

# Hydromechanical–Stochastic Modeling of Fluid-Induced Seismicity in Fractured Poroelastic Media

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## Key Points

- Inter-seismic fracture-poro-mechanics combined with stochastic co-seismic stress drop for modeling fluid-induced seismicity in fractured poroelastic media
- Mechanics-based analysis on the spatial-temporal evolution of seismicity and the associated source parameters
- Seismicity clusters near favorably-oriented large-scale fractures and substantially inhibited by poroelastic coupling in the near field

## Abstract

We present a new method for modeling fluid-perturbation induced seismicity in a fluid-saturated poroelastic medium embedded with a dual network of fractures. The inter-seismic triggering is deterministically modeled using a quasi-static, nonlinear and fluid-solid fully coupled fracture-poro-mechanical approach that resolves only the large-scale fractures. The co-seismic dynamic rupture is not explicitly modeled. Instead, the seismicity-induced shear stress drop is approximated as a static quantity and stochastically modeled on a range computed from the evolving poroelastic stress in conjunction with the initial stress and the static and dynamic frictional strengths. These two steps are sequentially connected and then iterated via a prediction-correction type of fracture stress updating scheme, naturally producing repeating seismic events on certain fractures. As an example, we perform three progressive numerical experiments. By comparing the corresponding synthetic event catalogs, we investigate the effects of fractures and poroelastic coupling on the evolution and source characteristics of the seismicity. Main findings include (1) the seismicity clusters near large-scale fractures favorably oriented and subjected to sufficient perturbations, (2) poroelastic coupling enhances the clustering and substantially inhibits the seismicity in the nearfield and (3) source characteristics and the  $b$ -value seem not affected by fractures or poroelastic coupling. Our method can serve as a general physics-based tool for more realistically predicting induced seismicity in complex geological media.

**Keywords:** modeling, induced seismicity, fracture network, poroelastic coupling, stress drop,  $b$ -value

## 33 1. Introduction

34 Fluid injection into the subsurface perturbs the pore pressure and alters the effective stress quasi-  
35 statically, inducing seismicity on fractures of certain orientations (we hereinafter do not  
36 distinguish between a fracture and a fault in this study). This process is traditionally considered  
37 as a decoupled hydroshear process: the effective normal stress on a fracture decreases by the  
38 amount of fluid overpressure according to the simple effective stress law (Terzaghi, 1936),  
39 whereas the shear stress remains unchanged (e.g., Byerlee, 1978; Scuderi & Collettini, 2016;  
40 Mukuhira et al., 2017), resulting in a direct increase in the Coulomb stress, which, when driven  
41 from negative to zero, signifies the occurrence of seismicity. Such a decoupled mechanism  
42 remains as the basis of some prevalent statistical models of induced seismicity in a permeable  
43 porous medium (e.g., Shapiro et al., 2005; Rothert & Shapiro, 2007). In this class of models, a  
44 statistically random critical pore pressure is used as a proxy of the frictional strength of a pre-  
45 existing fracture and the pore pressure evolution is governed by simple linear fluid diffusion; the  
46 modeled spatial-temporal distribution of seismicity, however, is often inconsistent with  
47 observations. As a remedy, some nonlinear diffusion models have been developed by adding a  
48 pressure-dependent diffusivity (Hummel & Shapiro, 2012; Johann et al., 2016; Carcione et al.,  
49 2018). The diffusion-based seismicity models can be further extended by incorporating, e.g.,  
50 random stress heterogeneity (Goertz-Allmann & Wiemer, 2012), fractures following distributions  
51 derived from field observations (Verdon et al., 2015), and even empirical seismic emission criteria  
52 for generating synthetic seismograms (Carcione et al., 2015). This decoupled mechanism also  
53 underlies some studies that invert for distributions of permeability (Tarrahi & Jafarpour, 2012)  
54 and pore pressure (Terakawa et al., 2012; Terakawa, 2014) from induced seismicity data.

55 However, the decoupled mechanism inherently cannot explain the remoting triggering of  
56 seismicity in areas not subjected to pressure perturbation (Stark & Davis, 1996; Megies &  
57 Wassermann, 2014; Yeck et al., 2016); it also directly contradicts the commonly observed  
58 depletion-induced seismicity (Zoback & Zinke, 2002). Motivated by such field evidences, a large  
59 body of analytical solutions (Segall, 1985; Segall et al., 1994; Segall & Fitzgerald, 1998; Altmann et  
60 al., 2014; Segall & Lu, 2015; Jin & Zoback, 2015a) and numerical solutions (Murphy et al., 2013;  
61 Chang & Segall, 2016a; Chang & Segall, 2016b; Chang & Segall, 2017; Fan et al., 2016; Deng et al.,  
62 2016; Zbinden et al., 2017) have been proposed, providing poroelastic models of induced  
63 seismicity. At a smaller scale, numerical simulations of fluid-induced microseismicity, typically  
64 motivated by applications to the stimulation of hydrocarbon and geothermal reservoirs, have also  
65 been reported (e.g., Maillot et al., 1999; Baisch et al., 2010; Wassing et al., 2014; Zhao & Young,  
66 2011; Yoon et al., 2014; Razi-perchikolaee et al., 2014; Riffrafracture et al., 2016). Irrespective of the  
67 scale of interest, these studies substantiate that poroelastic coupling may play an important role  
68 in inducing seismicity.

69 Despite these evidences, some debates persist, mainly from those who advocate the simple  
 70 diffusion-only models (Johann et al., 2016). They claim that their diffusion models approximate  
 71 poroelastic models if the Biot-Willis coefficient  $a$  is small; they also argue that when  $a$  is less than  
 72 0.3, it is the pore pressure rather than the poroelastic stress that dominates the hydroshear on  
 73 fractures; they further question the Segall (2015, 2016a) poroelastic models in which  $a$  is greater  
 74 than 0.3, and hypothesize that for nearly impermeable rocks,  $a$  should also be negligible.  
 75 However, one must realize that  $a$  is a measurement of the rock solid's susceptibility to the  
 76 influence of the fluid and vice versa; it is not a property directly related to the permeability of the  
 77 rock itself. As a matter of fact, laboratory experiments show that  $a$  of unconventional reservoir  
 78 rocks is indeed primarily between 0.3 and 0.9 (e.g., Ma & Zoback, 2017).

79 Some other studies seek middle ground by considering the co-existence of the pore pressure effect  
 80 and the poroelastic effect such that induced seismicity is a result of both (e.g., Barbour et al., 2017;  
 81 Keranen & Weingarten, 2018). This is perhaps a misconception. Jin & Zoback (2017) demonstrated  
 82 the fundamental difference between the two. Using the Biot effective stress law (Biot, 1941) as an  
 83 example, the pore pressure effect is stated as:

$$84 \quad \sigma_p' + \alpha p \mathbf{1} = \mathbf{0} \quad (1)$$

85 whereas the poroelastic effect, more precisely, the fluid-to-solid coupling effect, arise from  
 86 solving the following conservation law:

$$87 \quad \nabla \cdot (\sigma_p' + \alpha p \mathbf{1}) = 0 \quad (2)$$

88 Here in equations (1) and (2),  $p$  is the fluid overpressure,  $\sigma_p'$  is the associated change in the  
 89 effective stress tensor (both are quasi-static perturbations to their respective initial reference state)  
 90 and  $\mathbf{1}$  is the Kronecker delta.  $\sigma_p'$  is the fundamental reason driving changes in the stress on a  
 91 fracture and inducing seismicity. Since the linear momentum should be always conserved  
 92 between the perturbations, one must solve for  $\sigma_p'$  from equation (2) instead of simply assuming  
 93  $\sigma_p' = -\alpha p \mathbf{1}$  as is stated by equation (1). As a matter of fact,  $\sigma_p' \neq -\alpha p \mathbf{1}$  as long as  $p$  is not spatially  
 94 uniform (i.e., a pressure gradient is present,  $\nabla p \neq 0$ ). For any fluid saturated media, the poroelastic  
 95 coupling effect is the true and only effect; the pore pressure effect is the 'reduced' poroelastic  
 96 effect when the pressure gradient vanishes and the two should not be considered as co-existing  
 97 effects.

98 Poroelastic coupling is undoubtedly the mechanism behind induced seismicity. However, the  
 99 exact role it plays and its influence on the source characteristics remain somewhat unclear.  
 100 Furthermore, the aforementioned poroelastic models only include fractures very limited in  
 101 distribution, therefore, the role of fractures cannot be sufficiently explored, neither. The fractures  
 102 are also explicitly represented as entities following the same fluid and solid rheologies as the  
 103 hosting rock, therefore, the medium is effectively 'porous' only. Such simplifications may come

104 with certain consequences. Some studies suggest that accounting for both poroelastic coupling  
 105 and an arbitrary discrete fracture network (DFN) permitted to have different constitutive  
 106 behaviors can lead to radically different modeling outcomes (Jin & Zoback, 2016a; Jin & Zoback,  
 107 2016b). Pertaining to this issue, some studies resolve very regularly distributed fractures (e.g.,  
 108 Safari & Ghassemi, 2016); others attempt to include an arbitrary DFN, among which, e.g., some  
 109 focus on the fluid pressure and solid deformation only within fractures but not the hosting rock  
 110 (Farmahini-Farahani & Ghassemi, 2016), some consider coupling only upon the occurrence of  
 111 seismicity (Brueel, 2007). None of these models produces repeating events frequently detected in  
 112 catalogs of induced seismicity (e.g., Baisch & Harjes, 2003; Moriya et al., 2003; Deichmann et al.,  
 113 2014; Duverger et al, 2015).

114 To date, a general method for modeling fluid-induced seismicity accounting for arbitrary  
 115 fractures and poroelastic coupling is lacking. We are therefore motivated to develop the following  
 116 new method aimed for a fractured poroelastic medium. It combines the deterministic modeling  
 117 of inter-seismic, quasi-static and hydromechanically coupled triggering and the stochastic  
 118 modeling of co-seismic shear stress drop, both repeated over multiple seismic cycles. It is capable  
 119 of not only realistically predicting the spatial-temporal evolution of seismicity but also generating  
 120 a synthetic event catalog that allows for the exploration of the role of model physics as well as  
 121 their connections to observations. Details are described below.

## 122 2. Methodology

### 123 2.1 Sources of Fracture Stress

124 Given a location  $\underline{x}$  and a time  $t$  over  $\Omega \times [0, T]$  where  $\Omega$  is the domain of interest and  $[0, T]$  is the  
 125 time interval, the effective stress tensor  $\sigma'(\underline{x}, t)$  in a fluid-saturated poroelastic medium  
 126 undergoing seismicity can be decomposed as the following:

$$127 \quad \sigma'(\underline{x}, t) = \sigma_0'(\underline{x}) + \sigma_p'(\underline{x}, t) + \sum_j \sigma_s'(\underline{x}, t_j^* + \delta t_j) \quad (3)$$

128 where  $\sigma_0'(\underline{x})$  is the initial in-situ effective stress tensor,  $\sigma_p'(\underline{x}, t)$  is the fluid perturbation-induced  
 129 effective stress tensor relative to  $\sigma_0'(\underline{x})$  (same as in equation (1) and (2)) and  $\sigma_s'(\underline{x}, t_j^* + \delta t_j)$  is the slip-  
 130 induced change in the effective stress tensor over the  $j^{\text{th}}$  co-seismic interval where  $t_j^*$  and  $\delta t_j$  are  
 131 the associated beginning time and duration.  $\sigma_0'(\underline{x})$  is time-independent and in principle permits  
 132 heterogeneity;  $\text{tr}(\sigma_p'(\underline{x}, t))$  (the diagonal sum) is fully coupled with the negative gradient of the  
 133 associated fluid pressure  $p(\underline{x}, t)$  and the two must be solved for simultaneously; in  $\sigma_s'(\underline{x}, t_j^* + \delta t_j)$ ,  
 134  $\delta t_j \ll t$  such that relative to the time scale relevant to a complete seismic cycle,  $\delta t_j \approx 0$  and  $\sigma_s'(\underline{x}, t_j^* + \delta t_j)$   
 135 can be approximated as a static quantity:

$$136 \quad \sigma_s'(\underline{x}, t_j^* + \delta t_j) \approx \sigma_{sj}'(\underline{x}) \quad (4)$$

137 The stress on a fracture intersecting  $\underline{x}$  and at  $t$  is given by:

$$138 \quad \sigma_n'(\underline{x}, t) = \boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n} \quad (5)$$

$$139 \quad \tau(\underline{x}, t) = \left[ \|\boldsymbol{\sigma}'(\underline{x}, t) \cdot \underline{n}\|^2 - (\boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n})^2 \right]^{\frac{1}{2}} \quad (6)$$

$$140 \quad CFF(\underline{x}, t) = \tau(\underline{x}, t) - \mu_s \sigma_n'(\underline{x}, t) \quad (7)$$

141 In equations (5) - (7),  $\sigma_n'(\underline{x}, t)$ ,  $\tau(\underline{x}, t)$  and  $CFF(\underline{x}, t)$  are the effective normal stress, the shear stress  
 142 and the Coulomb Failure Function (i.e., the Coulomb stress,  $\leq 0$ ) on the fracture of interest, and  
 143  $\underline{n}$  and  $\mu_s$  are the unit normal vector and the static frictional coefficient of the fracture.

144 Equations (3) - (7) show that  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  and  $\boldsymbol{\sigma}_{sj}'(\underline{x})$  are the two primary sources driving changes in  
 145 the stress on a fracture. In general, for  $\sigma_n'(\underline{x}, t)$ ,  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  can either increase or decrease it whereas  
 146  $\boldsymbol{\sigma}_{sj}'(\underline{x})$  causes minor variations to it except near fracture tips; for  $\tau(\underline{x}, t)$ ,  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  compensates, albeit  
 147 possibly negatively depending on the configuration, the fracture for the shear stress loss resulting  
 148 from  $\boldsymbol{\sigma}_{sj}'(\underline{x})$ .

149 To model induced seismicity in a fractured poroelastic medium, one must go through equations  
 150 (3)-(7) and check  $CFF(\underline{x}, t)$  against 0 to determine if seismicity occurs; if yes, the effective stress  
 151 tensor needs be updated ( $j=j+1$ ) for the next seismic cycle. This process needs to be repeated  
 152 iteratively for all fractures at all time steps. For a given fracture that has undergone at least one  
 153 seismic cycle, equations (3)-(6) yield a complete stress path associated with this cycle in the  
 154 fracture effective normal stress-shear stress space.  $CFF$  remains constrained below 0 throughout  
 155 the process.

156 The major computational cost then arises from the calculation of  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  over the quasi-static  
 157 inter-seismic (i.e., pre-seismic and post-seismic) phase and  $\boldsymbol{\sigma}_s'(\underline{x}, t_j^* + \delta t_j)$  (or  $\boldsymbol{\sigma}_{sj}'(\underline{x})$ ) over the co-  
 158 seismic phase. Notice these two quantities can be solved for separately if assuming a linearly  
 159 elastic solid irrespective of the fluid which can behave either linearly or nonlinearly. The former  
 160 can be sufficiently addressed using our [Jin & Zoback \(2017\)](#) computational model; for a detailed  
 161 description on the latter process resulting from a fully dynamic and spontaneously rupturing  
 162 seismic event while considering the effect of  $\boldsymbol{\sigma}_p'(\underline{x}, t)$ , we refer the reader to [Jin & Zoback \(2018a,](#)  
 163 [2018b\)](#). In this study, we are concerned only with the inter-seismic evolution of induced seismicity  
 164 but not the co-seismic dynamic changes (i.e., wave propagation), therefore, instead of solving for  
 165 both  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  and  $\boldsymbol{\sigma}_s'(\underline{x}, t_j^* + \delta t_j)$  for updating the fracture stress, we will instead solve only for  $\boldsymbol{\sigma}_p'(\underline{x},$   
 166  $t)$  and then insert it into a stress updating algorithm to indirectly account for seismicity-induced  
 167 stress changes on a fracture. The details of these two steps are given in the following two sections.

## 168 2.2 Fracture-Poro-Mechanical Modeling

169 The objective here is to calculate  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  as an input for updating the fracture stress. As mentioned  
 170 above,  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  must be solved for together with the associated fluid pressure  $p(\underline{x}, t)$  in a fully

171 coupled manner. Aside from the full coupling itself, another major challenge lies in that both are  
 172 a function of the arbitrary network of pre-existing fractures spanning over a wide range of scales.  
 173 While accounting for all fractures is probably computationally intractable, the subset of fractures  
 174 at a scale comparable to the size of the model domain of interest must be deterministically  
 175 resolved, as they have amply been demonstrated to have a first-order control of the modeling  
 176 outcome (Berkowitz, 2002; Vujevic' et al., 2014; Hirthe & Graf, 2015; Hardebol et al., 2015). We  
 177 hereinafter refer to these fractures as the *large-scale deterministic fractures (LSDF)*, which can be  
 178 expressed as:

$$179 \quad LSDF = \bigcup_i^N F_i \quad (8)$$

180 where  $F_i$  is the  $i^{\text{th}}$  large-scale fracture and  $N$  is the total number of large-scale fractures.

181 We will also refer to the step solving for the fully coupled  $\sigma_p'(\underline{x}, t)$  and  $p(\underline{x}, t)$  by considering the  
 182 *LSDF* as the *fracture-poro-mechanical modeling*. Within the framework of Biot's theory of  
 183 poroelasticity, Jin & Zoback (2017) formulated the problem of fluid-solid fully coupled quasi-  
 184 static poromechanics of an arbitrarily fractured and deformable porous solid saturated with a  
 185 single-phase compressible fluid. Several key governing equations together with a brief  
 186 description can be found in appendix A.1. This model is adopted here. To investigate the effect  
 187 of the *LSDF* and the effect of poroelastic coupling on seismicity, we construct the following three  
 188 progressive cases, each physically more representative than the previous, see Table 1.

189 **Table 1.** Three progressive cases

Case	Governing equations	Description
1	equations (A7), (A3)	Fluid diffusion in a porous medium
2	equations (A1), (A3), (A4)	Fluid diffusion in a fractured porous medium (adding the effect of the <i>LSDF</i> to case 1)
3	equations (A1)-(A5)	Coupled fluid diffusion and solid stressing in a fractured poroelastic medium (adding the effect of poroelastic coupling to case 2)

190 Case 1 states a standard fluid-diffusion problem in a fluid-saturated porous medium; case 2 is  
 191 similar to case 1 except for the addition of the *LSDF* contributing to the fluid-diffusion; case 3  
 192 describes an otherwise complete poroelastic problem in a fractured medium except for the  
 193 exclusion of equation (A6), which can render the modeled stress highly heterogeneous  
 194 characterized by concentration, compartmentalization and apparent discontinuities (Jin &  
 195 Zoback, 2017). To single out the effect of poroelastic coupling, equation (A6) is not considered in  
 196 this study such that meaningful comparisons can be made between cases 2 and 3.

