| 1 | A Review of Machine Learning Applications to Coastal Sediment Transport and | |
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| 2 | Morphodynamics | |
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| 13 14 | ***Please note that this is a non-peer reviewed EarthArXiv preprint*** | |
| 15 | | |
| 16 | Abstract | |
| 17 | A range of computer s | science methods under the heading of machine learning (ML) enables |
| 18 | the extraction of insight and quantitative relationships from multidimensional datasets. Here, we | |
| 19 | review some common ML methods and their application to studies of coastal morphodynamics | |
| 20 | and sediment transport. We examine aspects of 'what' and 'why' ML methods contribute, such | |
| 21 | as 'what' science problems ML tools have been used to address, 'what' was learned when using | |
| 22 | ML, and 'why' authors used ML methods. We find a variety of research questions have been | |
| 23 | addressed, ranging from small-scale predictions of sediment transport to larger-scale sand bar | |
| 24 | morphodynamics and coastal overwash on a developed island. We find various reasons justify | |
| 25 | the use of ML, including maximize predictability, emulation of model components, smooth and | |
| 26 | continuous nonlinear regression through data, and explicit inclusion of uncertainty. Overall the | |
| 27 | expanding use of ML has allowed for an expanding set of questions to be addressed. After | |
| 28 | reviewing the studies we outline a set of 'best practices' for coastal researchers using machine | |
| 29 | learning methods. Finally we suggest possible areas for future research, including the use of | |
| 30 | novel machine learning techniques and exploring 'open data' that is becoming increasingly | |
| 31 | available. | |
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33 1. Introduction

34 The amount of available data on coastal systems has increased dramatically in recent years. 35 ranging from topographic and bathymetric data (e.g., Turner et al., 2016), to compilations and 36 collections of sediment transport and physical forcing (e.g., Bolaños and Souza, 2010; Garel and 37 Ferreira, 2015; Nelson et al., 2013; van der Werf et al., 2009). Large spatial and temporal 38 extents, high resolution, and rapid turnaround from acquisition to availability means that the data 39 being produced enables expanded applications to coastal morphodynamic research. In particular, 40 since observational data has always been the foundation for developing empirical relationships or 41 testing quantitative models, the recent volume of data available, the intrinsic high dimensionality 42 and nonlinearity of underlying processes, and increased computing power, have all led to 43 renewed interest in empirical research.

44 A key example in this new wave of research is attempting to extract insights, predictions, 45 or quantitative relationships directly from multidimensional datasets using automated tools. This 'data-driven' route for science has been demonstrated to be a promising research direction (e.g., 46 47 Anderson, 2008; Hey et al., 2008), and tools from a range of disciplines have been influential in 48 defining and tackling 'data-driven' science (e.g., Staltzer and Mentzel, 2016). In this review we 49 differentiate classic empirical work and this new wave of 'data-driven' work as being divided by 50 the computational methodology, as well as (potentially) the quantity, nonlinearity expressed in 51 the data, and high dimensionality. Our focus is on the new empirical work using machine 52 learning, the set of computer algorithms, methods and tools that implement a given task and use 53 data to optimize performance (e.g., reduction of error). In this manuscript we provide many 54 examples of successes in the use of machine learning for coastal research, but first we discuss the 55 rationale for this data-driven approach.

56 Data-driven research is inductive. As with other empirical work, data-driven research relies 57 on data to develop insight, predictions, or relationships. We acknowledge that empirical work 58 does not and cannot exist in a vacuum — theory and logic are critical parts of data analysis (e.g., 59 Coveney et al., 2017; Crutchfeld 2014) and mathematical proofs show the lack of 60 generalizability of inductive statements (e.g., Popper and Miller, 1983). However, inductive statements are part of the scientific workflow, and are unavoidable at certain junctures. Even 61 62 Newton expressed the utility of induction in 'Rule 4' in the 3rd edition of the Principia (Cohen et 63 al., 2016):

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65 "In experimental philosophy, propositions gathered from phenomena by induction should be
66 considered either exactly or very nearly true notwithstanding any contrary hypotheses, until yet
67 other phenomena make such propositions either more exact or liable to exceptions."

68

69 Coastal morphodynamics specifically and geomorphology in general have long histories 70 of induction, and developing empirical rules that are useful. Even when basic laws of physics can 71 be used, empirical expressions or rules of some form or another are always required to close an 72 equation set. For example, sediment transport rules, turbulent closure schemes, friction 73 coefficients, and wave breaking all rely on ad hoc rules, assumptions or empirical relations. If we 74 still need inductive rules, how should we build them? With the increased quantity of data, and 75 the improvements in computing power, coastal researchers have access to a wide range of 76 computer science tools from the subdiscipline of machine learning (ML) to develop inductive 77 statements and optimized predictions directly from data sets.

78 Much of the machine learning work we discuss in this review is inherently focused on 79 identifying and exploiting correlations and patterns in data. Assigning causation can be less clear 80 in some coastal morphodynamic systems because of multiple scales (ripples, megadunes, bars, 81 shoreline), and feedbacks between scales (bars impact shoreline, and vice versa) that interact in 82 both space and time (e.g., Murray et al., 2014a, 2014b; Sherman, 1995; Short et al., 1985; 83 Werner, 1999; Winant et al., 1975). However, correlation is valuable for prediction because of the concept of analogy (Lorenz, 1969a; 1969b) — knowing how a coastal system evolved when 84 85 it was in the same configuration but at a previous time can lead to predictions about how the 86 system might evolve in the future (i.e., seasonal dynamics of sediment transport; Aubrey, 1979; 87 Plant et al., 1999; Plant et al., 2006; Splinter et al. 2011; Yates et al., 2009). A data-driven 88 approach can help to elucidate this behavior by examining previously collected data, and 89 developing a model focused on how the system will evolve based on past instances.

Because empirical approaches rely on the data to make predictions about a system, datadriven work may only be strictly applicable within the range of the data used to develop the predictor — unless the prediction scheme can be argued to be more generally valid. This is a limit of all inductive, empirical techniques, though it is rarely mentioned in more traditional empirical studies (i.e., any study that uses linear regression to predict beyond the bounds of the

95 data). Furthermore, this caveat is likely applicable to all modeling studies because new processes 96 or feedbacks can exist that are not included within the model. A morphodynamicist might argue 97 that, if a given model is built from conservation laws, the model should be able to predict outside 98 of the range of conditions where the model has been tested. This argument also holds for data-99 driven work — the data used to construct the model adheres to conservation laws, therefore 100 predictions (built directly from data) might also adhere to these physical constraints outside of 101 the range of data used to build the model. Our point here is to suggest to readers that data-driven 102 work should not be disregarded because it is not built from 'laws' — data-driven work is based 103 on data, which obey conservation laws.

104 While there are a number of aspects of coastal science that can and do benefit from 105 machine learning, we focus here on predictions of coastal sediment transport, coastal 106 morphology, and coastal morphodynamics. The review here is focused on supervised learning, 107 specifically regression tasks using continuous data (as opposed to classification tasks). 108 Supervised learning involves input and output data that are linked (such as wave forcing and a 109 given morphological configuration) with the goal of developing a function to relate the input to a 110 corresponding output and emulating physical processes relationships that are either poorly 111 understood or complex and difficult to capture with deterministic models. Excluded here are 112 prediction of forcing and fluid phenomena when no reference to sediment transport is given or 113 studies focused on engineering and structures.

