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Application of the tilt derivative transform to bathymetric data for structural lineament mapping

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ABSTRACT

High-resolution bathymetry surveys provide an opportunity to analyse local geological structure where onshore areas afford limited exposure. Semi-automated lineament detection methods are necessary for areas of large coverage where a manual analysis would be subjective and time-consuming. However, semi-automated approaches are dependent on effective feature extraction methods to identify genuine lineaments. This study offers solutions to common problems that can impede processing methods where sharp steps in the seafloor (e.g. palaeocoastlines) are present. Directional gradient, Sobel and Laplacian filters are explored as well as the hillshade and tilt derivative transform for feature extraction prior to applying an object-based image analysis lineament detection approach. The filtered datasets generally perform poorly with a marked improvement when using the hillshade transform. However, we find the azimuth-invariant tilt derivative, which incorporates a convolved vertical derivative, to be the most successful, identifying lineaments in a range of orientations and across a sharp step in the seafloor.

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1 1. Introduction

The bathymetry of the seafloor is complementary to the topography of the land and can describe various morphological features in the marine environment such as sedimentary bedforms or submerged outcrop (Hell et al., 2012). Bathymetric models produce a continuous surface of the seabed and provide an excellent means for viewing the structural complexity of exposed bedrock (Collier et al., 2006; Nixon et al., 2012). High-resolution data are particularly effective at defining areas of submerged outcrop and capture the detail of geological structure including bedding of strata and cross-cutting faults where these features dip >10° (Collier et al., 2006). Bathymetric data has also been shown to enhance the interpretation of seismic reflection data to better understand the geometry of structures and stratigraphy (Collier et al., 2006; Sanderson et al., 2017; Westhead et al., 2018).

Bathymetric data has been employed in a variety of studies to map geological structure and to enhance the in-10 terpretation of seismic reflection data (e.g. Collier et al., 2006; Nixon et al., 2012; Sanderson et al., 2017; Westhead 11 et al., 2018). In some cases, bathymetric models can be derived from 3D seismic data, but generally multi-beam 12 phase-difference methods are preferred (Power and Clarke, 2019). Remote mapping of seafloor lineaments comple-13 ments land-based studies to help define the structural evolution of an area. Where submerged outcrop can be identified, 14 high-resolution bathymetric data can provide an excellent input dataset for lineament detection. The data capture the 15 detail of geological structure including bedding of strata and cross-cutting faults where these features dip $>10^{\circ}$ (Col-16 lier et al., 2006). The extensive coverage available for these studies, often at high-resolution, can be cumbersome for 17 manual methods. The ability to semi-automate lineament extraction and loop through a range of azimuths has meant 18 that more objective lineament maps can be created compared to manual methods (e.g. Rahnama and Gloaguen, 2014; 19 Middleton et al., 2015; Šilhavý et al., 2016; Yeomans et al., 2019). Therefore, a semi-automated lineament detection 20 method can be desirable and increase the objectivity of the analysis. However, semi-automated methods require prior 21 feature extraction to enhance data and target desirable structures. This is key for mapping offshore lineaments and poor 22 feature extraction can be detrimental to the analysis. 23

24

This study investigates the effectiveness of different operators as a means for feature extraction, including direc-

tional gradient and Sobel filters, azimuth-invariant Laplacian filters as well as transforms such as hillshading and the
tilt derivative (TDR). It is worth noting that the use of directional filtering has become less popular over time due to
the availability of more rigorous algorithms (Airo, 2013). However, many studies still implement the use of directional
filters as a first pass for lineament mapping (Mallast et al., 2011; Sedrette and Rebaï, 2016). The study uses bathymetric
data from SW England over a classic area of offshore NW Devon, illustrated in Figure 1 and utilises a state-of-the-art
Object-based Image Analysis (OBIA) lineament detection method designed by Yeomans et al. (2019). A small subset
of the study area over the platform edge is highlighted; full analyses are included in the Supplementary Information.

³² Understanding how different filters and transforms affect the final lineament population is important for selecting ³³ the most appropriate feature extraction tool when applying semi-automated methods. The different visualisations tested ³⁴ here test the importance of not only weighting azimuth equally but also examines how vertical changes in bathymetry ³⁵ can affect the results. Furthermore, we examine means of semi-automatically identifying areas of sediment cover that ³⁶ may lead to false positive lineaments and the potential effect of those spurious results if not removed. The study forms ³⁷ a precursor prior to lineament detection and structural analysis of offshore areas at a regional scale.

1.1. Geological setting

The geology of the study area comprises Culm Basin rocks which were deformed during Variscan orogenesis 30 creating gently plunging chevron folds and predominantly NNW-directed thrusts (Rattey and Sanderson, 1984; Holder 40 and Leveridge, 1986; Lloyd and Chinnery, 2002; Leveridge and Hartley, 2006). During this time, strike-slip transfer 41 faults were formed in a NW to NNW orientation (Leveridge et al., 2002). Intraplate tectonics brought about subsequent 42 phases of extension during the Permo-Triassic (Shail and Alexander, 1997). This was followed by the onset of Atlantic 43 opening in the latest Jurassic-Cretaceous before Alpine collision caused minor inversion and substantial Cenozoic 44 strike-slip movement (Holloway and Chadwick, 1986; Cheadle et al., 1987; Chapman, 1989; Hillis et al., 2008). These 45 NW-SE structures, and subordinate NNE-SSW structures, have been reactivated multiple times during this period 46 (Shail and Alexander, 1997; Ault et al., 2016), and have previously been investigated by Nixon et al. (2012) and 47 Nyberg et al. (2018). They form the target for semi-automated lineament detection in this study and are of particular 48 importance for understanding the post-Variscan structural evolution of the region. 49



Figure 1: A) Regional overview of the study area, detailing the primary geological units in SW England B) Seafloor depth off of Hartland Point, with the rectangle highlighting an area that contains a step-change in bathymetry (reflecting a palaeocoastline) used to showcase the feature extraction methods and resulting lineament populations. Geology based upon BGS Geology 625k (DiGMapGB-625) data, with the permission of the British Geological Survey.

