

An ecologically based approach to terrestrial primary production

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1 Abstract

2 Terrestrial gross primary production (GPP) is both the largest and most uncertain flux within the
3 global carbon cycle. Much of this uncertainty results from the fact that GPP is onerous to measure
4 and is only reliably monitored at roughly 100 canopy-scale sites scattered across the globe. Sparsity
5 of consistent GPP observations at the site-level translates into significant uncertainties in our
6 understanding of the magnitude and spatial distribution of GPP at the global scale. We present a
7 new, ecologically based approach for estimating GPP that takes advantage of the tendency for plants
8 to capture only the amount of sunlight they are capable of efficiently using. Our approach uses a
9 new remote sensing product that is sensitive to both the amount of light captured by plants and the
10 efficiency with which plants use light for photosynthesis. The product is highly accurate in
11 reproducing site-based GPP estimates, yet allows for simple calculation using data available globally
12 for more than three decades. By precisely measuring the investment plants dedicate toward capturing
13 and using light, we estimate global annual terrestrial photosynthesis to be 147 Pg C y⁻¹ (95%
14 credible interval 131-163 Pg C y⁻¹), which is intermediate between prevailing bottom-up machine
15 learning and process-based GPP estimates and the top-down global constraint on GPP from oxygen
16 isotopes. Furthermore, our approach enables the propagation and exploration of multiple sources of

17 uncertainty in our estimation of GPP, allowing for biological, statistical, and retrieval errors to be
18 examined separately and further improvement in our understanding of global photosynthesis.

19 **Introduction**

20 Terrestrial photosynthesis (or gross primary production (GPP)) is responsible for fixing anywhere
21 from 119 to 169 Pg C y^{-1} , making GPP both the largest and most uncertain component of the global
22 carbon cycle [1]. Carbon fixed by photosynthesis in turn provides the basis for practically all life on
23 land, providing a strong motivation for improving global estimates of GPP. It is especially important
24 to understand how GPP might respond to global environmental change, as minor perturbations in
25 terrestrial productivity have implications for global biodiversity, agriculture, and climate change [2,
26 3].

27 Quantifying terrestrial GPP is a complicated task, requiring precise measurements of the
28 exchange of both energy and CO_2 between the land surface and the atmosphere. In these efforts,
29 eddy covariance measurements of land surface CO_2 exchange have proved invaluable for estimating
30 canopy and ecosystem scale photosynthesis and model validation [4, 5]. Despite their utility, eddy
31 covariance measurements are limited in both time and space; individual flux sites measure CO_2
32 fluxes over approximately 1 km² and, in any given year, fewer than 100 sites operate globally,
33 representing less than one millionth of total land area [6]. Such limitations especially hinder the
34 validation of terrestrial ecosystem models, which operate globally at resolutions much greater than a
35 single kilometer and need to integrate over processes with time constants from a fraction of a second
36 to many years.

37 As a result, a host of semi-empirical upscaling approaches have emerged for translating site-level
38 CO_2 fluxes to globally gridded photosynthesis estimates suitable for model benchmarking and
39 development. Though many upscaling schemes exist, two approaches are by far the most widely
40 applied: machine learning [7, 8] and remote sensing [9]. Both approaches leverage *in situ* fluxes to
41 construct models relating site-level abiotic characteristics, plant traits, and meteorology to estimate
42 photosynthesis beyond tower footprints. Upscaling allows for both the investigation of the drivers of
43 global photosynthesis [10, 11] and for more extensive benchmarking of photosynthesis models by
44 expanding the temporal and spatial availability of photosynthesis estimates [12, 13].

45 Yet any upscaling introduces uncertainties into GPP estimates, stemming both from model
46 formulation and model inputs. Machine learning approaches, for example, provide the best possible

47 constraint on GPP based on available data, but they functionally operate as black boxes. As a
48 result, they make it difficult to diagnose the causes and consequences of uncertainty. Upscaling
49 approaches are also limited by the availability of and the uncertainties contained within input
50 datasets (e.g. meteorological data). Combined, these challenges limit the utility of upscaling for
51 improving our process-based understanding of photosynthesis and determining the true value of
52 global GPP. Presently, there exists a large and persistent disconnect between upscaled estimates of
53 global GPP and higher estimates derived from top-down isotopic constraints [14].

54 Here, we report a novel approach for estimating global GPP that avoids many of the limitations
55 posed by upscaling. The approach uses the near-infrared reflectance of vegetation (NIR_V), a
56 reflectance-based index that is highly correlated with measured site-level GPP [15]. This correlation
57 is a consequence of NIR_V integrating information on both canopy light capture and time-averaged
58 light-use efficiency, which does not have a unique spectral signal, but is instead expressed through
59 canopy structure. Plants endeavor to capture only the light they are capable of using; any strategy
60 capturing more or less light would be inefficient and subject to the pressures of natural selection [16].
61 This optimality criterion, termed the resource balance or co-ordination hypothesis, means any
62 measure of investment in light capture can serve as the basis for estimating GPP [17, 18]. Investment
63 in light capture provides an index of canopy potential photosynthetic capacity, which should in turn
64 closely match total resource availability. This approach has a long history in estimating net primary
65 production (NPP) or biomass production, beginning with Monteith, who showed that a number of
66 agricultural crops all converted sunlight into dry matter at a rate of approximately 1.4 g MJ^{-1} [19].
67 The light-use efficiency approach was subsequently extended to use satellite-based measures of light
68 capture and applied to the global scale [20, 18]. But limitations in the available satellite indices
69 meant that accurate GPP estimates required additional information on temperature and moisture
70 levels. Because NIR_V integrates both light capture and light-use efficiency, it provides a uniquely
71 useful index of investment in light capture and should be sufficient for estimating GPP without
72 additional information on meteorological conditions. This avoids limitations in data availability and
73 makes our approach capable of estimating GPP at high spatial resolution.

74 We present our results in three parts. First, we validate the NIR_V -GPP relationship at the site
75 and global scale. Second, we extend the relationship to consider global GPP. Third, we evaluate
76 some limitations in the global dataset of NIR_V and in the consistency of the NIR_V -GPP relationship.

