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3 **Title:**

4 Evaluating Sampling Bias and Model Uncertainty in Species Distribution Models of Marine Plankton
5 Using Virtual Ecosystem Data

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19 **Keywords:**

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24 **Evaluating Sampling Bias and Model Uncertainty in Species Distribution Models of**
25 **Marine Plankton Using Virtual Ecosystem Data**

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43 Variance Trade-off, Biogeochemical modelling

44 **Abstract**

45 Understanding the biodiversity and biogeography of plankton in the ocean is essential for
46 predicting responses to environmental changes and informing ocean conservation and
47 management strategies. Species distribution models (SDMs) are a pivotal tool in this regard.
48 This study used data from a global marine ecosystem model as a testbed to assess the reliability
49 of various SDMs, including Generalized Linear Model (GLM), Generalized Additive Model
50 (GAM), Random Forest (RF), Boosted Regression Trees (BRT) and Artificial Neural Network
51 (ANN). We used artificial datasets to replicate the sampling patterns of three datasets: a
52 compiled dataset of global scope, the Tara Ocean dataset, and the Atlantic Meridional Transect
53 (AMT) project. Our findings indicate that tree-based algorithms, RF and BRT, exhibit better
54 predictive accuracy and stability compared to GLM, GAM, and ANN, especially when trained
55 with more spatially resolved datasets. We highlight the significant influence of sampling bias
56 on model performance, with models trained on more comprehensive global datasets
57 outperforming those trained on more latitudinally and longitudinally biased data respectively
58 (Tara and AMT). Furthermore, we demonstrate that broad spatial coverage is a more critical
59 determinant of predictive skill than sample size alone, as simply increasing sampling density
60 within a biased region is insufficient to overcome poor spatial representation. Overall, this
61 research underscores the necessity of careful consideration of sampling strategies and model
62 selection in plankton species distribution modelling.

63 **1. Introduction**

64 The diversity of marine plankton is important in sustaining and mediating important ecosystem
65 functions. A broader spectrum of diversity can bolster the functional resistance of communities
66 to varying environments (Baert et al., 2016) and control marine biogeochemistry (Le Quéré et
67 al., 2016; Litchman et al., 2015). Since phytoplankton groups differ in their size, nutrient
68 stoichiometry and acquisition traits, shifts in community composition and species distributions
69 can profoundly influence global biogeochemical cycles and marine foodwebs. Therefore,
70 describing the patterns of plankton biodiversity and biogeography is crucial for understanding
71 how plankton communities shape ecological dynamics across oceanic regions (Frémont et al.,
72 2023; Villarino et al., 2015).

73 Species distribution models (SDMs) are used in ecology and conservation biology to
74 predict and analyse species' geographic distributions and suitable habitats (Melo-Merino et al.,
75 2020; Robinson et al., 2017). SDMs rely on ecological niche theory (Elith and Franklin, 2017),
76 and in particular exploit Hutchinson's definition of the niche as an "n-dimensional
77 hypervolume" that describes the range of environmental conditions (e.g. temperature, food
78 availability, competition, predation) in which a particular species (or other group of organisms)
79 is found (Hutchinson, 1957). SDMs encompass a wide variety of algorithms, ranging from
80 simpler methods like generalized linear model (GLM) to more complex machine learning
81 approaches such as random forest (RF) and boosted regression tree (BRT). These algorithms
82 differ in their assumptions, complexity, and ability to capture non-linear relationships, which
83 can influence their predictive performance in different ecological contexts.

84 SDMs have become a vital tool for mapping plankton biogeography, with studies
85 varying widely in scope and objective. A significant body of research has focused on predicting
86 global distributions. For instance, studies have used niche modeling to map the worldwide

87 habitats of cyanobacteria like *Prochlorococcus* and *Synechococcus* (Flombaum et al., 2013;
88 Flombaum et al., 2020), coccolithophores (O'brien et al., 2013), and diazotrophs (Tang and
89 Cassar, 2019). Others have used ensemble approaches, which combine multiple SDMs, to
90 create robust global predictions for diverse plankton assemblages (Benedetti et al., 2021).
91 Another critical application is projecting distributions into the future ocean to forecast the
92 impacts of climate change. Studies have used SDMs to predict how the distributions of North
93 Atlantic phytoplankton (Barton et al., 2016) and zooplankton communities (Villarino et al.,
94 2015) might shift. Similarly, researchers have forecasted the restructuring of plankton genomic
95 biogeography (Frémont et al., 2022) and assessed the tipping points of phytoplankton in
96 response to multiple environmental stressors (Ban et al., 2022). Many studies also use SDMs
97 to identify the key environmental drivers shaping these distributions. For instance, BRT is used
98 to identify drivers for Southern Ocean zooplankton (Pinkerton et al., 2010), while RF has
99 identified drivers for picophytoplankton in the South China Sea (Chen et al., 2020).

100 A common thread in this body of work is that studies typically select a single preferred
101 SDM or use an ensemble of multiple models to generate a consensus prediction (Bourel et al.,
102 2017). However, the use of SDMs is subject to many assumptions and uncertainties, as
103 reviewed by (Wiens et al., 2009), and a systematic investigation into the fundamental
104 assumptions and uncertainties inherent in these different algorithmic choices is often missing -
105 a challenge noted in earlier work that found the predictive skill of SDMs for plankton can be
106 limited (Brun et al., 2016).

107 Sampling bias can greatly influence the predictive skills of SDMs (Bardon et al., 2021;
108 Guillera-Arroita et al., 2015; Hughes et al., 2021). When sampling bias exists in the SDMs,
109 estimated relationships would largely depend on the environment in the sampled area, making
110 the models less applicable across broader scales (Hughes et al., 2021). Moreover, environments

111 that are not sampled may remain unrepresented in the estimated niche space, which can lead to
112 inaccurate predictions when models are applied to novel environments or extrapolated beyond
113 the range of sampled conditions. This highlights the inherent limitations of extrapolation in
114 SDMs (Hernandez et al., 2006).

115 Given the wide choice of SDM algorithms available, it is also meaningful for us to test
116 whether SDMs vary in their ability to represent planktonic biogeography, both in the present
117 day and in response to future change. For instance, GLMs assume linear relationships between
118 predictors and the response variable, offering straightforward interpretation but limited
119 flexibility for complex ecological patterns. In contrast, GAMs extend GLMs by allowing for
120 non-linear relationships through smooth functions, making them better suited for data
121 exhibiting non-linear relationships. Tree-based ensembles include RF, which build multiple
122 independent trees on random subsets of the data and average their predictions to reduce
123 variance and prevent overfitting. BRT also use an ensemble of trees but build them sequentially,
124 with each new tree iteratively refining predictions by focusing on harder-to-predict cases.
125 Finally, Artificial Neural Networks (ANN), a multilayer perceptron, can capture highly
126 complex, high-dimensional relationships, but their intricate structure can make it more
127 challenging to interpret than the other models.

128 The established approach of evaluating SDMs is constrained by significant
129 uncertainties, including the risk of overfitting specific datasets and limited applicability across
130 broader spatiotemporal scales. To address these limitations, we apply an alternative approach,
131 using output from a global ecosystem model output as a ‘virtual world’ testbed for evaluating
132 SDM performance. Subsampling model output in place of real-world observations allows for
133 an internally consistent comparison of SDM performance. This approach enables us to test
134 SDM performance using various realistic sampling strategies against a perfect ‘ground truth’
135 (the full model output) that offers global coverage, lacks experimental errors, and is available

136 for both present and future climate scenarios. Using this approach, Bardon et al. (2021)
137 demonstrated that GAMs, a commonly used type of SDM, face considerable challenges in
138 accurately predicting the future biomass distribution of phytoplankton when evaluated using a
139 future climate change simulation.

140 Here we extend the work of Bardon et al. (2021) to compare the skill of five SDMs
141 across a range of complexities, identifying factors influencing their performance, and exploring
142 methods that might enhance their accuracy. Our study builds on their findings by broadening
143 the range of SDM types evaluated and systematically exploring the impact of species
144 distribution model complexity, bias, and variance on predictive skill. Additionally, we address
145 the challenges of spatiotemporal extrapolation by incorporating more complex sampling
146 scenarios and analyzing how spatial and temporal resolution of training data affects SDM
147 performance across various functional groups of plankton. In the following, Section 2 provides
148 a detailed description of the artificial ecosystem that we use as a testbed, describes how this
149 model is sampled to replicate real-world observation strategies, and introduces the SDMs under
150 assessment. Section 3 presents a performance comparison across the SDMs and explores the
151 sensitivity to spatiotemporal biases in the training data. Section 4 discusses a trade-off between
152 bias and variance in SDMs of differing complexity and examines the key factors influencing
153 SDM performance.

154 **2 Materials and Procedures**

155 **2.1 Data access**

156 Following Bardon et al. (2021), we generated artificial ecosystem data from a 3 dimensional
157 global physical-biogeochemical-ecosystem model (Darwin) simulation designed to explore
158 diversity and community structure changes in a future world (Cael et al., 2021; Henson et al.,
159 2021). This ecosystem model simulates marine microbes and biogeochemical cycles, within a

160 physical ocean environment provided by the Massachusetts Institute of Technology General
161 Circulation Model (MITgcm) (Marshall et al., 1997), coupled to a earth system of intermediate
162 complexity (Monier et al., 2018) to provide a future change scenario under high emissions
163 (similar to IPCC's Representative Concentration Pathway 8.5 (RCP8.5) (Riahi et al., 2011).

164 The ecosystem model itself represents a complex ecosystem with a detailed plankton
165 community structure (Dutkiewicz et al., 2021). It explicitly simulates dozens of distinct
166 phytoplankton types and multiple zooplankton grazers, which are further categorized into
167 broader plankton functional types (PFTs) based on traits like size and biogeochemical function.
168 The version used for this study includes 51 plankton, ranging in size from 0.6 to 2.4 mm and
169 classified into seven PFTs: **prokaryotes**, **picoeukaryotes**, **coccolithophores**, **diatoms**,
170 **dinoflagellates**, **diazotrophs**, and **zooplankton** (abbreviations in bold). The simulation was first
171 driven by physical forcing consistent with observed greenhouse gas emission concentrations
172 from 1860 to 1990 and subsequently with high emissions from 1990 to 2110 (Riahi et al.,
173 2011). This setup captures natural variability (e.g. El Nino) consistent with the real world and
174 projects future changes in ocean conditions. We focus here only on the model output from the
175 latter component of the simulation (1990-2100) for consistency in the forcing. This virtual
176 world allowing us to evaluate SDM performance across a wide range of environmental
177 scenarios, including those induced by climate change. The ocean environment is represented
178 across a 2° latitude by 2.5° longitude grid, and simulates environmental variables, which serve
179 as predictors in SDMs, including monthly surface (0-10 m) values of temperature (SST),
180 salinity (SSS), nitrate (NO₃), phosphate (PO₄), dissolved iron (dFe), silicate (Sil), and
181 photosynthetically active radiation (PAR).

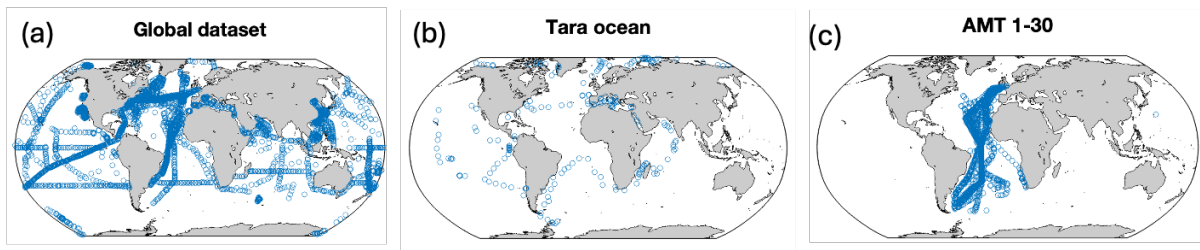
182 To closely replicate real-world ocean sampling and examine the spatial-temporal effects on
183 SDM performance, we retrieved environmental data and population biomasses from the model

184 at the times and locations corresponding to those of three different observational datasets (Fig.
185 1):

- 186 1. **Global:** A comprehensive database based on a compilation of global picoplankton
187 observations (Flombaum et al., 2020). This dataset includes samples from 39 research
188 cruises covering all major oceanographic environments. It is therefore representative of
189 the current level of *in situ* coverage of the global ocean for the picoplankton sub-group.
- 190 2. **Tara:** Observations from the Tara Ocean project (Sunagawa et al., 2015); The Tara
191 Ocean project is a global initiative designed to explore plankton diversity and ocean
192 ecosystems. Sampling was conducted across multiple ocean basins, with a focus on a
193 wide range of environmental gradients. While polar environments are represented, there
194 is a bias in sampling density towards lower latitudes.
- 195 3. **AMT:** 30 transects from the Atlantic Meridional Transect (AMT) project (Rees et al.,
196 2024). The AMT project focuses on repeated sampling along a latitudinal transect in
197 the Atlantic Ocean, covering a diverse range of environments from temperate to tropical
198 and equatorial regions. These transects provide high-resolution, longitudinal data over
199 a wide range of oceanographic conditions within the Atlantic Ocean.

200 Observations were taken from the model surface layer, with data in coastal areas (depth
201 < 200m) excluded. Coastal areas were excluded to focus on open-ocean dynamics and avoid
202 the confounding influence of localized processes, such as riverine inputs, upwelling, and tidal
203 mixing (Gattuso et al., 1998; Simpson and Sharples, 2012), which the model with its coarse

204 resolutions does not capture. Details, including the number of observations in each dataset, are
205 given in Table 1.



206
207 *Figure 1: The sampling points of the Global dataset (a), the Tara Ocean dataset (b) and AMT*
208 *projects dataset (c).*

209 The model data were extracted and split into two sets: the present dataset (1991-2011,
210 the first 21 years of the ecosystem model run with emission scenario) and the future dataset
211 (2079-2099). A temporal adjustment was necessary to apply the sampling scheme of the
212 compiled global dataset (originally collected 1987–2008) to our model's 'present-day'
213 simulation period (1991–2011). To achieve this, for each data point in the observational dataset,
214 we retained its original spatial coordinates and calendar month. However, we shifted its year
215 forward by four years to align with our model's timeline. For instance, a sample originally
216 collected at a specific location in May 1987 was replicated by extracting data from our model
217 at the same location in May 1991. This method preserves the spatial and seasonal structure of
218 the real-world sampling effort while ensuring its compatibility with the temporal bounds of our
219 virtual ecosystem.

220 **2.2 Species distribution model choice**

221 We selected five species distribution models used in previous studies including a generalized
222 linear model (GLM) (McCullagh, 1989) and a generalized additive model (GAM) (Hastie,
223 1990); two decision-tree-based algorithms - a random forest (RF) (Breiman, 2001) and a

224 boosted regression tree (BRT) (Elith et al., 2008); and one deep learning algorithm - an artificial
225 neural network (ANN) (Hornik et al., 1989).

226 The varying complexity of the selected SDMs necessitated two distinct training
227 procedures. The parametric models (GLM and GAM) do not require hyperparameter
228 optimization and were therefore trained directly on the full training dataset. For the GAM, the
229 number of permitted splines was set to 20 for each predictor variable, following Bardon et al.
230 (2021), who demonstrated that model sensitivity to this parameter is negligible.

231 Conversely, more complex machine learning models (RF, BRT, and ANN) required
232 hyperparameter tuning to prevent overfitting. We employed a grid search with 8-fold cross-
233 validation to identify the optimal configurations (Fig. S1; see the Supplementary Material for
234 justification of fold selection, Fig. S2). We evaluated 81 (3^4) hyperparameter combinations for
235 RF and BRT, and 27 (3^3) for the ANN (Table S1). The configuration yielding the RMSE across
236 the validation folds was selected. Crucially, once these optimal hyperparameters were
237 determined, the final RF, BRT, and ANN models were retrained on the entire, unsplit training
238 dataset using these specific configurations.

239 This ensures that, despite the differing development processes, the final models for all
240 five algorithms were trained on the exact same dataset. Consequently, the final evaluation was
241 unbiased: all five fully trained models were assessed on the same, completely global test set.