197 In seeking for a numerical solution, Jin & Zoback (2017) developed a hybrid-dimensional two-  
 198 field mixed finite element method for efficient space discretization while preserving the  
 199 distribution of a given set of deterministic fractures; the solution of the fully coupled semi-  
 200 discrete system is advanced in time in a fully coupled manner (as opposed to a sequentially



201 coupled manner) following a fully implicit (backward Euler) finite difference scheme; within each  
 202 time step, the resulting nonlinear and fully discrete equation is solved using a Newton-Raphson  
 203 solver. This technique is adopted for case 3. For case 1, the discretization is done in space using a  
 204 standard Galekin finite element method and in time using a backward Euler scheme; no  
 205 linearization is needed. For case 2, the discretization and linearization procedures resemble those  
 206 in case 3 except for the use of a single-field interpolation scheme. To illustrate the differences, for  
 207 cases 1-3, we give their respective semi-discrete form of the governing laws shown in Table 1 after  
 208 space discretization. They read:

$$209 \quad \tilde{\mathbf{M}}_m \dot{\hat{\zeta}}_m + \mathbf{K}_m \hat{\zeta}_m = \underline{F}_1 \quad (9)$$

$$210 \quad \left( \mathbf{M}_m + \sum_I^N \mathbf{M}_{F_I}(\hat{\zeta}_{F_I}) \right) \dot{\hat{\zeta}}_m + \left( \mathbf{K}_m + \sum_I^N \mathbf{K}_{F_I}(\hat{\zeta}_{F_I}) - \sum_J^{N_I} \mathbf{K}_{mF_J}(\hat{\zeta}_{F_J}) + \sum_K^{N_{II}} \mathbf{K}_{F_K m} \right) \hat{\zeta}_m = \underline{F}_2 \quad (10)$$

$$211 \quad \begin{bmatrix} \mathbf{M}_m + \sum_I^N \mathbf{M}_{F_I}(\hat{\zeta}_{F_I}) & -\mathbf{C}^T \\ \mathbf{0} & \mathbf{0} \end{bmatrix} \begin{Bmatrix} \dot{\hat{\zeta}}_m \\ \underline{\hat{d}}_m \end{Bmatrix} + \begin{bmatrix} \mathbf{K}_m + \sum_I^N \mathbf{K}_{F_I}(\hat{\zeta}_{F_I}) - \sum_J^{N_I} \mathbf{K}_{mF_J}(\hat{\zeta}_{F_J}) + \sum_K^{N_{II}} \mathbf{K}_{F_K m} & \mathbf{0} \\ \mathbf{C} & \mathbf{G}_m \end{bmatrix} \begin{Bmatrix} \hat{\zeta}_m \\ \underline{d}_m \end{Bmatrix} = \begin{Bmatrix} \underline{F}_3 \\ \underline{Y} \end{Bmatrix} \quad (11)$$

212 where  $\tilde{\mathbf{M}}$  and  $\mathbf{M}$  are the fluid storage capacity matrix without and with the presence of fractures,  
 213  $\mathbf{K}$  is the hydraulic conductivity/transferability matrix,  $\mathbf{G}$  is the stiffness matrix,  $\mathbf{C}$  is the coupling  
 214 matrix,  $\underline{F}_1$ ,  $\underline{F}_2$  and  $\underline{F}_3$ , which take different forms, are the external nodal mass for cases 1-3,  $\underline{Y}$  is the  
 215 external nodal force,  $\hat{\zeta}$  and  $\underline{\hat{d}}$  are the nodal fluid pressure and solid displacement vectors,  
 216 subscripts ' $m$ ' and ' $F$ ' indicate quantities associated the porous matrix and the *LSDF*, subscripts  
 217 ' $mF$ ' and ' $Fm$ ' indicate matrix-to-fracture and fracture-to-matrix interactions,  $I$  and  $N$  are the same  
 218 as in equation (8), and  $J$  and  $K$  are the index of a fracture within the so-called *type-I* and *type-II*  
 219 subsets and  $N_I$ ,  $N_{II}$  are the respective number of fractures,  $N_I + N_{II} = N$ . The detailed expressions of  
 220 the above matrices and vectors can be found in [Jin & Zoback \(2017\)](#).  $\tilde{\mathbf{M}}$ ,  $\underline{F}_1$ ,  $\underline{F}_2$  can be obtained by  
 221 removing the fracture effect and/or the coupling effect from their respective counterparts.

222 Solving the respective fully discrete form of equations (9)-(11) allows us to calculate  $\sigma_p'(x, t)$  as an  
 223 input for the subsequent seismicity modelling. For cases 1 and 2,  $\sigma_p'(x, t)$  is in a standard tensor  
 224 notation and it reads, following a compressive stress/pressure positive notation as is used in this  
 225 study, the following:

$$226 \quad \sigma_p'(x, t) = -\alpha \hat{\zeta}(x, t) \mathbf{1} \quad (12)$$

227 where  $\alpha$  is the Biot-Willis coefficient, and  $\mathbf{1}$  is the Kronecker delta (see also appendix A.1).

228 and for case 3,  $\sigma_p'(x, t)$  is in the so-called Voigt notation and it is calculated from  $\underline{\hat{d}}(x, t)$  as:

$$229 \quad \sigma_p'(x, t) = \mathbf{DB} \underline{\hat{d}}(x, t) \quad (13)$$

230 where  $\mathbf{B}$  is standard displacement-strain transformation matrix and  $\mathbf{D}$  is the elastic stiffness  
 231 matrix.

### 232 2.3 Seismicity Modeling

233 The main task here is to update the stress on fractures resulting from  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  and, if seismicity  
 234 occurs, from  $\boldsymbol{\sigma}_{sj}'(\underline{x})$ . To do so, we consider a dual network of fractures, hereinafter referred to as  
 235 the  $DF$ . It consists of two complementary subsets A and B, where the subset A, denoted as  $\widetilde{LSDF}$ ,  
 236 is an approximation to the  $LSDF$  using a series of discrete fractures and the subset B is a stochastic  
 237 representation of small-scale fractures typically found in the surrounding hosting rock and is  
 238 hereinafter referred to as the  $SSSF$ . The above description can be summarized as:

$$239 \quad DF = \widetilde{LSDF} \cup SSSF = \left( \bigcup_a^{n_a} f_a \right) \cup \left( \bigcup_b^{n_b} f_b \right) \quad (14)$$

240 where  $f_a$  is the  $a^{\text{th}}$  fracture in the subset A,  $f_b$  is the  $b^{\text{th}}$  fracture in the subset B, and  $n_a$  and  $n_b$  are the  
 241 respective total number of fractures.

242 The  $DF$  given by equation (14) is used for the seismicity modeling. For the reasons explained in  
 243 section 2.1, we will update the fracture stress first using only  $\boldsymbol{\sigma}_p'(\underline{x}, t)$  and then correct for changes  
 244 due to  $\boldsymbol{\sigma}_{sj}'(\underline{x})$ . To do so, we make three assumptions. First, fracture slip causes negligible changes  
 245 in the effective normal stress on the fracture. This is an acceptable assumption for the area on the  
 246 fracture not immediately near its tips. From equation (5), this reads:

$$247 \quad \boldsymbol{\sigma}_{sj}'(\underline{x}) : \underline{n} \otimes \underline{n} \approx 0 \quad (15)$$

248 Equation (15) implies that,

$$249 \quad \boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n} \approx \left( \boldsymbol{\sigma}_0'(\underline{x}) + \boldsymbol{\sigma}_p'(\underline{x}, t) \right) : \underline{n} \otimes \underline{n} \quad (16)$$

250 On the other hand, the shear stress on the fracture stated by equation (6), when accounting for  
 251 the effect of  $\boldsymbol{\sigma}_{sj}'(\underline{x})$ , can be re-written in the following form:

$$252 \quad \sqrt{\left\| \boldsymbol{\sigma}'(\underline{x}, t) \cdot \underline{n} \right\|^2 - \left( \boldsymbol{\sigma}'(\underline{x}, t) : \underline{n} \otimes \underline{n} \right)^2} = \sqrt{\left\| \left( \boldsymbol{\sigma}_0'(\underline{x}) + \boldsymbol{\sigma}_p'(\underline{x}, t) \right) \cdot \underline{n} \right\|^2 - \left( \left( \boldsymbol{\sigma}_0'(\underline{x}) + \boldsymbol{\sigma}_p'(\underline{x}, t) \right) : \underline{n} \otimes \underline{n} \right)^2} - \sum_j \Delta \tau_j \quad (17)$$

253 Here,  $\Delta \tau_j$  is the shear stress drop on the fracture due to the  $j^{\text{th}}$  co-seismic interval. Our second  
 254 assumption reads:

$$255 \quad \Delta \tau_j = r \Delta \tau_{j \max} \quad (18)$$

256 Here,

$$257 \quad \Delta \tau_{j \max} = (\mu_s - \mu_d) \left( \boldsymbol{\sigma}_0'(\underline{x}) + \boldsymbol{\sigma}_p'(\underline{x}, t_j^*) \right) : \underline{n} \otimes \underline{n} \quad (19)$$



258 In equations (18) and (19),  $\mu_d$  is the dynamic frictional coefficient of the fracture as is typically  
 259 used in a slip-weakening law (Andrews, 1976),  $\Delta\tau_{j\max}$  is the maximum likely shear stress drop and  
 260  $r$  is a stochastic parameter between 0 and 1 to account for the potential non-full degree of shear  
 261 stress drop (see also Verdon et al., 2015). In this study, we let the probability density function of  
 262  $r$  follow a uniform distribution. Equations (18) and (19) state that (1) the new shear stress on a  
 263 fracture due to seismicity is constrained above a lower bound defined by the residual frictional  
 264 strength of the fracture and (2), more importantly, the maximum likely shear stress drop is  
 265 dictated by the evolution of the poroelastic stress. This is different from directly prescribing the  
 266 shear stress drop (e.g., Izadi & Elsworth, 2014).

267 Based on the above two assumptions, we propose the following incremental fracture stress  
 268 updating algorithm, as is shown in List 1.

269 **List 1.** Incremental fracture stress updating algorithm for the seismicity modeling

