1	Bedrock Fracture Influences on Geomorphic Process and Form Across Process
2	Domains and Scales
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10	Abstract
11	Fractures are discontinuities in rock that can be exploited by erosion. Fractures
12	regulate cohesion, profoundly affecting the rate, style, and location of Earth surface
13	processes. By modulating the spatial distribution of erodibility, fractures can focus
14	erosion and set the shape of features from scales of fluvial bedforms to entire
15	landscapes. Although early investigation focused on fractures as features that influence
16	the orientation and location of landforms, recent work has started to discern the
17	mechanisms by which fractures influence the erodibility of bedrock. As numerical
18	modeling and field measurement techniques improve, it is rapidly becoming feasible to
19	determine how fractures influence geomorphic processes, as opposed to when or
20	where. However, progress is hampered by a lack of research coordination across
21	scales and process domains. We review studies from hillslope, glacial, fluvial, and
22	coastal domains from the scale of reaches and outcrops to entire landscapes. We then
23	synthesize this work to highlight similarities across domains and scales and suggest

24	knowledge gaps, opportunities, and methodological challenges that need to be solved.
25	By integrating knowledge across domains and scales, we present a more holistic
26	conceptualization of fracture influences on geomorphic processes. This
27	conceptualization enables a more unified framework for future investigation into fracture
28	influences on Earth surface dynamics.
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30	Keywords: Fracture, Erosion, Process, Geomorphology, Topography
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32	1. Introduction
33	Earth's surface can be characterized on a broad scale by discontinuities, or
34	fractures, which separate otherwise continuous Earth materials. As a first-order
35	approximation, fractures have been hypothesized to be the dominant control on erosion
36	rates, effectively acting as the mechanism by which tectonic stress shapes the
37	landscape (Molnar et al., 2007). Fractures set the primary boundary condition for
38	plucking by glaciers and rivers, which may be the most efficient mechanism of eroding
39	bedrock (Hallet, 1996; Whipple et al., 2000a), and in doing so can set the speed limit for
40	the evolution of landscapes (Whipple, 2004). Investigators have long recognized the
41	importance of fractures in influencing hillslope stability (Gilbert, 1904); the location and
42	orientation of channels from the scale of gullies to entire river networks (Gilbert, 1909;
43	Hobbs, 1905); and erosion rates (Bryan, 1914). However, we lack a unified theory of
44	how fractures impact the development of Earth's surface across spatial and temporal
45	scales and across diverse geomorphic process domains (Montgomery, 1999).

46 In recent years, the focus of geomorphology has shifted towards understanding 47 geomorphic processes utilizing conceptual models to inform geomorphic laws that 48 describe the transport of Earth material across scales and domains (Dietrich et al., 49 2003; Wohl et al., 2016). For processes influenced by fractures, this effort has led to 50 important conceptualizations and models of surface processes such as fluvial plucking 51 (Chatanantavet and Parker, 2009; Lamb et al., 2015), glacial guarrying (Hallet, 1996), 52 coastal erosion (Naylor and Stephenson, 2010), and hillslope stability (Clarke and 53 Burbank, 2010; Loye et al., 2012). In these domains, we can rudimentarily model 54 fractures acting as controls on the rate, style, and spatial occurrence of geomorphic processes. However, the lack of synthetic understanding of the impacts of fractures on 55 56 geomorphic process and form is starting to limit our progress. For instance, research 57 into the guarrying of fracture-bound blocks by glaciers has progressed to include fracture orientation as an explicit control on quarrying (Lane et al., 2015), whereas 58 59 research into fluvial plucking is only just starting to suggest a potential role of orientation in controlling erosion rate (Lamb et al., 2015). Synthesis of the various impacts of 60 61 fractures on geomorphology will facilitate the application of knowledge across process 62 domains to both fundamental and applied research questions.

Here, we review current understanding of the mechanisms by which fractures influence the rate, style, and location of erosion, as well as feedbacks between erosion and fracture propagation (the widening or lengthening of a fracture). We organize our review into three sections: 1) effects of fractures on erosion rates and styles, 2) fracture controls on the shape, orientation, and location of landforms and erosion, and 3) feedbacks between erosion and fracture propagation that act to either accelerate or retard further erosion. We then synthesize this understanding across process domains
and scales and identify logical next steps to address existing knowledge gaps.

71

1.1 Definition of Scope

73 We use the definitions of Selby (1993) to clarify the meaning of fracture as any 74 parting that allows open space or discontinuity between otherwise intact masses of 75 Earth material. Specific types of fractures such as joints (fractures with no shear along 76 the fracture surface), faults (fractures with displacement), and fractures following 77 foliation or bedding will generally not be differentiated in terms of their impacts on 78 geomorphic processes (namely erosion and weathering), which tend to exploit fractures 79 as weak zones, regardless of their formation mechanism. Faults will not be treated as 80 distinct from joints other than in the sense that they commonly correspond to areas of 81 high fracture density (number of fractures per unit area or length) and potentially 82 lithologic discontinuity.

83 We focus on the effects of fractures on geomorphic process and form, although 84 we provide a brief overview of fracture generation. We refer readers to rock mechanics 85 literature for a more detailed examination of fracture generation (Eppes and Keanini, 86 2017; Gudmundsson, 2011). Fractures are formed by the response of rock to stress. 87 The processes by which fractures form can be roughly divided into those that affect 88 broad regions, due to either widespread temperature change or broadly exerted 89 pressures, and those that are more local, creating more variable fracture geometry in a 90 smaller area. Regional fracture-forming processes tend to form more predictable, 91 spatially uniform, or gradually varying fracture geometry. Local processes tend to form

spatially constrained, highly variable fracture geometries. Both sets of processes occur
in most rock masses. Complex fracture patterns can occur from multiple discrete
episodes of stress applied to a material in different directions and magnitudes (Selby,
1993). Both compressive and tensile stresses work to fracture rock, with fracture
patterns commonly reflecting the source, magnitude, and direction of stress applied to
the rock. Foliation or bedding can create weaknesses in rock that may eventually
become fractures.

99 We consider fractures on scales up to that of a landscape (up to 10<sup>6</sup> m), but not 100 continental or global scales. Although there is strong evidence that continental-scale 101 lineaments do impact topography (e.g., rift zones creating grabens), it is difficult to 102 distinguish whether such lineaments are caused by fractures (openings or distinct 103 weaknesses in rocks O'Leary et al., 1976) or simply folding. We consider timescales 104 from days to millions of years. As a broad approximation, these timescales correspond 105 directly to spatial scales in terms of geomorphic process (i.e., geomorphic processes 106 occurring on landscape scales generally do not occur over a matter of days, with the 107 exception of catastrophic events such as volcanic eruptions or tsunamis), and we 108 categorize the influences of joints on geomorphic processes using these approximate 109 scales.

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2. Review of the Influence of Fractures on Geomorphic Processes and Forms
We distinguish three categories of how the characteristics of fractures influence
geomorphic processes and forms. First, the spacing and orientation of fractures exert a
strong control on erosion rate and style. More densely fractured rock, for example,

115 generally erodes faster than sparsely fractured rock (Becker et al., 2014; Dühnforth et 116 al., 2010), and the spacing of fractures is a first-order control on the dominance of 117 plucking versus abrasion in fluvial bedrock incision (Whipple et al., 2000a). Second, 118 fractures commonly bound landforms observed in the field, and there is a direct 119 connection between erosion rate and style and the shape of landforms bound by 120 fractures (e.g., Hancock et al., 1998). Finally, variation in erosion rates across the 121 landscape can influence the rate and spatial distribution of fracture propagation. In 122 doing so, erosion mediated by fractures can cause either a self-reinforcing, positive 123 feedback or a self-mitigating, negative feedback on erosion rate. 124 This section reviews our understanding of the impacts of fractures on 125 geomorphology. Each of the aforementioned three sections is organized by spatial and 126 corresponding temporal scale. The landscape scale refers to broad processes acting over  $10^3 - 10^6$  m and  $10^4 - 10^7$  years. The hillslope and valley scales refer to processes 127 acting over  $10^2 - 10^4$  m and  $10^{-1} - 10^4$  years. Finally, the reach and outcrop scales refer 128

129 to processes acting over  $10^{-3} - 10^2$  m and  $10^{-2} - 10^3$  years. These distinctions are

130 purposefully approximate and overlapping, as many processes span multiple scales.

131 However, this scheme helps to organize processes in a comprehensible way to enable

132 comparison and eventual synthesis.

133

2.1 Relationships Between Fracture Geometry and the Style and Rate of Erosion
Across scales and domains, more densely fractured rocks erode more easily
than massive rocks. Fracturing controls the style of erosion, and the removal of fracturebound blocks is generally more efficient than abrasion or corrosion in all geomorphic

domains (Dühnforth et al., 2010; Naylor and Stephenson, 2010; Selby, 1982; Whipple et
al., 2000a). Fracture spacing, orientation, and variability (anisotropy) in those metrics
should exert a strong control on erosion rates. We use the term fracture geometry to
refer to the spacing between fractures, the orientation of fractures that bound blocks,
and the anisotropy of spacing and orientation in three-dimensional space. Figure 1
illustrates the processes explained below.

