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## Abstract

Groundwater constitutes 30% of fresh water reserves on Earth. It is important as a source for drinking water and irrigation due to its good quality. For many aquifers in arid regions, long-term groundwater extraction has put in risk its sustainable use. Thus, it is relevant to understand and quantify processes that contribute to sustainable groundwater recharge.

Most recharge to aquifers in arid regions occurs during flood events that happen with a frequency of a few years to decades. Paleo-climatic records show that intensity and frequency of floods have been particularly variable during periods of climate change. Therefore, understanding how floods could impact the magnitude and occurrence of groundwater recharge to aquifers in arid regions is relevant for improving water management strategies and assessing aquifer vulnerability to pollution from surface streams.

Direct measurement of infiltration during flood events is difficult, so it is common to complete analyses with numerical simulations. We present results of detailed numerical simulations of infiltration through the vadose zone during flood events. We use the results of the simulations to characterize infiltration patterns and quantify potential for recharge to aquifers considering different subsurface conceptualizations, from simple homogeneous to more realistic multi-scale heterogeneous sediment distributions. We also make a few additional general comments for practical applications.

39     **keywords:** water resources, groundwater recharge, flooding, numerical  
40 simulations, vadose zone



# 1 Introduction

It is known that the interaction between surface water and groundwater is a key process in hydrological systems that, among other things, serve to dampen floods and store water in aquifers for future use [8]. Groundwater is a main source of good quality water in many regions around the world, being approximately a third of the fresh water reserves on Earth [8]. It can be the sole water source in arid and semi-arid areas, i.e. regions with a ratio of mean annual rainfall to potential evapotranspiration less than 0.5 [32], which cover more than 30% of the Earth surface. Approximately a quarter (2.5 billion) of the world population lives in arid or semi-arid areas [14], reaching almost 100% in large geographic regions such as the Middle East, Southwest USA, and some places in Australia and South America, e.g. Southern Peru, Eastern Argentina and Northern Chile.

According to a report prepared by the United Nations published in 2026 [24]: *"Groundwater now provides about 50% of global domestic water use and over 40% of irrigation water, tying both drinking water security and food production directly to rapidly depleting aquifers. Around 70% of the world's major aquifers show long-term declining trends"* [24]. On the other hand, it is expected that due to climate change, dry periods (droughts) will have longer duration, so that demand for groundwater for use in irrigation and human consumption will increase [37]. It has been estimated that over 1.8 billion people lived under drought conditions in 2022–2023 and that the

63 cost of those climatic anomalies amounted to about US\$307 billion per year  
64 worldwide [24].

65 Intense groundwater exploitation is relatively recent, dating back only  
66 to the past century (early to mid 1900s). Therefore, there is a lack of a  
67 good understanding of long-term decades long dynamic behavior of aquifers  
68 under intense groundwater extraction, which is essential to assess sustainable  
69 water management strategies. Due to this, the use of numerical models to  
70 evaluate the dynamic response of aquifers to groundwater extraction is a  
71 common practice [5, 4]. Such models must take into account not only the  
72 complex nature of the sediments and rocks that compose aquifers, but also  
73 the fluctuating nature of climatic variables such as rainfall, temperature and  
74 evapotranspiration, which are the key elements to calculate the potential  
75 excess water available to recharge aquifers.

76 A common paradox in arid regions is that by definition, the calculation of  
77 potential recharge based on mean annual values of rainfall and evapotranspi-  
78 ration extracted from short time series up to few decades long predicts zero  
79 recharge. Hence, it has been postulated and demonstrated for some arid  
80 regions that currently available groundwater was recharged long ago during  
81 periods of different climatic patterns with higher rainfall and/or lower evap-  
82 otranspiration [40]. For example, chemical analysis of vertical water sample  
83 profiles in North Africa indicate that concentrated recharge beneath streams  
84 ceased about 5,000 years ago as result of a shift in climate patterns [32].  
85 Geochemical analysis of water samples from aquifers located in arid areas in

86 Texas and Nevada, USA, that experience low rainfall, estimated long resi-  
 87 dence time for pore water stored in unsaturated zone of the order of 50,000  
 88 to more than 100,000 years even at relatively shallow depth ( $\approx 25$  m) [40].  
 89 Flash floods are rapid (within a few hours) and significant (of the order of up  
 90 to ten times) increases in flow discharge of natural streams that are usually  
 91 accompanied by the inundation of large land areas over river banks [1]. Ac-  
 92 cording to existing records, frequency and magnitude of floods have shown to  
 93 be specially variable during periods of climate change [10, 40]. An alternative  
 94 hypothesis postulates that most recharge to aquifers in arid regions happens  
 95 during flash floods that take place periodically interspersed by a few to tens  
 96 years [32, 37, 22, 11]. Available water for infiltration, i.e. recharge, during  
 97 such events is several orders of magnitude higher than computed with mean  
 98 annual values [20]. For example, a detailed review of recharge estimates in  
 99 a semi-arid region located in New Mexico, USA; assessed that, while dis-  
 100 tributed recharge is usually less than 50-100 mm per year, focused recharge  
 101 rate beneath streams can reach up to more than 700 m/year [32]. Focused  
 102 recharge also results in much lower transit time of water through the unsat-  
 103 urated zone than for distributed recharge. The later can take up to a few  
 104 centuries to travel from the ground surface to the water table in places where  
 105 this locates a significant depth ( $\geq 100$  m) [32].

106 The interaction between surface streams and shallow aquifers can be  
 107 stronger during floods. For example, a site-specific study found based on  
 108 observations and numerical simulations that for an aquifer located in Aus-

109 tralia connected to a stream, groundwater levels could increase very rapidly  
110 and significantly (up to almost 10 m) during high river stages, while infil-  
111 trated water could travel significant distances away from streams (up to 40  
112 m) within short time [41]. They also found rapid variations in groundwater  
113 flow direction before, during and after flooding events.

114 It is widely accepted that for most major exploited aquifers, long-term  
115 water use has exceeded renewable inflows and safe depletion limits, putting  
116 in risk the sustainable use of those resources for future generations [24].  
117 Understanding how more intense floods can impact the interaction between  
118 ephemeral surface streams and groundwater can be useful for improving water  
119 management and flood mitigation systems [37, 22, 6]. It can also be relevant  
120 to evaluate potential increase in aquifer vulnerability due to intense recharge  
121 produced by natural floods or by dam failures during such events [7, 2, 43, 24].

