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**Influence of sea surface temperature patterns and mean warming on past  
and future Atlantic hurricane activity**

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7 ABSTRACT: This study investigates the relative contributions of large-scale thermodynamic and  
8 dynamic processes to multidecadal changes in Atlantic tropical cyclone (TC) activity, spanning  
9 the historical record since the late 19th century, and extending to 2100 projections. We employ  
10 a framework that decomposes TC counts into precursor disturbances that transition into fully  
11 developed storms, applied to multi-ensemble simulations of two TC-permitting atmospheric models  
12 forced with observationally-constrained and projected sea surface temperatures (SSTs). This design  
13 allows us to isolate the effects of patterns of SST change from global mean warming on Atlantic  
14 TC activity. Our results show that multidecadal trends in TC frequency are primarily governed  
15 by two thermodynamic variables: potential intensity and moist entropy deficit. In the historical  
16 record, these variables reinforced one another, producing more robust trends in TC activity. In  
17 contrast, future projections suggest opposing influences, with one variable (potential intensity)  
18 becoming more favorable for TCs while the other (moist entropy deficit) becomes less favorable,  
19 leading to increased uncertainty in TC projections. We trace this shift to differences in relative  
20 warming between the tropical Atlantic and the broader tropics, underscoring that regional SST  
21 patterns—rather than the global mean warming rate—control both past variability and projected  
22 future changes in TC activity. Constraining future patterns of warming is therefore essential for  
23 improving the reliability of TC projections.

## 24 1. Introduction

25 Responsible for over \$250 billion of inflation-adjusted losses in the U.S. between 2008 and 2017  
26 (Klotzbach et al. (2018)), landfalling tropical cyclones (TCs) that form in the Atlantic Ocean are  
27 a major hazard for both coastal and inland communities in the U.S. and Caribbean. Further, it is  
28 crucial to understand the factors that have driven past fluctuations in Atlantic storm activity, and  
29 the extent to which anthropogenic warming might influence TCs in the future.

30 While several studies attempting to reconstruct a reliable historical record of Atlantic TCs  
31 generally disagree on the direction of the trend in Atlantic TC counts from the late-19th century to  
32 the present (Emanuel (2021a), Vecchi and Knutson (2008), Vecchi and Knutson (2011), Vecchi et al.  
33 (2021)), they attribute past fluctuations in seasonal storm frequency to regional climate variability,  
34 warming patterns, and aerosol concentrations, rather than global anthropogenic warming. For  
35 instance, several studies indicate that natural climate modes, such as the Atlantic Multi-decadal  
36 Oscillation (Goldenberg et al. (2001); Klotzbach (2011b); Zhang and Delworth (2006)) and the  
37 El Niño Southern Oscillation (Klotzbach (2011a); Klotzbach et al. (2022); Patricola et al. (2016);  
38 Pielke and Landsea (1999); Xie et al. (2005)), along with their corresponding oscillating sea surface  
39 temperature patterns, can influence North Atlantic TC activity.

40 Although there is a growing consensus that global warming contributes to an increase in peak  
41 TC windspeed and rainfall intensity (see reviews Knutson et al. (2020) and Walsh et al. (2019)  
42 and references therein), it remains unclear how seasonal TC counts will change in a warmer world  
43 (Knutson et al. (2020) and Sobel et al. (2021)). Most studies based on climate model simulations  
44 project a decrease or minimal change in global TC count (see reviews Knutson et al. (2020) and  
45 Walsh et al. (2019) and references therein). However, some modeling studies (e.g., Bhatia et al.  
46 (2018), Vecchi et al. (2019)) and statistical-dynamical downscaling efforts (e.g., Emanuel (2013),  
47 Emanuel (2021b)) predict an increase in TC frequency due to anthropogenic global warming.

48 Several factors may explain the discrepancies in TC frequency projections across studies. First,  
49 these studies use various climate models with differing parametrization schemes and horizon-  
50 tal resolutions, ranging from tens to hundreds of kilometers, that may or may not resolve TCs  
51 and mesoscale processes (Camargo et al. (2020); Hsieh et al. (2023); Manganello et al. (2012)).  
52 Inconsistencies in TC projections may also stem from uncertainties regarding the impact of thermo-  
53 dynamic variables, particularly humidity, on TC genesis. For instance, saturation deficit represents

the difference between the specific humidity of the atmosphere and its specific humidity at saturation (Olszewski (1986)). In a warmer world, the saturation deficit in the lower and mid-troposphere increases (Held and Soden (2000); Emanuel (2010, 2021a)). A higher saturation deficit would require an increased surface moisture flux to bring the atmospheric column to saturation, which could inhibit deep convection and cyclogenesis (Sobel et al. (2021), Tang and Camargo (2014)). This theory suggests that with a higher saturation deficit in a warmer world, TC activity would decrease (Camargo et al. (2014); Emanuel (2021a); Emanuel (2010); Lee et al. (2020); Lee et al. (2023); Vecchi et al. (2019)). However, several high-resolution models project an increase in TC activity (Bhatia et al. (2018); Vecchi et al. (2019)), despite a rise in saturation deficit. These studies suggest that the interplay between local thermodynamic and dynamic variables could play an important role in driving changes in TC activity. In the models that show a TC frequency increase, the reduced genesis favorability from changes in saturation is outweighed by an increase in pre-TC vortices ('seeds') (Vecchi et al. 2019; Hsieh et al. 2020).

Finally, inherent model biases and variations in projections of regional climate and sea surface temperature (SST) patterns, particularly in the Eastern Pacific and Atlantic basins (see review by Shaw et al. (2024) and references therein), could lead to differences in future TC projections. Atlantic TCs are sensitive to the relative average temperature of the tropical Atlantic compared to the rest of the tropics (Vecchi and Soden (2007); Villarini et al. (2011b)).

To investigate the relationships between large-scale environmental factors and TC activity, several indices have been developed (e.g., Bruyère et al. (2012); Emanuel and Nolan (2004); Emanuel (2010); Tippett et al. (2011); Wang and Murakami (2020)). These indices, known as genesis potential indices (GPIs), relate local environmental factors—such as potential intensity (PI), vertical wind shear, and humidity—to TC activity. GPIs are typically calculated from monthly averages, representing regional climatology rather than instantaneous weather conditions (Sobel et al. (2021)). While GPIs have been used to predict the effects of future climate change on TC activity (e.g., Murakami and Wang (2022)), Camargo et al. (2014) found that most GPIs fail to capture future TC projections from atmosphere-only climate models.

As an alternative way to construct GPIs, Hsieh et al. (2020) present a framework for understanding how large-scale local environmental fields influence TC formation. Unlike traditional GPIs (Emanuel (2022)), this approach divides tropical cyclogenesis into distinct phases, identifying the

specific large-scale local factors relevant to each stage of TC development. This framework is motivated by a recognition that the feedbacks on development of TCs are different at different stages (Hsieh et al. 2020; Zhang et al. 2021), and thus the environmental controls on seeds and the likelihood of genesis to a mature TC may be different. This technique of separating TCs into seeds and a nondimensional probability that a seed transitions into a TC has proven useful for studying TCs across various timescales, including their annual cycle (Yang et al. (2021)) and over longer idealized climatic periods (Hsieh et al. (2022); Hsieh et al. (2023); Vecchi et al. (2019)). In this study, we extend the application of this framework to the long observed historical record, where it has not yet been applied. Additionally, we focus this approach on the Atlantic basin, where seed-like disturbances may be influenced by nonlocal factors, such as African Easterly Waves (Patricola et al. (2018), Ritchie and Holland (1999)).

Through this work, we aim to address the following research questions:

1. To what extent does the theoretical framework proposed by Hsieh et al. (2020) capture long-term observed and projected regional Atlantic TC variability?
2. To what extent do different environmental factors (both thermodynamic and dynamic) modulate Atlantic TCs in the observed and projected records, and how are these factors related to regional warming patterns versus globally-uniform warming?

To address these questions, we employ the seed-probability framework within a suite of state-of-the-art high-resolution atmosphere-only general circulation model experiments that have demonstrated skill in simulating key characteristics of past TC activity (Chan et al. (2021), Chen and Lin (2011), Zhao et al. (2009), and Zhao and Held (2010)). We seek to identify the dominant large-scale environmental factors driving historical and future projected variations in Atlantic TC frequency. By utilizing atmosphere-only models forced by observed SSTs, we effectively isolate the influence SST patterns on TC activity.

## 2. Methods and data

### *a. Models and experiments*

In this study, we employ two closely related global high-resolution atmospheric models from the Geophysical Fluid Dynamics Laboratory (GFDL): AM2.5-C360 and HIRAM (Zhao et al. (2009)),

112 both of which have been used to study tropical cyclones (TCs). These models share the same  
113 dynamical core but differ in their convection parameterizations and horizontal resolutions. AM2.5-  
114 C360 uses the relaxed Arakawa–Schubert convective parametrization scheme, while HIRAM uses  
115 the parametrization method described in Bretherton et al. (2004). HIRAM, with a horizontal  
116 resolution of 50 km, has demonstrated skill in simulating various aspects of past TC variability  
117 (Chen and Lin (2011), Zhao et al. (2009), and Zhao and Held (2010)). AM2.5-C360, on the other  
118 hand, has a higher resolution of 25 km, and has been employed in prior studies to examine the  
119 influence of SSTs on tropical cyclone activity (Chan et al. 2021), the annual cycle of TCs (Yang  
120 et al. 2021), and the evolution of storm tracks under warming conditions (Kortum et al. 2024).  
121 The use of atmosphere-only models forced with prescribed SSTs provides a clean framework for  
122 isolating the role of SST patterns in shaping TC behavior.

123 We conducted two multi-ensemble experiments with both models to capture historical and future  
124 climate scenarios. For the first experiment, hereafter named obs sst, we generated ten ensemble  
125 members of AM2.5-C360 and five ensemble members of HIRAM, all forced by bias-corrected  
126 observed sea surface temperatures (Chan et al. (2021)) from the Hadley Centre Sea Ice and Sea  
127 Surface Temperature (HADISST) dataset for the period 1871–2020. Each ensemble member was  
128 initialized with different initial conditions but used the same historical SST forcing.

129 The second experiment represents a projected late 21st-century warming scenario. The SST  
130 perturbation for this experiment, hereafter referred to as rcp4.5 flor, consists of six ensemble  
131 members of AM2.5-C360 and three ensemble members of HIRAM. The three ensembles of  
132 HIRAM and first three ensembles of AM2.5-C360 are forced with ensemble-mean 2021-2100  
133 SST from the GFDL Forecast-oriented Low Ocean Resolution (FLOR) RCP4.5 coupled model  
134 experiment, while the last three ensembles of AM2.5-C360 are forced with 2021-2100 SSTs from  
135 the first ensemble member of FLOR RCP4.5 experiment. This configuration represents the model’s  
136 realization of future climate variability. The FLOR model (Vecchi et al. (2014)) features an ocean  
137 model with an approximately  $1^\circ \times 1^\circ$  ocean spatial resolution, and an atmospheric model with the  
138 same physics and dynamical core as AM2.5-C360, but run at a lower atmospheric spatial resolution  
139 of approximately 50 km.