114 Previous ML work written for an Earth and Environmental Science audience has focused 115 primarily on introducing ML algorithms, in the form of both books (Hsieh, 2006) and papers 116 (Chau, 2006; Valentine and Kalnins, 2016). This previous work serves a key role in connecting 117 coastal scientists to ML tools (e.g., Jones and Maccarone, 2013). Our work intends to move the 118 purview of these previous reviews, which focused on introducing ML algorithms, explaining ML 119 algorithms, and providing a few select examples to review. In this document, we do provide a 120 brief introduction to the most common machine learning techniques that have been used in 121 coastal sediment transport and the steps needed to start a ML project (Section 2). However, our 122 focus is on comprehensively reviewing previous machine learning work on coastal 123 morphodynamics such that these works can be recognized, compared, and used to build future 124 ML efforts. To this end we review and discuss more than 60 papers under three separate 125 headings: studies where ML is used to predict sediment transport (Section 3); studies where ML

126 is used to make a stand-alone morphodynamic model (Section 4); and studies where ML is 127 embedded or linked to a morphodynamic model (Section 5). Furthermore, we address 'why' ML 128 tools have been used in particular studies and what was learned by using ML methods. We 129 intend coastal morphodynamicists interested in ML to use these sections (3, 4, and 5) to assess 130 what research has been done (and what has not been done) at the time of this writing. This 131 comprehensive review of the coastal ML literature also permits us (in Section 6) to discuss 132 overarching topics, offer a set of best practices for open, reproducible, replicable machine 133 learning research and highlighting some future directions in coastal ML research primarily 134 focused on synthesis and intercomparison.

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136 2. Machine learning methods used in Coastal sediment transport and Morphodynamics.

Before we review the uses of ML in coastal science, we introduce the ML methods such that sections (Sections 2.1 - 2.6) provide basic information on each ML method. Within each of these sections we provide relevant papers for readers who wish for more details regarding each method

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2.1 Artificial Neural Network (ANN)

143 Artificial Neural Networks (ANN) are commonly used algorithms in machine learning 144 because of their versatility. Many different fields of science have used ANNs for tasks such as 145 function fitting to classification. Applications in coastal sediment transport and 146 morphodynamics include multiple aspects of suspended sediment transport, sandbar 147 morphodynamics, and various studies of shoreline position — all mentioned in the following 148 sections. The most typical form of an ANN is represented by a series of layers: an input layer, 149 one or more hidden layers and an output layer. Each layer consists of a number of nodes 150 (artificial neurons). The input data is fed to the network via a node on the input layer (usually 151 each node represents an input variable) while, depending on the number of variables to be 152 predicted, the output layer could consist of one or more nodes. The hidden layer(s) contain a 153 somewhat arbitrary number of nodes chosen based on a mix between experience, empirical 154 formulas and systematic analysis. An idealized feed-forward ANN characterized by *n* input 155 nodes (the predictors or independent variables), *m* hidden nodes and one output node (the 156 prediction or dependent variable). Nodes are mathematically connected and transfer information 157 from the input variables to a node of the hidden layer:

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$$h_{j} = f \cdot \left(a_{j} + \sum_{i=1}^{n} w_{i} x_{i}\right)$$
(1)

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where x_i is the ith of n input variables, h_j the response of the jth neuron in the hidden layer, f is the activation function (e.g., a sigmoid, an hyperbolic tangent, etc.), w_i is the connection weight between x_i and h_j , and a_j is the bias for the jth hidden neuron. A further combination of the hidden nodes, which is achieved by means of a new activation function (not necessarily the same as the one used to link the input variables and the hidden layer) and new connection weights and biases, connects the hidden layer to the output layer.

167 The biases and connection weights of the ANN are established through an optimization 168 algorithm that is applied to a dataset consisting of observed input and output variables. Various 169 algorithms can be used to perform this critical step, though it remains difficult to tell *a priori* 170 which optimization function will provide better results. Many of these algorithms are based on 171 the backpropagation of errors — the error at the output (prediction) layer is sent back through the 172 network to adjust and update the weights and biases.

ANNs are often portrayed as an example of a black-box predictor where the (usually) large number of weights and biases obscures the role of individual variables. The architecture of small-size ANNs can in fact be analyzed and various techniques have been developed to this aim (e.g., Olden et al., 2004). LeCun et al. (2015) provide many helpful references and a relatively recent review on ANN that focuses on current research themes (i.e., 'Deep Learning'; ANNs with many hidden layers).

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180 **2.2 Genetic Algorithms (GA) and Genetic Programming (GP)**

Genetic algorithms (GA; Holland, 1975) and genetic programming (GP; Koza 1992) are related ML techniques that operate on rules based on natural selection. In the section below we review the basics of genetic algorithms. For example, consider an equation with five free parameters, where a given combination of specific values for each parameter can be compressed into a single string of length 5. The string of parameter values is also related to the solution of the equation using these 5 specific values. Each solution is also related to an associated error (the 187 value of the equation using the 5 parameters vs. some measured value). Now consider a 188 population of such strings (not just one), each with their own unique combination of values for 189 each of the 5 parameters. The genetic algorithm routine works by operating on these strings 190 using evolutionary rules. Given an initial set of strings (a population), there is an error associated 191 for each string. The strings with the smallest error are retained; the strings with the most error are 192 discarded. New strings are developed by mutation (changing values in a given string) and by 193 reproducing — recombining two strings to make a novel new string. By using these 194 'evolutionary' rules, the routine will search over the solution space and tend to converge on 195 solutions that are globally optimal. Parameters in the evolutionary rules and techniques in 196 applying those rules are tunable (e.g., number of predictors in the population, mutation percent, 197 crossover rules, number of generations, number of discarded or kept predictors for each 198 generation, etc.). Genetic algorithms can be used in tandem with artificial neural networks — for 199 example to find the appropriate weights and biases, as well as network architecture (e.g., Yao, 200 1999). Further helpful entries into the GA literature can be found in D'Ambrosio et al. (2013), 201 Mitchell (1995) and Mitchell (1998).

202 Building on the population approach of genetic algorithms, genetic programming (GP; 203 Koza, 1992) takes the idea a step further. The population is not strings of parameter values to be 204 input into a fixed equation but instead a population of equations with mutable form and length. 205 Given a set of mathematical operators (+, -, *, /), and a set of input variables (e.g., forcing 206 conditions) a GP routine works to find equations using these building blocks (input variables, 207 constants, and mathematical functions) — this is a symbolic regression problem. One issue with 208 GP is the development of large, complex functions that have small error compared to small, less 209 complex functions that have larger error but might be more physically interpretable. Therefore 210 routines may offer more than one solution, and instead offer many solutions to the problem 211 which fall along the Pareto front — a line in error-complexity space that defines how prediction 212 error decreases with the solution complexity (a measure of the size of the predictor that 213 incorporates the mathematical operators, variables, and constants). The act of choosing a 214 predictor from this front introduces subjectivity in the routine, though GP algorithms have shown 215 the ability to find physically meaningful results from data streams. Aside from the work of Koza 216 (1992) introducing the technique, the book by Poli et al. (2008), and work by Babovic and 217 Keijzer (2000), Olden et al. (2008), Schmidt and Lipson (2009), and O'Neill et al. (2010) have

- 218 proven helpful to us.
- 219

220 2.3 Bayesian Networks (BN)

221 Bayesian networks (BN) implement a form of probabilistic prediction that explicitly 222 resolves the conditional probabilities that link variables to one another, albeit in a discretized 223 fashion. Statistical operations include marginalization over a subset of a larger distribution, for 224 instance, when the data are used to provide constraints (Charniak, 1991). And, as the name 225 suggests, Bayesian estimation (Cooper and Herskovits, 1992; Malakoff, 1999) can be 226 implemented to solve problems that typically require data assimilation (Wikle and Berliner, 227 2007). For example, to estimate coastal erosion that is assumed to be influenced by dune 228 morphology, geology, and sea-level rise (Plant et al., 2016) the probabilistic relationship can be 229 expressed as:

