

1 **Pathways of resilience: a fuzzy-set Qualitative Comparative
2 Analysis of healthcare systems resilience to extreme weather
3 events**

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26 **Coversheet statement**

27 This paper the paper is a non-peer reviewed preprint submitted to EarthArXiv. It was
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30

31 **ABSTRACT**

32 **Background**

33 Increasing frequency and intensity of extreme weather events threaten healthcare
34 services globally, with particularly intense risks to low-resource regions. Evidenced
35 pathways for building and enacting resilience in complex health systems are under
36 researched. Literature on resilient healthcare is dominated by infections disease
37 outbreaks and lacks synthesised insights from weather-related disruptions and real-
38 world practice.

39 **Methods**

40 This paper responds to these gaps by presenting findings from a novel dataset of 18
41 case studies conducted in a range of global contexts. The cases were built around
42 understanding how extreme weather events have impacted and interacted with four
43 interrelated systems – a central healthcare facility, local healthcare system, community
44 and interconnected systems – building on the WHO's operational framework for climate
45 resilience healthcare systems. The study applied a fuzzy-set Qualitative Comparative
46 Analysis to assess the complex configuration of conditions related to two outcomes:
47 service continuity and healthcare facility recovery state.

48 **Results**

49 The results demonstrated four distinct pathways through which resilience was enacted,
50 with different configurations of vulnerability, adaptative capacity and external support.
51 The pathways show that local adaptive capacity is crucial for ensuring service
52 continuity during disruptive weather phenomena. Where local adaptive capacity is not
53 present, or it is overwhelmed by the scale of the extreme weather event, external
54 support from national and international responders can support resilience indicated by
55 a successful final system state but not service continuity. Local experiences show the
56 critical importance of staff wellbeing and institutional coordination for absorbing and
57 responding to weather-related disruptions.

58 **Conclusion**

59 The pathways presented in this paper represent reliable modes of resilience in the
60 dataset and provide novel insight into the gap of practice-based, locally relevant
61 knowledge on building healthcare resilience.

62 **INTRODUCTION**

63 Extreme weather events (EWEs) impact healthcare worldwide, with the most intense
64 effects often experienced in low-resource settings (1). Weather phenomena such as flash
65 floods, cyclones, hurricanes and typhoons, tropical storms, droughts and heatwaves
66 directly disrupt hard and soft components of healthcare systems and often trigger

67 secondary hazards (2). Demands for building more resilient healthcare are growing,
68 although the concept's meaning and application are debated (3,4). Existing research on
69 healthcare resilience has a strong focus on infectious disease outbreaks (5), particularly
70 Covid-19 (6) and Ebola (7). While these events have important cross-learnings for
71 systems facing extreme weather, the nature of the disruptions is distinct (8).

72 The World Health Organisation (WHO) has published an influential operational
73 framework for building climate resilient health systems (9). The framework defines
74 resilient healthcare systems in congruence with influential scholarly definitions from the
75 mid-2010s (10,11). A synthesised definition reads: 'the capacity of health systems
76 (actors, institutions and populations) to effectively anticipate, prepare, absorb, cope,
77 respond, recover and re-organise (or transform), when a crisis hits, to bring sustained
78 improvements in population health, despite instability'. Subsequent literature
79 emphasises the nature of resilience as an ability or capability, and how it exists in
80 complex system interactions, particularly formal health systems, community health
81 systems and interconnected systems (4,12,13).

82 Other authors highlighted the lack of practice-based knowledge (14). Research with
83 global experts proposed a future research agenda to enable its application in practice
84 (15). This agenda included understanding the linkages between societal and health
85 system resilience, measuring systems' dynamic performance, and the effect of
86 governance on the capacity for resilience. Another study reviewed learning tools used in
87 real healthcare settings to inform and support resilience (16). Such tools are based on
88 processes of prompting stakeholder reflection on organisational adaptations and
89 simulating 'real life' events, yet their effectiveness remains under studied.

90 Therefore, an inferred knowledge gap exists in understanding how resilience unfolds in
91 practice – particularly in places most vulnerable to the effects of EWEs – through a
92 system of systems lens. In this article, we contribute to closing this gap by analysing a
93 novel dataset of diverse, global case studies on the impacts and responses of district
94 healthcare systems to extreme weather events. We applied a fuzzy-set Qualitative
95 Comparative Analysis (fsQCA) to n=18 cases, a method that uses set-theoretic relations
96 to identify causal pathways to a defined outcome of interest (17,18). The results identified
97 four resilience pathways, which we illustrated using qualitative insights from the full
98 narrative case studies. Data collection and analysis were underpinned by the logic of the
99 WHO framework, ensuring inter- and intra-case coherence. We conclude this study by
100 discussing its novel implications for both theory and practice.