## *b. Data*

For the historical record of Atlantic tropical cyclone (TC) frequencies from 1871 to 2020, we utilize the adjusted dataset developed by Vecchi and Knutson (2008). To compute observed seasonal large-scale environmental factors, we use the ERA5 reanalysis dataset (Hersbach et al. 2020) during the period 1979–2020 and the MERRA2 reanalysis dataset (Gelaro et al. 2017) during the period 1980–2020.

## *c. TC and seed tracking*

To track tropical cyclones (TCs), we employ the algorithm developed by Harris et al. (2016), setting the specific thresholds for wind speed, minimum sea level pressure, lifetime, and warm-core characteristics as in Chan et al. (2021). The algorithm utilizes the following 6-hourly inputs: sea level pressure, 850 hPa vorticity, 10-m wind speed, and mid-tropospheric (300–500 hPa) air temperature. The process begins by searching for local minima in sea level pressure and then applying an 850 hPa vorticity threshold of  $1.5 \times 10^{-4} \text{ s}^{-1}$ , which filters out disorganized or weak systems. For the remaining storms, additional filters ensure longevity and robustness, including a minimum total lifetime of 72 hours (Villarini et al. (2011a)), at least 48 hours with a warm core (defined as a maximum 300–500 hPa temperature encircled by a  $2^\circ$  contour within 500 km of the storm’s minimum sea level pressure), and at least 36 consecutive hours with both a warm core and maximum 10-m winds exceeding  $15 \text{ m s}^{-1}$ . We require that at least one time step along the storm’s trajectory that the maximum wind speed exceeds  $17 \text{ m s}^{-1}$ .

For the HIRAM model, we make slight adjustments to this algorithm. The warm-core temperature contour is increased from 2 to  $2.5^\circ$  and the required proximity of the warm core to the storm center is decreased from 500 km to 110 km. For the AM2.5-C360 model, we retain the original thresholds without modifications.

Seed detection is performed using the aforementioned TC tracking algorithm, with the approach described in Yang et al. (2021). We examine candidate points as local pressure minimal that exceed the a  $1.5 \times 10^{-4} \text{ s}^{-1}$  vorticity threshold. A seed must span a radius of at least 50 km and exhibit a maximum 850 hPa relative vorticity of at least  $4 \times 10^{-4} \text{ s}^{-1}$  during its lifetime. The seed tracking algorithm remains unchanged for both atmospheric models.



168 *d. Seed and probability indices*

169 We use Hsieh et al. (2020)’s probabilistic framework, which decomposes annual TC counts into  
 170 two stages: precursor seed disturbances and fully developed TCs. Based on these two stages,  
 171 Hsieh et al. (2020) infer that the annual frequency of North Atlantic TCs,  $N_{TC}$  follows a binomial  
 172 distribution:

$$N_{TC} \sim \text{binom}(N_s, P), \quad (1)$$

173 where  $N_s$  is the total number of first stage rotating seeds present in the basin for a given year,  
 174 which has units of storm count, and  $P$  is the dimensionless basin-aggregated probability that a  
 175 first-stage seed transitions into a second-stage TC. Consequently, the expected value of  $N_{TC}$ , which  
 176 has dimensions of storm count, is given by

$$N_{TC} = N_s \times P. \quad (2)$$

177 For instance, if a given season produces 75 seeds ( $N_s = 75$ ), of which 15 transition into TCs  
 178 ( $N_{TC=15}$ ), the basin-aggregated transition probability from the first stage to the second stage for  
 179 that season would be  $P = 15/75 = 0.2$ .

180 Hsieh et al. (2020)’s ansatz parametrizes  $N_s$  and  $P$  as functions of large-scale environmental  
 181 conditions. First, they develop a proxy for  $N_s$ , known as the seed propensity index (SPI):

$$N_s \approx SPI = (\kappa) \cdot (-\omega) \cdot \frac{1}{1 + Z^{-1/\sigma}}, \quad (3)$$

182 where  $\kappa$  is a constant proportionality fitted parameter in units of storms per Pa s<sup>-1</sup>,  $\omega$  is the  
 183 monthly mean 500 hPa vertical velocity in pressure coordinates (in units of Pa s<sup>-1</sup> where  $\omega > 0$   
 184 is for downward motion),  $\sigma = 0.69$  is a constant nondimensional fitting parameter, and  $Z$  is  
 185 nondimensional parameter that represents the ability of the low-level vorticity to spinup and is a  
 186 function of low level vorticity (Ikehata and Satoh (2021)),

$$Z = \frac{f + \zeta}{\sqrt{|\beta + \partial_y \zeta| U}}, \quad (4)$$

187 where  $f$  represents the Coriolis parameter and  $\beta$  represents its meridional gradient,  $\zeta$  is the monthly  
 188 mean relative vorticity at 850 hPa, and  $U$  is assumed to be a constant wind speed of 20 m/s which  
 189 is empirically fit using aquaplanet model simulations.

190 Next, the probability that a weakly rotating seed develops into a strongly rotating TC ( $P$ ; a  
 191 dimensionless value between 0 and 1) is parameterized as a probability index ( $P(\Lambda)$ ):

$$P \approx P(\Lambda) = \frac{1}{1 + (\Lambda_0/\Lambda)^{1/\gamma}}, \quad (5)$$

192 where  $\Lambda_0 = 0.014$  and  $\gamma = -0.9$  are constant dimensionless fitting parameters, and  $\Lambda$  is the venti-  
 193 lation index defined by Tang and Emanuel (2010) and Tang and Emanuel (2012), which measures  
 194 the degree to which the influx and circulation of cold dry air into the storm's convective plume can  
 195 inhibit the storm's strength. The ventilation index is a non-dimensional metric:

$$\Lambda = \frac{\nu_s \cdot \chi}{PI}, \quad (6)$$

196 where  $\nu_s$  is the vertical wind shear between 850 hPa and 250 hPa in units of  $\text{m s}^{-1}$ ,  $PI$  is potential  
 197 intensity (the theoretical upper limit of a TC's wind speed based on temperature contrasts between  
 198 the sea surface and upper troposphere in units of  $\text{m s}^{-1}$ ), and  $\chi$  is a dimensionless parameter  
 199 representing moist entropy deficit. This parameter is defined as

$$\chi = \frac{s_m^* - s_m}{s_0^* - s_b}, \quad (7)$$

200 where  $s_m^*$  is the saturation moist entropies at 600 hPa in the inner core of a TC,  $s_m$  is the envi-  
 201 ronmental entropy at 600 hPa,  $s_0^*$  are the saturation moist entropy at the sea surface, and  $s_b$  is  
 202 the entropy of the boundary layer. The numerator of the ventilation index equation (6) represents  
 203 the difference in midlevel entropy between the TC and its environment, while the denominator  
 204 represents the air-sea disequilibrium.

205 Thus, equations (3) and (5) serve as proxies for  $N_s$  and  $P$  expressed as functions of large-scale  
 206 environmental factors that can be derived from climate model simulations. This framework offers  
 207 a valuable approach for studying TCs using climate models, particularly those that lack the spatial

208 resolution required to directly resolve TCs and seeds. We compute the mean annual Atlantic basin  
209  $SPI$  between 5-30°N and  $P(\Lambda)$  between 10-30°N for TC season (June-November).

### 210 3. Results

#### 211 a. Evaluating the models and seed-probability framework for Atlantic TCs

212 In this section, we evaluate the extent to which the seed-probability framework captures both  
213 historical and projected TC variability in our model simulations. We begin by assessing how  
214 well the simulations reproduce historical observed TC variability. Figure 1a compares observed  
215 annual Atlantic TC counts to those simulated by both models. Overall, both simulations effectively  
216 capture interannual fluctuations in TC activity. For instance, both models simulate a local peak  
217 in 2005 and a trough in 1982, corresponding to historically active and inactive years, respectively.  
218 The annual ensemble-mean correlation between simulated and observed TC frequency is slightly  
219 higher in AM2.5-C360 compared to HIRAM, though both exhibit similar values ( $\sim 0.5$ ).

225 For most years, observed TC fluctuations (black line) remain within the 95% inter-ensemble  
226 spread of both models (blue and orange shading), suggesting that the models reproduce realistic  
227 levels of TC variability. However, the exceptionally active 2020 season, and several seasons  
228 immediately preceding it, are not well captured, with observed TC counts lying outside the 95%  
229 confidence interval of both models. Work is better underway to better understand the extent to  
230 which this discrepancy, which represents a deficiency in the models or the forcing, is due to  
231 ambiguity in the observed target or is likely to be best understood as one of the unlikely outcomes  
232 that even a well-calibrated system will exhibit.

233 We propose several hypotheses for this model–observation discrepancy. First, aerosol changes  
234 during this period may not have been fully represented in the SST-forced simulations. The onset  
235 of the COVID-19 pandemic in early 2020 sharply reduced global travel and industrial activity,  
236 likely lowering aerosol emissions. At the same time, new International Maritime Organization  
237 regulations, effective January 1, 2020, reduced the sulfur content of shipping fuels from 3.5% to  
238 0.5%. While intended to improve air quality and public health, this reduction diminished marine  
239 aerosol loading, brightening fewer clouds and decreasing reflected solar radiation. The resulting  
240 radiative imbalance likely accelerated short-term warming, creating atmospheric conditions more

