

# Scale-dependent controls on forest carbon uptake across hydroclimatic extremes

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# Abstract

Conifer forests span some of the most climatically contrasting environments on Earth, from energy-limited Boreal systems to water-limited semi-arid ecosystems. Whether their carbon uptake is governed by universal drivers or by site-specific boundary conditions remains unresolved. Using more than two decades of eddy-covariance and multi-depth soil moisture measurements from two climatic end-members of evergreen needleleaf forests, Hyytiälä, Finland (Boreal) and Yatir, Israel (semi-arid Mediterranean), we isolate the ecophysiological controls on carbon uptake by restricting the analysis to photosynthetically active radiation (PAR)-saturated conditions and explicitly separating seasonal dynamics from daily residual variability. For each dataset, we apply Random Forest modelling (tested against baseline Generalized Linear & Additive Models) with SHAP analysis to identify dominant drivers and environmental thresholds.

At the seasonal scale, NEP was governed by distinct boundary conditions in each forest. In Hyytiälä, precipitation had dominant control, sustaining evapotranspiration and reflecting a radiation regime characterized by high diffuse fractions. In Yatir, deep soil water availability controlled both the timing and magnitude of productivity, with a critical threshold at  $\sim 15.9\%$  vol in the deepest measured layer ( $\sim 45$  cm). This threshold marked the transition from dry-season legacy constraints, where vertical soil potential gradients limit root uptake, to conditions permitting sustained transpiration and productivity.

At the daily residual scale, both forests showed strong sensitivity to shortwave radiation and vapour pressure deficit (VPD), despite contrasting climatologies. Elevated VPD reduced peak daily productivity by more than 50% at both sites, although sufficient deep soil moisture mitigated this effect in the semi-arid forest. Notably, both forests exhibited a similar optimal air temperature range (14–20 °C), indicating a conserved physiological optimum despite divergent hydroclimatic limitations.

Our results demonstrate that carbon uptake in evergreen needleleaf forests is structured by site-specific hydrological boundary conditions at seasonal scales, while atmospheric stress regulates short-term variability. This scale-dependent hierarchy of controls challenges the notion of universal productivity drivers and highlights the importance of subsurface hydrology and rainfall regime characteristics for predicting forest carbon responses to climate change.

## 1 Introduction

Forest ecosystems play an important role in the global carbon cycle and in mediating climate change, capturing 3.5–3.6 Pg C yr<sup>-1</sup> fossil fuel emissions and storing an estimated 870 Pg of carbon in biomass and soil (Pan et al., 2024; IPCC, 2023). Over recent decades, the global forest

carbon sink has remained relatively stable despite trend changes in global atmospheric CO<sub>2</sub> and temperature – this apparent stability, however, masks substantial regional variability, with sink strength changing by more than 30% across regions (Pan et al., 2024; Hubau et al., 2020). In addition to anthropogenic forest thinning and planting, global warming significantly changes sink strength by influencing ecosystem respiration, enabling pest outbreaks and wildfires, and increasing sensitivity to climate extremes (Settele et al., 2014; IPCC, 2023; Curtis and Gough, 2018; Park et al., 2019; Hammond et al., 2022). The latter poses a sustained threat to productivity, the crux of input to the forest carbon balance and carbon-sink activity.

Studies have demonstrated that factors such as leaf-area index, shortwave radiation, precipitation, air temperature, and vapour-pressure deficit (VPD) control productivity globally and across biomes (Estiarte et al., 2016; Kong et al., 2022; Zhou et al., 2023; Shi et al., 2023). There is evidence, however, that responses to climate drivers and stress is highly regional due to unique site characteristics, including stand age and management, unequal (regional) intensification of climate extremes, and offsets between the hydrologic and productive seasons (Pregitzer and Euskirchen, 2004; Thom et al., 2019; Park et al., 2019; Bartsch et al., 2020; Li et al., 2022; Markos et al., 2024). In addition, forest productivity is potentially undergoing concurrent long-term changes driven by rising atmospheric CO<sub>2</sub>, climate warming, and alterations in stand age, structure, and management practices (Pascual et al., 2026). The effects of these, however, has been inconsistent within plant-functional type and across stand age (Hubau et al., 2020; Van Der Sleen et al., 2015; Hättenschwiler et al., 1997). Therefore, while photosynthesis may respond similarly across ecosystems to main climate drivers, there are likely site-specific limitations and conditions that influence how productive a given site may be, and how precisely we can explain and model these changes. The key question remains - are there universal environmental drivers that regulate forest productivity, or do site-specific boundary conditions govern carbon uptake in different climatic contexts? Moreover, how can we quantitatively compare the two effects?

Evergreen needle-forests (ENF) are a useful baseline ecosystem for evaluating this question, given that they are important global carbon sinks that span a broad range of biomes (Pastorello et al., 2020). To this point, ENFs have adapted to contrasting climates such as the cold-wet Boreal and the hot-dry Mediterranean, which are both regions that are currently potentially at risk of becoming carbon sources due to unique combinations of drought, rising temperatures and human interference (Appiagyei et al., 2022; Zhang et al., 2009; Beer et al., 2010; IPCC, 2023). The sensitivity in eco-physiological response to climate and environmental drivers in these contrasting environments would therefore reveal whether there is a consistent response across regions, or region-based boundary conditions.

Despite persistent efforts to determine the controlling factors on forest productivity worldwide, not much emphasis has been put on isolating productivity within its temporal boundaries. Productivity has been assessed- either gross primary production (GPP) or net ecosystem

production (NEP, GPP factoring in ecosystem respiration), from sub-daily to annual scales (Zhou et al., 2023; Ezhova et al., 2025; Sun et al., 2018; Wang et al., 2022) while including seasons or times of the day when forests, especially in the northern hemisphere, are inactive or are responding to changing light conditions (i.e., winter, dry-season, sunrise/sunset). At the annual scale, this may lead to an oversimplification of the effect of temperature and precipitation due to a strong seasonal cycle that masks daily variability and weather event intensification under climate change (Smith, 2011).

At the daily and sub-daily scale, photosynthesis exhibits a strong, at least partly trivial response to changes in light intensity associated with diurnal and seasonal light cycles. The strong response in GPP and NEP to light at these scales comes from the linear portion of the light response curve (Marshall and Biscoe, 1980), which correlates strongly with the solar angle and light intensity changing in the early and later hours of the day. As a result, shortwave radiation or photosynthetically-active radiation (PAR, which is strongly correlated with the former) often emerge as dominant controlling factors over GPP and NEP (Mäkelä et al., 2006; Wu et al., 2017; Han et al., 2020; Zhou et al., 2023), potentially masking or down-playing the importance of other atmospheric or environmental factors.

While these approaches have yielded valuable insights, they are commonly limited by (1) short observational records that face challenges in separating natural variability from discrete responses to weather extremes and long-term trends, (2) aggregation across seasonal and diurnal cycles, and (3) an emphasis on correlation rather than quantification of control. These limitations are further compounded by the scarcity of long-term study sites with multi-depth soil moisture measurements (Pastorello et al., 2020), restricting the ability to assess the role of subsurface hydrology in regulating productivity.

Here, we introduce a framework to disentangle the controls on forest carbon uptake across timescales. Specifically, we (1) restrict analysis to PAR-saturated conditions to remove the trivial light-driven response, (2) decompose NEP into seasonal and residual components to isolate slow versus fast dynamics, and (3) apply explainable machine learning to quantify scale-dependent controls and thresholds. This approach allows us to test whether environmental drivers are consistent across timescales or reorganize under different boundary conditions. In this context, we focus on variables that directly constrain ecosystem function at seasonal to daily timescales, rather than slower-changing background drivers such as atmospheric CO<sub>2</sub> concentration.

## 2 Materials and methods

### 2.1 Locations

This study aims to compare the controlling factors for carbon capture in two evergreen-needle forests (ENFs), each containing long-term eddy-covariance FLUXNET towers, on opposite ends of the conifer distribution range: the Boreal Hyytiälä forest, Finland (61°51'N, 24°17'E, 160-180 m a.s.l., SMEAR II site record 1997-current - "HYY" (Hari et al., 1994)) and the semi-arid Mediterranean Yatir forest, Israel (31°20'N, 35°3'E, 550-700 m a.s.l., site record 2000-current - "YAT" (Rotenberg and Yakir, 2010)). Both forests were planted primarily with pine species in the 1960's: Aleppo pine (*Pinus halepensis*) in YAT at the desert edge, and Scots pine (*Pinus sylvestris* L.) in HYY, after a prescribed burning in 1962 (Aslan et al., 2024). Both forests also share a similar, relatively shallow soil-profile that is on average less than one metre (Food and Agriculture Organization of the United Nations and UNESCO, 1990).

HYY is the northernmost ENF site with minimum 20-year record (including soil-water content at multiple depths) and a mean annual temperature (MAT) that is above zero (3.8 °C, Suni et al. (2003); Pastorello et al. (2020)). There, the forest receives a mean annual precipitation (MAP) of 709 mm, and the productive season is constrained by temperature (mean daily temperature range of approximately -20 °C to 23 °C) and light sensitivity (Suni et al., 2003; Tanja et al., 2003). Yatir is the southernmost ENF site in the northern hemisphere, and there the productive season is less limited by temperature (MAT range of 4 °C to 32 °C), and mainly by a short and modest rainfall season (285 mm within October-April). Given the respective temperature and rainfall limitations, both forests experience an approximate six-month inactive (non-productive) season - the winter dormancy in HYY (November-April), and the hot summer dry-season in YAT (May-October).

### 2.2 Data

25-year records from both sites contain the following common measurements: Shortwave radiation (SW), longwave radiation (LW), air temperature (TA), relative humidity (RH), vapor-pressure-deficit (VPD), air pressure (PA), precipitation (P), wind-speed (WS), soil water content (SWC) at three approximate depths (0-15; 25-35;  $\geq 45$  cm; referred to as I, II, III), and net ecosystem production (NEP, the inverse sign of the measured net ecosystem exchange of CO<sub>2</sub>). Note that positive NEP indicates carbon uptake by the ecosystem, while negative NEP indicates carbon lost to the atmosphere, and that in this study, we are presenting values of NEP in grams of Carbon.

We kept VPD and dropped RH from the feature (variable) list. While VPD is calculated from TA and RH, and is therefore correlated with both, TA and VPD have unique implications on leaf biochemical and stomatal responses (respectively), whereas RH would neither significantly improve

model-learning nor interpretation of the results. Half-hourly data was aggregated to daily using the sum for P and NEP, and the mean for the remaining features. Due to constraints in the SWC records in both locations, the portion of data used for modelling was refined to approx. 20 years for both sites: in HYY, 1998-2017; and YAT, 2005-2025, while shorter records of evapotranspiration (ET), diffuse shortwave radiation ( $SW_{DIF}$ ) and soil temperature (TS) were kept to analyze results. Table 1 summarizes the acronyms, variable units and record lengths (where applicable) used in this study.

