

# Exhumation of (U) HP/LT rocks caused by diachronous slab breakoff

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## Abstract

Three-dimensional thermo-mechanical analogue models investigate how diachronous slab breakoff may lead to the exhumation of subducted continental crust. Slab breakoff initiates spontaneously in one location and migrates laterally along the plate boundary, causing a transient excess downward pull force on the plate boundary in front of the propagating slab tear. This pull force locally reduces the pressure between the plates, which promotes buoyancy-driven exhumation of subducted crust. However, both the surface area undergoing the pressure reduction and its duration are limited. Our experiments show that the rate of slab breakoff propagation controls both the duration of the pull force and the magnitude of pressure reduction. Our results further demonstrate that exhumation occurs where the slab breakoff propagation rate is lowest, rather than where the pull force is strongest, corresponding to where the slab tear initiates or terminates.

*Keywords:* Subduction, Exhumation, Slab breakoff, analogue models

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## 1. Introduction

Crustal rocks metamorphosed at ultra-high pressure (UHP) record burial to 100-150 km depths and subsequent return to the surface (Liou et al., 1994;

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Chopin, 2003). Although it is well accepted that UHP rocks are formed by deep  
5 subduction of continental passive margin rocks, the mechanisms by which these  
rocks are exhumed remain debated (e.g. Chemenda and Mattauer, 1995; Burov  
et al., 2001; Gerya et al., 2002).

Detachment of subducted oceanic lithosphere was proposed by Davies and  
von Blanckenburg (1995) to trigger buoyancy-driven exhumation of crustal ma-  
10 terial. The positive buoyancy of the subducted continental crust opposes the  
negative buoyancy of the subducted oceanic lithosphere, causing down-dip ten-  
sion in the subducted slab and eventually breakoff. The subducted crustal ma-  
terial then rises up between the plates owing to its positive buoyancy. This con-  
ceptual model is supported by geological observations insofar that slab breakoff  
15 events in the Alps and Himalaya are thought to have occurred within time pe-  
riods that overlap with the time of formation and exhumation of UHP rocks  
(Handy et al., 2010; Replumaz et al., 2010; Capitanio and Replumaz, 2013).

However, modeling studies have shown that slab breakoff also removes the  
main force that produces the low interplate pressure condition required for co-  
20 herent units of buoyant continental crust to be exhumed in between the plates  
(Boutelier et al., 2002; Li and Gerya, 2009). Numerical simulations further  
demonstrated that subduction of the buoyant continental crust causes a reduc-  
tion of the net negative buoyancy of the subducted lithosphere which may lead  
to a reduction of the convergence rate (Duretz et al., 2011; van Hunen and Allen,  
25 2011). This causes in turn a reduction in viscous support of the subducted slab  
by the surrounding upper mantle and an increased temperature within the slab.  
Reduction of viscous support increases the down-dip tension in the slab, while  
temperature increase weakens it, and therefore both processes facilitate and ac-  
celerate slab breakoff. Simulations thus suggest that exhumation of UHP rocks  
30 should occur when the downward pull is the largest and interplate pressure the  
lowest, which is immediately prior to slab breakoff.

Multiple modelling studies have employed two-dimensional simulation tech-  
niques and/or did not consider the fact that slab breakoff may be diachronous,  
initiating in one location and propagating laterally like a zipper (Wortel and

35 Spakman, 2000). Therefore, how the lateral propagation of slab detachment  
might change the above mentioned conceptual model for exhumation of the  
subducted continental crust therefore remains unclear. In this study we present  
three-dimensional, thermo-mechanical analogue modelling experiments in which  
diachronous slab detachment occurs spontaneously and its effects on surface  
40 deformation are captured using high-frequency Particle Imaging Velocimetry  
(Adam et al., 2005). We use the experimental results to provide insights on  
how slab breakoff propagation rate influences the exhumation of subducted con-  
tinental crust.