50 2. Data and methods

Manual lineament extraction studies can be effective at identifying structural features and creating maps of fault 51 systems (e.g. Nixon et al., 2012). These studies often produce maps with long lineament traces that appear robust but 52 can be subjective and dependent on the visualisation method (Scheiber et al., 2015). Biases can exist in various aspects 53 of a manual analysis including lineament length and the scale/detail of fractures mapped, although user experience 54 appears to be less important (Andrews et al., 2019). Semi-automated methods can mitigate these biases but the data 55 often still require enhancement via feature extraction methods; thus requiring careful consideration. Directional filters 56 such as gradient and Sobel kernels are effective at finding lineaments where the orientation is known; the same holds for 57 the hillshade transform. Where this is not the case, the method must be azimuth-invariant and weight all orientations 58 equally (e.g. Laplacian filters). Changes in the vertical plane of the source data can also influence the outputs, which 59

is why the tilt derivative (TDR) transform is investigated in this study and compared to the aforementioned filters and
hillshade transform.

62 2.1. Bathymetric data

The area of interest selected for this study is in the region offshore of Hartland Point, Devon (Figure 1b). Bathy-63 metric data were downloaded at 2 m pixel resolution from the United Kingdom Hydrographic Office (UKHO) via the 64 Admiralty Data Portal; full details can be found in Supplementary Data. The site covers an area of submerged outcrop 65 with a distinct platform area curtailed to the north of the study by an apparent palaeocoastline. This on-platform area 66 extends some 2800 m west of the present coastline with a gentle gradient into deeper off-platform areas whereas to the 67 north the on-platform area extends approximately 2300 m where a sharp drop >10 metres in the platform occurs over 68 a palaeocoastline. Although sand cover becomes problematic in the westernmost part of the area, it is largely limited 69 to the nearshore coves with small pockets found along the palaeocoast. The area was featured as part of a manual 70 lineament analysis by Nixon et al. (2012) who determined a series of NW-SE and NNE-SSW trending fault sets that 71 exhibit dextral and sinistral offsets, respectively. The area is also used as a case study site to showcase the NetworkGT 72 plug-in for QGIS software, which consists of a suite of tools for geometric and topological analysis of two-dimensional 73 fracture networks (Nyberg et al., 2018). Both Nixon et al. (2012) and Nyberg et al. (2018) have demonstrated that the 74 area provides an excellent site for studying fault networks and this study will aim to extend this into deeper water. 75

76 2.2. Filters and transforms

Geospatial data, even after all processing steps have been completed, almost always require some further manipulation to enhance certain features prior to further analysis; for image or raster data, this often involves a filter or transform. There are a broad range of enhancements that can be tailored to the task and, when used with an appropriate semi-automated algorithm, a high degree of accuracy can be achieved (Sukumar et al., 2014). However, determining a suitable image enhancement can be difficult and potentially subjective especially depending on the target structure and the signal-to-noise ratio (Smith and Clark, 2005; Rahnama and Gloaguen, 2014).

Band pass filters, such as the gradient and Sobel operators, are effective at selecting a particular range (based on

directionality). Low-pass and high-pass filters are useful for mitigating noise and enhancing the sharpness of features,
 respectively (Rahnama and Gloaguen, 2014). Transforms do not preferentially select data but convert the whole dataset
 to derive a new variable.

In this study, the directional gradient, Sobel and Laplacian filters as well as the hillshade and TDR transforms 87 have been selected to demonstrate various feature extraction methods. These operators have been selected as they 88 are commonly applied in lineament detection studies, be it manual or semi-automated, to enhance features prior to 89 detection on a variety of datasets (Smithurst, 1990; Morris, 1991; Maini and Aggarwal, 2009; Airo and Wennerström, 90 2010; Mallast et al., 2011; Grebby et al., 2012; Hashim et al., 2013; Rahnama and Gloaguen, 2014; Sukumar et al., 91 2014; Middleton et al., 2015; Mwaniki et al., 2015; Scheiber et al., 2015; Sedrette and Rebaï, 2016; Šilhavý et al., 92 2016; Thiele et al., 2017; Yeomans et al., 2019). It is worth noting that other methods are available such as the Prewitt 93 and Canny filter, Principal Component Analysis and Hough transforms, among others, which fall out of scope of this 94 study. 95

96 2.2.1. Directional filters

Directional filtering of spatial data is a well-established tool used to highlight features for lineament detection and structural mapping. The filter uses a weighted kernel to accentuate particular-oriented features, where features are perpendicular to the overall gradient of weights within the kernel. The use of directional filters was detailed by Moore and Waltz (1983) who provided a five-step framework for lineament enhancement that included smoothing, directional filtering, smoothing directional components, lineament extraction and scaling. For a 3 x 3 matrix, the process takes the focal pixel, A_0 , and surrounding pixels (B, C...I) from the input data λ in Equation 1:

$$\lambda_3 = \begin{bmatrix} B & C & D \\ E & A_0 & F \\ G & H & I \end{bmatrix}$$
(1)

