1	2.2 Ocean Drilling Perspectives on Meteorite Impacts
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13	Abstract

14 Extraterrestrial impacts are a ubiquitous process in the solar system, reshaping the surface 15 of rocky bodies of all sizes. On early Earth, impact structures may have been a nursery for the evolution of life. More recently, a large meteorite impact caused the end-Cretaceous mass 16 17 extinction, causing the extinction of 75% of species known from the fossil, including non-avian 18 dinosaurs, and clearing the way for the dominance of mammals and eventual evolution of 19 humans. Understanding the fundamental processes associated with impact events is critical to 20 understanding the history of life on Earth, and the potential for life in our solar system and beyond. 21

22 Scientific ocean drilling has generated a large amount of unique data on impact 23 processes. With the constant subduction and creation of oceanic crust, the Chicxulub impact is 24 the single largest and most significant impact event that can be studied by sampling modern 25 ocean basins. Marine sediment cores have been instrumental in quantifying the environmental, 26 climatological, and biological effects of that impact. Drilling in the Chicxulub Crater has significantly advanced our understanding of fundamental impact processes, notably the 27 28 formation of peak rings in large impact craters, but also raised new questions waiting to be 29 addressed with further drilling. Within the crater, the nature and thickness of the melt sheet in the central basin is unknown, and an expanded Paleocene hemi-pelagic section would provide 30 31 insights to both the recovery of life and the climatic changes after the impact. Globally, new

cores in the Pacific could directly sample the downrange ejecta of this northeast-southwesttrending impact.

Extraterrestrial impacts have been controversially suggested as primary drivers for many important paleoclimatic and environmental events throughout Earth History. However, marine sediment archives and geochemical proxies (e.g., Osmium isotopes) provide a long-term archive of major impact events in recent Earth history and show that, other than the end-Cretaceous, significant environmental changes do not appear to be driven by impacts.

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40 Keywords: Ocean Drilling, Impact Events, Cretaceous-Paleogene, Chicxulub Crater,

41 Chesapeake Bay Crater, Popigai Crater, Mass Extinction

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## 43 **1 Introduction**

Large meteorite impacts have had a significant influence on Earth history, possibly 44 driving the early evolution of life (e.g., Kring, 2000, 2003; Nisbet and Sleep, 2001) and the 45 46 composition of the oceans and atmosphere (e.g., Kasting 1993). They also have the potential to completely reshape the biosphere (e.g., Smit and Hertogen, 1980; Alvarez et al., 1980). The 47 Cretaceous-Paleogene (K-Pg) mass extinction, almost certainly caused by the impact of a 48 meteorite on the Yucatán carbonate platform of Mexico 66 Ma, is the most recent major mass 49 50 extinction of the so-called Big Five (e.g., Raup and Sepkoski, 1982). It ended the dominance of non-avian dinosaurs, marine reptiles, and ammonites, and set the stage for the Cenozoic 51 52 dominance of mammals that eventually led to the evolution of humans (Schulte et al., 2010; 53 Meredith et al., 2011). The environmental effects of the Chicxulub impact and the resulting mass 54 extinction occurred over a geologically brief period of time (with the major climatic changes lasting years to decades, e.g., Brugger et al., 2017), and the subsequent recovery of life provides 55 an important partial analog for the recovery of biodiversity following geologically rapid 56 57 anthropogenic extinction due to climate change, acidification, and eutrophication.

The K-Pg impact hypothesis was controversial when first proposed (Smit and Hertogen,
1980; Alvarez et al., 1980), but careful correlation of impact material from K-Pg boundary

60 sections led to its gradual acceptance (e.g., Schulte et al., 2010). The discovery of the Chicxulub Crater (Penfield and Carmargo, 1981; Hildebrand et al., 1991) and its clear genetic relationship 61 62 with K-Pg boundary ejecta provided compelling evidence for this hypothesis. Scientific ocean drilling has been instrumental in discovering widespread physical, chemical, and biological 63 supporting evidence, and in documenting the global environmental and biotic effects of the 64 impact (e.g., see summary in Schulte et al., 2010). Drilling by IODP Expedition 364 into the 65 Chicxulub Crater itself has yielded valuable insights into the mechanisms of large impact crater 66 formation and the recovery of life (Morgan et al., 2016; Morgan et al., 2017; Artemieva et al., 67 2017; Christeson et al., 2018; Lowery et al., 2018; Riller et al., 2018). 68

69 Although the K-Pg is the only mass extinction that is widely (though not universally) accepted to have been caused by an extraterrestrial collision, impacts have been suggested at one 70 71 point or another as drivers for every major Phanerozoic extinction event (e.g., Rampino and Stothers, 1984) and many other major climate events (e.g., Kennett et al., 2009; Schaller et al., 72 73 2016). The discovery of an iridium layer at the K-Pg boundary as the key signature of 74 extraterrestrial material (Alvarez et al., 1980) spurred the search for other impact horizons 75 through the careful examination of many other geologically significant intervals, and so far no other geologic event or transition has met all the criteria to indicate causation by an impact (e.g., 76 77 the presence of Ir and other platinum group elements in chondritic proportions; tektites; shockmetamorphic effects in rocks and minerals; perturbation of marine Os isotopes; and, ideally, an 78 79 impact crater), although many periods would meet at least one of these (e.g., Sato et al., 2013; Schaller et al., 2016; Schaller and Fung, 2018) and the search for impact evidence continues. 80

For the last 50 years the Deep Sea Drilling Project (DSDP), Ocean Drilling Program 81 (ODP), Integrated Ocean Drilling Program, and International Ocean Discovery Program (IODP) 82 83 have provided a unique and irreplaceable perspective on the history of the Earth. IODP and its 84 sister organization the International Continental scientific Drilling Program (ICDP) produce insights into impact cratering processes and effects of different magnitude events, as well as 85 target rocks, on the climate and biosphere, providing an exceptional record of processes that are 86 87 ubiquitous across the solar system (and, presumably, beyond). This contribution focuses on 88 ocean drilling perspectives on meteorite impacts; important contributions from onshore drilling by ICDP into the Chesapeake Bay, Chicxulub, Lake Bosumtwi, and Lake El'gygytgyn impact 89

90 craters are summarized by Gohn et al. (2008), Urrutia-Fucugauchi et al. (2004), Koeberl et al.
91 (2007), and Melles et al. (2012).