122 The measurement of exchange fluxes between surface streams and aquifers  
123 through direct methods: stream-flow based, groundwater based and infiltra-  
124 tion based; is difficult, particularly in arid regions where most streams are  
125 ephemeral and stay dry during long periods that are separated by extreme  
126 peak flow events [34]. Because of the difficulties to directly measure infiltra-  
127 tion, it is also common to use analytical estimates or numerical simulations  
128 to complement observations [6, 33].

129 The principal objective of this work is estimating the potential for ground-  
130 water recharge to aquifers located in arid regions during flash floods. Specif-  
131 ically, we investigate the interaction between surface water and groundwater

132 during flash flood events based on numerical simulations. We evaluate dif-  
133 ferent plausible subsurface settings, from simple homogeneous materials to  
134 multi-scale heterogeneous sediment distributions. We use the simulation re-  
135 sults to analyze the dynamic response of groundwater levels under focused  
136 recharge that may occur during floods. Based on those results we make con-  
137 clusions for practical applications such as: estimating recharge from observed  
138 variations in groundwater levels, quantification of potential recharge for dif-  
139 ferent flood durations and estimates for transit times of infiltrated water  
140 through the vadose zone.

## 141 2 Numerical simulations: Setup

142 We use numerical simulations for understanding the dynamics of recharge  
143 during flood events and assessing its potential magnitude. We employ a nu-  
144 merical simulator developed for use in supercomputers, PFLOTRAN [17], to  
145 perform detailed simulations of unsaturated flow through the vadose zone as  
146 result of focused recharge induced by floods. The use of numerical simula-  
147 tions for investigating stream-aquifer interaction have been applied in other  
148 studies [e.g. 9, 35, 6].

149 Infiltration during floods is controlled by many parameters, e.g.: perme-  
150 ability, heterogeneity of the subsurface, stream water height, depth to the  
151 water table and riverbed conductance [35, 6, 18]. Here, we focus only on a  
152 few of those parameters: magnitude and spatial distribution of permeabil-

ity, vertical extent of the receiving aquifer because of the possibility of flow through the bottom boundary, and stream water height.

For the purpose of the analysis, we consider an idealized system composed of an ephemeral river that during flood events, has a river stage that can be considered almost constant and covers a well defined discharge section. In addition, we assume that the water table is relatively deep so that the river system is disconnected from the aquifer most of the time, which is the situation found in most ephemeral systems located in arid or semi-arid regions. The idealized system assumes that the riverbed is almost flat so that flow fluctuations due to micro-topography or vegetation can be neglected [39, 3]. In addition, we assume that the sediments beneath the riverbed are unconsolidated and that do not exhibit large fractures or cavities due to dessication or karstic processes [22]. Since we consider floods with peak discharge that can be ten times higher than the average, we assume that fine sediments deposited during low discharge are removed, so that the effective vertical hydraulic conductivity during peak flow does not depend on that layer [6]. As an additional simplification, we do not consider the potential presence of cobbles or boulders in the riverbed as observed in some rivers that experience high energy floods, e.g. the San José River located in northern Chile (Figure 1), which we used as motivation for the setting of the simulations.



Figure 1: Riverbed of San Jose river in northern Chile. Riverbed (top) and shallow stratigraphy (bottom). Picture taken from Undergraduate Thesis, G. Jimenez, U. Chile.

173      Neglecting rapid connection between the stream and the water table dur-  
 174      ing floods, which is a reasonable assumption for deep water table aquifers [35];  
 175      infiltration rates can be considered almost constant during flooding events,  
 176      discounting a short initial period of higher infiltration due to the sudden wet-  
 177      ting of the dried upper sediments [29]. Large floods can carry enough water  
 178      to keep a significant water height above the riverbed, so that discounting  
 179      preferential flow through the stream due to high slope of the river bottom  
 180      or low permeability of the riverbed, the infiltration problem transitions from

181 one controlled by flow rates to one controlled by a quasi-constant hydraulic  
182 head boundary [13].

183 We consider three different permeability distributions for the sediments  
184 beneath the riverbed: homogeneous, stratified/layered and random spatially  
185 correlated. Similar distributions have been used in multiple studies to inves-  
186 tigate groundwater dynamics [e.g. 26, 12, 15, 28, 31, and references therein].  
187 Sediments correspond to two types of sandy soils, hereafter referred to as  
188 hydrofacies, materials or units, that were characterized as part of the assess-  
189 ment of an artificial recharge project in northern Chile (see Appendix for  
190 details). We refer to them as *Sandy* and *Silty*, for the coarser more perme-  
191 able and the finer less permeable unit, respectively. This conceptualization  
192 based on two hydrofacies is reasonable to model a real site. For example, it  
193 is similar to the one adopted for the highly studied Hanford Site in the USA  
194 [36].

195 We model a cross-section 500 m wide and 80 m height that does not  
196 include slope for the riverbanks (Figure 2), and a water table located at  
197 60 m depth. The 2D cross-section was divided into 20 cm wide and 5 cm  
198 high cells, resulting in a total of 4 million cells. Such discretization was  
199 chosen to guarantee a good numerical resolution and accuracy, while keeping  
200 the running time reasonable. The simulations were run in an instance of  
201 Amazon AWS with 72 computational cores and 192 GB RAM.

202 We analyse a single flood with a duration equal to 4 days, and we further  
203 simplify the problem by assuming a constant mean water stage. Thus, infil-



204 tration from the river was modeled as a constant pressure boundary condition  
 205 applied along a central 20 m wide strip. Lateral flow through the saturated  
 206 section of the aquifer was modeled using two hydrostatic pressure boundary  
 207 conditions available in PFLOTRAN. The bottom boundary condition was  
 208 modeled as an open (hydrostatic pressure) or no flow condition, which allows  
 209 assessing the impact that the conceptualization of the aquifer geometry may  
 210 have on the simulation results. Table 1 summarizes the simulations setup.

