

Hydromechanical–Stochastic Modeling of Fluid-Induced Seismicity in Fractured Poroelastic Media¹

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Key Points

- Inter-seismic fracture-poro-mechanics combined with stochastic co-seismic stress drop for modeling fluid-induced seismicity in fractured poroelastic media
- Mechanics-based analysis on the spatial-temporal evolution of seismicity and the associated source parameters
- Seismicity clusters near favorably-oriented large-scale fractures and substantially inhibited by poroelastic coupling in the near field

Abstract

We present a new method for modeling fluid-perturbation induced seismicity in a fluid-saturated poroelastic medium embedded with a dual network of fractures. The inter-seismic triggering is deterministically modeled using a quasi-static, nonlinear and fluid-solid fully coupled fracture-poro-mechanical approach that resolves only the large-scale fractures. The co-seismic dynamic rupture is not explicitly modeled. Instead, the seismicity-induced shear stress drop is approximated as a static quantity and stochastically modeled on a range computed from the evolving poroelastic stress in conjunction with the initial stress and the static and dynamic frictional strengths. These two steps are sequentially connected and then iterated via a prediction-correction type of fracture stress updating scheme, naturally producing repeating seismic events on certain fractures. As an example, we perform three progressive numerical experiments. By comparing the corresponding synthetic event catalogs, we investigate the effects of fractures and poroelastic coupling on the evolution and source characteristics of the seismicity. Main findings include (1) the seismicity clusters near large-scale fractures favorably oriented and subjected to sufficient perturbations, (2) poroelastic coupling enhances the clustering and substantially inhibits the seismicity in the nearfield and (3) source characteristics and the b -value seem not affected by fractures or poroelastic coupling. Our method can serve as a general physics-based tool for more realistically predicting induced seismicity in complex geological media.

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31 **Keywords:** numerical modeling, induced seismicity, discrete fracture network, poroelastic
32 coupling, stress drop, *b*-value

33 1. Introduction

34 Fluid injection into the subsurface perturbs the pore pressure and alters the effective stress quasi-
35 statically, inducing seismicity on fractures of certain orientations (we hereinafter do not
36 distinguish between a fracture and a fault in this study). This process is traditionally considered
37 as a decoupled hydroshear process: the effective normal stress on a fracture decreases by the
38 amount of fluid overpressure according to the simple effective stress law (Terzaghi, 1936),
39 whereas the shear stress remains unchanged (e.g., Byerlee, 1978; Scuderi & Collettini, 2016;
40 Mukuhira et al., 2017), resulting in a direct increase in the Coulomb stress, which, when driven
41 from negative to zero, signifies the occurrence of seismicity. Such a decoupled mechanism
42 remains as the basis of some prevalent statistical models of induced seismicity in a permeable
43 porous medium (e.g., Shapiro et al., 2005; Rothert & Shapiro, 2007). In this class of models, a
44 statistically random critical pore pressure is used as a proxy of the frictional strength of a pre-
45 existing fracture and the pore pressure evolution is governed by simple linear fluid diffusion; the
46 modeled spatial-temporal distribution of seismicity, however, is often inconsistent with
47 observations. As a remedy, some nonlinear diffusion models have been developed by adding a
48 pressure-dependent diffusivity (Hummel & Shapiro, 2012; Johann et al., 2016; Carcione et al.,
49 2018). The diffusion-based seismicity models can be further extended by incorporating, e.g.,
50 random stress heterogeneity (Goertz-Allmann & Wiemer, 2012), fractures following distributions
51 derived from field observations (Verdon et al., 2015), and even empirical seismic emission criteria
52 for generating synthetic seismograms (Carcione et al., 2015). This decoupled mechanism also
53 underlies some studies that invert for distributions of permeability (Tarrahi & Jafarpour, 2012)
54 and pore pressure (Terakawa et al., 2012; Terakawa, 2014) from induced seismicity data.

55 However, the decoupled mechanism inherently cannot explain the remoting triggering of
56 seismicity in areas not subjected to pressure perturbation (Stark & Davis, 1996; Megies &
57 Wassermann, 2014; Yeck et al., 2016); it also directly contradicts the commonly observed
58 depletion-induced seismicity (Zoback & Zinke, 2002). Motivated by such field evidences, a large
59 body of analytical solutions (Segall, 1985; Segall et al., 1994; Segall & Fitzgerald, 1998; Altmann et
60 al., 2014; Segall & Lu, 2015; Jin & Zoback, 2015a) and numerical solutions (Murphy et al., 2013;
61 Chang & Segall, 2016a; Chang & Segall, 2016b; Chang & Segall, 2017; Fan et al., 2016; Deng et al.,
62 2016; Zbinden et al., 2017) have been proposed, providing poroelastic models of induced
63 seismicity. At a smaller scale, numerical simulations of fluid-induced microseismicity, typically
64 motivated by applications to the stimulation of hydrocarbon and geothermal reservoirs, have also
65 been reported (e.g., Maillot et al., 1999; Baisch et al., 2010; Wassing et al., 2014; Zhao & Young,
66 2011; Yoon et al., 2014; Razi-perchikolaee et al., 2014; Riffraction et al., 2016). Irrespective of the

67 scale of interest, these studies substantiate that poroelastic coupling may play an important role
68 in inducing seismicity.

69 Despite these evidences, some debates persist, mainly from those who advocate the simple
70 diffusion-only models (Johann et al., 2016). They claim that their diffusion models approximate
71 poroelastic models if the Biot-Willis coefficient a is small; they also argue that when a is less than
72 0.3, it is the pore pressure rather than the poroelastic stress that dominates the hydroshear on
73 fractures; they further question the Segall (2015, 2016a) poroelastic models in which a is greater
74 than 0.3, and hypothesize that for nearly impermeable rocks, a should also be negligible.
75 However, one must realize that a is a measurement of the rock solid's susceptibility to the
76 influence of the fluid and vice versa; it is not a property directly related to the permeability of the
77 rock itself. As a matter of fact, laboratory experiments show that a of unconventional reservoir
78 rocks is indeed primarily between 0.3 and 0.9 (e.g., Ma & Zoback, 2017).

79 Some other studies seek middle ground by considering the co-existence of the pore pressure effect
80 and the poroelastic effect such that induced seismicity is a result of both (e.g., Barbour et al., 2017;
81 Keranen & Weingarten, 2018). This is perhaps a misconception. Jin & Zoback (2017) demonstrated
82 the fundamental difference between the two, which lies in how the fluid overpressure modifies
83 the effective stress tensor that will be used for calculating stress on a fracture. Using the Biot
84 effective stress law (Biot, 1941) as an example, the pore pressure effect is stated as:

$$85 \quad \sigma_p' + \alpha p \mathbf{1} = \mathbf{0} \quad (1)$$

86 whereas the poroelastic effect, more precisely, the fluid-to-solid coupling effect, arise from
87 solving the following conservation law:

$$88 \quad \nabla \cdot (\sigma_p' + \alpha p \mathbf{1}) = 0 \quad (2)$$

89 Here in equations (1) and (2), p is the fluid overpressure, σ_p' is the associated change in the
90 effective stress tensor (both are quasi-static perturbations to their respective initial reference state)
91 and $\mathbf{1}$ is the Kronecker delta. σ_p' is the fundamental reason driving changes in the stress on a
92 fracture and inducing seismicity. Since the linear momentum should be always conserved
93 between the perturbations, one must solve for σ_p' from equation (2) instead of simply assuming
94 $\sigma_p' = -\alpha p \mathbf{1}$ as is stated by equation (1). As a matter of fact, $\sigma_p' \neq -\alpha p \mathbf{1}$ as long as p is not spatially
95 uniform (i.e., a pressure gradient is present, $\nabla p \neq 0$). For any fluid saturated media, the poroelastic
96 coupling effect is the true and only effect; the pore pressure effect is the 'reduced' poroelastic
97 effect when the pressure gradient vanishes and the two should not be considered as co-existing
98 effects.

99 Poroelastic coupling is undoubtedly the mechanism behind induced seismicity. However, the
100 exact role is plays and its influence on the source characteristics remain somewhat unclear.

101 Furthermore, the aforementioned poroelastic models only include fractures very limited in
 102 distribution, therefore, the role of fractures cannot be sufficiently explored, neither. The fractures
 103 are also explicitly represented as entities following the same fluid and solid rheologies as the
 104 hosting rock, therefore, the medium is effectively ‘porous’ only. Such simplifications may come
 105 with certain consequences. Some studies suggest that accounting for both poroelastic coupling
 106 and an arbitrary discrete fracture network (DFN) permitted to have different constitutive
 107 behaviors can lead to radically different modeling outcomes (Jin & Zoback, 2016a; Jin & Zoback,
 108 2016b). Pertaining to this issue, some studies resolve very regularly distributed fractures (e.g.,
 109 Safari & Ghassemi, 2016); others attempt to include an arbitrary DFN, among which, e.g., some
 110 focus on the fluid pressure and solid deformation only within fractures but not the hosting rock
 111 (Farmahini-Farahani & Ghassemi, 2016), some consider coupling only upon the occurrence of
 112 seismicity (Bruel, 2007). None of these models produces repeating events frequently detected in
 113 catalogs of induced seismicity (e.g., Baisch & Harjes, 2003; Moriya et al., 2003; Deichmann et al.,
 114 2014; Duverger et al, 2015).

115 To date, a general method for modeling fluid-induced seismicity accounting for arbitrary
 116 fractures and poroelastic coupling is lacking. We are therefore motivated to develop the following
 117 new method aimed for a fractured poroelastic medium. It combines the deterministic modeling
 118 of inter-seismic, quasi-static and hydromechanically coupled triggering and the stochastic
 119 modeling of co-seismic shear stress drop, both repeated over multiple seismic cycles. It is capable
 120 of not only realistically predicting the spatial-temporal evolution of seismicity but also generating
 121 a synthetic event catalog that allows for the exploration of the role of model physics as well as
 122 their connections to observations. Details are described below.

123 2. Methodology

124 2.1 Sources of Fracture Stress

125 Given a location \underline{x} and a time t over $\Omega \times [0, T]$ where Ω is the domain of interest and $[0, T]$ is the
 126 time interval, the effective stress tensor $\sigma'(\underline{x}, t)$ in a fluid-saturated poroelastic medium
 127 undergoing seismicity can be decomposed as the following:

$$128 \quad \sigma'(\underline{x}, t) = \sigma_0'(\underline{x}) + \sigma_p'(\underline{x}, t) + \sum_j \sigma_s'(\underline{x}, t_j^* + \delta t_j) \quad (3)$$

129 where $\sigma_0'(\underline{x})$ is the initial in-situ effective stress tensor, $\sigma_p'(\underline{x}, t)$ is the fluid perturbation-induced
 130 effective stress tensor relative to $\sigma_0'(\underline{x})$ (same as in equation (1) and (2)) and $\sigma_s'(\underline{x}, t_j^* + \delta t_j)$ is the slip-
 131 induced change in the effective stress tensor over the j^{th} co-seismic interval where t_j^* and δt_j are
 132 the associated beginning time and duration. $\sigma_0'(\underline{x})$ is time-independent and in principle permits
 133 heterogeneity; $\text{tr}(\sigma_p'(\underline{x}, t))$ (the diagonal sum) is fully coupled with the negative gradient of the
 134 associated fluid pressure $p(\underline{x}, t)$ and the two must be solved for simultaneously; in $\sigma_s'(\underline{x}, t_j^* + \delta t_j)$,

135 $\delta t_j \ll t$ such that relative to the time scale relevant to a complete seismic cycle, $\delta t_j \approx 0$ and $\boldsymbol{\sigma}'_s(\underline{x}, t_j^* + \delta t_j)$
 136 can be approximated as a static quantity:

$$137 \quad \boldsymbol{\sigma}'_s(\underline{x}, t_j^* + \delta t_j) \approx \boldsymbol{\sigma}'_{sj}(\underline{x}) \quad (4)$$

138 The stress on a fracture intersecting \underline{x} and at t is given by:

$$139 \quad \sigma'_n(\underline{x}, t) = \boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n} \quad (5)$$

$$140 \quad \tau(\underline{x}, t) = \left[\|\boldsymbol{\sigma}'(\underline{x}, t) \cdot \underline{n}\|^2 - (\boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n})^2 \right]^{\frac{1}{2}} \quad (6)$$

$$141 \quad CFF(\underline{x}, t) = \tau(\underline{x}, t) - \mu_s \sigma'_n(\underline{x}, t) \quad (7)$$

142 In equations (5) - (7), $\sigma'_n(\underline{x}, t)$, $\tau(\underline{x}, t)$ and $CFF(\underline{x}, t)$ are the effective normal stress, the shear stress
 143 and the Coulomb Failure Function (i.e., the Coulomb stress, ≤ 0) on the fracture of interest, and
 144 \underline{n} and μ_s are the unit normal vector and the static frictional coefficient of the fracture.

145 Equations (3) - (7) show that $\boldsymbol{\sigma}'_p(\underline{x}, t)$ and $\boldsymbol{\sigma}'_{sj}(\underline{x})$ are the two primary sources driving changes in
 146 the stress on a fracture. In general, for $\sigma'_n(\underline{x}, t)$, $\boldsymbol{\sigma}'_p(\underline{x}, t)$ can either increase or decrease it whereas
 147 $\boldsymbol{\sigma}'_{sj}(\underline{x})$ causes minor variations to it except near fracture tips; for $\tau(\underline{x}, t)$, $\boldsymbol{\sigma}'_p(\underline{x}, t)$ compensates, albeit
 148 possibly negatively depending on the configuration, the fracture for the shear stress loss resulting
 149 from $\boldsymbol{\sigma}'_{sj}(\underline{x})$.

150 To model induced seismicity in a fractured poroelastic medium, one must go through equations
 151 (3)-(7) and check $CFF(\underline{x}, t)$ against 0 to determine if seismicity occurs; if yes, the effective stress
 152 tensor needs be updated ($j=j+1$) for the next seismic cycle. This process needs to be repeated
 153 iteratively for all fractures at all time steps. For a given fracture that has undergone at least one
 154 seismic cycle, equations (3)-(6) yield a complete stress path associated with this cycle in the
 155 fracture effective normal stress-shear stress space. CFF remains constrained below 0 throughout
 156 the process.

157 The major computational cost then arises from the calculation of $\boldsymbol{\sigma}'_p(\underline{x}, t)$ over the quasi-static
 158 inter-seismic (i.e., pre-seismic and post-seismic) phase and $\boldsymbol{\sigma}'_s(\underline{x}, t_j^* + \delta t_j)$ (or $\boldsymbol{\sigma}'_{sj}(\underline{x})$) over the co-
 159 seismic phase. Notice these two quantities can be solved for separately if assuming a linearly
 160 elastic solid irrespective of the fluid which can behave either linearly or nonlinearly. The former
 161 can be sufficiently addressed using our [Jin & Zoback \(2017\)](#) computational model; for a detailed
 162 description on the latter process resulting from a fully dynamic and spontaneously rupturing
 163 seismic event while considering the effect of $\boldsymbol{\sigma}'_p(\underline{x}, t)$, we refer the reader to [Jin & Zoback \(2018a,](#)
 164 [2018b\)](#). In this study, we are concerned only with the inter-seismic evolution of induced seismicity
 165 but not the co-seismic dynamic changes (i.e., wave propagation), therefore, instead of solving for
 166 both $\boldsymbol{\sigma}'_p(\underline{x}, t)$ and $\boldsymbol{\sigma}'_s(\underline{x}, t_j^* + \delta t_j)$ for updating the fracture stress, we will instead solve only for $\boldsymbol{\sigma}'_p(\underline{x},$

167 t) and then insert it into a stress updating algorithm to indirectly account for seismicity-induced
 168 stress changes on a fracture. The details of these two steps are given in the following two sections.

169 2.2 Fracture-Poro-Mechanical Modeling

170 The objective here is to calculate $\sigma_p'(\underline{x}, t)$ as an input for updating the fracture stress. As mentioned
 171 above, $\sigma_p'(\underline{x}, t)$ must be solved for together with the associated fluid pressure $p(\underline{x}, t)$ in a fully
 172 coupled manner. Aside from the full coupling itself, another major challenge lies in that both are
 173 a function of the arbitrary network of pre-existing fractures spanning over a wide range of scales.
 174 While accounting for all fractures is probably computationally intractable, the subset of fractures
 175 at a scale comparable to the size of the model domain of interest must be deterministically
 176 resolved, as they have amply been demonstrated to have a first-order control of the modeling
 177 outcome (Berkowitz, 2002; Vujevic' et al., 2014; Hirthe & Graf, 2015; Hardebol et al., 2015). We
 178 hereinafter refer to these fractures as the *large-scale deterministic fractures (LSDF)*, which can be
 179 expressed as:

$$180 \quad LSDF = \bigcup_I^N F_I \quad (8)$$

181 where F_I is the I^{th} large-scale fracture and N is the total number of large-scale fractures.

182 We will also refer to the step solving for the fully coupled $\sigma_p'(\underline{x}, t)$ and $p(\underline{x}, t)$ by considering the
 183 *LSDF* as the *fracture-poro-mechanical modeling*. Within the framework of Biot's theory of
 184 poroelasticity, Jin & Zoback (2017) formulated the problem of fluid-solid fully coupled quasi-
 185 static poromechanics of an arbitrarily fractured and deformable porous solid saturated with a
 186 single-phase compressible fluid. Several key governing equations together with a brief
 187 description can be found in appendix A.1. This model is adopted here. To investigate the effect
 188 of the *LSDF* and the effect of poroelastic coupling on seismicity, we construct the following three
 189 progressive cases, each physically more representative than the previous, see Table 1.

190 **Table 1.** Three progressive cases

Case	Governing equations	Description
1	equations (A7), (A3)	Fluid diffusion in a porous medium
2	equations (A1), (A3), (A4)	Fluid diffusion in a fractured porous medium (adding the effect of the <i>LSDF</i> to case 1)
3	equations (A1)-(A5)	Coupled fluid diffusion and solid stressing in a fractured poroelastic medium (adding the effect of poroelastic coupling to case 2)

191 Case 1 states a standard fluid-diffusion problem in a fluid-saturated porous medium; case 2 is
 192 similar to case 1 except for the addition of the *LSDF* contributing to the fluid-diffusion; case 3
 193 describes an otherwise complete poroelastic problem in a fractured medium except for the
 194 exclusion of equation (A6), which can render the modeled stress highly heterogeneous
 195 characterized by concentration, compartmentalization and apparent discontinuities (Jin &

196 [Zoback, 2017](#)). To single out the effect of poroelastic coupling, equation (A6) is not considered in
 197 this study such that meaningful comparisons can be made between cases 2 and 3.

