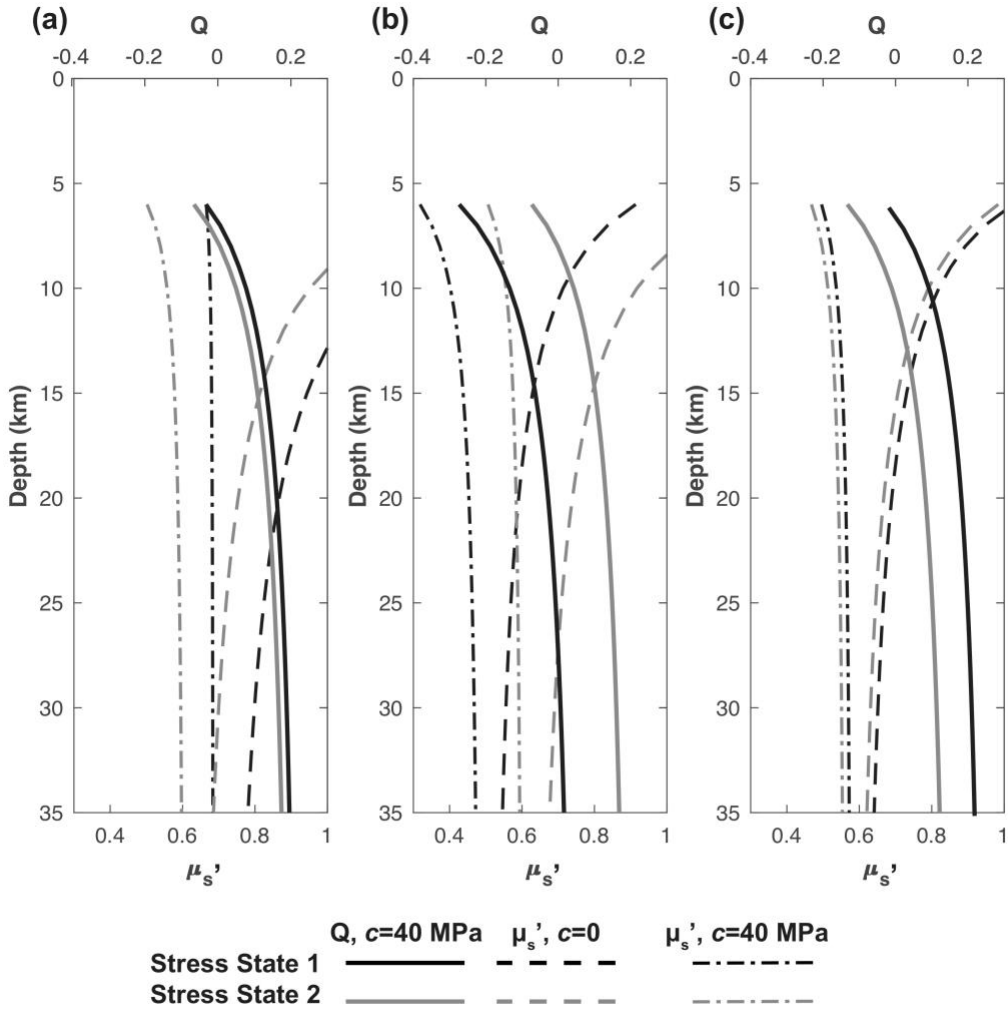


915

916 Figure 7: Stereoplots contoured by stress ratio ($Q = \sigma_3/\sigma_1$) required for fault reactivation in
 917 Stress States 1 and 2 [Leclère & Fabbri, 2013]. Both (a&b) cohesionless and (c&d) cohesive
 918 are considered. Results pertain to any depth for cohesionless faults and are calculated for a
 919 depth of 20 km for cohesive faults, assuming the density model for the rift outlined in Table
 920 S2. For all plots $\mu_s = 0.7$ and $\Phi = 0.33$. Poles to the fault orientations analyzed here are also
 921 shown.

