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DEM-based Geomorphological Mapping and Landforms Characterization of a Tropical Karst Environment in Southeastern Brazil

Guilherme P.B. Garcia

Institute of Geosciences, University of São Paulo, São Paulo, 05508-080, Brazil

Carlos H. Grohmann

Institute of Energy and Environment, University of São Paulo, São Paulo, 05508-010, Brazil

Abstract

This work presents a 1:10,000 geomorphological mapping of an area in southeastern Brazil, based on morphometric analysis of Digital Elevation Models (DEMs), while classical methods focus on photo interpretation. Data derived from the DEM include elevation, slope gradient, slope aspect, vertical and horizontal curvatures, amplitude, elongation and wavelength of landforms. These parameters were used along with slope shape and drainage patterns to classify the landforms according to the Land Systems method, in which portions of the landscape that presents similar terrains attributes are grouped from regional (low detail) to local (high detail) scales, respectively, Land Systems, Land Units and Land Elements. The São Paulo State geomorphological map at 1:1,000,000 scale is considered the best reference source, and was compared with the results obtained in this project. Two Land Systems, four Land Units and twelve Land Elements were identified in the study area. In this area, karst terrains are common and easily identified due their characteristics drainage patterns, amplitude and slope gradient. Karst terrain boundaries defined in this study do overlap with those defined in the state map, however the morphometric analysis allowed a better description of the terrain attributes used to define them. The terrain attributes derived automatically from the DEM enabled an accurate geomorphological classification of the study area. The methodology presented in this paper is considered effective for mapping landforms at a detailed scale and can be employed in regional scale mapping using coarser resolution DEMs.

Keywords: Geomorphology, Geomorphometry, Karst, Digital Elevation Model, Land Systems, Ribeira River

1. Introduction

Technological development in the past decades allowed an exponential growth of computational resources in Earth Surface analysis and mapping, where airborne (i.e., Light Detection and Ranging(LiDAR), Unmanned Aerial Vehicles (UAVs)) and satellite-based topographic data support the

Email addresses: guilherme.pereira.garcia@usp.br (Guilherme P.B. Garcia), guano@usp.br (Carlos H. Grohmann)

URL: http://igc.usp.br (Guilherme P.B. Garcia), http://iee.usp.br (Carlos H. Grohmann)

analysis of physical features of landscape directly from Digital Elevation Models (DEMs) (McKean and Roering, 2004; Ardizzone et al., 2007; Jaboyedoff et al., 2012; Guzzetti et al., 2012).

Topographic data remains the primary source of information for many Earth Science studies such as geomorphology and landscape development (Franklin, 1987; Pike, 1995; Evans, 1998; Schmidt and Andrew, 2005; Erskine et al., 2007; Minár and Evans, 2008; Pike et al., 2009); risk assessment (Metternicht et al., 2005; Ghuffar et al., 2013; Wang et al., 2013); landslide identification and mapping (McKean and Roering, 2004; Glenn et al., 2006; Ardizzone et al., 2007; Eeckhaut et al., 2007; Booth et al., 2009; Sterzai et al., 2010; Jaboyedoff et al., 2012; Guzzetti et al., 2012); and morphotectonics (Hiruma and Riccomini, 1999; Modenesi-Gauttieri et al., 2002; Grohmann et al., 2007). While terrain attributes derived from DEMs enable an accurate characterization of the landscape at both regional and local scales, the occurrence of errors and artifacts due to data noise, pixel size, reprojection, interpolation method and others, still pose a substantial problem (Garbrecht and Martz, 1994; Hengl, 2006; Raaflaub and Collins, 2006; Grohmann and Steiner, 2008; Hebeler and Purves, 2009), which must be addressed before any analysis.

Digital elevation data allows better land surface segmentation because it uses quantitative models based on morphometric attributes for relief classification, distinguished from qualitative and semiquantitative models predominantly used in traditional studies (Blaszczynski, 1997; Wilson and Gallant, 2000; Schmidt and Hewitt, 2004). Digital mapping methods can provide more detailed and precise maps which improve terrain information and are easier to handle in Geographic Information Systems (GIS) (Franklin, 1987; Moore et al., 1991; Blaszczynski, 1997; Minár and Evans, 2008). The importance of reliable geomorphological mapping lie with its interaction with other environmental studies (Minár and Evans, 2008).