198 In seeking for a numerical solution, [Jin & Zoback \(2017\)](#) developed a hybrid-dimensional two-
 199 field mixed finite element method for efficient space discretization while preserving the
 200 distribution of a given set of deterministic fractures; the solution of the fully coupled semi-
 201 discrete system is advanced in time in a fully coupled manner (as opposed to a sequentially
 202 coupled manner) following a fully implicit (backward Euler) finite difference scheme; within each
 203 time step, the resulting nonlinear and fully discrete equation is solved using a Newton-Raphson
 204 solver. This technique is adopted for case 3. For case 1, the discretization is done in space using a
 205 standard Galekin finite element method and in time using a backward Euler scheme; no
 206 linearization is needed. For case 2, the discretization and linearization procedures resemble those
 207 in case 3 except for the use of a single-field interpolation scheme. To illustrate the differences, for
 208 cases 1-3, we give their respective semi-discrete form of the governing laws shown in Table 1 after
 209 space discretization. They read:

$$210 \quad \tilde{\mathbf{M}}_m \dot{\hat{\zeta}}_m + \mathbf{K}_m \hat{\zeta}_m = \underline{F}_1 \quad (9)$$

$$211 \quad \left(\mathbf{M}_m + \sum_I^N \mathbf{M}_{F_I}(\hat{\zeta}_{F_I}) \right) \dot{\hat{\zeta}}_m + \left(\mathbf{K}_m + \sum_I^N \mathbf{K}_{F_I}(\hat{\zeta}_{F_I}) - \sum_J^{N_I} \mathbf{K}_{mF_J}(\hat{\zeta}_{F_J}) + \sum_K^{N_{II}} \mathbf{K}_{F_K m} \right) \hat{\zeta}_m = \underline{F}_2 \quad (10)$$

$$212 \quad \begin{bmatrix} \mathbf{M}_m + \sum_I^N \mathbf{M}_{F_I}(\hat{\zeta}_{F_I}) & -\mathbf{C}^T \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{Bmatrix} \dot{\hat{\zeta}}_m \\ \underline{d}_m \end{Bmatrix} + \begin{bmatrix} \mathbf{K}_m + \sum_I^N \mathbf{K}_{F_I}(\hat{\zeta}_{F_I}) - \sum_J^{N_I} \mathbf{K}_{mF_J}(\hat{\zeta}_{F_J}) + \sum_K^{N_{II}} \mathbf{K}_{F_K m} & \mathbf{0} \\ \mathbf{C} & \mathbf{G}_m \end{bmatrix} \begin{Bmatrix} \hat{\zeta}_m \\ \underline{d}_m \end{Bmatrix} = \begin{Bmatrix} \underline{F}_3 \\ \underline{Y} \end{Bmatrix} \quad (11)$$

213 where $\tilde{\mathbf{M}}$ and \mathbf{M} are the fluid storage capacity matrix without and with the presence of fractures,
 214 \mathbf{K} is the hydraulic conductivity/transferability matrix, \mathbf{G} is the stiffness matrix, \mathbf{C} is the coupling
 215 matrix, \underline{F}_1 , \underline{F}_2 and \underline{F}_3 , which take different forms, are the external nodal mass for cases 1-3, \underline{Y} is the
 216 external nodal force, $\hat{\zeta}$ and \underline{d} are the nodal fluid pressure and solid displacement vectors,
 217 subscripts ' m ' and ' F ' indicate quantities associated the porous matrix and the *LSDF*, subscripts
 218 ' mF ' and ' Fm ' indicate matrix-to-fracture and fracture-to-matrix interactions, I and N are the same
 219 as in equation (8), and J and K are the index of a fracture within the so-called *type-I* and *type-II*
 220 subsets and N_I , N_{II} are the respective number of fractures, $N_I + N_{II} = N$. The detailed expressions of
 221 the above matrices and vectors can be found in [Jin & Zoback \(2017\)](#). $\tilde{\mathbf{M}}$, \underline{F}_1 , \underline{F}_2 can be obtained by
 222 removing the fracture effect and/or the coupling effect from their respective counterparts.

223 Solving the respective fully discrete form of equations (9)-(11) allows us to calculate $\sigma_p'(\underline{x}, t)$ as an
 224 input for the subsequent seismicity modelling. For cases 1 and 2, $\sigma_p'(\underline{x}, t)$ is in a standard tensor
 225 notation and it reads, following a compressive stress/pressure positive notation as is used in this
 226 study, the following:

$$227 \quad \sigma_p'(\underline{x}, t) = -\alpha \hat{\zeta}(\underline{x}, t) \mathbf{1} \quad (12)$$

228 where α is the Biot-Willis coefficient, and $\mathbf{1}$ is the Kronecker delta (see also appendix A.1).

229 and for case 3, $\sigma_p'(\underline{x}, t)$ is in the so-called Voigt notation and it is calculated from $\underline{d}(\underline{x}, t)$ as:

$$230 \quad \sigma_p'(\underline{x}, t) = \mathbf{DB} \underline{d}(\underline{x}, t) \quad (13)$$

231 where \mathbf{B} is standard displacement-strain transformation matrix and \mathbf{D} is the elastic stiffness
232 matrix.

233 2.3 Seismicity Modeling

234 The main task here is to update the stress on fractures resulting from $\sigma_p'(\underline{x}, t)$ and, if seismicity
235 occurs, from $\sigma_{sj}'(\underline{x})$. To do so, we consider a dual network of fractures, hereinafter referred to as
236 the *DF*. It consists of two complementary subsets A and B, where the subset A, denoted as \widetilde{LSDF} ,
237 is an approximation to the *LSDF* using a series of discrete fractures and the subset B is a stochastic
238 representation of small-scale fractures typically found in the surrounding hosting rock and is
239 hereinafter referred to as the *SSSF*. The above description can be summarized as:

$$240 \quad DF = \widetilde{LSDF} \cup SSSF = \left(\bigcup_a^{n_a} f_a \right) \cup \left(\bigcup_b^{n_b} f_b \right) \quad (14)$$

241 where f_a is the a^{th} fracture in the subset A, f_b is the b^{th} fracture in the subset B, and n_a and n_b are the
242 respective total number of fractures.

243 The *DF* given by equation (14) is used for the seismicity modeling. For the reasons explained in
244 section 2.1, we will update the fracture stress first using only $\sigma_p'(\underline{x}, t)$ and then correct for changes
245 due to $\sigma_{sj}'(\underline{x})$. To do so, we make three assumptions. First, fracture slip causes negligible changes
246 in the effective normal stress on the fracture. This is an acceptable assumption for the area on the
247 fracture not immediately near its tips. From equation (5), this reads:

$$248 \quad \sigma_{sj}'(\underline{x}) : \underline{n} \otimes \underline{n} \approx 0 \quad (15)$$

249 Equation (15) implies that,

$$250 \quad \sigma'(\underline{x}, t) : \underline{n} \otimes \underline{n} \approx \left(\sigma_0'(\underline{x}) + \sigma_p'(\underline{x}, t) \right) : \underline{n} \otimes \underline{n} \quad (16)$$

251 On the other hand, the shear stress on the fracture stated by equation (6), when accounting for
252 the effect of $\sigma_{sj}'(\underline{x})$, can be re-written in the following form:

$$253 \quad \sqrt{\|\sigma'(\underline{x}, t) \cdot \underline{n}\|^2 - (\sigma'(\underline{x}, t) : \underline{n} \otimes \underline{n})^2} = \sqrt{\|(\sigma_0'(\underline{x}) + \sigma_p'(\underline{x}, t)) \cdot \underline{n}\|^2 - ((\sigma_0'(\underline{x}) + \sigma_p'(\underline{x}, t)) : \underline{n} \otimes \underline{n})^2} - \sum_j \Delta \tau_j \quad (17)$$

254 Here, $\Delta\tau_j$ is the shear stress drop on the fracture due to the j^{th} co-seismic interval. Our second
 255 assumption reads:

$$256 \quad \Delta\tau_j = r\Delta\tau_{j\max} \quad (18)$$

257 Here,

$$258 \quad \Delta\tau_{j\max} = (\mu_s - \mu_d)(\boldsymbol{\sigma}'_0(\underline{x}) + \boldsymbol{\sigma}'_p(\underline{x}, t_j^*)): \underline{n} \otimes \underline{n} \quad (19)$$

259 In equations (18) and (19), μ_d is the dynamic frictional coefficient of the fracture as is typically
 260 used in a slip-weakening law (Andrews, 1976), $\Delta\tau_{j\max}$ is the maximum likely shear stress drop and
 261 r is a stochastic parameter between 0 and 1 to account for the potential non-full degree of shear
 262 stress drop (see also Verdon et al., 2015). In this study, we let the probability density function of
 263 r follow a uniform distribution. Equations (18) and (19) state that (1) the new shear stress on a
 264 fracture due to seismicity is constrained above a lower bound defined by the residual frictional
 265 strength of the fracture and (2), more importantly, the maximum likely shear stress drop is
 266 dictated by the evolution of the poroelastic stress. This is different from directly prescribing the
 267 shear stress drop (e.g., Izadi & Elsworth, 2014).

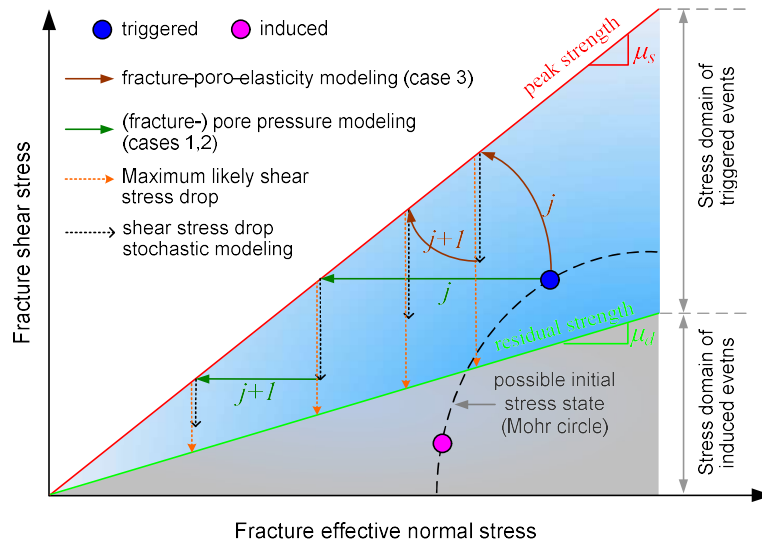
268 Based on the above two assumptions, we propose the following incremental fracture stress
 269 updating algorithm, as is shown in List 1.

270 **List 1.** Incremental fracture stress updating algorithm for the seismicity modeling