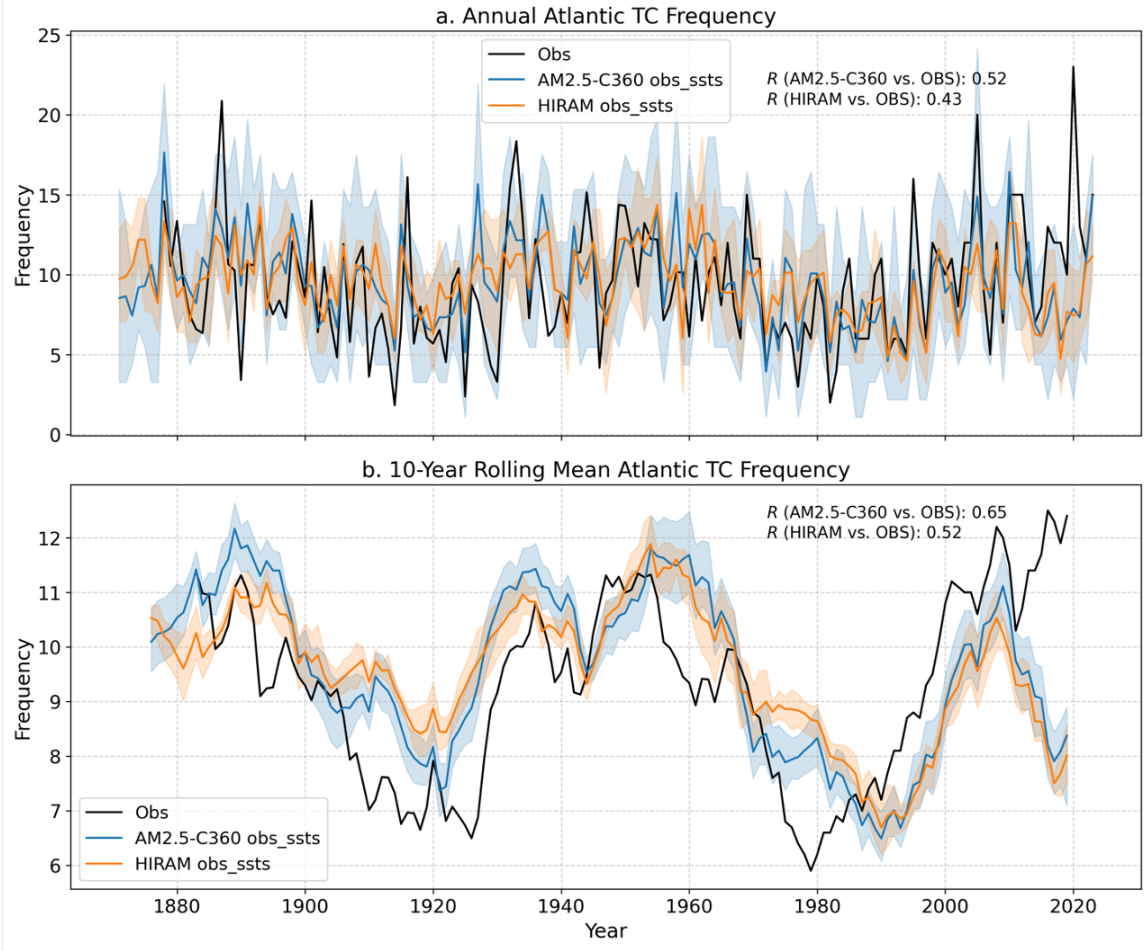


FIG. 1. Annual TC frequency from 1871 to 2023, including the adjusted observational record from Vecchi and Knutson (2011) (black), AM2.5-C360 obs\_sst simulation (blue), and HIRAM obs\_sst simulation (orange). Here, the model shading represents the bootstrapped 95% confidence interval derived from resampled ensemble members for each model, while the solid lines indicate the ensemble means. (b) The 10-year rolling mean of the TC frequency data shown in (a).

favorable for TC development (Diamond 2023; Jordan and Henry 2024; Zhang et al. 2025). Still, such effects cannot account for the discrepancies observed in the years immediately prior to 2020.

Additional possible causes include model and storm-tracking limitations within AM2.5-C360 and HIRAM, as well as evolving observational practices since the advent of the satellite era. For example, modern satellites may have become increasingly capable of detecting weaker disturbances, inflating the observed storm record relative to earlier periods. Finally, internal climate variability

247 and random weather fluctuations may also have played a role (Kortum et al. 2024). A fuller  
248 exploration of these hypotheses lies beyond the scope of this report but remains an important  
249 direction for future research.

250 Figure 1b evaluates the models' ability to capture past decadal variability in Atlantic TC activity  
251 using a 10-year rolling mean. Both models successfully reproduce distinct multi-decadal fluctua-  
252 tions observed since the 19th century, as was discussed in Chan et al. (2021). For example, they  
253 capture peaks in the 1950s and early 2000s, as well as troughs in the 1920s and 1980s.

254 As in the annual analysis, the ensemble spread is larger in AM2.5-C360, and the ensemble-  
255 mean correlation coefficient is slightly higher in this model. Notably, the correlation coefficients  
256 for the 10-year rolling mean are slightly improved compared to those for annual TC frequencies,  
257 suggesting that the models perform better at capturing long-term variability. However, a clear  
258 discrepancy emerges in the 2010s, primarily due to the models' inability to replicate the highly  
259 active 2020 season and its few preceding seasons.

#### 260 *b. Evolution of TC seed and probability proxies*

261 To understand the drivers of the TC activity changes, we examine the correlation between the  
262 parametrized TC estimate ( $SPI \times P(\Lambda)$ ) and the simulated TCs from the models. It is important  
263 to note that the proxies  $SPI$  and  $P(\Lambda)$  are not independent variables, but exhibit a spatial-temporal  
264 covariation (A1). Visual inspection of Fig. 2 suggests that the framework effectively captures  
265 decadal TC variability in both models across the historical and projected periods. For instance,  
266 in both models, the framework identifies peaks in TC activity around 1890 and 1950, as well as  
267 troughs around 1920 and 1980. Similarly, it captures projected variability, with peaks aligning in  
268 2050 and 2070 and a trough in 2060.

269 In both observed and projected periods, the parametrized TCs remain within the 95% confidence  
270 interval of the simulated TCs, indicating that the framework provides a realistic representation of TC  
271 variability. However, when comparing model performance, the framework exhibits stronger agree-  
272 ment with HIRAM than AM2.5-C360, as reflected in the higher correlation coefficient between  
273 parametrized and simulated ensemble mean TC count (0.94 vs. 0.78). Notably, the framework  
274 underestimates projected TC activity in AM2.5-C360, while in both models, it overestimates peaks  
275 in 1950 and 1990 during the historical period.

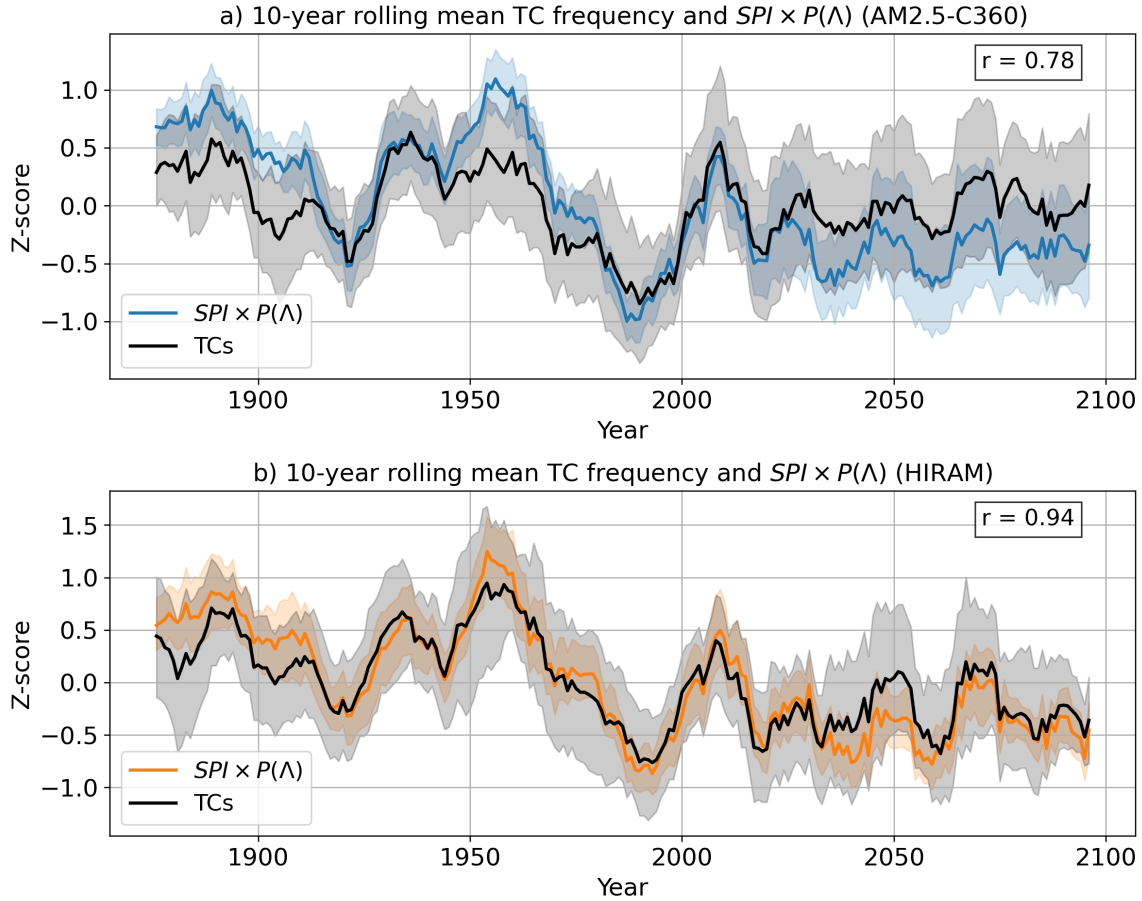


FIG. 2. Normalized 10-year rolling mean of simulated Atlantic tropical cyclone (TC) frequency ( $N_{TC}$ ; black) and parameterized TC frequency ( $SPI \times P(\Lambda)$ ; colored) for (a) AM2.5-C350 (blue) and (b) HIRAM (orange). Shaded regions indicate the bootstrapped 95% confidence intervals, derived from resampled ensemble members, while solid lines represent the ensemble means. The historical period (1871–2019) is based on the obs SST simulation, whereas the future period (2020–2100) is derived from the rcp4.5 SST simulation. These two periods are combined into a continuous time series, with both simulated and parameterized TC frequencies standardized using a Z-score normalization:  $Z(x) = (x - \mu_x) / \sigma_x$ , where  $x$  represents the data point,  $\mu_x$  is the mean, and  $\sigma_x$  is the standard deviation over the full record. Correlation coefficients between the ensemble mean simulated and parameterized TC frequencies are displayed in the top right corner of each panel.

Next, we assess the variability of SPI,  $P(\Lambda)$ , and their contributing variables in both historical and projected records. Figure 3 illustrates the temporal evolution of SPI and  $P(\Lambda)$  across the entire combined record in both model simulations. Notably, the models exhibit distinct magnitudes of

SPI and  $P(\Lambda)$ , with  $P(\Lambda)$  consistently higher in AM2.5-C360 than in HIRAM by approximately 0.1, while SPI remains lower in AM2.5-C360 by about  $1.5 \times 10^{-3}$ . Examining SPI in Figure 3b, we find no discernible long-term trend but rather multidecadal and decadal variability. During the historical period (1871–2019), SPI displays prominent multidecadal fluctuations, with peaks in the 1880s–1890s and 1940s–1950s, and troughs in the 1920s–1930s and 1980s–1990s. In contrast, the projected record reveals predominantly decadal variability, characterized by peaks recurring approximately every 20 years. Meanwhile,  $P(\Lambda)$  follows a distinct pattern: during the historical period, it exhibits a steady decline before stabilizing in the projected record, where variability remains relatively stagnant. The most striking trend in the entire record for both SPI and  $P(\Lambda)$  is a sharp decline from 1950 to 1980, followed by a notable rebound and increase from 1980 to 2010.

#### (i) *Thermodynamic variables*

To understand why  $P(\Lambda)$  exhibits a decreasing trend throughout the historical period but remains relatively stable in the projected period, we examine its thermodynamic components,  $\chi$  and PI, both of which respond directly to anthropogenic warming. Figure 4 illustrates the relationship between PI and  $\chi^{-1}$  in both the historical and projected model simulations, as well as in several reanalysis datasets. During the historical period (blue) and across the duration of the reanalysis data (orange and olive), we observe a direct relationship between PI and  $\chi^{-1}$ , indicating that their effects compounded each other. When PI was higher and more conducive to tropical cyclones (TCs),  $\chi$  was lower, further enhancing storm activity. In contrast, during the projected period (green), this relationship reverses: as one variable becomes more favorable for TC activity, the other becomes less favorable, suggesting that their effects offset each other. Additionally, the models exhibit distinct magnitudes of PI and  $\chi^{-1}$ . PI is notably higher in HIRAM by approximately  $7 \text{ m s}^{-1}$ . While PI values in HIRAM are closer to those found in reanalysis products, they still fall short by about  $5 \text{ m s}^{-1}$ . Meanwhile,  $\chi^{-1}$  is slightly higher in AM2.5-C360 than in HIRAM, though the reanalysis datasets display significant variation in their projections of  $\chi^{-1}$ .