Or, for a 5 x 5 matrix, Equation 2:

$$\lambda_{5} = \begin{bmatrix} B & C & D & E & F \\ G & H & I & J & K \\ L & M & A_{0} & N & O \\ P & Q & R & S & T \\ U & V & W & X & Y \end{bmatrix}$$
(2)

The values in λ are convolved by a directional kernel in Equation 3 containing, in this case, a northwest gradient:

$$G_{NW} = \begin{bmatrix} -2 & -1 & 0 \\ -1 & 0 & 1 \\ 0 & 1 & 2 \end{bmatrix} * \lambda_3$$
(3)

¹⁰⁵ or a northeast gradient using Equation 4:

$$G_{NE} = \begin{bmatrix} 0 & -1 & -2\\ 1 & 0 & -1\\ 2 & 1 & 0 \end{bmatrix} * \lambda_3$$
(4)

106

The results of these orthogonal filters can be combined as a magnitude using Equation 5:

$$|G| = \sqrt{G_{NW}^2 + G_{NE}^2}$$
(5)

The weights used here have been chosen to emphasise the main directions of known faults in the study area. However, directional filtering can vary considerably depending on the task in hand, but generally take the form of a 3 x 3 kernel where the direction of positive-to-negative weighting provides the orientation of the kernel.

110 2.2.2. Sobel filter

The Sobel filter is a commonly used edge detector technique and allows the calculation of the X and Y derivatives with a level of smoothing imparted via the kernel (Sobel and Feldman, 1973; Favalli and Fornaciai, 2017). It is another directional gradient-based method where the X and Y derivatives for the Sobel filter are calculated using Equation 6 and Equation 7, respectively.

$$G_{H} = \begin{bmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{bmatrix} * \lambda_{3}$$
(6)

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$$G_V = \begin{bmatrix} 1 & 2 & 1 \\ 0 & 0 & 0 \\ -1 & -2 & -1 \end{bmatrix} * \lambda_3$$
(7)

115

These two first-order derivatives can then be combined into a gradient magnitude image using Equation 8:

$$|G| = \sqrt{G_H^2 + G_V^2} \tag{8}$$

The Sobel filter is most sensitive to lineaments in the X and Y directions and diagonal components can be suppressed (Sobel and Feldman, 1973). The Sobel filter is essentially a modification of the Prewitt filter which does not account for smoothing. The introduction of a -2 weight to the filter (compared to a -1 for the Prewitt filter) adds a more 'circular' operation to the kernel that is advantageous over the Prewitt filter (Davies, 1986).

120 2.2.3. Laplacian filter

The Laplacian filter is a second-order derivative, non-directional filtering tool that has been widely applied for detecting structural lineaments from remotely sensed data (e.g. Grebby et al., 2012; Rahnama and Gloaguen, 2014; Al-Azemi and Divi, 2017). The Laplacian can be derived using Equation 9, which can be approximated by convolving the matrices described in Equation 10 and Equation 11 for a 3 x 3 kernel and 5 x 5 kernel, respectively.

$$L_{(x,y)} = \nabla^2 f_{(x,y)} = \frac{\delta^2 f(x,y)}{\delta x^2} + \frac{\delta^2 f(x,y)}{\delta y^2}$$
(9)

$$L_3 = \begin{bmatrix} 0 & -1 & 0 \\ -1 & 4 & -1 \\ 0 & -1 & 0 \end{bmatrix} * \lambda_3 \tag{10}$$

$$L_{5} = \begin{bmatrix} 0 & 0 & -1 & 0 & 0 \\ 0 & -1 & -2 & -1 & 0 \\ -1 & -2 & 17 & -2 & -1 \\ 0 & -1 & -2 & -1 & 0 \\ 0 & 0 & -1 & 0 & 0 \end{bmatrix} * \lambda_{5}$$
(11)

The Laplacian filter is useful as it returns a smoother image where edges are located at the zero-contour (Marr and Hildreth, 1980). Being a second-order derivative, the Laplacian filter is more sensitive to noise in the data and may

also be prone to edge-effects in the data (Maini and Aggarwal, 2009). Other derivations of the filter can mitigate this by
combining Gaussian smoothing to enhance edge detection (e.g. Maini and Aggarwal, 2009; Rahnama and Gloaguen,
2014).

130 2.2.4. Hillshade transform

A shaded relief, or hillshade transformation, is a common tool for visualising topographic data and a useful first step for lineament mapping (Höfle and Rutzinger, 2011; Scheiber et al., 2015; Favalli and Fornaciai, 2017). It involves transforming a 2D image to highlight features in a particular direction based on a theoretical sun position; assuming a Lambertian surface and single light source at an infinite distance (Favalli and Fornaciai, 2017). The sun position is defined by an *azimuth* (A_s) and *zenith* (Z_s) and is combined with a *slope* (S_e) and *aspect* (A_e) derived from the elevation model to calculate the hillshade (H) image (Equation 12) where all all angles are converted to radians.

$$H = 255 * ((\cos(Z_s) * \cos(S_e)) + (\sin(Z_s) * \sin(S_e) * \cos(A_s - A_e)))$$
(12)

Shadows are imparted on the image based on the azimuth and zenith of the light source where a zenith of zero 137 would place the sun on the horizontal plane of reference. The single light source results in azimuth biasing and can 138 change the apparent position of breaks in slope as well as the apparent convexity or concavity of a feature (Smith and 139 Clark, 2005; Favalli and Fornaciai, 2017). This can be mitigated by using multiple hillshade images where at least two 140 images are generated parallel, and orthogonal, to the principal lineament orientation to capture the main trends (Smith 141 and Clark, 2005). This approach is similar to the methods of directional filters but is not as limited in orientation and 142 the zenith imparts a level of sensitivity. However, lower zenith angles can lead to a loss of detail in shaded areas 143 adjacent to prominent topography. 144