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for fracture  $f_i$  % within the DF, equation (14)
  for time step  $t_k$ 
    get  $\boldsymbol{\sigma}'_p(f_i, t_k)$ ,  $\boldsymbol{\sigma}'_p(f_i, t_{k-1})$  % section 2.2
    get  $\boldsymbol{\sigma}'_n(f_i, t_{k-1})$ ,  $\tau(f_i, t_{k-1})$ ,  $CFF(f_i, t_{k-1})$  from  $t_{k-1}$ 
    predict  $\tilde{\boldsymbol{\sigma}}'_n(f_i, t_k)$ ,  $\tilde{\tau}(f_i, t_k)$ ,  $\tilde{CFF}(f_i, t_k)$  from  $\boldsymbol{\sigma}'(f_i, t_k) = \boldsymbol{\sigma}'_p(f_i, t_k) + \boldsymbol{\sigma}'_0(f_i)$  % equations (5)-(7)
    % incremental poroelastic stress compensation on the fracture (inter-seismic)
     $\boldsymbol{\sigma}'_n(f_i, t_k) = \boldsymbol{\sigma}'_n(f_i, t_{k-1}) + (\tilde{\boldsymbol{\sigma}}'_n(f_i, t_k) - \boldsymbol{\sigma}'_n(f_i, t_{k-1}))$ 
     $\tau(f_i, t_k) = \tau(f_i, t_{k-1}) + (\tilde{\tau}(f_i, t_k) - \tau(f_i, t_{k-1}))$ 
     $CFF(f_i, t_k) = CFF(f_i, t_{k-1}) + (\tilde{CFF}(f_i, t_k) - CFF(f_i, t_{k-1}))$ 
    % correction for seismicity-induced shear stress drop on the fracture, if any (co-seismic)
    if  $CFF(f_i, t_k) \geq 0$ 
       $\Delta\tau(f_i, t_k) = r(\mu_s - \mu_d)\boldsymbol{\sigma}'_n(f_i, t_k)$  % equations (18), (19)
       $\tau(f_i, t_k) = \mu_s\boldsymbol{\sigma}'_n(f_i, t_k) - \Delta\tau(f_i, t_k)$  % update the fracture shear stress
       $CFF(f_i, t_k) = \tau(f_i, t_k) - \mu_s\boldsymbol{\sigma}'_n(f_i, t_k) = -\Delta\tau(f_i, t_k)$  % update the fracture CFF
      nos=nos+1 % number of seismic cycle
      record and calculate seismic source parameters % appendix A.2
    end
  end
end
end
```

271 In List 1, the fracture f_i needs to be associated with a stress tensor $\sigma_p'(f_i, t)$. Since f_i can intersect
 272 multiple elements (or Gauss integration points if using high-order finite elements), as the third
 273 assumption, we will use only the stress tensor from the element nearest to its center. The above
 274 algorithm automatically considers multiple seismic cycles and therefore is naturally capable of
 275 modeling repeating seismic events. We are now at a place to model fluid-induced seismicity in a
 276 fluid-saturated and fractured poroelastic medium, see Figure 1 for a schematic illustration. A
 277 complete seismicity catalog containing information on, e.g., the event origin time t_0 , the location
 278 \underline{x} , the shear stress drop $\Delta\tau$, the seismic moment M_0 , the moment magnitude M_w , the fracture
 279 length L , the initial Coulomb stress CFF_0 and the permeability change k^* , can be assembled.
 280 Several key equations for calculating these parameters are outlined in appendix A.2. Notice in
 281 equation (A8), a unit length along the third dimension is used. Additionally, the definitions of a
 282 triggered event and an induced event are given in appendix A.3 and they will be used later for
 283 classifying the modeled events.



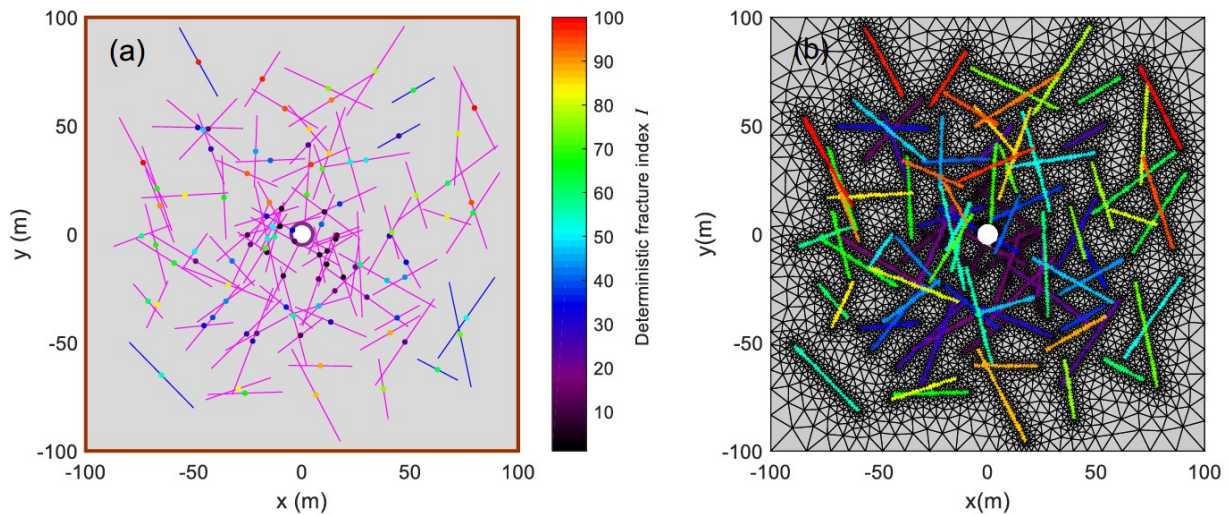
284

285 **Figure 1.** Schematic illustration (not to scale) of the hydromechanical-stochastic modeling of fluid-induced seismicity
 286 in a fluid-filled and fractured poroelastic medium plotted in the fracture effective normal stress-shear stress space.
 287 Based on the peak and residual frictional strengths of a fracture, as are depicted by the red and green lines, the space
 288 is divided into two parts defining the initial stress domain for a triggered event and an induced event, respectively.
 289 The blue and magenta dots are given as two examples, both located on a Mohr circle defined by $\sigma_0'(\underline{x})$. For either type
 290 of event, the seismicity modeling consists of two steps. The first step is to predict the fracture stress by compensating
 291 the fracture with $\sigma_p'(\underline{x}, t)$, which requires the pore pressure modeling for case 1, the fracture-pore pressure modeling
 292 for case 2 and the fracture-poro-mechanical modeling for case 3, the latter two resolving the *LSDF*. The outcome of this
 293 step is indicated by the green and red arrows. The second step, which does not vary among the three cases, is to
 294 stochastically model $\Delta\tau$ on the fracture as indicated by the dashed arrows to approximately account for the effect of
 295 $\sigma_{sj}'(\underline{x})$; meanwhile, $\Delta\tau$ remains constrained on a range $\Delta\tau_{\max}$ as is indicated by the yellow arrows and it is computed
 296 from $\sigma_p'(\underline{x}, t)$ in conjunction with $\sigma_0'(\underline{x})$. Two consecutive seismic cycles j and $j+1$ are shown, and the complete stress
 297 updating scheme is given in List 1.

298 3. Model Set-up

299 3.1 Step 1 for Fracture-Poro-Mechanical Modeling

300 As a numerical example, we construct a $200 \text{ m} \times 200 \text{ m}$ 2D domain representing a fracture-hosting
 301 porous rock. For cases 2 and 3, we resolve a *LSDF* with 100 members with their length ranging
 302 from 20 m to 50 m, and orientation, from 0 to 360° , see Figure 2a. The model domain is then
 303 discretized in space, see Figure 2b, to arrive at the semi-discrete forms given by equations (10)
 304 and (11). For case 1, no fracture is present; nevertheless, for meaningful comparisons, the same
 305 mesh is used for arriving at equation (9). For cases 2 and 3, the nominal model parameters,
 306 including the hydraulic and mechanical properties, the coupling coefficient (i.e., the Biot-Willis
 307 coefficient α), the fluid and solid boundary values and the time-stepping parameter are identical
 308 to those in [Jin & Zoback \(2017\)](#). Of our particular interest is the hydraulic diffusivity of the hosting
 309 rock and the *LSDF* in cases 2 and 3, which are $9.95 \times 10^{-4} \text{ m}^2/\text{s}$ and $6.64 \text{ m}^2/\text{s}$, respectively. For case
 310 1, the parameters are also the same except for the permeability of the hosting rock, which is 23
 311 mD, leading to a hydraulic diffusivity $D_h = 0.03 \text{ m}^2/\text{s}$. The rationale behind the choice of this
 312 number is explained in section 4.3. For all cases, a plane strain assumption is made.



313

314 **Figure 2.** (a) The model domain for cases 2 and 3. It consists of a *LSDF* embedded within an otherwise porous matrix.
 315 Each dot represents the center of the associated fracture, and the color suggests the index I (see equation (8)). Magenta
 316 and blue lines represent interconnected and isolated fractures in relation to the fluid boundaries (or the external fluid
 317 source) as are depicted by the purple circle and the dark red lines; they require different treatment of the mass exchange
 318 with the surrounding matrix. For case 1, the *LSDF* is removed from the domain. (b) Conforming space discretization
 319 of the fractured domain and the resulting unstructured triangular finite elements used in arriving at the semi-discrete
 320 forms. For case 3, all elements represent the porous hosting rock; the grey elements are the standard two-field (fluid
 321 pressure, solid displacement) mixed FE elements; the colored elements are 'hybrid' mixed elements in which at least
 322 one edge is also used as a lower-dimensional element to discretize the fractures; the color of an element indicates the
 323 I^{th} deterministic fracture with which it is associated. If a hybrid element conforms to multiple fractures, only the largest
 324 I is used for coloring. For case 2, the elements have similar meanings as in case 3 except they are no longer mixed (i.e.,

325 only used for interpolating the fluid pressure). For case 1, all elements are the standard single-field elements. Adapted
 326 from [Jin & Zoback \(2017\)](#).

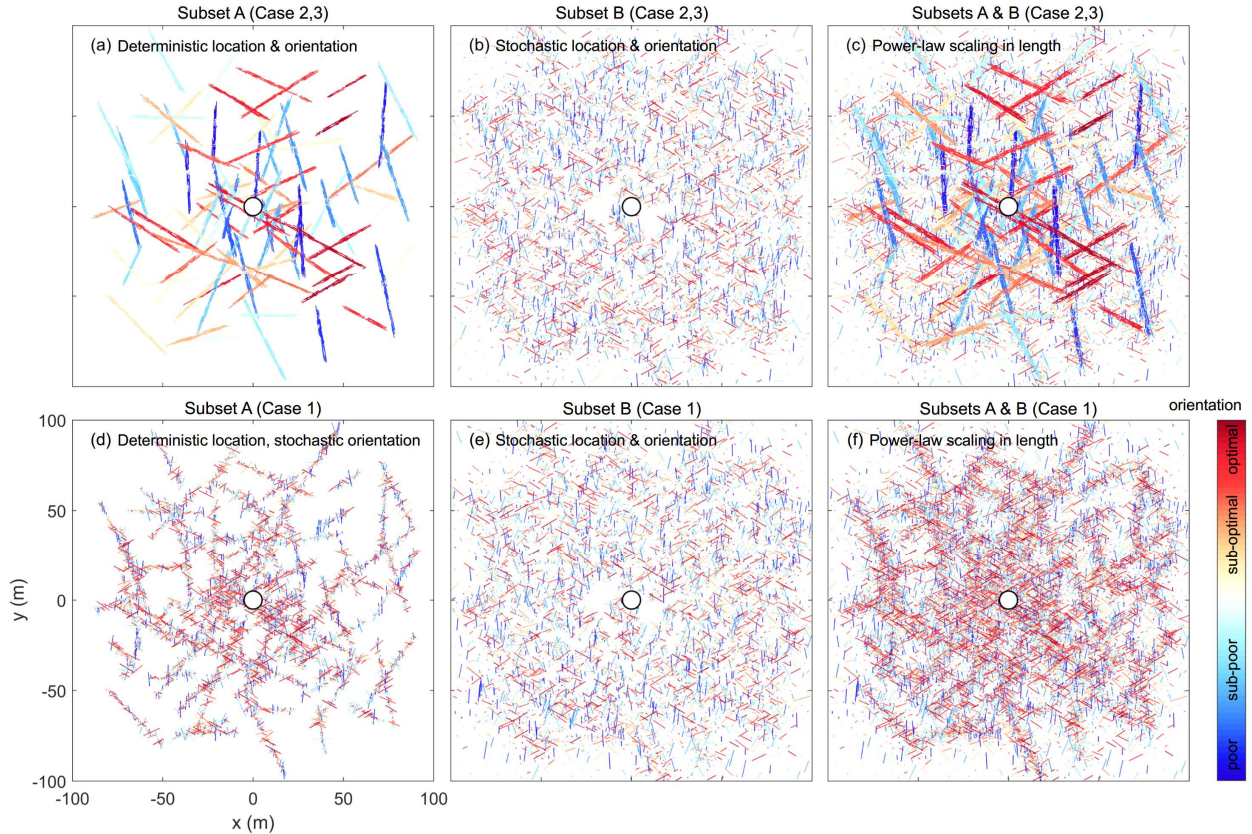
327 **3.2 Step 2 for Seismicity Modeling**

328 The next step is to set up the *DF* for the seismicity modeling, see Figure 3, and this involves two
 329 sub-steps, see equation (14). Take cases 2 and 3 for example, the first sub-step is to approximate
 330 the *LSDF* shown in Figure 2a with a \widetilde{LSDF} as the subset A, see Figure 3a, by honoring the original
 331 locations and orientations. The second sub-step is to construct a *SSSF* in the hosting rock as the
 332 subset B, see Figure 3b; in principle, this can be derived from a statistical model if data is available.
 333 In this example, for simplicity and this does not change the generality of our method, we assign
 334 only one fracture to each element center shown in Figure 2b as the modeling of fracture locations;
 335 for subset A, the orientations are the same as the associated deterministic fracture; for subset B,
 336 the orientations are randomly generated following a uniform distribution on $[0, 360^\circ]$. Subsets A
 337 and B constitute the complete *DF* for the seismicity modeling, see Figure 3c. In this process, the
 338 fracture length is generated by obeying the following well-established scaling relation, which
 339 states that the number of fractures within a natural fracture system scales with the fracture length
 340 according to a power law (e.g., [Bonnet et al., 2001](#); [Johri & Zoback, 2014](#); [Jin & Zoback, 2015b](#)):

$$341 \quad N = CL^{-D} \quad (20)$$

342 where N is the number of fractures of length L , C is a site-specific constant and D is the so-called
 343 fractal dimension and a typical value is between 1 and 2. In this study, $C=1.6861$ and $D=1.0015$
 344 (further details in section 4.5.2).

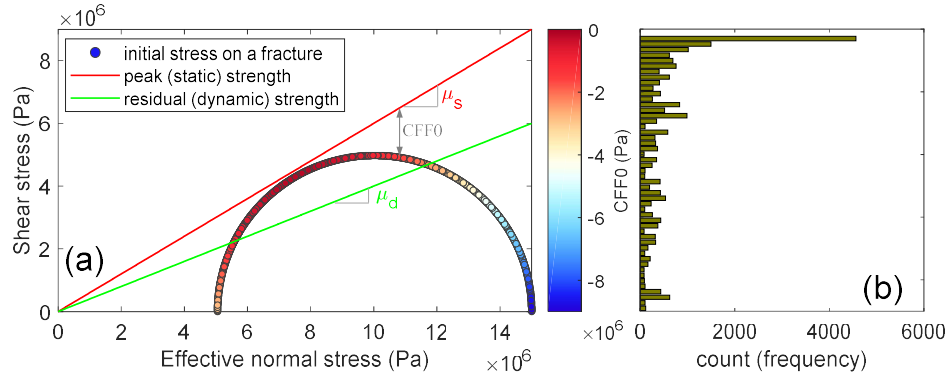
345 The generated L is randomly distributed to all fractures shown in Figure 3c. For case 1, the above
 346 two sub-steps are repeated, however, in the first sub-step, the fracture orientations no longer
 347 honor the original ones. The resulting two subsets of fractures are shown in Figures 3d and 3e
 348 and the complete *DF* is shown in Figures 3f.



349

350 **Figure 3.** The dual fracture network (*DF*, equation (14)) consisting of 12800 fractures used for the seismicity modeling,
 351 shown together with its two subsets A and B. (a)-(c) Cases 2 and 3, and (d)-(f) case 1. Figures 3(a) shows the subset A
 352 with deterministic fracture locations and orientations as an approximation to the *LSDF* shown in Figure 2a; Figure 3(b)
 353 shows the subset B as a stochastic realization of fractures in the hosting rock; Figure 3(c) shows the hybrid deterministic-
 354 stochastic *DF* in which the fracture length distribution follows a realistic power-law scaling relation. Figures 3d-3f
 355 resemble Figures 3a-3c except for the stochastic fracture orientation in Figure 3d. In all figures, the warm color indicates
 356 the fracture is favorably oriented with respect to σ'_0 whereas the cool color indicates otherwise.

357 For all cases, the same parameters are used: $\mu_s=0.6$, $\mu_d=0.4$ and $\sigma'_0 = [15\ 0; 0\ 5.05]$ MPa. Under the
 358 given σ'_0 , the initial effective normal stress and shear stress on all fractures are calculated, forming
 359 a Mohr circle, see Figure 4a, where the color indicates the associated initial Coulomb stress CFF_0 .
 360 The same color scale is used in Figure 3 to show the susceptibility of a fracture to slip with respect
 361 to σ'_0 . The peak and residual frictional strengths, calculated from μ_s and μ_d , respectively, are also
 362 shown in Figure 4a. Figure 4a also indicates that the domain is nearly critically stressed. Figure
