

1 **Title:** Poor environmental conditions, food and water insecurity, neighborhood
2 perceptions, and self-reported physical and mental health in four disadvantaged urban
3 communities in Salvador, Brazil: a cross-sectional study.

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27
28 **Abstract:** Emerging evidence suggests an association between environmental
29 conditions and mental well-being. While the physical health impacts of environmental
30 exposures such as contaminated drinking water or open sewers are well-documented
31 with respect to the spread of infectious diseases, the mental health implications of these
32 poor environmental conditions and exposures are less well understood, particularly in
33 the context of urban impoverishment. Addressing the association between urban
34 environmental conditions and mental health remains an important opportunity to reduce
35 barriers to health, improve quality of life, and increase longevity. However, few studies
36 have explored this relationship in the context of Brazil. The purpose of this study was to
37 examine the associations between poor environmental health conditions, food and
38 water insecurity, neighborhood perceptions and self-reported physical and mental
39 health in four disadvantaged urban communities that were receiving a simplified sewage
40 intervention in Salvador, Bahia Brazil. Using baseline surveys, we examined cross-

41 sectional associations between water, sanitation, and hygiene conditions, food and
42 water insecurity, and neighborhood perceptions with self-reported physical and mental
43 health measured via the Short-Form 12 questionnaire. Poor environmental conditions,
44 such as trash and open sewers near the home, were associated with lower mental
45 health scores (ranging from -1.18 to -2.86 on average). Problems with sewer, sewer
46 overflow, landslides and flooding were associated with worse physical health scores (-
47 2.29 to -3.24 on average). Food insecurity was strongly associated with worse physical
48 and mental health (-1.10 and -1.24 respectively for changes in food insecurity levels).
49 Positive perceptions of neighbors including trust and willingness to help one another
50 were associated with improved mental health scores (0.70-2.34 on average). The
51 results suggest that improving environmental conditions and reducing food insecurity
52 may have a positive impact on both mental health and physical health. Communities
53 should be engaged in developing solutions to address these complex issues.

54

55 Keywords: Food insecurity; Mental health; Water insecurity; Sanitation; Slums; Quality
56 of life

57 Introduction

58 The global shift from rural and agrarian societies to urbanized environments has
59 accelerated dramatically over the past 150 years, primarily driven by globalization and
60 industrialization.¹ Frequently, migration to cities is incentivized by perceived economic
61 and educational opportunities.² Today, more than half of the world's population resides
62 in urban areas, a figure projected to rise to 66% in the coming decades.³⁻⁵ While
63 urbanization can bring economic growth and improved access to services, it also
64 introduces complex challenges, particularly in low- and middle-income countries
65 (LMICs) where infrastructure development often lags behind population growth.² In
66 Brazil, for instance, nearly 10% of the urban population lives in favelas, low-income,
67 informal, and largely self-built settlements often with probable high levels of food and
68 water insecurity, and commonly referred to as slums in other LMICs.⁶ These areas were
69 recently defined by the Brazilian government as favelas and urban communities which
70 replaces the previous designation as subnormal agglomeration.⁶⁻⁸ The key
71 characteristics of these areas are lack of tenure to land, inadequate or absent public
72 services and limited or no urban planning which results inadequate basic services such
73 as clean water, sanitation, and healthcare.^{9, 10}

74 The rapid concentration of populations in urban centers has intensified environmental
75 health challenges.² Densely populated neighborhoods often experience limited liquid
76 and solid waste management resulting in environmental pollution in addition to limited
77 access to healthcare.^{2, 11} In Brazil, both social and environmental determinants of health
78 are closely linked to the spread of infectious diseases and a diminished quality of life
79 highlighted during the COVID-19 pandemic which caused a substantially greater burden
80 of illness in favela communities in Brazil.^{9, 12, 13}

81 Disadvantaged urban communities are places where environmental degradation such
82 as open sewers can transmit diseases along with complex conditions of poverty and
83 systemic inequality.¹¹ This can be attributed to a history of slavery and racism that
84 continues to drive poverty, educational access and economic power inequalities across
85 race and class.¹⁴⁻¹⁶ Moreover, environmental exposures such as limited access to green
86 space, the presence of trash or lack of sanitation services in urban settings have been
87 increasingly associated with both physical illness such as infectious disease and poor
88 mental health outcomes such as depression and anxiety, underscoring the need for
89 integrated actions to improve urban conditions with potential impact to improve both
90 physical and mental health.¹⁷⁻¹⁹

91 Mental health is a growing concern in Brazil.²⁰ In urban favela environments, residents
92 often face exposure to environmental hazards such as trash, open sewers and rats as
93 well as interpersonal violence, and social instability.²¹⁻²³ These communities may be
94 excluded from participating in the development of solutions to improve quality of life and
95 lower the risk of diseases due to the frequent top down nature of government
96 programming intended to address these concerns.²⁴ During the COVID-19 pandemic,
97 proposed prevention strategies often ignored the multidimensional risk factors
98 disadvantaged communities faced such as multi-generational living conditions and an
99 inability to work from home.⁹

100 Despite the growing prevalence of mental health issues such as anxiety and
101 depression, access to mental health services remains limited due to a high demand and
102 overburdened public services not to mention the underutilization of services due to
103 stigma.^{14, 25, 26} While there are clear links between environmental exposures such as
104 lack of water and sanitation and spread of infectious diarrheal diseases or zoonotic or
105 vectorborne illness,²⁷ the relationship between environmental exposures and mental
106 health are less well understood, particularly in the context of urban poverty.²⁸

107 Emerging evidence suggests a strong and potentially bi-directional association between
108 environmental conditions and mental well-being.^{17, 18, 29} For example, there is growing
109 evidence that being exposed to nature and green spaces is associated with improved
110 mental health. However, it is also as likely that those with mental health issues may be
111 less likely to engage with recreational activities in outdoor environments.³⁰ Additionally,
112 there is now a substantial amount of literature demonstrating the impact of climate
113 change on mental health showing how environmental conditions can contribute to
114 anxiety and depression.³¹ There is also recognition of the impact on neighborhood
115 perceptions and links between environmental conditions and mental health.^{23, 32}