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## 145 2.1.1 Landscape Scale Fracture Influences on Erosion Rate and Style

146 At the landscape scale, Molnar et al. (2007) suggest that tectonic stress 147 fracturing rock is the dominant control on erosion rate across the landscape by 148 regulating the susceptibility of rock to erosive force (Figure 1a). Tectonics can be tied 149 numerically to erosional patterns on Earth's surface via a stress-strain framework that 150 highlights the importance of regional weakening of rock by fracturing (Koons et al., 151 2012). Fractures induced by tectonic stress increase bedrock surface area susceptible 152 to weathering and the erosive effects of vegetation (e.g., Aich and Gross, 2008). By 153 bounding blocks that can then be detached from hillslopes, fractures reduce and set the 154 initial size of sediment supplied to hillsides, glaciers, and rivers (DiBiase et al., 2018; 155 Sklar et al., 2017). By delineating zones of weaker material, fractures focus erosion 156 across the landscape, resulting in incised gorges that follow fracture patterns (Pelletier 157 et al., 2009).

Rock erodibility is generally assumed to scale directly with fracture density.
Indeed, both direct measures and proxies of erosion rates in fluvial systems indicate
that erosion rates are maximized in areas of more densely spaced fractures (Figure 1b;

Kirby and Ouimet, 2011; Kirby and Whipple, 2012; Tressler, 2011). In the Colorado River basin, more densely fractured rock generally exhibits lower channel steepness (a proxy for erosion rate; Kirby and Whipple, 2012)) (Tressler, 2011). However, it is worth noting that this relationship is not well-studied at the landscape scale, and recent work has indicated that although fractures weaken rock and may help set its overall resistance to erosion, other factors such as tensile strength can mask the impacts of fracturing in some systems (Bursztyn et al., 2015).

Glacial erosion rates are strongly linked to fracture density at the landscape scale. Becker et al. (2014) show that areas of densely fractured rock in Tuolumne Meadows, USA exhibit low, flat surfaces, in contrast to the more sparsely fractured rock that forms high relief cliff faces and domes. They attribute this contrast to the dominance of glacial quarrying in densely fractured regions versus abrasion in sparsely fractured regions.

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2.1.2 Fracture Geometry Controls on Glacial, Coastal, and Hillslope Erosion Rates and
Styles

At the valley and hillslope scale, fracture spacing controls the dominance of plucking versus abrasion in glacial erosion (Figure 1c). As plucking is generally more efficient than abrasion, erosion style acts as a threshold control on erosion rate. Early investigators working in dominantly granitic, exfoliated terrains noted that glacial erosion in fractured rocks is more effective than erosion in massive rocks (Jahns, 1943; Matthes, 1930). These early studies used the presence or lack of exfoliation sheets and the steepness of lee sides of large glacial landforms to infer relative erosion rates. Outside of granitic terrain, investigators noted enhanced glacial incision in densely
jointed sedimentary rocks (Crosby, 1945). Building on observations of landforms,
Olyphant (1981) found a nonlinear inverse relationship between estimated glacial
erosion rate and average joint spacing, indicating that more closely spaced joints erode
much faster than more widely spaced joints.

189 Following statistical evidence of the mechanism by which fractures influence 190 glacial erosion rates, Iverson (1991) developed a numerical model to explore subglacial 191 bedrock erosion. This model yielded new insights regarding the relationship between 192 water in cavities downstream of quarried steps and upstream fracture growth, 193 highlighting the importance of vertical fractures and plucking in generating a stepped 194 profile that enabled further erosion. Building on Iverson's model (1991), Hallet (1996) 195 developed an analytical model of glacial guarrying, which suggested that not only 196 fracturing, but continued fracture growth, is essential to the quarrying process and high 197 glacial erosion rates. Importantly, the model suggested that even in relatively massive 198 rock with only minor fracturing, glacially-mediated fracture-growth could enable 199 guarrying. Iverson (2012) recently developed a more holistic model to describe 200 guarrying that highlights the importance of variability in fracture-mediated bedrock 201 strength in determining the nonlinearity of the relationship between erosion rate and 202 glacier sliding speed. In glacial settings, fracture generation by glacial stresses and 203 erosion likely plays a dominant role in weakening bedrock (Leith et al., 2014b). However, glaciers also exploit pre-existing fractures in bedrock, which in some cases 204 205 can be the dominant fractures bounding plucked blocks (Hooyer et al., 2012).

206 Field evidence to quantitatively support the importance of fracture geometry on 207 glacial erosion rates will help to evaluate the hypotheses raised by numerical modeling, 208 but is sparse. In recent years, cosmogenic radionuclide dating has allowed a more 209 quantitative evaluation of the impacts of fracture spacing on glacial erosion rates: 210 Dühnforth et al. (2010) found that more densely fractured sites in Yosemite National 211 Park exhibited higher erosion rates, as suggested by <sup>10</sup>Be exposure ages. Fracture 212 orientation, in addition to spacing, is interpreted to influence the rate of glacial erosion 213 by determining the dominance of plucking versus abrasion. By simplifying bedding dip 214 as being either in the direction of ice flow or opposed to it, investigators have used field 215 evidence to infer that dip direction controls the prevalence of plucking versus abrasion 216 in glacial erosion (Kelly et al., 2014; Lane et al., 2015). However, the effects of more 217 complex orientation variability beyond bedding dip on glacial erosion process 218 dominance or erosion rate have yet to be understood. Indirect evidence relating fracture 219 spacing to glacial erosion rate also comes from Crompton et al. (2018), suggesting that 220 glacial surging (dramatic changes in ice flow velocity that may regulate erosion rate; 221 Humphrey and Raymond, 1994; Smith, 1990) may be controlled by fracture spacing 222 influences on till dynamics on the bed.

In the coastal domain, fracturing weakens rock and changes the style of coastal retreat (Figure 1d). More densely fractured rocks can enable coastal retreat rates twice that of less fractured rock (Barbosa et al., 1999). Similarly, shore platforms in more densely jointed rocks are lowered to a greater extent than nearby, more sparsely jointed platforms (Kennedy and Dickson, 2006). Naylor and Stephenson (2010) performed a detailed investigation of fractured bedrock exposed on coastlines. They found that the spacing of bedding planes controlled the ability of waves to erode portions of coastal
cliff faces. More closely spaced joint sets permitted enhanced erosion of certain
sedimentary beds, and the orientation of joint sets and their continuity in space controls
their resistance to erosion. This is a prime example of how anisotropy in joint spacing
and orientation plays an important role in determining erosion rate and style.

234 Sediment delivery to rivers and glaciers may be set by fracture spacing, 235 orientation, and anisotropy (Figure 1e; DiBiase et al., 2018; Sklar et al., 2017). This 236 sediment acts as tools (enabling erosion by abrasion) and cover (enabling alluviation 237 and preventing incision into bedrock) in fluvial erosion (Sklar and Dietrich, 2004), thus 238 influencing erosion rates. The link between fracture spacing and the eventual size of 239 sediment delivered to rivers has yet to be fully understood due to the myriad of 240 breakdown processes that occur between the production of sediment from bedrock, its 241 transport downslope, and its eventual deposition in the channel. However, a case study 242 comparing two sites with differing fracture density shows that fracture density can set 243 channel erodibility and landscape relief structure by setting the size of sediment 244 delivered to channels (DiBiase et al., 2018). Numerical modeling also indicates that 245 sediment delivery may play a strong role in linking fracture geometry to landscape 246 evolution (Roy et al., 2016b).

More densely fractured hillslopes are inherently less stable (Figure 1f; Clarke and Burbank, 2011; Loye et al., 2012; Selby, 1982) and experience higher erosion rates than hillsides in massive rock. Although fracture geometry controls the erodibility of hillslopes and the rates at which they erode (Selby, 1982, 1993), the literature generally focuses on how fractures control the location, orientation, and size of mass movements,and are hence treated in more detail in section 2.2.2.

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## 254 2.1.3 Fracture Geometry Controls on Fluvial Erosion Rate and Style

255 At the reach scale, fractures influence erosion rate dominantly by controlling the 256 spatial orientation of fluvial erosion (vertical incision versus lateral widening), and 257 determining whether plucking or abrasion dominate the erosion of bedrock rivers 258 (Figure 1g, h). Work examining the density of fractures in relationship to bedrock 259 channel morphology has shown how fracture density exerts a strong control on channel 260 width, with more densely fractured rock exhibiting wider valleys (Ehlen and Wohl, 2002; 261 Wohl, 2008). Multiple studies have documented the process of subaerial weathering 262 leading to densely fractured sedimentary rocks (slaking) that enable significant erosion 263 at channel margins, leading to widening and the potential for strath terrace formation 264 (Johnson and Finnegan, 2015; Montgomery, 2004; Schanz and Montgomery, 2016). 265 This is a prime example of surface fracturing creating anisotropy in fracture density and 266 erodibility, leading to non-uniform erosion rates within a channel.