To further investigate the reversal of the relationship between  $\chi$  and PI in the historical and projected periods, we plot their time series in Figure 5. The results show that  $\chi$  increases consistently throughout the entire record, suggesting that anthropogenic warming enhances the moisture deficit between the storm’s inner core and its environment. Meanwhile, the trend lines

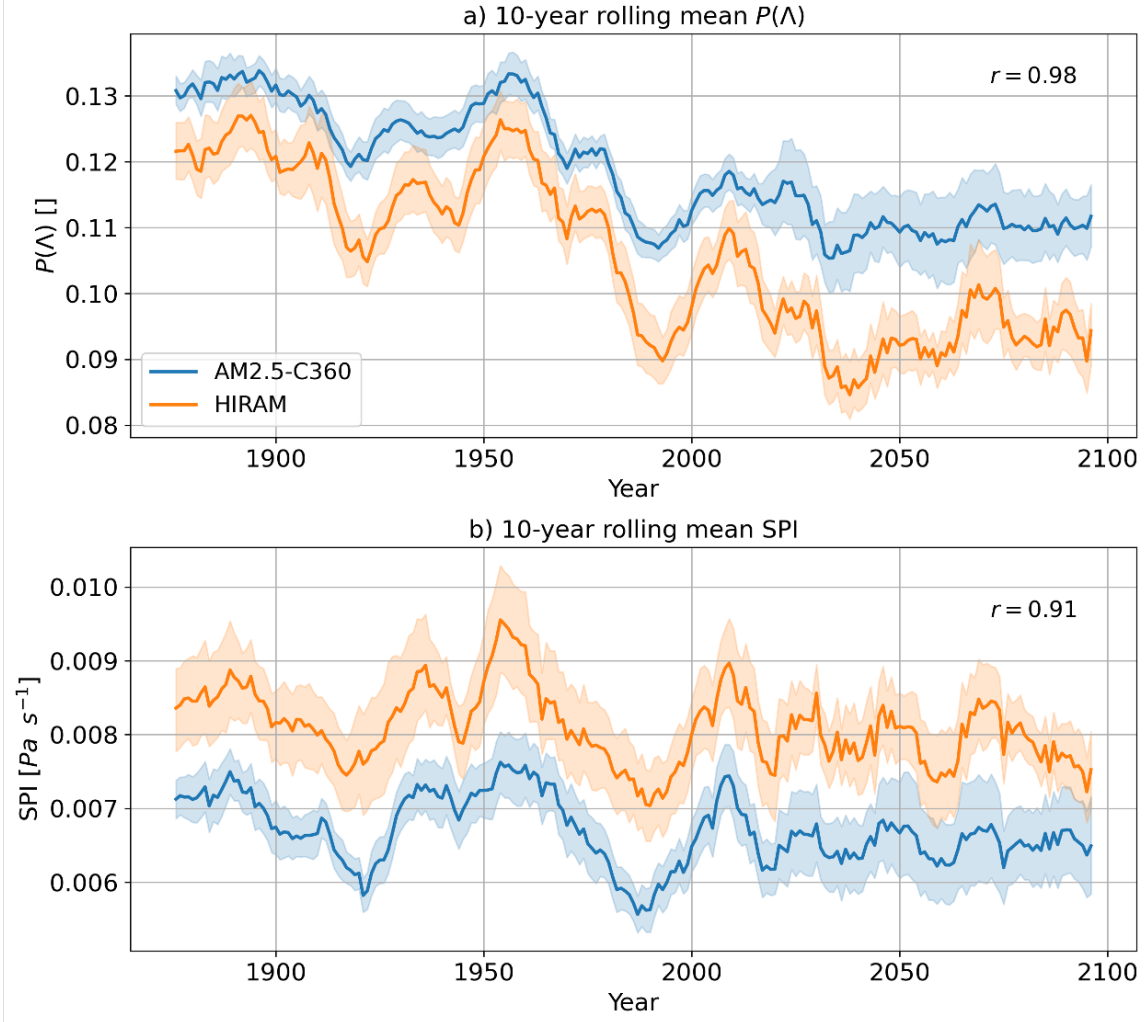


FIG. 3. 10-year rolling mean of a)  $P(\Lambda)$  and b) SPI, from AM2.5-C360 (blue) and HIRAM (orange). Shaded regions indicate the bootstrapped 95% confidence intervals, derived from resampled ensemble members, while solid lines represent the ensemble means. The historical period (1871–2019) is based on the *obs SST* simulation, whereas the future period (2020–2100) is derived from the *rcp4.5 SST* simulation. These two periods are combined into a continuous time series. The correlation coefficients between the ensemble mean of each model is shown in the upper right corner of each panel.

in Figure 5 reveal that PI decreases throughout the historical period but increases in the projected period. This shift in the sign of the PI trend is the primary driver of the observed reversal in the PI and  $\chi$  relationship.



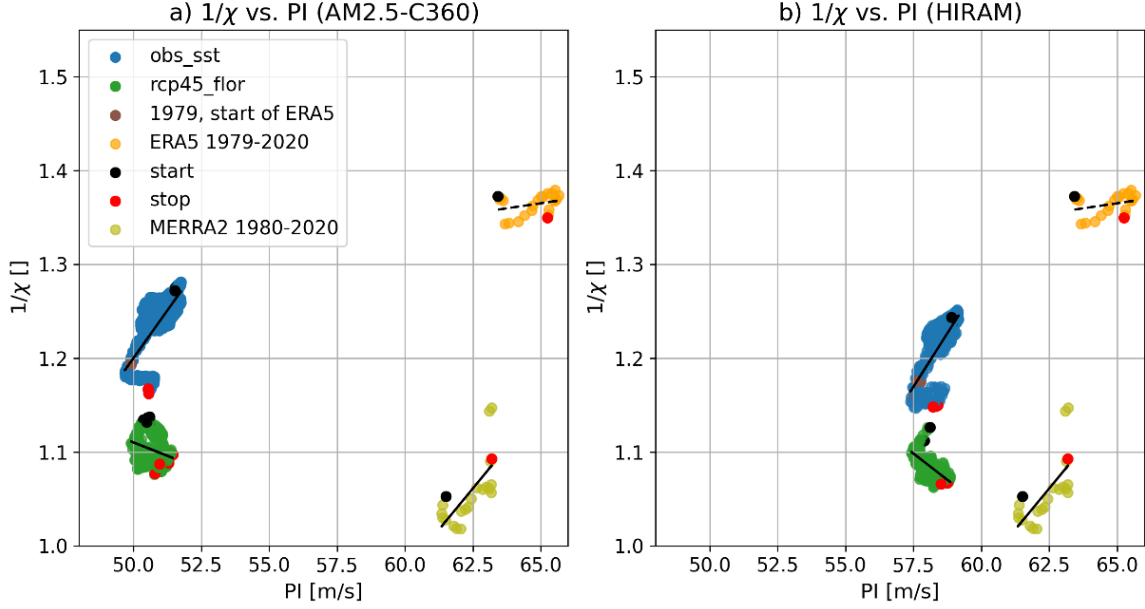


FIG. 4. 10-year rolling mean of TC-season basin-averaged  $\chi^{-1}$  and PI for (a) AM2.5-C360 and (b) HIRAM. To clarify their relationship, both axes are oriented so that increasing values indicate more favorable conditions for TC activity. Blue and green dots represent historical (1871–2019) and projected (2020–2100) periods, respectively, while orange and olive dots correspond to ERA5 (1979–2020) and MERRA5 (1980–2020) reanalysis data. Black and red dots denote the start and end of each period. Trend lines are plotted for each period, with solid lines indicating statistically significant trends ( $p < 0.05$ ) and dashed lines otherwise. Positive (negative) trends indicate periods where PI and  $\chi$  effects reinforce (offset) each other.

To better understand the discrepancy between observed and projected trends in PI, we analyze trends in tropical sea surface temperatures (SSTs) during both periods. Tropical SSTs exert a strong influence on upper-tropospheric temperatures, which in turn regulate PI (Emanuel et al. (2013); Eusebi et al. (2025); Ramsay and Sobel (2011); Vecchi and Soden (2007); Vecchi et al. (2013)), since PI is fundamentally determined by the temperature contrast between the surface and the upper troposphere. The primary mechanism linking SSTs to free-tropospheric temperatures is deep convection (Flannaghan et al. (2014); Fueglistaler et al. (2015); Sobel et al. (2002)), which is most vigorous in regions of the warmest tropical SSTs (Zhang (1993)). Consequently, the warmest tropical SSTs—typically associated with the western Pacific warm pool—effectively set upper-tropospheric temperatures across the tropics through convective adjustment.

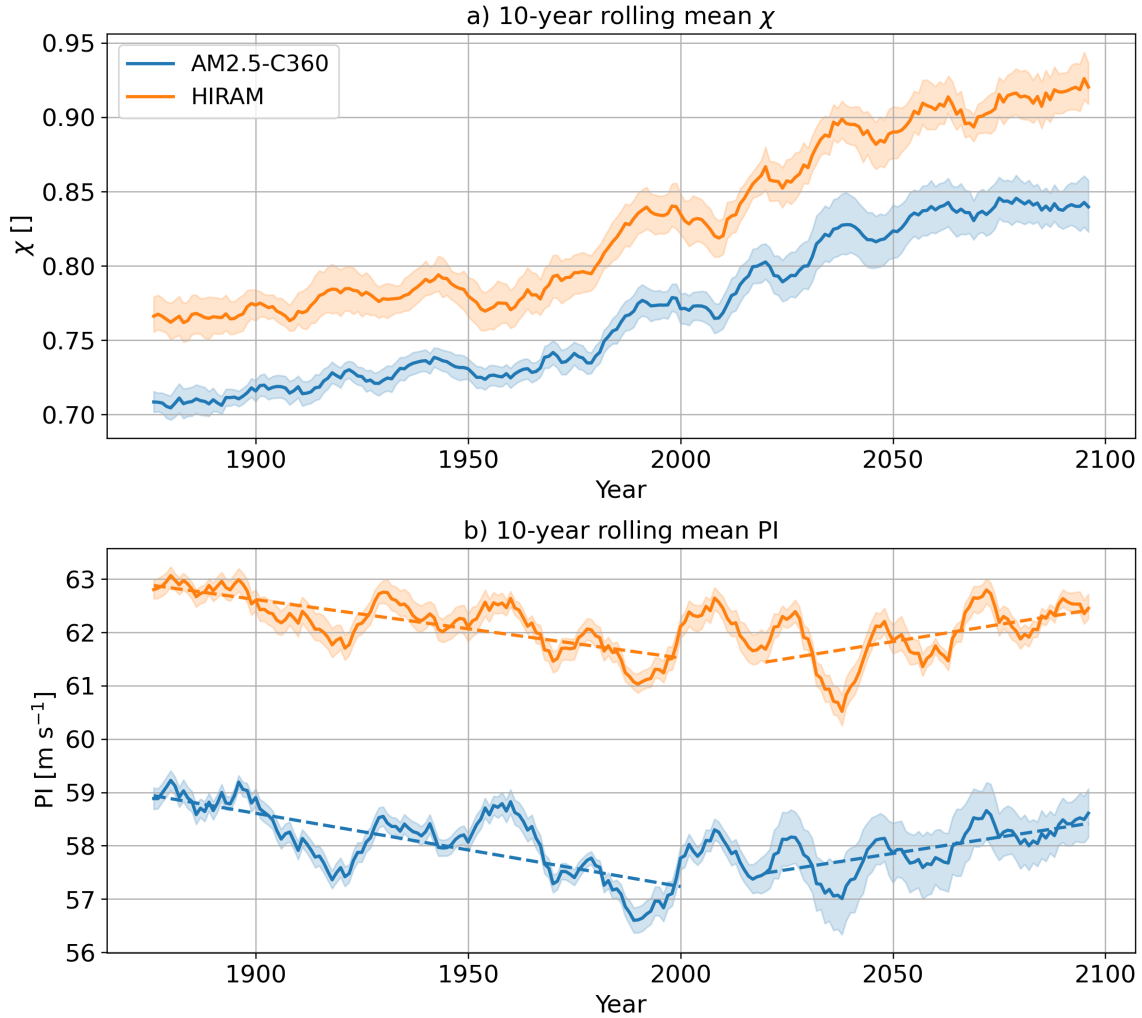


FIG. 5. Similar to Figure 3, but for the thermodynamic variables: (a)  $\chi$  and (b) PI. Dotted trend lines are fitted for PI over two periods (1871–2000 and 2020–2100), showing opposite trends between these intervals in both models. Lower  $\chi$  and higher PI are more favorable for TC activity.