116 Unique to our context, there have been few studies exploring the association between
117 environmental conditions and mental health in Brazil. In the context of water and
118 sanitation, a cross-sectional study found that those living in rural areas with drought
119 were more likely to experience anxiety.³³ In a study examining urban conditions and
120 mental health in São Paulo, researchers found that positive environmental conditions
121 such as increased green space were associated with decreased anxiety.²² In addition to
122 urban environmental conditions, there is a growing effort to understand how water and
123 food insecurity conditions are linked to mental health concerns as both water and food
124 insecurity have been linked to worse mental health.^{34, 35} While food insecurity is
125 important in both rural and urban areas, its components are complex and drivers of the
126 condition vary in these different environments. Brazil saw increases in food insecurity
127 during the COVID-19 pandemic which exacerbated inequalities particularly in poor
128 urban areas.³⁶ However, there have been no studies specifically examining urban
129 environmental conditions including water and sanitation access or water insecurity or
130 food insecurity in urban favela communities of Salvador, Brazil. This current study aims
131 to address this gap by examining the associations between poor environmental
132 conditions, food and water insecurity, and neighborhood perceptions with physical and
133 mental health in urban favela communities in the city of Salvador, Brazil.

134 This study was conducted within the design of a larger intervention study evaluating a
135 piped sewerage intervention system in the city of Salvador. The larger study aims to
136 evaluate the effectiveness of this intervention in reducing zoonotic and vector-borne
137 diseases in disadvantaged urban communities.³⁷ Salvador has the largest population of
138 Afro-Brazilians in the country³⁸ where the colonial and slavery historical past continues
139 to sustain profound socioeconomic disparities within Salvador's population.⁸ According
140 to the Brazilian government's most recent national census, 34.9% of the city's
141 households are in favelas or urban communities, compared to a national average of 8%,
142 representing an important location for research on environmental conditions and mental
143 health.⁶ In our study, we hypothesized that there would be associations between poor
144 environmental conditions and worse mental health in addition to worse physical health

145 among adults in favela communities in Salvador, Bahia, Brazil. In addition, we
146 hypothesized that negative neighborhood perceptions and food and water insecurity
147 would be associated with worse mental health and physical health.

148

149 **Materials and Methods**

150 **Ethics statement**

151 The larger study was originally approved by the Brazilian Ethical Committee
152 (CEP/ISC/UFBA) under number CAEE 32361820.7.0000.5030, and a national research
153 ethics committee (CONEP) linked to the Brazilian Ministry of Health under approval
154 number 6.766.544. All participants were informed about the study and gave their written
155 consent to participate. Data were de-identified and shared with Georgia State
156 researchers on June 8, 2023 after the Georgia State University Institutional Review
157 Board (IRB) reviewed the proposal and determined that it was exempt from IRB review
158 due to the lack of any additional direct contact with human subjects (application
159 H23635).

160 **Study design, setting and participants**

161 The city of Salvador is the fifth largest city in Brazil with an estimated population in 2024
162 of 2,568,928 inhabitants.^{38, 39} Communities that participated in this study were selected
163 based on the criteria for the larger study described in Cremonese et al., 2023.³⁷ The
164 primary selection criterion was that households were in neighborhoods that were
165 receiving the piped sewer intervention from the Water and Sanitation Company of the
166 State of Bahia (Empresa Baiana de Águas e Saneamento). In addition, the communities
167 were also selected based on the high risk of leptospirosis transmission determined from
168 previous surveillance and risk factor studies.^{11, 40} The following communities were
169 included in this study: Nova Sussuarana (NS), Jardim Santo Inácio/Mata Escura
170 (JSI/ME), Arenoso (AR) and Calabetão (CAL). For the intervention study, initial sample
171 size determined the need to recruit approximately 175 per neighborhood cluster which
172 was increased by 30% to allow for attrition. The present study is a cross-sectional
173 analysis of the data collected during the baseline recruitment survey. The participant
174 eligibility for this study included men and women, 18 years of age or older, who slept in
175 the household for at least three nights per week.

176

177 **Figure 1.** Map showing the four study areas (NS, AR, JSI/ME and CAL)

178

179 **Data collection**

180 Recruitment of households and completion of the baseline survey in these four
181 communities occurred from May 2021 to December 2022. Team members explained
182 the research objectives of the piped sewer intervention study,³⁷ invited eligible
183 household members to take part in the study and confirmed their agreement by
184 obtaining written informed consent. A household was visited up to three times for
185 recruitment before moving on to the next household selected for participation.

186 Participants were administered a questionnaire to collect information on demographics,
187 socio-economic status, mental and physical health, environmental conditions
188 (sanitation, trash in both at the household level as well as near the household such as in
189 the nearby streets and areas also called peri-domiciliary area), and neighborhood
190 perceptions. The questionnaire included the following validated instruments: the
191 Brazilian Food Insecurity Scale (EBIA) which is a tool specifically validated for
192 assessing food insecurity in Brazil⁴¹, the Household Water Insecurity Experiences Scale
193 (HWISE) a 12-item tool designed to measure household water insecurity, including
194 access, reliability, and adequacy⁴² and the Short Form Health Survey SF-12,^{43, 44} a
195 validated questionnaire which includes questions to assess self-reported physical and
196 mental health. Measures that were previously developed in English such as the SF-12
197 or the HWISE tool were translated to Portuguese prior to administering. After
198 translation, the questionnaire was designed and administered electronically using the
199 Research Electronic Data Capture software (REDCap).⁴⁵ All data were collected in
200 Portuguese by trained Brazilian research teams, with an average duration of 20 to 30
201 minutes. Of note, the following questions were only administered to those who indicated
202 they were the head of the household: EBIA scale and the HWISE scale as well as
203 questions on household flooding and landslides related to climate change. These
204 questions were administered to the person who identified themselves as the head of
205 household during the interview because the questions focus on a broad overview of
206 conditions in the household during the last 30 days for the EBIA and HWISE scale or for
207 up to six months for questions about problems related to climate change. The choice of
208 head of household was based on the consideration that this individual exercises
209 responsibilities within the household and is regarded as such by other family members,
210 to ensure that they are the central figure in the household. In our study, the head of
211 household was considered the most appropriate choice for data collection for these
212 specific questionnaires.