 363 4b shows the distribution of CFF_0 , which is no longer uniform, despite a uniform distribution in
 364 the fracture orientation.



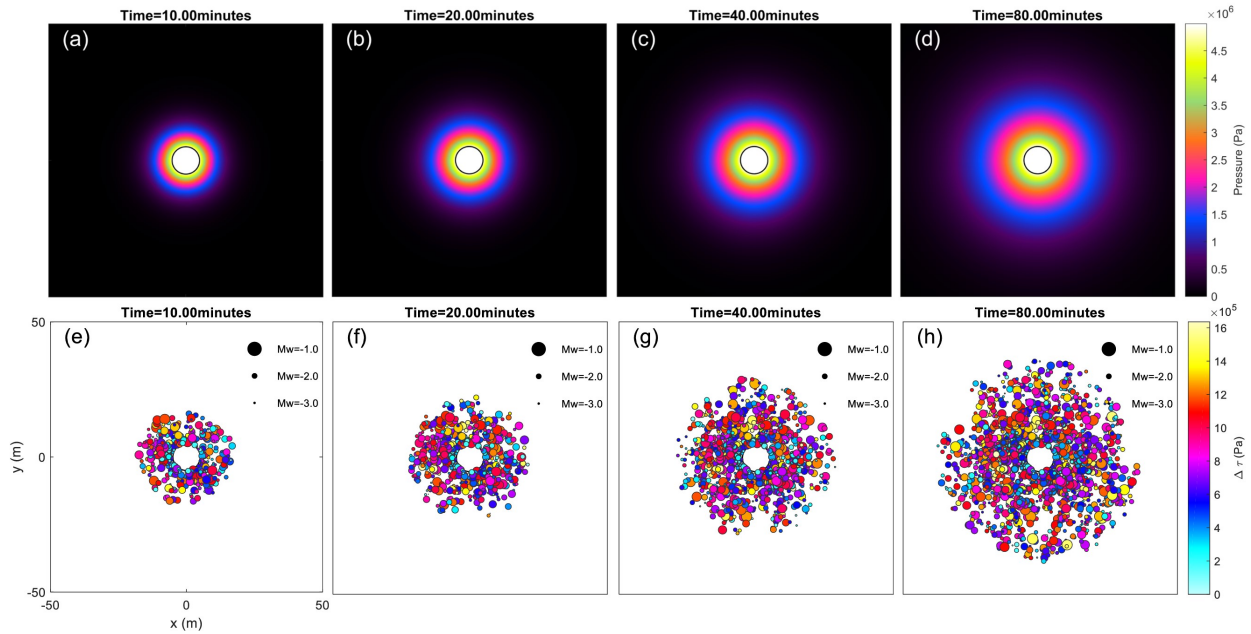
365

366 **Figure 4.** The initial stress used for the seismicity modeling. In Figure 4a, the initial effective normal stress and shear
 367 stress on all fractures (Figures 3c, 3f) are plotted. Because the fractures uniformly sample all likely orientations, a Mohr
 368 circle is formed. The color indicates CFF_0 . The peak and the residual strengths are also shown for reference (same as
 369 those in Figure 1). The geometric meaning of CFF_0 is shown for one fracture as an example. Figure 4b is the histogram
 370 of CFF_0 .

371 4. Results

372 4.1 Fluid Pressure, Poroelastic Stress and Seismicity

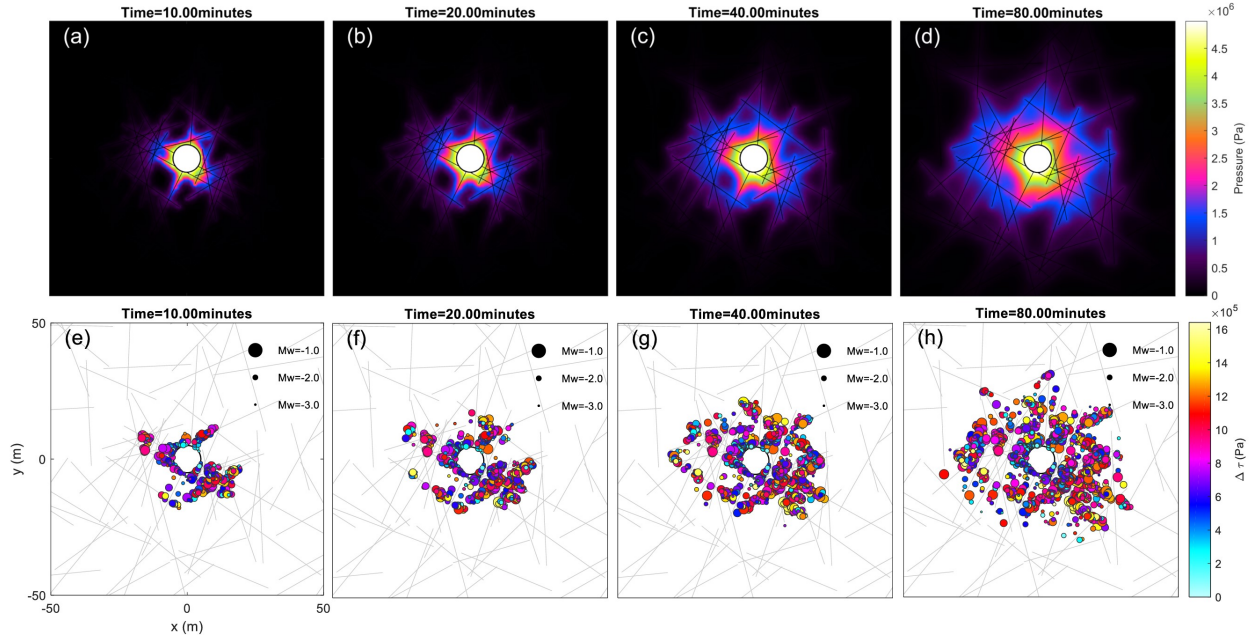
373 Figures 5 shows four snapshots of the distribution of p (Figures 5a-5d) and the associated
 374 seismicity (Figures 5e-5h) for case 1. p diffuses radially outward with a smooth and circular
 375 *overpressure front* (Shapiro et al., 1997), leading to a similar radially progressive distribution in the
 376 seismicity. However, this case has two differences from the diffusion-only statistical class of
 377 models (Shapiro et al., 2005). First, instead of using a predefined critical pore pressure value
 378 following a uniform distribution, we use predefined fractures with uniformly distributed
 379 orientations. Because the orientation needs to be transformed through equations (5)-(7), the
 380 resulting CFF_0 and the equivalent critical pore pressure, $\mu_s \times CFF_0$, follow a radically different
 381 distribution (Figure 4b), therefore, the seismicity distribution here is indeed different. Second, the
 382 use of predefined fractures further allows for the calculation of the seismic source parameters,
 383 including M_w and $\Delta\tau$ as are also shown in Figures 5e-5h.



384

385 **Figure 5.** Snapshots of the spatial distribution of the modeled quantities at four time steps for case 1. (a)-(d) The fluid
 386 overpressure p and (e)-(f) the seismicity sized with M_w and colored with $\Delta\tau$. Only the $100\text{ m} \times 100\text{ m}$ area around the center is shown. The time is indicated at the top of each plot.
 387

388 Figure 6 shows the same snapshots of the same two quantities for case 2. Here, the effect of the
 389 *LSDF* (Figures 2a) becomes evident. First, p increases primarily along those fractures and
 390 secondarily within the hosting rock, leading to a highly non-smooth overpressure front (Figures
 391 6a-6d). Compared to case 1, p here has a lower magnitude due to the *LSDF* diverting the fluid
 392 from the injector. Such a distribution leads to the clear clustering of the seismicity (Figures 6e-6h).
 393 Second, the distribution of the seismicity is not coincident with that of p ; instead, the clustering
 394 occurs only along certain fractures. By further examining the fracture orientation (Figure 3a), we
 395 observe that the seismicity is clustered near those that are well-oriented or sub-well-oriented with
 396 respect to σ_0' and meanwhile subjected to sufficient p .

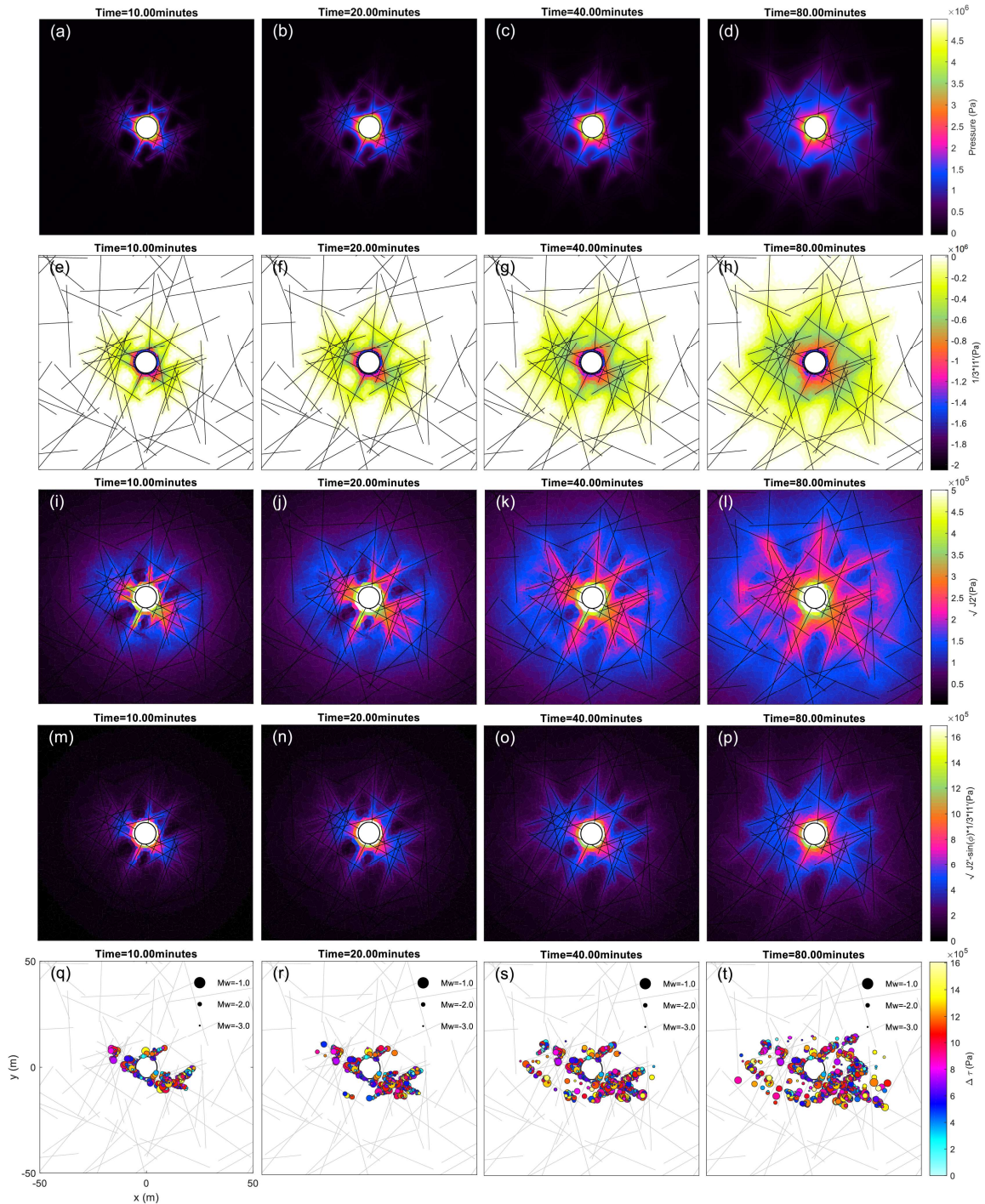


397

398 **Figure 6.** Same as Figure 5, but for case 2. The *LSDF* is shown in the background.

399 Figure 7 shows the results for case 3. The distribution of p (Figures 7a-7d) and the seismicity
 400 (Figures 7q-7t) are shown together with three other quantities, including (1) the first poroelastic
 401 stress invariant $I_1'/3$ (Figures 7e-7h), (2) the second deviatoric poroelastic stress invariant $\sqrt{J_2}'$
 402 (Figures 7i-7l) and (3) the excess poroelastic shear stress invariant $\sqrt{J_2}' - \sin(\phi)I_1'/3$ (Figures 7m-
 403 7p). All three quantities are calculated from σ_p' under plane strain (appendix A.4). Here,
 404 compared to case 2, the effect of poroelastic coupling is shown. First, the distribution of p is visibly
 405 different; the front of p is suppressed and the magnitude becomes lower. Second, the poroelastic
 406 normal stress $I_1'/3$ develops, dominantly being extensional near the fluid-penetrated fractures;
 407 however, the magnitude of $I_1'/3$ is lower than that of its counterpart from the decoupled
 408 approach which predicts $I_1'/3 \approx -0.67p$ (see appendix A.4) using p from case 2. Third, a
 409 pronounced shear stress field $\sqrt{J_2}'$ also develops and influences an even larger portion of the
 410 domain beyond the region subjected to $I_1'/3$ and p , whereas its counterpart in case 2 is 0. Fourth,
 411 as a result, the distribution of $\sqrt{J_2}' - \sin(\phi)I_1'/3$ is different than its counterpart in case 2, which is
 412 $0.34p$ (appendix A.4). Specifically, within the p front (delineated in case 2, not case 3), the
 413 magnitude is lower; outside the p front, it still prevails. This observation has important
 414 implications: within the fluid-pressurized region (i.e., in the near field), poroelastic coupling
 415 tends to inhibit seismicity; outside this region (i.e., in the far field), it can either remotely promote
 416 or inhibit seismicity depending on the fracture orientation. The reason behind the former is that
 417 a fracture within the fluid-pressurized region acts as preferred flow channel, leading to a
 418 discontinuous equivalent body force ($-\alpha\nabla p$) acting away from it on the two sides, and therefore,
 419 inhibiting shear mode failure by unclamping it (Chang & Segall, 2016a; Jin & Zoback, 2016b; Jin
 420 & Zoback, 2017). This is reflected by the modeled seismicity. Like in case 2, here the seismicity is

421 clustered near fractures favorably oriented with respect to σ_0' and meanwhile subjected to
 422 sufficient $\sqrt{J_2'} - \sin(\phi)I_1'/3$. Notice the clustering is further enhanced by poroelastic coupling. More
 423 importantly, the number of events in the near field is substantially reduced. In the far field, $\sqrt{J_2'} -$
 424 $\sin(\phi)I_1'/3$ turns out to be minor and only a small number of events are remotely induced. Overall,
 425 the event population is reduced to only around 1/3 of that in case 2. These observations are
 426 further elaborated in section 4.3.

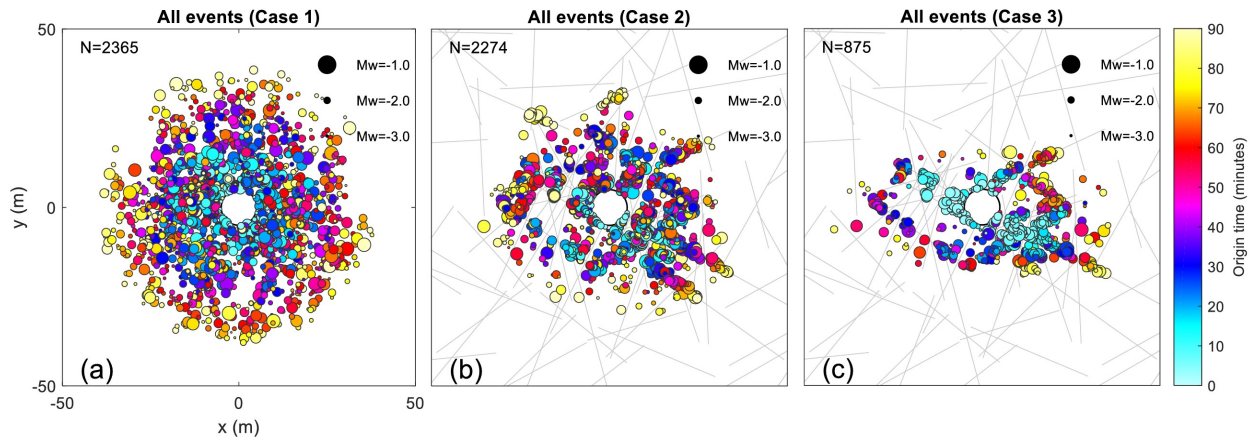


428 **Figure 7.** Snapshots of the spatial distribution of the modeled quantities at four time steps for case 3. (a)-(d) The fluid
 429 overpressure p , (e)-(h) the first poroelastic stress invariant $I_1'/3$, (i)-(l) the second deviatoric poroelastic stress invariant
 430 $\sqrt{J_2'}$, (m)-(p) the excess poroelastic shear stress invariant $\sqrt{J_2'}-\sin(\phi)I_1'/3$ and (q)-(t) the seismicity sized with M_w and
 431 colored with Δt . Only the 100 m \times 100 m area around the center is shown. The time is indicated at the top of each plot.
 432 The *LSDF* is shown in the background.

433 In Figures 5-7, the seismicity distribution shows increasing heterogeneity from cases 1 to 3. The
 434 clustering of the events, as is frequently corroborated by field observations (e.g., [Baisch & Harjes, 2003](#);
 435 [Stabile et al., 2014](#); [Deichmann et al., 2014](#); [Block et al., 2015](#)), can only be modeled by
 436 resolving the *LSDF*. Additionally, we observe that the delineated *seismicity front* ([Shapiro et al., 2005](#))
 437 is within the p front in cases 1 and 2 and within the $\sqrt{J_2'}-\sin(\phi)I_1'/3$ front in case 3. This is
 438 because the domain is nearly critically stressed and even for the most optimally oriented
 439 fractures, a sufficient amount of p or $\sqrt{J_2'}-\sin(\phi)I_1'/3$ needs to be generated before triggering
 440 seismicity. We note here a 'front' is only used qualitatively and it refers to where changes in a
 441 quantity become visible. The modeling here highlights the importance of accounting for the
 442 interactions among fractures, the initial stress and poroelastic coupling.

443 4.2 Event Classification

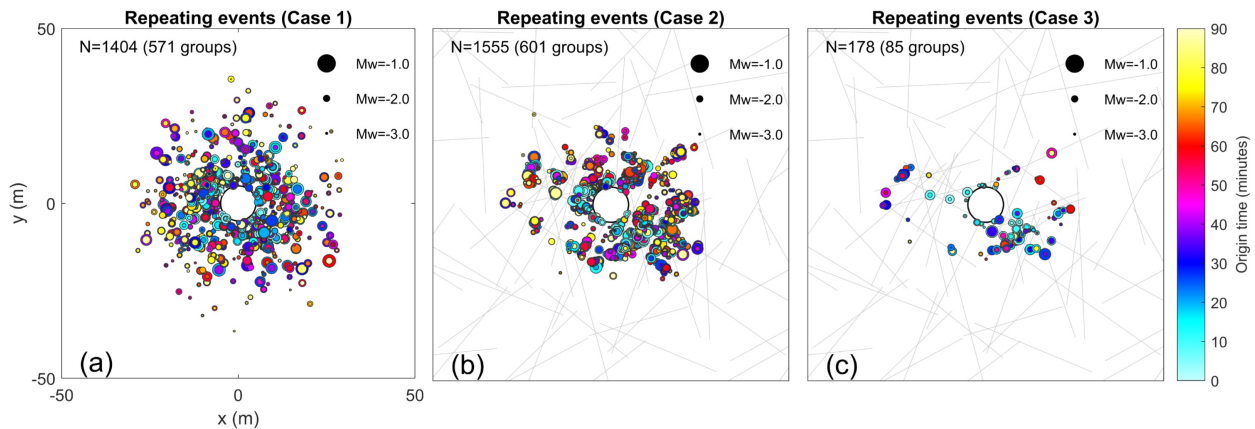
444 Figure 8 shows the spatial-temporal evolution of all modeled events sized with M_w and colored
 445 with the event origin time t_0 for cases 1-3. The simulated duration of injection is 90 minutes. In
 446 addition to the spatial heterogeneity, the clustering and the event population reduction as
 447 explained in section 4.1, here the events also exhibit complex distribution in time for all cases. To
 448 better understand these events, we categorize them into different groups and compare the results
 449 among cases 1-3, as are shown through Figures 9 to 12.



450
 451 **Figure 8.** All events occurred within 90 minutes since the injection sized with M_w and colored with t_0 . (a) Case 1, (b)
 452 case 2 and (c) case 3. Only the 100 m \times 100 m area around the center is shown. The number of events is indicated at the
 453 top left. The *LSDF* is shown in the background for cases 2 and 3.

4.2.1 Repeating Events

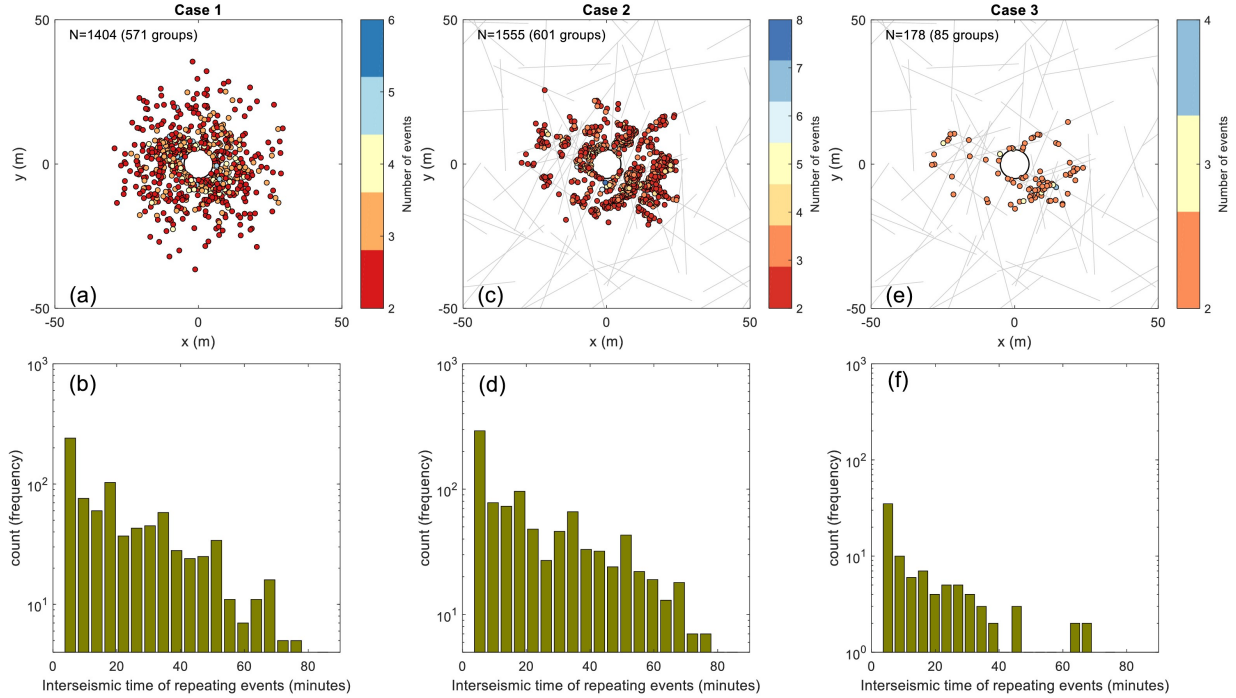
Because we incorporated the poroelastic stress into seismic cycles, our model naturally produces repeating events, see Figure 9. Each location indicates a doublet pair or a multiplet group (e.g., Poupinet & Ellsworth, 1984; Waldhauser & Ellsworth, 2002) which contains two or more events that occur on the same source location but at different time; for visibility, a small-magnitude event is always plotted within a big-magnitude one (see the concentric circles). The repeating events exhibit some characteristics in space similar as those discussed in section 4.1. For example, the overall distribution is radial in case 1 but are clustered near favorably oriented fractures subjected to sufficient p or $\sqrt{J_2}' - \sin(\phi)l_1'/3$ in case 2 or 3. In any case, they are concentrated in areas with a high event density. Further, despite the difference in the spatial pattern, the number of groups and the total number of events are similar between cases 1 and 2, suggesting the *LSDF* controls the distribution but probably not the population of the repeating events. In case 3, however, both drop significantly, suggesting poroelastic coupling inhibits the occurrence of repeating events as well in the near field. Finally, within each group, an earlier event does not necessarily have a larger magnitude; the contrary is not uncommon. This is due to the complex stress path (section 4.4) and the non-full degree of stress drop as is reflected by the r in equation (18).



470

471 **Figure 9.** Repeating events sized with M_w and colored with t_0 . (a) Case 1, (b) case 2 and (c) case 3. Only the 100 m \times 100
 472 m area around the center is shown. The number of groups and the total number of events are indicated at the top left.
 473 The *LSDF* in the background for cases 2 and 3.

474 To further understand the repeating events, we analyze the number of events within each group
 475 and the associated inter-seismic time, see Figure 10. From Figures 10a, 10c and 10e, one observes
 476 that in all cases, the repeating events are primarily doublet pairs; multiplet groups are present,
 477 and the number of events within these groups suggests that p can drive a fracture through up to
 478 8 seismic cycles within the simulated duration of injection; this number is reduced if poroelastic
 479 coupling is considered. For the entire catalog, the inter-seismic time between any two consecutive
 480 repeating events are compiled. The results are plotted in Figures 10b, 10d and 10f. The frequency