101 **METHODS**

102 This study was conducted by the RESHAPE Project consortium, funded by the UK's
103 National Institute of Health Research (NIHR204820). It underwent ethical review at major
104 partner intuitions in the consortium and national committees where relevant, with

105 approval codes: University of Leeds (EPS FREC - 2025 1843-3136), University of East
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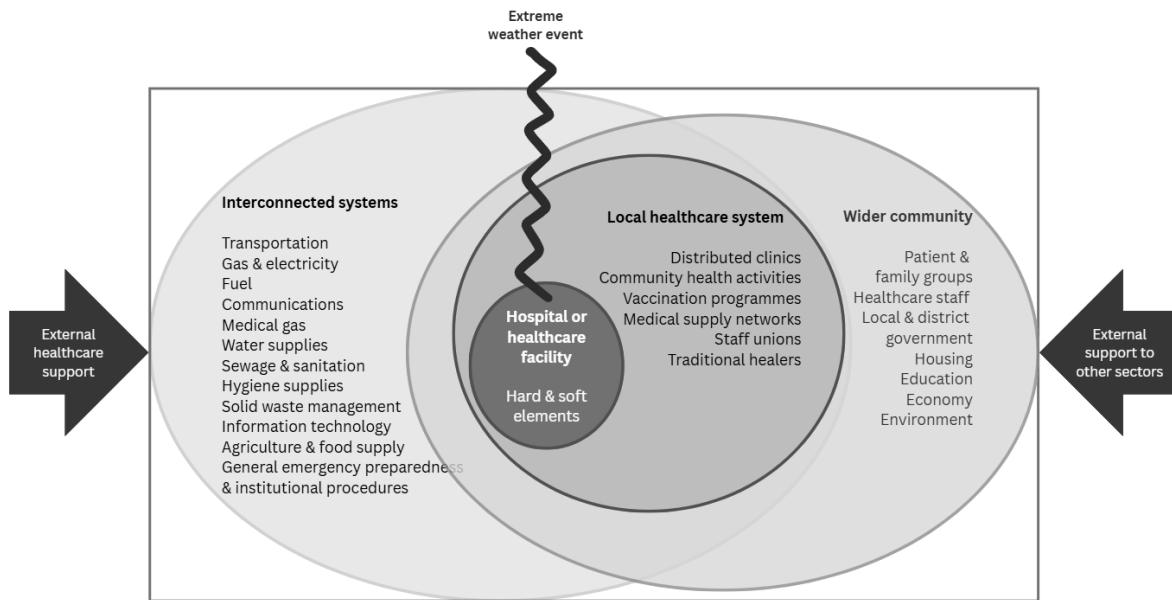
110 Patients and the public are involved in other studies being undertaken by the RESHAPE
111 Project consortium, however as this study was focused on healthcare system
112 governance, we engaged with specifically healthcare facility managers and coordinating
113 staff. The research presented in this paper followed a fsQCA approach, an apt method
114 for answering the question: what combination of conditions leads to outcomes indicating
115 the presence of healthcare system resilience?

116

117 **Study design**

118 We developed the ‘system of systems’ model through two workshops conducted in
119 October and November 2024 with academic partners of the “Improving business
120 continuity for health services following extreme weather events” project. The consortium
121 comprises healthcare experts from a range of disciplines including medicine,
122 epidemiology, civil and public health engineering, sociology and business management,
123 across five countries. Workshops used the WHO operational framework as a foundation
124 for discussion on how healthcare resilience works in practice in England, Malawi,
125 Tanzania, Uganda and Vietnam.

126 Based on these discussions, the system of systems model was developed to include key
127 local components such as the role transportation networks, traditional healers, and
128 patients and family groups. Figure 1 below presents the detailed model with a nested
129 healthcare facility at the centre. The surrounding local healthcare system connects to
130 this facility, and comprises distributed clinics, medical supply chains, staff unions and
131 other community-based healthcare services. We define local as equivalent to a district
132 scale, which may have only one or multiple larger healthcare facilities (likely the case in
133 a rural district or in an urban district, respectively). Additionally, we include the role of
134 external support – defined as any support originating from outside the district.



135

136 *Figure 1: Conceptual healthcare systems model, showing the relations between four systems of focus in this study:*
 137 *central facility - comprised of hard and soft systems components - local healthcare system, wider community and*
 138 *interconnected systems. The jagged line represents the disruptive impacts of an event across all four systems.*

139 **Case compilation**

140 Case studies were identified based on a set of criteria (detailed in online supplemental
 141 Tables S1; S2) designed to ensure both data comparability and recall memory of key
 142 informants. Selection was therefore based on an EWE and central healthcare facility
 143 meeting these criteria, as well as the availability of documentation and key contacts. A
 144 range of cases from higher resource to lower resource settings were selected designed
 145 to maximise case diversity and cross-contextual learnings. Given the focus of this
 146 research was low resource setting, most cases are based in such settings.

147 A total of 27 cases were identified across 13 countries, including the five partner
 148 countries, although not all cases were validated sufficiently for inclusion in the fuzzy-set
 149 analysis, shown below in Table 1. A consistent approach was taken to compiling a case
 150 following a set protocol and data collection proforma (shown supplemental (Tables S3;
 151 S4). The first stage involved a literature review by the research team of grey and academic
 152 documentation of the EWE. Common literature types included local media articles, NGO
 153 reports, government announcements, and studies on climatic or geographic
 154 vulnerability.

155 To validate the literature-based case study, verbal interview or written document review
 156 by at least one professional with direct experience of the event, such as healthcare
 157 workers, facility managers, government officials or emergency responders, was required.
 158 In most cases an interview was conducted, either face-to-face or online, but in some
 159 instances, informants validated cases by providing written contribution to the data
 160 collection table. The total number of validated cases included in the final analysis was
 161 n=18.

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Table 1: List of cases with data collected (case summaries provided in Table S5). The cases in Australia and Zambia were not included in the fuzzy set Qualitative Comparative Analysis due to lack of validation and incomparable central healthcare facility, respectively.