213 **Predictor Variables**

214 *Demographic Variables*

215 The following demographic characteristics were assessed: age, sex, race, educational
216 attainment, work status and civil status. Age was coded in years, sex was male or
217 female, race categories were those used by the Brazilian Census which include black,
218 brown, white, Asian, and Indigenous. Per the Brazilian Census, those who responded
219 that they self-identified as black or brown were considered Afro-Brazilian. Civil status
220 was classified as single, married, living together or other (being widowed or divorced as
221 they made up ~ 7% of the total sample). For educational attainment, we narrowed the
222 categories to comprise attendance of at least primary, middle or secondary school or
223 higher, and selected the highest level reported. Employment status was classified as
224 having current employment into one of three categories: those who did not work in the
225 last week, worked in last week but in an informal job, or worked in a formal employment
226 in the last week.

227 *Environmental conditions, food and water insecurity, and neighborhood perceptions*

228 Questions about environmental conditions included variables that provided details on
229 potential environmental hazards or concerns such as living near open sewers, bad odor,

230 or access to piped water, for example. These questions were binary questions (yes, no)
231 and were coded as binary variables. The food insecurity (EBIA) and water insecurity
232 (HWISE) item responses were coded 0 or 1 and summed to create scaled scores. In
233 addition, HWISE scores were categorized into four ordinal categories of water insecurity
234 ranging from light to severe (per numerical values suggested in the user's manual). A
235 total of 10 questions on the perceptions of the neighborhood and neighbors were asked.
236 These consisted of four questions with a five level Likert scale response. There were
237 also six binary questions. From these questions, the following neighborhood perception
238 variables were assessed: willingness of neighbors to help one another, willingness of
239 the participant to help other people, trust in people in the community, support from a
240 neighbor to look after the house when away.

241 **Outcome Variables**

242 The primary outcomes of interest were the physical and mental health scores produced
243 from responses to the SF-12 questionnaire. The physical and mental health scores
244 were calculated using the guidelines from the SF-12 questionnaire. The responses to
245 each domain's questions were summed according to the manual⁴³ to provide
246 quantitative, continuous scores for physical and mental health. To ensure accuracy of
247 the scores, item scale correlations were checked in accordance with the SF-12 manual.
248 Specifically, we examined correlations between and within mental health domain
249 responses and physical health domain responses. In addition, we examined the range
250 for each and assessed if it fell within the typical range for physical health and mental
251 health scores.

252

253 **Statistical analysis**

254 Descriptive statistics were calculated to characterize the distribution of the variables.
255 Responses of "Don't know" or "no response" for questions with those options were
256 categorized as missing when the percent of the response was within 3% of the total
257 responses. When the percent "Don't know" or "no response" exceeded 3%, a sensitivity
258 analysis involving categorizing the response alternatively as "yes" and as "no" to assess
259 sensitivity of the findings to handling of these responses was conducted. To assess the
260 association between the primary outcome variables and the predictor variables of
261 environmental hazards, food and water insecurity, and neighborhood perceptions, we
262 performed linear regression examining the bivariate association between each condition
263 and physical health or mental health scores. These are presented as unadjusted
264 associations. In multiple linear regression analyses to examine the associations
265 between environmental predictor variables, food and water insecurity, and
266 neighborhood perceptions and physical and mental outcome variables, we adjusted for
267 demographic variables that had statistically significant bivariate associations with the
268 outcome variables. To address the nesting of participants within neighborhoods, we
269 included neighborhood as a fixed effects factor in the models (using effects coding). A
270 frequentist approach to statistical analysis was taken with two-tailed probability values
271 less than .05 indicating statistical significance. Descriptive statistics were computed,
272 unstandardized regression coefficients and 95% confidence intervals were estimated,
273 and statistical testing was conducted with Stata 18.

274 Results

275 A total of 779 participants ≥ 18 years of age completed the questionnaires during the
 276 enrollment phase of the intervention study and were the selected participants for this
 277 analysis. Most questions exhibited fewer than $< 3\%$ missing. There are some important
 278 exceptions. Responses on educational attainment and salary resulted in $> 30\%$ missing.
 279 In addition, the measures for food insecurity and water insecurity and climate change
 280 questions were only administered to those who identified themselves as the head of
 281 household. As a result, some measures resulted in a large proportion missing between
 282 30-60%. Lastly, a smaller number of questions were not administered to respondents
 283 due to response skip patterns (i.e. access to piped water and service provider). For
 284 example, if someone responded that they did not have access to piped water, they were
 285 not asked if they had water from the state water provider. To clarify the response rates,
 286 numbers of observations are listed in Table 1 along with the % missing.

287 *Demographic Characteristics*

288 For this study, as described in Table 1, the participants were on average 42 years of
 289 age, 63% of the participants were female and 94% were considered Afro-Brazilian.
 290 Approximately 1/3 of (37%) of participants reported completing some secondary
 291 education or higher, but a large proportion of participants did not respond to questions
 292 about education (27% missing). Approximately 46% of the participants reported being
 293 single, 47% reported living together or being married and 7% were either divorced or
 294 widowed. A majority reported working in the last week (52%) and approximately 40% of
 295 those working participants reported being employed in a formal work position.
 296 Approximately 20% of the respondents reported receiving the Bolsa Familia program
 297 (cash transfer program for eligible female head of household with young children)¹³.
 298 Lastly, almost all (93%) respondents reported living in the neighborhood for at least 3
 299 years, and average household size was three members (but ranged from 1 to 11).