- 230 $P(E_i) = \sum_{G,D,SLR} P(E_i | D, G, SLR) P(D | G, SLR) P(G, | SLR) P(D) P(SLR) , (2)$ 231 where left side of the equation is describing the probability that a certain amount of erosion, E_i is 232 experienced. The right side of the equation is the product of the conditional probability of that 233 amount of erosion occurring, given the morphologic state of coastal dunes (D) and geologic 234 setting (G) and a sea-level rise rate (SLR). The probability is integrated over all the states, which 235 may be constrained by data. This is the marginalization operation. Some of the terms on the 236 right side of equation (2) defining the erosion probability may themselves have dependencies 237 that can be solved using Bayes rule:
- 238 $P(D_i) = \sum_E P(E \mid D_i, G, SLR) P(D_i)/P(E), (3)$

239 where the first term on the right side of the equation (2) is inverted. Bayes rule and 240 marginalization can take place simultaneously in a Bayesian network, implying that there is no 241 real distinction between a forward implementation that emulates a deterministic model (e.g., a 242 partial differential equation) and an inverse model.

243 The approach models probabilities directly, as opposed to modeling the process-variables 244 as is done in the other ML examples. This is useful if knowing the uncertainties is a primary 245 modeling requirement. A disadvantage is that the model must learn the conditional probabilities 246 that describe the correlations between variables, and this comes with a cost of increasing free 247 parameters that grows as the number of states raised to the number of variables. Furthermore the 248 uncertainty present in the resulting model only reflects the uncertainty that is found within the

data. We have found general papers by Aguilera et al. (2011), Chen and Pollino (2012), and
Uusitalo (2007) to be useful in learning techniques and applications of BN.

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252 **2.4 Regression Trees (RT)**

Regression trees (RT) separate prediction tasks into a series of binary splits, leading to a branching, tree-like structure (e.g., De'ath and Fabricius, 2000; Hastie et al. 2009). One advantage of these tree-based approaches is that they easily allow users to assess the relative influence of the input variables. Trees are visually appealing and reading through a RT model can be straightforward, especially when the tree is short.

258 An example of a regression tree-based algorithm is recursively splitting the dataset into 259 groups. The details of each split are determined via a given metric, such as minimizing the sum 260 of squares of each group. A variety of rules exist for both growing trees (i.e., how many 261 recursive splits) and pruning trees (removing splits). Additionally, other algorithms can be 262 attached to tree based methods to improve accuracy, specifically 'Boosting'. Boosting routines 263 merge many small regression tree models that are built sequentially, with misfit data sequentially 264 given more weights so trees progressively focus on poorly predicted data (Elith et al., 2008). We 265 have found the works of De'ath and Fabricius (2000), De'ath (2007), Olden et al. (2008), and 266 Hastie et al., (2001), useful for learning about these tree based approaches.

267 268

2.5 Nonlinear forecasting (NF)

Though it may not strictly be classified as a ML method, nonlinear forecasting (NF) has an affinity to machine learning methods, so we discuss it in this context. Nonlinear forecasting is built from autoregressive models for predicting time series:

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$$S_t = a_0 + \sum_{i=1}^m a_i S_{t-(i\Delta t)}$$
 (4)

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where a_0 through a_m are coefficients, *S* is the variable of interest, *t* is time, and *m* is the number of past time instances (with temporal spacing of Δt) used to develop an evaluation of *S* at time *t*. If the coefficients a_0 through a_m are constant for the entire time series, the model is global and linear. If the coefficients vary as a function of *S*, then the model is nonlinear, (i.e., only locally linear). Coefficients and their variation as a function of *S* can be determined through nearest neighbor approaches. There are additional ways to introduce nonlinearity, such as deciding a
specific nonlinear function for the right hand side of (4), or incorporating a threshold.

In situations where there is a dependence on initial conditions and external forcing, the system can be modeled using approaches such as input-output models (Casdagli, 1991). Adding external forcing inputs to the autoregressive framework is the basis of ARX models

285 (AutoRegressive model with eXogenous inputs):

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287 $S_t = a_0 + \sum_{i=1}^m a_i S_{t-(i\Delta t)} + \sum_{k=1}^n b_k Q_{t+(k\Delta t)}$ (5)

where Q represents an external forcing signal, b_1 through b_n are coefficients, and k is the number of past instances (with temporal spacing Δt) used to develop the model. Coefficients again can vary as to make the model nonlinear (via locally linear sections). Other modifications to this framework include NARX models (Nonlinear AutoRegressive models with eXogenous inputs), which adjust the functional form of the right hand side of the multiple linear regression equation (5). For example, a tuned neural network can be used as the right hand side of (5), an example of a NARX model.

Key recent papers to understand the technique and application of nonlinear time series analysis, nonlinear forecasting, and other related work (under the heading of 'Empirical Dynamic Modeling') are Casdagli (1989), Chang et al. (2017), Farmer and Sidorowich (1987), Kantz and Schreiber (2004), Packard et al. (1980), Sugihara et al. (2012), Sugihara and May (1990), Ye and Sugihara (2016), and finally Takens (1981), who provided much of the theoretical background on which the techniques are based.

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303 3. Applications to Coastal Sediment transport

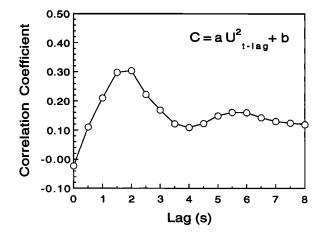
We have now reviewed the most used ML techniques in coastal morphodynamics and sediment transport studies. The availability of coastal sediment transport data, and the lack of a single 'perfect' predictor (for a given sediment transport relation) has lead to the hope that ML will provide a more viable, optimal sediment transport equation — a motivation for many of the works that we review in this section. Authors frequently want to develop a predictor that is either more generally valid (better prediction with a large set of data) or more specifically valid (better prediction with a small set of data specifically collected for a given setting/condition). Authors of the studies reviewed below all test their ML prediction scheme against established predictors
from the literature (i.e., previous empirical or theoretical sediment transport prediction schemes).
The newly developed ML techniques often performs better than the traditional scheme using the
error metric selected by the authors, a phenomena we discuss in Section 6.1.

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3.1 Suspended Sediment Concentration

316 Predictions of suspended sediment concentration are a fundamental test of theoretical and 317 statistical understanding of sediment mobility and transport that control morphologic evolution 318 on a wide range of spatial and temporal scales. Time-varying sediment concentrations have been 319 predicted using several ML methods. Jaffe and Rubin (1996) used nonlinear forecasting 320 techniques to predict suspended sediment concentration based on instantaneous water velocity 321 (with and without higher order velocity terms) and various water velocity history terms (e.g., 322 velocity at the previous time step, etc.). A notable aspect of this study is the investigation of the 323 appropriate time lag in water velocity to maximize the correlation with sediment transport 324 (Figure 1) an insight that may have transferability to other studies on time lags in coastal 325 systems.

