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1	Mangrove Pollen of Indonesia and its suitability as a sea-level indicator
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# 27 Abstract

We investigated the mangroves of Southeast Sulawesi, Indonesia, to assess their
potential as proxies for reconstructing sea level during the Holocene. Initial
investigations confirmed that the mangrove species demonstrate zonations parallel to
the shoreline and are dominated by the family *Rhizophoraceae* with *Avicennia*, *Heritiera* and *Sonneratia* also important constituents of the mangroves.

33

34 We investigated the vertical distributions of pollen assemblages at three sites. Partial 35 CCA analysis demonstrated that at all three sites, elevation was a significant control 36 on the distribution of pollen assemblages in surface samples. We combined the three 37 contemporary transects to develop a regional transfer function to explain the 38 relationship between the surface assemblages and elevations using the Maximum 39 Likelihood (ML) method. The developed transfer function indicated mangrove pollen 40 can be used as a precise indicator of past sea levels with an error of  $\pm 0.22$  m. The 41 transfer function was applied to two fossil cores from the Wakatobi Marine National 42 Park and evaluated using the Modern Analogue Technique. Both cores showed 43 similar patterns in changes of palaeo mangrove elevation and had modern analogues 44 in the contemporary training set. We conclude that mangrove pollen is a suitable 45 proxy for reconstructing sea level in tropical environments.

46

47 Key words: Palynology, Sea level, Sulawesi, Indonesia, Mangrove,

- 48 Palaeoenvironmental change
- 49

50

#### 52 **1. Introduction**

53 Mangroves are an important aspect of the coastal environment and provide protection 54 from tidal and wave action as well as acting as important nursery grounds for species 55 of fish and shrimp (e.g. Martosubroto and Naamin, 1977; Turner, 1992; Sasekumar et 56 al., 1992; de Graaf and Xuan, 1999). Mangroves are of prime economic importance 57 with a UNEP report (UNEP-WCMC, 2006) valuing mangroves at up to \$3.5 million 58 per square km per year through a combination of coastal defences, tourism and 59 farming. Furthermore, the trapping of waterborne sediment by mangroves reduces the 60 turbidity of ocean waters (Bigelow et al., 1989), which is of essential importance to 61 the development of coral reef systems (Kleypas, 1996). Research undertaken after the 62 Indian Ocean Tsunami in 2004 shows that areas with well developed mangroves 63 suffered proportionally less damage than those areas where mangroves had been 64 replaced as a result of farming and other coastal development (Dahdouh-Guebas et al., 65 2005; Kathiresan and Rajendran, 2005; Bird et al., in review). 66 67 In view of the above, it is important to study contemporary mangrove systems and to 68 understand their evolution under periods of sea-level rise and fall during the Holocene

69 to provide a benchmark against which one must measure the additional sea-level rise

70 that has occurred over the last 100 years. Sea-level changes in tropical environments

are of further interest, as they provide one of the most robust constraints on the

72 eustatic component of the sea-level signal (e.g. Nakada and Lambeck, 1989; Fleming,

1998; Lambeck, 2002; Milne et al., 2002; Peltier, 2002) and can be employed to

constrain the source geometry of major meltwater pulses (e.g. Clark et al., 2002;

75 Bassett et al. 2005). The use of coral reconstructions (e.g. Fairbanks, 1989; Chappell

and Polach, 1991; Bard et al., 1996) has proved extremely valuable for

reconstructions prior to the Holocene but the large error ranges ( $\pm 2.5$  m, Fairbanks, 1989;  $\pm 5$  m Blanchon, 2005) limit their use for Holocene reconstructions where the error is often greater than the magnitude of the change being investigated.

80

81 In contrast to tropical environment relative sea-level reconstructions, reconstructions 82 from temperate areas have been dominated by microfossil based reconstruction 83 techniques (e.g. Scott & Medioli, 1978, 1980; Horton et al., 1999; Gehrels, 2000; 84 Shennan et al., 2000; Campeau et al., 2000; van de Plassche, 2000; Horton et al., 85 2003; Horton and Edwards, 2005; Franceschini et al., 2005; Ruiz et al., 2005). Early 86 sea-level research relied heavily on the use of pollen assemblages as a proxy for sea 87 level with the first sea-level curve being produced by Godwin (1940) in the English 88 Fenland. However, developments over the last three decades in the use of the vertical 89 zonations of foraminifera (e.g. Scott and Medioli, 1978) and diatoms (e.g. Zong, 90 1997), and the perceived coarse resolution of pollen assemblages (e.g. Freund et al., 91 2004) have resulted in fewer pollen-based sea-level reconstructions. Furthermore, 92 studies by Debenay et al. (2004), Horton et al. (2005a) and Horton et al. (in press) 93 have shown that foraminifera and diatoms, respectively, have the potential to 94 reconstruct sea level in tropical environments. The fossil record in tropical 95 environments is however, especially prone to preservation issues. Debenay et al. 96 (2004) state that for a mangrove environments are "dramatically affected by 97 taphonomic processes". Woodroffe et al. (2005) in Australia further demonstrate that 98 foraminiferal preservation within mangrove sediments is problematic. Diatom 99 preservation is also potentially problematic within fossil cores, due to the high salinity 100 and temperature of the mangrove environments that promotes diatom dissolution 101 (Barker et al., 1994).

