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# **Seismic observation of tsunami at island broadband stations**

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## **Key Points:**

- For quantification of seismic observation of tsunami, we evaluate scattering of an incident tsunami for an axisymmetric structure.
- Ground deformation due to the tsunami loading is calculated using static Green's functions.
- By fitting the modeled displacement to observed seismic data, the incident tsunami is inferred from the seismic observation.

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**Abstract**

Previous studies have reported seismic observations of tsunami recorded at island broadband stations. Coastal loading by the tsunami can explain them. For further quantification, we model tsunami propagation assuming an axisymmetric structure: a conical island with a flat ocean floor. The total tsunami wavefield can be represented by superposition between an incident tsunami wave and the scattering. The ground deformation due to the total tsunami wavefield at the center is calculated using static Green's functions for elastic half-space with a first-order correction for bathymetry. By fitting the modeled displacement to observed seismic data, we can infer the incident tsunami wave, which can be interpreted as the virtual tsunami amplitude without the conical island. First, we apply this new method to three components of seismic data at a volcano island, Aogashima, for the 2015 Torishima-Oki tsunami earthquake. The estimated tsunami amplitude from the vertical component is consistent with the offshore array observation of absolute pressure gauges close to the island (1.5–20 mHz). The estimated incident azimuth from the three components is also consistent with the offshore array observation. Second, we apply this method to seismic data at four island broadband stations in the Indian ocean for the 2010 Mentawai tsunami earthquake in Indonesia. Despite the limited observed frequency range from 0.5–2.0 mHz, the amplitudes and incident azimuths are consistent with past studies. These observations can complement offshore tsunami observations. Moreover, this method is applicable not only for a tsunami but also for background ocean infragravity wave activity.

**1 Introduction**

Crustal deformation beneath the ocean due to a massive shallow earthquake generates tsunami (e.g. Satake, 2015). Physically, these are also known as ocean infragravity waves or ocean external gravity waves. Although tsunami amplitudes are usually small in the deep ocean, they increase drastically as tsunami approach the coast. Such large amplitudes cause severe damage in coastal areas. Understanding tsunami propagation is important for effectively evaluating the risk. Tsunami observations are also crucial for characterizing the source processes of an earthquake (e.g. Satake, Fujii, Harada, & Namegaya, 2013). Observations by offshore ocean bottom pressure gauges (*e.g.* Deep-ocean Assessment and Reporting of Tsunamis (DART) (Bernard & Meinig, 2011)) are typically used for source inversion because of simple wave propagation in the pelagic environment.

48 Loading on the seafloor by tsunami causes geodetic deformation of the ground, and  
49 vice versa, which is detectable by land-based broadband seismic stations. For example,  
50 when the 2010 Maule earthquake hit Chile, a high-density tiltmeter network in Japan  
51 recorded ground tilt motions with a typical period of approximately one hour over a broad  
52 inland area facing the Pacific coast (Kimura, Tanaka, & Saito, 2013). Simple 2-D mod-  
53 eling for the deformation induced by the Chilean tsunami explained the observed tilt mo-  
54 tions in the Japanese island arc (Kimura et al., 2013). During the 2004 Sumatra–Andaman  
55 earthquake, tilt motions from 0.3–0.6 mHz were recorded by a broadband seismometer  
56 at Showa station at the mouth of a bay in Antarctica (Nawa et al., 2007), and tilt mo-  
57 tions with typical periods of approximately 1000 s were recorded by broadband seismome-  
58 ters at stations on islands in the Indian ocean (Yuan, Kind, & Pedersen, 2005). Although  
59 the order of observed amplitudes can be explained by tilt motions caused by tsunami load-  
60 ing, the mechanism is not yet fully understood.

61 To quantify ground motions at islands, we model the sloping effects in a semi-analytic  
62 manner for an axisymmetric conical island with a flat ocean floor following Fujima and  
63 Goto (1994). Although the model is simple, it can express the complex wave propaga-  
64 tion close to the shoreline. This simple model can explain the spatial pattern of coastal  
65 tsunami amplification around islands.

66 In section 2, we present the theory of tsunami propagation when an arbitrary tsunami  
67 wavefield enters a conical island following Fujima and Goto (1994). In section 3, we then  
68 estimate the geodetic deformation at the center of the island due to tsunami loading, which  
69 is related to the incident tsunami wavefield. In section 4, using the axisymmetric assump-  
70 tion of single plane wave incidence, we propose a new simple technique for estimating  
71 virtual tsunami amplitude without a conical island, which could be a proxy for offshore  
72 tsunami amplitude. In section 5, this method is applied to two examples: the 2015 Tor-  
73 ishima earthquake in Japan and the 2010 Mentawai tsunami earthquake in Indonesia.

## 74 **2 Theory of tsunami propagation for a conical island with a flat ocean** 75 **floor**

76 In this study, we consider tsunami scattering around an axisymmetric conical is-  
77 land. For simplicity, we assume that the tsunami can be approximated as a linear long-  
78 wave because dispersion effects should be less important than topographic effects in this  
79 case. Using the long wave approximation, the displacement amplitude of the sea surface

80 disturbance  $\eta(r, \theta; \omega)$  satisfies the following governing equation in frequency domain:

$$81 \quad -\omega^2 \eta(r, \theta; \omega) = g_0(h_0 - h(r)) \nabla_h^2 \eta(r, \theta; \omega) + g_0 \nabla(h_0 - h(r)) \cdot \nabla \eta(r, \theta; \omega), \quad (1)$$

82 where  $r$  is the radius (Figure 1),  $g_0$  is the gravity constant,  $\omega$  is the angular frequency,  
83 and  $\nabla$  represents the spatial gradient in 2-D. The bathymetry  $h(r)$  is given by

$$84 \quad h(r) = \begin{cases} h_0 & r < r_0, \\ h_0 - m(r - r_0) & r_0 \leq r < r_1 \\ 0 & r_1 \leq r, \end{cases} \quad (2)$$

85 where  $r_1$  is the radius of the root of the island,  $r_0$  is the radius of the island,  $h_0$  is the  
86 sea surface height of the flat ocean from the sea bottom, and  $m$  is the slope given by  $h_0/(r_1 -$   
87  $r_0)$ .

88 We note that, for negative frequency,  $\eta(r, \theta, -\omega)$  is defined as the complex conjugate  
89 by  $\eta^*(r, \theta; \omega)$  because the time domain representation should be a real function. A  
90 Fourier component at a negative frequency  $-\omega$  is, thus, defined by the complex conjugate  
91 of that at a positive frequency  $\omega$ . Here, we use the Fourier convention:

$$92 \quad F(\omega) = \int_{-\infty}^{\infty} f(t) e^{-i\omega t} dt, \quad (3)$$

93 where  $f$  is an arbitrary function as a function of time,  $t$ , and  $F$  is its Fourier component.

94 At high frequency, tsunami velocity  $\sqrt{g_0(h_0 - h(r))}$  near the coast decreases to-  
95 wards zero because the second term of the right-hand side becomes negligible. The coastal  
96 low-velocity region traps tsunami energy, which enhances tsunami run-up height (e.g.  
97 Liu, Cho, Briggs, Lu, & Synolakis, 1995; Satake, 2015). Zero velocity at the coast makes  
98 the governing equation singular. By using the axisymmetric approximation, however, an  
99 analytic evaluation of the singularity becomes possible (Fujima & Goto, 1994).

100 First, let us consider an arbitrary incident arbitrary wavefield  $\eta^{in}(r, \theta; \omega)$  in a flat  
101 ocean without a conical island virtually. We assume that an arbitrary incident tsunami  
102 wave  $\eta^{in}(r, \theta; \omega)$  enters the island and is scattered; thus, the total wavefield  $\eta(r, \theta; \omega)$  can  
103 be represented by superposition between the incident wave and the scattered wave. The  
104 wavefield in a flat ocean can be expanded by a Fourier series with respect to the azimuth

105 and Bessel functions of the first kind with respect to the radial direction as follows:

$$\begin{aligned}
 106 \quad \eta^{in}(r, \theta; \omega) &= \frac{1}{2} \zeta_0^{in}(\omega) J_0(k_0 r) \\
 107 \quad &+ \sum_{n=1}^{\infty} [\zeta_n^{in}(\omega) \cos(n\theta) + \zeta_{-n}^{in}(\omega) \sin(n\theta)] J_n(k_0 r), \quad (4) \\
 108
 \end{aligned}$$

109 where  $J_n$  is the  $n$ th order Bessel function of the first kind,  $k_0$  is the wavenumber given  
 110 by  $\omega/\sqrt{g_0 h_0}$ , and  $\zeta_n^{in}(\omega)$  is the coefficient.

111 Because the governing equation is axisymmetric, the total tsunami wavefield  $\eta(r, \theta; \omega)$   
 112 can also be expanded by a Fourier series with respect to the azimuth as follows:

$$\begin{aligned}
 113 \quad \eta(r, \theta; \omega) &= \frac{1}{2} \phi_0(r; \omega) \\
 114 \quad &+ \sum_{n=1}^{\infty} [\phi_n(\omega) \cos(n\theta) + \phi_{-n}(\omega) \sin(n\theta)], \quad (5) \\
 115
 \end{aligned}$$

116 where  $\phi_n(r; \omega)$  is the radial function of azimuthal order  $n$ . In the following subsections,  
 117 we calculate  $\phi_n(r; \omega)$  by evaluating the scattering for an arbitrary incident wave field us-  
 118 ing a semi-analytic method (Fujima & Goto, 1994). For the evaluation, we divided the  
 119 space into two regions: (I) the flat ocean floor and (II) the sloping bottom of the con-  
 120 ical island as shown by Figure 1.

