1	Synthesis of Fracture Influences on Geomorphic Process and Form Across
2	Process Domains and Scales
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10	Abstract
11	Fractures are discontinuities in rock that can be exploited by erosion. Fractures
12	regulate cohesion, profoundly affecting the rate, style, and location of Earth surface
13	processes. By modulating the spatial distribution of erodibility, fractures can focus
14	erosion and set the shape of features from scales of fluvial bedforms to fjords. Although
15	early investigation focused on fractures as features that influence the orientation and
16	location of landforms, recent work has started to discern the mechanisms by which
17	fractures influence the erodibility of bedrock. As numerical modeling and field
18	measurement techniques improve, it is rapidly becoming feasible to determine how
19	fractures influence geomorphic processes, as opposed to when or where. However,
20	progress is hampered by a lack of coordination across scales and process domains. We
21	review studies from hillslope, glacial, fluvial, and coastal domains from the scale of
22	reaches and outcrops to entire landscapes. We then synthesize this work to highlight
23	similarities across domains and scales as well as suggest knowledge gaps,

24 opportunities, and methodological challenges that need to be solved. By integrating 25 knowledge across domains and scales, we present a more holistic conceptualization of 26 fracture influences on geomorphic processes. This conceptualization enables a more unified framework for future investigation into fracture influences on Earth surface 27 28 dynamics. 29 30 Keywords: Fracture, Erosion, Process, Form, Geomorphology 31 32 1. Introduction

33 Earth's surface can be characterized on a broad scale by discontinuities, or 34 fractures, which separate otherwise continuous Earth materials. As a first-order 35 approximation, fractures have been hypothesized to be the dominant control on erosion 36 rates, effectively acting as the mechanism by which tectonic stress shapes the 37 landscape (Molnar et al., 2007). Fractures set the primary boundary condition for 38 plucking by glaciers and rivers, which may be the most efficient mechanism of eroding 39 bedrock (Hallet, 1996; Whipple et al., 2000a), and in doing so can set the speed limit for 40 the evolution of landscapes (Whipple, 2004). Investigators have long recognized the 41 importance of fractures in influencing hillslope stability (Gilbert, 1904); the location and 42 orientation of channels from the scale of gullies to entire river networks (Gilbert, 1909; 43 Hobbs, 1905); and erosion rates (Bryan, 1914). However, we lack a conceptualization of 44 how fractures impact the development of Earth's surface across spatial and temporal 45 scales as well as across diverse geomorphic process domains (Montgomery, 1999), 46 such as environments dominated by glacial, fluvial, or hillslope processes.

47 In recent years, the focus of geomorphology has shifted towards understanding 48 geomorphic processes utilizing conceptual models to inform geomorphic laws that describe the transport of Earth material across scales and domains (Dietrich et al., 49 50 2003; Wohl et al., 2016). In the realm of processes that are viewed as being directly 51 impacted by fractures, this effort has led to important conceptualizations and models of 52 processes such as fluvial plucking (Chatanantavet and Parker, 2009; Lamb et al., 53 2015), glacial guarrying (Hallet, 1996), coastal erosion (Naylor and Stephenson, 2010), 54 and hillslope stability (Clarke and Burbank, 2010; Loye et al., 2012). In these domains, 55 progress has been made to the point of being able to rudimentarily model fractures 56 acting as controls on the rate, style, and spatial occurrence of geomorphic processes. 57 However, the lack of synthetic understanding of the impacts of fractures on geomorphic 58 process and form is starting to limit our progress. For instance, research into the 59 quarrying of fracture-bound blocks by glaciers has progressed to include fracture 60 orientation as an explicit control on quarrying (Lane et al., 2015), whereas research into fluvial plucking is only just starting to suggest a potential role of orientation in controlling 61 erosion rate (Lamb et al., 2015). Synthesis of the various impacts of fractures on 62 63 geomorphology could facilitate the application of knowledge across process domains. 64 Here, we review current understanding of the mechanisms by which fractures 65 influence the rate, style, and location of erosion, as well as feedbacks between erosion 66 and fracture propagation (the widening or lengthening of a fracture). We organize our review into three sections: 1) effects of fractures on erosion rates and styles, 2) fracture 67 68 controls on the shape, orientation, and location of landforms and erosion, and 3) 69 feedbacks between erosion and fracture propagation that act to either accelerate or

retard further erosion. We then synthesize this understanding across process domains
and scales and attempt to identify logical next steps to address existing knowledge
gaps.

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1.1 Definition of Scope

75 We utilize the definitions of Selby (1993) to clarify the meaning of fracture as any 76 parting that allows open space or discontinuity between otherwise intact masses of 77 Earth material. Specific types of fractures such as joints (fractures with no shear along 78 the fracture surface), faults (fractures with displacement), and fractures following 79 foliation or bedding will generally not be differentiated in terms of their impacts on 80 geomorphic processes (namely erosion and weathering), which tend to exploit fractures 81 as weak zones, regardless of the formation mechanism. Faults will not be treated as 82 distinct from joints other than in the sense that they commonly correspond to areas of 83 high fracture density (number of fractures per unit area or length) and potentially 84 lithologic discontinuity.

85 Here, we focus on the effects of fractures on geomorphic process and form, although we provide a brief overview of fracture generation. We refer readers to rock 86 87 mechanics literature for a more detailed examination of fracture generation (e.g., 88 Gudmundsson, 2011). Fractures are formed by the response of rock to stress. The 89 processes by which fractures form can be roughly divided into those that affect broad 90 regions, due to either widespread temperature change or broadly exerted pressures, 91 and those that are more local, creating more variable fracture geometry in a smaller 92 area. Regional fracture-forming processes tend to form more predictable, spatially

93 uniform, or gradually varying fracture geometry. Local processes tend to form spatially 94 constrained, highly variable fracture geometries. Both sets of processes occur in most rock masses, and the fracture sets observed at the surface are the result. Complex 95 96 fracture patterns can occur from multiple discrete episodes of stress applied to a 97 material in different directions and magnitudes (Selby, 1993). Both compressive and 98 tensile stresses work to fracture rock, with fracture patterns commonly reflecting the 99 source, magnitude, and direction of stress applied to the rock. Foliation or bedding can 100 create weaknesses in rock that may eventually become fractures.

We consider fractures on scales up to that of a landscape (up to 10⁶ m), but not 101 102 continental or global scales. We do this because although there is strong evidence that 103 continental-scale lineaments do impact topography (e.g., rift zones creating grabens), it 104 is difficult to distinguish between tectonic lineaments, which may be caused by a variety 105 of structures such as folds, and fractures, which are openings or distinct weaknesses in 106 rocks (O'Leary et al., 1976). We consider timescales from days to millions of years. As a 107 broad approximation, these timescales correspond directly to spatial scales in terms of 108 geomorphic process (i.e., geomorphic processes occurring on landscape scales 109 generally do not occur over a matter of days, with the exception of catastrophic events 110 such as volcanic eruptions or tsunamis), and the impacts of joints are organized using 111 this approximation. We focus on dominantly natural processes and materials. Where 112 appropriate, we also integrate the contributions of research into engineered structures in 113 informing our understanding of the evolution of natural systems. We choose this scope 114 to ensure that we focus solely on the influence of fractures on the geomorphic evolution 115 of natural systems.

117 2. Review of the Influence of Fractures on Geomorphic Processes and Forms 118 We distinguish three categories of how the characteristics of fractures influence 119 geomorphic processes and forms. First, the spacing and orientation of fractures exert a 120 strong control on erosion rate and style. More densely fractured rock, for example, 121 generally erodes faster than sparsely fractured rock (Becker et al., 2014), and the 122 spacing of fractures is a first-order control on the dominance of plucking versus 123 abrasion in fluvial bedrock incision (Whipple et al., 2000a). Second, fractures commonly 124 bound landforms observed in the field, and there is a direct connection between erosion 125 rate and style and the shape of landforms bound by fractures. Finally, erosion rate and 126 spatial distribution across the landscape can influence the rate and spatial distribution of 127 the propagation of fractures. In doing so, erosion mediated by fractures can cause 128 either a self-reinforcing, positive feedback or a self-mitigating, negative feedback on 129 erosion rate. 130 This section reviews our understanding of the impacts of fractures on 131 geomorphology. Each of the aforementioned three sections is organized by spatial and 132 corresponding temporal scale. The landscape scale refers to broad processes acting

133 over $10^3 - 10^6$ m and $10^4 - 10^7$ years. The hillslope and valley scales refer to processes

acting over $10^2 - 10^4$ m and $10^{-1} - 10^4$ years. Finally, the reach and outcrop scales refer

135 to processes acting over $10^{-3} - 10^2$ m and $10^{-2} - 10^3$ years. These distinctions are

136 purposefully approximate and overlapping, as many processes span multiple scales.

137 However, this scheme helps to organize processes in a comprehensible way to enable

138 comparison and eventual synthesis.

140	2.1 Relationships Between Fracture Geometry and the Style and Rate of Erosion
141	Across scales and domains, more densely fractured rocks erode more easily
142	than massive rocks. Because fracturing controls the style of erosion, and the removal of
143	fracture-bound blocks is generally more efficient than abrasion or corrosion in all
144	geomorphic domains (Dühnforth et al., 2010; Naylor and Stephenson, 2010; Selby,
145	1982; Whipple et al., 2000a), fracture spacing, orientation, and variability (anisotropy) in
146	those metrics should exert a strong control on erosion rates. We use the term fracture
147	geometry to refer to the spacing between fractures, the orientation of fractures that
148	bound blocks, and the anisotropy of spacing and orientation in three-dimensional space.
149	Figure 1 illustrates the processes explained below.