103	Due to these preservation issues, mangrove pollen may have the greatest potential for
104	accurately and precisely reconstructing sea level from mangrove environments
105	(Horton et al., 2005b). The strong outer exine of the major mangrove pollen species
106	should result in fewer taphonomic issues than affect foraminifera and diatoms.
107	Indeed, palaeoenvironmental reconstructions using pollen have been produced from
108	Australia (Grindrod, 1985, 1988; Kershaw et al., 2002), Tonga (Ellison, 1989),
109	Borneo (Anshari et al., 2001), Irian Jaya (Ellison, 2005) and Thailand (Horton et al.,
110	2005b). However, it must be noted that unlike foraminifera or diatoms, the pollen is
111	not being deposited autochthonously but is subject to movement by distribution
112	vectors i.e. wind, insects or tides. In spite of this, Grindrod (1985) describes
113	mangrove taxa as having "highly localised pollen dispersal, despite the potential for
114	tidal redistribution". Despite this potential limitation, the use of mangrove pollen as a
115	proxy for sea-level is supported by the coastline parallel species zonations
116	demonstrated by mangroves (Grindrod 1985, 1988; Ellison, 1989; Kamaludin, 1993;
117	Ellison, 2005) which suggest that species zonation is controlled primarily by tidal
118	inundation (and thus elevation), which is essential for a proxy of past sea-levels.
119	However, these previous pollen-based studies have relied on qualitative or semi-
120	quantitative methods that potentially limit the accuracy and precision of the sea-level
121	reconstructions. The development and application of quantitative methods such as
122	transfer functions (e.g. Zong and Horton, 1999; Horton et al., 1999; Gehrels, 2000;
123	Campeau et al., 2000; Edwards et al., 2004; Sawai et al., 2004; Patterson et al., 2004),
124	potentially allows for the elucidation of past sea levels with improved precision and
125	accuracy.
126	

To address the above, we aim to document the characteristics of the dominant
mangrove types of Southeast Sulawesi and to investigate the links between species
composition and the surface pollen assemblages within three mangrove ecosystems.
We will subsequently, use this pollen dataset to develop a pollen-based transfer
function to quantitatively reconstruct former palaeoenvironments and palaeo
mangrove elevations.

133

#### 134 **2. Study Area**

135 The island of Sulawesi lies in close proximity to the quadruple junction of the 136 Australian, Eurasian, Pacific and Philippine tectonic plates and has been subject to at 137 least four major tectonic events during the Mid-Cretaceous, Oligo-Miocene, Middle 138 Miocene and Early Pliocene (Villeneuve et al., 2002). Recent research on the geology 139 of the area is limited (e.g. Wilson and Bosence, 1996; Ascaria et al., 1997; Wilson and 140 Moss, 1999; Milsom et al., 1999; Hall and Wilson, 2000), and the majority of work 141 focuses on developments on a geological timescale with an absence of work on 142 tectonic movements during the Quaternary. This had led to a considerable amount of 143 ambiguity in the present tectonic debate. This study is split between two distinct 144 geographic locations, namely the Wakatobi Marine National Park (WMNP) and the 145 island of Buton (Figure 1). Both locations share the same micro-tidal regime due to 146 similar physiographic conditions, which is semi-diurnal with a range of 1.5m and tidal 147 levels are highlighted for each site in the appropriate figures (2-4).

148

149 The WMNP occupies an area of 1.39 million hectares, making it the second largest

150 marine protected area in Indonesia. The national park is comprised of the four

151 principal islands of Wangi, Kaledupa, Tomea and Binongko, together forming the

Tukang Besi archipelago. The work of Escher (1920) describes the Tukang Besi archipelago as being situated in rows. He attributes the first row in the southwest to atoll development, the second row (location of present study sites) to elevated islands, the third row to atoll development and fourth row to elevated islands. This scenario can be attributed to the presence of anticlines and synclines.

157

158 Two study sites are located in the WMNP, both on the island of Kaledupa

159 (5°30'04.11" S, 123°43'54.25" E). Laulua has an eastern aspect and is protected by a

160 reef flat located 50 m from the shoreline. The study area at Laulua does not have a

161 tidal flat due to the abrupt presence of a channel separating the mangrove from the

162 reef flat. This study site is characterised by a belt of mangroves 100 m in width, with

163 trees up to 8 m in height, although the majority of the vegetation reaches heights of

164 between 2 and 3 m. The transect at Laulua was 92 m long and sampled every 4 m.

165 Laulua does not have any freshwater input and shows a strong mangrove plant

166 zonation parallel to the shoreline with a front zone of *Rhizophora* and *Bruguiera* (1.0-

167 1.25 m IHD). This is followed landward by a pure *Rhizophora* zone (1.25-1.7 m

168 IHD), a Rhizophora and Avicennia zone (1.7-2.0 m IHD), a zone of Ceriops (1.9-2.0

169 m IHD), a zone of Avicennia (~1.9 m IHD) and a back zone of mixed Avicennia and

170 Ceriops species (1.9-2.1 m IHD). Silt is the dominant sediment substrate within the

171 mangrove with the sand fraction increasing at the front of the mangrove. Organic

172 content is highest at the front of the mangrove. Laulau shows a similar transition to

173 that described by Matthijs et al. (1999), from a non mud substrate where *Rhizophora* 

174 is not present to dark muddy sediments where *Rhizophora* is present.