242 Additionally, we conducted 100-times bootstrap analyses to evaluate the resilience of
243 the SDMs to noise or fluctuations in varying datasets, which helps in assessing their robustness
244 against variation error. In every bootstrap sampling, we randomly selected n data points from
245 the original dataset (with replacement), where n is the total number of samples in the original
246 dataset.

247 **2.3 Scenario design**

248 To examine the temporal and spatial effects of the real-world sampling efforts on SDM
249 prediction performance, we sampled the global ecosystem model several different ways (Table
250 1). In the first set of scenarios, we sampled the virtual ecosystem model at the times and
251 locations of observations in the Global dataset (Global dataset, Fig. 1a), Tara Oceans (Tara, Fig.
252 1b) and Atlantic Meridional Transect (AMT, Fig. 1c) projects (based on monthly average and
253 $2^{\circ} \times 2.5^{\circ}$ degree ecosystem model resolution).

254 To specifically investigate the influence of temporal sampling resolution on model
255 performance, we designed two additional training datasets using the Tara Ocean sampling
256 scheme as a baseline. The Tara dataset was chosen for these sensitivity tests because it lacks
257 both inter-annual and intra-annual replication, making it a suitable baseline for evaluating how
258 increasing temporal resolution in either dimension influences model performance. The two new
259 training scenarios were constructed as follows: **Tara-12months (Intra-annual variability)** -
260 to simulate comprehensive seasonal coverage, we took each spatial location from the original
261 Tara dataset and sampled our virtual model once for every calendar month within a single,
262 representative year. This generated a new training dataset with dense seasonal information at
263 the original Tara locations. **Tara-12yrs (Inter-annual variability)** - to simulate a long-term
264 monitoring effort, we took each original Tara sample (location and month) and repeated the
265 sampling in the same month and location across twelve consecutive years. This created a
266 training dataset rich in year-to-year information but with the same sparse seasonal coverage as
267 the original. These two datasets were then used to train the SDMs, allowing us to directly
268 evaluate whether resolving seasonal dynamics or capturing long-term inter-annual trends is
269 more beneficial for improving model performance.”

270 In a further set of scenarios, we assessed the impact of spatial variability to determine
271 how model performance changes when sampling effort remains constant but spatial bias is
272 removed. Following a similar approach to Bardon et al. (2021), whereby locations and

273 sampling months were randomly sampled within the same time period of original global
 274 (Global-random) and Tara datasets (Tara-random) (Fig. S3a and b). In each random dataset,
 275 each data point's location and sampling time were randomly selected. The locations were
 276 selected at random with uniform probability across the global ocean surface, while the
 277 sampling times were randomly chosen within the time span corresponding to the original global
 278 and Tara datasets. Additionally, the number of samples matches that of the original dataset.

279 To explicitly disentangle the effects of sample size from spatial sampling bias, we
 280 created two new training datasets based on a "densified sampling" approach (Fig. S3c and d).
 281 These new datasets, hereafter referred to as Tara-densified and AMT-densified, were designed
 282 to increase the sample sizes of the Tara and AMT scenarios to be comparable with the Global
 283 dataset while preserving their original spatial biases. The densification was achieved by
 284 expanding the sampling at each original station location. For every data point in the original
 285 Tara and AMT datasets, we sampled not only that grid cell but also adjacent grid cells in the
 286 model output. This process resulted in an increase in the number of samples for each dataset,
 287 bringing their total sample sizes into a range comparable to that of the Global dataset. This
 288 experimental design allows for a direct test of whether increasing sampling density along a
 289 biased transect can overcome the limitations imposed by poor spatial coverage.

290 Finally, for the test dataset, we evaluated SDM performance by validating the SDMs'
 291 present and future predictions against the known 'ground truth' biomass from the ecosystem
 292 model simulation.

293 ***Table 1 Summary of datasets used in SDMs***

Dataset	Data description	Purpose	No. of grid cells	Time span
Training data				

Global dataset	Data were sampled in the same location and time as the records in meta-database		3,167	1991 – 2012
Tara	Data were sampled in the same location and time as the records in Tara Ocean projects	Examine the SDM accuracy (Section 3.1 & 3.2)	131	2009 – 2013
AMT	Data were sampled in the same location and time as the records in all AMT 1-30 transects		1,224	1995 – 2023
Tara-12yrs	Data were sampled in the same location and months as the records in Tara Ocean projects across twelve consecutive years	Examine the influence of temporal variation	1,512	2002 – 2013
Tara-12mths	Data were sampled repeatedly in every month of the sampling year with the same location as the records in Tara Ocean projects	(Section 3.2)	1,512	2009 – 2013
Global-random	Data were sampled randomly and uniformly with the same sample size of the global dataset	Examine the influence of spatial variation	3,167	1991 – 2012
Tara-random	Data were sampled randomly and uniformly with the same sample size of the Tara Ocean dataset	(Section 4.3)	131	2009 – 2013
Tara-densified	Data were sampled at each original Tara location and its eight adjacent grid cells to increase sample density.	Examine if increased sample size can overcome spatial bias.	3,304	2009 – 2013
AMT-densified	Data were sampled at each original AMT location and its eight adjacent grid cells to increase sample density.	Examine if increased sample size can overcome spatial bias.	2,935	1995 – 2023
Test data				
Present	The whole ocean data in first 21 years of virtual ecosystem models (1991 ~ 2011)	Examine the SDM performance	1,793,527	1991 – 2011

Future	The whole ocean data in last 21 years of virtual ecosystem models (2079 ~ 2099)	of present and future predictions	1,793,527	2079 – 2099
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294

295 **3 Assessment**

296 **3.1 Performance of the five SDMs**

297 We first present results from training the five different SDMs on the three datasets, assessing
 298 performance against training and test data. Although the SDMs were trained to seven PFTs, we
 299 presented results for picoeukaryotes in this section for simplicity. Results for the remaining
 300 species are provided in the supplementary materials (Fig. S4-S10), and the main conclusions
 301 remain consistent across all PFTs, without affecting the key findings in Sect. 3.1 and Sect. 3.2.

302 In the following we use Taylor diagrams (Taylor, 2001) to summarise three (inter-related)
 303 aspects of model performance with regard to the training and test data. For each model
 304 evaluation, the normalised standard deviation of the model (relative to the standard deviation
 305 of the artificial data) is indicated as the radial distance from the pole (or origin). Simultaneously,
 306 the correlation between the model and the artificial observations is indicated by the angular
 307 distance from the vertical axis. The RMSD (Root Mean Square Differences) between the model
 308 and artificial data is given as a third (non-independent) metric, as indicated by the radial
 309 distance from a point where the RMSD is zero. This point corresponds to a perfect model (for
 310 which every predicted value is identical to the observations), and is therefore located where the
 311 normalised standard deviation and correlation coefficient are both equal to one (as indicated
 312 by the red dot in each panel of Figure 2). The locations of the points, each representing a single
 313 SDM bootstrap iteration, thus indicate the strength of the correlation, the overall distance from
 314 the observations, and whether the variability in the model is larger (normalised s.d > 1) or
 315 smaller (normalised s.d < 1) than in the artificial observations. A normalized standard deviation

316 greater than 1 indicates the model overestimates variability, while a value less than 1 indicates
317 underestimation, which may smooth out fine-scale patterns.

318 When trained to the Global dataset, the RF and BRT performed the best with regard to the
319 training data, with very high correlations and only slightly underestimating the variance in the
320 artificial data (open yellow and orange points in Fig. 2a). The ANN also performed well (open
321 blue points in Fig. 2a), with only a slightly lower correlation and similar variance (although
322 isolated bootstraps performed extremely poorly (single point with a correlation of 0.3 in
323 Fig. 2a). The simpler GAM and GLM models showed lower correlations and underestimated
324 the variance of the training data (open green and purple points in Fig. 2a). The GLM was
325 particularly poor in this regard, showing a low correlation and unrealistically low variance.

326 When the five globally trained SDMs were evaluated against the test data (closed circles in Fig.
327 2a), the models ranked in the same order as against the training data, in terms of correlation
328 and RMSD. While the performance of best four models all deteriorated in terms of correlation
329 and RMSD with the shift from training to test data, the performance of the GLM improved
330 slightly (although it was still worst among the five models).

331 When the SDMs were trained to the more spatially restricted AMT (Fig. 2b) and Tara datasets
332 (Fig. 2c), the ANN was best able to reproduce the training data, closely followed by the BRT,
333 RF and GAM. All four of these models had very high correlations and closely reproduced the
334 degree of variance in the artificial data. In contrast the GLM again had a much lower correlation
335 and underestimated the variance of the training data.

336 In contrast to the global datasets, the performance of the ANN and GAM, trained by Tara and
337 AMT datasets (open blue and purple circles in Fig. 2b,c), deteriorated massively when
338 evaluated against the test data (solid blue and purple circles in Fig. 2b,c). All 100 bootstraps
339 had lower correlations and higher RMSD compared to the performance against the training

340 data, and almost all showed artificially high variance. These results indicate that the ANN and
341 GAM were significantly overfitting the training data, leading to poorly constrained and highly
342 variable model predictions.

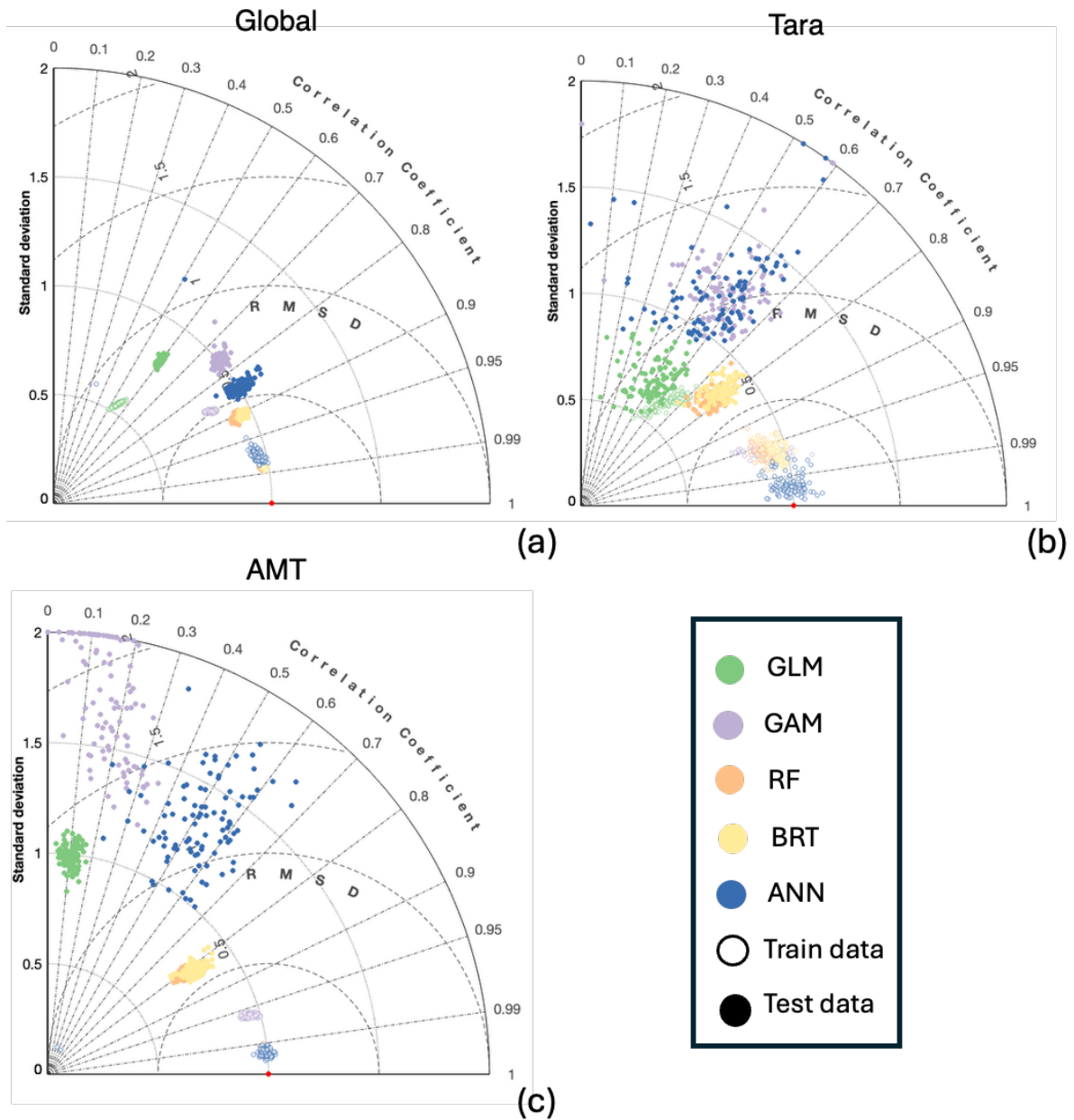
343 The GLM (green points in Fig. 2) also performed poorly with regard to the three sets of training
344 data, but this was associated with a consistently low correlation, with much less variability in
345 performance. When the AMT-trained model was evaluated against the test data the correlation
346 was extremely low, but with much lower variance than exhibited by the predictions of the ANN
347 (blue points) and GAM (purple points). This perhaps suggests that the GLM was underfit,
348 leading to high bias error with low variance. The GLM had a slightly lower predictive bias
349 when trained to the Tara data, but the variability in its predictions was higher.

350 Among the evaluated SDMs, the GLM consistently performed the worst (green points), both
351 against the training data and test data. Although ANN (blue points) and GAM (purple points)
352 captured variance well within the training data, their performance was less stable in terms of
353 the test data, especially in the AMT and Tara cases, where the outcomes were subject to very
354 high uncertainty in response to random changes in the sampling of the training data. In contrast,
355 across all three cases, the decision-tree-based methods (RF and BRT) demonstrated more
356 consistent and stable performance, showing lower variance and better overall reliability,
357 especially in terms of their performance against the test data.

358 Overall, RF and BRT are well-suited for our specific cases due to their accurate performance
359 and lower variance. Although the SDMs were trained on all seven plankton functional groups,
360 we present the results for picoeukaryotes in this section for simplicity, with the results for the
361 remaining PFTs provided in the supplementary materials (Fig. S4–S10). While the exact
362 positions of the points on the Taylor diagrams may differ for other PFTs, the key patterns and
363 conclusions remain consistent. Specifically, across all functional groups, the GAM and ANN

364 models consistently exhibit a highly scattered pattern for the test data, indicating high variance
365 and instability. Furthermore, the relative performance ranking of the models is preserved across
366 all PFTs, with RF and BRT consistently showing the most robust performance. Therefore, our
367 main findings in this section are not altered by the choice of PFT shown.

368 To provide a more quantitative summary of these results, the RMSD for both training and test
369 datasets are plotted against each other for all seven PFTs (Figure S11). This figure directly
370 visualizes the degree of model overfitting, where points lying above the 1:1 line indicate that
371 the test error is higher than the training error. As expected, all models show some degree of
372 overfitting, but this is particularly pronounced for the ANN and GAM models, which
373 consistently fall far above the 1:1 line, especially when trained on the spatially biased Tara and
374 AMT datasets. In contrast, the RF and BRT models, particularly when trained on the Global
375 dataset, lie closer to the 1:1 line and closer to the origin, quantitatively confirming their lower
376 overall error and greater robustness against overfitting. This pattern is consistent across all
377 plankton functional groups.