In the present climate, Sobel et al. (2002) showed that the tropical-mean SST is closely tied to the warmest tropical SSTs. Thus, the rate at which local SSTs warm relative to the tropical-mean warming rate provides a key indicator of PI. Motivated by this framework, we compare Atlantic TC-season SST warming rates with those of the broader tropics during both the observed (Fig. 6) and projected (Fig. 7) periods. Although the tropical Atlantic warmed during the historical period (Fig. 6a), it did so more slowly than the tropical mean (Fig. 6b). In contrast, in the projected period, tropical Atlantic SSTs are expected to warm not only in absolute terms but also more rapidly

354 than the tropical mean. These results imply that the surface-to-troposphere temperature contrast  
355 weakened in the historical record but is projected to strengthen in the future. We therefore propose  
356 that the observed decline in PI, versus its projected increase, arises from differences in relative SST  
357 changes. In particular, the spatial pattern of Atlantic SST warming relative to the tropics plays a  
358 central role in shaping PI trends and their linkage to  $\chi$ , both of which are critical drivers of changes  
359 in  $P(\Lambda)$ .

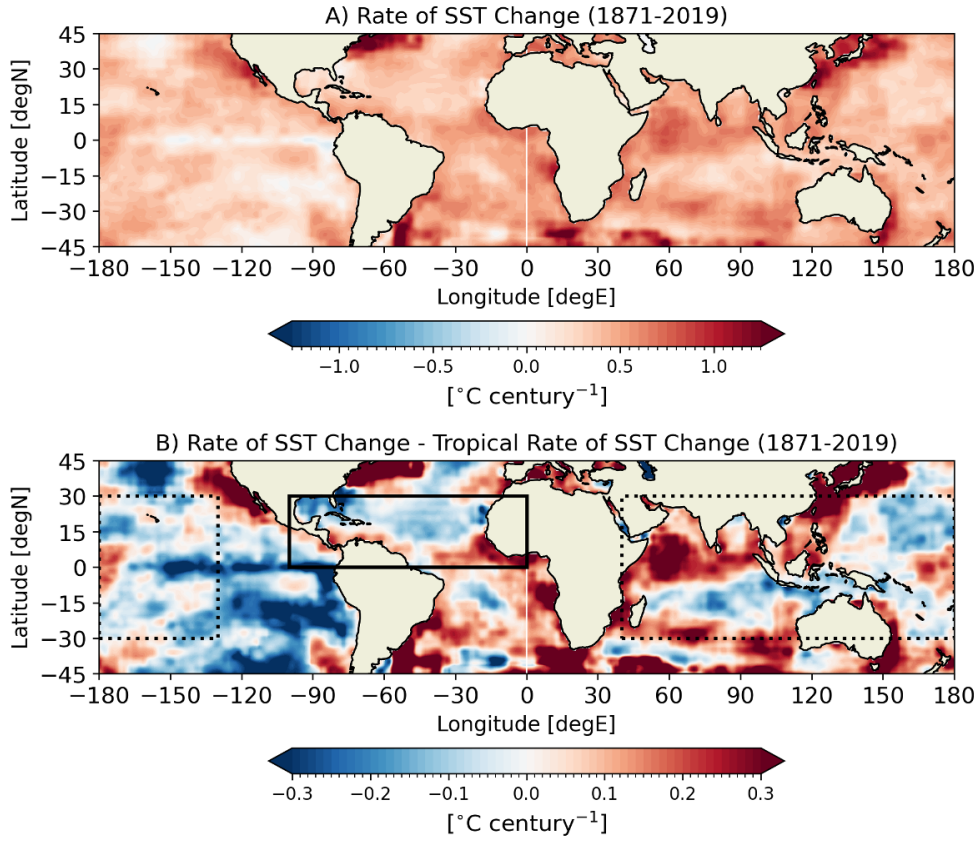


FIG. 6. (a) The rate of historical observed TC season SST changes from 1871 to 2019, with SSTs sourced from Chan et al. (2021). (b) The difference between (a) and the mean tropical TC season SST change rate over the same period. In (b), the solid black box highlights the tropical Atlantic basin, while the dotted black box marks the Indo-Pacific Warm Pool region, as defined by Weller et al. (2016), which typically encompasses the warmest ocean surface waters on Earth.

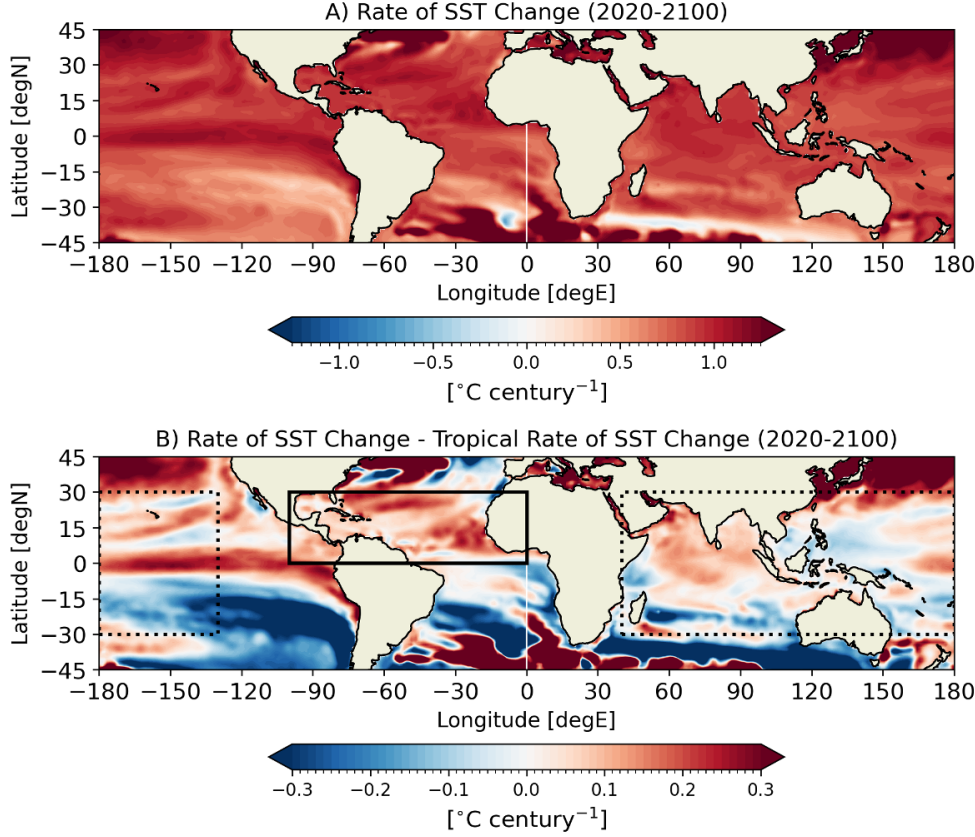


FIG. 7. Same as Fig. 6, but for the future projected period 2020–2100. Both panels are based on the ensemble mean of the 2020–2100 RCP4.5 scenario simulated by the Geophysical Fluid Dynamics Laboratory Forecast-oriented Low Ocean Resolution model, detailed in Section 2.

## (ii) Dynamic variables

While the relationship between thermodynamic variables is a primary driver of the potential trend (or lack thereof) in  $P(\Lambda)$ , we also examine the role of the ventilation index (Eq. 6), specifically vertical wind shear, as a potential contributor to changes in  $P(\Lambda)$ . Figure 8b presents the time series of vertical wind shear across both models throughout the entire record. Both models simulate similar magnitudes of wind shear in the historical and projected periods. During the historical period, especially from 1950 to 2000, wind shear increases, making conditions progressively less favorable for storm activity. In contrast, the projected period shows no clear trend in wind shear: in AM2.5-C360, wind shear remains relatively stable, whereas in HIRAM, it exhibits some multidecadal variability.

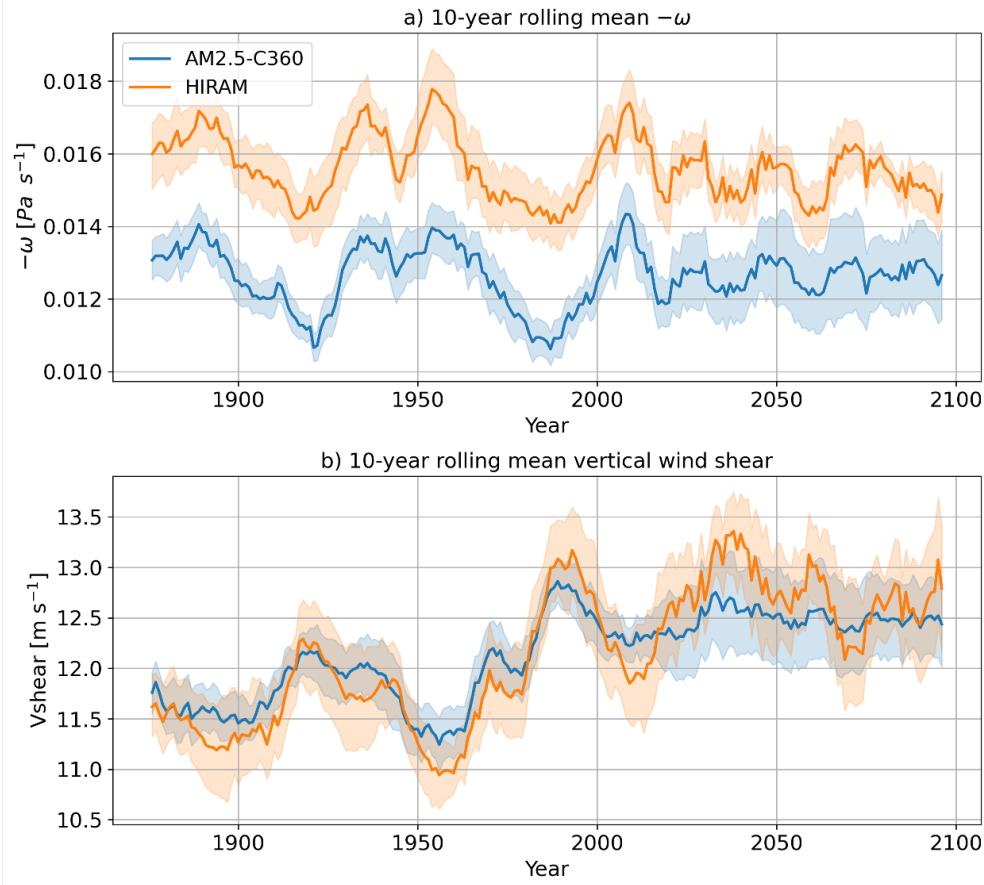


FIG. 8. Similar to Fig. 5, but for the dynamic variables: (a)  $-\omega$  and (b) vertical wind shear.