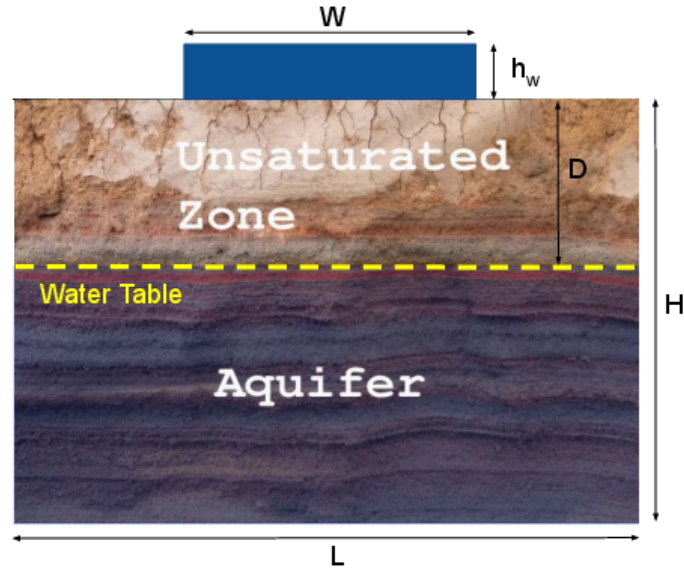


Figure 2: Schematic of cross-section considered in numerical simulations.

Parameter	Value	Symbol
Stream width [m]	20	$W$
Water height in stream [m]	1,0.5,0.2,0.1	$h_w$
Water table depth [m]	60	$D$
2D cross-section width [m]	500	$L$
2D cross-section height [m]	80	$H$
Hydrofacies	Sandy, Silty	-
Hydraulic conductivity [m/d]	7, 0.3	$K$
Permeability [m <sup>2</sup> ]	$8 \times 10^{-12}$ , $3 \times 10^{-13}$	$k$
Porosity [-]	0.33, 0.46	$\phi$

Table 1: Parameters used to set up simulations.

211 We simulate a total of 8 scenarios depending upon the stratigraphy of  
 212 the sediments beneath the riverbed. As first scenario, we consider an aquifer  
 213 composed of a single hydrogeological unit with a permeability equal to the  
 214 mean value for the Sandy or Silty units (scenarios H1, H1b and H2). The  
 215 next two scenarios were defined to account for the potential presence of low  
 216 permeability units beneath the riverbed, which can contribute to the occur-  
 217 rence of unsaturated areas beneath even permanent surface streams due to  
 218 reductions in vertical infiltration rates [33]. We consider stratified aquifers  
 219 composed by two hydrogeological units: Sandy-Silty or Silty-Sand according  
 220 to their vertical occurrence (scenarios L1 and L2). Silty layers 3 m thick  
 221 are intercalated within higher permeability Sandy layers 10 m each. This  
 222 sequence is repeated from the ground surface to the bottom of the domain.  
 223 To better quantify the impact of river depth on infiltration rates, three addi-  
 224 tional scenarios similar to L2 were considered for which the river stage was

225 set to: 0.5 (L2b), 0.2 (L2c) and 0.1 (L2d) meters instead of the 1.0 m used  
226 for the original L2 scenario.

227 Finally, for the last 4 scenarios, we consider a sandy aquifer with val-  
228 ues of permeability distributed according to a random multi-scale spatially  
229 correlated field [12, 15, 28, 31]. We use a multi-scale approach to generate  
230 bimodal gaussian correlated fields by using an implementation of the Turn-  
231 ing Bands method [25, 38]. First, we generate random fields with different  
232 ratios between horizontal ( $\lambda_h$ ) to vertical ( $\lambda_v$ ) correlation lengths, that we  
233 use as basis to create hydrofacies distributions using an indicator approach  
234 [16]. The resulting hydrofacies distribution exhibits good continuity and the  
235 same spatial correlation or extension described by the correlation lengths of  
236 the underlying random field. Second, we generate additional random fields  
237 with mean permeability equal to the one assigned to each hydrofacies and  
238 short isotropic correlation lengths equal to 0.5 m. For all the *intra-facies*  
239 permeability distributions we consider an exponential covariance model and  
240 low variance  $\sigma_Y$  equal to 0.5 ( $Y = \ln(k)$ ), which corresponds to relatively  
241 mild heterogeneity for real aquifers [15, 31]. These secondary random fields  
242 were used to assign saturated permeability values to each cell of the domain.  
243 The resulting overall permeability field has a bimodal distribution and mul-  
244 tiple correlation lengths, which are properties that have been postulated as  
245 more realistic [15, 16, 28, 31]. For example, Figure 3 shows the hydrofacies  
246 distribution and multi-scale permeability field assigned to scenario R3. Table  
247 2 summarizes the simulated scenarios.

Run	Description
H1 <sup>+</sup>	Homogeneous sandy aquifer
H2	Homogeneous silty aquifer
L1	Layered aquifer: sandy/silty
L2*	Layered aquifer: silty/sandy
R1	Random correlated permeability, with $\lambda_h/\lambda_v = 1$
R2	Random correlated permeability, with $\lambda_h/\lambda_v = 10$
R3 <sup>†</sup>	Random correlated permeability, with $\lambda_h/\lambda_v = 20$
R4	Random correlated permeability, with $\lambda_h/\lambda_v = 40$

Table 2: Parameters used to characterize geological settings. In all simulations with gaussian distributions we considered the vertical correlation length  $\lambda_v = 3$  m and a proportion distribution of 0.23 and 0.77 for the Silty and Sandy units, respectively. <sup>+</sup>H1b equal to H1, except that bottom boundary condition is set to no flow. <sup>\*</sup>L2b,c,d equal to L2 but with different river stage. <sup>†</sup>R3b equal to R3 except that a single value of permeability is assigned to each hydrofacies.

