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3 **Weather types and rainfall variability during the Northeast Monsoon over Malaysia**

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16 **Keywords:**

- 17 1. Northeast Monsoon
18 2. Synoptic Circulation
19 3. Weather Types
20 4. Cold Surge
21 5. Borneo Vortex
22 6. Rainfall Distribution

23

24

25

26 Abstract

27 Malaysia frequently experiences extreme rainfall throughout the Northeast Monsoon season.
28 However, the connection between extreme rainfall and distinct monsoonal synoptic circulations
29 remains to be fully investigated. This study aims to identify the dominant synoptic circulation
30 patterns and the associated extreme precipitation using weather type classification method. K-
31 means algorithm was employed to classify daily weather types (WTs) over Malaysia region (3°S–
32 10°N, 98°–122°E) during Northeast Monsoon season, which occurring from November to
33 February. The classification was based on 850-hPa wind data obtained from the fifth generation
34 of the European Centre for Medium-Range Weather Forecasts (ECMWF) Reanalysis v5 (ERA5)
35 dataset from 1981 to 2020. Four distinct WTs were identified and further examined their
36 circulation pattern, frequency of occurrence, typical progression and persistence, and associated
37 rainfall characteristics. Among the identified synoptic circulation patterns, the Borneo Vortex, cold
38 surge, and cross-equatorial surge were prominent within the resultant WTs. Over the past 40 years,
39 the co-occurrence of Borneo Vortex and cold surges has shown a significant decrease trend, while
40 the other three patterns, including the Borneo Vortex occurring over South China Sea, weak cold
41 surges, and cross-equatorial surges have exhibited increasing trends. The cold surges contribute to
42 increased rainfall in western Borneo, particularly in the Sarawak region. The occurrence of the
43 Borneo Vortex leads to increased rainfall in eastern Borneo, while cross-equatorial surges are
44 associated with enhanced rainfall in northeastern Borneo. Lastly, this study look into how El Niño–
45 Southern Oscillation (ENSO) modulates the occurrence of each of the four WTs. Borneo Vortex
46 events occur more frequently during La Niña years compared to El Niño years. As Malaysia
47 continues to face the challenges of climate change, this study helps in developing strategies to
48 manage the risks related to extreme events, helping communities and industries adapt and sustain

49 resilience.

50

51 1. Introduction

52 Malaysia which located over west of the Maritime Continent experiences a unique climate that
53 influenced by significant seasonal variations throughout the year [1], as well as interannual
54 variations [2-4] and intraseasonal variations [5]. Two distinct monsoon regimes dominate
55 Malaysia's climate: the boreal winter monsoon, known locally as the Northeast Monsoon, is
56 typically associated with wetter conditions, whereas the boreal summer monsoon, known locally
57 as the Southwest Monsoon, is comparatively drier. The period of transition between these two
58 monsoons is referred to as the inter-monsoon phase [6].

59 The Northeast Monsoon has a significant impact on Malaysia's climate, primarily by
60 triggering convection via interactions with the local sea and land breeze circulation and through
61 the orographic lifting effect [7]. This impact typically dominates from late November to February
62 [6, 8-10], and largely affected areas faces the South China Sea such as Peninsular Malaysia's
63 eastern coast. However, Peninsular Malaysia's west coast can also be affected [11]. During this
64 period, Peninsular Malaysia's east coast areas experience a significant increase in rainfall, with
65 approximately 50% of its annual precipitation occurring at the beginning of the Northeast
66 Monsoon in November and December. In years characterized by active monsoon conditions, this
67 contribution can rise dramatically, with up to 70% of the annual rainfall concentrated within these
68 months [12]. This intensified monsoon rainfall has leads to widespread flooding, resulting in
69 severe mortality, displacement of communities, and damage to infrastructure. Looking ahead, the
70 situation is expected to become even more challenging, as projections indicate that extreme

71 monsoon rainfall is expected to increase in both frequency and intensity resulting from the ongoing
72 impacts of climate change [13-15].

73 On average, the low-level northeasterly winds during November to February can abruptly
74 intensify into episodes of strong and persistent winds about five to six times a year. This
75 intensification is caused by the strengthening of Siberian High pressure system. This results in a
76 phenomenon called ‘cold surge’, which is one of the most energetic monsoonal circulation systems
77 [16]. Another prominent circulation feature during the Northeast Monsoon season is the Borneo
78 Vortex [17]. These are the two primary features that dominating low-level circulation patterns over
79 Malaysia on synoptic time scales [5, 10, 16-18]. Cold surges are pulses of strong northeasterly
80 winds propagate across the South China Sea toward Peninsular Malaysia, which is triggered by a
81 strong pressure gradient between the Siberian High and the lower pressures near South China Sea.
82 In addition, the cold surge also enhances the near-surface northeasterly winds that rapidly progress
83 southward, with Malaysia’s topography acting as a barrier that channels the flow equatorward.
84 These winds begin as dry flows but gain moisture while traveling across the South China Sea,
85 becoming more humid by the time they reach Malaysia [9, 16]. The Borneo Vortex, is an anti-
86 clockwise mesoscale circulation over Borneo and its surrounding regions. It usually formed
87 through the interaction between shear vorticity induced by northeasterly winds over South China
88 Sea and the relatively weaker winds along the western coast of Borneo [7, 17, 19]. This features
89 has a significant influence on moisture recirculation, and frequently related with intense latent heat
90 release and deep convection [16].

91 Both of these features are known as the primary drivers of severe weather events near South
92 China Sea region [10, 16]. From December 2006 to late January 2007, the cold surge phenomenon
93 caused one of the century's worst floods near southern Peninsular Malaysia, affecting more than

94 200,000 residents and resulting in 16 deaths [5]. In addition, as a cold surge travel equatorward
95 and cross the South China Sea, it may interact with the Borneo Vortex, and potentially intensify
96 disturbances through enhanced low-level moisture convergence and organized deep cumulus
97 convection [1, 16]. When these circulations interact with the terrain, it may results in strong
98 convection, as seen in the formation of Typhoon Vamei, which occurred on December 26, 2001
99 near Singapore [20].

100 However, the relationship between extreme precipitation and various monsoonal synoptic
101 circulations during the Northeast Monsoon in Malaysia is not fully understood. As noted by [16]
102 and [10], there are various recurring circulations that may affect Malaysia differently. However,
103 how these patterns are influenced by intraseasonal oscillations and interannual variations remains
104 to be fully investigated. [5] highlighted the possible interactions between Madden-Julian
105 Oscillation (MJO) and the cold surges that could intensify moisture convergence in Peninsular
106 Malaysia. Besides that, [4] also indicated that Malaysia experiences severe extreme precipitation
107 events that influenced by both El Niño and La Niña. In addition, [19] have also identified a long-
108 term trend on the cold surge and Borneo Vortex. Hence, a key scientific question is whether
109 different types of recurring low-level circulations exist and can be linked to episodes of extreme
110 precipitation in Malaysia. Hence, the objective of this study is to assess the extent to which rainfall
111 variability is linked to various regional-scale atmospheric circulation patterns using weather types
112 identified through cluster analysis.

113 Clustering analysis is a type of multivariate statistical technique used to classify daily
114 weather patterns into distinct representative states based on their similarity [21, 22]. By employing
115 weather typing analysis, the dominant weather patterns in a region can be objectively identified.
116 This approach has been utilized in many studies to describe recurrent circulation patterns such as

117 in the North Atlantic [21, 23], North America [24], and Europe [25]. In tropical regions, weather
118 typing analysis has also been employed in studies over East Africa [26], and Indonesia [27]. In
119 order to identify weather patterns, the k-means clustering algorithm is among the most prevalently
120 employed methods [28], which has proven useful in identifying circulation patterns [29, 30]. In
121 addition, weather typing method can be applied to characterize the variations in rainfall anomalies
122 and extreme events [24, 31]. Hence, in this study, weather types are identified using the k-means
123 clustering algorithm, employed to daily low-level 850-hPa winds to investigate the dominant
124 synoptic circulation patterns during the Northeast Monsoon over Malaysia.

125 This paper proceeds as follows. Section 2 presents the data and methodology, such as the
126 application of the k-means clustering technique to derive WTs in Malaysia during the Northeast
127 Monsoon season. Section 3 presents the findings of the weather typing analysis, examining the
128 identified WTs and their synoptic circulation patterns, frequency of occurrence, typical
129 progression and persistence, associated precipitation characteristics, and their relationship with the
130 El Niño–Southern Oscillation (ENSO). Section 4 concludes the paper by summarizing the key
131 findings and highlights gaps for future studies.

132

133 **2. Data and Methods**

134 **2.1. Study Area and Data**

135 The first step of this study involves identifying and extracting the dominant WTs over Malaysia.
136 To achieve this, the analysis focuses on a domain extending from 3°S to 10°N in latitude and from
137 98°E to 122°E in longitude, as illustrated in Fig 1. This region was selected because it is
138 sufficiently large to capture key atmospheric circulation features near Malaysia, ensuring a

139 comprehensive representation of regional atmospheric variability. For example, Borneo Vortex
140 has been reported to occur within the area of 107.5°E–117.5°E and 2.5°S–7.5°N [16, 19], which
141 falls within the area used in this study. Besides that, this study concentrates on the months from
142 November to February during the period 1981 to 2020, coinciding with the Northeast Monsoon
143 season, which is the main period for extreme rainfall in Malaysia.

144

145 **Fig 1. Study domain. The larger box encompassing Malaysia and nearby land areas. The**
146 **smaller box represents the region selected as input for the k-means clustering algorithm,**
147 **which was applied to identify the dominant weather types.**

148

149 The atmospheric data used for k-means clustering is consist of the daily 850-hPa zonal (u)
150 and meridional (v) component winds, which obtained from the Fifth Generation European Centre
151 for Medium-Range Weather Forecasts (ECMWF) Reanalysis v5 (ERA5) dataset, provided by
152 Copernicus Climate Change Service (C3S) [32]. The 850-hPa level is selected due to its
153 effectiveness in representing monsoonal circulation in tropical regions and its widespread use in
154 previous studies [27]. These wind fields are particularly relevant for analyzing the large-scale flow
155 patterns that govern monsoonal weather systems. For example, Hassim and Timbal [33] used 850-
156 hPa wind data to characterize monsoonal weather types in Singapore and the broader Maritime
157 Continent. The frequent application of 850-hPa winds in such studies highlights their effectiveness
158 in capturing key atmospheric circulations during Northeast Monsoon seasons over Malaysia.
159 Furthermore, 850-hPa winds are considered effective for identifying synoptic-scale disturbances,
160 such as cold surge index, which is based on the daily area-averaged wind speed at this pressure
161 level [16].

162 The rainfall data used cover the same period, which is from November to February from
163 1981 to 2020, and are sourced from the gridded precipitation dataset provided by the Climate
164 Hazards Group InfraRed Precipitation with Station data (CHIRPS). This dataset is openly
165 accessible online at https://data.chc.ucsb.edu/products/CHIRPS-2.0/global_daily/. CHIRPS offers
166 high-resolution rainfall data at a spatial resolution of $0.05^\circ \times 0.05^\circ$ [34]. This rainfall dataset has
167 been evaluated for use in Malaysia [35] and recently used by Zakaria et al. [36] for drought
168 evaluation in Malaysia.