Results

Using Bayesian hierarchical modeling, we found that NIR_V , combined with information on ecosystem type (deciduous, evergreen, and crop) explained 68% of the variation in annual GPP at 105 CO_2 monitoring sites (526 site-years that passed quality-control and data completeness requirements) and had an RMSE of $0.36 \text{ kg C m}^{-2} \text{ y}^{-1}$ (Fig. 1, see Methods). The approach required no additional information on meteorological conditions, such as site temperature or incoming radiation, indicating that NIR_V captures the effects of meteorology on GPP and supporting our interpretation of NIR_V as an integrator of whole-plant resource optimization (Fig. S1). Fewer inputs not only reduces uncertainty from input datasets, but also allows the NIR_V approach to be applied across a wide range of spatial and temporal scales. By contrast, existing remote sensing and machine learning based approaches for estimating GPP often require tens to hundreds of inputs. The NIR_V approach performed similarly well at the monthly time scale (Fig. 1, inset), explaining 56% of the observed variation in monthly GPP with an RMSE of $0.08 \text{ kg C m}^{-2} \text{ mo}^{-1}$. The RMSE of NIR_V -based estimates of annual GPP was 42% lower than the RMSE of GPP fluxes calculated from BESS, a physiologically based land surface model, and was 57% higher than GPP estimates from FLUXCOM, a meteorological-based, statistical upscaling of FLUXNET GPP fluxes (Table S1).

For annual GPP, the most parsimonious model included just three ecosystem types, with a single intercept and separate NIR_V -GPP slopes for sites with i) evergreen, ii) deciduous, and iii) crop ecosystem types, as well as increasing variance in both residual error and site-level random intercepts as a function of NIR_V (Fig. S2). Further dividing ecosystem types resulted in minor model improvements, but an almost identical Deviance Information Criteria with more parameters, causing us to adopt the simpler three ecosystem type model (see Methods).

Applying this site-level scaling to globally resolved measurements of NIR_V , we estimated the median value of global annual GPP to be 147 Pg C y^{-1} , with a 95% credible interval of $131\text{-}163 \text{ Pg C y}^{-1}$. Our median GPP estimate is intermediate between estimates from spatial models and constraints from O_2 isotopes. FLUXCOM places annual GPP at 118 Pg C y^{-1} , while BESS puts mean global GPP at 122 Pg C y^{-1} . A meta-analysis of model-based annual GPP estimates ranged from 119 to 169 Pg C y^{-1} [1]. By contrast, O_2 isotopic measurements are consistent with global annual GPP in the range of 150 to 175 Pg C y^{-1} [14].

The spatial distribution of NIR_V -derived GPP was consistent with existing global GPP estimates, further validating our approach (Fig. 2). As expected, GPP was concentrated in the tropics and

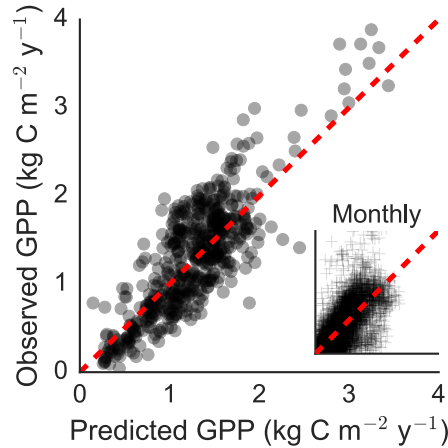


Figure 1. NIR_V explains a large portion of site-level GPP at both the monthly and annual timescale. Note the relatively large variation in monthly GPP estimates for low values of observed GPP, as compared to the near-zero intercept in the case of annual fluxes.

108 declined toward the poles. On a per biome basis, tropical forests contributed the most to global
 109 GPP, accounting for 31% of global GPP; FLUXCOM and BESS attribute 34% and 33% of GPP to
 110 tropical forests, respectively. Though lower in relative terms, NIR_V-derived GPP in tropical forests
 111 was 15% higher than both FLUXCOM and BESS GPP estimates in absolute terms. Instead, NIR_V
 112 assigned higher productivity to the midlatitudes, especially midlatitude mixed forests, grasslands,
 113 and shrub-dominated ecosystems (Fig. 2B; Table S2). One recent study that combined solar-induced
 114 chlorophyll fluorescence with a terrestrial ecosystem model found similar relative increases in
 115 extratropical GPP [21].

116 When compared on a per pixel basis, NIR_V was strongly linear with both FLUXCOM and BESS
 117 at the annual time scale, with R² exceeding 0.90 for both products and per pixel RMSE below 0.4 kg
 118 C m⁻² y⁻¹, further emphasizing the robustness of NIR_V-derived GPP estimates (Fig. 3). This
 119 consistency is striking, given that our approach employed only two variables (NIR_V and ecosystem
 120 type), while both FLUXCOM and BESS require numerous environmental inputs. The comparison
 121 also emphasizes that NIR_V-derived GPP estimates are consistently higher than existing approaches,
 122 exceeding FLUXCOM GPP by a median value of 0.24 kg C m⁻² y⁻¹ and BESS GPP by 0.21 kg C
 123 m⁻² y⁻¹. There are several possible reasons for this difference. On one hand, NIR_V might represent a
 124 theoretical upper bound of photosynthesis, prior to consideration of physiological effects (e.g., water
 125 or nutrient limitation), causing NIR_V-based GPP estimates to outpace physiologically based
 126 approaches. Alternatively, both BESS and FLUXCOM might systematically underestimate true
 127 GPP. Investigating the source of this discrepancy through more detailed comparisons of NIR_V

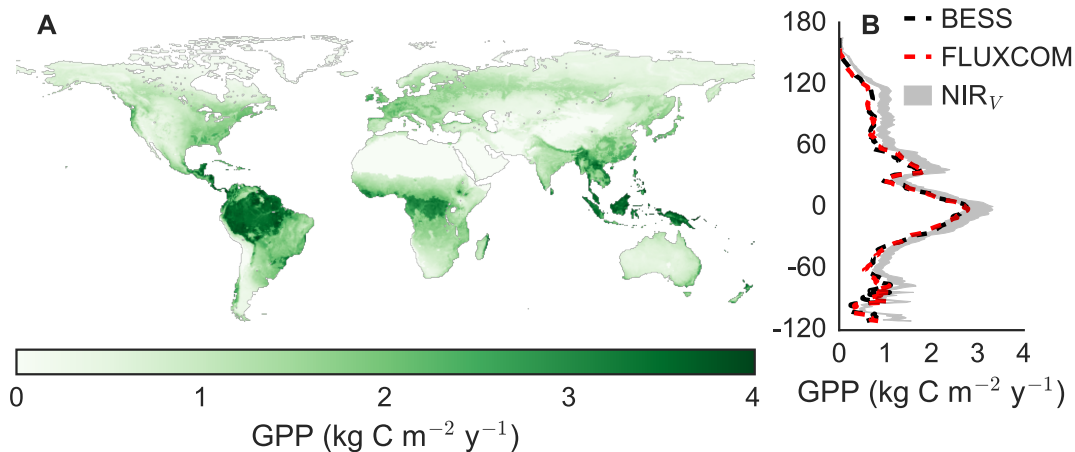


Figure 2. The A) global and B) latitudinal distribution of NIR_v-derived GPP. Estimates represent the median of 1000 nearly independent upscalings of NIR_v, while the full 95% credible range of GPP is shaded in grey for latitudinal estimates. The latitudinal distribution of annual GPP from FLUXCOM and BESS are shown for comparison.