145 2.2.5. Tilt derivative transform

The tilt derivative (TDR) transform was first described by Miller and Singh (1994) whereby a tilt angle is determined by the arctangent of the vertical and total horizontal derivative of the data (T) (Equation 13). The transform was developed for use with potential field data, primarily magnetic data, but has since been applied to other datasets

such as LiDAR data (Middleton et al., 2015) and the Total Count of radiometric data (Yeomans et al., 2019) where the 149 vertical derivative is calculated through convolution. 150

$$TDR = \tan^{-1} \left(\frac{\frac{\partial T}{\partial z}}{\sqrt{\left(\frac{\partial T}{\partial x}\right)^2 + \left(\frac{\partial T}{\partial y}\right)^2}} \right)$$
(13)

The TDR transform is a useful tool for preserving low amplitude signals which may be attenuated over the dynamic 151 range in the presence of a larger amplitude signal (Miller and Singh, 1994; Verduzco et al., 2004; Fairhead et al., 152 2004). Values are restricted to $\pm \pi/2$ by the arctangent function, regardless of the derivative magnitudes, preserving 153 low amplitude signals and reducing the effect of noise. Additionally, this feature assists the interpretation where the 154 continuity of a body may vary due to lateral changes in signal (Verduzco et al., 2004). Furthermore, the zero-contour 155 passes over or near the edge of bodies (Miller and Singh, 1994). These features make the TDR transform an effective 156 tool for mapping edges or mapping minima/maxima. 157

2.3. Lineament detection 158

Lineament detection techniques have commonly taken a pixel-based approach to feature identification. The results 159 have shown broad improvement over several decades but are still fallible in noisy data and in areas where lineaments 160 appear discontinuous. Object-Based Image Analysis (OBIA) workflows allow the generation of spatially correlated 161 groups of pixels or "image objects" to identify lineaments. The advantage of an OBIA approach is that objects have 162 internal and relative statistics as well as a geospatial topology that can hone the classification (Lang, 2008). The use 163 of these attributes can result in a more subjective assessment (Blaschke et al., 2004) but the analysis is more robust 164 to noise compared to pixel-based methods (Van Den Eeckhaut et al., 2005, 2012). Image objects have proven an 165 effective means for lineament detection and used on a variety of data types including spaceborne InSAR and Landsat 166 data (Mavrantza and Argialas, 2006; Marpu et al., 2008), as well as airborne LiDAR, magnetic and radiometric data 167 (Rutzinger et al., 2006; Middleton et al., 2015; Yeomans et al., 2019). 168

¹⁶⁹

removed and the ranges for each filter and the hillside transform were linearly transformed to optimise performance 170 within the algorithm; see Supplementary Information. The data are taken as a single input layer using the bottom-171 up OBIA method described by Yeomans et al. (2019). The method efficiently performs lineament extraction from 172 large raster datasets whilst creating slightly shorter lineament segments compared to top-down OBIA methods (e.g. 173 Middleton et al., 2015; Yeomans et al., 2019). For this study, line extraction was completed in two phases and optimised 174 for each data input. The first phase searched for NW-SE lineaments in the range 120° to 175° using a line width of 2 175 pixels, the second phase targeted NNE-SSW lineaments in the range 005° to 060° with a line width of 1 pixel. The 176 resultant image objects were then merged and processed as per the approach outlined in Yeomans et al. (2019). Note 177 that lineaments between 060° and 120 were purposefully not included in the detection ranges for the two phases as 178 these equate to bedding surfaces that varied due to tight fold axes. 179

3. Results and discussion

In this section, we present visualisations using each of the filters and transforms introduced above and the subsequent derived lineaments. The semi-automated OBIA approach to lineament detection ensures an objective interpretation between different visualisations of the data. The resultant lineament populations are examined qualitatively and quantitatively to determine the merits of each operator whilst a semi-automated approach to data quality assessment allows the removal of likely false positive lineaments.

3.1. Feature extraction methods

The operations performed on the data are presented in Figure 2, over the magnified area (illustrated in Figure 1b). This small subset of the study shows the edge of the platform and provides a good comparison of how the filters and transforms perform across this pronounced change in depth. It can be seen from Figure 2a that the magnitude of the gradient filter is effective at dealing with the sharp break in the data but, because it is an edge detector, tends toward highlighting the edges of submerged outcrop blocks, rather than identifying fractures in the bedrock. Similarly, the magnitude of the Sobel filter in Figure 2b captures edges of blocks and is not well suited to define minima. Although the filtered data range appears to be better at recognising structure in the off-platform data, it is oversaturated on the

¹⁹⁴ platform resulting in an apparent loss of resolution.

¹⁹⁵ Compared to the previous filters, the Laplacian filter produces a smoother visualisation of the data. The 3 x 3 kernel ¹⁹⁶ shown in Figure 2c provides a slight enhancement on the data to highlight structures but is overall indistinct at this ¹⁹⁷ scale and appears to have greater noise. The 5 x 5 kernel (Figure 2d) emphasises more structures in both on-platform ¹⁹⁸ and off-platform areas whilst reducing noise to give a sharper image.