Country	Case	Event	Validated	Number of professionals validating study
Colombia	Barranquilla, Department of Atlántico	Flooding, 2023-2024	Y	2
	Mocoa, Department of Putumayo	Flash flood inducing landslide/debris flows, 2017	Y	2
England	Norfolk, East Anglia	Extreme heat, 2022	Y	1
India	Warangal, Telangana	Flash floods, 2023	Y	3
Malawi	Mbenje, Nsanje District	Cyclone Jude, 2025	Y	3
	Nsanje District (North)	Cyclone Freddy, 2023	Y	3
	Nsanje District (South)	Cyclone Freddy, 2023	Y	4
Philippines	Dinagat Islands, Caraga Region	Super Typhoon Odette (Rai), 2021	Y	2
	Siargao Island, Caraga Region	Super Typhoon Odette (Rai), 2021	Y	1
Tanzania	Hanang, Manyara Region	Landslide, 2023	Y	1
	Ifakara, Kilombero District	Tropical Cyclone Hidaya, 2024	Y	1
	Mafia Island	Tropical Cyclone Hidaya, 2024	Y	1
Uganda	Kilembe, Kasese District	Flooding, 2020	Y	2
	Kisizi, Rukungiri District	Flooding, 2017	Y	2
	Rwangara, Ntoroko District	Flooding, 2019	Y	2
Vietnam	Huong Khe, Ha Tinh Province	Flooding, 2016	Y	2
	Huong Son, Ha Tinh Province	Heavy rains & whirlwinds, 2021	Y	2
	Tran Yen, Yen Bai Province	Heavy rains & thunderstorms, 2024	Y	2
Australia	Kimberley, Western Australia	Tropical Cyclone Ellie, 2022	N	0
Zambia	Lusaka city	Drought, 2023	Y	1

165

166 Set definition

167 Building on the concepts included in stage 3 of the WHO framework (9), we selected an
168 initial 16 causal condition sets, based upon the concepts of exposure, sensitivity,
169 adaptive capacity, and the additional element of external (or humanitarian) support,
170 across the four system levels (Figure 1). Three outcome sets were proposed – (i) service
171 continuity; (ii) final system state; (iii) time taken to reach final state, based on stage 5 in
172 the WHO framework and wider resilience literature (5, 19). All sets were initially defined
173 based on theoretical concept definitions, aligning to the fsQCA approach. For example,
174 exposure of the central healthcare facility was defined from 0 – a complete absence of
175 exposure to 1 – total exposure to the EWE.

176 Set definitions were iterated into their final form by following the calibration process and
177 using the collaborative workshops to ensure adjustments were appropriate across
178 contexts (18). This process led to exposure and sensitivity conditions being consolidated
179 into an overarching vulnerability condition, and the external support variables being
180 consolidated into two broad sets: healthcare-specific external support and support
181 provided to other sectors. Additionally, the three outcome sets were reduced by merging
182 (ii) the final state and (iii) the time taken to reach the final state. The consolidation process
183 for causal condition and outcome sets was carried out to ensure an optimal number of
184 sets for insightful/successful analysis. Overall, this led to the final definitions and
185 thresholds of 10 causal condition sets and two outcome sets, as shown below in Tables
186 2, 3 and 4 (further threshold definitions can be found in Table S6).

Table 2: The final 10 causal conditions and their definitions as used in our fuzzy set Qualitative Comparative Analysis. Calibration thresholds and respective sub-definitions are detailed in Table S5.

Label	Causal condition	Set definition
V1	Vulnerability of central healthcare facility	The combined exposure of the facility to extreme weather and its sensitivity – demonstrated by the hard and/or soft system impacts – during the EWE of focus in the case study.
V2	Vulnerability of the local healthcare system	The combined exposure of the healthcare system to extreme weather and its sensitivity during the EWE of focus in the case study.
V3	Vulnerability of the community	The combined exposure of the community (the people served by the facility and local healthcare system) to extreme weather and its sensitivity during the EWE of focus in the case study.
V4	Vulnerability of the interconnected systems	The combined exposure of the community (including power, water and telecoms utilities and transportation) to extreme weather and its sensitivity during the EWE of focus in the case study.
A1	Adaptive capacity of the central healthcare facility	The demonstrated response to the impacts of the EWE at the facility level. Both the preparedness prior to the event (including aspects of emergency planning, protocols and early warning alerts) and the timeliness of response during and after the event, indicate capacity to absorb and adapt to disruptions.
A2	Adaptive capacity of the local healthcare system	The demonstrated response to the impacts of the EWE at the healthcare system level. Both the preparedness prior to the event and the timeliness of response during and after the event, indicate capacity to absorb and adapt to disruptions.
A3	Adaptive capacity of the community	The demonstrated response to the impacts of the EWE at the community level. Both the preparedness prior to the event and the timeliness of response during and after the event, indicate capacity to absorb and adapt to disruptions.
A4	Adaptive capacity of the interconnected systems	The demonstrated response to the impacts of the EWE at the interconnected systems level. Both the preparedness prior to the event and the timeliness of response during and after the event, indicate capacity to absorb and adapt to disruptions.
HH	Humanitarian (or external) support to healthcare	The extent of support provided to the central healthcare facility and the local healthcare system from sources external to the district. This includes mobilisation of healthcare staff, supplies and infrastructure (e.g. field hospitals, cholera treatment facilities, psychosocial support) from other districts or regions in the country (national humanitarian responders) and from other countries (international humanitarian responders).
OH	Humanitarian (or external) support to sectors other than healthcare	The extent of support provided to the community, interconnected systems, or other non-health related sectors from sources external to the district. This includes food, shelter, water, sanitation, nutrition, livelihoods, electricity and communications from other districts or regions in the country and from other countries.