378

379 *Figure 2: Model performances for 100 bootstrap runs based on the Global dataset (a), Tara*
 380 *Ocean dataset (b), and AMT dataset (c). Red dots represent the observation points. Empty dots*
 381 *represent the predictions on training datasets and the solid dots represent the predictions on*
 382 *test datasets. In this case, the standard deviations have been normalized by dividing them by*
 383 *the standard deviations of the observations. Please note that the standard deviation axis has*
 384 *been limited to a range of 0-2 to better visualize the main cluster of results. The full, unzoomed*

385 *version of this figure showing all data points is available in the supplementary material (Fig.*
386 *S4).*

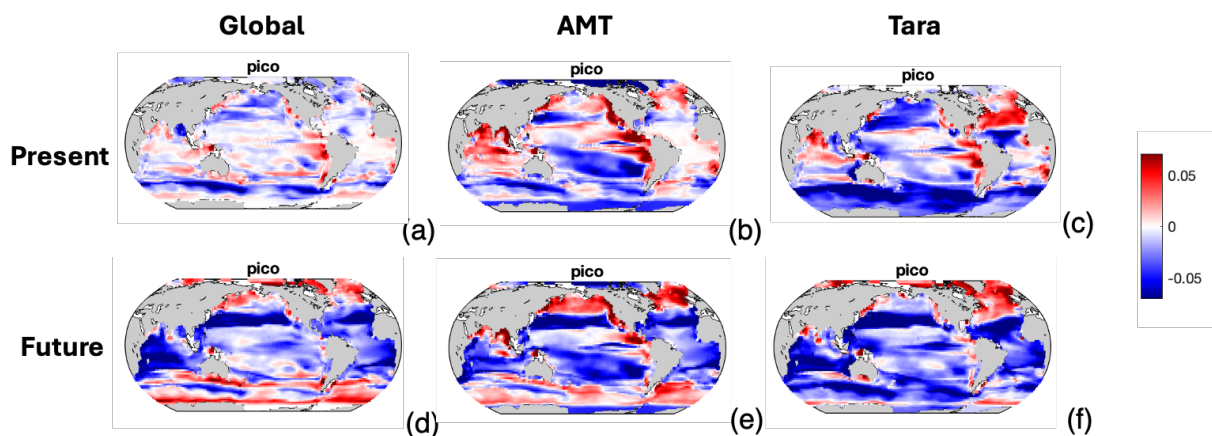
387 **3.2 Spatial distribution of errors**

388 Although BRT and RF showed similar performance, we selected RF for subsequent in-depth
389 analysis due to its simpler structure and its prevalence in the ecological modeling literature.
390 With the RF model offering the best compromise in skill with regard to the picoeukaryote
391 training and test data, we next investigated the global distribution of errors associated with the
392 three training datasets for this SDM. This can highlight specific regional strengths and
393 weaknesses, giving insights to point out the areas requiring further refinement and adjustment.

394 Mismatches between the RF model and the present and future global test data are shown
395 in Figure 3. Errors in the present prediction based on the global dataset were predominantly
396 distributed in areas with highly varying environment conditions, such as underestimation in
397 high latitude area and overestimation in coastal regions (Fig. 3a). The residuals for future
398 predictions (Fig. 3d) are significantly larger compared to the present. Areas with high error in
399 the future predictions also extend to subtropical gyres and the Indian Ocean, where
400 picoplankton biomass is underestimated. Predictions in polar regions switch from
401 underestimates to overestimates in the future ocean. The residual maps clearly show that
402 predictions based on the Tara Oceans dataset (Fig. 3c&f) perform poorly in high-latitude areas
403 compared to those derived from the Global datasets (Fig. 3a&d), highlighting the importance
404 of latitudinal ranges in enhancing the accuracy of predictive models. In contrast, the model
405 trained on AMT data shows weaker performance in the Pacific and Indian oceans Fig. 3b&e).
406 This suggests that variations observed in the Atlantic Ocean do not adequately explain
407 conditions in the Pacific and Indian oceans. While the AMT dataset performs relatively well in
408 representing present-day conditions in the Atlantic Ocean, its predictive skill declines

409 significantly when applied to future Atlantic conditions. This highlights the potential
 410 limitations of SDMs trained on current environmental data when extrapolating to future
 411 scenarios, even within the same ocean basin.

412 These spatial error patterns were examined for all seven plankton functional types
 413 (PFTs), with the complete residual maps provided in the supplementary material (Fig. S12–
 414 S14). While the specific regions of highest error for each PFT were closely linked to their own
 415 distinct biogeographical distributions, a consistent set of overarching patterns emerged across
 416 all functional groups. Models trained on the comprehensive Global dataset consistently
 417 produced the lowest overall prediction error (Fig. S12). In contrast, models trained on the
 418 longitudinally-biased AMT dataset reliably performed best within the Atlantic Ocean but
 419 showed substantial errors across the Pacific and Indian Oceans (Fig. S13). Finally, errors from
 420 the Tara-trained models were consistently concentrated in the under-sampled high-latitude
 421 regions (Fig. S14). This demonstrates that the spatial signature of the sampling bias in the
 422 training data is the primary driver of regional prediction error, a pattern that holds true across
 423 all functional groups.



424

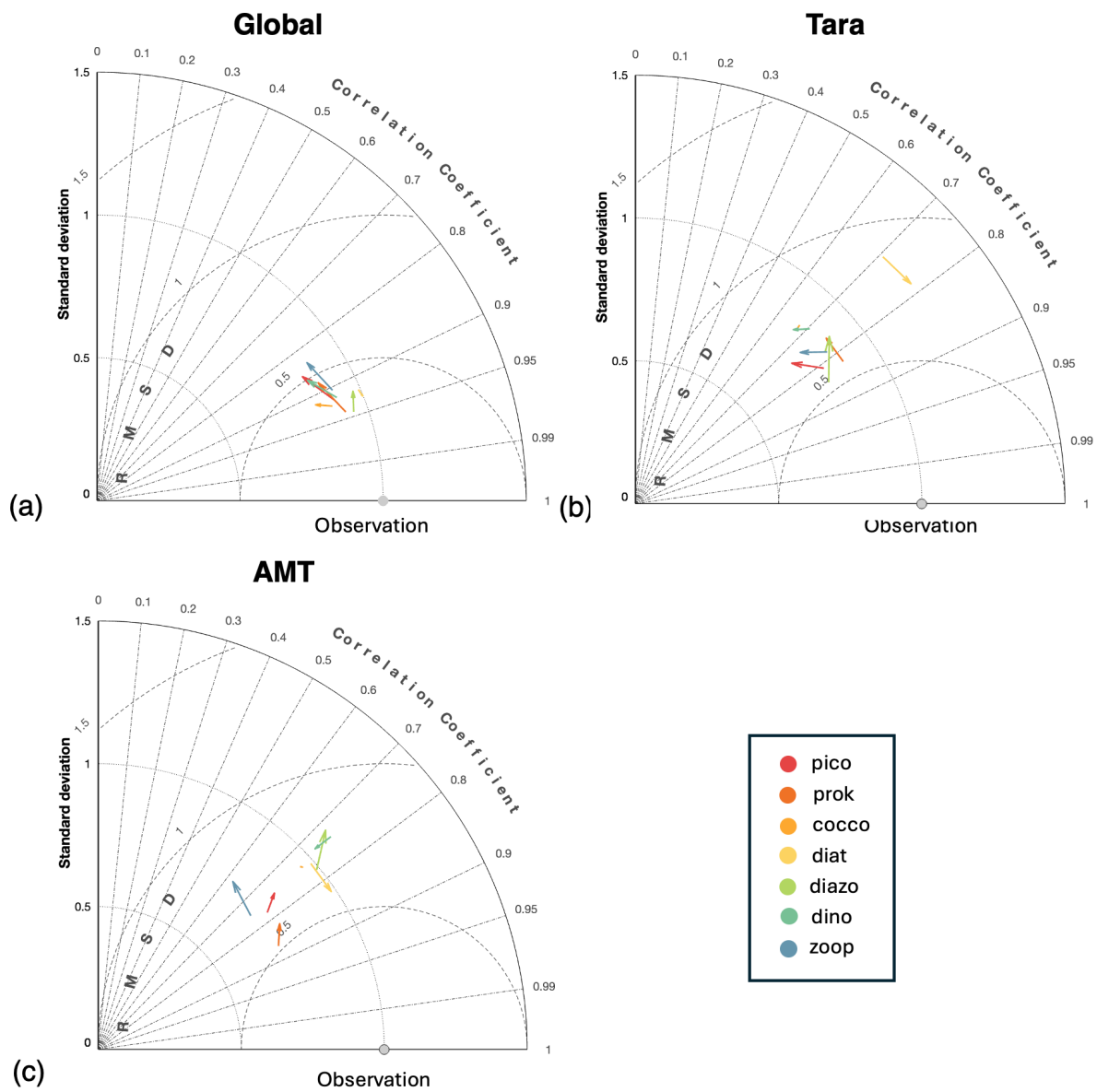
425 *Figure 3: Residual map (μM) of biomass predictions for the RF models trained on Global*
 426 *datasets, AMT datasets, and Tara datasets in the present (a-c) and future (d-f) ocean. The linear*

427 *color scale represents the residual in biomass (μM) and this range corresponds to the 95%*
428 *confidence interval of the residual values.*

429 **3.3 Functional groups**

430 We can also investigate the performance of the RF model across all seven functional groups
431 for the present and future oceans. Figure 4 presents three panels of Taylor diagrams comparing
432 the RF model predictions against present and future test data. The Global dataset (Fig. 4a)
433 exhibits the highest predictive skill across all PFTs, with predictions closely clustered around
434 high correlation values and normalized standard deviations near 1. In contrast, the AMT and
435 Tara datasets show more variable predictions, indicating reduced model accuracy. Specifically,
436 in the AMT dataset, both diazotrophs and zooplankton exhibit the poorest performance, with
437 low correlation coefficients and higher variance, especially in the future scenario (Fig. 4c).
438 Similarly, the Tara dataset shows a significant decline in the predictive accuracy for diatoms
439 (Fig. 4b). The overall performance suggests that the Global dataset provides more reliable
440 predictions, while the AMT and Tara datasets, characterized by narrower spatiotemporal ranges,
441 result in less reliable predictions, particularly for endemic PFTs such as diazotrophs and
442 diatoms.

443 Across all three datasets, the future predictions (end of the arrows) tend to show lower accuracy
444 compared to present predictions (start of the arrows), particularly for the AMT and Tara datasets
445 (Fig. 4). This indicates increasing uncertainty or difficulty in modelling future scenarios with
446 spatiotemporally incomplete datasets. However, an exception to this trend is seen with diatoms
447 in the Tara dataset, where the future predictions are better than the present predictions.



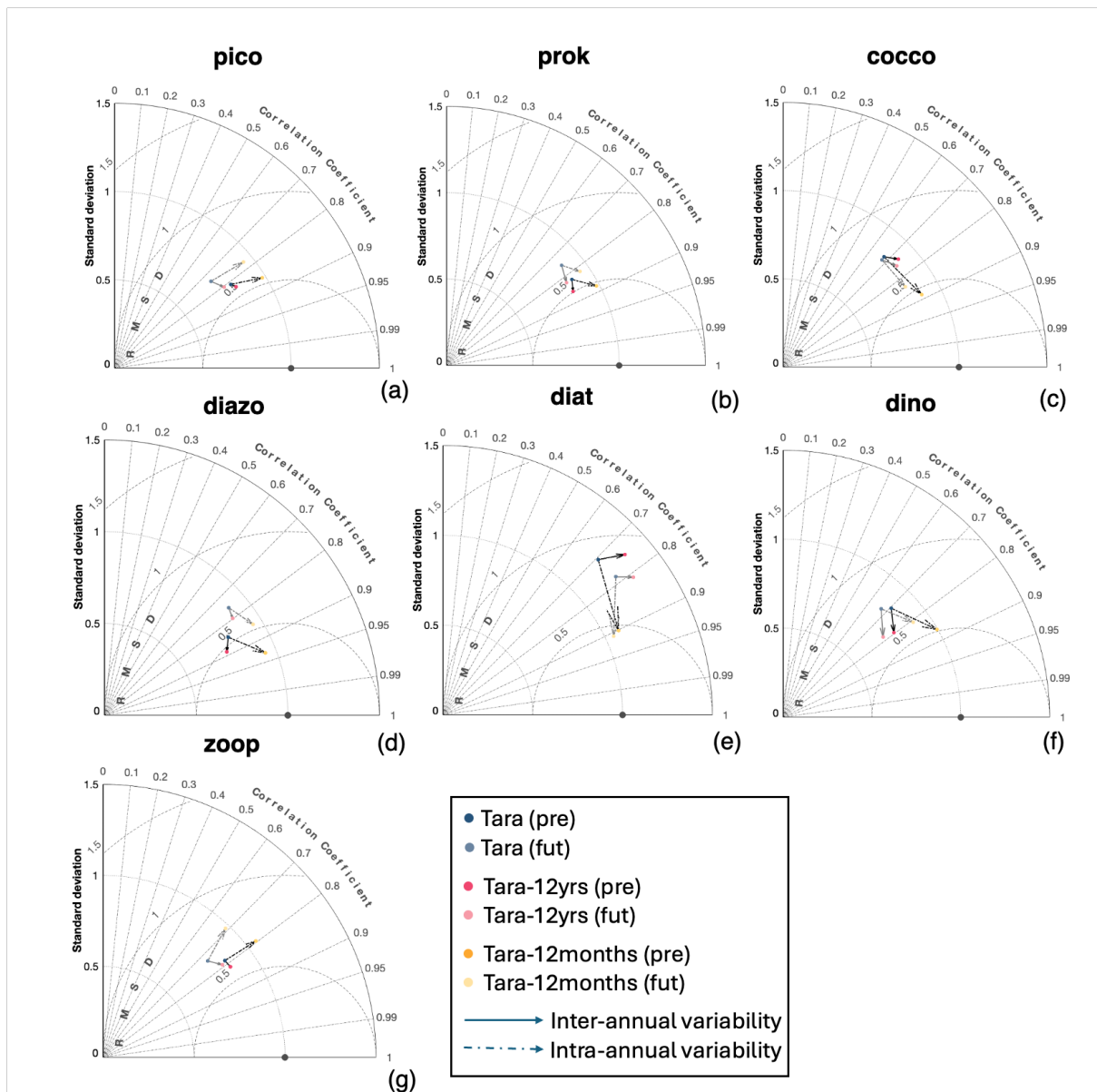
449

450 *Figure 4: Performance of RF predictions based on observations of Global datasets (a), Tara*
 451 *datasets (b) and AMT datasets (c). Grey dots represent observation points. The starts of arrows*
 452 *represent the present predictions and the ends of arrows represent the future predictions. In this*
 453 *case, the standard deviations have been normalized by dividing them by the standard deviations*
 454 *of the observed biomass of different phytoplankton functional types.*

455 **3.4 Intra- and inter-annual coverage**

456 Two further experiments were designed to investigate how monthly and yearly repeated
457 sampling in Tara Ocean projects might improve predictions (Tara-12months, Tara-12yrs, Table
458 1). Our finding indicates that, within our specific experimental design, increasing the
459 representation of intra-annual (monthly) variations in the training data was more effective at
460 improving model performance than adding sparse inter-annual (yearly) data for most PFTs (Fig.
461 5).

462 This conclusion is specific to the nature of our experimental data: Our Tara-12yrs
463 experiment tested the effect of adding many years of sparse (once-a-year) data. A different type
464 of dataset, for example, one containing many years of dense, fully-resolved seasonal data,
465 might allow an SDM to perform much better at identifying long-term trends. Nevertheless, our
466 results clearly demonstrate that for a sampling scheme with a spatial bias like Tara, investing
467 effort in resolving the full seasonal cycle provides a greater immediate performance benefit
468 than repeated, sparse sampling across many years. A notable exception to this was zooplankton,
469 where incorporating the limited inter-annual data proved more useful, perhaps reflecting their
470 longer lifecycles and different trophic position.

