

1 **Diatom community responses to long-term multiple stressors** 2 **at Lake Gusinoe, Siberia**

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26 deposition

27 **Abstract**

28 Global freshwater systems are threatened by multiple anthropogenic stressors via impacts on
29 ecological structure and function necessary to maintain their health. In order to properly manage
30 freshwater ecosystems, we must have a better understanding of the ecological response to
31 human-induced stressors, especially in multiple stressor environments. Where long-term
32 observational records are scarce or non-existent, paleolimnology provides a means to
33 understanding ecological response to long-term stress. Lake Gusinoe is a large, deep lake in
34 continental southeast Siberia, and has been subject to multiple human-induced stressors since the
35 19th century. Diatom assemblages since the late-17th century were reconstructed from a Lake
36 Gusinoe sediment core, to increase our understanding of the response of primary producer
37 communities to centuries of environmental change. Records of anthropogenic contamination of
38 Lake Gusinoe (as indicated by spheroidal carbonaceous particle, trace metal and element
39 records) indicate increases in regional and local development c. 1920. Diatom assemblages were
40 initially dominated by *Aulacoseira granulata*, which declined beginning in the 18th century,
41 likely as a response to hydrological change in the Gusinoe basin due to regional climate
42 warming following the termination of the Little Ice Age. Significant diatom compositional
43 turnover was observed since the 19th century at Lake Gusinoe. Since the early-20th century,
44 Lake Gusinoe diatom assemblages have changed more profoundly as a result of multiple
45 anthropogenic stressors, including nutrient influx, aquaculture, and wastewater discharge from
46 the Gusinozersk State Regional Power Plant. Recent diatom assemblages are dominated by
47 *Lindavia ocellata* and nutrient-rich species, including *Fragilaria crotonensis* and *Asterionella*
48 *formosa*. Evidence of continued nutrient enrichment at Lake Gusinoe is likely due to

49 aquaculture in the lake, and suggests potential interactive effects of warming regional
50 temperatures and increasing nutrients (eutrophication).

51 **1. Introduction**

52 Freshwater lakes are under threat globally from interacting stressors (Mills *et al.*, 2017),
53 including contamination from human activity (Malaj *et al.*, 2014), enrichment from catchment
54 agriculture (Mekonnen & Hoekstra, 2018) and aquaculture (Legaspi *et al.*, 2015), increased
55 warming of surface waters from climate change (O'Reilly *et al.*, 2015), increased deposition of
56 reactive nitrogen (Nr) (Bergstöm & Jansson, 2006), increased hypoxia due to human activities
57 (Jenny *et al.*, 2016), alterations to local biodiversity from biological introductions and invasions
58 (Gallardo *et al.*, 2016), hydrological modifications (Kominoski *et al.*, 2018), and falling water
59 levels from abstraction and shifting precipitation patterns (Wurtsbaugh *et al.*, 2017). Due to long-
60 range transport of pollutants, even remote lakes are no longer truly pristine (Catalan *et al.*, 2013;
61 Wolfe *et al.*, 2013). Lakes also provide freshwater and protein for human populations, and
62 therefore the history of a lake is often intertwined with the regional history of human activity
63 (Dodds *et al.*, 2013; Jackson *et al.*, 2016a). However, even though the provision of freshwater
64 ecosystem services is fundamental to human well-being, ecosystem functions are often
65 compromised by human activity, threatening the health of the lake itself. Understanding which
66 threats are most important for individual lakes is crucial to managing freshwater ecosystems, but
67 because different threats have different causes, pinpointing when they first started to have a
68 major impact on an ecosystem needs a long-term perspective (e.g. see Dubois *et al.*, 2018 for a
69 review). While long-term monitoring can provide some of these answers, such records are rather
70 rare and not available for most lacustrine ecosystems. For example, although there are several
71 long-term monitoring networks (e.g. The International Long-term Ecological Research (ILTR)

72 network (Vanderbilt & Gaiser, 2017) and the Global Lake Ecological Observatory Network
73 (GLEON) (Rose *et al.*, 2016), none of these are found in Russia. However, through careful
74 exploitation of lake sedimentary archives, including preserved microfossils and chemical
75 constituents, it is possible to reconstruct environmental impacts on lake ecosystems through time,
76 whether they be caused by atmospheric pollutants, disturbance from agriculture and aquaculture,
77 or impacts of global warming, leading to alterations in local ecology and biodiversity.
78 Paleolimnological records can also provide useful insights into natural variability and baseline
79 conditions before human-environment interactions (Dubois *et al.*, 2018).

80 One of the most important river basins in Russia is the Lake Baikal Basin in southeast Siberia,
81 which contains the world's oldest, deepest and most voluminous lake, which is primarily fed by
82 the Selenga River. The Selenga River originates in northern Mongolia and is the largest tributary
83 feeding into Lake Baikal, accounting for over 60% of hydrological flow into the lake (Shimaraev
84 *et al.*, 1994). As over 75% of the plants and animals found in Lake Baikal are endemic,
85 understanding and managing pollution inputs into the lake via rivers such as the Selenga are
86 fundamentally important to retaining its World Heritage Site status. Geographically, much of the
87 Lake Baikal Basin is positioned along the mountain taiga forest - steppe ecotone, a semi-arid
88 transition zone sensitive to climate change (Wu *et al.*, 2012) and fire (Tchebakova *et al.*, 2009).
89 The ecotone is underlain by extensive sporadic to semi-permanent permafrost, which together
90 with the taiga forest, represent carbon stores vulnerable to fire and oxidation. The basin sits in
91 one of the most continental regions, but also one of the fastest warming regions on the planet
92 (Jones *et al.*, 2012), leading to the potential for significant ecosystem change.

93 The Lake Baikal Basin also contains the second and third largest cities in Siberia, Irkutsk and
94 Ulan Ude. The Selenga River flows through Ulan Ude which is the hub of economic activity in

95 southeast Siberia and one of Russia's most polluted cities.. Smaller industrial centres in southeast
96 Siberia, such as Selenginsk and Gusinozersk (Figure 1), are also highly polluted, due to open
97 cast mining of brown coal, mining for aluminium and molybdenum, coal-fired power generation
98 in Gusinozersk, and pulp and paper manufacturing in Selenginsk. Long range atmospheric
99 transport of sulphur and nitrogen pollutants from these industrial centres are a major source of
100 pollution for Lake Baikal (Obolkin *et al.*, 2017).

101 Lake Gusinoye is the second largest lake in the Lake Baikal Basin, and is the only source of
102 drinking and industrial water in the Gusinozersk region. However, poor treatment facilities
103 result in the lake being highly polluted from industrial and domestic waste. One of the largest
104 polluters is the coal-fired Gusinozersk State Regional Power Plant (GSRPP) which discharges
105 about 2 million m³ of heated water into the northern part of the lake every day (UNOPS 2015,
106 p95). Affected waters may be up to 14 °C higher than the rest of the lake, which not only
107 prevents part of the lake freezing over every year (Batueva, 2016), but also has a significant
108 impact on lake structure and function. For example, in this region of warmer water, cold adapted
109 fish have disappeared (Pisarsky *et al.*, 2005). In addition to point source pollution, the ecology of
110 Gusinoye has been impacted by invasions of introduced non-local fish species since the 1950s,
111 followed by the construction of fish farms in the 1980s, which benefit from the warmer waste
112 waters from the GSRPP. Therefore, despite a remote Siberian location, the Gusinozersk region
113 and Lake Gusinoye are subject to many local anthropogenic stressors.