## 121 2.1 Wave scattering by a conical island in a flat ocean (I)

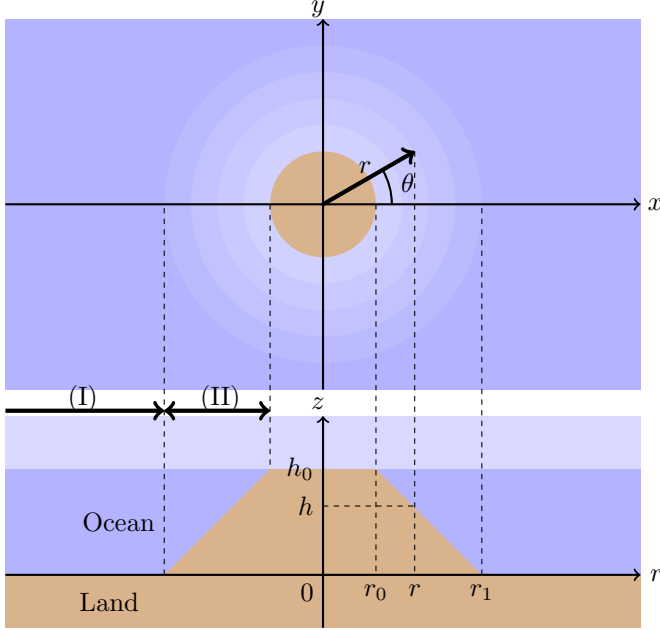
122 The incident wave  $\eta^{in}(r, \theta; \omega)$  enters the conical island area and the scattered wave  
 123 amplitude is represented by  $\eta^{sc}(r, \theta; \omega)$ . The total tsunami amplitude  $\eta$  can be written  
 124 as

$$125 \quad \eta(r, \theta; \omega) = \eta^{in}(r, \theta; \omega) + \eta^{sc}(r, \theta; \omega). \quad (6)$$

126 Let us consider the scattered wavefield  $\eta^{sc}(r, \theta; \omega)$  for the flat ocean floor (I) (see  
 127 Figure 1). The scattered wavefield  $\eta^{sc}(r, \theta; \omega)$  can be represented by an outgoing wave  
 128 in the flat ocean according to the causality of the scattered wave. For a positive angu-  
 129 lar frequency  $\omega$ , the scattered wavefield can be written as

$$\begin{aligned}
 130 \quad \eta^{sc}(r, \theta; \omega) &= \frac{1}{2} B_0(\omega) \zeta_0^{in}(\omega) H_0^{(2)}(k_0 r) \\
 131 \quad &+ \sum_{n=1}^{\infty} [B_n(\omega) \zeta_n^{in}(\omega) \cos(n\theta) + B_{-n}(\omega) \zeta_{-n}^{in}(\omega) \sin(n\theta)] H_n^{(2)}(k_0 r), \quad (7) \\
 132
 \end{aligned}$$

133 where  $H_n^{(2)}(\omega)$  is the  $n$ th order Hankel function of the second kind, which represents out-  
 134 going waves, and  $B_n$  shows the relative amplitudes of the scattered wave.



**Figure 1.** Schematic figure of the conical island. The upper panel shows the plan view of the island, and the lower panel shows the cross-section. The radius on the surface is  $r_0$  and that of the base is  $r_1$ .

135 In summary,  $\phi_n(r; \omega)$  (equation 5) in this region (I) is given by

$$136 \quad \phi_n(r; \omega) = \begin{cases} \left( B_n(\omega) H_n^{(2)}(k_0(\omega)r) + J_n(k_0(\omega)r) \right) \zeta_n^{in}(\omega), & n \neq 0, \\ \frac{1}{2} \left( B_0(\omega) H_0^{(2)}(k_0(\omega)r) \right) \zeta_0^{in}(\omega), & n = 0. \end{cases} \quad (8)$$

137 We note that the Bessel functions represent the incident waves and the Hankel functions  
138 represent the outgoing scattered waves.

## 139 2.2 Tsunami wavefield above the sloping bottom in region (II)

140 For the numerical calculation of  $\phi_n(r; \omega)$  within region (II) ( $r_0 \leq r \leq r_1$ ), we  
141 replace  $\phi_n$  with

$$142 \quad \phi_n(r; \omega) = A_n(\omega) R_n(r; \omega) \zeta_n^{in}(\omega), \quad (9)$$

143 where  $R_n$  is normalized to  $R_n(r_0; \omega) = 1$  and  $A_n$  is the amplitude factor of  $\phi_n$  at  $r =$   
144  $r_0$ . Equation 5 in region (II) is rewritten as follows:

$$145 \quad \eta(r, \theta; \omega) = \frac{1}{2} A_0(\omega) \zeta_0^{in}(\omega) R_0(r; \omega) \\ 146 \quad + \sum_{n=1}^{\infty} [A_n(\omega) \zeta_n^{in}(\omega) \cos(n\theta) + A_{-n}(\omega) \zeta_{-n}^{in}(\omega) \sin(n\theta)] R_n(r; \omega). \quad (10)$$

147

148 Inserting  $\eta(r, \theta; \omega)$  into the governing equation (equation 1) leads to the following equa-  
 149 tion of  $R_n$ :

$$150 \frac{d^2 R_n(r; \omega)}{dr^2} + \left( \frac{1}{r} + \frac{1}{h_0 - h(r)} \frac{dh(r)}{dr} \right) \frac{dR(r; \omega)}{dr} + \left( \frac{\omega^2}{g_0(h_0 - h(r))} - \frac{n^2}{r^2} \right) R_n(r; \omega) = 0. \quad (11)$$

151 Following Fujima and Goto (1994), we define the following dimensionless param-  
 152 eters,  $\xi$  and  $\beta$ , to characterize this system.  $\xi(r)$  is the radial phase defined as

$$153 \xi(r) \equiv \int_{r_0}^r k(r') dr' = 2\omega \sqrt{\frac{(h_0 - h(r))}{g_0}}, \quad (12)$$

154 where  $k(r)$  is the local wave number given by

$$155 k(r) \equiv \frac{\omega}{\sqrt{g_0(h_0 - h(r))}}. \quad (13)$$

156  $\beta$  is the azimuthal phase along a circle with a radius of  $2r_0$  defined by

$$157 \beta \equiv kr|_{r=2r_0}. \quad (14)$$

158 The reason for choosing this radius is discussed in section 6.

159 The change of variables from  $r$  and  $h$  to  $\xi$  and  $\beta$  leads to the following equation:

$$160 \frac{d^2 R_n(\xi; \omega)}{d\xi^2} + \left( \frac{2\xi}{\xi^2 + \beta^2} + \frac{1}{\xi} \right) \frac{dR_n(\xi; \omega)}{d\xi} + \left( 1 - \left( \frac{2\xi}{\xi^2 + \beta^2} \right)^2 n^2 \right) R_n(\xi; \omega) = 0. \quad (15)$$

161 Only in two extreme cases of the radius of the island ( $r_0 = 0$  and  $r_0 = \infty$ ) (Fu-  
 162 jima & Goto, 1994) can we obtain the analytical solutions of  $R(\xi)$ , which are crucial for  
 163 understanding the behavior of  $R(\xi)$ . Two independent solutions exist according to the  
 164 governing equation; only one satisfies the physical requirement, which is a finite ampli-  
 165 tude of  $\eta$  at the shoreline. First, let us consider the analytical solution for an infinite ra-  
 166 dius of the island, which also represents a flat sloping bottom. Because  $\beta$  becomes in-  
 167 finite,  $R_n(0)$  is given by

$$168 R_n(\xi) \sim J_0(\xi). \quad (16)$$

169 Next, let us consider the analytical solution for the zero island radius case  $r_0 = 0$ . Be-  
 170 cause  $\beta$  becomes 0,  $R_n(\xi)$  can be given by

$$171 R(\xi) \sim \frac{J_{\sqrt{1+4n^2}}(\xi)}{\xi}. \quad (17)$$

172 Here, we choose a solution that has a finite amplitude at  $\xi = 0$ . At  $\xi = 0$ , only  $R_0(\xi)$   
 173 has a non-zero value, whereas  $R_n(0) = 0$  for  $n \neq 0$ . In general,  $R_n(\xi)$  has a signifi-  
 174 cant value at  $\xi = 0$  when  $n \leq \beta$  (Fujima & Goto, 1994). We note that we can nor-  
 175 malize all  $R_n$  used in this study at  $\xi = 0$  because the evaluation of the geodetic defor-  
 176 mations requires only  $R_n$  for  $n = 0, \pm 1$ , as discussed in the following sections.