Figure 2e displays the hillshade transform for the illumination azimuth of 225° and zenith of 45° that enhances the NW-SE structures in the area. It should be noted that the method also incorporates an orthogonal hillshade at 315° and a 45° zenith to enhance the subordinate NNE-SSW features. The image clearly detects structures in the on-platform areas of the seafloor but struggles to highlight such detail in the deeper off-platform areas. The tilt derivative provides a more complete picture where structures are equally apparent despite the step-change in platform height over the area. The use of a total horizontal derivative in the denominator means that there is no azimuthal bias to highlight particular orientations of lineaments in the data, as is the case with the hillshade transform.

3.2. Lineament populations

The derived lineaments are presented and discussed with respect to the relative performance of each operator. A visual inspection is presented of a subset of the area (outlined in Figure 1b) is used to provide a visual assessment of the different lineament sets. Statistical analysis is conducted on the whole lineament population for each feature extraction method to explore the data distribution with respect to length, orientation and depth of the lineaments. The complete lineament set for each method can be found in the Supplementary Information.

212 3.2.1. Data quality assessment

A key aspect of semi-automated lineament detection is identifying where false positive lineaments are being introduced into the final product. This can be difficult to quantify in absolute terms without manual inspection of individual lineaments; an onerous task for large datasets. Spurious lineaments can be introduced by either similarity between desirable and undesirable features or due to artefacts in the data. Improved feature extraction methods can assist in correctly targeting desired geological lineaments, however, once introduced, false positive occurrences must be filtered



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Figure 2: Zoomed area used to showcase different feature extraction methods used in this study. A) magnitude of gradient filter; B) magnitude of Sobel filter; C) 3×3 Laplacian filter; D) 5×5 Laplacian filter; E) hillshade transform; F) tilt derivative (TDR) transform.

²¹⁸ out using either through statistical, geometric or spatial methods.

Statistical methods are often easy to apply and can include identifying a threshold in the population at which to 219 discard lineaments. This approach can be crude and is not particularly insightful, often resulting in the removal of 220 genuine data, however, it can also be a question of scale and usability. For example, it is common practise to use 221 Tobler's rule to derive a minimum "map scale" from an image by multiplying the pixel resolution by 2000 (Tobler, 222 1988). In this case, for a 2 m pixel resolution, the minimum map scale is 1:4000 where 1 mm represents 4000 mm 223 (4 metres). Lines of 1 mm length on a map result in data saturation and, for any map, one could argue that mapped 224 lineaments shorter than 4 mm are too detailed. In this study, a 4 mm line at our minimum map scale of 1:4000 would 225 make lineaments shorter than 16 m redundant. Therefore, a threshold has been applied to remove lineaments with 226 lengths less than 16 m. Finally, entries that resulted in anomalous NaN values in the final datasets were removed 227 resulting in 6, 2 and 1 lineaments being removed from the TDR-derived data and the 3 x 3 and 5 x 5 Laplacian filters, 228 respectively; these were likely generated when calculating the average depth of a lineament. 229

Geometric filtering can include looking at shape of the image object and/or its relationship to others. OBIA methods are particularly powerful at geometric filtering due to the combination of vector-type metadata for image objects and the internel raster-based statistics which is enhanced by the topological analysis of different image objects. These have been incorporated into the workflow adopted from Yeomans et al. (2019) where the asymmetry, internal mean and area of image objects are considered as well as their relationship to adjoining image objects. These help to merge adjacent image objects that are similar whilst removing unusual geometries that are likely to not represent true lineaments.

Spatial filtering requires a supplementary spatial dataset that can be used to identify co-located features. It can be a particularly effective method over onshore areas for removing lineaments that pertain to roads or field boundaries, should these data be readily available, however such datasets are less prevalent in offshore areas. Creating masks from the original bathymetric data can provide an efficient spatial filter for identifying likely false positive lineaments. In this study, smooth areas of the seabed were interpreted as sediment cover obscuring potential seafloor lineaments. These areas can be identified by deriving a textural Terrain Ruggedness Index (TRI) raster layer from the original bathymetric

model, where smooth areas (low values) can be selected using a threshold to highlight likely areas of sediment cover.
The resulting mask can then be used to post-process lineament datasets and remove likely false positive lineaments in
these areas. The threshold is user-defined and requires careful consideration so as not to mask viable areas but provides
an efficient means of removing likely spurious data. The full mask for this study is presented in the Supplementary
Information.

²⁴⁷ By applying the spatial filter using the TRI mask to the lineament data across the whole study area, lineaments ²⁴⁸ were removed over these potentially problematic areas for each method; precise numbers are given in Table 1. Due ²⁴⁹ to the mask covering areas largely in off-platform areas, the greatest number of lineaments were selected from the ²⁵⁰ TDR-derived population. However, it is worth noting that comparatively few lineaments were detected in off-platform ²⁵¹ areas for the other operators.

252 3.2.2. Visual lineament assessment

The six lineament sets derived from different feature extraction methods are displayed in Figure 3. These highlight the performance of each operator from on-platform to off-platform areas, where the water depth increases >10 metres. The off-platform area has some sedimentary cover producing low-quality data but does display NW-SE structures that correlate with on-platform structures.