922

923 Figure 8



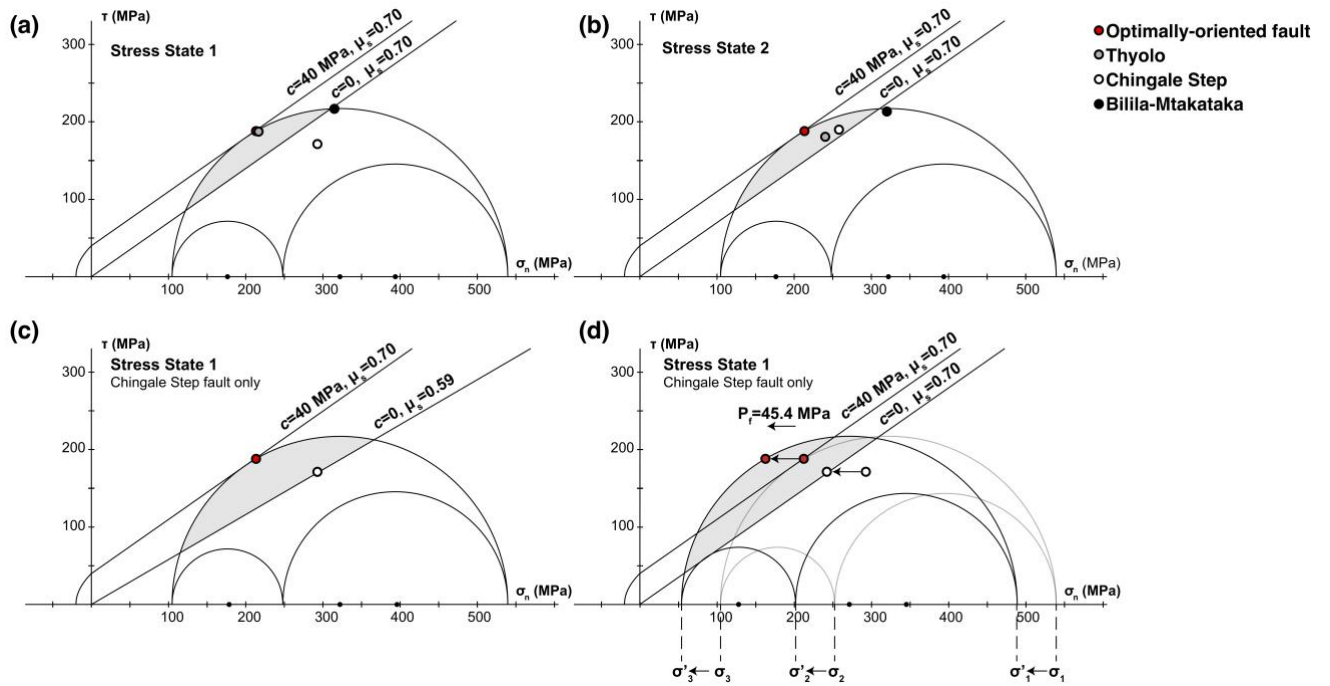
924

925 Figure 8: The stress ratio ($Q=\sigma_3/\sigma_1$) and effective coefficient of friction (μ_s') of (a) Thyolo,
 926 (b) Chingale Step, and (c) Bilila-Mtakataka fault for Stress States 1 and 2 between depths 6-
 927 35 km. For cohesionless faults, Q does not vary as a function of depth and so is not shown.