177 This ordinary differential equation can be solved using the numerical Livermore Solver  
 178 for Ordinary Differential Equations (LSODE) (Radhakrishnan & Hindmarsh, 1993). Al-  
 179 though  $R_n$  is integrated from  $R_n(0) = 1$  outward with respect to  $\xi$ , the governing equa-  
 180 tion at  $\xi = 0$  is a singularity. For this reason,  $R_n(\xi)$  is integrated from  $\xi = \Delta\xi$  nu-  
 181 merically.  $R_n(\Delta\xi)$  can be evaluated analytically by the asymptote (Fujima & Goto, 1994).  
 182  $R_n(\Delta\xi)$  can be represented by Taylor expansion up to the second order when  $\Delta\xi \ll 1$   
 183 and  $\beta \neq 0$  (Fujima & Goto, 1994):

$$184 \quad R_n(\Delta\xi) \approx \left(1 - \frac{1}{4}\Delta\xi^2\right). \quad (18)$$

185 Accordingly, the first order initial boundary conditions of  $R_n$  at  $\xi = \Delta\xi$  are given by

$$186 \quad R_n(\Delta\xi) = 1, \quad (19)$$

$$187 \quad \left.\frac{dR_n(\xi)}{d\xi}\right|_{\xi=\Delta\xi} = -\frac{1}{2}\Delta\xi. \quad (20)$$

### 189 2.3 Boundary condition between (I) and (II)

190 We evaluate the boundary condition between (I) and (II) at  $r = r_1$  for this equa-  
 191 tion. Continuity of the amplitude for each azimuthal order,  $n$ , and the first derivative  
 192 at the boundary between regions (I) and (II) leads to the following boundary condition:

$$193 \quad A_n(\omega)R_n(\xi_1) = J_n(k_0r_1) + B_n(\omega)H_n^{(2)}(k_0r_1), \quad (21)$$

$$194 \quad A_n(\omega) \left( \frac{dR_n(\xi)}{d\xi} \frac{d\xi}{dr} \right) \Big|_{\xi=\xi_1} = \frac{dJ_n(k_0r)}{dr} \Big|_{r=r_1} + B_n(\omega) \frac{dH_n^{(2)}(k_0r)}{dr} \Big|_{r=r_1}, \quad (22)$$

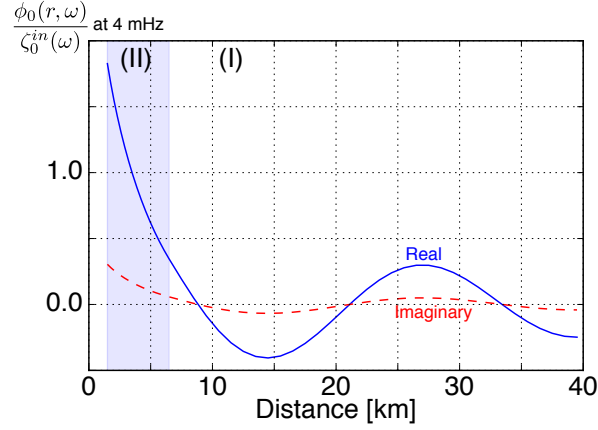
195 where  $\xi_1 \equiv \xi(r_1)$ . We can estimate  $A_n$  and  $B_n$  by solving this equation.  
 196

197 Figure 2 shows the induced tsunami wavefield with azimuthal order 0 for the unit  
 198 amplitude of the incident wave ( $\phi_0(r, \omega)/\zeta_0^{in}(\omega)$ ) at 4 mHz. The parameters are those  
 199 for Aogashima given in Table 1. At approximately  $r = r_0$ ,  $\phi_0(r; \omega)/\zeta_0^{in}(r; \omega)$  is larger  
 200 than 1, which indicates amplification due to confinement along the coast. We discuss this  
 201 in detail in section 6.

## 202 3 Geodetic deformation by tsunami loading

203 To estimate ground motions due to tsunami, we assume that they can be repre-  
 204 sented by static deformation caused by tsunami loading (e.g. Sorrells & Goforth, 1973)  
 205 because the phase velocity of seismic waves (on the order of 4 km/s) is much faster than  
 206 that of a tsunami (on the order of 0.01 km/s) in coastal areas. Loading on the seafloor





**Figure 2.**  $\phi_0(r; \omega)/\zeta_0(\omega)$  at 4 mHz for Aogashima, the parameters of which are given in Table 1. The blue line shows the real part and the red dashed line shows the imaginary part.

**Table 1.** Parameters (radius of the island  $r_0$ , slope  $m$ , and ocean depth  $h_0$ ) used in this study based on ETOPO1 (Amante & Eakins, 2009). These parameters were estimated by the non-linear least-squares technique using MINPACK (Moré et al., 1984) with trial and error.  $f_\beta$  is a reference frequency used as  $\beta = 1$  in equation 14.

station	radius $r_0$ [km]	slope $m$	ocean depth $h_0$ [km]	$f_\beta$ [mHz]
AOG	1.5	0.20	1.0	2.9
RER	29	0.070	4.2	0.39
AIS	4.9	0.18	2.0	1.5
DGAR	12	0.017	4.2	0.29
CRZF	10	0.034	3.0	0.45

207 by the modeled tsunami wavefield is convolved with static Green's functions in a semi-  
 208 infinite medium with the following correction for bathymetric effects. Because the ra-  
 209 dius of the island,  $r_0$ , is much smaller than  $r_1$  in most cases, we evaluate the deforma-  
 210 tion,  $\mathbf{u}(\omega)$ , at the center of the island for simplicity. Note that the tilt motion at the cen-  
 211 ter is also calculated because the horizontal component of a broadband seismometer is  
 212 sensitive to tilt motion (Aki & Richards, 1980).

213 To evaluate the bathymetric correction for the Green's functions in a semi-infinite  
 214 medium, the displacement  $\mathbf{u}(x, y, z)$  and stress  $\boldsymbol{\sigma}(x, y, z)$  in a Cartesian coordinate sys-  
 215 tem  $(x, y, z)$  is expanded by the powers of slope,  $m$ , up to the first order (Segall, 2010;

216 Williams & Wadge, 2000):

$$217 \quad u_i(x, y, z; \omega) = u_i^{(0)}(x, y, z; \omega) + u_i^{(1)}(x, y, z; \omega)m + \mathcal{O}(m^2), \quad i = x, y, z \quad (23)$$

$$218 \quad \sigma_{ij}(x, y; \omega) = \sigma_{ij}^{(0)}(x, y, z; \omega) + \sigma_{ij}^{(1)}(x, y, z; \omega)m + \mathcal{O}(m^2), \quad i, j = x, y, z, \quad (24)$$

220 where  $\mathcal{O}$  indicates "order of",  $u_i$  is the displacement,  $\sigma_{ij}$  is the stress,  $^{(0)}$  shows the 0th  
 221 order term, and  $^{(1)}$  shows the first order terms. Based on the estimation of the first or-  
 222 der terms described in appendix A, the first order terms with respect to the slope,  $m$ ,  
 223 becomes comparable to the second order terms. Therefore, we neglect the first order terms  
 224 below.

225 The displacement and tilt on the surface ( $z = h_0$ ) and at the center ( $x = y =$   
 226  $0$ ) are corrected for elevation from  $z = 0$  as follows:

$$227 \quad u_k(0, 0, h_0) = u_k^{(0)}(0, 0, 0) - h_0 \left. \frac{\partial u_z^{(0)}}{\partial x_k} \right|_{z=0}, \quad k = x, y, \quad (25)$$

$$228 \quad u_z(0, 0, h_0) = u_z^{(0)}(0, 0, 0), \quad (26)$$

$$229 \quad \left. \frac{\partial u_z}{\partial x_k} \right|_{x=y=0, z=h_0} = \left. \frac{\partial u_z^{(0)}}{\partial x_k} \right|_{x=y=z=0}, \quad k = x, y. \quad (27)$$

231 The first-order corrections of horizontal displacement according to the location change  
 232 are related to the corresponding 0th-order tilt motions. The correction of vertical dis-  
 233 placement and tilt motion according to the location change is negligible in the first or-  
 234 der because the surface pressure causes a vertical strain  $\partial u_z^{(0)}/\partial z = 0$  at the free sur-  
 235 face in a half space (Farrell, 1972).

236 Static Green's functions  $g_r^z(r)$ ,  $g_\theta^z(r)$ , and  $g_z^z(r)$  at a surface point  $\mathbf{r} = (r, \theta, 0)$  for  
 237 a vertical force at the origin in a semi-infinite medium are given by (Jaeger, Cook, & Zim-  
 238 merman, 2007; Segall, 2010)

$$239 \quad g_r^z(r) = \frac{1}{4\pi} \frac{1}{\lambda + \mu} \frac{1}{r}, \quad (28)$$

$$240 \quad g_\theta^z(r) = 0, \quad (29)$$

$$241 \quad g_z^z(r) = \frac{1}{4\pi\mu} \frac{\lambda + 2\mu}{\lambda + \mu} \frac{1}{r}, \quad (30)$$

243 where  $r$  is the radius in a cylindrical coordinate system (Figure 1),  $\mu$ , and  $\lambda$  are Lamé's  
 244 constant of the ground, the superscript on the Green's tensors refers to the direction of  
 245 the point force, and the subscript refers to the direction of displacement. By convolv-  
 246 ing forcing by the total tsunami wavefield and the static Green's functions with bathy-  
 247 metric corrections, we can estimate the displacement and tilt at the center.

## 4 Virtual tsunami amplitude and direction without a conical island

Based on the total tsunami wavefield (section 2) and the Green's functions (section 3), we can relate the ground particle velocity at the center to the incident tsunami using a transfer function. The symmetric assumption of the island simplifies the transfer function concerning the azimuthal dependence. By deconvolving the transfer function from observed seismic data in the vertical component, we can infer the incident tsunami amplitude,  $\eta^v$ , at the center assuming that the island is virtually removed. By deconvolving the transfer function from observed seismic data in the horizontal component, we can estimate the spatial gradient of  $\eta^v$ , which shows the propagation direction together with a single plane wave assumption.