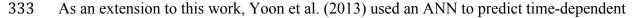
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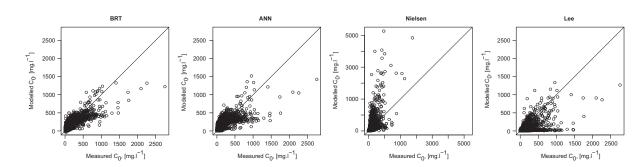
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328 Figure 1: A plot from Jaffe and Rubin (1996), who used nonlinear forecasting to predict

- 329 suspended sediment concentration under waves. The plot above exhibits the changing
- 330 correlation between suspended sediment and wave forcing with changing lag time on the wave
- *forcing term.* 331
- 332



334 suspended sediment concentration as a function of various hydrodynamic parameters both inside 335 and outside the surf zone. With such a large dataset and many measured variables. Yoon et al. 336 (2013) was able to use the ANN to identify the hydrodynamic parameters (and combinations of 337 parameters) that are most predictive in different regions of the laboratory surfzone. Using a GP 338 routine, Kizhisseri et al. (2005) used both synthetic and field data to produce expressions for 339 suspended sediment concentration based on instantaneous fluid velocity (and higher powers of 340 velocity). In a rare example of a reported unsuccessful ML application in coastal 341 morphodynamics, the prediction of suspended sediment concentration using field data lead to 342 poor performance (i.e., a large absolute error for the prediction; Kizhisseri et al., 2005). Oehler et 343 al. (2011) used both BRT and ANN to develop predictors for near bed suspended sediment 344 reference concentration based on water depth, median grain size, mean wave period at the bed, 345 wave orbital amplitude at the bed, and significant wave orbital speed at the bed. The BRT model 346 was superior to ANN (Figure 2), which we highlight because many studies do not compare ML 347 derived predictors developed from multiple ML routines. Oehler et al. (2011) provides a clear 348 example that this work should be done, and will inform future researchers wondering about 349 which ML method to use to predict suspended sediment reference concentration. Goldstein et 350 al., (2014) used the same dataset and developed a GP routine to construct a predictor for 351 reference concentration. This predictor was specifically derived for use in a numerical model of 352 inner shelf bedforms (discussed further in Section 5), and is an example of a predictor developed 353 to work in a specific (multiple grain size) setting. At a larger scales, Teodoro et al. (2007) used 354 an ANN on remotely sensed images of the surf zone to predict total suspended matter in the 355 water column along the coast of Portugal based on satellite remote sensing data (calibrated with 356 field measurements of seawater reflectance). This work highlights the potential for predicting 357 suspended sediment concentration using the high temporal and spatial resolution remote sensing 358 products available, which could potentially be linked to global measures of shoreline change 359 (e.g., Luijendijk et al., 2018).





361 *Figure 2: A figure from Oehler et al. (2011) exhibiting the performance of a BRT and ANN* 362 model for suspended sediment reference concentration compared to two more traditional 363 prediction schemes.

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3.2 Suspended Sediment Flux

366 Scaling up from instantaneous concentration to alongshore-directed suspended sediment 367 flux has been the focus of several studies. Using an ANN, van Maanen et al. (2010) predicted the 368 depth integrated alongshore sediment transport using water depth, wave height, wave period and 369 alongshore current velocity. Analyzing the parameterized ANN also allowed van Maanen et al. 370 (2010) to understand which parameters held the most explanatory power (alongshore current of 371 velocity), and to understand when the predictor provided unphysical answers. Notably, 372 unphysical predictions were found when the ANN was given input parameters outside the range 373 of the training data, highlighting the importance of training models with extreme conditions. 374 Predictors for the net alongshore sediment transport rate based on wave height, wave period. 375 breaking wave angle, beach slope, and grain size have been developed using ANN (Kabiri-376 Samani et al. 2011) and regression trees (Mafi et al. 2013). The ability for both ML methods to 377 produce successful predictors highlights the need for more comparative work between ML methods.

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3.3 Sediment Properties

380 Finally there have been ML studies of sediment properties (e.g., mean grain size, skewness, 381 kurtosis, fall velocity, etc.). Nylen et al. (2015) trained a decision tree to determine several 382 aspects of beach and dune sediment in Finland as a function of environmental variables (e.g., 383 elevation, slope, curvature, local fetch, geography and climate conditions). Sediment parent 384 material (parameterized via geography) was found to be an important control on grain size and 385 sediment sorting, obscuring the role of local controls. Goldstein and Coco (2014) used a GP

routine to develop a predictor for noncohesive sediment settling velocity that incorporates fluid
kinematic viscosity, relative sediment density and sediment nominal diameter. The study focused
on the role of training dataset size and selection method while developing a prediction scheme
that performed better than two common equations.

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391 4. ML Morphological and Morphodynamic Models

A variety of coastal morphology and morphodynamic models have been built using ML.
Many researchers use ML as an optimization tool — looking for better morphological prediction
with newly collected or existing data.

395 4.1 Sandbars

396 Sandbar morphology (e.g., the cross-shore position and alongshore uniformity) has been a 397 common focus of machine learning studies. Múnera et al. (2014) developed an ANN to 398 determine the correlation between sandbar morphology and a given wave climate, culminating in 399 in examining the nonlinear dependencies of bar position on past wave conditions (i.e., time-400 lagged wave conditions). Lopez et al. (2017) used an ANN to determine the cross-shore bar 401 position given wave characteristics, sediment characteristics, and temporal data (month and day 402 information). Compared to a common formula to predict bar characteristics, the optimized ANN 403 had lower error. Komurcu et al. (2013) used an ANN to predict the geometric and shape 404 characteristics of experimentally simulated bars based on the wave height, wave period, bed 405 slope, and grain size. Tests were performed varying the split of training data/testing data. The 406 best fit model was trained with the largest amount of data, and had lower error compared to 407 literature formula. A similar study on experimental bar data was performed by Demirci et al. 408 (2015), using wave parameters, bed slope, and sediment characteristics to predict bar volume 409 using an ANN and multiple linear regression. The predictor derived from ANN outperformed the 410 multiple linear regression.

Of particular note is the work of Pape et al., (2007; 2010), who used a recurrent artificial neural network to model the cross-shore position and temporal dynamics of sandbar crests. Recurrent neural networks are ANNs that feed output predictions back to the input layer of the ANN, making a forward in time morphodynamic model. Pape et al. (2007) modeled sandbar position using relevant wave inputs and previous sandbar positions using a linear autoregressive model with exogenous inputs and a recurrent neural network (i.e., a nonlinear autoregressive 417 model with exogenous inputs; NARX) trained using multiple techniques. All models exhibit 418 decaying performance as the prediction horizon (the prediction lead time) increases, but 419 nonlinear ANN models show slightly better results over long prediction timescales (Figure 3). 420 Assessment of prediction timescale is especially critical to understand if data-driven techniques 421 can be successful techniques for forecasting future morphology and morphodynamics, and 422 understanding how error compounds or decays through time in data-driven models. Additionally, 423 comparative work between data-driven methods is particularly interesting to the user of ML, and 424 can give insight into inherent predictability and nonlinearity in the study system. Pape et al. 425 (2010) continued this work, using two neural networks to model sandbar behavior and compared 426 results to a traditional cross-shore morphodynamic model. Both data-driven models showed 427 increased performance compared to the morphodynamic model, measured using the metric of 428 error over increasing prediction horizon.



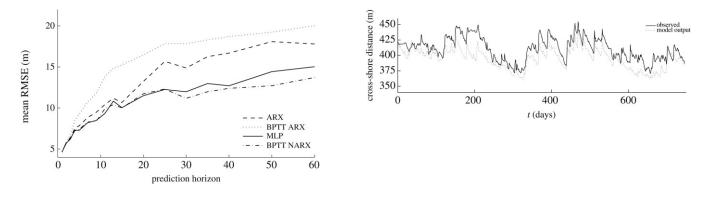


Figure 3: The increase in sandbar position error for increasing prediction timescales using
data-driven models (from Pape et al., 2007). Error is minimized when using models based on
ANN (the 'MLP' and 'BPTT NARX' models).