The number of lineaments derived from gradient-filtered data across Zone 1 is not substantial, with the majority 257 of lineaments found over on-platform areas. Figure 3a weakly defines some NW-SE features in the data but the lack 258 of contiguous segments make interpretation more difficult. The off-platform areas perform even more poorly and 259 this is likely a function of the lack of smoothing (as mentioned for Zone 2) but also the more subtle features in off-260 platform areas being masked by the significant gradient caused across the step in the seafloor. In Figure 3b, lineaments 261 derived from Sobel-filtered data show a reasonable level of detail in the on-platform areas although continuous features 262 are difficult to observe. The off-platform areas are an improvement with respect to the gradient methods but also lack 263 easily identifiable structures. Despite the smoothing component incorporated into the Sobel kernels, these off-platform 264 lineaments remain elusive. 265



Figure 3: A subset of the lineament populations highlighting performance over the platform edge where the tilt derivative captures the most consistent lineament set. Derived from A) magnitude of gradient filter; B) magnitude of Sobel filter; C) 3×3 Laplacian filter; D) 5×5 Laplacian filter; E) hillshade transform; F) tilt derivative (TDR) transform.

Lineaments derived from Laplacian-filtered data show reasonable on-platform features. The 3 x 3 kernel (Figure 3c) identifies an abundance of short lineaments, although these produce short segments that do not easily define NW-SE or NNE-SSW trending structures. The off-platform lineaments appear to be largely a function of noise, not giving clear definition to any features. In contrast, the 5 x 5 kernel (Figure 3d) produces a less noisy lineament population and longer lineament segments, clearly defining some key structures in Zone 1. The off-platform areas, however, do not display any distinguishable features. The interpretation that the 5 x 5 kernel has been effective at suppressing noisy lineaments further suggests off-platform lineaments detected using data filtered by the 3 x 3 kernel are spurious.

A vast population of lineaments across the on-platform area has been generated from the hillshade-transformed 273 data (Figure 3e). Major NW-SE structures are clearly identified and are traceable with long segment lengths to the 274 lineaments. The population also contains a significant amount of small lineaments which appear to be more robust 275 when compared with the noisier populations seen in Figure 3c from the 3 x 3 Laplacian filter. However, the off-platform 276 area underperforms, showing few lineaments and many of those that are detected do not have contiguous segments. In 27 contrast, lineaments derived from the TDR-transformed data show clear structures, albeit with fewer short segments in 278 some areas (Figure 3f). The lineaments detected define clear NW-SE trending features in on-platform areas and also 279 identify some subordinate NNE-SSW structures. Importantly, the off-platform areas show an abundance of lineaments, 280 which present contiguous segments, and are traceable back to on-platform features; although, many are generated in 281 areas of sediment cover which could be the result of amplified noise. 282

283 3.2.3. Lineament analysis

A statistical analysis of the lineaments for each feature extraction method over the whole study area are presented in Table 1. These describe the mean, standard deviation and median of each lineament population as well as the range, skewness and kurtosis for lineament lengths and their approximate depth. The number of observations for each extraction method is also listed where a clear distinction can be made between the number of lineaments derived from transformed data versus filtered data where the hillshade transform identifies the highest number of lineaments.

Lengths for each extraction method all show a strong positive skew and high kurtosis, characteristic of populations where more short lineaments are identified over larger ones. This indicates that the mean is not as reliable a metric and

Table 1

Statistical summary of length and depth for each lineament population derived by the six different feature extraction operators (Oper.) where GRAD = gradient filter; LP3 = 3×3 Laplacian; LP5 = 5×5 Laplacian; HS = Hillshade and TDR = tilt derivative. Removed lineaments include those by spatial filtering and parentheses denote those removed due to NaN values. μ = Mean, σ = standard deviation, *Skew* = Skewness and *Kurt* = kurtosis

| Туре | Oper. | Ν | Removed | μ | σ | Median | Range | Skew | Kurt |
|--------|-------|------|---------|--------|----------|--------|--------|-------|-------|
| length | GRAD | 935 | 549 (6) | 23.67 | 9.66 | 20.80 | 117.49 | 4.27 | 30.70 |
| length | Sobel | 962 | 0 | 22.84 | 7.54 | 20.38 | 78.37 | 2.97 | 16.38 |
| length | LP3 | 2795 | 0 (2) | 25.14 | 10.14 | 22.07 | 96.06 | 2.46 | 9.16 |
| length | LP5 | 1671 | 7 (1) | 28.28 | 15.50 | 23.87 | 194.03 | 4.06 | 27.33 |
| length | HS | 4831 | 6 | 29.71 | 17.98 | 24.39 | 273.62 | 4.04 | 28.36 |
| length | TDR | 2606 | 8 | 28.97 | 17.45 | 23.67 | 230.14 | 4.28 | 29.73 |
| depth | GRAD | 935 | 549 (6) | -20.43 | 7.02 | 20.80 | 39.00 | -0.09 | 0.76 |
| depth | Sobel | 962 | 0 | -20.66 | 7.56 | 20.38 | 38.95 | -0.04 | 0.20 |
| depth | LP3 | 2795 | 0 (2) | -20.16 | 7.54 | 22.07 | 40.49 | 0.10 | 0.34 |
| depth | LP5 | 1671 | 7 (1) | -19.35 | 5.51 | 23.87 | 38.40 | 0.25 | 0.87 |
| depth | HS | 4831 | 6 | -20.02 | 6.81 | 24.39 | 39.65 | 0.06 | 0.60 |
| depth | TDR | 2606 | 8 | -23.37 | 8.32 | 23.67 | 39.46 | 0.09 | -0.26 |
| | | | | | | | | | |

the median should be used. Shorter median lineament lengths are detected by the gradient and Sobel directional filters and this is reflected in their range. The Laplacian filters provide good lineament lengths, comparable to the hillshade and TDR transform but the 3 x 3 kernel produces a large amount of lineaments but never >100 m. Equally, the 5 x 5 kernel produces the third lowest number of lineaments whilst having a high standard deviation indicating a small but varied population. The hillshade and TDR transform operators perform well produce large lineament populations, at good median lengths with the largest ranges.