928 Only values where $\mu_s' < 1$ are plotted.

929

930 Figure 9

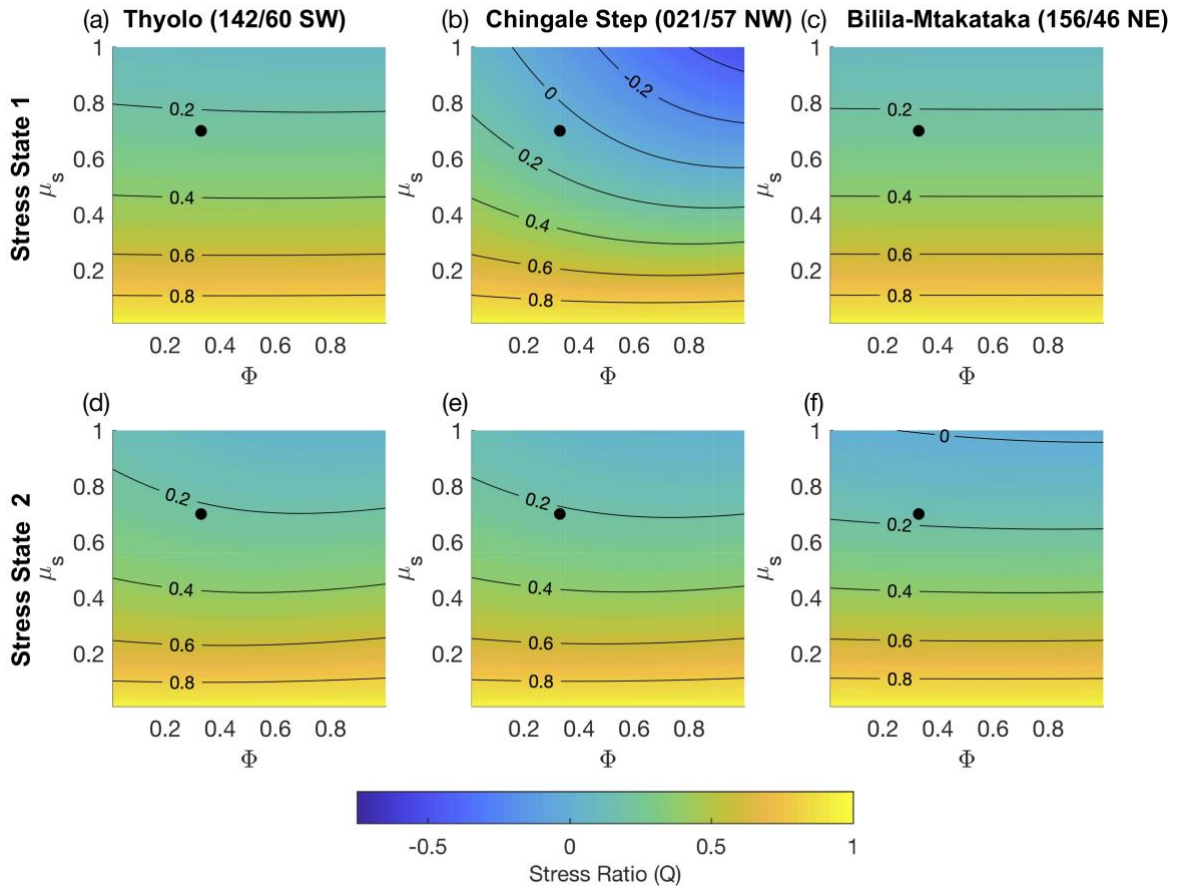


931

932 Figure 9: 3D Mohr Circle analysis for reactivation of faults in southern Malawi at 20 km
 933 depth. Shaded region in each plot depicts range of orientations where a cohesionless fault will
 934 reactivate. (a) Orientation of Thyolo, Chingale Step and Bilila-Mtakataka Fault in Stress
 935 States 1. Given the failure criteria assumed here, only the Thyolo and Bilila-Mtakataka
 936 fault will reactivate. (b) Same as (a) but for Stress State 2. The Thyolo and Chingale Step
 937 faults will reactivate in this stress state and reactivation of Bilila-Mtakataka requires a slight
 938 reduction in μ_s' to 0.67 (Table 3). Reactivation of cohesionless Chingale Step fault in Stress
 939 State 1 at 20 km depth requires that (c) $\mu_s'=0.59$ or that (d) $P_f'=45.4$ MPa (equivalent to
 940 $\lambda_v'=0.08$).

941

942 Figure 10



943

944 Figure 10: Contour plots for stress ratio ($Q=\sigma_3/\sigma_1$) needed for reactivation of a cohesionless
 945 fault in frictional strength-stress shape ratio ($\mu_s-\Phi$) space for the given fault orientations and
 946 Stress States 1 and 2. Black circle represents point where $\Phi=0.33$ and $\mu_s=0.70$, as is used in
 947 Figure 7. For similar analysis for cohesive fault, see Figure S8.