### 4.1 Transfer function of the vertical component

The vertical ground velocity at the origin  $v_z(\omega)$  due to tsunami deformation can be represented by convolution between tsunami loading and the static Green's function as:

$$v_z(\omega) = -\rho g_0 \omega e^{\pi i/2} \int_{r_0}^{\infty} \int_0^{2\pi} \eta(r, \theta; \omega) g_z^z(r) r dr d\theta, \quad (31)$$

where  $v_z(\omega)$  is the particle velocity in the  $z$  component given by  $i\omega u_z(\omega)$ . Because we consider vertical deformation at the center of the island, the higher order contributions ( $n \geq 1$ ) are canceled out. Here, we define the virtual tsunami amplitude,  $\eta^v(\omega)$ , without the island as

$$\eta^v(\omega) \equiv \eta^{in}(r, \theta; \omega)|_{r=0}. \quad (32)$$

The virtual tsunami amplitude can be related to the particle velocity  $v_z$  using a transfer function  $T_{\eta z}$ :

$$v_z(\omega) = T_{\eta z}(\omega) \eta^v(\omega), \quad (33)$$

where  $T_{\eta z}(\omega)$  is the transfer function of the tsunami to vertical ground velocity, defined as

$$T_{\eta z}(\omega) \equiv -e^{\pi i/2} \pi \omega \rho g_0 (I_1^z(\omega) + I_2^z(\omega)), \quad (34)$$

The integrals  $I_1^z$  and  $I_2^z$  are defined as

$$I_1^z(\omega) \equiv \int_{r_1}^{\infty} \left( B_0(\omega) H_0^{(2)}(k_0 r) + J_0(k_0 r) \right) g_z^z(r) r dr, \quad (35)$$

$$I_2^z(\omega) \equiv \int_{r_0}^{r_1} A_0(\omega) R_0(r) g_z^z(r) r dr, \quad (36)$$

278 respectively. Figure 3a shows an example of the vertical transfer function  $T_{\eta z}(\omega)$  for Ao-  
 279 gashima. Below 5 mHz, the transfer function is flat. At 0 frequency, the amplitude and  
 280 phase of the transfer function can be explained by the theoretical solution for a flat ocean  
 281 (Ben-Menahem & Singh, 2000) as discussed in section 6. The amplitude decreases with  
 282 frequency above 5 mHz because tsunami wavelength becomes smaller than the island scale  
 283  $r_0$ .

## 284 4.2 Transfer function of the horizontal component

285 Let us consider the transfer function of the horizontal component for tsunami inci-  
 286 dence in the same manner. The horizontal ground velocity at the origin  $\mathbf{v}_h(\omega)$  due to  
 287 tsunami deformation can be represented by

$$288 \mathbf{v}_h(\omega) \equiv \begin{pmatrix} v_x(\omega) \\ v_y(\omega) \end{pmatrix} = -\rho g_0 \omega e^{\pi i/2} \int_{r_0}^{\infty} \int_0^{2\pi} \eta(r, \theta; \omega) \left( g_r^z - h_0 \frac{\partial g_z^z}{\partial r} \right) \begin{pmatrix} -\cos \theta \\ -\sin \theta \end{pmatrix} r dr d\theta. \quad (37)$$

289 Because we consider the horizontal displacement at the center of the island, only  $n \pm$   
 290 1 in terms of  $\eta$  contributes to the integration, as follows:

$$291 \begin{pmatrix} v_x(\omega) \\ v_y(\omega) \end{pmatrix} = \frac{i}{2} T_{\eta h}(\omega) \begin{pmatrix} \zeta_1^{in} \\ \zeta_{-1}^{in} \end{pmatrix} = i \frac{T_{\eta h}(\omega)}{k_0} \nabla \eta^{in}(r, \theta; \omega)|_{r=0}. \quad (38)$$

292 Here,  $T_{\eta h}(\omega)$  is given by,

$$293 T_{\eta h}(\omega) = 2\pi\omega\rho g_0 (I_1^h(\omega) + I_2^h(\omega)), \quad (39)$$

294 where integrals  $I_1^h$  and  $I_2^h$  are defined as

$$295 I_1^h(\omega) \equiv \int_{r_1}^{\infty} \left( B_1(\omega) H_1^{(2)}(k_1 r) + J_1(k_1 r) \right) \left( g_r^z - h_0 \frac{\partial g_z^z}{\partial r} \right) r dr, \quad (40)$$

$$296 I_2^h(\omega) \equiv \int_{r_0}^{r_1} A_1(\omega) R_1(r) g_r^z(r) r dr. \quad (41)$$

298 The spatial gradient of the surface displacement  $\nabla \eta|_{r=0}$  can be related to the flow rate,

299  $\mathbf{Q}$  (Satake, 2015), at the origin defined as

$$300 \mathbf{Q} = \int_0^h \mathbf{v}_h dz = \frac{i g_0}{\omega} \nabla \eta^{in}|_{r=0} \quad (42)$$

301 For simplicity, we assume that  $\eta$  can be represented by a single plane wave inci-  
 302 dence with the relative travel time,  $\mathcal{T}(r, \theta)$ , to the origin. The gradient can be written  
 303 as

$$304 \nabla \eta^{in}(r, \theta; \omega) = -i\omega \eta^{in}(0, \theta; \omega) \nabla \mathcal{T}(r, \theta) = \eta^{in}(0, \theta; \omega) (-ik_0) \mathbf{e}_r, \quad (43)$$

305 where  $\mathbf{e}_r$  is the propagation direction of the tsunami. Then, we obtain the following re-  
 306 lationship:

$$307 \quad \mathbf{v}_h(\omega) = T_{\eta h}(\omega)\eta^v(\omega)\mathbf{e}_r. \quad (44)$$

308  $T_{\eta h}$  represents the transfer function from the tsunami incidence to horizontal ground ve-  
 309 locity at the center. This result shows that the observed ground velocity is parallel to  
 310 the tsunami propagation direction under these simple assumptions. Figure 3a shows an  
 311 example of the horizontal transfer function  $T_{\eta z}(\omega)$  for Aogashima. The transfer func-  
 312 tion has a broad peak at 5 mHz. At 0 frequency, the amplitude and phase of the trans-  
 313 fer function can be explained by the theoretical solution for a flat ocean (Ben-Menahem  
 314 & Singh, 2000) as discussed in section 6. The amplitude also decreases with frequency  
 315 above 5 mHz.

316 Below 1 mHz, tilt motion induced by tsunami is dominant in the horizontal com-  
 317 ponent of seismic sensors (Kimura et al., 2013; Nawa et al., 2007). The horizontal ac-  
 318 celeration contribution due to tilt motion ( $\nabla u_z$ , where  $u_z$  is the vertical displacement)  
 319 is given by  $g_0\nabla u_z$  (e.g. Rodgers, 1968; Wielandt & Forbriger, 1999). Then, the tilt mo-  
 320 tion at the origin,  $v(\omega)$ , due to deformation by the tsunami can be represented by

$$321 \quad \mathbf{v}_h^{tilt}(\omega) = \frac{g_0\nabla u_z}{i\omega} = \frac{-\rho g_0}{i\omega} \int_{r_0}^{\infty} \int_0^{2\pi} \eta(r, \theta; \omega) \frac{\partial g_r^z}{\partial r} \begin{pmatrix} -\cos\theta \\ -\sin\theta \end{pmatrix} r dr d\theta. \quad (45)$$

322 The higher order contributions ( $n \neq \pm 1$ ) are again canceled out.

$$323 \quad \mathbf{v}^{tilt}(\omega) = \frac{i}{2} T_{\eta h}(\omega) \begin{pmatrix} \zeta_1^{in} \\ \zeta_{-1}^{in} \end{pmatrix} = i \frac{T_{\eta h}^{tilt}(\omega)}{k_0} \nabla \eta^{in}(r, \theta; \omega)|_{r=0}. \quad (46)$$

324 Here, the transfer function due to tilt,  $T_{\eta h}^{tilt}(\omega)$ , of the tsunami to horizontal ground ve-  
 325 locity is given by,

$$326 \quad T_{\eta h}^{tilt}(\omega) = \frac{2\pi\rho g_0}{\omega} (I_1^t(\omega) + I_2^t(\omega)), \quad (47)$$

327 where integrals  $I_1^t$  and  $I_2^t$  are defined by

$$328 \quad I_1^t(\omega) \equiv \int_{r_1}^{\infty} \left( B_1(\omega) H_1^{(2)}(k_1 r) + J_1(k_1 r) \right) \frac{\partial g_h^r(r)}{\partial r} r dr, \quad (48)$$

$$329 \quad I_2^t(\omega) \equiv \int_{r_0}^{r_1} A_1(\omega) R_1(r) \frac{\partial g_z^z(r)}{\partial r} r dr. \quad (49)$$

330 Then, we also obtain the following relationship:

$$331 \quad \mathbf{v}_h^{tilt}(\omega) = T_{\eta h}^{tilt}(\omega)\eta^v(\omega)\mathbf{e}_r. \quad (50)$$

Figure 3b shows that the tilt effects of the horizontal transfer function are dominant, specifically at low frequencies. Below 1 mHz, the transfer function approaches the theoretical solution for a flat ocean (Ben-Menahem & Singh, 2000), which is proportional to  $\omega^{-1}$ . With increasing frequency, the contribution of the tilt effect decreases. Although the amplitudes of horizontal components are an order of magnitude larger than those of vertical components, the estimated virtual tsunami amplitude from horizontal components is more ambiguous. This is because tilt motions, which are the spatial derivative of vertical motion, are more sensitive to small-scale bathymetric changes and crustal heterogeneity.

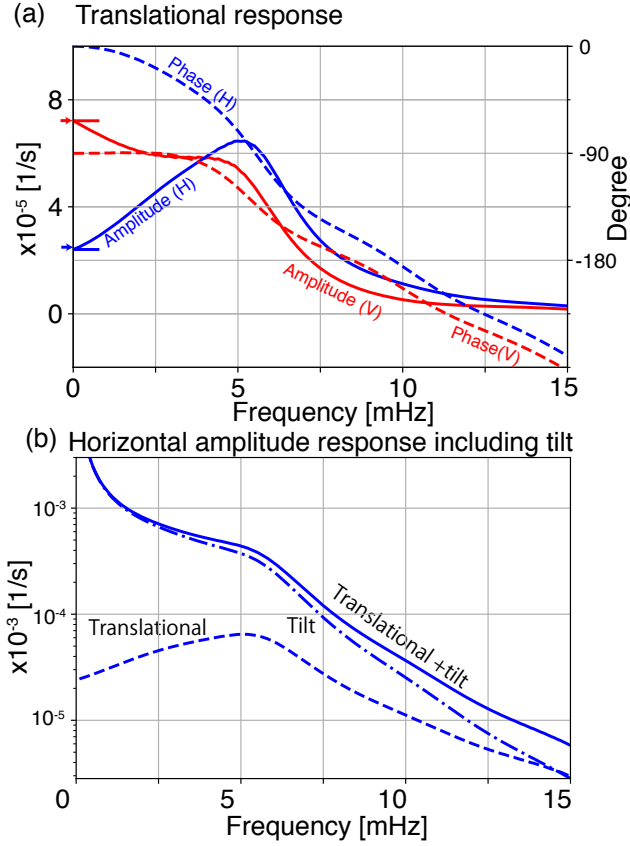
## 5 Comparison with observations

During huge shallow earthquakes, the horizontal components of broadband seismometers located on an island often record tilt motion associated with tsunami (e.g., the 2004 Sumatra earthquake (Yuan et al., 2005)), although the contribution of low-frequency seismic waves excited by the earthquake (Kimura et al., 2013; Yuan et al., 2005) disturbs the tsunami signal. The amplitudes of vertical components are too small to detect because the vertical response is much smaller than the tilt response, as shown in Figure 3.