 481 drops approximately linearly with respect to the inter-seismic time for all cases and appears to be
 482 independent from fractures and poroelastic coupling.

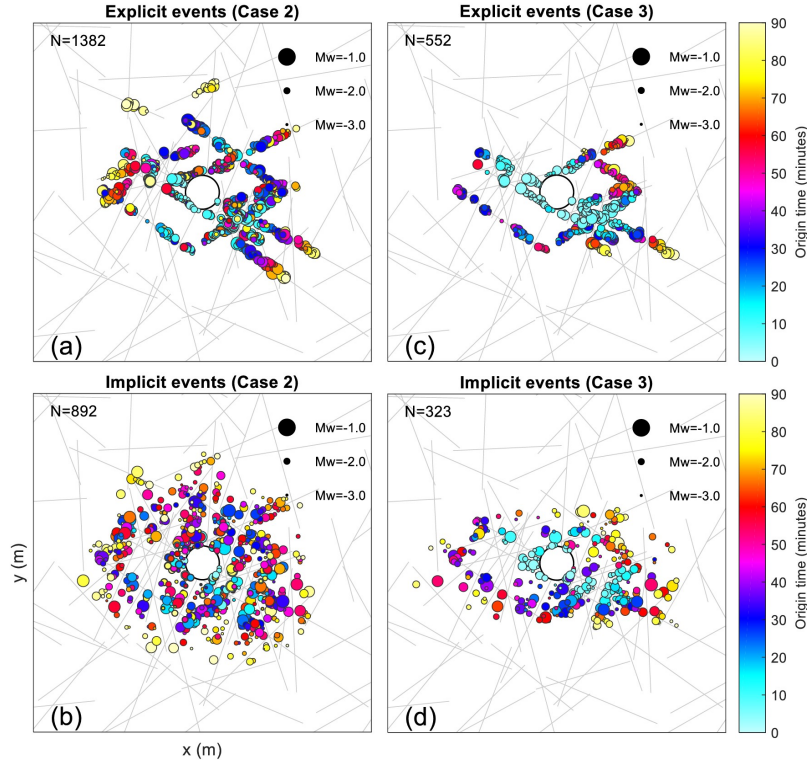


483

484 **Figure 10.** Characteristics of the repeating events. (a)-(b) Case 1, (c)-(d) case 2 and (e)-(f) case 3. Figures 10a, 10c and
 485 10e show the location of each group containing repeating events, colored with the number of events within that group
 486 (i.e., the number of seismic cycles the associated fracture has undergone). Figures 10b, 10d and 10f are histograms
 487 showing the distribution of the inter-seismic time between two consecutive repeating events.

488 **4.2.2 Explicit and Implicit Events**

489 We also separate the events occurring along the *LSDF* (Figures 3a) from those within the hosting
 490 rock (Figures 3b), hereinafter referred to as the *explicit* and *implicit* events, respectively. Notice
 491 this classification should only apply to cases 2 and 3. The results are plotted in Figure 11. In both
 492 cases, the explicit events well depict lineation in alignment with the favorably-oriented
 493 deterministic fractures. The along-fracture distance of an explicit event correlates positively with
 494 its origin time. This is because for the same deterministic fracture, the orientation is identical and
 495 the required p or $\sqrt{J_2'} - \sin(\phi)I_1'/3$ is the same, therefore, the progressive increase in these two (see
 496 Figures 6 and 7) causes the seismicity to develop accordingly. For the implicit events, however,
 497 this trend immediately breaks down for the very same reason: the presence of the *LSDF* and the
 498 associated heterogeneity in p or $\sqrt{J_2'} - \sin(\phi)I_1'/3$, when acting on stochastic fractures of various
 499 orientations, lead to random spatial-temporal evolution of the seismicity within the hosting rock.
 500 Additionally, poroelastic coupling seems to have the same effect on seismicity along deterministic
 501 fractures and within the hosting rock, as are indicated by the nearly 60% reduction in the
 502 population of both types of event.

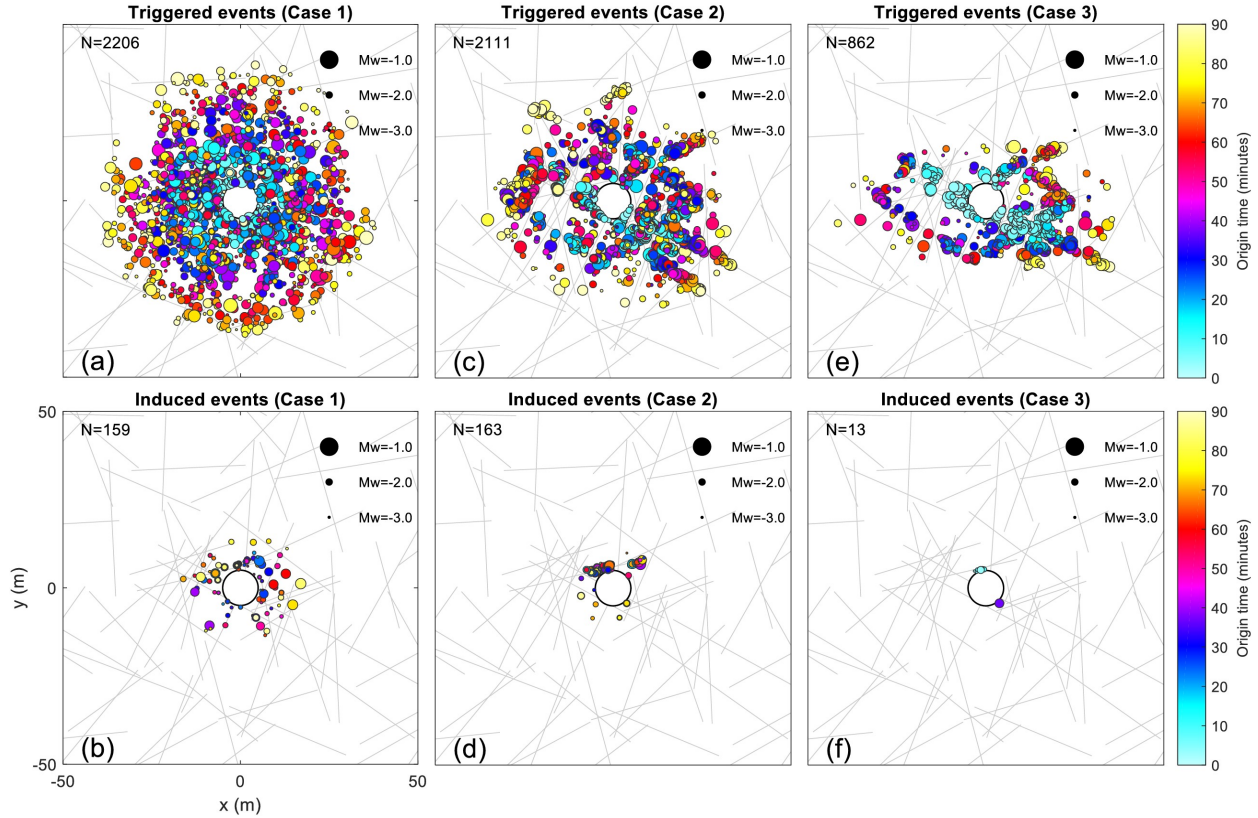


503

504 **Figure 11.** Explicit events (events along deterministic fractures) and implicit events (events within the hosting rock)
 505 sized with M_w and colored with t_0 . (a)-(b) Case 2, (c)-(d) case 3. Only the $100\text{ m} \times 100\text{ m}$ area around the center is shown.
 506 The number of events is indicated at the top left. The *LSDF* is shown in the background.

507 **4.2.3 Triggered and Induced Events**

508 The triggered and induced events are distinguished from each other following the definition
 509 proposed in appendix A.3 (see also Figure 1). The results are shown in Figure 12. In cases 1-3,
 510 93.3%, 92.8% and 98.5% of the events are triggered; the remaining small number of events are
 511 induced and are distributed in close proximity to the injector, as they occur on unfavorably-
 512 oriented fractures and require a significant amount of p or $\sqrt{J_2'} - \sin(\phi)I_1'/3$ to be activated. Again,
 513 for either type of event, accounting for the *LSDF* leads to the clustering and accounting for
 514 poroelastic coupling significantly reduces the number of events.



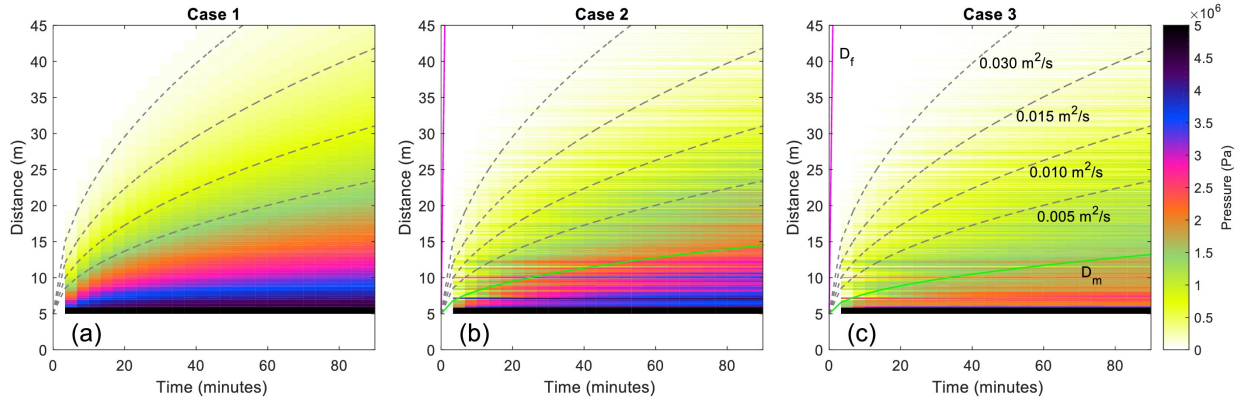
515
 516 **Figure 12.** Triggered and induced events sized with M_w and colored with t_0 . (a)-(b) Case 1, (c)-(d) case 2 and (e)-(f) case
 517 3. Only the 100 m \times 100 m area around the center is shown. The number of events is indicated at the top left. The *LSDF*
 518 is shown in the background.

519 **4.3 R-T Characteristics**

520 **4.3.1 Fluid Pressure and Poroelastic Stress**

521 The spatial-temporal characteristics of the modeled quantities are further illustrated using the so-
 522 called *R-T* plots shown in Figures 13-16, where *R* is the distance from the origin and *T* is the time
 523 since the beginning of the injection. *p* is shown in Figure 13 for cases 1-3. Overlaying are several
 524 iso-diffusivity profiles (gray dashed lines) calculated as $R = \sqrt{4\pi D_h T} + 5\text{m}$ where D_h is the hydraulic
 525 diffusivity; $R = \sqrt{4\pi D_h T}$ is a characteristic profile derived from a linear diffusion process resulting
 526 from a Heaviside point source injection in an isotropic, homogeneous and porous only medium,
 527 and is referred to as the so-called *seismicity triggering front* (Shapiro et al., 1997; Shapiro et al.,
 528 2002). Notice the use of such profiles should apply only to case 1 (Figure 13a). Nonetheless, for
 529 reference, they are also plotted for cases 2 and 3 (Figures 13b, 13c), where additionally, the green
 530 and magenta lines corresponding to D_h of the hosting rock and the *LSDF*, respectively, are also
 531 plotted. It is mentioned in section 3.1 that in case 1 $D_h = 0.03 \text{ m}^2/\text{s}$. We choose this value such that
 532 the modeled *p* front in the *R-T* space is approximately the same as that in case 2. In a sense, this
 533 value reflects the overall *effective* D_h of the fractured porous media in case 2. Case 1 shows a
 534 smooth variation of *p* in the *R-T* space. In case 2, however, due to the effect of fractures, strong

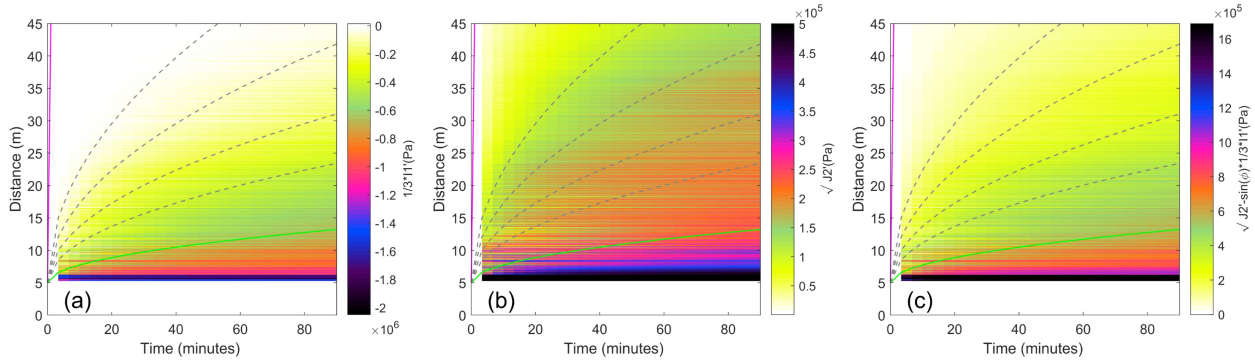
535 heterogeneity is introduced, in addition to an overall reduction in the magnitude of p . The effect
 536 of poroelastic coupling is reflected by comparing case 2 and 3. The p front is slightly suppressed
 537 and the magnitude of p is further reduced.



538

539 **Figure 13.** Space-time plot of the fluid overpressure p . (a) Case 1, (b) case 2 and (c) case 3. The distance is only plotted
 540 from 0 to 45 m. The color scale is reserved from that in Figures 5-8. Several characteristic diffusion profiles are shown
 541 (see text) as references, including the green and magenta lines calculated using the diffusivity of the hosting rock and
 542 the fractures, respectively. The differences between cases 1 and 2 show the effect of the $LSDF$ and the differences between
 543 cases 2 and 3 show the effect of poroelastic coupling.

544 To further illustrate the effect of poroelastic coupling, for case 3, we investigate the R - T
 545 characteristics of the poroelastic stress invariants, see Figure 14. We observe the following. First,
 546 although the spatial distributions of $I_1'/3$ and p differ (Figures 7a-7h), the delineated front of $I_1'/3$
 547 (Figure 14a) coincides with that of p (Figure 13c) in the R - T space. This is explained by equation
 548 (A1) which states that $I_1'/3$, which scales linearly with the volumetric strain $\nabla \cdot \underline{u}$, diffuses together
 549 with p . Poroelastic coupling does, however, reduce the magnitude of $I_1'/3$ compared to its
 550 counterpart $-0.67p$ (section 4.1 and appendix A.4) where p is given by Figure 13b. The effect of
 551 poroelastic coupling is further manifested by Figure 14b, which shows the development of $\sqrt{J_2'}$
 552 one-order below p in magnitude. This cannot be predicted by case 2. Also, it is evidently shown
 553 that the delineated front of $\sqrt{J_2'}$ well exceeds those of p and $I_1'/3$ (Figures 13c, 14a). Figure 14c
 554 results from the combination of Figures 14a and 14b. The effect of poroelastic coupling is reflected
 555 by its difference in magnitude from its counterpart $0.34p$ (section 4.1 and appendix A.4) where p
 556 again is given by Figure 13b. Finally, poroelastic coupling also seems to smear out the
 557 heterogeneity in the stress upon comparing Figures 14a-14c against Figure 13b. Notice equation
 558 (A6) is not included in our modeling.

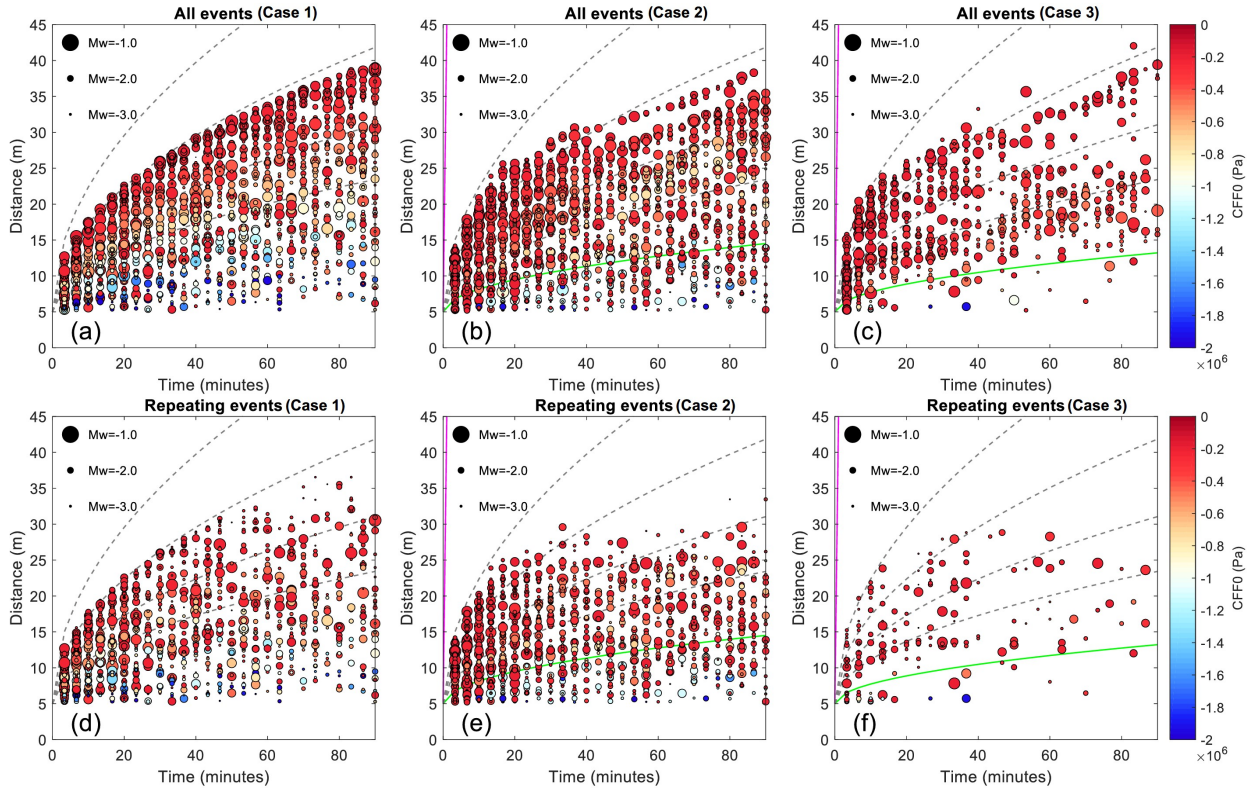


559

560 **Figure 14.** Space-time plot of the poroelastic stress invariants for case 3. (a) $I_1'/3$, (b) $\sqrt{J_2'}$ and (c) $\sqrt{J_2'} - \sin(\phi)I_1'/3$. The
 561 distance is only plotted from 0 to 45 m and the characteristic diffusion profiles are the same as those in Figure 13. The
 562 color scale is reserved from that in Figures 5-8. The counterparts of the three quantities in case 2 without the coupling
 563 effect can be obtained by multiplying the p in Figure 13b with -0.67, 0 and 0.34 (appendix A.4).

564 4.3.2 Seismicity

565 Figures 15 shows the R - T distribution of the seismicity for cases 1-3 and the color indicates CFF_0 .
 566 In Figure 15a, a parabolic seismicity front is clearly delineated for case 1, showing also an evident
 567 'lag' behind the p front (Figure 13a). This lag reflects the effect of the initial stress with respect to
 568 the static shear failure line (i.e., the peak strength, see Figure 4). Here D_h corresponding to the p
 569 front and the seismicity front are $0.03 \text{ m}^2/\text{s}$ and $0.015 \text{ m}^2/\text{s}$, respectively. In this case, if the
 570 seismicity front was to be used to back calculate D_h (e.g., Shapiro et al., 2002), D_h would be over-
 571 estimated by 100%. This motivates some nonlinear diffusion-based interpretations which
 572 incorporate pressure-dependent D_h (e.g., Hummel & Shapiro, 2012; Hummel & Shapiro, 2013).
 573 Here, our model is mechanics-based and it does not require the somewhat unclear definition of
 574 'a relatively large p' ' which underlines the diffusion-only class of statistical models (Shapiro et al.,
 575 1997). The effect of the LSDF can be seen in Figure 15b. Notice the increased curvature of the
 576 parabolic seismicity front, which is above the predicted characteristic profile (second grey dashed
 577 line from the top) earlier and near the injector but below this profile later and away from the
 578 injector. Hummel & Shapiro (2013) used a power-law type of pressure-dependent D_h to correct
 579 for this change. However, our model not only produces this change but also introduces additional
 580 heterogeneity. Figure 15c shows further variations by accounting for poroelastic coupling.
 581 Compared to Figure 15b, here the number of events is greatly reduced, the heterogeneity becomes
 582 much more pronounced, and some 'outliers', which are the remotely triggered events, are present
 583 but not dominant. Additionally, nearly all events are sourced from favorably-oriented fractures.
 584 The result of case 3 also shows a good agreement with a dataset provided in Hummel & Shapiro
 585 (2013). Finally, the same R - T plots are made using only the repeating events for cases 1-3, as are
 586 shown in Figures 15d-15f, which illustrate the 'breaking-down' of the parabolic seismicity front
 587 for repeating events. Such events are assumed to be non-existent in the diffusion-only class of
 588 statistical models.

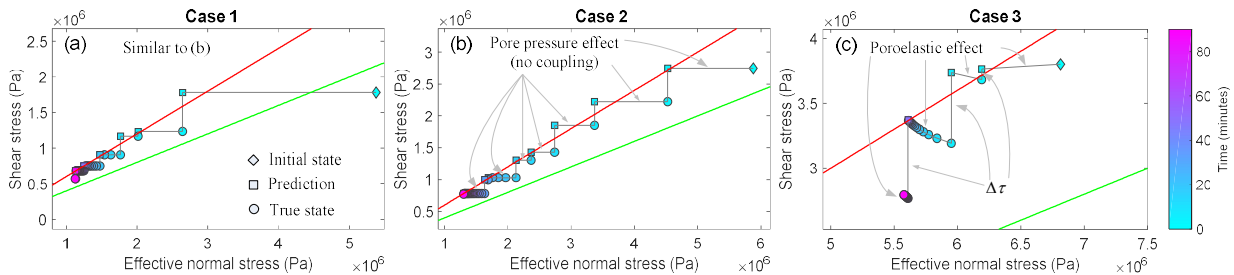


589

590 **Figure 15.** Space-time plot of all seismic events and repeating seismic events, sized with M_w and the colored with CFF_0 ,
 591 (a), (d) Case 1, (b),(e) case 2 and (c),(f) case 3. The distance is only plotted from 0 to 45 m and the reference characteristic
 592 diffusion profiles are the same as those in Figure 13. The differences between cases 1 and 2 show the effect of the $LSDF$
 593 and the differences between cases 2 and 3 show the effect of poroelastic coupling.

594 **4.4 Stress History**

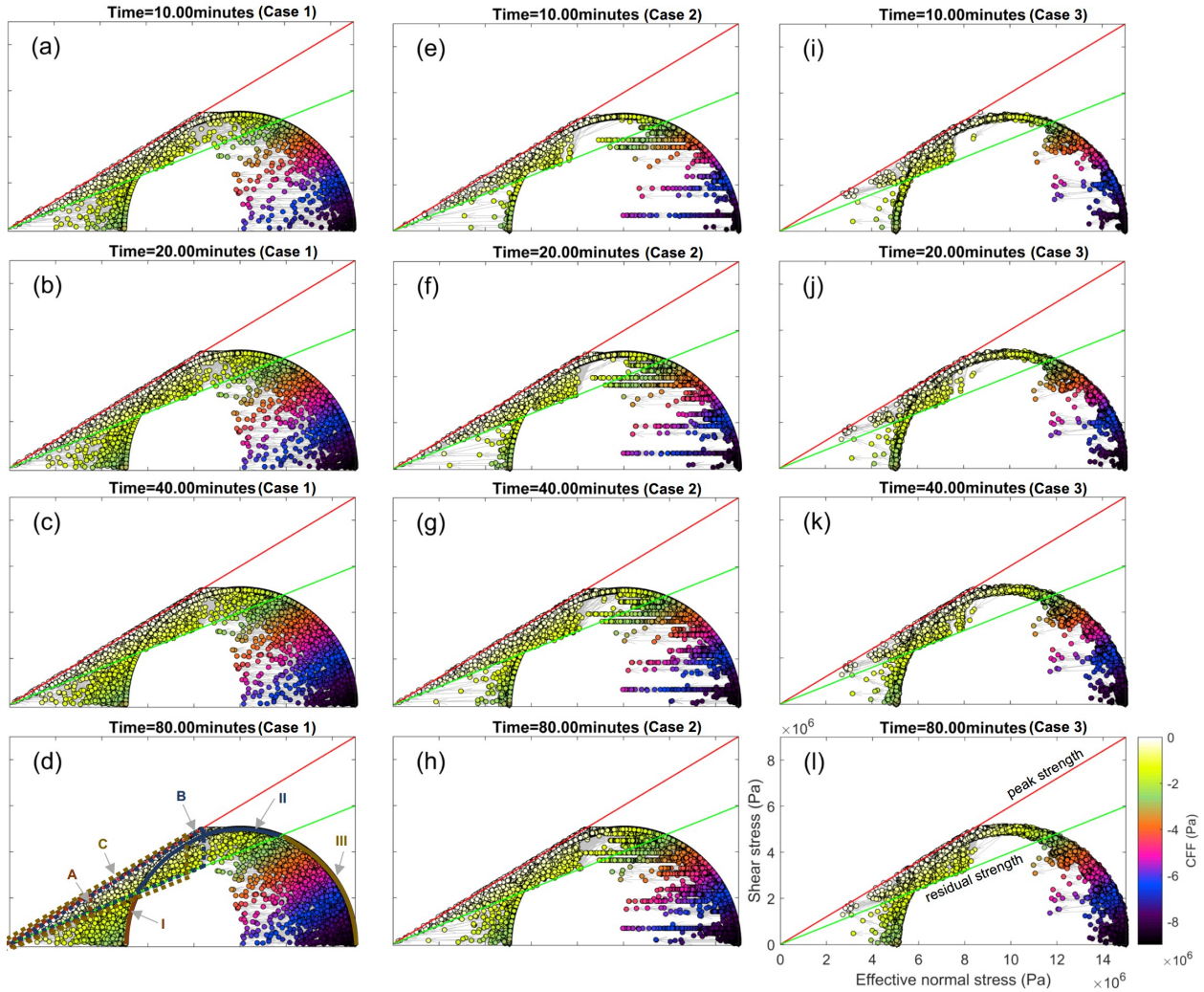
595 As an example, for each case, we chose one representative fracture that has generated the most