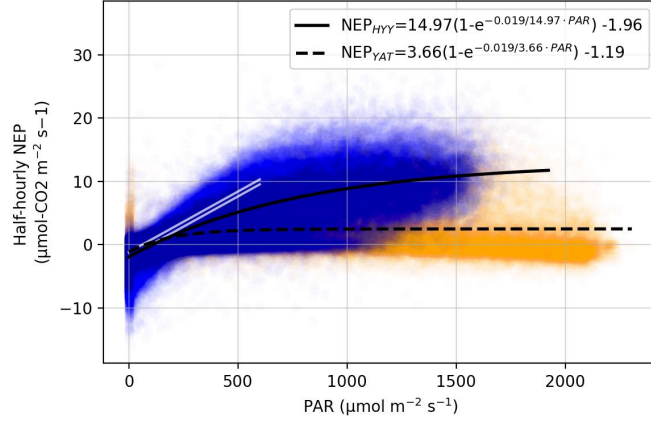
**Table 1:** List of common acronyms throughout the study, with units and approximate record length of measurements and derived values (the shortest record length given the two forests).

| Acronym    | Term                                | Description   |
|------------|-------------------------------------|---|
| HYY        | Hyytiälä forest, Finland            | Boreal  |
| YAT        | Yatir forest, Israel                | Semi-arid   |
| S          | "Seasonal"                          | Referring to the seasonal dataset, <a href="#">2.2.2</a>              |
| R          | "Residual"                          | Referring to residual dataset, <a href="#">2.2.2</a>                  |
| ET         | Evapotranspiration                  | $\text{mm day}^{-1}$ , $\sim 25$ years                                |
| LW         | Direct longwave radiation           | $\text{W m}^{-2}$ , $\sim 25$ years                                   |
| NEP        | Net ecosystem production            | $\text{g-C m}^{-2} \text{day}^{-1}$ , $\sim 25$ years                 |
| P          | Precipitation                       | $\text{mm day}^{-1}$ , $\sim 25$ years                                |
| PA         | Air pressure                        | Pa, $\sim 25$ years   |
| PAR        | Photosynthetically active radiation | $\mu\text{mol m}^{-2} \text{s}^{-1}$ , $\sim 10$ years                |
| RH         | Relative humidity                   | %, $\sim 25$ years  |
| SW         | Direct shortwave radiation          | $\text{W m}^{-2}$ , $\sim 25$ years                                   |
| $SW_{DIF}$ | Diffuse shortwave radiation         | $\text{W m}^{-2}$ , $\sim 10$ years                                   |
| SWC        | Soil water content                  | %vol, $\sim 20$ years   |
| SWP        | Soil water potential                | MPa, $\sim 20$ years  |
| TA         | Air temperature                     | $^{\circ}\text{C}$ , $\sim 25$ years                                  |
| TS         | YAT soil temperature                | $^{\circ}\text{C}$ , $TS_{II}:\sim 15$ years; $TS_{III}:\sim 5$ years |
| VPD        | Vapour-pressure deficit             | hPa, $\sim 25$ years  |
| WS         | Wind speed                          | $\text{m s}^{-1}$ , $\sim 25$ years                                   |

### 2.2.1 Trimming dataset for productivity

To focus on the non-trivial ecophysiological responses, we removed the linear light-limited portion of the photosynthetic response curve (Marshall and Biscoe, 1980), and focused on the remaining saturated portion. In ENF trees, these two parts of the curve are consistently separated by a boundary of approximately  $500 \mu\text{mol m}^{-2} \text{s}^{-1}$  PAR, as is seen in Figure 1 for HYY and YAT, and

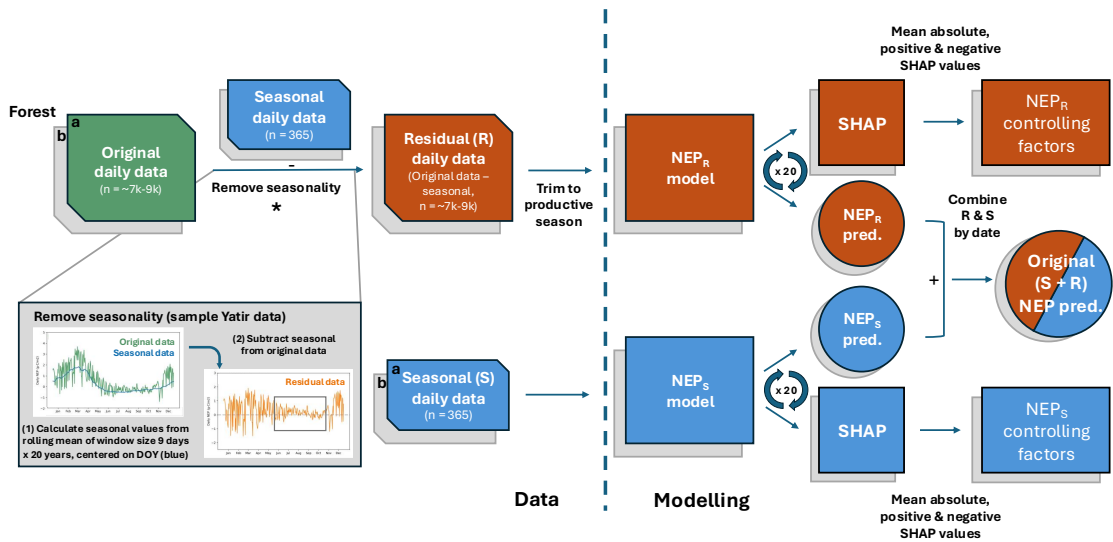
other ENF studies (Leverenz, 1987; Cernusak and Marshall, 2000; Remke et al., 2020). Using the coordinates for each forest and a solar zenith angle filter of  $\leq 70$  degrees, which correlates to a PAR value of  $\geq 500 \mu\text{mol m}^{-2} \text{s}^{-1}$ , we filtered respective half-hourly data from each forest and effectively eliminated the expected linear portion from the remainder of the light response curve. We used `pvlb Location` and `get-solarposition` tools for this calculation (Anderson et al., 2023).



**Figure 1:** Light response curves for HYY (blue, solid) and YAT (yellow, dashed) alongside the linear projection of the peak efficiency (white lines) in low light conditions

## 2.2.2 Obtaining residual (R) data

To separate slow seasonal controls from short-term variability, we decomposed all variables into seasonal and residual components. The independent variables and NEP in each forest contain strong seasonal signals with either an annual or 6-month dominant period (365.25 and 183 days, respectively, obtained by Fourier transform), which tend to dominate the fitting and learning process when modelling NEP. To study the controlling factors on a daily timescale, it was necessary to produce a dataset where the effect from seasonality had been removed, and only the residual variability effect remained. For each forest, first, the historical daily mean and standard deviation for each variable, including NEP, was calculated from a rolling mean of window size 9 days x 20 years, centred on the day of year, thus creating the seasonal dataset. The seasonal values were then subtracted from the respective original variable's data, creating the residual (R) dataset (see bottom left in Figure 2 for visualization). The seasonal and residual datasets are used to train separate models, leading to separate SHAP analyses for each dataset (see orange and blue tracks in Figure 2), explained further in 2.4.



**Figure 2: General scheme for the data pre-processing and model flow with SHAP**, for two forests (a, b). A key data pre-processing step, the removal of seasonality to isolate residual variances, is illustrated on the left with sample NEP data from YAT. In the modelling side, input data (residual (R) and seasonal (S), following parallel tracks) is received by a given model (GLM, GAM, or RF), which is trained over 20 random iterations leading to 20 trained models per model type and dataset (R or S). We use the 20 trained models to obtain mean  $NEP_R$  and  $NEP_S$  predictions, and respective SHAP analysis outputs. This step is repeated for each model type, and the overall scheme is repeated for each forest (HYY and YAT).

### 2.2.3 Studying the productive season

Both forests are located in the northern-hemisphere and experience similar seasonality in solar radiation. The productive season in HYY, however, is constrained by temperature due to the below-zero winters, while the productive season in YAT is limited by a hot summer dry-season. We calculated the start and end of the productive season for each forest using these environmental boundary conditions, while further incorporating, for modelling purposes, that the productive season is described by high variance as opposed to the dormant season when residual NEP response nearly flat-lines (see box over residual data in Figure 2, and section ?? in Supplementary Materials for full productive season start- and end-day calculation). This was necessary, because the flat-line dormant response is a separate phenomenon that would only confuse the models from learning structure related to actively changing biological and ecophysiological responses. The more accurately-defined yearly start and end day-of-year of the productive season captured more relevant data for the models to learn from.

### 2.3 Baseline models and Random Forest

We tested Random Forest Modelling (RF, RandomForestRegressor from Scikit-learn (Pedregosa et al., 2011)) against baseline Generalized Linear Model (GLM) and Generalized Additive Model (GAM) from statsmodels (Seabold and Perktold, 2010). While the GLM is simple to interpret and the GAM can capture non-linear relationships, the RF architecture, which is based on conditional logic, additionally learns interactions between features, which we expect to be key in modelling  $NEP_R$ , the datasets with the most complexity. The data was split 90-10 for train-test sets for all models, while hyper-parameter optimization for RF and GAM were performed separately on an 80-20 split. Model hyper-parameters can be found in Supplementary Materials, Table ???. Data splitting and model fitting were repeated for 20 random seeds, and the predictions and SHAP values are averages from these runs. An XGBoost model was also tested, however the model was prone to over-fitting and eventually performed similarly to RF when minimizing hyper-parameters that led to this overfit, so it is not presented.

### 2.4 SHAP analysis

SHAP (Shapley additive explanations) analysis has become a complementary tool in classical machine learning (ML) that is used to quantify the probability of feature importance in a model; i.e., quantify a variable’s contribution to the model’s predicted outcome. Built upon multiple-player Game Theory (Von Neumann and Morgenstern, 2007), Shapley values (SHAP values) have a unique robustness originating from the model’s formulation that adheres to certain crucial mathematical and modelling properties (additivity, local accuracy, missingness, and consistency) (Lundberg, 2017). In a regression analysis, a SHAP value of a respective variable is a probabilistic quantification of that variables contribution, *in relative units*, to the predicted value. The SHAP value ( $\Phi$ ) for a given variable,  $i$ , for a single instance of data, is given by:

$$\Phi_i = \sum_{S \subseteq \{1, \dots, p\} \setminus \{i\}} \frac{|S|!(p - |S| - 1)!}{p!} [val(S \cup \{i\}) - val(S)]$$

The first term in the sum calculates the probability from grouping variable  $i$  within a grouping,  $S$ , of all other independent variables ( $p$ ). The marginal contribution of variable  $i$  is calculated in the second term of the sum, using a value function ( $val$ ) - which, for instance, can be a trained RF model (respective to each physical forest). The marginal contribution is the output of the model when grouping  $S$  includes variable  $i$ , subtracted by the prediction when variable  $i$  is excluded. We used the SHAP Python package Lundberg (2017) with standard interventional SHAP to evaluate the contribution of all variables, handling separately any potentially correlated variables.

We applied SHAP analysis to each trained model (Fig.2), resulting in mean SHAP values

from the 20 random seed runs. To determine the controlling factors in a given dataset (seasonal or residual, HYY or YAT), we calculated the respective percent contribution of a variable  $i$  ( $C_i$ ) using the mean absolute SHAP values ( $\Phi$ ) of all variables ( $P$ ) across the 20 random runs. The mean absolute SHAP value of a variable  $i$  is calculated from all instances  $t$  in the dataset:

$$\Phi_i = \text{mean}[\sum_t |\Phi_i|]$$

$$C_i = \frac{\Phi_i}{\sum_P \Phi} * 100$$

### 3 Results

Using a scale-dependent framework, we evaluated controls on seasonal NEP, followed by residual (daily anomaly) variability. First, we characterized the PAR-saturated, scale-dependent datasets, and tested the quality of multiple model predictions. Next, we applied SHAP analysis to quantify the controlling factors at each timescale, and, using these values, derived environmental and climatic thresholds over NEP in each forest.