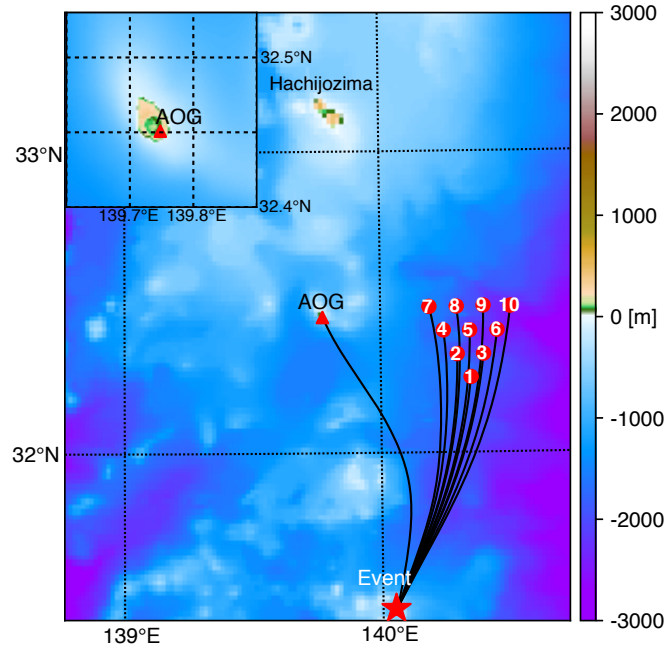
In order to suppress the noise, we apply this method to tsunami earthquakes, which cause a much larger tsunami than expected from the seismic moment. We determine the virtual tsunami amplitude and direction for two tsunami earthquakes: (1) the 2015 volcanic tsunami earthquake near Torishima, Japan, and (2) the 2010 Mentawai tsunami earthquake in Indonesia. These results are verified by ray theory and other geophysical observations.

### 5.1 Torishima 2015 Earthquake in Japan

A compensated-linear-vector-dipole (CLVD) type earthquake occurred on May 2, 2015, near Torishima island, Izu–Bonin arc, Japan (Figure 4), generating an abnormally large tsunami (e.g. 0.5 m at Hachijozima 180 km north of the epicenter) for the moment magnitude of  $M_w$  5.7, determined by the U.S. Geological Survey. The tsunami was caused by large deformation in a shallow part of a submarine volcanic body (Fukao et al., 2018). A triangular array of ocean bottom pressure (OBP) gauges recorded an off-shore tsunami



**Figure 3.** (a) Transfer function of translational motions against frequency. The dashed lines show the amplitudes and the solid lines shows the phase, where (V) in the figure represents the vertical component and (H) shows the horizontal component. The red and blue lines show the vertical and horizontal transfer functions, respectively. Red and blue arrows at 0 mHz show the theoretical amplitudes for a flat ocean (Ben-Menahem & Singh, 2000) in vertical and horizontal components respectively. The phase shift can be explained by the arrival delay (approximately 70 s). (b) Amplitude of the transfer function of the horizontal component against frequency according to the contribution of translational motion, tilt motion, and both. The contribution of tilt motion is dominant below 5 mHz. We note that the phases of these contributions are the same at all frequencies.

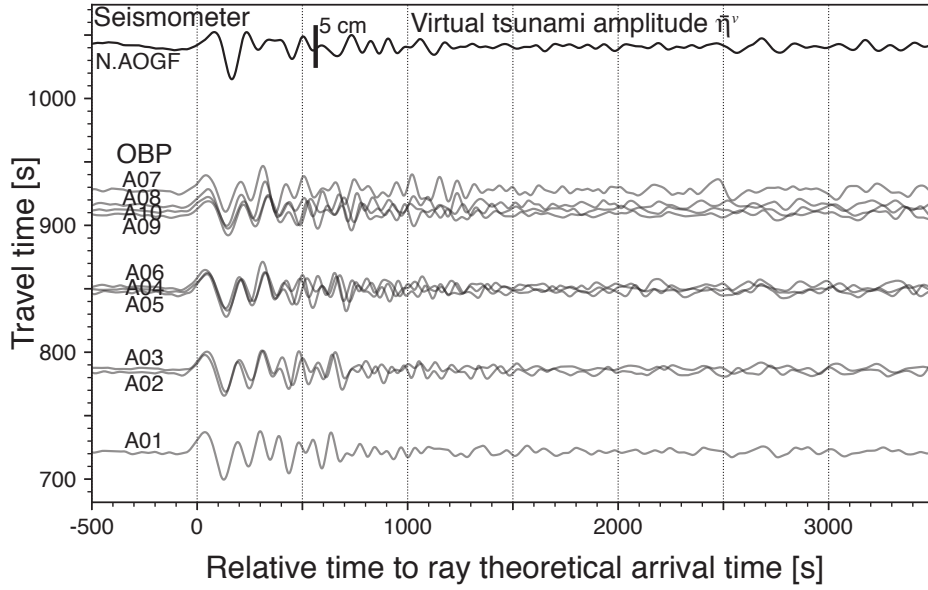


**Figure 4.** Station distribution of an array of 10 offshore pressure gauges (triangles). The inset shows an enlarged map of Aogashima (AOG). The star symbol shows the hypocenter of the earthquake near Torishima on May 2, 2015. At approximately  $33.1^{\circ}\text{N}$ , Hachijojima north to Aogashima is also shown. The station numbers are shown in red circles.

363 (Sandambata et al., 2017). They were deployed 100 km northeast of the epicenter with  
 364 a station separation of approximately 10 km (Figure 4). All tsunami waveforms with am-  
 365 plitudes of approximately 2 cm are similar to each other (Figure 5). A tsunami earth-  
 366 quake with a surface wave magnitude of  $M_s$  5.6 in the same area occurred on June 13,  
 367 1984 (Kanamori, Ekström, Dziewonski, Barker, & Sipkin, 1993; Satake & Kanamori, 1991);  
 368 their focal mechanisms suggest magma injection with the submarine volcano (Fukao et  
 369 al., 2018; Kanamori et al., 1993).

370 At Aogashima island, close to the array, a broadband seismometer (STS2) of F-  
 371 net (Okada et al., 2004) was deployed by the National Research Institute for Earth Sci-  
 372 ence and Disaster Prevention (NIED). Because seismic waves from tsunami earthquakes  
 373 were relatively small at a low-frequency of 1.5-20 mHz, the broadband seismometer recorded  
 374 clear ground motions associated with the tsunami. We can compare the estimated vir-  
 375 tual tsunami amplitudes from the seismic observations with near deep ocean bottom pres-  
 376 sure gauge.





**Figure 5.** Estimated virtual tsunami amplitude with array observations by absolute pressure gauges. The vertical axis shows travel time predicted by ray theory and the horizontal axis shows relative time to the ray theoretical arrival time. Here, travel times are calculated by fast marching (Rawlinson, 2005; Rawlinson & Sambridge, 2005) using the long wave approximation. The uppermost record shows the virtual tsunami amplitude estimated from the vertical ground velocity at Aogashima (N.AOGF). The lower record shows 10 records of ocean bottom pressure gauges. These records are bandpass filtered from 1.5 to 20 mHz (4th order Butterworth, zero phase). The amplitude scales are the same throughout all records. The maximum amplitudes are approximately 2 cm.

377 Using the vertical component of the broadband seismometer, we infer the virtual  
 378 tsunami amplitude. The modeled parameters of the conical island are given in Table (1).  
 379 Using the transfer function,  $T_{\eta z}(\omega)$ , shown by Figure 3a, we estimate the virtual tsunami  
 380 amplitude  $\bar{\eta}^v(\omega)$  by deconvolution:

$$381 \quad \bar{\eta}^v(\omega) = \frac{T_{\eta z}^*(\omega)}{T_{\eta z}^*(\omega)T_{\eta z}(\omega) + w} v_z(\omega), \quad (51)$$

382 where  $w$  is the water level, which is  $5 \times 10^{-3}$  of the squared amplitude of  $T_{\eta z}$  at 5 mHz.  
 383 The  $\bar{\eta}^v$  is converted in time domain. Figure 5 shows the comparison of  $\bar{\eta}^v(t)$  with ob-  
 384 served tsunami amplitudes by the pressure gauges against the relative travel time. The  
 385 estimated amplitude of approximately 2.5 cm and the relative travel times are consis-  
 386 tent with the offshore observations. The ray theoretical arrival times should coincide with

the peak time but the figure shows slight delays in the peak time, which are attributed to dispersion due to the finite wavelength. This result verifies the feasibility of this method.