The increasing wind shear during the historical period likely amplified the already declining TC favorability, as both thermodynamic and dynamic conditions became less conducive to storm development. In the projected period, however, where thermodynamic variables counterbalance each other, wind shear has the potential to influence the overall trend in  $P(\Lambda)$ . Yet, since wind shear remains largely steady,  $P(\Lambda)$  also remains unchanged in the projected period.

To understand why vertical wind shear has increased in the tropical Atlantic TC basin throughout the historical record but is projected to remain relatively stable in the future, we analyze the zonally averaged atmospheric temperature changes across the basin (Fig. 9). Given that meridional temperature contrasts drive the thermal wind balance, we focus on temperature gradients across the tropical Atlantic. During the historical period, both models show that the equatorial Atlantic atmosphere ( $0-20^\circ\text{N}$ ) between 850 hPa and 250 hPa warms more rapidly than the subtropical Atlantic atmosphere ( $20-40^\circ\text{N}$ ) at the same altitudes (approximately  $1^\circ\text{C century}^{-1}$  vs.

390 0.5 °C century<sup>-1</sup>; Fig. 9a and 9c). This enhanced warming in the equatorial region strengthens the  
391 meridional temperature gradient, which, through thermal wind balance, amplifies vertical wind  
392 shear.

393 In contrast, projections indicate that future warming in the equatorial Atlantic (surface to 400 hPa)  
394 will occur at a slower rate than in the subtropical Atlantic at similar heights. This reduces the  
395 poleward temperature gradient, leading to a weaker thermal wind balance and, consequently, a  
396 weakening or stabilization of vertical wind shear. These findings again highlight that spatial  
397 patterns of temperature change—rather than just the basin-wide mean warming—play a crucial  
398 role in modulating environmental factors, like wind shear, that influence TC development.

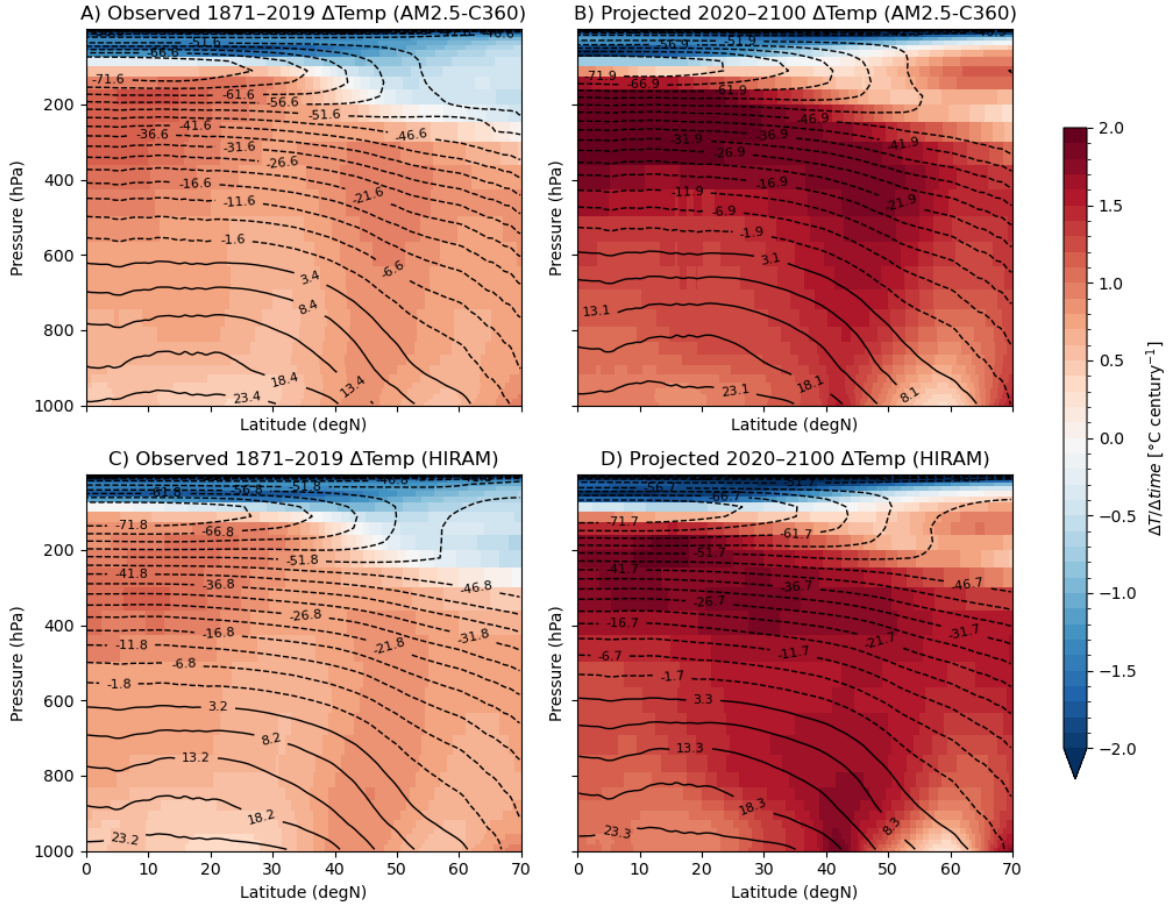


FIG. 9. Linear regression coefficients for the longitudinal of the Atlantic ocean zonally-averaged cross-section of the annual TC-season atmospheric temperature change rate during: (a) the observed period of AM2.5-C360, (b) the projected period of AM2.5-C360, (c) the observed period of HIRAM, and (d) the projected period of HIRAM. Climatological temperature contours (in °C) are overlaid in black.



Next, because changes in SPI are primarily driven by variations in  $-\omega$ , we analyze the temporal evolution of this variable in Figure 8a. Just as SPI exhibits no clear trend across the full record in either model (Fig. 3b),  $-\omega$  similarly shows no discernible long-term trend. However, both variables display pronounced multidecadal variability in the historical period, along with decadal-scale peaks and troughs in future projections. Additionally, while the typical magnitude of  $-\omega$  is greater in HIRAM than in AM2.5-C360, both models exhibit comparable temporal variability. As detailed in Appendix Section b, since vorticity does not play an important role in driving TC changes, we do not include a discussion of this dynamic variable here.

#### 4. Discussion and conclusions

As shown in Fig. 2, the TC framework of Hsieh et al. (2020) ( $SPI \times P(\Lambda)$ ) successfully reproduces Atlantic TC variability in simulations forced with observed SSTs, as well as in projections under an RCP4.5 SST increase. Its performance is slightly stronger in HIRAM than in AM2.5-C360. Importantly, the framework achieves this skill without calibration or tuning, underscoring its ability to capture TC variability directly from local large-scale environmental conditions. This is particularly noteworthy in the Atlantic basin, where TCs frequently originate from nonlocal disturbances such as African easterly waves (AEWs; Emanuel (2022)), yet the results reinforce that local climatological conditions ultimately govern TC existence, while AEWs and other disturbances primarily set the timing and placement of genesis (Patricola and Wehner (2018)). By contrast, widely used TC proxies such as genesis potential indices (GPIs) typically require calibration before application to future scenarios (Camargo et al. 2014). Together, these findings highlight the robustness of the  $SPI \times P(\Lambda)$  framework for assessing Atlantic TC variability across both historical and projected climates.

Next, we analyze how the large-scale dynamic and thermodynamic variables contribute to changes in parameterized TCs during both the historical and projected periods. Examining the temporal evolution of SPI (Fig. 3b), we find no clear long-term trend. Instead, SPI is governed by multidecadal and decadal fluctuations, largely modulated by variability in  $-\omega$ . In contrast, the temporal evolution of  $P(\Lambda)$  is characterized by a distinct decreasing trend during the historical period, followed by a relatively stable plateau in the projected period (Fig. 3a). Notably, from 2020-2100  $P(\Lambda)$  exhibits little decadal variability, particularly in AM2.5-C360. The difference

in  $P(\Lambda)$ 's variability between both record periods is primarily driven by the relationship between its thermodynamic components: moist entropy deficit ( $\chi$ ) and potential intensity (PI). During the historical period, PI and  $\chi$  had a compounding effect, both becoming increasingly unfavorable for TC activity and reinforcing the decline in  $P(\Lambda)$ . However, in the future projection with FLOR SSTs, their opposing influences cancel out, leading to a stable  $P(\Lambda)$  with no clear trend.

This shift in the thermodynamic relationship is evident in spatial analyses, where we observe a statistically significant decreasing trend in  $\Lambda$  during the historical period (Fig. 10), whereas no such trend is apparent in the projected period (Fig. 11). This highlights how the changing interaction between PI and  $\chi$  fundamentally alters the behavior of  $\Lambda$ ,  $P(\Lambda)$ , and parametrized TCs, over time.

The reversed relationship between  $\chi$  and PI in the historical and projected periods stems from a shift in PI trends. While  $\chi$  increases consistently throughout both records, PI decreases during the historical period but increases in the projected period. Our projected results align with previous studies (e.g., Emanuel et al. (2008), Emanuel (2021b), and Lee et al. (2020)), which similarly predict increases in both global PI and moisture deficit. However, one study using reanalysis data (Emanuel (2021a)) reports an increasing PI trend throughout the historical period, though potential biases exist in these products, particularly before the satellite era began in the 1980s.

We attribute the reversal in PI trends between the historical and projected periods to differences in the relative warming rates of the tropical Atlantic and the broader tropics, which are known to be important in modulating TC activity (Vecchi and Soden (2007); Villarini et al. (2010)). During the historical period, the tropical Atlantic warmed more slowly than the rest of the tropics, likely weakening the temperature contrast between the sea surface and the upper troposphere. Conversely, in the projected period, Atlantic SSTs are expected warm faster than the rest of the tropics, enhancing the surface-to-upper troposphere temperature contrast and fostering conditions more favorable for increases in PI and TC activity. This underscores the critical role of regional SST patterns—rather than just mean warming trends—in shaping TC activity.