Rivers and glaciers exploit fractures to erode bedrock via plucking. Over the last two decades, much of the research into plucking erosion has used physical and numerical modeling to determine thresholds for block entrainment from the bed. Four mechanisms of entrainment have been examined: sliding (Dubinski and Wohl, 2013; Hancock et al., 1998), vertical entrainment (Coleman et al., 2003), pivoting about an upstream-facing step following vertical entrainment (Fujioka et al., 2015; Wende, 1999), and toppling (Lamb and Dietrich, 2009). 274 Vertical entrainment is likely the initial entrainment mechanism that enables the 275 pivoting of tabular blocks about upstream-facing steps (Wende, 1999). However, it is 276 extremely rare to observe cavities in the bed bound on all sides by rock that would 277 represent the space left by a purely vertically entrained block (i.e., with no pivoting), and 278 pure vertical entrainment requires block protrusion to an extent not observed in natural 279 channels (Coleman et al., 2003; Lamb et al., 2015), indicating that pure vertical 280 entrainment without pivoting likely does not occur in natural channels. Vertical 281 entrainment and pivoting about an upstream-facing step likely occurs in streams eroding 282 bedded lithologies that dip downstream, based on observations of upstream-facing 283 steps with tabular, block-shaped voids that follow fractures oriented perpendicular to 284 flow (e.g., Figure 2). Wende (1999) suggests a critical flow velocity entrainment 285 threshold for blocks resting against an immobile upstream-facing step on their 286 downstream side. This threshold is mainly a function of the block height and top surface 287 area, although it neglects wall friction. More tabular blocks with large top surface areas 288 relative to their height are predicted to be more easily vertically entrained and then 289 flipped or pivoted as they move downstream. This theoretical prediction was confirmed 290 by flume experiments that showed flipping to be a viable entrainment mechanism, 291 although, depending on the height of the upstream-facing step, blocks may not be fully 292 flipped after entrainment (Wende, 1999). In contrast to the vertical entrainment 293 synthesized by Lamb et al. (2015), this type of entrainment requires a free surface on the upstream side of the block. However, this shows that vertical entrainment, at least 294 295 when it precedes pivoting about an upstream-facing step, is likely an important 296 mechanism of entraining blocks in fractured channels.

297 Both sliding and toppling entrainment are strongly dependent on the ratio of block 298 dimensions, primarily height and length (Dubinski and Wohl, 2013; Lamb et al., 2015; 299 Lamb and Dietrich, 2009). This indicates that fracture spacing and spacing anisotropy 300 (deviation from cuboid fracture systems) may exert strong controls on entrainment 301 rates. Most existing work focuses on cuboid systems: only recently has experimental 302 work examined non-cuboid fracture systems (George et al., 2015) and concluded that 303 block orientation relative to the flow, determined by fracture geometry, exerts a strong 304 control on the entrainment threshold.

305 Field observations demonstrate that plucking can occur in modes similar to those 306 simulated in flume settings (Anton et al., 2015; Lamb and Fonstad, 2010), and that 307 plucking of fractured rock is likely the only way to explain high erosion rates in rivers. 308 Natural channels display strong spatial variability in plucking rates, associated with the 309 migration of knickpoints (Lima and Binda, 2013; Miller, 1991; Seidl et al., 1994). This 310 spatial and temporal variability in the rate of erosion resulting from plucking makes it 311 very difficult to accurately model channel evolution due to plucking. Despite this, 312 numerical modeling has shown success in simulating decadal-scale evolution of a 313 bedrock channel (Chatanantavet and Parker, 2009, 2011). This model uses a 314 conservation of mass approach by conceptualizing plucking as a process of stripping off 315 particles produced by weathering and fracture propagation. Faster fracture propagation 316 and the lack of sediment cover enhance plucking in this model. Despite not explicitly 317 treating fracture geometry, this model accurately simulates knickpoint formation and 318 development. This indicates that a detailed mechanistic understanding of plucking may 319 not be necessary for understanding channel evolution on time scales of decades.

320 However, to add complexity, it is important to note that entrainment only partially 321 determines erosion rates due to plucking. Transport of plucked blocks, which act as alluvium after entrainment, and the propagation of fractures (see section 2.3) are 322 323 necessary to prevent alluviation of the bed and thus enable erosion. Lamb et al. (2015) 324 highlight the lack of observational data to examine this question, although 325 Chatanantavet and Parker (2011) have developed a model that can accommodate 326 variability in alluviation as a function of bed sediment and fracture propagation, which 327 could be used as a starting point for further field testing. Using a critical dimensionless 328 shear stress formulation to describe entrainment thresholds under the aforementioned 329 mechanisms of entrainment, Lamb et al. (2015) point out that sliding- and especially 330 toppling-dominated reaches are likely transport limited. The distribution of sediment in 331 the form of blocks in fractured bedrock rivers, especially at the base of toppling-332 dominated knickpoints, seems to support this observation. Additionally, a transport-333 limited model performs well in predicting channel development in a well-jointed 334 substrate (Lamb and Fonstad, 2010). However, the abundance of sustained bedrock 335 reaches that exhibit fracture-bound voids and plucking dominance, and that are devoid 336 of sediment, indicates that entrainment rate likely limits erosion rates in many systems. 337 It is important to note that analytical models of plucking entrainment are generally based 338 on cuboid fracture sets with two fracture sets oriented normal to flow and one oriented 339 parallel to flow. This is an idealization that is rarely an exact description of natural 340 systems, and it is important to note that non-cuboid (even subcuboid) fracture 341 orientations are significantly more complex.

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343 2.1.3.1 Determining Thresholds for Erosion Process Dominance in Bedrock Rivers 344 Bedrock river evolution is largely determined by the dominance of plucking 345 versus abrasion processes (e.g., Figure 1g, h). Because bedrock rivers fundamentally 346 regulate landscape evolution, it is imperative to understand the conditions that 347 determine erosion process dominance. Although field observations have indicated that 348 bedrock channels with closely spaced fractures are dominated by plucking erosion and 349 exhibit higher erosion rates than massive, abrasion-dominated channels (Whipple et al., 350 2000a), a threshold fracture spacing that enables plucking has yet to be identified. The 351 question of whether plucking or abrasion accounts for the majority of the erosion in a 352 reach is deceptively difficult to answer. Many investigators have used the morphology of 353 the bed as an indicator of the relative efficiency of plucking versus abrasion (Beer et al., 354 2016; Hancock et al., 1998; Tinkler, 1993; Whipple et al., 2000b), while acknowledging (Hartshorn, 2002; Tinkler, 1993) and even directly observing evidence (Beer et al., 355 2016) that plucking is a much more episodic style of erosion than abrasion. Even in 356 357 sculpted channels, where abrasion seems to dominate, plucking may still remove more 358 material over long time scales (Beer et al., 2016).

The presence of sculpted bedforms only indicates that abrasion has continued long enough to sculpt the bed; even a few mm of erosion, potentially accomplished over the course of a few years (based on observed abrasion rates on the order of 1-5 mm a<sup>-1</sup> in natural channels; Beer et al., 2016; Hancock et al., 1998; Whipple et al., 2000a), can obscure more sharply angled plucked forms. If the time between plucking events is greater than the time needed to smooth the bedrock, then the presence of sculpted forms in a channel cannot be a reliable indicator of process dominance. The detailed 366 measurements of a bedrock gorge performed by Beer et al. (2016) over the course of 367 two years exemplify this observational difficulty by showing that a single and likely 368 infrequently occurring plucking event dramatically exceeded rates of erosion by 369 abrasion, even in dominantly sculpted and massive bedrock. A sculpted bed may simply 370 be exhibiting a long "waiting time" (Hancock et al., 1998) between plucking events. An 371 exception to this is when the bed substrate is entirely massive and no fracture-bound 372 clasts are evident in bed material: abrasion must dominate in conditions with no 373 fractures to create blocks and without evidence that macroabrasion (breaking of 374 bedrock into blocks by the impact of large clasts) is sufficient to fracture rock into blocks 375 for plucking (e.g. Coyote Creek, Utah, Wohl et al., 1999).

376 Although the shape of canyon walls generally preserves evidence of erosive style 377 in a bedrock channel (e.g., asymmetric wall slopes may indicate lateral migration), 378 valley wall morphology may not indicate process dominance. Shear stress decreases 379 with height above the bed, and abrasion may dominate high off the bed in a confined 380 channel (although subaerial weathering may produce smaller and more easily detached 381 blocks higher off the bed, counteracting this; Shobe et al., 2017). As the channel 382 incises, abrasion may be the last process to fluvially erode the walls before the channel 383 incises sufficiently deeply to stop shaping the walls above a certain height from the bed. 384 This would result in smoothed walls that, although they could have been exposed by 385 plucking or abrasion incision, only reflect the last erosive process, which may have been 386 abrasion.

That said, a similar conundrum may not apply to inferring the dominance of plucking from channel form. Plucking likely dominates in channels that are obviously 389 blocky and exhibit fracture-bound, concave forms (cavities left from plucked blocks; e.g., 390 Figure 2). Plucking is likely more episodic (due to requiring high shear stresses to move 391 blocks) and effective (due to removing large blocks of material over short timescales) 392 than abrasion, which can be assumed to occur more consistently through time in 393 systems that are not entirely devoid of sediment (Hancock et al., 1998; Sklar and 394 Dietrich, 2004; Whipple et al., 2000a). As such, for a channel bed to persistently exhibit 395 sharp, fracture-bound angles and plucked cavities, plucking must outpace abrasion, 396 even though it may not occur as often.

