

1 **TITLE**

2 Preservation of autogenic processes and allogenic forcings within set-scale aeolian architecture I:
3 numerical experiments

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12 **ABSTRACT**

13 A reduced complexity aeolian dune stratification model is developed and applied to
14 explore the role of dune morphodynamics in the creation of synthetic sections of aeolian
15 stratigraphy originating from three sets of environmental forcing: 1) steady wind transport
16 capacity, 2) steady bed aggradation and variable wind transport capacity, and 3) steady wind
17 transport capacity and bed aggradation. In each scenario, the forward motion of initial, highly
18 disorganized dunes generates a significant record exclusively containing autogenic signals that
19 arise from early dune growth, deformation, and merger. However, continued dune growth scours
20 deeply, and shreds all records of early dunes. Afterward, dunes self-organize into quasi-stable
21 groups. Forward motion of dune groups create, truncate, and amalgamate sets and co-sets of

1 cross-strata, quickly forming a second, significantly more robust stratigraphic record, which
2 preserves a comingling of signals sourced from ongoing autogenic processes and each scenario's
3 specific set of environmental forcings, the allogenic boundary conditions of the sand sea.
4 Although the importance of self-organization on modeled aeolian stratification is clear in the few
5 presented scenarios, self-organization may be throttled via variability within environmental
6 forcings, as thoroughly documented within a companion paper Cardenas et al. (This issue).
7 Therefore, additional work is warranted as this numerical experiment only begins to sample
8 possible sets of environmental forcing, boundary conditions, and initial conditions, geomorphic
9 responses, and consequential preservation possible within the presented surface-stratigraphic
10 dune modeling framework.

11 **KEYWORDS (5)**

12 Aeolian, dune, stratigraphy, exploratory model, self-organization

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INTRODUCTION

1
2 Aeolian dune fields emerge and form patterns by autogenic processes operating within a
3 set of allogenic boundary conditions, which, broadly speaking, are ultimately derived from
4 climatic, tectonic, and base-level basin-scale processes (Kocurek, 1999; Jerolmack and Paola,
5 2010; Rodríguez-López et al., 2014). A fundamental challenge of stratigraphy, and a common
6 task of workers is to unravel the interplay of autogenic and allogenic signals frozen within
7 sections of aeolian sedimentary rock to reconstruct the morphology of ancient dunes and the
8 allogenic conditions that existed within the ancient environment (Eastwood et al., 2012).
9 However, within a dry sand sea composed of readily erodible sediments, the tumultuous motion
10 of dunes may cause punctuated, non-uniform scouring and filling within the sediment
11 accumulation, plausibly cannibalizing previously deposited material and shredding
12 environmental signals. To explore the interplay of autogenic and allogenic processes, and
13 incompleteness of the aeolian rock record, a reduced complexity model of bedform strata-
14 formation is extended from extant models of bedform topography (Jerolmack and Mohrig,
15 2005a; Swanson et al., 2017) and applied to explore the role of dune morphodynamics in the
16 creation of a synthetic aeolian rock record and shredding of environmental signals originating
17 from three sets of allogenic boundary conditions. In a companion article within this issue,
18 Cardenas et al., (Companion), present detailed mapping of set-scale architecture of the Jurassic
19 Page Sandstone which is used to similarly parse the relative contributions of competing
20 autogenic and allogenic processes.

21 The creation and preservation of an aeolian rock record relies on several environmental
22 factors. Firstly, sufficient sediment must be made available and transported to allow for sand sea
23 construction. Secondly, sediment accumulation occurs if appropriate spatial and temporal

1 changes in sediment transport capacity allow for the formation of a sedimentary body. And
2 finally, accommodation is needed to preserve this sediment accumulation (Kocurek, 1999).
3 Environmental signals encoded within the aeolian rock record arise from changes in external
4 forcings, i.e. the allogenic boundary conditions of the sand sea, such as sediment supply and
5 annual cyclicality of sediment transporting wind (Rubin, 1987; Eastwood et al., 2012; Ping et al.,
6 2014; Courrech du Pont et al., 2014; Swanson et al., 2016), and areal extent of sand sea
7 development (Ewing and Kocurek, 2010). Although direct linkages between changes in
8 environmental forcing and dune pattern response are not entirely understood, an unsteady
9 external forcing is thought to drive geomorphic responses (Ewing and Kocurek, 2010), such as
10 changes in dune size, shape, spacing, and motion, which, if preserved, are encoded as spatially
11 variation in the geometry and arrangement of inclined strata and truncation surfaces that make up
12 aeolian architecture.

13 Recent studies have identified architectural elements within aeolian sections that arise
14 from dune autogenic processes known as bedform interactions (Brothers et al., 2017; Day and
15 Kocurek, 2017): the way individual bedforms collide, merge, split or otherwise interact within
16 the context of dune pattern formation (Kocurek et al., 2010). Although representing only a subset
17 of unsteady dune motion imparted by dune processes, this substantial progress toward linking
18 autogenic dune processes to aeolian stratigraphy highlights a need for tractable hypotheses that
19 provide workers with testable linkages between autogenic and allogenic processes, and the
20 aeolian rock record (Rodríguez-López et al., 2014). Ideally, these hypotheses would arise from
21 observing modern dune fields and their recent deposits (Brothers et al., 2017). However, due to
22 the vast time and spatial scales of aeolian systems, a viable alternative is to implement a forward
23 model of bedform stratification to explore the roles of dune morphodynamics and environmental

1 forcing in the creation of a synthetic aeolian rock record. However, limitations exist within prior
2 forward models of bedform stratigraphy. For example, while the geometric model of Rubin
3 (1987) provides workers with a tool to forward model aeolian architecture arising from the
4 motion of dunes using an interpreted or assumed dune morphology, it does not include autogenic
5 or allogenic processes. Similarly, the bedform stratification model of Jerolmack and Mohrig
6 (2005b) uses a continuum granular flow model to reproduce stratigraphy that arises from the
7 forward motion of deforming bedforms, but does not resolve initial bedform growth, nor
8 geomorphic response to changes in environmental forcing. Therefore, a reduced complexity
9 model of one-dimensional (1D) bedform topography is modified to create two-dimensional (2D)
10 vertical sections of synthetic stratigraphy that encode information from both autogenic processes
11 and imposed allogenic conditions. This surface-stratigraphic model is used to conduct a set of
12 numerical experiments, varying (allogenic) boundary conditions of transport capacity and bed
13 aggradation. The experimental results suggest that the earliest record of dune field growth is
14 eroded by continued dune (autogenic) self-organization into long-wavelength, low amplitude
15 groups. After group formation, rates of dune self-organization wane, processes operating within
16 the dune field become sensitive to environmental forcing, and comingled autogenic and
17 environmental signals propagate into the synthetic rock record.

18 **METHODS**

19 *Bedform Strata-formation Model*

20 The bedform strata-formation model adopts the bedform surface modeling strategy of
21 Jerolmack and Mohrig (2005a) and Swanson et al. (2017), which casts bedform growth and
22 motion as the deformation of a dynamic boundary between sediment and its transporting fluid,
23 but does not resolve the fluid flow field. The motion of this boundary is driven by

1 morphodynamic feedbacks between bedform topography, η , bed shear stress, τ_b , and saturated
2 sediment flux, q_s . This feedback is formed by (1) casting q_s as a power law function of τ_b
3 (Meyer-Peter and Müller, 1948), (2) expressing τ_b as a function of η , and (3) calculating
4 temporal change in η as a consequence of spatial change in q_s . This system reproduces the
5 fundamental morphodynamic behavior of bedforms including growth, interaction, and eventual
6 self-organization to a dynamic equilibrium bedform morphology. Additionally, the system
7 reproduces the scaling of topographic roughness through space and time seen in natural fluvial
8 (Jerolmack and Mohrig, 2005a) and aeolian dunes (Swanson et al., 2017). Because the bedform
9 surface modelling strategy adopted by Jerolmack and Mohrig (2005a) and Swanson et al. (2017)
10 has proven to provide a robust characterization of dune autogenic processes, it is an ideal tool to
11 explore the coevolution of bedform topography and stratigraphy under various environmental
12 forcings.

13 The original bedform modeling framework presented by Jerolmack and Mohrig (2005a)
14 is here modified to evolve both topography and stratigraphy driven by two allogenic boundary
15 conditions: sediment transport capacity and bed aggradation rate. In this paper, a total of three
16 scenarios are explored, each comprised of three deposodes, each of which is herein defined as an
17 individual episode of deposition of duration 2.5×10^4 times steps, yielding a total simulation time
18 of 7.5×10^4 timesteps per model scenario. The first scenario simulates bedform growth from a
19 roughened sandy bed with steady sediment transport capacity, $\tau_{aj} = 0.3$, and zero bed
20 aggradation, $r_a = 0$. The second scenario includes deposodes comprised of a single period of
21 sinusoidal variation ($\Delta\tau_a = 20\%$) of transport capacity combined with a steady bed aggradation
22 rate, $r_a = 5 \times 10^{-5}$. This environmental forcing is chosen to conceptualize an aeolian system
23 subjected to relative increases and decreases in wind strength over climate oscillations resulting

1 in waxing and waning transport capacity (Kocurek, 1999). To complete the numerical
 2 experiment, a third scenario considers steady sediment transport capacity and constant bed
 3 aggradation, $r_a = 5 \times 10^{-5}$.