#### 3.1 Input data characteristics

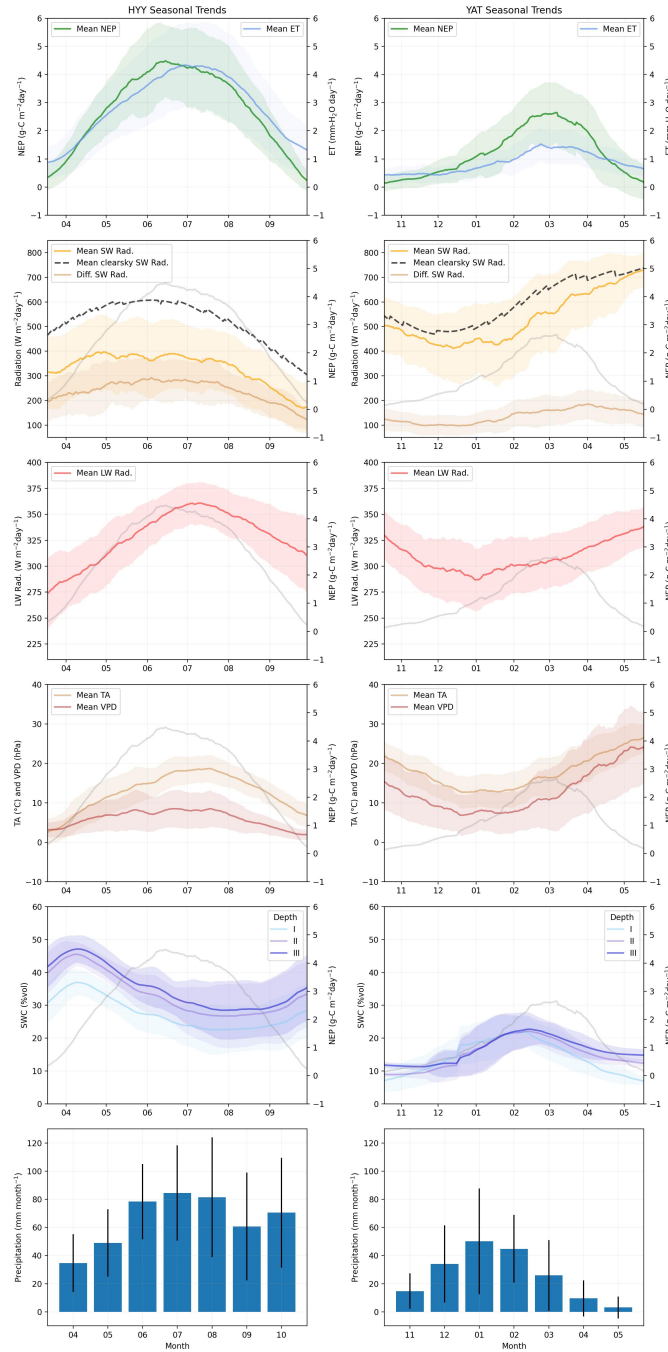
##### 3.1.1 Productive season

In HYY, the productive season ranged from April 11th ( $\pm 12$  days) to October 26th ( $\pm 11$  days) consistent with Mäkelä et al. (2006); Böttcher et al. (2014), lasting a mean 198 days; in YAT, the season spanned from December 4th ( $\pm 21$  days) to May 28th ( $\pm 7$  days), lasting  $\sim 175$  days (all dates in Table ??). Under PAR-saturated conditions, HYY received more hours of radiation than YAT (1767 vs 1430 h,  $p < 10^{-9}$ ), whereas YAT received a greater total shortwave energy input (2.97 vs 2.68  $\text{GJ m}^{-2}$ ,  $p < 10^{-5}$ ) (Table ??). Overall, under PAR-saturated conditions, the productive season in HYY was limited by the intensity of incoming SW radiation, while the season in YAT was limited by the total hours of radiation.

##### 3.1.2 Descriptive statistics

The original datasets contained strong seasonal correlations among radiation, temperature, VPD, and soil moisture, which were largely absent in the residual datasets (see seasonal trends in Fig.3 and correlation matrices in A1). Hierarchical clustering revealed two main groups, consistent in each forest and dataset: radiation-related variables (SW, TA, VPD), and precipitation-related variables

(P, PA, RH, SWC, WS) (see groups 1 and 2 in Fig. A1). NEP was grouped in the radiation cluster in HYY and in the precipitation cluster in YAT.



**Figure 3: Seasonal trends** in NEP, ET and all features in HYY (left) and YAT (right).  $NEP_S$  and  $ET_S$  are strongly correlated in both forests ( $R \geq 0.97$ ), but diverge in closest feature correlation: VPD in HYY ( $R=0.97$ ) and SWC ( $R \geq 0.86$ ) in YAT; see correlation matrices in A1. Recall that seasonal values were obtained from a rolling window of size nine days by 20 years, and therefore, any direct daily response between NEP and a feature has been smoothed over. The values presented here represent only a general seasonal cycle.

## 3.2 RF, GAM, and GLM model performance: accuracy and reliability

### 3.2.1 Model accuracy in predicting $NEP_S$ and $NEP_R$

$NEP_S$  was well-predicted across all models ( $R^2_{\text{test}} > 0.94$ ), whereas model performance varied in predicting  $NEP_R$  (Fig.A2-A3). RF outperformed GLM and GAM ( $R^2_{\text{test}} \approx 0.67$  vs. 0.46-0.64), though there was a systematic underestimation of extreme residual values in all models (90th percentile absolute  $NEP_R$  in HYY underestimated by 26%, 34% and 51%, and in YAT by 30%, 41%, and 54%, for RF, GAM, and GLM, respectively).

We analyzed the top ten instances of high and low  $NEP_R$  in HYY and YAT and found that extreme  $NEP_R$  was underestimated in rare cases of compounding VPD, TA and SWC conditions, and by confounding rainfall and SW inputs. For example, peak  $NEP_R$  in YAT was a result of seasonally high  $SWC_R$  III and low  $VPD_R$  in April, which occurred in  $\sim 7\%$  of the dataset, while peak  $NEP_R$  in HYY was associated with an optimal combination of  $VPD_R$ ,  $TA_R$  and  $SWC_R$  in June and July, which totalled 3.1% of instances. A full description of the cases that lead to model underestimation is given in Supplementary Materials ??.

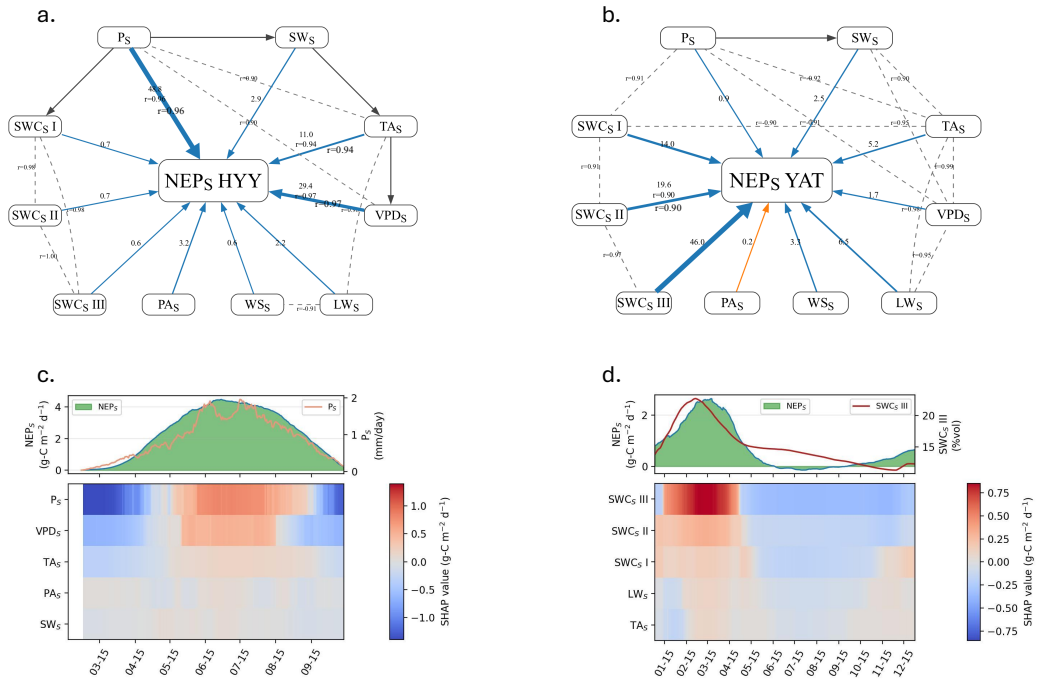
### 3.2.2 RF learns extreme values without over-fitting

RF, GAM and GLM have inherent structural and methodological differences in learning and fitting to data which affect the reliability of their predictions. We found that the GLM and GAM underestimated peak  $NEP_R$  due to a modelling reliance on perpetually non-normally distributed residuals (statistical term, not the residual dataset), and extrapolation of extreme values. In contrast, RF learned variance in  $NEP_R$  better, especially negative  $NEP_R$ , without over-fitting as confirmed by permutation tests (A4). Based on its superior performance and more robust outputs, the RF models were used in all subsequent SHAP analyses.

## 3.3 Seasonal NEP ( $NEP_S$ ) controlling factors in HYY and YAT

The driving factors for  $NEP_S$  in HYY were  $P_S$  and  $VPD_S$  (48.8% and 29.4%, respectively), whereas in YAT  $SWC_S$  dominated (79.6%), with the deepest layer contributing more than half of the control (Fig.4.a&e). All seasonal controlling factors were found to have a mean negative influence on  $NEP_S$  (blue arrows in Fig.4). In HYY, this was due to a shorter but more profound negative effect of low  $P_S$  amounts in the beginning and end of the productive season, relative to the positive effect of  $P_S$  during the peak productive months (Fig.4.b). In YAT, the strong positive effect of  $SWC$  III during the wet season was outweighed by the relatively moderate negative-effect during the dry season, but which persisted over a longer period of time (Fig.4.f). Based on the apparent seasonal transitions from negative to positive SHAP values for key controlling factors in HYY and

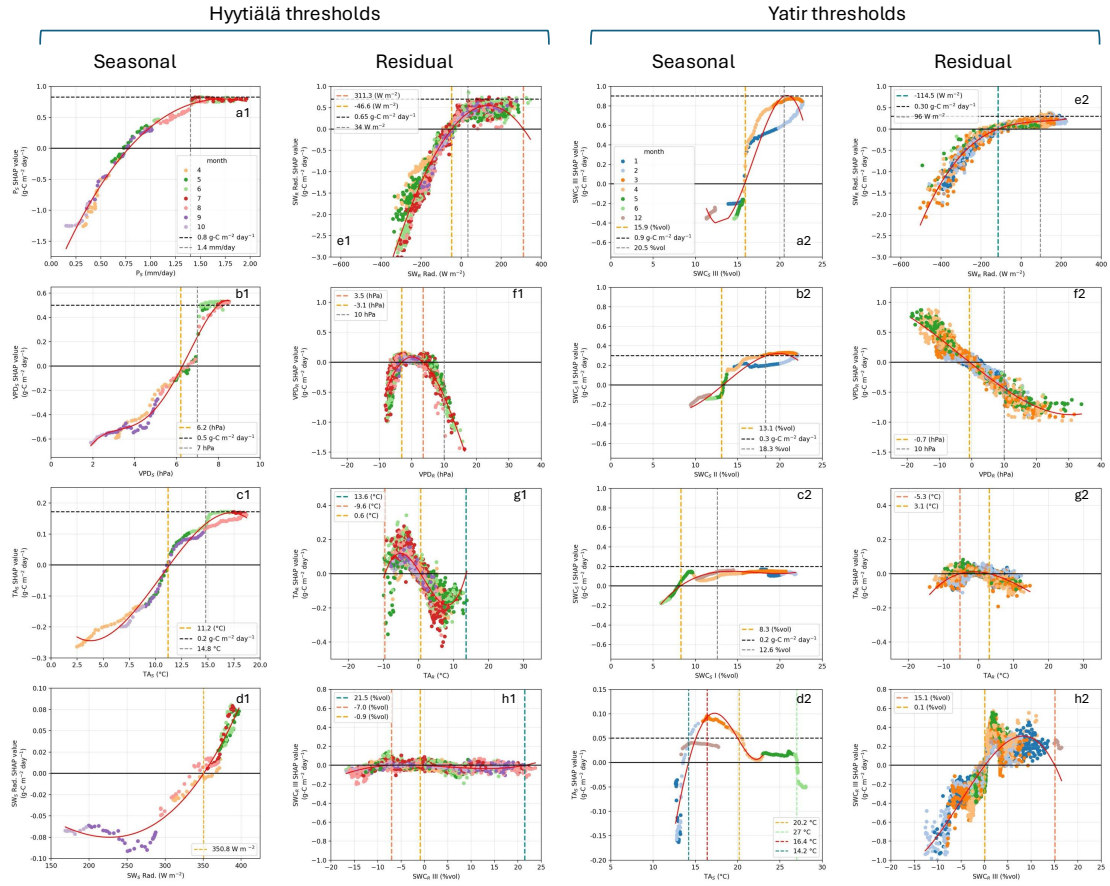
YAT in Figure 4b&f, respectively, we were able to calculate certain corresponding environmental thresholds from the original seasonal data that is presented next in 3.4.