114 Local and regional human activities have been well documented in the Lake Gusinoye region in
115 the latter half of the 20th and early 21st centuries (e.g. Pisarsky *et al.*, 2005) although studies into
116 the impact of such activities on lake environments, and biological responses to anthropogenic
117 activities are much less common, with the response of primary producer communities

118 particularly lacking. Paleolimnological analyses allow us to evaluate ecological sensitivities and
119 thresholds, the nature of ecological responses, as well as evidence for transitions in aquatic
120 ecosystems on account of human activities in the critically important Lake Baikal Basin. Here,
121 we use Lake Gusinoe as a model to study the potential impacts of long-term multiple local and
122 regional stressors on freshwater ecosystems, and utilize multiple components of the Lake
123 Gusinoe sediment record to disentangle recent impacts. The aim is to test our overarching
124 hypothesis that activities associated with economic development in the former USSR since 1945
125 have had a major impact on freshwater diversity, and that the impact of multiple stressors has led
126 to contemporary novel primary producer communities. To test this hypothesis, the following
127 objectives were undertaken:

- 128 • Reconstruct geochemical evidence of increased nutrients, catchment erosion, and
129 pollution, and combine these with documentary evidence of local aquaculture;
- 130 • Reconstruct diatom assemblages and compositional change (beta-diversity) for the past
131 200+ years, to place into context any impact from anthropogenic activities with baseline
132 reference conditions, and undertake appropriate statistical analyses to determine if
133 compositional changes observed are important.

134 **2. Methods**

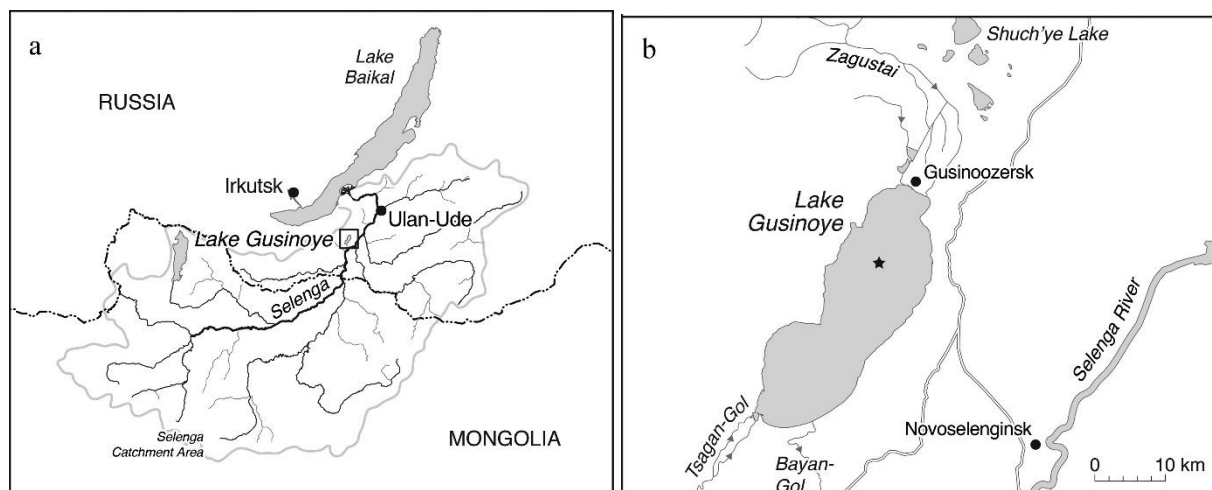
135 **2.1 Study area and regional setting**

136 Lake Gusinoe is located in southeast Siberia, in the region of Buryatia, and is situated at the
137 foot of the Khamar-Daban ridge at an altitude of 551 m (Figure 1a). The lake was formed at the
138 end of Pleistocene as a result of tectonic activity (Bazarov, 1969). It is the second largest lake in
139 southeast Siberia (after Lake Baikal), with a surface area of 164 km², a coastline that extends

140 over 65 km, and a catchment area of 924 km². Lake Gusinoe is morphologically divided into a
141 southern and a northern basin, and has a maximum depth of 25 m (southern basin). Until the
142 early-18th century, the northern and southern basins were two separate, smaller lakes. Increased
143 inflow to the lakes c. 1730 as a result of termination of the Little Ice Age (LIA), led to increased
144 lake levels, resulting in a merging of the two small lakes into one (Pisarsky *et al.*, 2005).

145 The lake-level regime of Lake Gusinoe is dependent on its hydrological inflows (Khardina,
146 2002), which includes 11 currently inflowing streams. Tsagan-Gol River is the main tributary
147 and enters the southern basin (Figure 1b). The second largest tributary is the Zagustai River,
148 which enters in the northern basin, and on the mouth of which sits the GSRPP. Gusinoe's only
149 outflow is located in the southern basin and forms the headwaters of the Bayan-Gol River, a
150 tributary of the Selenga River.

151 The town of Gusinozersk is located on the northeast shore of Lake Gusinoe (Figure 1b), and
152 was founded in 1939 as a settlement for the local coal industry. The settlement grew rapidly,
153 owing to industrialization, and in 1953 was given the status of town. In 1976 the largest coal-
154 fired power plant in southern Siberia was built on the shores of Lake Gusinoe, near the town of
155 Gusinozersk.



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Figure 1: a) Map of Lake Gusinoe and Lake Baikal in southern Siberia; b) Lake Gusinoe with the town of Gusinoozersk and major tributaries indicated. The location of sediment core GSNO is indicated by a star.

2.2 Field sampling/Sediment core collection

164 A sediment core (GSNO) was collected from the northern basin of Lake Gusinoe in October
165 2013 (Figure 1b). The northern basin was chosen due to its proximity to anthropogenic
166 development in Gusinoozersk. The core was collected from a depth of 22 m, the deepest point in
167 the basin, using a *Uwitec* gravity corer fitted with a 6.3 cm internal diameter Perspex[®] tube. The
168 GSNO core was stored intact and upright at the Limnological Institute of the Siberian Branch of
169 the Russian Academy of Sciences (LIN SB-RAS), Irkutsk, RU until extrusion. The GSNO core
170 was sectioned at 0.2 cm intervals using a vertical extruder in September 2014 at LIN SB-RAS.
171 Total core length for the GSNO sediment core was 66.0 cm, however for the purposes of this
172 study we focus on the top 10 cm only. Extruded sediment samples were stored in Whirlpak[®]
173 bags, shipped to University College London (UCL), London, UK and stored at 4°C until
174 processing.

175

176 **2.3 Radioisotope dating**

177 Radiometric dating techniques were used to date the upper sediments from the GSNO core.
178 Approximately 0.5 g of freeze-dried sediment samples were analysed for ^{210}Pb , ^{226}Ra , and ^{137}Cs
179 by direct gamma assay in the Environmental Radiometric Facility at UCL, using ORTEC HPGe
180 GWL series well-type coaxial low background intrinsic germanium detectors. ^{210}Pb chronologies
181 were constructed using the constant rate of supply (CRS) dating model (Appleby & Oldfield,
182 1978; Appleby, 2001), and independently verified using ^{137}Cs .

183 **2.4 Trace elements and metals**

184 Sediment was analyzed for trace and major element concentrations. Following air-drying, dried
185 sediment was subsampled for analysis at 23 intervals within the top 10 cm of the core. Each
186 sample was ground to a fine powder using an agate mortar and pestle. Approximately 1.0 g of
187 dried, finely powdered sediment was weighed into a sample cuvette lined with polypropylene
188 film. Samples were then analyzed for trace and major elements using a Spectro X-Lab 2000
189 energy dispersive X-ray fluorescence spectrometer (ED-XRF) with a Si(Li) semiconductor
190 detector in the Department of Geography at University College London (UCL). Certified
191 standard reference materials (SRM), Buffalo River sediment (SRM 2704; Epstein *et al.*, 1989)
192 were analyzed every 10 samples during analysis. Accuracy of standards was within 10%. Trace
193 element and metal enrichment factors (EFs) were calculated for each sample by first normalizing
194 the concentration of an element or metal (M) to the conservative lithogenic element Ti within the
195 sample, and then normalizing to the background ratio within the core. Enrichment factors (EFs)
196 were calculated using the following equation from Weiss *et al.* (1999):

$$197 \quad EFs = (M_{sample}/Ti_{sample}) / (M_{background}/Ti_{background})$$

198 Background was taken as the average of the oldest five samples from the sediment core, as this
199 was assumed to be the most minimally impacted. An enrichment factor of 1 throughout the
200 record is equivalent to background concentrations, values above 1 indicate enrichment, while
201 enrichment factors greater than 3 indicate definite anthropogenic contamination of the metal
202 (Boës *et al.*, 2011).