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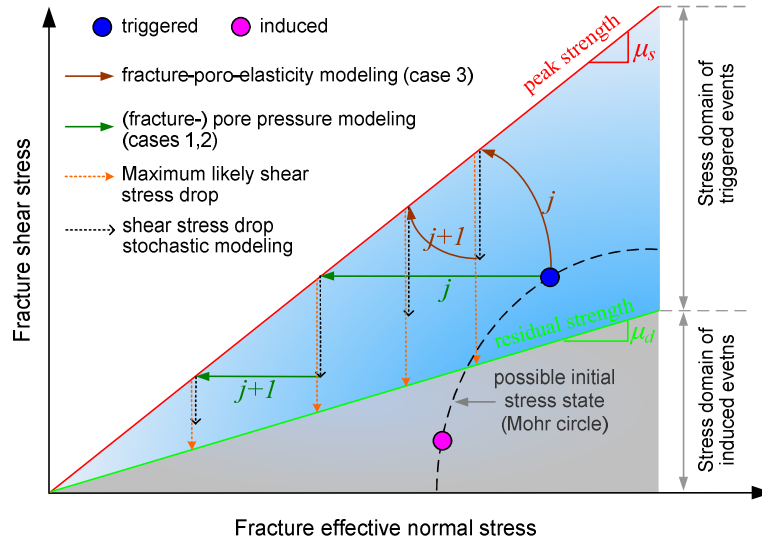
for fracture  $f_i$  % within the DF, equation (14)
  for time step  $t_k$ 
    get  $\sigma_p'(f_i, t_k)$ ,  $\sigma_p'(f_i, t_{k-1})$  % section 2.2
    get  $\sigma_n'(f_i, t_{k-1})$ ,  $\tau(f_i, t_{k-1})$ ,  $CFF(f_i, t_{k-1})$  from  $t_{k-1}$ 
    predict  $\tilde{\sigma}_n'(f_i, t_k)$ ,  $\tilde{\tau}(f_i, t_k)$ ,  $\tilde{CFF}(f_i, t_k)$  from  $\sigma'(f_i, t_k) = \sigma_p'(f_i, t_k) + \sigma_0'(f_i)$  % equations (5)-(7)
    % incremental poroelastic stress compensation on the fracture (inter-seismic)
     $\sigma_n'(f_i, t_k) = \sigma_n'(f_i, t_{k-1}) + (\tilde{\sigma}_n'(f_i, t_k) - \sigma_n'(f_i, t_{k-1}))$ 
     $\tau(f_i, t_k) = \tau(f_i, t_{k-1}) + (\tilde{\tau}(f_i, t_k) - \tau(f_i, t_{k-1}))$ 
     $CFF(f_i, t_k) = CFF(f_i, t_{k-1}) + (\tilde{CFF}(f_i, t_k) - CFF(f_i, t_{k-1}))$ 
    % correction for seismicity-induced shear stress drop on the fracture, if any (co-seismic)
    if  $CFF(f_i, t_k) \geq 0$ 
       $\Delta\tau(f_i, t_k) = r(\mu_s - \mu_d)\sigma_n'(f_i, t_k)$  % equations (18), (19)
       $\tau(f_i, t_k) = \mu_s\sigma_n'(f_i, t_k) - \Delta\tau(f_i, t_k)$  % update the fracture shear stress
       $CFF(f_i, t_k) = \tau(f_i, t_k) - \mu_s\sigma_n'(f_i, t_k) = -\Delta\tau(f_i, t_k)$  % update the fracture CFF
      nos=nos+1 % number of seismic cycle
      record and calculate seismic source parameters % appendix A.2
    end
  end
end
end

```

---

270 In List 1, the fracture  $f_i$  needs to be associated with a stress tensor  $\sigma_p'(f_i, t)$ . Since  $f_i$  can intersect  
 271 multiple elements (or Gauss integration points if using high-order finite elements), as the third  
 272 assumption, we will use only the stress tensor from the element nearest to its center. The above  
 273 algorithm automatically considers multiple seismic cycles and therefore is naturally capable of  
 274 modeling repeating seismic events. We are now at a place to model fluid-induced seismicity in a  
 275 fluid-saturated and fractured poroelastic medium, see Figure 1 for a schematic illustration. A  
 276 complete seismicity catalog containing information on, e.g., the event origin time  $t_0$ , the location  
 277  $\underline{x}$ , the shear stress drop  $\Delta\tau$ , the seismic moment  $M_0$ , the moment magnitude  $M_w$ , the fracture  
 278 length  $L$ , the initial Coulomb stress  $CFF_0$  and the permeability change  $k^*$ , can be assembled.

279 Several key equations for calculating these parameters are outlined in appendix A.2. Notice in  
 280 equation (A8), a unit length along the third dimension is used. Additionally, the definitions of a  
 281 triggered event and an induced event are given in appendix A.3 and they will be used later for  
 282 classifying the modeled events.



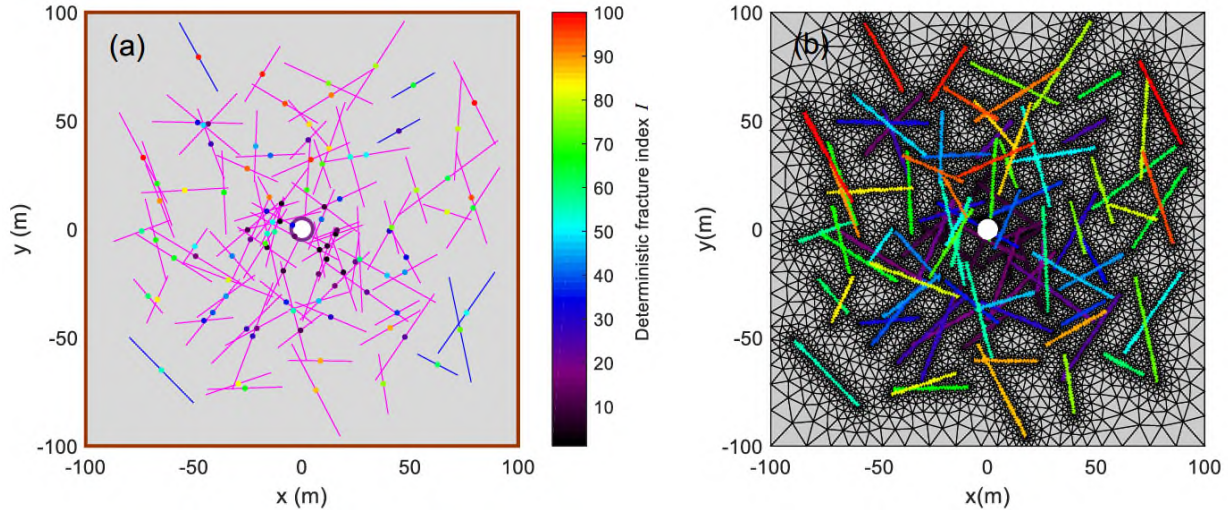
283  
 284 **Figure 1.** Schematic illustration (not to scale) of the hydromechanical-stochastic modeling of fluid-induced seismicity  
 285 in a fluid-filled and fractured poroelastic medium plotted in the fracture effective normal stress-shear stress space.  
 286 Based on the peak and residual frictional strengths of a fracture, as are depicted by the red and green lines, the space  
 287 is divided into two parts defining the initial stress domain for a triggered event and an induced event, respectively.  
 288 The blue and magenta dots are given as two examples, both located on a Mohr circle defined by  $\sigma_0'(\underline{x})$ . For either type  
 289 of event, the seismicity modeling consists of two steps. The first step is to predict the fracture stress by compensating  
 290 the fracture with  $\sigma_p'(\underline{x}, t)$ , which requires the pore pressure modeling for case 1, the fracture-pore pressure modeling  
 291 for case 2 and the fracture-poro-mechanical modeling for case 3, the latter two resolving the *LSDF*. The outcome of this  
 292 step is indicated by the green and red arrows. The second step, which does not vary among the three cases, is to  
 293 stochastically model  $\Delta\tau$  on the fracture as indicated by the dashed arrows to approximately account for the effect of  
 294  $\sigma_s'(\underline{x})$ ; meanwhile,  $\Delta\tau$  remains constrained on a range  $\Delta\tau_{\max}$  as is indicated by the yellow arrows and it is computed  
 295 from  $\sigma_p'(\underline{x}, t)$  in conjunction with  $\sigma_0'(\underline{x})$ . Two consecutive seismic cycles  $j$  and  $j+1$  are shown, and the complete stress  
 296 updating scheme is given in List 1.

### 297 3. Model Set-up

#### 298 3.1 Step 1 for Fracture-Poro-Mechanical Modeling

299 As a numerical example, we construct a  $200\text{ m} \times 200\text{ m}$  2D domain representing a fracture-hosting  
 300 porous rock. For cases 2 and 3, we resolve a *LSDF* with 100 members with their length ranging  
 301 from 20 m to 50 m, and orientation, from 0 to  $360^\circ$ , see Figure 2a. The model domain is then  
 302 discretized in space, see Figure 2b, to arrive at the semi-discrete forms given by equations (10)  
 303 and (11). For case 1, no fracture is present; nevertheless, for meaningful comparisons, the same  
 304 mesh is used for arriving at equation (9). For cases 2 and 3, the nominal model parameters,

305 including the hydraulic and mechanical properties, the coupling coefficient (i.e., the Biot-Willis  
 306 coefficient  $\alpha$ ), the fluid and solid boundary values and the time-stepping parameter are identical  
 307 to those in Jin & Zoback (2017). Of our particular interest is the hydraulic diffusivity of the hosting  
 308 rock and the *LSDF* in cases 2 and 3, which are  $9.95 \times 10^{-4} \text{ m}^2/\text{s}$  and  $6.64 \text{ m}^2/\text{s}$ , respectively. For case  
 309 1, the parameters are also the same except for the permeability of the hosting rock, which is 23  
 310 mD, leading to a hydraulic diffusivity  $D_h = 0.03 \text{ m}^2/\text{s}$ . The rationale behind the choice of this  
 311 number is explained in section 4.3. For all cases, a plane strain assumption is made.



312

313 **Figure 2.** (a) The model domain for cases 2 and 3. It consists of a *LSDF* embedded within an otherwise porous matrix.  
 314 Each dot represents the center of the associated fracture, and the color suggests the index  $I$  (see equation (8)). Magenta  
 315 and blue lines represent interconnected and isolated fractures in relation to the fluid boundaries (or the external fluid  
 316 source) as are depicted by the purple circle and the dark red lines; they require different treatment of the mass exchange  
 317 with the surrounding matrix. For case 1, the *LSDF* is removed from the domain. (b) Conforming space discretization  
 318 of the fractured domain and the resulting unstructured triangular finite elements used in arriving at the semi-discrete  
 319 forms. For case 3, all elements represent the porous hosting rock; the grey elements are the standard two-field (fluid  
 320 pressure, solid displacement) mixed FE elements; the colored elements are ‘hybrid’ mixed elements in which at least  
 321 one edge is also used as a lower-dimensional element to discretize the fractures; the color of an element indicates the  
 322  $I^{\text{th}}$  deterministic fracture with which it is associated. If a hybrid element conforms to multiple fractures, only the largest  
 323  $I$  is used for coloring. For case 2, the elements have similar meanings as in case 3 except they are no longer mixed (i.e.,  
 324 only used for interpolating the fluid pressure). For case 1, all elements are the standard single-field elements. Adapted  
 325 from Jin & Zoback (2017).

### 326 3.2 Step 2 for Seismicity Modeling

327 The next step is to set up the *DF* for the seismicity modeling, see Figure 3, and this involves two  
 328 sub-steps, see equation (14). Take cases 2 and 3 for example, the first sub-step is to approximate  
 329 the *LSDF* shown in Figure 2a with a  $\widetilde{LSDF}$  as the subset A, see Figure 3a, by honoring the original  
 330 locations and orientations. The second sub-step is to construct a *SSSF* in the hosting rock as the  
 331 subset B, see Figure 3b; in principle, this can be derived from a statistical model if data is available.  
 332 In this example, for simplicity and this does not change the generality of our method, we assign

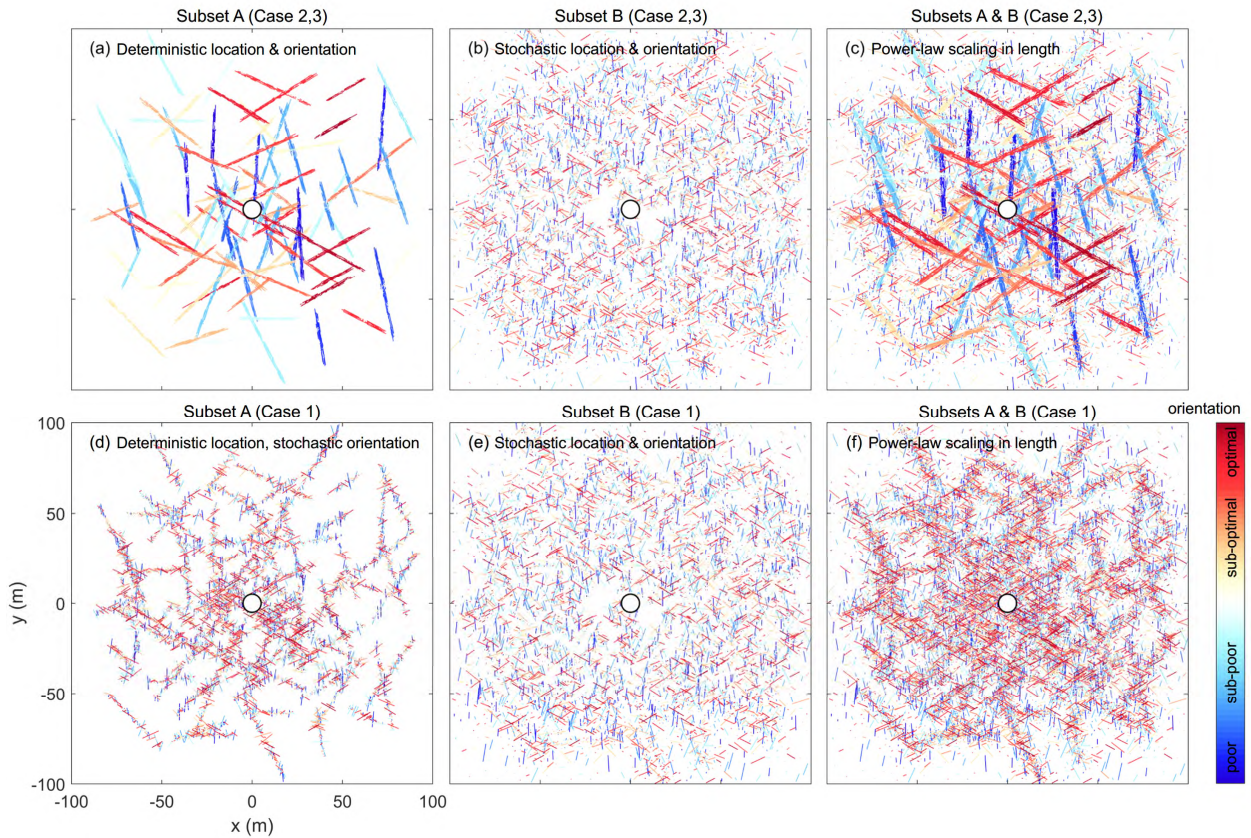


333 only one fracture to each element center shown in Figure 2b as the modeling of fracture locations;  
 334 for subset A, the orientations are the same as the associated deterministic fracture; for subset B,  
 335 the orientations are randomly generated following a uniform distribution on  $[0, 360^\circ]$ . Subsets A  
 336 and B constitute the complete *DF* for the seismicity modeling, see Figure 3c. In this process, the  
 337 fracture length is generated by obeying the following well-established scaling relation, which  
 338 states that the number of fractures within a natural fracture system scales with the fracture length  
 339 according to a power law (e.g., Bonnet et al., 2001; Johri & Zoback, 2014; Jin & Zoback, 2015b):

$$340 \quad N = CL^{-D} \quad (20)$$

341 where  $N$  is the number of fractures of length  $L$ ,  $C$  is a site-specific constant and  $D$  is the so-called  
 342 fractal dimension and a typical value is between 1 and 2. In this study,  $C=1.6861$  and  $D=1.0015$   
 343 (further details in section 4.5.2).

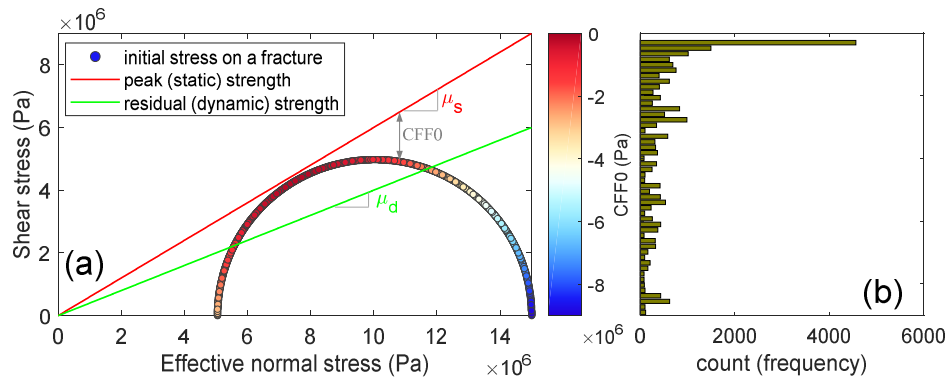
344 The generated  $L$  is randomly distributed to all fractures shown in Figure 3c. For case 1, the above  
 345 two sub-steps are repeated, however, in the first sub-step, the fracture orientations no longer  
 346 honor the original ones. The resulting two subsets of fractures are shown in Figures 3d and 3e  
 347 and the complete *DF* is shown in Figures 3f.



348  
 349 **Figure 3.** The dual fracture network (*DF*, equation (14)) consisting of 12800 fractures used for the seismicity modeling,  
 350 shown together with its two subsets A and B. (a)-(c) Cases 2 and 3, and (d)-(f) case 1. Figures 3(a) shows the subset A

351 with deterministic fracture locations and orientations as an approximation to the *LSDF* shown in Figure 2a; Figure 3(b)  
 352 shows the subset B as a stochastic realization of fractures in the hosting rock; Figure 3(c) shows the hybrid deterministic-  
 353 stochastic *DF* in which the fracture length distribution follows a realistic power-law scaling relation. Figures 3d-3f  
 354 resemble Figures 3a-3c except for the stochastic fracture orientation in Figure 3d. In all figures, the warm color indicates  
 355 the fracture is favorably oriented with respect to  $\sigma_0'$  whereas the cool color indicates otherwise.

356 For all cases, the same parameters are used:  $\mu_s=0.6$ ,  $\mu_d=0.4$  and  $\sigma_0' = [15\ 0; 0\ 5.05]$  MPa. Under the  
 357 given  $\sigma_0'$ , the initial effective normal stress and shear stress on all fractures are calculated, forming  
 358 a Mohr circle, see Figure 4a, where the color indicates the associated initial Coulomb stress  $CFF_0$ .  
 359 The same color scale is used in Figure 3 to show the susceptibility of a fracture to slip with respect  
 360 to  $\sigma_0'$ . The peak and residual frictional strengths, calculated from  $\mu_s$  and  $\mu_d$ , respectively, are also  
 361 shown in Figure 4a. Figure 4a also indicates that the domain is nearly critically stressed. Figure  
 362 4b shows the distribution of  $CFF_0$ , which is no longer uniform, despite a uniform distribution in  
 363 the fracture orientation.



364

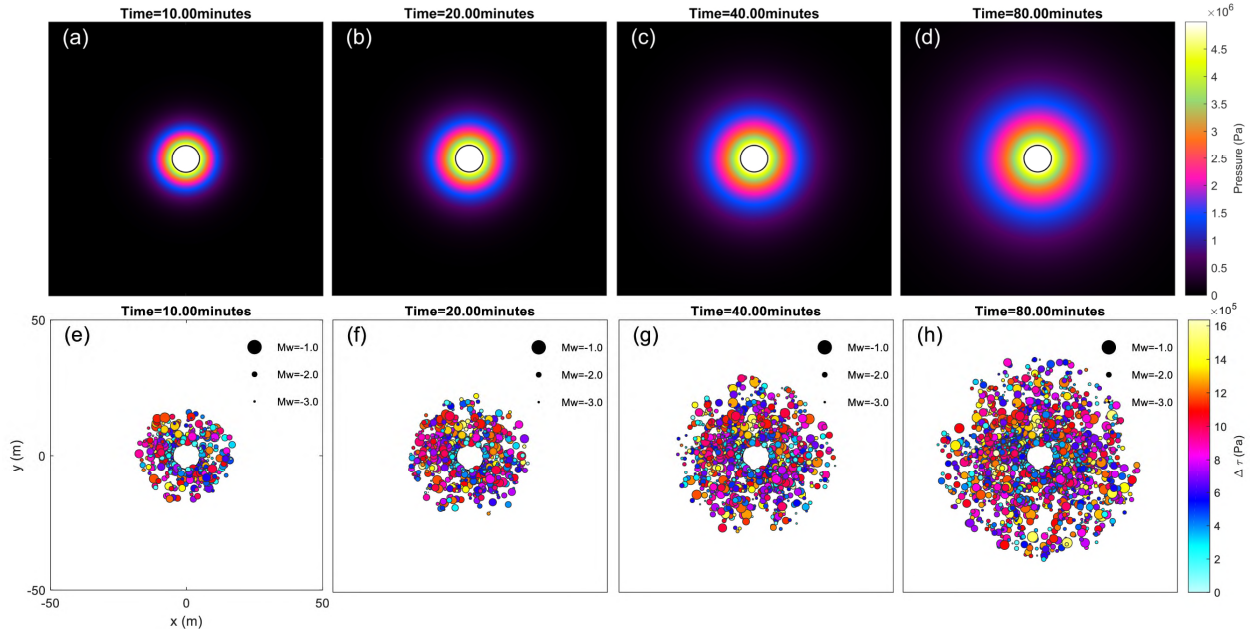