169 In addition to analyzing rainfall variability, this study also examines the influence of ENSO
170 variations on the occurrence of WTs. For this purpose, the Oceanic Niño Index (ONI) is used. The
171 ONI dataset is obtained from the Climate Prediction Center (CPC) of the National Oceanic and
172 Atmospheric Administration (NOAA) and can be accessed at
173 https://origin.cpc.ncep.noaa.gov/products/analysis_monitoring/ensostuff/ONI_v5.php.

174

175 **2.2. K-means clustering method**

176 To determine the dominant WTs over Malaysia, k-means algorithm was applied to the daily low-
177 level wind data at 850-hPa level, following the methodologies by [37] and [23]. Before conducting
178 the k-means analysis, standardization of the wind dataset was performed. To standardize the wind
179 dataset, the climatological daily mean was removed and the result was divided by the
180 climatological daily standard deviation, giving values with zero mean and unit variance.
181 Standardizing the data before conducting Empirical Orthogonal Function (EOF) analysis enables
182 small-scale wind field fluctuations comparable to large-scale circulation patterns. The standardized
183 anomalies of the 850-hPa u and v wind components were then subjected to EOF analysis, retaining

184 90% of the total variance in the combined matrix. This dimensionality reduction step decreases
185 the degrees of freedom and enhances computational efficiency. The resulting transformed dataset,
186 structured as an $nt \times ns$ matrix, was then subjected to k-means clustering to objectively classify
187 the dominant WTs.

188 By minimizing the within-cluster variance, the k-means clustering algorithm is intended to
189 separate the dataset into a predetermined number of clusters, k , denoted as the function W . The
190 purpose is to find the smallest value of $W(P)$, where P indicates the partitioning of the data. The
191 function is defined in equation 1 below:

192

193
$$W(P) = \sum_{j=1}^N \sum_{x \in C_j} d^2(X, Y_j) \quad (1)$$

194

195 P represents a specific grouping of the data, where all-days data was grouped into k clusters
196 C_1, C_2, \dots, C_k . Each cluster C_j has a centroid of Y_j . The distance between a data point X in cluster
197 C_j and its centroid Y_j is measured using squared Euclidean distance $d^2(X, Y_j)$, which reflects how
198 similar data point X to its centroid.

199 The function $W(P)$ represents the intra-cluster sum of variances for a given partition P .
200 The optimal partition is the one that minimizes $W(P)$. The process of minimizing $W(P)$ provides
201 the optimal division of the data into k clusters. This minimization is carried out iteratively and
202 made more efficient by selecting new centroids from a new subset of the data.

203

204 **2.3. Determination of the optimal number of clusters**

205 The ideal number of clusters k needed to achieve an adequate amount of separation of the data can
206 be determined using the classifiability index (CI) [23, 24]. The CI is obtained based on anomaly
207 correlation coefficients (ACC) of the partitioned clusters. Equation 2 below has defined the ACC
208 between two partition clusters P_i and Q_j :

209

210
$$ACC(P_i, Q_j) = \frac{\sum_{n=1}^N p'_n q'_n}{\sqrt{\sum_{n=1}^N (p'_n)^2 \sum_{n=1}^N (q'_n)^2}} \quad (2)$$

211
$$p'_n = p_n - \frac{\sum_{n=1}^N p_n}{N}$$

212 and

213
$$q'_n = q_n - \frac{\sum_{n=1}^N q_n}{N}$$

214

215 In this context, $1 \leq i, j \leq k$, with $P \neq Q$. The terms p_n and q_n indicate the cluster's centroid
216 belonging to partitions P_i and Q_j respectively. Accordingly, ACC value will be ranges from -1 to
217 1, where $ACC = 1$ suggests that two partitioned clusters are identical to one another. Following
218 [27], each cluster P_i is assigned an ACC score. Each of the ACC score is determined by averaging
219 the highest ACC values between P_i and every cluster Q_j , across partitions where $Q \neq P$, with
220 $j = 1, \dots, k$. Given N partitions, this results in $N \times k$ ACC scores. Thus, the partition achieving
221 the highest ACC score is regarded as offering the optimal division of the data into k clusters. The
222 value of CI is then computed by averaging the ACC scores across all partitions. The CI is evaluated
223 for different values of k for determining the ideal number of clusters needed.

224 The statistical significance of the CI values is examined by repeatedly applying the k-
225 means algorithm to datasets constructed from randomly generated red noise. This approach
226 provides a baseline against which the observed CI values can be compared. Using 100 partitions
227 is considered sufficient to ensure stable CI estimates. According to [23], the most optimal and
228 suitable number of clusters (value of k) is the smallest value for which the CI exceeds 90% of the
229 CI values obtained from random red noise.

230

231 **3. Results and Discussion**

232 **3.1 Determination of number of clusters**

233 Fig 2 presents the CIs obtained from k-means clustering analysis for $k = 2$ to $k = 10$. The grey
234 shading indicates the lower 90% of CI values generated from random red noise, and providing a
235 statistical baseline. A comparison between the observed CI values and those from random red
236 noise shows that the 850-hPa wind patterns can be effectively grouped into four distinct clusters
237 ($k = 4$), as this value exceeds the red noise threshold. This finding suggests that the $k = 4$
238 partitioning captures meaningful and robust atmospheric patterns, indicating that four WTs
239 provide an optimal representation of the synoptic-scale circulation variability over Malaysia during
240 the Northeast Monsoon season.

241

242 **Fig 2. Classifiability index (CI) for each cluster. The blue solid line indicates the CI value**
243 **and the gray shading represented the one-sided 90% confidence interval of CI values**
244 **generated through random noise.**

245

246 **3.2. General characteristics of WT patterns**

247 In this study, each day during the study period was grouped to a specific WT based on
248 similarities in their atmospheric circulation patterns. Days exhibiting similar atmospheric
249 characteristics were grouped into the same cluster, ensuring that each WT represents a coherent
250 set of circulation features. The identified WTs are illustrated in Fig 3 as composite representations
251 of low-level wind patterns at the 850-hPa level. These composites describe and summarize the
252 spatial variability and structure of the dominant weather patterns.

253

254 **Fig 3. Mean wind speed (shading) and 850-hPa wind vectors for each of the four WTs.**

255

256 Figure 3a illustrates WT1, which is characterized by the simultaneous occurrence of two
257 key synoptic-scale features during the Northeast Monsoon: a cold surge and the Borneo Vortex.
258 The cold surge, as identified by [38] is marked with the strengthened northeasterly winds over
259 northern South China Sea extending toward Borneo. This cold surge coincides with the
260 development of a counterclockwise circulation over the South China Sea, centered near western
261 Borneo, which likely represents the Borneo Vortex. The formation of the Borneo Vortex is
262 attributed to wind-terrain interactions and the conservation of potential vorticity during the
263 Northeast Monsoon [5, 16, 20]. This simultaneous occurrence is likely due to the cold surges
264 intensify northeasterly winds, increasing relative vorticity and mass convergence, which in turn
265 promotes the formation of the Borneo Vortex. In fact, studies suggest that the Borneo Vortex
266 develops due to the high vorticity background created by the horizontal cyclonic shear of the cold
267 surge [8, 17]. As a result, these two phenomena frequently co-occur, reinforcing each other's
268 development [20, 39]. Additionally, the observed cyclonic circulation aligns with well-
269 documented Borneo Vortex formation regions, particularly along the western Borneo. Over the

270 past 20 seasons, more than 120 vortex centers have been recorded in this area, particularly around
271 1.5°N, 111°E [8]. Furthermore, [7] further reported that when a Borneo Vortex coincides with a
272 Cold Surge, it typically forms in the western Borneo region, which aligns with the location of the
273 Borneo Vortex observed in this WT.

274 A notable characteristic of WT2 (Figure 3b) is the occurrence of a single cold surge event,
275 which is weaker than WT1 and does not lead to the formation of a Borneo Vortex. This WT
276 represents a weakening cold surge where northeasterly winds intensify, but remain weaker than
277 those in a typical cold surge event. As the wind crosses the equator, it undergoes an eastward
278 deflection due to the planetary vorticity gradient. This deflection is further modified by the
279 interaction of the wind with topographic features which cause additional blocking and deflection
280 effects [40].

281 Observations in WT3 (Figure 3c) show the occurrence of a large Borneo Vortex over
282 northern Borneo, located over South China Sea. This Borneo Vortex is accompanied by a broad
283 belt of westerly winds extending zonally across southern Malaysia, between 4°N and 3°S. Studies
284 have shown that the Borneo Vortex in WT3 is situated in one of its most common formation
285 regions, which is slightly north of the equator along the eastern coast of Borneo, near 112.5°E and
286 7.5°N [16]. According to [33] the Borneo Vortex near the South China Sea is formed because of
287 the interaction between near-equatorial westerlies which is deflected by the topography of
288 Kalimantan, and the easterly flow originating from Vietnam. Additionally, its development may
289 involve tropical storms crossing the southern Philippines, which propagate westward and interact
290 with the northeast monsoonal flow.

291 WT4 (Figure 3d) initially features an intensification of winds over the northern South
292 China Sea, followed by a weakening phase and a subsequent second intensification near the Java

293 Sea. This wind pattern, which characterized by winds crossing the equator near Singapore, likely
294 represents a cross-equatorial surge. Its features are consistent with previous studies showing that
295 cross-equatorial surges generate stronger wind anomalies than cold surges, with their influence
296 extending from the South China Sea down to the Java Sea [41].

297 Lastly, a comparison was made between the WTs identified in this study and those from
298 previous research, including [33], to enhance the interpretation of the findings. Two of the WTs
299 from their study (see Figure 9) closely match those identified here: WT1 corresponds to their R4,
300 and WT3 aligns with their R6. This consistency across studies strengthens the reliability of the
301 classification and provides additional validation of the identified weather patterns.

302

303 **3.3. Occurrence Frequency**

304 The next step involves analyzing the occurrence frequency of each WT, as shown in Fig 4.
305 Figure 4a shows the average annual occurrence frequency for each WT, providing insights into the
306 overall prevalence of each WT throughout the study period. Figures 4b to 4e show the monthly
307 occurrence frequencies from November to February, highlighting the seasonal variations in the
308 distribution of WTs. The all-year average frequency distribution of WTs shows that each WT
309 occurs on approximately 15% to 28% of the total number of days during the study period (Figure
310 4a). Among the four WTs, WT2 has the lowest frequency, while WT1 and WT3 occur at similar
311 frequencies, each accounting for around 28% of the days, with WT3 occurring slightly more
312 frequently than WT1.

313

314 **Fig 4. Percentage of days assigned to each clustered WT.** (a) November to February and (b–e)
315 individual months: (b) January, (c) February, (d) November, and (e) December.