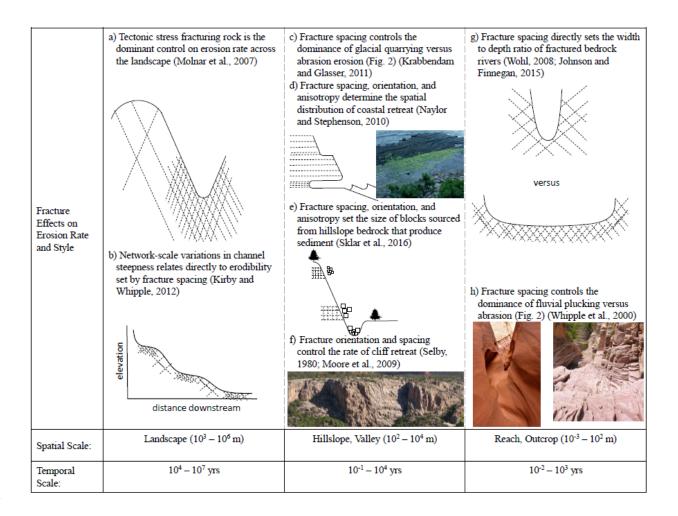


Figure 1: A summary of the processes reviewed in section 2.1, organized by length and temporal scale. Short des criptions of each process are given, along with relevant informative references on the topic. Line drawings depict the processes in a simplified manner and photographs illustrate examples. In general, fractures are represented by dashed lines, while solid lines represent surfaces.

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159 2.1.1 Landscape Scale Fracture Influences on Erosion Rate and Style

160 At the landscape scale, Molnar et al. (2007) suggest that tectonic stress

161 fracturing rock is the dominant control on erosion rate across the landscape by

162 regulating the susceptibility of rock to erosive force. Tectonics can be tied numerically to 163 erosional patterns on Earth's surface via a stress-strain framework that highlights the 164 importance of regional weakening of rock by fracturing (Koons et al., 2012). Fractures 165 induced by tectonic stress increase bedrock surface area susceptible to weathering, 166 and expose arid landscapes to the weathering and erosive actions of vegetation (Aich 167 and Gross, 2008). By bounding blocks that can then be detached from hillslopes, 168 fractures reduce and set the initial size of sediment supplied to hillsides, glaciers, and 169 rivers (Sklar et al., 2017). By delineating zones of weaker material, they spatially focus 170 erosion across the landscape, resulting in incised gorges that follow fracture patterns 171 (Pelletier et al., 2009).

172 Rock erodibility is generally assumed to scale directly with fracture density. 173 Indeed, both direct measures and proxies of erosion rates in fluvial systems indicate 174 that erosion rates are maximized in areas of more densely spaced fractures (Kirby and 175 Ouimet, 2011; Kirby and Whipple, 2012; Tressler, 2011). However, it is worth noting that 176 this relationship is not well-studied at the landscape scale, and recent work has 177 indicated that although fractures weaken rock and may help set its overall resistance to 178 erosion, other factors such as tensile strength can mask the impacts of fracturing in 179 some systems (Bursztyn et al., 2015). In the Colorado River basin, more densely 180 fractured rock generally exhibits lower channel steepness (a proxy for erosion rate 181 (Kirby and Whipple, 2012)) (Tressler, 2011). However, relatively unfractured but low 182 tensile strength sandstones within the basin can mask this signal with their anomalously 183 high erodibility and resulting low channel steepness.

Numerical modeling efforts to understand the influence of fracture density on landscape-scale erodibility focus on regional-scale erodibility set by rock damage (fracturing). Roy et al. (2015) model fault-weakened zones and show that a sufficient erodibility contrast between a weakened zone and surrounding rock is necessary for that weakened zone to control drainage network development. The orientation of the weak zone also controls the development of valley walls as the river incises.

Glacial erosion rates are strongly linked to fracture density at the landscape scale. Becker et al. (2014) show that areas of densely fractured rock in Tuolumne Meadows, USA exhibit low, flat surfaces, in contrast to the more sparsely fractured rock that forms high relief cliff faces and domes. They attribute this contrast to the dominance of glacial quarrying in densely fractured regions versus abrasion in sparsely fractured regions.

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197 2.1.2 Fracture Geometry Controls on Glacial, Coastal, and Hillslope Erosion Rates and198 Styles

199 At the valley and hillslope scale, fracture spacing controls the dominance of 200 plucking versus abrasion in glacial erosion. As plucking can be much more efficient than 201 abrasion, this acts as a threshold control on erosion rate. Early investigators working in 202 dominantly granitic, exfoliated terrains noted that glacial erosion in fractured rocks is 203 more effective than erosion in massive rocks (Jahns, 1943; Matthes, 1930). These early 204 studies used the presence or lack of exfoliation sheets and the steepness of lee sides of 205 large glacial landforms to infer relative erosion rates. Outside of granitic terrain, 206 investigators noted enhanced glacial incision in densely jointed sedimentary rocks

207 (Crosby, 1945). Building on observations of landforms, Olyphant (1981) found a
208 nonlinear inverse relationship between estimated glacial erosion rate and average joint
209 spacing, indicating that more closely spaced joints erode much faster than more widely
210 spaced joints.

211 Following statistical evidence of the mechanism by which fractures influence 212 glacial erosion rates, Iverson (1991) developed a numerical model to explore subglacial 213 bedrock erosion. This model yielded new insights regarding the relationship between 214 water in cavities downstream of guarried steps and fracture growth, highlighting the 215 importance of vertical fractures and plucking in generating a stepped profile that enabled further erosion. Building on Iverson's model (1991), Hallet (1996) developed an 216 217 analytical model of glacial guarrying, which suggested that not only fracturing, but 218 continued fracture growth, is essential to the guarrying process and high glacial erosion 219 rates. Importantly, the model suggested that even in relatively massive rock with only 220 minor fracturing, glacially-mediated fracture-growth could enable quarrying. Iverson 221 (2012) recently developed a more holistic model to describe quarrying that highlights 222 the importance of variability in fracture-mediated bedrock strength in determining the 223 nonlinearity of the relationship between erosion rate and glacier sliding speed. In glacial 224 settings, fracture generation by glacial stresses and erosion likely plays a dominant role 225 in weakening bedrock (Leith et al., 2014). However, glaciers also exploit pre-existing 226 fractures in bedrock, which in some cases can be the dominant fractures bounding 227 plucked blocks (Hooyer et al., 2012).

Field evidence to quantitatively support the importance of fracture geometry on glacial erosion rates will help to evaluate the hypotheses raised by numerical modeling. 230 Although field evidence has shown strong correlations between fracture geometry and 231 the morphology of glacial landscapes (see section 2.2 below), there is a lack of field 232 evidence demonstrating the relationships between fracture geometry and erosion rates. 233 In recent years, cosmogenic radionuclide dating has allowed a more quantitative evaluation of the impacts of fracture spacing on glacial erosion rates: Dühnforth et al. 234 235 (2010) found that more densely fractured sites in Yosemite National Park exhibited 236 higher erosion rates, as suggested by ¹⁰Be exposure ages. Fracture orientation, in 237 addition to spacing, is interpreted to influence the rate of glacial erosion by determining 238 the dominance of plucking versus abrasion. By simplifying bedding dip as being either in 239 the direction of ice flow or opposed to it, investigators have used field evidence to infer 240 that dip direction controls the prevalence of plucking versus abrasion in glacial erosion 241 (Kelly et al., 2014; Lane et al., 2015). However, the effects of more complex orientation 242 variability beyond bedding dip on glacial erosion process dominance or erosion rate 243 have yet to be understood.

244 In the coastal domain, fracturing has been interpreted as weakening rock and 245 changing the style of coastal retreat. More densely fractured rocks enable coastal 246 retreat rates twice that of less fractured rock (Barbosa et al., 1999). Similarly, shore 247 platforms in more densely jointed rocks are lowered to a greater extent than nearby, 248 more sparsely jointed platforms (Kennedy and Dickson, 2006). Naylor and Stephenson 249 (2010) performed a detailed investigation of fractured bedrock exposed on coastlines. 250 They found that the spacing of bedding planes controlled the ability of waves to erode 251 portions of coastal cliff faces. More closely spaced joint sets permitted enhanced 252 erosion of certain beds, and the orientation of joint sets and their continuity in space

controls their resistance to erosion. This is a prime example of how anisotropy in joint
 spacing and orientation plays an important role in determining erosion rate and style.

255 Fracture spacing, orientation, and anisotropy at the hillslope and valley scale also 256 set the maximum size of sediment delivered downslope to rivers and glaciers (Sklar et 257 al., 2017). This sediment acts as tools and cover in fluvial erosion (Sklar and Dietrich, 258 2004), but a strong link between fracture spacing and the eventual size of sediment 259 delivered to rivers has yet to be determined, mainly due to the myriad of breakdown 260 processes that occur between the production of sediment from bedrock and its eventual 261 transport to the channel. More densely fractured hillslopes are inherently less stable (Clarke and Burbank, 2011; Loye et al., 2012; Selby, 1982) and experience higher 262 263 erosion rates than hillsides in massive rock. Although fracture geometry controls the 264 erodibility of hillslopes and the rates at which they erode (Selby, 1982, 1993), fractures more dominantly control the location, orientation, and size of mass movements, and are 265 266 hence treated in more detail in section 2.2.2.