128 against eddy covariance data and site-level modelling represents an important next step in using
 129 NIR_v to study photosynthesis at the global scale.

130 Model parsimony, combined with Bayesian estimation, allowed us to propagate three sources of
 131 uncertainty on a per pixel basis: statistical, variation in per ecosystem type scaling; site, deviation of
 132 a site intercept from the global per ecosystem type relationship; and residual, or otherwise
 133 unexplained errors. Median per pixel uncertainty was 0.20 kg C m⁻² y⁻¹ and total uncertainty,
 134 comprising all three sources of error, peaked in the tropics where total annual NIR_v was highest. In
 135 the worst case, the 95% credible interval of GPP exceeded 0.75 kg C m⁻² y⁻¹ in the Amazon basin
 136 and Indonesia (Fig. 4A). Given that tropical forests constitute the highest proportion of GPP
 137 (exceeding 30%), high uncertainty throughout the tropics significantly contributes to the overall
 138 uncertainty of global GPP estimates, regardless of approach.

139 Informative patterns emerge from examining the relative importance of statistical, site, and
 140 residual uncertainty on a per pixel basis; two examples of pixel-level uncertainties are shown in Fig.
 141 4B. Outside of pixels with especially low NIR_v, statistical uncertainty was always lowest, indicating
 142 minimal uncertainty in per ecosystem type scaling. On average, site uncertainty was always largest,
 143 meaning there was more uncertainty in the NIR_v-GPP relationship from site to site than existed
 144 year to year (encompassed by residual uncertainty) at a single site. This indicates that either NIR_v
 145 or GPP estimates are not comparable across sites, which must be addressed by improving the
 146 accuracy of both measurements. The predominance of site-level uncertainty is a direct result of

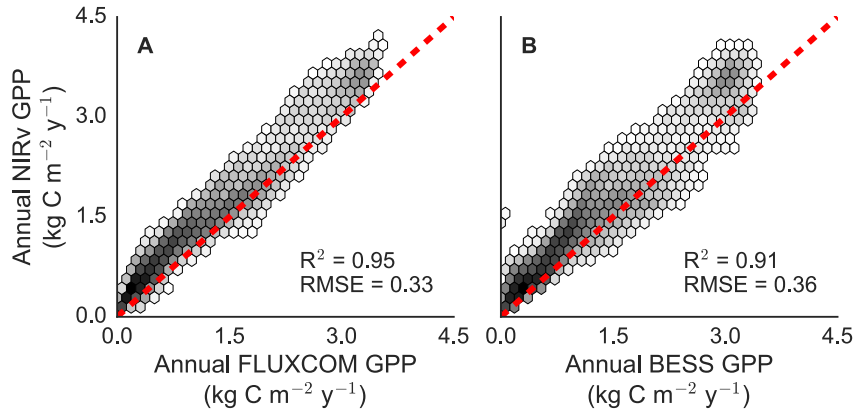


Figure 3. Upscaled NIR_V -based estimates of annual GPP are linear with both A) FLUXCOM and B) BESS GPP estimates. NIR_V -based estimates tend to be slightly higher than both FLUXCOM and BESS, though NIR_V has low a RMSE relative to both products. NIR_V -based GPP estimate shown as the median case of 1000 nearly independent upscalings, see Methods.

147 considerable variation in site-level intercepts (Fig. S1). Site-to-site variability is randomly
 148 distributed, showing no relationship with site climate, thus highlighting retrieval errors (e.g., soil
 149 reflectance, clouds) as the likely cause of site-level uncertainty.

150 Discussion

151 NIR_V takes advantage of a globally consistent relationship between canopy structure and
 152 photosynthetic potential to provide an ecologically grounded approach for estimating GPP that
 153 combines a very simple formulation with excellent performance at validation sites (Figs. 1 and 3).
 154 As a result, NIR_V provides a novel means for upscaling GPP flux measurements that is largely
 155 independent of existing and widely used semi-empirical and process-based approaches. Finally, the
 156 NIR_V GPP approach achieves strong statistical performance while maintaining parsimony, allowing
 157 for i) an evolutionary and ecologically mechanistic interpretation of upscaling results, ii)
 158 straightforward analysis of uncertainty and how uncertainty is partitioned between model structure
 159 and inputs (Fig. 4), and iii) simple calculation.

160 Parsimony allows for a mechanistic interpretation of the NIR_V -GPP relationship, in terms of how
 161 NIR_V and GPP jointly relate to canopy architecture and light capture. From a physical standpoint,
 162 NIR_V relates to variations in canopy leaf area and leaf display, serving as a useful index of the
 163 investment plants dedicate toward processing the light they capture [15]. Consistent with the
 164 resource balance hypothesis, plants tend to capture only as much light as they are capable of using