365 **Figure 4.** The initial stress used for the seismicity modeling. In Figure 4a, the initial effective normal stress and shear  
 366 stress on all fractures (Figures 3c, 3f) are plotted. Because the fractures uniformly sample all likely orientations, a Mohr  
 367 circle is formed. The color indicates  $CFF_0$ . The peak and the residual strengths are also shown for reference (same as  
 368 those in Figure 1). The geometric meaning of  $CFF_0$  is shown for one fracture as an example. Figure 4b is the histogram  
 369 of  $CFF_0$ .

## 370 4. Results

### 371 4.1 Fluid Pressure, Poroelastic Stress and Seismicity

372 Figures 5 shows four snapshots of the distribution of  $p$  (Figures 5a-5d) and the associated  
 373 seismicity (Figures 5e-5h) for case 1.  $p$  diffuses radially outward with a smooth and circular  
 374 *overpressure front* (Shapiro et al., 1997), leading to a similar radially progressive distribution in the  
 375 seismicity. However, this case has two differences from the diffusion-only statistical class of  
 376 models (Shapiro et al., 2005). First, instead of using a predefined critical pore pressure value  
 377 following a uniform distribution, we use predefined fractures with uniformly distributed  
 378 orientations. Because the orientation needs to be transformed through equations (5)-(7), the  
 379 resulting  $CFF_0$  and the equivalent critical pore pressure,  $\mu_s \times CFF_0$ , follow a radically different

380 distribution (Figure 4b), therefore, the seismicity distribution here is indeed different. Second, the  
 381 use of predefined fractures further allows for the calculation of the seismic source parameters,  
 382 including  $M_w$  and  $\Delta\tau$  as are also shown in Figures 5e-5h.

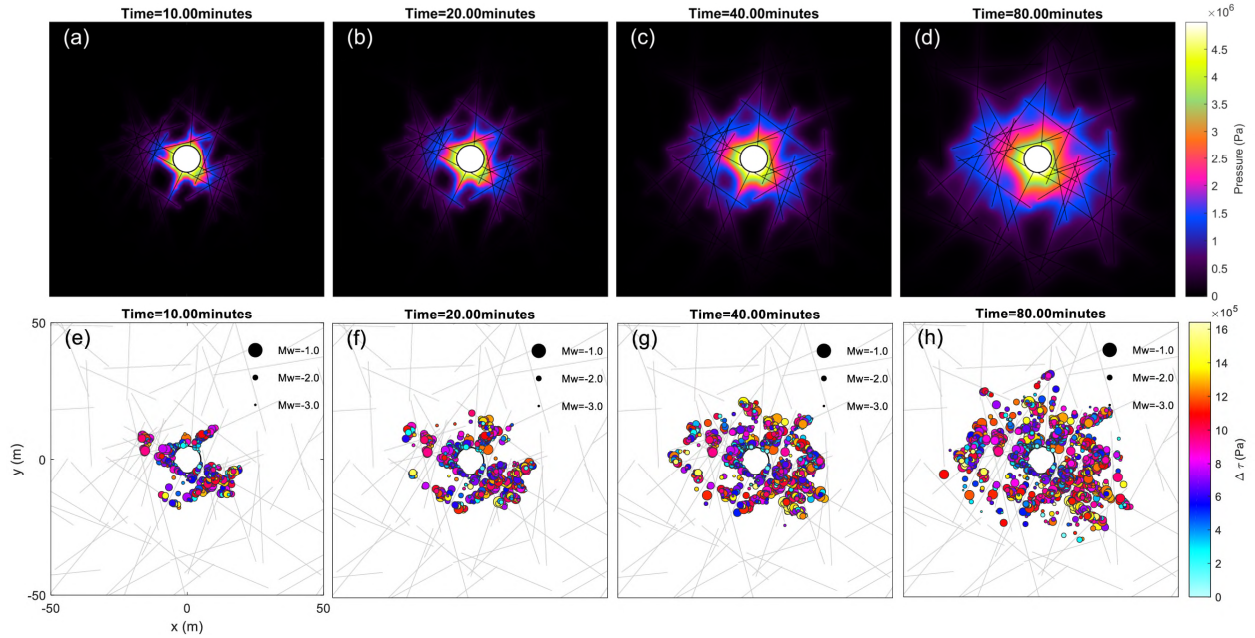


383

384 **Figure 5.** Snapshots of the spatial distribution of the modeled quantities at four time steps for case 1. (a)-(d) The fluid  
 385 overpressure  $p$  and (e)-(f) the seismicity sized with  $M_w$  and colored with  $\Delta\tau$ . Only the  $100\text{ m} \times 100\text{ m}$  area around the  
 386 center is shown. The time is indicated at the top of each plot.

387 Figure 6 shows the same snapshots of the same two quantities for case 2. Here, the effect of the  
 388 *LSDF* (Figures 2a) becomes evident. First,  $p$  increases primarily along those fractures and  
 389 secondarily within the hosting rock, leading to a highly non-smooth overpressure front (Figures  
 390 6a-6d). Compared to case 1,  $p$  here has a lower magnitude due to the *LSDF* diverting the fluid  
 391 from the injector. Such a distribution leads to the clear clustering of the seismicity (Figures 6e-6h).  
 392 Second, the distribution of the seismicity is not coincident with that of  $p$ ; instead, the clustering  
 393 occurs only along certain fractures. By further examining the fracture orientation (Figure 3a), we  
 394 observe that the seismicity is clustered near those that are well-oriented or sub-well-oriented with  
 395 respect to  $\sigma_0'$  and meanwhile subjected to sufficient  $p$ .





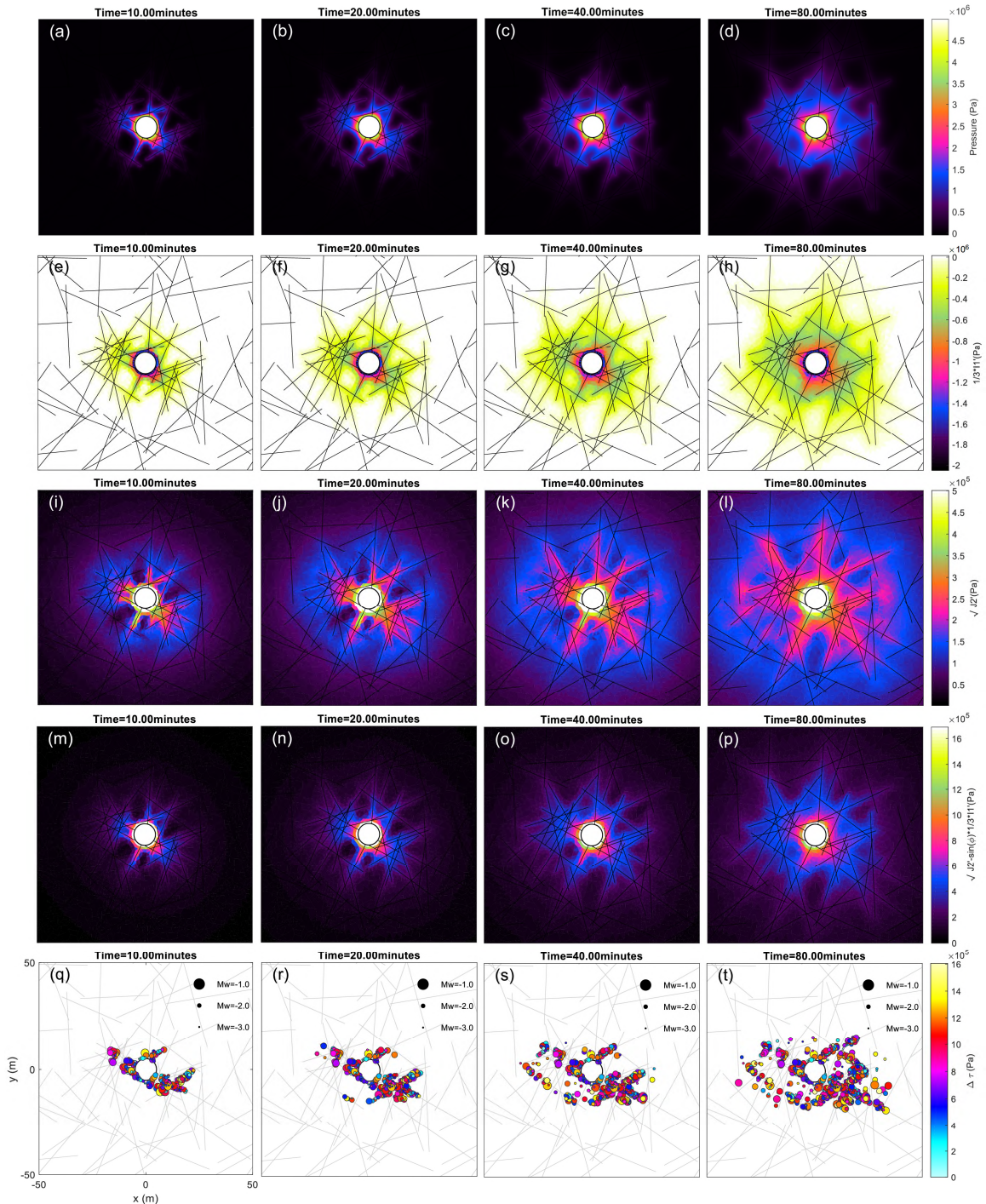
396

397 **Figure 6.** Same as Figure 5, but for case 2. The *LSDF* is shown in the background.

398 Figure 7 shows the results for case 3. The distribution of  $p$  (Figures 7a-7d) and the seismicity  
 399 (Figures 7q-7t) are shown together with three other quantities, including (1) the first poroelastic  
 400 stress invariant  $I_1'/3$  (Figures 7e-7h), (2) the second deviatoric poroelastic stress invariant  $\sqrt{J_2}'$   
 401 (Figures 7i-7l) and (3) the excess poroelastic shear stress invariant  $\sqrt{J_2}' - \sin(\phi)I_1'/3$  (Figures 7m-  
 402 7p). All three quantities are calculated from  $\sigma_p'$  under plane strain (appendix A.4). Here,  
 403 compared to case 2, the effect of poroelastic coupling is shown. First, the distribution of  $p$  is visibly  
 404 different; the front of  $p$  is suppressed and the magnitude becomes lower. Second, the poroelastic  
 405 normal stress  $I_1'/3$  develops, dominantly being extensional near the fluid-penetrated fractures;  
 406 however, the magnitude of  $I_1'/3$  is lower than that of its counterpart from the decoupled  
 407 approach which predicts  $I_1'/3 \approx -0.67p$  (see appendix A.4) using  $p$  from case 2. Third, a  
 408 pronounced shear stress field  $\sqrt{J_2}'$  also develops and influences an even larger portion of the  
 409 domain beyond the region subjected to  $I_1'/3$  and  $p$ , whereas its counterpart in case 2 is 0. Fourth,  
 410 as a result, the distribution of  $\sqrt{J_2}' - \sin(\phi)I_1'/3$  is different than its counterpart in case 2, which is  
 411  $0.34p$  (appendix A.4). Specifically, within the  $p$  front (delineated in case 2, not case 3), the  
 412 magnitude is lower; outside the  $p$  front, it still prevails. This observation has important  
 413 implications: within the fluid-pressurized region (i.e., in the near field), poroelastic coupling  
 414 tends to inhibit seismicity; outside this region (i.e., in the far field), it can either remotely promote  
 415 or inhibit seismicity depending on the fracture orientation. The reason behind the former is that  
 416 a fracture within the fluid-pressurized region acts as preferred flow channel, leading to a  
 417 discontinuous equivalent body force ( $-\alpha\nabla p$ ) acting away from it on the two sides, and therefore,  
 418 inhibiting shear mode failure by unclamping it (Chang & Segall, 2016a; Jin & Zoback, 2016b; Jin  
 419 & Zoback, 2017). This is reflected by the modeled seismicity. Like in case 2, here the seismicity is



420 clustered near fractures favorably oriented with respect to  $\sigma_0'$  and meanwhile subjected to  
 421 sufficient  $\sqrt{J_2'} - \sin(\phi)I_1'/3$ . Notice the clustering is further enhanced by poroelastic coupling. More  
 422 importantly, the number of events in the near field is substantially reduced. In the far field,  $\sqrt{J_2'} -$   
 423  $\sin(\phi)I_1'/3$  turns out to be minor and only a small number of events are remotely induced. Overall,  
 424 the event population is reduced to only around 1/3 of that in case 2. These observations are  
 425 further elaborated in section 4.3.

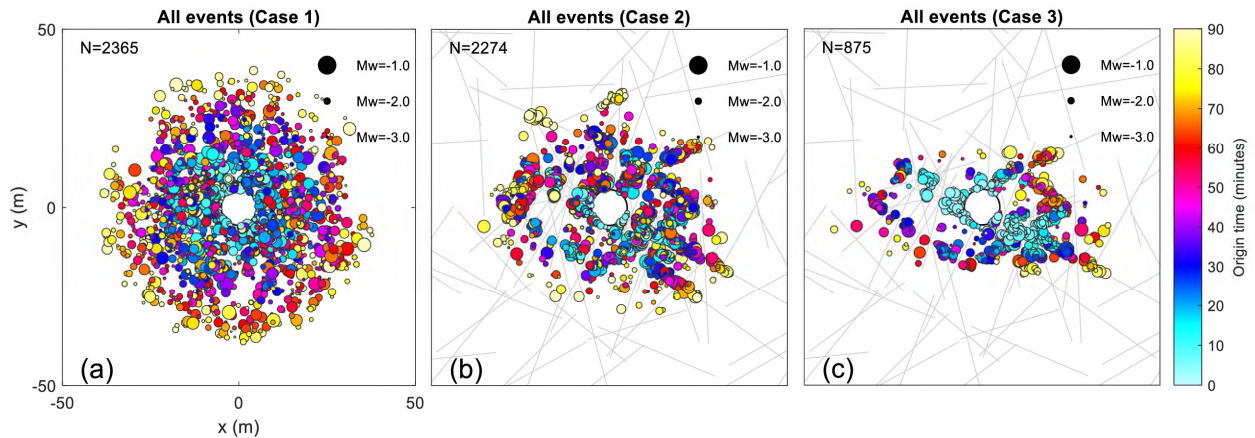


427 **Figure 7.** Snapshots of the spatial distribution of the modeled quantities at four time steps for case 3. (a)-(d) The fluid  
 428 overpressure  $p$ , (e)-(h) the first poroelastic stress invariant  $I_1'/3$ , (i)-(l) the second deviatoric poroelastic stress invariant  
 429  $\sqrt{J_2'}$ , (m)-(p) the excess poroelastic shear stress invariant  $\sqrt{J_2'}-\sin(\phi)I_1'/3$  and (q)-(t) the seismicity sized with  $M_w$  and  
 430 colored with  $\Delta t$ . Only the 100 m  $\times$  100 m area around the center is shown. The time is indicated at the top of each plot.  
 431 The *LSDF* is shown in the background.

432 In Figures 5-7, the seismicity distribution shows increasing heterogeneity from cases 1 to 3. The  
 433 clustering of the events, as is frequently corroborated by field observations (e.g., [Baisch & Harjes, 2003](#);  
 434 [Stabile et al., 2014](#); [Deichmann et al., 2014](#); [Block et al., 2015](#)), can only be modeled by  
 435 resolving the *LSDF*. Additionally, we observe that the delineated *seismicity front* ([Shapiro et al.,](#)  
 436 [2005](#)) is within the  $p$  front in cases 1 and 2 and within the  $\sqrt{J_2'}-\sin(\phi)I_1'/3$  front in case 3. This is  
 437 because the domain is nearly critically stressed and even for the most optimally oriented  
 438 fractures, a sufficient amount of  $p$  or  $\sqrt{J_2'}-\sin(\phi)I_1'/3$  needs to be generated before triggering  
 439 seismicity. We note here a 'front' is only used qualitatively and it refers to where changes in a  
 440 quantity become visible. The modeling here highlights the importance of accounting for the  
 441 interactions among fractures, the initial stress and poroelastic coupling.

## 442 4.2 Event Classification

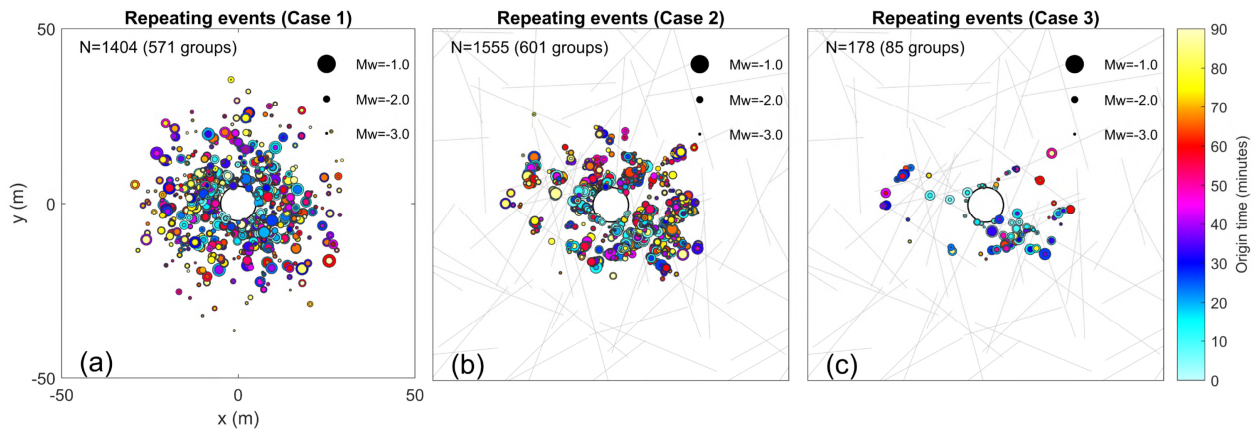
443 Figure 8 shows the spatial-temporal evolution of all modeled events sized with  $M_w$  and colored  
 444 with the event origin time  $t_0$  for cases 1-3. The simulated duration of injection is 90 minutes. In  
 445 addition to the spatial heterogeneity, the clustering and the event population reduction as  
 446 explained in section 4.1, here the events also exhibit complex distribution in time for all cases. To  
 447 better understand these events, we categorize them into different groups and compare the results  
 448 among cases 1-3, as are shown through Figures 9 to 12.



449 **Figure 8.** All events occurred within 90 minutes since the injection sized with  $M_w$  and colored with  $t_0$ . (a) Case 1, (b)  
 451 case 2 and (c) case 3. Only the 100 m  $\times$  100 m area around the center is shown. The number of events is indicated at the  
 452 top left. The *LSDF* is shown in the background for cases 2 and 3.

#### 4.2.1 Repeating Events

Because we incorporated the poroelastic stress into seismic cycles, our model naturally produces repeating events, see Figure 9. Each location indicates a doublet pair or a multiplet group (e.g., Poupinet & Ellsworth, 1984; Waldhauser & Ellsworth, 2002) which contains two or more events that occur on the same source location but at different time; for visibility, a small-magnitude event is always plotted within a big-magnitude one (see the concentric circles). The repeating events exhibit some characteristics in space similar as those discussed in section 4.1. For example, the overall distribution is radial in case 1 but are clustered near favorably oriented fractures subjected to sufficient  $p$  or  $\sqrt{J_2}' - \sin(\phi)l_1'/3$  in case 2 or 3. In any case, they are concentrated in areas with a high event density. Further, despite the difference in the spatial pattern, the number of groups and the total number of events are similar between cases 1 and 2, suggesting the *LSDF* controls the distribution but probably not the population of the repeating events. In case 3, however, both drop significantly, suggesting poroelastic coupling inhibits the occurrence of repeating events as well in the near field. Finally, within each group, an earlier event does not necessarily have a larger magnitude; the contrary is not uncommon. This is due to the complex stress path (section 4.4) and the non-full degree of stress drop as is reflected by the  $r$  in equation (18).

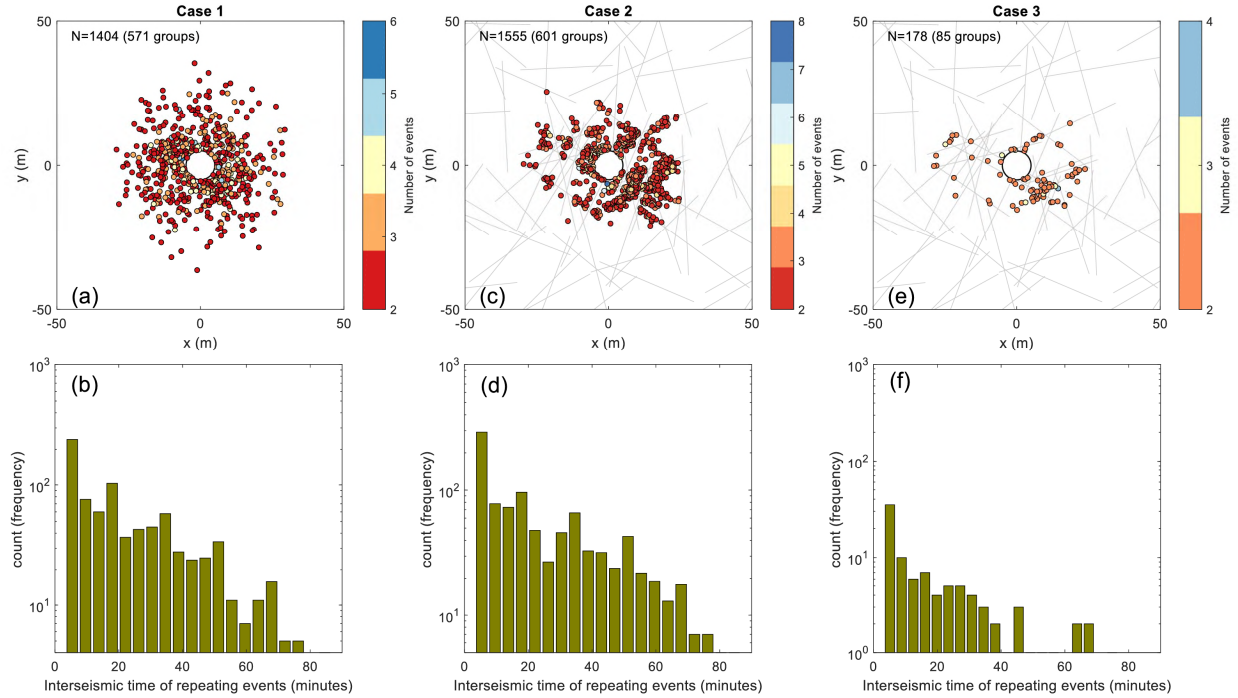


469

470 **Figure 9.** Repeating events sized with  $M_w$  and colored with  $t_0$ . (a) Case 1, (b) case 2 and (c) case 3. Only the 100 m  $\times$  100  
 471 m area around the center is shown. The number of groups and the total number of events are indicated at the top left.  
 472 The *LSDF* in the background for cases 2 and 3.

473 To further understand the repeating events, we analyze the number of events within each group  
 474 and the associated inter-seismic time, see Figure 10. From Figures 10a, 10c and 10e, one observes  
 475 that in all cases, the repeating events are primarily doublet pairs; multiplet groups are present,  
 476 and the number of events within these groups suggests that  $p$  can drive a fracture through up to  
 477 8 seismic cycles within the simulated duration of injection; this number is reduced if poroelastic  
 478 coupling is considered. For the entire catalog, the inter-seismic time between any two consecutive  
 479 repeating events are compiled. The results are plotted in Figures 10b, 10d and 10f. The frequency  
 480 drops approximately linearly with respect to the inter-seismic time for all cases and appears to be  