190 **Set scoring**

191 Fuzzy scoring is based on fsQCA principles that a condition or outcome will exist between
192 complete absence (0) and presence (1). Each set is defined by establishing the
193 thresholds of 0 and 1, as well as intermediary thresholds, commonly 0.33 and 0.67 (18,
194 20, 21). The process of scoring took place over four rounds. The first round was
195 conducted by the researchers responsible for each case study, based on theoretical set
196 definitions and training sessions on scoring, both by the first author. The subsequent
197 rounds 2, 3 and 4 were completed by the first author. These rounds involved (2) reviewing
198 and repeating all scores to ensure a consistent approach, (3) consolidation of the initial
199 causal conditions and outcomes, and (4) adjusting scores relative to the dataset based
200 on calibrated set definitions.

201 Scoring incorporated the magnitude of the EWEs and temporal elements, to enable
202 comparability between diverse cases. This is described in the set threshold definitions in
203 Tables 3, 4 and S6. Since the method uses set definitions calibrated to the dataset,
204 descriptions of response time and event magnitude are contextual and scaled relative to
205 the characteristics of the 18 cases.

206

207 *Table 3: Calibrated set definitions and threshold descriptions for service continuity outcome.*

Outcome set (i)	Set threshold	Description
Continuity of healthcare service delivery during and immediately after the EWE.	1	Essential functions of the central healthcare facility continued, with some minor disruptions to peripheral elements of the healthcare facility. These disruptions were able to be recovered quickly. Patients did not experience any significant disruption.
	0.67	There were some minor disruptions to essential functions of the central healthcare facility, but patient facing services were protected as far as possible - usually staff were affected via changing work patterns or facility accessibility issues. Remedial measures were taken to quickly to return to service continuity and subsequently all other disruptions were addressed in due course.
	0.33	There were major disruptions to the continuity of core healthcare service provision. Essential functions of the system and facility were heavily disrupted during and after the event. Staff and patients were substantially affected.
	0	Healthcare service delivery was completely halted during the event – there was no continuity. Service delivery did not resume for weeks or months afterwards.

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211 *Table 4: Calibrated set definitions and threshold descriptions for final system state outcome.*

Outcome set (ii)	Set threshold	Description
Final state of the local healthcare system, measured by proxy as the final state of the central healthcare facility including a temporal dimension.	1	<p>The final state of the central healthcare facility was:</p> <ul style="list-style-type: none"> ▪ A transformed facility that was upgraded in its hard and/or soft system components and operated more effectively than it did before the event. After the event, learnings were integrated into protocols, procedures and/or construction. ▪ A facility that returned to the same state it was in as before the event, provided it was functioning effectively. It is expected that in these cases the ‘bounce back’ time will be relatively short for an effective system.
	0.67	<p>The final state was a healthcare facility that recovered to the same as before the event, if it had previously operated well (relative to context), but with some minor issues around consistency of service delivery.</p>
	0.33	<p>The final state was a facility that was functioning but providing inconsistent services. It is likely that in these cases the bounce back time is long and draw out. This could look like either:</p> <ul style="list-style-type: none"> ▪ A facility that returned to the same state as before the event, if it previously had major issues in delivering core healthcare services. ▪ A functional facility but one that was in substantially worse state than it was before the event.
	0	<p>The final state after the event was the complete collapse of the central healthcare facility.</p>

212 **Data analysis**

213 Using Ragin’s fsQCA software V4.1 two analyses were conducted, the first with outcome
 214 (i) and second with outcome (ii). Analyses involved evaluation of all possible logical
 215 combinations of causal conditions associated with the outcome, using Boolean
 216 minimisation. Each of our two chosen outcomes is indicative of what a resilient system
 217 could look like during an EWE – (i) service continuity – and in the months or years after
 218 when recovery is considered (locally) to have occurred – final state. Data analysis results
 219 were produced for complex pathways, whereby only logically coherent fsQCA “solutions”
 220 based on the data reported are shown, as well as parsimonious pathways whereby
 221 logical minimisation helps identify the simplest possible pathways.