203 **2.5 Spheroidal carbonaceous particles (SCPs)**

204 Sediment was analyzed for spheroidal carbonaceous particle (SCP) concentrations following
205 Rose (1994). Samples underwent a sequential attack of mineral acids to breakdown organic
206 matter, carbonates, and silica components. A sub-sample of the resulting SCP residue was then
207 transferred to a coverslip and allowed to evaporate, mounted onto microscope slides and the
208 SCPs counted under a light microscope at 400x magnification. SCP identification criteria
209 followed Rose (2008). Mean recovery rate for this method is 95.2%, with detection limits of 80-
210 100 SCPs g⁻¹ dry mass (Rose, 1994). Reference sediment (Rose, 2008) was analyzed
211 concurrently to the samples. SCP concentrations are reported in units of number of particles per
212 gram dry mass of sediment (gDM⁻¹).

213 **2.6 Loss-on-ignition and sediment densities**

214 Combustion of sediments (loss-on-ignition) at high temperatures provides a first order estimation
215 of organic matter and carbonates in lake sediments. Loss-on-ignition (LOI) at 550°C and 950°C
216 was conducted on GSNO sediment following Heiri *et al.* (2001). Mass loss between 105°C and
217 550°C, and 550°C and 950°C was calculated and converted to % organic matter (LOI₅₅₀) and %
218 carbonate (LOI₉₅₀) of the dried sediment, respectively (Heiri *et al.*, 2001). It was assumed that
219 organic carbon comprised 58% of the total organic matter determined through LOI₅₅₀ (e.g.
220 Nelson and Sommers, 1996; Schumacher, 2002). Total organic carbon density was calculated

221 using sediment bulk density and organic carbon content. Carbon accumulation rates were then
222 calculated using total organic carbon density and sedimentation rates (Loisel *et al.*, 2014).

223 **2.7 Diatoms**

224 Approximately 0.1g of wet sediment (weighed to four decimal places) was subsampled at
225 approximately 0.5-cm intervals for diatom analysis, and processed following standard procedures
226 according to Battarbee *et al.* (2001). For diatom slide preparation, the final cleaned diatom
227 sample was topped up to 10 ml with distilled water and mixed well. One millilitre of the final,
228 cleaned diatom solution was pipetted into a new tube and mixed with 1 ml of 8.0×10^4
229 microsphere ml^{-1} solution. The new diatom sample was then topped up to 10 ml with distilled
230 water and mixed well. Approximately 1 ml of the diatom and microsphere solution was then
231 pipetted onto a round 19 mm diameter cover slip, and allowed to settle and evaporate overnight.
232 The coverslip was then permanently mounted onto a slide using the resin, Naphrax[®]. Diatoms
233 were observed at 1000x magnification under oil immersion using a Leica DMLB. A minimum of
234 300 valves were counted for all samples, with the exception of samples 6.5 cm, 7.1 cm, and 7.5
235 cm depth, in which 200 valves were counted due to low overall concentrations on the slides.
236 Diatom species identification was conducted following Bacillariophyceae Vol. 1-4 (Krammer &
237 Lange-Bertalot, 1986, 1988, 1991a, b), Diatoms of Europe Vol. 2 (Lange-Bertalot, 2001),
238 Diatoms of Europe Vol. 3 (Krammer, 2002), and Diatoms of Europe Vol. 5 (Levkov, 2009).
239 Diatom raw counts were converted to percent relative abundance per sample.

240 **2.8 Statistical analyses**

241 As the total number of diatom valves counted varied between samples, species richness was
242 determined through rarefaction using 200 valves. Diatom species rarefaction richness was
243 conducted on the diatom raw counts using the vegan package in R (Oksanen *et al.*, 2018; R Core

244 Team, 2018). Hill's N2, an index of the diversity of very abundant species in a single sample,
245 was calculated on the full diatom dataset using C2 (Juggins, 2014). Diatom valve concentrations
246 were calculated using the microsphere method, and normalized to weight of sediment of the
247 original sample (# valves g⁻¹ dry weight) (Battarbee & Kneen, 1982). Diatom valve accumulation
248 rates were calculated using sedimentation rates (# valves cm⁻² yr⁻¹).

249 Unconstrained ordinations were employed to detect major patterns of variation in the diatom
250 assemblage data. While the gradient length of axis 1 was shorter than 2.0 SD, unimodal methods
251 (detrended correspondence analysis (DCA)) were used, due to the obvious horseshoe pattern
252 resulting from the use of linear methods (principal components analysis (PCA)). DCA was
253 performed in Canoco5 (ter Braak & Šmilauer, 2012), on the untransformed diatom dataset
254 containing only those species present at >2% in a single interval, to eliminate the influence of
255 very rare species and examine only major trends. Compositional change (beta-diversity) in the
256 diatom flora was estimated by detrended canonical correspondence analysis (DCCA) (Smol *et*
257 *al.*, 2005; Birks, 2007), using estimated and extrapolated ages obtained from the ²¹⁰Pb CRS age
258 model as the constraining variable. Relative abundance data were square-root transformed to
259 stabilize variances, but rare species were not down-weighted. Detrending was done by segments
260 and non-linear rescaling. Monte Carlo permutation tests for temporally ordered data were used to
261 determine significance levels (n = 499).

262 Constrained cluster analysis (based on chord square distance) and breakpoint analysis were
263 performed on the diatom dataset to determine diatom assemblage zones. Broken stick analysis
264 was performed to determine the number of significant zones based on the constrained cluster
265 analysis. Cluster analysis and broken stick analysis were performed in R using the rioja package

266 (Juggins, 2017), and breakpoint analysis was performed in R using the segmented package
267 (Muggeo, 2008). All stratigraphical plots were constructed using C2 (Juggins, 2014).