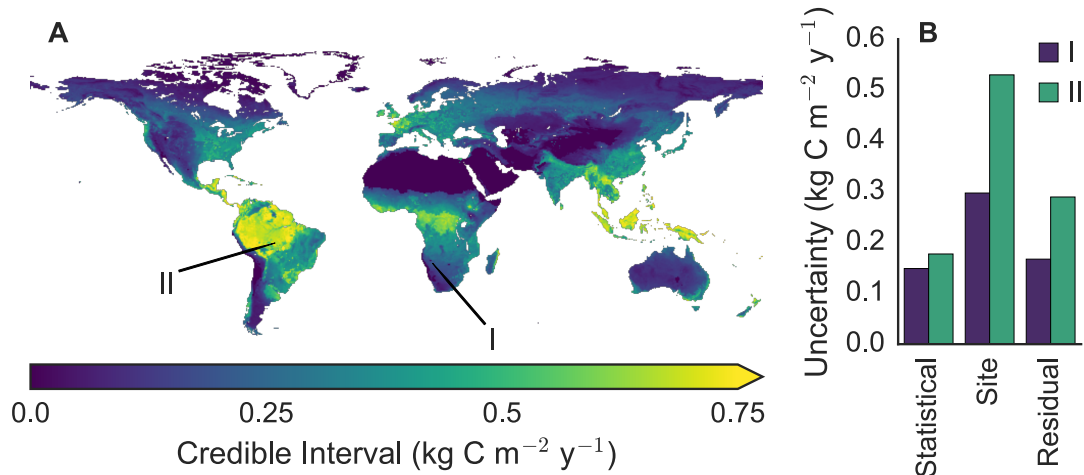


Figure 4. Bayesian hierarchical modeling allows for per pixel error estimation. A) Uncertainty in GPP peaks in the tropics (especially the Amazon and Indonesia), where the credible range of GPP exceed $0.75 \text{ kg C m}^{-2} \text{ y}^{-1}$. B) Uncertainty can be evaluated on a per pixel basis, where site-level uncertainty is typically largest.

165 [17], helping explain the strength of the NIR_V -GPP relationship that otherwise has no strong
 166 physiological basis (Fig. 1). On an instantaneous basis, environmental factors like water, light, and
 167 temperature combine with leaf-level biochemical capacity to dictate the rate of photosynthesis;
 168 insights that are enshrined in leaf-level photosynthesis models [22]. The predictive ability of NIR_V ,
 169 without the need for additional inputs like total incoming radiation, does not imply that
 170 environmental factors are irrelevant to photosynthesis, but rather that canopy architecture
 171 represents an emergent property that encapsulates the mechanistic controls of photosynthesis.

172 This mechanistic interpretation of the NIR_V -GPP relationship has implications for terrestrial
 173 photosynthesis models. We postulate that neglecting changes in canopy architecture within models
 174 can cause decoupling of light capture and canopy physiology. Models typically hold canopy
 175 architectural parameters (e.g., the ratio of sun and shade leaves) constant and instead vary leaf
 176 physiological parameters, like the maximum rate of carboxylation ($V_{C_{\max}}$). During periods of peak
 177 growth, for example, a model might underestimate light capture and compensate by arbitrarily
 178 adjusting $V_{C_{\max}}$ to match GPP observations. This can result in $V_{C_{\max}}$ becoming a
 179 model-dependent parameter, as opposed to a biologically interpretable measurement [12]. Future
 180 studies should consider combining measurements of NIR_V and $V_{C_{\max}}$ to address this problem.
 181 These data would allow for independently fixing model $V_{C_{\max}}$ using empirical data, while
 182 simultaneously varying canopy architecture as a function of observed NIR_V . Such an experiment
 183 would capitalize on the empirical NIR_V -GPP relationship to improve how process-based models

184 represent both light capture and leaf physiology.

185 Another strength of the NIR_V approach is that it allows statistically valid error propagation (Fig.
186 4). More complicated approaches to estimating GPP make it difficult to accurately partition sources
187 of error, especially model structural errors and errors due to input uncertainties. Minimizing
188 upscaling complexity largely eliminates this problem. In particular, we were surprised by the
189 predominance of site-level error; the NIR_V -GPP relationship always varied more from site to site
190 than within a single site (Fig. 4B). This indicates that either the biology controlling the NIR_V -GPP
191 relationship itself varies from site to site or that NIR_V and GPP measurements lack consistency
192 across space. More simply, if the NIR_V -GPP relationship holds in general, deviations from this
193 relationship should have either a biological or a methodological interpretation. The simplicity of our
194 approach allows for the investigation of both possibilities.

195 As an example of measurement challenges, there is a stark disagreement in the NIR_V -GPP
196 relationship at an eddy covariance site in French Guyana, GF-Guy. GPP fluxes at GF-Guy varied
197 less than 20% month to month, while NIR_V varied by a factor of three (Fig. 5A). Assuming accurate
198 GPP estimates, the divergence suggests errors in NIR_V observations at the site. We suspected cloud
199 contamination, as remote sensing in the tropics is notoriously plagued by clouds degrading the
200 accuracy of satellite measurements. To investigate this, we used the newly available MAIAC data
201 product, which uses atmospheric modelling to remove aerosols, sub-pixel clouds, and other artifacts
202 from MODIS satellite imagery [23]. The variability of NIR_V dramatically reduced with the MAIAC
203 data (Fig. 5A). In fact, MAIAC-derived NIR_V had a smaller dynamic range than observed GPP,
204 strongly indicating cloud contamination of the baseline MODIS dataset both at GF-Guy and, in all
205 likelihood, throughout the tropics. Such contamination likely reduces our median global GPP
206 estimate, making 147 Pg C y^{-1} a conservative estimate of global GPP. We expect that using
207 MAIAC-derived NIR_V as the basis for estimating GPP would reduce site-level uncertainty and
208 improve the accuracy of global GPP estimates. Unfortunately, such efforts will have to wait for a
209 globally consistent MAIAC reprocessing of the full MODIS record.

210 Fundamental differences in plant physiology that govern the NIR_V and GPP relationship can also
211 explain the predominance of site uncertainty. In this case, the simplicity of our approach leaves out
212 potentially important biological determinants of productivity. Take for example the difference in C3
213 and C4 photosynthesis. C4 plants fix CO_2 more efficiently than C3 plants, which should cause a
214 steeper slope in the NIR_V -GPP relationship, all else equal. When we examined a trio of Nebraskan
215 eddy covariance towers that annually rotate between soy (C3) and corn (C4) crops, we found

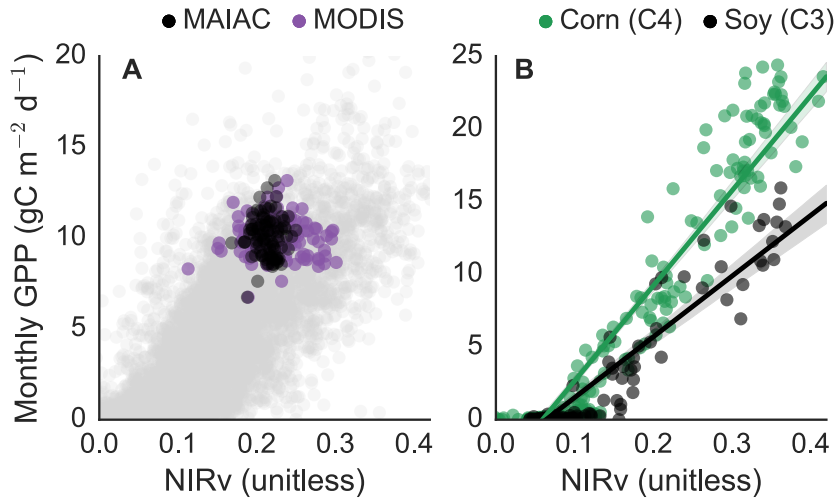


Figure 5. Parsimony allows for the investigation of sources of model uncertainty. A) Cloud contamination drives large monthly variations in MODIS collection 6 NIR_V that are not matched by variations in NIR_V . All monthly data from the FLUXNET2015 dataset shown in grey. B) Photosynthetic pathway predictably alters the NIR_V -GPP relationship, as C4 plants have greater efficiency.