4 During each simulation, conservation of sediment is approximated by a finite volume
 5 method. The procedure adopted to solve the bedform surface evolution equation closely follows
 6 the original method presented by Jerolmack and Mohrig (2005a). All simulations use the same
 7 set of parameters listed in Table 1 unless otherwise indicated. At each time step boundary shear
 8 stress, τ_b , is computed for each node as a generic expansion of topography (Jerolmack and
 9 Mohrig, 2005a),

$$10 \quad \tau_{b_i} = \tau_{a_j} \left(1 + A(\eta_i - \eta_{a_j}) + B \left(\frac{\eta_i - \eta_{i-1}}{\Delta x} \right) \right). \quad (1)$$

11 For simulations 2 and 3, the cumulative sediment added during previous timesteps, $\eta_{a_j} = j r_a$, is
 12 removed from the boundary shear stress calculation, otherwise, each time step would cause
 13 global increases in boundary shear stress. The practice of expressing boundary shear stress as a
 14 function of topographic height and slope is deeply rooted in early studies of fluid motion over
 15 bedform topography (Exner, 1925). However, boundary shear stress is also found to scale with
 16 the aspect ratio of bedforms, $\tau_b \propto h\lambda^{-1}$, where h and λ are the height and wavelength of a
 17 bedform (Jackson and Hunt, 1975; Kroy et al., 2002). Therefore, any increase in bedform crest
 18 height or surface slope, will create a proportional increase in boundary shear stress over the stoss
 19 slope of the bedform. This fundamental behavior of total boundary shear stress increasing with
 20 flow blockage and shoaling are described by shape parameters A and B , respectively (Jerolmack
 21 and Mohrig, 2005a). The boundary shear stress-topography relationship approximates the along
 22 stoss slope trend in boundary shear stress derived from sediment flux over a stoss slope of an

1 aeolian dune observed by Lancaster et al. (1996). To approximate the transport conditions within
 2 a lee shadow zone, nodes with boundary shear stress less than zero are set to zero,

$$3 \quad \tau_{b_i} = \begin{cases} \tau_{b_i}; \tau_{b_i} > 0 \\ 0; \tau_{b_i} \leq 0 \end{cases}, \quad (2)$$

4 as boundary shear stress along a lee slope is always computed as negative (Jerolmack and
 5 Mohrig, 2005a). Closely following the bedform modeling strategies of Diniega (2010),
 6 Jerolmack and Mohrig (2005a), and Hersen (2004), lee slopes that exceed a threshold angle,
 7 $\theta_c = 32^\circ$, relax via an down-wind calculated diffusion, written as

$$8 \quad q_{a_i} = \begin{cases} E \left(\left(\frac{\eta_i - \eta_{i+1}}{\Delta x} \right)^2 - \tan^2 \theta_c \right) \left(\frac{\eta_i - \eta_{i+1}}{\Delta x} \right) & ; \text{atan} \left(\frac{\eta_i - \eta_{i+1}}{\Delta x} \right) \geq \theta_c. \\ 0; \text{atan} \left(\frac{\eta_i - \eta_{i+1}}{\Delta x} \right) < \theta_c \end{cases} \quad (3)$$

9 A large avalanching coefficient $E = 20$ is chosen so that lee slopes relax by an avalanche flux,
 10 q_{a_i} , to an angle of repose in approximately single time step (Jerolmack and Mohrig, 2005a;
 11 Diniega, 2010). Lee slopes below the threshold angle do not trigger the calculation of avalanche
 12 fluxes (Eq. 3). The magnitude of all fluxes, q_{s_i} is then computed for each node as $q_{s_i} =$
 13 $m\tau_{b_i}^n + q_{a_i}$. Sediment flux is computed using the local values of boundary shear stress using the
 14 power-law relationship of Meyer-Peter and Müller (1948) with a coefficient, $m = 1$ and
 15 exponent, $n = 3/2$ (Jerolmack and Mohrig, 2005a). The chosen power-law relationship is
 16 comparable to the ballistic formula of Bagnold (1941) and was chosen to represent saturated
 17 sediment flux over bedform topography (Kroy et al., 2002). The elevation change at each node is
 18 calculated by,

$$19 \quad \Delta\eta_i = \frac{-\Delta t}{(1-p)\Delta x} (q_{s_i} - q_{s_{i-1}}) + \frac{\Delta t D}{(\Delta x)^2} (\eta_{i+1} + \eta_{i-1} - 2\eta_i) + r_j, \quad (5)$$

1 which combines a first order upwind finite difference of sediment flux q_{x_i} , accounting for
2 porosity, p , with a first order approximation of topographic diffusion with diffusivity D .
3 Topographic diffusion is superimposed for enhanced numerical stability (Press et al., 1996;
4 Jerolmack and Mohrig, 2005a).

5 *Table 1*

6 *Model Grid, Boundary, and Initial Conditions*

7 The 1D model domain is composed of 1001 nodes with uniform spacing Δx . A periodic
8 boundary condition is formed by allowing the first and last nodes to exchange sediment as if
9 contiguous. This boundary condition allows bedforms to repeatedly cycle through the domain,
10 which represents the temporal evolution dunes within an interior portion of a dry sand sea, where
11 sediment accumulation and eventual preservation are likely to occur in natural settings. All runs
12 are initialized with a roughened bed of low amplitude random topography uniformly distributed
13 about a mean of 0.1. Different initial conditions yield different topographic fields for the same
14 model scenario, and therefore create different stratigraphy. To sample model dependence on the
15 initial bed topography, an ensemble of model results are obtained for each scenario by running a
16 set of 12 realizations of initial bed topography, resulting in a total of 36 runs. Ensembles of
17 results allow for signal averaging, which is a time domain signal processing technique that
18 strengthens signals relative to noise, which in this case, noise could be conceptualized as the
19 model dependence on initial bed topography. Larger ensembles of initial conditions and model
20 runs were explored; however, a set of 12 initial conditions was found to adequately sample the
21 variability in topography and stratigraphy that arises from specific initial bed configurations.
22 However, for consistency, all presented stratigraphic sections are generated using the sixth initial

1 condition of bed topography from the set of twelve initial conditions used to generated
2 ensembles of simulation results.

3 *Topographic and Stratigraphic Post-Processing*

4 Post-processing of both topography and stratigraphy is performed at specified time
5 intervals. To capture rapid changes during early simulation time, model time steps are post-
6 processed every $100\Delta t$ for $t < 5000\Delta t$. Afterward, to reduce computational cost, this interval is
7 increased to $700\Delta t$, resulting in a total of 200 samples per run. For each sample, consecutive
8 pairs of dune crest and trough elevation are identified and differenced to calculate dune height,
9 h ; likewise, dune-trough positions are differenced to calculate dune wavelength, λ . A
10 stratigraphic section is then constructed using all timesteps of dune topography up to the time
11 step of interest. Afterward, the elevation of all erosional surfaces, otherwise known as bounding
12 surfaces, are identified within the section. For each sample, the cumulative number of dunes that
13 have passed each grid node and the number of bounding surfaces above each node are counted.
14 Successive bounding surface elevations are differenced vertically to calculate the thickness of
15 sets of cross-strata, which are herein referred to as set and set thickness, l_{st} . Any set with $l_{st} <$
16 0.01 is discounted, as it is unlikely that such a thin unit will be identified as an independent set
17 of cross-strata in actual sedimentary deposits. Additionally, in analyses that relate distributions of
18 dune height to distributions of l_{st} , dune heights are filtered to only include events that occur after
19 the creation of the earliest bounding surface within each stratigraphic section.

20 To facilitate comparison with physical systems, the vertical, horizontal, and time scales
21 of modeled stratigraphic sections are nondimensionalized by the dynamic equilibrium values of
22 dune height, wavelength, and deposide period, respectively; creating nondimensionalized

1 elevation, $\eta^* = \frac{\eta}{h_{eq}}$, horizontal distance, $x^* = \frac{x_i}{\lambda_{eq}}$, and simulation time, $t^* = \frac{\sum_j \Delta t}{2.5 \times 10^4 \Delta t}$. For each
2 run, h_{eq} and λ_{eq} are found by a non-linear least squares fitting of the model, $s(t^*) =$
3 $s_{eq}(1 - e^{-at^*})$, where the variables s and s_{eq} represent the time-series and dynamic equilibrium
4 values of the morphological scale of interest, respectively. Deposode period is obtained from the
5 wavelength of τ_a in scenario 2. This practice places model results in a conceptual reference
6 frame of equilibrium dune morphology and deposode duration.