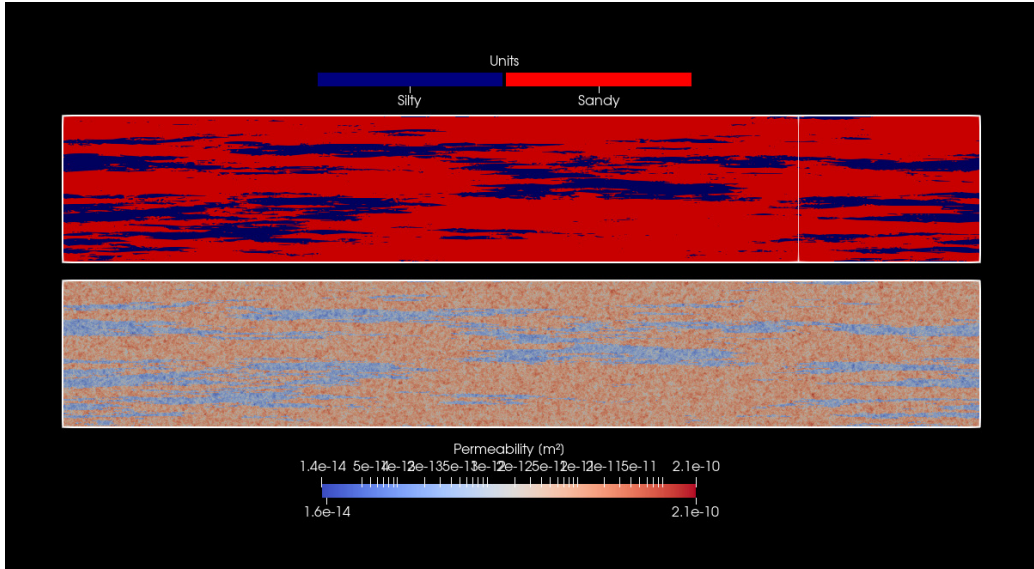


Figure 3: Hydrofacies distribution and multi-scale random permeability distribution assigned to scenario R3.

248 We use a pair of constitutive relations to characterize the unsaturated flow  
 249 properties (see Appendix for details), which were tied to each hydrofacies.  
 250 This is a reasonable assumption given that each hydrofacies is supposed to  
 251 have a single characteristic grain size distribution [23].

## 252 3 Numerical simulations: Results

### 253 3.1 Saturation distribution

254 Figure 4 presents the simulated saturation distribution along a vertical cross-  
 255 section after 4 days of infiltration through the riverbed for the 8 main sce-  
 256 narios considered. There are major differences in the saturation distributions  
 257 for the different scenarios.

258 The homogeneous (H1 and H2) and layered (L1 and L2) scenarios show a  
 259 wetting front with a relatively simple shape. The speed of the advancing front  
 260 for those scenarios is mainly controlled by the different values of permeability  
 261 assigned to both hydrofacies (Sandy and Silty). For the layered scenarios,  
 262 the Silty layers acts as low permeability barriers generating a so called *leaky-*  
 263 *flow*, i.e. partially-saturated permeable sediments underneath fully saturated  
 264 pockets or perched aquifers.

265 The saturation distribution for the scenarios with multi-scale permeabil-  
 266 ity, R1 to R4, shows the development of a saturation front beneath the  
 267 riverbed with a complex pattern and a mean width that is controlled by the  
 268 correlation length assigned to the less permeable hydrofacies. The front is

269 narrow for scenario R1 that consider a short horizontal correlation length,  
270 while it becomes wider for the scenarios with longer horizontal correlation  
271 (R3 and R4). The inclusions of low permeable Silty material act as barriers  
272 for vertical flow, so that water accumulates on top of them and spills over  
273 their flanks. Just below the riverbed and up to a certain variable depth, there  
274 is a well connected fully saturated central zone. However, the distribution  
275 of saturation becomes erratic and highly non-uniform at greater depth. This  
276 means that for practical applications point-like observations, e.g. core sam-  
277 ples or water samples obtained through lysimeters, may not provide enough  
278 information to characterize the saturation distribution. Interestingly, even if  
279 the fully saturated front does not reach the original water table for the time  
280 period considered, there is clear local mounding below zones with higher  
281 leakage, e.g. left central and central zones for Scenarios R3 and R4, respec-  
282 tively. However, the height of the mounding is variable even within relatively  
283 short distances, which may be an obstacle to correctly infer recharge rates  
284 from water level measurements as it is common practice in hydrogeological  
285 studies.