Here we examine the contributions of scientific ocean drilling into our understanding of impact events, from detailed records of extinction and chemical and physical perturbation in the marine realm to the mechanisms by which rocks are deformed to create peak rings in impact craters. The exciting results of recent drilling in the Chicxulub crater raise new questions, and suggest promising new challenges and avenues of investigation of deep sea records of impact events that can only be undertaken by a program like IODP.

#### 98 2 Marine Record of Impacts

Scientific ocean drilling excels at providing raw material to generate high-resolution 99 composite records of geochemical changes in the ocean through time. One of these proxies is the 100 isotopic ratio of osmium (<sup>187</sup>Os/<sup>188</sup>Os) in sea water, as reflected in marine sediments. Os isotopes 101 102 in ocean water are the result of secular changes in the amount of mantle-derived (depleted in <sup>187</sup>Os) and crustal materials (enriched in <sup>187</sup>Os) (Pegram et al., 1992). Changes in the <sup>187</sup>Os/<sup>188</sup>Os 103 of marine sediments over time can be used as a proxy for flood basalt volcanism (e.g., Turgeon 104 and Creaser, 2008), weathering flux (Ravizza et al., 2001), ocean basin isolation (e.g., Poirier 105 106 and Hillaire-Marcel, 2009), and, importantly for our purposes, the detection of impact events (Turekian, 1982; Peucker-Ehrenbrink and Ravizza, 2000, 2012; Paquay et al., 2008). Chondritic 107 meteors have an Os isotopic ratio similar to that of the mantle, and extraterrestrial impacts result 108 in a strong, rapid excursion to unradiogenic (i.e., closer to 0) marine <sup>187</sup>Os/<sup>188</sup>Os ratios (Luck and 109 Turekian, 1983; Koeberl, 1998; Reimold et al., 2014) (Figure 1). The only two such excursions 110 in the Cenozoic are Chicxulub (Figure 1B) and the late Eocene (~35 Ma; Poag et al., 1994; 111 112 Bottomley et al., 1997) dual impacts at Chesapeake Bay on the North American Atlantic coastal plain and Popigai in Siberia (Fig 1C) (Robinson et al., 2009; Peucker-Ehrenbrink and Ravizza, 113 114 2012). Other major climate events which have been proposed to be associated with impacts, like 115 the Paleocene-Eocene Thermal Maximum (PETM; e.g., Schaller et al., 2016) (Figure 1D), and the Younger Dryas (e.g., Kennett et al., 2009) (Figure 1F) are not associated with any clear 116 excursion toward unradiogenic values, despite relatively high sample resolution (e.g., Paquay et 117 118 al., 2009). Indeed, the PETM shows a positive excursion of Os isotope values associated with 119 enhanced weathering during the event (Ravizza et al., 2001). Of course, such an Os isotope

excursion would only be expected from chondritic impactors, and even then the scale of theimpact is not necessarily reflected in the size of the Os excursion (Morgan, 2008).

122 Ocean drilling has directly sampled ejecta from several Cenozoic craters. Tektites from the late Eocene Chesapeake Bay and Popigai impacts were recovered from DSDP and ODP Sites 123 124 94 (Gulf of Mexico), 149 (Caribbean), and 612, 903, 904, and 1073 (New Jersey margin) in the Atlantic (Glass, 2002), DSDP Sites 65, 69, 70, 161, 162, 166, 167, and 292 in the equatorial 125 Pacific (Glass, 1985), and DSDP Site 216 in the NE Indian Ocean (Glass, 1985). They have also 126 been found in the South Atlantic at Maud Rise (ODP Site 689; Vonhof et al., 2000). These 127 microtektites include a large number of clinopyroxene-bearing spherules (Glass and Burns, 1988 128 129 termed these cpx-bearing spherules "microkrystites") which characterize spherules from the Pacific and South Atlantic. An iridium anomaly was reported to occur in association with these 130 131 ejecta (Asaro et al., 1982; Alvarez et al., 1982) but higher resolution work revealed that this Ir anomaly occurs below the microtektite layer (Sanfilippo et al., 1985). This indicates that there 132 133 were actually two impacts at this time (Chesapeake and Popigai), one which produced an Ir 134 anomaly and microkrysites and a second which did not produce an Ir anomaly and which created 135 chemically distinct microtektites (Glass, 1985; Vonhof and Smit, 1999). The Ir anomaly is also found at the Eocene-Oligocene Stratotype Section at Massignano, Italy, where it occurs ~ 12 m 136 137 below or ~1 myr before the base of the Oligocene (Montanari et al., 1993). Nevertheless, some workers have inferred a causal relationship between these impacts and latest Eocene cooling and 138 faunal change (e.g., Keller, 1986; Vonhof et al., 2000; Liu et al., 2009), which would imply a 139 140 climate feedback which amplified the short-term cooling directly caused by the impact (Vonhof et al., 2000). 141

## 142 **3** The Chicxulub Impact and Its Physical Effects

The most important impact of the Phanerozoic, and the one which has been best studied by scientific ocean drilling, is the Chicxulub impact. The hypothesis that an impact caused the most recent major mass extinction was founded on elevated iridium levels in the K-Pg boundary clays within outcrops in Spain, Italy, and Denmark (Smit and Hertogen, 1980; Alvarez et al., 1980). The impact hypothesis was initially quite controversial, and one of the early objections was that iridium had only been measured at a few sites across a relatively small area of western Europe and it may have reflected a condensed interval and not a discrete impact (Officer and Drake, 1985).