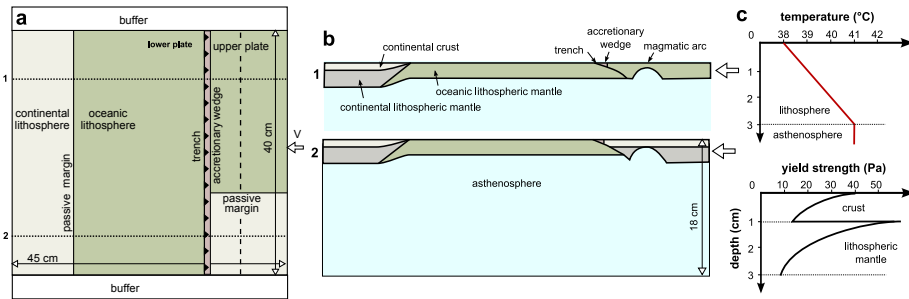


Figure 1: Schematic drawings of the model set-up in presented experiment. **a**: map view of the model showing the various oceanic and continental domains of both plates, and the buffers (weak neutrally buoyant material) along the sides. **b**: cross-sections 1 and 2 showing the model stratification and structure for oceanic and continental upper plate respectively. **c**: Temperature gradient in the model continental lithosphere and associated rheological stratification.

## 2. Modeling technique

45 The experiments comprise two lithospheric plates resting on low-viscosity  
asthenosphere (Fig. 1). The plates are made of temperature sensitive ductile  
elasto-plastic, strain softening materials that are subjected to a constant verti-  
cal thermal gradient, resulting in a reduction in plastic yield strength with depth  
in each layer (Fig. 1c) (Boutelier and Oncken, 2011). The subducting lower plate  
50 comprises two parts: an oceanic domain made of one single layer of negatively

buoyant mantle lithosphere, and a two-layer continental domain comprising a positively buoyant continental crust and a neutrally buoyant lithospheric mantle. Between the continental and oceanic domains is a passive margin where the thicknesses of the crust and lithospheric mantle reduce progressively toward the oceanic domain (Fig. 1b). The boundary between the negatively buoyant oceanic mantle lithosphere and the neutrally buoyant continental mantle lithosphere dips towards the continent in the passive margin area in order for the buoyancy of the mantle to vary progressively across the margin (Fig. 1b). The upper plate includes an oceanic and a continental domain with a transition (margin) oriented perpendicular to the trench (Fig. 1a). This configuration is intended to correspond approximately to the Alpine collision bordered to the west by the subduction of the Tethys ocean underneath the Alkapeca oceanic arc (Handy et al., 2010, and references therein). The lithospheric upper plate includes a magmatic arc where the plate thins as suggested by petrological, geothermal and seismic data (Furukawa, 1993; Zhao et al., 1994; Schmidt and Poli, 1998). The tip of the overriding plate is made of a weak, buoyant material representing the accretionary prism. Convergence is driven by a piston pushing the upper plate at a constant rate while a Particle Imaging Velocimetry system is employed to monitor surface displacement and strain (Adam et al., 2005) with high spatial and temporal resolution. The scaling factors and parameters values employed in the models and corresponding values in nature are provided in Table 1. Scaling and experimental procedures are described in details in Boutelier and Oncken (2011).

### 3. Model Results

We carried out over 20 experiments with various initial parameters and boundary conditions to explore multiple aspects of diachronous slab breakoff (see Boutelier and Cruden, 2016). Below we describe in details the experiment that best illustrate a newly-found relationship between the rate of lateral propagation of slab detachment and exhumation of buoyant continental crust and

Table 1: Parameter values adopted for the model and nature.  $\sigma$  is the plastic yield averaged over the layer thickness. subscript c, m, o, and a indicate crust, continental mantle lithosphere, oceanic mantle lithosphere and asthenosphere respectively.  $\rho$  is the density,  $\kappa$  is the thermal diffusivity,  $V$  is the velocity and  $t$  is the time.