397 Because plucking likely is much more effective than abrasion, and because it can 398 occur even in otherwise massive rocks via fracturing due to macroabrasion (Whipple, 399 2004; Whipple et al., 2000a), plucking in some form probably should be assumed to be 400 the default mode of eroding bedrock in the absence of definitive evidence that abrasion 401 dominates. In terms of field observation, such definitive evidence may come from the 402 lack of plucked forms on the bed, the lack of fracture-bound clasts in bed material, and 403 well-developed sculpted forms in the absence of strongly expressed fractures or 404 evidence of plucking.

Temporal and spatial scale can also determine process dominance. In
reconciling low, short-term, abrasion-related erosion rates with higher long-term erosion
rates from strath terraces on the Indus River in Pakistan, Hancock et al. (1998) note that
extremely infrequent plucking events could have eroded significant amounts of material.
Over short time scales on sculpted beds, abrasion almost certainly dominates.
However, over longer time scales, potentially on both sculpted and blocky beds,
plucking may dominate. Spatially, plucking may only occur infrequently and across

small portions of the bed, similarly to abrasion, which varies strongly in space
depending on bedform orientation (Beer et al., 2016; Hancock et al., 1998). Accurately
determining the conditions that lead to the dominance of episodic plucking processes
over more continuous abrasion processes is essential for understanding and predicting
the evolution of bedrock rivers and landscapes.

417

418 2.2 Fracture Controls on the Shape, Orientation, and Location of Landforms and419 Erosion

420 Some of the earliest investigations into the impacts of fractures on the 421 development of landscapes focused on spatial correlations between fractures and 422 erosional forms (Bryan, 1914; Hobbs, 1905). Fractures control the shape, orientation, 423 and location of landforms by two mechanisms. First, because fractures increase the 424 erodibility of the landscape, they tend to focus erosion and create incisional features. 425 Second, fractures bound eroded blocks. As glacial plucking, fluvial plucking, or hillslope 426 failure remove blocks, they leave a cavity that defines the micro- to meso-scale 427 morphology of the eroded landscape, commonly bound by one or more fractures. These 428 two mechanisms work together on multiple and overlapping temporal and spatial scales 429 to produce a landscape that is typically defined by the underlying fracture network. 430 Figure 3 illustrates the processes explained below.

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432 2.2.1 Fracture Controls on the Orientation and Elevational Distribution of Topography
433 At the landscape scale, one of the most noticeable impacts of fracturing on the
434 landscape is the correlation between fracture orientation and stream planform

435 orientation (Figure 3a). This correlation has been noted in a wide variety of landscapes, 436 including relatively tectonically quiescent, climatically wet limestone landscapes in the 437 northeastern United States (Cole, 1930; Hobbs, 1905; Sheldon, 1912); arid sandstone 438 and metamorphic landscapes of the southwestern United States (Bryan, 1914; Pelletier 439 et al., 2009); glaciated sedimentary landscapes of Greenland (Pessl Jr., 1962); 440 subhumid sandstone landscapes in Australia (Baker and Pickup, 1987); metamorphic 441 rocks in the Southern Alps of New Zealand (Hanson et al., 1990); sedimentary rocks of 442 central India (Kale et al., 1996); granitic and gneissic terrain of South Africa (Tooth and 443 McCarthy, 2004); and granitic terrains of the U.S. Sierra Nevada (Ericson et al., 2005). The ubiquity of this correlation has led many researchers to hypothesize that underlying 444 445 fractures control the distribution of erosion on the landscape, with the result that valleys 446 tend to follow fractures.

However, as landscape evolution modeling has taken a leading role in 447 448 augmenting our understanding of erosional processes, researchers have been able to 449 draw mechanistic links to bring causation to the aforementioned correlation between 450 fractures and valley orientation. One of the major difficulties in this correlation is that, 451 although streams generally follow fractures, not all fractures are exploited by these 452 streams. Pelletier et al. (2009) address this difficulty using numerical modeling to 453 explore fracture-controlled drainages in metamorphic core complexes of Arizona in the 454 United States. They found that tectonic tilting of the landscape was likely responsible for 455 the preferential exploitation of certain joint sets across the landscape, producing the 456 drainage pattern observed today. In contrast, Ericson et al. (2005) found that glacial 457 erosion could force what are now fluvially dominated streams to follow major joints that

458 do not follow the range-wide slope. Earlier modeling of glacial erosion shows that 459 contrasts in rock erodibility determined by fracture geometry may strongly influence 460 glacial valley form and the lateral distribution of erosion across the valley (Harbor, 461 1995). This indicates that widespread fracture sets can similarly influence both glacial 462 and fluvial erosion. Focusing on fluvial erosion, Roy et al. (2015) use numerical 463 modeling of fault-weakened zones and show that a sufficient erodibility contrast 464 (potentially due to variability in fracture density) between a weakened zone and 465 surrounding rock is necessary for that weakened zone to control drainage network 466 development. The orientation of the weak zone also controls the development of valley walls as the river incises. 467

468 Fracture controls on the spatial distribution of erosion are not limited to fluvial 469 systems. Becker et al. (2014) found that extremely densely fractured zones caused preferential glacial guarrying in Tuolumne Meadows, where topographic highs 470 471 correspond to areas lacking bands of fractured rock and lows correspond to areas that 472 exhibit these fractured zones (Figure 3b). This provides direct evidence for Molnar et 473 al.'s (2007) suggestion that the mechanism by which tectonics most influences the 474 landscape is by fracturing rock and focusing erosion. More densely fractured rock is 475 more easily eroded, leaving high elevation features in areas of sparse fracturing. For 476 example, topographic variations in granitic uplands (e.g., tors) correspond to spatial 477 variations in fracture spacing. Fracture spacing sets the size and morphology of tor 478 blocks produced by weathering (Ehlen, 1992; Gerrard, 1976).

479 Also in the glacial domain, researchers have long recognized that fjords tend to 480 follow the orientation of regional fracture systems (Figure 3c; Glasser and Ghiglione,

481 2009; Holtedahl, 1967; Nesje and Whillans, 1994). Fractures enable glaciers to 482 preferentially erode certain parts of the landscape repeatedly across glacial cycles, and 483 have been proposed to be the dominant control on fjord development, as opposed to 484 internal glacial dynamics (Glasser and Ghiglione, 2009). Although glacial erosion that 485 creates fjords appears to simply follow fractures at a broad scale, fractures likely 486 influence glacial erosion rates by allowing for rapid removal of fracture bound blocks 487 (see section 2.1). Evidence for this comes from the morphology of ford valley floors, which exhibit knickpoints bound by fractures (Holtedahl, 1967). 488

489

490 2.2.2 Fracture Controls on the Morphology of Hillslopes and Valleys

491 Glaciers carve landforms on the scale of hillslopes and valleys that are 492 commonly defined more by fracture orientation and spacing than by glacial dynamics 493 (Figure 3d). Examining glacial valley floors using numerical modeling, Anderson (2014) 494 shows that because fracture spacing determines the size of blocks able to be quarried 495 on the bed, in turn controlling the dominance of abrasion versus guarrying, steps with a 496 wavelength determined by variations in fracture spacing form periodically in the 497 evolution of a glacial valley. Glacial landforms are commonly bound by dominant joint 498 sets in a region (Gordon, 1981; Matthes, 1930; Olvmo and Johansson, 2002; Rastas 499 and Seppala, 1981). Roche moutonées, commonly cited as indicators of ice flow 500 direction, have been observed to follow joint sets rather than ice flow direction (Gordon, 501 1981). Rastas and Seppala (1981) show that the spacing and size of roche moutonées 502 follow the spacing of dominant fractures, providing an example of how underlying 503 fracture geometry exerts the dominant control on the dimensions of a landscape.

504 Hillslope morphology, and the spatial distribution of mass movements that control 505 hillslope evolution in steep terrain, are determined by the spacing, orientation, and 506 geometric anisotropy of fractures (Figure 3e; Selby, 1982, 1993). In general, slopes with 507 more closely spaced fractures, and those with fractures dipping out of the slope, 508 accommodate sliding failure more easily. Indeed, Moore et al. (2009) show that fracture 509 orientation dominates over other controls on long term cliff retreat rates in the Sierra 510 Nevada. The location of avalanches and hillslope failures typically correlates with joint 511 sets (Figure 3f; Braathen et al., 2004; Butler and Walsh, 1990; Cruden, 2003; Loye et 512 al., 2012). Mountain tops and bedrock slopes exhibit morphologies that are a direct 513 result of rock strength and angle of bedding planes or joint sets that form planes of 514 weakness and eventual failure (Braathen et al., 2004; Cruden, 2003; Selby, 1982). By 515 setting the size of blocks produced by weathering and erosion, fractures can set the 516 slope of talus fields on hillslopes (Bryan, 1914; Caine, 1967). A detailed analysis of 517 fracture geometry can yield insights into likely failure mechanisms and eventual post-518 landslide morphology (Brideau et al., 2009). Loye et al. (2012) present a detailed look at 519 the mechanism by which fractures influence the location of hillslope failure, showing that 520 not simply fracture orientation, but instead the orientation of maximum joint frequency, 521 can set the bulk strength of the hillslope. This implies a strong role of fracture anisotropy 522 on hillslope failure probability.