150 Researchers then began to investigate and document other K-Pg boundary sites around the globe, many of which were DSDP/ODP drill sites (Fig. 2). High iridium abundances were soon found at 151 152 other sites (e.g. Orth et al., 1981; Alvarez et al., 1982), and the identification of shocked minerals within the K-Pg layer added irrefutable proof that it was formed by an extra-terrestrial impact 153 (Bohor et al., 1984). When a high-pressure shock wave passes through rocks, common minerals 154 such as quartz and feldspar are permanently deformed (referred to as shock metamorphism), 155 156 producing diagnostic features (e.g., Reimold et al., 2014) that, on Earth, are only found in 157 association with impacts and nuclear test sites. Since 1985, many ODP and IODP drill sites have recovered (and often specifically targeted) the K-Pg boundary (Fig. 2), further contributing to our 158 understanding of this event, demonstrating that ejecta materials were deposited globally and 159 160 mapping their distribution (Figure 3).

161 The Chicxulub impact structure, on the Yucatán Peninsula, Mexico, was first identified as 162 a potential impact crater by Penfield and Carmargo (1981), and then as the site of the K-Pg impact by Hildebrand et al. (1991). These authors noted that the size of the shocked quartz and thickness 163 164 of the K-Pg boundary deposit increased globally towards the Gulf of Mexico, and located the Chicxulub crater due to its association with strong, circular, potential field gravity anomalies. Core 165 samples from onshore boreholes drilled by Petróleos Mexicanos ("Pemex") confirmed its impact 166 origin. Although some authors have argued against a link between Chicxulub and the K-Pg 167 boundary (see Keller et al., 2004 and 2007 for mature forms of that position) accurate <sup>40</sup>Ar/<sup>39</sup>Ar 168 dating of impact glass within the K-Pg layer (Renne et al., 2013; 2018), as well as dating of and 169 170 microcrystalline melt rock (Swisher et al., 1992) and shocked zircon (Krogh et al., 1993; Kamo et al., 2011) from Chicxulub and the K-Pg layer clearly demonstrate that Chicxulub is the site of the 171 K-Pg impact. Hildebrand et al. (1991) also noted that Gulf of Mexico DSDP Sites 94, 95, 536 and 172 540 contained deep water gravity flows and turbidity-current deposits adjacent to the Campeche 173 bank, and DSDP Sites 603B, 151 and 153, as well as outcrops along the Brazos River in Texas 174 175 had potential tsunami wave deposits (Bourgeois et al., 1988), all of which they suggested were caused by the Chicxulub impact. Increasingly, opponents of the impact hypothesis have accepted 176 an end-Cretaceous age for the Chicxulub crater, and have focused their arguments on the Deccan 177 178 Traps in India as the sole or contributing cause of the mass extinction (see Chenet et al., 2009; Mateo et al., 2014; and Keller et al., 2018 and references therein for a recent summary; Schulte et 179 al., 2010 remains the best rebuttal of these arguments). 180

181 Many studies have subsequently confirmed that, at sites proximal to Chicxulub, the impact 182 produced multiple resurge, tsunami, gravity flow and shelf collapse deposits (e.g. Bohor and 183 Betterton, 1993; Bralower et al., 1998; Grajales-Nishimura et al., 2000; Schulte et al., 2010; Hart et al., 2012; Vellekoop et al., 2014). Well logs, DSDP cores, and seismic data show margin 184 collapse deposits reach 100s of meters thick locally, making the K-Pg deposit in the circum-Gulf 185 of Mexico the largest known single event deposit (Denne et al., 2013; Sanford et al., 2016). 186 187 Complex stratigraphy (Figure 3) and a mixture of nannofossil and foraminiferal assemblages of different ages with impact-derived materials characterize proximal deep water DSDP and ODP 188 sites in the Gulf of Mexico (DSDP Sites 95, 535-538, and 540), and Caribbean (ODP Sites 999 189 190 and 1001) driven by the sequential deposition of material from seismically driven tsunami, slope collapse, gravity flows and airfall (Bralower et al., 1998; Sigurdsson et al., 1997; Denne et al., 191 2013; Sanford et al., 2016). This distinct assemblage of materials was termed the K-Pg "Boundary 192 Cocktail" by Bralower et al. (1998). 193

At intermediate distances from Chicxulub (2000-6000 km) the K-Pg boundary layer is 1.5 194 195 - 3 cm thick, as seen in North America (Smit et al., 1992; Schulte et al., 2010), Demerara Rise (western Atlantic) ODP Site 1207 (MacLeod et al., 2007; Schulte et al., 2009), and Gorgonilla 196 197 Island, Columbia (Bermúdez et al., 2016). At the first two locations, it has a dual layer stratigraphy. The lower layer contains goyazite and kaolinite spherules, which have splash-form morphologies 198 199 such as tear drops and dumbbells, and is overlain by the "boundary clay" containing the Ir anomaly 200 and Ni-rich spinels (Bohor et al., 1989; Smit and Romein, 1985; Bohor et al., 1993; Bohor and Glass, 1995). The similarity between spherules in Haiti (~800 km from Chicxulub) and the lower 201 layer in North America has led to their joint interpretation as altered microtektites, which were 202 formed from ejected melt droplets (Smit and Romein, 1985; Sigurdsson et al., 1991; Bohor et al., 203 1993; Bohor and Glass, 1995). Large-scale mass wasting has also been documented along the 204 North Atlantic margins of North America and Europe, including at Blake Plateau (ODP Site 1049), 205 206 Bermuda Rise (DSDP Sites 386 and 387), the New Jersey margin (DSDP Site 605), and the Iberian 207 Abyssal Plain (DSDP Site 398) (Klaus et al., 2000; Norris et al. 2000).