7 RESULTS

8 *Dynamic Dune Scales*

9 During early simulation time, scenarios show similar temporal changes in spatially and
10 ensemble averaged dune height, \bar{h} , and dune wavelength, $\bar{\lambda}$ (Figs. 1A, B). Throughout the
11 simulation, \bar{h} is measured as the vertical distance between the spatially and ensemble averaged
12 dune crest and trough elevations (Fig. 1C), and $\bar{\lambda}$ is dune crest to crest horizontal distance.
13 Starting from the initial condition, each simulation exhibits a brief duration of very slow change
14 in bed configuration (Figs. 1A, B). This corresponds to low values of sediment flux and
15 boundary shear stress computed along initial low-lying topography (Eq. 1). Gradually, low
16 amplitude bedforms self-organize from initial bed roughness, slowly increase in size, and begin
17 to coalesce. After initial growth and coalescence, \bar{h} and $\bar{\lambda}$ rapidly increase, then saturate,
18 reaching a dynamic equilibrium by $t^* \approx 0.2$ (Figs. 1A, B). After reaching dynamic equilibrium,
19 dune \bar{h} and $\bar{\lambda}$ begin to respond to the set of environmental forcings unique to each scenario. For
20 example in scenarios 1 and 3, which do not include time varying values of τ_A , dunes exhibit
21 exponential growth, then saturation to an equilibrium morphology; a characteristic response of
22 bedforms evolving under steady unidirectional flows (Baas 1994) (Fig. 1A). In contrast, after

1 initial growth, dunes in scenario 2 responds to sinusoidal variation in τ_A with a similar sinusoidal
2 oscillation about an equilibrium value of \bar{h} (Fig. 1A). Notably, the equilibrium value of $\bar{\lambda}$ is
3 significantly larger in scenario 2, but relatively insensitive to fluctuations in τ_A (Fig. 1B). In
4 strong contrast to mean dune scales, for each scenario, the time series of the standard deviation
5 of ensemble dune height, σ_h , is nearly identical throughout all simulation time. At first, σ_h
6 rapidly increases to a maximum value at approximately $t^* \approx 0.1$. Afterward, at first, σ_h
7 decreases at a rapid rate, then at approximately $t^* \approx 0.2$, the slope of σ_h decreases slowly for the
8 remainder of simulation time.

9 *Figure 1*

10 *Topographic and Stratigraphic Co-evolution*

11 **Early Simulation Time.**---As with early changes in mean dune scales, stratigraphic
12 sections during the initial stages of bedform growth and merger in each scenario are nearly
13 identical. Within the first hundredths of a deposode, bedforms spontaneously emerge from the
14 rough sandy bed and develop internal stratification. At this time, dunes exhibit significant
15 morphological variability, underscored by differences in height, wavelength, and the crest and
16 trough elevations (Figs. 1D,2A). Due to this variability, these early dunes differ in celerity,
17 allowing dunes to collide and merge. In these early timesteps, individual dunes increase in size
18 by scouring into bed material below initial bed elevation (dunes on left and right side, Fig. 2),
19 and from dune merger (left side, Figs. 2B, C). Occasionally, dune-troughs may scour through the
20 stoss surfaces of down-wind dunes, creating new truncation surfaces, and new cross-sets with
21 significant thickness, which can approach the height of individual dunes. This newly created
22 stratigraphy is laterally discontinuous, but in places contains a substantial record of early dune

1 self-organization. For example, portions of the domain document the passage of multiple dunes,
2 as indicated by multiple, vertically stacked bounding surfaces (middle region, Fig. 2C).

3 *Figure 2*

4 After initial dune coalescence, continued dune growth is sustained by rapid scouring of
5 bed materials (Fig. 1C) and consequent cannibalization of the earliest synthetic rock record in all
6 scenarios (Fig. 3A). Across the domain, dune-troughs descend nonuniformly, creating self-
7 organized groups of dunes with higher troughs and groups with lower troughs (arrows, Fig. 3A).
8 Further dune growth ceases as troughs descend significantly below mean bed elevation, and τ_b
9 becomes vanishingly small, which leaves substantial spatial variations in scour depth and
10 individual-dune celerity throughout the domain. Afterward, spatial variations in scour depth
11 propagate in the transport direction at a group celerity. Trough motion imparted by group celerity
12 occurs in the same direction as individual dune motion, is achieved by the same morphodynamic
13 feedback (Equations 1 through 5), simply manifesting as a long wavelength ($\sim 5\lambda_{eq}$ to $10\lambda_{eq}$),
14 low amplitude ($\sim 0.1h_{eq}$ to $0.2h_{eq}$) variation in bed elevation (Fig. 4). However, the motion of
15 dune groups is accomplished by way of routing sediment through individual dunes. Within a
16 dune group (Fig. 4), upwind dunes are arranged in increasing elevation in the down-transport
17 direction. Due to these consecutive increases in elevation, each dune experiences more total
18 boundary shear stress (Eq. 1 A-term) and scours more vigorously compared to its upwind
19 neighbor. This ultimately causes deflation of the upwind portion of the dune group and routes an
20 excess of sediment through ascending dunes toward the leeward portion of the dune group.
21 Along the leeward segment, each consecutive dune is slightly lower in elevation (Fig. 4).
22 Because of this, each down-wind dune receives more sediment than it can transport over its stoss
23 slope. By conservation, this causes dunes on the leeward portion of the dune group to ascend.

1 Therefore, through the paired motion of scouring upwind dunes and ascending leeward dunes
2 allows the dune group to move forward. Therefore, after the formation of dune groups (Fig. 3A),
3 lower dune-troughs ascend (Fig. 3B), and begin to create a substantial record (arrows, Fig. 3C).
4 The lowest erosional surface shown in Figure 4C represents the furthest descent of dune-troughs
5 and the lowest portion of initial dune groups. This scour depth is never revisited, and represents
6 the lowest bounding surface in all model scenarios.

7 *Figure 3*

8 **Long-Term Topographic and Stratigraphic Co-evolution.**---In each scenario,
9 immediately after group formation, the passage of individual dune-troughs within groups create
10 co-sets (Figs. 4C, 5). Within a dune group, dune-troughs at the lowest portion of a dune group
11 are slowly carried upward as the dune group moves forward, as group celerity is faster than
12 individual dune celerity. This combined motion of dune-troughs within dune groups produces
13 individual sets that start near zero thickness near the bottom of a co-set, and typically show
14 upward increases in bounding surface slope and thickness until terminating into a modern dune
15 (annotated dune group, Fig. 4), or bounding surface. As dunes continue to self-organize, dune-
16 trough elevation variability decays with simulation time, as indicated by decreases in σ_h (Fig.
17 1D). Within all sections, this decay in dune disorder is recorded within the architecture of the
18 synthetic sections as an upward transition from co-sets composed of a few, large sets separated
19 by steep bounding surfaces toward sub-horizontal bounding surfaces, and more numerous,
20 thinner sets packaged within later co-sets (Figs. 4,5,6,7). However, the exact way this autogenic
21 signal of self-organization is presented within synthetic stratigraphy is unique to each scenario.
22 Many of the observations within this section are based on videos that show the longer-term
23 ($t^* = 0.2$ to 3) co-evolution of dune topography and stratigraphy. Although only the final

1 synthetic sections are shown below, video files of each scenario are available in supplementary
2 materials.

3 *Figure 4*

4 The final stratigraphic section of scenario 1 shows architecture generated from allogenic
5 boundary conditions of steady transport capacity, zero net bed aggradation, and autogenic self-
6 organization of dunes within dune groups (Fig. 5). During deposition, the deepest dune-trough
7 within each group varies between groups, and changes with simulation time due to ongoing self-
8 organization. This spatially and temporally variable group-scour depth causes frequent lateral
9 truncation of group-deposited co-sets that dominate the architecture present in Figure 6.
10 Although highly compartmentalized within laterally truncated co-sets, generally, set thickness
11 and bounding surface slope decrease, and number of sets per co-set increase upwards through the
12 section (Fig. 5). A video showing the coevolution of dune topography and stratigraphy is
13 available in supplementary materials (scenarioOne.mp4).

14 *Figure 5*

15 Despite allogenic boundary conditions of steady bed aggradation and substantial
16 sinusoidal fluctuations in ambient boundary shear stress, all records of initial dune growth and
17 motion are cannibalized within the final synthetic section of Scenario 2 (Fig. 6). However, as τ_a
18 increases during periodic fluctuations, sediment transport rates increase, which allows dune-
19 troughs to scour deeper, driving an increase in \bar{h} (Fig. 1A,C). During increases in τ_a , the
20 scouring action of dune groups outpaces trough elevation gain associated with the overall
21 aggradation of the bed (Fig. 1C). The rhythmic motion of dune-trough descent and ascent with
22 waxing and waning τ_a drives amalgamation of sets into nearly laterally continuous bounding

1 surfaces with significant undulations in elevation (Fig. 6). Similarly, the ascent and descent of
2 dune-troughs form large-scale undulations in the thickness of both individual sets and co-sets
3 (Fig. 6). Although comingled with changes imparted by fluctuated transport capacity, individual
4 set thicknesses and bounding surface slopes generally decrease, and the number of sets within a
5 co-set generally increase up-section. A video showing the coevolution of dune topography and
6 stratigraphy is available in supplementary materials (scenarioTwo.mp4).