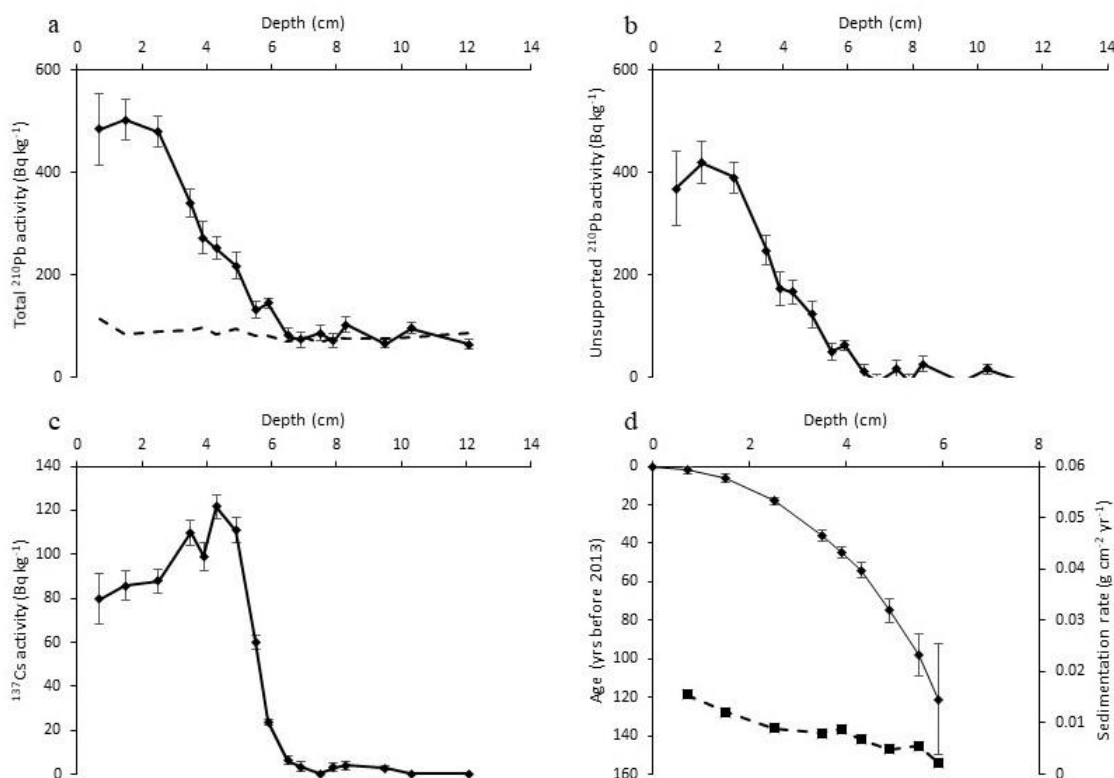
268 **3. Results**

269 **3.1 Radioisotope dating**

270 Total ^{210}Pb activity reached equilibrium with supported ^{210}Pb activity at a depth around 6.5 cm in
271 the GSNO core (Figure 2a). Unsupported ^{210}Pb activities declined irregularly with depth (Figure
272 2b). The CRS dating model placed 1963 at around 4.1 cm, which is in agreement with the depth
273 suggested by the ^{137}Cs record (Figure 2c). Sedimentation rates in the core show a very gradual
274 increase in the 20th century, also indicated through a constant increase in unsupported ^{210}Pb from
275 6 cm to 3.5 cm. There was little net change in unsupported ^{210}Pb in the top 2.6 cm, likely to be
276 derived from dilution caused by an increase in sedimentation rates in the 21st century (Figure 2d).
277 Ages from 5.9 to 10 cm were extrapolated assuming constant sedimentation rates.

278 **3.2 Trace metals and elements**

279 Trace elements and metals have the potential to provide information on anthropogenic
280 contamination, and catchment disturbance. Elemental concentrations remained steady and
281 unchanged in Lake Gusinoye prior to the 1930s. Beginning in the late 1930s, Ti-normalized
282 enrichment factors (EFs) of Cu, Zn, and Pb increased above background levels (Figure 3). In the
283 years closely following the end of World War 2, EFs for Cu increased to 2.0, and EFs for Zn and
284 Pb began a continuous increase above background (1.0) (Figure 3). Enrichment factors for Cu,
285 Zn, and Pb peaked between 1970 and 1980, followed by slight declines but relatively steady
286 values to the surface. Ti-normalized EFs for P showed first signs of an increase post-1940s, with
287 accelerated increase in EFs beginning c. 1970, and peaking at 1.7 at the surface (Figure 3).



288

289 Figure 2. Fallout radionuclide concentrations in core GSNO taken from Lake Gusinoe, Russia,
 290 showing (a) total ^{210}Pb , (b) unsupported ^{210}Pb and (c) ^{137}Cs concentrations versus depth. Figure
 291 2d) Radiometric chronology of core GSNO taken from Lake Gusinoe, Russia, showing the CRS
 292 model ^{210}Pb dates and sedimentation rates. The solid line with diamond markers shows age,
 293 while the dashed line with square markers indicates sedimentation rate.

294 3.3 Spheroidal carbonaceous particles

295 SCPs are unambiguous indicators of high-temperature fossil fuel combustion, especially from
 296 power stations. SCPs appear in the sediment record at Lake Gusinoe c. 1950 at ~ 500 SCPs g $^{-1}$
 297 DW, and are fairly steady in concentration and flux until c. 1980 (Figure 3). Post-1980, SCP
 298 concentration and flux increased, and peaked between the mid-1990s and early 2000s at ~ 1200
 299 SCPs g $^{-1}$ DW and 12 SCPs cm $^{-2}$ yr $^{-1}$. SCP concentrations and fluxes have declined in recent
 300 years (Figure 3).

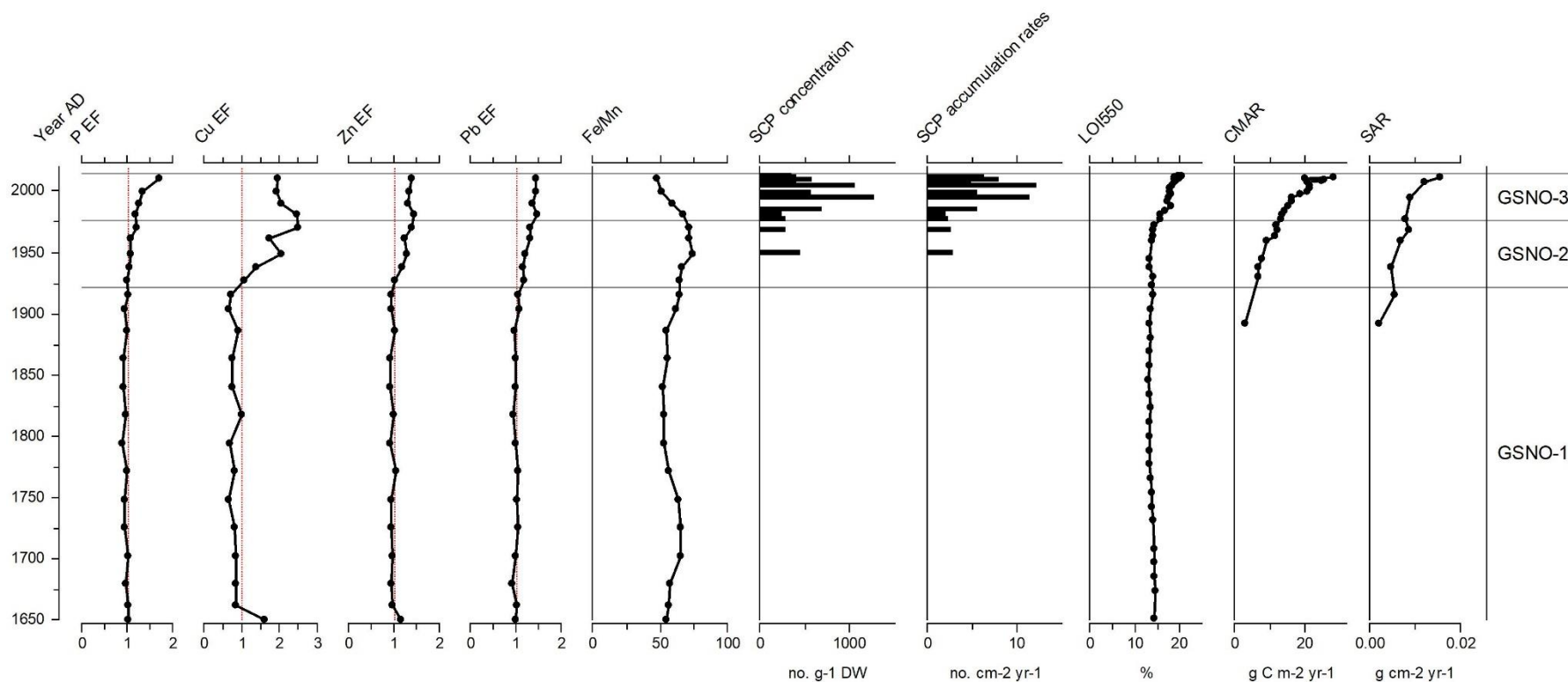
301 **3.4 Carbon accumulations**

302 LOI₅₅₀ was steady between 13.1 and 14.5 % from the mid-17th century until the mid-1970s, at
303 which point values began to increase, reaching 20.4% in the most recent sample (Figure 3).