For some parts of Brazil, geomorphological maps are not commonly updated and usually are presented at regional scales that generalize land surface information, even though important surface features exist in the territory. Many maps date from decades ago and were generated using traditional methods, usually fieldwork and aerial photo interpretation. To perform new land surface mapping in this manner would be costly, but digital mapping from existing topographic data is feasible and should enhance existing surface information depending on the scale of analyis.

The main goal of this research was to generate a geomorphological map based exclusively on attributes derived from a DEM generated from topographic maps, and compared to a traditional (i.e., manual) geomorphological mapping method.

2. Study Area

The area selected for this study is a hilly, forested, region in southeastern Brazil, renowned for the occurrence of karst features in its Natural Reserves. It is located in the upper Ribeira river valley in the southern part of São Paulo State (Fig. 1) covering 714 km^2 (17 km x 42 km) and between UTM coordinates N 7270000/7290000 and E 720000/770000 (zone 22J, southern hemisphere). The region is characterized by a landscape of hills and ridges with dense Atlantic Forest coverage preserved in conservation units, agricultural areas, and small towns and villages. The climate is humid subtropical, with a hot and rainy summer and without a typical dry season in the winter (Cfa and Cfb of Koppen, 1948). The main conservation unit in the area is the Upper Ribeira State Park (PETAR – Parque Estadual Turistico do Alto Ribeira), created in 1958 for the purpose of protecting the caves and surrounding forest.

Geologically, the area is within the Mantiqueira Province, Apiai Domain (Hasui, 2012), and is composed mainly of supracrustal rocks of low to medium metamorphic degree of the Açungui Group and gneiss-migmatite basement (Fig. 2A). The Açungui Group comprises intercalated layers of metasediments in NE-SW oriented bands controlled by shear zones (Campanha and Sadowski, 1999; Heilbron et al., 2004). The limestones in the area belong to the Middle Proterozoic Lajeado Subgroup (Campanha and Sadowski, 1999). Predominance of carbonate rocks occur in the West portion of the study area while pelitic rocks are abundant in the East.

The geomorphology of the region (Fig. 2B), located in the transition zone between the Atlantic Plateau (locally known as the Guapiara Plateau) and the Coastal Lowlands, is marked by a

mountainous landscape with a maximum altitude above 1000 m and deep valleys with up to 800 m in amplitude. A pattern of polygonal karst with deep blind valleys and karstic canyons distinguishes the Ribeira Valley karst from other Brazilian karst regions Auler and Farrant (1996). According to Karmann and Ferrari (2000), the Ribeira Valley karst "stands out for its steep hydraulic gradients, its important allogenic recharge and incised underground drainage with vadose canyons up to 60 m deep, where vertical caves or abysses and huge collapse halls are common".



Figure 1. Location of study area in Southeastern Brazil, highlighting the southern portion of the Upper Ribeira State Park (PETAR). UTM coordinates, zone 22J (Southern hemisphere).



Figure 2. A) Simplified geological map of the study area. Modified from Campanha and Sadowski (1999) and Karmann and Ferrari (2000). B) Geomorphological map for the study area (Ponçano, 1981, original scale of 1:1,000,000). UTM coordinates, zone 22J (Southern hemisphere).

3. Methods

3.1. Morphometric Analysis

The input data for morphometric analysis and morphological mapping consisted of a DEM with a 10 m spatial resolution, generated by the interpolation of contour lines and height points digitized from a 1:10.000 topographic map. The interpolation was carried out with Regularized Splines with Tension (Mitasova and Mitas, 1993; Mitasova and Hofierka, 1993; Mitasova et al., 2005), a robust method which allows the adjustment of mathematical parameters for tension and smoothness of the resulting surface (v.surf.rst tool from GRASS-GIS v.6.4).

Using a combination of ArcGIS v.10 (ESRI, 2011) and GRASS-GIS v.6.4 (Neteler et al., 2012; GRASS Development Team, 2015), the following terrain attributes were derived from the DEM: hypsometry, slope, plan (horizontal) and profile (vertical) curvatures. The drainage network and watershed basins were calculated in ArcGIS with a threshold of 100 cells. Morphostructural lineaments (O'Leary et al., 1976) were interpreted from a set of eight shaded relief images with illuminant positioned at 45° intervals, since the scene illumination will enhance linear features which are perpendicular to lighting, in spite of parallel ones (Grohmann, 2004; Smith and Wise, 2007). Other parameters such as extent, elongation and wavelength of individual landforms were manually extracted using ArcGIS on-screen measuring tools.