175

176 Mantigola is located on the western side of Kaledupa. The mangroves at this location 177 are also protected by a reef flat 200 m from the shoreline. The transect was 144 m 178 long and sampled every 6 m. In contrast to Laulua, Mantigola has a large tidal flat 179 located in front of the mangrove (0.9-1.0 m IHD) that is exposed at low tide but it 180 hosts no mangrove species. The fringing mangrove is exclusively Rhizophora (1.0-181 1.2 m IHD), which then moves into a dense mangrove composed of Rhizophora and 182 Bruguiera species (1.2-1.95 m IHD), which accounts for over half of the 144 m 183 transect. Bruguiera species presence declines with distance from the front of the 184 mangrove in this section. The Rhizophora and Bruguiera mangrove is followed by a 185 mixed zone of Rhizophora, Avicennia and Ceriops (1.95-2.15 m IHD) and then by a 186 zone of Ceriops and Avicennia (2.15-2.25 m IHD). The final landward zone is 187 composed of Avicennia and Ceriops (2.25-2.35 m IHD), with Avicennia becoming 188 increasingly prevalent. The landward zone is also characterised by the presence of 189 Nypa palms, isolated Xylocarpus and Acanthus species. The site has some freshwater 190 influence with a 3m wide channel 50 m to the north of the transect and is located on a 191 small channel that is dry at low tide. The vegetation reaches maximum heights of 10 192 m with an average of  $\sim 4$  m. The substrate of the mangrove environment is 193 predominantly silt. The abundance of sand increases towards the seaward edge of the 194 transect. Conversely, the organic content of the transect increases towards the 195 landward edge of the transect. 196

Buton Island (5°11'51.69" S, 122°55'57.76" E) is located off the southeastern tip of
the Sulawesi coast and is outside the WMNP. Buton is 130 km long by 50 km wide
and attains a maximum height of 1100 m above sea level. The site at Buton is located
at Kakenauwe with an eastern aspect. The site is located in close proximity to a river,

201 which provides freshwater input to the mangrove. The mangroves in this area range 202 from 20 m to 100 m in width. The transect was 42 m long and sampled every 3 m. 203 The transect is located in an area of mangrove 25 m wide, fronted by a large tidal flat, 204 and extends from a mudflat zone (0.4-0.85 m IHD), into a zone of fringing Sonneratia 205 and Rhizophora (0.85-1.35 m IHD) before reaching a landward Rhizophora zone 206 (1.35-1.8 m IHD). A 1 m high coral terrace at the rear of the Rhizophora zone hinders 207 back mangrove development. Here the species are considerably taller than those in 208 the WMNP, as the average height of vegetation at this site is  $\sim 6$  m, reaching a 209 maximum of 12 m. The *Rhizophora* genus in particular are noticeably taller. A sandy 210 substrate is found within the tidal flats, with the mangrove environment dominated by 211 clay. Organic content gradually increases across the transect toward the landward 212 edge.

213

214 **3. Materials and Methods** 

215 We collected surface sediment samples at regular intervals along a transect 216 perpendicular to the coastline. The transects began at the lowest exposed tidal flat 217 during low tide and extended to the limit of mangrove vegetation at the landward 218 extent of the transect. Sampling stations were demarcated at regular intervals along 219 the transects, taking changes in topography and inundation regimes into account. 220 Mantigola had twenty five stations, Laulua was marked with twenty four, and 221 fourteen sampling stations were demarcated at Kakenauwe. For each modern 222 sampling station and core we chose a temporary benchmark and calculated its height 223 above sea-level using a staff and auto level, noting the time of the observation. Raw 224 elevations were reduced to Indonesian Height Datum (IHD) by reference to the tidal 225 predictions for each study site that were provided by the Proudman Oceanographic

226	Laboratory (Blackman, pers. comm.) following the methods of Horton et al. (2005a,
227	in press). We monitored local sea level at hourly intervals over a twenty four hour
228	period and the measurements suggested the elevation of the temporary benchmark
229	relative to IHD is accurate to $\pm 0.2$ m. It is important to note that this error must be
230	included in any relative sea-level reconstruction. The location of each TBM was
231	determined using a Leica SR530 GPS system. Two fossil cores were collected from
232	Ambeau and Llagiyye. A gouge corer was used at both sites to establish the
233	consistency of stratigraphy before sampling with a Russian corer to reduce
234	compaction effects. The cores were described using Tröels-Smith (1955), transferred
235	into tubes, wrapped in plastic and refrigerated.
236	
237	We collected one sample of $10 \text{ cm}^3$ ( $10 \text{ cm}^2$ surface sample by 1 cm thick) for
238	environmental variable analysis and a second sample of 5 $cm^3$ (5 $cm^2$ surface sample
239	by 1 cm thick) for pollen analysis. We mixed the samples to average seasonal effects
240	following Zong (1997) and Sawai (2003). We prepared the samples for pollen
241	analysis following the standard method of Faegri and Iversen (1989). Taxonomy was
242	identified using the publications of Huang (1972) and Thanikaimoni (1987). The
243	genera Bruguiera and Ceriops cannot be separated under light microscopy (Grindrod,
244	1985) and are grouped together as Bruguiera/Ceriops. Most fossil samples showed
245	good preservation and were counted to at least 150 grains (Ellison, 1989; Patterson
246	and Fishbein, 1989; Fatela and Taborda, 2002). Pollen results are represented as
247	percentage relative abundance (Ellison 1989).
248	
249	We recorded six environmental variables from each modern transect (elevation,

250 distance from open water, grain size, organic content, salinity and pH). Salinity and

pH measurements were recorded in the laboratory after the addition of 10g of
sediment to 25ml of distilled water, allowing the samples to sit for four hours before
measurements were taken. Grain size was undertaken using a Coulter counter laser
granulometer. Samples were pre-treated with hydrogen peroxide to remove organic
content. Organic content (loss on ignition) was calculated from the dry sample (dried
overnight in an oven at 105°C) heated to 550°C for four hours (Ball, 1964).