300 **Table 1. Participant demographic information in Salvador, Bahia, Brazil (2021-**
 301 **2022) (n=779)**

Individual demographic characteristics	Frequency
Age (years), mean (SD)	41.9 (15.3)
Sex , n(%)	
Female	491 (63)
Male	288 (37)
Race , n(%) (self-reported)	
Black	394 (50.6)
Brown	338 (43.4)
White	30 (3.9)
Asian	14 (1.8)
Indigenous	3 (0.4)
Education n(%)	
Primary	69 (8.9)
Middle	207 (26.6)
Secondary	290 (37.2)
Missing	213 (27.3)
Civil Status , n(%)	

Single	355 (45.6)
Married	173 (22.2)
Living together	194 (24.9)
Other (widow, divorced)	57 (7.3)
Household size, average (range)- N=762	3 (1-11)
Worked in the previous week, n(%)	
Yes	402 (51.6)
Formal	161 (20.7)
Informal	240 (30.8)
Didn't respond	1 (0.1)
Did not work in the last week	376 (48.3)
Didn't respond	1 (0.1)
Monthly Salary (R\$), average (range)-N=392	1,225 (0-5,000)
Received Cash Transfer (Bolsa Família)	
Yes	161 (20.7)
No	228 (29.3)
Did not respond	1 (0.1)
Not asked (not eligible, no children)	389 (49.9)
Live ≥ three years in the community	723 (92.8)

302

303 ***Environmental, Neighborhood Characteristics and Food and Water Insecurity***

304 Results from the questions regarding access to water, reported environmental problems
305 and concerns, food and water insecurity and neighborhood perceptions are reported in
306 Table 2. Most households reported having access to piped water (97%) which was
307 treated and provided by the state-run water and sanitation provider (EMBASA) (95%).
308 Almost half experienced intermittent service (42%) even though they reported piped
309 water supply. More than half reported some form of water treatment including 44%
310 reported filtering the water for drinking and 12% reported using purchased water for
311 drinking. In terms of other environmental and sanitation conditions, 80% of respondents
312 reported having an open sewer near (within 10 meters) of their house, 64% sighted rats
313 near their house, 61% reported having a bad odor outside of the home, 25% reported
314 trash accumulated near their household and 16% reported living near a hillside. Most
315 respondents (>85%) reported paved roads and street lighting within their
316 neighborhoods.

317

318 **Table 2. Household characteristics regarding water and sanitation**
319 **concerns as well as neighborhood perception reported by participants in**
320 **Salvador, Bahia, Brazil (2021-2022) (n=779)**

Variables	Frequency (%)
Piped water	756 (97.1)
No access to piped water	6 (0.8)
Missing	17 (2.1)
Piped water from local service provider	739 (94.9)
Piped water not from local service provider	13 (1.7)
Missing	27 (3.5)
Frequency of water intermittency	
No intermittent service	403 (51.7)

< 3X per week	231 (30.0)
3-6X per week	94 (12.1)
Missing	51 (6.6)
Stores water	461 (59.2)
Does not store water	293 (37.6)
Missing	25 (3.2)
Drinking water treatment	
Filtered	344 (44.2)
Not treated	293 (37.6)
Purchased	98 (12.6)
Other	22 (2.8)
Missing	22 (2.8)
Trash accumulated near house	176 (22.3)
No trash near house	580 (74.4)
Missing	23 (3.0)
Open sewer near house	623 (80.0)
No open sewer near house	138 (17.7)
Missing	18 (2.3)
Reported problems with sewer	302 (38.8)
No problems with sewer	446 (57.2)
Missing	31 (4.0)
Bad smell near house	448 (57.5)
No bad smell near house	313 (40.2)
Missing	18 (2.3)
Rats sighted near house	499 (64.1)
No rats sighted near house	261 (33.5)
Missing	19 (2.4)
Streets lights available	676 (86.8)
No streets lights near house	87 (11.1)
Missing	16 (2.1)
Paved streets	664 (85.2)
No paved streets near house	99 (12.7)
Missing	16 (2.1)
House on a hillside	127 (16.3)
Not on a hillside	636 (81.6)
Missing	16 (2.1)
Neighbors help one another, mean (SD)-N=752	3.41 (1.57)
I help others in my neighborhood, mean (SD)-N=763	4.62 (0.77)
I get along with neighbors, mean (SD)- N=776	4.02 (0.75)
I trust others in my neighborhood, mean (SD)-N=769	2.88 (1.57)
I know my neighbors by name	619 (79.5%)
I do not know neighbors by name	142 (18.2%)
Missing	18 (2.3)
My neighbors could help if I was ill	589 (75.6)
My neighbors could not help if were ill	169 (21.7)
Missing	21 (2.7)
I helped my neighbors when they were ill	555 (71.2)
I have not helped my neighbors when they were ill	202 (25.9)
Missing	22 (2.8)
Would ask neighbors to watch my house while away	547 (70.2)
Would not ask neighbors to watch my house while away	209 (26.8)

Missing	23 (2.9)
Neighborhood association	330 (42.4)
No neighborhood association	336 (43.1)
Missing	113 (13.5)
Sewage overflows into house (last six months)	146 (18.7)
No sewage overflow into house (last six months)	247 (34.8)
Missing	362 (46)
Experience landslides (last six months)	129 (16.6)
Did not experience landslides (last six months)	330 (42.4)
Missing	320 (41.1)
Experience floods (last six months)	162 (20.8)
Did not experience floods (last six months)	302 (38.8)
Missing	315 (40.4)
Food insecurity , average score (SD)(range)-N=467	1.9 (2.0) (0-5)
Water Insecurity , average score (SD)(range)-N=284	12.0 (9.5) (1-44)

321

322 Four Likert Scale questions were asked about perceptions of the neighbors with a
323 higher score indicating better or more favorable perception. Of these four questions, the
324 highest average score was for those who said they helped others in their neighborhood
325 (average 4.6/5), followed by how well they get along with others (4.0/5). Lower averages
326 scores were found for how likely neighbors were to help one another and the lowest
327 score was for how much they trust people in their neighborhood (2.9/5). A total of six
328 questions with binary responses were asked about their neighbors. Most respondents
329 felt like they knew their neighbors by name (79%), would help each other if they were ill
330 (71-75%) and would ask their neighbor to watch their house if they were away (70%).
331 About 43% knew about a neighborhood association in their neighborhood and 43%
332 were not aware of one with 14% missing responses because they did not know the
333 answer to this question. The range for the food security scale was 0-5 and the average
334 was 1.9 (SD 2.0). The range for the water insecurity scale was 1-44 and the average
335 was 12 (SD 9.5).