3.2. Geomorphological Mapping

Geomorphological mapping was carried out according to the *land systems* method, based on the classification of areas with similar physical features and terrain attributes, which are distinct from the adjacent portions (Christian et al., 1953; Christian, 1958; Ponçano et al., 1979; Ponçano, 1981).

Land systems show the main structures of a landscape at a regional scale, being divided into minor and more detailed land portions, namely *land units* and *land elements*. A land unit is a homogeneous tract of land at a given scale (Zonneveld, 1989) that can be described similarly in terms of its topography, soil, lithology and vegetation (Christian, 1958), such as scarps, hills, alluvial fans. Land elements are the components of land units and can be represented, for instance, by the crest, hillside or footslope of a given scarp (Ponçano, 1981).

The mapping was performed manually in ArcGIS, delineating and encompassing portions with similar morphometric characteristics that fit land unit and land element definitions. All terrain attributes derived from the DEM were used in the mapping process.

3.3. Karst Landform Mapping

Karst landforms are the most significant landscape features in the study area due their hydrological and morphological attributes. Karst landscapes are the result of complex combinations between geology, climate, topography, hydrology and biological factors over time (Stokes et al., 2010). Karst surface landforms are generally classified according to their scale, distinguishing input, residual and output features (De Waele et al., 2009). Surface drainage in karst terrain is an important mapping feature since underground drainage is predominant (Summerfield, 1991; De Waele et al., 2009; Hardt et al., 2010; Stokes et al., 2010). Sinkholes and resurgences (karst springs) impose a chaotic pattern to the stream network that allows the identification of karst areas.

Karst landforms can be identified from a DEM and derived terrain attributes by surface disturbance caused mainly by meso to macro scale features, such as dolines and poljes in a well developed karst environment (Summerfield, 1991; Stokes et al., 2010). Automated methods for karst identification using DEM input data have been performed by several researchers (e.g., Anders et al., 2011; Doctor and Young, 2013; Wu et al., 2016).

Carvalho Júnior et al. (2013) proposed a method for automatic delimitation of karst landforms based on simple DEM operations. First, a depression-filling algorithm is applied to a DEM. Such algorithms are commonly utilized as a pre-processing step in geomorphological or hydrological analysis to produce a "depressionless" DEM in which the elevation of cells contained in depressions are raised to the lowest elevation value on the boundary of the depression (Jenson and Domingue, 1988; Planchon and Darboux, 2001; Lindsay et al., 2004; Lindsay and Creed, 2006).

The procedure fills all depressions in the DEM, not only those considered as erroneous data, but also those that represent real topographic features such as river valleys and karstic depressions (dolines, poljes, polygonal basins). A second step is a subtraction between the filled and the original DEMs. This will result in a map of the filled areas, which is then compared with the geology and geomorphology of the study area to delineate karst terrain (Carvalho Júnior et al., 2013; Kakavas et al., 2015, 2018).

4. Results and Discussions

4.1. Morphometry

The hypsometric map of the study area, classified in elevation intervals of 50 m, is presented in Fig. 3A. Elevation is commonly below 450 m with altimetric amplitude around 300 m, although it can reach 900–1,100 m in the central-west, Northwest and Southeast portions of the area, with amplitude around 400–500 m, and frequently associated with karstic landforms. In the karstic areas, drainage carving is common, as well as the presence of escarpments without a main orientation or structural control.

The slope map of the area is presented in Fig. 3B. Low slope values are associated with streams and valleys in karstic areas and in the Ribeira river. Slope angles above 20° are predominant. High slope values, usually above 40° , are common in the whole area with a high concentration in the North-Northwest portion, within karstic areas or associated with shear zones and geological contacts between carbonate and non-carbonate rocks.

Maps of horizontal and profile curvature are depicted in Fig. 3C and Fig. 3D, respectively. Convex horizontal curvature indicates flow divergence and rounded landforms while concave ones represent accumulation zones at the footslopes. Convex vertical curvature represents acceleration flow towards the footslope, and concave, deceleration. The horizontal curvature map shows the predominance of convex and flat surfaces; convex forms occur over the whole study area and does not have a lithological or structural control. Flat surfaces describe hillsides, streams and valleys. Concave surfaces are commonly related to steep slopes and high amplitudes occurring mainly in the East-Southeast portion of the area.