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268 2.1.3 Fracture Geometry Controls on Fluvial Erosion Rate and Style

At the reach scale, fractures influence erosion rate dominantly by controlling the nature of fluvial erosion (incision versus widening), and determining whether plucking or abrasion dominate the erosion of bedrock rivers. Work examining the density of fractures in relationship to bedrock channel morphology has shown how fracture density exerts a strong control on channel width, with more densely fractured rock exhibiting wider valleys (Ehlen and Wohl, 2002; Wohl, 2008). Multiple studies have documented the process of subaerial weathering leading to densely fractured sedimentary rocks (slaking) that enable significant erosion at channel margins, leading to widening and the
potential for strath terrace formation (Johnson and Finnegan, 2015; Montgomery, 2004;
Schanz and Montgomery, 2016). This is a prime example of surface fracturing creating
anisotropy in fracture density and erodibility, leading to non-uniform erosion rates within
a channel.

281 Plucking is the dominant mechanism by which rivers and glaciers exploit 282 fractures to erode bedrock. Over the last two decades, much of the research into 283 plucking erosion has utilized physical and numerical modeling to determine thresholds 284 for block entrainment from the bed. Four mechanisms of entrainment have been examined: sliding (Dubinski and Wohl, 2013; Hancock et al., 1998), vertical entrainment 285 286 (Coleman et al., 2003), pivoting about an upstream-facing step following vertical 287 entrainment (Fujioka et al., 2015; Wende, 1999), and toppling (Lamb and Dietrich, 288 2009).

289 Vertical entrainment may be unlikely in natural channels, based on the lack of 290 observations of cavities in the bed bound on all sides by rock that would represent the 291 space left by a vertically entrained block and the fact that such entrainment requires 292 block protrusion to an extent not observed in natural channels (Coleman et al., 2003; 293 Lamb et al., 2015). However, vertical entrainment is likely the initial entrainment 294 mechanism that enables the pivoting of tabular blocks about upstream-facing steps 295 (Wende, 1999). This process likely occurs in streams eroding bedded lithologies that dip 296 downstream, based on observations of upstream-facing steps with tabular, block-297 shaped voids running along fractures oriented perpendicular to flow (e.g., Figure 2). 298 Wende (1999) suggests a critical flow velocity entrainment threshold for blocks resting

299 against an immobile upstream-facing step on their downstream side. This threshold is 300 mainly a function of the block height and top surface area, although it neglects wall 301 friction. More tabular blocks with large top surface areas relative to their height are 302 predicted to be more easily vertically entrained and then flipped or pivoted as they move 303 downstream. This theoretical prediction was confirmed by flume experiments that 304 showed flipping to be a viable entrainment mechanism, although, depending on the 305 height of the upstream-facing step, blocks may not be fully flipped after entrainment 306 (Wende, 1999). In contrast to the vertical entrainment synthesized by Lamb et al. 307 (2015), this type of entrainment requires a free surface on the upstream side of the 308 block. However, this shows that vertical entrainment, at least when it precedes pivoting 309 about an upstream-facing step, is likely an important mechanism of entraining blocks in 310 fractured channels.

311



313 Figure 2: Example of upstream-facing steps in a limestone bedrock river,

314 Marienbergbach, Austria. Flow is from bottom right to top left. Plucking may occur by

315 the flipping or vertical pivoting of tabular blocks from the bed that can rotate around the

lips of upstream-facing steps as per Wende (1999). Note how bedding planes and

317 closely spaced sub-vertical fractures strongly control the bed morphology.

318

Both sliding and toppling entrainment are strongly dependent on the ratio of block dimensions, primarily height and length (Dubinski and Wohl, 2013; Lamb et al., 2015; Lamb and Dietrich, 2009). This indicates that fracture spacing and spacing anisotropy (deviation from cuboid fracture systems) may exert strong controls on entrainment 323 rates. Only recently has experimental work examined non-cuboid fracture systems 324 (George et al., 2015) and concluded that block orientation relative to the flow, 325 determined by fracture geometry, exerts a strong control on the entrainment threshold. 326 Field observations demonstrate that plucking can occur in modes similar to those 327 simulated in flume settings (Anton et al., 2015; Lamb and Fonstad, 2010), and that 328 plucking of fractured rock is likely the only way to explain high erosion rates in rivers. 329 Natural channels display strong spatial variability in plucking rates, associated with the 330 migration of knickpoints (Lima and Binda, 2013; Miller, 1991; Seidl et al., 1994). This 331 spatial and temporal variability in the rate of erosion resulting from plucking makes it 332 very difficult to accurately model channel evolution due to plucking. Despite this, 333 numerical modeling has shown success in simulating decadal-scale evolution of a 334 bedrock channel (Chatanantavet and Parker, 2009, 2011). This model utilizes a conservation of mass approach by conceptualizing plucking as a process of stripping off 335 336 particles that are produced by weathering and fracture propagation. Plucking in this 337 model is enhanced by faster fracture propagation and the lack of sediment cover. 338 Despite not explicitly treating fracture geometry, this model accurately simulates 339 knickpoint formation and development. This indicates that a detailed mechanistic 340 understanding of plucking may not be necessary for understanding channel evolution on time scales of decades. 341

However, to add complexity, it is important to note that entrainment only partially determines erosion rates due to plucking. Transport of plucked blocks, which act as alluvium after being entrained, and the propagation of fractures (see section 2.3) are equally important to prevent alluviation of the bed and thus enable erosion. Lamb et al. 346 (2015) highlight the lack of observational data to examine this question, although 347 Chatanantavet and Parker (2011) have developed a model that can accommodate variability in alluviation as a function of bed sediment and fracture propagation, which 348 349 could be used as a starting point for further field testing. Using a critical dimensionless 350 shear stress formulation to describe entrainment thresholds under the aforementioned 351 mechanisms of entrainment, Lamb et al. (2015) point out that sliding- and especially 352 toppling-dominated reaches are likely transport limited. The distribution of sediment in 353 the form of blocks in fractured bedrock rivers, especially at the base of toppling-354 dominated knickpoints, seems to support this observation. Additionally, a transportlimited model performs well in predicting channel development in a well-jointed 355 356 substrate (Lamb and Fonstad, 2010). However, the abundance of sustained bedrock 357 reaches that exhibit fracture-bound voids and plucking dominance, and that are devoid 358 of sediment, indicates that entrainment rate likely limits erosion rates in many systems. 359 It is important to note that analytical models of plucking entrainment are generally based 360 on cuboid fracture sets with two fracture sets oriented normal to flow and one oriented 361 parallel to flow. This is an idealization that is rarely an exact description of natural 362 systems, and it is important to note that non-cuboid (even subcuboid) fracture 363 orientations are significantly more complex.

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2.1.3.1 Determining Thresholds for Erosion Process Dominance in Bedrock Rivers
 Although field observations have indicated that bedrock channels with closely
 spaced fractures are dominated by plucking erosion and exhibit higher erosion rates
 than massive, abrasion-dominated channels (Whipple et al., 2000a), a threshold

369 fracture spacing that enables plucking has yet to be identified. The question of whether 370 plucking or abrasion accounts for the majority of the erosion in a reach is deceptively difficult to answer. Many investigators have utilized the morphology of the bed as an 371 372 indicator of the relative efficiency of plucking versus abrasion (Beer et al., 2016; 373 Hancock et al., 1998; Tinkler, 1993; Whipple et al., 2000b), while acknowledging 374 (Hartshorn, 2002; Tinkler, 1993) and even directly observing evidence (Beer et al., 375 2016) that plucking is a much more episodic style of erosion than abrasion. Even in 376 sculpted channels, where abrasion seems to dominate, plucking may still remove more 377 material over long time scales (Beer et al., 2016).

The presence of sculpted bedrock forms only indicates that abrasion has 378 379 continued long enough to sculpt the bed; even a few mm of erosion, potentially 380 accomplished over the course of a few years (based on observed abrasion rates on the 381 order of 1-5 mm a⁻¹ in natural channels; Beer et al., 2016; Hancock et al., 1998; Whipple 382 et al., 2000a), can begin to obscure more sharply angled plucked forms. If the frequency 383 of plucking events is greater than the time needed to smooth and sculpt the bedrock, 384 then the presence of sculpted forms in a channel cannot be used as a reliable indicator 385 of process dominance. The detailed measurements of a bedrock gorge performed by 386 Beer et al. (2016) over the course of two years exemplify this observational difficulty by 387 showing that a single and likely infrequently occurring plucking event dramatically 388 exceeded rates of erosion by abrasion, even in dominantly sculpted and massive 389 bedrock. A sculpted bed may simply be exhibiting a long "waiting time" (Hancock et al., 390 1998) between plucking events. An exception to this is a bed in a substrate with no 391 more than a single fracture exposed on the valley bottom and no fracture-bound clasts

evident in bed material. Presumably, abrasion must dominate in conditions with no
fractures to create blocks and without evidence that macroabrasion is sufficient to
fracture rock into blocks for plucking.

395 Even valley wall morphology is not a definite indicator of process dominance. 396 Although wall morphology generally preserves morphological evolution in a bedrock 397 channel (e.g., asymmetric wall slopes may indicate lateral migration), abrasion may 398 simply have been the last process to fluvially erode the walls before the channel incised 399 sufficiently deeply for fluvial processes to stop shaping the walls. Because shear stress 400 decreases with height from the bed, it follows that abrasion should dominate high off the 401 bed in a confined channel. This would result in smoothed walls that, although they could 402 have been exposed by plucking or abrasion incision, only reflect the last erosive 403 process, which may have been abrasion.