316

317 Significant intraseasonal variations in WT frequency are observed at the monthly scale.
318 For WT1 which is associated with both cold surges and Borneo Vortex shows a pronounced
319 increase in occurrence during the late Northeast Monsoon period, particularly in January and
320 February. This seasonal pattern is in agreement with previous studies. For example, [42] reported
321 that cold surges peak in January, highlighting their dominance during the latter part of the monsoon
322 season. Similarly, [41] observed that cold surges occur most frequently in December (56.7%) and
323 January (72.3%), further supporting the observed trend.

324 WT2 which represents a weakening cold surge, shows peak occurrences in November. This
325 timing may indicate the onset of the cold surge season, which typically spans from November to
326 February [42]. In November, cold surges are in their early stages of development and are generally
327 weaker compared to those later in the season, which explains the peak in WT2 occurrence during
328 this month. For WT3 which associated with Borneo Vortex occurring over South China Sea
329 exhibits a distinct seasonal cycle. Its frequency peaks in November, gradually declines from
330 November to January, and then increases again in February. This pattern suggests that WT3 may
331 be influenced by both early and late phases of the Northeast Monsoon.

332 For WT 4 which is associated with cross-equatorial surges, gradually increases in
333 frequency from November to January, becoming more prominent during the late monsoon season.
334 This trend suggests that cross-equatorial surges intensify as the northeast monsoon matures,
335 peaking toward the season's end. While studies such as [33] and [43] identify February as the peak
336 period for these surges, this reinforces the idea that cross-equatorial flow strengthens as the
337 monsoon transitions toward its end.

338

339 **3.4. Progression and Persistence of WTs**

340 Fig 5 illustrates the progression between different WTs over Malaysia, while Fig 6 shows
341 the persistence of each WT. Understanding how these patterns evolve from one day to the next
342 offers deeper insight into the dynamics of monsoonal circulation [24]. In this study, progression is
343 defined as the percentage of a given WT shifting to a different WT on the following day while
344 persistence refers to the percentage of day a specific WT remains the same on the next day.

345

346 **Fig 5. Progression of each WT**

347
348 **Fig 6. Persistence of each WTs**

349

350 In terms of WT progression (Figure 5), all WTs predominantly exhibit self-persistence,
351 indicating a strong tendency to maintain the same pattern from one day to the next. Among the
352 transitions, WT1 most frequently evolves into WT3, followed by WT4. WT2 shows a higher
353 likelihood of transitioning into WT4, and to a lesser extent into WT3, suggesting that the
354 dissipation of a cold surge may be followed by the intensification of cross-equatorial flow or the
355 development of a Borneo Vortex event. WT3 commonly transitions into either WT1 or WT4,
356 implying that the presence of the Borneo Vortex may be followed by the cold surges or be
357 overtaken by cross-equatorial surges. WT4, on the other hand, most often progresses into WT1,
358 indicating that cross-equatorial surges may play a role in initiating or modulating subsequent cold
359 surge events and Borneo Vortex activity.

360 Figure 6 illustrates the persistence characteristics of the WTs. In general, all WTs tends to
361 persist for a few days to approximately one week. For the WTs that is associated with Borneo

362 Vortex occurrences (WT1 and WT3), persistence typically persists for around eight days, as both
363 WT1 and WT3 exhibit a significant decline in persistence after eight and nine days respectively.
364 This behavior aligns with the nature of the Borneo Vortex, which tends to persists for several days
365 to over a week during each episode [7, 16]. The WT which shows a weakening cold surge (WT2)
366 exhibit the shortest persistence, with a noticeable drop in persistence beyond seven days.
367 According to [1] cold surges generally last from a few days to more than a week. The reduced
368 persistence of WT2 may be due to the rapid dissipation of weak cold surges or their transition into
369 stronger events. Finally, WT4 which represents a cross-equatorial surge, generally persists for
370 about six days.

371

372 **3.5. WTs trends**

373 Fig 7 shows the annual frequency trends of each WT during the November–February
374 period and shows notable variations over time. Among the four WTs, WT1 which represents the
375 simultaneous occurrence of cold surges and Borneo Vortex exhibits a statistically significant
376 downward trend at the 5% significance level, indicating a notable decline in the frequency of the
377 co-occurrence of cold surges and the Borneo Vortex during the study period. In contrast, the other
378 WTs display weak upward trends. These include WT2, which is associated with weakening cold
379 surges; WT3, which represents Borneo Vortex events; and WT4, which is linked to cross-
380 equatorial surges.

381 **382 Fig 7. Annual frequency of occurrence for each WT with estimated linear trends (black
383 lines).**

383

384 The observed increase in the frequency of WT 3, which is associated with the Borneo
385 Vortex, is consistent with the findings of [19], who reported a 7% per-decade increase in Borneo
386 Vortex occurrences from 1962 and 2007. This trend suggests a long-term enhancement in the
387 occurrence of Borneo Vortex over the region. Additionally, the positioning of WT 3, where the
388 Borneo Vortex is located in the central South China Sea, aligns with previous studies indicating a
389 northward shift in the vortex's position [19]. This displacement also likely explains the decline in
390 WT 1, as the Borneo Vortex, previously centered over western Borneo is now occurring farther
391 north. This northward shift has important climatic implications for the region. As the Borneo
392 Vortex moves northward into the South China Sea, its interaction with land decreases, reducing
393 the steering effect that typically directs it toward Borneo. Consequently, the vortex system remains
394 over the sea for extended periods, potentially contributing to an increase in occurrence of Borneo
395 Vortex days and influencing associated rainfall over both land and ocean regions.

396

397 **3.6. Relationship between WTs and Precipitation**

398 Fig 8 shows the climatological mean of daily precipitation for each identified WT, highlighting
399 the spatial difference in rainfall patterns under distinct synoptic circulation. This approach
400 identifies regions that consistently experience high or low precipitation across various WTs.
401 Meanwhile, Fig 9 shows the 99th percentile of precipitation, illustrating the intensity and spatial
402 extent of extreme rainfall events associated with each WT.

403

404 **Fig 8. Mean climatology daily precipitation over Malaysia region in each of the four WT**

405

406 **Fig 9. Mean 99th percentile daily precipitation over Malaysia region in each of the four WTs**

407

408 WT1 (Figure 7a) is characterized by the the Borneo Vortex and a cold surge occur
409 simultaneously, with prevailing northeasterly 850-hPa winds over the South China Sea leading to
410 a notable increase in convective activity. This synoptic configuration results in intensified
411 precipitation along the eastern coast of Peninsular Malaysia, as well as over western and central
412 Borneo (Figure 8a). Furthermore, the northeastern tip of Borneo also exhibits a increase in rainfall.
413 The intensified rainfall over western and central Borneo is attributed to the proximity of the Borneo
414 Vortex, which enhances relative vorticity, strengthens upward motion, and promotes moisture
415 convergence, thereby further intensifying convection in the region. This finding is consistent with
416 [7], who reported that deep cumulus convection near the center of the Borneo Vortex is associated
417 with intense rainfall. On the other hand, [44] showed that the co-occurrence of Borneo Vortex and
418 cold surge may suppress convective activity over Peninsular Malaysia while enhancing
419 convergence over Borneo. Nevertheless, the results for WT1 shows that intense rainfall continues
420 along the east coast of Peninsular Malaysia, implying that the interaction between the cold surge
421 and the Borneo Vortex may be modulated by specific atmospheric conditions. For example, [45]
422 shows that Borneo Vortex during the October–March period can lead to significant precipitation
423 increases along the east coast of the Peninsular Malaysia and Borneo region, with rainfall increases
424 up to 20%–25% in southeastern Peninsular Malaysia. Hence, the occurrence of the Borneo Vortex
425 enhances rainfall over both Borneo and Peninsular Malaysia. The findings in this study, which
426 show an extension of increased rainfall further south along the east coast of Malaysia, are
427 consistent with these observations. Besides that, the heaviest daily rainfall in WT1 aligns with
428 areas of strong shear vorticity, high convergence, and a positive convective index, when a cold
429 surge and Borneo Vortex occur together in other studies such as [16] and [18]. For extreme 99th

430 percentile rainfall events, the simultaneous occurrence of a Cold Surge and Borneo Vortex
431 produces intense precipitation along the east coast of Peninsular Malaysia, extending from
432 northern Malaysia into southern Thailand and further southward to southern Malaysia (Figure 9a).
433 The highest extreme rainfall is observed in Terengganu, Malaysia. The other regions experiencing
434 extreme precipitation include the westernmost and northeastern coastal areas of Borneo.

435 WT 2 (Figure 7b) represents a single cold surge event, but it illustrates a weakened surge
436 that does not fully capture the typical rainfall distribution associated with a strong cold surge. The
437 results suggest that during a weakening cold surge, rainfall is primarily confined to the upper east
438 coast of Peninsular Malaysia, rather than extending further south (Figure 8b). This pattern may be
439 attributed to reduced moisture transport from the South China Sea as a result of the weaker cold
440 surge. Additionally, substantial rainfall is observed over eastern Borneo, with the highest
441 precipitation concentrated in northern Borneo region. This finding is consistent with [38], who
442 demonstrated that during cold surge events, rainfall is predominantly concentrated over northern
443 and western Borneo. Specifically, in WT2, the rainfall distribution over Borneo is notably focused
444 in the western and central regions of Sarawak. This results aligning with [12], who reported that
445 cold surge events contribute to heavy rainfall along the east coast of Peninsular Malaysia and
446 western Sarawak. The 99th percentile rainfall pattern also mirrors that of WT1 (Figure 9b).
447 However, the extent of extreme rainfall is more limited, as it does not extend into southern
448 Peninsular Malaysia.

449 WT3 (Figure 7c) represents the occurrence of the Borneo Vortex over the South China Sea.
450 In this WT, rainfall over Peninsular Malaysia's east coast is primarily concentrated in upper east
451 coast (Figure 8c). This pattern is likely attributed to the circulation associated with the Borneo
452 Vortex, which suppresses rainfall transported by the northeasterly monsoon winds, resulting in

453 reduced convective activity over much of Peninsular Malaysia [16]. However, under this weather
454 pattern, southern Thailand experiences increased rainfall. Meanwhile, rainfall over Borneo is
455 predominantly concentrated in the eastern part of the island, as the Borneo Vortex induces low-
456 level wind divergence and convergence toward Borneo. Notably, rainfall decreases over both
457 Sabah and Sarawak in Malaysia, while northeastern Kalimantan experiences enhanced
458 precipitation. Overall, above-average rainfall is observed across much of Borneo, with the most
459 significant increases occurring in central Kalimantan. Although the Borneo Vortex is typically
460 associated with reduced rainfall over Peninsular Malaysia, the 99th percentile rainfall distribution
461 reveals a concentration of extreme precipitation along the east coast, particularly in the Terengganu
462 region (Figure 9c). This suggests that, under certain conditions, the Borneo Vortex can still
463 contribute to localized extreme rainfall events in Peninsular Malaysia.