948

949

950 **List of tables**951 **Table 1**

Date (yyyy/mm/dd)	M_w	Longitude	Latitude	Depth (km)	Catalogue	Strike	Dip	Rake	Rejected	Misfit (°)	Notes
1954/01/17	6.7	36.00	-16.5.0	20	DB2010	197	68	164	Y		
1966/05/06	5.1	34.60	-15.70	17	DB2010	001	51	-56		31	
1978/01/08	4.9	34.45	-11.76	15	DB2010	338	45	-90		0	
1989/03/09	5.5	34.47	-13.68	31	C2011	340	56	-99		2.6	Same as event 4 in DB2010
1989/03/10	6.1	34.49	-13.71	32	C2011	336	56	-92		1.2	Same as event 5 in DB2010
1989/09/05	5.4	34.46	-11.8	19.8	DB2010	063	52	149	Y		
1994/11/16	4.5	33.51	-9.42	7	C2011	301	64	-11	Y		Focal mechanism from gCMT
1995/07/22	4.9	34.84	-13.98	33	C2011	158	42	-105		7.3	
1995/09/30	4.7	34.40	-13.82	30	C2011	140	38	-75		2.4	
1996/08/30	4.5	34.10	-15.40	10	DB2010	071	27	-46		0.5	
1998/08/24	4.7	34.89	-13.77	44	C2011	163	37	-95		0.3	Same as event 7 in DB2010
1999/09/01	4.7	34.2	-10.10	10	DB2010	022	81	-144		3.7	
2000/01/04	4.8	36.10	-16.10	25	DB2010	352	66	-70		3.7	
2002/08/31	5.0	9.84	34.23	20	C2011	355	53	-126		28	Same as event 9 in DB2010
2004/03/14	4.8	34.35	-10.08	29	DB2010	017	52	-117		13.6	
2004/08/21	4.7	34.44	-10.60	12	DB2010	084	75	-17		4.1	
2009/12/06	5.7	-10.13	33.85	6	C2011	168	38	-91		1.2	
2009/12/09	5.8	-9.95	33.88	6	C2011	167	41	-70		27	
2009/12/11	4.9	-10.09	33.86	8	C2011	148	48	-96		4.4	Focal mechanism from gCMT

2009/12/12	5.5	-9.94	33.91	4	C2011	169	37	-95	0.4
2009/12/19	5.9	-10.11	33.82	5	C2011	149	46	-77	1
2018/03/08	5.5	35.427	-16.76	17	USGS	142	45	-94	6.4
2018/03/08	4.9	35.486	-16.83	10	USGS	330	54	-77	1.6

952 Table 1: Compilation of earthquake focal mechanisms for the Malawi Rift. Catalogue codes are: (1) DB2010, *Delvaux and Barth*, [2010] and
953 references therein, (2) C2011, *Craig et al.*, (2011) and references therein, (3) USGS, *U.S. Department of the Interior U.S. Geological Survey*,
954 [2018]. Focal mechanisms from C2011 are from waveform modelling unless otherwise stated. The reported nodal plane is the one favoured by
955 the stress inversion (i.e. the plane with the smallest misfit, the magnitude of which is also reported). We also indicate which mechanisms were
956 filtered during the stress inversion. Map of focal mechanisms is given in Figure 1.

957

958 Table 2

Fault	Sample	Quartz	Albite	Biotite	Muscovite	Actinolite	Kaolinite	Montmorillonite	Dolomite	Prehnite	Calcite
Thyolo	Country rock	43	40			14	3				
	Fault rock	81					8	5		6	
Chingale Step	Country rock	30	30	37			3				
	Fault rock	4						2	1		93
Bilila-Mtakataka	Country rock	11	52		8	26	3				
	Fault rock	74	16								10

959 Table 2: Quantitative XRD (as weight %) of samples collected from fault zones in the Malawi Rift. Results are normalized to 100% and so do
 960 not include estimates of unidentified or amorphous material. XRD diffractograms are given in Figure S5.

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Fault	Fault orientation	Stress State	Stress Ratio (Q)		Slip tendency (T_s)	Normalized slip tendency (T'_s)	Effective coefficient of friction (μ_s')	
			$c=0$	$c=40$ MPa			$c=0$	$c=40$ MPa
Thyolo	142/60 SW	1	0.24	0.16	0.65	0.92	0.87	0.68
		2	0.22	0.14	0.61	0.87	0.75	0.59
Chingale Step	021/57 NW	1	0.07	-0.02	0.47	0.67	0.59	0.45
		2	0.21	0.13	0.60	0.86	0.74	0.58
Bilila-Mtakataka	156/46 NE	1	0.17	0.16	0.65	0.93	0.69	0.56
		2	0.24	0.08	0.57	0.81	0.67	0.54

963 Table 3: Results of fault reactivation analysis in terms of the stress ratio (Q) of each fault with respect to Stress States 1 and 2, slip tendency (T_s),
964 normalized slip tendency (T'_s), and effective frictional strength (μ_s') needed to reactivate them. T_s , T'_s , and Q where $c=0$ pertain to any depth. Q
965 where $c=40$ MPa and μ_s' are for a depth of 20 km, and assume a fault surrounded by intact rock where $\mu_{s\text{-intact}}=0.7$. See Figure 8 for how these
966 values vary with depth. All results to 2 decimal places.

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