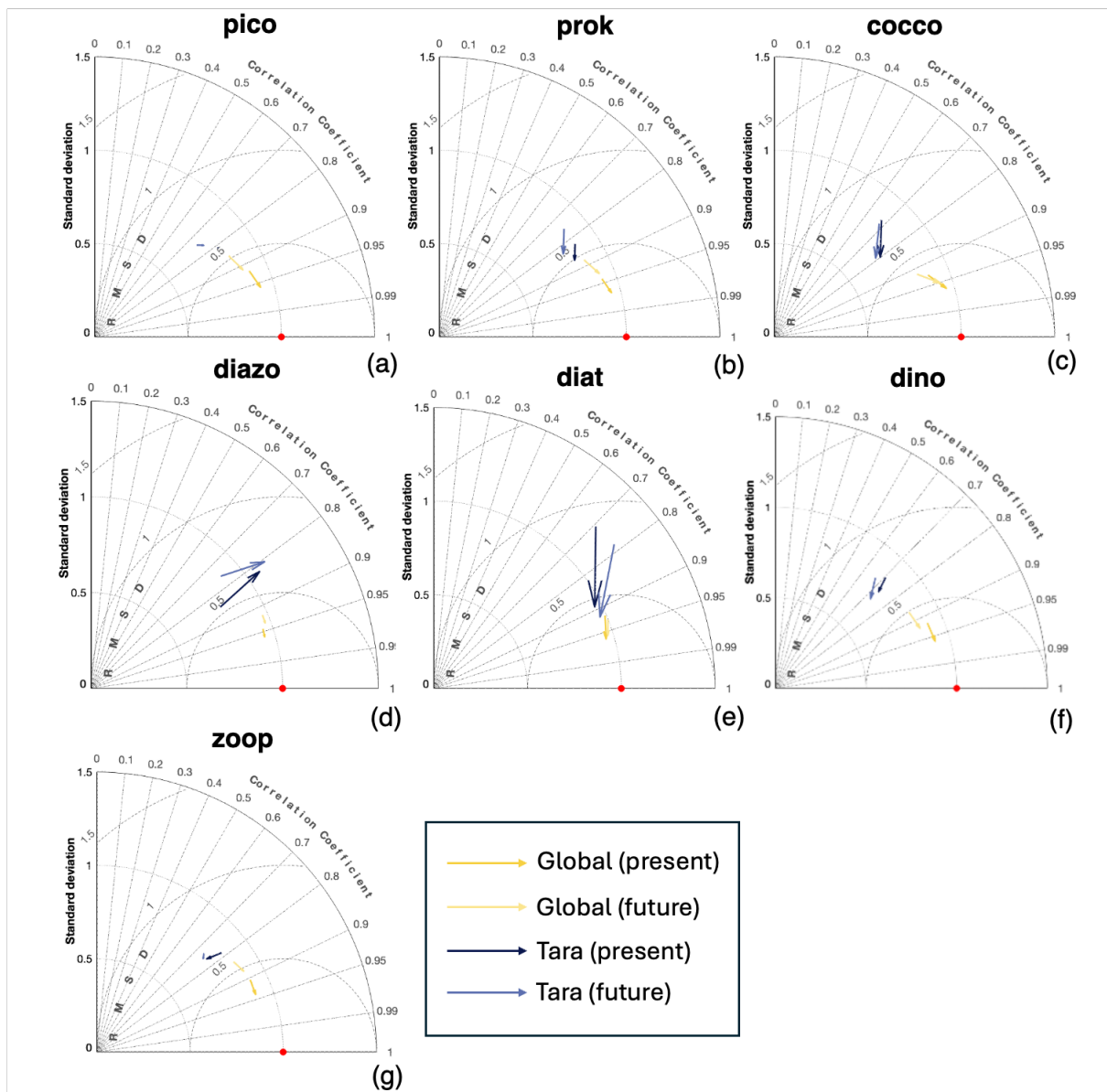


472

473 *Figure 5: Performance of RF predictions for seven PFTs (a-g) based on observations of Tara*
 474 *Ocean (blue), Tara-12yrs (red) and Tara-12months (yellow). Grey points represent the*
 475 *observation points. The starts of arrows represent the original datasets and the ends of arrows*
 476 *represent the experimental datasets. In this case, the standard deviations have been normalized*
 477 *by dividing them by the standard deviations of the observed biomass of different phytoplankton*
 478 *functional types.*

479 **3.5 Spatial coverage**

480 In this section, we randomized the sampling locations of the Global and Tara datasets, ensuring
481 that the new randomized locations occupied the same number of grid cells as in the original
482 datasets but could occur anywhere in the global ocean. This procedure simulates an idealized
483 sampling scenario, in which the global ocean is sampled more evenly. Compared to the original
484 datasets, the randomized Global dataset showed a slight improvement in predictive
485 performance across all functional types (yellow arrows in Fig. 6), suggesting that more uniform
486 sampling can help mitigate spatial biases inherent in the original data. In contrast, the
487 randomized Tara dataset yielded mixed responses (blue arrows in Fig. 6), with reduced
488 performance for diazotrophs but enhanced performance for diatoms. These contrasting results
489 imply that the spatial distribution and ecological niches of different functional types
490 may respond differently to changes in sampling strategy.



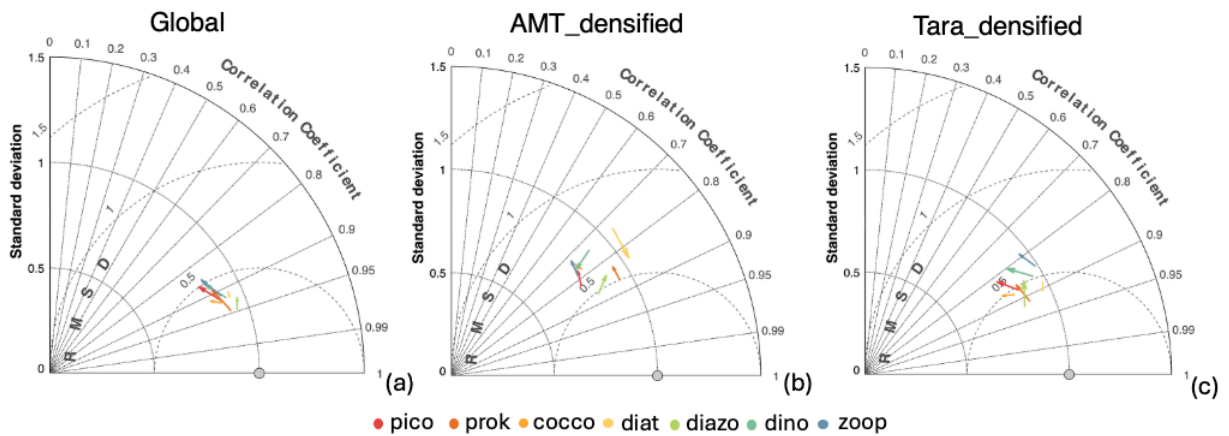
491

492 *Figure 6. Taylor diagrams showing the performance of Random Forest (RF) predictions for*
 493 *seven plankton functional types (PFTs; a–g). Arrows start from results based on the original*
 494 *sampling scheme and end at those based on the idealized sampling scheme. Dark colors*
 495 *represent present-day predictions, while light colors indicate future projections. Blue arrows*
 496 *correspond to Tara datasets and yellow arrows to Global datasets. Red dots denote the*
 497 *reference (observations), and grey markers indicate the observation points. The standard*
 498 *deviations have been normalized by the standard deviations of the observed biomass of each*
 499 *PFT.*

500 3.6 Sample size

501 To investigate whether the lower performance of the Tara and AMT-trained models was
502 primarily due to their smaller sample sizes or their inherent spatial biases, we analyzed the
503 results from training datasets with increased sampling density around each existing data point
504 (Tara-densified, AMT-densified, Table 1). Our findings strongly indicate that comprehensive
505 spatial coverage is a more critical determinant of model skill than sample size alone.

506 As shown in the Taylor diagram (Fig. 7), even with a nine-fold increase in sample size,
507 the predictive skill of models trained on the AMT-densified and Tara-densified datasets still
508 lagged considerably behind the model trained on the original Global dataset. For nearly all
509 Plankton Functional Types (PFTs), both present and future predictions from the densified
510 datasets exhibited lower correlation coefficients and higher RMSD compared to the Global
511 dataset predictions.



513 *Figure 7: Taylor diagram comparing the performance of RF predictions for all PFTs. The*
514 *models were trained on the original Global dataset (a), the Tara-densified dataset (b), and the*
515 *AMT-densified dataset (c). The start of each arrow represents the present prediction, and the*
516 *end represents the future prediction. The grey dot represents the observation point.*

517 This conclusion is further supported by the spatial distribution of prediction errors (Fig.
518 S15 and S16). The residual map for the AMT-densified model (Fig. S15) shows that the
519 inherent longitudinal bias persists; prediction skill remains highest within the Atlantic Ocean,
520 with substantial errors persisting across the Pacific and Indian Oceans. Similarly, the Tara-
521 densified model (Fig. S16) still produces large errors in regions poorly covered by the original
522 cruise track, particularly in the high latitudes and the eastern Pacific Ocean. These results
523 demonstrate that simply increasing the density of samples along a spatially biased transect is
524 insufficient to compensate for the lack of data from entire ocean basins or across critical
525 environmental gradients.

526 **4 Discussion**

527 Understanding the global distribution of plankton is vital for studying biodiversity and
528 biogeochemical cycles in the ocean, given the significant feedbacks between plankton and their
529 environment. By focusing on realized niches of plankton, SDMs can map the abstract
530 environmental space, defined by multiple factors, into geographic regions. However, the
531 performance of SDMs may be influenced by various factors, leading to different levels of
532 uncertainty in predictions. It is important to note that this study uses virtual ecosystem data,
533 where the 'truth' is already known. This allows for a controlled assessment of SDM
534 performance, isolating key factors contributing to uncertainty while avoiding complications
535 arising from observational uncertainties. Our findings demonstrate that these factors include
536 the complexity of the SDM, the temporal and spatial coverage of the data, and the relative
537 importance of sample size versus spatial representation in overcoming sampling biases. In the
538 following we explore these different factors with respect to the results presented above.

539 **4.1 Model complexity and a Bias-Variance trade-off**

540 A bias-variance trade-off is a fundamental concept in machine learning and statistics
541 that describes the balance between two sources of error when building a model (Briscoe and
542 Feldman, 2011). Bias error describes the error introduced when a model is too simple to capture
543 the underlying patterns in the data. Variance error refers to the error associated with a model's
544 sensitivity to small fluctuations in the training data. A more complex model may be able to
545 capture more variability in the data, but this variability may include noise in the training data.

546 The trade-off between bias and variance generally occurs across a spectrum of model
547 complexity, influenced by both model structure and the number of adjustable parameters
548 (Friedman et al., 2001). Although we cannot quantify the exact complexity of each model, it is
549 clear that the linear and additive models have significantly lower complexity compared to the
550 machine learning models (RF, BRT, ANN). In Section 3.1, we examined the performance of
551 five models, ranging from a very simple generalized linear model to a much more complex
552 artificial neural network.

553 As one of the simplest SDMs evaluated, the GLM exhibits the least flexibility in
554 capturing the complex relationships within the data, leading to the highest bias, particularly
555 when predicting the training datasets. This rigid structure limits its effectiveness in dynamic
556 marine environments. GAMs are considered to offer a middle ground, with moderate
557 complexity and high interpretability, making them a popular choice for biogeographic
558 predictions of plankton (Bardon et al., 2021; Righetti et al., 2019). However, in our specific
559 case, the predictive performance of the GAM was extremely poor, especially when trained to
560 the AMT and Tara datasets. This was likely due to its sensitivity to the spatiotemporal gaps in
561 these datasets. Previous studies have shown that GAM can perform significantly better when
562 applied to randomized datasets that are evenly distributed in space (Bardon et al., 2021), but
563 our findings suggest that it struggles with the inherent biases present in real-world global
564 datasets.

565 At the other end of the complexity scale, the ANN showed excellent performance when
566 predicting the training dataset, but its significant error rates on the test data highlight high
567 variance and overfitting issues. This suggests that although ANN may initially appear to be the
568 best model based on its low bias error with respect to the training data, its inability to generalize
569 well to new data brings in a very high variance error that makes it less suitable for prediction.

570 Finally, the two tree-based algorithms, RF and BRT, demonstrate a reliable balance
571 between bias and variance, while both models lack the ANNs ability to precisely fit the training
572 data. Their performance with regard to unseen test data was subject to much less variability,
573 and thus gave more accurate predictions. The predictive skill and resilience to spatiotemporal
574 variability of the tree-based models make them the most stable and robust choice for modelling
575 plankton distributions in our study. Their ability to handle imbalanced datasets and avoid
576 overfitting through ensemble methods (Breiman, 2001) further supports their utility in
577 oceanographic modelling, where data coverage is often uneven and incomplete.

578 In general, our findings underscore the importance of choosing SDMs that can
579 effectively handle spatiotemporal biases, because real-world marine datasets are rarely perfect.
580 Tree-based models, particularly RF, provide a more reliable approach for marine SDM
581 modelling, where the balance between skill, stability, and generality is critical.

582 **4.2 Significance of sampling strategy on predictive SDMs**

583 Sampling efforts can greatly influence SDM performance because predictions are sensitive to
584 the biases present in the sampling data (Bardon et al., 2021; Inman et al., 2021; Liu et al., 2021).
585 The AMT cruises, while spanning a wide range of latitudes, exhibit a longitudinal bias, as the
586 transects are confined to in the Atlantic Ocean (Rees et al., 2024). In contrast, the Tara dataset
587 samples all ocean basins, but has limited coverage at the poles, leading to a latitudinal bias

588 (Sunagawa et al., 2015). These biases are reflected in the error distribution of our SDM
589 predictions (Fig 3).

590 We also explored the SDMs' sensitivity to changing the degree of inter- and intra-annual
591 variability in the training data. While intra-annual variability is associated with seasonal
592 dynamics, inter-annual variability may be driven by longer-term trends and climatic influences.
593 While capturing inter-annual variability was not associated with the same increases in
594 predictive skill, this could occur if our data source, the Darwin model, included less inter-
595 annual variability than the real ocean, thereby making inter-annual variability less important in
596 our experiments than it may be in the real world. However, it is likely that our insights that
597 accounting for intra-annual variability leads to far greater improvement in SDM predictions of
598 plankton than inter-annual variability still broadly holds. In contrast, previous studies have
599 demonstrated that long-term variability, particularly climate-related changes, can significantly
600 enhance niche predictions by capturing ecological responses to climatic drivers (Perez-Navarro
601 et al., 2021). Studies on other marine species, such as swordfish, have shown that low-
602 frequency, long-term variability is more effective in improving predictability compared to sub-
603 annual variability (Brodie et al., 2021). This difference may stem from the fact that plankton
604 communities are often more influenced by intense seasonal (intra-annual) changes due to their
605 short lifespans and rapid turnover rates (DeLong, 2012), whereas larger, longer-lived species
606 like swordfish are more sensitive to long-term climatic fluctuations.

607 Our results present a more nuanced view than the suggestion that sample size alone is
608 the most critical factor for SDM performance (e.g., Gaul et al., 2020). While the substantial
609 difference in sample size between the original Global and Tara datasets is a significant
610 confounding variable, our "densified sampling" experiment was designed to disentangle these
611 effects. In that experiment, we showed that the SDMs trained on the Tara-densified and AMT-
612 densified datasets still performed significantly worse than when trained on the Global dataset,

613 even though they contained a similar number of samples. This demonstrates that merely
614 increasing the density of samples along a spatially biased transect is insufficient to overcome
615 the limitations imposed by poor spatial coverage. Therefore, we conclude that sampling
616 strategy, achieving broad spatial and environmental coverage, is a more critical determinant of
617 SDM performance than sample size alone.

618 **4.3 Different SDM performance on functional types in SDMs**

619 Understanding the predictive capabilities for different PFTs is crucial for evaluating the
620 feasibility and reliability of SDMs in real-world applications. By examining the impacts of
621 sampling biases on PFTs with distinct habitat preferences, we can better assess whether SDMs
622 can accurately capture species distributions under varying environmental and data conditions.

623 In our study, sampling bias had less influence on the prediction of cosmopolitan species
624 like prokaryotes and pico-eukaryotes, as demonstrated by the comparable results across the
625 three datasets (Fig. 3). The case is different for diazotrophs and diatom with endemic biomass
626 distributions.

627 The Tara Ocean dataset has a latitudinal sampling bias, while the AMT dataset is
628 longitudinally biased. This difference in sampling bias affects species differently, depending
629 on their spatial distribution patterns. Diazotrophs, which exhibit a more longitudinal
630 distribution pattern, are more concentrated at low latitudes with higher densities in the western
631 Pacific and Atlantic Oceans and lower densities in eastern regions, likely influenced by the
632 availability of iron and phosphate (Shao et al., 2023). In contrast, diatoms follow a latitudinal
633 distribution pattern; they are most abundant in nutrient-rich areas at high latitudes, and accurate
634 predictions for diatoms require sampling that spans a wide latitudinal range with varying
635 nutrient levels (Malviya et al., 2016).

636 Our results from the randomized datasets clearly illustrate these contrasting patterns.
637 For diatoms, which thrive in nutrient-rich high latitudes, the original Tara dataset performed
638 poorly due to its under-sampling of polar regions (latitudinal bias). Consequently, when we
639 applied the randomized Tara sampling scheme, which uniformly covers all latitudes, diatom
640 predictions improved significantly (Fig. 6e). In contrast, the randomized-Tara sampling shows
641 no improvements in prediction accuracy for diazotrophs. Since diazotrophs are primarily
642 restricted to low-latitude warm waters, the original Tara dataset's concentration in these regions
643 captured their core habitat well. These findings underscore that the impact of sampling bias is
644 not uniform, it depends critically on whether the bias matches or misses the species' ecological
645 niche.