404 That said, a similar conundrum may not apply to inferring the dominance of 405 plucking from channel form. Channels that are obviously blocky and exhibit fracture-406 bound, concave forms (cavities left from plucked blocks) are almost certainly dominated 407 by plucking. Plucking is likely more episodic and effective than abrasion, which can be 408 assumed to occur more consistently through time in systems that are not entirely devoid 409 of sediment (Hancock et al., 1998; Sklar and Dietrich, 2004; Whipple et al., 2000a). As 410 such, for a channel bed to remain in a form exhibiting sharp, fracture-bound angles and 411 plucked cavities, plucking must be outpacing abrasion, even though it may not occur as 412 often.

Inferring process from form is difficult, but because plucking is so much more
effective than abrasion, and because it can occur even in rocks that lack widespread

fractures via macroabrasion (Whipple, 2004; Whipple et al., 2000a), plucking in some form probably should be assumed to be the default mode of eroding bedrock in the absence of clear evidence that abrasion dominates. In terms of field observation, such clear evidence comes from the lack of plucked forms on the bed, the lack of fracturebound clasts in bed material, and well-developed sculpted forms in the absence of strongly expressed fractures or evidence of plucking.

421 In attempting to reconcile low, short-term, abrasion-related erosion rates with 422 higher long-term erosion rates from strath terraces on the Indus River in Pakistan, 423 Hancock et al. (1998) note that it is difficult to rule out the potential that extremely infrequent plucking events could have eroded significant amounts of material. This 424 425 implies a problem of temporal and spatial scale in determining process dominance. 426 Over short time scales on sculpted beds, abrasion almost certainly dominates. 427 However, over longer time scales, potentially on both sculpted and blocky beds, 428 plucking may dominate. Spatially, plucking may only occur infrequently and across 429 small portions of the bed, similarly to abrasion, which varies strongly in space 430 depending on bedform orientation (Beer et al., 2016; Hancock et al., 1998). 431 Accurately determining the conditions that lead to the dominance of episodic 432 plucking processes over more continuous abrasion processes is essential for 433 understanding and predicting the evolution of bedrock rivers and landscapes. Abrasion 434 rates are closely tied to sediment supply and caliber through tools and cover effects

435 (Sklar and Dietrich, 2004) and the continued exposure, cross-sectional location, and

436

437 plucking entrainment rates are closely tied to fracture spacing and spacing anisotropy

orientation of the bed surface (Beer et al., 2016). Holding hydraulic forcing constant,

that set block size. Fracture orientation, both in relation to the bed and flow direction
(George, 2015; Pohn, 1983), likely controls the entrainment threshold for a fracturebound block, as do the morphological characteristics of the channel (e.g., knickpoint lips
likely erode differently than plane beds) (Lamb et al., 2015). However, our
understanding of the factors controlling plucking entrainment rates and the erosion rates
of fractured channels is still very rudimentary and mostly limited to simple cases of
cuboid fracture systems.

445

446 2.2 Fracture Controls on the Shape, Orientation, and Location of Landforms and447 Erosion

448 Some of the earliest investigations into the impacts of fractures on the 449 development of landscapes focused on spatial correlations between fractures and 450 erosional forms (Bryan, 1914; Hobbs, 1905). Fractures control the shape, orientation, 451 and location of landforms by two mechanisms. First, because fractures increase the 452 erodibility of the landscape, they tend to be focal points of erosion. As erosive work is 453 maximized along a fracture or a region of high fracture density, it will create an 454 incisional feature. Second, fractures bound eroded blocks. As blocks are removed via 455 glacial plucking, fluvial plucking, or hillslope failure, they leave a cavity that defines the 456 morphology of the eroded landscape, commonly bound by one or more fractures. These 457 two mechanisms work together on multiple temporal and spatial scales to produce a 458 landscape that is typically defined by the underlying fracture network. Figure 3 illustrates 459 the processes explained below.

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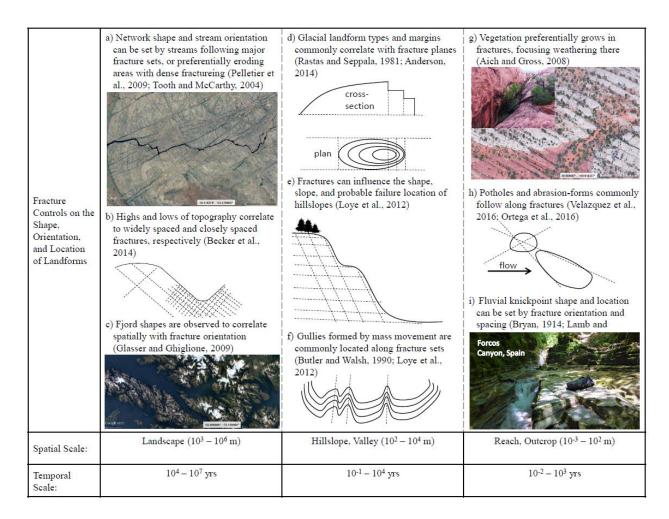


Figure 3: A summary of the processes reviewed in section 2.2, organized by length and
temporal scale. Short descriptions of each process are given, along with relevant
informative references on the topic. Line drawings depict the processes in a simplified
manner and photographs illustrate examples. In general, fractures are represented by
dashed lines, while solid lines represent surfaces. Concentric curved lines represent
elevation contour lines (f).

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*2.2.1 Fracture Controls on the Orientation and Elevational Distribution of Topography*At the landscape scale, one of the most noticeable impacts of fracturing on the
landscape is the correlation between fracture orientation and stream planform

472 orientation. This correlation has been noted in a wide variety of landscapes, including 473 relatively tectonically quiescent, climatically wet limestone landscapes in the 474 northeastern United States (Cole, 1930; Hobbs, 1905; Sheldon, 1912); arid sandstone 475 and metamorphic landscapes of the southwestern United States (Bryan, 1914; Pelletier 476 et al., 2009); glaciated sedimentary landscapes of Greenland (Pessl Jr., 1962); 477 subhumid sandstone landscapes in Australia (Baker and Pickup, 1987); metamorphic 478 rocks in the Southern Alps of New Zealand (Hanson et al., 1990); sedimentary rocks of 479 central India (Kale et al., 1996); granitic and gneissic terrain of South Africa (Tooth and 480 McCarthy, 2004); and granitic terrains of the U.S. Sierra Nevada (Ericson et al., 2005). The ubiquity of this correlation has led many researchers to hypothesize that underlying 481 482 fractures control the distribution of erosion on the landscape, with the result that valleys 483 tend to follow fractures.

484 However, as landscape evolution modeling has taken a leading role in assisting 485 our understanding of erosional processes, researchers have been able to draw 486 mechanistic links to bring causation to the aforementioned correlation between fractures 487 and valley orientation. One of the major difficulties in this correlation is that, although 488 streams generally follow fractures, not all fractures are exploited by streams. Pelletier et 489 al. (2009) address this difficulty using numerical modeling to explore fracture-controlled 490 drainages in metamorphic core complexes of Arizona in the United States. They found 491 that tectonic tilting of the landscape was likely responsible for the preferential 492 exploitation of certain joint sets across the landscape, producing the drainage observed 493 today. It is worth considering this result in the context of drainage patterns of the Sierra 494 Nevada, where drainages that were previously glaciated, but now are dominated by

fluvial processes, follow major joints that do not follow the range-wide slope (Ericson et
al., 2005). Earlier modeling of glacial erosion shows that contrasts in rock erodibility
may strongly influence glacial valley form and the lateral distribution of erosion across
the valley (Harbor, 1995). This indicates that both glaciers and streams can be
influenced similarly by widespread fracture sets.

500 Fracture controls on the spatial distribution of erosion are not limited to fluvial 501 systems. Becker et al. (2014) found that extremely densely fractured zones caused 502 preferential glacial quarrying in Tuolumne Meadows, where topographic highs 503 correspond to areas lacking bands of fractured rock and lows correspond to areas that 504 exhibit these fractured zones. This provides direct evidence for Molnar et al.'s (2007) 505 suggestion that the mechanism by which tectonics most influences the landscape is by 506 fracturing rock and focusing erosion. More densely fractured rock is more easily eroded, 507 leaving high relief features in areas of sparse fracturing. For example, topographic 508 variations in granitic uplands (e.g., tors) correspond to spatial variations in fracture 509 spacing. Fracture spacing sets the size of tor blocks, produced by weathering, which 510 sets their morphology (Ehlen, 1992; Gerrard, 1976).

Also in the glacial domain, researchers have long recognized that fjords tend to follow the orientation of regional fracture systems (Glasser and Ghiglione, 2009; Holtedahl, 1967; Nesje and Whillans, 1994). Fractures enable glaciers to preferentially erode certain parts of the landscape repeatedly across glacial cycles, and have been proposed to be the dominant control on fjord development, as opposed to glacial processes (Glasser and Ghiglione, 2009). Although glacial erosion that creates fjords appears to simply follow fractures at a broad scale, fractures likely influence glacial erosion rates by allowing for rapid removal of fracture bound blocks (see section 2.1).
Evidence for this comes from the morphology of fjord valley floors, which exhibit
knickpoints bound by fractures (Holtedahl, 1967).