**Figure 4: Controlling factors (CF) for NEPs** obtained from SHAP analysis for HYY (left) and YAT (right). All factors are shown in (a,b), where arrow thickness and values represent the magnitude of a feature’s control as % within a given model (for calculation see 2.4), and the colour gives the mean sign of influence (positive=orange, negative=blue). Correlations above  $R=0.9$  are given by the dashed lines, and solid black arrows represent known direct influences between variables where a strong correlation was not present. Seasonal daily SHAP values for the top five controlling factors in HYY and YAT are given in (c, d), respectively, highlighting the mean changes from negative to positive influence (blue to red) over the productive season in each forest.

### 3.4 Climatic and environmental thresholds derived from SHAP values

In HYY,  $TA_S$  and  $VPD_S$  became positive influences on  $NEP_S$  when greater than  $11.2 \pm 0.26$  °C (Fig.5c1) and  $6.2 \pm 0.16$  hPa (Fig.5b1), respectively, with a peak positive influence from  $TA_S$  within 14.8-18.7 °C (see dashed lines in Fig.5 subplots). Since rainfall is highly variable and a threshold for mean daily seasonal rainfall is ambiguous, we focused instead on the behaviour of the curve and found that  $P_S$  reached a saturated influence on  $NEP_S$  during its peak in June and July (Fig.5a1).

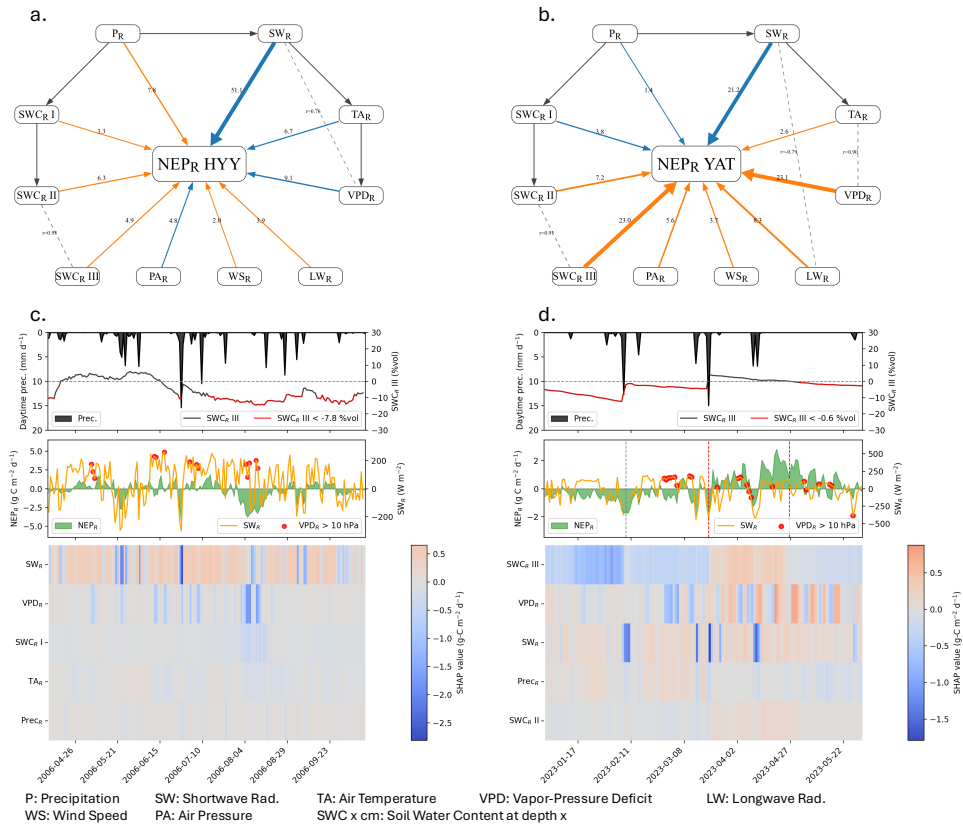


**Figure 5: Climatic and environmental thresholds** for the main controlling factors of seasonal (S) and residual (R) NEP in HYY (left) and YAT (right). The thresholds are obtained from a best-fit polynomial function (red curve) between the respective dataset’s SHAP values and a given controlling feature, particularly (1) where the SHAP values cross  $y=0$  and shift from a negative to a positive influence on NEP and carbon uptake, or vice-versa (see dashed yellow, orange and/or teal vertical lines); and (2) where SHAP values saturate, and the associated feature ultimately begins to have a saturated influence on NEP (see grey and black dashed lines for the respective feature and SHAP value when saturation effect begins).

In YAT, sharp hysteresis curves for SWC<sub>S</sub> II & III (Fig.5a2,b2) exposed thresholds of  $13.1 \pm 0.07$  %vol &  $15.9 \pm 0.08$  %vol, respectively, while SWC I became a positive influence on NEP<sub>S</sub> when greater than  $8.3 \pm 0.20$  %vol. The hysteresis curves also revealed a lower positive influence from SWC<sub>S</sub> II & III on NEP<sub>S</sub> in January-February compared to March-April, for a similar range of SWC<sub>S</sub> (approx. 16-23 %vol). Finally, in YAT, TA<sub>S</sub> positively influenced NEP<sub>S</sub> when ranging between 14-27 °C, with a peak positive effect at 16.4 °C which is within the same peak TA<sub>S</sub> range as HYY.

### 3.5 Residual NEP ( $NEP_R$ ) controlling factors

Similar to Figure 4, controlling factors for  $NEP_R$  are given in Figure 6a,b, and the daily SHAP value heatmaps from a sample productive season are given in c,d, along with related time-series of the original residual data. In both forests,  $NEP_R$  was controlled by shortwave radiation (51% in HYY; 21% in YAT), with additional contributions from VPD and deep soil moisture in YAT (Fig. 5a,b).



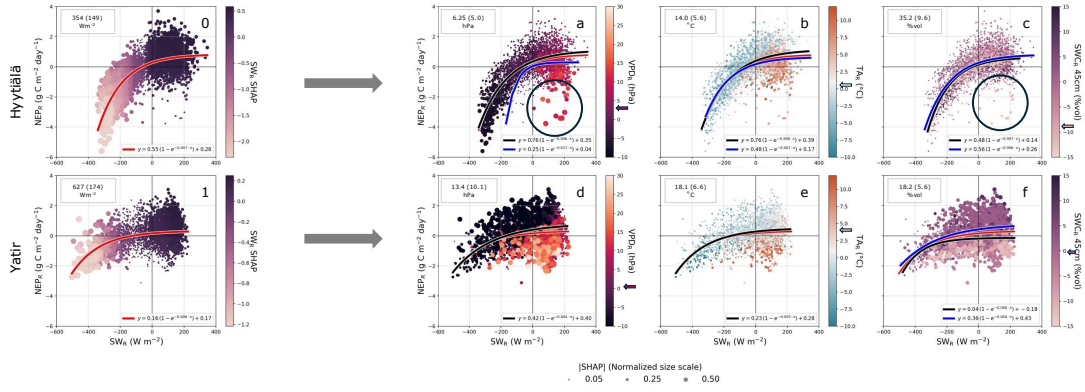
**Figure 6:** Same as Figure 4, but for residual (R) NEP. In (a,b), we see a mixed contribution in each forest from  $SW_R$ ,  $VPD_R$ , and  $SWC_R$ . Subplots (c, d) give sample productive seasons 2006 and 2022-2023 for HYY and YAT, respectively, to help visualize the daily influence on  $NEP_R$  (green), revealing a common residual drop in productivity from daytime rainfall events (black, top) that influence  $SW_R$  (yellow, drops to negative and has negative/blue SHAP value). In other instances, excessively high  $VPD_R$  greater than seasonal values by 10 hPa (red markers, negative SHAP influence) also results in negative  $NEP_R$ , except in YAT, when  $SWC_R$  III is above the seasonal threshold (see upper panels;  $SWC_R$  II and III is highlighted in red in HYY and YAT, respectively, when values drop below the thresholds determined in section 3.4).

### 3.5.1 High sensitivity to $VPD_R$

$VPD_R$  exerted a clear negative control in both forests when values rose above  $\sim 1$  hPa in YAT and were outside of the range of  $\sim -3.0$  to 4 hPa in HYY. This indicated a relatively high sensitivity to daily residual changes in VPD, particularly in YAT. This makes sense, given that seasonal VPD in YAT is already on average above 10 hPa during the productive season, so any marginal increase above the seasonal level represents particularly warm and dry conditions. We found that extremely high  $VPD_R$  (see  $VPD_R > 10$  hPa marked by red markers in Fig.6c,d) which clearly elicited only a negative response in  $NEP_R$  (Fig.6f1,f2) consistently outweighed any positive contributions from  $SW_R$  in HYY, however the effect was mitigated in YAT when  $SWC_R$  III was seasonally high (see period delineated by red dashed lines). In both forests, high  $VPD_R$  events coincided with gaps in rainfall events of 5 mm or greater.

### 3.5.2 $SW_R$ contribution towards $NEP_R$ consistent with light response curve

$NEP_R$  exhibited a saturated exponential response to  $SW_R$  in both forests, demonstrated by this variable's strong contribution to  $NEP_R$  (Fig.5e1,e2), that was consistent with a light-response curve despite the removal of seasonal structure (Fig.7,0,1). This suggests that NEP is acclimated to the seasonal SW radiation cycle, since a residual change in SW radiation ultimately elicits the same response in  $NEP_R$  regardless of the month in the productive season.



**Figure 7: The influence of the main residual controlling factors on peak daily  $NEP_R$  in HYY (top row) and YAT (bottom row).** Subplots 0 and 1 show the baseline relationship (red curve) between  $NEP_R$  and  $SW_R$  in HYY and YAT, respectively, while for the same reference data, a-b-c and d-e-f show how the effect of high  $VPD_R$  and  $TA_R$  and low  $SWC_{R\ III}$  (above/below thresholds determined in section 3.4) modulate this baseline relationship in HYY and YAT, respectively (the value of  $VPD_R$ ,  $TA_R$  and  $SWC_{R\ III}$  are given by their respective colour bar). The size of each point represents the relative magnitude of influence of a respective variable on  $NEP_R$  (by normalized absolute SHAP value). Mean seasonal values for each factor (with standard deviation) is given in the top left corner of each subplot, for context. The black curve is the result of a shift in the baseline (red) relationship when including only data below a stress threshold, and the blue line is the shift related to data above a stress threshold. The thresholds are:  $VPD_R=3.6\&1.0$  hPa;  $TA_R=0.8\&4.3$  °C;  $SWC_{R\ III}=-7.8\&0.0$  %vol in HYY&YAT, respectively.

Building from the  $NEP_R$ - $SW_R$  curves in Figure 70,1, we tested the sensitivity of these relationships under high  $VPD_R$  and  $TA_R$  and low  $SWC_{R\ III}$  conditions, using the respective thresholds determined in 3.4 (conditions below thresholds are modelled in black, and above thresholds in blue, Fig.7). Under intense climatic and environmental conditions, the exponential relationship was maintained while the coefficients for the formulas changed (except for  $VPD_R$  and  $TA_R$  in YAT where there were too few instances of data to fit a curve).