 481 independent from fractures and poroelastic coupling.



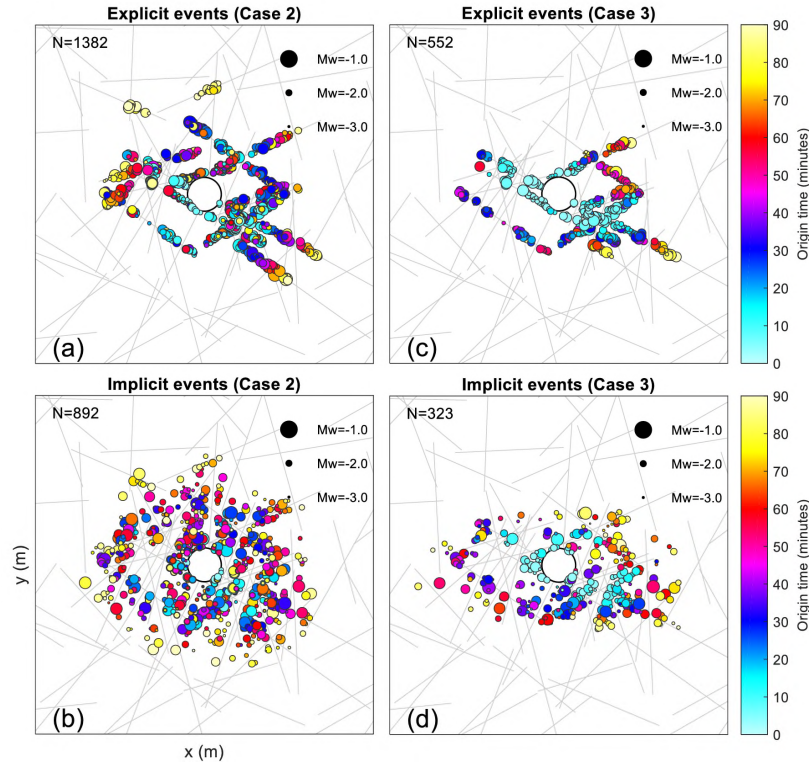


482

483 **Figure 10.** Characteristics of the repeating events. (a)-(b) Case 1, (c)-(d) case 2 and (e)-(f) case 3. Figures 10a, 10c and  
 484 10e show the location of each group containing repeating events, colored with the number of events within that group  
 485 (i.e., the number of seismic cycles the associated fracture has undergone). Figures 10b, 10d and 10f are histograms  
 486 showing the distribution of the inter-seismic time between two consecutive repeating events.

#### 487 4.2.2 Explicit and Implicit Events

488 We also separate the events occurring along the *LSDF* (Figures 3a) from those within the hosting  
 489 rock (Figures 3b), hereinafter referred to as the *explicit* and *implicit* events, respectively. Notice  
 490 this classification should only apply to cases 2 and 3. The results are plotted in Figure 11. In both  
 491 cases, the explicit events well depict lineation in alignment with the favorably-oriented  
 492 deterministic fractures. The along-fracture distance of an explicit event correlates positively with  
 493 its origin time. This is because for the same deterministic fracture, the orientation is identical and  
 494 the required  $p$  or  $\sqrt{J_2'} - \sin(\phi)I_1'/3$  is the same, therefore, the progressive increase in these two (see  
 495 Figures 6 and 7) causes the seismicity to develop accordingly. For the implicit events, however,  
 496 this trend immediately breaks down for the very same reason: the presence of the *LSDF* and the  
 497 associated heterogeneity in  $p$  or  $\sqrt{J_2'} - \sin(\phi)I_1'/3$ , when acting on stochastic fractures of various  
 498 orientations, lead to random spatial-temporal evolution of the seismicity within the hosting rock.  
 499 Additionally, poroelastic coupling seems to have the same effect on seismicity along deterministic  
 500 fractures and within the hosting rock, as are indicated by the nearly 60% reduction in the  
 501 population of both types of event.

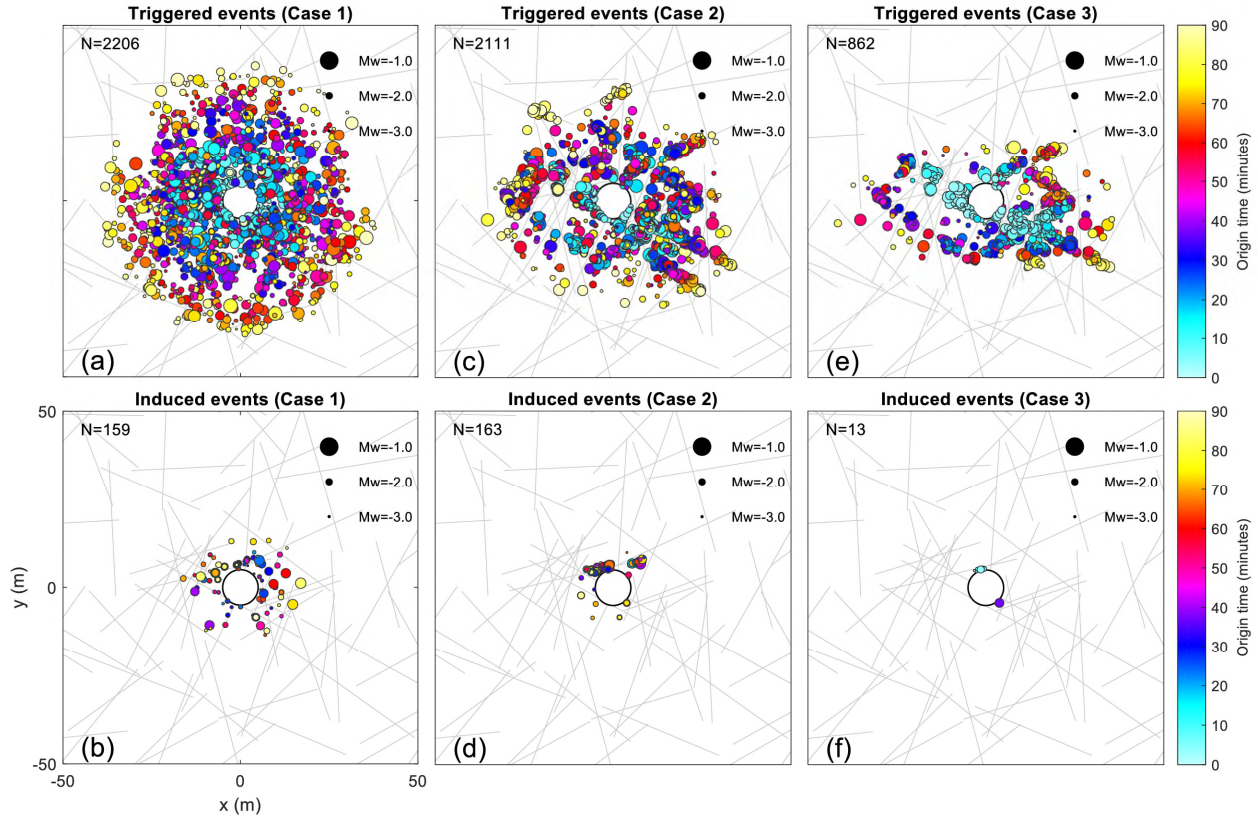


502

503 **Figure 11.** Explicit events (events along deterministic fractures) and implicit events (events within the hosting rock)  
 504 sized with  $M_w$  and colored with  $t_0$ . (a)-(b) Case 2, (c)-(d) case 3. Only the  $100\text{ m} \times 100\text{ m}$  area around the center is shown.  
 505 The number of events is indicated at the top left. The *LSDF* is shown in the background.

506 **4.2.3 Triggered and Induced Events**

507 The triggered and induced events are distinguished from each other following the definition  
 508 proposed in appendix A.3 (see also Figure 1). The results are shown in Figure 12. In cases 1-3,  
 509 93.3%, 92.8% and 98.5% of the events are triggered; the remaining small number of events are  
 510 induced and are distributed in close proximity to the injector, as they occur on unfavorably-  
 511 oriented fractures and require a significant amount of  $p$  or  $\sqrt{J_2'} - \sin(\phi)I_1'/3$  to be activated. Again,  
 512 for either type of event, accounting for the *LSDF* leads to the clustering and accounting for  
 513 poroelastic coupling significantly reduces the number of events.



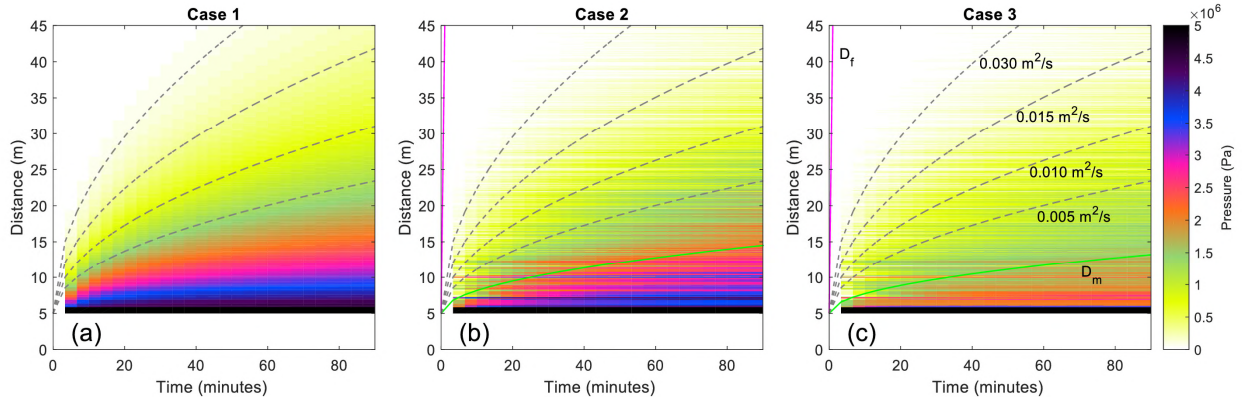
514  
 515 **Figure 12.** Triggered and induced events sized with  $M_w$  and colored with  $t_0$ . (a)-(b) Case 1, (c)-(d) case 2 and (e)-(f) case  
 516 3. Only the 100 m  $\times$  100 m area around the center is shown. The number of events is indicated at the top left. The *LSDF*  
 517 is shown in the background.

518 **4.3 R-T Characteristics**

519 **4.3.1 Fluid Pressure and Poroelastic Stress**

520 The spatial-temporal characteristics of the modeled quantities are further illustrated using the so-  
 521 called *R-T* plots shown in Figures 13-16, where *R* is the distance from the origin and *T* is the time  
 522 since the beginning of the injection. *p* is shown in Figure 13 for cases 1-3. Overlaying are several  
 523 iso-diffusivity profiles (gray dashed lines) calculated as  $R = \sqrt{4\pi D_h T} + 5\text{m}$  where  $D_h$  is the hydraulic  
 524 diffusivity;  $R = \sqrt{4\pi D_h T}$  is a characteristic profile derived from a linear diffusion process resulting  
 525 from a Heaviside point source injection in an isotropic, homogeneous and porous only medium,  
 526 and is referred to as the so-called *seismicity triggering front* (Shapiro et al., 1997; Shapiro et al.,  
 527 2002). Notice the use of such profiles should apply only to case 1 (Figure 13a). Nonetheless, for  
 528 reference, they are also plotted for cases 2 and 3 (Figures 13b, 13c), where additionally, the green  
 529 and magenta lines corresponding to  $D_h$  of the hosting rock and the *LSDF*, respectively, are also  
 530 plotted. It is mentioned in section 3.1 that in case 1  $D_h = 0.03 \text{ m}^2/\text{s}$ . We choose this value such that  
 531 the modeled *p* front in the *R-T* space is approximately the same as that in case 2. In a sense, this  
 532 value reflects the overall *effective*  $D_h$  of the fractured porous media in case 2. Case 1 shows a  
 533 smooth variation of *p* in the *R-T* space. In case 2, however, due to the effect of fractures, strong

534 heterogeneity is introduced, in addition to an overall reduction in the magnitude of  $p$ . The effect  
 535 of poroelastic coupling is reflected by comparing case 2 and 3. The  $p$  front is slightly suppressed  
 536 and the magnitude of  $p$  is further reduced.

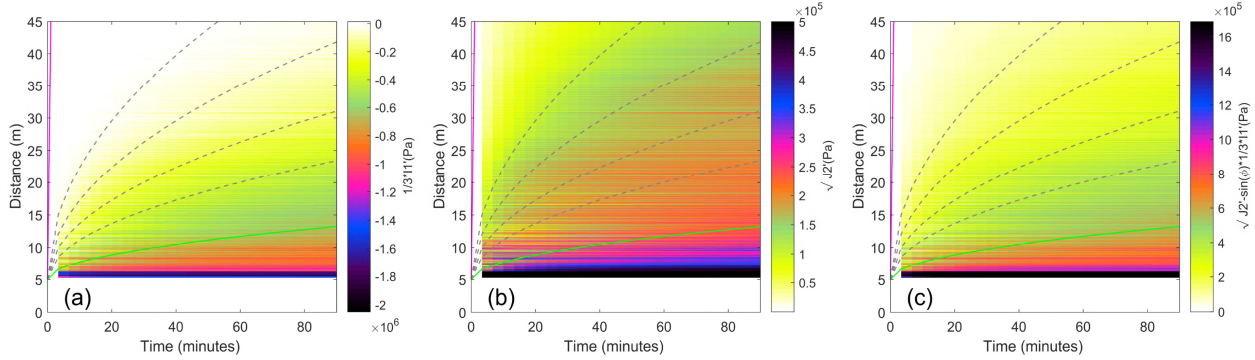


537

538 **Figure 13.** Space-time plot of the fluid overpressure  $p$ . (a) Case 1, (b) case 2 and (c) case 3. The distance is only plotted  
 539 from 0 to 45 m. The color scale is reserved from that in Figures 5-8. Several characteristic diffusion profiles are shown  
 540 (see text) as references, including the green and magenta lines calculated using the diffusivity of the hosting rock and  
 541 the fractures, respectively. The differences between cases 1 and 2 show the effect of the  $LSDF$  and the differences between  
 542 cases 2 and 3 show the effect of poroelastic coupling.

543 To further illustrate the effect of poroelastic coupling, for case 3, we investigate the  $R$ - $T$   
 544 characteristics of the poroelastic stress invariants, see Figure 14. We observe the following. First,  
 545 although the spatial distributions of  $I_1'/3$  and  $p$  differ (Figures 7a-7h), the delineated front of  $I_1'/3$   
 546 (Figure 14a) coincides with that of  $p$  (Figure 13c) in the  $R$ - $T$  space. This is explained by equation  
 547 (A1) which states that  $I_1'/3$ , which scales linearly with the volumetric strain  $\nabla \cdot \underline{u}$ , diffuses together  
 548 with  $p$ . Poroelastic coupling does, however, reduce the magnitude of  $I_1'/3$  compared to its  
 549 counterpart  $-0.67p$  (section 4.1 and appendix A.4) where  $p$  is given by Figure 13b. The effect of  
 550 poroelastic coupling is further manifested by Figure 14b, which shows the development of  $\sqrt{J_2'}$   
 551 one-order below  $p$  in magnitude. This cannot be predicted by case 2. Also, it is evidently shown  
 552 that the delineated front of  $\sqrt{J_2'}$  well exceeds those of  $p$  and  $I_1'/3$  (Figures 13c, 14a). Figure 14c  
 553 results from the combination of Figures 14a and 14b. The effect of poroelastic coupling is reflected  
 554 by its difference in magnitude from its counterpart  $0.34p$  (section 4.1 and appendix A.4) where  $p$   
 555 again is given by Figure 13b. Finally, poroelastic coupling also seems to smear out the  
 556 heterogeneity in the stress upon comparing Figures 14a-14c against Figure 13b. Notice equation  
 557 (A6) is not included in our modeling.



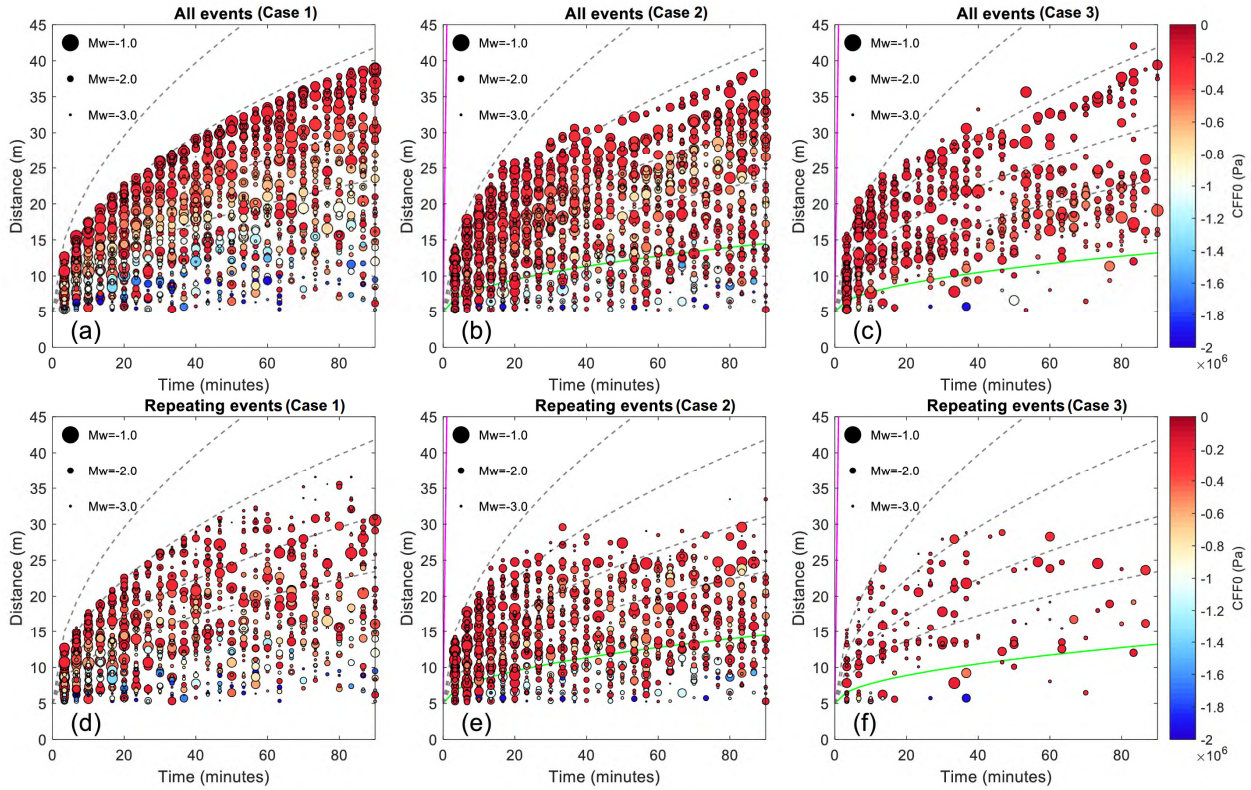


558

559 **Figure 14.** Space-time plot of the poroelastic stress invariants for case 3. (a)  $I_1'/3$ , (b)  $\sqrt{J_2'}$  and (c)  $\sqrt{J_2'} - \sin(\phi)I_1'/3$ . The  
 560 distance is only plotted from 0 to 45 m and the characteristic diffusion profiles are the same as those in Figure 13. The  
 561 color scale is reserved from that in Figures 5-8. The counterparts of the three quantities in case 2 without the coupling  
 562 effect can be obtained by multiplying the  $p$  in Figure 13b with -0.67, 0 and 0.34 (appendix A.4).

### 563 4.3.2 Seismicity

564 Figures 15 shows the  $R$ - $T$  distribution of the seismicity for cases 1-3 and the color indicates  $CFF_0$ .  
 565 In Figure 15a, a parabolic seismicity front is clearly delineated for case 1, showing also an evident  
 566 'lag' behind the  $p$  front (Figure 13a). This lag reflects the effect of the initial stress with respect to  
 567 the static shear failure line (i.e., the peak strength, see Figure 4). Here  $D_h$  corresponding to the  $p$   
 568 front and the seismicity front are  $0.03 \text{ m}^2/\text{s}$  and  $0.015 \text{ m}^2/\text{s}$ , respectively. In this case, if the  
 569 seismicity front was to be used to back calculate  $D_h$  (e.g., Shapiro et al., 2002),  $D_h$  would be over-  
 570 estimated by 100%. This motivates some nonlinear diffusion-based interpretations which  
 571 incorporate pressure-dependent  $D_h$  (e.g., Hummel & Shapiro, 2012; Hummel & Shapiro, 2013).  
 572 Here, our model is mechanics-based and it does not require the somewhat unclear definition of  
 573 'a relatively large  $p'$ ' which underlines the diffusion-only class of statistical models (Shapiro et al.,  
 574 1997). The effect of the LSDF can be seen in Figure 15b. Notice the increased curvature of the  
 575 parabolic seismicity front, which is above the predicted characteristic profile (second grey dashed  
 576 line from the top) earlier and near the injector but below this profile later and away from the  
 577 injector. Hummel & Shapiro (2013) used a power-law type of pressure-dependent  $D_h$  to correct  
 578 for this change. However, our model not only produces this change but also introduces additional  
 579 heterogeneity. Figure 15c shows further variations by accounting for poroelastic coupling.  
 580 Compared to Figure 15b, here the number of events is greatly reduced, the heterogeneity becomes  
 581 much more pronounced, and some 'outliers', which are the remotely triggered events, are present  
 582 but not dominant. Additionally, nearly all events are sourced from favorably-oriented fractures.  
 583 The result of case 3 also shows a good agreement with a dataset provided in Hummel & Shapiro  
 584 (2013). Finally, the same  $R$ - $T$  plots are made using only the repeating events for cases 1-3, as are  
 585 shown in Figures 15d-15f, which illustrate the 'breaking-down' of the parabolic seismicity front  
 586 for repeating events. Such events are assumed to be non-existent in the diffusion-only class of  
 587 statistical models.

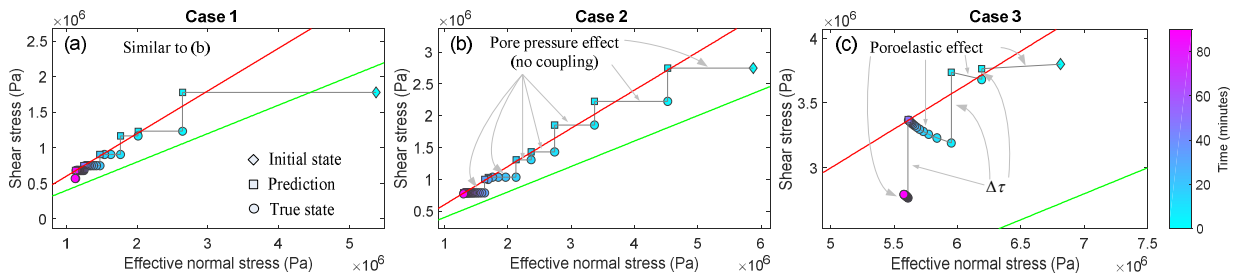


588

589 **Figure 15.** Space-time plot of all seismic events and repeating seismic events, sized with  $M_w$  and the colored with  $CFF_0$ ,  
 590 (a), (d) Case 1, (b),(e) case 2 and (c),(f) case 3. The distance is only plotted from 0 to 45 m and the reference characteristic  
 591 diffusion profiles are the same as those in Figure 13. The differences between cases 1 and 2 show the effect of the  $LSDF$   
 592 and the differences between cases 2 and 3 show the effect of poroelastic coupling.

593 **4.4 Stress History**

594 As an example, for each case, we chose one representative fracture that has generated the most  
 595 repeating events and plot the associated complete stress path colored with time, see Figure 17. In  