521

522 2.2.2 Fracture Controls on the Morphology of Hillslopes and Valleys

523 On the scale of hillslopes and valleys, glaciers carve landscapes that are 524 commonly defined more by fracture orientation and density than by the characteristics 525 of glaciation. Examining glacial valley floors using numerical modeling, Anderson (2014) 526 shows that because fracture spacing determines the size of blocks able to be quarried 527 on the bed, in turn controlling the dominance of abrasion versus quarrying, steps with a 528 wavelength determined by variations in fracture spacing form periodically in the 529 evolution of a glacial valley. Glacial landforms are commonly bound by dominant joint 530 sets in a region (Gordon, 1981; Matthes, 1930; Olvmo and Johansson, 2002; Rastas 531 and Seppala, 1981). Roche moutonées, commonly cited as indicators of ice flow 532 direction, have been observed to follow joint sets rather than ice flow direction (Gordon, 533 1981). Rastas and Seppala (1981) show that the spacing and size of roche moutonées 534 follow the spacing of dominant fractures, providing an example of how underlying 535 fracture geometry exerts the dominant control on the dimensions of the landscape. 536 Hillslope morphology, and the spatial distribution of mass movements that control 537 hillslope evolution in steep terrain, are determined by the spacing, orientation, and geometric anisotropy of fractures (Selby, 1982, 1993). In general, slopes with more 538 539 closely spaced fractures, and those with fractures dipping out of the slope, 540 accommodate sliding failure more easily. Indeed, Moore et al. (2009) show that fracture

541 orientation dominates over other controls on long term cliff retreat rates in the Sierra 542 Nevada. The location of avalanches and hillslope failures typically correlates with joint 543 sets (Braathen et al., 2004; Butler and Walsh, 1990; Cruden, 2003; Loye et al., 2012). 544 Mountain tops and bedrock slopes exhibit morphologies that are a direct result of rock 545 strength and angle of bedding planes or joint sets that form planes of weakness and 546 eventual failure (Braathen et al., 2004; Cruden, 2003; Selby, 1982). By setting the size 547 of blocks produced by weathering and erosion, fractures can set the slope of talus fields 548 on hillslopes (Bryan, 1914; Caine, 1967). A detailed analysis of fracture geometry can 549 yield insights into likely failure mechanisms and eventual post-landslide morphology 550 (Brideau et al., 2009). Loye et al. (2012) present a detailed look at the mechanism by 551 which fractures influence the location of hillslope failure, showing that not simply 552 fracture orientation, but instead the orientation of maximum joint frequency, can set the 553 bulk strength of the hillslope. This implies a strong role of fracture anisotropy on 554 hillslope failure probability.

555 Fractures have also been cited as the primary control on vegetation distributions 556 across bedrock, especially in arid landscapes. Vegetation exploits fractures in bedrock 557 as zones of enhanced soil development, water retention, and weathering rate, harboring 558 substrate, water, and nutrients for plants, but only where soil does not thickly mantle 559 bedrock (Burkhardt and Tisdale, 1969; Loope, 1977; Yair and Danin, 1980). In arid 560 landscapes, fracture patterns can actually be identified via aerial photography by tracing 561 lines of vegetation exploiting those fractures (e.g., Aich and Gross, 2008). The result of 562 this enhanced vegetation growth in fractures is seen in the physical effects of roots on 563 bedrock, with roots exerting force due to both swelling and above-ground motion

564 (Roering et al., 2003, 2010; Strahler, 1952), as well as chemical weathering feedbacks that influence fracture propagation (see section 2.3). Tree throw, which is capable of 565 566 transporting significant amounts of sediment downslope, can erode bedrock by root 567 exploitation of fractures. As trees fall, they transport material downslope. If trees are 568 rooted into bedrock, they break off bedrock blocks and transport them downslope 569 (Gabet et al., 2003; Gabet and Mudd, 2010). Vegetation growing in joints enhances 570 erosion, stabilizes soil, and influences soil production, depending on slope substrate 571 and morphology.

572

573 2.2.3 Fracture Controls on the Reach Scale Morphology of Rivers

574 At the reach scale, individual channels in a bedrock river can exploit joints to 575 produce anabranching planforms (Kale et al., 1996; van Niekerk et al., 1999; Tooth and 576 McCarthy, 2004). In these cases, rivers erode preferentially along fractures. Tooth and 577 McCarthy (2004) note that both joints and foliation direct the abrasion of bedrock, 578 creating sculpted, multi-thread channels. However, plucking also appears to be capable 579 of producing such a planform (Kale et al., 1996). Tooth and McCarthy (2004) provide a 580 detailed synthesis of anabranching planform observations in bedrock and conclude that 581 fracturing is likely necessary for such a planform to develop in bedrock. By providing 582 strong heterogeneity in cross-sectional erodibility, fractures overcome the usual positive 583 feedback between channelized flow, erosion of a thalweg, and further channelization, 584 forming a long-lived, multi-thread planform (Tooth and McCarthy, 2004). 585 Similarly to planform, fluvial longitudinal form can be determined by fractures.

586 Bryan (1914, pg. 133) provides an excellent example of a knickzone with a profile

587 dominantly controlled by a joint system. Knickpoint or step height is commonly strongly 588 related to bedding thickness in sedimentary rocks, and knickpoint lips typically follow 589 oblique or perpendicular-to-flow joint sets (e.g., Miller, 1991, Figure 4). Knickpoint 590 spacing and location have been observed to depend strongly on the longitudinal 591 distribution of vertical joints (Phillips and Lutz, 2008). Lamb and Dietrich (2009) provide 592 strong evidence for plucking by toppling on knickpoints with subvertical joints defining 593 their faces and sufficiently deep plunge pools as a mechanism for preserving vertical 594 faces as knickpoints retreat. Along with their predictions, investigators have observed 595 that fracture orientation appears to strongly influence knickpoint morphology and 596 inferred migration rate in multiple lithologies (Lima and Binda, 2013; Ortega et al., 2013; 597 Phillips and Lutz, 2008). However, mechanisms of knickpoint retreat in the presence of 598 influential fracture systems are not fully understood.

599



Figure 4: An example of a knickpoint oriented oblique to flow bound by sub-vertical joints on the Aso River, Spain (approximate location: 42.563125, 0.039353). Note the generally cuboid blocks and the voids left, presumably by the plucking of blocks in the right foreground.

605

Within a single reach or knickpoint, bedforms are commonly bound by fractures, reflecting various mechanisms of plucking as well as concentrated abrasion. As mentioned in section 2.1, blocks can be removed from the streambed by sliding, toppling, flipping/pivoting, or vertical entrainment. The cavities left as a result of plucking create the form of the bed of a fractured bedrock river (e.g., Figure 4). Toppling has 611 been proposed as a mechanism that can sustain larger vertical forms (Lamb and 612 Dietrich, 2009). Flume observations have shown that sliding can similarly sustain 613 vertical, joint-bound steps in the bed, and cross-sectional distributions of sliding rates 614 can influence the morphology of block bedforms at knickpoint lips (Dubinski and Wohl, 615 2013). Vertical entrainment would likely produce block-shaped holes in the bed. 616 although such holes are not commonly documented in real channels, and, as Lamb et 617 al. (2015) point out, other mechanisms of plucking are more likely to dominate unless 618 blocks protrude from the bed to a degree not commonly observed in natural rivers. 619 Pivoting vertical entrainment about an upstream-facing step tends to produce and 620 sustain upstream-facing steps and imbricated boulder slab bedforms in bedding-621 dominated bedrock rivers (e.g., Figure 2; Wende, 1999). Sedimentary bedding in 622 particular can form fracture-bound plane beds, where the channel follows a single bed 623 for some length then moves to another bed at a step (Miller, 1991; Richardson and 624 Carling, 2005).

Abrasion can also exploit fractures on the bed, creating sculpted forms with a 625 geometry that follows fracture orientation or is bound by fractures. Early investigations 626 627 of potholes indicated that they can exploit steeply dipping fractures in the bed (Elston, 628 1918). Like many other effects of fractures on geomorphology, investigation of this 629 process has mostly been limited to observational correlations between fractures and 630 pothole orientations, locations, and shapes (Bryan, 1920; Ortega et al., 2014; Springer 631 et al., 2006). More recently, detailed geotechnical and statistical investigations of 632 potholes seem to confirm that potholes can exploit small-aperture fractures on the bed, 633 and that potholes correlate more strongly with fracture orientation and substrate

634 resistance than with hydraulics (Ortega-Becerril et al., 2016). Similarly to glacial 635 landforms on a much larger scale, potholes seem to be more reflective of underlying substrates than the flow of material that scours them. Other sculpted forms in bedrock 636 637 channels also exhibit fracture control, especially in the case of furrows or solution pits following fractures on the bed (Richardson and Carling, 2005). Fractures that induce 638 639 flow separation can act as seeds for sculpted forms such as flutes (Velázquez et al., 640 2016). Springer et al. (2002) suggest that fractures on the bed and walls act to anchor 641 sculpted forms in place, fundamentally altering their long-term evolution.

642

643 2.3 Feedbacks Between Erosion and Fracture Propagation

644 Feedbacks between erosion of the land surface and fracture propagation are 645 responsible for the continuation of fracture influences on erosion as erosion progresses. 646 In a system with surface-generated fractures (e.g., exfoliating granite), the ratio of the 647 rate of erosion to the rate of fracture propagation controls whether a system will shift 648 from eroding fractured bedrock to massive bedrock through time. The asynchronous 649 nature of erosion and fracture propagation favor periodicity in erosion style (abrasion 650 versus plucking) and rate. Fracture propagation is an essential process for the removal 651 of blocks from bedrock. Figure 5 illustrates the processes explained below.