On the profile curvature map, flat and concave surfaces are predominant and mainly related to areas of high drainage density. Flat surfaces are abundant over the whole study area with no evidence of a lithological or structural control. Concave surfaces are related to valleys and degradation relief, they are also lithology independent and their presence in karst terrain can be related to depressions such as dolines and poljes. Convex surfaces are uncommon, occurring essentially on hilltops.



Figure 3. Morphometric maps of the study area. A) Hypsometry; B) Slope; C) Plan curvature; D) Profile curvature. UTM coordinates, zone 22J (Southern hendisphere).

4.2. Drainage Network Analysis

The drainage network map (Fig. 4) was superimposed on the other maps (geology, geomorphology, thematic maps) for pattern analysis. Superficial features and morphometric terrain characteristics are strongly associated with the surficial drainage network. Dendritic patterns are predominant in the study area, however structural control by the shear zones is evident in the NW portion where streams are remarkably straight. A radial pattern is present in the Eastern portion.



Figure 4. Drainage network over shaded relief image. UTM coordinates, zone 22J (Southern hemisphere).

4.3. Lineament Analysis

Manually interpreted lineaments for the study area are shown in Fig. 5, along with rose diagrams of the frequency and length of lineaments per 10° interval. Two main trends are observed, at NE and at NNW. Lineaments oriented from NNE to ENE are predominant and relate to the general structural trend of the Mantiqueira Tectonic Province (Campanha and Sadowski, 1999). NNW to WNW lineaments are less abundant and are related the Ponta Grossa Arch, a NW-SE massive tectonic feature over 600 km long, marked by dike swarms of the Serra Geral Formation and magnetic alignments (e.g., Riccomini et al.; Strugale et al., 2007).



Figure 5. A) Interpreted lineaments over a shaded relief image; B) Rose diagram. UTM coordinates, zone 22J (Southern hemisphere).

4.4. Local Geomorphological Map

Based on the classification of areas with similar physical features and terrain attributes (the *land systems* method), a geomorphological map was generated for the study area at a scale of 1:10,000 (Fig. 6). Two land systems, four land units and twelve land elements were identified in the study area. The terrain attributes for each land system, unit and element are described in Table 1. Original names for the land systems, units and elements (in Portuguese) are presented as well.

Land system delineation was undertaken manually in a GIS separating the main watersheds and encompassing those with similar morphometric, topographic and geologic characteristics. Within each land system, separation in to smaller parts (land units and land elements) was performed by comparing mainly morphometric attributes and surface curvature, adding new parameters with scale detailing.

Land systems were classified as Ridge Terrain (*Relevo de Serras*) and Degradation Terrain (*Relevo de Degradação*). Ridge Terrain shows the highest elevations, amplitudes and slopes in the study area and can be divided into two land units: Elongated Ridges (*Serras Alongadas*) and Karstic Terrains (*Relevos Cársticos*).

Elongated Ridges are the typical unit of this land system with elongated landforms, intense stream escarpment, steep slopes and high relief amplitude of landforms. These ridges are composed mainly by metasedimentary rocks of the Açungui Group, with structure (metamorphic foliation, shear zones) parallel to the elongation of landforms.

The land unit of Karst Terrain is marked by a distinct surface roughness from adjacent areas. Generally, karst areas are smoother and at lower elevations (500–800 m), amplitudes (<400 m) and slopes angles ($25^{\circ}-35^{\circ}$) than those within the ridges. A dendritic drainage pattern is recognizable but it is not well defined and occurs in a dispersed way through the land elements, controlled by karst landforms such as sinkholes and depressions. Land elements in this unit are elongated landforms, sometimes lenticular, developed over carbonate rocks.

The land system of Degradation Terrain is predominant in the study area and is composed of two land units, Hills with Restricted Ridges (*Morros com Serras Restritas*) and Hills and Hillocks (*Morros e Morrotes*).

The land unit of Hills with Restricted Ridges occurs in a significant portion of the area, with high elevations, amplitudes and slopes (although slopes are lower than those in the Elongated Ridges land unit). The landforms are elongated although with significant sphericity, showing dendritic and radial drainage patterns. The radial drainage pattern is observed only in this land unit and indicates the presence of isolated topographic highs within a landscape dominated by hills, mounds and hillocks.