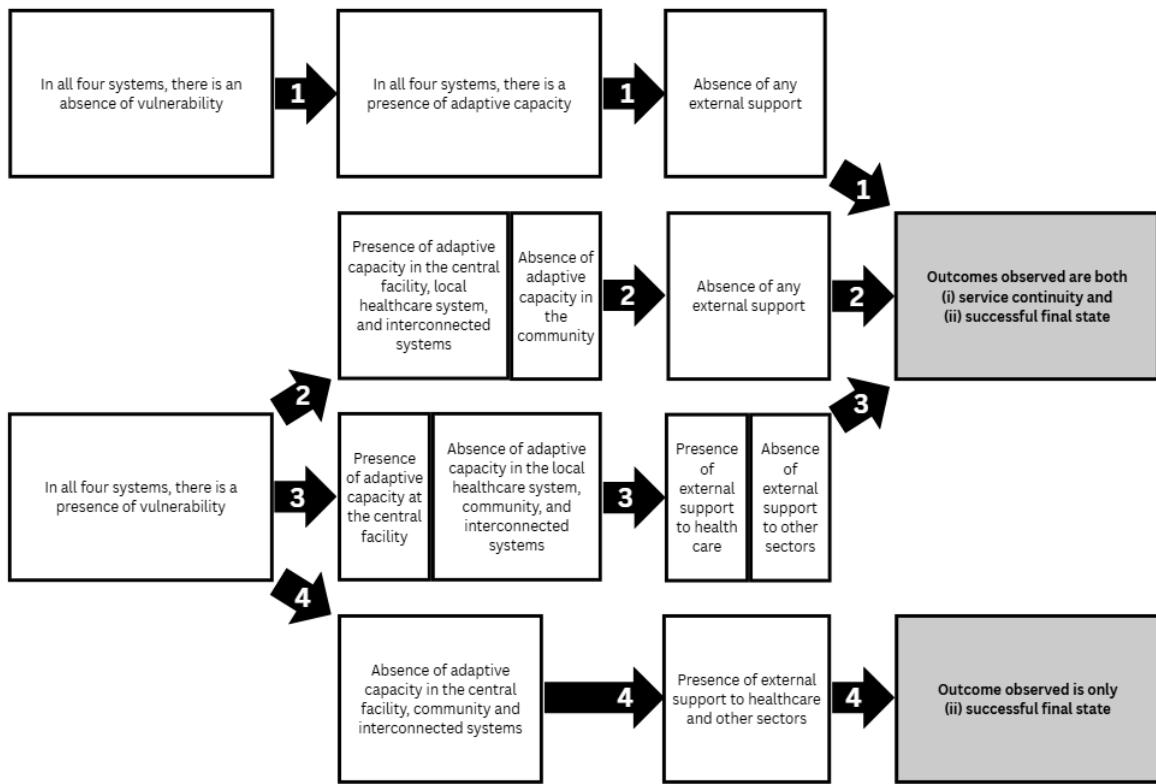
222

223 **RESULTS**

224 Results were generated by analysing the fuzzy set scores for each case study, shown in
 225 Table 5 below. Four pathways of resilience that meet fsQCA consistency threshold
 226 conditions were found, making them salient across the dataset, detailed in Table 6.
 227 Visual representation of the pathways is shown below in Figure 2. In the following sub-
 228 sections, we qualitatively describe the four pathways using examples from the cases
 229 most closely configured to each theoretical pathway.

Table 5: Final fuzzy-set scores from the fourth scoring round.

Case	Causal condition score										Outcome score	
	V1	V2	V3	V4	A1	A2	A3	A4	HH	OH	(i) Continuity	(ii) Final state
1_Colombia, Barranquilla	0.55	0.67	0.7	0.88	0.8	0.5	0.5	0	0	0	0.9	1
2_Colombia, Mocoa	0.9	1	1	0.95	0.15	0	0	0.15	1	0.8	0.2	1
3_England, Norfolk	0	0.15	0.3	0.15	1	0.67	0.67	1	0	0	1	1
4_India, Warangal	0.67	0.75	1	0.67	1	1	0.33	0.67	0	0	0.67	1
5_Malawi, Mbenje	0.85	1	0.8	0.67	0	0	0.33	0	0.33	0.2	0	0
6_Malawi, Nsanje (south)	0.67	1	1	1	0.33	0.33	0.2	0	0.67	0.67	0.33	0.4
7_Malawi, Nsanje (north)	0.67	1	1	1	0.67	0.33	0.33	0	0.67	0.4	0.9	0.67
8_Philippines, Dinagat	0.95	1	1	1	0	0.67	0	0.33	1	1	0.1	0.5
9_Philippines, Siargao	0.67	1	1	1	0.2	0.67	0	0.2	1	1	0.33	1
10_Tanzania, Ifakara	0.5	0.8	0.8	0.67	0.67	0.33	0	0.2	0.8	0.33	1	1
11_Tanzania, Katesh	0.5	0.9	1	0.67	0.5	0	0	0.33	0.8	0.67	1	1
12_Tanzania, Mafia	0.5	0.8	0.9	0.67	0.67	0.6	0.2	0.33	0.33	0.33	0.85	1
13_Uganda, Kilembe	1	0.8	1	1	0	0	0.1	0.1	0.5	0.35	0	0.2
14_Uganda, Kisizi	0.5	0.5	0.5	0.67	0.33	0.33	0.67	0.5	0.2	0.2	0.33	0.4
15_Uganda, Rwangara	1	1	0.33	1	0	0	0	0	0.5	0.4	0	0.5
16_Vietnam, Huong Khe	0.67	0.9	1	0.9	0.67	0.5	0.5	0.67	0.67	0.5	0.2	0.75
17_Vietnam, Huong Son	0.67	0.8	0.9	0.75	0.67	0.67	0.5	0.5	0.67	0.4	0.33	0.67
18_Vietnam, Tran Yen	0.8	0.9	0.9	1	0.67	0.5	0.2	0.33	0.67	0.6	0.6	0.8



232

233 *Figure 2: Four resilience pathways resulting from the fuzzy set Qualitative Comparative Analysis. Pathways 1, 2 and 3 all hold for both outcomes (i) & (ii), whereas pathway 4 holds only for outcome (ii).*

234

235

236 Table 6: Prevalence and frequency of pathway occurrence across the dataset. Raw coverage represents the share of
237 the outcome set that is covered by that pathway, for example 13.7% of the presence of outcome (i) within the dataset
238 is explained by pathway 1. Consistency refers to the degree to which the cases with the outcome also have the
239 pathway. The standard value for a reliable pathway is a consistency score of 0.8 (Ragin, 2008).