Next, let us consider the propagation direction from the observed horizontal components shown in Figure 3b. Using the transfer function,  $T_{\eta h}$ , for horizontal components, the tsunami amplitude with a propagation direction of  $(\bar{\eta}_x^v, \bar{\eta}_y^v)$  can be defined as,

$$\begin{pmatrix} \bar{\eta}_x^v(\omega) \\ \bar{\eta}_y^v(\omega) \end{pmatrix} \equiv \frac{T_{\eta h}^*}{T_{\eta h}^*(\omega)T_{\eta h}(\omega) + w} \begin{pmatrix} v_x(\omega) \\ v_y(\omega) \end{pmatrix}, \quad (52)$$

where  $w$  is the water level, which is  $1 \times 10^{-3}$  the squared amplitude of  $T_{\eta h}$  at 5 mHz. With the single plane wave assumption,  $(\bar{\eta}_x^v, \bar{\eta}_y^v)$  can be interpreted as  $\eta^{in} \mathbf{e}_r$  (equation 44). Figure 6a shows the comparison among  $\bar{\eta}_x^v$ ,  $\bar{\eta}_y^v$ , and  $\bar{\eta}^v$ . The waveforms at approximately 1000 s are consistent with each other.

The particle motions of the horizontal components shown in Figure 6b shows a linear polarization, which is consistent with the ray path shown in Figure 4. The consistency suggests that the assumptions related to the approximations of the conical island and the single plane wave are appropriate. Although the horizontal amplitude is slightly larger than the vertical amplitude, the discrepancy can be attributed to the slightly off-center station to the southwest. Phases of the later arrival at approximately 3000 s in Figure 6 are different in different components because they are composed of multiple scattering waves.

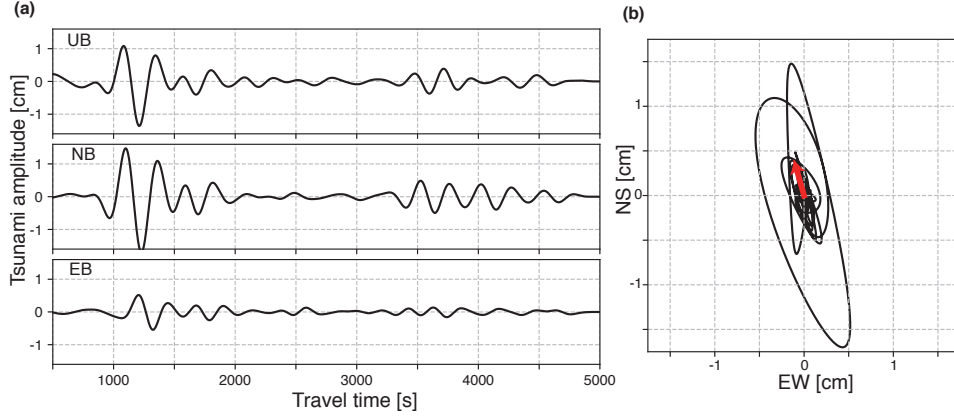
To quantitatively estimate the propagation direction, we assume that the virtual tsunami amplitude is given by  $\bar{\eta}^v$  from the vertical component. Then, equation 44 leads to

$$\begin{pmatrix} \bar{\eta}_x^v(\omega) \\ \bar{\eta}_y^v(\omega) \end{pmatrix} = \bar{\eta}^v \begin{pmatrix} \sin \varphi \\ \cos \varphi \end{pmatrix}, \quad (53)$$

where  $\varphi$  is the propagation azimuth, which, in this case, can be estimated by

$$\varphi = \frac{\pi}{2} - \arctan \left( \frac{\int \bar{\eta}_y^v(t) \bar{\eta}^v(t) dt}{\int \bar{\eta}_x^v(t) \bar{\eta}^v(t) dt} \right) \quad (54)$$

The red arrow in Figure 6 shows the propagation direction  $\varphi$ , whose length shows the root mean squared amplitude from 0 to 5000 s. Because the cross-correlation procedure suppresses incoherent parts, which originate from the higher noise level and scattered wavefield, the estimation is expected to be robust. Figure 7 shows the comparison between the estimated azimuth and the ray azimuth at the station. This figure shows that they are consistent within 10 degrees.

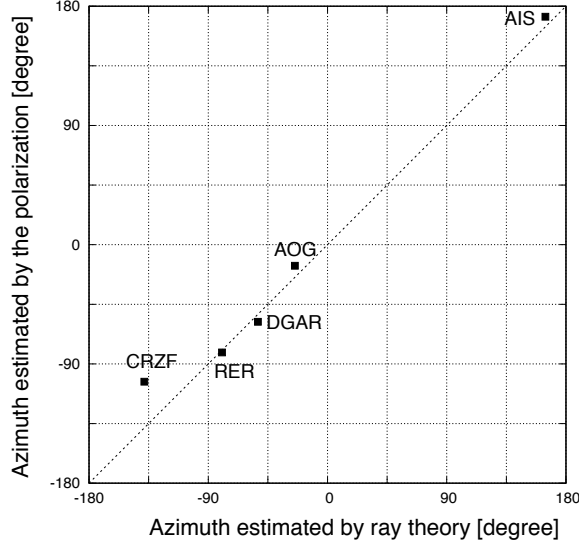


**Figure 6.** (a) The three components of the estimated tsunami waveform. The first is 2–5 mHz with a 6th order Butterworth filter. (b) Particle motions of the horizontal components from 2 to 5 mHz. The red arrow shows the estimated propagation direction with root mean squared amplitudes from 0 to 500 s.

## 417 5.2 Mentawai 2010 in Indonesia

418 The 2010 Mentawai earthquake (Mw 7.8) caused a destructive tsunami in the Mentawai  
 419 Islands, west of Sumatra in Indonesia (Satake, Nishimura, et al., 2013). The tsunami am-  
 420 plitude reached 9.3 m on the west coasts of North and South Pagai Island. Seismolog-  
 421 ical data analyses show that the earthquake was a tsunami earthquake (e.g. Lay et al.,  
 422 2011). For the analysis, we use four broadband stations located on islands DGAR, RER,  
 423 CRZF, and AIS shown in Figure 8. For the estimation of tsunami amplitude, we use the  
 424 water level, which is 5% of the maximum squared amplitude.

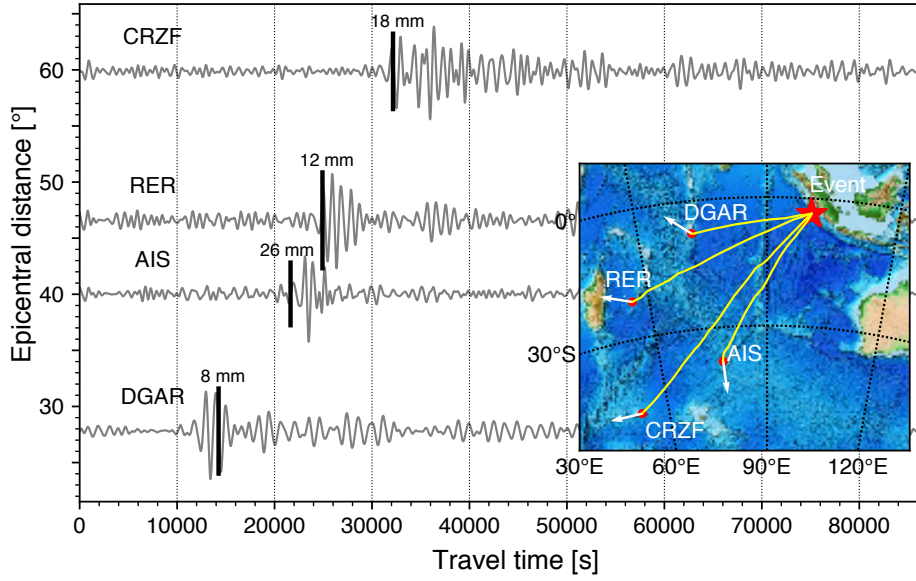
425 Because most island radii (Table 1) are larger than that of Aogashim, as shown in  
 426 Figure 9, their transfer functions are not sensitive to tsunami above 1 mHz. Hence, we  
 427 focus on a signal with a typical frequency of 1 mHz, as shown in Figure 10. The esti-  
 428 mated virtual tsunami amplitudes were 0.4 cm at DGAR, 1.3 cm at AIS, 0.9 cm at CRZF,  
 429 and 0.6 cm at RER. Arrival times of the estimated waveforms are consistent with the  
 430 ray theoretical values. The arrival time at DGAR is advanced because the simple sym-  
 431 metric model is too simple to model a large island with a larger root size  $r_1$  of approx-  
 432 imately 260 km (see Table 1). Although DART station 5601 recorded a maximum tsunami  
 433 amplitude of 1 cm (Satake, Nishimura, et al., 2013), it is located 1,600 km south to the  
 434 epicenter. Because there are no offshore stations close to the four seismic broadband sta-



**Figure 7.** Propagation azimuths at stations. The horizontal axis shows the propagation azimuths estimated by this method utilizing broadband seismic data, whereas the vertical axis shows azimuths based on ray theory.

435 tions, we compare the virtual tsunami heights  $\bar{\eta}^v$  with a numerical results by NOAA cen-  
 436 ter for Tsunami Research, which are maximum tsunami heights at an offshore points close  
 437 to the stations based on the NOAA forecast method using MOST model with the tsunami  
 438 source inferred from DART data (Gica, Spillane, Titov, Chamberlin, & Newman, 2008).  
 439 The calculated maximum wave heights of about 5 mm for RER, about 14 mm for AIS,  
 440 about 14 mm, and about 8 mm for CRZF are consistent with our estimations.

441 The map in Figure 8 shows the estimated propagation directions using three com-  
 442 ponents of broadband seismometers, as shown in the previous subsection. Although the  
 443 estimated azimuths are slightly different from the ray paths on this large scale, the dif-  
 444 ference can be attributed to strong refraction close to the islands. Indeed, the relation-  
 445 ship between the propagation azimuths estimated from the seismic stations can be ex-  
 446 plained by the azimuths predicted by ray theory, as shown in Figure 7. These are con-  
 447 sistent with ray paths within 10 degrees except for CRZF. The deviation could be ex-  
 448 plained by scattering due to the neighboring island shown in Figure 9, which may break  
 449 the single plane wave approximation.