304 Carbon mass accumulation rates (CMAR) exhibit a four-fold increase since the late-19th century,
305 from ~7 g m⁻² yr⁻¹ to a peak of over 30 g m⁻² yr⁻¹ in recent years (Figure 3).

306 **3.5 Diatoms**

307 The diatom assemblages at Lake Gusinoye were dominated throughout the record by planktonic
308 species. Constrained cluster analysis, DCA, breakpoint analysis, and broken stick analysis
309 indicated the presence of three important zones within the diatom assemblages of the past 200
310 years, and significant shifts occurred c. 1920 AD, and in the late-1970s. Zone 1 of the GSNO
311 sediment record occurred from the base of the record to c.1920. The diatom assemblages from
312 the earliest part of the record were dominated (up to 70%) by *Aulacoseira granulata*, while
313 subordinate species included small benthic fragilarioids of the *Pseudostaurosira-Staurosira-*
314 *Staurosirella* complex, particularly *Pseudostaurosira brevistriata* (Figure 4). Declines in the
315 relative abundance of *A. granulata* began in the 18th century (Figure 4). A steady decline in *A.*
316 *granulata* continued into the 19th and 20th centuries, concurrent with increases in abundance of
317 two other planktonic species, *Fragilaria crotonensis* and *Lindavia ocellata* (Figure 4). *Fragilaria*
318 *crotonensis* first increased in the early 19th century, reaching abundances of ~20% c. 1820 and
319 again c. 1880 AD. The second increase in *F. crotonensis* is concurrent with the increase in *L.*
320 *ocellata* to ~5% abundance. Alongside late-19th and early-20th century changes in species
321 abundances, species richness (rarefaction) and Hill's N2 measure of diversity increased (Figure
322 4).



323

324 Figure 3. Trace metal and element enrichment factors for P, Cu, Zn, and Pb, Fe/Mn ratios, SCP concentrations and accumulation rates,
 325 carbon mass accumulation rates, and sedimentation rates for sediment core GSNO. Zones presented are those from diatom assemblage
 326 analyses.

327 Zone 2 of the diatom assemblages occurred from c. 1920 to the late-1970s, with the first
328 significant breakpoint in the diatom DCA c. 1920 AD. By the start of Zone 2, the previously
329 dominant *A. granulata* had declined to ~20% relative abundance, replaced as dominant species
330 by both *F. crotonensis* and *L. ocellata*. Relative abundances of *A. granulata* remained between
331 20-30% through Zone 2. Between c. 1920 and the 1970s, both *L. ocellata* and *F. crotonensis*
332 continued to increase in abundance, seemingly at the expense of small Fragilarioids (Figure 4).
333 *Lindavia ocellata* abundances increased to ~15% c. 1930 AD, and remained steady throughout
334 Zone 2, while *F. crotonensis* increased through Zone 2, to an abundance of 35% at the end of the
335 zone. As species richness and Hill's N2 diversity began to decline c. 1950 AD, diatom
336 concentrations and fluxes began to increase.

337 Zone 3 of the diatom assemblages occurred from the late-1970s until 2013 AD. Beginning in the
338 1970s and concurrent with the second breakpoint in the diatom DCA analyses c. 1977 AD,
339 increased abundances of planktonic *Asterionella formosa*, were observed, while abundances of
340 *Lindavia praetermissa* and *Stephanodiscus hantzschii* began to increase c. 1990 AD.
341 Abundances of *F. crotonensis* and *L. ocellata* increased at the onset of Zone 3 to ~40% and 20%,
342 respectively. Since the onset of Zone 3, abundances of *F. crotonensis* and *L. ocellata* have
343 remained relatively steady, and the assemblages have since been dominated by these two
344 planktonic species, peaking at almost 50% and 30%, respectively (Figure 4). At the beginning of
345 Zone 3, abundances of *A. granulata* declined once again to fluctuate between 5 and 15% through
346 the zone. Diatom accumulation rates peaked c. 2000 AD valves $\text{cm}^{-2} \text{yr}^{-1}$, however total diatom
347 concentrations and fluxes, and all species fluxes have declined in the past two decades.
348 Significant diatom compositional turnover was observed from Lake Gusinoye (beta-diversity =
349 1.300 SD; $p = 0.002$). Between the mid-17th century and early-19th century, species composition

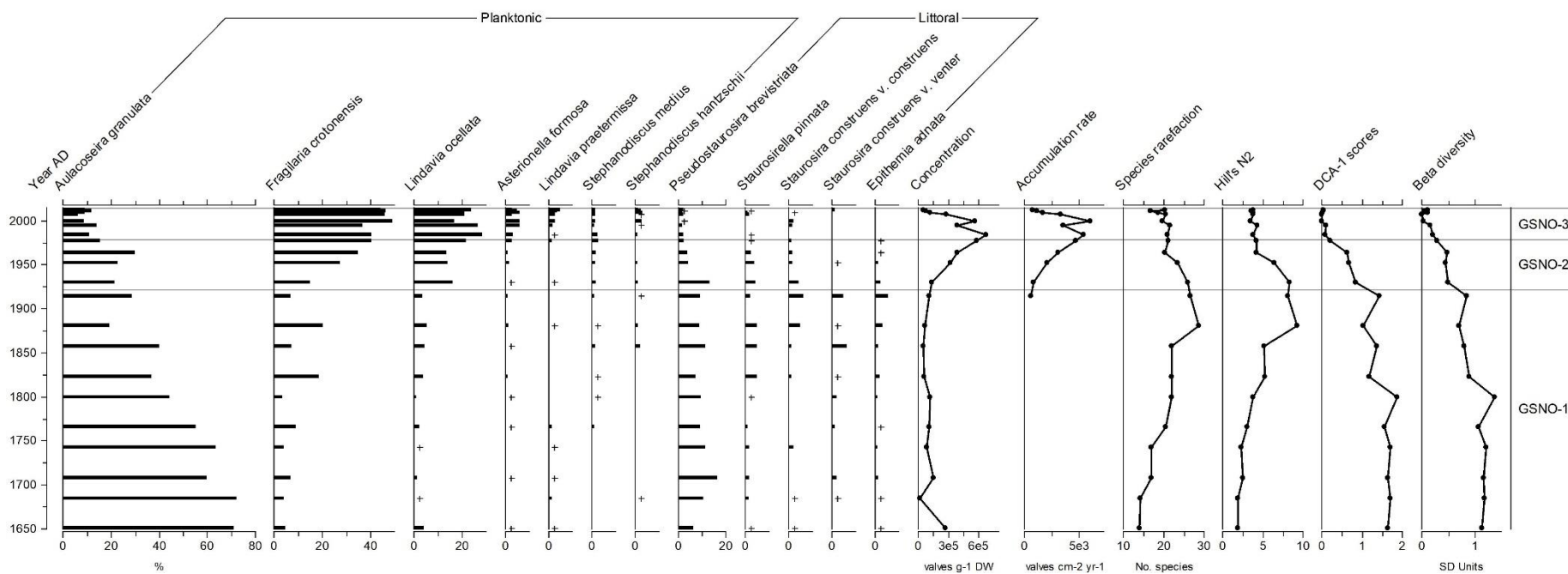
350 was stable, with little change in beta-diversity during this time. Beginning c. 1930 AD, at the
351 onset of diatom Zone 2, greater species turnover was observed with declines in beta-diversity
352 through diatom Zones 2 and 3.