216 significant differences in the NIR_V -GPP slope with crop type (Fig. 5B). As with cloud
 217 contamination, including information on the distribution of C3 and C4 vegetation across both wild
 218 and managed ecosystems would likely increase our global estimate of GPP, as C3 sites comprise the
 219 majority of data within the dataset used for calibration. This result further emphasizes the
 220 conservative nature of our 147 Pg C y^{-1} estimate of GPP. Apart from indicating that NIR_V -based
 221 GPP estimates could be further improved by incorporating a photosynthetic pathway parameter,
 222 this result also demonstrates how our ecologically grounded approach can be used to study plant
 223 physiology at the global scale.

224 The third advantage of the NIR_V approach is that NIR_V can be calculated from existing
 225 high-resolution and widely available satellite imagery. This makes NIR_V immediately available for
 226 benchmarking models at spatial and temporal scales relevant to land surface models, whether the
 227 model runs at 30 meters for a specific study site or spans the globe (Figs. 1 and 3). Our approach
 228 for estimating GPP from NIR_V could also be calculated for the full Landsat and MODIS records, as
 229 well as the 39 year record of the Advanced Very High Resolution Radiometer (AVHRR) series of
 230 sensors [24]. Long-term records that cover a range of climatic conditions are vital for benchmarking
 231 physiological models we hope to use in forecasting future ecological change. Finally, the ease of
 232 measuring NIR_V allows researchers to make inexpensive, canopy-scale spectral measurements that
 233 are directly comparable against satellite data, facilitating efforts to bridge spatial scales.

234 To conclude, we have developed a new, largely independent approach for estimating GPP that
235 closely corresponds to existing best-in-class GPP estimates. Our robust handling of uncertainty
236 demonstrates that current estimates of global GPP are likely too low and that the annual
237 productivity of terrestrial ecosystems likely exceeds 147 Pg C y^{-1} , which more closely agrees with
238 top-down, isotopically constrained estimates of GPP [14]. Further refinement of our NIR_V -based
239 approach, through reducing input uncertainty and inclusion of additional physiological processes,
240 will serve as a powerful new tool for validating terrestrial ecosystem models and improving our
241 mechanistic understanding of the terrestrial carbon cycle.

242 **Materials and Methods**

243 **Data**

244 We compared NIR_V against monthly and annual GPP fluxes at 105 flux sites contained in the
245 FLUXNET2015 Tier 1 dataset. For each site, we downloaded 500 meter, daily red (620-670nm) and
246 near-infrared (NIR, 841-876nm) nadir-adjusted reflectances from MODIS collection MCD43A4.006
247 hosted on Google Earth Engine [25]. We calculated median NDVI and NIR for all scenes overlapping
248 a 1km^2 circle around each fluxsite. Gaps were filled using linear interpolation. Finally, we multiplied
249 median NDVI by NIR to calculate NIR_V and took the average of all daily NIR_V values for each
250 month. We then combined monthly NIR_V estimates with monthly observations of GPP from the
251 FLUXNET2015 dataset (variable name: GPP_VUT_MEAN). We required all site-months to have
252 over 75% valid GPP observations and required site-years to have a minimum of 9 months of data.
253 We gridded the MCD43A4.006 dataset to 0.5° to serve as the basis of our global upscaling.

254 In addition to the site-level comparisons, we evaluated NIR_V -based GPP estimates against two
255 existing models of GPP: FLUXCOM, a machine learning approach for upscaling FLUXNET
256 observations [8], and GPP estimates derived from the physiologically based land surface model, the
257 Breathing Earth System Simulator (BESS), which has been extensively benchmarked against eddy
258 covariance measurements of GPP [26, 27]. We used the mean ensemble of annual GPP_{HB} fluxes
259 from the FLUXCOM CRUNCEPv6 product, accessed via the FLUXCOM website. For BESS, we
260 used GPP estimates from BESS V1, obtained from the BESS website. Site-level RMSE values for
261 FLUXCOM and BESS were derived from data provided by the authors [8, 27].

262 Calibration

263 We used Bayesian estimation to relate NIR_V and ecosystem type to GPP at both monthly and
264 annual timescales. Bayesian estimation allows the propagation of uncertainty through hierarchical
265 modeling, which allowed us to fit slope and intercept terms, as well as hierarchical variance terms
266 capturing site-level random effects (random deviations from the global slope and intercept per site)
267 and error variance [28]. We specified GPP as a linear function of NIR_V , with the best model
268 (according to the Deviance Information Criteria; [28]) consisting of a single, near-zero intercept and
269 differing slopes for evergreen, deciduous, and crop ecosystem types. The model included two
270 additional terms: a random site-level intercept term and an error term that were both normally
271 distributed with mean of 0 and variance exponentially related to NIR_V . See Supplementary Text 1
272 and Table S3 for a full description of the model structure, as well as alternative model structures
273 tested. We used Markov chain Monte Carlo simulations (MCMC) implemented in JAGS [29] to
274 sample the joint posterior distribution of fitted models, with initial diffuse priors for all parameters.
275 We ran three parallel MCMC chains, evaluated chains for convergence, and thinned chains to remove
276 within-chain autocorrelation, producing 1000 nearly independent draws from the posterior. We
277 calculated site-level, median estimates of GPP and 95% credible intervals for model parameters
278 based on the joint posterior distribution of the best model. We have posted the GPP calibration
279 code to www.github.com/badgley/nirv-global.