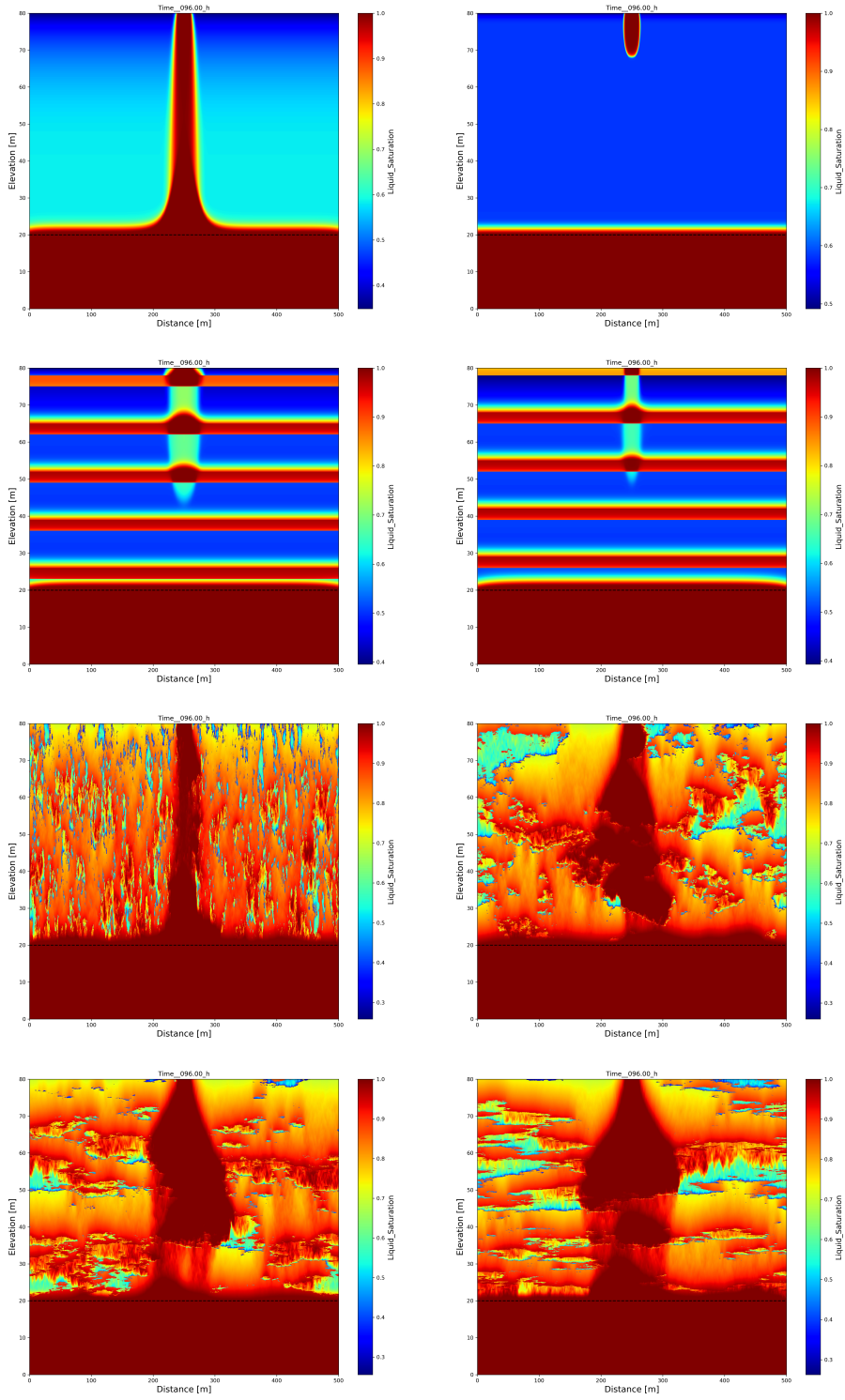


Figure 4: Simulated saturation after 96 hrs of infiltration along a cross-section located beneath the riverbed. River centerline located at 250 m in the horizontal axis. From left to right and top to bottom: H1, H2, L1, L2, R1, R2, R3 and R4.

Figure 5 shows simulated saturation at the end of the 4 days for Scenarios R3 and R3b, thus it allows assessing the impact of including *intra-facies* heterogeneity. There are only small differences that are almost undistinguishable in the figure, which indicates that the saturation distribution is mainly controlled by the distribution of the hydrofacies, i.e. large-scale heterogeneity.

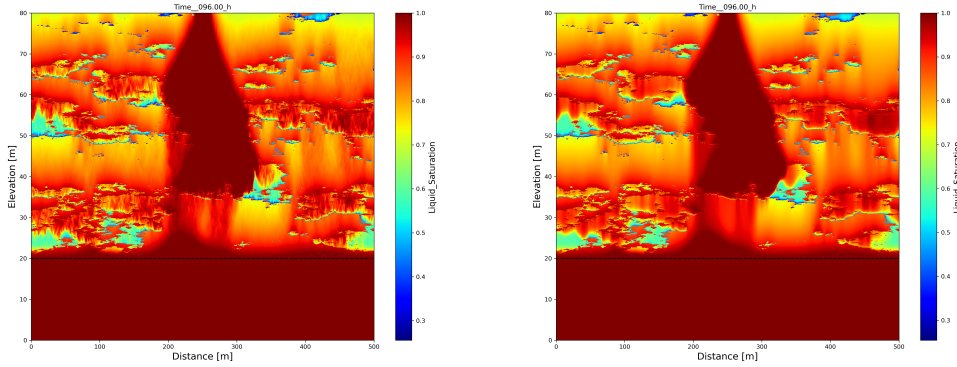


Figure 5: Simulated saturation after 96 hrs of infiltration for R3 (left) and R3b (right).

In all scenarios discussed so far, we considered a permeable boundary condition at the bottom of the receiving aquifer. Hence, there is potential for a large part of the water that reaches the main aquifer to leave the domain through the bottom. Figure 6 shows a comparison between Scenario H1b that includes a no-flow bottom boundary condition and the reference Scenario H1, which considers an open bottom boundary. There is a large difference in the wetting front that develops below the riverbed for both scenarios. For Scenario H1b, water can only leave the domain through the lateral faces, so



300 that there is significant rise of the original water table within the central part  
 301 of the model domain for accommodating lateral flow to balance the inflow  
 302 due to recharge. This distinction can be potentially important to infer the  
 303 existence of lower impermeable limits based on the observation of changes in  
 304 groundwater levels during and after flood events. On the other hand, this  
 305 difference can lead to errors in the interpretation of observed mounding for  
 306 estimating recharge rates. The infiltration for both scenarios, R3 and R3b,  
 307 is the same (see Table 3) despite the large differences observed in the shape  
 308 of the water table.

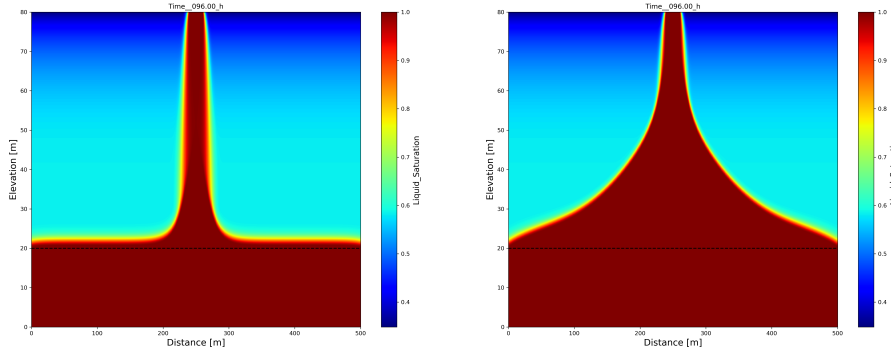


Figure 6: Simulated saturation after 96 hrs of infiltration for H1 (left) and H1b (right).