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435 **4.2 Shoreline position and shore profile**

Various shoreline attributes have also been predicted using machine learning techniques.
Using an ANN to predict beach profiles (from the dunes to MSL) with wind and wave data,
Hashemi et al. (2010) also discusses the role of traning data that spans a wide range of conditions
to avoid error associated with out-of-sample predcition. Grimes et al. (2015) analyzed beachface
and shoreline timeseries data, using a GP and nonlinear forecasting to predict the dynamics of
intertidal beachface geometry and examine the role of internal dynamics vs. external controls

442 (i.e., forcing). Both Tsekouras et al. (2015) and Rigos et al. (2016b) described new methods to 443 formulate and train a novel ANN architecture to predict shoreline characteristics — Tsekouras et 444 al. (2015) examined shoreline erosion as a function of storm characteristics and bathymetry, 445 while Rigos et al. (2016b) investigated multiple shoreline positions and shoreline rotation given 446 hydrodynamic inputs and offshore reef morphology. Iglesias et al. (2009a; 2010) used an ANN 447 to predict the planform morphology of headland-bay-beach systems (including those with shore 448 protection structures). Iglesias et al. (2009a) tested multiple ANN architectures (number of 449 hidden layers and nodes) as well as different algorithms to train the ANN. The final ANN model 450 outperformed previously developed shoreline models, and error from the ANN model was 451 distributed across the shoreline as opposed to the previous models, which had concentrated zones 452 of high error. Iglesias et al. (2009b) extended this work by incorporating tidal range into the 453 ANN. After testing various ANN architectures and finding the best ANN predictor, Iglesias et al 454 (2009b) used the trained model to examine the interplay between tidal range and wave 455 parameters in controlling headland bay geometry. Loureiro et al. (2013) used a BN to 456 probabilistically determine the beach state classification (i.e., Wright and Short, 1984) given a 457 range of hydrodynamic data and sedimentological data. The study specifically found utility in 458 the uncertainty of prediction, an intrinsic part of the Bayesian estimation that was used to 459 develop probabilistic beach state predictions. At larger scales, Bayesian networks have also been 460 used to make probabilistic predictions of coastal morphology at large scales. Gutierrez et al., 461 (2011) used a BN to develop shoreline change rate predictions for the US east coast based on 462 hydrodynamic, geologic and cross shore morphology of the coastline. Plant et al. (2016) 463 modified the BN of Gutierrez et al. (2011) to include and probabilistically predict shoreline 464 change as well as dune height for work in the Gulf of Mexico. Interestingly, the inclusion of 465 dune height as an input variable increases precision but predictions are not more accurate. Yates 466 and Le Cozannet (2012) also used the approach of Gutierrez et al. (2011) to probabilistically 467 assess future European coastal evolution (either erosion, stable or accretion) using 468 geomorphology, geology, mean tidal range, rate of sea level rise (RSLR), and mean significant 469 wave height. Stable coastlines are predicted with greater accuracy compared to erosive or 470 accreting coastlines, and the authors suggest that incorporating more local behaviors may resolve 471 this issue. Bulteau et al. (2015) use a Bayesian network to predict shoreline change on La 472 Réunion island from geomorphic setting, presence of an estuary, anthropogenic structures,

473 RSLR, and a function of wave energy. In addition to discussing why certain inputs are more 474 predictive than others (specifically local geomorphology), this study also specifically examines 475 areas of misprediction, and offers insight as to the unique situations when misprediction arises. 476 Lentz et al. (2016) use a BN to relate land cover classifications, current elevations, and expected 477 changes in RSLR to likelihood that coastal geomorphic settings would evolve to keep up with 478 sea-level rise or inundate. A BN approach was used specifically in this study because of its 479 probabilistic nature. Linking large scales and small scales, Gutierrez et al. (2015) predicted 480 decadal changes in barrier island geomorphology of Assateague Island, USA using both large-481 scale (e.g., shoreline change rate, distance to an inlet) and small-scale morphologic features (e.g., 482 dune height, beach width) in a Bayesian network.

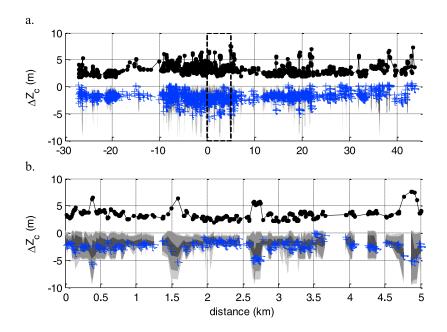
483 In addition to looking at long term shoreline changes, event scale work has also used BNs 484 for prediction. Wilson et al. (2014) built on previous work by Lentz and Hapke (2011) to predict 485 the beach volume changes resulting from storm events on Fire Island NY, USA with a BN. 486 Predictions were improved in this network by including anthropogenic impacts on the beach 487 (nourishment in this location) and adjusting the hydrodynamic inputs to the model (runup 488 elevation vs., impact hours). This highlights the potential role of using several different inputs 489 that may be viewed as quantifying the same process (wave-driven erosion), but may vary in 490 correlation with the desired output (beach volume change). Beuzen et al. (2017) compared the 491 use a BNs of different size (2 vs. 3 input nodes) to predict shoreline retreat as a consequence of 492 storm events and preexisting beach characteristics (state, slope, width) at Collaroy-Narrabeen 493 Beach in SE Australia. Building on this work, Beuzen et al. (2018) examined the use of BNs as 494 both predictive tools (high performance on testing data) and descriptive tools (high performance 495 on training data) for storm-driven shoreline change. Beuzen et al. (2018) notes that BNs built for 496 descriptive purposes can be used to gain insight on underlying processes that produce the data, 497 including causality.

Bayesian networks have also focused on emulating process-based models of storm erosion
that are particularly computationally intensive—Poelhekke et al. (2016) used a BN as an
emulator for the detailed process based model XBeach (Roelvink et al. 2009). By developing a
set of forcing conditions for XBeach and running the model for each forcing condition,
Poelhekke et al. (2016) trained the BN to predict morphodynamic impacts (overwash depth, flow
velocity, and erosion) on Praia de Faro, Portugal, a developed barrier island. The goal of the

work is to develop a quick method to emulate the XBeach for use in an early warning system.
Plomaritis et al. (2017) extended the work of Poelhekke et al. (2016) and a BN on disaster risk
reduction (Jager et al., 2017) to assess the impact of risk reduction measures on morphodynamic
impacts on the Ria Formosa Barrier system of Portugal. Again the BN served as mechanism to
emulate process based model runs.

509 **4.3 Dune Erosion**

510 BN studies of coastal dune erosion from storm events have also found value in explicitly 511 developing probabilistic prediction —Plant and Stockdon (2012) used a BN to predict dune crest 512 elevation changes, dune crest position change, and shoreline position change as a function of 513 dune base elevation, storm induced mean water level, and storm induced run-up (Figure 4). 514 Observations of dune erosion do not always match predictions perfectly, but do fall within the 515 confidence intervals of the probabilistic method — this highlights the utility of probabilistic 516 predictions toward enhancing prediction accuracy and certainty. Palmsten et al. (2014) used the 517 network from Plant and Stockdon (2012) as well as a simplified model structure to develop 518 probabilistic predictions of dune position change along the Gold Coast in Queensland, Australia. 519 Of note in this study is the attempt to use the trained model from Plant and Stockdon (2012), 520 with no modifications or additional training, for a new site — prediction was not skillful with 521 this model, however the ability for ML models to be generalized and extrapolated to new sites is 522 an important test for any coastal ML models. den Heijer et al (2012) performed a similar test 523 using a Bayesian network that was designed to emulate an existing volumetric dune erosion 524 model. The trained model was not able to successfully extrapolate beyond the range of the 525 training data.