 596 repeating events and plot the associated complete stress path colored with time, see Figure 17. In
 597 all cases, p or $\sqrt{J_2'} - \sin(\phi)I_1'/3$ are sufficient enough to drive a fracture through multiple seismic
 598 cycles within 90 minutes. However, the decoupled approach tends to over-predict the number of
 599 seismic cycles (see also Figure 10). Notice the increasingly unfavorable orientation of the fracture
 600 from cases 3 to 1. Additionally, within each seismic cycle, poroelastic coupling leads to a bended
 601 stress path in case 3 as opposed to a linear leftward one in case 1 or 2.



602

603 **Figure 16.** Representative complete stress paths. (a) Case 1, (b) case 2 and (c) case 3. The color indicates the time. The
 604 number of seismic cycles is 6 in cases 1 and 2 and 3 in case 3. The pore pressure effect and the poroelastic effect are
 605 indicated.

606 Figure 17 gives the snapshots of changes in the stress of all fractures (Figures 3c, 3f) in the σ_n' - τ
 607 space for cases 1-3. We hereinafter abbreviate each σ_n' - τ pair as a *NS*, which is indicative of a
 608 fracture. The reference state (Figure 4a) is divided into three parts, namely parts I, II and III. Upon
 609 injection, the stress state on some fractures deviates from the reference state, and the relative
 610 changes are shown by the grey arrows. Cases 1 (Figures 16a-16d) assumes simply the pore
 611 pressure effect. As a result, p always causes a reduction in σ_n' by the amount of αp but does not
 612 change τ , leading to a strict leftward translation of a *NS* before it reaches the peak strength and
 613 *CFF* remains negative. When *CFF* reaches 0, seismicity occurs and $\Delta\tau$ is enforced. Throughout this
 614 process, a *NS* must remain constrained below the peak strength at all time, and if seismicity
 615 occurs, above the residual strength. This means a *NS* originated from part II remains in between
 616 the green line and the red line, and a *NS* from part I can cross the green line if p is sufficient but
 617 always stays below the red line; correspondingly, the triangular domains denoted as B and A
 618 (dashed lines) define the respective possible new stress state of a fracture driven to failure from
 619 parts II and I. Therefore, the pore pressure effect also predicts a positive correlation between the
 620 favorability of the orientation and $\Delta\tau_{\max}$. Here, any arrow with a downward component signifies
 621 the seismicity only. As can be seen, the majority of the events are sourced from part II. For part
 622 III, a similar triangular domain C can be defined. All the above observations hold for case 2
 623 (Figures 16e-16h). However, compared to case 1, here the deviation of a *NS* is more discernable
 624 from others due to the localization of p around the *LSDF*. The magnitude of p in general becomes
 625 lower as is reflected by the less amount of leftward translation. The results of case 3 (Figures 16i-
 626 16l) show the intriguing effect of poroelastic coupling. The deviation from the Mohr circle is much
 627 less significant in general and the seismicity is inhibited overall. Notice the deviation of a *NS* is
 628 now towards all directions, suggesting any combination of an increase or decrease in σ_n' and an
 629 increase or decrease in τ is possible. For example, a *NS* from part I can undergo a left and upward
 630 path towards the peak strength, rendering a larger possible $\Delta\tau_{\max}$. As a result, domains A, B and
 631 C can no longer be defined here. An arrow with a downward component indicates either the
 632 seismicity or the poroelastic shear stress. Nonetheless, for a majority of the fractures and prior to
 633 the seismicity, the leftward component still dominates over the others, suggesting the reduction
 634 in σ_n' is the primary source driving up *CFF*.



635

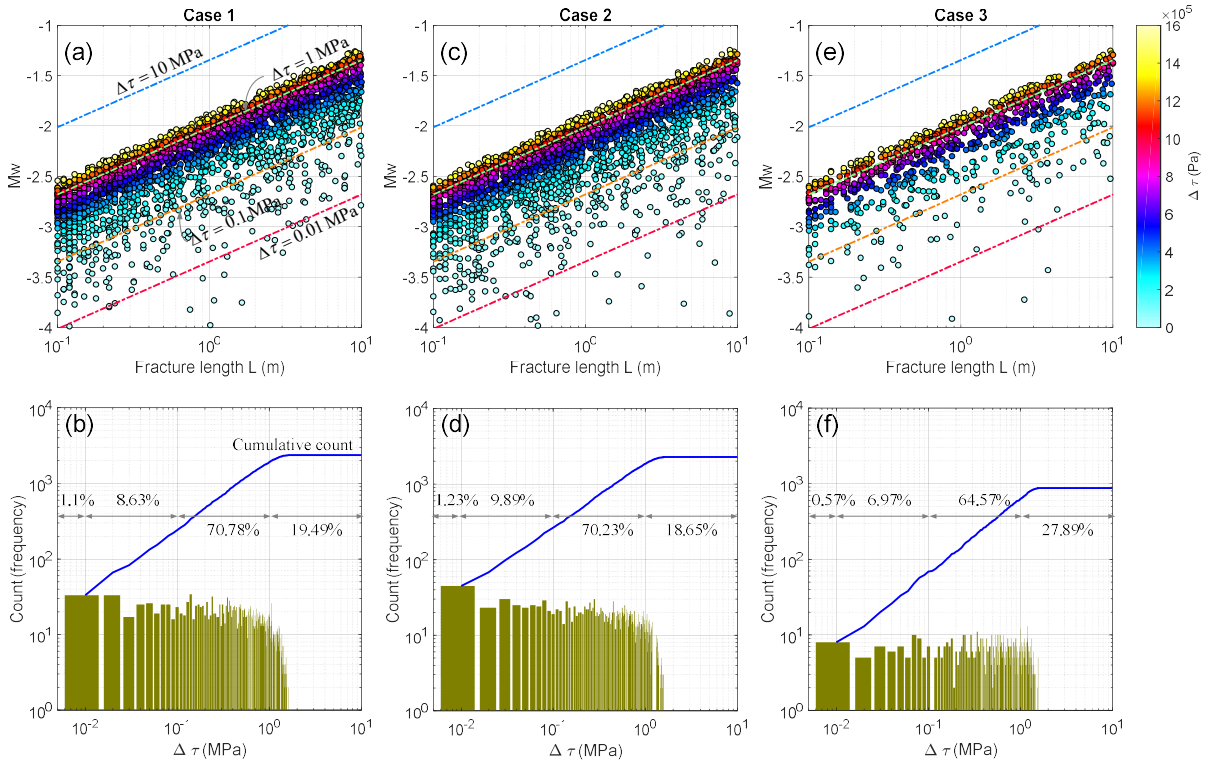
636 **Figure 17.** Snapshots of the effective normal stress and shear stress on all fractures showing the deviation from the
 637 initial reference state (the Mohr circle in Figure 4a) at four selected time steps. (a)-(d) Case 1, (e)-(h) case 2 and (i)-(l)
 638 case 3. The peak and residual strengths are shown for reference. The color indicates *CFF* and the time is indicated at
 639 the top of each plot. For each fracture, two dots corresponding the initial and new stress states are plotted, connected
 640 with an arrow indicating the relative change. The initial Mohr circle is partitioned into three parts labeled as I, II and
 641 III. The meaning of the triangular areas bounded with dashed lines are explained in the text. The differences between
 642 cases 1 and 2 show the effect of the *LSDF* and the differences between cases 2 and 3 show the effect of poroelastic
 643 coupling.

644 **4.5 Source Parameters**

645 **4.5.1 Stress Drop, Fracture Length and Moment Magnitude**

646 Figures 18a, 18c and 18e summarize the modeled seismic source characteristics in the parameter
 647 space for cases 1-3. For each event, M_w is plotted against the associated fracture length L and
 648 colored with $\Delta\tau$. The modeled events, with M_w between -3 and -1, occur on fractures of L ranging
 649 from 0.1m and 10m, and $\Delta\tau$ ranges from below 0.1 MPa to above 1 MPa, consistent with many
 650 real micro-earthquake data sets (e.g., Goertz-Allmann et al., 2011; Mukuhira, 2013). Such source

651 characteristics overall seem not affected by the *LSDF* nor poroelastic coupling. For a realistic
 652 range of $\Delta\tau$, the parameter r in equation (18) turns out to be important, see appendix A.6. Figures
 653 18b, 18d and 18f further show the overall similar distribution of $\Delta\tau$ for cases 1-3. In each case, [0.1,
 654 1] MPa is the dominant range. In case 3, however, events with high $\Delta\tau$ (e.g., above 1 MPa) does
 655 occupy a higher percentage, consistent with that poroelastic coupling can lead to a larger possible
 656 $\Delta\tau_{\max}$ as demonstrated in section 4.4.



657

658 **Figure 18.** The top row shows relationships among M_w , L and $\Delta\tau$ of all modeled events. Overlaying are four contours
 659 corresponding to $\Delta\tau=0.01$ MPa, 0.1 MPa, 1 MPa and 10 MPa. The bottom row shows the histograms of $\Delta\tau$ together with
 660 the cumulative frequency using 1000 equal-sized bins on the range [0.01, 10] MPa. Additionally, the number of events
 661 with $\Delta\tau \leq 0.01$ MPa, $0.01 \text{ MPa} < \Delta\tau \leq 0.1$ MPa, $0.1 \text{ MPa} < \Delta\tau \leq 1$ MPa and $\Delta\tau > 1$ MPa are counted and the percentages are
 662 shown. (a), (b) Case 1, (c), (d) case 2 and (e), (f) case 3.

663 4.5.2 Magnitude-Frequency Relation

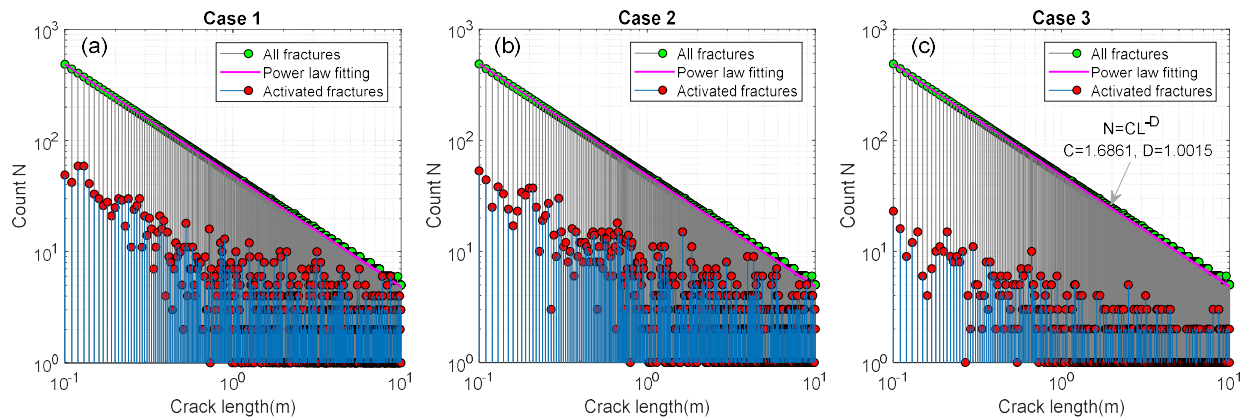
664 We have introduced a power law that describes the commonly observed scaling relation between
 665 the fracture length and the frequency (section 3.2). On the other hand, earthquakes in nature are
 666 characterized with a universal statistical relation between the magnitude and the cumulative
 667 frequency, namely the Gutenberg-Richter law (Gutenberg, 1956), which reads:

$$668 \quad \lg N(m > M_w) = a - bM_w \quad (21)$$

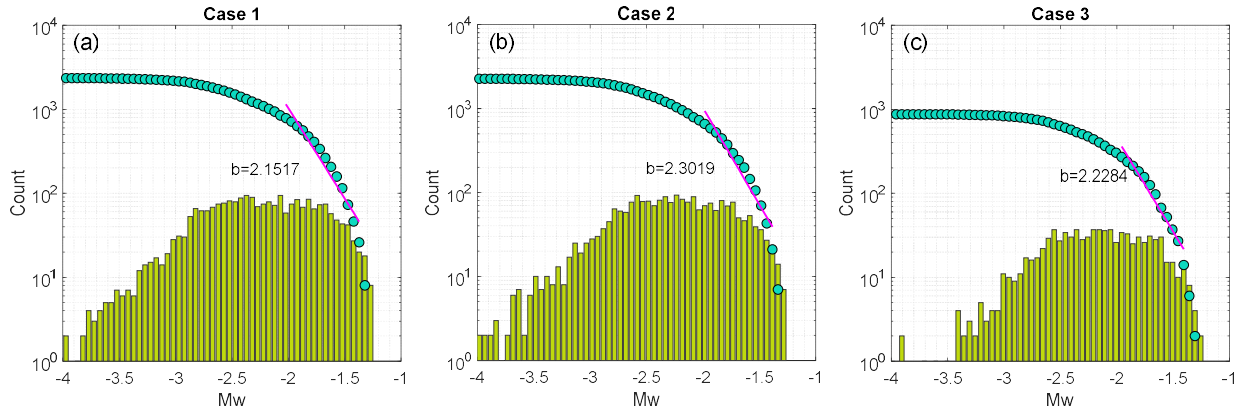
669 where $N(m > M_w)$ is the total number of events with a moment magnitude m above M_w , and a and
 670 b are constants.

671 In nature, D is frequently observed to be between 1 and 2 (e.g., Okubo & Aki, 1987), whereas a
 672 common value of b is around 1 (e.g., Shi & Bolt, 1982). Studies suggest that D and b are inherently
 673 related. For example, Hirata (1989) suggests that $D \approx 2b$. What is somewhat curious is that for
 674 induced seismic events, b is often above 1 (e.g., Vermylen & Zoback, 2011) and sometimes around
 675 2 (e.g., Bachmann et al., 2012), although a near-1 value has also been reported (Schoenball et al.,
 676 2015).

677 In Figure 19, for each case, the distribution of the length of all fractures (Figures 3c, 3f) is plotted
 678 (green), together with the power law fitting line (magenta); the distribution of the length of the
 679 activated subset of fractures is also plotted (red), which clearly no longer obeys the power law
 680 decay, owing to that only favorably oriented fractures are induced to slip. Nonetheless, the
 681 magnitude-frequency relation still holds for the induced events, as is illustrated in Figure 20. For
 682 each case, the distribution of M_w , which primarily varies between -3.5 and -1.0, is shown as the
 683 histogram (yellow green); the total number of events (i.e., cumulative frequency) is shown by the
 684 blue-green dots, which is then used to fit the Gutenberg-Richter law, yielding a b -value around
 685 2. Notice the similarities among all three cases in both figures 19 and 20, suggesting that the b -
 686 value is likely to be independent from the $LSDF$ and poroelastic coupling. We also hypothesize
 687 that the breaking-down in the power law distribution of the length of the activated subset of
 688 fractures might be responsible for the deviation in the b -value for induced seismicity.



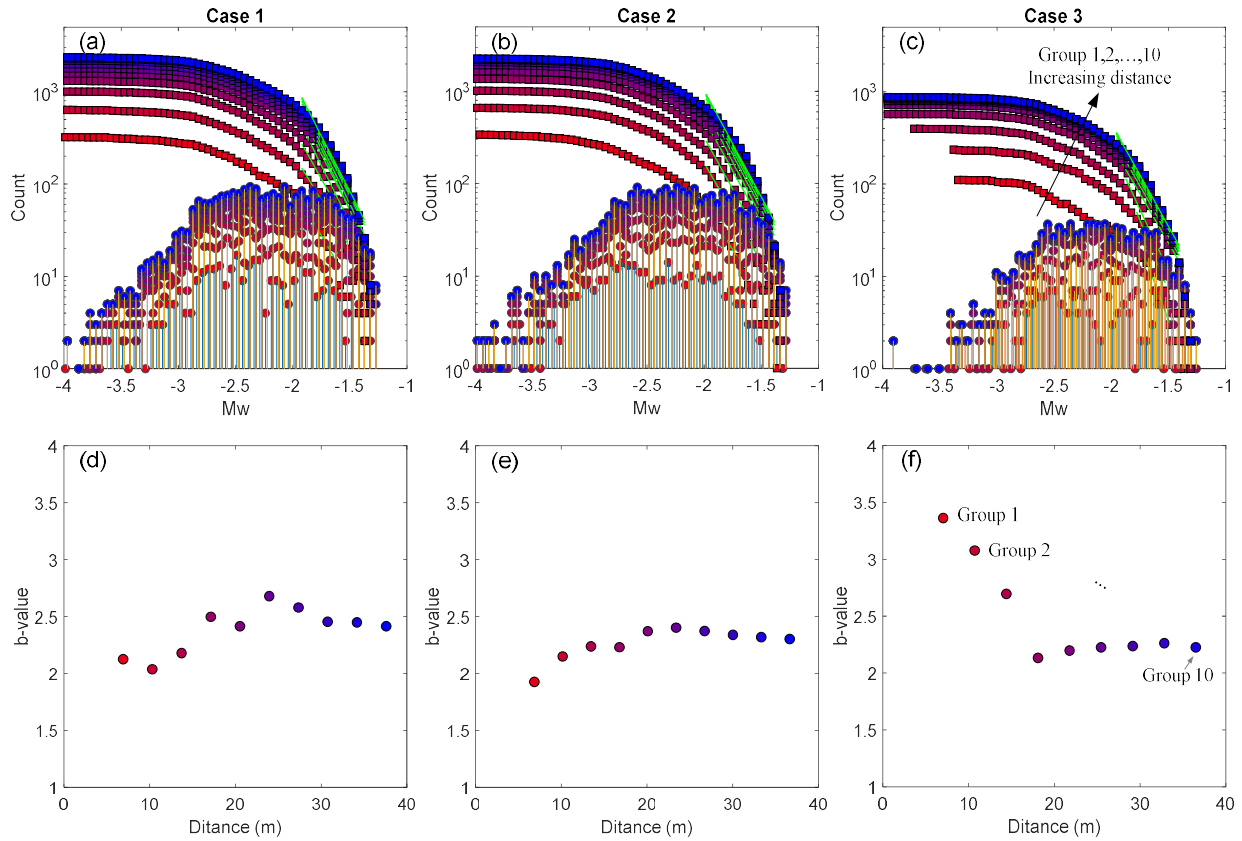
689 **Figure 19.** Histogram of the fracture length using 1000 equal-sized bins, plotted on a log-log scale as discrete sequences.
 690 The green sequence indicates the distribution of length of all fractures, which follows a power law decay as is fitted
 691 with the magenta line. The fitting parameters are also shown, specifically, the fractal dimension D is 1. The red sequence
 692 shows the length distribution of activated fractures only (fractures undergone at least one seismic cycle). Because it is
 693 primarily the favorably oriented fractures that are activated, the distribution no longer follows a power law decay. (a)
 694 Case 1, (b) case 2 and (c) case 3.
 695



696

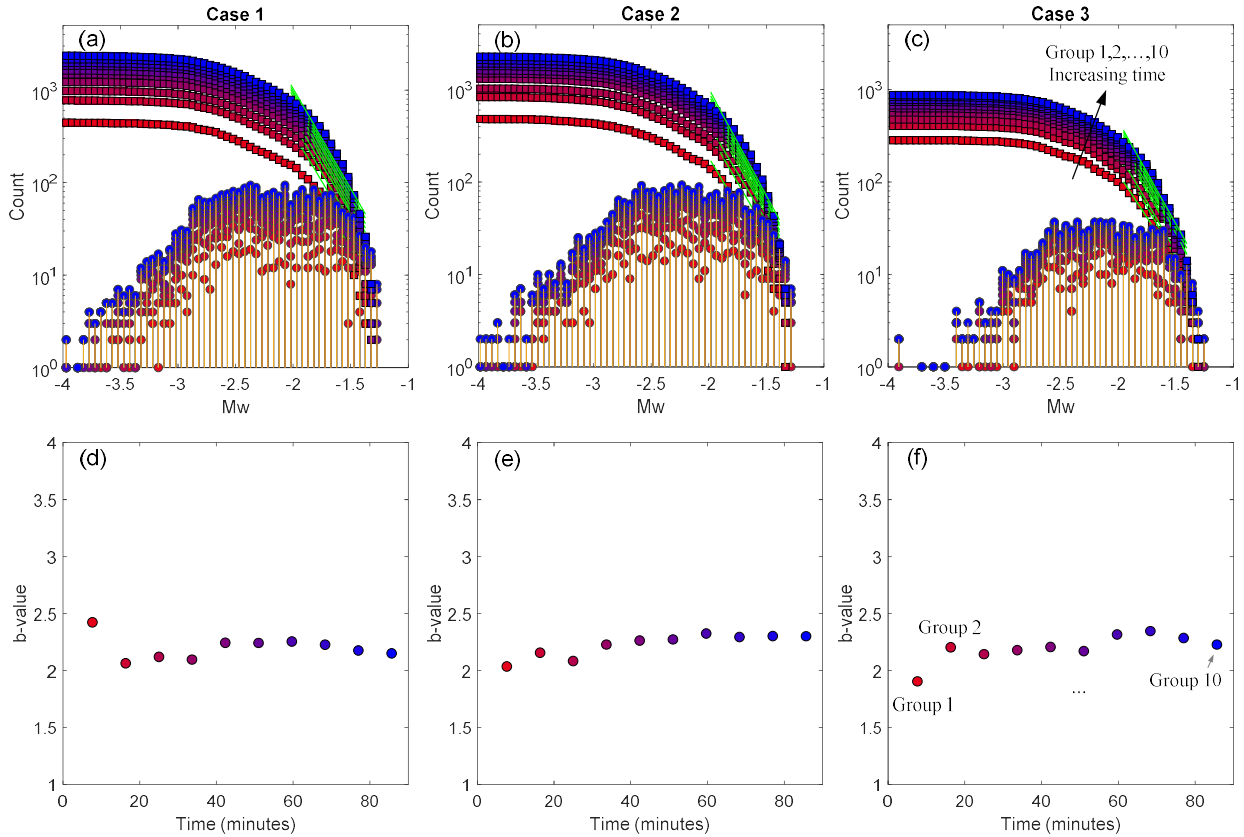
697 **Figure 20.** Histogram of the modeled M_w (yellow green). The bin size is 0.05, and the y-axis is on a log-scale. The
 698 associated distribution of N follows the classic Gutenberg-Richter law (blue green); data points with a M_w above -2 are
 699 used for fitting (the magenta line), yielding a b -value around 2, which is commonly observed for induced seismicity.
 700 (a) Case 1, (b) case 2 and (c) case 3.

701 Further, we investigate whether the b -value of induced seismicity exhibits spatial or temporal
 702 dependences. To do so, for each case, we divide the events into 10 groups in both space and time
 703 based on the associated distance R and the origin time t_0 . For each group, we carry out the same
 704 b -value analysis as has been described above and the results are displayed in Figures 21 and 22.
 705 In each case, the magnitude-frequency distribution appears alike among all groups in both space
 706 and time. The b -value is predominantly between 2 and 2.5 and no substantial spatial- or temporal-
 707 dependence is observed. Such independences are not altered by the *LSDF* or poroelastic coupling.
 708 An exception is shown in Figures 21c and 21f, where the b -value is around 3 near the injection
 709 and drops to between 2 and 2.5 away from the injection (see also [Bachmann et al., 2012](#)), possibly
 710 due to some variations among the selected cutoff M_w for data fitting.



711