7 *Figure 6*

8 In scenario 3, like scenario 2, despite constant bed aggradation, the earliest records are
9 cannibalized by dune self-organization and group formation (Fig. 7). However, in strong contrast
10 to sections generated by scenarios 1 and 2, group-deposited co-sets appear vastly more tabular,
11 and extend across the entire section. Within Figure 8, the upward transition from a few, thick,
12 highly inclined sets within each co-set toward co-sets containing numerous, thin, sub-
13 horizontally inclined sets generated by the ongoing self-organization of dune-troughs within
14 dune groups is exceptionally clear. A video showing the coevolution of dune topography and
15 stratigraphy is available in supplementary materials (scenarioThree.mp4).

16 *Figure 7*

17 **Fractional Dune Preservation.**---Within the first hundredths of a deposode ($t^* \approx 0$ to
18 0.05), initial topography is rapidly worked into low lying, disorganized bedforms (Fig. 1). Their
19 forward motion causes a notable increase in the average number of dunes that have visited each
20 grid node, n_d (Figs. 8A,B), and average number of sets at each grid node, n_s (Figs. 2,8C,D).
21 Likewise, fractional dune preservation, $\kappa = n_s n_d^{-1}$, increases to a local maximum (Fig. 8E).
22 Immediately afterward, precipitous declines in n_d , n_s , and κ (Figs. 8B,C,E) coincide with

1 continued dune growth (Fig. 1A), dune merger (Fig. 2), and amalgamation of sets (Fig. 3A).
2 Next, by $t^* \approx 0.1$, the forward motion of non-uniform dune-trough elevations within dune
3 groups begins to create new sets (Figs. 4B,C), which correlate to rapid increases in n_d , n_s , and κ
4 (Figs. 8A,C,E). From thereon, in each scenario, n_d increases at a nearly constant rate (Fig. 8B).
5 Conversely, set creation by forward motion of dune groups shows significant variability between
6 scenarios and over the remainder of each scenario (Fig. 8D). For the zero-aggradation case,
7 scenario 1, n_s increases monotonically with a gradually decreasing slope (Fig. 8D). In the case of
8 scenario 2, n_s oscillates about a generally increasing trend. However, in scenario 3, which
9 includes constant aggradation, n_s increases monotonically and exhibits a gradual, but continuous
10 increase in slope (Fig. 8D). In all scenarios, κ increases rapidly until $t^* \approx 0.2$; beyond which, κ
11 shows marked differences. During the remainder of scenario 1, κ decays slowly, suggesting
12 fewer dunes are preserved with increasing simulation time (Fig. 8E). Conversely, in scenario 3, κ
13 decreases at first, then past one deposode, begins to increase slowly for the remainder of
14 simulation time (Fig. 8E). Despite periodic perturbation by waxing and waning τ_a in scenario 2,
15 dune-trough elevation variability decay combined with constant aggradation allows more, but
16 thinner sets to be preserved by pulsating dune groups (Fig. 6), this behavior gives κ strong
17 oscillations superimposed on a trend similar to scenario 3 (Fig. 8E).

18 *Figure 8*

19 **Dune Height and Set Thickness Distributions.**---During each simulation, topography
20 and stratigraphy are sampled to obtain growing populations of dune height and set thickness. The
21 distribution of each sample population of dune heights is described using the coefficient of
22 variation, $c_v = \sigma_h \mu_h^{-1}$ (Fig. 9A), where σ_h and μ_h are the standard deviation (Fig. 1D) and mean
23 values of dune height (Fig. 2A) calculated using estimates of shape and rate parameters from

1 maximum likelihood gamma distribution fits. Immediately after the first timestep, c_v rapidly
2 increases to its maximum value at $t^* \approx 0.04$. This occurs in all scenarios, and corresponds to
3 initial working of a rough bed into the earliest, least organized dunes, as indicated by high values
4 of c_v (Figs. 3,10A). Afterward, during rapid dune growth, c_v decreases quickly until individual
5 dunes are organized in to groups, at $t^* \approx 0.2$ (Fig. 9A). After this precipitous decrease,
6 generally, c_v gradually decreases for the remaining simulation time (Fig. 9A). Notably, however,
7 scenario 2 shows faint oscillation, and maintains slightly larger values of c_v compared to
8 scenarios 1 and 3 (Fig. 9A). Similarly, mean set thickness, μ_{st} , estimated from maximum
9 likelihood exponential distribution fits, shows a dramatic increase, obtaining a maximum value
10 just after initial formation of dune groups at $t^* \approx 0.1$ (Fig. 9B). Afterward, μ_{st} generally
11 declines with simulation time. However, during later simulation time, scenario 2 and 3 tend to
12 have larger values of μ_{st} , which is attributable to constant aggradation. However, scenario 2
13 maintains the largest values of μ_{st} , which oscillate in response to changes in dune-trough
14 elevation driven by fluctuations in τ_a (Fig. 9B). At first, the preservation ratio, $\omega = \mu_h \mu_{st}^{-1}$
15 (Paola and Borgman 1991), rapidly decreases during very early simulation time (Fig. 8C), then
16 corresponding to the initial formation of dune groups, ω reaches a local maximum at $t^* \approx 0.1$
17 (Fig. 9C). Afterward, ω rapidly decays as ongoing dune group motion begins to truncate the
18 largest sets at the lowest portion of every section (Fig. 3C). Similar to κ , after $t^* \approx 0.2$, the
19 trajectory of ω is different from each scenario. In scenario 1 further changes in ω are very
20 similar to κ (Fig. 9D), where ongoing decay of dune-trough elevation variability creates thinner
21 sets upsection (Fig. 5). However, despite constant aggradation, ongoing decreases in dune-trough
22 elevation variability cause slower, but, monotonically decreasing ω for the remainder of scenario
23 3. Similarly, scenario 2 shows a general decrease in ω , with superimposed oscillations, which

1 correspond to subtle fluctuations in set thickness due the punctuated scour and deposition from
2 dune groups, driven by sinusoidal fluctuations in τ_a .

3 *Figure 9*

4 **DISCUSSION**

5 This numerical experiment clearly shows two distinct periods of topographic and
6 stratigraphic coevolution. In all examined scenarios, dune scale, motion, stratigraphy, and
7 preservation are exceedingly similar during the first quarter deposode. Afterward, the
8 characteristic rapid changes in dune morphology and motion quell, and the ongoing
9 morphodynamic processes operating within a dune field become sensitive to changes in
10 environmental forcing. To help identify systematic changes during the co-evolution of bedform
11 topography and stratigraphy, the stochastic theory of Paola and Borgman (1991) is applied as a
12 theoretical benchmark for dune preservation in each scenario.

13 *Benchmarking Dune Preservation*

14 Paola and Borgman (1991) envisioned set creation to arise from the passage of a train of
15 bedforms scouring to random depths, working and re-working sediment with zero net bed
16 aggradation. In this framework, the preservation ratio, ω , is related to the coefficient of variation
17 of bedform height, $\omega = 1.645 \varepsilon^{-1} c_p^2$, where the reference level, ε , is a cutoff that segments the
18 distribution of dune height into set-creating versus non-set creating portions. In the case of Paola
19 and Borgman (1991), ε is set equal to 2, allowing only scour below mean bed elevation to create
20 sets. While the modeled scenarios here are markedly different from this theoretical system, the
21 application of this stochastic framework provides a benchmark for dune preservation in the case
22 where dune-trough elevations are randomly distributed in space and time and is referred to here

1 as the PB theory (Fig. 10). Therefore, a reasonable supposition would be that any significant
2 departure from this expected relationship may reflect systematic changes in bedform morphology
3 and/or preservation, attributable to autogenic dune processes such as self-organization and/or
4 geomorphic responses to allogenic boundary conditions.

5 *Figure 10*

6 Within the first hundredths of a deposide, the forward motion of small, disorganized, and
7 rapidly deforming dunes creates populations of dune height and set thickness (Figs. 2,9C) that
8 are in reasonable agreement with PB theory ($t^* \lesssim 0.05$, Fig. 10). Immediately afterward,
9 increases in scour depth (Fig. 1C), and ongoing dune merger (decline in n_d , Fig. 8A) drive
10 increases in both μ_h and σ_h (Fig. 1). However, increases in μ_h are unevenly accommodated by
11 changes in both dune crest and trough elevation (Fig. 1C), and outpace simultaneous increases in
12 μ_{st} (Fig. 9B), which drives both a precipitous decline in ω (Fig. 9C), and significant excursion
13 from PB theory ($t^* \lesssim 0.1$, Fig. 10). During this period, the ongoing descent of dune-troughs
14 during dune group self-organization locally amalgamates sets into bounding surfaces in an
15 asynchronous manner, evidenced as κ never returns to zero (Fig. 8E). This duration of
16 substantial set amalgamation effectively clears the stratigraphic memory, shreds any systematic
17 relationship between dune topography and stratigraphy, and returns all scenarios toward
18 temporary agreement with PB theory by $t^* \approx 0.1$ (Fig. 10). Afterward, all scenarios largely run
19 subparallel to PB theory, with the exception of a brief increase in ω , at $t^* \approx 0.2$, which
20 corresponds to a highly ephemeral, yet robust record created by the passage of the first dune
21 group (Fig. 3C), which is partially cannibalized by the passage of later groups.