652

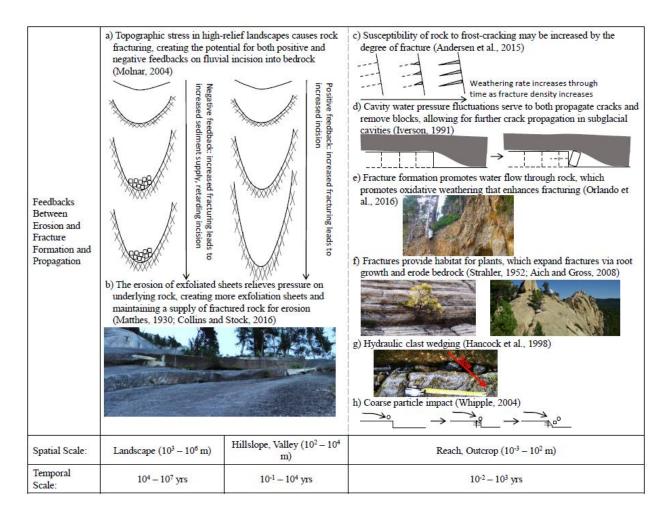


Figure 5: A summary of the processes reviewed in section 2.3, organized by length and
temporal scale. Short descriptions of each process are given, along with relevant
informative references on the topic. Line drawings depict the processes in a simplified
manner and photographs illustrate examples. In general, fractures are represented by
dashed lines, while solid lines represent surfaces.

659

660 2.3.1 Fracture Propagation Feedbacks at the Landscape and Valley Scales

661 On large scales, fracture propagation is accomplished by relatively widespread

662 stresses on rock. The orientation and magnitude of these stresses determine the

663 resulting fracture network. Topographic stress refers to gravitational stress near Earth's

664 surface generated by topographic relief. As relief increases, the stress exerted on 665 ridges, hillslopes, and valley bottoms increases. Models indicate that this stress is sufficient to fracture bedrock (Miller and Dunne, 1996). Thus, as rivers erode, creating 666 relief, stress increases and rock is fractured, enabling further erosion of bedrock. 667 668 Although this may appear to be an inherently positive feedback, it is important to note 669 that in accelerating the pace of relief generation, this fracturing can also accelerate 670 hillslope failure, potentially covering valley bottoms with sediment and preventing rivers 671 from eroding. The direction and magnitude of the feedback may also depend on the 672 lateral stresses induced by regional tectonics, as variation in fracture orientation differentially favors the erosion of hillslopes versus valleys. 673

674 Molnar (2004) builds on the model of Miller and Dunne (1996) by introducing the 675 idea that hillslope failure is time dependent. He shows that this feedback could be important in landscape evolution at relevant time scales. The only integrated theoretical 676 677 and empirical test of this idea comes from Slim et al. (2015). They use a numerical 678 model to calculate stress fields across topography, then compare predicted stresses to 679 observed fractures in boreholes, finding that their modeled topographic stresses are 680 consistent with existing fracture patterns. Roy et al. (2016) use a coupled numerical 681 model of crustal deformation in response to fluvial incision to suggest that incision 682 focuses stress and resulting rock damage (fracturing), resulting in erodibility contrasts 683 that control drainage network development. Roy et al. (2016b) add grain size dynamics 684 to the model of a fault-weakened zone controlling drainage development and find that if 685 grain size is set by fracture spacing, it in turn determines local channel slope and 686 drainage development. Moon et al. (2017) model three-dimensional topographic

stresses to better understand the relationship between landform orientation and tectonic stresses, finding that both the orientation and location of fracture-rich zones depend on stress orientation and topographic geometry. They suggest a framework based on compressive stress and topography that generates testable hypotheses regarding the spatial distribution (ridges versus valleys) of topographically-induced fracturing and the resulting direction of the feedback between topographic fracturing and incision rate.

693 In contrast to topographic stresses, pressure-relief stresses cause widespread 694 extensional fracturing that is engendered by exhumation of rock from depth. This 695 process is best displayed in granitic lithologies, where some of the first observations of the process were made (e.g., Dale, 1923; Jahns, 1943; Matthes, 1930). As erosion 696 697 removes exfoliated sheets, pressure is relieved on the underlying rock, which then 698 fractures subparallel to Earth's surface. Recently, advances have been made in 699 understanding the mechanisms of fracture propagation that occur as granite is 700 exhumed. Through detailed monitoring of exfoliating slabs, diurnal thermal stresses 701 emerge as the most likely candidate for actual fracture propagation. These stresses 702 have been observed to trigger slab failure and rock fall (Collins and Stock, 2016). 703

2.3.2 Fracture Propagation Feedbacks at the Reach and Outcrop Scales

Many of the rates of surface fracture propagation processes described in section 1.1 are dependent on the rate of exposure of bedrock as it is fractured in some way. Surface fractures are inherently small-scale features in terms of the depth to which they have a measurable aperture. As such, fracture propagation processes that act within fractures to widen fractures and/or extend fracture tips generally operate at small scales, despite their widespread effects on landscapes (e.g., frost cracking reducing
the erodibility of a landscape; Marshall et al., 2015). The following processes all act to
exert pressure on the sides of fractures or pressure on the surface that translates to
pressure within a fracture that acts to widen the fracture.

714 In cold, alpine landscapes, fracture propagation feedbacks occur both below 715 glaciers and in unglaciated regions. Numerical modeling suggests that more broken 716 rock should experience less restrictive water flow conditions, allowing for more 717 susceptibility to frost-cracking under certain conditions (Andersen et al., 2015). This 718 may contribute to the sustained erosion of peaks in alpine regions (Hales and Roering, 719 2009). Beneath glaciers, cavity water pressure fluctuations exert stress within fractures, 720 propagating fractures to detach blocks and enable transport (lverson, 1991). This 721 process may lead to a positive feedback whereby over-deepened sections of the bed 722 result in crevassing at the glacier surface just upstream, leading to increased subglacial 723 water pressure fluctuation in the over-deepened section (Hooke, 1991). However, it is 724 important to note that in post-glacial landscapes, plucked surfaces commonly follow pre-725 glacial joint sets, potentially indicating that glaciogenic joints are not important in forming 726 pluckable blocks (Hooyer et al., 2012). Water pressure at the bed exerting pressure on 727 fracture tips, however, likely plays an important role in decreasing friction along fracture 728 surfaces, making pre-glacial fractures easier to exploit via plucking.

In vegetated landscapes, chemical weathering and biota play an important role in
fracture propagation. Fractures strongly influence the pattern of rock weathering and the
structure of regolith by promoting deep water infiltration into rock. Positive feedbacks
can occur due to water table fluctuations, whereby oxidative weathering can create

small fractures that enable the further infiltration of water and subsequent oxidative
weathering (Orlando et al., 2016). As fractures grow, more rock surface area is exposed
to oxidation, enhancing fracture generation by oxidation.

736 Fractures also act as a beneficial habitat condition for the existence of certain 737 plants when soil mantles are thin (Aich and Gross, 2008; Burkhardt and Tisdale, 1969; 738 Hubbert et al., 2001; Loope, 1977; Sternberg et al., 1996; Wiser et al., 1996). Because 739 plant roots tend to follow fractures (Hubbert et al., 2001; Sternberg et al., 1996), they 740 exert both physical and chemical forcings that serve to propagate fractures. By 741 shrinking and swelling due to water intake, and eventually growing within fractures, 742 roots exert pressure along fracture walls (Strahler, 1952), probably leading to fracture 743 propagation. By physically enlarging fractures and interacting with infiltrating water, 744 roots create conditions favorable for chemical weathering along fracture walls, further 745 enhancing fracture propagation and creating a positive feedback similar to that 746 described above for oxidative weathering (Phillips et al., 2008). 747 In rivers, two processes have been proposed for propagating fractures, 748 eventually leading to bedrock being entrained as sediment. For both of these

processes, the feedback occurs when fracture growth at least partially sustains

sediment supply, which is necessary for these processes to occur.

First, hydraulic clast wedging may act to enlarge fractures through the process of pushing a clast into a fracture. The clast acts as a wedge, exerting high pressure on the fracture side walls, which likely results in cracking at the fracture tip (Hancock et al., 1998). This process has thus far only been inferred from the observation of clasts wedged tightly in fractures on the bed and walls of bedrock rivers. It is unclear whether these clasts are bashed into fractures by larger, saltating clasts or whether hydraulic
forces serve to slightly widen fractures during high magnitude floods, allowing clasts to
be emplaced within the fracture and trapped as the fracture closes, acting as ratchets
that prevent the fracture from closing back to its original state after being widened
(Hancock et al., 1998).

Second, coarse, saltating particles impart high pressures on channel beds when they impact the bed, likely causing macroabrasion, or the formation and propagation of fractures in the bedrock (Whipple, 2004). The stress imparted by particles impacting the bed can serve to both form impact fractures, which can create small blocks able to be plucked from the bed, and exert stress on blocks bound by pre-existing fractures, potentially detaching those blocks and allowing entrainment.

767

7683. Synthesizing Current Understanding of Fracture Influences on Landforms and

769 Landscapes to Identify Future Directions

770 Fractures have been investigated at all scales in all relevant geomorphic process 771 domains strongly influenced by the presence of bedrock. Here, we bring together these 772 investigations to present a group of related ideas and knowledge gaps that span 773 multiple process domains and scales. Our intent is to make it easier to use lessons 774 learned from diverse process domains and scales to inform future investigation. 775 Addressing the knowledge gaps identified here will be difficult without acknowledging 776 the similarities between fracture influences on geomorphic processes at various scales 777 and in various domains. Table 1 presents a list of what we find to be the most pressing 778 questions and knowledge gaps related to fracture influences on geomorphic processes.