712 **Figure 21.** *b*-value analysis in space. The modeled distance interval $[R_{\min}, R_{\max}]$ is divided into 10 equal-sized bins and
 713 the events are grouped accordingly. The group number is indicated by the color. A *b*-value is fitted for each group (top
 714 row, slope of the green line) and is plotted against the corresponding distance (bottom row). The cutoff M_w for fitting
 715 is around 2 but some variations exist among all groups. (a), (d) Case 1, (b), (e) case 2 and (c), (f) case 3.



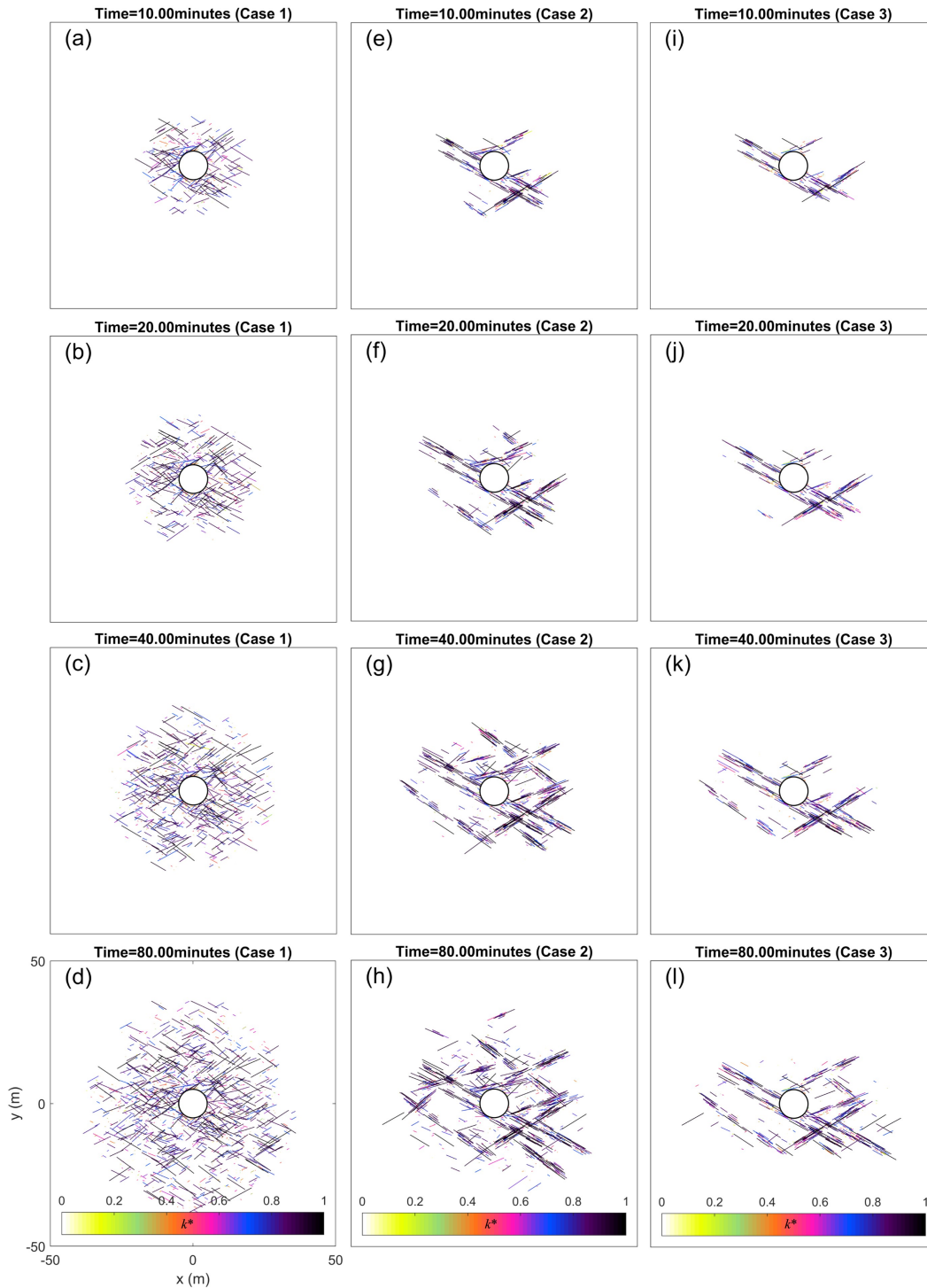
716

717 **Figure 22.** Same as Figure 21 but for the 10 equal-sized origin time intervals on the modeled $[t_{0min}, t_{0max}]$.

718 **4.6 Activated Fractures and Permeability Enhancement**

719 Figure 23 gives four snapshots illustrating the growth of the activated network of fractures for
 720 cases 1-3. The network consists of fractures both interconnected to and isolated from the fluid
 721 boundary. In the context of unconventional and geothermal reservoir stimulation, the
 722 interconnected fractures are indicative of the so-called stimulated reservoir volume and the
 723 stimulation efficiency. As can be seen, resolving the *LSDF* predicts localized permeability-
 724 enhanced flow channels and less area is stimulated as a result. This effect manifests itself if
 725 poroelastic coupling is further considered. For each activated fracture, the nondimensionalized
 726 permeability changes along directions perpendicular and parallel to it, denoted as k_{\perp}/k and k_{\parallel}/k ,
 727 respectively, are calculated from the associated M_w using a simple power law scaling relation
 728 (appendix A.2). This relation predicts a linear scaling between k_{\perp}/k and k_{\parallel}/k , and therefore both
 729 can be normalized into the same quantity k^* , which indicates the color in Figure 23. As an
 730 example, we focus on (k_{\perp}/k) only. For a fracture that has undergone j seismic cycles ($j > 1$) at a time
 731 step of interest, $\Sigma_j(k_{\perp}/k)$ is calculated as the result at this time step. The modeled maximum (k_{\perp}/k)
 732 for a single-event fracture and a multi-event fracture are 30.6 and 81.2 for case 1, 31.1 and 76.7 for
 733 case 2 and 30.9 and 49.1 for case 3, suggesting that repeating seismic cycles can further enhance

734 the permeability by a few more folds compared to just the first seismic cycle but poroelastic
 735 coupling seems to counteract this effect.



736

737 **Figure 23.** Snapshots of the activated fractures at four selected time steps showing the progressive development of the
 738 stimulated network. The time is indicated at the top of each plot and the color shows the quantity k^* (appendix A.2),
 739 which is indicative of the permeability changes along the fracture-normal and -tangential directions. (a)-(d) Case 1, (e)-

740 (h) case 2 and (i)-(l) case 3. The differences between cases 1 and 2 show the effect of the *LSDF* and the differences between
 741 cases 2 and 3 show the effect of poroelastic coupling.

742 5.Summary and Conclusions

743 We have developed a hydromechanical-stochastic approach to modeling fluid perturbation-
 744 induced seismicity in a fluid-saturated and fractured poroelastic medium. Following predefined
 745 distributions characteristic of a natural fracture system, we generate a dual network of fractures
 746 consisting of large-scale deterministic fractures (*LSDF*) and small-scale stochastic fractures (*SSSF*)
 747 within the hosting rock. The modeling consists of two sequential steps, including first the quasi-
 748 static fracture-poro-mechanical modeling and second the seismicity modeling. In the first step,
 749 only the *LSDF* is considered and it is resolved in a computational model of fluid-solid fully
 750 coupled single-phase poromechanics of arbitrarily fractured media. This provides a *LSDF*-
 751 controlled poroelastic stress tensor as a pivotal input for the second step, in which the complete
 752 dual network of fractures is then considered. The seismicity-induced shear stress loss on a slipped
 753 fracture is stochastically modeled as a static quantity without explicitly resolving the co-seismic
 754 dynamic rupture process; it remains constrained within a range computed from the time-
 755 dependent poroelastic stress in conjunction with the initial stress and the peak and residual
 756 frictional strengths. A prediction-correction type of fracture stress updating scheme is developed
 757 accordingly, which naturally produces multiple seismic cycles. Three progressive cases were
 758 designed to show the effects of fractures and poroelastic coupling on the resulting seismicity and
 759 its characteristics. Compared to the prevalent fracture-free, coupling-free and diffusion-only class
 760 of statistical models, our method produces induced seismicity with spatial-temporal
 761 characteristics agreeing much better with real data. It also goes beyond the scope of most current
 762 models and provides a synthetic catalog of induced events, allowing for the analysis of seismic
 763 source characteristics and connections between observations and model physics.

764 Main findings from this study are:

765 (1) The spatial-temporal evolution of the pore fluid overpressure p , the change in the solid
 766 effective stress tensor σ_p' and the associated stress invariants, I_1' , $\sqrt{J_2}'$ and $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$, all
 767 differ in a porous medium, a fractured porous medium and a fractured poroelastic medium. In
 768 space, the presence of the *LSDF*, if hydraulically conductive, leads to marked localization of these
 769 quantities around it and the associated fronts become highly non-smooth. Poroelastic coupling
 770 tends to reduce the magnitude of p and I_1' near fluid-penetrated fractures but predicts an
 771 otherwise non-existing $\sqrt{J_2}'$ within the entire domain. As a result, $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$ is reduced in
 772 the near field but increased in the far field. In the R - T space, p and I_1' share the same front which
 773 is below the front shared by $\sqrt{J_2}'$ and $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$.

774 (2) In space, the *LSDF* leads to not only heterogeneity but also pronounced clustering in the
 775 seismicity. Poroelastic coupling not only enhances the clustering, but also substantially inhibits

776 the seismicity and greatly reduces the number of events in the near field. In the far field, although
 777 it can remotely trigger some events, its effect does not dominate even in the presences of critically
 778 stressed fractures. Overall the event population is significantly reduced. The clustering occurs
 779 only near fractures favorably oriented with respect the initial stress tensor σ_0' and meanwhile
 780 subjected to sufficient amount of $\sqrt{J_2'} - \sin(\phi)I_1'/3$. Correspondingly, the activated subset of
 781 fractures forms permeability-enhanced flow channels localized along the *LSDF*, and this is further
 782 manifested by poroelastic coupling. In the *R-T* space, the characteristics of the seismicity are in
 783 good agreement with observations from real data. In addition to heterogeneity, the curvature of
 784 the delineated parabolic seismicity front is increased by the *LSDF*. The state of σ_0' with respect to
 785 the fracture peak strength can render the seismicity front lagged behind the *p* front. A positive
 786 correlation is observed between the distance and the origin time for events occurring along the
 787 *LSDF* but not those occurring in the hosting rock.

788 (3) σ_p' (either coupled or decoupled with *p*) and seismicity are the two sources driving changes in
 789 the stress on a fracture, and together they can drive the fracture through multiple seismic cycles
 790 on a timescale relevant to the problem. This provides a viable mechanism of fluid-induced
 791 repeating seismic events characterized with a step-wise stress path. The distribution of the inter-
 792 seismic time between two consecutive repeating events seems independent from both the *LSDF*
 793 and poroelastic coupling. The latter, however, tends to reduce the number of repeating event
 794 groups and the number of seismic cycles within a group, in addition to adding nonlinearity to
 795 the associated step-wise stress path. Repeating events are also able to increase the permeability
 796 change on the fracture by a few folds.

797 (4) Although collectively referred to as induced seismicity, the modeled events are predominantly
 798 triggered as opposed to induced. Because the induced events occur on unfavorably-oriented
 799 fractures that require large *p* or $\sqrt{J_2'} - \sin(\phi)I_1'/3$, they are concentrated near the source of the fluid
 800 perturbation.

801 (5) Some source characteristics of the induced seismicity seem independent from the *LSDF* and
 802 poroelastic coupling. Irrespective of the case, the moment magnitude M_w and by extension, the
 803 permeability change k^* , show similar distributions; the *b*-value varies between 2 and 2.5 and
 804 exhibits no substantial space- or temporal-dependence; for the given set of parameters, the stress
 805 drop $\Delta\tau$ predominantly falls in between 0.1 MPa and 1 MPa, although a higher $\Delta\tau$ is more likely
 806 due to the poroelastic medication to the stress path. $\Delta\tau$ generally does not reach the maximum
 807 likely stress drop.

808 (6) In our complete dual fracture system, the length and frequency obey a realistic power law
 809 scaling relation; however, this relation no longer holds for the activated subset of fractures, owing
 810 to that only favorably-oriented fractures are induced to slip. This might explain the commonly

811 observed deviation in the b -value from around 1 for natural seismicity to around 2 for induced
812 seismicity.

813 Acknowledgement

814 We thank Norm Sleep for discussion. Lei Jin is funded by the Stanford Center for Induced and
815 Triggered Seismicity. No data was used in producing this manuscript.

816 Appendix

817 A.1 Single-Phase Poromechanics of Arbitrarily Fractured Media

818 [Jin & Zoback \(2017\)](#) formulated the problem of single-phase poromechanics of fluid-saturated
819 and arbitrarily fractured porous media. Without presenting the full details, here, we outline
820 several key governing equations. First, the fully coupled mass conservation law and quasi-static
821 force balance law are:

$$822 \quad \left(\Lambda_0(\underline{x})\phi_{m0}(\underline{x})(C_m + C_p) + (1 - \Lambda_0(\underline{x}))\phi_{f0}(\underline{x})(C_f + C_p) \right) \dot{p}(\underline{x}, t) \quad (A1)$$

$$- \alpha \nabla \cdot \underline{\dot{u}}(\underline{x}, t) + \nabla \cdot \underline{v}(\underline{x}, t) = s(\underline{x}, t), \quad \underline{x} \in \Omega_m \cup \Omega_f$$

$$823 \quad \nabla \cdot \sigma_p'(\underline{x}, t) + \alpha \nabla p(\underline{x}, t) = \underline{0}, \quad \underline{x} \in \Omega_m \cup \Omega_f \quad (A2)$$

824 Next, the two fluid flow equations are given by the Darcy's law and a nonlinear cubic law,
825 designated to the matrix and fractures, respectively. They read:

$$826 \quad \underline{v}(\underline{x}, t) = -\eta^{-1} \mathbf{k}_m(\underline{x}) \cdot \nabla p(\underline{x}, t), \quad \underline{x} \in \Omega_m \quad (A3)$$