1 PB theory does not predict temporal changes between moments of dune topography and
2 stratigraphy, yet, aside from early periods of dune growth and group formation ($t^* < 0.1$),
3 preservation trends largely run subparallel to PB theory ($t^* > 0.1$, Fig. 10). This offset trend is
4 interpreted as a self-organization signal, which arises from ongoing homogenization of dune-
5 trough elevations (Fig. 9A), and consequential decreases in preservation (Fig. 9C). However,
6 superimposed on this generalized trend are subtle changes in ω and c_v , which correspond to each
7 set of allogenic boundary conditions. For example, the unsteady transport capacity in scenario 2
8 corresponds to fluctuations in ω (Figs. 10C, 11). Furthermore, scenario 2 terminates at the
9 highest values of ω and c_v ; suggesting that variability in environmental forcing helps maintain
10 topographic variability, and consequentially, bolsters preservation. Past $t^* \approx 0.2$, the remainder
11 of scenario 1 plots significantly below scenario 3 (Fig. 10), which is directly attributable to
12 steady aggradation in scenario 3.

13 *Path Forward and Error*

14 The co-evolution of dune topography and stratigraphy produced by this model is unlikely
15 to exactly match any specific physical analog. However, the process-based interpretations of
16 architectural elements within the experimental sections define five primary scenarios that can be
17 used as working hypotheses to guide the interpretation of any succession of aeolian strata.
18 Firstly, dune growth is a spontaneous autogenic process that cannibalizes records of the youngest
19 dunes, and therefore, shreds any early environmental record of a system. This result may help to
20 support recent field interpretations of cannibalization of early dune deposits in the Entrada
21 Sandstone (Kocurek and Day, 2018). However, a potentially useful corollary to this hypothesis is
22 that confirmed preservation of early dune accumulations may be diagnostic of an external control
23 on dune preservation, such as, water table rise, precipitation that would shutoff aeolian transport,

1 or early dune deposition within antecedent topographic lows (Cardenas et al., Companion).
2 Secondly, self-organization into groups of dunes, and subsequent group-based deposition of co-
3 sets is an important process in the creation and preservation of aeolian stratigraphy. This
4 hypothesis is comparable to preservation mechanisms proposed by previous studies which have
5 focused on set-scale preservation occurring within nested scales of fluvial morphological
6 elements including bars, channels, and channel belts (Miall, 2015; Reesink et al., 2015; Paola et
7 al., 2018; Herbert and Alexander, 2018). Additionally, the forward motion of dunes within dune
8 groups drives modest dune climb, and the scouring action of dune group troughs may create
9 outcrop scale truncation surfaces, which within this experiment, arise from autogenic processes,
10 but would typically be recognized as parasequences and super-surfaces, and therefore possibly
11 thought to originate from allogenic boundary conditions. Within the Page Sandstone the passage
12 of dune groups are thought to generate outcrop-scale bounding surfaces and intervening dune
13 strata that climb at very low angles. This co-set architecture is interpreted to represent lowered
14 base-level, and associated scour-and-fill architecture tied to the passage of dune groups within a
15 dry aeolian system (Cardenas et al., Companion).

16 The third working hypothesis is that a dune field becomes increasingly sensitive to its set
17 of allogenic boundary conditions as dune self-organization rates slow over time. This allows
18 allogenic and autogenic signals to comingle and enter the aeolian rock record as the entire field
19 matures. Fourthly, temporal changes in preservation due to self-organization provide a plausible
20 pathway for future workers to deconvolve signals arising from dune autogenic behavior from
21 changes in the imposed allogenic boundary conditions. For example, Cardenas et al.
22 (Companion) observed that set thickness variability within a well-established wet aeolian system
23 (Entrada Sandstone) is measurably less than what is expected from random scouring within a dry

1 system such as the Page Sandstone. Finally, all numerical scenarios of set variability plot below
2 that of the PB theory (Fig. 10), suggesting that self-organization of dunes within groups reduces
3 preservation compared to a truly random bedform system. Although PB theory has been
4 combined with a geometric model (Allen, 1970) to account for bedform climb (Bridge and Best,
5 1997), the chosen reference level, ε has been carefully modified to match experimental
6 topography and resulting stratification (Leclair and Bridge, 2001); further work should
7 emphasize bedform preservation under the influence of self-organization. Regardless of
8 environment or planet, self-organization of bedforms into fewer, larger forms during bedform
9 pattern formation is a ubiquitous process (Day and Kocurek, 2018), and therefore may have
10 profound implications for the reconstruction of bedform topography from cross-stratified
11 deposits.

12 These experimental results and interpretations are the products of mass-conserving
13 numerical approximations for bedform topography evolution (Jerolmack and Mohrig, 2005a).
14 Because of the heuristic nature of this model, there is no analytical solution in which to validate
15 or otherwise constrain error that arises from the numerical treatment. However, the bedform
16 surface model recreates the scaling behavior of natural fluvial and aeolian bedform topography
17 and reproduces fundamental bedform morphodynamic behavior, such as, self-organized pattern
18 development through bedform growth and interactions (Jerolmack and Mohrig, 2005a; Swanson
19 et al., 2017). However, this bedform modeling strategy cannot simulate dune behavior associated
20 with sediment transfer between dunes via sediment entrainment within turbulent wake (Mohrig
21 and Smith, 1996; Swanson et al., 2018). Therefore, application and perception of this exploratory
22 model should be tempered by its simplicity and the results of this study warrant further

1 exploration with theoretical models, physical models, natural dune fields, and ancient aeolian
2 rock records (Cardenas et al., Companion).

3 **CONCLUSIONS**

4 A one-dimensional morphodynamic model of bedform topography is adapted to create
5 two-dimensional synthetic sections of aeolian stratigraphy arising from three different sets of
6 allogenic boundary conditions: 1) steady transport capacity, 2) steady bed aggradation and
7 variable transport capacity, and 3) steady transport capacity and bed aggradation. In each
8 scenario, the initial roughened sandy bed is quickly worked into a field of small, disorganized
9 dunes. The forward motion of these initial dunes generates a significant record exclusively
10 containing autogenic signals that arise from early dune growth, deformation, merger, or
11 generically described as dune self-organization. However, despite steady bed aggradation in
12 scenarios 2 and 3, continued dune growth and self-organization into dune groups, shreds all
13 records of early dunes in all scenarios. Shortly after group formation, dunes scales reach dynamic
14 equilibrium, and ongoing rates of self-organization slow. Forward motion of individual troughs
15 within dune groups create and truncate co-sets of cross-strata, quickly forming a second,
16 significantly more robust stratigraphic record, which preserves a comingling of signals sourced
17 from slowed self-organization and each scenario's specific set of allogenic boundary conditions.
18 Interestingly, in all scenarios, preserved signals of self-organization are sourced from ongoing
19 homogenization of dune-trough elevations, and consequential decreases in preservation, which is
20 found to generally follow to a well-known stochastic theory that relates moments of bedform
21 topography to stratigraphy.

22 The numerical experiments here do not explore different wind regimes, sediment supply
23 limitations, bedform morphologies, nor the types of bedform interactions that are possible to

1 a portion of this work. However, this work does not reflect the views of Shell International
2 Exploration & Production Inc.

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24

25

FIGURE CAPTIONS

26 Figure 1. A) temporal changes in spatially averaged dune height \bar{h} , and B) wavelength $\bar{\lambda}$ with
27 deposode fraction t^* . C) spatially averaged crest and trough elevation. Difference between upper
28 (dune crests) and lower (dune-troughs) curves yields dune height, \bar{h} (back arrow). Note bed
29 aggradation during scenarios 2 and 3. D) standard deviation of dune height σ_h , plotted as a
30 function of t^* . Each scenario is plotted as the ensemble average of 12 initial conditions.

1 Figure 2. Sequential stratigraphic sections sampled every $0.08 t^*$ from scenario 1, initial
2 condition 6. A) Internal stratification formed from initial dune motion. B) center dunes migrate
3 over each other forming a significant early stratigraphic record, while dunes to the left and right
4 scour into previously unscoured bed material. C) Rightmost dunes merge, while centered dunes
5 maintain a significant stratigraphic record. Synthetic stratigraphy is color-mapped by time of
6 deposition, t^* . Stratigraphic vertical exaggeration = 25x, topographic vertical exaggeration = 1x.