527 Figure 4: Figure from Plant and Stockdon (2012), who used a Bayesian network to make

528 predictions of foredune crest elevation change (ΔZ_c). Initial dune height is shown in black,

529 observations are blue +, and predictions from the BN are shown as shaded area ranging from

530 50% (dark) to 95% (light) confidence interval.

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- 532

4.4 Cliffs and Rocky Coastlines

533 Much of the previous work has focused on low-sloped sandy coastlines, though there has 534 been work on rocky coastlines. Dickson and Perry (2015) used several regression tree 535 approaches to identify the controls on coastal cliff landsliding (e.g., distance to fault, bedding 536 dip, aspect, etc.). Multiple methods converged on the same two controlling variables, a benefit 537 when comparing multiple ML methods. Hapke and Plant (2010) used a BN to develop a 538 relationship between short term cliff erosion rate of rocky coastlines of the southern California, 539 US, and underlying geology, cliff height, cliff slope, and a metric based on hours the cliff is 540 subject to wave attack, and long term erosion rate of the cliffs.

541 **4.5 Wave Ripples**

542 Shifting from the coastline to smaller scale morphology, Yan et al. (2008) built an ANN to 543 predict wave generated ripple size (length and height) based on sedimentological and 544 hydrodynamic conditions. Data were from both field and lab studies, and the ANN results were 545 compared to four other empirical models. The ANN results provide more accurate predictions based on 3 statistical measures (scatter index, correlation coefficient, and mean geometric

547 deviation) than the empirical models. Also studying wave-generated ripple geometry, Goldstein

et al., (2013) used a GP routine to construct an equation for wave generated ripple height,

549 wavelength, and steepness using sediment grain size and near bed orbital excursion. The new

550 machine learning scheme produced more accurate predictions compared to traditional predictors.

551 This predictor was ultimately used as a component within a larger numerical model (Goldstein et

552 al., 2014).

553 **4.6 Flora and Fauna**

554 We can find very few coastal morphodynamic/morphology studies that focus on machine 555 learning with flora and fauna. Coco et al. (2011) used ANN to predict the change in elevation of 556 intertidal flats based on seagrass (Zostera noltii) shoot density, leaf length, leaf area index, 557 wave height, wind speed, sand content of the bed sediment, and sediment dry density. Gieder et 558 al. (2014) used a Bayesian network to predict piping plover (*Charadrius melodus*) nest presence 559 based on a Bayesian network that included barrier island morphology. Building on this work, 560 Zeigler et al. (2017) used data collected from a variety of practitioners using a phone application 561 to provide data to a BN to predict piping plover (Charadrius melodus) nest location. These three 562 studies highlight a potential future direction for coupling coastal morphodynamics to the 563 dynamics of flora and fauna.

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4.7 Detection of Bars and Shoreline in images

565 Detection of morphological features from video images has also employed regression-566 based ML. Kingston et al. (2000) used an ANN to model the difference between sandbar position 567 and video intensity maxima with additional inputs of wave height and tide level. Additionally, 568 the model developed by Kingston et al. (2000) showed success against other methods (Plant et 569 al., 2007). Related work has focused on detecting the shoreline in video observations with a 570 variety of ANN architectures (Alvarez-Ellacuria et al., 2011; Rigos et al., 2016).

571

572 5. Hybrid ML Morphodynamic models

573 Machine learning methods do not need to operate alone, and can be linked with 574 morphodynamic models to create what we refer to as 'hybrid' models, after the atmosphere and 575 ocean models by Krasnapolsky and Fox-Rabinovitz (2007), and Krasnopolsky (2013). There are 576 several reasons for a hybrid models (Goldstein and Coco, 2015): ML components can serve as 577 'emulations' of complex routines or equations to speed up the computational process; data-578 driven parameterizations can serve as model components when parameterizations have ample 579 data but no single optimal expression — perhaps there are multiple competing formulations; 580 more data might be anticipated in the near term future, and the parameterizations might be 581 'volatile', subject to change as new data is collected; lastly, hybrid models offer a degree of 582 specificity to a model. Adding a ML predictor is way of incorporating a bespoke prediction 583 scheme, which can be useful for modeling a specific setting where data was collected.

584 Three coastal morphodynamic models have combined genetic programming routines to aid 585 in various aspects of modeling. Goldstein et al., (2014) incorporated a GP derived suspended 586 sediment reference concentration predictor and equilibrium wave orbital ripple morphology 587 predictor (Goldstein et al., 2013) into a model of inner shelf sorted bedforms. The model 588 previously had been built using theoretical and empirical parameterizations of these processes, 589 but data from inner shelf sorted bedforms was used to develop new parameterizations and 590 produce a refined model. The goal of the modeling work was to add more specificity to the 591 process parameterizations in settings with mixed grain sizes. Limber et al. (2014) and Limber 592 and Murray (2014) used a GP derived expression as a component in nonlinear dynamical system 593 model for rocky coastline evolution. The GP routine was used to develop an expression that 594 emulated the output of a wave ray tracing model, thus summarizing the wave model results into a 595 single smooth continuous equation amenable to further numerical work and phase plane analysis. 596 Finally, Goldstein and Moore (2016) developed a nonlinear dynamical model of coastal dunes 597 subject to storms by combined an empirical formulation of coastal foredune growth with a 598 parameterization for dune erosion built using a GP from data reported in the literature. The GP 599 routine was used to fit a smooth continuous equation to a set of data to facilitate numerical 600 analysis.

Bayesian networks have been used as subcomponents for a variety of coastal models. Plant et al. (2014) used a Bayesian network to estimate overwash probability of a berm from hydrodynamic and wind conditions. This overwash probability was linked to a (non-BN) model of berm morphology. At larger space and time scales, both Passeri et al. (2016) and Bilskie et al. (2016) use the BN of Plant et al. (2016) — itself an extension of Gutierrez et al. (2014) —as a model component to predict century-scale shoreline change and dune height change as a function of SLR scenarios and geological constraints for the Gulf of Mexico. Bilskie et al. (2016) used the 608 BN as a component in a model of Hurricane impacts under different SLR scenarios while Passeri 609 et al. (2016) used the BN as a component of a model to simulate tidal hydrodynamics under SLR 610 scenarios. Both Passeri et al. (2016) and Bilskie et al. (2016) mention that the Bayesian network 611 was used because it is computationally efficient for the long time and large space scales that 612 were modeled. Passeri et al. (2016) also discuss limitations to the BN component — the lack of 613 historic data to train the BN limited its use in bays and estuaries, and large scale barrier island 614 processes such as rollover of back barrier shoreline migration and nourishment also were not 615 encoded in the BN (but included as rules in the larger model). van Verseveld et al. (2015) used 616 the process based model XBeach to simulate a storm event impacts on a developed barrier island. 617 Hydrodynamic and morphodynamic output from the model was used as input for a Bayesian 618 network, which predicted the damage to buildings. van Verseveld et al. (2015) notes that BN 619 require a significant amount of data, but much was available for this research question, enabling 620 a data driven approach. The use of multiple inputs (flooding, scour, wave height) and the 621 probabilistic nature of the BN were also advantages in this research.