336

337 ***Primary outcome variables***

338 The composite score for the physical health questions, hereafter referred to as PCS12,
339 had a mean of 47.6 (SD 10.6) with a range from 12-65 and a median of 51 (results not
340 shown). The mental health composite score, hereafter referred to as MCS12, had a
341 mean of 51.4 (SD 10.9) with a range of 16-72 and a median of 54. The scores for
342 physical and mental health were somewhat normally distributed but slightly left skewed.
343 Based on an analysis of the results according to the SF-12 manual, the scores
344 produced were generally aligned with expected scores including a mean near 50 and a
345 standard deviation near 10. In addition, domain responses related to physical health
346 including general health, physical functioning and bodily pain were highly correlated with
347 PCS-12 scores. The domains related to mental health including vitality, and social
348 functioning were highly correlated with MCS-12 scores. Accordingly, the MCS-12 and
349 PCS-12 scores were not correlated which was expected.

350 ***Association between demographic variables and primary outcomes of interest***

351 Using bivariate linear regression, we examined associations between demographic
 352 variables and PCS-12 or MCS-12. We based our assessment of statistically significant
 353 association by examining the p-value <0.05. Based on these conditions, the following
 354 variables were statistically significantly associated with either PCS-12, MCS-12 or both:
 355 age, sex, current work status, current school attendance, and civil status. Being female
 356 was statistically significantly associated with worse physical and mental health scores (a
 357 negative coefficient). Increasing age (in years) was associated with better mental health
 358 and worse physical health scores. Race was not associated with differences in PCS-12
 359 or MCS-12. As a result of the statistically significant associations and based on existing
 360 literature suggesting plausible potential for inclusion,^{14, 23, 46} we included age, sex,
 361 current work status, civil status current school attendance and neighborhood in the
 362 adjusted models. While other variables such as monthly salary and level of educational
 363 attainment would have been helpful to include, they had a large portion of responses
 364 missing and therefore were not included in the adjusted models.

365
 366 ***Associations between poor environmental conditions, food and water insecurity,***
 367 ***neighborhood perceptions and physical and mental health***

368 We examined environmental conditions, measures of food and water insecurity, and
 369 neighborhood perceptions to see how they were associated with measures of physical
 370 and mental health. Poor environmental conditions included exposure to trash, sewage
 371 and rats as well as access to water. Broadly, we found that those respondents who
 372 reported poor environmental conditions had lower physical or mental health scores on
 373 average indicating a negative association between environmental hazards and physical
 374 and mental health. The results of the analysis for associations between physical and
 375 mental health scores for bivariate models (unadjusted) and adjusted models as shown
 376 in Table 3. Specifically, the following water and sanitation conditions were statistically
 377 significantly associated with lower mental health scores in unadjusted and adjusted
 378 models: water service disruption, trash near the house, bad odor near the house, and
 379 problems with sewers. These conditions were associated with MCS12 scores ranging
 380 from -1.30 to -2.86 lower on average. The largest negative effect was found for those
 381 who reported trash near the house. They had -2.86 (95% CI: -4.64, -1.07) MCS12 lower
 382 score in the adjusted model. We found that having access to paved roads in the
 383 neighborhood had the greatest positive impact on MCS12 score with those who had
 384 paved roads in their neighborhood having on average 2.87 greater score (95% CI: 0.56,
 385 5.18).

386
 387 **Table 3: Unadjusted and Adjusted Associations between Environmental**
 388 **Characteristics and Physical and Mental health Scores in Salvador, Brazil (2021-**
 389 **2022)**

Environmental Condition	PCS12 (Physical Health) B(95% CI) N	PCS12 (Physical Health) Adjusted B(95% CI) N	MCS12 (Mental Health) B(95% CI) N	MCS12 (Mental Health) Adjusted B(95% CI) N
Access to piped	-4.19 (-13.5, 5.15)	-5.97 (-14.8, 2.85)	7.16 (-2.3, 16.7)	4.49 (--4.65, 13.7)

water	N= 738	N=736	N=738	N=736
Provided by regional service provider	1.20 (-4.65, 7.04) N=729	1.89 (-3.66, 7.45) N=727	3.03 (-2.89, 8.04) N=729	1.70 (-4.05, 7.45) N=727
Experiences water service disruptions	-0.49 (-1.60, 0.61) N=706	-0.49 (-1.67, 0.69) N=704	-1.35 (-2.47, -0.23)* N=706	-1.30 (-2.53, -0.081)* N = 704
Store water at house	-1.45 (-3.03, 0.13) N=730	-0.64 (-2.14, 0.87) N=728	0.75 (-0.84, 2.33) N=730	0.0093 (-1.47, 1.65) N=728
Trash near the house	-0.95 (-2.77, 0.88) N=732	-1.18 (-2.90, 0.54) N=730	-2.43, (-4.28, -0.59)* N=732	-2.86 (-4.64,-1.07)*** N=730
Open sewer near the house (<10 m)	-1.15 (-3.10, 0.79) N=736	-2.22 (-4.13, -0.32)* N=736	-0.86, (-2.87, 1.15) N=738	-0.015 (-2.00, 1.97) N=736
Bad smell near the house	-1.29 (-2.86, 0.26) N=737	-1.25 (-2.74, 0.23) N=735	-1.81 (-3.38,-0.23)* N=737	-1.59 (-3.14, -0.056)* N=735
Problem with sewer	-2.34 (-3.88,-0.79) *** N=739	-2.73 (-4.19,-1.26)*** N=738	-1.67 (-3.27, -0.067)* N=739	-1.77 (-3.33, -0.20)* N=738
Rats near the house	-0.27 (-1.89, 1.35) N=736	-1.13 (-2.68, 0.42) N=734	-1.29 (-2.93, 0.35) N=736	-1.03 (-2.63 0.58) N=734
Street lights	-1.48 (-3.91, 0.95) N= 739	-1.04(-3.37, 1.29) N=737	0.84 (-1.63, 3.32) N=739	0.72 (-1.70, 3.13) N=737
Paved roads	-1.50 (-3.81, 0.82) N=739	-1.06(-3.30, 1.17) N=737	3.00 (0.66, 5.35)* N=739	2.87 (0.56, 5.18) ** N=737
Sewer overflow	-2.08 (-4.29, 0.13) N=416	-2.68 (-4.81, -0.55)* N= 415	-2.25 (-4.54, 0.027) N=416	-2.34 (-4.56,-0.12) * N=415
Flooding	-2.61 (-4.69, -0.53)* N=462	-3.24 (-5.27, -0.22)*** N=461	-1.04 (-3.16, 1.09) N=461	-1.04 (-3.15, 1.07) N=461
Landslides	-2.22 (-4.43, -0.15)* N= 456	-3.17 (-5.38,-0.96)*** N=456	-1.69 (-3.95, 0.58) N=456	-1.51 (-3.81, 0.78) N=456
Food Insecurity Scale (1-5)	-1.14 (-1.61, -0.67)*** N=464	-1.10 (-1.547,-0.63)*** N=464	-1.52 (-2.00,-0.04)*** N=464	-1.24 (-1.72,-0.76)*** N=464
Water Insecurity Scale (0-44)	-0.040 (-0.18, 0.10) N=273	-0.057 (-0.20, 0.086) N = 273	-0.073 (-0.22, 0.075) N=273	-0.023 (-0.18, 0.13) N=273