7 Figure 3. Sequential stratigraphic sections showing initial dune group formation, and forward
8 motion in scenario 1, initial condition 6. A) complete cannibalization of early dune deposits and
9 initial formation of dune groups segmented by deeper dune-troughs (arrows). B) Fully formed
10 dune groups cease to scour deeper than the lowest bounding surface (arrows). C) Initial forward
11 motion of the dune group generates first co-sets from dune-troughs (arrows). Synthetic
12 stratigraphy is color-mapped by the time of deposition, t^* . Stratigraphic vertical exaggeration =
13 15x, topographic vertical exaggeration = 0.2x.

14 Figure 4. Stratigraphic section showing co-sets arising from the passage of dune groups in
15 scenario 2, initial condition 6. Synthetic stratigraphy is color-mapped by the time of deposition,
16 t^* . Stratigraphic vertical exaggeration = 50x, topographic vertical exaggeration = 0.05x.

17 Figure 5. Stratigraphic section from scenario 1, initial condition 6. Synthetic stratigraphy is
18 color-mapped by the time of deposition, t^* . Stratigraphic vertical exaggeration = 100x,
19 topographic vertical exaggeration = 0.05x.

20 Figure 6. Stratigraphic section from scenario 2, initial condition 6. Synthetic stratigraphy is
21 color-mapped by the time of deposition, t^* . Stratigraphic vertical exaggeration = 100x,
22 topographic vertical exaggeration = 0.05x.

1 Figure 7. Stratigraphic section from scenario 3, initial condition 6. Synthetic stratigraphy is
2 color-mapped by the time of deposition, t^* . Stratigraphic vertical exaggeration = 100x,
3 topographic vertical exaggeration = 0.05x.

4 Figure 8. A) average number of dunes that have passed by a grid node, n_d during early
5 simulation time. B) During later simulation time, n_d increases at approximately a constant rate
6 for all scenarios. C) n_s , the average number of bounding surfaces stacked vertically above each
7 grid node, during early simulation time. D), During later simulation time, n_s exhibits
8 significantly different behavior between the three sets of allogenic boundary conditions. E) The
9 number of bounding surfaces per bedform visit per grid node, κ , plotted as a function of t^*

10 Figure 9. Each scenario is plotted as an ensemble of 12 simulation runs. The darker curves
11 indicate the ensemble-averaged response, and the lower and upper edges of the shaded envelopes
12 indicate the 10th and 90th cumulative percentile responses, respectively. A) Coefficient of
13 variation of bedform height, $c_v = \sigma_h \mu_h^{-1}$, B) Mean set thickness, μ_{st} , and C) preservation ratio,
14 $\omega = \mu_{st} \mu_h^{-1}$, plotted as a function of t^* . Note: logarithmic vertical axes. Each scenario is plotted
15 as an ensemble of 12 simulation runs. The darker curves indicate the ensemble-averaged
16 response, and the lower and upper edges of the shaded envelopes indicate the 10th and 90th
17 cumulative percentile responses, respectively.

18 Figure 10. Preservation ratio, ω plotted as a function of c_v^2 . t^* is color-mapped along each
19 scenario. The last time step of each scenario is annotated as $t^* = 3$. Each scenario is plotted as
20 an ensemble of 12 simulation runs. The darker curves indicate the ensemble-averaged response,
21 and the lower and upper edges of the shaded envelopes indicate the 10th and 90th cumulative
22 percentile responses, respectively. Note: logarithmic axes.

TABLES

Parameter	Value
A	0.1
B	3
D	0.2
p	0.4
Δt	1
Δx	10

Table 1: Values of parameters used in all simulations. Units are arbitrary.

Figure 1 - one column

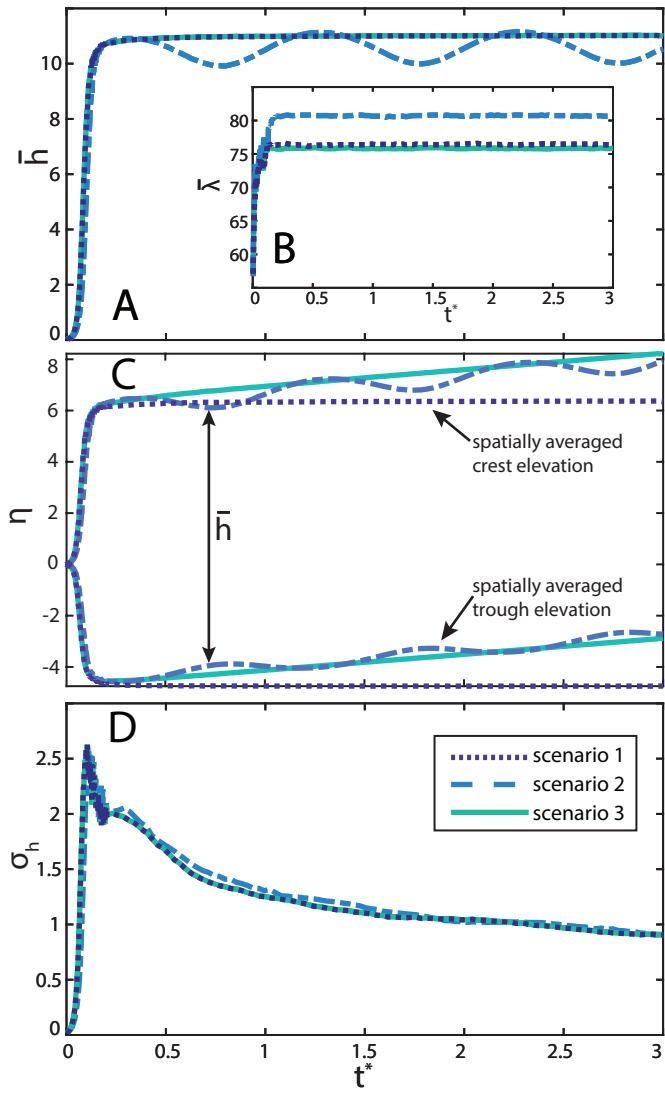


Figure 2 (2/3 page)