646 **4.4 Present and future prediction**

647 Comparing accuracy of SDMs for present day and future predictions is crucial for
648 understanding the robustness of SDMs under changing environmental conditions. In our study,
649 the future prediction of plankton biomass is generally worse than the present prediction of
650 plankton biomass except for diatoms (Fig. 3). This result can be attributed to two possible
651 reasons. On one hand, while using SDMs to predict the biogeography of plankton in the future
652 ocean, the correlations come from the trained model based on historical data, implicitly
653 assuming these ecological relationships remain identical in the future (Wiens et al., 2009). This
654 can be particularly problematic if the identified predictor variables are only correlates of the
655 plankton distributions, and not the true drivers (Bardon et al., 2021). Large errors can be
656 introduced if these correlations change through time, especially when the future test data,
657 compared to the present test data, diverges more significantly from the training data.

658 On the other hand, ocean dispersal processes, ranging from small-scale physical
659 phenomena to large-scale ocean circulation, play a significant role in driving phytoplankton
660 distributions (Clayton et al., 2013; Mousing et al., 2016; Yan et al., 2023). As a result, the

661 realized niche of plankton can expand to areas where environmental conditions or biotic
662 interactions may not be ecologically and physiologically suitable for their growth. The
663 dispersal effects can indeed result in the realized niche lying partially outside, rather than
664 exclusively within the fundamental niche. However, the environmental variables we used for
665 correlation are all attributes of the fundamental niche, so predicting environmental distributions
666 outside the fundamental niche may be challenging for SDMs.

667 Consequently, variations in phytoplankton biomass cannot be fully explained by SDMs,
668 which primarily focus on the relationships between biomass and abiotic environmental factors.
669 Further studies are needed to explore how correlations change with climate and dispersal
670 effects.

671 **4.5 Limitations and future prospects**

672 A primary consideration in interpreting our results is that we assessed SDMs using a "perfect
673 world" model framework, where we used output from a virtual ecosystem model as a testbed
674 rather than real-world observational data. The relationships between environmental drivers and
675 plankton biomass within the deterministic ecosystem model are inherently cleaner and more
676 defined than those in the complex and often noisy real ocean for which observations have
677 myriad confounding experimental errors and uncertainties. Consequently, the absolute
678 performance metrics (e.g., correlation coefficients, RMSD) reported here are likely optimistic
679 and represent an upper bound on the skill that could be expected when applying these SDMs
680 to sparse field observations. If SDMs perform poorly when assessed using model output, they
681 are likely to perform even more poorly when applied to real-world data.

682 However, this approach was a deliberate and necessary choice. By using a model where
683 the "ground truth" is perfectly known globally for present day and into the future, we were able
684 to isolate and rigorously quantify the specific impacts of sampling bias and algorithm choice

685 on SDM performance. This type of controlled assessment would be impossible with limited *in*
686 *situ* observational data, where the observations for validation are sparse and observational
687 errors would confound the analysis. This is particularly the case for the ocean ecosystem
688 analysis, where measurement techniques and uncertainties differ for different components of
689 the ecosystem. Thus, our study provides a unique and clean evaluation of these key sources of
690 uncertainty that are fundamental to all SDM applications.

691 While the relative performance ranking of the SDM algorithms and the identified
692 impacts of sampling bias are likely robust findings, future work is needed to apply these
693 insights to real-world plankton datasets. Such studies will need to carefully account for the
694 types of spatial and temporal sampling biases that we have highlighted, and ideally
695 experimental uncertainties as well. A crucial next step will be to use the lessons learned from
696 this controlled experiment to better constrain and interpret SDMs applied to the challenge of
697 predicting real-world plankton biogeography, thereby bridging the gap between theoretical
698 model performance and practical applications.

699 **5 Comments and recommendations**

700 This study compared the potential of several SDMs to predict plankton biogeography and found
701 that tree-based algorithms are the best choices for reliable performance against both test and
702 training data from virtual ecosystem where the truth was already known. A ‘bias-variance’
703 trade-off in model selection became apparent with more spatially incomplete training data. We
704 tested three different spatially and temporally biased datasets and highlighted the significant
705 impact of these biases on model performance. Models trained with less spatially-biased datasets
706 yielded more accurate predictions than those trained with more biased datasets. Our analysis
707 also underscored the importance of including higher temporal resolved observations also
708 significantly improved model robustness. We showed how the choice of sampling locations

709 influences model performance, especially for widely distributed vs endemic PFTs. Overall, our
710 findings underscore that it is necessary to consider sampling strategies and model choice in
711 predicting plankton biogeography. Further research could be done to test how the uncertainty
712 and assumptions of SDMs, including dispersal limitations and extrapolation in future
713 prediction, will influence their predictive skills.

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721 Neither the European Union nor the granting authority can be held responsible for them.

722 ***Data Availability Statement***

723 The physical model used in the Darwin simulation is the MIT General Circulation Model
724 (MITgcm), accessible at <http://mitgcm.org>. The generic ecosystem code is available
725 at <https://gitlab.com/jahn/gud>, and detailed equations and documentation can be found
726 at https://darwin3.readthedocs.io/en/latest/phys_pkgs/darwin.html. The Darwin model data
727 can be downloaded at <https://doi.org/10.7910/DVN/RPL6PT> and
728 <https://doi.org/10.7910/DVN/LQH9PX>. The SDMs model script can be accessed in GitHub
729 <https://github.com/ZhiboShao/uncertainty-and-predictability-of-plankton-SDM->. The data
730 can be found in Zenodo <https://doi.org/10.5281/zenodo.14219377> .

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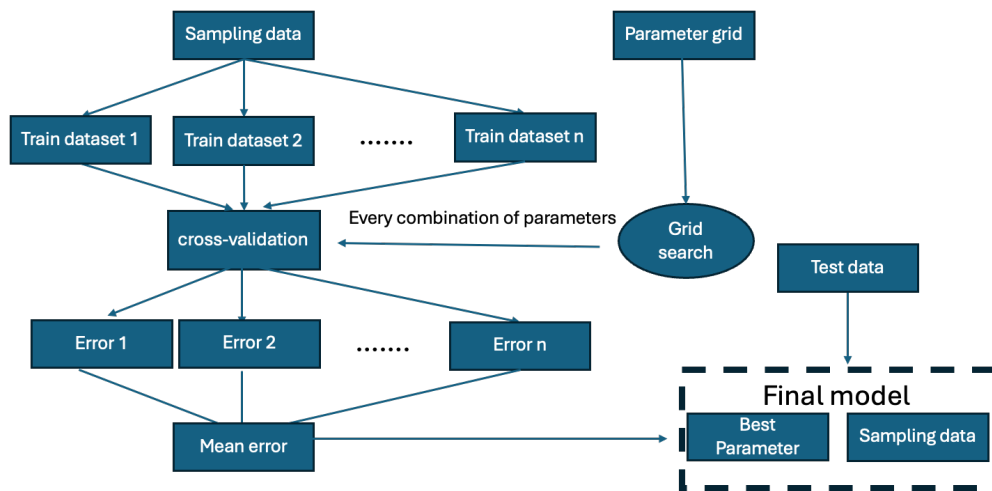
932 **Supplementary**

933 **Methods**

934 In this study, a variety of statistical and machine learning methods were employed to model species
935 distributions. Specifically, for Species Distribution Models (SDMs), Generalized Linear Models (GLMs),
936 Random Forest (RF), and Boosted Regression Trees (BRT), the scikit-learn (sklearn) package in Python was
937 utilized due to its robust and efficient implementation of these algorithms (Pedregosa et al., 2011). For
938 Generalized Additive Models (GAMs), the pyGAM package was chosen, offering a flexible framework for
939 modeling complex, non-linear relationships. Additionally, for constructing Artificial Neural Networks
940 (ANNs), PyTorch was used.

941 The GLM assumes linear relationships between predictors and outcomes, providing straightforward
942 interpretation but limited flexibility for complex patterns. While the GAM allows for nonlinear relationships,
943 making it better suited for ecological data with curved trends. The RF is a decision-tree ensemble that builds
944 multiple trees using randomly selected subsets of data and predictors, and then averages their predictions.
945 This approach improves accuracy and provides insights into feature importance while reducing overfitting.
946 The BRT is also a decision tree ensemble that refines predictions iteratively, enhancing model performance
947 and interpretability by focusing on harder-to-predict cases. The ANN used here is a multilayer perceptron
948 (MLP), which can capture complex, high-dimensional relationships in the data, making it suitable for
949 intricate ecological patterns. However, due to its layered structure, MLPs can be more challenging to
950 interpret compared to other models.

951 For species distribution models, RF, BRT, ANN, in which hyperparameters (Table S1) had to be optimised,
952 we performed a N-fold cross-validation procedure to determine the best combinations (Fig S1). In this
953 process, the training data were equally divided into N subsets, or folds. At each iteration, one fold served as
954 a validation set, while the remaining folds served as the training set. In each cross-validation, only one model
955 type was trained. N of these models were trained, where N is the number of folds.

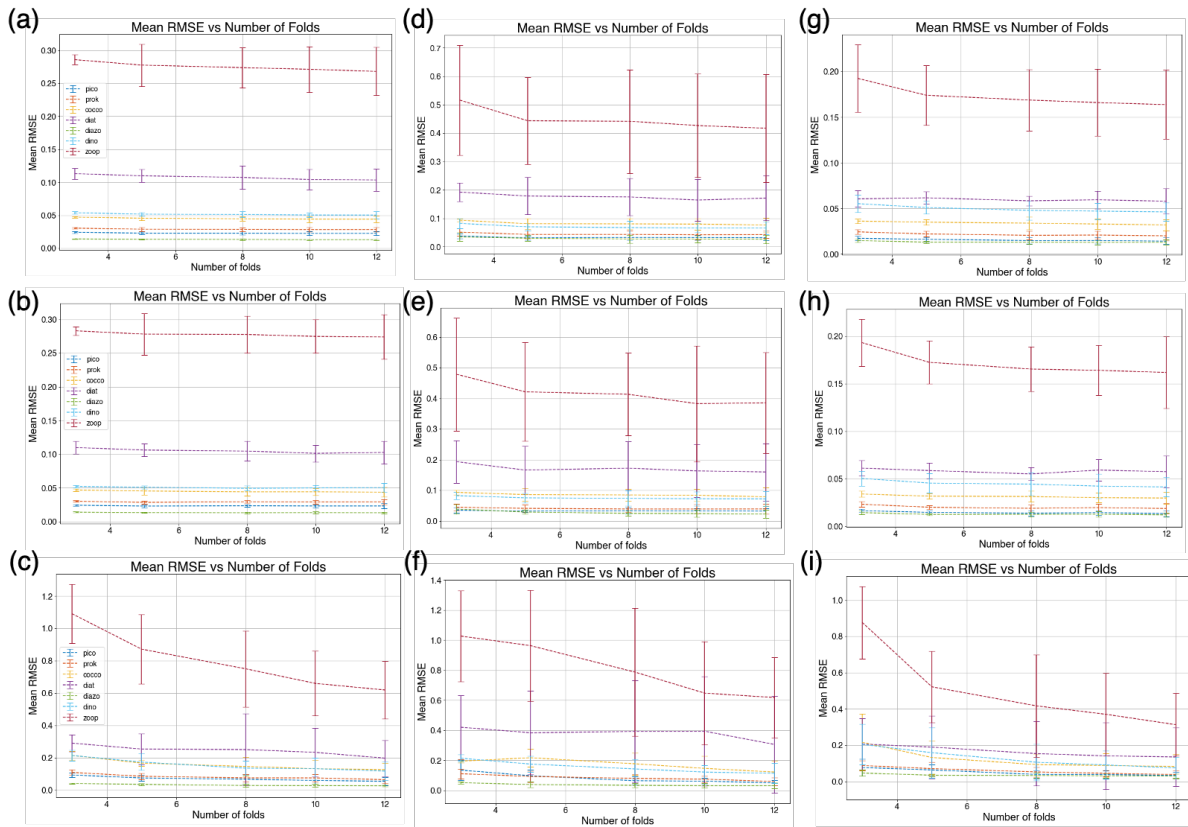


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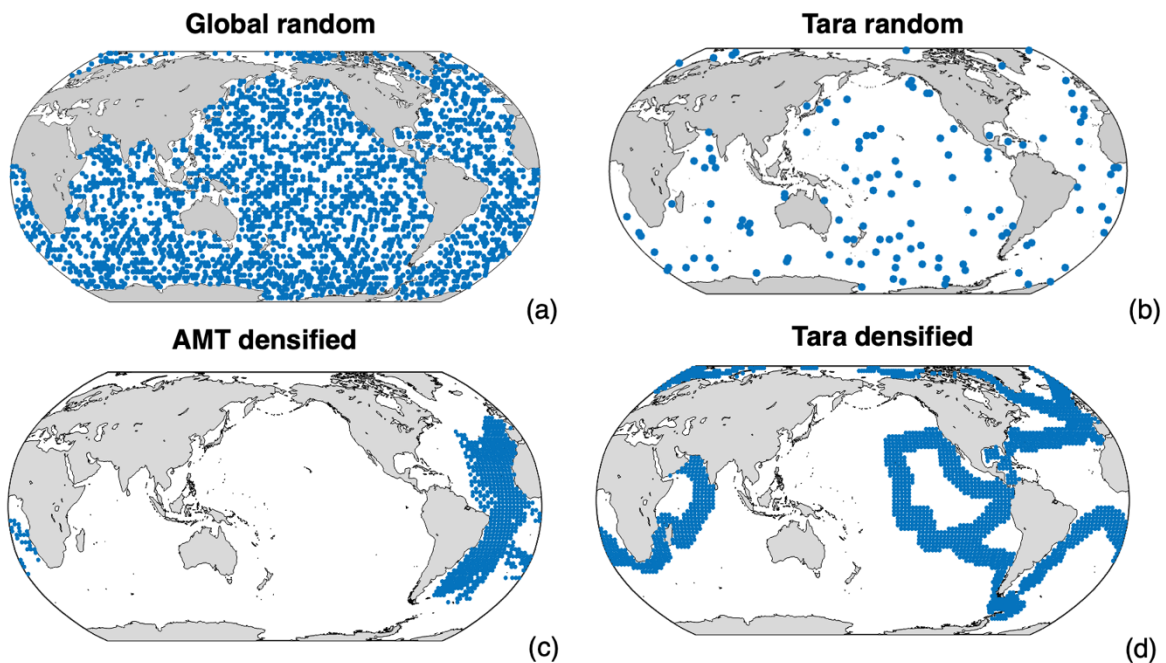
Figure S1: Flow chart of cross-validation in model training.

958 The performance results from all validation sets are then averaged to produce the final model's performance.
959 Based on these averaged performance metrics, we select the optimal hyperparameters for the model. We
960 tried five experiments for the number of CV folds (N = 3, 5, 8, 10, 12) in RF, BRT and ANN. The sensitivity
961 test demonstrates that the variance and mean error generated in the validation set did not result in significant
962 differences in BRT and RF (Fig S2). When folds number reaches 8, error of different folds in ANN prediction
963 stabilises. Therefore, we chose 8 as the number of CV folds in cross-validation for RF, BRT and ANN.