257

## 258 3.1 Statistical Analysis

259 We used CONISS and unconstrained cluster analysis based on unweighted Euclidean 260 distance and using no data transformation or standardisation to classify the stations 261 into relatively homogenous clusters (Prentice 1986, van Tongeren 1987). Only those 262 species that attained 2% abundance in any one sample were included for analysis. 263 After clustering, the elevation of each sample was determined to identify elevational 264 ranges for the zonations. Clusters were confirmed with detrended correspondence 265 analysis (DCA) (Hill and Gauch 1980). The two techniques are complimentary 266 (Birks, 1992), with cluster analysis splitting the samples into zones based on the 267 pollen assemblages and DCA providing further information on the patterns and 268 variations within and between groups.

269

270 Following Birks (1995), Detrended Canonical Correspondence Analysis (DCCA) is

used to assess the length of the environmental gradient to determine if a linear or

272 unimodal response model is appropriate. We assessed the performance of the transfer

273 function using the coefficient of determination  $(r^2)$  and the root mean square error of

274 prediction (RMSEP). We assessed the precision of the transfer function by

275 employing jack-knifing (leave one out) and the modern analogue technique (MAT).

276 Jack-knifing removes one sample from the dataset and utilises the remaining dataset 277 to predict its elevation (Birks 1995). The transfer function can then be assessed by 278 considering the residuals between the observed elevation and the predicted elevation 279 for the sample removed from the dataset. The RMSEP serves as a guide to the 280 precision of the dataset. We ran the transfer function using bootstrapping to generate 281 sample specific errors. MAT, whilst capable of predicting elevations, was employed 282 in this study to check that each fossil sample had a modern analogue within the 283 dataset. Following Birks et al. (1990), a fossil sample was considered to have a 284 modern analogue in the training set if the minimum dissimilarity coefficient for the 285 sample was within the 10<sup>th</sup> percentile of the dissimilarity range of the modern 286 samples. We used five closest analogues following Southall et al. (in press) due to the 287 small size of the contemporary dataset.

288

# 289 **4. Results**

Sixty three contemporary samples were analysed palynologically from the three transects. We identified forty one different pollen types from the three transects studied, consisting of sixteen mangrove taxa differentiated by species name with a further ten pollen types that were not identified as mangrove species in the identification literature but which were consistently present in the mangroves of Southeast Sulawesi. A further category of pollen grains includes those that were broken, corroded or hidden, thus hindering identification.

297

# 298 4.1 Laulua Pollen Assemblages

299 The pollen assemblages of Laulua are dominated by *Rhizophora*, *Bruguiera/Ceriops* 

300 and Avicennia with the relative abundances of these three species accounting for over

301 75% of the pollen in every sample (Figure 2). The transect is dominated by 302 *Rhizophora* with relative abundances greater than 68% from 0 m to 44 m along the 303 transect. Associated Bruguiera/Ceriops abundances above 10% and low Avicennia 304 abundances never exceeding higher than 8% relative abundance also characterise the 305 seaward aspect of the mangrove. The landward section of the transect (48 m to 92 m) 306 is characterised by lower abundances of Rhizophora (22% at 88 m) with an associated 307 increase to 60% abundance of Bruguiera/Ceriops at 84 m. Avicennia shows 308 abundances varying between 8% and 38% in this section of the mangrove with the 309 highest relative abundances at 88 m and 92 m. There is a relationship between the 310 vegetation present at a sampling station and the dominance of the pollen within the 311 surface sample. Where *Rhizophora* tree species are present on the transect, there is an 312 associated high relative abundance of *Rhizophora* pollen within the surface sample. 313 Peaks of Bruguiera/Ceriops in the surface samples are also associated with the 314 appearance of this tree species at those sampling stations.

315

# 316 4.2 Mantigola Pollen Assemblages

317 The pollen assemblages of Mantigola are dominated by *Rhizophora*,

318 Bruguiera/Ceriops, Avicennia and Heritiera with the relative abundances of these

319 four genera accounting for at least 83% of the pollen in every sample (Figure 3). The

transect is dominated by *Rhizophora* with relative abundances always exceeding 41%

321 and greater than 62% from 0 m to 90 m along the transect. *Rhizophora* abundances

- 322 are lowest in the rear mangrove (108 m to 144 m) where they range from 41% to
- 323 56%. *Bruguiera/Ceriops* relative abundances are similar along the transect and
- demonstrate no clear pattern. The relative abundances range from 6% to 20% for
- 325 these genera. *Heritiera* is present throughout the transect, with a range of relative

326 abundances from 3% to 24%. The relative abundances are higher in the landward 327 section of the mangrove, which correlates with the presence of a number of Heritiera 328 genus. Relative abundances of 20% and 24% occur respectively at 108 m and 114 m 329 and coincide with a close proximity to this genus. Avicennia abundances are low 330 throughout the mangrove, never exceeding 7% relative abundance except at 126 m 331 where relative abundance is 22%. This may be associated with the location of the 332 sampling site under a large Avicennia tree. The sample stations at 114 m and 120 m 333 show an increase in Avicennia pollen, followed by a decrease at stations 132 m to 144 334 m along the transect.

335

# 336 4.3 Kakenauwe Pollen Assemblages

337 The pollen assemblages of Kakenauwe are dominated by Rhizophora, Heritiera and 338 Sonneratia. The relative abundance for these three genera never accounts for less than 339 77% of the total pollen sum in any sample (Figure 4). *Rhizophora* is present on the 340 entire transect ranging from 14% relative abundance at 6 m to a maximum of 72% at 341 39 m. Sonneratia is also ever present on the transect, with relative abundances 342 ranging from 0.7% at 6 m to 11% at 39 m. Heritiera is the dominant genus on the 343 tidal flat with a maximum abundance of 79% at 6 m and a minimum abundance of 344 11% at 39 m. The increase in Rhizophora abundance above 60% is correlated with 345 entering a strip of mangrove vegetation.