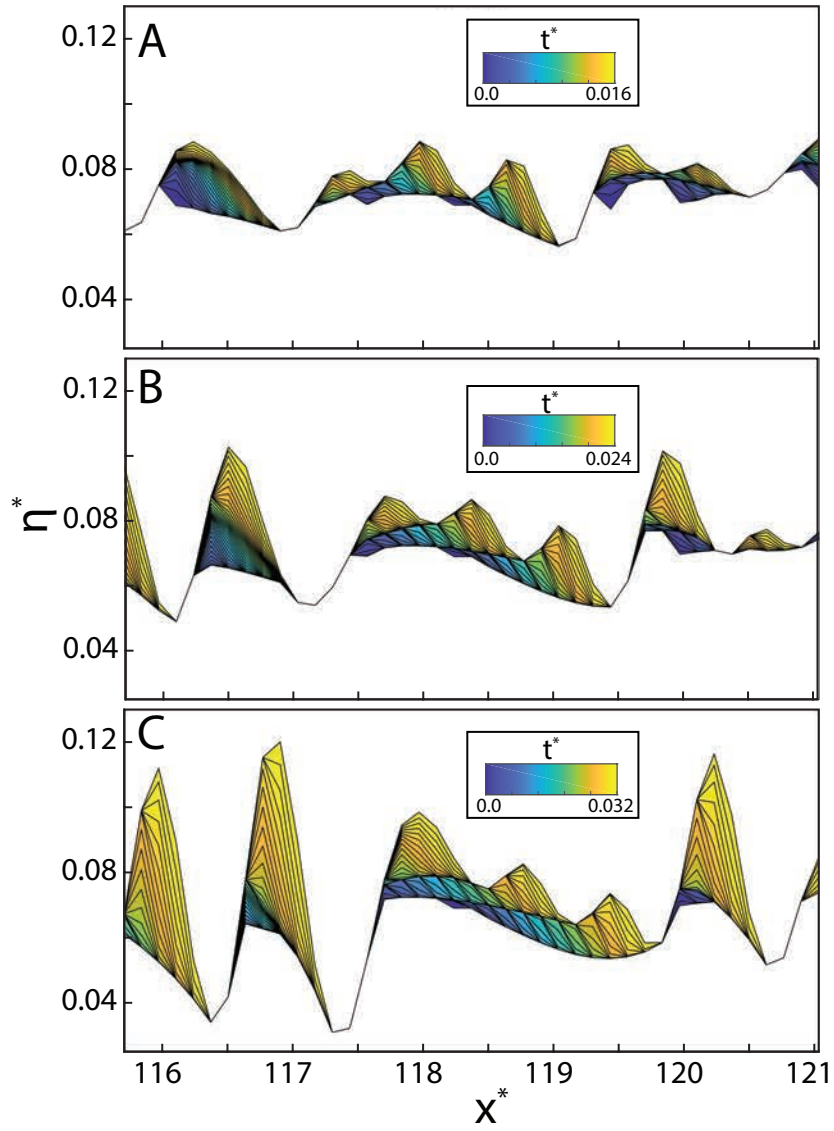


Figure 3 - 2/3 page

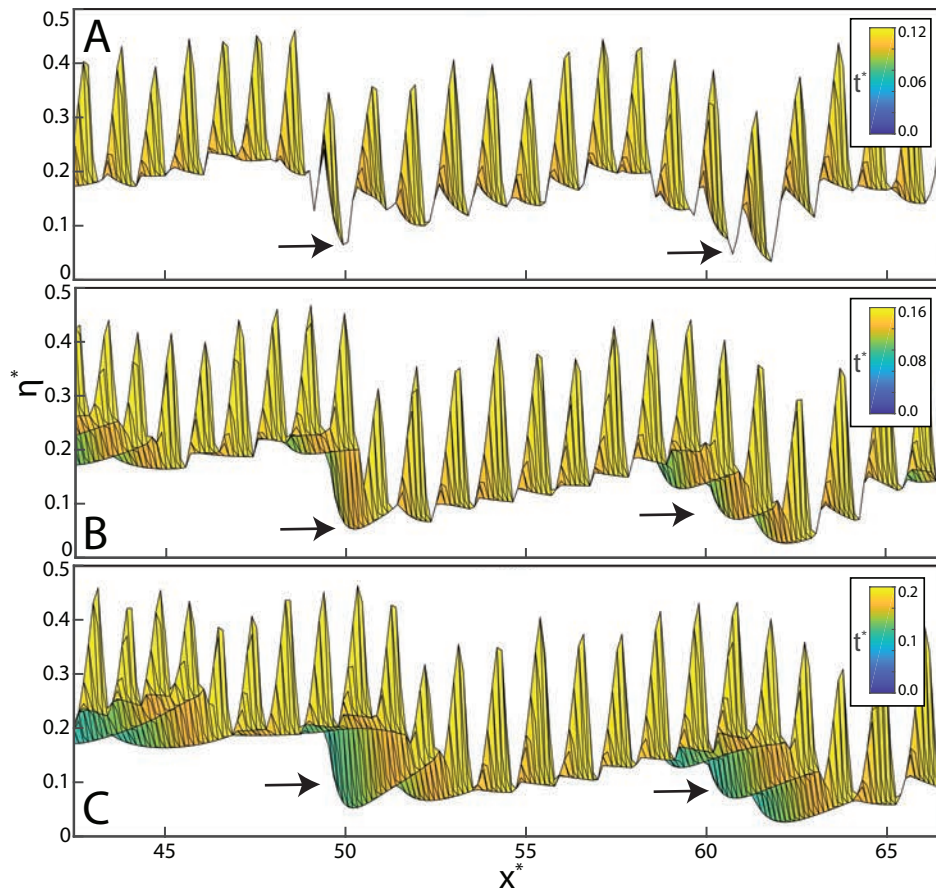


Figure 4 - 1 column

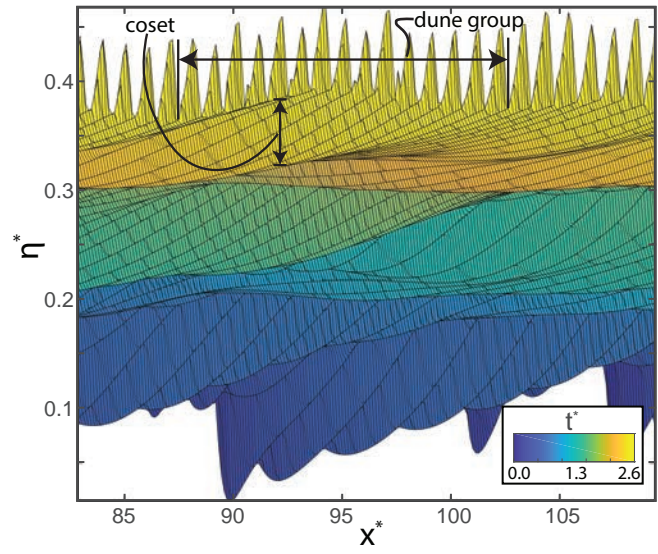


Figure 5 (landscape orientation - 1 column)

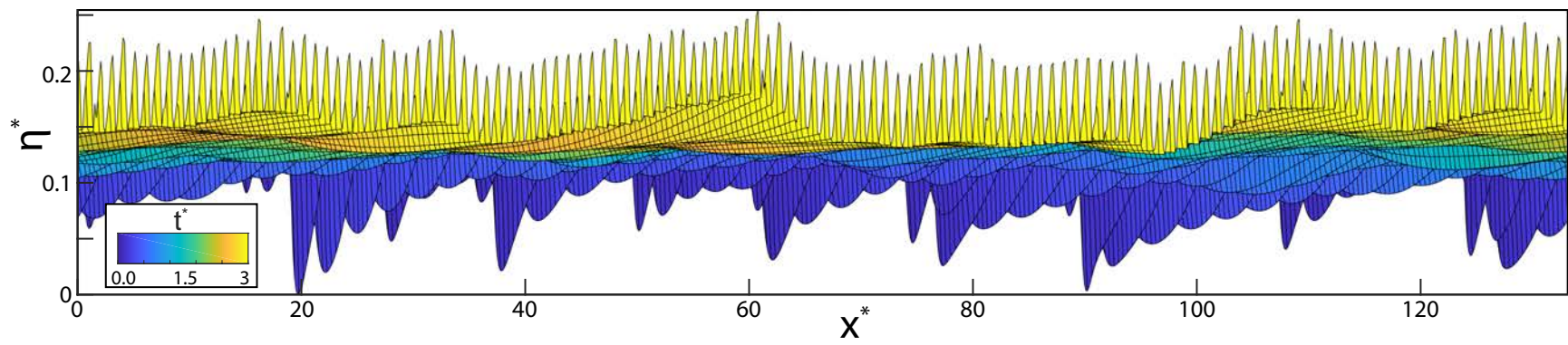


Figure 6 (landscape orientation - 1 column)

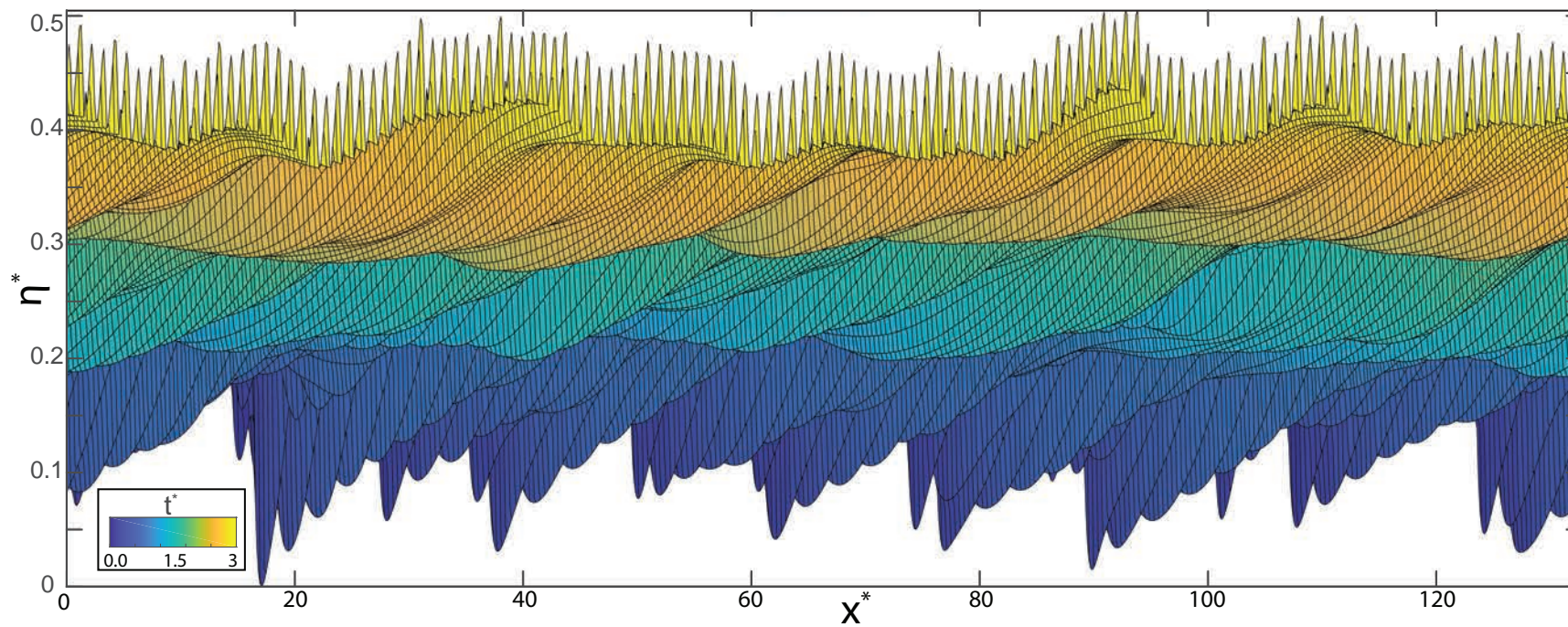


Figure 7 (landscape orientation - 1 column)