622 Many coastal morphodynamic models have several free parameters that must be 'tuned' for 623 a given field site or use case (e.g., Apotsos et al., 2008, Lin and Sheng, 2017; Murray et al., 2016; Pinksy et al., 2013; Plant and Holland, 2011; Stephens et al., 2011, Stockdon et al., 2014). 624 625 When the number of free parameters is large and potentially interrelated, machine learning can 626 be used to find optimal parameters. Knaapen and Hulscher (2002) developed a model for sand 627 wave growth and saturated morphology, with best-fit model parameters are found using of a GA 628 routine. Ruessink (2005) tuned nearshore model parameters using a genetic algorithm coupled to 629 a local optimization routine (downhill simplex). Komurcu et al. (2008) used a GA to determine 630 the values for coefficients in two highly nonlinear functions that predict experimentally produced 631 bar geometry based on the wave height, wave period, bed slope and grain size.

We have so far discussed ML components that are internal to morphodynamic models (hybrid models) and ML that is used to tune models. ML can also be used to analyze model results and provide insight into model output. Lazarus et al. (2011) use nonlinear forecasting to quantify the nonlinearity of timeseries output generated by a model of human – coastline interaction.

637 6. Discussion

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We have identified a wide range of ML applications to sediment transport, morphologic,

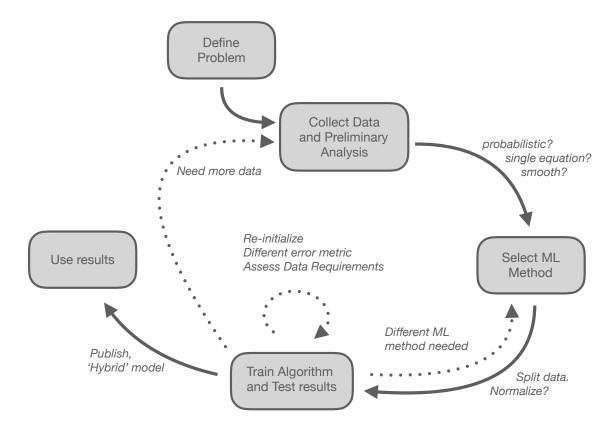
and hybrid coastal prediction problems. This provokes questions, such as how to select an
appropriate ML method to suit a particular problem, what sort of comparisons of ML can be
made to more standard approaches, what are the common principles that can be applied to these
applications, and where does this lead. These topics are discussed below.

643

6.1 Which ML technique and how much data?

644 We have reviewed commonly used ML techniques in the field of coastal morphodynamics, 645 and investigated their applications across a range of scales. Figure 5 is a schematic representation 646 of our workflow. Some of the steps in this workflow are decisions (selecting an algorithm, 647 determining if more data is needed, etc.) and may require significant investment of thought. With 648 many possible tools, we are often asked about the best ML routine to use for a given type of 649 problem. Unfortunately in most instances we cannot definitively answer this question a priori, 650 but we can offer some insights to readers wondering where to start. A researcher may have a 651 preference for a given learning routine based on the type or quantity of data, an intuition 652 regarding what worked best on a similar problem, or the form of a desired outcome. Guiding 653 questions can be used to determine the ML routine for a given problem: If probabilistic answers 654 are required, researchers could focus on Bayesian networks. If multiple free parameters exist for 655 a fixed, immutable equation, then a GA can be employed. If a specific smooth equation is 656 needed (e.g., to be used in an analytical model), an ANN or GP can be used. If functional form 657 and input dependencies are needed, a solution from GP can be attempted. Ambiguity over 658 picking a ML approach for a given dataset highlights the need for a larger empirical study where 659 many ML approaches are each attempted on an array of problems to determine (empirically) if 660 there are optimal techniques for a given research question. Examples of this approach can be 661 seen in other disciplines — work by Olson et al. (2017) and Hansen et al. (2013). Below (section 662 6.3) we offer a set of best practices for coastal researchers who aspire to make their ML results 663 usable for ML comparison.

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Figure 5: A schematic of our workflow. Work starts with 'Define Problem' —new or published
 data — and progresses by following the filled arrows Dotted arrows represent escapes from this
 workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from this workflow for variables from the starts with 'Define Problem' —new or published

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670 It remains unclear how much data a researcher needs to perform meaningful ML analysis 671 and produce a useful predictor. For example, Beuzen et al. (2017) investigated the amount of 672 data needed to successfully parameterize a BN of shoreline change as a function of storm events, 673 and suggested that the number likely depends on network complexity (degrees of freedom, or 674 independent variables) and the signal 'clarity', likely a measure of signal to noise in the dataset. 675 Goldstein and Coco (2014) and Tinoco et al. (2015) investigated the impact of adding data for 676 GP prediction, finding that very little data was needed to train the model, and more data might 677 actually provide degraded prediction for low-complexity models (Figure 6). It is unclear if this 678 relationship holds for other machine learning routines or prediction tasks, but could point the 679 way in minimizing training data and maximizing the data used to test the resultant ML derived 680 predictor. Similar to 'which algorithm', we expect that empirical guidance is most valuable, and 681 further studies might shed light on how much data is really needed to make optimal predictions

for a given problem, with a given prediction technique. Providing justification for a given
amount of data, or a given ML technique — especially quantitative justification — is particularly
valuable for future researchers to determine what techniques and data quantities work for a given
problem, and what can be learned (or what we are unable to learn) using a given approach and
given set of data.

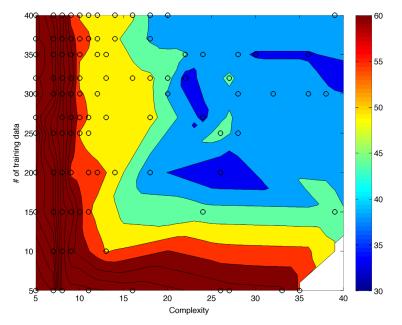




Figure 6: A plot from Goldstein and Coco (2014) that displays GP solutions (open circles) of
differing complexity developed by changing the size of the training data. The colormap is a
measure of error, and suggests that continuing to increase the size of training data does not
always yield decreases in error of the final solution.

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693 Beyond the amount of data needed for a ML analysis, all machine learning methods require 694 that data be split into discrete parts — with one part of the data used for developing the model 695 ('training data'), while the remaining data are reserved outside the algorithm to test the 696 developed model ('testing data'). The most important aspect of the data splitting is that users do 697 not test the learned predictor with the training data (e.g., Domingos, 2012) — the testing data 698 should not be seen by the ML algorithm as it is trained, testing must occur using a new, unseen 699 portion of the data. There are enhancements beyond this simple two-part split (i.e., it is common 700 to use additional subsets in the training process as 'validation' to limit overfitting), but for this 701 moment it is important that some portion of the data is used in the model building phase, and

some portion of data is used in the model testing phase.

703 In many of the studies reviewed in this paper the data are split randomly. While random 704 selection can be used, there are many methods to select a data for training and testing that are 705 advantageous for developing generalizable predictor. For example, it is often easier to acquire 706 data for coastal studies in controlled lab settings under weak forcing, and there may be few data 707 points in field conditions (with complexities such as mixed grain sizes, irregular waves, etc.) or 708 under extreme forcing (i.e., storms). Extracting training data by randomly sampling a full dataset 709 may omit the critical information from the training data, for example extreme conditions (e.g., 710 Passarella et al., 2018). There are many intentional sampling strategies from splitting data into 711 training and testing that have not seen wide adoption in coastal community — we believe that 712 adoption of these techniques will lead to more generalizable ML predictors. For examples of data 713 selection routines, see Galelli et al. (2014) on evaluating input value selection routines, and 714 previous work by Bowden et al. (2002), Bowden et al. (2012), Camus et al. (2011a; 2011b), May 715 et al. (2010), Tinoco et al. (2015), Splinter et al. (2013).