$$827 \quad \underline{v}(\underline{x}, t) = -\eta^{-1} \frac{1}{12} \left(b_0 (1 + C_f p_f(\underline{x}, t)) \right)^2 \nabla_{\tau} p(\underline{x}, t), \quad \underline{x} \in \Omega_f \quad (A4)$$

828 Furthermore, the two solid constitutive laws, including a generalized Hooke's law for the hosting
829 rock and a transverse simple shear deformation law for fractures, read:

$$830 \quad \sigma_p'(\underline{x}, t) = \mathbf{C}_m : \nabla^s \underline{u}_m(\underline{x}, t), \quad \underline{x} \in \Omega_m \quad (A5)$$

$$831 \quad \sigma_p'(\underline{x}, t) = G_{f\tau} \nabla_n u_{f\tau}(\underline{\xi}, t), \quad \underline{\xi} \in \Omega_f \quad (A6)$$

832 In equations (A1) - (A6), subscripts ' m ' and ' f ' indicate quantities associated with the hosting rock
833 (porous matrix) and deterministic fractures, subscript ' 0 ' denotes the initial value of a quantity,
834 subscripts ' n ' and ' τ ' indicate the fracture normal and tangential directions, \underline{x} and $\underline{\xi}$ indicate the
835 global and fracture local coordinate systems, t is the time, Ω is the model domain, ϕ is the intrinsic
836 porosity, $\Lambda(\underline{x})$ is a fracture-dependent parameter enabling the definition of a so-called partial
837 porosity, C is the compressibility, p is the fluid overpressure, \underline{v} is the fluid velocity vector, s is the

838 external fluid source normalized by the initial fluid density, η is the fluid viscosity, \mathbf{k} is the
 839 permeability tensor, b is the fracture hydraulic aperture, $\boldsymbol{\sigma}_p'$ is the solid effective stress (i.e., the
 840 poroelastic stress) tensor, \underline{u} is the solid displacement vector, α is the Biot-Willis coefficient, $\mathbf{1}$ is the
 841 Kronecker delta, \mathbb{C} is the elastic stiffness tensor under plane strain and G is the fracture shear
 842 modulus. ∇ , ∇^s , ∇_n and ∇_τ are operators for computing the gradient, the symmetric gradient, the
 843 fracture-normal gradient and the fracture-tangential gradient, and $\nabla \cdot$ is the divergence operator.

844 The presence of fractures is reflected in equation (A1) by the modification to the hydraulic storage
 845 capacity, and by equations (A4) and (A6) as the augmentation to the hydraulic conductivity and
 846 the elastic stiffness of the system. Fracture-induced nonlinearity is introduced by equation (A4)
 847 via the pressure-dependent hydraulic aperture. Additionally, by formulating the problem over a
 848 single domain, the mass exchange between fractures and the matrix is resolved by, (1) imposing
 849 an interface condition in addition to the standard Dirichlet and Neumann boundary conditions,
 850 and (2) admitting discontinuities in fracture-normal fluid flux. The model is different from the
 851 standard dual-porosity double-permeability model which requires the formulation of two
 852 interacting mass conservation laws and the use of a smearing quantity called the ‘shape factor’
 853 resulting from domain separation and regularization. The initial conditions of the primary
 854 unknowns are trivially set up as 0 since we are solving only for the changes.

855 The fluid diffusion in a fluid-saturated porous medium in the absence of fractures is governed by
 856 a simplified version of equation (A1):

$$857 \quad \left(\phi_{m0}(\underline{x})(C_m + C_p) \right) \dot{p}(\underline{x}, t) + \nabla \cdot \underline{v}(\underline{x}, t) = s(\underline{x}, t), \quad \underline{x} \in \Omega \quad (\text{A7})$$

858 A.2 Seismic Source Parameters and Scaling Laws

859 The key equations used in calculating the seismic source parameters are shown here. First, M_0
 860 can be calculated from the fracture dimension and the recorded $\Delta\tau$. Depending on the fracture
 861 geometry and the faulting regime, various formulas are available. Here, we opt for the one
 862 suitable for a rectangular dip-slip fracture (Kanamori and Anderson, 1975):

$$863 \quad M_0 = \frac{\pi(\lambda + 2\mu)}{4(\lambda + \mu)} \Delta\tau W^2 L \quad (\text{A8})$$

864 where W is the fracture width (assumed as 1 m in the numerical examples under plane strain), λ
 865 and μ are the Lamé’s constant and the shear modulus of the medium.

866 Second, M_w is calculated from M_0 following (Hanks & Boore, 1984):

$$867 \quad M_w = \frac{2}{3} (\lg M_0 - 9.1) \quad (\text{A9})$$

868 Finally, we adopt the following scaling laws that directly relate the permeability changes on a
869 fracture to the event magnitude (Ishibashi et al., 2016):

$$870 \quad \begin{aligned} k_{\perp} / k &= 116.4 \times 10^{0.46M_w} \\ k_{//} / k &= 13.1 \times 10^{0.46M_w} \end{aligned} \quad (A10)$$

871 where k_{\perp} and $k_{//}$ are the fracture permeabilities orthogonal and parallel to the fracture, and k is a
872 reference permeability of the fracture prior to slip and is related to the fracture length via a power
873 scaling law. Other methods for mapping permeability changes from induced seismicity data are
874 available (e.g., Fang et al., 2018).

875 Because of the simple linear relation between k_{\perp} and $k_{//}$, the normalized permeability changes
876 along the fracture-normal and -tangential directions, denoted as k_j^* where $j = \perp$ or $//$ and calculated
877 as $k_j^* = (k_j/k) - (k_j/k)_{\min} / ((k_j/k)_{\max} - (k_j/k)_{\min})$, are the same, therefore, both are collectively denoted
878 as k^* . This quantity is used in section 4.6.

879 A.3 Definition of Triggered and Induced Events

880 Some qualitative definitions of triggered and induced seismicity exist (e.g., McGarr & Simpson,
881 1997). Here we propose the following quantitative definition for distinguishing a triggered event
882 from an induced event based on the initial stress on a fracture in relation to the peak and residual
883 frictional strengths:

$$884 \quad \begin{aligned} \sqrt{\|\boldsymbol{\sigma}'_0 : \underline{n}\|^2 - (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n})^2} &\leq \mu_d (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}), \quad \textit{induced} \\ \mu_d (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}) &< \sqrt{\|\boldsymbol{\sigma}'_0 : \underline{n}\|^2 - (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n})^2} &\leq \mu_s (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}), \quad \textit{triggered} \end{aligned} \quad (A11)$$

885 where $\boldsymbol{\sigma}'_0$, \underline{n} , μ_s and μ_d are the same as in the main text.

886 Equation (A11) states that from a loading point of view, the key difference between the two lies
887 in that an induced event represents shear failure on a fault that is otherwise tectonically inactive
888 with respect to the background stress state, whereas a triggered event is indicative of a fault that
889 is nevertheless expected to produce an earthquake given the background stress state but the
890 process towards failure is favorably accelerated. Our definition is consistent with the
891 aforementioned one. As a result, upon seismicity, a triggered event releases a substantial amount
892 of tectonic stress whereas an induced event releases mostly anthropogenic stress.

893 A.4 Poroelastic Stress Invariants

894 The two poroelastic stress invariants are calculated according to standard formulations except for
895 the use of the effective poroelastic stress tensor $\boldsymbol{\sigma}'_p$. Under plane strain, they read:

$$896 \quad \frac{1}{3} I_1' = \frac{1}{3} (1 + \nu) (\sigma'_{px} + \sigma'_{py}) \quad (A12)$$

$$\sqrt{J_2'} = \sqrt{\frac{1}{6} \left[(\sigma'_{px} - \sigma'_{py})^2 + (\sigma'_{py} - \nu(\sigma'_{px} + \sigma'_{py}))^2 + (\sigma'_{px} - \nu(\sigma'_{px} + \sigma'_{py}))^2 \right] + (\sigma'_{pxy})^2} \quad (\text{A13})$$

898 where ν is the Poisson's ratio, σ'_{px} and σ'_{py} are the two normal components and σ'_{pxy} is the shear
899 component of σ_p' .

900 Using these two stress invariants, we define an *excess poroelastic shear stress invariant* denoted as
901 *MC*, which reads:

$$902 \quad MC = \sqrt{J_2'} - \sin(\phi) \frac{1}{3} I_1' \quad (\text{A14})$$

903 Here,

$$904 \quad \phi = \tan^{-1}(\mu_s) \quad (\text{A15})$$

905 Equation (A14) is adapted from the invariant form of the Mohr Coulomb yield function (e.g.,
906 [Borja, 2013](#)) by setting the cohesion to 0 and the Lode's angle as $\pi/6$. In a sense, *MC* is the invariant
907 form of *CFF*.

908 For case 3, equations (A12) and (A13) are used to calculate $I_1'/3$, $\sqrt{J_2'}$ and $\sqrt{J_2'} - \sin(\phi) I_1'/3$ shown
909 in Figure 7. For cases 1 and 2 devoid of the coupling effect, substituting equation (12) into
910 equations (A12) and (A13) yields the following equivalent poroelastic stress invariants (the
911 continuous instead of the discrete fluid pressure is used here):

$$912 \quad \frac{1}{3} I_1' = -\frac{2}{3} (1 + \nu) \alpha p \quad (\text{A16})$$

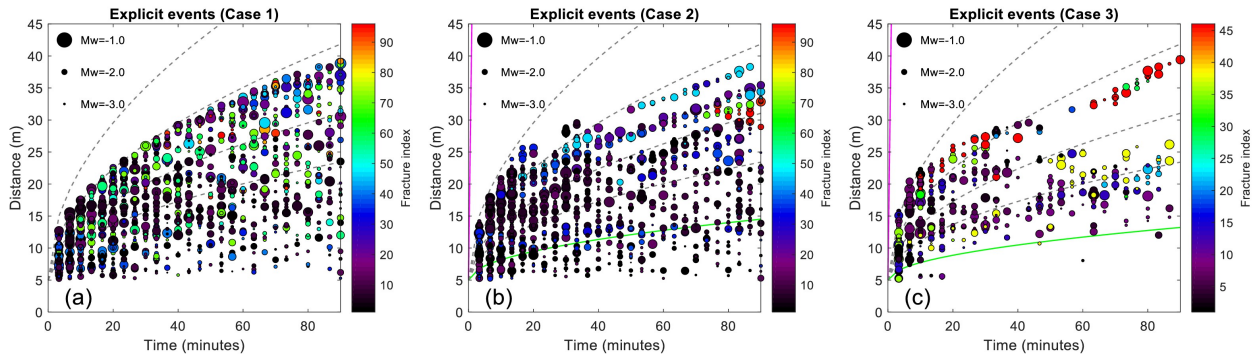
$$913 \quad \sqrt{J_2'} = 0 \quad (\text{A17})$$

914 Given the parameters used in this study, specifically, $\nu = 0.25$, $a = 0.8$ and $\mu_s = 0.6$, equation (A16)
915 predicts that $I_1'/3 \approx -0.67p$ and $\sqrt{J_2'} - \sin(\phi) I_1'/3 \approx 0.34p$ for cases 1 and 2.

916 **A.5 Associating Seismicity With The LSDF**

917 In section 4.2.2, we have pointed out that in cases 2 and 3, a positive correlation between the
918 distance and the origin time can be observed for an explicit event occurring along the *LSDF*
919 (Figure 3a) but not for an implicit event in the hosting rock (Figure 3b). Here in Figures A1b and
920 A1c, we single out the explicit events in cases 2 and 3 and plot them in the *R-T* space, and the
921 color indicates the index *I* of a fracture (equation (8)) with which an explicit event is associated.
922 For case 1, an explicit event cannot be defined; nonetheless, the events on fractures at the same
923 locations (Figure 3d) are plotted and colored with the same *I* for comparison (Figure A1a). In
924 Figures A1b and A1c, the progressive development of events along a deterministic fracture

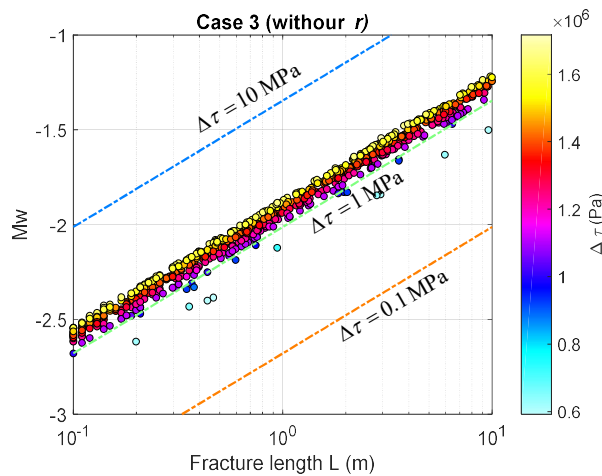
925 becomes evident, i.e., events of the same color delineate a parabolic trend. However, this cannot
 926 be observed in Figure A1a.



927
 928 **Figure A1.** *R-T* plot of the explicit seismic events colored with the associated fracture index I . (a) Case 1, (b) case 2 and
 929 (c) case 3. Notice that the notion of an explicit event only applied to cases 2 and 3. Nonetheless, for case 1, the events at
 930 the same locations are plotted for comparison.

931 **A.6 Effect of The Parameter r**

932 In section 4.5.1, we have showed the distribution of $\Delta\tau$ in relation to M_w and L , which does not
 933 vary much among the three cases. The parameter r in equation (18) is generated following a
 934 uniform distribution in all cases. Here, to show the effect of r , we run a case otherwise identical
 935 to case 3 except for the removal of r and the result is shown in Figure A2. While the model
 936 produces the same ranges of M_w and L , $\Delta\tau$ is concentrated right above 1 MPa. This is not typically
 937 observed in real data, implying that $\Delta\tau$ mostly does not reach the maximum likely stress drop.



938
 939 **Figure A2.** The distribution of $\Delta\tau$ in the M_w - L space for case 3 without considering the random parameter r in equation
 940 (18).

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