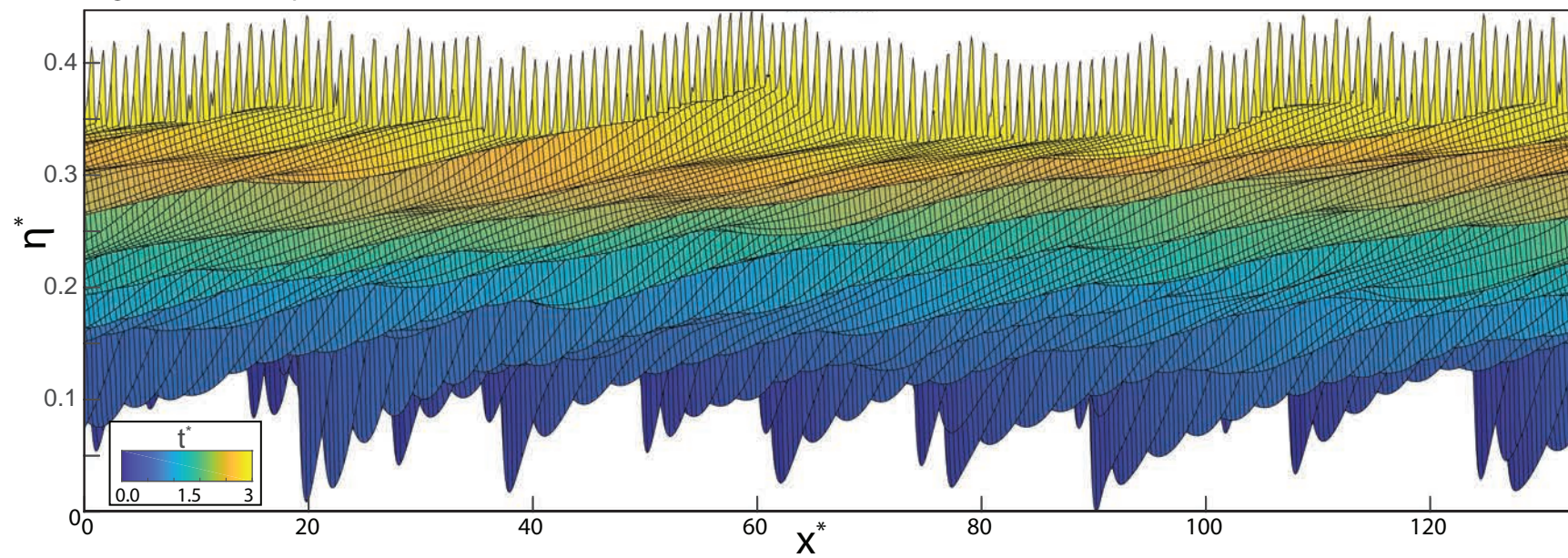


Figure 8 - one column

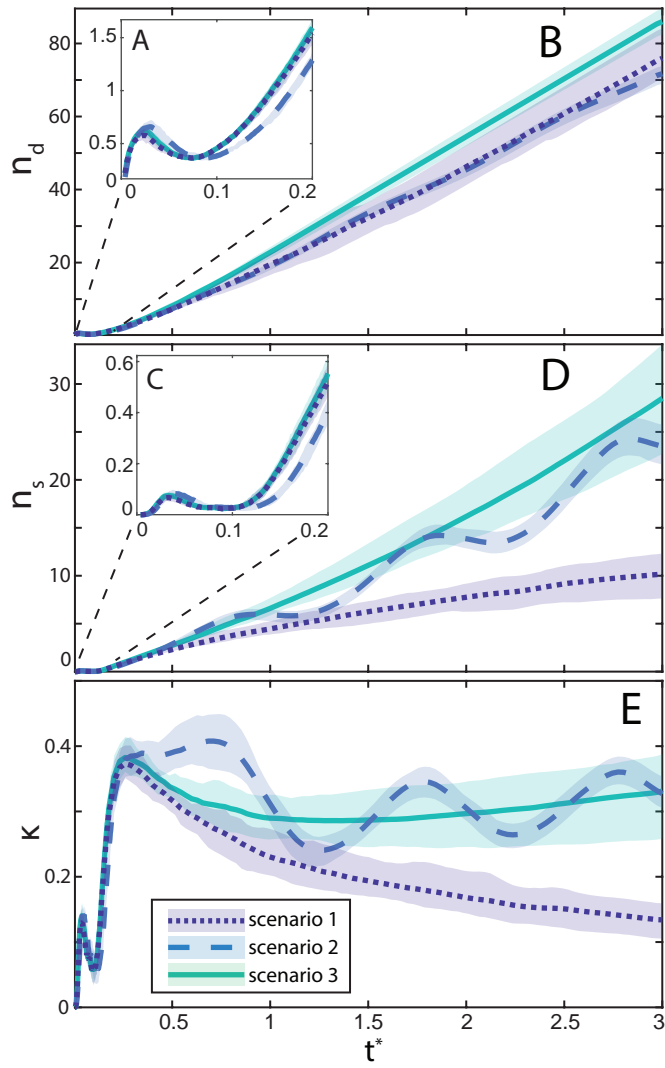


Figure 9 - one column

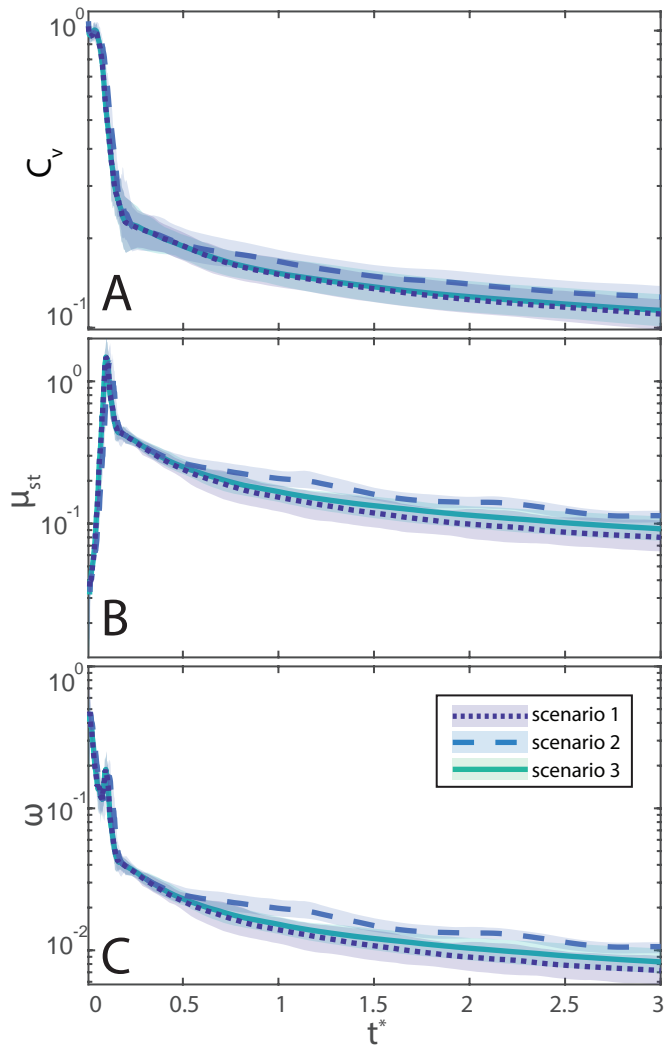
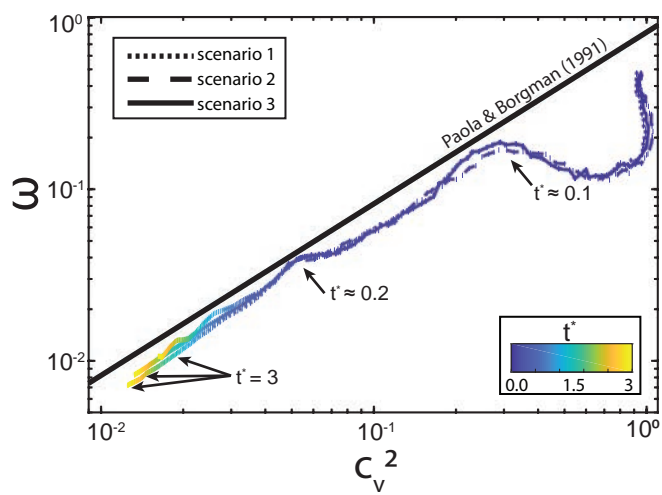


Figure 10 - 1 column



Supplementary Videos:

These videos will hopefully be hosted by the publisher. However, please review the videos via the three YouTube links below:

Scenario 1:

<https://www.youtube.com/watch?v=Rkb1JJE2ToQ>

Scenario 2:

<https://www.youtube.com/watch?v=2Ru48Z4rjB4>

Scenario 3:

https://www.youtube.com/watch?v=K1a_ceHRops