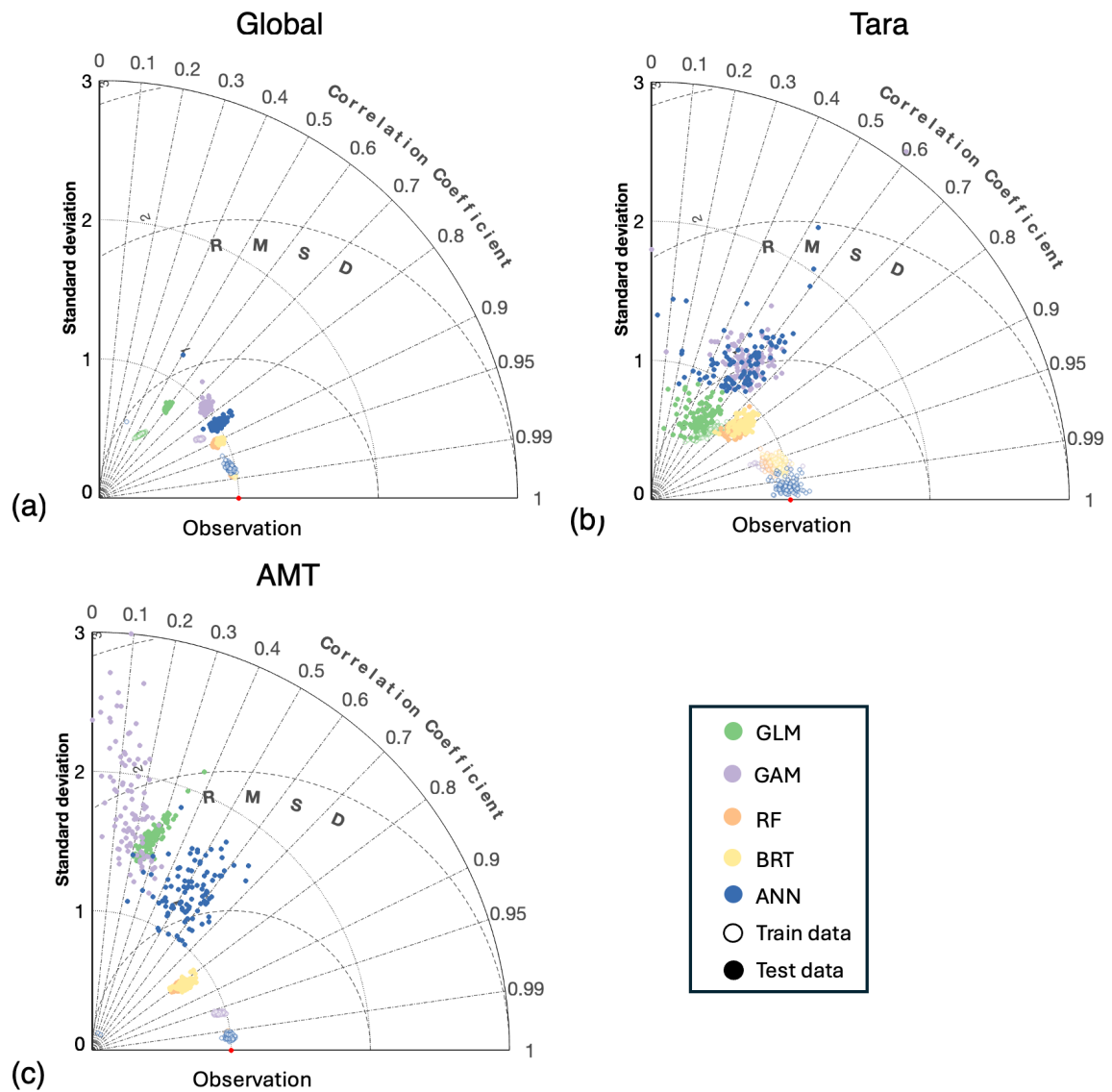
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965 *Figure S2: Sensitivity test of folds number in cross-validation of RF (a, d, g), BRT (b, e, h), ANN (c, f, i)*
 966 *trained by three types of datasets, the Global datasets (a-c), the Tara dataset (d-f) and the AMT dataset (g-*
 967 *i).*



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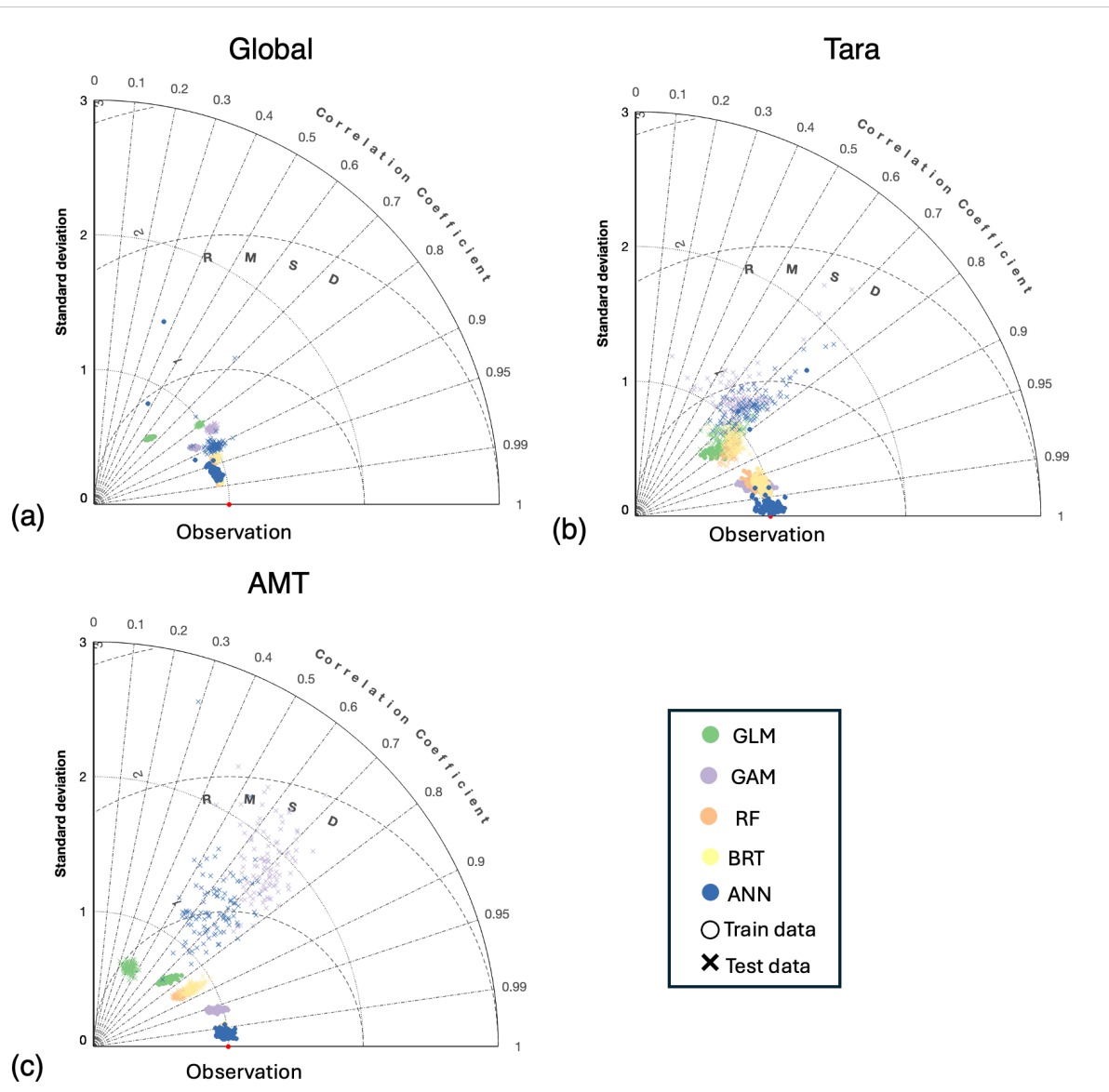
969 *Figure S3. Sampling locations for the experimental datasets used in this study. (a) Global random dataset,*
 970 *(b) Tara random dataset, (c) AMT densified dataset, and (d) Tara densified dataset. These spatial*
 971 *distributions represent the sampling schemes used for different experimental configurations.*



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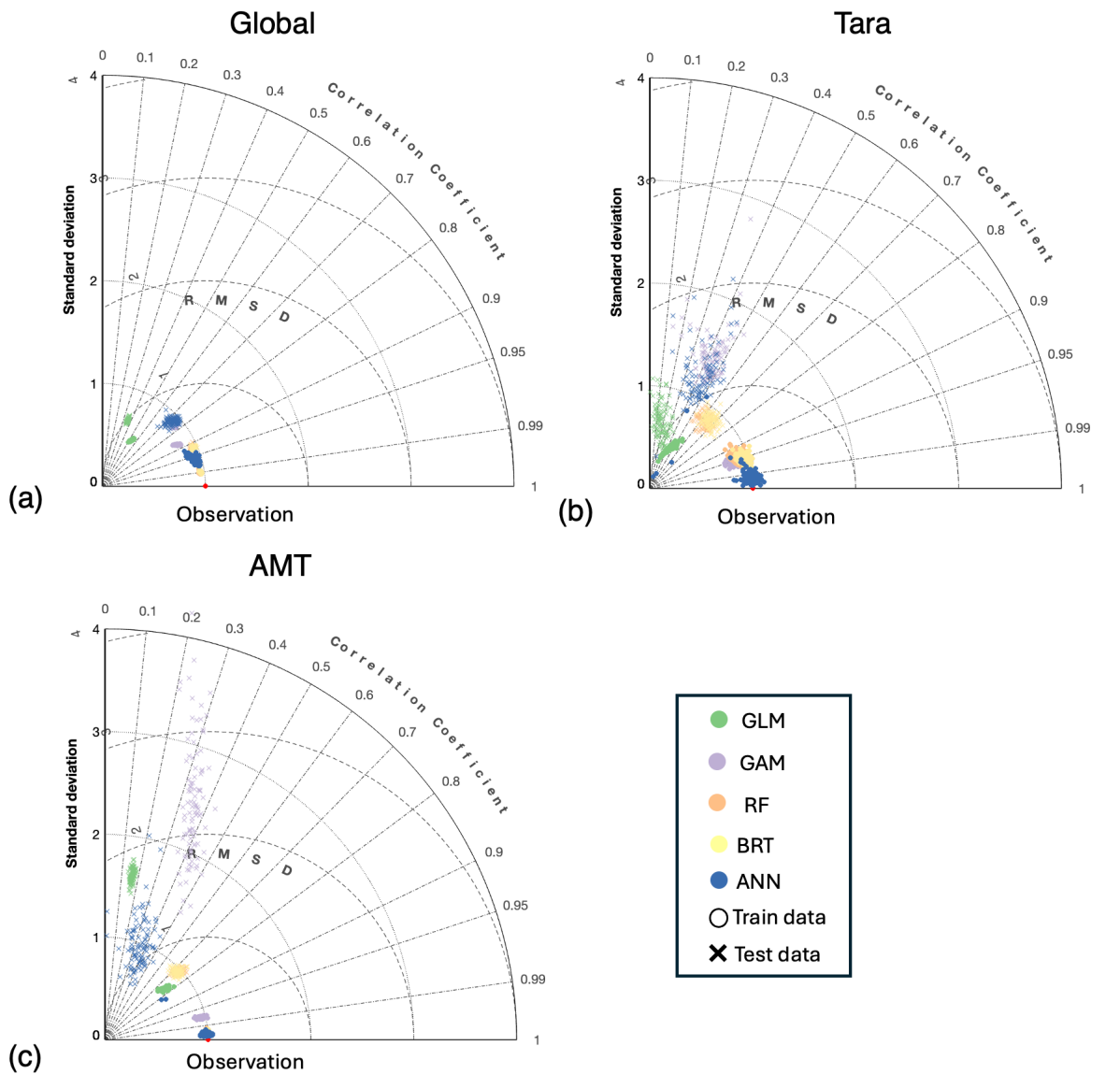
974 *Figure S4: Model performances of SDMs for picoeukaryotes in 100 bootstrap runs based on global datasets*
 975 *(a), AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and*
 976 *the crosses represent the testing datasets. In this case, the standard deviations have been normalized by*
 977 *dividing them by the standard deviations of the observations.*

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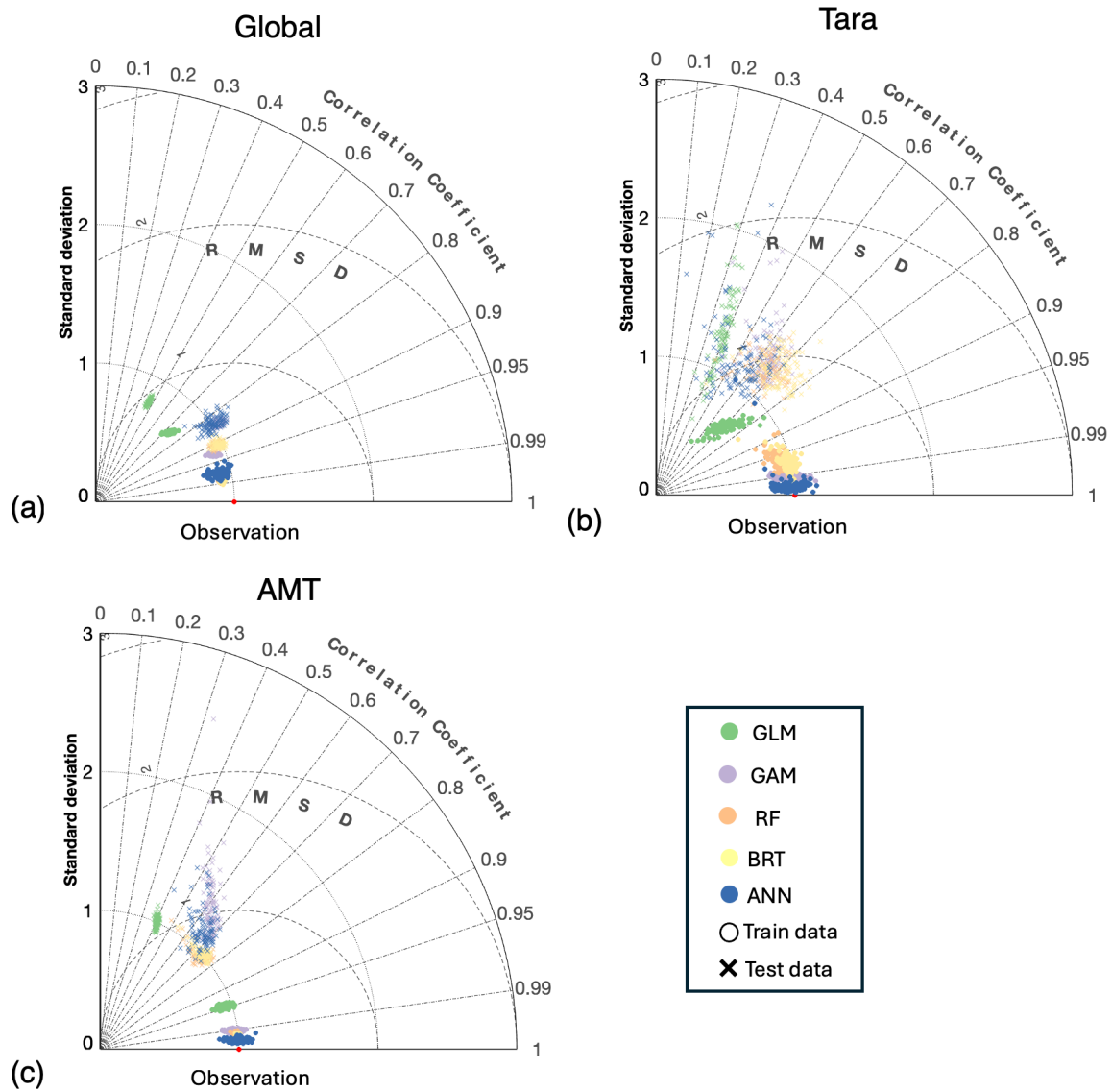
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980 *Figure S5: Model performances of SDMs for prokaryotes in 100 bootstrap runs based on global datasets*
 981 *(a), AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and*
 982 *the crosses represent the testing datasets. In this case, the standard deviations have been normalized by*
 983 *dividing them by the standard deviations of the observations.*