**Figure 8.** Virtual tsunami amplitudes at four stations for the 2010 Mentawai earthquake (Oct 25, 2010). 0.7–2 mHz (order 6). The map in the inset shows the station locations and the earthquake location. The bold black bars show the corresponding ray theoretical arrival times with amplitude scales.

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## 6 Characteristics of the transfer function according to the slope and radius

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Tsunami trapping in the coastal slope of a conical island is crucial for characterizing the transfer functions. This section describes the amplification characteristics due to trapping in coastal areas, where the trapping condition (Longuet-Higgins, 1967) is given by,

456

$$\frac{\partial}{\partial r} \left( \frac{h(r)}{r^2} \right) \geq 0. \quad (55)$$

457

For the case of a conical island, the condition can be simplified as

458

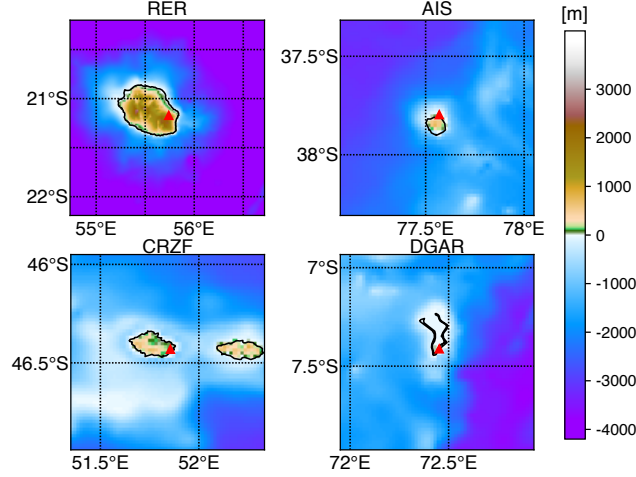
$$r \leq 2r_0. \quad (56)$$

459

This relationship indicates that a larger conical island will trap more inshore areas.

460  
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462  
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$\beta$  defined in equation 14 is crucial for characterizing the trapping effect.  $\beta$  can be interpreted as the ratio of the circumference,  $4\pi r_0$  at  $r = 2r_0$ , to the wavelength,  $\lambda$ . In other words,  $\beta$  shows the azimuthal number of nodes of the trapping mode. Here, we define the cut-off frequency  $f_\beta$  as  $\beta = 1$ . Above this frequency, the tsunami is trapped



**Figure 9.** Enlarged maps of the islands. Stations are indicated by red triangles.

464 in inshore areas.  $f_\beta$  is also a good proxy for geodetic deformation at the center because  
 465 the deformation becomes significant when the radius of the island becomes larger than  
 466 the wavelength. Consequently, the geodetic deformation becomes small with increasing  
 467 frequency above the frequency. The  $f_\beta$  value therefore characterizes the cut-off frequency  
 468 of the transfer functions. Table 1 shows  $f_\beta$  for the islands, which correspond to the cut-  
 469 off frequency shown in Figure 10.

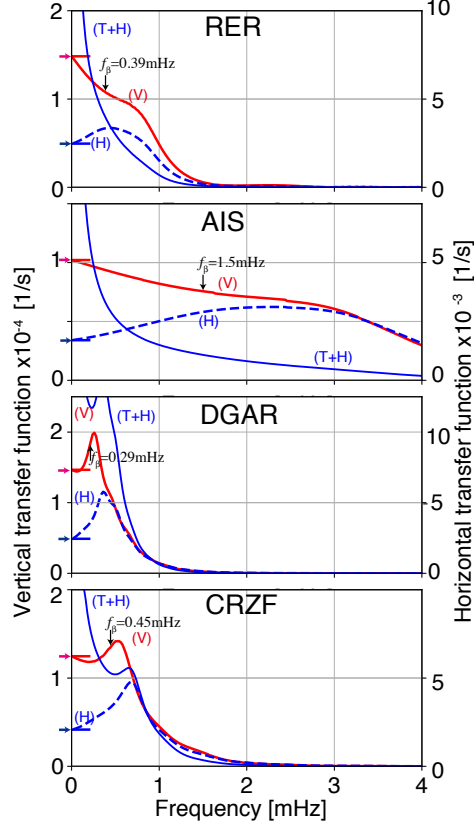
470 With a smaller slope  $m$ , more tsunami energy is trapped in the inshore area due  
 471 to the slow propagation speed. In this case, the transfer function exhibits a peak at ap-  
 472 proximately  $f_\beta$ . The translational transfer functions of DGAR and CRZF with smaller  
 473 slope,  $m$ , show peaks at approximately  $f_\beta$ . Thus,  $f_\beta$  could be a proxy for the charac-  
 474 teristics when evaluating the transfer function,.

475 At much lower frequencies than  $f_\beta$ , we can neglect scattering by the island because  
 476 the wavelength of the tsunami becomes much larger than the island scale. Moreover, the  
 477 contribution of geodetic deformation in the inshore area becomes negligible. In this limit,  
 478 the transfer functions are approximated by those of a semi-infinite medium loaded by  
 479 pressure fluctuations on the surface given by Ben-Menahem and Singh (2000):

$$480 \quad \lim_{\omega \rightarrow 0} T_{\eta z}(\omega) = \frac{e^{-\pi i/2} \sqrt{g_0 h} \lambda + 2\mu}{2(\lambda + \mu)} \frac{\lambda + 2\mu}{\mu} \rho g_0, \quad (57)$$

$$481 \quad \lim_{\omega \rightarrow 0} T_{\eta h}(\omega) = \frac{\sqrt{g_0 h}}{2(\lambda + \mu)} \rho g_0. \quad (58)$$

482



**Figure 10.** Amplitudes of transfer functions for vertical components shown by solid red lines and horizontal components shown by blue dashed lines against frequency at the four broadband stations, composed of one IRIS IDA station, DGAR (Diego Garcia, Chagos islands), and three GEOSCOPE stations RER (La Réunion Island, France), CRZF (Port Alfred - Ile de la Possession - Crozet Islands, France), and AIS (Nouvel Amsterdam, TAAF, France). Labels (V) and (H) show the vertical and horizontal components due to translational motion, and (H+T) shows the horizontal component including the tilt effect. The  $f_{\beta}$  values are shown by black arrows. Station locations are shown in Figure 8. Red and blue arrows at 0 mHz show theoretical amplitudes for a flat ocean (Ben-Menahem & Singh, 2000) in vertical and horizontal components respectively.

483 Figure 3a and Figure 10 also show that the transfer functions approaching zero frequency  
 484 also approach the above values.

## 485 **7 Potential applications for ocean infragravity waves**

486 Although tsunami in this frequency range is ocean infragravity waves excited by  
 487 an earthquake, ocean infragravity waves are also excited by the other geophysical pro-  
 488 cesses. For example, they are excited persistently along shorelines by incident ocean swell  
 489 through nonlinear processes, and travel across the ocean with a typical height on the or-  
 490 der of 1 cm in pelagic regions (Rawat et al., 2014; Tonegawa et al., 2018). The background  
 491 ocean infragravity-wave activities are also key for understanding background seismic wave-  
 492 fields know as seismic hum because they are the primary excitation source (Ardhuin, Gualtieri,  
 493 & Stutzmann, 2015; Nishida, 2013, 2017; Rhie & Romanowicz, 2004). Observed equipar-  
 494 tition between Love and Rayleigh waves (Fukao, Nishida, & Kobayashi, 2010; Nishida,  
 495 Kawakatsu, Fukao, & Obara, 2008) suggests topographic coupling between ocean infra-  
 496 gravity waves and seismic surface waves. Seismic observations at island broadband sta-  
 497 tions could be used to understand the excitation mechanisms because modeling of ocean  
 498 infragravity waves requires further research (Ardhuin et al., 2015; Ardhuin, Rawat, &  
 499 Aucan, 2014).

500 Our proposed technique for estimating virtual tsunami amplitude is applicable not  
 501 only for tsunami but also for random wavefields of the background ocean infragravity  
 502 waves. Seismic observations at islands could elucidate ocean infragravity wave activities.  
 503 The wave action model WAVEWATCH III has recently been extended from the swell  
 504 band to ocean infragravity waves (Ardhuin et al., 2014) and recovers the observed en-  
 505 ergy of wave height within 50%. Our method could be used to improve such models.

## 506 **8 Conclusions**

507 In this study, we consider that an arbitrary tsunami in a flat ocean floor enters a  
 508 conical island. The scattering wavefield is evaluated using a semi-analytical method, which  
 509 is an extension of the theory of Fujima and Goto (1994). Then, we calculate ground de-  
 510 formation due to tsunami loading at the center of the conical island using static Green's  
 511 functions with a first-order correction for bathymetry. In this formulation, the ground  
 512 motions can be represented by convolution between the transfer functions and the in-  
 513 cident tsunami amplitudes at the station. The transfer functions are characterized by



514 a cutoff frequency,  $f_\beta$ , and they approach those given by Ben-Menahem and Singh (2000)  
515 for a semi-infinite medium loaded by pressure on the surface without an island. By de-  
516 convolving the transfer functions from seismic data, we can infer the incident tsunami  
517 wavefield, which can be interpreted as the virtual tsunami amplitude without the island.  
518 Thus, we propose a new technique for estimating the virtual tsunami amplitude and prop-  
519 agation direction from seismic data using the assumption of a single plane wave.