 596 all cases,  $p$  or  $\sqrt{J_2'} - \sin(\phi)I_1'/3$  are sufficient enough to drive a fracture through multiple seismic  
 597 cycles within 90 minutes. However, the decoupled approach tends to over-predict the number of  
 598 seismic cycles (see also Figure 10). Notice the increasingly unfavorable orientation of the fracture  
 599 from cases 3 to 1. Additionally, within each seismic cycle, poroelastic coupling leads to a bended  
 600 stress path in case 3 as opposed to a linear leftward one in case 1 or 2.

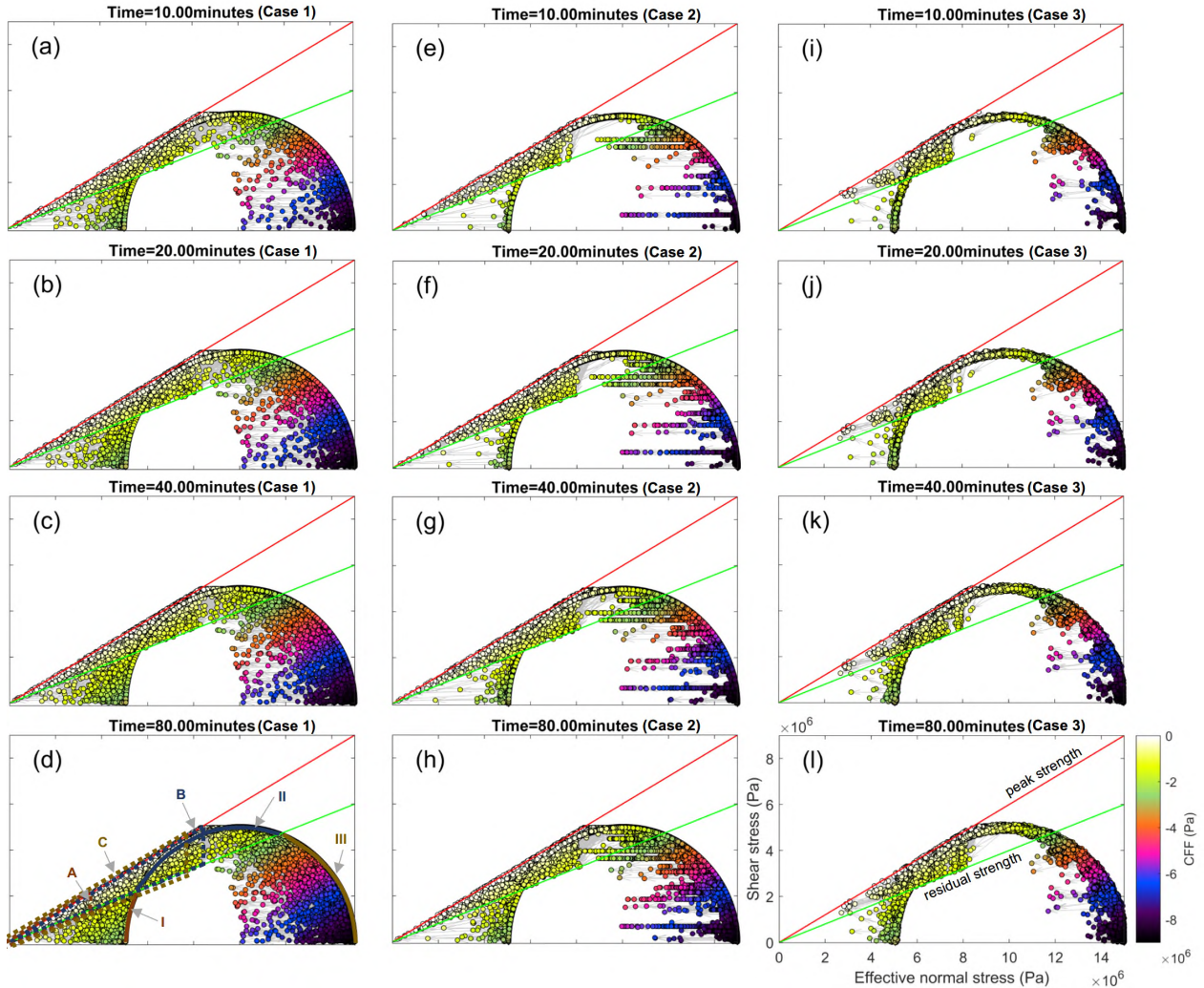


601

602 **Figure 16.** Representative complete stress paths. (a) Case 1, (b) case 2 and (c) case 3. The color indicates the time. The  
 603 number of seismic cycles is 6 in cases 1 and 2 and 3 in case 3. The pore pressure effect and the poroelastic effect are  
 604 indicated.

605 Figure 17 gives the snapshots of changes in the stress of all fractures (Figures 3c, 3f) in the  $\sigma_n'$ - $\tau$   
 606 space for cases 1-3. We hereinafter abbreviate each  $\sigma_n'$ - $\tau$  pair as a *NS*, which is indicative of a  
 607 fracture. The reference state (Figure 4a) is divided into three parts, namely parts I, II and III. Upon  
 608 injection, the stress state on some fractures deviates from the reference state, and the relative  
 609 changes are shown by the grey arrows. Cases 1 (Figures 16a-16d) assumes simply the pore  
 610 pressure effect. As a result,  $p$  always causes a reduction in  $\sigma_n'$  by the amount of  $\alpha p$  but does not  
 611 change  $\tau$ , leading to a strict leftward translation of a *NS* before it reaches the peak strength and  
 612 *CFF* remains negative. When *CFF* reaches 0, seismicity occurs and  $\Delta\tau$  is enforced. Throughout this  
 613 process, a *NS* must remain constrained below the peak strength at all time, and if seismicity  
 614 occurs, above the residual strength. This means a *NS* originated from part II remains in between  
 615 the green line and the red line, and a *NS* from part I can cross the green line if  $p$  is sufficient but  
 616 always stays below the red line; correspondingly, the triangular domains denoted as B and A  
 617 (dashed lines) define the respective possible new stress state of a fracture driven to failure from  
 618 parts II and I. Therefore, the pore pressure effect also predicts a positive correlation between the  
 619 favorability of the orientation and  $\Delta\tau_{\max}$ . Here, any arrow with a downward component signifies  
 620 the seismicity only. As can be seen, the majority of the events are sourced from part II. For part  
 621 III, a similar triangular domain C can be defined. All the above observations hold for case 2  
 622 (Figures 16e-16h). However, compared to case 1, here the deviation of a *NS* is more discernable  
 623 from others due to the localization of  $p$  around the *LSDF*. The magnitude of  $p$  in general becomes  
 624 lower as is reflected by the less amount of leftward translation. The results of case 3 (Figures 16i-  
 625 16l) show the intriguing effect of poroelastic coupling. The deviation from the Mohr circle is much  
 626 less significant in general and the seismicity is inhibited overall. Notice the deviation of a *NS* is  
 627 now towards all directions, suggesting any combination of an increase or decrease in  $\sigma_n'$  and an  
 628 increase or decrease in  $\tau$  is possible. For example, a *NS* from part I can undergo a left and upward  
 629 path towards the peak strength, rendering a larger possible  $\Delta\tau_{\max}$ . As a result, domains A, B and  
 630 C can no longer be defined here. An arrow with a downward component indicates either the  
 631 seismicity or the poroelastic shear stress. Nonetheless, for a majority of the fractures and prior to  
 632 the seismicity, the leftward component still dominates over the others, suggesting the reduction  
 633 in  $\sigma_n'$  is the primary source driving up *CFF*.





634

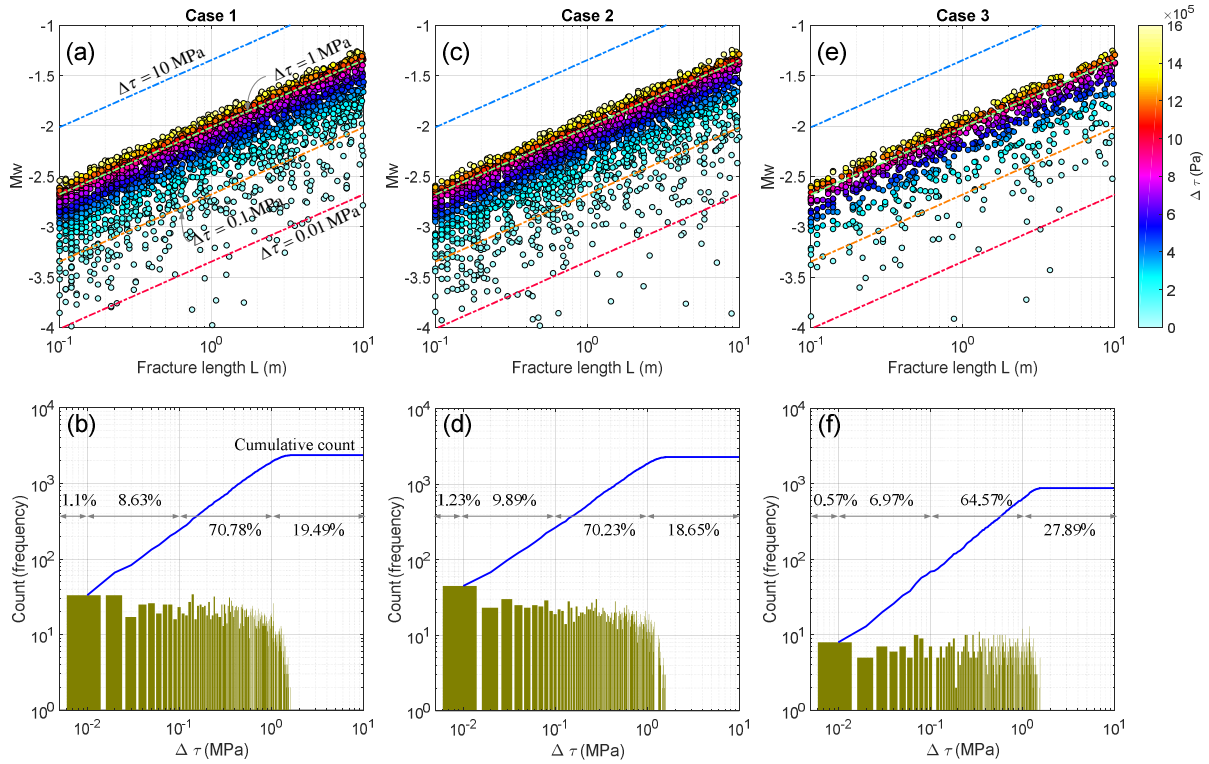
635 **Figure 17.** Snapshots of the effective normal stress and shear stress on all fractures showing the deviation from the  
 636 initial reference state (the Mohr circle in Figure 4a) at four selected time steps. (a)-(d) Case 1, (e)-(h) case 2 and (i)-(l)  
 637 case 3. The peak and residual strengths are shown for reference. The color indicates *CFF* and the time is indicated at  
 638 the top of each plot. For each fracture, two dots corresponding the initial and new stress states are plotted, connected  
 639 with an arrow indicating the relative change. The initial Mohr circle is partitioned into three parts labeled as I, II and  
 640 III. The meaning of the triangular areas bounded with dashed lines are explained in the text. The differences between  
 641 cases 1 and 2 show the effect of the *LSDF* and the differences between cases 2 and 3 show the effect of poroelastic  
 642 coupling.

643 **4.5 Source Parameters**

644 **4.5.1 Stress Drop, Fracture Length and Moment Magnitude**

645 Figures 18a, 18c and 18e summarize the modeled seismic source characteristics in the parameter  
 646 space for cases 1-3. For each event,  $M_w$  is plotted against the associated fracture length  $L$  and  
 647 colored with  $\Delta\tau$ . The modeled events, with  $M_w$  between -3 and -1, occur on fractures of  $L$  ranging  
 648 from 0.1m and 10m, and  $\Delta\tau$  ranges from below 0.1 MPa to above 1 MPa, consistent with many  
 649 real micro-earthquake data sets (e.g., Goertz-Allmann et al., 2011; Mukuhira, 2013). Such source

650 characteristics overall seem not affected by the *LSDF* nor poroelastic coupling. For a realistic  
 651 range of  $\Delta\tau$ , the parameter  $r$  in equation (18) turns out to be important, see appendix A.6. Figures  
 652 18b, 18d and 18f further show the overall similar distribution of  $\Delta\tau$  for cases 1-3. In each case, [0.1,  
 653 1] MPa is the dominant range. In case 3, however, events with high  $\Delta\tau$  (e.g., above 1 MPa) does  
 654 occupy a higher percentage, consistent with that poroelastic coupling can lead to a larger possible  
 655  $\Delta\tau_{\max}$  as demonstrated in section 4.4.



656

657 **Figure 18.** The top row shows relationships among  $M_w$ ,  $L$  and  $\Delta\tau$  of all modeled events. Overlaying are four contours  
 658 corresponding to  $\Delta\tau=0.01$  MPa, 0.1 MPa, 1 MPa and 10 MPa. The bottom row shows the histograms of  $\Delta\tau$  together with  
 659 the cumulative frequency using 1000 equal-sized bins on the range [0.01, 10] MPa. Additionally, the number of events  
 660 with  $\Delta\tau \leq 0.01$  MPa,  $0.01 \text{ MPa} < \Delta\tau \leq 0.1$  MPa,  $0.1 \text{ MPa} < \Delta\tau \leq 1$  MPa and  $\Delta\tau > 1$  MPa are counted and the percentages are  
 661 shown. (a), (b) Case 1, (c), (d) case 2 and (e), (f) case 3.

## 662 4.5.2 Magnitude-Frequency Relation

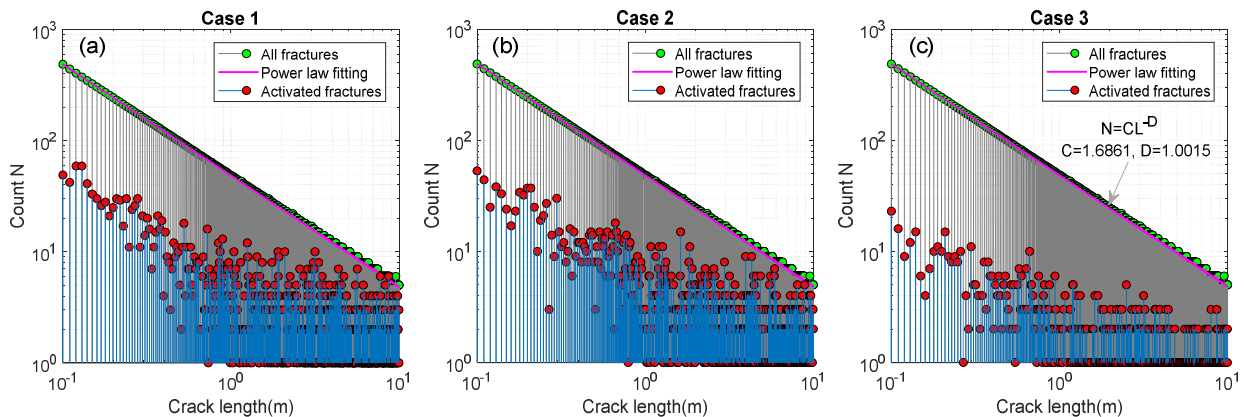
663 We have introduced a power law that describes the commonly observed scaling relation between  
 664 the fracture length and the frequency (section 3.2). On the other hand, earthquakes in nature are  
 665 characterized with a universal statistical relation between the magnitude and the cumulative  
 666 frequency, namely the Gutenberg-Richter law (Gutenberg, 1956), which reads:

$$667 \lg N(m > M_w) = a - bM_w \quad (21)$$

668 where  $N(m > M_w)$  is the total number of events with a moment magnitude  $m$  above  $M_w$ , and  $a$  and  
 669  $b$  are constants.

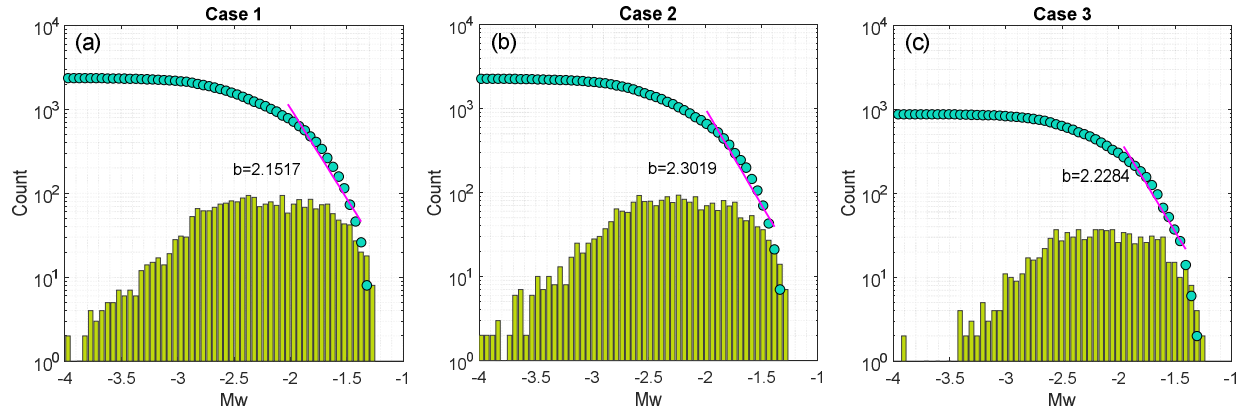
670 In nature,  $D$  is frequently observed to be between 1 and 2 (e.g., Okubo & Aki, 1987), whereas a  
 671 common value of  $b$  is around 1 (e.g., Shi & Bolt, 1982). Studies suggest that  $D$  and  $b$  are inherently  
 672 related. For example, Hirata (1989) suggests that  $D \approx 2b$ . What is somewhat curious is that for  
 673 induced seismic events,  $b$  is often above 1 (e.g., Vermylen & Zoback, 2011) and sometimes around  
 674 2 (e.g., Bachmann et al., 2012), although a near-1 value has also been reported (Schoenball et al.,  
 675 2015).

676 In Figure 19, for each case, the distribution of the length of all fractures (Figures 3c, 3f) is plotted  
 677 (green), together with the power law fitting line (magenta); the distribution of the length of the  
 678 activated subset of fractures is also plotted (red), which clearly no longer obeys the power law  
 679 decay, owing to that only favorably oriented fractures are induced to slip. Nonetheless, the  
 680 magnitude-frequency relation still holds for the induced events, as is illustrated in Figure 20. For  
 681 each case, the distribution of  $M_w$ , which primarily varies between -3.5 and -1.0, is shown as the  
 682 histogram (yellow green); the total number of events (i.e., cumulative frequency) is shown by the  
 683 blue-green dots, which is then used to fit the Gutenberg-Richter law, yielding a  $b$ -value around  
 684 2. Notice the similarities among all three cases in both figures 19 and 20, suggesting that the  $b$ -  
 685 value is likely to be independent from the  $LSDF$  and poroelastic coupling. We also hypothesize  
 686 that the breaking-down in the power law distribution of the length of the activated subset of  
 687 fractures might be responsible for the deviation in the  $b$ -value for induced seismicity.



688

689 **Figure 19.** Histogram of the fracture length using 1000 equal-sized bins, plotted on a log-log scale as discrete sequences.  
 690 The green sequence indicates the distribution of length of all fractures, which follows a power law decay as is fitted  
 691 with the magenta line. The fitting parameters are also shown, specifically, the fractal dimension  $D$  is 1. The red sequence  
 692 shows the length distribution of activated fractures only (fractures undergone at least one seismic cycle). Because it is  
 693 primarily the favorably oriented fractures that are activated, the distribution no longer follows a power law decay. (a)  
 694 Case 1, (b) case 2 and (c) case 3.

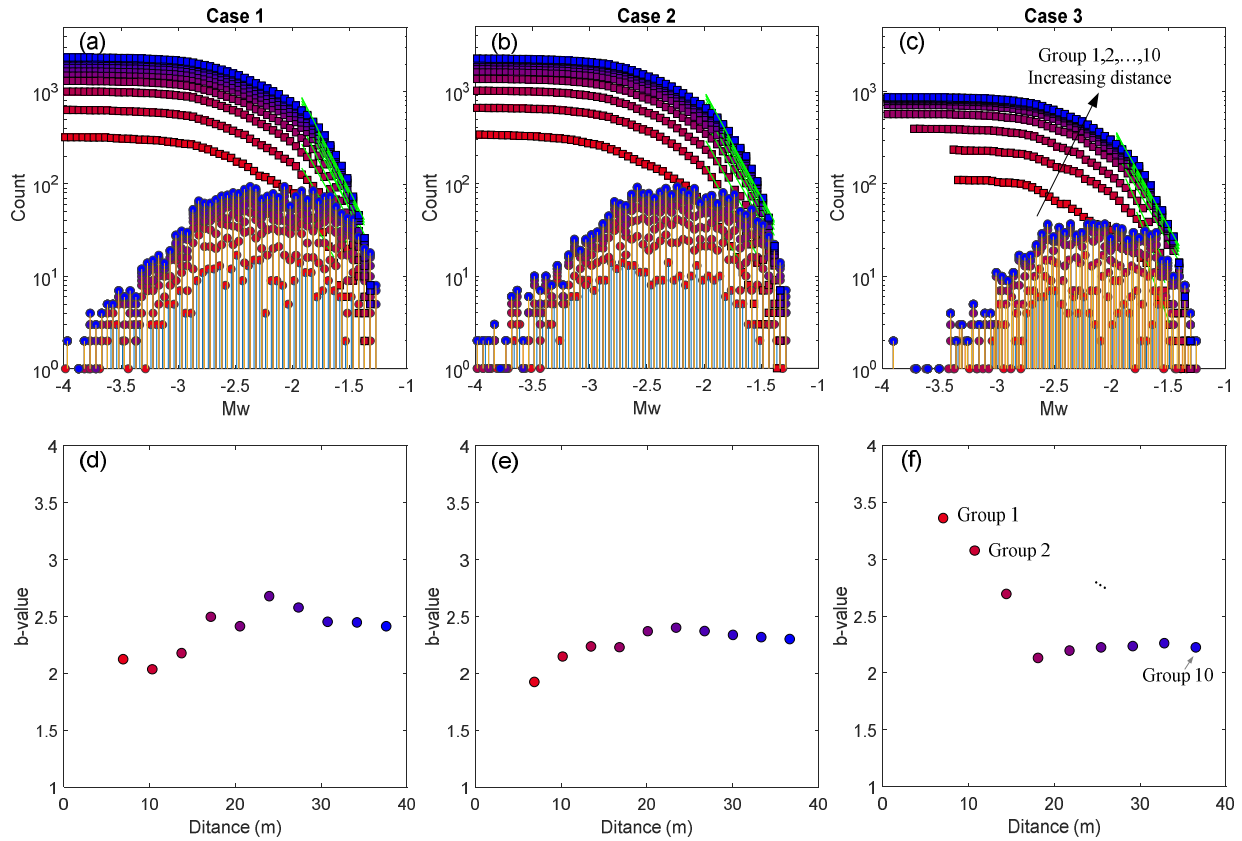


695

696 **Figure 20.** Histogram of the modeled  $M_w$  (yellow green). The bin size is 0.05, and the y-axis is on a log-scale. The  
 697 associated distribution of  $N$  follows the classic Gutenberg-Richter law (blue green); data points with a  $M_w$  above -2 are  
 698 used for fitting (the magenta line), yielding a  $b$ -value around 2, which is commonly observed for induced seismicity.  
 699 (a) Case 1, (b) case 2 and (c) case 3.

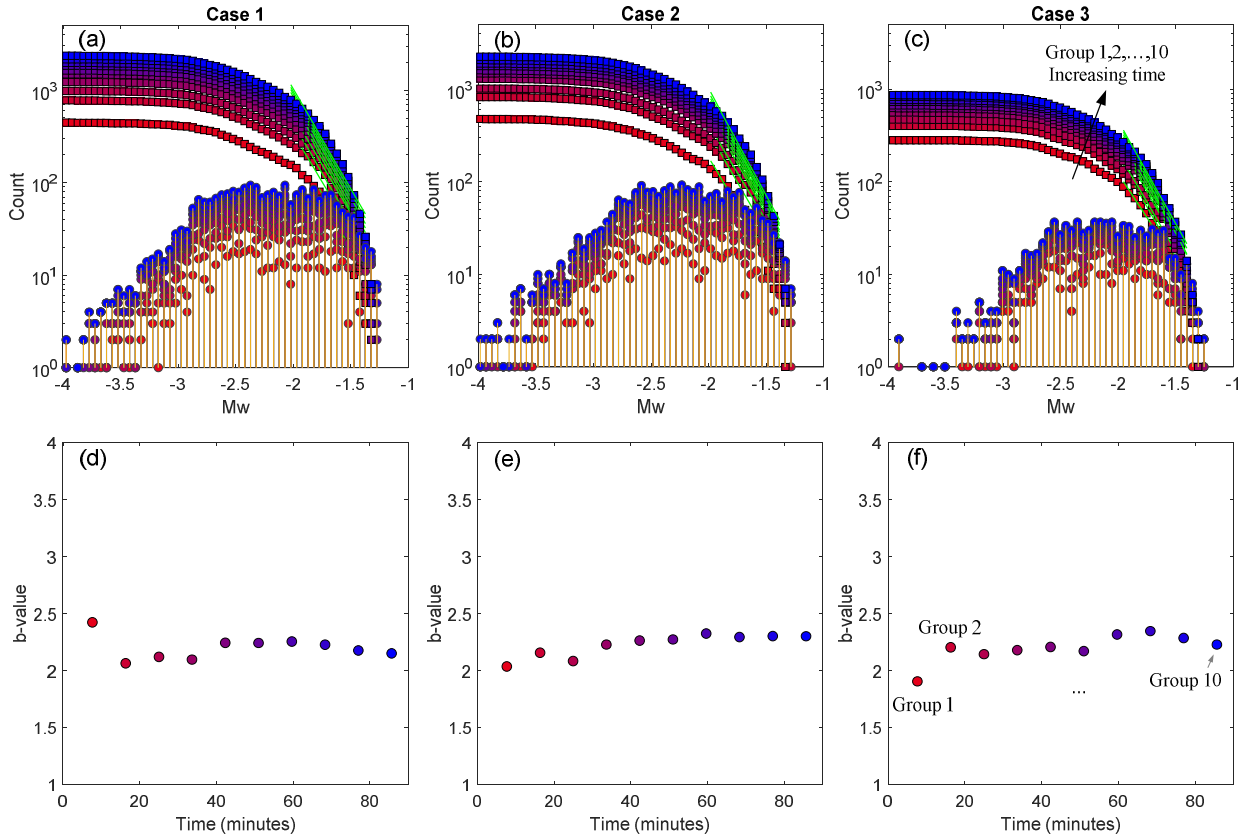
700 Further, we investigate whether the  $b$ -value of induced seismicity exhibits spatial or temporal  
 701 dependences. To do so, for each case, we divide the events into 10 groups in both space and time  
 702 based on the associated distance  $R$  and the origin time  $t_0$ . For each group, we carry out the same  
 703  $b$ -value analysis as has been described above and the results are displayed in Figures 21 and 22.  
 704 In each case, the magnitude-frequency distribution appears alike among all groups in both space  
 705 and time. The  $b$ -value is predominantly between 2 and 2.5 and no substantial spatial- or temporal-  
 706 dependence is observed. Such independences are not altered by the *LSDF* or poroelastic coupling.  
 707 An exception is shown in Figures 21c and 21f, where the  $b$ -value is around 3 near the injection  
 708 and drops to between 2 and 2.5 away from the injection (see also [Bachmann et al., 2012](#)), possibly  
 709 due to some variations among the selected cutoff  $M_w$  for data fitting.





710