346

# **5.** The vertical distribution of mangrove pollen

348 The pollen compositions of the three mangroves studied are broadly comparable in

349 terms of genera distribution and surface pollen assemblages. *Rhizophora* is the

dominant species at all three mangroves and due to its pollen vector being wind

351 (Grindrod, 1985), is found in high abundances both in close proximity to its parent 352 tree location as well as landwards and seawards of its position within the tidal frame. 353 *Rhizophora* is dominant in the seaward mangrove at all three sites, accounting for 354 over 80% of the trees within this zone. Whilst Sonneratia (Kakenauwe) or Bruguiera 355 (Mantigola and Laulua) are present at the front of this zone, they are minimal 356 components in comparison to Rhizophora. Kakenauwe differed from Laulua and 357 Mantigola, as it did not have a back mangrove section with a raised coral terrace 358 impeding further inundation. Mantigola and Laulua both show similar landward 359 sections, although the zone of Rhizophora tree dominance is larger at Mantigola, a 360 consequence of the difference in the elevation gradient at the two sites. The front of 361 the mangrove at Laulua shows a rapid rise in elevation in the Rhizophora tree 362 dominated zone whereas Mantigola demonstrates a constant rise in elevation across 363 the mangrove. Both sites show mid and landward mangrove sections composed 364 primarily of Avicennia and Ceriops trees with Ceriops generally showing more 365 dominance in the mid to landward mangrove section with Avicennia dominance at the 366 landward end of the transect. Mantigola was unique in the presence of mature 367 Heritiera genus within the mangrove and is the only site that showed a species 368 zonation above the limit of highest astronomical tide with Acanthus species present 369 although these were poorly represented within the pollen assemblages.

370

371 Pollen assemblage zones L1, M1 and K3 show similar elevational ranges (and are

372 representative of the seaward mangrove and are dominated by *Rhizophora* with the

373 presence of either Bruguiera/Ceriops (Laulua and Mantigola) or Sonneratia

374 (Kakenauwe) pollen. The elevation ranges of L1, M1 and K3 are 0.97 m to 2.02 m,

375 0.88 m to 2.18 m and 1.52 m to 1.66 m IHD, respectfully. Wijmstra (1969) and

376	Grindrod (1988) note the dominance of Rhizophora within the mangroves of Sumatra
377	and Northern Australia, respectively. Both studies suggest that a relative abundance of
378	$\sim$ 90% is indicative of a <i>Rhizophora</i> stand. This is comparable to the zones of Laulua,
379	Mantigola and Kakenauwe, which all demonstrate abundances of over 70%
380	Rhizophora. The lower abundances of Rhizophora within Southeast Sulawesi is
381	possibly due to the small size of the mangroves, not exhibiting a width greater than
382	150 m. Furthermore, numerous studies suggest that <i>Rhizophora</i> is the dominant
383	seaward mangrove species (Macnae, 1968; Bunt et al., 1985; Grindrod, 1985; Ellison,
384	1989; Bunt, 1991; Kamaludin, 1989; Maloney, 1991; Tong Sa and Chow Hock, 1993;
385	Matthijs et al., 1999; Ellison, 2005) with Kamaludin (1989) identifying 50%
386	Rhizophora as indicative of a mangrove environment. It must be noted that a number
387	of the above references (e.g. Maloney, 1991) identify a zone of Sonneratia or
388	Avicennia trees seaward of the Rhizophora zone. In Southeast Sulawesi, this was
389	only identified at Kakenauwe. The mangrove at Mantigola had an extensive tidal flat
390	seaward of it and it is unclear why Avicennia or Sonneratia trees do not form a
391	seaward zone at this site.
392	
393	Zone L2 represents a mid mangrove environment of Laulua (1.86 m to 2.13 m above

393 Zone L2 represents a mid mangrove environment of Laulua (1.86 m to 2.13 m above

394 IHD) and is defined by a decrease in *Rhizophora* abundance from  $\sim 60\%$  at the

395 seaward section of the zone to  $\sim$ 25% at the landward section of the zone. This

396 correlates with Kamaludin (1989) who states that *Rhizophora* abundances less than

397 40% is representative of a back mangrove environment. The increased presence (10

- to 40%) of *Avicennia* is in line with Cantera et al. (1999) from Columbia who
- 399 describe Avicennia as being more common in the central mangrove. The elevated

- 400 Bruguiera/Ceriops abundances are comparable to Bunt (1999) who places Ceriops
  401 behind the *Rhizophora* stands in Northeastern Australia.

403	Zone L3 of Laulua represents the rear mangrove (1.92 m to 2.01 m above IHD) and is
404	associated with an increase in Bruguiera/Ceriops pollen to between 40% and 60%
405	with an associated decrease in Rhizophora pollen to below 40% (Kamaludin, 1989).
406	The stand of <i>Ceriops</i> trees identified at the rear of this zone and represented by an
407	increase in Bruguiera/Ceriops pollen is also identified by Bunt (1999), Matthijs et al.
408	(1999) and Ellison et al. (2000). Zone L3 also demonstrates the presence of Heritiera
409	and Lumnitzera, genera identified as being indicative of landward mangrove
410	communities (e.g. Maloney, 1991; Bunt, 1999) with firm soils. Zone M2 of
411	Mantigola is similar to Zone L3 but with a higher elevational range (2.08 m to 2.37 m
412	above IHD) and higher <i>Rhizophora</i> abundance (never greater than 60%). Zone M2
413	also shows an increase in the abundance of Bruguiera/Ceriops pollen though it is of
414	less magnitude than in zone L3 due to the smaller size of the stand. The presence of
415	Heritiera in the landward mangrove is also demonstrated by Bunt (1999).
416	
417	Zones K1 and K2 of Kakenauwe are similar due to their location on the tidal flat.
418	Zone K1 encompasses four samples (0.81 m to 0.89 m above IHD) and is represented
419	by Rhizophora abundances of less than 30% and high Heritiera abundances. This is
420	in agreement with Wijmstra (1969) who identifies 30% Rhizophora abundance as
421	being indicative that the sample was immediately adjacent to a Rhizophora zone, such
422	as on a tidal flat. Zone K2 represents the transition zone between the open tidal flat
423	and the mangrove and demonstrates higher <i>Rhizophora</i> abundances ( $\sim$ 50%) with an
424	increase in Bruguiera/Ceriops and Sonneratia abundance, indicating that these