At distal sites (> 6000 km) the K-Pg boundary becomes a single layer with a fairly uniform 2-3 mm thickness, and has a similar chemical signature to the upper layer in North America (e.g., 210 Alvarez et al. 1982; Rocchia et al., 1992; Montanari and Koeberl, 2000; Claeys et al., 2002). See, 211 for example, DSDP Site 738 on the southern Kerguelen Plateau (Thierstein et al., 1991), DSDP 212 Site 577 on Shatsky Rise (Zachos et al., 1985), DSDP Site 525 South Atlantic (Li and Keller, 213 1998); ODP Site 761 on Exmouth Plateau (Pospichal and Bralower, 1992); ODP Site 1262 on 214 Walvis Ridge (Bernaola and Monechi, 2007). The most abundant component (60-85%) of the distal ejecta layer is spherules with a relict crystalline texture (Smit et al., 1992), which are referred 215 to as microkrystites (Glass and Burns, 1988), and are thought to have been formed from liquid 216 condensates within the expanding plume (Kyte and Smit, 1986). Ubiquitous alteration of these 217 microkrystites means that they are now primarily composed of clay (smectite, illite, and limonite). 218 Some spherules contain skeletal, magnesioferrite spinel (Smit and Kyte 1984; Kyte and Smit, 219 1986; Robin et al., 1991); spinel is the only pristine phase that appears to have survived diagenetic 220 alteration (Montanari et al., 1983; Kyte and Bostwick, 1995). Shocked minerals are present in the 221 K-Pg layer at all distances from Chicxulub, and are co-located with the elevated iridium (Smit, 222 1999). 223

224 DSDP, ODP, and IODP sites (Fig. 2) have all been used for mapping the global properties of the K-Pg layer. Sites close to the crater appear to have a slightly lower total iridium flux at 10-225 45 x 10<sup>-9</sup> gcm<sup>-2</sup> (e.g. Rocchia et al., 1996; Claeys et al., 2002; MacLeod et al., 2007), as compared 226 to a global average of  $\sim$ 55 x 10<sup>-9</sup> gcm<sup>-2</sup> (Kyte, 2004). Maximum iridium concentrations are quite 227 228 variable (< 1 to > 80 ppb, Claevs et al., 2002). Attempts have been made to locate the ultimate 229 source of the iridium, but the host is too fine-grained to be identified with conventional techniques. 230 Siderophile trace elements in the distal and upper K-Pg layer have a chondritic distribution (Kyte et al., 1985), the isotopic ratio of the Platinum Group Element (PGE) osmium is extra-terrestrial 231 (Luck and Turekian, 1983; Meisel et al., 1995), and the chromium isotopic composition indicates 232 the impactor was a carbonaceous chondrite (Shukolyukov and Lugmair, 1998; Kyte, 1998). 233

The most common explanation for the origin of the microtektites at proximal and 234 intermediate sites is that they are formed from melted target rocks that have been ejected from 235 236 Chicxulub as melt droplets on a ballistic path within an ejecta curtain, and solidified en route to their final destination (e.g., Pollastro and Bohor, 1993; Alvarez et al., 1995). Ejecta at distal sites 237 and within the upper layer at intermediate sites, including the shocked minerals and microkrystites, 238 239 are widely thought to have been launched on a ballistic trajectory from a rapidly expanding impact plume (Argyle, 1989; Melosh et al., 1990). There are, however, several observations that are 240 difficult to reconcile with these explanations of the travel of K-Pg ejecta around the globe to its 241 final destination. For example: 1) microkrystites within the global layer have roughly the same 242

mean size (250 µm) and concentration (20,000 per cm<sup>2</sup>) (Smit, 1999), whereas shocked minerals 243 show a clear decrease in number and size of grains with increasing distance from Chicxulub 244 (Hildebrand et al., 1991; Croskell et al., 2002); 2) if shocked quartz were ejected at a high enough 245 velocity to travel to the other side of the globe, the quartz would anneal on re-entry (Alvarez et al., 246 1995; Croskell et al., 2002); and 3) if the lower layer at intermediate sites were formed from melt 247 droplets ejected from Chicxulub on a ballistic path, the thickness of the lower layer would decrease 248 with distance from Chicxulub whereas, across North America, but it is close to constant. The 249 250 interaction of re-entering ejecta with the Earth's atmosphere appears to be necessary to explain all of these observations, with ejecta being re-distributed laterally by atmospheric heating and 251 252 expansion (Goldin and Melosh, 2007; 2008; Artemieva and Morgan 2009; Morgan et al., 2013).

Differences in the K-Pg boundary layer around the globe have been used to infer different 253 254 angles and directions for the Chicxulub impactor. Schultz and D'Hondt (1996) argued that several factors, including the dual layer stratigraphy and particularly large fragments of shocked quartz in 255 256 North America, indicated an impact direction towards the northwest. However, comparable 2-cm thick K-Pg layers at sites to the south of Chicxulub at equivalent paleodistances have been 257 258 identified (Schulte et al., 2009; Bermúdez et al., 2016), and it now appears that the ejecta layer is roughly symmetric, with the number and size of shocked quartz grains decreasing with distance 259 260 from Chicxulub (Croskell et al., 2002; Morgan et al., 2006). One asymmetric aspect of the layer is the spinel chemistry: spinel from the Pacific (e.g., DSDP Site 577) is characterized by higher Mg 261 262 and Al content than European (e.g., Gubbio, Italy) and Atlantic spinel (e.g., DSDP Site 524) (Kyte 263 and Smit, 1986). The Pacific spinel represented a higher temperature phase, and thus that the 264 impact direction must have been towards the west because the plume would be hottest in the downrange direction (Kyte and Bostwick 1995). However, thermodynamic models of sequential 265 condensation within the cooling impact plume suggest the opposite: that the spinel from Europe 266 and the Atlantic represented the higher temperature phases and, thus, that the impact direction was 267 towards the east (Ebel and Grossman 2005). An argument that sought to use position of crater 268 topography relative to the crater center (Schultz and D'Hondt, 1996) has been questioned through 269 270 comparisons with Lunar and Venutian craters with known impact trajectories (Ekholm and 271 Melosh, 1998; McDonald et al., 2008). The best estimate to impact direction to date, based on 3D numerical simulations of crater formation which incorporate new data from IODP Site M0077 in 272

the Chicxulub crater, indicates that an impact towards the southwest at a  $\sim 60^{\circ}$  angle produces the best match between the modeled and observed 3D crater structure (Collins et al., 2017).