The depth values are calculated by assigning the point value from the bathymetric data at the centroid of the polyline 297 in the vector data where the centroid is assumed to approximate the midpoint of the lineament. The depth data generally 298 show a more Gaussian distribution represented by skewness values close to zero, albeit more flattened as seen by the 299 low kurtosis. Therefore, in contrast to lineament lengths, the mean is a more reliable metric for identifying the average 300 depths at which lineaments are sensed. The hillshade transform and the Laplacian, Sobel and gradient-based filters 301 show a fairly consistent picture of the depths at which lineaments are identified but there is a marked difference in the 302 depth at which lineaments are detected for the TDR transform, which clearly shows a greater mean depth and higher 303 standard deviation. This is further illustrated by the kernel density estimation in Figure 4 where the TDR shows a 304 distinctly different shape at depths deeper than -28 m (i.e. off-platform areas). It can be seen that between depths of 305 -28 m and 0 m the trend in lineament density is similar for all methods, however, the large population of lineaments 306

noted for the 5 x 5 Laplacian kernel in Table 1 is focused between depths of -16 m to -20 m. The KDE function shows
relative density of lineaments, thus, the lower values within this range for the TDR transform do not represent fewer
lineaments at these depths but demonstrate that the method captures lineaments across the depth range.



Figure 4: Kernel Density Estimate (KDE) plot for the depths at which lineaments are sensed for each method where GRAD = gradient filter; $LP3 = 3 \times 3$ Laplacian; $LP5 = 5 \times 5$ Laplacian; HS = Hillshade and TDR = tilt derivative. The TDR-derived lineament population shows a clear deviation from others where lineaments at depths >-28 m are detected for this transform but not other operators.

Axial data for lineaments derived for each method have been calculated and presented in rose diagrams in Figure 5. These have been prepared using equal-area wedge rose plots following the guidelines presented by Sanderson and Peacock (2020). Bin sizes are suggested to be calculated by dividing the range (180° for axial data) by the number of observations to the nearest 5°. Due to the large population sizes this resulted in values «1°; in this case a bin size of 5° intervals was selected.

The rose diagram for the directional gradient-based feature extraction method (Figure 5a) is clearly aligned to the two axes upon which the directions were selected and clearly highlights the orientation of major NW-SE and subor-



Figure 5: Equal-area wedge rose diagrams for lineament populations derived from each feature extraction technique. The major NW-SE trend is apparent in all plots but with variable clarity. Plots are based on guidelines by Sanderson and Peacock (2020).

dinate minor NNE-SSW structures. The Sobel filter (Figure 5b), where the directional components were aligned N-S 317 and E-W captures both orientations of features, but displays greater variation about these directions, particularly in the 318 NW-SE axis. The Laplacian filters present quite a different population of lineament orientations being detected. The 319 3 x 3 kernel (Figure 5c) captures a strong NNW-SSW component of equal magnitude to the major NW-SE structures 320 whereas the 5 x 5 kernel in Figure 5d presents a more consistent picture of major NW-SE and subordinate NNE-SSW 321 features. The likely explanation for the discrepancies between the two kernels is, whilst both are second order deriva-322 tives and more sensitive to noise, the 5 x 5 kernel allows more smoothing compared to the 3 x 3 kernel which struggles 323 to mitigate noisy data. The rose diagram of the hillshade transform (Figure 5e) highlights the major NW-SE trend 324 strongly with little variation other than a subordinate NNE-SSW trend which is strongly aligned perpendicular to the 325 orthogonal hillshade azimuth. The TDR transform produces a range of lineaments, with a strong NW-SE population, 326 however, the subordinate NNE-SSW trend is either underrepresented or skewed more towards the NNE-SSW orienta-327 tion observed in the 3 x 3 Laplacian filter. Interestingly, this NNE trend is present in all lineament populations apart 328 from the hillshade method where it is clearly absent. 329

330 3.3. The effect of false positive results

The data quality assessment using the TRI identified areas sediment cover assuming these remain smooth and free 331 of artefacts. By using these areas as a mask, likely spurious lineaments can be spatially filtered and removed as false 332 positive results. Due to the low numbers of selected lineaments for all methods apart from the TDR transform, only the 333 TDR-derived lineaments are used here to analyse the effects of false positives on the population. The total number of 334 false positives removed from spatial filtering from the TDR-derived dataset was 549 (Table 1). These are presented and 335 compared to the remaining lineament population in Figure 6 where equal-area wedge rose diagrams are implemented. 336 A rose diagram of the unfiltered data is shown in Figure 6a where a strong modal axis can be identified in both a NW-337 SE and NNE-SSW orientation. Figure 6b shows a strong modal axis approximately NNE-SSW and are concentrated 338 over areas that were interpreted as sediment-covered due to their smoothness when applying the TRI mask. The TDR 339 transform is often heralded for its ability to give equal weight to minor features on a surface whilst in the presence of 340 larger structures ((Miller and Singh, 1994; Verduzco et al., 2004), however, the strong population in this orientation is 341 likely caused by small artefacts that are exaggerated by the TDR transform. We therefore suggest caution when using 342



Figure 6: Three rose diagrams where A) is the original population of lineaments for the TDR transform; B) is the population of spurious lineaments; C) the final lineament population for the TDR transform following spatial filtering.

the TDR transform in areas of sediment cover. Furthermore, the rose plot of data generated by the 3 x 3 kernel of the Laplacian filter in Figure 5c shows a similarly strong NNE-SSW trend which may imply that similar artefacts are being identified by this filter but are not found of areas of sediment cover.