711 **Figure 21.** *b*-value analysis in space. The modeled distance interval  $[R_{\min}, R_{\max}]$  is divided into 10 equal-sized bins and  
 712 the events are grouped accordingly. The group number is indicated by the color. A *b*-value is fitted for each group (top  
 713 row, slope of the green line) and is plotted against the corresponding distance (bottom row). The cutoff  $M_w$  for fitting  
 714 is around 2 but some variations exist among all groups. (a), (d) Case 1, (b), (e) case 2 and (c), (f) case 3.



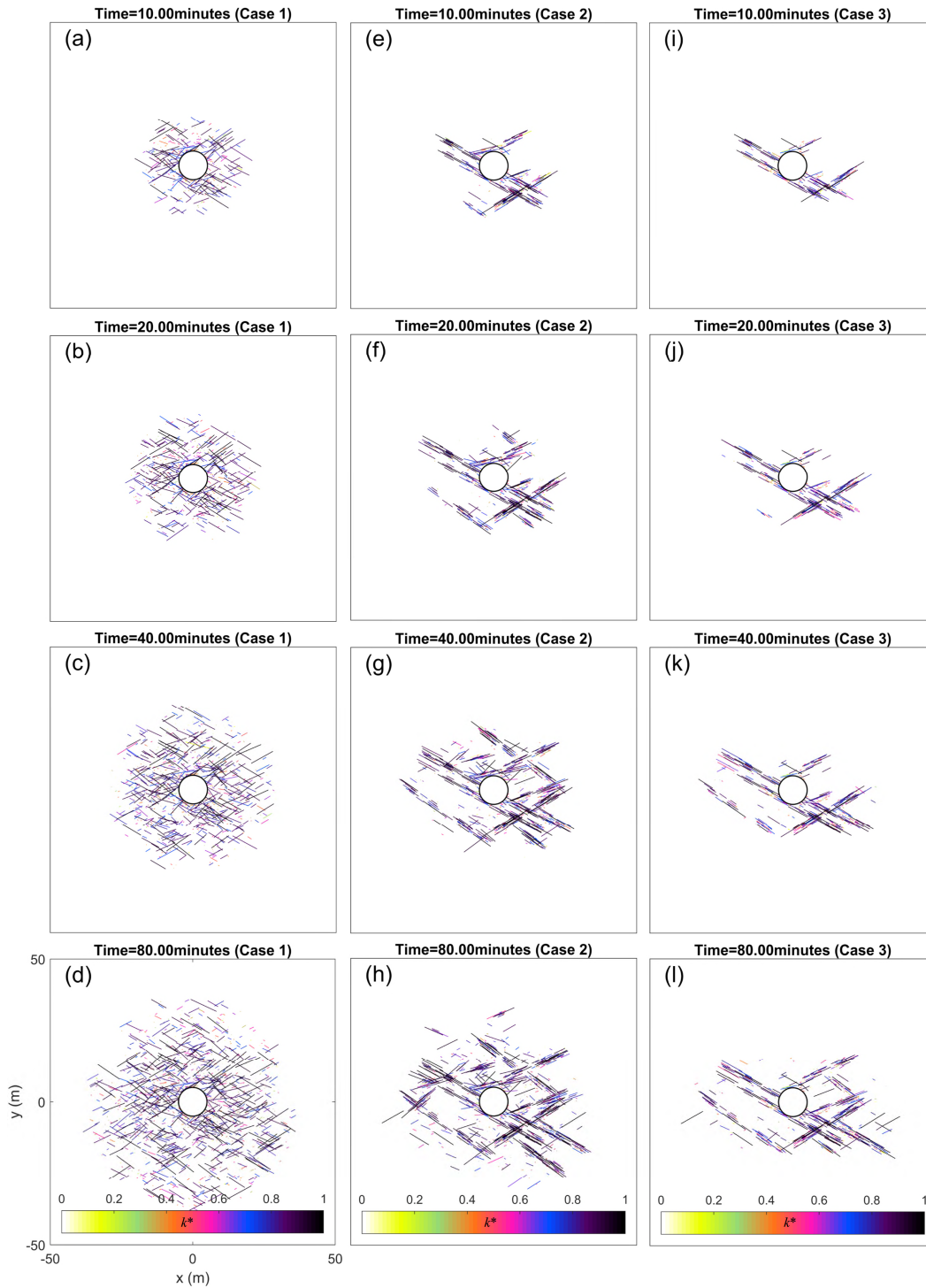
715

716 **Figure 22.** Same as Figure 21 but for the 10 equal-sized origin time intervals on the modeled  $[t_{0min}, t_{0max}]$ .

717 **4.6 Activated Fractures and Permeability Enhancement**

718 Figure 23 gives four snapshots illustrating the growth of the activated network of fractures for  
 719 cases 1-3. The network consists of fractures both interconnected to and isolated from the fluid  
 720 boundary. In the context of unconventional and geothermal reservoir stimulation, the  
 721 interconnected fractures are indicative of the so-called stimulated reservoir volume and the  
 722 stimulation efficiency. As can be seen, resolving the *LSDF* predicts localized permeability-  
 723 enhanced flow channels and less area is stimulated as a result. This effect manifests itself if  
 724 poroelastic coupling is further considered. For each activated fracture, the nondimensionalized  
 725 permeability changes along directions perpendicular and parallel to it, denoted as  $k_{\perp}/k$  and  $k_{\parallel}/k$ ,  
 726 respectively, are calculated from the associated  $M_w$  using a simple power law scaling relation  
 727 (appendix A.2). This relation predicts a linear scaling between  $k_{\perp}/k$  and  $k_{\parallel}/k$ , and therefore both  
 728 can be normalized into the same quantity  $k^*$ , which indicates the color in Figure 23. As an  
 729 example, we focus on  $(k_{\perp}/k)$  only. For a fracture that has undergone  $j$  seismic cycles ( $j > 1$ ) at a time  
 730 step of interest,  $\Sigma_j(k_{\perp}/k)$  is calculated as the result at this time step. The modeled maximum  $(k_{\perp}/k)$   
 731 for a single-event fracture and a multi-event fracture are 30.6 and 81.2 for case 1, 31.1 and 76.7 for  
 732 case 2 and 30.9 and 49.1 for case 3, suggesting that repeating seismic cycles can further enhance

733 the permeability by a few more folds compared to just the first seismic cycle but poroelastic  
 734 coupling seems to counteract this effect.



735

736 **Figure 23.** Snapshots of the activated fractures at four selected time steps showing the progressive development of the  
 737 stimulated network. The time is indicated at the top of each plot and the color shows the quantity  $k^*$  (appendix A.2),  
 738 which is indicative of the permeability changes along the fracture-normal and -tangential directions. (a)-(d) Case 1, (e)-

739 (h) case 2 and (i)-(l) case 3. The differences between cases 1 and 2 show the effect of the *LSDF* and the differences between  
 740 cases 2 and 3 show the effect of poroelastic coupling.

## 741 5.Summary and Conclusions

742 We have developed a hydromechanical-stochastic approach to modeling fluid perturbation-  
 743 induced seismicity in a fluid-saturated and fractured poroelastic medium. Following predefined  
 744 distributions characteristic of a natural fracture system, we generate a dual network of fractures  
 745 consisting of large-scale deterministic fractures (*LSDF*) and small-scale stochastic fractures (*SSSF*)  
 746 within the hosting rock. The modeling consists of two sequential steps, including first the quasi-  
 747 static fracture-poro-mechanical modeling and second the seismicity modeling. In the first step,  
 748 only the *LSDF* is considered and it is resolved in a computational model of fluid-solid fully  
 749 coupled single-phase poromechanics of arbitrarily fractured media. This provides a *LSDF*-  
 750 controlled poroelastic stress tensor as a pivotal input for the second step, in which the complete  
 751 dual network of fractures is then considered. The seismicity-induced shear stress loss on a slipped  
 752 fracture is stochastically modeled as a static quantity without explicitly resolving the co-seismic  
 753 dynamic rupture process; it remains constrained within a range computed from the time-  
 754 dependent poroelastic stress in conjunction with the initial stress and the peak and residual  
 755 frictional strengths. A prediction-correction type of fracture stress updating scheme is developed  
 756 accordingly, which naturally produces multiple seismic cycles. Three progressive cases were  
 757 designed to show the effects of fractures and poroelastic coupling on the resulting seismicity and  
 758 its characteristics. Compared to the prevalent fracture-free, coupling-free and diffusion-only class  
 759 of statistical models, our method produces induced seismicity with spatial-temporal  
 760 characteristics agreeing much better with real data. It also goes beyond the scope of most current  
 761 models and provides a synthetic catalog of induced events, allowing for the analysis of seismic  
 762 source characteristics and connections between observations and model physics.

763 Main findings from this study are:

764 (1) The spatial-temporal evolution of the pore fluid overpressure  $p$ , the change in the solid  
 765 effective stress tensor  $\sigma_p'$  and the associated stress invariants,  $I_1'$ ,  $\sqrt{J_2}'$  and  $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$ , all  
 766 differ in a porous medium, a fractured porous medium and a fractured poroelastic medium. In  
 767 space, the presence of the *LSDF*, if hydraulically conductive, leads to marked localization of these  
 768 quantities around it and the associated fronts become highly non-smooth. Poroelastic coupling  
 769 tends to reduce the magnitude of  $p$  and  $I_1'$  near fluid-penetrated fractures but predicts an  
 770 otherwise non-existing  $\sqrt{J_2}'$  within the entire domain. As a result,  $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$  is reduced in  
 771 the near field but increased in the far field. In the  $R$ - $T$  space,  $p$  and  $I_1'$  share the same front which  
 772 is below the front shared by  $\sqrt{J_2}'$  and  $\sqrt{J_2}'\text{-sin}(\phi)I_1'/3$ .

773 (2) In space, the *LSDF* leads to not only heterogeneity but also pronounced clustering in the  
 774 seismicity. Poroelastic coupling not only enhances the clustering, but also substantially inhibits

775 the seismicity and greatly reduces the number of events in the near field. In the far field, although  
 776 it can remotely trigger some events, its effect does not dominate even in the presences of critically  
 777 stressed fractures. Overall the event population is significantly reduced. The clustering occurs  
 778 only near fractures favorably oriented with respect the initial stress tensor  $\sigma_0'$  and meanwhile  
 779 subjected to sufficient amount of  $\sqrt{J_2'} - \sin(\phi)I_1'/3$ . Correspondingly, the activated subset of  
 780 fractures forms permeability-enhanced flow channels localized along the *LSDF*, and this is further  
 781 manifested by poroelastic coupling. In the *R-T* space, the characteristics of the seismicity are in  
 782 good agreement with observations from real data. In addition to heterogeneity, the curvature of  
 783 the delineated parabolic seismicity front is increased by the *LSDF*. The state of  $\sigma_0'$  with respect to  
 784 the fracture peak strength can render the seismicity front lagged behind the *p* front. A positive  
 785 correlation is observed between the distance and the origin time for events occurring along the  
 786 *LSDF* but not those occurring in the hosting rock.

787 (3)  $\sigma_p'$  (either coupled or decoupled with *p*) and seismicity are the two sources driving changes in  
 788 the stress on a fracture, and together they can drive the fracture through multiple seismic cycles  
 789 on a timescale relevant to the problem. This provides a viable mechanism of fluid-induced  
 790 repeating seismic events characterized with a step-wise stress path. The distribution of the inter-  
 791 seismic time between two consecutive repeating events seems independent from both the *LSDF*  
 792 and poroelastic coupling. The latter, however, tends to reduce the number of repeating event  
 793 groups and the number of seismic cycles within a group, in addition to adding nonlinearity to  
 794 the associated step-wise stress path. Repeating events are also able to increase the permeability  
 795 change on the fracture by a few folds.

796 (4) Although collectively referred to as induced seismicity, the modeled events are predominantly  
 797 triggered as opposed to induced. Because the induced events occur on unfavorably-oriented  
 798 fractures that require large *p* or  $\sqrt{J_2'} - \sin(\phi)I_1'/3$ , they are concentrated near the source of the fluid  
 799 perturbation.

800 (5) Some source characteristics of the induced seismicity seem independent from the *LSDF* and  
 801 poroelastic coupling. Irrespective of the case, the moment magnitude  $M_w$  and by extension, the  
 802 permeability change  $k^*$ , show similar distributions; the *b*-value varies between 2 and 2.5 and  
 803 exhibits no substantial space- or temporal-dependence; for the given set of parameters, the stress  
 804 drop  $\Delta\tau$  predominantly falls in between 0.1 MPa and 1 MPa, although a higher  $\Delta\tau$  is more likely  
 805 due to the poroelastic medication to the stress path.  $\Delta\tau$  generally does not reach the maximum  
 806 likely stress drop.

807 (6) In our complete dual fracture system, the length and frequency obey a realistic power law  
 808 scaling relation; however, this relation no longer holds for the activated subset of fractures, owing  
 809 to that only favorably-oriented fractures are induced to slip. This might explain the commonly

810 observed deviation in the  $b$ -value from around 1 for natural seismicity to around 2 for induced  
811 seismicity.

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## 815 Appendix

### 816 A.1 Single-Phase Poromechanics of Arbitrarily Fractured Media

817 [Jin & Zoback \(2017\)](#) formulated the problem of single-phase poromechanics of fluid-saturated  
818 and arbitrarily fractured porous media. Without presenting the full details, here, we outline  
819 several key governing equations. First, the fully coupled mass conservation law and quasi-static  
820 force balance law are:

$$821 \quad \left( \Lambda_0(\underline{x})\phi_{m0}(\underline{x})(C_m + C_p) + (1 - \Lambda_0(\underline{x}))\phi_{f0}(\underline{x})(C_f + C_p) \right) \dot{p}(\underline{x}, t) \quad (A1)$$

$$-\alpha \nabla \cdot \underline{\dot{u}}(\underline{x}, t) + \nabla \cdot \underline{v}(\underline{x}, t) = s(\underline{x}, t), \quad \underline{x} \in \Omega_m \cup \Omega_f$$

$$822 \quad \nabla \cdot \boldsymbol{\sigma}_p'(\underline{x}, t) + \alpha \nabla p(\underline{x}, t) = \underline{0}, \quad \underline{x} \in \Omega_m \cup \Omega_f \quad (A2)$$

823 Next, the two fluid flow equations are given by the Darcy's law and a nonlinear cubic law,  
824 designated to the matrix and fractures, respectively. They read:

$$825 \quad \underline{v}(\underline{x}, t) = -\eta^{-1} \mathbf{k}_m(\underline{x}) \cdot \nabla p(\underline{x}, t), \quad \underline{x} \in \Omega_m \quad (A3)$$

$$826 \quad \underline{v}(\underline{x}, t) = -\eta^{-1} \frac{1}{12} \left( b_0 (1 + C_f p_f(\underline{x}, t)) \right)^2 \nabla_\tau p(\underline{x}, t), \quad \underline{x} \in \Omega_f \quad (A4)$$