In HYY, high  $VPD_R$  had the strongest influence on this relationship, reducing peak  $NEP_R$  by 55% (0.55 to 0.25  $g-C\ m^{-2}\ day^{-1}$ ).  $SWC_{R\ III}$  (Fig.7c) had little effect in HYY, however, there was a compounding effect of low  $SWC_{R\ III}$  with high  $VPD_R$  evident in the larger scatter points in  $VPD_R$  during soil drought (highlighted in Fig.7a,c). In YAT, high  $VPD_R$  conditions limited peak  $NEP_R$  by 62% (0.42 to 0.16  $g-C\ m^{-2}\ day^{-1}$ ), whereas low  $SWC_{R\ III}$  (Fig.7f) dominated with a 75% reduction, bringing peak  $NEP_R$  to nearly 0  $g-C\ m^{-2}\ day^{-1}$ . Therefore, under low  $SWC_{R\ III}$ , any additional stress (for example, high  $VPD_R$  or low  $SW_R$  conditions) would instantly subtract from the forest's total seasonal productivity.

### 3.5.3 Timing of residual control

Next, we tested the contribution of the main controlling residual variables throughout the months of the productive season to determine timing of potential sensitivity. We divided the residual SHAP values for each variable according to seasons with statistically high and low total NEP, and compared the median monthly SHAP values of each group against baseline forest activity (see description in [A5](#)).

In HYY, low-productivity months reflected lower positive contributions from  $SW_R$ ,  $TA_R$  and  $VPD_R$  rather than strong negative controls, whereas in YAT low productivity coincided with persistent negative influence from  $SWC_R$  III when deep soil moisture failed to exceed threshold, shortening the effective growing season by up to one month. There were no particularly sensitive months in either forest; sensitivity to these variables existed throughout the season.

Positive  $SWC_R$  III had a strong contribution, though, to high-productivity months in YAT, and grossly overcame the negative influence of high  $VPD_R$  that is typical in April, the month with peak heatwave activity. Surprisingly, statistically high  $SWC_R$  III was not associated with significantly high monthly rainfall in any month, and median rainfall amount in these months was almost always less than the baseline. In HYY, there was no clear variable driving statistically high productivity over all months of the productive season, suggesting perhaps that factors outside of the scope of this study may be contributing to enhanced productivity in the forest, such as  $CO_2$  enrichment or other broad-scale atmospheric or environmental changes.

## 4 Discussion

### 4.1 Scale-dependent climate drivers

By separating seasonal and residual variability under PAR-saturated conditions, we reveal a scale-dependent hierarchy of controls: slow hydrological boundary conditions determine seasonal carbon uptake, while atmospheric forcings dominate short-term fluctuations. This framework resolves a long-standing ambiguity in identifying dominant drivers, which are often converged across timescales in previous studies.

Seasonally, restricting the analysis to PAR-saturated conditions inadvertently challenged the conventional expectation that the “energy-limited” system, the Boreal forest, should be primarily controlled by incoming shortwave radiation. Instead, upon comparing the contrasting sites on a more equivalent basis through their productive seasons in [3.1.1](#), we found that Hyytiälä experiences more hours of PAR-saturated daylight than the semi-arid Yatir forest, which has substantially higher radiation on an annual basis. The latter, constrained by a prolonged summer drought that

shifts the productive season to winter-spring (Wang et al., 2020), receives then fewer daylight hours during this period, though these hours are characterized by higher radiation intensity. In this context, shortwave radiation emerges not as the dominant seasonal control but rather as a driver of short-term variability, primarily through its modulation by cloud cover and the associated rainfall regime (6c,d).

By applying PAR-saturated conditions, we effectively removed the geometric constraint imposed by solar angle and site latitude on incident radiation, allowing the analysis to focus on environmental and other atmospheric forcings across multiple timescales, ultimately revealing a consistent hydrological control of seasonal NEP discussed more in 4.2, a diverging regulation of short-term atmospheric stress (4.3) yet consistent phenological adjustment to air temperature (4.4) in the two biomes. Although long-term increases in atmospheric CO<sub>2</sub> and temperature are expected to influence forest carbon uptake, our results indicate that, at the timescales examined here, these effects are strongly modulated by hydrological constraints and atmospheric demand.

## 4.2 Interpreting seasonal controlling factors P and SWC III

Rainfall mainly influences NEP<sub>S</sub> as a proxy, either by reducing direct radiation or increasing soil available water. In HYY, peak SWC occurred at the beginning of the productive season after snow-melt and continually decreased thereafter, despite growing inputs from precipitation (Fig.3), suggesting an indirect contribution from P<sub>S</sub> to ET at the seasonal scale. This contrasted with YAT, where we found similar seasonality between SWC<sub>S</sub> and NEP<sub>S</sub> tied to a critical moisture level that controlled seasonal and residual productivity. Further to its contribution to the water balance in HYY, we considered that P<sub>S</sub> may have also acted as a proxy for the increase in diffuse shortwave radiation (SW<sub>DIF</sub>), which has a positive effect on productivity.

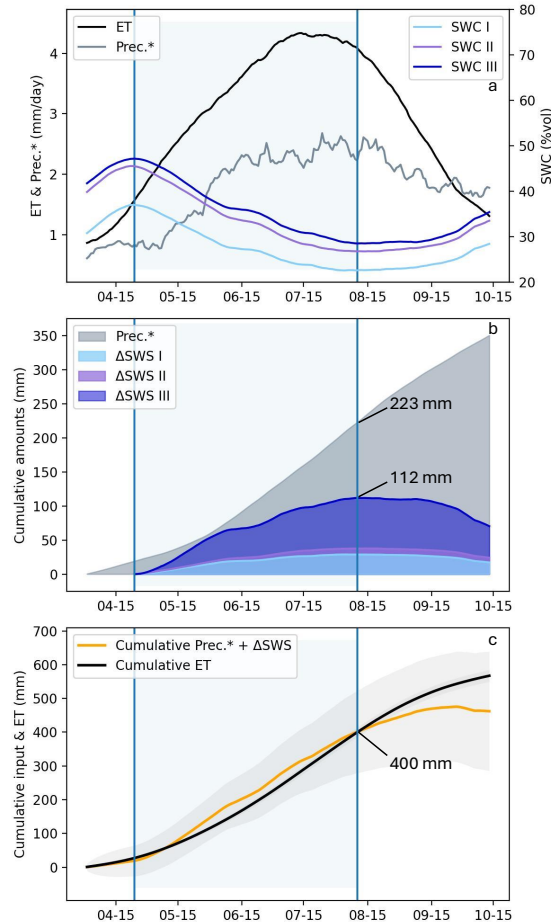
### 4.2.1 Boreal Forest and P<sub>S</sub>

#### 4.2.1.1 The role of seasonal rainfall in maintaining soil-water content

Precipitation supports ET and NEP by maintaining SWC and accessible soil-water for uptake. We see this seasonally in HYY, indirectly, where SWC<sub>S</sub> in the deeper layers decreased by up to 20 %vol from April to August, in which time rainfall in the hydrologic season and productivity both peaked (3.1.2). P<sub>S</sub> became a critical component during this time, preventing SWC<sub>S</sub> from reaching soil-drought levels (Jauhiainen, 2004), despite higher demand than supply.

To test if the seasonal values of P<sub>S</sub>, SWC<sub>S</sub> and ET<sub>S</sub> were proportional (Fig.8a), we considered previously estimated water balances in HYY (Ilvesniemi et al., 2010; Launiainen et al., 2015), where roughly 70-80% of P was found to be lost as ET and remaining 20% was lost through subsurface runoff. We found a good agreement between the sum of the cumulative values (Fig.8b) within the

period that SWC is decreasing, within which rainfall (Prec.\*, 80% of  $P_S$ ) cumulatively accounts for 223 mm of the total 400 mm of seasonal ET during this period. Therefore, starting with saturated soil in spring,  $P_S$  will then contribute on average 55% of  $ET_S$  and related  $NEP_S$  ( $R=0.97$ , A1), highlighting the importance of both full soil water recharge in the beginning of the season, and maintained rainfall input through to August, for seasonal productivity.



**Figure 8:** Estimated forest water balance in HYY. Mean seasonal ET, SWC (I-II-III) and 80% of P (Prec.\*, roughly P minus runoff) are given in (a), with the cumulative sums of Prec.\* and SWC (integrated over respective soil layer depth) in (b) and total sum of inputs (yellow) compared to output ETs in (c).

#### 4.2.1.2 Seasonal rainfall: a potential proxy for seasonal diffuse SW radiation ( $SW_{DIF}$ )

$SW_{DIF}$  is a measure of the down-welling SW radiation that has been scattered by clouds and aerosols, and is a result of atmospheric conditions that overall positively contribute to productivity in HYY. There, we found that  $SW_{DIF}$  and  $P_S$  reach a peak in seasonality along with  $NEP_S$  between June-July (Fig.3), when there is also peak activity in the forest and aerosol production that drives a net cooling forcing (Kulmala et al., 2001; Peltoniemi et al., 2015; Ezhova et al., 2018). The

combination of cloudy-rain days and boosts of aerosols during sunny days in these months explain the plateau in peak  $SW_{DIF}$  that reaches a mean  $\sim 3:4$  ratio with direct  $SW_S$ , leading to relatively cooler conditions at the unique time of the year when this northern location receives up to 19.5 hours of daylight and what would be a mean daily  $600 \text{ W m}^{-2}$  clear-sky SW radiation. Forest productivity has seemingly adapted to this 3:4 ratio, since it was found that high  $SW_{DIF}:SW_{DIRECT}$  regimes reached deeper into the canopy layers and generated the same mean rate of gross primary productivity (GPP, ecosystem carbon uptake) as  $SW_{DIR}$ , using less than half the incident PAR and from less stressful VPD conditions (Gu et al., 2002; Neimane-Šroma et al., 2024).

With the 10 years of  $SW_{DIF}$  data in HYY, we were able to calculate seasonal  $SW_{DIF}$  ( $SW_{DIF,S}$ ) and rerun the seasonal analysis. The lead controlling factors from the SHAP analysis, however, were unchanged, likely due to inconsistency in seasonality between  $SW_{DIF,S}$  and  $NEP_S$  in early spring, when atmospheric conditions contribute to maintaining relatively high  $SW_{DIF}$  under low  $TA_S$  (Kulmala et al., 2001; Sogacheva et al., 2008; Sinclair et al., 2022). Although  $SW_{DIF}$  does not emerge as a dominant independent controlling factor in the SHAP analysis, extensive prior work at HYY indicates that it covaries strongly with precipitation and contributes indirectly to seasonal productivity.

#### **4.2.2 Semi-arid Forest: critically controlled by deep SWC**

##### **4.2.2.1 Relationship between SWC III, precipitation, and start of productive season**

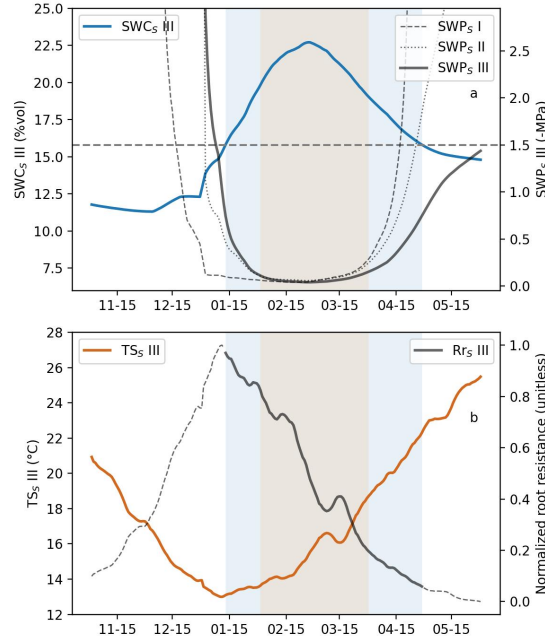
SWC III had a critical control on the timing, duration and magnitude of the productive season in the semi-arid Yatir site. There, rainfall is infrequent and highly variable in both amount and intensity, which influences penetration to deeper soil layers (Yaseef et al., 2010). This effect is particularly pronounced at the beginning of the wet season when the soil is dry (5.4-11.3 %vol for layers I-III), leading to a delay of roughly three weeks between the mean end of the dry season (November 11th; the average first day of rainfall greater than 5 mm) and start of the productive season (December 4th, 3.1.1); and an additional month delay before  $SWC_S$  III exceeds and remains above the critical threshold of 15.9 %vol, January 13th.