520 First, we apply this technique to seismic records from Aogashima volcanic island  
521 when the Torishima Oki earthquake hit on May 2, 2015. The estimated tsunami ampli-  
522 tude is quantitatively consistent with an array observation of pressure gauges close to  
523 the island from 1.5 to 20 mHz. The incident angle estimated from the seismic data is  
524 also consistent with the ray theoretical value. We also apply this method to seismic data  
525 at four broadband stations located on islands in the Indian ocean for the tsunami earth-  
526 quake in Mentawai, Indonesia on October 25, 2010. Although the observed frequency  
527 range is limited from 0.5 to 2.0 mHz, the incident angles are consistent with ray theo-  
528 retical values. This method can therefore complement offshore tsunami observations.

529 Because this technique is formulated for an arbitrary incident wavefield, it could  
530 be employed not only for tsunami but also for background ocean infragravity waves, which  
531 are excited along shorelines by incident ocean swell through nonlinear processes. Fur-  
532 ther research should develop this method in order to elucidate background ocean infra-  
533 gravity wave activities using broadband seismic stations located on islands.

## A Correction of ground deformation for tilt

Following Segall (2010), we estimate the first order correction of displacements  $u_i^{(1)}$  ( $i = x, y, z$ ) for the bathymetry as induced displacement by the first order stress  $\sigma_{ij}^{(1)}$  in a cylindrical coordinate  $(r, \theta, z)$ , given by

$$\sigma_{zz}^{(1)} = 0, \quad (\text{A.1})$$

$$\sigma_{rz}^{(1)} = -\frac{dh}{dr}(\sigma_{zz}^{(0)} - \sigma_{rr}^{(0)}), \quad (\text{A.2})$$

$$\sigma_{\theta z}^{(1)} = -\frac{dh}{dr}\sigma_{r\theta}^{(0)} \quad (\text{A.3})$$

at  $z = 0$ . Here, the 0th-order terms in Cartesian coordinates satisfy

$$\frac{\partial \sigma_{ij}^{(0)}}{\partial x_j} = 0 \quad (\text{A.4})$$

with boundary conditions given by

$$\sigma_{zz}^{(0)} = -p(x, y), \sigma_{zx}^{(0)} = 0, \sigma_{zy}^{(0)} = 0. \quad (\text{A.5})$$

We note the following relationships:

$$\left. \frac{\partial \sigma_{rz}^{(0)}}{\partial z} \right|_{z=0} = \left. \frac{\partial \sigma_{\theta z}^{(0)}}{\partial z} \right|_{z=0} = \left. \frac{\partial \sigma_{zz}^{(0)}}{\partial z} \right|_{z=0} = 0, \quad (\text{A.6})$$

on the free surface of the island. This result is obtained by representing the stress in terms of the Newtonian potential functions (Love, 1929, section 1.1).

The first order displacement can be calculated by convolution between the Green's function in a semi-infinite medium and  $\sigma_{ij}^{(1)}$  on the surface. The corresponding components ( $\sigma_{rz}^{(1)}$  and  $\sigma_{r\theta}^{(1)}$ ) can be calculated by convolution between  $-p$  and static Green's functions of surface traction for normal traction in a semi-infinite space (Jaeger et al., 2007; Segall, 2010). The Green's functions  $g_{xx}^{\sigma z}, g_{xy}^{\sigma z}, g_{yy}^{\sigma z}$  in a Cartesian coordinate system are given by

$$g_{xx}^{\sigma z} = \frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{-x^2 + y^2}{r^4} + \frac{1 + 2\nu}{2} \delta(r), \quad (\text{A.7})$$

$$g_{xy}^{\sigma z} = -\frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{2xy}{r^4}, \quad (\text{A.8})$$

$$g_{yy}^{\sigma z} = \frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{x^2 - y^2}{r^4} + \frac{1 + 2\nu}{2} \delta(r). \quad (\text{A.9})$$

Note that Jaeger et al. (2007) does not include two terms of  $\delta(r)$  because they are defined outside the source regions. The two terms can be estimated as the limit of a disk load given by Farrell (1972) as  $r$  approaches 0, as shown in the next section. For the convolution between  $g_{ij}^{\sigma z}$  and  $\sigma_{ij}^{(0)}$ , calculation in the wavenumber domain is convenient (Segall,

564 2010).  $G_{ij}^{\sigma z}$ , which is the Fourier component of  $g_{ij}^{\sigma z}$  in the wavenumber domain, is given  
 565 by

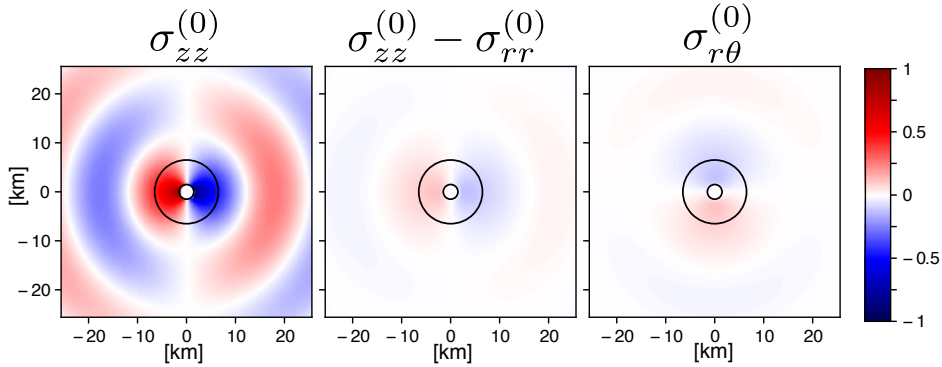
$$566 \quad G_{xx}^{\sigma z} = \frac{1}{2} \frac{\mu}{\lambda + \mu} \frac{-k_x^2 + k_y^2}{k_x^2 + k_y^2} + \frac{1 + 2\nu}{2} \quad (\text{A.10})$$

$$567 \quad G_{xy}^{\sigma z} = \frac{1}{2} \frac{\mu}{\lambda + \mu} \frac{-2k_x k_y}{k_x^2 + k_y^2} \quad (\text{A.11})$$

$$568 \quad G_{yy}^{\sigma z} = \frac{1}{2} \frac{\mu}{\lambda + \mu} \frac{k_x^2 - k_y^2}{k_x^2 + k_y^2} + \frac{1 + 2\nu}{2} \quad (\text{A.12})$$

569

570 Figure A.1 shows a typical example of induced 0th-order stress  $\sigma_{zz}^{(0)} - \sigma_{rr}^{(0)}$  and  $\sigma_{r\theta}^{(0)}$ ,  
 571 which is stress induced by the tsunami wavefield with an azimuthal order of 1 ( $\zeta_1^{in} =$   
 572 1) for Aogashima at 4 mHz. Because  $\sigma_{zz}^{(0)} - \sigma_{rr}^{(0)}$  and  $\sigma_{r\theta}^{(0)}$  are an order of magnitude smaller  
 573 than  $\sigma_{zz}^{(0)}$  at the surface, we can neglect the first order stress  $\sigma_{ij}^{(1)}$ . Consequently, the first  
 574 order displacement  $u^{(1)}$  is also negligible. Although the first order correction of normal  
 575 traction  $\sigma_{zz}^{(1)}$  is negligible, those of shear traction,  $\sigma_{zx}^{(1)}$  and  $\sigma_{zy}^{(1)}$ , are significant.



**Figure A.1.** Stress  $\sigma_{zz}$  is imposed on the surface.  $\sigma_{rr}$  is the induced principle stress on the surface, which is one order of magnitude smaller than the imposed stress. The inner circle shows the radius of the island at sea level,  $r_0$ , and the outer circle shows the radius of the island on the seafloor  $r_1$ .

## B Stress components by surface loads on a half-space

Stress components by surface loads on a half-space are given Jaeger et al. (2007)

as

$$\sigma_{xx} = \frac{1}{2\pi} \left[ \frac{3x^2z}{r^5} + \frac{(1-2\nu)(y^2+z^2)}{r^3(z+r)} - \frac{(1-2\nu)z}{r^3} - \frac{(1-2\nu)x^2}{r^2(z+r)^2} \right] \quad (\text{B.1})$$

$$\sigma_{xy} = \frac{1}{2\pi} \left[ \frac{3xyz}{r^5} - \frac{(1-2\nu)xy(z+2r)}{r^3(z+r)^2} \right] \quad (\text{B.2})$$

$$\sigma_{yy} = \frac{1}{2\pi} \left[ \frac{3y^2z}{r^5} + \frac{(1-2\nu)(x^2+z^2)}{r^3(z+r)} - \frac{(1-2\nu)z}{r^3} - \frac{(1-2\nu)y^2}{r^2(z+r)^2} \right]. \quad (\text{B.3})$$

Because the surface values are singular, we derive the simplified form on the surface below.

Let us consider that stress components by a disk load (Love, 1929; Lubarda, 2013) are given by

$$\sigma_{rr} = \frac{p}{2} \begin{cases} 1 + 2\nu, & r < R \\ -(1 - 2\nu)\frac{R^2}{r^2}, & r \geq R \end{cases} \quad (\text{B.4})$$

$$\sigma_{\theta\theta} = \frac{p}{2} \begin{cases} 1 + 2\nu, & r < R \\ (1 - 2\nu)\frac{R^2}{r^2}, & r \geq R \end{cases} \quad (\text{B.5})$$

where  $R$  is the radius of the disk and  $p$  is the pressure applied uniformly over the disk area. The limits of stress as  $R$  approaches 0 have the following forms:

$$\sigma_{xx} = \frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{-x^2 + y^2}{r^4} + \frac{1 + 2\nu}{2} \delta(r) \quad (\text{B.6})$$

$$\sigma_{xy} = -\frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{2xy}{r^4} \quad (\text{B.7})$$

$$\sigma_{yy} = \frac{1}{2\pi} \frac{\mu}{\lambda + \mu} \frac{x^2 - y^2}{r^4} + \frac{1 + 2\nu}{2} \delta(r). \quad (\text{B.8})$$

These representations are also given by the limit of equation B.1 as  $z$  approaches 0.

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