genera are present in the fringing mangrove. The presence of *Sonneratia* trees in the
front mangrove is also highlighted by Watson (1928), Maloney (1991) and Ellison et
al. (2000).

428

# 429 **6. Development and Analysis of a transfer function**

430 To be useful as a sea-level indicator, microfossils must have a consistent relationship 431 to a fixed position within the tidal frame (Thomas and Varekamp 1991). The 432 mangroves of Southeast Sulawesi indicate species zonations parallel to the shoreline 433 and the pollen surface assemblages relate closely to the tidal frame, making mangrove 434 pollen suitable as a proxy for reconstructing relative sea-level. In support of this 435 conclusion, the three transects were combined and analysed using canonical 436 correspondence analysis (CCA). CCA axes one (eigenvalue = 0.135) and two 437 (eigenvalue = 0.067) explain 31.1% of the pollen data. These two axes represent 438 88.8% of the species-environment relationship (Figure 5). There is a clear pattern 439 with samples with low elevations and high sand, pH and organic content values 440 plotting to the left and samples with high elevations, high salinities and high silt 441 contents plotting to the right. The eight environmental variables account for 26.4% of 442 the explained variance in the pollen data. This explained variance is composed of 443 14.5% (elevation), 7.8% (distance from open water), 6.3% (salinity), 6% (pH), 5.7% 444 (organic content), 4.9% (silt), 2.3% (sand) and 1.3% (clay). The associated Monte 445 Carlo permutations tests indicate that the elevation gradient accounts for a significant 446 portion of the total variance in the pollen data (p = 0.002, 499 permutations under the 447 reduced model). As such, a statistically significant transfer function quantifying the 448 relationship between the pollen assemblages and elevation can be constructed. It 449 must be noted that 59% of the explained variance is composed of intercorrelations

450 between the environmental variables and therefore elevation cannot be considered to 451 be completely independent of the other environmental variables. 71% of the total 452 variation in pollen data is not explained by the eight environmental variables. This 453 may be due to stochastic variation or temporal and spatial effects, which this study 454 does not take into account. Nevertheless, the explained variance is similar to other 455 datasets (e.g. Zong and Horton, 1999) and coupled with the information from partial 456 CCAs, indicate that a statistically significant transfer function can be developed for 457 elevation.

458

459 We subsequently developed a transfer function using the combined dataset of sixty 460 three samples. The length of the environmental gradient (in this instance, elevation) 461 for the combined dataset was assessed using DCCA and was 0.771 SD units, 462 indicating a linear response model, so we chose the Maximum Likelihood (ML) 463 transfer function, developed using C2 (Juggins 2005). ML is "the most statistically 464 rigorous approach to environmental reconstruction" (Birks 1995), however it must 465 also be noted that Birks (1995) also states that the model is rarely used in 466 palaeoecology. The genus Heritiera was removed from the transect at Kakenauwe. 467 The relative abundance of other pollen species is then calculated using total pollen 468 sum. This genus was deemed to be allochthonous due to its non-presence in the 469 mangrove but high abundances on the tidal flat. Heritiera was not removed from the 470 entire dataset, as the genus is an important component of the landward mangrove in 471 Southeast Sulawesi. Examination of the dataset reveals that allochthonous Heritiera 472 can be identified in fossil cores by considering organic content and grain size analysis. If Heritiera occurs in high abundances and the organic content is below 10% with a 473 percentage of sand greater than 40%, then the *Heritiera* in that sample can be 474

475 concluded to be allochthonous and the Heritiera component removed from that 476 sample using the same method as in the contemporary samples, outlined above. The 477 dataset was screened to remove any samples with a residual greater than the standard 478 deviation of the elevation in the dataset, resulting in a transfer function based on 55 479 contemporary samples. Using the diagnostic species *Rhizophora*, we noted that the 480 tolerance changed from 0.45 before the screening of the dataset to 0.43 in the 481 screened dataset with a change in the optima from 1.67 to 1.59, indicating that the 482 removal of the samples had little effect on the predictions of the transfer function with 483 the benefit of improved performance. The pollen based transfer function (Figure 6) 484 shows a strong correlation between observed and predicted values, The transfer 485 function has the potential to predict elevation with a statistical error of  $\pm 0.22$  m with 486 an  $r_{iack}^2$  of 0.8. These results suggest that precise reconstructions of sea-level are 487 possible.