Hills and Hillocks is the predominant land unit in the study area, marked by a gentler and less eroded landscape with the lowest elevations of the area and moderate values of slope and amplitude. It is developed over non-carbonate metasedimentary rocks, with local occurrences of igneous (granitic) rocks. Land elements are generally smaller than those observed in the other units and can be elongated or rounded, with convex forms of hilltops and hillsides. This land unit represents a transition between Elongated Ridges and Hills with Restricted Ridges units.





Land Systems	Land Units	Land Elements	Mapped Element
A: Ridge Terrain	A.1: Elongated Ridges: Dendritic and parallel drainage patterns; maximum elevations above 800 m, locally above 1000 m; mean slope greater than 25° , usually above 35°	A.1.1 - Amplitudes usually above 500 m, maximum 0900 m; main slope greater than 30.35°, usually greater than 45°; clongated and NE-SW oriented land- forms, wavelength between 1,000 and 2,000 m, mean elongation lower than 0.3; predominant convex surfaces	A.1.1.1 - Palmital A.1.1.2 - Roncador A.1.1.3 - Onça Parda A.1.1.4 - Biquinha A.1.1.5 - Sem Fim
		$\overline{\rm A.1.2}$ - Maximum amplitudes above 600 m; mean slope greater than 20°, maximum at 35-40°; rounded and spheric landforms, wavelength between 2,000 and 4,000 m andm mean elongation above 0.6; predominant convex surfaces	A.1.2.1 - Bandeira A.1.2.2 - Santo Antonio A.1.2.3 - Bairro da Serra
		$\rm A.1.3$ - Maximum amplitudes below 700 m; mean slope greater than 20°, locally above 30°; elongated and slightly rounded landforms, wavelength between 3,000 and 4,000 m and mean elongation lower than 0.3; concave, flat and mainly convex surfaces	A.1.3 - Andorinhas
	A.2: Karstic Terrains: Unidentified drainage pattern, possibly dendritic; maximum elevations above 500 m, but hardly exceeds 800 m; mean slopes greater than 20° varying from 5 to 35°	A.2.1 - Mean amplitude above 300 m, hardly exceeds 500 m; main slope greater than 15 ⁷ , locally exceeds 35–40 ⁷ ; usually elongated landforms, wavelength between 700 and 3,000 m and mean elongation greater than 0.45; predominant convex surfaces, locally concave and flat	A.2.1.1 - Furnas A.2.1.2 - Santana A.2.1.3 - Lajeado-Bombas
		A.2.2 - Maximum amplitudes below 400 m; mean slope below 20°, locally above 35°; elongated slightly rounded landforms, wavelength between 2,000 and 4,000 m and mean elongation greater than 0.4; concave and convex surfaces	A.2.2.1 - Apiaí A.2.2.2 - Tapagem
B: Degradation Terrain	B.1: Hills with Restricted Ridges: Predominant dendritic drainage pattern with some radial pattern; maximum leavian above 600 m, peaks at 800 m; mean slope greater than 20°, hardly exceeds 40°	B.1.1 - Mean amplitudes between 500 and 800 m; mean slope above 20° usually greater than 35°; rounded and stretched landforms, wavelength between 5,000 and 9,000 m and mean elongation greater than 0.65; flat, concave and mainly convex surfaces	B.1.1.1 - Cabanas-Rio das Pedras B.1.1.2 - Casteliano B.1.1.3 - Munhunguara
		B.1.2 - Maximum amplitudes below 500 m; mean slope greater than 20° locally above 30°; elongated and rounded landforms, wavelength greater than 3,000 m and mean elongation of 0.5; convex and concave surfaces	B.1.2 - Jurumirim
		B.1.3 - Maximum amplitudes above 600 m; mean slope greater than 15-20°, maximum at 35°; elongated landforms, wavelength greater than 2,000 m and mean elongation lower than 0.3; concave and convex surfaces	B.1.3 - Iporanga
	B.2: Hills and Hillocks: Dendritic drainage patterns, locally parallel and radial patterns; maximum levations above 400 m usually exceeds 600 m; mean slope greater than 15°, ocasionally above 30°	B.2.1 - Amplitudes vary between 200 and 500 m; mean slope between 15 and 20°, locally greater than 30°; elongated and sub-rounded landforms, wavelength between 500 and 2,000 m and mean elongation between 0.4 and 0.5; convex and predominant concave surfaces	B.2.1.1 - Descalvado B.2.1.2 - Taquariuva
		B.2.2 - Amplitudes vary between 300 and 600 m; mean slope greater than 15°, locally greater than 35°; elongated and sub-rounded landforms, wavelength between 800 and 2,000 m and mean elongation of 0.4; concave, convex and mainly flat surfaces	B.2.2 - Itaoca
		B.2.3 - Amplitudes vary between 400 and 700 m; mean slope greater than 20°, usually above 30-35°; sub-rounded slightly stretched landforms, wavelength between 1,000 and 4,000 m and mean elongation greater than 0.5; concave, convex and flat surfaces	B.2.3.1 - Figueira B.2.3.2 - Agudos Grandes B.2.3.3 - Sulino B.2.3.4 - Pedra Santa B.2.3.5 - Pilões B.2.3.6 - Pilões B.2.3.6 - Juões B.2.3.7 - Eldorado
		B.2.4 - Amplitudes lower than 500 m; mean slope below $20^\circ,$ maximum at $35^\circ;$ elongated landforms, wavelength lower than 3.000 m and mean elongation below 0.3; convex and flat surfaces	B.2.4 - Caboclos