464 WT 4 (Figure 7d) characterized a cross-equatorial surge, is associated with increased
465 rainfall over eastern coast of Peninsular Malaysia, with a slight westward expansion along the
466 coastline (Figure 8d). In Borneo, a notable increase in rainfall is observed across most of Sarawak
467 and northeastern Kalimantan, extending southward into central Kalimantan. Although cross-
468 equatorial surges are typically associated with drier conditions over Peninsular Malaysia [41], the
469 present findings do not clearly exhibit such drying. Instead, they indicate a general enhancement
470 of rainfall across Borneo, along with a localized increase in precipitation in northeastern Borneo.
471 The 99th percentile rainfall associated with a cross-equatorial surge reveals extreme precipitation
472 along the east coast of Peninsular Malaysia, with the highest intensities observed near the
473 northeastern region, particularly around Terengganu. Notably, in Borneo, extreme rainfall affects
474 a larger area compared to other weather types, especially covering most of Sarawak, with the

475 greatest intensity recorded in the northeastern tip of the island.

476

477 **3.7. Relationships between WTs and ENSO**

478 Malaysia is strongly influenced by ENSO, with various local impacts observed during boreal
479 winter [4, 40, 44, 46]. To better understand this influence, each WT's frequency throughout
480 different ENSO phases is evaluated, as shown in Fig 10 . This analysis provides valuable insights
481 into how ENSO modulates synoptic weather patterns over Malaysia, potentially affecting rainfall
482 distribution and overall climatic variability.

483

484 **Fig 10. WT frequency during various phase of ENSO**

485
486 In WT 1, where the cold surge and Borneo Vortex co-occur, more during La Niña and less
487 during El Niño years. WT 3, associated with the Borneo Vortex without a significant cold surge
488 influence, also shows a strong relationship with ENSO. It is more frequent during La Niña years.,
489 and occurs less often during El Niño years. Hence, Borneo Vortex more during La Niña years.
490 There is similar findings from [47] which stated that Borneo Vortex near Malaysia is significant
491 correlated with ENSO, with a smaller number of detection during El Niño. In contrasts, WT 2,
492 characterized by a weakening cold surge and a cross-equatorial surge is most prominent in El Niño
493 years, and occurs less frequently in La Niña years.

494

495 **4. Conclusion**

496 Malaysia located over the equatorial region experiences significant spatial and temporal
497 rainfall variability due to interactions between different monsoonal circulation systems. The key

498 drivers of monsoon rainfall variability include the ENSO [3, 48], cold surges [16, 38], Borneo
499 vortex [5, 10, 16, 17], and other synoptic-scale circulations. These interacting factors contribute to
500 the complexity of rainfall patterns during Northeast Monsoon in Malaysia, and underscoring the
501 need to investigate the underlying synoptic circulation pattern driving the rainfall variability.
502 Therefore, the purpose of this study is to enhance our understanding of monsoonal synoptic
503 circulation by classifying daily weather patterns into distinct WTs. These WTs were identified
504 through cluster analysis using the k-means algorithm. The k-means algorithm is applied to 850-
505 hPa wind data covering years from 1981 to 2020. By examining these WTs, we characterize
506 different atmospheric conditions that occur throughout the Northeast Monsoon season, offering
507 deeper insight into the synoptic circulation influencing monsoonal weather patterns. Furthermore,
508 the frequency distribution of these WTs provides valuable information on seasonal rainfall
509 variability. By identifying the specific WTs and their corresponding rainfall patterns, it provides a
510 clearer understanding of the drivers of rainfall variability during the Northeast Monsoon season.

511 In our study, we identified four WTs that predominantly occurs during Northeast Monsoon.
512 The results show four main WTs, each associated with an important synoptic circulation system:
513 (i) simultaneous occurrence of a cold surge and a Borneo vortex, (ii) a weak cold surge, (iii) Borneo
514 vortex located in northern Borneo, and (iv) a cross-equatorial surge. Notably, the research builds
515 upon earlier work by [33] and [49], which explored the role of synoptic circulations in the region.
516 The WTs identified in this study shows remarkable consistency with those from their research,
517 particularly during the Northeast Monsoon, demonstrating the robustness and stability of these
518 weather patterns. Besides that, the WTs identified in this study have a direct influence on observed
519 rainfall patterns in Malaysia. For example, the simultaneous occurrence Borneo vortex and cold
520 surge results in the highest rainfall, particularly over the Peninsular Malaysia's east coast and

521 western Borneo, and cross-equatorial surges increases rainfall across north Borneo. Over time,
522 weather patterns that show the co-occurrence of the Borneo Vortex and cold surge has shown a
523 declining trend, likely due to the northward shift of the Borneo Vortex, while others WTs including
524 Borneo Vortex and cross-equatorial surge has been increasing. The study highlights how these
525 weather patterns evolve, transition between each other, and contribute to extreme rainfall events,
526 improving understanding of regional monsoon variability.

527 In conclusion, this study provides a significant contribution to the understanding of
528 Malaysia's dominant synoptic circulation patterns and the role in shaping different rainfall
529 variability. The identification of these robust and stable WTs enhances our understanding of
530 Northeast Monsoon dynamics and provides a framework for evaluating climate models. Amid
531 Malaysia's ongoing challenges arising from climate change, research like this is essential for
532 developing strategies to manage the risks associated with extreme weather events. Furthermore,
533 this study provides insights into the effectiveness of climate models in simulating synoptic
534 circulation patterns in Malaysia. By comparing the observed frequency and structure of these WTs
535 with model simulations, researchers can evaluate the accuracy of climate models in replicating
536 real-world conditions, which is also important in many others sectors including agriculture, water
537 resource management, and disaster risk reduction.

538

539 **Acknowledgement**

540 This work was funded by the Malaysian Ministry of Higher Education's LRGS Grant
541 (LRGS/1/2020/UKM-UKM/01/6/1).

542

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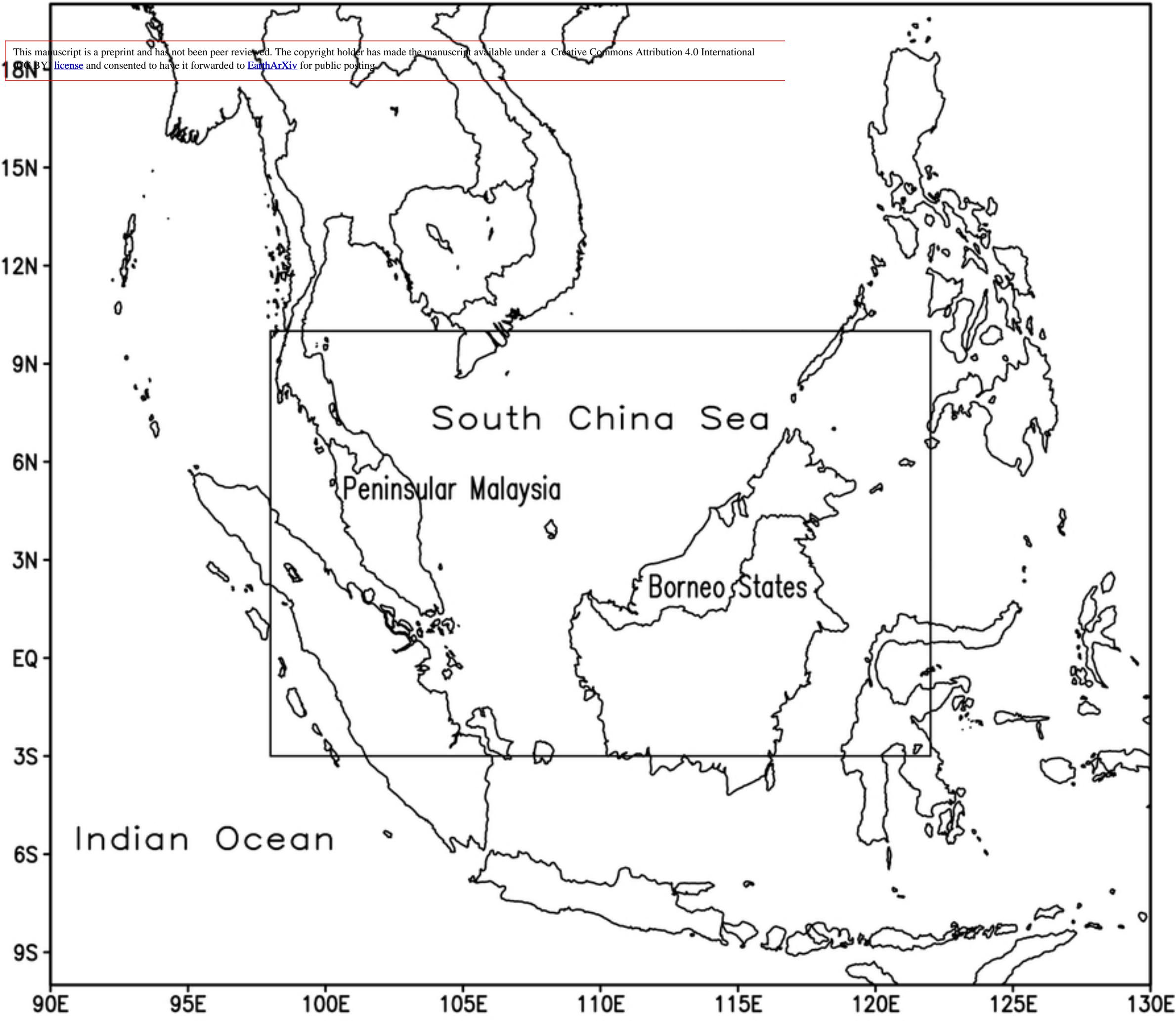