280 Upscaling

281 We produced global annual estimates of GPP with the best annual NIR_V model, using all 1000
282 draws from the joint model posterior to calculate GPP for all land pixels from 2005 to 2015. For
283 each posterior draw, we calculated GPP of every pixel based on the per-biome scaling parameter
284 plus randomly sampled site-level and residual error based on the site and residual variance
285 parameter estimates for that draw. Using the site-level model for our global upscaling captures
286 correlations between parameter estimates (scaling slope and site-level variance estimates were often
287 correlated), resulting in GPP estimates that appropriately represent statistical, site, and residual
288 uncertainty from the full joint posterior distribution of the model. We present the median and 95%
289 credible intervals from the distribution of the upscaled GPP estimates. We excluded pixels with a
290 landcover classification of “barren”.

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1 **Supplementary Information for**

2 **NIRv-GPP Supplement**

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6 **This PDF file includes:**

7 Supplementary text

8 Figs. S1 to S2

9 Tables S1 to S4

10 References for SI reference citations

11 **Supporting Information Text**

12 **Supplementary Text 1: Bayesian Modeling**

13 We used Bayesian estimation to fit linear mixed effects models relating GPP to NIR_V . For the sake of simplicity, we modeled
14 annual or monthly GPP as a linear function of NIR_V , and explored a variety of model structures allowing both slopes and
15 intercepts to differ by land cover class or leaf habit, with random site-level effects. Preliminary model selection suggested that
16 site-level random slope and intercept terms were not needed for the annual model, but were needed for monthly model. For the
17 annual model, we explored a variety of fixed effects structures, as well as a number of variance functions (for residual variation
18 and site-level intercepts). See Table S3 for list of annual models explored and their associated Deviance Information Criteria
19 scores (DIC). All error functions assumed normally distributed errors and similar functional forms for residual error and site
20 random intercepts, but with residual errors being a function of observed annual NIR_V and site random intercepts a function of
21 site mean annual NIR_V , treating true NIR_V as a latent variable) are easily implemented in this modeling framework, though
22 we present the simplest defensible case for the sake of illustration and intuitive upscaling. We produced global annual estimates
23 of GPP using the posterior distribution of the best annual NIR_V model (bolded in Table S3).

24 **Open Source Software**

25 **Python.** All analyses, with the exception of the Bayesian modeling, were performed using the Python programming language.
26 We processed netCDF files and tabular data using xarray (1), pandas (2), and numpy (3). We used matplotlib (4) and seaborn
27 (5) for visualization, and Jupyter notebooks for organizing analyses (6).

28 **R.** We ran all Bayesian modeling in the R programming environment (7), making use of the “r2jags” package (8) to interface
29 with JAGS, a Bayesian modeling software package (9).

GPP Product	RMSE (kg C m ⁻² y ⁻¹)
NIR _v	0.36
BESS	0.55
FLUXCOM	0.20

Table S1. Site-level RMSE of 106 FLUXNET2015 site for each of the three GPP products considered in this study.

	NIR _v		BESS		FLUXCOM	
	GPP (Pg C y ⁻¹)	Fraction (%)	GPP (Pg C y ⁻¹)	Fraction (%)	GPP (Pg C y ⁻¹)	Fraction (%)
Evergreen Broadleaf forest	46.74	31.70	40.18	33.66	40.48	34.21
Mixed forest	16.28	11.04	10.61	8.89	11.24	9.50
Woody savannas	15.00	10.17	15.21	12.74	14.12	11.94
Savannas	14.79	10.03	13.08	10.96	13.00	10.99
Croplands	13.82	9.38	10.42	8.73	10.48	8.86
Grasslands	12.11	8.21	9.25	7.75	7.84	6.63
Open shrublands	10.89	7.39	6.01	5.04	6.23	5.27
Cropland/Natural vegetation mosaic	9.74	6.61	8.98	7.52	8.64	7.30
Evergreen Needleleaf forest	4.12	2.80	2.69	2.26	2.87	2.42
Other	1.97	1.34	1.69	1.41	1.55	1.31
Deciduous Broadleaf forest	1.96	1.33	1.24	1.04	1.87	1.58

Table S2. Per biome distribution GPP for NIR_v, BESS, and FLUXCOM global GPP products.

Model Structure	Variance Structure	# fixed params	DIC
GPP intercept + NIR _V :leaf habit	a	4	7142.393
GPP intercept + NIR _V :leaf habit	$a + b \cdot NIR_V$	4	7134.997
GPP intercept + NIR _V :leaf habit	$a + e^{zNIR_V} \cdot b$	4	7146.137
GPP intercept + NIR _V :leaf habit	$a + b \cdot e^{zNIR_V}$	4	7150.204
GPP intercept + NIR _V :leaf habit	$a + NIR_V^b$	4	7150.299
GPP intercept + NIR_V:leaf habit	NIR_V^b	4	7104.392*
GPP intercept + NIR _V :leaf habit	$a + b * NIR_V^2$	4	7127.383
GPP intercept:leaf habit + slope:leaf habit	NIR_V^b	6	7106.333
GPP intercept:land cover + slope:land cover	NIR_V^b	22	7106.601
GPP intercept + slope:land cover	NIR_V^b	12	7111.44

Table S3. Potential annual models tested, including various fixed structures and various variance formulations. Variance functions were fit for the standard deviation of both the residual error and the site-level random intercept, where NIR_V is annual observed NIR_V for the residual error and the site mean annual NIR_V for the site random intercept. “zNIR_V” indicates that NIR_V values were z-score standardized.