Parameter	Model	Nature	Scaling Factor
$\sigma_c$ (Pa)	20	$2.3 \times 10^8$	$8.79 \times 10^{-8}$
$\sigma_m$ (Pa)	20	$2.3 \times 10^8$	$8.79 \times 10^{-8}$
$H_c$ (m)	$1 \times 10^{-2}$	$3.5 \times 10^4$	$2.86 \times 10^{-7}$
$H_m$ (m)	$2 \times 10^{-2}$	$7 \times 10^4$	$2.86 \times 10^{-7}$
$H_o$ (m)	$2 \times 10^{-2}$	$7 \times 10^4$	$2.86 \times 10^{-7}$
$\rho_c$ ( $\text{kg m}^{-3}$ )	$8.7 \times 10^2$	$2.7 \times 10^3$	$3.08 \times 10^{-1}$
$\rho_m$ ( $\text{kg m}^{-3}$ )	$1.0 \times 10^3$	$3.25 \times 10^3$	$3.08 \times 10^{-1}$
$\rho_o$ ( $\text{kg m}^{-3}$ )	$1.03 \times 10^3$	$3.33 \times 10^3$	$3.08 \times 10^{-1}$
$\rho_a$ ( $\text{kg m}^{-3}$ )	$1.0 \times 10^3$	$3.25 \times 10^3$	$3.08 \times 10^{-1}$
$\kappa$ ( $\text{m}^2 \text{s}^{-1}$ )	$2.8 \times 10^{-8}$	$1 \times 10^{-6}$	$2.8 \times 10^{-2}$
$V$ ( $\text{m s}^{-1}$ )	$1.25 \times 10^{-4}$	$1.25 \times 10^{-9}$	$9.8 \times 10^4$
$t$ (s)	92	$3.15 \times 10^{13}$	$2.92 \times 10^{-12}$

80 summarize the results from other experiments.

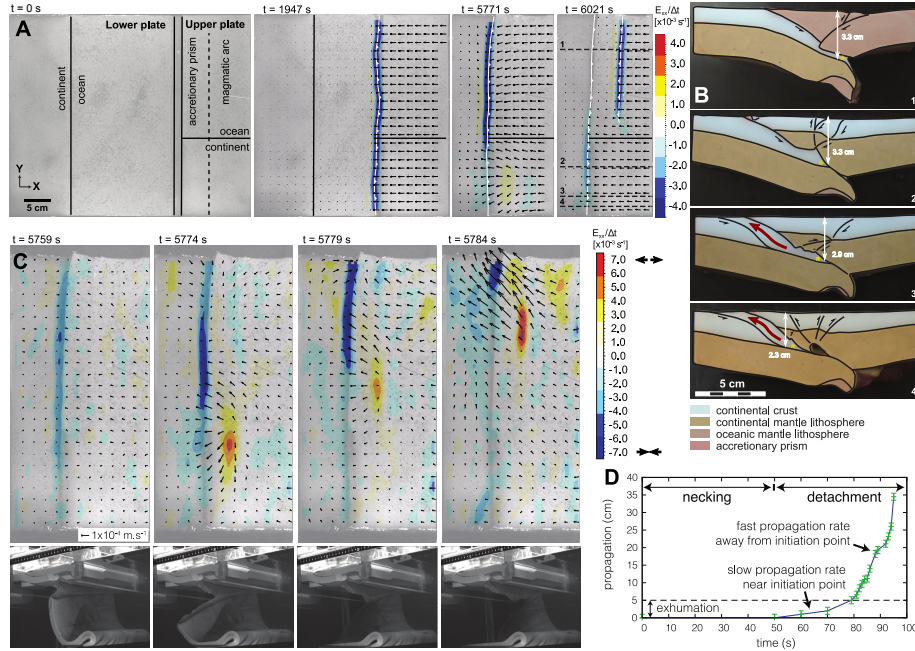


Figure 2: Evolution of the presented experiment from PIV monitoring and vertical cross-section in the frozen model; **a**: model surface views with PIV velocity vectors and derivative (i.e. strain rate), at four successive stages; **b**: vertical sections corresponding to lines 1 to 4 (panel a). The yellow star indicates the approximate depth of the tip of the subducted crust. The red arrow illustrates the exhumation path; **c**: Successive view of model surface with PIV vectors and derivative during propagation of the slab detachment, and oblique side views (note the buffers); **d**: propagation of the slab tear derived from displacement of strain peak. Exhumation occurred within 5 cm of the model edge.

### 3.1. Long-term consequence of slab detachment

We first highlight the long-term consequence of slab breakoff on upper plate deformation. The velocity field was calculated using images taken 20 s apart and the calculation was performed for the entire duration of the experiment (Fig. 2a).