390 Adjusted models included age, sex, civil status, work in the last week, current school
391 attendance, and neighborhood

392 *, *** indicate p-value <0.05, <0.005

393

394 With respect to PCS12, the following water and sanitation conditions were statistically
395 significantly associated with lower PCS12 scores: open sewer near the house, problems
396 with sewer, sewer overflow, flooding and landslides. These conditions were associated
397 with PCS12 scores ranging from -2.22 to -3.24 lower on average. The largest effect was
398 found for those who reported flooding in the last six months, which had on average -
399 3.24 (95% CI: -5.27, -1.22) lower PCS12 compared to those who did not experience
400 flooding.

401 We also examined food insecurity and water insecurity. Higher food insecurity scores
402 were statistically significantly associated with worse physical and mental health scores
403 in both unadjusted and adjusted models. More specifically, an increase in food

404 insecurity (higher level of food insecurity across any dimension) was associated with a -
 405 1.07 average lower (95%CI: -1.54,-0.60) PCS12 score and a -1.21 lower MCS12 score
 406 (95%CI: -1.70, -0.73). When assessing the relationship between water insecurity, we
 407 found no statistically significant associations with PCS12 or MCS12 scores.

408 When examining perceptions of neighbors, each of the four Likert-scaled response
 409 questions were statistically significantly associated with increased MCS12 scores as
 410 shown in Table 4. An increase in Likert scores to more favorable perceptions or
 411 stronger agreement score was associated with higher MCS12 from 0.72 to 2.36 on
 412 average. For example, higher agreement for participants who said they get along with
 413 others in their neighborhood (units ranged from strongly disagree to strongly agree
 414 scaled from 1-5) was associated with an increase in 2.36 for the MCS12 score on
 415 average (95% CI:1.36, 3.37). None of the Likert Scale measures were associated with
 416 any statistically significant differences in PCS12. Of the binary response measures, two
 417 were statistically significantly associated with PCS12. Those who reported that they get
 418 help from their neighbors when ill had a lower physical health score of -2.13, (95%CI: -
 419 3.88, -0.37). Separately, those who reported that they could help if their neighbor was ill
 420 had statistically significantly higher PCS12 scores on average 2.23 (95% CI: 0.59, 3.89).
 421 None of these five questions were found to be statistically significantly associated with
 422 changes in MCS12.

423

424 **Table 4: Unadjusted and Adjusted Associations between Neighborhood**
 425 **Perceptions and Physical and Mental health Scores in Salvador, Brazil (2021-**
 426 **2022)**

Neighborhood perception	PCS12 B(95% CI) N	PCS12 Adjusted B(95% CI) N	MCS12 B(95% CI) N	MCS12 adjusted B(95% CI) N
Neighbors help one another	0.16 (-0.34, 0.65) N=742	-0.041 (-0.51, 0.443) N=740	0.76 (0.25, 1.27)*** N=742	0.72 (0.23, 1.21)*** N=740
I help others in my neighborhood	0.34 (-0.65, 1.31) N=753	-0.20 (-1.14, 0.75) N=751	1.06 (0.049, 2.06)* N=753	0.73 (-0.26,1.72) N=751
I get along with others in my neighborhood	0.57 (-0.45, 1.56) N=752	0.48 (-0.49, 1.44) N=750	2.81 (1.78, 3.83)*** N=752	2.36 (1.36, 3.37)*** N=750
I trust my neighbors	-0.11 (-0.59, 0.38) N=747	0.10 (-0.36, 0.56) N=745	1.03, 0.53, 1.52)*** N=747	0.74 (0.26, 1.23)*** N=745
I know most of my neighbors by name	-0.80 (-2.76, 1.15) N=750	-1.29 (-3.14, 0.56) N=748	-1.13 (-3.14, 0.88) N=750	-1.06 (-3.01, 0.89) N=748
I have a neighbor who can help if I am ill	-2.29 (-4.12, -0.47)*** N=747	-2.13 (-3.88, -0.37)** N=745	0.065 (-1.81, 1.94) N=747	-0.033(-1.81, 1.86) N=745
I helped a neighbor when they were sick	2.51 (0.78, 4.23)*** N=745	2.23(0.59, 3.89)** N=744	-0.082 (-1.86,1.69) N=745	0.76 (-0.99, 2.50) N=745
A neighbor can	-0.35 (-2.04, 1.35)	-0.34 (-1.95, 1.28)	1.43 (-0.31, 3.17)	0.79 (-0.91, 2.49)

watch my house while I am away	(N=746)	N=744	N=746	N=744
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427 Adjusted models included age, sex, civil status, work in the last week, current school
428 attendance, and neighborhood
429 *, *** indicate p-value <0.05, <0.005