#### **4 Ocean Drilling Perspective on Mass Extinction**

Paleontologists have long recognized a major mass extinction at the end of the Cretaceous 276 with the disappearance of non-avian dinosaurs, marine reptiles, and ammonites, although the first 277 278 indication of the rapidity of this event came from microfossils. The earliest studies of the extinction 279 of the calcareous microfossils across the K-Pg boundary came from outcrops on land (e.g., 280 Luterbacher and Premoli-Silva, 1964; Perch Nielsen et al., 1982; Percival and Fischer, 1977; Romein, 1977; Jiang and Gartner, 1986; Smit, 1982; Harwood, 1988; Hollis, 1997; Hollis et al., 281 282 2003). However, the full taxonomic scope of the extinction and how it related to global 283 biogeography and ecology is largely known from ocean drilling (e.g., Thierstein and Okada, 1979; Thierstein, 1982; MacLeod et al., 1997; Pospichal and Wise, 1990; Bown et al., 2004). Deep-sea 284 sites also serve as the basis for our understanding of the subsequent recovery of life (Bown, 2005; 285 Coxall et al., 2006; Bernaola and Monechi, 2007; Jiang et al., 2010; Hull and Norris, 2011; Hull 286 et al., 2011; Koutsoukos, 2014; Birch et al., 2016; Lowery et al., 2018). The K-Pg boundary has 287 been recovered in dozens of cores from all major ocean basins, including some from the earliest 288 289 DSDP legs (Fig. 2) (Premoli Silva and Bolli, 1973; Perch-Nielsen, 1977; Thierstein and Okada, 290 1979; see summary of terrestrial and marine K-Pg sections in Schulte et al., 2010). Deep-sea cores generally afford excellent microfossil preservation, continuous recovery, and tight stratigraphic 291 292 control including magnetostratigraphy and orbital chronology (Röhl et al., 2001; Westerhold et al., 2008). 293

Studies of deep-sea sections have exposed the severity of the mass extinction among the 294 295 calcareous plankton with over 90% of heterotroph foraminifera and autotroph nannoplankton species becoming extinct (Thierstein, 1982; D'Hondt and Keller, 1991; Coxall et al., 2006; Hull 296 297 et al., 2011). The extinction was highly selective, with siliceous groups experiencing relatively 298 low rates of extinction (Harwood, 1988; Hollis et al., 2003). Among the calcareous plankton groups, survivors include high-latitude and near-shore species (Bown, 2005; D'Hondt and Keller, 299 1991) suggesting that these species were adapted to survive variable environments in the 300 301 immediate aftermath of the impact. Benthic foraminifera survived the impact with little extinction 302 (Culver, 2003).

303 A key component of the post-extinction recovery of life is the recovery of primary productivity. Photosynthesis favors <sup>12</sup>C over <sup>13</sup>C, enriching organic material in the former. Sinking 304 organic matter in the ocean removes <sup>12</sup>C from the upper water column; thus, under normal 305 conditions, there is a carbon isotope gradient from the surface waters to the seafloor. After the 306 307 Chicxulub impact, this vertical gradient collapsed for ~4 myr (e.g. Coxall et al., 2006). This phenomenon which was originally interpreted as indicating the complete or nearly complete 308 309 cessation of surface ocean productivity (Hsü and McKenzie, 1985; Zachos et al., 1989; the latter from DSDP Site 577 on Shatsky Rise), a hypothesis which became known as the Strangelove 310 Ocean (after the 1964 Stanley Kubrick movie) (Hsü and McKenzie, 1985). D'Hondt et al. (1998) 311 312 suggested that surface ocean productivity continued, but the extinction of larger organisms meant that there was no easy mechanism (e.g., fecal pellets) to export this organic matter to the deep sea 313 314 - a modification of the Strangelove Ocean hypothesis that they called the Living Ocean hypothesis (D'Hondt, 2005; see also Adams et al., 2004). The observed changes in carbon isotopes can be 315 explained by just a slight increase (from 90 to 95%) in the fraction of organic matter remineralized 316 in the upper ocean (D'Hondt et al., 1998; Alegret and Thomas, 2012), although others have 317 318 suggested a more precipitous drop in export productivity (Coxall et al., 2006). The lack of a corresponding benthic foraminiferal extinction suggests that the downward flux of organic carbon 319 320 may have decreased somewhat but remained sufficiently elevated to sustain the benthic community (Hull and Norris, 2011; Alegret et al., 2012). Research on barium fluxes in deep sea 321 322 sites across the oceans has shown that, in fact, export productivity was highly variable in the early Danian, with some sites recording an *increase* in export production during the period of supposed 323 324 famine in the deep sea (Hull and Norris, 2011).

However, the shift in the surface-to-deep carbon isotope gradient does have significant 325 implications for biogeochemical cycling. The extinction of pelagic calcifiers like planktic 326 foraminifera and calcareous nannoplankton caused profound changes in the cycling of carbon from 327 the surface to the deep sea. Pelagic calcifiers are a key component of the carbon cycle, exporting 328 carbon in the form of CaCO<sub>3</sub> from the surface ocean to the seafloor. The near eradication of these 329 groups would have made surface to deep cycling less efficient, explaining the decreased carbon 330 isotope gradient (Hilting et al., 2008; Alegret et al. 2012; Henehan et al., 2016). This also led to 331 the weakening of the marine "alkalinity pump" (D'Hondt, 2005; Henehan et al., 2016), and the 332 333 resulting carbonate oversaturation can be observed overall improved carbonate preservation in the deep sea as well as a white layer that overlies the K-Pg boundary in numerous sites including the
eastern Gulf of Mexico (DSDP Site 536; Buffler et al., 1984), the Caribbean (ODP Sites 999 and
1001; Sigurdsson et al., 1997), Shatsky Rise in the western Pacific (Fig. 3) (ODP Sites 1209-1212;
Bralower et al., 2002), and in the Chicxulub Crater itself (IODP Site M0077; Morgan et al., 2017).