85 The model was shortened for via subduction of the lower plate oceanic domain, and at  $\sim 4700$  s, the passive margin entered the subduction zone (Fig. 2a). This event generally resulted in a switch from tension to compression in the upper

plate and sometimes caused significant deformation in the arc and backarc areas (e.g. Chemenda et al., 2001). In the presented experiment, the negative buoy-  
90 ancy of the subducted slab was sufficient to prevent large deformation during the early stages of continental subduction (Fig. 2a). Shortly after initiation of continental subduction, the subducted slab touched the rigid base of the experimental tank. At 5734 s, slab breakoff initiated near the warmer and weaker edge of the slab and rapidly propagated laterally across the model. The slab was rapidly  
95 fully detached causing a reduction in the pull force and an increase in horizontal compression. Forward and backward thrusts formed in the magmatic arc in the continent-continent collision zone (Fig. 2a,b). In the arc-continent collision area, slab breakoff also caused an increase in horizontal compression leading to the formation of one single backthrust in the magmatic arc area (Fig. 2a). This  
100 newly formed backthrust allowed the backarc oceanic lithosphere to be subducted, with the newly formed slab starting to cut through the remnants of the previous slab (Fig. 2b, profile 1) as observed in previous isothermal analogue experiments of subduction polarity reversal (Chemenda et al., 2001). However, the experiment was stopped before the subduction polarity reversal was completed.

105 The vertical sections in the model after deformation revealed thrusts formed in the subducting crust near the edge of the model. In this area, the passive margin was not subducted as deeply as the rest of the model. Since subduction of the passive margin in the arc-continent collision zone stopped immediately after break-off at the expense of shortening in the magmatic arc (Fig. 2a), the  
110 observed crustal structure is not merely a crustal duplex but requires that the passive margin was subducted to  $> 3$  cm depth (equivalent 100 km in nature) when break-off occurred to be subsequently exhumed. The PIV analysis confirms that this crustal structure formed during slab detachment (horizontal contraction in front of the trench in Fig. 2a at  $t=5771$  s). Although we do not observe  
115 the continental crust rising up in between the plates directly, we interpret the crustal structure in the interplate zone to be a product of exhumation. A similar crustal structure was obtained in previous analogue and numerical modeling experiments of continental subduction in the low interplate pressure

regime (Chemenda and Mattauer, 1995; Boutelier et al., 2002; Boutelier and  
120 Chemenda, 2008; Li and Gerya, 2009). We note that our modelling technique  
does not allow the formation and exhumation of buoyant melanges of metased-  
iments and fragments of oceanic crust in the subduction channel because these  
units would be too small.

### 3.2. Signature of propagating slab detachment

125 To investigate how slab breakoff propagation impacted the subducted crust  
we calculated the surface velocity field and associated spatial derivatives (i.e.  
components of the strain rate tensor) with images taken only 1 s apart, allow-  
ing us to resolve the lateral propagation of the detaching slab (Fig. 2c). All  
experiments with spontaneous diachronous slab breakoff displayed a similar  
130 strain pattern, which traveled along the plate boundary (Boutelier and Cruden,  
2016), characterized by an increase in horizontal trench-parallel and trench-  
perpendicular shortening in the trench/wedge area, followed by trench-parallel  
and trench-perpendicular extension in the forearc area (Fig. 2c). Oblique side  
views confirmed that this strain pattern is associated with the propagation of  
135 the slab tear (Fig. 2c). Ahead of the tear, the detached slab exerts an additional  
downward pull causing transient shortening in the forearc. Following the passage  
of the tear, both plates bounce upward due to elastic properties and isostasy,  
causing associated horizontal extension in the forearc. Experiments monitored  
with stereoscopic PIV demonstrate that the phase of horizontal shortening is  
140 associated with subsidence in the forearc basin, while the horizontal extension  
is associated with uplift (Boutelier and Cruden, 2016).

We used the migration of the pulses of extension and shortening together  
with oblique side views to estimate the slab breakoff propagation rate (Fig. 2c-  
d). Breakoff started as a period of necking near the slab edge, followed by  
145 detachment with a rapidly increasing propagation rate. The detachment propa-  
gation rate was up to  $\sim 20$  mm/s in the experiment illustrated here, which scales  
up to 600 cm/yr in nature. In other experiments the scaled-up rate of propa-  
gation was up to 100 cm/yr (Boutelier and Cruden, 2016). The main difference