430

431 Discussion

432 *Environmental Hazards, Food and Water Insecurity.*

433 In our cross-sectional analysis of approximately 800 adults from four urban favela
434 communities in Salvador, Brazil we found statistically significant associations
435 suggesting a negative impact of poor environmental conditions on physical and mental
436 health. Poor environmental conditions measured by the presence of visible trash, open
437 sewers, sewer problems and bad odor were associated with a decrease in mental
438 health. In addition, climate related weather hazards including flooding, landslides, sewer
439 overflow, sewer problems and open sewers were associated with a decrease in physical
440 health. Our findings support previously identified associations from a meta-analysis
441 which found inadequate sanitation was associated with common mental disorders.¹⁸ It is
442 important to note that most of these studies in recent meta-analyses or review papers
443 focused on rural areas or broad measures of sanitation such as access to toilet
444 facilities.^{18, 47} None of the studies examined urban environmental conditions related to
445 sanitation in Brazil.^{17, 18} A study in Mumbai, India, corroborated our findings showing
446 that slum dwellers living in structurally substandard housing and exposed to flooding,
447 rats, inadequate water and sanitation had three times higher odds of experiencing
448 common mental disorders.⁴⁸ Our results suggest that even when access to water and
449 sanitation infrastructure is present, poorly functioning infrastructure negatively impacts
450 physical and mental health for urban favela communities. Our work contributes to the
451 growing understanding of the links between sanitation conditions and mental health in
452 urban environments, especially in places where sewerage sanitation is available and can
453 be implemented or improved.

454 We found negative associations between specific conditions linked to climate concerns
455 such as flooding, landslides and sewer overflow and physical health. In these
456 communities in Salvador, flooding, landslides and exposure to sewer have frequently
457 been documented to increase infectious disease risk such as leptospirosis and Zika
458 virus.¹¹ While we did not document the specific physical health conditions with our
459 study, physical health risks include infectious disease risks as well as risk from injuries,
460 stress-related physical characteristics or even exacerbation of chronic conditions.¹⁷

461 Although coverage of piped water supply was high, intermittent supply and reports of
462 water treatment were common suggesting poor safety and quality perceptions.⁴⁹ We
463 found that water service disruption was associated with worse mental health, this
464 association was lower in magnitude and strength when compared to trash or sewer
465 problems suggesting a more limited impact of water access on mental health in our
466 study. While there is increasing evidence that water insecurity can be linked to mental
467 health disorders,^{18, 50} we were not able to corroborate that in our study. This may be

468 due to high level of access to piped water which limited the overall severity of water
469 insecurity. We also had a smaller number of observations which may limit our ability to
470 detect these associations.

471 We found a strong association (in both magnitude and effect size) between food
472 insecurity and physical and mental health. There is growing recognition of the links
473 between food insecurity and mental health which was also recently documented in
474 adults in Mexico.⁵⁰ During the COVID-19 pandemic, Brazil experienced increased
475 hunger and food insecurity across the country.⁵¹ While food insecurity is not directly
476 caused by the poor environmental conditions reported in our study, environmental
477 conditions have been demonstrated to impact food insecurity in both urban and rural
478 communities in Brazil.⁵² Furthermore, food deserts which lack healthy unprocessed
479 options are common concerns in urban environments and have been shown to be
480 associated with food insecurity in Brazil.⁵³ Our findings highlight the need to consider
481 both environmental conditions, food insecurity and physical and mental health as we
482 begin to understand the interplay of environmental exposures and mental health for
483 urban favela communities.

484 *Neighborhood perceptions*

485 We found that positive neighborhood perceptions were associated with better mental
486 health scores across many of the conditions measured. The greatest effect was found
487 for the question about getting along with neighbors and measures of mental health
488 suggesting that the greater the agreement, the stronger the impact. This is an important
489 consideration for communities facing many social and environmental challenges.
490 Perceived trust in others may support mental health through exchange of mutual
491 support and trust.⁵⁴ Neighborhood strengths such as ability to help one another or lean
492 on other community members may serve as a protective factor for mental health.^{21, 32, 55}
493 As found in a slum community in Bangladesh, social networks, support, and friendship
494 were associated with reductions in stress and anxiety, thereby improving the
495 community's mental health.⁵⁶ The same was observed in women in urban communities
496 in Ibadan, Nigeria.⁵⁷ Although we found that those who reported receiving help when ill
497 had worse physical health, it is likely that these results reflected the fact that the
498 respondent who answered had been ill previously. The opposite may occur when a
499 neighbor responds they have helped another neighbor. It may be that this duty falls to
500 healthier (and younger) neighbors. Overall, these neighborhood perceptions deserve
501 additional attention to determine how they can help mitigate or modify the mental health
502 challenges related to environmental conditions and food insecurity in communities.

503 Our study has several limitations that should be considered but do not diminish our
504 findings. Our primary recruitment criterion for participant selection was they reside in a
505 household where a piped sewer intervention would be implemented. We used self-
506 reported surveys as the primary source of information on services and conditions. Also,
507 for some conditions, we focused on the head of household. These can limit the
508 generalizability of these findings. In addition, this analysis is cross-sectional and
509 therefore we can only discuss associations and not causality. These limitations require
510 more cautious interpretation of our findings.

511 Nevertheless, our findings have important implications for urban environmental
512 conditions, especially in settings where piped sewer interventions are possible such as
513 these urban favela communities in Brazil. Our study provides important insight into
514 underserved and understudied area of investigation about environmental degradation,
515 food insecurity in a region with high proportion of Afro-Brazilians. Our findings highlight
516 the need to better understand and address the potential detrimental impact of poor
517 environmental conditions on physical and mental health and the ability for positive
518 neighborhood perceptions to influence mental health. Further work is needed to identify
519 sustainable and acceptable solutions for improving these environmental conditions and
520 reducing food insecurity. Communities should be fully engaged in deriving solutions to
521 improve them. Lastly, our study supports the need to include mental health measures in
522 studies of environmental conditions that have more traditionally focused primarily
523 biomedical outcomes.