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6.2 When does ML perform better than more traditional methods?

718 As we state in the sediment transport modeling section, the ML studies we review often 719 outperform more traditional prediction schemes that are based on derivations from 'first 720 principles' (i.e., conservation laws) and schemes based on more classic curve fitting techniques 721 (e.g., linear regression). It remains unclear why this is the case. One possibility is that we are 722 only aware of published ML studies, which bias us into believing ML provides only positive 723 results. Or perhaps developing a new ML predictor might outperform a non-ML predictor 724 because of flexibility — many ML routines can develop predictors that do not conform to a set 725 basis function. For example, more traditional regression techniques work well when data 726 conforms to a set functional form (a line, or a curve) and obeys the many generalizing 727 assumptions (i.e., normally distributed). A researcher therefore performs dimensional reduction 728 or transformation to first get data into this functional form, then fits the data with a known basis 729 function (a line, a parabola, etc.). ML techniques offer more flexibility because the basis function 730 for many techniques is highly adaptable — i.e., a neural network, which has many free 731 parameters and can be trained to fit lines or curves.

We have discussed many of the studies that develop ML predictors for the same

733 phenomena (e.g., suspended sediment transport flux, bar geometry, ripple wavelength). Even 734 when multiple studies examine the same research question, the comparison between ML 735 predictors is often impossible because each study uses different datasets, the method to split and 736 testing data is often not clear, or information regarding the final predictor is not provided (e.g., 737 for cases where ANN are used, the weights and biases may not be provided). Therefore it is 738 difficult to truly compare ML methods and the resulting predictors. For instances, it is difficult to 739 compare the ripple predictors developed by Yan et al. (2008) with a ANN and the ripple 740 predictors developed by Goldstein et al. (2013) with a GP. After reviewing the work of ML in 741 coastal morphodynamics and sediment transport we are left instead with the knowledge that 742 the studies reviewed here can be understood as a 'proof of concept' for ML being able to develop 743 accurate predictions for a given dataset. In this way, we suggest that ML might point the way 744 toward the being valuable for developing bespoke prediction routines for a given site, a given 745 dataset, or a given purpose. In some cases, more accurate prediction might be needed because of 746 a specific research question or prediction task. An example is work by Goldstein et al. (2014), 747 where a near bed suspended sediment reference concentration prediction scheme was developed 748 from data collected in fields of sorted bedforms, and then used in a model of sorted bedforms to 749 predict sorted bedform dynamics.

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6.3 A set of practices for Coastal ML research

751 If researchers using ML aspire to make their results reproducible, transferable, and useful 752 to future researchers (e.g., intercomparison projects, helping to determine which algorithm is 753 best, or how much data is needed), we offer a set of practices here that would aid in this goal. 1) 754 Provide the data with the paper, or link to an open archive. 2) Unequivocally state the degree to 755 which the training data and testing data are separate, that the testing data was not seen by the 756 machine learning algorithm, and that the training data was not used to test the success of the 757 developed model. 3) Clearly describe the technique used to split the data into training and 758 testing, the percentage of data used for each group, and if possible, the actual data split into 759 groups. 4) Report the final model in its entirety (e.g., weights, biases and architecture for ANN; 760 binary splits for a tree model, etc.). 5) Define the metrics that are used to test the models and 761 define levels required to be successful. 6) Compare results to other models to provide 762 benchmarks for improvements and the relative value of ML vs. theoretically developed models. 763 7) Compare ML model to newly collected data sets as a test to determine whether there are

sufficient data for a particular model and whether the model is locally or generally applicable.

The goal with this set of best practices is to steer ML papers toward being usable and reproducible by the community. We understand that for a variety of reasons, these practices may not be possible under all circumstances, but if authors would like their ML work be built upon, tested, and refined, these practices aid in that goal. Very few studies that we review adhere to all of these practices. However we offer this guidance here to help advance the understanding and reuse of ML research in the coastal community, and we hope that all future work is performed with an eye toward reuse and reproducibility by others.

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773 **6.4 Future directions**

774 Data-driven research relies on the existence of data. Beyond the collection and ad hoc 775 sharing of data, the trend in publication of data is a major factor in the continued adoption of 776 data-driven science. Data publication is enabled by the existence of repositories — Figshare, 777 Zenodo, Data Dryad, Pangaea, and others identified by the re3data.org project (Pampel et al., 778 2013) — as well as data journals, publications that focus exclusively on descriptions of the data 779 (from collection to access) such as Earth System Science Data (Pfeiffenberger and Carlson, 780 2011), Earth and Space Science (Hanson, 2014), Geoscience Data (Allan, 2014), and Scientific 781 Data (Scientific Data, 2014). The continued collection and release of data will enable more data-782 driven work to occur in coastal settings.

783 In addition to data, ML research relies on ML algorithms and techniques. We have only 784 discussed ML techniques that have been used in coastal settings, but this in no way is an 785 exhaustive list of techniques. First, many common techniques — such as Support Vector 786 Machines — have seen only minimal usage in coastal morphodynamics problems; though they 787 have been used for coastal classification routines (Hoonhout et al., 2015) and in oceanographic 788 contexts (Li et al., 2013). Second, many newer techniques may not yet have been applied to 789 coastal research, such as recent advances in ANN architecture and training (e.g., Deep Learning; 790 LeCun et al., 2015), or newer probabilistic techniques (Ghahramani, 2015). There is a world of 791 new algorithms and techniques that can be brought over from the ML community — researchers 792 might find it profitable to look for new techniques within the ML literature to make sure we are 793 not missing out on the revolutionary advances in data-driven tools.

Finally, ML learning techniques can be thought of as new additions to the coastal

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researchers bag of tools — providing new insights during data analysis (e.g. Tinoco et al., 2015;
Beuzen et al., 2018). ML techniques could be taught alongside other more common data analysis
techniques (e.g., Fourier transforms, wavelets; Zdeborova, 2017) since ultimately the goal is the
same with any of these tools and techniques — to find and extract new knowledge or insight
from data.

800 In light of our general requests to continue publishing data for reuse, the continued 801 adoption of new algorithms, and to teach these modern methods to students, we also see three 802 specific areas for growth in Coastal ML research. First, to provide guidance for which methods 803 perform best for which problems, a more structured comparison projects between ML techniques 804 using the identical data set (and data split) is required. Comparative work of this nature will help 805 all researchers decide which ML has a high chance of success for a given problem. This may also 806 help us to further understand a given coastal problem. Second, we identify an opportunity to use 807 ML on timeseries, especially when systems may have memory and/or storage effects. The work 808 of Pape et al (2010) is a rare example, however new advances in neural network architecture 809 (i.e., long short-term memory) has the potential to allow for more accurate time series prediction 810 even when systems have strong autocorrelation, thresholds, and memory dynamic (e.g., 811 shorelines, bars, bedforms, etc.). See Kratzert et al. (2018) as an example of the power of long 812 short-term memory networks in hydrological time series prediction. Third, uncertainty derived 813 from ML can further be incorporated into models. A clear possibility is to use probabilistic ML 814 based predictions (from Bayesian networks, or other novel techniques such as Gaussian 815 Processes) creatively in numerical models. An example is to use the probabilistic nature of these 816 predictors as 'stochastic parameterizations' (e.g., Berner et al., 2017), whereby some aspect of an 817 otherwise deterministic numerical model is made probabilistic, and models may then be able to 818 generate ensemble predictions using identical forcing and initial conditions.

819

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