These findings highlight the deep uncertainty in future TC projections (Knutson et al. 2020), a challenge that dates back to the earliest model-based study of TC frequency (Broccoli and Manabe 1990). The strong compensation between thermodynamic variables, consistent with results from downscaled CMIP6 simulations (Emanuel 2021b), suggests that these variables—directly influenced by warming—are unlikely to be the primary drivers of TC activity changes. Instead,

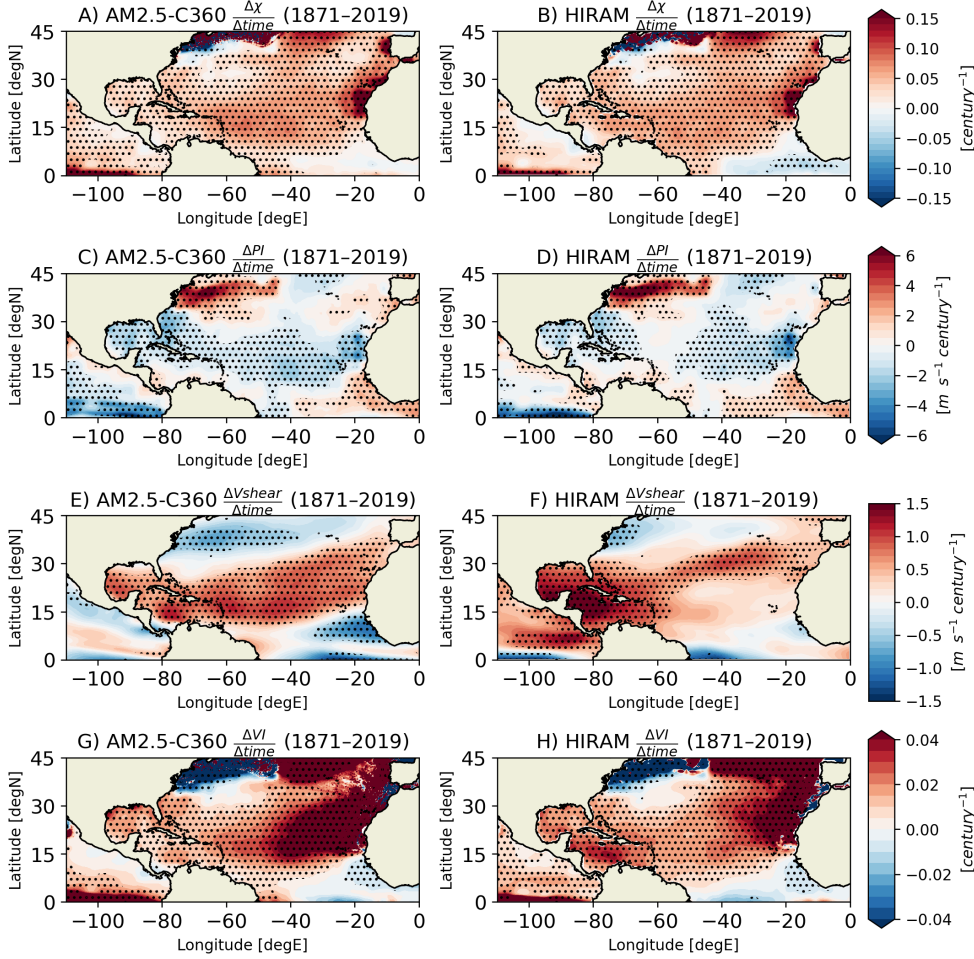


FIG. 10. Linear regression coefficients for the century-scale TC-season rate of change in (a, b)  $\chi$ , (c, d) PI, (e, f) vertical wind shear, and (g, h) ventilation index ( $\Delta$ ) during the historical period (1871–2019) for AM2.5-C360 and HIRAM. Dotted regions indicate statistically significant trends ( $p < 0.05$ ).

dynamic variables such as vertical wind shear, which are indirectly linked to warming through circulation changes, emerge as key determinants of future TC trends. However, because these dynamic variables are not directly constrained by warming, their future behavior remains highly uncertain (Pfahl et al. 2017).

Temperature patterns play a fundamental role in shaping both thermodynamic and dynamic variables, including wind shear and  $-\omega$ , through thermal wind balance and by setting the most active convective regions. Stronger meridional temperature gradients enhance vertical wind shear, while high relative SST patterns intensify deep tropical convection. Ultimately, regional SST

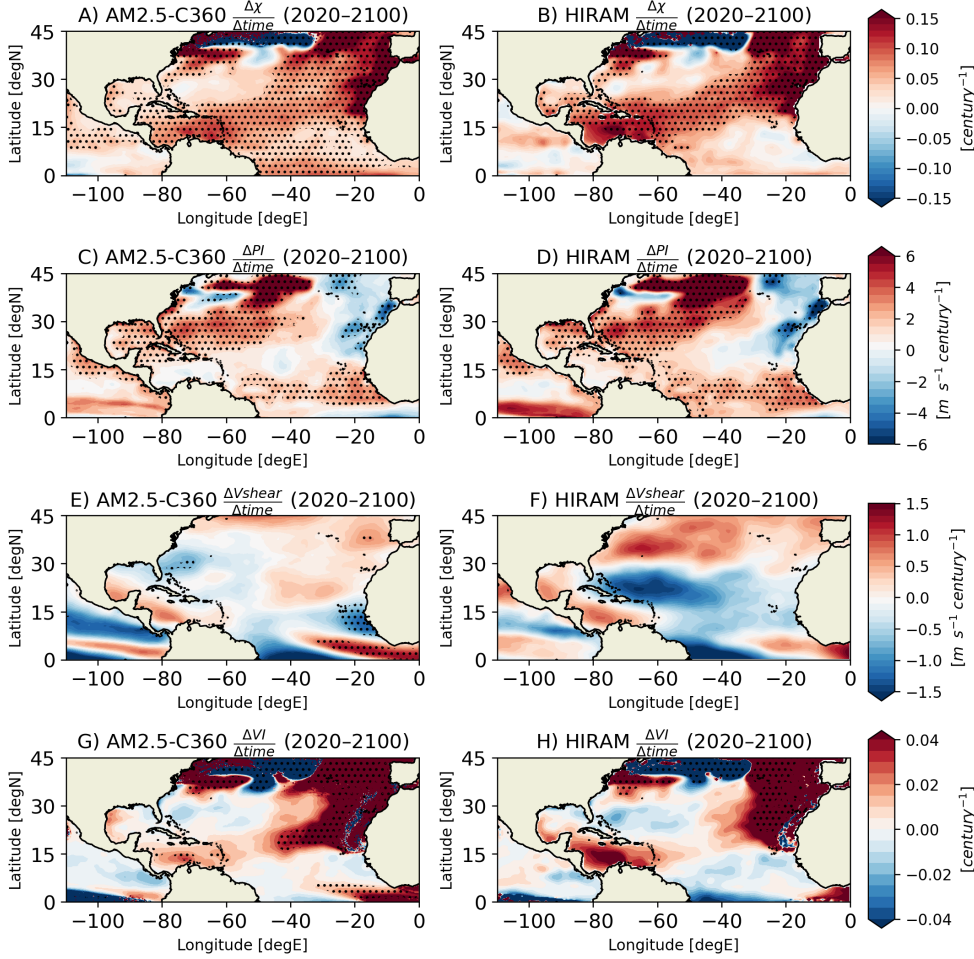


FIG. 11. Same as Fig. 10 but for the projected period (2020-2100).

patterns and the processes that control the vertical structure of warming may greatly influence changes in the dynamic variables, reinforcing the importance of spatial temperature distributions in determining future TC activity.

Building on our argument that the compensation of thermodynamic variables enables dynamic factors to influence TC activity, we observe that the trend in  $P(\Lambda)$  closely mirrors that of vertical wind shear in model simulations, which is primarily determined by temperature patterns. As shown in Figure 9, vertical wind shear increases during the historical period, driven by a strengthened atmospheric meridional temperature gradient. However, these trends do not persist in future projections (Figure 11e), and substantial inter-model differences arise. For example, AM2.5-C360 forecasts a reduction in shear along the U.S. East Coast, whereas HIRAM predicts an increase in the

483 same region. Since neither model shows a consistent basin-wide trend in shear, the projected trend  
484 in  $P(\Lambda)$  remains similarly uncertain. This ambiguity in shear trends is likely due to differences in  
485 projected atmospheric temperature.

486 The dominant role of thermodynamic variable compensation in regulating  $P(\Lambda)$  reinforces the  
487 idea that, given the uncertainty in  $P(\Lambda)$  projections, future TC activity will be primarily modulated  
488 by changes in SPI, which represents the number of basin-wide seeds. This aligns with previous  
489 studies such as Hsieh et al. (2020), Vecchi et al. (2019), Sugi et al. (2020), and Yamada et al. (2021),  
490 which emphasize the critical role of TC seeds in shaping future TC activity. Our findings support  
491 this conclusion, as the projected stability of  $P(\Lambda)$  (Fig. 3a) suggests that the decadal variability of  
492 parametrized TCs ( $SPI \times P(\Lambda)$ ; Fig. 2) largely follows the variability of SPI (Fig. 3) and  $-\omega$  (Fig.  
493 8a).

494 While some previous studies suggest that the global number of TC seeds will decrease in a  
495 warmer climate (Hsieh et al. (2020), Vecchi et al. (2019), Sugi et al. (2020), Yamada et al. (2021)),  
496 our findings indicate that SPI, our seed proxy, does not exhibit a clear Atlantic basin-wide trend in  
497 either the historical or projected periods. Instead, SPI is characterized by decadal and multidecadal  
498 variability driven by fluctuations in  $-\omega$ . Although no basin-wide trends in  $-\omega$  emerge, we identify  
499 localized trends within the basin, particularly along the ITCZ and within the TC main development  
500 region (Fig. 12). Specifically, an eastward shift in  $-\omega$  is evident in the deep tropics, with a  
501 decreasing trend in the western basin and an increasing trend in the eastern basin, which could be  
502 as result higher rate of warming in the eastern tropical Atlantic than the western tropical Atlantic  
503 (Fig. 7b). This pattern suggests a potential eastward shift in TC genesis under warming conditions  
504 (Kortum et al. (2024)), and mirrors some observed eastward shifts in Atlantic TC tracks (Colbert  
505 and Soden 2012; Vecchi and Knutson 2011). However, significant uncertainties remain in  $-\omega$ , as  
506 vertical velocity and seed development could be influenced by radiative feedbacks linked to cloud  
507 processes or unresolved mechanisms, as described in the gross moist stability framework (Hsieh  
508 et al. (2023), Neelin and Held (1987)).