353 **4. Discussion**

354 Lake Gusinoe has undergone several ecological shifts in response to multiple regional and local
355 stressors in the past 100 years. Previous research has indicated increases in zoobenthic biomass
356 from annual average of 18.97 g m⁻² in the 1940s to 32.78 g m⁻² by the 1990s, and unprecedented
357 changes in the structure of the zooplankton community at Lake Gusinoe since the 1980s from
358 large cladocera and copepods to predominantly rotifers and small *Daphnia* (Borisenko *et al.*,
359 1994; Pisarsky *et al.*, 2005). These changes to the zooplankton community occurred concurrently
360 to fish diversification, but also loss of native fish species (Borisenko *et al.*, 1994). The new
361 contaminant and diatom sedimentary records from Lake Gusinoe provide important insights
362 into ecosystem change to multiple anthropogenic stressors on this economically and ecologically
363 important freshwater ecosystem in southern Siberia.

364 **4.1 Anthropogenic contamination of Lake Gusinoe**

365 Enrichment of trace metals started during the early-20th century, concurrent with known
366 historical records of increasing local economies, including the development of coal mining
367 operations around the lake (Pisarsky *et al.*, 2005). The increases in trace metal and SCP
368 concentrations between 1950 and the 1980s reflect the intensification of both local and regional
369 economic activity, including the construction of the Gusinozersk State Regional Power Plant
370 (GSRPP) (*ibid.*). Other regional lake sediment records of anthropogenic contamination indicate
371 similar timing of events, including organic pollutants and SCP records from the south basin of



372

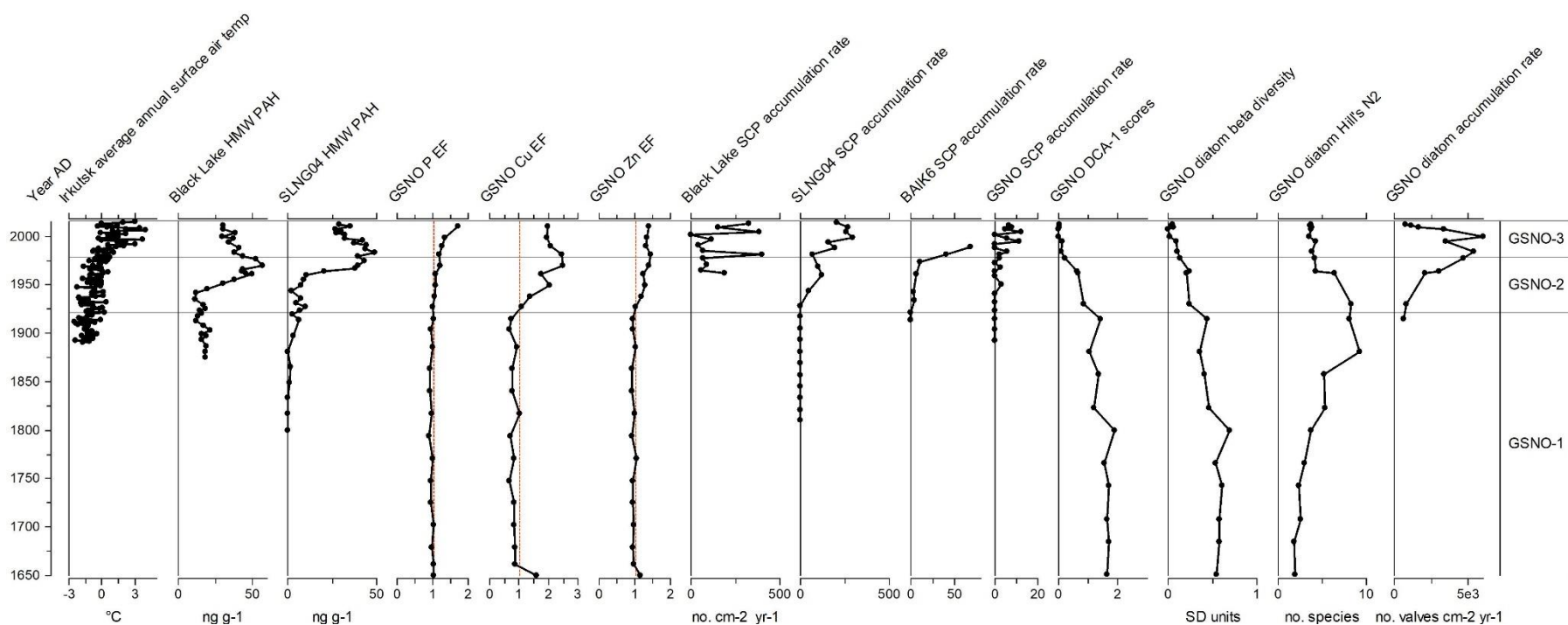
373 Figure 4. Diatom assemblages of Lake Gusinoye from 17th century to present day. Relative abundances (%) of all diatoms present at
 374 >5% at any one time in the sediment record. (+) indicates species present in sample at <1% relative abundance. Diatom concentrations
 375 (no. valves g⁻¹ dry weight) and diatom accumulation rates (no. valves cm⁻² yr⁻¹) are plotted, along with species rarefaction, Hill's N2
 376 diversity, DCA axis 1 scores, and DCCA (beta-diversity) axis 1. Zones are based on DCA, breakpoint, broken stick and constrained
 377 cluster analyses.

378 Lake Baikal (BAIK6) (Rose *et al.*, 1998), and from shallow lakes in the Selenga Delta
379 (SLNG04), and Black Lake, a small lake adjacent to Lake Gusinoye (Adams, 2017; Adams *et*
380 *al.*, 2018) (Figure 5). Rapid increases in SCP concentrations in the south basin of Lake Baikal in
381 the 1950s/1960s reflected local increases in industrialization across southeast Siberia (Rose *et*
382 *al.*, 1998), while small increases in Baikal's north basin reflected regional sources because they
383 were of a similar magnitude to hemispherical background increases. Increased concentrations of
384 polycyclic aromatic hydrocarbons (PAHs) in the Lake Baikal Basin in the 1940s to 1960s also
385 indicate a high likelihood of atmospherically deposited contaminants to Lake Gusinoye from
386 both local and regional sources in the mid-20th century (Shirapova *et al.*, 2013; Adams *et al.*,
387 2018). Close temporal matches between the Lake Gusinoye SCP record and SCP and PAH
388 concentrations from lake sediment cores from the south basin of Lake Baikal (BAIK6), the
389 Selenga Delta (SLNG04), and neighbouring Black Lake indicates the primary period of
390 anthropogenic contamination from industrialization occurred between the 1940s and 1990s
391 (Figure 5). Post-1940, increases in sediment P may reflect the gradual, long-term increase in P
392 inputs to Lake Gusinoye, initially as a result of early agricultural practices in the region
393 (Bazhenova & Kobylkin, 2013), then of increases in wastewater effluent into the lake from the
394 GSRPP and the town of Gusinozersk, and the impacts of fish farming, which were established
395 in the lake the 1980s (Pisarsky *et al.*, 2005). While P chemistry is complex, making it difficult to
396 interpret sedimentary changes in P as directly related to changes in P in the water column, there
397 is little evidence for changing sedimentary redox conditions at this time in Lake Gusinoye
398 (Figure 3), which may indicate that changes in sedimentary P in the 20th century provides an
399 indication of directional change in P inputs to the lake. The sedimentary enrichment of P since

400 the 1940s coincides with the measured increases in phosphate observed by Borisenko *et al.*
401 (1994) between the mid-20th century and early 1990s in Lake Gusinoe.