Table 1. Description of Land Systems, Units and Elements of the geomorphological map generated in this study.

4.5. Automatic identification of karst areas

The automatic karst landform mapping method of Carvalho Júnior et al. (2013) generates a depression map, highlighting not only karst landforms but also valleys and drainage (Fig. 7A). True karst depressions occur in the Northwest sector of the study area (Karmann, 1994), restricted to the carbonate rocks, in a network of polygonal karst depressions (Karmann, 1994; Ferrari et al., 1998). These carbonate rock areas, locally known as Furnas-Santana and Lajeado-Bombas were mapped into five morphological zones by Karmann (1994): Fluvial, Contact, Fluviokarst, Transition and Polygonal (or composite depressions).

Areas identified as karst depressions over carbonate rocks by (Karmann, 1994) show irregular shape and correspond to the Transition and Polygonal zones (Fig. 7B). The local geomorphological map from this work also shows good agreement between land elements interpreted as karst terrain and Karmann's Polygonal, Fluviokarst and Transition zones (Fig. 7C).



Figure 7. Automatic identification of karst areas. A) Depression Map; B) Depressions over Morphological Zones of Karmann (1994); C) Depressions over Morphological Zones of Karmann (1994) and Local Geomorphological Map from this work. UTM coordinates, zone 22J (Southern hemisphere).

5. Conclusions

This paper has presented a 1:10,000 geomorphological map created using terrain attributes derived from a Digital Elevation Model and visually and objectively compared with the existing 1:1,000,000 map which was based on the traditional methods of fieldwork and photointerpretation. The study area was selected due to its characteristic landforms, such as karst terrain, and the availability of 1:10,000 topographic maps to derive the DEM.

Automatic delimitation of karst landforms resulted in a map of depressions with good correspondence between karstic zones previously identified by Karmann (1994). Karst terrain present a prominent tectonic control by the shear zones in the study area.

Compared to the São Paulo state 1:1,000,000 geomorphological map of (Ponçano, 1981), the 1:10,000 scale mapping carried out for this project identified the same Land Systems and Units, with the expected differences in their delimitation (i.e., boundaries, extension) due its larger scale. The small mapping scale of the 1981 map leads to cartographic generalization and the characterization of Land Elements can be vague, with unspecific terrain attributes for the landforms.

In the 1981 map, which was created using traditional fieldwork and aerial photograph interpretation, Land Systems are described by their morphological attributes and lithology, while Land Units and Land Elements are based on relief amplitude, slope, morphological features and drainage patterns. In this project, created with modern GIS tools and DEM geomorphometric analysis, the description and grouping of Land Units were based on similarities in DEM-extracted terrain attributes such as elevation, drainage patterns and slope angles. Specific terrain attributes like landform wavelength, mean elongation and hillslope shape were used to define the Land Elements.

The terrain attributes derived automatically from the DEM enabled an accurate geomorphological classification of the study area. The methodology presented in this paper is considered effective for mapping landforms at a detailed scale and can be employed in regional scale mapping using coarser resolution DEMs.

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Supplementary data

Supplementary data associated with this article can be found in the online version at https://doi.org/10.1016/j.jsames.2019.04.013.

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