779

780 3.1 Process Dominance in Eroding Bedrock

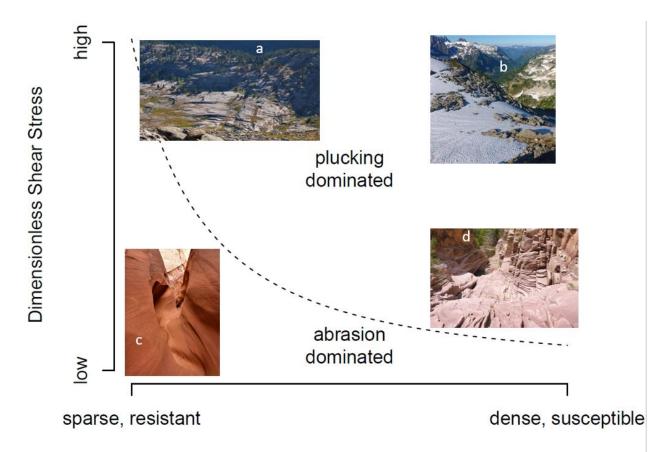
781 The dominance of plucking versus abrasion in glacial and fluvial domains is likely 782 strongly related to fracture geometry (Anderson, 2014; Whipple et al., 2000a). More 783 widely spaced fractures produce larger blocks that generally require more stress to 784 entrain and transport, although the relationship between block entrainment and block 785 size is complex (Dubinski and Wohl, 2013; Lamb et al., 2015). If blocks are too big for 786 the flow to entrain and transport, plucking may yield in dominance to abrasion, whereby 787 the blocks are eroded gradually through time. In this case, however, it is still possible 788 that surface fracture generation (macroabrasion in rivers, bed stress and water pressure 789 fluctuation beneath glaciers) can break down large blocks to the point at which they can 790 be plucked faster than abraded. Holding fracture density constant, orientation also likely 791 plays a strong role in determining whether blocks can be plucked at a rate faster than 792 the bed can be abraded. A system with only one or two fracture sets will likely produce 793 larger blocks than one with three or more fracture sets. Similarly, the aspect ratio of blocks strongly influences the entrainment mechanism for those blocks (Lamb et al., 794 795 2015), and the predicted dimensionless shear stress needed to entrain the blocks. A 796 good field example of this comes from the Christopher Creek drainage (Wohl, 2000). 797 where reaches with upstream-dipping beds tend to exhibit higher gradients, implying 798 higher resistance to erosion, than reaches with downstream-dipping beds. This could 799 imply an erosion rate difference between vertical entrainment and pivoting about an 800 upstream-facing step or sliding (downstream-dipping reaches) and sliding or toppling 801 (upstream-dipping reaches). Other than fracture geometry, wall friction (Dubinski and

Wohl, 2013; Lamb et al., 2015), tensile strength (Bursztyn et al., 2015), and sediment supply and caliber (Sklar and Dietrich, 2004) all likely play a role in determining whether abrasion versus plucking dominates in a given system.

805 Glacial systems seem to share many characteristics with fluvial systems in terms 806 of the dominance of plucking versus abrasion. There appears to be a threshold fracture 807 spacing (scaled to the erosive power of the flow) that determines whether plucking is 808 possible. In both systems, there are mechanisms for generating fractures in bedrock to 809 enable plucking (macroabrasion in fluvial systems, subglacial water pressure or bedrock 810 stress in glacial systems), but the contribution of such autogenic fracturing to erosion 811 rate, especially in systems with pre-existing fractures, is poorly understood. Finally, 812 fracture orientation appears to play a role in determining the dominance of plucking 813 versus abrasion and erosion rate in both fluvial (where it can affect plucking entrainment 814 mechanisms, Lamb et al., 2015; Wende, 1999) and glacial (where it can affect the 815 surface area exposed to plucking versus abrasion, Kelly et al., 2014; Lane et al., 2015) 816 systems. The progress made in each domain varies, but given these similarities, we 817 suggest that future investigations into process dominance take into account results from 818 both domains, as it is likely that such a synthetic approach to further hypothesizing 819 could result in more well-informed ideas of how to better understand the impact of 820 fracture geometry on process dominance.

The potential dominance of plucking versus abrasion and the aforementioned ideas are summarized conceptually in Figure 6. As that figure implies, the relationship between dimensionless shear stress and process dominance is likely non-linear, as there are probably a set of thresholds (in block size, fracture orientation, wall friction,

825 etc.) that define the transition from abrasion to plucking. This conceptualization greatly 826 simplifies all of the characteristics that likely play a role in determining process 827 dominance. We emphasize that a model for predicting whether abrasion or plucking will 828 dominate in a given system has yet to be developed. Such a model should integrate 829 understanding from glacial and fluvial erosion and ideally apply to both domains, as 830 similar ideas have arisen in both domains (e.g., that fracture orientation and spacing 831 relative to the direction and magnitude of flow strongly influence how easily blocks may 832 be plucked). A better prediction of process dominance is essential for accurately 833 parameterizing landscape evolution models that seek to produce realistic predictions 834 while acknowledging pre-existing or high-flow generated discontinuities in rock. 835



Fracture Density and Susceptibility

838 Figure 6: Conceptual, hypothesized diagram of the factors influencing the dominance of 839 plucking versus abrasion in a fluvial or glacial system. This diagram assumes that 840 abrasion can be dominant over the time scale of interest. The ordinate describes the 841 dimensionless shear stress of the erosive force. The abscissa describes both fracture 842 density (sparse fractures being widely spaced and dense fractures being closely 843 spaced) and the susceptibility of fractures to plucking due to their orientation relative to 844 flow. Susceptible may describe cuboid blocks on a knickpoint lip, prone to sliding or 845 toppling, whereas resistant might describe tetrahedral blocks with faces oriented mainly parallel to flow that experience low drag. Although fracture density and susceptibility 846 847 (orientation) are represented on the same axis here for simplicity, we do not mean to

848 imply that the two are correlated. Plucking dominates whenever dimensionless shear 849 stress is high enough to erode whatever size block (represented by fracture density) 850 and whatever orientation of block (represented by susceptibility) is present in a given 851 channel. Pictures show field examples that we hypothesize to fit in various parts of the 852 diagram. Pictures show: a) glacially plucked and abraded valley bottom with low fracture 853 density, but that was still dominated by plucking below Dog Tooth Peak, Wind River 854 Range, WY; b) a densely jointed and dominantly glacially plucked surface with a small 855 modern glacier on the east flank of Mt. Hinman, WA; c) an undulating, sculpted reach 856 with no evident fractures in No Kidding Canyon (a tributary of North Wash), UT; d) a densely jointed and dominantly plucked reach of Outlaw Canyon (a tributary of the 857 858 Yampa River in Dinosaur National Monument), CO

859

3.2 Identifying Relevant Scales for Understanding Fracture Influences on Geomorphic
 Processes

862 The question of whether abrasion dominates over plucking is fundamentally a 863 question of scale. At small temporal scales, abrasion can easily dominate, as plucking 864 can be infrequent. However, it is probable that over longer temporal scales, the stress 865 needed to pluck blocks may be exceeded or the surface fracture propagation needed to 866 produce pluckable blocks in an otherwise massive system may occur, engendering 867 potentially rare but extremely effective plucking episodes. It is also possible that the 868 duration between plucking events is long enough that abrasion does more work over the 869 course of long time-periods. It is important for landscape and channel evolution

modeling to identify the temporal thresholds that separate process dominance to ensure
that models accurately parameterize the importance of abrasion versus plucking.

With regard to spatial scale, some processes can be well-described by the abundance and depth of surface fractures (e.g., Chatanantavet and Parker, 2011), whereas others are better described by the location or spacing of deeper, more persistent fractures (e.g., Hooyer et al., 2012; Ortega-Becerril et al., 2016). We currently lack a conceptualization of spatial scale across which fractures should be measured to best predict the erosion rate of a given process.

878 There remains an open question as to the importance of various fracture sets across scales. Reach-scale work has indicated that fractures that set block height and 879 880 length may be most important in setting entrainment thresholds and, in detachment-881 limited systems, erosion rates (Lamb et al., 2015). Results at the valley to catchment 882 scales, however, indicate that steeply dipping fractures oriented subparallel to stream 883 planform can strongly influence planform and potentially erosion rate (Pelletier et al., 884 2009). In general, it is still an open question as to which orientations of fractures relative 885 to flow direction most strongly relate to erosion rates, or whether orientation exerts a 886 control on the same magnitude as average fracture density (which sets the mean size of 887 blocks on the bed). Although work on hillslopes has indicated that certain orientations of 888 fractures lead to a higher likelihood of failure (Brideau et al., 2009; Loye et al., 2012), 889 similar progress has yet to be made in the glacial or fluvial domains. Fracture continuity, 890 aperture, and wall friction also have not been thoroughly investigated in terms of their 891 impacts on glacial and fluvial erosion.

892 By explicitly acknowledging issues related to scale, future investigations will be 893 able to understand whether fracture geometry influences on erosion rate and style apply 894 across scales. We suggest the conceptualization of Figure 6 as a starting point for 895 understanding how a given process may be influenced by fracture geometry. By 896 considering both the scale of the process (via dimensionless shear stress, or some 897 other metric representative of erosive power) as well as the scale of fracturing (e.g., 898 many fractures along a single channel reach versus a few sparsely distributed fractures 899 across a landscape), future investigations can match their results to an appropriate 900 scale, and we can start to develop a more complete picture of how fractures influence geomorphic processes at all scales. 901

902

903 3.3 Understanding Fracture Geometry Influences on Erosion Rates

904 Across domains, the orientation of erosive forces relative to fracture orientations 905 can determine how easily blocks are removed from bedrock. Many studies document 906 how ice or water flow directions or simply the orientation of hillslopes relative to fracture 907 orientations influence the development of bedforms and the style of erosion (e.g., Lamb 908 and Dietrich, 2009; Lane et al., 2015; Loye et al., 2012; Naylor and Stephenson, 2010). 909 However, a conceptual model of how fracture orientation impacts the erodibility of the 910 landscape has yet to be developed. Lamb et al., (2015) make an important first step 911 towards such a model by deriving phase diagrams for the fluvial entrainment of blocks 912 under varying block aspect ratios. A complete phase diagram showing the erodibility of 913 blocks based on all possibilities of fracture orientation and spacing anisotropy, even just 914 for cuboid fracture systems, would likely be extremely complex. Therefore, we suggest

moving in a direction of identifying key fracture geometry variables (e.g., the ratio of
block height to length) and testing those variables in order to focus on only the most
important components of fracture geometry in developing a more complete model of
how fracture geometry impacts erosion rate and style. By identifying the most relevant
fracture geometry variables, we can make broader progress in recognizing how varying
scale or erosive process changes how fractures influence geomorphic process.