### 309 **3.2 Water table variation**

310 Figure 7 shows simulated piezometric head at the end of the simulated 4 days,  
 311 along a horizontal profile located at the original position of the water table  
 312 at  $z=20$  m (60 m deep). Most of the simulated scenarios show a significant  
 313 increase of the water table in the central zone of the domain beneath the river

314 bed. The magnitude of the change is particularly important for Scenario H1b  
315 that considers no-flow through the bottom of the domain. The increase in  
316 piezometric levels is also important for the most permeable homogeneous  
317 scenario (H1) and all scenarios that consider heterogeneous sediments (R).  
318 For the latter, the variation in piezometric head along the profile is irregular,  
319 reaching a maximum at some location near the center of the domain, but  
320 not at the center as in the scenarios with homogeneous or layered materials.  
321 This can be potentially important for practical applications that require the  
322 interpretation of observed groundwater levels at a single or few boreholes.

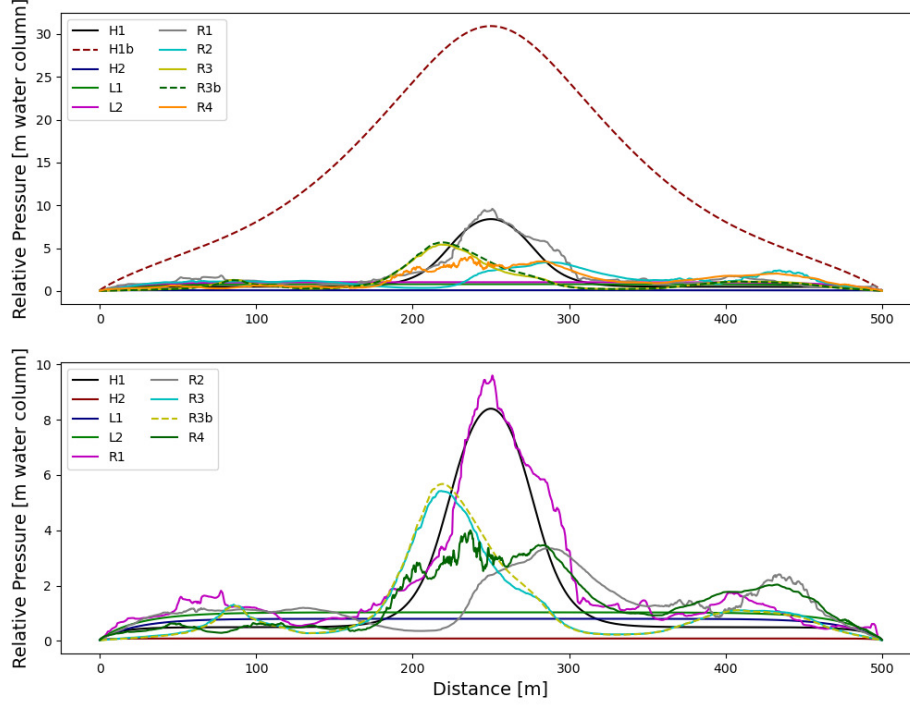


Figure 7: Simulated change in piezometric head along a horizontal profile at  $z=20$  m, i.e. initial water table position. Maximum increases in piezometric head for different scenarios expressed as meters of water column are: 8.4(H1), 30.9(H1b), 0.1(H2), 0.8(L1), 1.0(L2), 9.6(R1), 3.4(R2), 5.4(R3), 5.7(R3b) and 4.0(R4). Bottom plot is similar to the top one, except for H1b results.

### 3.3 Infiltration rates

Figure 8 shows simulated infiltration rates through the riverbed. As predicted by existing theory, the infiltration rate decreases asymptotically from an initial peak value to a final constant value determined by the hydraulic gradient controlled by the simulated river stage and the saturated hydraulic conductivity. The final simulated infiltration rate through the 20 m wide

329 riverbed ranges between 0.1 to 2.4 L/s per meter of the river section (Table  
330 3). The time to reach the final value is short for the homogeneous and lay-  
331 ered scenarios, but it is significantly longer ( $\geq 20$  hours) for the cases that  
332 consider heterogeneous sediments. The exception to the previous statement  
333 is Scenario H1b (no flow bottom boundary) that shows a late deviation in  
334 infiltration as result of hydraulic control from the outflow boundaries.

335 As expected, the highest infiltration rate corresponds to the scenario with  
336 homogeneous Sandy subsurface and the lowest to the scenarios where vertical  
337 water flow is controlled by the occurrence of the Silty unit. The scenarios  
338 with heterogeneous sediments have intermediate values of infiltration rate,  
339 which can be explained by the presence of cells with values of saturated  
340 permeability that are higher and/or lower than the mean value assigned to  
341 each hydrofacies.

342 It is useful for the interpretation of the final infiltration rates to convert  
343 them to equivalent distributed recharge as computed for rainfall. Assuming  
344 a 5 km wide valley, the final infiltration rates are equivalent to an areal  
345 distributed recharge of up to 160 mm, with a mean value between 60 to 80  
346 mm. Such equivalent recharge must be compared to the mean annual rainfall  
347 recorded in arid regions where ephemeral streams occur, which is usually less  
348 than 100 mm/year for semi-arid areas and even less for arid regions, e.g. less  
349 than 5 mm/year in the case of the lower section of the San José River valley in  
350 northern Chile. Therefore, the potential recharge to the groundwater during  
351 a single flood event can be significant for aquifers in arid regions.

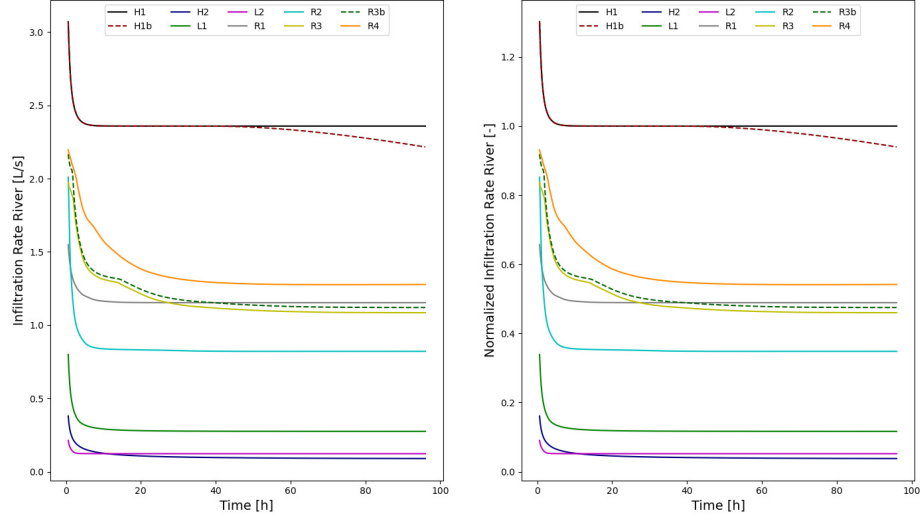


Figure 8: Simulated infiltration rate across the 20 m wide riverbed versus time.