Figure 1

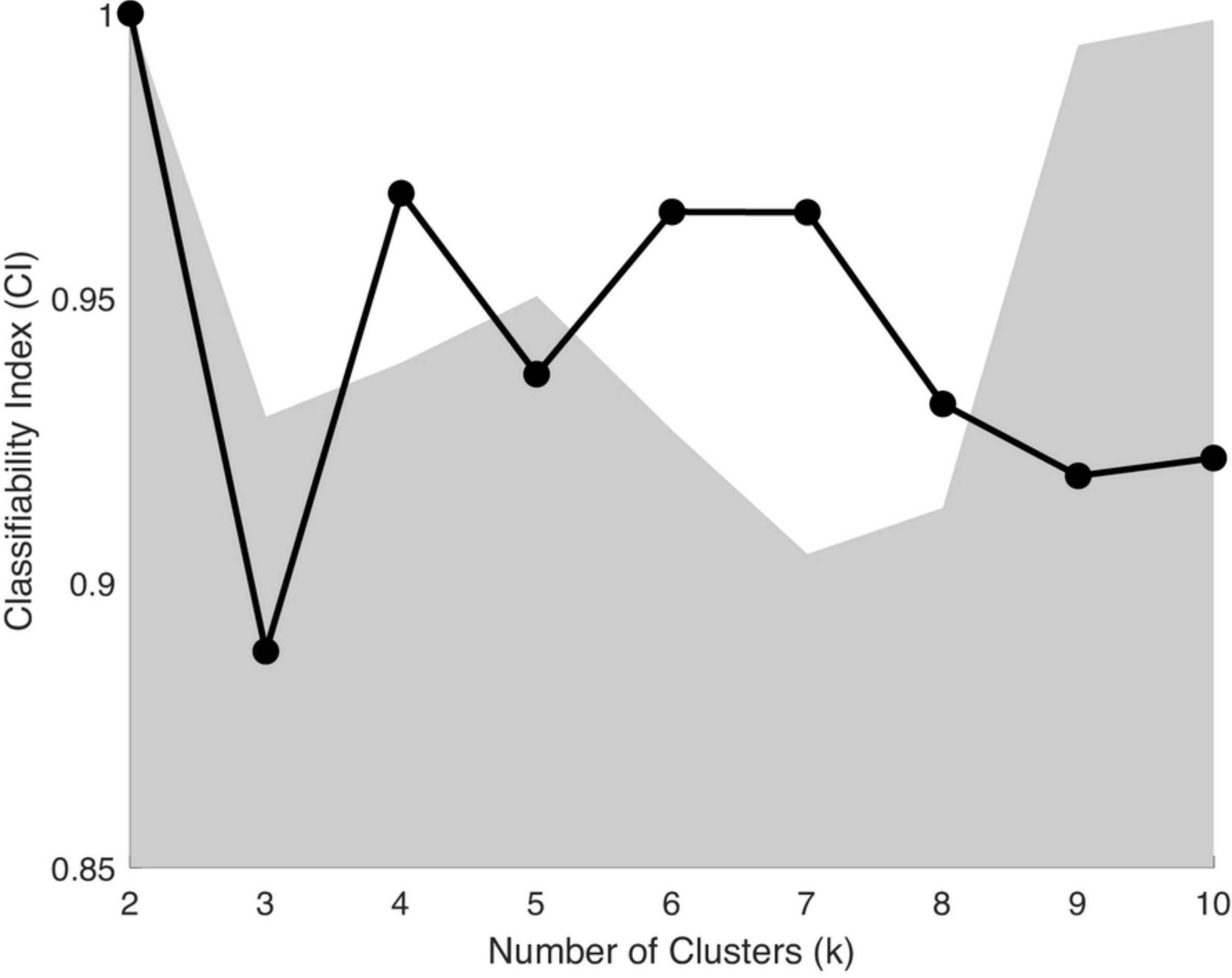


Figure 2

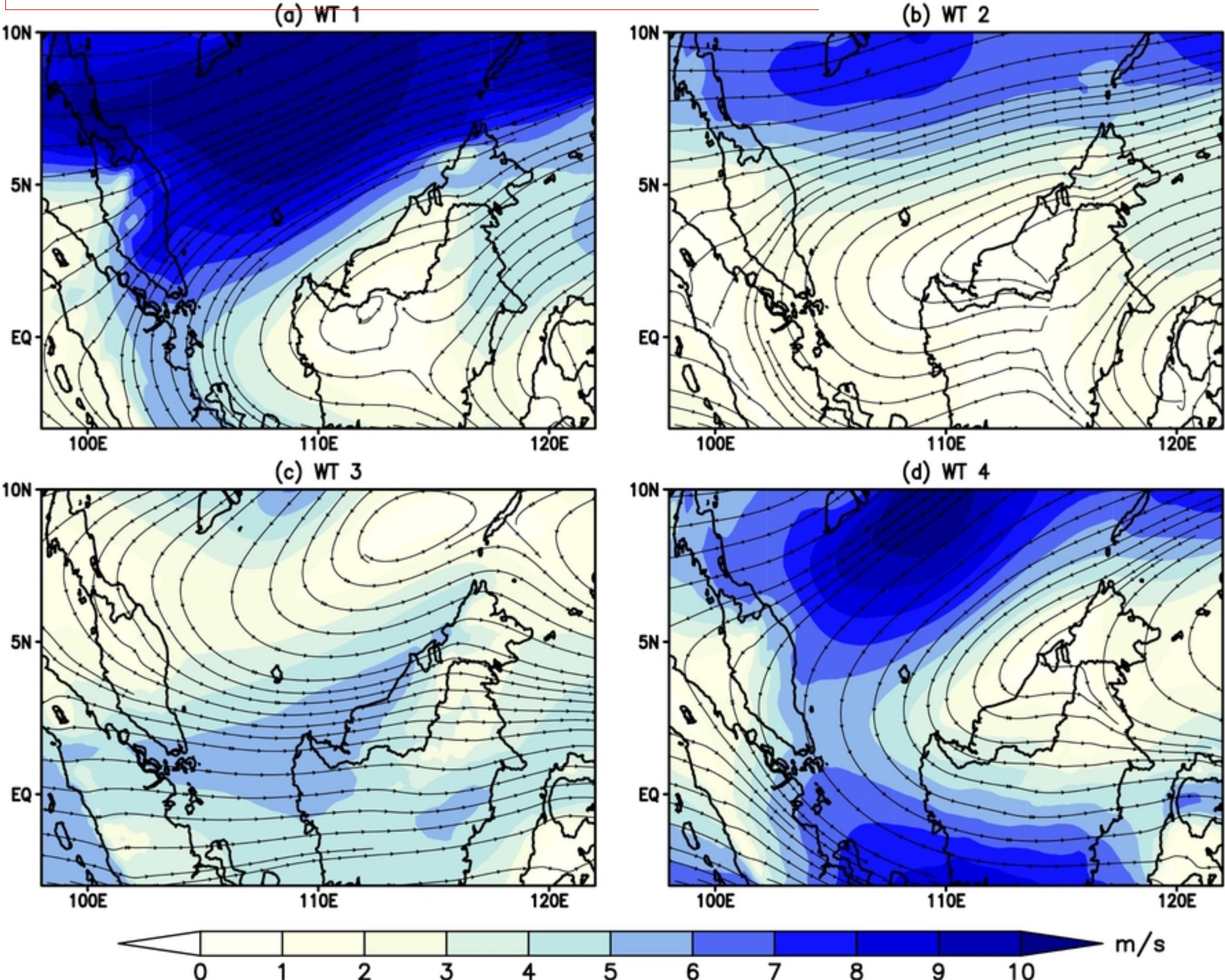


Figure 3

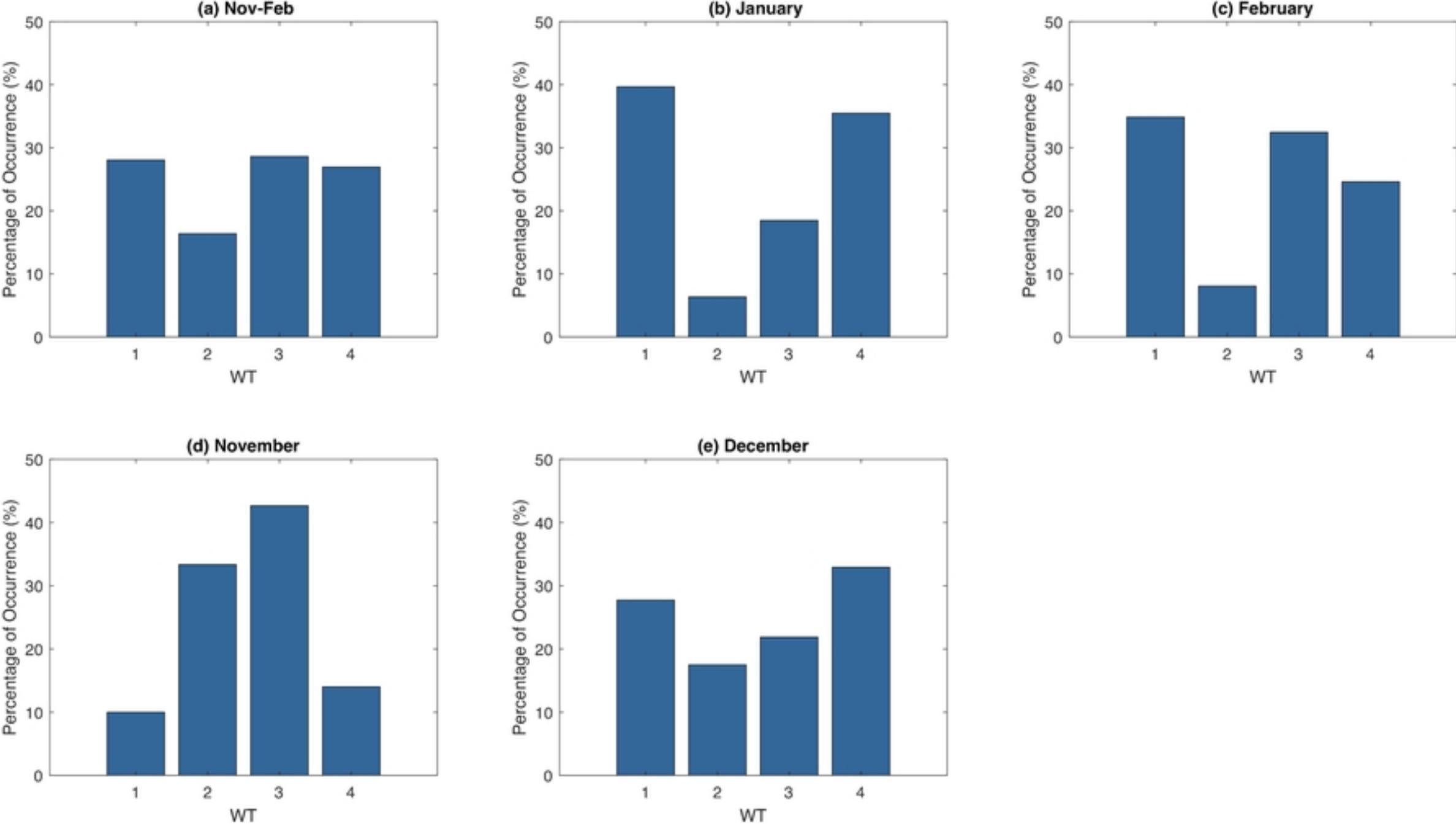


Figure 4