523 Fractures can control the distribution of vegetation across bedrock, especially in 524 arid landscapes (Figure 3g). Vegetation exploits fractures in bedrock as zones of 525 enhanced soil development, water retention, and weathering rate, harboring substrate, 526 water, and nutrients for plants, but only where soil does not thickly mantle bedrock 527 (Burkhardt and Tisdale, 1969; Loope, 1977; Yair and Danin, 1980). In arid landscapes, 528 fracture patterns can actually be identified via aerial photography by tracing lines of 529 vegetation exploiting those fractures (e.g., Aich and Gross, 2008). The result of this 530 enhanced vegetation growth in fractures is seen in the physical effects of roots on 531 bedrock, with roots exerting force due to both swelling and above-ground motion 532 (Roering et al., 2003, 2010; Strahler, 1952), and chemical weathering feedbacks that 533 influence fracture propagation (see section 2.3). Tree throw erodes bedrock by root 534 exploitation of fractures and can transport significant amounts of sediment downslope. 535 As trees fall, they transport material downslope. If trees are rooted into bedrock, they break off bedrock blocks and transport them downslope (Gabet et al., 2003; Gabet and 536 537 Mudd, 2010).

538

## 539 2.2.3 Fracture Controls on the Reach Scale Morphology of Rivers

540 At the reach scale, individual channels in a bedrock river can exploit joints to produce anabranching planforms (Kale et al., 1996; van Niekerk et al., 1999; Tooth and 541 542 McCarthy, 2004). In these cases, rivers erode preferentially along fractures. Tooth and 543 McCarthy (2004) note that both joints and foliation direct the abrasion of bedrock, 544 creating sculpted, multi-thread channels. However, plucking also appears to be capable 545 of producing such a planform (Kale et al., 1996). Tooth and McCarthy (2004) provide a 546 detailed synthesis of anabranching planform observations in bedrock and conclude that 547 fracturing is likely necessary for such a planform to develop in bedrock. By providing 548 strong heterogeneity in cross-sectional erodibility, fractures overcome the usual positive feedback between channelized flow, erosion of a thalweg, and further channelization,
forming a long-lived, multi-thread planform (Tooth and McCarthy, 2004).

551 Similar to planform, fluvial longitudinal form can be determined by fractures. 552 Bryan (1914, pg. 133) provides an excellent example of a knickzone with near-vertical 553 and near-horizontal surfaces (forming the longitudinal profile of the knickzone) that 554 follow major joint sets (Figure 3i). Knickpoint or step height is commonly strongly related 555 to bedding thickness in sedimentary rocks, and knickpoint lips typically follow oblique or 556 perpendicular-to-flow joint sets (e.g., Miller, 1991, Figure 4). Knickpoint spacing and 557 location have been observed to depend strongly on the longitudinal distribution of 558 vertical joints (Phillips and Lutz, 2008). Lamb and Dietrich (2009) provide evidence for 559 plucking by toppling on knickpoints with subvertical joints defining their faces and 560 sufficiently deep plunge pools as a mechanism for preserving vertical faces as 561 knickpoints retreat. Fracture orientation appears to strongly influence knickpoint 562 morphology and inferred migration rate in multiple lithologies (Lima and Binda, 2013; 563 Ortega et al., 2013; Phillips and Lutz, 2008). However, mechanisms of knickpoint retreat 564 in the presence of influential fracture systems are poorly understood.

Within a single reach or knickpoint, fractures commonly define the margins of bedforms, reflecting various mechanisms of plucking and concentrated abrasion. As mentioned in section 2.1, sliding, toppling, flipping/pivoting, or vertical entrainment can remove blocks from the streambed. The cavities left from plucking create the typical morphology of the bed of a fractured bedrock river (e.g., Figure 4). Toppling has been proposed as a mechanism that can sustain larger vertical forms (Lamb and Dietrich, 2009). Flume observations have shown that sliding can similarly sustain vertical, joint-

572 bound steps in the bed, and cross-sectional distributions of sliding rates can influence 573 the morphology of block bedforms at knickpoint lips (Dubinski and Wohl, 2013). Vertical 574 entrainment would likely produce block-shaped holes in the bed, although such holes 575 are not commonly documented in real channels. As Lamb et al. (2015) point out, other 576 mechanisms of plucking are more likely to dominate unless blocks protrude from the 577 bed to a degree not commonly seen in natural rivers. Pivoting vertical entrainment about 578 an upstream-facing step tends to produce and sustain upstream-facing steps and 579 imbricated boulder slab bedforms in bedding-dominated bedrock rivers (e.g., Figure 2; 580 Wende, 1999). Sedimentary bedding in particular can form fracture-bound planar 581 surfaces, where the channel follows a single sedimentary bedding plane for some 582 length and then moves to another sedimentary bed at a step (Miller, 1991; Richardson 583 and Carling, 2005).

584 Abrasion can also exploit fractures on the bed, creating sculpted forms with a 585 geometry that follows fracture orientation or is bound by fractures (Figure 3h). Early 586 investigations of potholes indicated that they can exploit steeply dipping fractures in the 587 bed (Elston, 1918). Like many other effects of fractures on geomorphology, 588 investigation of this process has mostly been limited to observational correlations 589 between fractures and pothole orientations, locations, and shapes (Bryan, 1920; Ortega 590 et al., 2014; Springer et al., 2006). More recently, detailed geotechnical and statistical 591 investigations of potholes seem to confirm that potholes can exploit small-aperture fractures on the bed, and that potholes correlate more strongly with fracture orientation 592 593 and substrate resistance than with hydraulics (Ortega-Becerril et al., 2016). Similar to 594 glacial landforms on a much larger scale, potholes seem to be more reflective of

underlying substrates than the flow of material that scours them. Other sculpted forms in
bedrock channels also exhibit fracture control, especially in the case of furrows or
solution pits following fractures on the bed (Richardson and Carling, 2005). Fractures
that induce flow separation can act as seeds for sculpted forms such as flutes
(Velázquez et al., 2016). Springer et al. (2002) suggest that fractures on the bed and
walls act to anchor sculpted forms in place, fundamentally altering their long-term
evolution.

602

603 2.3 Feedbacks Between Erosion and Fracture Propagation

Feedbacks between erosion of the land surface and fracture propagation regulate how fractures influence erosion rate and style through time (e.g., Molnar, 2004). In a system with surface-generated fractures, the ratio of the rate of erosion to the rate of fracture propagation controls how bedrock erodibility may change through time, as fractures must continually form and propagate in order for block removal type erosion to continue (e.g., Chatanantavet and Parker, 2009; Hancock et al., 1998). Figure 5 illustrates the processes explained below.

611

612 2.3.1 Fracture Propagation Feedbacks at the Landscape and Valley Scales

On landscape scales, relatively widespread tectonic stresses modulated by topographic stresses on rock form and propagate fractures (Figure 5a). Topographic stress refers to gravitational stress near Earth's surface generated by relief. As relief increases, the stress exerted on ridges, hillslopes, and valley bottoms increases. Models indicate that this stress is sufficient to fracture bedrock (Miller and Dunne, 618 1996). Thus, as rivers erode and create relief, stress increases and rock fractures, 619 enabling further erosion of bedrock. Although this may appear to be an inherently 620 positive feedback, it is important to note that in accelerating the pace of relief generation 621 via fluvial incision, this fracturing can also accelerate hillslope failure, potentially 622 covering valley bottoms with sediment and preventing rivers from incising bedrock 623 (Molnar, 2004). The direction and magnitude of this feedback depend on the relative 624 rates of fluvial incision versus hillslope erosion and sediment supply, as well as the 625 lateral stress regime induced by regional tectonics, as variation in fracture orientation 626 may differentially favor the erosion of hillslopes versus valleys.

627 Comparing numerical modeling to field observations tests whether topographic 628 stresses can be a dominant control on rock fracture patterns. Field observations of 629 fractures from borehole (Slim et al., 2015) and geophysical data (St. Clair et al., 2015) 630 find that numerically modeled fractures due to topographic stresses generally follow 631 patterns observed in the field, supporting the idea of topographically induced stresses 632 fracturing rock and likely influencing landscape evolution.

633 Numerical modeling can examine the possible feedback between topographic 634 stress fracturing and landscape evolution. Roy et al. (2016a) use a coupled numerical 635 model of crustal deformation in response to fluvial incision to suggest that incision 636 focuses stress and resulting rock damage (fracturing), resulting in erodibility contrasts 637 that control drainage network development. Moon et al. (2017) model three-dimensional 638 topographic stresses to better understand the relationship between landform orientation 639 and tectonic stresses, finding that both the orientation and location of fracture-rich 640 zones depend on stress orientation and topographic geometry. They suggest a

framework based on compressive stress and topography that generates testable
hypotheses regarding the spatial distribution (ridges versus valleys) of topographicallyinduced fracturing and the resulting direction of the feedback between topographic
fracturing and incision rate.