338 Records from cores across the oceans indicate that the post-extinction recovery of export productivity (e.g., Hull and Norris, 2011) and calcareous plankton diversity (e.g., Jiang et al., 2010) 339 were geographically heterogeneous, with some localities recovering rapidly and others taking 340 hundreds of thousands (for productivity) to millions (for diversity) of years to recover. Among the 341 nannoplankton, northern hemisphere assemblages are characterized by a series of high-dominance, 342 343 low-diversity "boom-bust" species (Bown, 2005); southern hemisphere assemblages contain a somewhat more diverse group of surviving species (Schueth et al., 2015). In general, diversity of 344 345 northern hemisphere assemblages took longer to recover (Jiang et al., 2010). Recovery of export productivity likewise appears to have been slower in the North Atlantic and Gulf of Mexico (e.g., 346 347 Alegret et al., 2012; Jiang et al., 2010; Hull and Norris, 2011), suggesting that distance from the crater correlates to slower recovery. Some authors (e.g., Jiang et al., 2010) attributed this to direct 348 349 environmental effects of the impact, such as perhaps the uneven distribution of toxic metals in the oceans. If recovery is slower closer to the crater, then it should be slowest in the crater itself. 350 351 However, recent drilling within the Chicxulub crater itself has shown a rapid recovery of life, with planktic and benthic organisms appearing within just a few years of the impact and a healthy, high 352 353 productivity ecosystem established within 30 kyr of the impact, much faster than estimates for 354 other Gulf of Mexico and N. Atlantic sites (Lowery et al., 2018). This rules out an environmental 355 driver for heterogeneous recovery and instead suggests that natural ecological factors including incumbency and competitive exclusion (e.g., Hull et al., 2011; Schueth et al., 2015) and 356 morphospace reconstruction (Lowery and Fraass, 2018) were the dominant controls on the 357 358 recovery of the marine ecosystem. The recovery of diversity took millions of years to even begin to approach Cretaceous levels (Coxall et al., 2006; Bown et al., 2004; Fraass et al., 2015). This 359 delay in the recovery of diversity appears to be a feature of all extinction events (Kirchner and 360 Weil, 2000; Alroy, 2008) and bodes ill for the recovery of the modern biosphere after negative 361 anthropogenic impacts associated with climate change, ocean acidification, hypoxia, etc. subside. 362

363 **5 Unique Insight into the Chicxulub Crater** 

364 Joint IODP-ICDP Expedition 364 drilled into the peak ring of the Chicxulub impact crater in 2016 at Site M0077 (Morgan et al., 2017). Peak rings have elevated topography that protrude 365 366 through the crater floor in the inner part of large impact structures. Prior to drilling, there was no 367 consensus on the nature of the rocks that form peak rings or their formational mechanism (Baker et al., 2016). To form large craters like Chicxulub, rocks must temporarily behave in a fluid-like 368 manner during crater formation (Melosh, 1977; Riller et al., 2018). Two hypotheses, developed 369 370 from the observation of craters on other planets, provided possible explanations of the processes by which peak rings form. The first, the dynamic collapse model (first put forward by Murray, 371 1980) predicted that the Chicxulub peak ring would be formed from deep crustal rock, presumably 372 crystalline basement. The second, the nested melt-cavity hypothesis (conceived by Cintala and 373 Grieve, 1998), predicted that the Chicxulub peak ring would be underlain by shallow crustal rock, 374 presumably Cretaceous carbonates. Thus, Expedition 364 was able to answer a major question 375 about impact cratering processes simply by seeing what rock comprises the peak ring (Figure 3). 376 Geophysical data acquired prior to drilling indicate that there are sedimentary rocks several 377 kilometers beneath the peak ring at Chicxulub, and that the peak-ring rocks have a relatively low 378 379 velocity and density, suggesting that they are highly fractured (Morgan et al., 1997; Morgan and 380 Warner, 1999; Gulick et al., 2008, 2013; Morgan et al., 2011).

381 The discovery that the peak ring was formed from fractured, shocked, uplifted basement rocks supports the dynamic collapse model of peak-ring formation (Morgan et al., 2016; Kring et 382 383 al., 2017). Structural data from the wireline logging, CT scans, and visual core descriptions provide 384 an exceptional record of brittle and viscous deformation mechanisms within the peak-ring rocks. 385 These data reveal how deformation evolved during cratering, with dramatic weakening followed by a gradual increase in rock strength (Riller et al., 2018). The peak-ring rocks have extraordinary 386 physical properties: the granitic basement has P-wave velocities and densities that are, 387 respectively, ~25% and ~10% lower than expected, and a porosity of 8-10%. These values are 388 consistent with numerical simulations that predict the peak-ring basement rocks represent some of 389 the most shocked and damaged rocks in an impact basin (Christeson et al., 2018). Site M0077 390 cores and measurements have been used to refine numerical models of the impact and new 391 392 estimates on the release of cooling climatic gases by the Chicxulub impact. Previous studies have estimated that the Chicxulub impact released anywhere from 30-1,920 100 Gt of sulfur from the 393 394 evaporite-rich target rocks (this sulfur formed sulfate aerosols in the atmosphere, blocking

395 incoming solar radiation) (see Tyrrell et al., 2015 and references therein); a recent global climate 396 model indicates that a modest injection of 100 Gt S may have resulted in a 26°C drop in global 397 temperatures (Brugger et al., 2017). New impact models calibrated with data from Site M0077 suggest that between 195 and 455 Gt of sulfur were released, suggesting even more radical cooling 398 during the impact winter (Artemieva et al., 2017). However, it appears that only the most extreme 399 estimates of S release would have driven ocean acidification severe enough to explain the 400 401 extinction of calcareous plankton (Tyrrell et al., 2015), suggesting that the sharp reduction in sunlight for photosynthesis drove the extinction. 402

### 403 **6 New Challenges**

The scientific community's understanding of the Chicxulub impact event and the K-Pg 404 405 mass extinction has grown immensely since Smit and Hertogen (1980) and Alvarez et al. (1980) proposed the impact hypothesis, and many of the advances were the direct result of scientific ocean 406 407 drilling data. However, there is still a great deal that we do not know. New K-Pg boundary sites from undersampled regions (the Pacific, the Indian Ocean, and the high latitudes) are essential to 408 409 reconstruct environmental gradients in the early Paleocene, understand geographic patterns of recovery and what drives them. IODP Site U1514, on the Naturaliste Plateau on the SW Australian 410 margin (Fig. 2), was drilled in 2017 on Expedition 369 (Huber et al., 2018) and is a perfect example 411 of the kind of new site we need to drill; at a high latitude and far from existing K-Pg boundary 412 413 records.