488

489 To test the applicability of the transfer function, two cores were taken from two 490 different locations in the WMNP to generate palaeo mangrove elevations. The two 491 sites are separated by 150 m along the coastline and both come from fringing 492 mangrove environments immediately in front of the Rhizophora dominated seaward 493 mangrove. Both cores come from sites which were not sampled by the contemporary 494 transects but are proximal to the transect at Laulua on the east coast of Kaledupa (see 495 Figure 1). The age of the cores is inferred from the widespread development of 496 mangroves during the big swamp phase described by Woodroffe et al. (1989) which 497 dates the commencement of mangrove development at between 6800 and 5300 cal yrs 498 BP. Looking at the palynological content of the two cores, with the Ambeau core 499 showing pre-mangrove environments, we conclude that the base of the Ambeau core

500 is 500-1000 cal yrs BP older than the base of the core from Llagiyye. The transfer 501 function generated palaeo mangrove elevations for seventeen samples. The base of 502 the Ambeau core (Figure 7) is a stiff orange clay, which is then overlain by a 503 tenacious blue-grey clay. This is in turn overlain by 1.5 m of peat sediments. The 504 peat sediments are in turn overlain by clay sediments before a return to peat sediments 505 at the top of the core. Rhizophora, Bruguiera/Ceriops, Heritiera, Acrostichum 506 aureum and Sonneratia pollen dominate the core. Heritiera and Acrostichum aureum 507 dominate the base of the core. The overlying unit is dominated by *Rhizophora* pollen 508 at the expense of *Heritiera* and *Acrostichum aureum*. Toward the top of the core the 509 pollen assemblages show an increase in the relative abundance of Sonneratia to 7.5%. 510 The Llagiyye core (Figure 8) has a basal unit of tenacious blue-grey clay, which is 511 overlain by 1 m of peat sediments. The peat unit is overlain by a series of 512 increasingly clay dominated units, which is in turn overlain by the sand dominated 513 surface unit. Rhizophora is dominant throughout the core with abundances exceeding 514 55%. Heritiera is present throughout the core but in greater abundance ( $\sim 20\%$ ) at the 515 base of the core declining to less than 5% at the top sample (0.53 m). 516 Bruguiera/Ceriops is also present throughout the core in abundances greater than 10% 517 but shows no general pattern of change through the core profile. 518 519 The Ambeau core palaeo mangrove elevations show a general pattern of decrease 520 from 2.11 m  $\pm 0.68$  m LAT at 3.99 m depth to 1.38 m  $\pm 0.22$  m LAT at 0.77 m depth

521 (Figure 7). Palaeo mangrove elevations in the samples dominated by *Rhizophora* 

522 with no Acrostichum Aureum are consistently ~1.5 m LAT with an increase to 1.75 m

 $\pm 0.22$  m LAT at 1.55 m depth associated with a change in core sediment from clay

524 with some organics to an organic dominated layer. The two samples near the top of

525 the core show decreasing palaeo mangrove elevations in keeping with the change in 526 biostratigraphy to lower *Rhizophora* abundances and the presence of *Sonneratia* 527 pollen, indicating a seaward mangrove depositional environment. The Llagiyye core 528 (Figure 8) similarly shows a pattern of decrease over time from a maximum of 2.06 m 529  $\pm 0.22$  m LAT at 2.08 m depth to 1.46 m  $\pm 0.24$  m LAT at 1.05 m depth, associated 530 with an increase in the abundance of Rhizophora and a decrease in Heritiera 531 abundance.

532

533 The MAT results demonstrate that one of the samples from Llagiyye (Figure 8) and 534 four of the samples from Ambeau (Figure 7) do not have modern analogues within the 535 contemporary training set. With the exception of sample 0.77 m in the Ambeau core, the samples with no modern analogues are located in the deepest sections of the cores 536 537 (Ambeau: 3.55 m, 3.7 m and 3.99 m, Llagiyye: 2.08 m) and are identified by high 538 abundances of Acrostichum Aureum (Ambeau) and Heritiera (Llagiyye) with reduced 539 abundances of Rhizophora. Ellison (1989) identifies samples with high Acrostichum 540 aureum abundances as indicative of grassland above the mangrove forest, an 541 environment that was not sampled within the transects. The predictions assigned to 542 these samples must consequently be treated with caution. The sample at 0.77 m depth 543 does not have a modern analogue due to the high abundances of Sonneratia and 544 Rhizophora. Further sampling of mangroves in the region with Sonneratia as a 545 seaward mangrove component will be necessary to clarify this issue, due to the fact 546 that it is only a dominant genus at Kakenauwe. 547

548 Whilst we have demonstrated that the mangrove pollen transfer function can be used to reconstruct palaeo mangrove elevations, a number of factors must be considered 549

550 when interpreting the pollen data. When dealing with pollen assemblages, spatial and 551 temporal variability must be considered. Spatial variability within the contemporary 552 transects is a possible factor with species represented within the mangroves but not on 553 the transect, removed from the surface assemblages. For example, at Mantigola, 554 Sonneratia pollen was found in small quantities within the surface assemblages even 555 though there was only one Sonneratia tree identified within 100 m of the transect, 556 suggesting that pollen vectors within mangroves diffuse the issue of spatial 557 variability. However, Grindrod (1988) does state that Sonneratia pollen is 558 overrepresented in mangrove sediments compared to its surface distribution and, as 559 such, spatial issues may cause problems with less prolific pollen producers. As with 560 all flowering plants, seasonal effects may affect the relative abundances of surface 561 pollen assemblages with Tomlinson et al. (1979) suggesting that species in the family 562 Rhizophoraceae have distinct flowering seasons in Queensland.

563

564 As demonstrated at the Kakenauwe contemporary transect, the introduction of an 565 allochthonous component is a real possibility. Comparing the three sites, it would 566 appear that the important factor in this introduction is the presence of a fluvial system within close proximity to the Kakenauwe transect, allowing the inwash of terrestrial 567 568 pollen taxa onto the tidal flat. This is of some concern when considering 569 reconstructions outside of the WMNP, as it is not possible to identify whether a 570 sample within a fossil core was within close proximity to a similar system. The 571 introduction of allochthonous taxa shows the highest potential on tidal flats with 572 mangrove pollen production overwhelming the introduced taxa within the mangrove. 573 The signature of the allochthonous component, with high abundances of *Heritiera* is 574 not representative of a mangrove ecosystem within the region. Great care must

575 therefore be taken when applying the transfer function with further analysis of the 576 output to determine whether the predictions are realistic. This issue may be resolved 577 through the use of multiproxy techniques such as organic content, grain size analysis 578 and geochemical analysis as demonstrated in this study.