921 The influence of fractures on non-plucking processes is also a major knowledge 922 gap. Previous investigations are dominated by observational evidence that fractures can 923 generate, anchor, or guide the development of sculpted forms and abrasion erosion. However, the relationship between fracture geometry and rates of abrasion remains an 924 925 important unknown. Specifically, determining the effects of variation in fracture 926 orientation, the number of fractures present on the bed, and fracture intrinsic properties 927 (continuity, aperture, wall roughness) on abrasive erosion rates in fluvial, glacial, and 928 coastal environments would be a major step towards an integrated understanding of 929 bedrock erosion processes.

930

931 3.4 Understanding Feedbacks on Fracture Propagation

Topographically-induced stress fractures are probably the least well understood fracture propagation mechanism on large scales (Molnar, 2004), despite evidence suggesting that this process likely occurs (Molnar, 2004; Slim et al., 2015). We are not yet at the stage where this feedback can be accurately parameterized in landscape evolution models, although such models likely would greatly benefit from such an advance. We must identify the conditions under which this process occurs, the subsurface fracture orientations and spacings that result from predicted stresses, and
the interaction between hillslope and valley bottom fracturing and alluviation in limiting
valley incision rates.

941 On a more tractable note, small-scale feedbacks present exciting opportunities 942 that could be addressed relatively rapidly and used to improve understanding of rock 943 weathering in multiple environments. Hydraulic clast wedging remains almost entirely 944 unstudied and there is nothing but circumstantial evidence that it even occurs (Hancock 945 et al., 1998). Basic foundational investigations into this process must be made to 946 determine the role it plays in propagating deep and surficial fractures (similar to macroabrasion), how it compares to macroabrasion in preparing bedrock for eventual 947 948 transport, and how the process functions (e.g., how it depends on sediment size 949 distribution). Outside of channels, the impact of vegetation on breaking rock on hillsides 950 remains an exciting frontier (Marshall et al., 2015; Roering et al., 2010). We lack a 951 detailed understanding of the processes by which vegetation fractures rock, and the 952 direction of potential feedbacks related to that process.

953

954 3.5 Prominent Methodological Challenges

955 Fracture influences on geomorphic processes are difficult to disentangle from 956 other obviously important characteristics, such as tensile strength (e.g., Bursztyn et al., 957 2015). Similar to other systems with numerous variables driving a given process, 958 confounding variables left unaccounted for in previous research hinder our ability to 959 progress. Dealing with confounding variables can be accomplished either by the use of 960 more advanced statistical tools (e.g., multivariate modeling, factor analysis, 961 classification) or by attempting to control confounding variables (e.g., finding
962 comparable field sites, or carefully designing experimental conditions).

963 However, it is essential that investigations be grounded in a similar conceptual model, 964 such that all potential driving variables can be tested or controlled for in attempting to 965 examine the influences of fracture geometry on a given process. We suggest that these 966 conceptual models be developed to integrate knowledge from all process domains and 967 scales to encourage interdisciplinary use of previous work and make efficient progress 968 moving forward. Integrating broader ideas, such as connectivity, as has been done by 969 Sklar et al. (2017), shows promise in enabling multiple researchers to make progress 970 cognizant of the complications of the system under investigation.

971 A difficulty in measuring fractures in relation to geomorphic processes at a range 972 of scales is knowing which fractures actually act as discontinuities during a given 973 weathering or erosional process. Sedimentary bedding or metamorphic foliation, under 974 varying circumstances, can either exert only a small effect on cohesive strength 975 anisotropy, or can act as the dominant failure plane allowing fracturing and block 976 removal (Saroglou and Tsiambaos, 2008). This causes confusion when measuring 977 fracture density, especially in foliated or sedimentary rocks. If field measurement of 978 fracture density is to be used in a predictive manner, such as for the evaluation of 979 spillway erosion or channel evolution in response to flooding, it is imperative that the 980 most influential fracture sets are identified and measured, as there may be some cases 981 when measuring every discontinuity in rock, or ignoring small discontinuities like 982 foliation, may improperly represent the actual rock strength. For instance, 983 macroabrasion fractures may be widespread across a channel, when in reality plucking

may usually exploit much more widely spaced but more continuous fractures in the bed.
Measuring every macroabrasion-induced fracture may yield a much higher estimate of
the spacing of pluckable fractures than is appropriate if considering plucking erosion
rates. In addition, some fractures may not be obvious to the naked eye while still
exerting a strong control on morphologic evolution (e.g., Ortega-Becerril et al., 2016),
causing obvious challenges during field measurement.

990

991 4. Conclusions

992 The configuration of landscapes in which bedrock is present, as well as the rates 993 and processes of change in these landscapes, fundamentally depend on the weathering 994 and erosion of bedrock. An extensive literature indicates that physical discontinuities in 995 the form of fractures within the rock strongly influence bedrock weathering and erosion. 996 Multiple processes can initiate fractures and many of these processes involve positive 997 feedbacks with fracture propagation. Regardless of the spatial and temporal scales 998 considered, fractures clearly influence erosion rate and style; the shape, location and 999 orientation of landforms; and the relationship between bedrock erodibility and continued 1000 erosion. Much of the geomorphic literature on fractures focuses on hillslope, glacial, and 1001 fluvial environments. Across a wide range of erosional processes, the spacing of 1002 fractures correlates strongly with erodibility. Similarly, the combined spacing and 1003 orientation of certain fractures sets threshold stresses for the removal of blocks. In 1004 doing so, fracture geometry can set the erodibility and eventual form of the landscape, 1005 from steep hillsides to glacially scoured valleys. Insights gained from the glacial, 1006 hillslope, and fluvial domains are similar in terms of the direction of the relationships

between fracture geometry and erosion. As such, it is likely that fractures influence
geomorphic processes similarly across spatial and temporal scales, with some
exceptions.

1010 Important gaps in understanding include: how fracture geometry influences the 1011 conditions under which specific erosional processes dominate; identifying the spatial 1012 scale at which fractures should be measured to best characterize erosion rates of 1013 specific processes; characterizing feedbacks between erosive processes and fracture 1014 propagation; developing methods to effectively incorporate variables that could 1015 confound relations between fracture characteristics and geomorphic processes; and 1016 developing a widely applicable method for measuring fracture geometry. This synthesis 1017 provides a conceptual framework for further investigation of fracture influences on 1018 geomorphic process by working to identify relationships across domains and scales. 1019

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1383 Tables

1384

1385 Table 1: A list of prominent questions that present future opportunities for developing

1386 our understanding of fracture impacts on geomorphic processes, organized by general

1387 topic.

1388

Topic Questions

Process

Dominance

- Under what conditions does plucking dominate over abrasion in glacial and fluvial erosion?
- Can we define a threshold (or set of thresholds) to predict process dominance across systems?
- Which fractures (of what orientation relative to flow or gravity) matter most in determining the erodibility of a pluckable block?
- In the case of downstream-dipping beds, when does sliding entrainment dominate over vertical entrainment and pivoting about an upstream-facing step?
- Can we infer process dominance from channel form (i.e., sculpted versus blocky forms)?
- What is the mechanism by which fractures influence channel planform?
 Do fractures influence planform in both abrasion and plucking dominated channels?

Scale

- For a given process, at what scales is fracture geometry relevant, and at what scale should it be measured?
- Under what conditions do surficially generated fractures versus preexisting, deep fractures dominate in influencing erosion rates and styles?
- At what scales and under what conditions do fractures influence the location of erosion (i.e., when do fractures focus erosion)?
- At what spatial scales and magnitudes of erosive stress do fractures dominate over flow dynamics in determining the shape and orientation of landforms and bedforms?

Erosion

Rate

- What is the nature of the relationship between fracture geometry (orientation, spacing, and anisotropy in those two variables) and erodibility across process domains?
- Can erodibility be described by fracture geometry alone, or are variables that are more difficult to measure necessary (e.g., fracture continuity, aperture, roughness)?
- How does fracture geometry influence the mechanism by which blocks are plucked by a flow (i.e., when do various mechanisms dominate)?
- Does the mechanism by which blocks are plucked by a flow influence erosion rate?

- How do fractures affect erosion rates due to abrasion in rivers and glaciers?
- How is knickpoint migration affected by fracture geometry?
- Do flume and numerical modeling predictions of the importance of block aspect ratio and wall friction translate to measured erosion rates in natural systems?

Feedbacks

- Under what conditions do topographically induced stress fractures act as a positive versus negative feedback on incision?
- Does hydraulic clast wedging play a role in fracture propagation?
- If hydraulic clast wedging plays a role in fracture propagation, how widespread is it?
- If hydraulic clast wedging plays a role in fracture propagation, how does the process actually work?
- Does vegetation become more effective at propagating fractures when fractures grow larger (i.e., when roots within fractures grow), which may imply a positive feedback?