645 Topography also influences landscape evolution via pressure-relief fracturing, or 646 exfoliation. Pressure-relief stresses modulated by exhumation and existing topography 647 cause widespread microcrack formation and eventual fracture propagation (Figure 5b; 648 Leith et al., 2014a). This process is best displayed in granitic lithologies, where some of 649 the first observations of the process were made (e.g., Dale, 1923; Jahns, 1943; 650 Matthes, 1930). As erosion removes exfoliated sheets and relieves pressure on the 651 underlying rock, fractures form subparallel to Earth's surface. Recently, advances have 652 been made in understanding the mechanisms of fracture propagation that occur during 653 granite exhumation. Through detailed monitoring of exfoliating slabs, diurnal thermal 654 stresses emerge as the most likely candidate for actual fracture propagation. These stresses have been observed to trigger slab failure and rock fall (Collins and Stock, 655 656 2016).

657

## 658 2.3.2 Fracture Propagation Feedbacks at the Reach and Outcrop Scales

The rate of surface fracture propagation is dependent on the rate of exposure of bedrock. Surface fractures are generally small-scale features in terms of the depth to which they have a measurable aperture. As such, fracture propagation processes that widen fractures and/or extend fracture tips generally operate at small scales, despite their widespread effects on landscapes (e.g., frost cracking reducing the erodibility of a landscape; Marshall et al., 2015). The following processes all act to exert pressure on
the sides of fractures or pressure on the surface that translates to pressure within a
fracture that acts to widen the fracture.

In cold, alpine landscapes, fracture propagation feedbacks occur both below 667 glaciers and in unglaciated regions. Numerical modeling suggests that more broken 668 669 rock should experience less restrictive water flow conditions, allowing for more 670 susceptibility to frost-cracking under certain conditions (Figure 5c; Andersen et al., 671 2015). This may contribute to the sustained erosion of peaks in alpine regions (Hales 672 and Roering, 2009). Beneath glaciers, cavity water pressure fluctuations exert stress within fractures, propagating fractures to detach blocks and enable transport (Figure 5d; 673 674 Iverson, 1991). This process may lead to a positive feedback whereby over-deepened 675 sections of the bed result in crevassing at the glacier surface just upstream, leading to 676 increased subglacial water pressure fluctuation in the over-deepened section (Hooke, 677 1991). However, it is important to note that in post-glacial landscapes, plucked surfaces 678 commonly follow pre-glacial joint sets, potentially indicating that glaciogenic joints are 679 not important in forming pluckable blocks (Hooyer et al., 2012). Water pressure at the 680 bed exerting pressure on fracture tips, however, likely plays an important role in 681 decreasing friction along fracture surfaces, making pre-glacial fractures easier to exploit 682 via plucking.

In vegetated landscapes, chemical weathering and biota play an important role in fracture propagation. Fractures strongly influence the pattern of rock weathering and the structure of regolith by promoting deep water infiltration into rock. Positive feedbacks can occur due to water table fluctuations, whereby oxidative weathering can create small fractures that enable the further infiltration of water and subsequent oxidative
weathering (Figure 5e; Orlando et al., 2016). As fractures grow, more rock surface area
is exposed to oxidation, enhancing fracture generation by oxidation.

690 Fractures also act as a beneficial habitat condition for the existence of certain 691 plants when soil mantles are thin (Aich and Gross, 2008; Burkhardt and Tisdale, 1969; 692 Hubbert et al., 2001; Loope, 1977; Sternberg et al., 1996; Wiser et al., 1996). Because 693 plant roots tend to follow fractures (Brantley et al., 2017; Hubbert et al., 2001; Sternberg 694 et al., 1996), they exert both physical and chemical forcings that serve to propagate 695 fractures (Figure 5f). By shrinking and swelling due to water intake, and eventually 696 growing within fractures, roots exert pressure along fracture walls (Strahler, 1952). 697 probably leading to fracture propagation. By physically enlarging fractures and 698 interacting with infiltrating water, roots create conditions favorable for chemical 699 weathering along fracture walls, further enhancing fracture propagation and creating a 700 positive feedback similar to that described above for oxidative weathering (Brantley et 701 al., 2017; Phillips et al., 2008).

In rivers, two processes have been proposed for propagating fractures. Both
 processes depend on the presence of sediment as well as an at least partially exposed
 and fractured bed.

First, hydraulic clast wedging may act to enlarge fractures through the process of pushing a clast into a fracture (Figure 5g). The clast acts as a wedge, exerting high pressure on the fracture side walls, which likely results in cracking at the fracture tip (Hancock et al., 1998). This process has thus far only been inferred from the observation of clasts wedged tightly in fractures on the bed and walls of bedrock rivers. It is unclear whether these clasts are bashed into fractures by larger, saltating clasts or whether hydraulic forces serve to slightly widen fractures during high magnitude floods, allowing clasts to be emplaced within the fracture and trapped as the fracture closes, acting as ratchets that prevent the fracture from closing back to its original state after being widened (Hancock et al., 1998).

Second, coarse, saltating particles impart high pressures on channel beds when they impact the bed, likely causing macroabrasion, or the formation and propagation of fractures in the bedrock (Figure 5h; Chatanantavet and Parker, 2009; Whipple, 2004). The stress imparted by particles impacting the bed can serve to both form impact fractures, which can create small blocks able to be plucked from the bed, and exert stress on blocks bound by pre-existing fractures, potentially detaching those blocks and allowing entrainment.

722

3. Synthesizing Current Understanding of Fracture Influences on Landforms and
Landscapes to Identify Future Directions

Fractures have been investigated at all scales in all relevant geomorphic process domains strongly influenced by the presence of bedrock. Here, we bring together these investigations to present a group of related ideas and knowledge gaps to make it easier to use lessons learned from diverse process domains and scales to inform future investigation. Addressing the knowledge gaps identified here will be difficult without acknowledging the similarities between fracture influences on geomorphic processes at various scales and in various domains. Table 1 presents a list of what we find to be the most pressing questions and knowledge gaps related to fracture influences ongeomorphic processes.

In terms of research in specific process domains, our literature review broadly
reveals a bias towards glacial, fluvial, and hillslope domains. While there has been
some research into fracture influences on coastal geomorphology (see section 2.1.2),
both the coastal and aeolian domains remain ripe for basic research into this topic.

738

739 3.1 Process Dominance in Eroding Bedrock

740 The dominance of plucking versus abrasion in glacial and fluvial domains is likely 741 strongly related to fracture geometry (Anderson, 2014; Whipple et al., 2000a). More 742 widely spaced fractures produce larger blocks that generally require more stress to 743 entrain and transport, although the relationship between block entrainment and block 744 size is complex (Dubinski and Wohl, 2013; Lamb et al., 2015). If blocks are too big for 745 the flow to entrain and transport, plucking may yield in dominance to abrasion, whereby 746 the blocks are eroded gradually through time. In this case, however, it is still possible 747 that surface fracture generation (macroabrasion in rivers, bed stress and water pressure 748 fluctuation beneath glaciers) can break down large blocks to the point at which they can 749 be plucked faster than abraded. Holding fracture density constant, orientation also likely 750 plays a strong role in determining whether blocks can be plucked at a rate faster than 751 the bed can be abraded. A system with only one or two fracture sets will likely produce 752 larger blocks than one with three or more fracture sets. Similarly, the aspect ratio of 753 blocks strongly influences the entrainment mechanism for those blocks (Lamb et al., 754 2015), and the predicted shear stress needed to entrain the blocks. A good field

755 example of this comes from the Christopher Creek drainage (Wohl, 2000), where 756 reaches with upstream-dipping beds tend to exhibit higher gradients, implying higher 757 resistance to erosion, than reaches with downstream-dipping beds. This could imply 758 that systems dominated by vertical entrainment and pivoting about an upstream-facing 759 step or sliding (downstream-dipping reaches) are more erodible than those dominated 760 by sliding or toppling (upstream-dipping reaches). Other than fracture geometry, wall 761 friction (Dubinski and Wohl, 2013; Lamb et al., 2015), tensile strength (Bursztyn et al., 762 2015; Sklar and Dietrich, 2001), and sediment supply and caliber (Sklar and Dietrich, 763 2004) all likely play a role in determining whether abrasion versus plucking dominates in 764 a given system.

765 Glacial systems seem to share many characteristics with fluvial systems in terms 766 of the dominance of plucking versus abrasion. There appears to be a threshold fracture 767 spacing (scaled to the erosive power of the flow) that determines whether plucking is 768 possible. In both systems, there are mechanisms for generating fractures in bedrock to 769 enable plucking (macroabrasion in fluvial systems, subglacial water pressure 770 fluctuations or ice-sliding driven shear stress in glacial systems), but the contribution of 771 such autogenic fracturing to erosion rate, especially in systems with pre-existing 772 fractures, is poorly understood. Finally, fracture orientation appears to play a role in 773 determining the dominance of plucking versus abrasion and erosion rate in both fluvial 774 (where it can affect plucking entrainment mechanisms, Lamb et al., 2015; Wende, 1999) 775 and glacial (where it can affect the surface area exposed to plucking versus abrasion, 776 Kelly et al., 2014; Lane et al., 2015) systems. The progress made in each domain 777 varies, but given these similarities, we suggest that future investigations into process

dominance consider results from both domains, as it is likely that such a synthetic
approach could result in more well-informed ideas to better understand the impact of
fracture geometry on process dominance.