Pathway	Outcome indicator (i) service continuity		Outcome indicator (ii) successful final state	
	Raw coverage	Consistency	Raw coverage	Consistency
1	0.137	1	0.093	1
2	0.274	0.948	0.196	1
3	0.386	0.963	0.272	1
4	N/A	N/A	0.433	0.876

240

241 **Pathway 1: Low vulnerability and high local capacity**

242 Pathway 1 is characterised by low vulnerability, with the four conditions relating to
243 vulnerability all absent (~V1, ~V2, ~V3, ~V4). It is also characterised by high adaptive
244 capacity in all four systems, demonstrated by the presence of the conditions relating to
245 adaptive capacity (A1, A2, A3, A4). Additionally, no external support from beyond the
246 district (or equivalent) boundaries is provided to healthcare or other sectors (~HH, ~OH).
247 This pathway acts as one type of theoretical scenario where systems can cope with
248 disruption without external support.

249 For each pathway, the fsQCA associates one or more cases that closely align with the
250 configuration of causal conditions and outcome presence. Pathway one is exemplified in
251 the case study of a hospital in Norfolk, England, during a heatwave in 2022. During this
252 event, temperatures were recorded in the late 30s (°C), with the highest recorded at
253 40.3°C (remaining the highest temperature recorded to date in England at time of writing,
254 late 2025) approximately 30 miles away from the facility.

255 At the hospital, the key informant described adaptive capacities during the event as a
256 combination of multiple small actions. An important focus of these actions was staff
257 morale and wellbeing, which were lower than normal due to the unusually high heat for
258 the context. Healthcare staff faced the same heat impacts as people in the wider
259 community, including poor sleep, resulting in lower concentration and more mistakes,
260 dehydration and risk of urinary tract infections. Low morale and higher irritability also
261 meant that problems escalated more quickly than usual, and higher staff absences were
262 noted due to illness and people being less willing to work extra hours.

263 Actions the facility took to respond included making changes to uniform policy to allow
264 shorts, informing staff to keep windows shut to regulate temperatures, and providing
265 'tangible' good-will gestures, such as free drinks and ice creams. Other actions, such as
266 longer staff breaks, were described by the key informant as unrealistic and unpopular,
267 because they would cause staff to finish shifts later. While there is a standard operating
268 procedure policy document or 'adverse weather policy' at most hospital trusts in

269 England, specific actions are facility-dependent. For example, some reported strategies
270 include delayed discharge of high-risk patients, shifting surgery times to early morning,
271 and selecting lower-risk patients for surgery. However, the facility in this case did not have
272 the internal flexibility to employ such strategies due to consistent high demand for
273 services – routine medical care ‘just has to happen’.

274

275 **Pathway 2: High vulnerability, with local capacity in formal systems**

276 Pathway 2 is characterised by high vulnerability within all four systems of interest (V1, V2,
277 V3, V4). The adaptive capacity is split between an absence of adaptive capacity in the
278 community, but a presence of adaptive capacity within the three more formal systems –
279 central facility, local healthcare system and interconnected systems (A1, ~A2, A3, A4).
280 No external support is provided to healthcare or other sectors, demonstrating again a
281 situation of local resilience (~HH, ~OH). The formal systems in this pathway have
282 sufficient capacity to respond and adapt to the event, leading to the presence of both
283 outcomes (i) service continuity and (ii) final system state. This implies that if three out of
284 the four systems have strong adaptive capacity, then local resilience can exist. It may
285 also suggest that the community – often comprised of more informal networks – can be
286 bolstered by connecting to stronger formal systems.

287 This pathway is demonstrated most closely in the case conducted at a hospital in
288 Warangal, India, during a flash flood event in 2023. The hospital was in a low-lying area
289 on the outskirts of Warangal city, adjacent to some low-income, informal
290 neighbourhoods. Due to the rapid expansion of the city, drainage infrastructure was
291 reportedly not complete in this area. In July 2023, after three days of heavy rain, an
292 external-facing wall in the hospital compound was damaged, reportedly by people living
293 beside it with the motivation of alleviating substantial flooding in their neighbourhood.
294 The broken wall released large volumes of water into the hospital grounds and
295 submerged most of the facility’s ground floor.

296 The response by the hospital director mobilised both the facility’s internal resources and
297 connected them to the surrounding healthcare system. Due to the large size of the
298 hospital, they were able to shift many patients to higher floors and move critical patients
299 to nearby facilities, with local farmers aiding in transportation across flooded access
300 roads. Shifting of patients to other hospitals and to the upper floors of the hospital had to
301 happen without any power supply as the floods had completely destroyed the powerlines
302 as the power generator also could not function due to inundation. Other facilities in the
303 area were also vulnerable to flooding, but the larger facilities had flood protections and
304 were not as badly affected as the central hospital due to the damaged wall.

305 The interconnected systems in the area were repaired quickly, but the hospital also
306 quickly restored its own boreholes and generators, which provided multiple options for

307 power and water continuity. In the community, the slum neighbourhoods were reportedly
308 'washed away' by the floods, but many people received healthcare from the hospital, as
309 it provided free and affordable services. Increased numbers of patients arriving with
310 conditions such as skin and eye diseases, fevers, colds and injuries. The community
311 faced serious impacts and was not afforded the protections of its own, independent
312 resilience – due to many complex contextual and political factors – but many received
313 support from the hospital in the short-term. Subsequent to the incident the hospital
314 invested heavily in providing the stormwater drainage systems to avoid future flooding.

315

316 **Pathway 3: Healthcare-specific capacity and external support**

317 Resilience pathway 3 is characterised by four vulnerable systems (V1, V2, V3, V4), with
318 local adaptive capacity at the central healthcare facility, although not in the local
319 healthcare system, community or interconnected systems (A1, ~A2, ~A3, ~A4). It also
320 has some external support to healthcare but not to other sectors (HH, ~OH). This
321 pathway demonstrates a strong healthcare strand, combining health-focused support
322 and adaptive capacity.