579

580 Whilst it has been highlighted that the use of mangrove pollen has potential problems 581 for reconstructing palaeo mangrove elevation, the first ever mangrove pollen transfer 582 function demonstrates that palaeo mangrove elevations can be reconstructed precisely. 583 The statistical reconstruction errors are lower than those typically associated with 584 tropical environment sea-level reconstructions using corals and qualitative pollen 585 analysis (e.g. Ellison, 1989; Fairbanks, 1989; Chappell and Polach, 1991; Bard et al., 586 1996). The error in this study of 31% of the tidal range is a dramatic improvement on 587 the errors highlighted by Ellison (1989) in Tonga of 100% of tidal range ( $\pm 0.3$  m). 588 This allows for analysis of lower magnitude changes due to the precision of the 589 transfer function ( $\pm 0.22$  m). 590

# 591 **6.** Conclusion

592 We demonstrate that it is possible to quantitatively reconstruct palaeo mangrove 593 elevation by using mangrove pollen assemblages within a transfer function. The 594 conclusions drawn from this study are:

595

596 1. The mangrove plants of Southeast Sulawesi show species zonations parallel to the

597 shoreline. This species zonation is also reflected in the pollen surface assemblages.

598 *Rhizophora* trees dominate the front of the mangrove ecosystem, often co-existing

599 with *Bruguiera* or *Sonneratia*. The mid and rear mangrove is dominated by *Avicennia* 

and *Ceriops* with *Heritiera* and *Lumnitzera* also showing localised distributions. The
dominance of *Rhizophora* trees is reflected in the pollen assemblages. *Rhizophora*pollen is dominant in the seaward mangrove at all three sites. *Bruguiera/Ceriops* and *Avicennia* show higher abundances in the landward sections of the mangrove at both
Laulua and Mantigola.

605

606 2. CCA analysis of the regional training set, comprising mangrove pollen

607 assemblages from three coastal mangroves in Southeast Sulawesi, Indonesia,

608 demonstrate a statistically significant relationship with elevation. Elevation accounts

for 14.5% of the explained variance in the pollen assemblages with a p value of 0.002.

610

611 3. We developed the mangrove pollen transfer function for elevation using the

612 Maximum Likelihood method. Statistical analysis of the transfer function suggests

613 precise reconstructions of palaeo mangrove elevation are possible (±0.22 m).

614 We applied the transfer function to two cores taken from the Wakatobi Marine

615 National Park. We demonstrate that the mangrove pollen transfer function is capable

616 of precise reconstruction of palaeo mangrove elevations. This transfer function has

617 important implications for studies of mangrove reconstructions in the tropics,

618 allowing for high-resolution reconstructions of palaeo mangrove elevations at study

619 sites where foraminifera and diatoms are prone to post depositional errors. This

620 technique also improves on the errors typically associated with tropical

621 palaeoenvironmental reconstructions.

622

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641	Figure Capt	ions
642	Fig.1	Location map of study areas showing a) Kaledupa b) Buton c)
643		Kakenauwe d) Laulua e) Llagiyye and Ambeau f) Mantigola
644		
645	Fig. 2	a) Unconstrained cluster analysis based on unweighted Euclidean
646		distance showing the pollen assemblages versus order of samples on
647		the dendrogram at Laulua excluding species under 2% b) DCA clusters
648		c) Elevational range of zones identified by cluster analysis and DCA d)

649		Schematic diagram of sample locations and vegetation distribution
650		with tidal levels
651	Fig. 3	a) Unconstrained cluster analysis based on unweighted Euclidean
652		distance showing the pollen assemblages versus order of samples on
653		the dendrogram at Mantigola excluding species under 2% b)DCA
654		clusters c) Elevational range of zones identified by cluster analysis and
655		DCA d) Schematic diagram of sample locations and vegetation
656		distribution with tidal levels
657	Fig. 4	a) Unconstrained cluster analysis based on unweighted Euclidean
658		distance showing the pollen assemblages versus order of samples the
659		dendrogram at Kakenauwe excluding species under 2% b) DCA
660		clusters c) Elevational range of zones identified by cluster analysis and
661		DCA d) Schematic diagram of sample locations and vegetation
662		distribution with tidal levels
663	Fig. 5	Canonical correspondence analysis results for the combined mangrove
664		dataset
665	Fig. 6	a) scatter plot and b) residuals showing the relationship between the
666		observed versus the pollen predicted values using ML from the
667		combined pollen dataset
668	Fig. 7	Stratigraphy, pollen assemblages, palaeo mangrove elevations, MAT
669		results (no modern analogues highlighted in grey) and boundary
670		conditions for the Ambeau core. Stratigraphy drawn according to
671		Troels-Smith (1955)

672	Fig. 8	Stratigraphy, pollen assemblages, palaeo mangrove elevations, MAT
673		results (no modern analogues highlighted in grey) and boundary
674		conditions for the Llagiyye core. Stratigraphy drawn according to
675		Troels-Smith (1955)
676	Table 1	Transfer Function Summary Statistics
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RMSE (m)	R <sup>2</sup>	Ave bias	Jack R <sup>2</sup>	Jack ave bias	RMSEP (m)
0.2	0.83	0.007	0.8	0.004	0.22

Table 1. Transfer function summary statistics