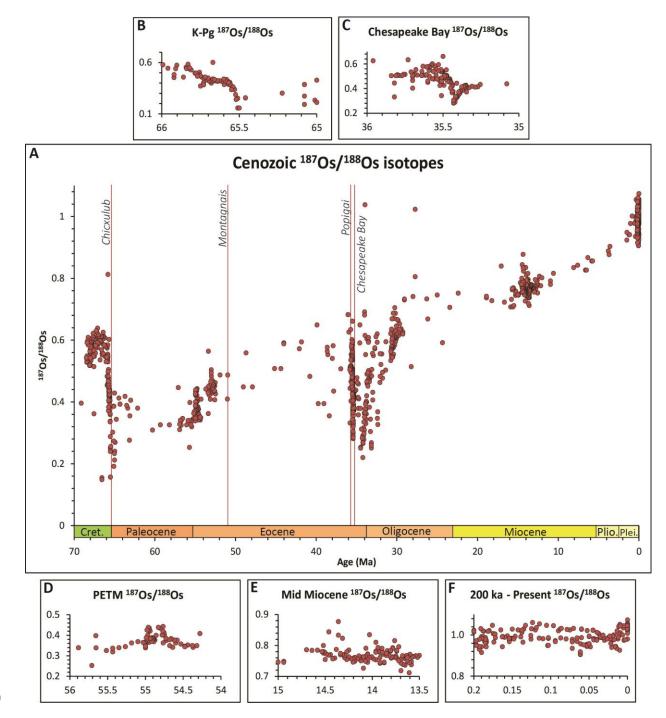
414 New data from the Chicxulub Crater have resulted in refined impact models that suggest that the asteroid impacted towards the southwest (Collins et al., 2017) which contrasts with 415 416 previously inferred directions that placed the northern hemisphere in the downrange direction. Although the most proximal Pacific crust at the time of impact has since been subducted, very 417 418 little drilling has been conducted on older crust in the central and eastern Pacific (red circle on Fig. 2). New drilling on seamounts and rises on the easternmost Cretaceous crust in the equatorial 419 420 Pacific could shed new light on the environmental and biological consequences of being downrange of the Chicxulub Impact, and may finally yield some fragments of the impactor. 421

Finally, the Chicxulub structure remains an important drilling target to address questions that can only be answered at the K-Pg impact site. Additional drilling in the annular trough and the central basin will likely bring the greatest return. IODP Site M0077, which was drilled at the location where the peak ring was shallowest, recovered a relatively thin Paleocene section with an unconformity present prior to the Paleocene-Eocene boundary. Seismic mapping within the crater demonstrates that the Paleocene section greatly expands into the annular trough (Fig. 4), providing an exciting opportunity to study the return of life to the impact crater at an even higher resolution than Lowery et al. (2018). Additionally, continuous coring within an expanded Paleocene section and the underlying impactites would better constrain climatologic inputs from the vaporization of evaporites.

Equally intriguing is the interaction of impact melt rock, suevite, and post-impact 432 hydrothermal systems for studying how subsurface life can inhabit and evolve within an impact 433 434 basin. Such settings were common on early Earth and provide an analog for the chemical evolution of pre-biotic environments as well as biologic evolution in extreme environments. Full waveform 435 436 images (Fig. 4) give tantalizing suggestions of vertical flux in the form of morphologic complexities within the low-velocity suevite later above the high-velocity central melt sheet, which 437 438 are tempting to interpret as ancient hydrothermal vent systems of the kind often seen at mid-ocean 439 ridges. Drilling into the Chicxulub melt sheet would be ideal to study the hydrogeology and 440 geomicrobiology of impact melt sheets buried by breccias as a habitat for subsurface life, providing 441 an opportunity for scientific ocean drilling to sample the best analog for the habitat in which life 442 may have formed on early Earth and on rocky bodies across the solar system and beyond.

The success of the cooperation between IODP and ICDP during Expedition 364 serves as 443 444 a model for future drilling in the Chicxulub crater as well as future Mission Specific Platform (MSP) expeditions. The onshore Yaxcopil-1 borehole unexpectedly encountered a Cretaceous 445 megablock because it was drilling with only the regional magnetic and gravity anomaly maps to 446 guide it. High-quality marine seismic data from offshore portion of the Chicxulub crater (Morgan 447 448 et al., 1997; Gulick et al., 2008; Christeson et al., 2018) allowed for a detailed characterization of 449 the subsurface before drilling even began (Whalen et al., 2013). In turn, this allowed Hole M0077A to precisely target not just the peak ring but a small depression on top of the peak ring expected to 450 451 contain earliest Paleocene age sediments which provided the basis for unprecedented study of this 452 unique interval at ground zero (Lowery et al., 2018 and a number of upcoming papers). As we plan 453 for the next 50 years of scientific ocean drilling, we should look for additional opportunities to leverage the clarity and resolution of marine seismic data with the precision drilling possible from 454

455 a stable platform provided by ICDP (Exp. 364 achieved essentially 100% recovery; Morgan et al.,
456 2017).



**Fig. 1** Marine osmium isotopes through the Cenozoic (**a**), after Peucker-Ehrenbrink and Ravizza (2012). These data, the majority of which come from DSDP/ODP/IODP cores, record the long-term trend toward more radiogenic (i.e., continental-weathering derived) <sup>187</sup>Os/<sup>188</sup>Os ratios in the ocean throughout the Cenozoic. Superimposed on this long-term trend are several major, rapid shifts toward unradiogenic ratios driven by impact of extraterrestrial objects. This effect is evident in intervals associated with impact events, including the Chicxulub impact (**b**) and Chesapeake

Bay impact (c). Other intervals of major environmental change lack the diagnostic negative excursion, including the Paleocene Eocene Thermal Maximum (d), Miocene Climate Transition (e), and Younger Dryas (f). Red lines are well-dated large (>35 km crater diameter) impacts, after Grieve (2001). Note that these data are plotted against the 2012 Geologic Timescale (Peucker-Ehrenbrink and Ravizza, 2012); more recent dating puts the K-Pg boundary at 66.0 Ma (Renne et al., 2013).