352 The results of the simulations show that the water table rise due to fo-  
353 cused recharge is not necessarily correlated with infiltration rates. For ex-  
354 ample, Scenarios H1 and H1b have similar final infiltration rates (2.4 and  
355 2.2 L/s), but quite different maximum increases in water table: 8.4 and 31.0  
356 m, respectively. On the other hand, final infiltration rates for the scenarios  
357 with heterogeneous sediments show low variability, ranging between 0.8 to  
358 1.2 L/s. However, the water table increase is significantly higher for Scenario  
359 R1 (9.6 m) in comparison to the other three with increases between 3.4 and  
360 5.7 m. This calls for caution when estimating recharge rates from observed  
361 variations in groundwater levels.

362 Assuming a constant river depth during a flood is a simplifying assump-

Run	Final Vertical Infiltration [m/d]	Final Infiltration [L/s/m]	Total Infiltration in 96 hrs [m <sup>3</sup> /m]	5 km <sup>†</sup>	10 km <sup>†</sup>	20 km <sup>†</sup>	Equivalent distributed recharge (mm)
H1	10.20	2.36	816	4.1	8.2	16.3	163
H1b	9.59	2.22	767	3.8	7.7	15.3	153
H2	0.39	0.09	31	0.2	0.3	0.6	6
L1	1.21	0.28	97	0.5	1.0	1.9	19
L2	0.52	0.12	41	0.2	0.4	0.8	8
R1	4.97	1.15	397	2.0	4.0	7.9	79
R2	3.54	0.82	283	1.4	2.8	5.7	57
R3	4.67	1.08	373	1.9	3.7	7.5	75
R3b	4.84	1.12	387	1.9	3.9	7.7	77
R4	5.53	1.28	442	2.2	4.4	8.8	88

Table 3: Final vertical infiltration rate and total infiltration and equivalent distributed infiltration rate assuming a 5 km wide valley. <sup>†</sup>Columns show total infiltration volume in million cubic meters for river sections of different length.

tion, since a flood usually consists of two stages: a raising water level period, followed by one of declining water elevation. Nevertheless, ready water availability is the factor that facilitates infiltration. Higher or lower water levels result only in minor changes in surface infiltration as the result of changes in hydraulic gradient given a higher hydraulic head below the river bed. For example, Table 4 reports the final infiltration rate for Scenario L2 as a function of river stage. These results demonstrate that decreasing the river depth by a factor of 10 produces a  $\sim 30\%$  decrease in infiltration rate.

Run	River Depth [m]	Final Infiltration Rate [L/s/m]
L2	1.0	0.12
L2b	0.5	0.10
L2c	0.2	0.09
L2d	0.1	0.08

Table 4: Total infiltration rate considering different river depths.

The analysis we present is based on 2D simulations, it is likely that infiltration for a 3D setting would be higher because of the extra degree of freedom for the infiltrated water to flow [19] or, a higher connection of fast flow pathways in heterogeneous sediments [21].

## 4 Conclusions

Based on the results of numerical simulations that considered a few variations of a unique disconnected aquifer, we can make the following general

378 statements about aquifer recharge in arid regions during flooding events that  
379 usually occurred with a few years to decades return period:

- 380 1. The recharge that may occur during a few days duration event can  
381 be significant, of the order of a few times the typical mean annual  
382 precipitation registered in those regions. This could explain estimates  
383 for recent/modern recharge to aquifers located in arid regions where  
384 excess water calculated from mean rainfall and evapotranspiration is  
385 negligible or zero.
- 386 2. Transit times of infiltrated water during focused recharge events, even  
387 for aquifers with relatively deep water table ( $\geq 50$  m), can be short,  
388 of the order of a few hours. This must be considered when evaluating  
389 potential groundwater pollution due to infiltration of poor quality water  
390 as results of spills to surface streams or dam failures, e.g. tailings dams  
391 located on or near surface streams.
- 392 3. Infiltration patterns during focused recharge, as it occurs during flood-  
393 ing events, may be quite complex to analyze or model for real settings.  
394 In particular, numerical simulations that rely on simple representa-  
395 tions of the subsurface sediments stratigraphy can provide estimates  
396 of recharge, saturation distribution, water table mounding and transit  
397 times to the water table; that can be misleading. Therefore, results of  
398 such simulations should be used with caution when evaluating water  
399 management options or aquifer vulnerability to pollution.



- 400 4. The complexity of the saturation patterns observed under more realistic  
401 assumptions, e.g. multi-scale heterogeneous sediments, may be quite  
402 difficult to interpret based on a few point-like measurements such as  
403 core samples or water samples taken from cup lysimeters.
- 404 5. Estimates of recharge based on water level rise, which relies on the  
405 concept of specific yield or storage coefficient, may be bogus due to  
406 incorrect assumptions about the distribution of the infiltrated water  
407 and/or shape of the resulting wetting front.
- 408 6. Different boundary conditions, e.g. permeable or impermeable bot-  
409 tom boundary, may produce large differences in results of numerical  
410 simulations of this type of processes. This should be considered as a  
411 source of uncertainty in studies that rely on the use of numerical mod-  
412 els. Moreover, the presence of that type of boundary conditions in real  
413 aquifers should be considered when analyzing field collected data, e.g.  
414 piezometric head for estimation of recharge rates.