781 The potential dominance of plucking versus abrasion and the aforementioned ideas are 782 summarized conceptually in Figure 6 by considering both the scale of erosivity (via 783 dimensionless shear stress, or some other metric representative of erosive power) and 784 the scale and nature of fracturing (e.g., many fractures along a single channel reach 785 versus a few sparsely distributed fractures across a landscape). As that figure implies, 786 the relationship between dimensionless shear stress and process dominance is likely non-linear, as there are probably a set of thresholds (in block size, fracture orientation, 787 788 wall friction, etc.) that define the transition from abrasion to plucking. This 789 conceptualization greatly simplifies the characteristics that likely play a role in 790 determining process dominance. We emphasize that a model for predicting whether 791 abrasion or plucking will dominate in a given system has yet to be developed. Such a 792 model should integrate understanding from glacial and fluvial erosion and ideally apply 793 to both domains, as similar ideas have arisen in both domains (e.g., that fracture 794 orientation and spacing relative to the direction and magnitude of flow strongly influence 795 how easily blocks may be plucked). A better prediction of process dominance is 796 essential for accurately parameterizing landscape evolution models that seek to 797 produce realistic predictions while acknowledging pre-existing or high-flow generated 798 discontinuities in rock. We suggest the conceptualization of Figure 6 as being useful to

contextualize and draw similarities between investigations at varying scales and invarying domains.

801

3.2 Identifying Relevant Scales for Understanding Fracture Influences on Geomorphic
 Processes

The question of whether abrasion dominates over plucking is fundamentally a 804 805 question of scale. At small temporal scales, abrasion can easily dominate, as plucking 806 can be infrequent. However, over long temporal scales, stress will likely exceed the 807 plucking threshold or that threshold stress may be sufficiently decreased by surface 808 fracturing producing smaller blocks, engendering potentially rare but effective plucking 809 episodes (Figure 6). It is also possible that the duration between plucking events is long 810 enough that abrasion does more work over the course of long time-periods. It is 811 important for landscape and morphodynamic modeling to identify the temporal 812 thresholds that separate process dominance to ensure that models accurately 813 parameterize the importance of abrasion versus plucking. 814 With regard to spatial scale, the abundance and depth of surface fractures may 815 be the dominant fracture geometry parameter controlling a process (e.g., Chatanantavet 816 and Parker, 2011), whereas the location or spacing of only the deepest or most 817 persistent fractures may best relate to other processes (e.g., Hooyer et al., 2012; 818 Ortega-Becerril et al., 2016). We currently lack an understanding of which fracture 819 characteristics are relevant for a given spatial scale to best predict the erosion rate of a 820 given process.
821 There remains an open question as to the importance of various fracture sets at 822 different spatial scales. Analytical work examining individual blocks indicates that fracture characteristics that set block height, protrusion above the bed, and length likely 823 824 set entrainment thresholds and, in detachment-limited systems, erosion rates (Lamb et 825 al., 2015). Results at the valley to catchment scales, however, indicate that subvertical 826 fractures oriented subparallel to stream planform primarily determine planform and 827 potentially erosion rate (Pelletier et al., 2009). In general, it is still unclear which fracture 828 set orientations relative to flow direction dominantly control erosion rates. It is also 829 unclear whether orientation controls plucking erosion to the same degree as average 830 fracture density (which sets the mean size of blocks on the bed). Although work on 831 hillslopes has indicated that certain orientations of fractures lead to a higher likelihood of 832 failure (Brideau et al., 2009; Loye et al., 2012), similar progress has yet to be made in 833 the glacial or fluvial domains. Fracture continuity, aperture, and wall friction also have 834 not been thoroughly investigated in terms of their impacts on glacial and fluvial erosion. 835

836 3.3 Understanding Fracture Geometry Influences on Erosion Rates

Across domains, the orientation of erosive forces relative to fracture orientations can determine how easily blocks are removed from bedrock. Many studies document how ice or water flow directions or simply the orientation of hillslopes relative to fracture orientations influence the development of bedforms and the style of erosion (e.g., Lamb and Dietrich, 2009; Lane et al., 2015; Loye et al., 2012; Naylor and Stephenson, 2010). However, a conceptual model of how fracture orientation impacts the erodibility of the landscape has yet to be developed. Lamb et al., (2015) make an important first step towards such a model by deriving phase diagrams for the fluvial entrainment of blocks
under varying block aspect ratios. A complete phase diagram showing the erodibility of
blocks based on all possibilities of fracture orientation and spacing anisotropy, even just
for cuboid fracture systems, would likely be extremely complex. Therefore, we suggest
moving in a direction of identifying key fracture geometry variables (e.g., the ratio of
block height to length) and testing those variables to examine the components of
fracture geometry that dominantly impact erosion rate and style.

851 The influence of fractures on non-plucking processes is also a major knowledge 852 gap. Previous investigations are dominated by observational evidence that fractures can generate, anchor, or guide the development of sculpted forms and abrasion erosion. 853 854 However, the relationship between fracture geometry and rates of abrasion remains an 855 important unknown. Specifically, determining the effects of variation in fracture 856 orientation, spacing, and intrinsic properties (continuity, aperture, wall roughness) on 857 abrasive erosion rates would be a major step towards an integrated understanding of 858 bedrock erosion processes.

859

3.4 Understanding Feedbacks on Fracture Propagation

Topographically-induced stress fractures are probably the least well understood fracture propagation mechanism on large scales (Molnar, 2004), despite evidence suggesting that this process likely occurs (St. Clair et al., 2015; Molnar, 2004; Slim et al., 2015). We are not yet at the stage where this feedback can be accurately parameterized in landscape evolution models, although such models likely would greatly benefit from such an advance. We must identify the conditions under which this process plays an important role in fracture generation (Anderson, 2015), the subsurface
fracture orientations and spacings that result from predicted stresses, and the
interaction between hillslope and valley bottom fracturing and alluviation in limiting
valley incision rates.

871 On a more tractable note, small-scale feedbacks present exciting opportunities 872 that could be addressed relatively rapidly and used to improve understanding of rock 873 weathering in multiple environments. Hydraulic clast wedging remains almost entirely 874 unstudied and there is nothing but circumstantial evidence that it even occurs (Hancock 875 et al., 1998). Basic foundational investigations into this process must be made to 876 determine the role it plays in propagating deep and surficial fractures (similar to 877 macroabrasion), how it compares to macroabrasion in preparing bedrock for eventual 878 transport, and how the process functions (e.g., how it depends on sediment size 879 distribution). Outside of channels, the impact of vegetation on breaking rock on hillsides 880 remains an exciting frontier (Marshall et al., 2015; Roering et al., 2010). We lack a 881 detailed understanding of the processes by which vegetation fractures rock, and the 882 direction of potential feedbacks related to that process.

883

## 884 3.5 Prominent Methodological Challenges

885 Fracture influences on geomorphic processes are difficult to disentangle from 886 other obviously important characteristics, such as tensile strength (e.g., Bursztyn et al.,

2015). Like other systems with numerous variables driving a given process,

s88 confounding variables left unaccounted for in previous research hinder our ability to

progress. Dealing with confounding variables can be accomplished either by the use of

890 more advanced statistical tools (e.g., multivariate modeling, factor analysis,

891 classification) or by attempting to control confounding variables (e.g., finding

892 comparable field sites, or carefully designing experimental conditions).

893 However, it is essential that investigations be grounded in a similar conceptual model,

such that all potential driving variables can be tested or controlled for in attempting to examine the influences of fracture geometry on a given process. We suggest that these conceptual models be developed to integrate knowledge from all process domains and scales to encourage interdisciplinary use of previous work and make efficient progress moving forward. Integrating broader ideas, such as connectivity (e.g., Sklar et al., 2017), shows promise in enabling multiple researchers to make progress cognizant of the complications of the system under investigation.

901 Identifying and measuring the most relevant fracture sets or types of fractures for 902 a given process is a major challenge in relating field measurements to erosivity and 903 erosion rates. Sedimentary bedding or metamorphic foliation, under varying 904 circumstances, can either exert only a small effect on cohesive strength anisotropy, or 905 can act as the dominant failure plane allowing fracturing and block removal (Saroglou 906 and Tsiambaos, 2008). This causes confusion when measuring fracture density, 907 especially in foliated or sedimentary rocks. If field measurement of fracture density is to 908 be used in a predictive manner, such as for the evaluation of spillway erosion or 909 channel evolution in response to flooding, it is imperative that the most influential 910 fracture sets are identified and measured, as there may be some cases when 911 measuring every discontinuity or ignoring small discontinuities like foliation may 912 improperly represent the actual rock strength. For instance, a plucking dominated

channel may primarily exploit only widely spaced and continuous fractures, while closely
spaced, discontinuous macroabrasion fractures may be widespread across a channel.
Measuring every macroabrasion-induced fracture may yield a much higher estimate of
the spacing of pluckable fractures than is appropriate if considering plucking erosion
rates. In addition, some fractures may not be obvious to the naked eye while still
exerting a strong control on morphologic evolution (e.g., Ortega-Becerril et al., 2016),
causing obvious challenges during field measurement.