The residual NEP analysis further revealed that high variability in daily rainfall in YAT can delay the peak of productivity by one month, but that oppositely, highly productive seasons are not associated with significantly high amounts of rainfall (3.5.3). This indicates that peak productivity in YAT is initially limited by the slow process of re-wetting of the soil profile (a legacy effect from the dry season), and that rainfall quality (intensity, duration and frequency) is more pertinent than total rainfall amount.

#### 4.2.2.2 SWC III: Physical limiting factors from dry season

The prolonged dry season depletes soil moisture through to the deepest layer, and re-wetting from rainfall creates gradients in soil moisture and potential that compete against root water uptake (Richards, 1931; Guswa et al., 2002). Early in the productive season,  $NEP_S$  is moderately supported by shallow soil moisture (layers I-II), while  $SWC_S$  III exerts a negative influence until it is replenished beyond the critical threshold (Fig. 4d). This aligns with previously reported transpirable limits of  $\sim 16$  %vol across the main soil profile and root-zone (Preisler et al., 2019), which in addition to the protective mechanism of root detachment from soil under highly negative soil-water potential (SWP), below  $-1.5$  MPa (Nadal-Sala et al., 2024), demonstrates the main physical limitations on root water-uptake in YAT as a result of the intense dry season.

To further explore these constraints, we estimated SWP across all layers using the Van Genuchten formula (Van Genuchten, 1980) (see ?? in Supplementary Materials for more details). We found that  $SWP_S$  III rose exponentially to transpirable levels ( $> -1.5$  MPa) concurrently with  $SWC_S$  III rising above threshold, and that it was the last layer to do so (see Fig. 9a).



**Figure 9:** Seasonal changes in SWC, soil temperature (TS), soil water potential (SWP) and normalized root resistance (Rr) in soil layer III in YAT. From February to March (orange shading)  $SWP_S$  III was minimal, while  $Rr_S$  III drastically decreased by 75% (or, conductivity increased by 300%). Overall, in the peak of the productive season (blue shading),  $SWP_S$  III was less negative than the previously estimated soil potential threshold of  $-2.0$  MPa at which complete stomatal closure is induced (Klein et al., 2014), while  $Rr_S$  III ranges from peak to minimal values.

Therefore, before  $SWC_S$  III was replenished,  $SWP_S$  III remained more negative than both the

overlying layers and the roots, creating a vertical potential gradient that draws water downward and away from the main root zone. This acts as a competing constraint on water uptake, effectively delaying productivity until deep soil moisture is replenished. The gradient was reversed, however, by the end of the productive season, with SWP<sub>S</sub> III remaining the least negative layer above the transpirable limit. It should be noted that the mechanics and support towards transpiration in the rock layer below the soil has yet to be studied in YAT, though it is expected to support the modest transpiration fluxes during the dry season. While the largest root density is in the upper soil layers, it is also still unclear how much each layer contributes to total water uptake.

#### 4.2.2.3 SWC III: Biological limiting factor in wet season

Once deep soil moisture is replenished, physical constraints on water uptake diminish, and root resistance becomes a dominant constraint that is largely temperature-dependent (Reicosky and Ritchie, 1976; Running and Reid, 1980; Kramer and Boyer, 1995; García-Tejera et al., 2016).

We found that, for comparable SWC amounts, there was a 13-35% lower positive contribution to NEP<sub>S</sub> in January-February than in March-April (Fig.5a2). Since the SHAP value is specific to a respective variable, the difference must be explained by the variable itself, or a variable related to SWC and its uptake that were not inputs to the model (i.e., the difference in contribution cannot be explained, for example, by changes in air temperature in these months). Using soil temperature (TS) records in YAT, we found that TS<sub>S</sub> III rises from a minimum  $\sim 13$  °C to 23 °C over the productive season, reducing root resistance and improving water uptake (see Fig.9b; see A6 for more details on the calculations). Notably, the rise in SWC<sub>S</sub> III above threshold coincided with the annual minimum in soil temperature, resulting in a transitional period where uptake is limited not by water availability, but by biological constraints. Essentially, as TS increases, root conductance increases and enhances productivity, which we ultimately see reflected in the SWC<sub>S</sub> III SHAP values.

Altogether, this demonstrates that productivity in YAT is first controlled by a physical limitation on water uptake imposed by delayed deep-soil recharge, and later by a temperature-dependent biological limitation. Combining these constraints with the observation that statistically high NEP only begins in January (Table 2), it appears that the start of the productive season in YAT has been fully optimized.

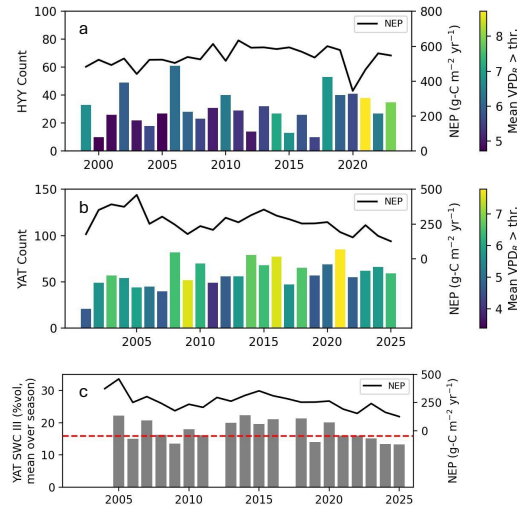
### 4.3 Adaptation and sensitivity to atmospheric stress

VPD sensitivity in both forests reflected a physiological adaptation to the prevailing climate, and not stress avoidance. Both ecosystems were sensitive to VPD rising above the seasonal mean, despite vastly differing historical conditions in each location. In the productive season in YAT,

peak seasonal VPD was more than double that of HYY, and high VPD reduced peak residual productivity by up to 62% in YAT and 55% in HYY (3.5.2). The negative effect from VPD in YAT, however, was mitigated by SWC III (when SWC III was sufficient), further confirming that Aleppo pine could regulate stomatal conductance under chronically high VPD (Preisler et al., 2023) given sustained root-water uptake as explained in 4.2.2.2.

In HYY, where conditions are cooler, wetter, and VPD is typically less intense, this mitigation was not observed. This can be explained by species-specific hydraulic traits, where resistivity to embolism (P50) in Scots pine across Europe showed no adaptation to atmospheric demand (Martínez-Vilalta et al., 2009), and is overall less resistant than Aleppo pine to high tension on its hydraulic system ( $P50_{HYY}=-2.89$  MPa,  $P50_{YAT}=-3.95$  MPa) (Martínez-Vilalta et al., 2009; Klein et al., 2016); whereas denser canopy growth (a high leaf-to-sapwood ratio) and lower leaf conductivity (low leaf-specific hydraulic conductivity) in HYY Scots pine reflected adaptation to a wet and low-VPD environment (Martínez-Vilalta et al., 2009).

With climate change, a significant increase in high VPD days could therefore have a persistent negative influence on productivity in the Boreal Hyytiälä forest, despite this not being a water-limited environment; whereas a change in the rainfall regime that replenishes deep soil moisture in the semi-arid Yatir would push the forest beyond its VPD-adapted range. In recent years, there has been an increase in the count of days with seasonally high VPD in Hyytiälä, and a continual decline in mean deep SWC in Yatir, below the critical threshold (Fig.10 a and c, respectively). While there is no perceptible change in total NEP in Hyytiälä in the productive season in response to VPD, productivity in Yatir is declining, and potentially becoming more vulnerable to days with high VPD, which account for more than 50 out of the mean 175 day productive season.



**Figure 10:** Count of high VPD events per season ( $VPD_R > \text{thresholds}$  determined in 3.4), in (a) HYY and (b) YAT, with total productive season NEP (black line). Bar colour indicates the mean of the counted  $VPD_R$ . For YAT, mean productive season SWC III is given in (c) with the 15.9 %vol SWC threshold (red-dashed line), to reflect the seasons when SWC III was low and when the forest was resultingly more sensitive to high VPD. The drop in NEP in HYY in 2020-2021 is a result of intense forest thinning (Aslan et al., 2024).

The observed shifts in VPD frequency in Hyytiälä and declining deep soil moisture in Yatir suggest that the identified controls are not static, but are already evolving under changing climate conditions. In Hyytiälä, the increasing frequency of high VPD events (defined relative to the residual thresholds in Section 3.4, seen in Fig.10a) indicates a shift toward more frequent atmospheric stress conditions during the productive season. In contrast, no clear long-term trend in total productive season precipitation was observed (see Supplementary Materials, ??), suggesting that changes in atmospheric demand may increasingly decouple from water supply. In Yatir, mean deep soil water content (SWC III) shows a declining tendency over time, with multiple recent years falling below the identified threshold of 15.9 %vol (Fig.10c). This indicates an increasing frequency of seasons in which deep soil moisture fails to reach levels required for sustained productivity. Notably, this decline is not directly explained by total rainfall amounts, reinforcing the importance of rainfall regime characteristics (timing, intensity, and infiltration) rather than annual totals alone.

#### 4.4 Convergent thermal optimum

Peak seasonal productivity in the two contrasting regions was tuned to a similar optimal temperature range of  $\sim 14\text{-}20\text{ }^\circ\text{C}$ , that was consistent with observations from comparable forests and climatic conditions (Rotenberg and Yakir, 2010; Huang et al., 2019; Bennett et al., 2021).

This convergence reflects an underlying physiological constraint on photosynthesis that is preserved across both biomes. Rather than adjusting their intrinsic physiological temperature optima over a multi-decade period, the forests appear to adjust the timing of peak productivity to coincide with this favourable temperature range, given sufficient soil moisture. In this sense, forest response primarily reflects shifts in phenology rather than physiology, harmonizing the productive season against temperature and rainfall regime constraints (Wang et al., 2020), even across hydroclimatic extremes.

This interpretation is supported by the relatively wide flexibility in the timing of the productive seasons over the 25 year records (3.1.1), compared to the limited plasticity in temperature optima reported for photosynthesis of approximately 0.34-0.37 °C per degree of warming (Sendall et al., 2015; Crous et al., 2022). This adjustment is partial and moreover does not necessarily preserve carbon assimilation rates, particularly in warmer climates, where reductions in leaf nitrogen, photosynthetic capacity, and increased stomatal limitations from warming constrain carbon uptake (Crous et al., 2022).

Altogether, the results suggest that under climate change, changes in hydrological regimes, through their control over the seasonality of productivity and its plasticity, will likely have a stronger influence on forest carbon uptake than gradual shifts in mean temperature. This study is based on two end-member ecosystems and therefore does not aim to establish universal relationships across all forest types. Instead, it provides a process-based comparison across hydroclimatic extremes. In addition, while the explainable machine learning framework identifies dominant statistical controls consistent with physical mechanisms, it does not establish causality. Extending this approach to additional sites and integrating process-based models would further strengthen generalization.

## 5 Implications

This study compared rare long-term bi-continental records of forest productivity in two contrasting ecosystems, the Boreal Hyttiälä forest and the semi-arid Yatir forest. To address the complexity of these datasets, we applied SHAP analysis to interpret machine learning model outputs, following rigorous validation to mitigate the "black-box" nature of the models and ensure physically consistent results.

The results reveal a scale-dependent hierarchy of controls on forest productivity. At the seasonal scale, regional hydrological regimes dominate, governing productivity through soil water replenishment and, indirectly, through modulation of diffuse radiation ( $SW_{DIF}$ ). A broadly consistent air temperature optimum was also observed across both forests, suggesting a conserved physiological constraint, though temperature exerted a comparatively weaker influence on NEP.