402 **4.2 Biological responses**

403 Over the past 150 years, undisturbed, temperate lakes show little change in diatom beta-diversity
404 (only ~1 standard deviation units (SD)) (Smol *et al.*, 2005). These sites act as good reference
405 points for comparing diatom beta-diversity in lakes in other regions with contrasting impact
406 histories. Diatom composition in Lake Gusinoe shows significant change over the past few
407 hundred years (Figure. 4). Overall, Lake Gusinoe beta-diversity (1.3 SD) exceeds mean
408 reference conditions from unimpacted lakes in North America and northern Europe (Smol *et al.*,
409 2005; Hobbs *et al.*, 2010), western Greenland (Hobbs *et al.*, 2010), the Tibetan Plateau
410 (Wischnewski *et al.*, 2011) and nearby East Sayan Mountains (Mackay *et al.*, 2012). However,
411 Lake Gusinoe beta-diversity is lower than found in impacted lakes in Svalbard (Holmgren *et*
412 *al.*, 2010) and permafrost thaw slump-affected lakes in the Canadian Arctic (Thienpont *et al.*,
413 2013). Prior to the 1800s, diatom assemblages were very stable in Lake Gusinoe (Figure 4)
414 which we suggest represents reference conditions and baseline assemblages for the lake prior to
415 anthropogenic development in the region. Evidence for diatom compositional turnover starts in
416 the early decades of the 19th century, and accelerates in the lake after 1950 AD. Whereas in polar
417 regions increased beta-diversity is attributed to the limnological impacts of climate change, we
418 argue that in Lake Gusinoe, species turnover is driven by multiple factors, notably impacts of
419 development around the lake and its catchment over the past 100 years, alongside global
420 warming in the past few decades. It is interesting to note that high beta-diversity was also
421 observed in alpine lakes in the American Cordillera linked to increased Nr availability (Hobbs *et*
422 *al.*, 2010).



423

424 Figure 5. A comparison of anthropogenic contamination and diatom records from Lake Gusinoe with regional and local lake
 425 sediment records of anthropogenic contamination from Lake Baikal south basin (BAIK6), Selenga Delta lakes #4 (SLNG04) and
 426 neighbouring Black Lake, and Irkutsk, RU temperature change since the late-19th century.

427 The early part of our record is dominated by the heavily silicified diatom, *Aulacoseira granulata*.
428 (Figure 4). It is a meroplanktonic species which means it is adapted to being suspended in the
429 water column during periods of turnover (Kilham, 1990). When lakes stratify, *A. granulata* sinks
430 out of the photic zone, but because it forms resting spores from which it can regenerate (Schelske
431 *et al.*, 1995) once mixing recommences, e.g. due to strong autumnal winds, it can become
432 entrained back into the water column. One of the most striking features of the diatom record is
433 the gradual decline in abundance of *A. granulata* from approximately 1750 AD to the late 19th
434 century (Figure 4). There are good historical records for 18th century development of Lake
435 Gusinoye. When *A. granulata* dominated the sequence, the lake was divided into two separated,
436 smaller lakes. However, after 1730 AD, water levels in the region rose and the basin was
437 flooded. So prior to 1750 AD, conditions in the region must have been such that deep mixing of
438 Lake Gusinoye occurred, which allowed regeneration of nutrients such as silica for *A. granulata*
439 to take up. Elsewhere in the region, paleolimnological records indicate that climate was very cold
440 at this time, linked to the latter stages of the LIA (Mackay *et al.*, 2005; 2012). After ice break up
441 in spring on Lake Gusinoye, cold waters would have been easy to mix, so supporting *A.*
442 *granulata*. Additionally, growing season at the time would have been shorter, leading to shorter
443 and weaker periods of stratification.

444 Increasing river flow and flooding of the lake after 1750 AD was likely caused by warming, as
445 the region came out of the LIA, causing widespread hydrological instability (Pisarsky *et al.*,
446 2005). Regional warming will also have caused the growing season and period of surface water
447 stratification in lakes to lengthen. In Lake Gusinoye, this may have limited upwelling of
448 nutrients, resulting in the decline in *A. granulata*. *Fragilaria crotonensis* is a common indicator
449 of increasing nutrient influx and increasing trophic level in freshwater lakes (Saros *et al.*, 2005;

450 Akcaalan *et al.*, 2007), and the early increase in *F. crotonensis*, especially after 1800 AD is
451 concordant with both flooding of Lake Gusinoye, and earliest agricultural expansion and
452 widespread deforestation in the Selenga River basin, likely leading to increased mobility and
453 delivery of nutrients (Bazhenova & Kobylkin, 2013). Increased diatom turnover after 1800 AD
454 therefore is a response to regional warming after the cool conditions of the LIA. Hill's N2
455 diversity values also increase at this time, indicative of increases in available resources
456 (Interlandi & Kilham, 2001), likely brought in with meltwater floods.

457 Prior to the end of the 19th century, shifts in diatom communities, between *A. granulata* and *F.*
458 *crotonensis* were gradual but persistent, as highlighted by DCA axis 1 scores (Figure 4). It was
459 not until the start of the 20th century that we start to see critical ecological transitions occurring in
460 the diatom record. The first transition marks the stabilisation of *A. granulata*, but rapid increases
461 in two other planktonic taxa, *F. crotonensis* and *Lindavia ocellata* c. 1920 AD (Figure 4). This
462 switch in community is concurrent with increases in diatom fluxes, trace metal enrichment, and
463 SAR (Figure 3). These early-20th century changes in the diatom communities are likely an
464 ecological response to land-use change from increasing livestock populations (Bazhenova &
465 Kobylkin, 2013) and mining (Pisarsky *et al.*, 2005) resulting in increased soil erosion, against a
466 backdrop of increasing regional temperatures (Figure 5). *Fragilaria crotonensis* is known to
467 respond to increases in nitrogen, and has been considered as an indicator of increasing Nr in
468 previously oligotrophic lakes in the western United States, as a result of increased nitrogen
469 deposition (Saros *et al.*, 2005; Wolfe *et al.*, 2006; Hobbs *et al.*, 2010), as well as rising nitrate
470 concentrations (Stoermer *et al.*, 1978; Wolin *et al.*, 1991), and therefore may be indicative of
471 increasing nutrient flux to the lake. *Lindavia ocellata* may indicate a response to continued
472 warming temperatures, weaker lake turnover and strengthened stratification (Malik & Saros,

473 2016; Liu *et al.*, 2017). Although it may also represent a switch in the lake from N to P limitation
474 (Winder & Hunter, 2008). Therefore, the first significant change in the diatom community of
475 Lake Gusinoye, post baseline conditions, was likely a response to intensifying anthropogenic
476 activities and nutrient enrichment from N, against a background of warmer temperatures in the
477 early-20th century (Figure 5).

478 The second significant change in diatom community composition occurred in the late-1970s
479 (Figure 4), when livestock populations and concomitant soil erosion in the region were at their
480 highest (Bazhenova & Kobylkin, 2013). *Aulacoseira granulata* abundances decline reaching
481 their lowest levels for the whole record, *L. ocellata* and *F. crotonensis* reach their highest
482 abundances, and taxa including *A. formosa*, *L. praeterrissa* and *S. hantzschii* become persistent
483 in the record, all of which may be indicative of increasing nutrient levels, both N and P, in Lake
484 Gusinoye. Total diatom fluxes reach their highest values for the whole record, before declining
485 in the most recently deposited sediments. The significant changes occurring in the late-20th
486 century occur concurrently with peak SCP concentrations and P enrichment above background
487 levels, indicative of high economic and agricultural activity in the region (Figure 5). *Asterionella*
488 *formosa* in particular has been linked in large freshwater lakes to increasing anthropogenic
489 development and associated nutrient enrichment, particularly nitrogen (Stoermer *et al.*, 1991;
490 Wolin *et al.*, 1991; Bergström & Jansson, 2006), and competes well for P in high Si environments
491 (Bradbury, 1988; Saros *et al.*, 2005). The concurrent high abundances of *F. crotonensis* and *A.*
492 *formosa* have been used previously to infer increased nutrient loadings and anthropogenic
493 disturbances in lake catchments (Fritz *et al.*, 1993; Anderson *et al.*, 1995; Garrison & Wakeman,
494 2000; Forrest *et al.*, 2002; Wolin & Stoermer, 2005; Hobbs *et al.*, 2010). Further, both *F.*
495 *crotonensis* and *A. formosa* have been shown to respond primarily to increases in silica and

496 nitrogen in both temperate and alpine lakes (Saros *et al.*, 2005; Bennion *et al.*, 2011). Therefore,
497 the combined high abundances of *F. crotonensis* and *A. formosa*, alongside low abundances of
498 *Stephanodiscus* spp. may represent low but increasing P conditions and moderately abundant N
499 and Si (Michel *et al.*, 2006). Indeed, spot measurements from Lake Gusinoye indicate
500 concentration increases for several nutrients between the mid-20th century and the early-1990s,
501 including phosphate (from 0.003-0.03 up to 0.09 mg l⁻¹), sulphate (from 8 to 68 mg l⁻¹), nitrate
502 (from 0-035 to 0.9 mg l⁻¹), and ammonium (from 0.001 to 2.4 mg l⁻¹) (Borisenko *et al.*, 1994;
503 Khakhinov *et al.*, 2005). Furthermore, *Epithemia adnata*, which contains endosymbiotic
504 cyanobacteria in its cells to assist in nitrogen fixation, completely disappears from the Lake
505 Gusinoye sediment record at this time, lending support to our suggestion that nitrogen supply
506 was plentiful (Deyoye *et al.*, 1992).