Site	Latitude	Longitude	Years	Reference
AR-Vir	-28.2395	-56.1886	2009–2012	(10)
AT-Neu	47.1167	11.3175	2002–2012	(11)
AU-ASM	-22.283	133.249	2010–2013	(12)
AU-Ade	-13.0769	131.1178	2007–2009	(13)
AU-Cpr	-34.0021	140.5891	2010–2013	(14)
AU-Cum	-33.6133	150.7225	2012–2013	(14)
AU-DaP	-14.0633	131.3181	2008–2013	(13)
AU-DaS	-14.1593	131.3881	2008–2013	(13)
AU-Dry	-15.2588	132.3706	2008–2013	(13)
AU-Emr	-23.8587	148.4746	2011–2013	(15)
AU-Fog	-12.5452	131.3072	2006–2008	(13)
AU-GWW	-30.1913	120.6541	2013–2014	(16)
AU-RDF	-14.5636	132.4776	2011–2013	(13)
AU-Rig	-36.6499	145.5759	2011–2013	(13)
AU-Tum	-35.6566	148.1517	2001–2013	(17)
AU-Whr	-36.6732	145.0294	2011–2013	(14)
BE-Bra	51.3092	4.5206	2000–2013	(18)
BE-Lon	50.5516	4.7461	2004–2014	(19)
BE-Vie	50.3051	5.9981	2000–2014	(20)
BR-Sa3	-3.018	-54.9714	2000–2004	(21)
CA-NS1	55.8792	-98.4839	2002–2005	(22)
CA-NS2	55.9058	-98.5247	2001–2005	(22)
CA-NS3	55.9117	-98.3822	2001–2005	(22)
CA-NS4	55.9117	-98.3822	2002–2005	(22)
CA-NS5	55.8631	-98.485	2001–2005	(22)
CA-NS6	55.9167	-98.9644	2001–2005	(22)
CA-NS7	56.6358	-99.9483	2002–2005	(22)
CA-Qfo	49.6925	-74.3421	2003–2010	(23)
CH-Cha	47.2102	8.4104	2006–2012	(24)
CH-Fru	47.1158	8.5378	2006–2012	(24)
CH-Oe1	47.2858	7.7319	2002–2008	(25)
CN-Cha	42.4025	128.0958	2003–2005	(26)
CN-Cng	44.5934	123.5092	2007–2010	(27)
CN-Dan	30.4978	91.0664	2004–2005	(28)
CN-Din	23.1733	112.5361	2003–2005	(28)
CN-Du2	42.0467	116.2836	2006–2008	(29)
CN-Ha2	37.6086	101.3269	2003–2005	(30)
CN-HaM	37.37	101.18	2002–2004	(31)
CN-Qia	26.7414	115.0581	2003–2005	(28)
CN-Sw2	41.7902	111.8971	2010–2012	(32)
DE-Akm	53.8662	13.6834	2009–2014	http://www.fluxdata.org:8080/sitepages/siteInfo.aspx?DE-Akm
DE-Gri	50.9495	13.5125	2004–2014	(33)
DE-Hai	51.0792	10.453	2000–2012	(34)
DE-Kli	50.8929	13.5225	2004–2014	(35)
DE-Obe	50.7836	13.7196	2008–2014	(36)
DE-RuS	50.8659	6.4472	2011–2014	(37)
DE-Sfn	47.8064	11.3275	2012–2014	(38)
DE-Spw	51.8923	14.0337	2010–2014	http://www.fluxdata.org:8080/sitepages/siteInfo.aspx?DE-spw
DE-Tha	50.9636	13.5669	2000–2014	(39)
DK-Sor	55.4859	11.6446	2000–2012	(40)
ES-LgS	37.0979	-2.9658	2007–2009	(41)
FI-Hyy	61.8475	24.295	2000–2014	(42)
FR-Gri	48.8442	1.9519	2004–2013	(43)
FR-Fon	48.4764	2.7801	2005–2014	(44)
FR-Pue	43.7414	3.5958	2000–2013	(45)
GF-Guy	5.2788	-52.9249	2004–2012	(46)
IT-BCi	40.5238	14.9574	2004–2014	(47)
IT-CA1	42.3804	12.0266	2011–2013	(48)
IT-CA2	42.3772	12.026	2011–2013	(48)

IT-CA3	42.38	12.0222	2011–2013	(48)
IT-Cp2	41.7043	12.3573	2012–2013	(49)
IT-Isp	45.8126	8.6336	2013–2014	(50)
IT-Lav	45.9562	11.2813	2003–2012	(51)
IT-Noe	40.6061	8.1515	2004–2012	(52)
IT-PT1	45.2009	9.061	2002–2004	(53)
IT-Ren	46.5869	11.4337	2000–2013	(54)
IT-Ro1	42.4081	11.93	2000–2008	(55)
IT-Ro2	42.3903	11.9209	2002–2012	(56)
IT-SR2	43.732	10.291	2013–2014	(57)
IT-SRo	43.7279	10.2844	2000–2012	(57)
IT-Tor	45.8444	7.5781	2008–2013	(58)
JP-MBF	44.3869	142.3186	2003–2005	(59)
JP-SMF	35.2617	137.0788	2002–2006	(59)
NL-Hor	52.2404	5.0713	2004–2011	(60)
NL-Loo	52.1666	5.7436	1996–2013	(61)
RU-Fyo	56.4615	32.9221	2000–2013	(62)
SD-Dem	13.2829	30.4783	2005–2009	(63)
US-AR1	36.4267	-99.42	2009–2012	(64)
US-AR2	36.6358	-99.5975	2009–2012	(64)
US-ARM	36.6058	-97.4888	2003–2012	(65)
US-Blo	38.8953	-120.633	2000–2007	(66)
US-Ha1	42.5378	-72.1715	2000–2012	(67)
US-Los	46.0827	-89.9792	2000–2014	(68)
US-MMS	39.3232	-86.4131	2000–2014	(69)
US-Me2	44.4523	-121.5574	2002–2014	(70)
US-Me6	44.3233	-121.608	2010–2012	(71)
US-Myb	38.0498	-121.765	2011–2014	(72)
US-Ne1	41.1651	-96.4766	2001–2013	(73)
US-Ne2	41.1649	-96.4701	2001–2013	(73)
US-Ne3	41.1797	-96.4397	2001–2013	(73)
US-NR1	40.0329	-105.5464	1998–2014	(74)
US-PFa	45.9459	-90.2723	1995–2014	(75)
US-SRG	31.7894	-110.8277	2008–2014	(76)
US-SRM	31.8214	-110.866	2004–2014	(77)
US-Syv	46.242	-89.3477	2001–2014	(78)
US-Ton	38.4316	-120.966	2001–2014	(79)
US-Twt	38.1087	-121.6530	2009–2014	(80)
US-UMB	45.5598	-84.7138	2000–2014	(81)
US-UMd	45.5625	-84.6975	2007–2014	(82)
US-Var	38.4133	-120.951	2000–2014	(83)
US-WCr	45.8059	-90.0799	2000–2014	(84)
US-Whs	31.7438	-110.052	2007–2014	(77)
US-Wkg	31.7365	-109.942	2004–2014	(85)
ZA-Kru	-25.0197	31.4969	2000–2010	(86)
ZM-Mon	-15.4378	23.2528	2007–2009	(87)

Table S4. The FLUXNET2015 sites used in this study.