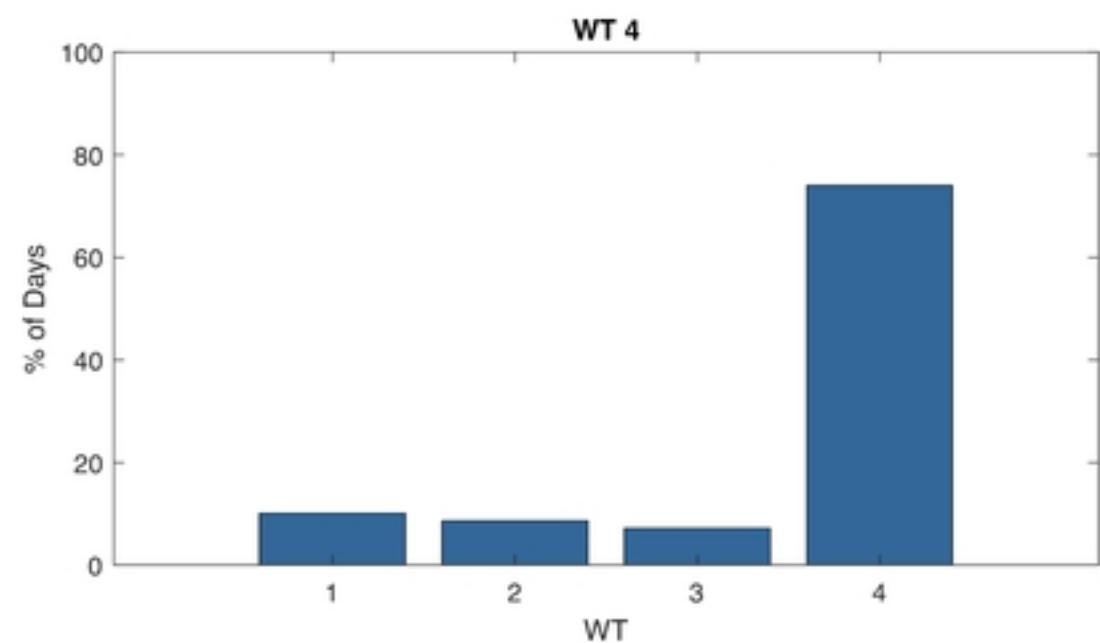
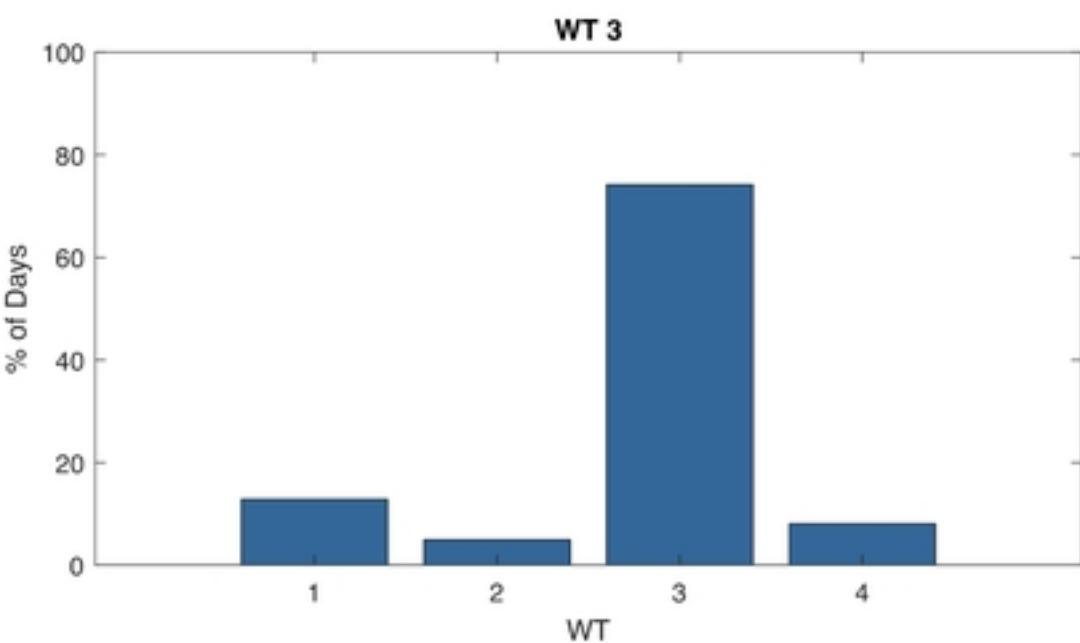
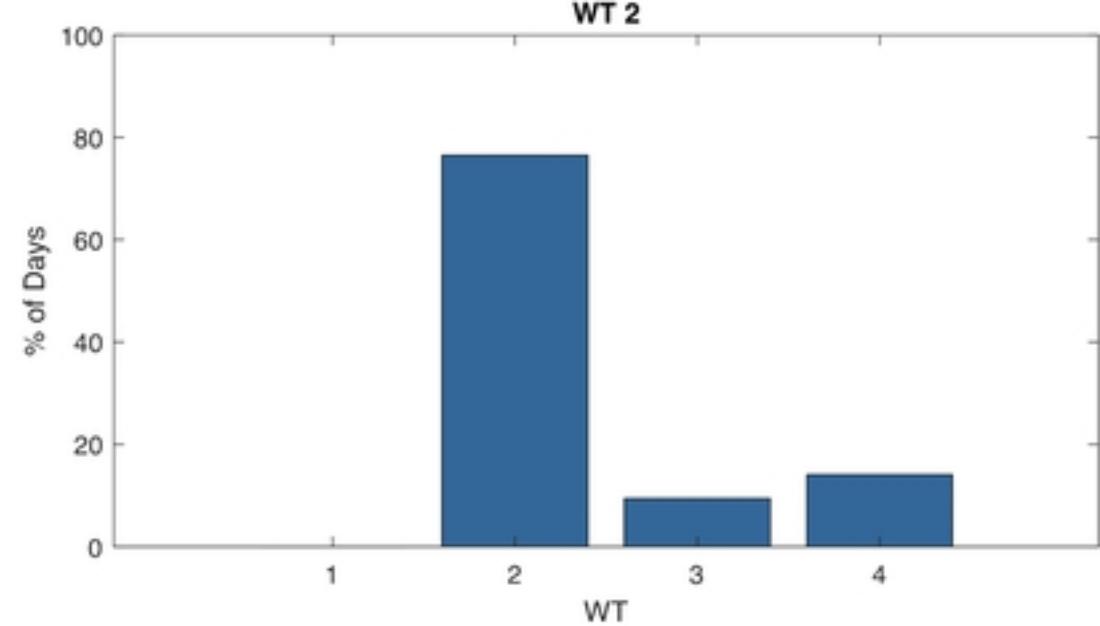
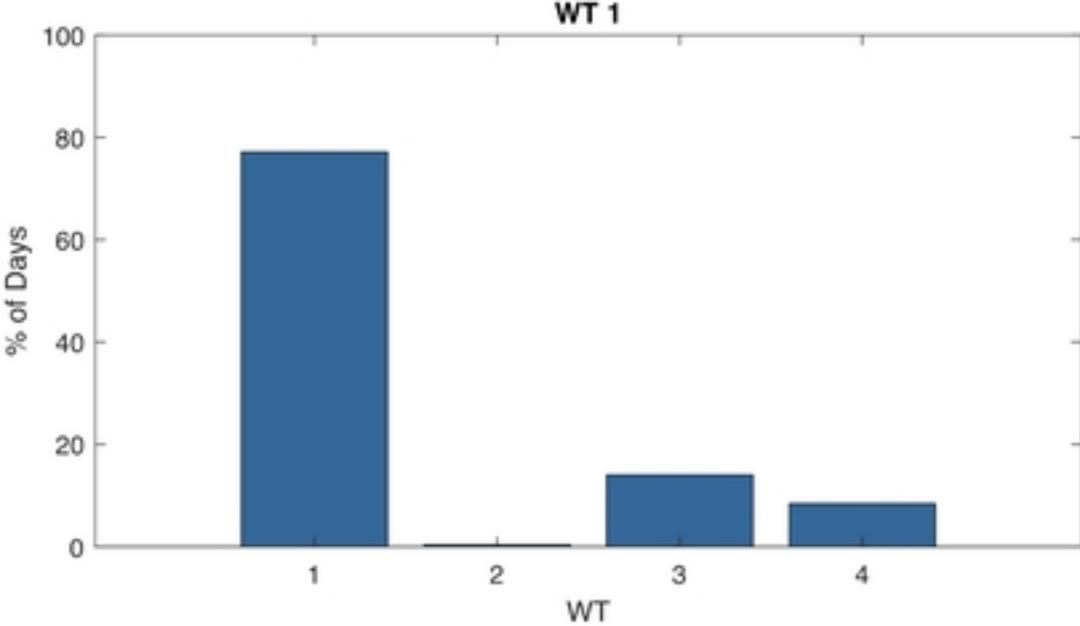