514 It is important to recognize the inter-model differences present in our results, such as variations in  
515 the framework's ability to capture TC activity in idealized simulations and discrepancies in future  
516 projections of vertical wind shear, which we suggest have to do with differences in the pattern of  
517 warming and TC seed distribution. This study examines only two atmosphere-only models, both

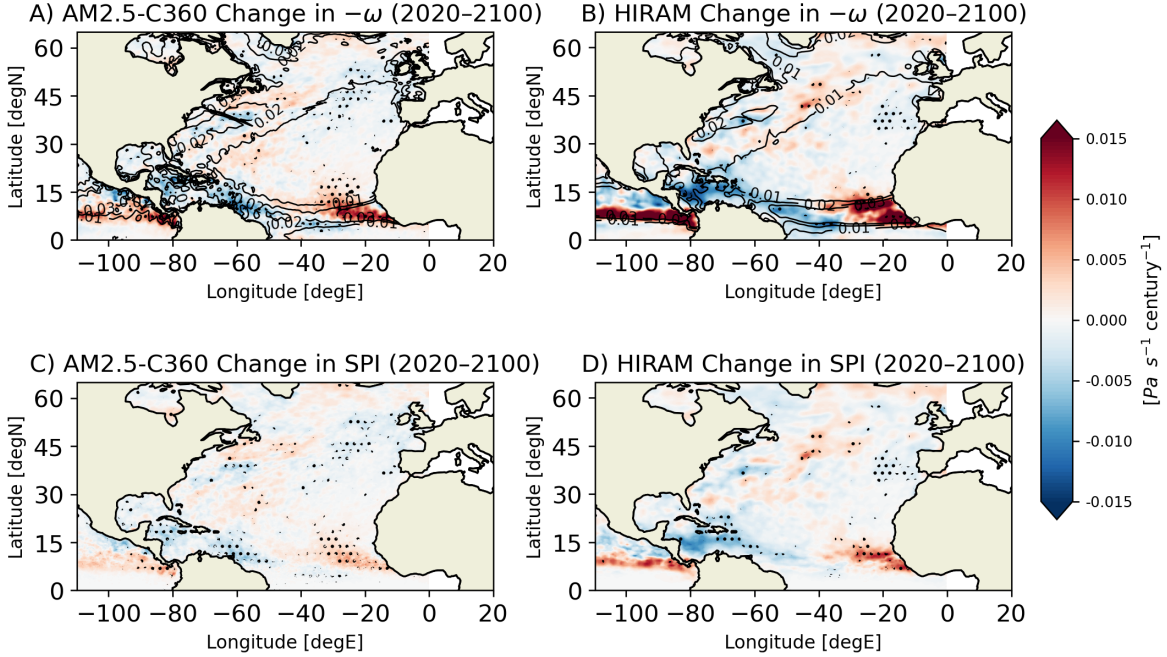


FIG. 12. Linear regression coefficients for the century-scale TC-season rate of change in (a, b)  $-\omega$  and (c, d) SPI during the projected period (2020–2100) for AM2.5-C360 and HIRAM. Dotted regions indicate statistically significant trends ( $p < 0.05$ ). In (a) and (b), black contours show the mean TC-season  $-\omega$  during the historical period (1871–2019). Red (blue) shading represents regions with increasing ascent (descent) in the projection period.

of which have been shown to predict the highest number of future TCs compared to other model simulations (Bhatia et al. (2018), Hsieh et al. (2022)). However, as noted by Knutson et al. (2020), most dynamical TC-resolving models project a decrease in storm activity in a warming climate. Furthermore, because the models used here are atmosphere-only and forced exclusively by SSTs, they may highlight the limitations of relying on SSTs alone for TC predictions—an issue that became particularly evident during the 2020 TC season (Fig. 1). As suggested by Kortum et al. (2024), factors such as atmospheric chaos, aerosols, and other environmental influences could play a critical role in modulating TC activity, potentially introducing biases in SST-forced models.

Beyond the aforementioned caveats, our findings emphasize that specific SST and atmospheric spatial temperature patterns, rather than the mean warming trend, can play a pivotal role in shaping future TC activity. We find that the relationship between PI and  $\chi$  is strongly influenced by

529 the relative warming rate of the tropical Atlantic compared to the broader tropics, particularly  
530 its warmest regions Eusebi et al. (2025). Additionally, the dynamic variables are also governed  
531 by spatial temperature patterns. However, significant uncertainties remain in future SST pattern  
532 projections, especially in the Atlantic and other tropical regions, especially the Eastern Pacific  
533 (Seager et al. (2022), Shaw et al. (2024)). In the Atlantic, for instance, the extent of future AMOC  
534 weakening remains uncertain, with potential implications for Atlantic SSTs (Cheng et al. (2013),  
535 Srokosz and Bryden (2015)). To improve the reliability of future TC projections, it is essential to  
536 better constrain SST pattern projections across a wider range of climate models.

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*Data availability statement.* Source code of the HiRAM model is available from <https://www.gfdl.noaa.gov/hiram-quickstart>. ERA5 data are available from <https://cds.climate.copernicus.eu/datasets/reanalysis-era5-pressure-levels-monthly-means?tab=overview>, and MERRA2 data are available from [https://gmao.gsfc.nasa.gov/gmao-products/merra-2/data-access\\_merra-2/](https://gmao.gsfc.nasa.gov/gmao-products/merra-2/data-access_merra-2/).

## APPENDIX

### Further evaluation of the seed-probability framework and its components

#### *a. Covariance between seed and probability proxies*

We assess the correlation coefficient between the annual TC-season basin-averaged  $SPI$  and  $P(\Lambda)$  (Fig. A1) for both the observed historical period (1871-2019) and the projected future period (2020-2100). Our analysis reveals that, across both models and time periods, the ensemble mean of  $SPI$  and  $P(\Lambda)$  exhibit a moderate correlation. Specifically, for each model, the ensemble mean correlation between the proxies is consistent across the observed and projected periods ( $r \sim 0.5$  for AM2.5-C360 and  $r \sim 0.7$  for HIRAM), with HIRAM generally showing stronger correlations. In AM2.5-C360, the correlation varies more widely across ensemble members, ranging from  $r = 0.25$  to  $r = 0.55$ , while in HIRAM, the spread is narrower, with correlations ranging from  $r = 0.5$  to  $r = 0.7$ . Despite the variation in ensemble spread and inter-model differences, it is evident that  $SPI$  and  $P(\Lambda)$  are not independent, but rather covary in a manner that reflects a spatio-temporal relationship, with their combined behavior serving as a proxy for TC activity.



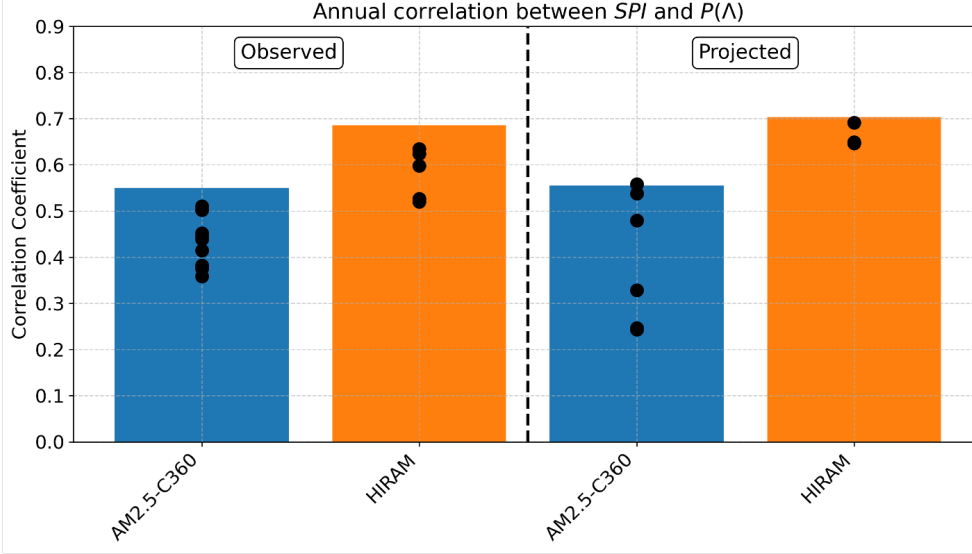


FIG. A1. Annual TC-season basin mean correlation coefficient between  $SPI$  and  $P(\Lambda)$  in AM2.5-C360 (blue) and HIRAM (orange) in the *obs SST* simulation (left) and *rcp4.5 SST* simulation (right). Bars indicate the ensemble mean correlation, while black dots represent individual ensemble members.

### b. Vorticity

To show that changes in the vorticity term of the  $SPI$  have a negligible impact on variations in TC activity, we perform a logarithmic decomposition of the  $SPI$ , allowing the terms to be expressed additively:

$$\begin{aligned}
 SPI &= \underbrace{-\omega}_{\text{vertical velocity}} \times \underbrace{\frac{1}{1 + Z^{-1/0.69}}}_{\text{vorticity term } (Z_{term})} \\
 &= -\omega \times Z_{term} \\
 \log(SPI) &= \log(-\omega) + \log(Z_{term}).
 \end{aligned} \tag{A1}$$

This decomposition demonstrates that changes in  $\log(SPI)$  are driven equally by additive contributions from changes in  $\log(-\omega)$  and  $\log(Z_{term})$ . To assess these contributions over time, we analyze fluctuations in all three terms across the historical and projected record (Fig. A2). We find that  $\log(Z_{term})$  exhibits minimal annual and decadal variability. Additionally, interannual and decadal variations in  $\log(SPI)$  closely track those in  $\log(-\omega)$ , indicating that changes in  $SPI$ —and con-

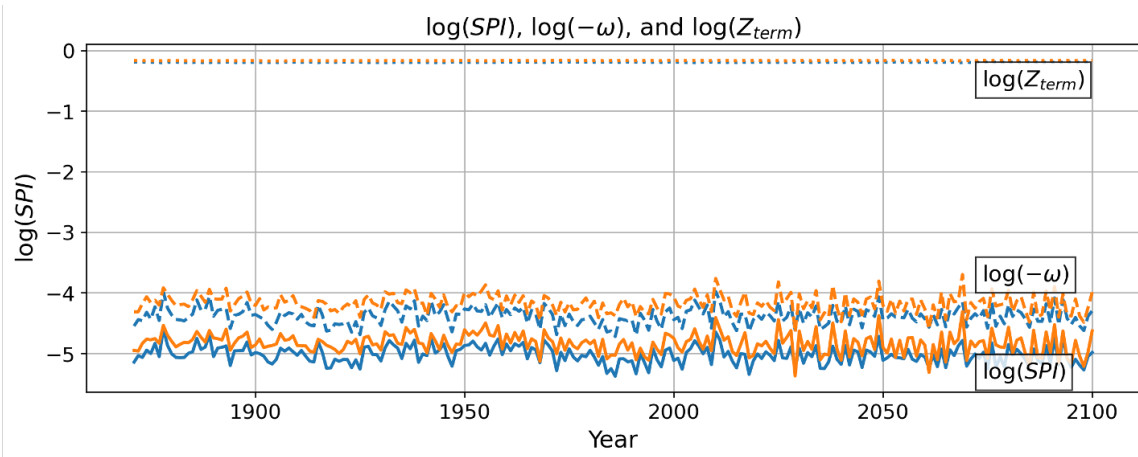


FIG. A2. Annual ensemble mean seasonally and basin-averaged  $\log(SPI)$  (solid lines),  $\log(-\omega)$  (dashed lines), and  $\log(Z_{term})$  (dotted lines) in AM2.5-C350 (blue) and HIRAM (orange). The historical period (1871–2019) is based on the obs SST simulation, whereas the future period (2020–2100) is derived from the rcp4.5 SST simulation. These two periods are combined into a continuous time series.

sequently in parameterized TC activity—are primarily driven by fluctuations in vertical velocity rather than vorticity. Given this negligible role of vorticity, we largely disregard its influence on approximated TC activity in this report.

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