At the daily scale, VPD emerges as a critical and additive control on NEP. Its influence, however, should not necessarily imply water limitation in the system: in the Boreal Hyytiälä, strong VPD sensitivity occurs despite ample water availability. In the semi-arid Yatir, VPD is mitigated by adequate soil moisture, though the effect is highly constrained.

In semi-arid forests in particular, which experience prolonged dry seasons that impose strong limitations on productivity, incorporating deeper soil layers into mechanistic ecohydrological frameworks is essential for explaining both the magnitude of carbon uptake and the timing of the productive season. Rainfall totals alone are insufficient, given the complex process of soil-layer re-wetting from few and highly-variable rainfall events. Soil moisture thresholds play a central role in defining seasonal boundaries, shifting the productive season to relatively energy-limited conditions, and challenging the simple classification of forests based on plant functional type.

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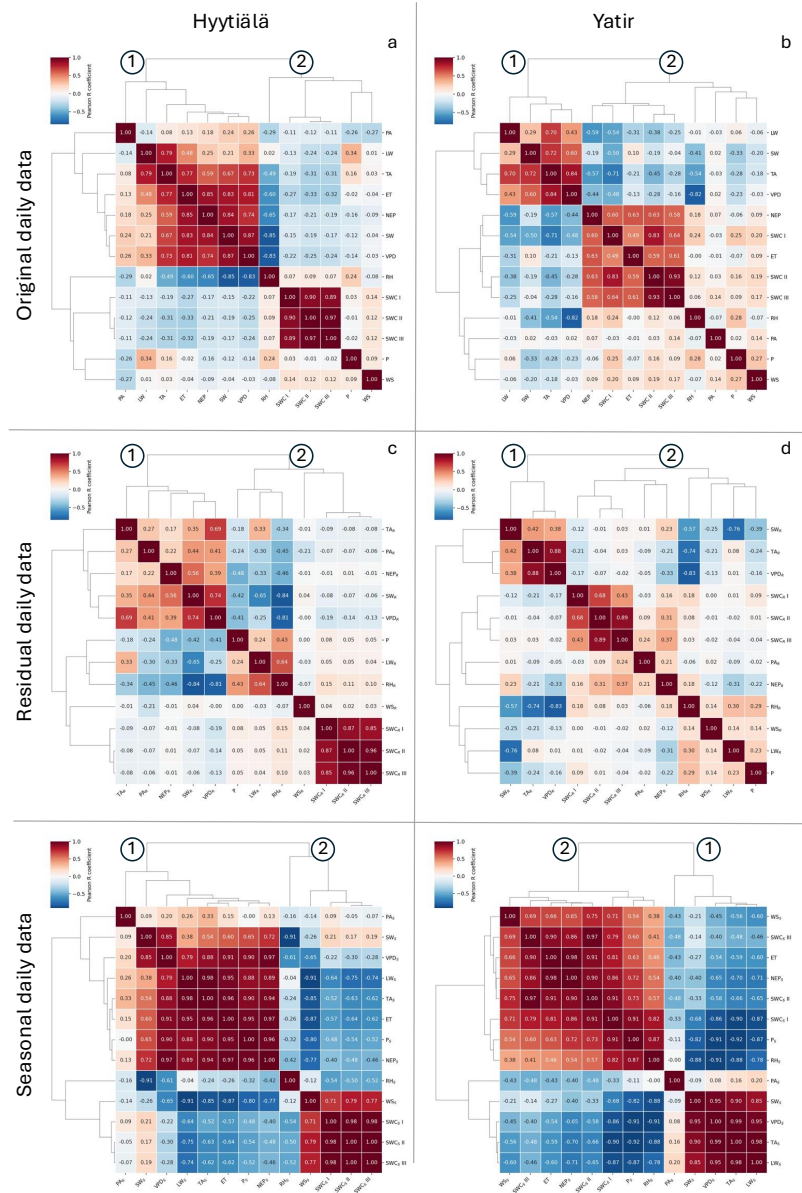
Laura Rez was supported by the Samuel G. Rose Environmental Sustainability Fellows Fund as part of the Institute for Environmental Sustainability (IES) at the Weizmann Institute of Science.

## Declaration of interests

The authors declare no competing interests.

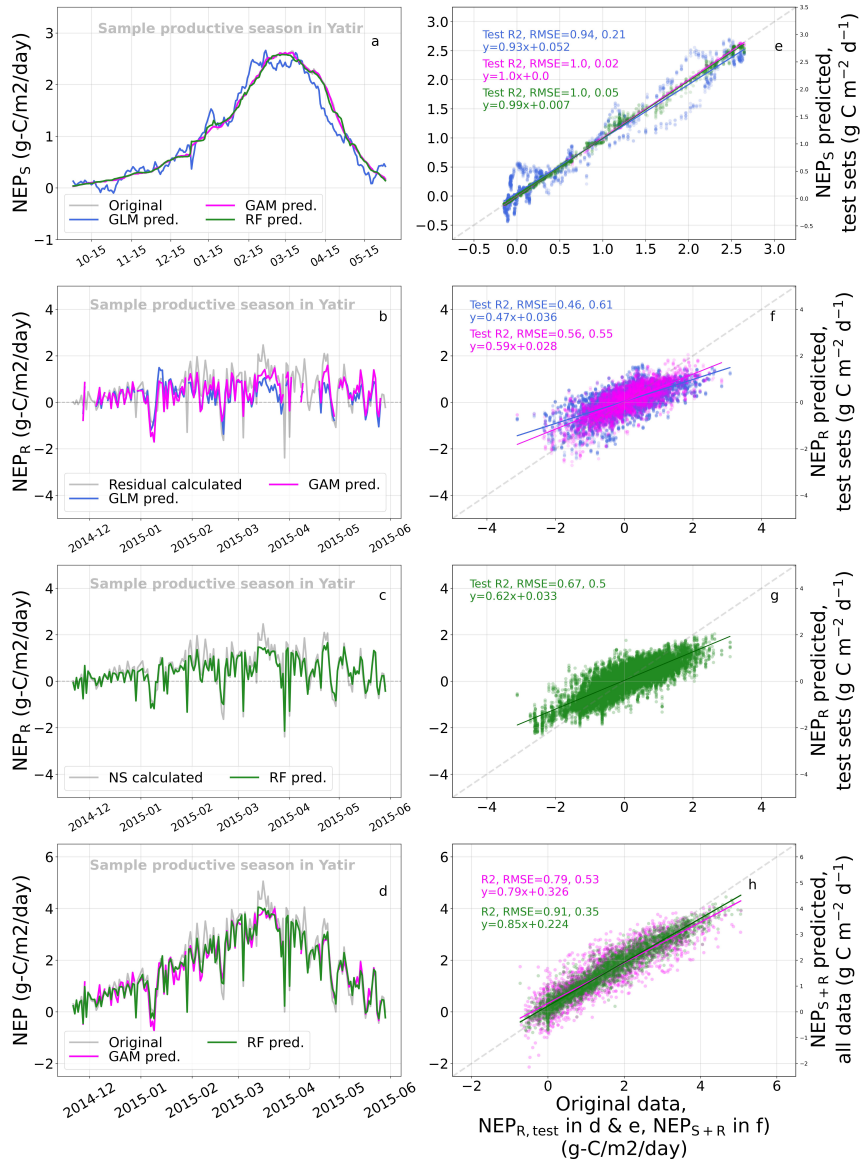
# Appendix

## A1 Dataset correlations and clustering



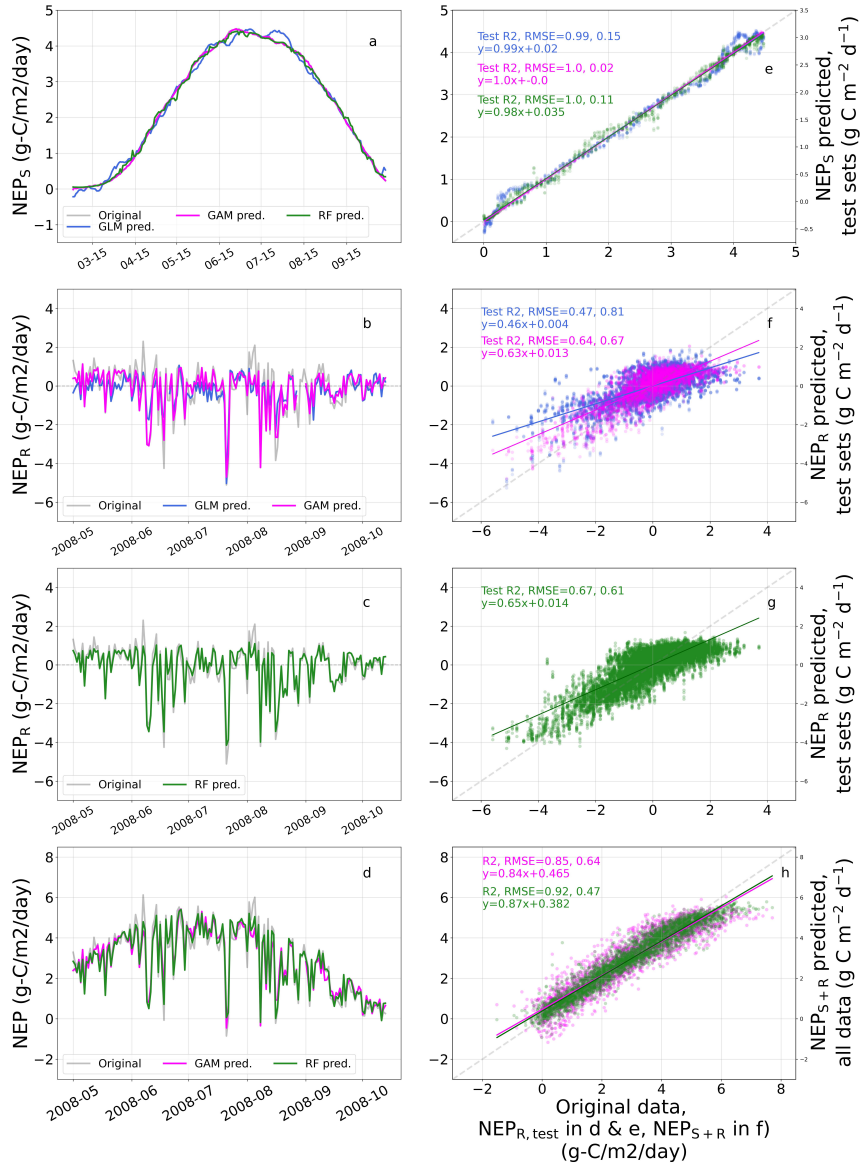
**Figure A1:** Hierarchical clustering of feature correlations in the original (a, b) and residual (c, d) datasets for each forest (HYY:a, c; YAT:b, d). In nearly each dataset, there are two clear groupings of features: Group 1, containing shortwave radiation (SW) and the variables commonly related to it, directly or indirectly: TA, VPD and LW; and Group 2, factors related to precipitation (P, PA, RH, SWC, WS). NEP and ET are correlated and clustered together in the original datasets, however they are grouped into Group 1 in HYY and Group 2 in YAT. In both forests, SWC at 30 cm and 45 cm are closely correlated, while this correlation becomes much weaker with the top 15 cm layer in YAT.

## A2 Yatir model predictions and scores



**Figure A2: Model performances in predicting NEP for YAT** (similar figure for HYY can be found in A3). The left column shows the predictions for a sample productive season (2014-2015), while the right column gives the test and whole dataset predictions for 20 random seeds (the test set is 10% of the whole dataset). NEP<sub>S</sub> is relatively well-predicted by all models (a, e;  $R^2_{\text{test}}=0.94;1.0;1.0$  for GLM;GAM;RF, respectively). GLM and GAM (b, f) predict NEP<sub>R</sub> at a lower accuracy ( $R^2_{\text{test}}=0.46\&0.56$ , respectively) than RF (c, g;  $R^2_{\text{test}}=0.67$ ), particularly for negative NEP<sub>R</sub>, where results are highly scattered in GLM and GAM. Learning residual data with RF separate from the seasonal cycle resulted in a strong performance in the combined NEP prediction than GAM ( $R^2=0.91\&0.79$  for RF&GAM, respectively), and ensured that the strong seasonal cycle would not dominate the outputs from the SHAP analysis on controlling factors.