Figure 5

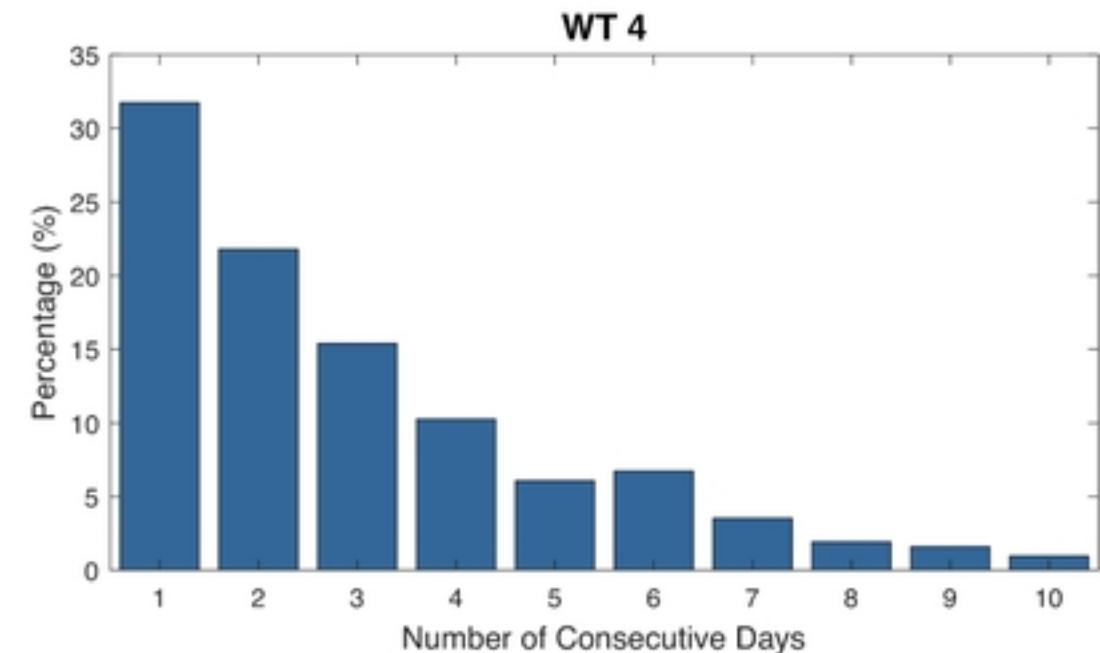
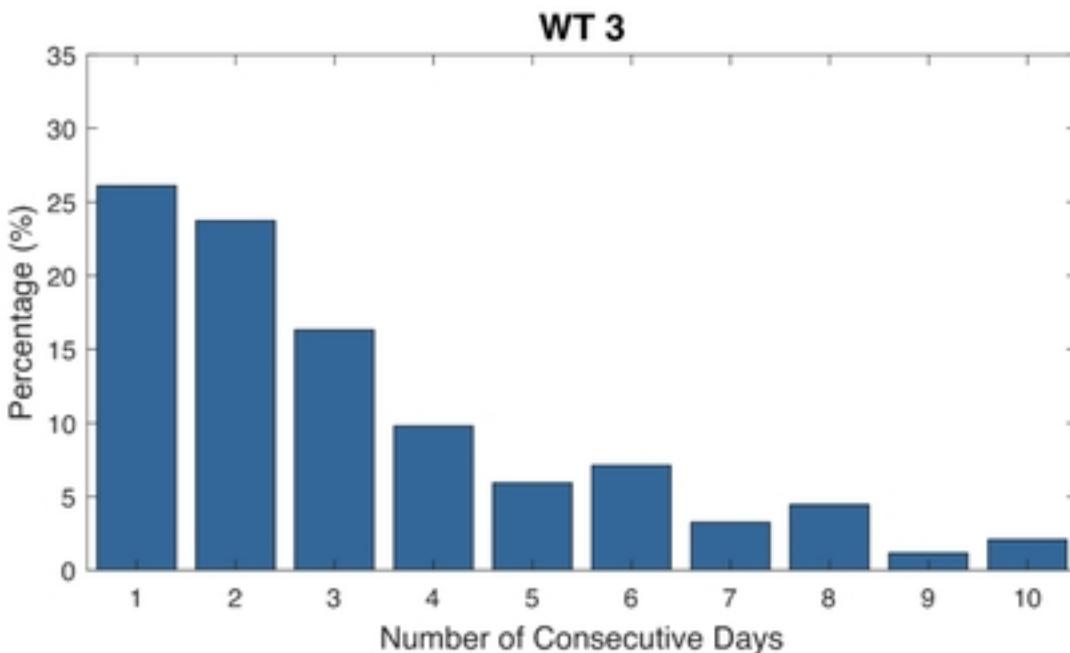
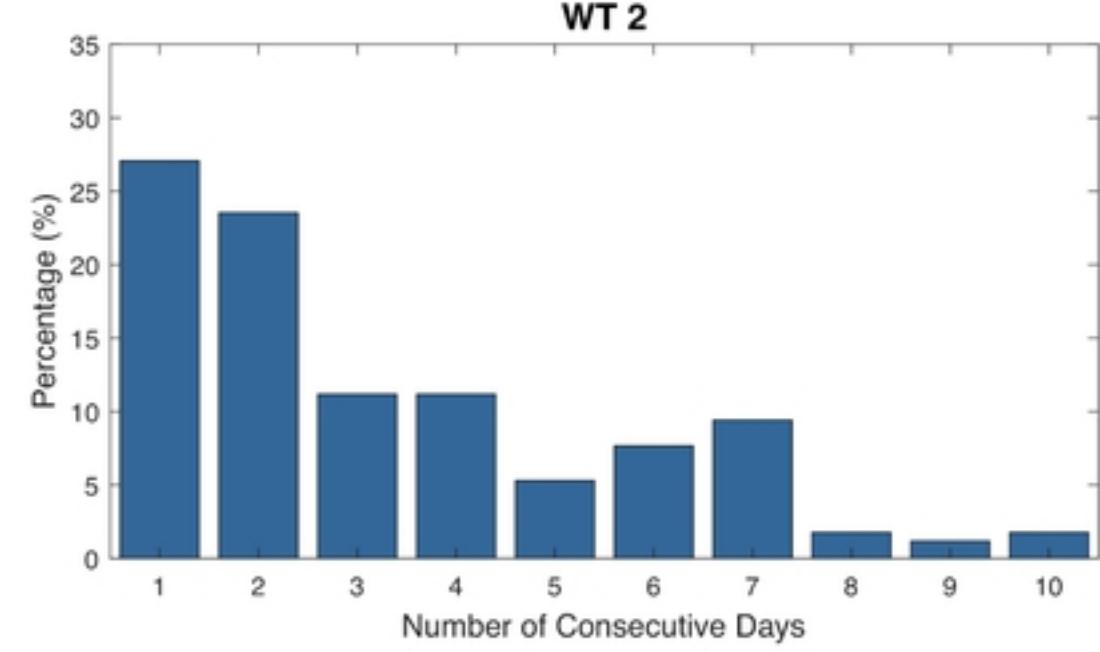
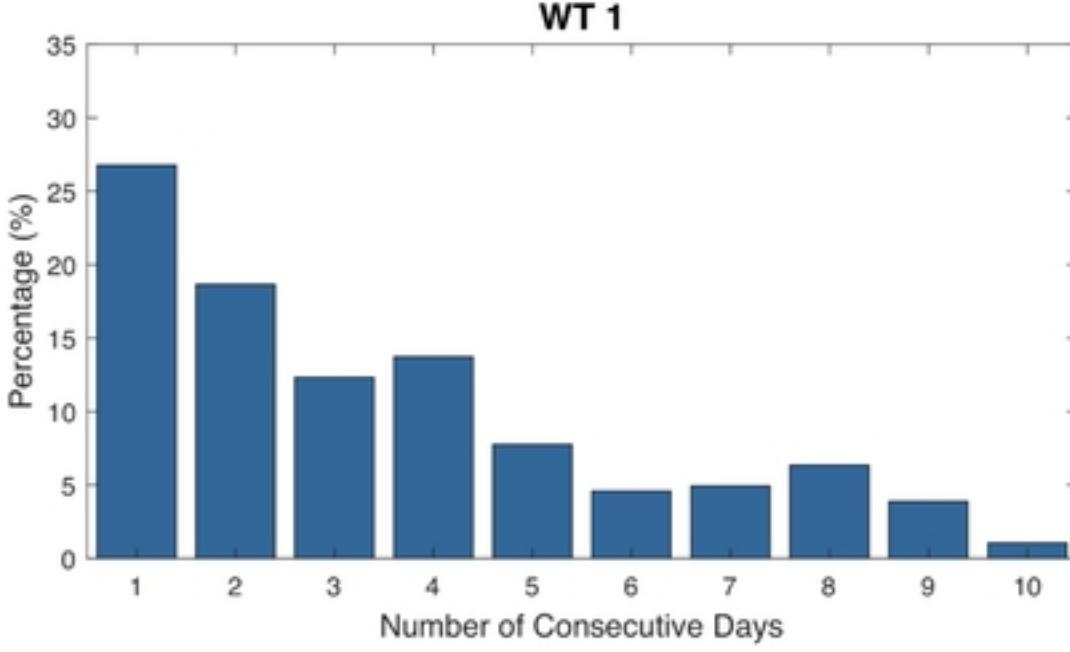