323 Pathway three is exemplified in Nsanje (north), Malawi, during Cyclone Freddy in 2023.
324 The case study location is highly exposed to floods and cyclones, and the four systems
325 of interest were sensitive to the event. The hospital itself demonstrated some effective
326 strategies to respond, but in the wider healthcare system, community and
327 interconnected systems, there were limited adaptive capacities. Many homes,
328 livelihoods, roads and other infrastructures were damaged, and repairs took a long time
329 (relative to the dataset).

330 At the hospital, a particularly important adaptive strategy was the medical staff
331 collaborating with community health workers to respond to cholera outbreaks and
332 provide outpatient care. After the event, an emergency meeting was called, and a
333 community needs assessment was conducted to plan a targeted response. Staff
334 distributed chlorine for water treatment, provided education on hygiene for diarrhoea and
335 malaria prevention, and conducted daily assessments to prevent outbreaks, effectively
336 preventing disease spread and reducing hospital admissions. An NGO provided direct
337 medical supplies and personnel assistance, including nurses and clinicians on one-year
338 contracts, helping to reduce waiting times.

339 The hospital itself escaped major damage in the event, and essential services were able
340 to continue, but soft systems disruptions persisted for one year, such as the stocking of
341 medical supplies. These ongoing disruptions were partly attributed to hospital staff being
342 frequently required to go out into the local community and to travel to help other
343 communities affected by Cyclone Freddy. The final state was a system operating as

344 before the event, although this took approximately a year to achieve due to nearby
345 communities with low adaptive capacities and high healthcare needs.

346

347 **Pathway 4: High vulnerability, low adaptive capacity and extensive external support**

348 Pathway 4 holds for outcome (ii) successful final state – defined as either transformation
349 of the central facility or a return to stable and effective functionality. This pathway does
350 not hold for outcome (i) the continuity of core healthcare services during an EWE. It is
351 characterised by a presence of vulnerability in all four systems (V1, V2, V3, V4) and an
352 absence of adaptive capacity in the central facility, the community and the
353 interconnected systems (~A1, ~A3, ~A4). Causal condition A2 ‘adaptive capacity of the
354 local healthcare system’ is not included in the pathway as either present or absent.
355 External support to both healthcare and other sectors is present (HH, OH).

356 This pathway is exemplified in four cases: Mocoa, Colombia; Siargao, Philippines;
357 Dinagat, Philippines; Nsanje District (south), Malawi. All cases comprise systems and
358 communities vulnerable to EWEs, with limited adaptive capacity. In all cases, the repair
359 and restoration of the central facility, local healthcare clinics, and interconnected
360 systems depended heavily on the external support provided by national and international
361 humanitarian responders. Similarly, the health and wellbeing of the community was
362 reliant on external support, most acutely in the immediate days and weeks following an
363 event.

364 This pathway is not conducive to service continuity as many facilities experienced heavy
365 disruptions to infrastructure, staff, medical supplies or patient demand to an extent far
366 beyond local capacity to ‘bounce back’ and adapt. In most cases, external support
367 coordinated at the national or local level was present. In Mocoa, responders set up
368 community health clinics to take pressure off the local facilities. In the Philippines,
369 national emergency responders set up field hospitals and cholera units in Dinagat and
370 Siargao, which enabled local staff to conduct outreach in communities and rural areas.

371 The successful final state after external support had been provided was either a return to
372 normal functionality for effective systems, or transformation. In Mocoa and Siargao,
373 transformation of the central facility was achieved – after multiple years, the hospital was
374 substantially upgraded. In both cases, plans had been made for the upgrades prior to the
375 EWE but only enacted after the event. These were the main examples of major
376 ‘transformation’ in the dataset.

377

378 **Simplified pathways**

379 As well as full (complex) pathways, fsQCA also finds parsimonious pathways
380 comprising the most simplified ways of indicating an outcome. Table 7 below shows

381 that for both outcomes, the adaptive capacity of the central facility (A1) was a common
382 causal condition. For outcome (ii), humanitarian healthcare support (HH) was also a
383 highly common causal condition in pathways to a successful final state.

384

385 *Table 7: Parsimonious pathways for outcomes (i) and (ii)*

Causal conditions	Outcome indicator (i) service continuity		Outcome indicator (ii) successful final state		Cases indicated
	Raw coverage	Consistency	Raw coverage	Consistency	
A1	0.815	0.855	0.646	1	England, Norfolk; India, Warangal; Colombia, Barranquilla; Malawi, Nsanje (north); Tanzania, Ifakara; Tanzania, Mafia; Vietnam, Huong Khe; Vietnam, Huong Son; Vietnam, Tran Yen
HH	N/A	N/A	0.652	0.857	Colombia, Mocoa; Philippines, Dinagat; Philippines, Siargao; Tanzania, Katesh; Malawi, Nsanje (south); Vietnam, Huong Khe; Vietnam, Huong Son; Vietnam, Tran Yen

386

387

388 **DISCUSSION**

389 **Pathways and outcomes**

390 The fsQCA results described in the previous section reveal four distinct pathways through
391 which healthcare system resilience unfolds in practice, with different configurations of
392 vulnerability, adaptative capacity and external support. The four pathways are not
393 proposed as universal or applicable in all contexts, but they are reliable and consistent
394 configurations of causal conditions correlating to the chosen outcomes within our
395 diverse dataset.