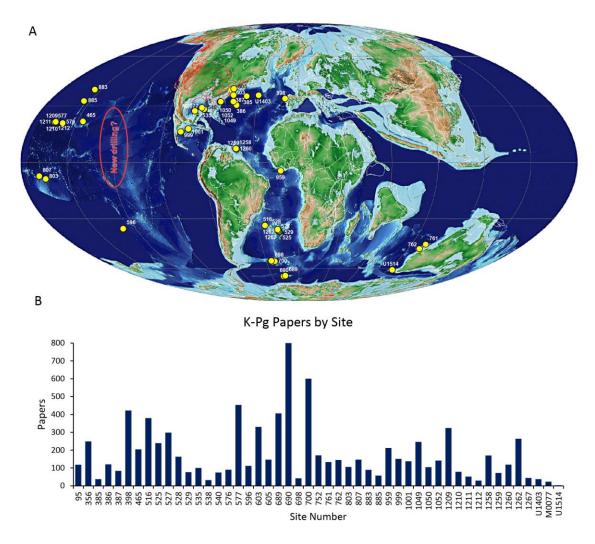
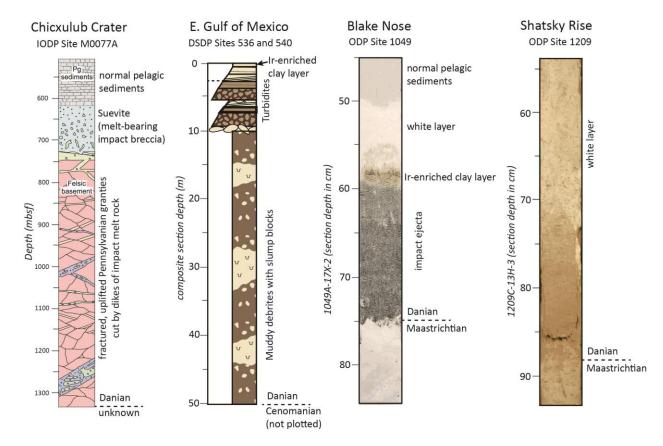




Fig. 2 a) Map of DSDP/ODP/IODP Sites which recovered the K-Pg boundary, up to Exp. 369.
Basemap is adapted from the PALEOMAP Project (Scotese, 2008) b) Number of K-Pg papers by
site, according to Google Scholar as of November 30, 2018. As anyone who's searched for a paper
on Google Scholar will recognize, there are some caveats with these data (e.g., inclusion of papers

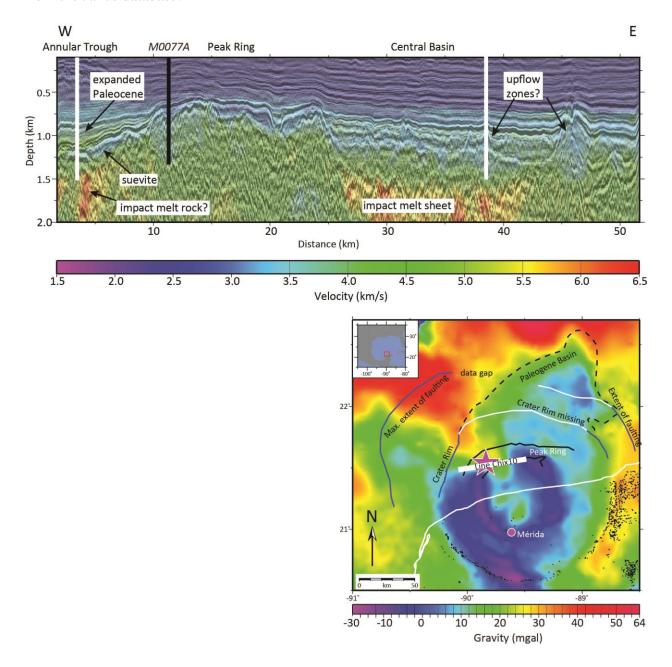
which match the search terms but are not strictly about the K-Pg, papers that are missing because they are not cataloged by Google Scholar, etc.). However, this is a good approximation of the reams of articles that have been written about the K-Pg from DSDP, ODP, and IODP cores, and the clear impact (sorry) of scientific ocean drilling on the K-Pg literature. n = 8679, but includes duplicates from papers which cover multiple sites. Search term: "Cretaceous AND Tertiary OR Paleogene OR Paleocene AND 'Site ###". Most recent site is U1514 (n=3).



484

Fig. 3 Representative K-Pg boundary sections from scientific ocean drilling cores. The peak ring 485 of the Chicxulub crater itself shows pelagic post-impact sediments overlaying downward-486 487 coarsening suevite on top of impact melt rock, which in turn overlays fractured pre-impact granite 488 cut by impact dikes (Morgan et al., 2016). Eastern Gulf of Mexico cores show the proximal deepsea expression of the boundary layer, with massive slumps caused by platform margin collapse 489 490 overlain by turbidites associated with secondary mass wasting, overlain by fallout of Ir-rich clay (Sanford et al., 2016). Blake Nose represents the dual-layer stratigraphy of many mid-distance 491 localities, with impact ejecta overlain by an Ir-rich clay layer (Schulte et al., 2010). Shatsky Rise 492 493 is typical of distal deep-sea sites, with a color change the only core-scale evidence of the impact

494 (Schulte et al., 2010). Chicxulub crater is redrawn from Morgan et al. (2016), eastern Gulf of
495 Mexico is redrawn from Sanford et al. (2016), Blake Nose and Shatsky Rise core photographs are
496 from the Janus database.



498 Fig. 4 (a) Full wavefield inverted (FWI) velocity model (colors) and migrated seismic reflection 499 image for profile CHIX 10 crossing site M0077 (black line). The seismic image has been converted 500 to depth using the inverted velocity model. Potential sites for future drilling are shown with white 501 lines. Drilling in the annular trough site would encounter an expanded Paleocene section, underlain

502 by suevite (low velocities) and possible impact melt rock (high velocities). Coring in the central

- basin site would target an interpreted hydrothermal upflow zone (disrupted low-velocities) above
- the impact melt sheet (high velocities) as well as an expanded Paleocene section. (b) Location map
- showing the gravity-indicated structure of the crater and the position of the seismic line used in A.
- 506 Modified from Gulick et al. (2008).
- 507

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- 514 scientific ocean drilling.
- 515

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