Figure 6

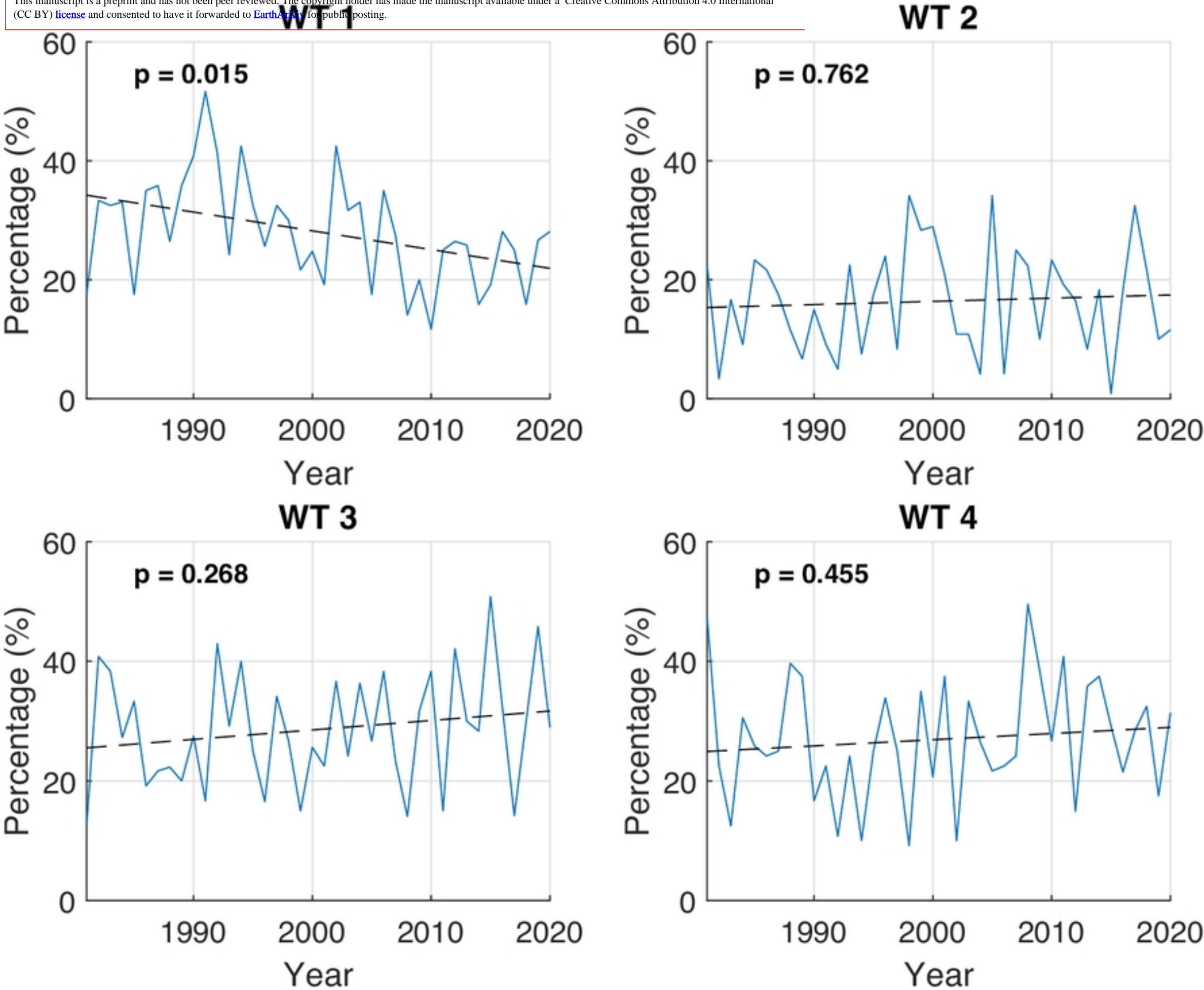


Figure 7

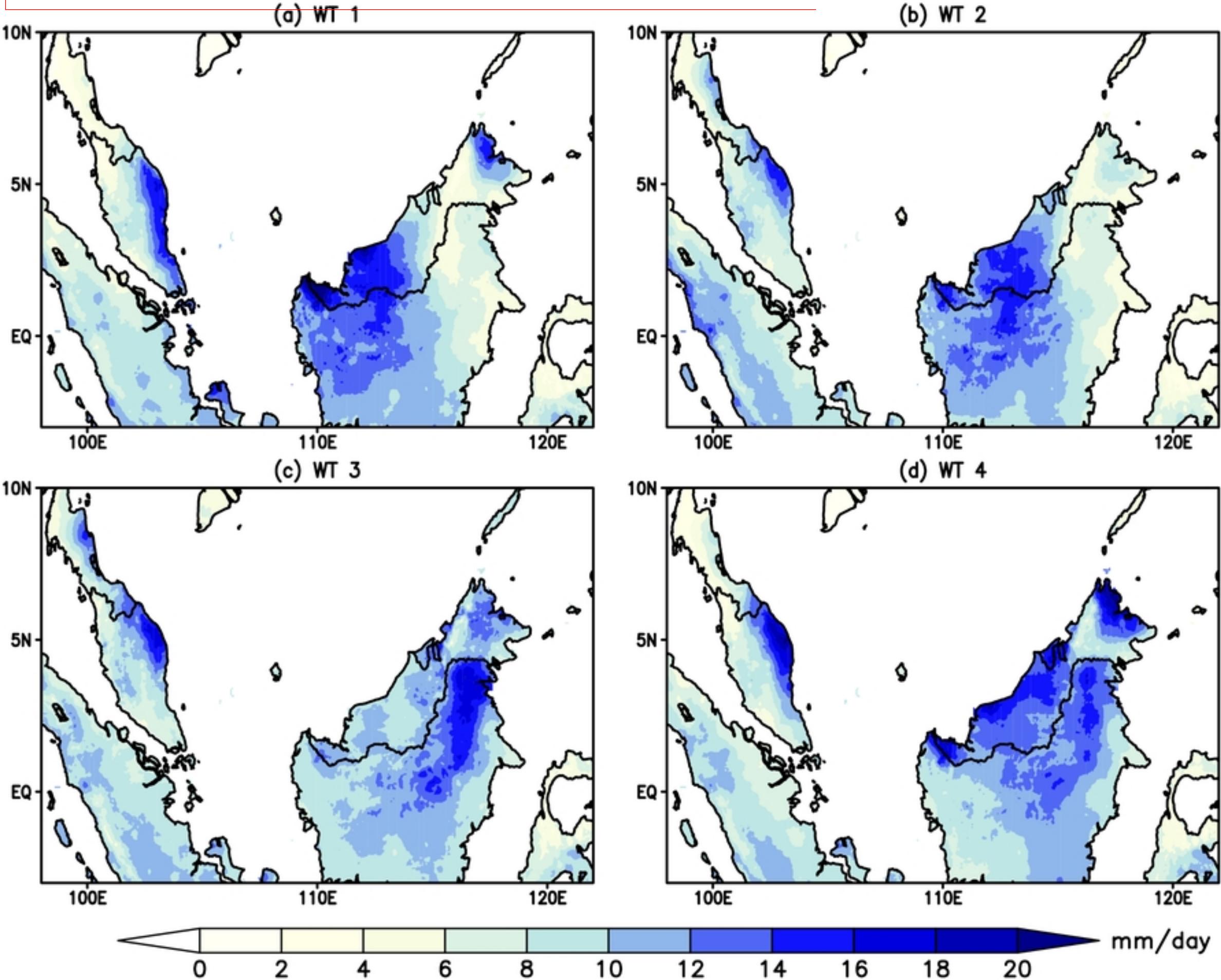


Figure 8

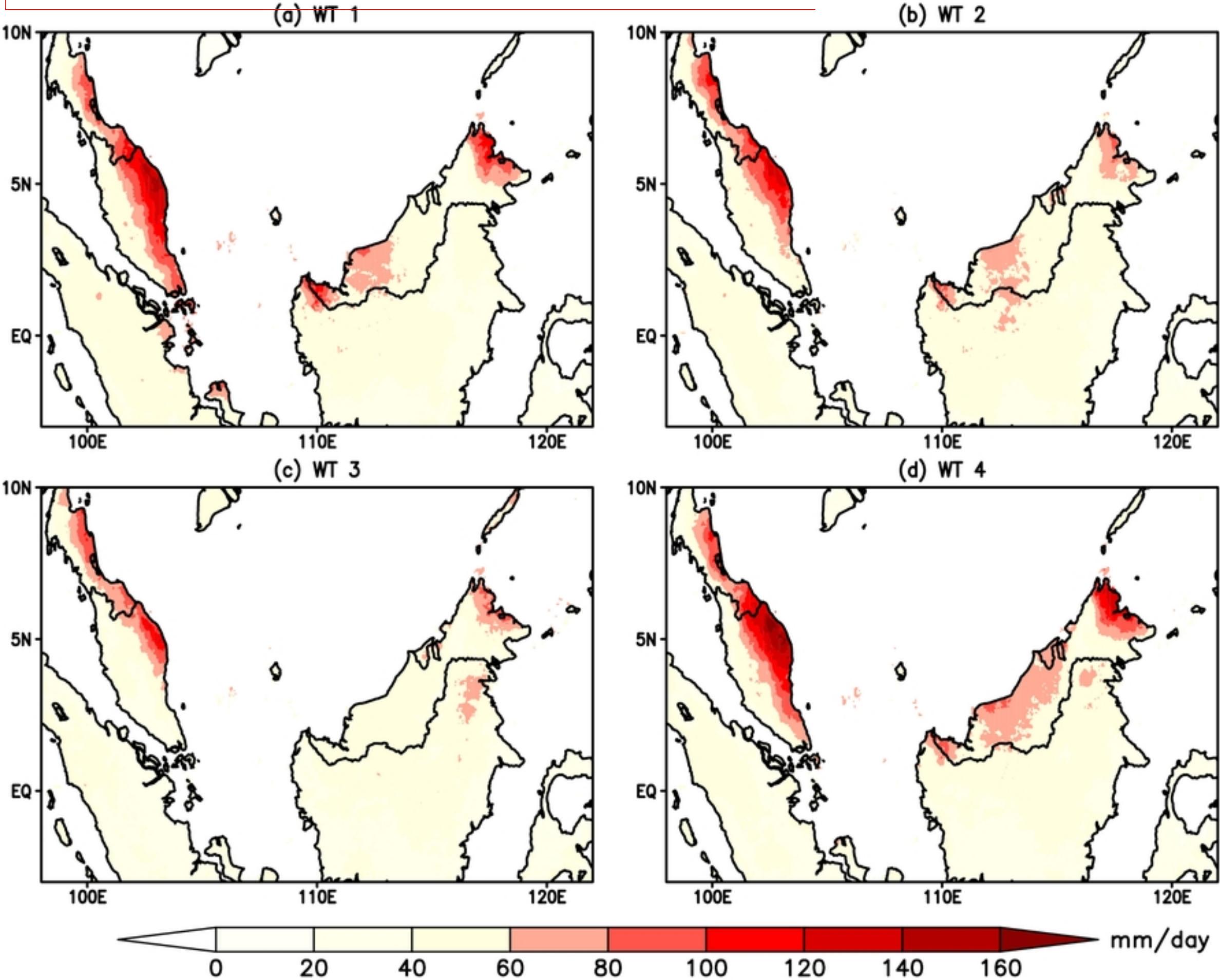


Figure 9

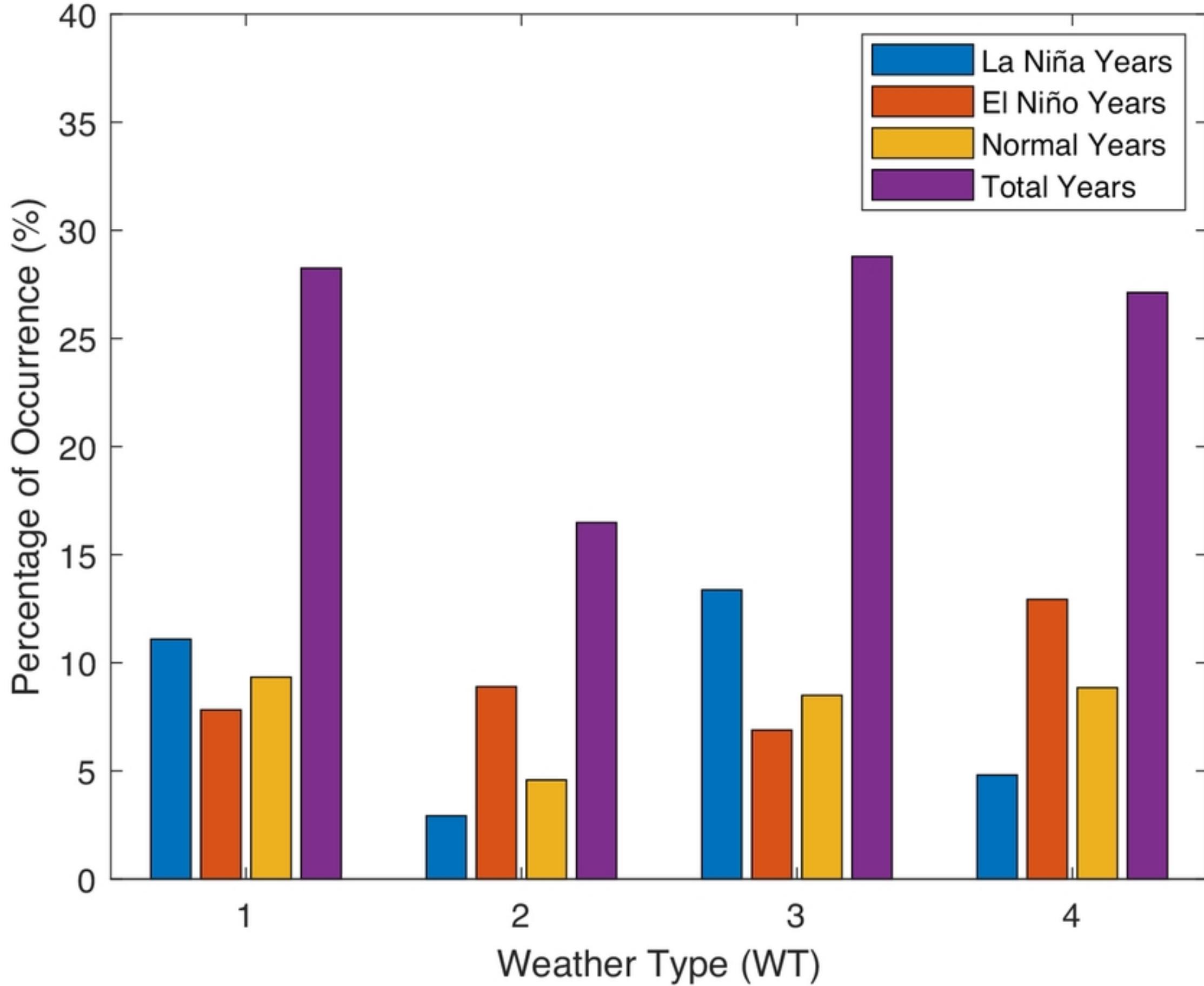


Figure 10