### A3 Hyytiälä model predictions and scores

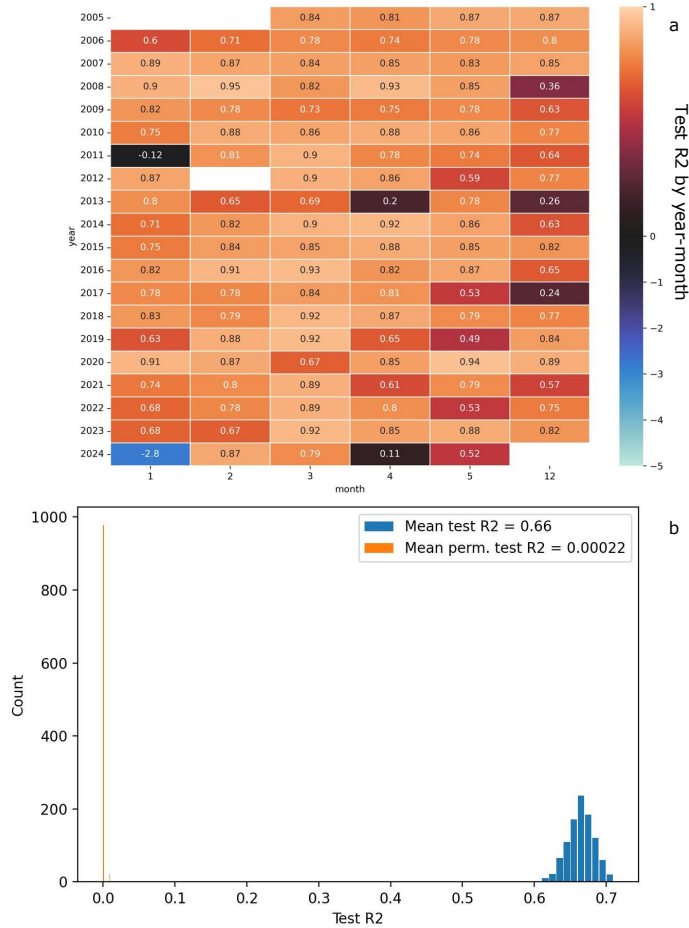


**Figure A3: Model performances in predicting NEP for HYY.** The left column shows the predictions from a sample productive season in 2008, while the right column shows test- and whole data-set predictions for 20 random seeds. GLM and GAM (a, d) predict peripheral residual values at a lower accuracy (test  $R^2$  & RMSE of 0.57, 0.93 and 0.63, 0.86, respectively) than RF (b, e; test  $R^2$  & RMSE of 0.66, 0.83). The slight improvement in model learning for NEP<sub>R</sub> from GAM to RF translated to a important improvement over the entire dataset (f) where  $R^2$  increased by 0.11, RMSE reduced by 0.23.

### A4 Cleaning and testing with ML

To test that the RF model was indeed learning structure in the residual dataset and not random noise, we cleaned layers of month-years that were obvious outliers by their Z-scores

and performed a permutation test (see Fig.A4). This test, in which the target  $NEP_R$  values are randomly shuffled while keeping the feature data the same, was run on 1000 random seeds and resulted in a small distribution of  $R^2_{test}$  next to zero, in both forests (for example in YAT,  $R^2_{residual(perm.)_{test, YAT}} = 1.5e-4$  (STD=0.0012). This greatly varied from the true values ( $R^2_{residual(true)_{test}} = 0.66$  (STD=0.019), and proved that the RF model learned actual structure from the residual datasets.



**Figure A4:** The test R2 (predictions using 10% of unseen data to the models) from 20 random seeds on YAT residual data, presented in (a) by mean month-year, and in (b) as the distribution from a permutation test. From (a), it can be seen that 2024-01, for example, is an outlier month that the model could not learn well; this was indeed a period with a poor NEP record. Removing specific year-months then improved, in turn, model training and the resulting test R2 of other year-months. In (b), the permutation test shows that the RF model has learned relationships within the original residual data as it has a vastly different distribution from the output of the models trained on randomly shuffled target values (i.e., containing random "noise" relationships). This shows that while residual data certainly contains some amount of noise, there are relationships that aren't random for the RF model to learn.

## A5 Median monthly SHAP contributions to $NEP_R$

**Table 2:** Median  $NEP_R$  and median SHAP values of main controlling factors by month in YAT, for seasons grouped by low (bottom 25th percentile), mid (25th-75th percentile), and high (top 75th percentile) total NEP. Statistically significant median values (evaluated against mid group,  $p < 0.05$ ) are denoted in bold with '\*'. Median  $SWC_R$  III monthly SHAP values are underlined for months when, in given percentile years, mean  $SWC$  III was below 15.8 %vol. **Low years:** 2008, 2009, 2010, 2019; **Baseline years:** 2006, 2011, 2012, 2013, 2016, 2017, 2018, 2020; **High years:** 2005, 2007, 2014, 2015.

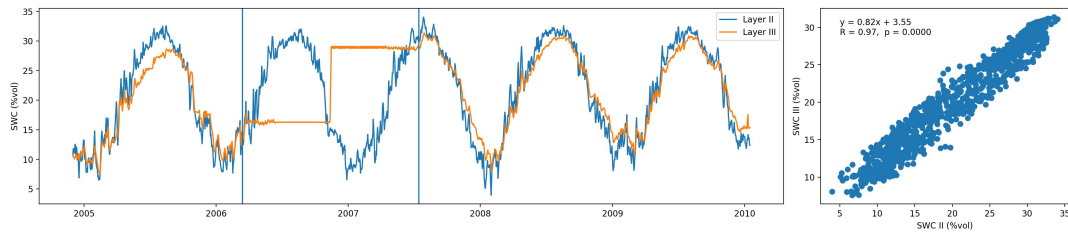
|             | Dec.                                     | Jan.                                    | Feb.                                   | Mar.                                     | Apr.                                     | May                                     |
|-------------|--|---|--|--|--|---|
| $NEP_R$     | <b>-0.23*</b> /0.27/0.16                 | <b>-0.40*</b> /0.17/ <b>0.62*</b>       | <b>-0.76*</b> /0.23/ <b>0.70*</b>      | <b>-0.30*</b> /-0.081/ <b>0.85*</b>      | <b>-0.64*</b> /0.034/ <b>0.72*</b>       | <b>-0.42*</b> /-0.16/ <b>0.49*</b>      |
| $SW_R$      | 0.13/0.14/0.14                           | 0.13/14/ <b>0.19*</b>                   | <b>0.12*</b> /0.17/ <b>0.19*</b>       | <b>0.12*</b> /0.15/ <b>0.18*</b>         | <b>0.080*</b> /0.080/ <b>0.14*</b>       | <b>0.070*</b> /0.080/ <b>0.11*</b>      |
| $VPD_R$     | -0.006/0.014/ <b>0.054*</b>              | 0.016/0.029/0.000                       | <b>0.005*</b> /0.019/0.046             | 0.087/0.036/ <b>0.075*</b>               | <b>-0.005*</b> /0.105/ <b>-0.006*</b>    | 0.008/0.007/ <b>0.11*</b>               |
| $TA_R$      | 0.005/0.005/ <b>-0.002*</b>              | <b>0.000*</b> /0.005/0.008              | <b>-0.004*</b> /0.007/0.011            | <b>-0.011*</b> /0.003/0.001              | <b>-0.014*</b> /0.001/-0.004             | 0.001/0.002/0.005                       |
| $SWC_R$ III | <u><b>-0.19*</b>/0.11/<b>-0.094*</b></u> | <u><b>-0.31*</b>/0.071/<b>0.21*</b></u> | <u><b>-0.22*</b>/0.14/<b>0.23*</b></u> | <u><b>-0.20*</b>/-0.003/<b>0.28*</b></u> | <u><b>-0.26*</b>/-0.006/<b>0.35*</b></u> | <u><b>-0.19*</b>/0.045/<b>0.34*</b></u> |
| P           | 25.7/48.9/22.6                           | <b>29.6*</b> /81.9/52.4                 | 61.2/48.8/38.0                         | 29.1/24.7/44.1                           | 3.1/14.7/10.1                            | <b>0.2*</b> /3.0/9.7                    |

**Table 3:** Same as Table 2 but for HYY. **Low years:** 1999, 2001, 2003, 2004, 2006; **Baseline years:** 1997, 1998, 2000, 2002, 2005, 2007, 2008, 2010, 2014, 2016, 2017; **High years:** 2009, 2011, 2012, 2013, 2015..

|                      | Apr.                         | May                                  | Jun.                                 | Jul.                                  | Aug.                                  | Sep.                                  |
|----------------------|------------------------------|--------------------------------------|--------------------------------------|---------------------------------------|---------------------------------------|---------------------------------------|
| NEP <sub>R</sub>     | 0.027/0.19/0.27              | <b>-0.16*</b> /0.058/ <b>0.46*</b>   | <b>-0.056*</b> /0.23/ <b>0.76*</b>   | -0.12/0.071/ <b>0.97*</b>             | <b>-0.12*</b> /0.33/ <b>0.66*</b>     | <b>0.11*</b> /0.26/0.19               |
| SW <sub>R</sub>      | 0.32/0.33/0.31               | 0.25/0.32/0.36                       | 0.35/0.34/0.36                       | 0.40/0.34/0.27                        | 0.31/0.34/0.41                        | 0.35/0.38/ <b>0.092*</b>              |
| VPD <sub>R</sub>     | <b>0.032*</b> /0.039/0.038   | 0.025/0.025/ <b>0.057*</b>           | <b>0.018*</b> /0.032/ <b>0.057*</b>  | 0.022/0.028/ <b>0.047*</b>            | <b>0.024*</b> /0.038/ <b>0.047*</b>   | 0.032/0.036/ <b>0.047*</b>            |
| TA <sub>R</sub>      | 0.005/-0.001/ <b>0.017*</b>  | 0.031/0.015/0.013                    | 0.012/0.027/ <b>0.050*</b>           | 0.0013/0.022/ <b>0.060*</b>           | <b>0.007*</b> /0.031/0.015            | <b>-0.019*</b> /0.024/ <b>-0.001*</b> |
| SWC <sub>R</sub> III | <b>-0.015*</b> /-0.004/0.003 | <b>-0.012*</b> /0.002/ <b>0.019*</b> | <b>-0.019</b> /-0.004/ <b>0.022*</b> | <b>-0.019*</b> /-0.005/ <b>0.018*</b> | <b>-0.017*</b> /-0.005/ <b>0.019*</b> | <b>-0.018*</b> /-0.004/-0.008         |
| P                    | 23.7/33.7/38.8               | 50.9/53.3/43.4                       | 63.6/91.1/94.7                       | 67.3/93.6/91.7                        | <b>48.4*</b> /104.4/62.4              | 71.6/47.2/79.8                        |

## A6 Estimating soil layer III temperature and root resistance

While there are continuous  $\sim 20$  year soil temperature measurements for layers I & II, layer III - which is key for the analysis, has less than 5 years on record. Soil temperature in layer II and III are steady measurements that are strongly correlated, as seen in Figure A5, and therefore the regression formula between the two overlapping measurements ( $SWC_{III}=0.82 SWC_{II} + 3.55$ ) was used to estimate layer III for the 20 year period.



**Figure A5:** Overlapping period of soil temperature measurements in YAT in layer II & III (left), and the correlation and regression results of the measurements (excluding values between 2006/03/15 and 2007/07/15, dates highlighted by vertical lines).

We estimated root resistance using the formula obtained from García-Tejera et al. (2016), and averaged parameters. The goal was to illustrate the Arrhenius-type relationship between root resistance and soil temperature, and since we do not have the specific parameters for this forest, we only present normalized values.

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