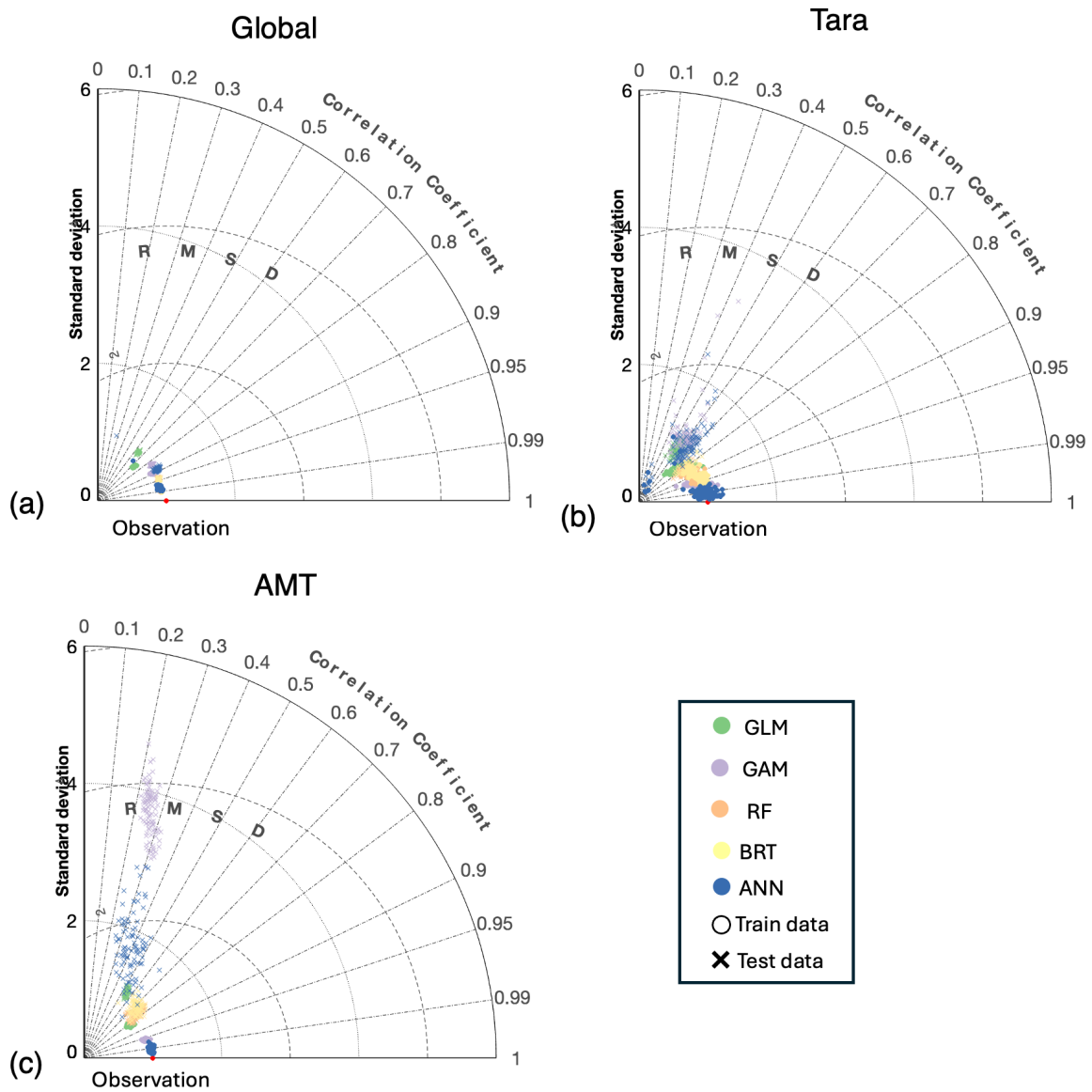
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985 *Figure S6: Model performances of SDMs for coccolithophores in 100 bootstrap runs based on global*
 986 *datasets (a), AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training*
 987 *datasets and the crosses represent the testing datasets. In this case, the standard deviations have been*
 988 *normalized by dividing them by the standard deviations of the observations.*



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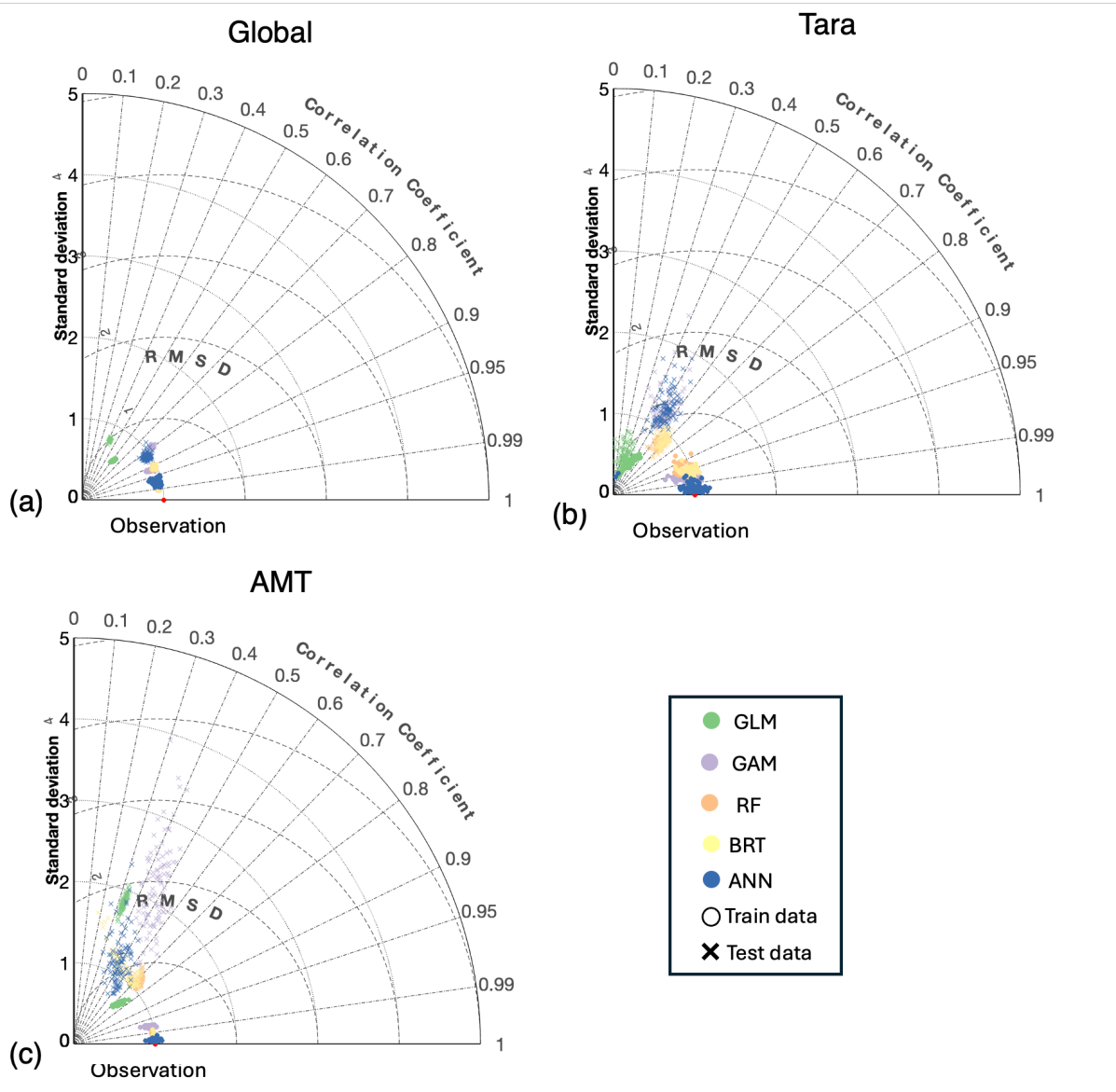
990 *Figure S7: Model performances of SDMs for diatoms in 100 bootstrap runs based on global datasets (a),*
 991 *AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and the*
 992 *crosses represent the testing datasets. In this case, the standard deviations have been normalized by dividing*
 993 *them by the standard deviations of the observations.*



994

995 *Figure S8: Model performances of SDMs for diazotrophs in 100 bootstrap runs based on global datasets (a),*
 996 *AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and the*
 997 *crosses represent the testing datasets. In this case, the standard deviations have been normalized by dividing*
 998 *them by the standard deviations of the observations.*

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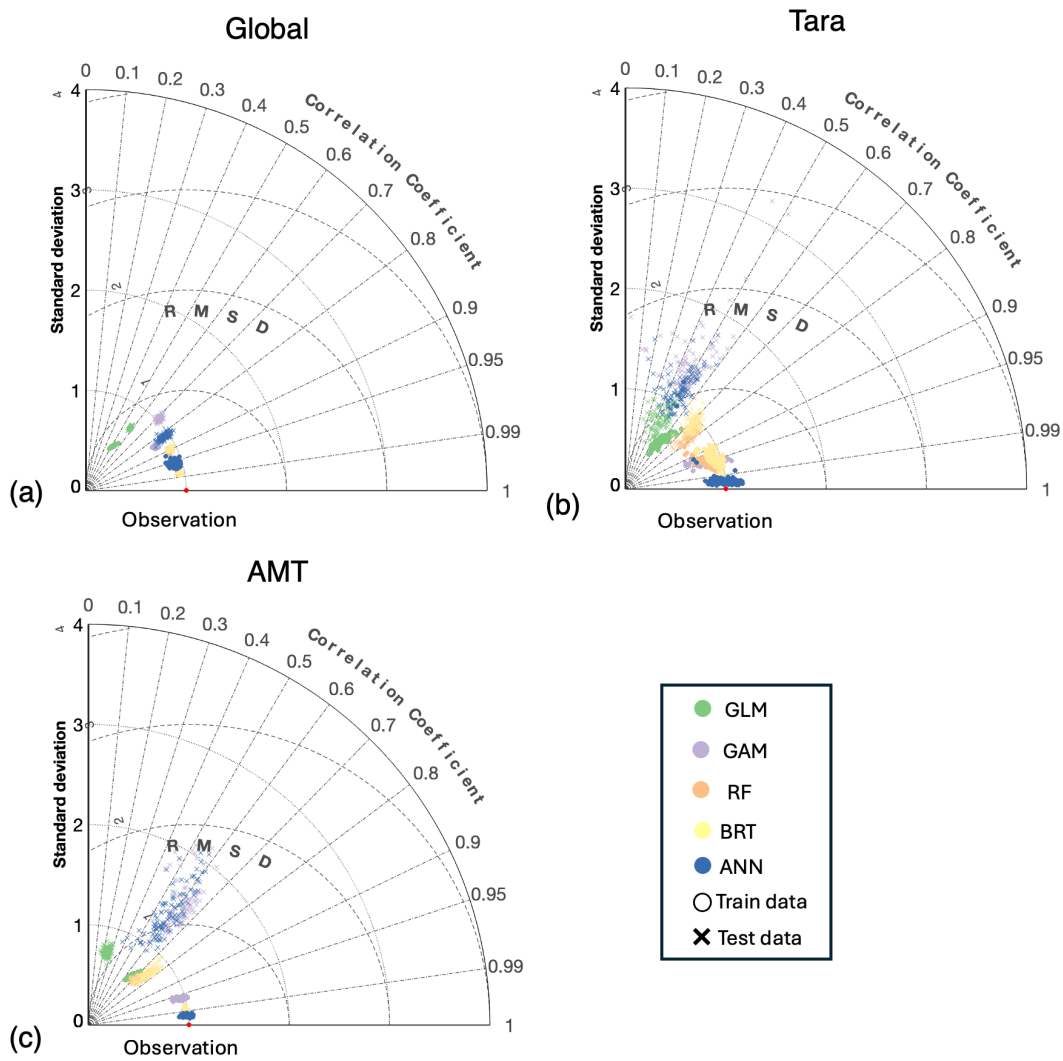
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1001 *Figure S9: Model performances of SDMs for dinoflagellates in 100 bootstrap runs based on global datasets*
 1002 *(a), AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and*
 1003 *the crosses represent the testing datasets. In this case, the standard deviations have been normalized by*
 1004 *dividing them by the standard deviations of the observations.*

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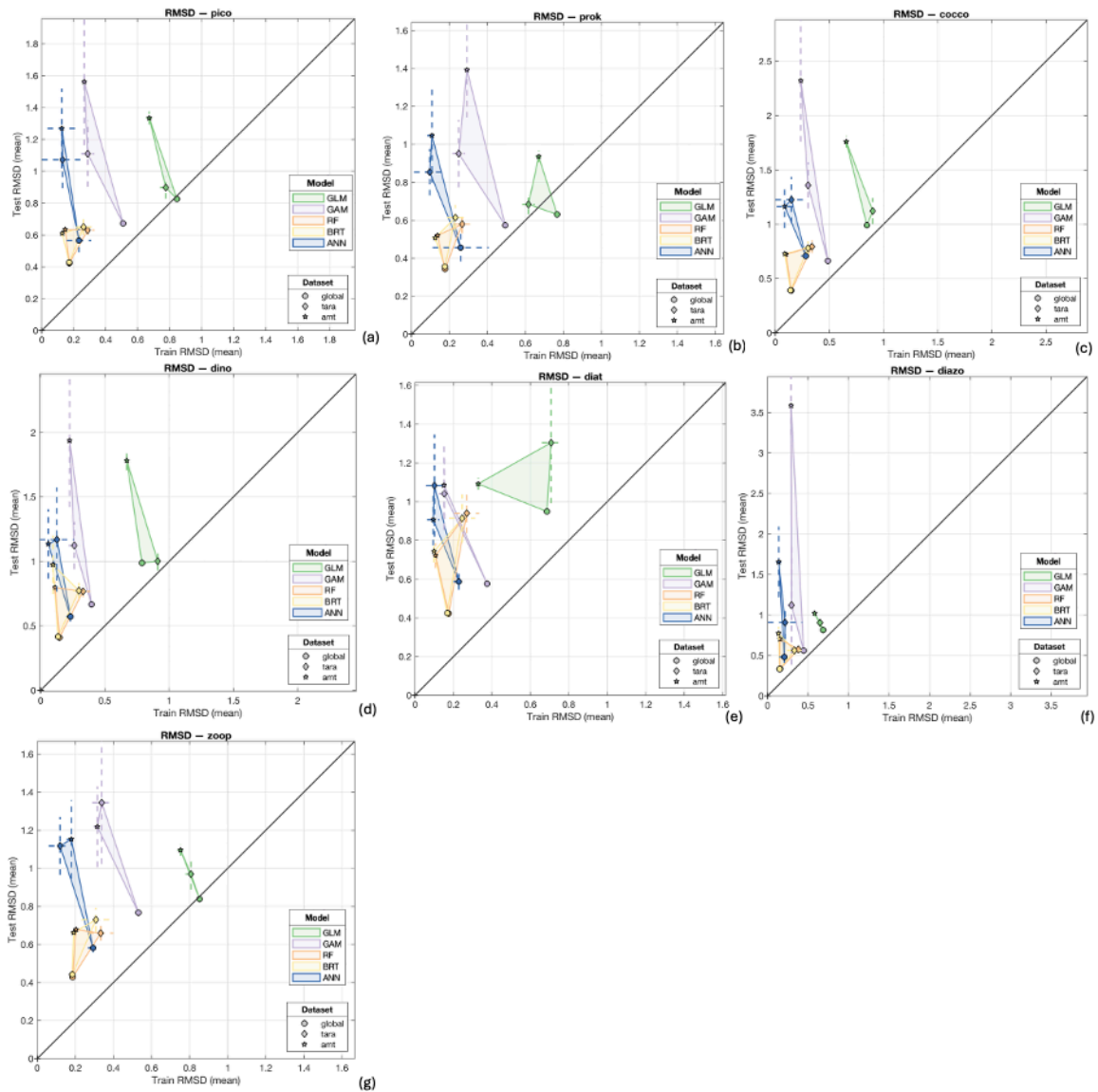
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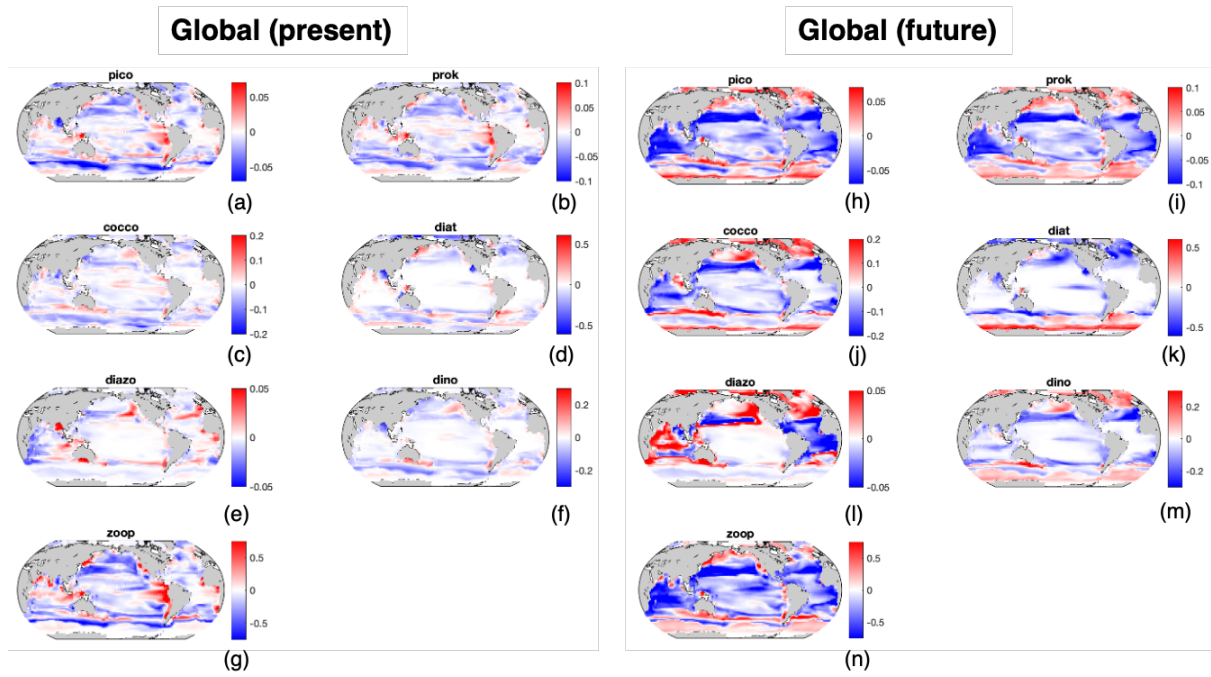
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1009 *Figure S10: Model performances of SDMs for zooplankton in 100 bootstrap runs based on global datasets*
 1010 *(a), AMT datasets (b), and Tara Ocean datasets (c). Dots represent the predictions on training datasets and*
 1011 *the crosses represent the testing datasets. In this case, the standard deviations have been normalized by*
 1012 *dividing them by the standard deviations of the observations.*