By conducting an assessment of these potentially spurious lineaments, it an oversampling of NNE-oriented linea-346 ments can be demonstrated. Removing these from the population results in a more representative set of lineaments 347 where a subordinate NNE-SSW trend is comparable with the original study of Nixon et al. (2012). Whether these false 348 positive results are due to small sand waves upon the sediment cover, or whether they represent artefacts caused by 349 the interaction with unconsolidated substrate is unclear. The identification of areas that do not represent submerged 350 outcrop determines that the source is not geological. The strong modal axis identified in the 3 x 3 Laplacian filter, and 351 the susceptibility of the filter to noise, may indicate a sonic source but further investigation is beyond the scope of this 352 study. 353

354 3.4. Comparison of methods

The different feature extraction techniques tested in this study show markedly different lineament populations that are variably affected by the sharp break in the seafloor. Gradient-based filters are the least effective, despite the initial kernels being selected to emphasise NW and NE gradients and the rose diagrams show that the directional filtering has forced the lineament population towards these major trends. The Sobel filter underperforms, relative to other methods,

even with smoothing incorporated into the kernel but does allow greater flexibility away from the major modal axes.
Neither of these gradient-based filters are capable of capturing lineaments in the off-platform areas.

The Laplacian filters successfully identify structures, albeit discontinuously, with a distinct improvement when using the 5 x 5 kernel. Despite reasonable success on the platform, the filters fail to capture any significant structure in the off-platform area. The azimuth-invariant nature of these kernels is demonstrated in the rose diagrams where major NW-SE faults are still readily identified as a modal axis, but with greater contributions from the subordinate NNE-SSW trend. These Laplacian filters are second-order derivatives and sensitive to noise, which may be manifest in the 3 x 3 kernel where a NNE-SSW modal axis dominates (Figure 5c). In comparison, the 5 x 5 kernel does not capture this, which may be a function of greater smoothing over the larger kernel.

Numerous, clear NW-SE lineaments are identified when using the hillshade transform in on-platform areas. The transform is the most prolific with nearly 8000 lineaments being identified despite being processed to only focus on NW-SE trends, as evidenced by the rose diagram in Figure 5b. Including another hillshade with an orthogonal azimuth (e.g. Scheiber et al., 2015) or taking a multi-hillshade clustering approach (e.g. Šilhavý et al., 2016) would likely further improve this analysis. Unfortunately, off-platform areas do not capture features to the same level of consistency and are not easily interpretable.

The TDR transform captures the two major NW-SE and NNE-SSW trends in the area and produced the second 374 largest lineament population, with good lineament lengths. It also was the most effective operator for detecting linea-375 ments in both on- and off-platform areas across the break in the seafloor. This is due to the inclusion of the vertical 376 derivative that provides a normalisation to vertical changes across the study area. However, the transform is potentially 377 fallible if not applied with care to identify false positive lineaments. Due to the sensitivity of the algorithm, and the 378 equal weighting of large and small lineaments, spurious lineaments are produced over areas interpreted as sediment 379 cover and are thus do not represent a geological lineament. Spatial filtering, using a TRI layer, can efficiently remove 380 these false positive lineaments from the population and return a more realistic subset of detected lineaments. 381

Segmented lineaments are common across all analyses and may be a reflection of slight changes in fault properties along strike (e.g. damage zones) that may have preferentially eroded in the seafloor. Thus, post-processing to link these segments should be investigated. Furthermore, the possible detection of amplified noise in some off-platform areas by the TDR transform could be mitigated by prior application of a smoothing filter. Despite this, the TDR transform is still considered to be the best operators for lineament detection where a sharp break in slope is present in the source data.

388 4. Conclusions

Six different operators have been tested as feature extraction tools prior to semi-automated lineament detection. The different filters and transforms have been assessed based on their performance to detect lineaments from bathymetric data where step-changes (palaeocoastlines) in the seafloor platform are present. These included the magnitude of gradient through combined NW and NE gradient filters, the magnitude of the Sobel filter, two Laplacian filters (3 x 3 and a 5 x 5 kernels) as well as the hillshade and TDR transform.

The bathymetric data used in this study show a network of NW-SE and NNE-SSW faults sets that can be identified using semi-automated lineament detection techniques. Semi-automated approaches have been demonstrated to produce markedly different lineament populations based on different feature extraction tools. Thus, testing over a small area is an important step prior to using semi-automated methods on regional scale. The semi-automated OBIA lineament detection method of Yeomans et al. (2019) has been applied to bathymetric data to analyse the six operators, of which, the TDR transform was most successful. The algorithm also performed well when applied to the hillshade transform, demonstrating the potential to greatly extend the use of the algorithm to analyse other geospatial datasets.

The TDR transform is considered to be the most effective operator for identifying lineaments. This study has demonstrated that the use of the TDR transform enhances the data so that abrupt changes in the bathymetry, such as palaeocoastlines, are not detrimental to the analysis. In turn, this increases the area available for interpretation of offshore fault zones. Whilst the resulting lineament population contains longer lineament segments than the other

operators, it is worth noting that the TDR transform is not a panacea for lineament detection techniques. For example,
subtle artefacts in the data over sediment-covered areas have been amplified and mapped as lineaments. These required
careful post-processing using a semi-automated spatial filter based on the texturally-derived TRI. Nevertheless, the
TDR transform provides an excellent tool for generating meaningful lineament populations across large studies areas
that may contain significant changes in elevation.

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