524

525 **Conclusion**

526 Overall, the current study contributes to the literature by documenting associations of
527 poor environmental conditions, neighborhood perceptions, and food and water
528 insecurity with physical and mental health in communities that are predominantly Afro-
529 Brazilian in Salvador, Brazil. Safe and accessible water, sanitation and solid wastes
530 services have the potential to provide broad health benefits beyond reductions of
531 infectious diseases and their potential impact on mental health should not be
532 overlooked. Community engaged and derived solutions to address these complex
533 issues are important components to improving health as our understanding of the
534 interplay of environment, mental health and physical health evolves.

535

536 **Authors' contribution**

537 Conceptualization –AKG, CAS, CES, FC, HK

538 Data curation – FNS, NNJ

539 Formal analysis -CES, CH, JPAT,

540 Funding acquisition – AKG, CAS, CC, CES, FC, HK, YAAL

541 Investigation – DO, DCCS, FAGP, PEFS, AMNS, JFCP

542 Methodology – JPAT, RHC, SRW

543 Project administration – CC, CES, FC

544 Resources – CES, FC, YAAL

545 Software – CES, CH, NNJ

546 Supervision – CC, CES, FC, SRW

547 Validation –CES, CH, FC, FAGP, FNS, AMA, JPAT, JFCP, RHC, AMNS

548 Visualization – CES, GGM, JOS

549 Writing – original draft – AKG, CAS, CES, CH

550 Writing – revision, review and editing: All

551

552 **Declaration of interests**

553 The authors have declared that no competing interests exist.

554

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560

561 **Availability of data and materials**

562 The datasets used and/or analyzed during the current study cannot be shared publicly
563 because of personal information of participants in the survey, at the individual and
564 household level. Researchers who wish to access the data can contact Dr. Mitermayer
565 Galvão Reis, Principal Investigator, Oswaldo Cruz Foundation (mitergreis@gmail.com),
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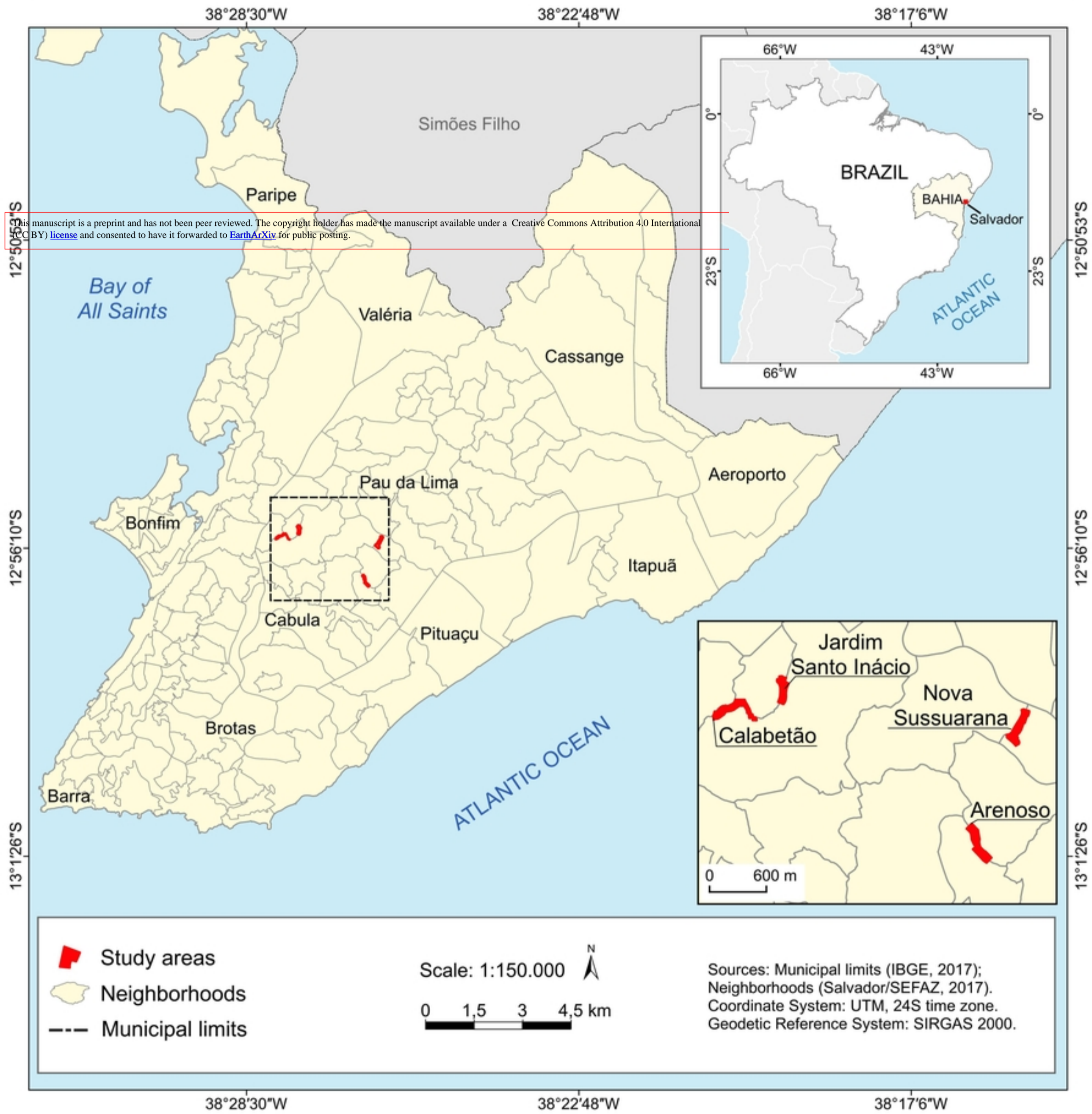
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Location of the study areas of the Sanitary Intervention Project to prevent leptospirosis in the city of Salvador, Bahia



Figure