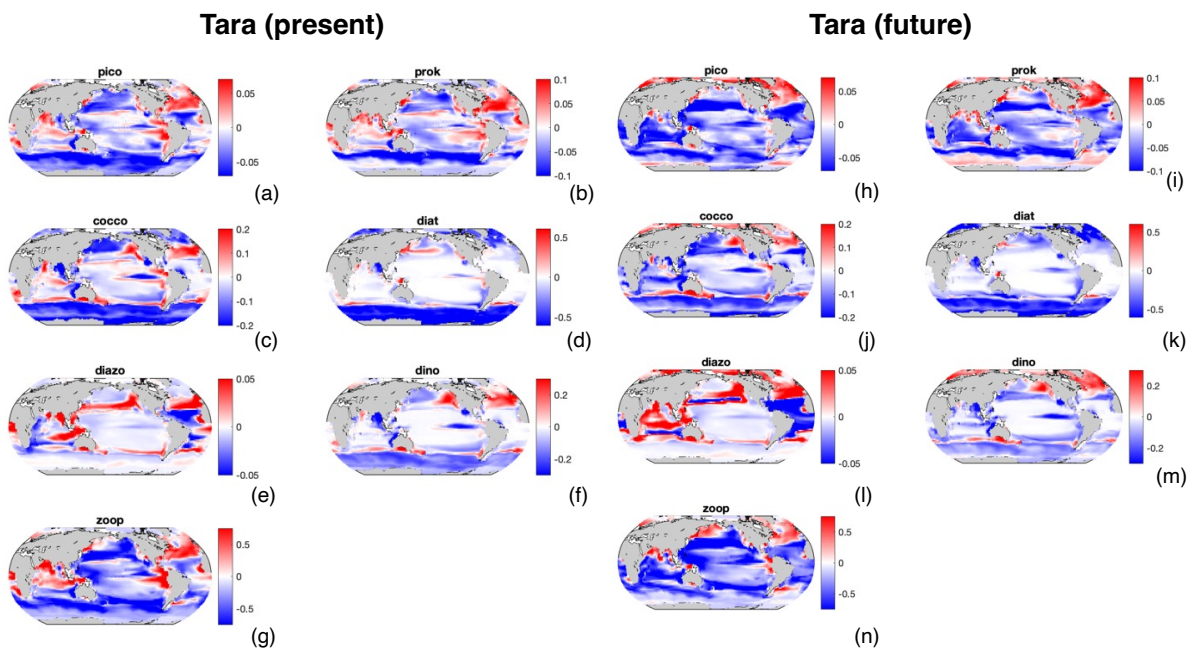
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1014 *Figure S11. Train–test RMSD for seven plankton functional groups: (a) pico, (b) prok, (c) cocco, (d) dino,*
 1015 *(e) diat, (f) diazo, and (g) zoop. Points show the mean RMSD from 100 bootstrap resamples; dashed*
 1016 *crossbars indicate ± 1 SD (horizontal = train, vertical = test). Marker color denotes model and*
 1017 *marker shape denotes dataset (global, tara, amt). Thin lines connect the paired train–test means for each*
 1018 *model–dataset, translucent patches outline the model-wise convex hull across datasets, and the 1:1 line*
 1019 *indicates equal train–test performance.*



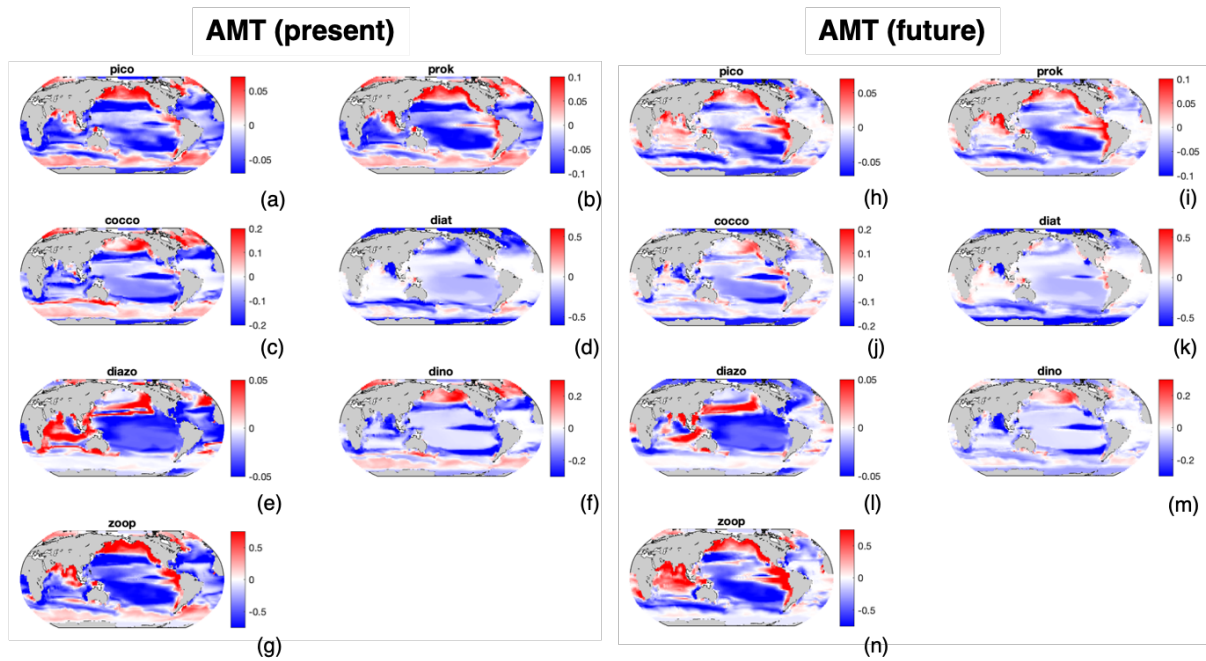
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1021 *Figure S12. Residual map for RF models in the present (a-g) and future (h-n) ocean based on the Global*
 1022 *dataset.*



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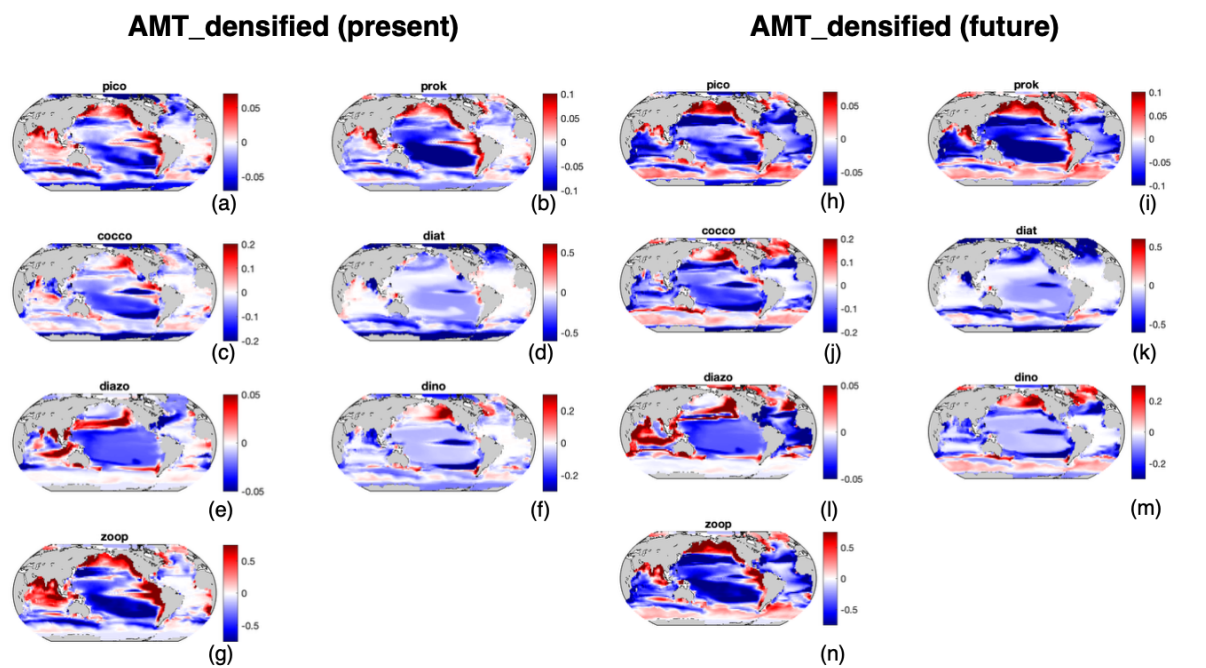
1024 *Figure S13. Residual map for RF models in the present (a-g) and future (h-n) ocean based on Tara datasets.*



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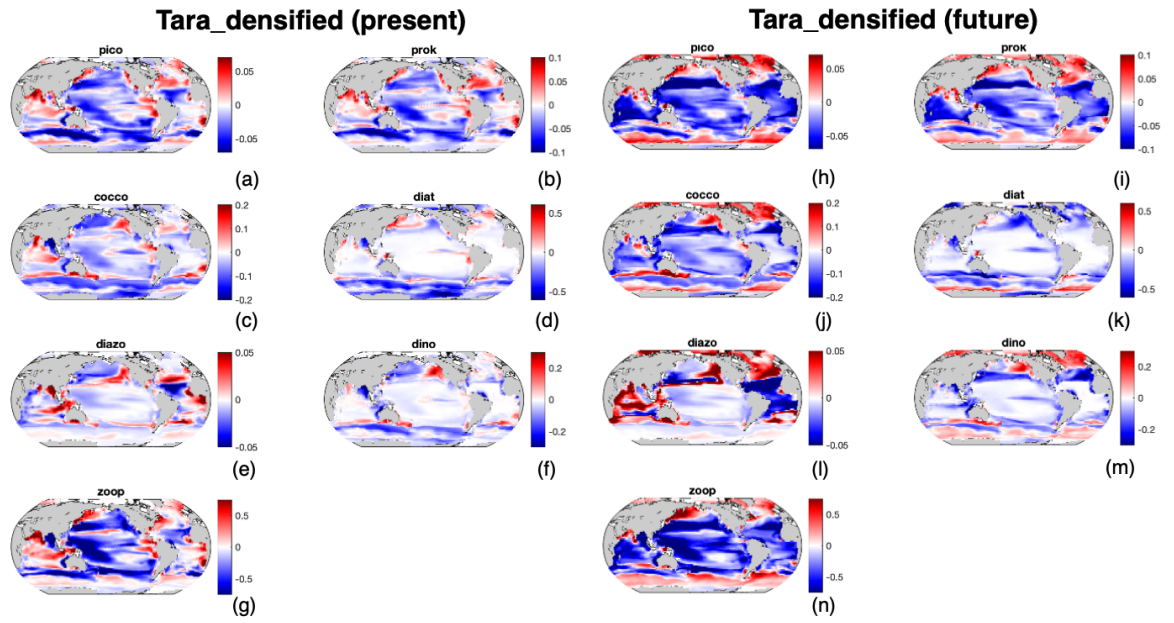
1027 *Figure S14. Residual map for RF models in the present (a-g) and future (h-n) ocean based on AMT datasets.*



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1029 *Figure S15. Residual map for RF models in the present (a-g) and future (h-n) ocean based on AMT-densified*
 1030 *datasets.*

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1033 *Figure S16. Residual map for RF models in the present (a-g) and future (h-n) ocean based on Tara-densified*
 1034 *datasets.*

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1040 **Table S1 Summary of hyperparameter options in SDMs**

RF		BRT		ANN	
parameter	options	parameter	options	parameter	options
n_estimator ^a	100, 200, 300	n_estimator	100, 200, 300	hidden_layer _sizes ^f	(100, 100), (100, 50), (50, 25)
max_depth ^b	None, 10, 20	max_depth	3, 4, 5	learning_rate ^g	0.01, 0.001, 0.0001
min_samples_s plit ^c	2, 5, 10	min_samples _split	2, 5, 10	num_epochs ^h	200, 500, 1000
max_feature ^d	1, 'sqrt', 'log2'	learning_rate ^e	0.05, 0.1, 0.2		

- 1041 *a: Specifies the number of trees in the forest, affecting model accuracy and stability*
 1042 *b: Limits the maximum depth of each tree to control complexity and prevent overfitting.*
 1043 *c: Sets the minimum number of samples required to split an internal node, helping to regulate model granularity*
 1044 *d: Number of features considered for each split, influencing diversity among trees.*
 1045 *e: Shrinkage factor applied to each tree's contribution to reduce overfitting.*
 1046 *f: Number and size of layers in the neural network, controlling model capacity and complexity.*
 1047 *g: Rate at which weights are updated during training, impacting convergence speed.*
 1048 *h: Number of times the training data is passed through the network, influencing training thoroughness.*

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1050 **Table S2 Summary of weights used in weighted SDM**

Cluster	Region	Train datasets	Fraction of ocean area	Number of sampling points	Weights
1	North Pacific subtropic gyre and 40S		0.16	124	0.0013
2	Southern Ocean		0.12	9	0.0137
3	Indian ocean, tropical Pacific and South subtropical Atlantic	AMT	0.44	166	0.0027
4	Arctic Ocean		0.02	NA ^a	NA ^a
5	subpolar Pacific and Atlantic		0.12	96	0.0012
6	Tropical and North subtropical Atlantic		0.13	829	0.0002

1	North Pacific subtropic gyre and 40S	0.16	8	0.0200
2	Southern Ocean	0.12	4	0.0309
3	Indian ocean, tropical Pacific and South subtropical Atlantic	0.44	66	0.0067
			Tara	
4	Arctic Ocean	0.02	15	0.0016
5	subpolar Pacific and Atlantic	0.12	18	0.0065
6	Tropical and North subtropical Atlantic	0.13	20	0.0067
1	North Pacific subtropic gyre and 40S	0.16	369	0.0004
2	Southern Ocean	0.12	84	0.0015
3	Indian ocean, tropical Pacific and South subtropical Atlantic	0.44	1479	0.0003
			Global dataset	
4	Arctic Ocean	0.02	8	0.0030
5	subpolar Pacific and Atlantic	0.12	346	0.0003
6	Tropical and North subtropical Atlantic	0.13	881	0.0002

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