920

921 4. Conclusions

922 The configuration and rate of change of landscapes fundamentally depend on the 923 weathering and erosion of bedrock. An extensive literature indicates that physical 924 discontinuities in the form of fractures within the rock strongly influence bedrock 925 weathering and erosion. Multiple processes can initiate fractures and many of these 926 processes involve positive feedbacks with fracture propagation. Regardless of the 927 spatial and temporal scales considered, fractures clearly influence erosion rate and 928 style; the shape, location, and orientation of landforms; and feedbacks between erosion, 929 fracture propagation, and the spatial distribution of rock erodibility. Across hillslope, 930 glacial, coastal, and fluvial domains, the spacing of fractures correlates strongly with 931 erodibility. Similarly, the combined spacing and orientation of certain fractures sets 932 threshold stresses for the removal of blocks. In doing so, fracture geometry can set the 933 erodibility and eventual form of the landscape, from steep hillsides to glacially scoured 934 valleys. Insights gained from the glacial, hillslope, and fluvial domains are similar in

terms of the nature of the relationships between fracture geometry and erosion, implyingthat knowledge can be applied across scales and process domains.

937 Important gaps in understanding include: determining how fracture geometry 938 influences the conditions under which specific erosional processes dominate; identifying 939 the spatial scale at which fractures should be measured to best characterize erosion 940 rates of specific processes; characterizing feedbacks between erosive processes and 941 fracture propagation; developing methods to effectively incorporate confounding 942 variables such as climatic variability and the strength of intact rock when examining 943 fracture influences on geomorphic processes; and developing a widely applicable 944 protocol for measuring relevant fracture geometry. This synthesis provides a conceptual 945 framework for further investigation of fracture influences on geomorphic process across 946 landscapes by working to identify relationships across domains and scales.

947

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1341 Figures



1343 Figure 1: Summary of fracture effects on erosion rate and style, reviewed in section 2.1, 1344 organized by spatial and temporal scale. Line drawings depict the effects in a simplified 1345 manner, photographs illustrate examples, and we provide relevant informative 1346 references for each topic. Fractures are represented by dashed lines, while solid lines represent surfaces. For illustrations of Figure 1c and 1h, please see Figure 6. 1347 1348 References: [1] Molnar et al., 2007; [2] Kirby and Whipple, 2012; [3] Krabbendam and 1349 Glasser, 2011; [4] Crompton et al., 2018; [5] Naylor and Stephenson, 2010; [6] Sklar et 1350 al., 2017; [7] DiBiase et al., 2018; [8] Selby, 1980; [9] Moore et al., 2009; [10] Wohl, 1351 2008; [11] Johnson and Finnegan, 2015; [12] Whipple et al., 2000a.



- 1354 Figure 2: Example of upstream-facing steps in a limestone bedrock river,
- 1355 Marienbergbach, Austria. Flow is from bottom right to top left. Red lines delineate major
- 1356 downstream-dipping joints (formed by bedding planes) that bound the downstream
- 1357 faces of steps. These bedding plane joints, along with other fractures, create upstream-
- 1358 facing steps. Plucking may occur by the flipping or vertical pivoting of tabular blocks
- 1359 from the bed that can rotate around the lips of such upstream-facing steps as per
- 1360 Wende (1999).



1363 Figure 3: Summary of fracture controls on the shape, orientation, and location of 1364 landforms, reviewed in section 2.2, organized by spatial and temporal scale. Line 1365 drawings depict the effects in a simplified manner, photographs illustrate examples, and 1366 we provide relevant informative references for each topic. Dashed lines represent fractures, while solid lines represent surfaces. Arrows indicate flow direction. 1367 1368 References: [1] Pelletier et al., 2009; [2] Tooth and McCarthy, 2004; [3] Becker et al., 1369 2014; [4] Glasser and Ghiglione, 2009; [5] Rastas and Seppala, 1981; [6] Anderson, 1370 2014; [7] Loye et al., 2012; [8] Butler and Walsh, 1990; [9] Aich and Gross, 2008; [10] Velazquez et al., 2016; [11] Ortega-Becerril et al., 2016; [12] Bryan, 1914; [13] Lamb 1371 1372 and Dietrich, 2009.



Figure 4: An example of a knickpoint oriented oblique to flow bound by sub-vertical joints on the Aso River, Spain (approximate location: 42.563125, 0.039353). Note the generally cuboid blocks and the voids left by plucking in the right foreground. Shading in the foreground highlights the planar surfaces bound by joints that set the form of the knickpoint. Red shading indicates subvertical joint-bound surfaces perpendicular to flow, and blue shading indicates subhorizontal joint-bound surfaces.



1383 Figure 5: Summary of feedbacks between erosion and fracture formation and 1384 propagation, reviewed in section 2.3, organized by spatial and temporal scale. Line 1385 drawings depict the effects in a simplified manner, photographs illustrate examples, and 1386 we provide relevant informative references for each topic. Dashed lines represent 1387 fractures, while solid lines represent surfaces. Arrows indicate flow direction. 1388 References: [1] Molnar, 2004; [2] Matthes, 1930; [3] Collins and Stock, 2016; [4] 1389 Andersen et al., 2015; [5] Iverson, 1991; [6] Orlando et al., 2016; [7] Strahler, 1952; [8] 1390 Aich and Gross, 2008; [9] Hancock et al., 1998; [10] Whipple, 2004 1391



Fracture Density and Susceptibility to Plucking

1393 Figure 6: Conceptual, hypothesized diagram of the factors influencing the dominance of 1394 plucking versus abrasion in a fluvial or glacial system. This diagram assumes that 1395 abrasion can be dominant over the time scale of interest. The ordinate describes the 1396 erosivity of the process shaping the landscape (quantifiable by, for example, 1397 dimensionless shear stress). The abscissa describes both fracture density (sparse 1398 fractures being widely spaced and dense fractures being closely spaced) and the 1399 susceptibility of fractures to plucking due to their orientation relative to flow. Resistant 1400 might describe tetrahedral blocks with faces oriented mainly parallel to flow that 1401 experience low drag, while susceptible may describe cuboid blocks on a knickpoint lip, prone to sliding or toppling. Although fracture density and susceptibility (orientation) are 1402 1403 represented on the same axis here for simplicity, we do not mean to imply that the two 1404 are correlated. Plucking dominates whenever erosivity is high enough to erode blocks of 1405 a given size (represented by fracture density) and orientation (represented by 1406 susceptibility). Pictures show field examples that we hypothesize to fit in various parts of 1407 the diagram. Pictures show: a) a glacially plucked and abraded valley bottom with low 1408 fracture density that was still dominated by plucking below Dog Tooth Peak, Wind River 1409 Range, WY; b) a densely jointed and dominantly glacially plucked surface with a small 1410 modern glacier on the east flank of Mt. Hinman, WA; c) an undulating, sculpted reach 1411 with no evident fractures in No Kidding Canyon (a tributary of North Wash), UT; d) a 1412 densely jointed and dominantly plucked reach of Outlaw Canyon (a tributary of the 1413 Yampa River in Dinosaur National Monument), CO.

1415 Tables

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- 1417 Table 1: A list of prominent questions that present future opportunities for developing
- 1418 our understanding of fracture impacts on geomorphic processes, organized by general

1419 topic.

<b>Topic</b> Process Dominance (section 3.1)	Questions
()	<ul> <li>Under what conditions does plucking dominate over abrasion in glacial and fluvial erosion?</li> </ul>
	<ul> <li>Can we infer process dominance from channel form (i.e., sculpted versus blocky forms)?</li> </ul>
	<ul> <li>Which fractures (of what orientation relative to flow or gravity) matter most in determining the erodibility of a pluckable block?</li> </ul>
	<ul> <li>In the case of downstream-dipping beds, when does sliding entrainment dominate over vertical entrainment and pivoting about an upstream-facing step?</li> </ul>
	<ul> <li>What is the mechanism by which fractures influence channel planform, and do fractures influence planform in both abrasion and plucking dominated channels?</li> </ul>
Scale	
(section 3.2)	For a given process, at what scales is fracture geometry relevant, and
	at what scale should it be measured?
·	<ul> <li>Under what conditions do surficially generated fractures versus pre- existing, deep fractures dominate in influencing erosion rates and styles?</li> </ul>
·	<ul> <li>At what spatial scales and magnitudes of erosive stress do fractures dominate over flow dynamics in determining the shape and orientation of landforms and bedforms?</li> </ul>
Erosion Rate	
	<ul> <li>Can erodibility be described by fracture geometry alone, or are variables that are more difficult to measure necessary (e.g., fracture continuity, aperture, roughness)?</li> </ul>
	<ul> <li>What is the nature of the relationship between fracture characteristics and erodibility across process domains?</li> </ul>

- How does fracture geometry influence the mechanism by which blocks are plucked by a flow (i.e., under what geometries do various mechanisms dominate), and does plucking mechanism regulate erosion rate?
- How do fractures affect erosion rates due to abrasion in rivers and glaciers?
- How is knickpoint migration affected by fracture geometry?
- How can we translate work on idealized, cuboid fracture systems to natural systems with varying fracture geometry?

## Feedbacks

(section 3.4)

- Under what conditions do topographically induced stress fractures act as a positive versus negative feedback on incision?
- Does hydraulic clast wedging play a role in fracture propagation, how widespread is this process, and how does it function?
- Does vegetation become more effective at propagating fractures when fractures grow larger (i.e., when roots within fractures grow), which may imply a positive feedback?