507 Ecological changes in the late-20th century are also likely a response to the onset of aquaculture
508 economies (primarily *Cyprinus carpio* and *Acipenser baeri baicalensis*) in the northern basin of
509 Lake Gusinoye c. 1980 AD. Aquaculture is known to result in increases in organic matter
510 deposition in aquatic systems due to fish food deposition and increased fecal matter, and
511 inorganic nutrient enrichment, particularly ammonium, nitrate, nitrite, and phosphate (San
512 Diego-McGlone *et al.*, 2008). Primary producers have been observed to respond strongly to
513 increasing nutrient levels related to fish farming activities, manifested, for example, as
514 transitions to new primary producer communities, and increased occurrences of harmful algal
515 blooms (e.g. San Diego-McGlone *et al.*, 2008; Wang *et al.*, 2009; Jiang *et al.*, 2013). Thermal
516 effluent from the GSRPP in recent decades provided excellent conditions for aquaculture to
517 thrive (Pisarsky *et al.*, 2005). The presence of thermal discharge from the GSRPP and the onset
518 of aquaculture in Lake Gusinoye coincided with further declines in *A. granulata*, and our

519 recorded increase in *A. formosa*, *L. praetermissa* and *S. hantzschii*, and peaks in diatom flux.
520 However, declines in fluxes of all diatoms since c. 2000 may be evidence for either their decline
521 in the water column linked to increasing stratification, increased grazing, or increased
522 competition for resources from other algal groups. Therefore aquaculture and thermal pollution
523 from the GSRPP are the latest in the multitude of 20th century anthropogenic stressors impacting
524 the ecology of Lake Gusinoye, which resulted in significant shifts in diatom assemblages during
525 the past several centuries.

526 **4.3 The nature of ecological shifts in multi-stressor systems**

527 Diatom assemblage changes at Lake Gusinoye during the 20th century now indicate that primary
528 producer communities have undergone significant shifts relative to pre-industrial assemblages.
529 Our findings of primary producer changes correspond with previous studies of increasing
530 zooplankton biomass and change in zooplankton community structure and fish diversification
531 since the mid- to late-20th century (Borisenko *et al.*, 1994). Therefore, rapid and extensive 20th
532 century anthropogenic development in and around the Gusinoozersk region resulted in whole-
533 ecosystem shift of Lake Gusinoye to historically novel ecological communities for the lake.
534 Major shifts at all trophic levels in Lake Gusinoye have come as a result of the multiple
535 anthropogenic stressors impacting the lake ecosystem. In multi-stressors environments, it is
536 possible that the ecological effect of multiple disturbances may be individual, with each stressor
537 inciting an individual response, with an overall additive effect of all stressors. However, the
538 interaction between multiple stressors may result in exceptional ecological shifts and novel
539 ecological communities and trajectories (Sala *et al.*, 2000; Christensen *et al.*, 2006; Bogan &
540 Lytle, 2011; Jackson *et al.*, 2016b), promoting more extensive and/or rapid change, as well as
541 unexpected or unprecedented response to stressors. At Lake Gusinoye, this includes an

542 assessment that the record of diatom assemblages since the mid-17th century provide evidence
543 for a current novel trajectory for algal communities. This includes significant shifts in the
544 planktonic diatom community and declines in the littoral community, with no evidence for
545 recovery, and overall decline in diatom flux since c. 2000, likely due to shifts in trophic
546 dynamics or stronger stratification regimes.

547 Overall, diatom community changes indicate that increasing nutrient loadings to Lake Gusinoye
548 since the early-20th century, have had a greater impact on primary producer communities than
549 increasing regional temperatures. This is in contrast to recent shifts observed in diatom
550 communities from many other lakes in cold regions, which primarily experience increases in
551 small *Discotella/Lindavia* species as a result of reduced ice cover and/or enhanced thermal
552 stratification due to increasing temperatures (e.g. Sorvari *et al.*, 2002; Rühland *et al.*, 2003; Smol
553 *et al.*, 2005; Rühland *et al.*, 2008). This dominant shift was not observed at Lake Gusinoye.
554 Therefore, Lake Gusinoye appears to either be more sensitive to nutrient increases than other
555 northern lakes, or, indicates that, where the opportunity allows due to local or regional sources,
556 diatom responses to nutrient increases will dominate over responses to warming temperatures.
557 However, the rate of compositional turnover (β -diversity; 1.3 SD), and major shifts in diatom
558 assemblages, i.e. concurrent increase in *F. crotonensis* and *L. ocellata* with declines in *A.*
559 *granulata*, fragilarioids and *E. adnata*, followed by concurrent increases in *A. formosa* and *L.*
560 *praetermissa*, may indicate a simultaneous response to increasing nutrients and temperature, with
561 a switch from deep mixing and nutrient upwelling with plentiful silica supply to a lake with high
562 nitrogen levels, abundant silica, and longer stratification times. Moreover, temperature and
563 nutrients may interact with each other at several ecological levels, from individual to whole-
564 ecosystem, resulting in feedbacks (Cross *et al.*, 2015). Therefore, the post-1920 AD diatom

565 assemblage shifts observed may be the result of synergistic effects of increasing nutrients and
566 temperature at Lake Gusinoe.

567 **5. Conclusion**

568 Lake Gusinoe is a multi-stressor environment, and numerous human-related changes in the
569 Gusinozersk region have been documented through the 20th century, all with the potential to
570 impact ecological community structure and function. Trace metal and element records combined
571 with SCP concentrations indicate early impacts of regional and local development c. 1920 AD,
572 concurrent with the onset of increasing livestock numbers and deforestation resulting in
573 increased regional soil erosion. Previous studies have indicated shifts in zooplankton and fish
574 communities since the 1980s as a response to human-related stressors. Now, we show that
575 primary producers have also responded with significant shifts in community structure to the
576 multitude of developments in the Lake Gusinoe region. Diatoms first underwent assemblage
577 shifts as a result of hydrological changes, including increased river flow and flooding, and
578 regional warming leading to lengthening growing season and strengthening of stratification, due
579 to the termination of the Little Ice Age in southern Siberia. Since the early-20th century, diatom
580 communities have changed more profoundly as a result of multiple anthropogenic stressors,
581 including nutrient influx, aquaculture, and wastewater discharge from the Gusinozersk State
582 Regional Power Plant. Lake Gusinoe is an economically and ecologically important freshwater
583 system in southern Siberia. These records of significant ecological change in the second largest
584 lake in southern Siberia, reveal that anthropogenic stressors have had a significant impact on
585 primary producers within the lake. However, despite declines in animal husbandry over the past
586 few decades, diatom assemblages remain indicative of a persistent enriched system, which is

587 likely related to increasing dependency on local aquaculture in the lake, and potential interactive
588 effects between increasing regional temperatures and nutrients.

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597

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