827 Furthermore, the two solid constitutive laws, including a generalized Hooke's law for the hosting  
828 rock and a transverse simple shear deformation law for fractures, read:

$$829 \quad \boldsymbol{\sigma}_p'(\underline{x}, t) = \mathbb{C}_m : \nabla^s \underline{u}_m(\underline{x}, t), \quad \underline{x} \in \Omega_m \quad (A5)$$

$$830 \quad \boldsymbol{\sigma}_p'(\underline{x}, t) = G_{f\tau} \nabla_n u_{f\tau}(\underline{\xi}, t), \quad \underline{\xi} \in \Omega_f \quad (A6)$$

831 In equations (A1) - (A6), subscripts ' $m$ ' and ' $f$ ' indicate quantities associated with the hosting rock  
832 (porous matrix) and deterministic fractures, subscript ' $0$ ' denotes the initial value of a quantity,  
833 subscripts ' $n$ ' and ' $\tau$ ' indicate the fracture normal and tangential directions,  $\underline{x}$  and  $\underline{\xi}$  indicate the  
834 global and fracture local coordinate systems,  $t$  is the time,  $\Omega$  is the model domain,  $\phi$  is the intrinsic  
835 porosity,  $\Lambda(\underline{x})$  is a fracture-dependent parameter enabling the definition of a so-called partial  
836 porosity,  $C$  is the compressibility,  $p$  is the fluid overpressure,  $\underline{v}$  is the fluid velocity vector,  $s$  is the

837 external fluid source normalized by the initial fluid density,  $\eta$  is the fluid viscosity,  $\mathbf{k}$  is the  
 838 permeability tensor,  $b$  is the fracture hydraulic aperture,  $\boldsymbol{\sigma}_p'$  is the solid effective stress (i.e., the  
 839 poroelastic stress) tensor,  $\underline{u}$  is the solid displacement vector,  $\alpha$  is the Biot-Willis coefficient,  $\mathbf{1}$  is the  
 840 Kronecker delta,  $\mathbb{C}$  is the elastic stiffness tensor under plane strain and  $G$  is the fracture shear  
 841 modulus.  $\nabla$ ,  $\nabla^s$ ,  $\nabla_n$  and  $\nabla_\tau$  are operators for computing the gradient, the symmetric gradient, the  
 842 fracture-normal gradient and the fracture-tangential gradient, and  $\nabla \cdot$  is the divergence operator.

843 The presence of fractures is reflected in equation (A1) by the modification to the hydraulic storage  
 844 capacity, and by equations (A4) and (A6) as the augmentation to the hydraulic conductivity and  
 845 the elastic stiffness of the system. Fracture-induced nonlinearity is introduced by equation (A4)  
 846 via the pressure-dependent hydraulic aperture. Additionally, by formulating the problem over a  
 847 single domain, the mass exchange between fractures and the matrix is resolved by, (1) imposing  
 848 an interface condition in addition to the standard Dirichlet and Neumann boundary conditions,  
 849 and (2) admitting discontinuities in fracture-normal fluid flux. The model is different from the  
 850 standard dual-porosity double-permeability model which requires the formulation of two  
 851 interacting mass conservation laws and the use of a smearing quantity called the 'shape factor'  
 852 resulting from domain separation and regularization. The initial conditions of the primary  
 853 unknowns are trivially set up as 0 since we are solving only for the changes.

854 The fluid diffusion in a fluid-saturated porous medium in the absence of fractures is governed by  
 855 a simplified version of equation (A1):

$$856 \quad \left( \phi_{m0}(\underline{x})(C_m + C_p) \right) \dot{p}(\underline{x}, t) + \nabla \cdot \underline{v}(\underline{x}, t) = s(\underline{x}, t), \quad \underline{x} \in \Omega \quad (\text{A7})$$

## 857 A.2 Seismic Source Parameters and Scaling Laws

858 The key equations used in calculating the seismic source parameters are shown here. First,  $M_0$   
 859 can be calculated from the fracture dimension and the recorded  $\Delta\tau$ . Depending on the fracture  
 860 geometry and the faulting regime, various formulas are available. Here, we opt for the one  
 861 suitable for a rectangular dip-slip fracture (Kanamori and Anderson, 1975):

$$862 \quad M_0 = \frac{\pi(\lambda + 2\mu)}{4(\lambda + \mu)} \Delta\tau W^2 L \quad (\text{A8})$$

863 where  $W$  is the fracture width (assumed as 1 m in the numerical examples under plane strain),  $\lambda$   
 864 and  $\mu$  are the Lamé's constant and the shear modulus of the medium.

865 Second,  $M_w$  is calculated from  $M_0$  following (Hanks & Boore, 1984):

$$866 \quad M_w = \frac{2}{3} (\lg M_0 - 9.1) \quad (\text{A9})$$



867 Finally, we adopt the following scaling laws that directly relate the permeability changes on a  
868 fracture to the event magnitude (Ishibashi et al., 2016):

$$869 \quad \begin{aligned} k_{\perp} / k &= 116.4 \times 10^{0.46M_w} \\ k_{//} / k &= 13.1 \times 10^{0.46M_w} \end{aligned} \quad (A10)$$

870 where  $k_{\perp}$  and  $k_{//}$  are the fracture permeabilities orthogonal and parallel to the fracture, and  $k$  is a  
871 reference permeability of the fracture prior to slip and is related to the fracture length via a power  
872 scaling law. Other methods for mapping permeability changes from induced seismicity data are  
873 available (e.g., Fang et al., 2018).

874 Because of the simple linear relation between  $k_{\perp}$  and  $k_{//}$ , the normalized permeability changes  
875 along the fracture-normal and -tangential directions, denoted as  $k_j^*$  where  $j = \perp$  or  $//$  and calculated  
876 as  $k_j^* = (k_j/k) - (k_j/k)_{\min} / ((k_j/k)_{\max} - (k_j/k)_{\min})$ , are the same, therefore, both are collectively denoted  
877 as  $k^*$ . This quantity is used in section 4.6.

### 878 A.3 Definition of Triggered and Induced Events

879 Some qualitative definitions of triggered and induced seismicity exist (e.g., McGarr & Simpson,  
880 1997). Here we propose the following quantitative definition for distinguishing a triggered event  
881 from an induced event based on the initial stress on a fracture in relation to the peak and residual  
882 frictional strengths:

$$883 \quad \begin{aligned} \sqrt{\|\boldsymbol{\sigma}'_0 : \underline{n}\|^2 - (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n})^2} &\leq \mu_d (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}), \quad \text{induced} \\ \mu_d (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}) &< \sqrt{\|\boldsymbol{\sigma}'_0 : \underline{n}\|^2 - (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n})^2} &\leq \mu_s (\boldsymbol{\sigma}'_0 : \underline{n} \otimes \underline{n}), \quad \text{triggered} \end{aligned} \quad (A11)$$

884 where  $\boldsymbol{\sigma}'_0$ ,  $\underline{n}$ ,  $\mu_s$  and  $\mu_d$  are the same as in the main text.

885 Equation (A11) states that from a loading point of view, the key difference between the two lies  
886 in that an induced event represents shear failure on a fault that is otherwise tectonically inactive  
887 with respect to the background stress state, whereas a triggered event is indicative of a fault that  
888 is nevertheless expected to produce an earthquake given the background stress state but the  
889 process towards failure is favorably accelerated. Our definition is consistent with the  
890 aforementioned one. As a result, upon seismicity, a triggered event releases a substantial amount  
891 of tectonic stress whereas an induced event releases mostly anthropogenic stress.

### 892 A.4 Poroelastic Stress Invariants

893 The two poroelastic stress invariants are calculated according to standard formulations except for  
894 the use of the effective poroelastic stress tensor  $\boldsymbol{\sigma}'_p$ . Under plane strain, they read:

$$895 \quad \frac{1}{3} I_1' = \frac{1}{3} (1 + \nu) (\sigma'_{px} + \sigma'_{py}) \quad (A12)$$

$$\sqrt{J_2'} = \sqrt{\frac{1}{6} \left[ (\sigma'_{px} - \sigma'_{py})^2 + (\sigma'_{py} - \nu(\sigma'_{px} + \sigma'_{py}))^2 + (\sigma'_{px} - \nu(\sigma'_{px} + \sigma'_{py}))^2 \right] + (\sigma'_{pxy})^2} \quad (\text{A13})$$

897 where  $\nu$  is the Poisson's ratio,  $\sigma'_{px}$  and  $\sigma'_{py}$  are the two normal components and  $\sigma'_{pxy}$  is the shear  
898 component of  $\sigma_p'$ .

899 Using these two stress invariants, we define an *excess poroelastic shear stress invariant* denoted as  
900 *MC*, which reads:

$$901 \quad MC = \sqrt{J_2'} - \sin(\phi) \frac{1}{3} I_1' \quad (\text{A14})$$

902 Here,

$$903 \quad \phi = \tan^{-1}(\mu_s) \quad (\text{A15})$$

904 Equation (A14) is adapted from the invariant form of the Mohr Coulomb yield function (e.g.,  
905 [Borja, 2013](#)) by setting the cohesion to 0 and the Lode's angle as  $\pi/6$ . In a sense, *MC* is the invariant  
906 form of *CFF*.

907 For case 3, equations (A12) and (A13) are used to calculate  $I_1'/3$ ,  $\sqrt{J_2'}$  and  $\sqrt{J_2'} - \sin(\phi) I_1'/3$  shown  
908 in Figure 7. For cases 1 and 2 devoid of the coupling effect, substituting equation (12) into  
909 equations (A12) and (A13) yields the following equivalent poroelastic stress invariants (the  
910 continuous instead of the discrete fluid pressure is used here):

$$911 \quad \frac{1}{3} I_1' = -\frac{2}{3} (1 + \nu) \alpha p \quad (\text{A16})$$

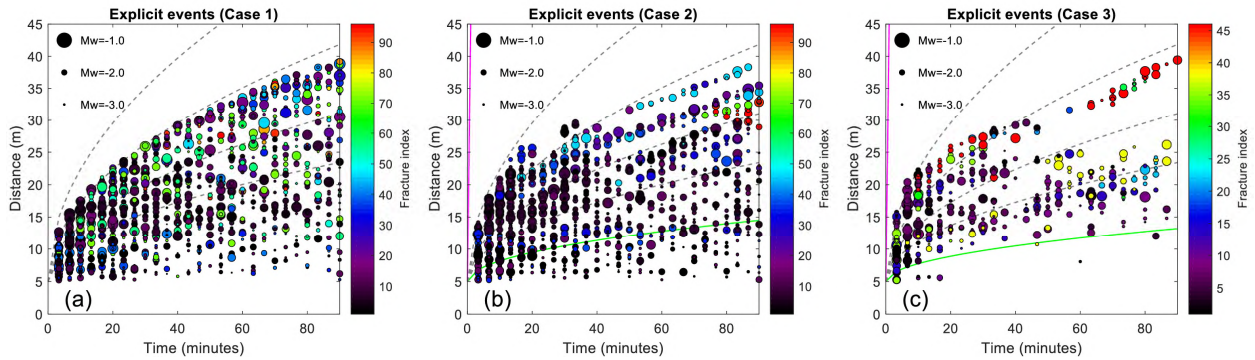
$$912 \quad \sqrt{J_2'} = 0 \quad (\text{A17})$$

913 Given the parameters used in this study, specifically,  $\nu = 0.25$ ,  $a = 0.8$  and  $\mu_s = 0.6$ , equation (A16)  
914 predicts that  $I_1'/3 \approx -0.67p$  and  $\sqrt{J_2'} - \sin(\phi) I_1'/3 \approx 0.34p$  for cases 1 and 2.

## 915 **A.5 Associating Seismicity With The LSDF**

916 In section 4.2.2, we have pointed out that in cases 2 and 3, a positive correlation between the  
917 distance and the origin time can be observed for an explicit event occurring along the *LSDF*  
918 (Figure 3a) but not for an implicit event in the hosting rock (Figure 3b). Here in Figures A1b and  
919 A1c, we single out the explicit events in cases 2 and 3 and plot them in the *R-T* space, and the  
920 color indicates the index *I* of a fracture (equation (8)) with which an explicit event is associated.  
921 For case 1, an explicit event cannot be defined; nonetheless, the events on fractures at the same  
922 locations (Figure 3d) are plotted and colored with the same *I* for comparison (Figure A1a). In  
923 Figures A1b and A1c, the progressive development of events along a deterministic fracture

924 becomes evident, i.e., events of the same color delineate a parabolic trend. However, this cannot  
 925 be observed in Figure A1a.

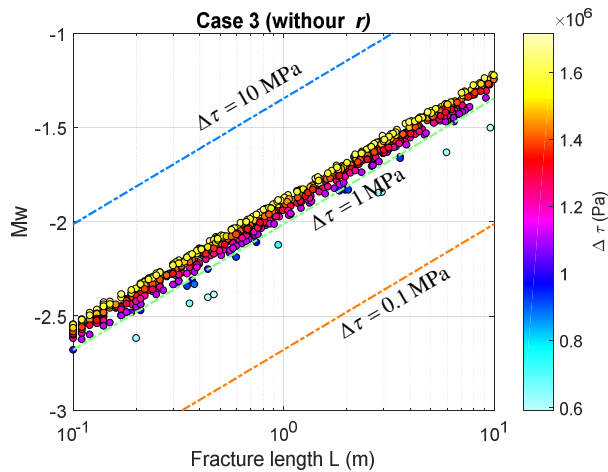


926

927 **Figure A1.** *R-T* plot of the explicit seismic events colored with the associated fracture index  $I$ . (a) Case 1, (b) case 2 and  
 928 (c) case 3. Notice that the notion of an explicit event only applied to cases 2 and 3. Nonetheless, for case 1, the events at  
 929 the same locations are plotted for comparison.

930 **A.6 Effect of The Parameter  $r$**

931 In section 4.5.1, we have showed the distribution of  $\Delta\tau$  in relation to  $M_w$  and  $L$ , which does not  
 932 vary much among the three cases. The parameter  $r$  in equation (18) is generated following a  
 933 uniform distribution in all cases. Here, to show the effect of  $r$ , we run a case otherwise identical  
 934 to case 3 except for the removal of  $r$  and the result is shown in Figure A2. While the model  
 935 produces the same ranges of  $M_w$  and  $L$ ,  $\Delta\tau$  is concentrated right above 1 MPa. This is not typically  
 936 observed in real data, implying that  $\Delta\tau$  mostly does not reach the maximum likely stress drop.



937

938 **Figure A2.** The distribution of  $\Delta\tau$  in the  $M_w$ - $L$  space for case 3 without considering the random parameter  $r$  in equation  
 939 (18).

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