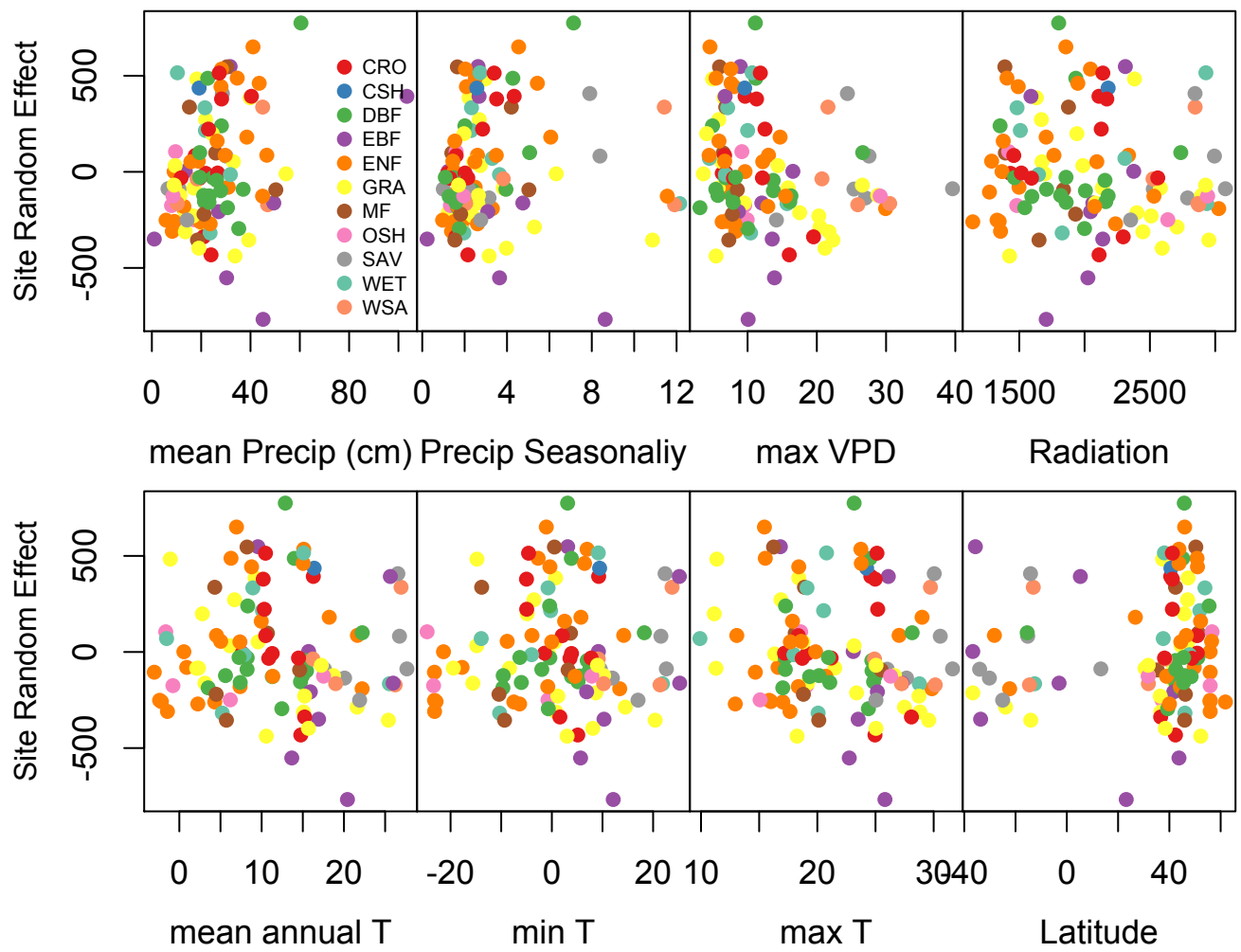


Fig. S1. Residuals of the final Bayesian model plotted against various, site-level meteorological data show no coherent patterns, demonstrating that NIR_v already captures the effects many environmental factors exert on GPP at the annual timescale.

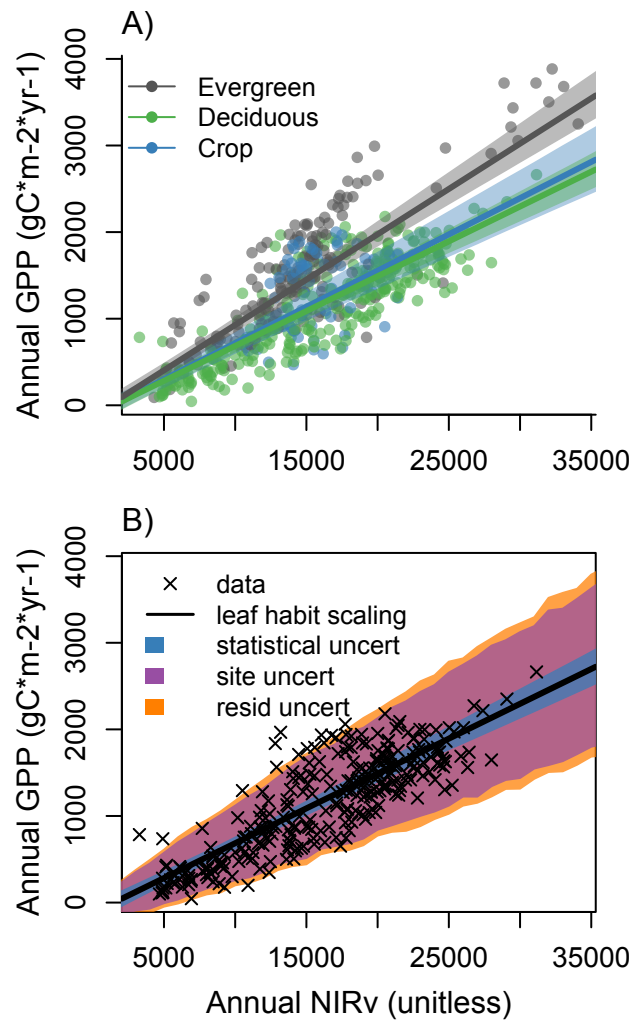


Fig. S2. Depiction of A) the final model formulation and B) the structure of model uncertainties. Each leaf habit shared an intercept of 0, but had slightly different NIR_v to GPP slope. Errors increased exponentially with observed NIR_v, with site-level uncertainty having the largest relative contribution to total per pixel error.

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