396 By analysing against two different outcomes, three pathways were found to hold for
397 healthcare service continuity during an EWE, all characterised by the presence of local
398 adaptive capacity in formal healthcare systems. Pathway four did not hold for service
399 continuity but it did for the second outcome of interest – successful final state. Local
400 capacity relative to the event magnitude was not present in this pathway and, although
401 service continuity was not supported, a well-functioning final system state was possible.

402 These findings correlate with recent literature arguing that resilience is not only an
403 outcome but an ability or capability (4,13). Our two fsQCA outcomes did not directly

404 show the presence or absence of resilience, but rather they indicated whether a broader
405 resilience existed across multiple causal conditions and systems throughout the
406 pathway. While adaptive capacities and outcome state were commonly associated with
407 resilience in our findings, the role of contextual vulnerability and external support also
408 contributed.

409

410 **Resilience in practice**

411 Humanitarian support is not often considered integral to resilience, as the resilience
412 concept is associated with high internal capacity and the ability of local systems to cope
413 with disruption (22). However, in our dataset external support was integral to resilience:
414 only three cases did not have external support present. This is not an ideal resilience
415 scenario – in cases where external support was relied upon, service continuity was not
416 often present – but in practice it is a common occurrence and demonstrably can lead to
417 a successful final state of the healthcare facility.

418 In our analysis, we treated exposure as how exposed a local system was to the expected
419 EWEs in that region. No cases indicated that local facilities would prepare for
420 catastrophes beyond what was expected in that context. As EWEs become increasingly
421 intense, there is a question around whether local healthcare systems should prepare for
422 all extreme scenarios, or whether connecting with national and international support
423 systems can be an effective and realistic pathway of resilience for exposure to
424 extraordinary events.

425 Our research highlights the critical role of healthcare staff (medical and non-medical),
426 both the essential role they play in keeping disrupted systems functioning and the burden
427 they are asked to absorb. Staff morale, wellbeing, and physical and mental health are
428 concerns (can also be conceived as system 'bottlenecks') during EWEs. Cases show that
429 the impacts of extreme weather on staff members' homes and families would often
430 restrict their ability to work, and this combination of personal and professional disruption
431 had compound effects on wellbeing. Healthcare systems that continued to function
432 during EWEs always relied on staff working longer hours due to higher patient and
433 operational demands. In practice, local and humanitarian staff play a vital role in
434 healthcare system adaptive capacities. Innovative technologies, such as serious games,
435 have potential for reducing the demands on personnel/human resources during system
436 shocks (16).

437

438 **Limitations**

439 The complexity of healthcare system resilience to EWEs creates some challenges around
440 comparability of case studies. FsQCA is an apt approach for simplifying this complexity

441 into common configurations of causal conditions and outcomes. The simplification
442 naturally limits the nuanced detail retained in the general pathways, but they are useful
443 for drawing out ways in which combined systems work together to maintain core
444 functionality and produce a successful final state.

445 Some methodological limitations were faced due to the highly localised nature of the
446 data and the breadth of focus of the four systems of interest. As such, the central
447 healthcare facility was positioned as a lens on the other three systems. This resulted in
448 the most detailed information collected pertaining to the facility. Further, outcome
449 indicator (ii) uses the facility as a proxy for the healthcare system's final state, which will
450 be imperfect. In most cases, distributed healthcare clinics or programmes were found to
451 be less resilient than the central facility.

452 Validation through key informant interview was designed to ensure case studies were
453 reflective of real impacts and response to the EWE, and to reduce bias in the literature
454 reporting which at times were influenced by disaster politics, for example the purpose of
455 an NGO report may be to demonstrate positive impact to funders. Identifying key
456 informants with local knowledge of the healthcare system and EWE of interest was
457 challenging in some cases. As such, not all cases developed from the literature were able
458 to be validated, which led to some being excluded from the main analysis. We purposively
459 collected information on infrastructure services and hospital physical structures.
460 However, this data was often not available or comparable. The range of included EWE
461 types is also limited by access to data, although we attempted to include a diversity of
462 weather events.

463

464 CONCLUSIONS

465 This study applied a fsQCA to 18 in-depth case studies of healthcare system resilience
466 to EWEs. A range of global contexts were included, with most cases conducted in low
467 resource settings where vulnerability to weather-related disruptions is often higher. A few
468 higher resource cases were also included to increase the diversity and cross-learnings in
469 the dataset. We contribute to the gap in practice-based knowledge of how healthcare
470 system resilience unfolds after extreme weather disruptions. Using a system of systems
471 conceptual model, this research shows some of the multiscale dynamics that shape
472 resilient outcomes. This helps to ground the rich theoretical literature in this space and
473 provides strategic insights to healthcare professionals wishing to build resilience in their
474 local setting.

475 Building on this study, future research is needed on how different conditions and complex
476 systems come together to produce resilience in practice. Important areas for greater
477 attention are the role of staff and institutions in absorbing the impacts of extreme
478 weather. Investigations into the role of physical infrastructure - including specific

479 locations and structural characteristics of buildings – is another promising avenue for
480 understanding practical pathways for building local resilience. Engineering fields have
481 developed more quantitative measures of concepts such as vulnerability which could be
482 adapted into this health systems field (23), but integrating knowledge from technical and
483 social disciplines remains a challenge in practice. Finally, as globalisation and
484 international humanitarian support become less secure, the importance of national and
485 local capacity in responding to extreme weather disruptions are even more pressing.

486

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503

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