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## 551 Appendix

552 PFLOTRAN [17], available at <https://pflotran.org/>, simulates unsaturated  
 553 flow solving the following form of Richards Equation [30]:

$$\frac{\partial}{\partial t}(\phi s \eta) + \nabla \cdot (\eta \mathbf{q}) = Q_w, \quad (\text{A.1})$$

554 with porosity  $\phi$  [ $\text{m}^3/\text{m}^3$ ], saturation  $s$  [-], molar water density  $\eta$  [ $\text{kmol}/\text{m}^3$ ],  
 555 source/sink term  $Q_w$  [ $\text{kmol}/\text{m}^3/\text{s}$ ], and Darcy flux  $\mathbf{q}$  [ $\text{m}/\text{s}$ ]

$$\mathbf{q} = -\frac{k k_r(s)}{\mu} \nabla (P - \rho g z) \quad (\text{A.2})$$

556 where  $k$  is permeability [ $\text{m}^2$ ],  $k_r$  is relative permeability [-],  $\mu$  is dynamic  
 557 viscosity [ $\text{Pa s}$ ],  $P$  is pressure [ $\text{Pa}$ ],  $\rho$  is mass water density [ $\text{kg}/\text{m}^3$ ],  $g$  is  
 558 gravity [ $\text{m}/\text{s}^2$ ] and  $z$  is elevation. Solving (A.1) requires the definition of  
 559 a couple of closure models for linking water content  $\theta$  or saturation  $s =$   
 560  $(\theta - \theta_r)/(\phi - \theta_r)$ , where  $\theta_r$  is residual water content; to relative permeability  
 561 and pressure or capillary pressure. Those relations are commonly referred to  
 562 as unsaturated flow properties.

563 We considered the properties of two soils samples collected in sites in  
 564 northern Chile considered in the past for implementing artificial recharge  
 565 projects [19, and references therein] in the numerical simulations. One of the  
 566 samples referred to as Silty, was collected near the lower section of the San  
 567 José River Valley; while the second one (Sandy) was collected in a valley

568 located 1000 km south, near de city of Copiapó. Both sites are located in  
569 fluvial-alluvial valleys in extreme arid areas: the mean annual rainfall in  
570 Arica is  $< 5$  mm/yr and  $< 24$  mm/yr in Copiapó according to data taken  
571 from the Climate Explorer at <https://explorador.cr2.cl/>.

572 The unsaturated flow properties of the samples were characterized through  
573 laboratory tests. The interpretation of the results considered the van Genuchten  
574 model for the water content versus suction relation [42],

$$\theta(h) = \theta_r + \frac{\theta_s - \theta_r}{[1 + |\alpha h|^n]^m} \quad (\text{A.3})$$

575 and the Mualem model for relative permeability [27],

$$k_r(h) = Se^{0.5} [1 - (1 - Se^{1/m})^m]^2 \quad (\text{A.4})$$

576 where  $Se = \frac{\theta - \theta_r}{\theta_s - \theta_r}$  is known as residual saturation and  $m = 1 - \frac{1}{n}$ . Table A.1  
577 summarizes the parameter values considered in the numerical simulations,  
578 while Figure A.1 shows the resulting curves.

Parameter	Sandy	Silty
Saturated water content, $\theta_s$ [-]	0.33	0.46
Residual water content, $\theta_r$ [-]	0.19	0.01
Inverse of entry pressure, $\alpha$ [ $\text{Pa}^{-1}$ ]	$4.9 \times 10^{-5}$	$1.8 \times 10^{-5}$
Distribution index, $n$ [-]	2.45	1.35
$m = 1 - 1/n$	0.59	0.26
Saturated hydraulic conductivity, $K_{sat}$ [m/day]	7.0	0.3

Table A.1: Unsaturated flow parameters considered in the numerical simulations.

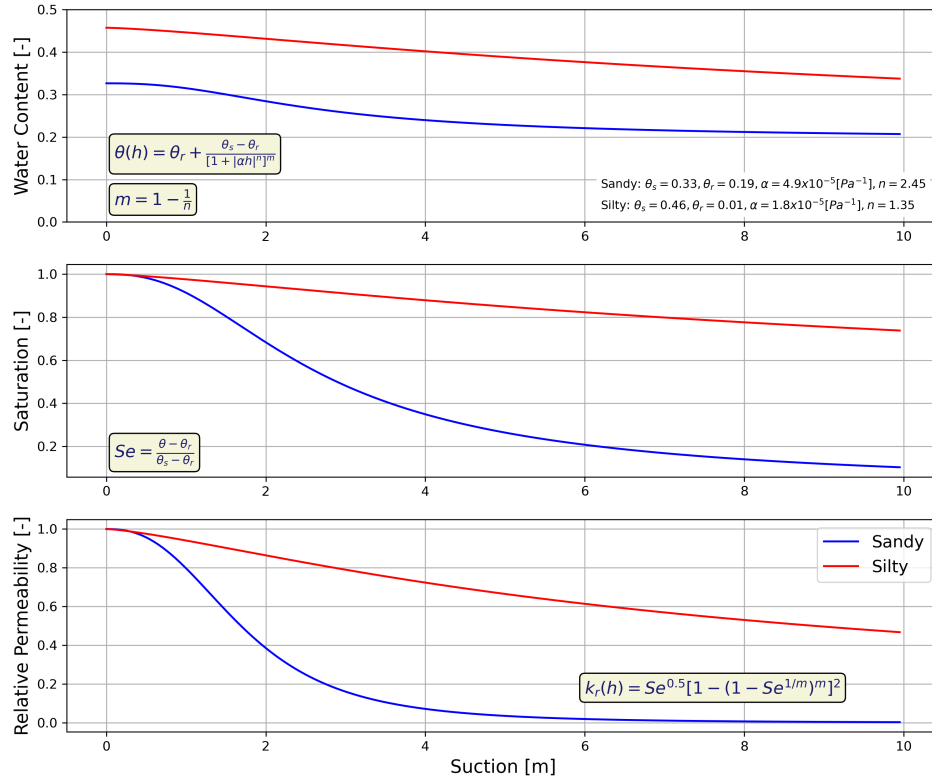


Figure A.1: Water retention and relative permeability for sandy and silty soils considered in numerical simulations [19].

## 579 **Conflict of interests**

580 The authors declare that they have no known competing financial interests  
581 or personal relationships that could have appeared to influence the work  
582 reported in this paper.

## 583 **Author contribution**

584 P.A.H. was in charge of initial conceptualization, numerical simulations and  
585 writing of initial draft; P.C.L. provided comments to conceptualization and  
586 review initial draft.