between experimental behaviours was the proximity of the model edge. In the  
 150 experiment presented here, the rate increased significantly when the detach-  
 ment approached the free edge of the model. The scaled-up rate of propagation  
 obtained in our experiment is larger than the estimates from the migration  
 of volcanism or magmatism (3-20 cm/year, Ferrari, 2004; Jolivet et al., 2015)  
 or the migration of depocenters in forearcs (7-45 cm/year, Meulenkaamp et al.,  
 155 1996; van der Meulen et al., 1998). Our scaled-up maximum propagation rate  
 is also larger than rates previously obtained in isothermal analogue experiments  
 (8 cm/year, Regard et al., 2005) but in agreement with the maximum rates ob-  
 tained in 3D thermo-mechanical simulations (80 cm/year, van Hunen and Allen,  
 2011) and analytical estimates (90 cm/year, Yoshioka and Wortel, 1995). We  
 160 attribute our excessively high maximum propagation rate to the underestimated  
 viscous support of the detached slab by the surrounding mantle, which would  
 reduce the driving force and propagation rate.

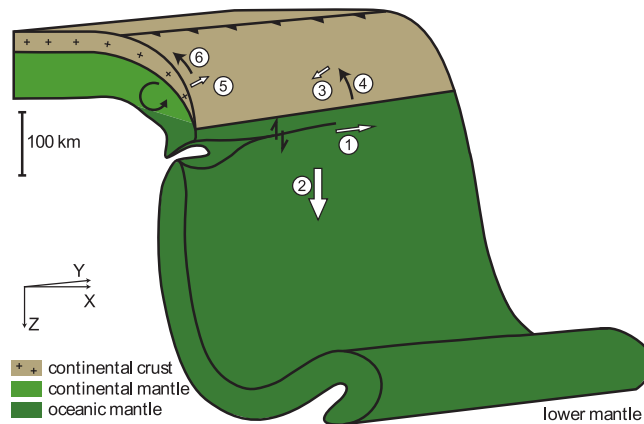


Figure 3: Illustration of proposed dual-mechanism exhumation of (U)HP rocks associated with propagating breakoff. 1: Horizontal propagation of detachment in the subducted lithosphere; 2: Excess slab pull generated ahead of the propagating tear; 3: Normal pull is produced on interplate zone causing reduction of pressure; 4: Pressure reduction allows buoyancy-driven exhumation of subducted crust; 5: After passage of tear, the lower plate bounces upward causing normal push on plate boundary and increase in interplate pressure; 6: Increased pressure terminates and crustal units are squeezed further upward.

## 4. Discussion

### 4.1. Change in plate kinematics associated with breakoff

165 Slab breakoff causes the removal of the negative buoyancy force leading to  
a dramatic change in plate kinematics. The slab pull force generates horizontal  
tension in the upper plate, often causing backarc extension and trench rollback  
(Shemenda, 1994; Scholz and Campos, 1995). However, the bending strength of  
the plate and the buoyancy of the continental crust cause horizontal compression,  
170 shortening and the formation of fold and thrust belts (Shemenda, 1994).  
Therefore, when the slab pull force vanishes due to slab breakoff, the system  
switches to a stronger compression regime. How compression causes deformation  
depends largely on the structure of the upper plate. In continent-continent  
collisions the buoyancy of the continental crust opposes burial and consequently  
175 horizontal shortening is accommodated by multiple thrusts and backthrusts in a  
wide bivergent system without deep burial. Conversely, the oceanic lithosphere  
of the upper plate in an arc-continent collision may be negatively buoyant and  
horizontal shortening may be accommodated by one single structure allowing  
deep burial and eventually leading to the formation of a new subduction zone. A  
180 similar arc-deformation evolution has been proposed for the termination of the  
Vitiaz subduction zone in the South-West Pacific due to the arrival of the buoy-  
ant Ontong-Java oceanic plateau, and initiation of the New Hebrides-Solomon  
subduction behind the existing magmatic arc (Mann and Taira, 2004). However,  
since the plateau is oceanic, it is unlikely that deeply buried and metamorphosed  
185 oceanic crust units remain positively buoyant and exhume during slab break-off.

The juxtaposition of continent-continent collision and arc-continent collision  
zones, similar to the experiment presented here, is analogous to the Alpine  
orogeny. Deep subduction of the European passive margin resulted in UHP  
conditions between 50 and 40 Ma, while slab breakoff in the central part of  
190 the Alps is estimated to have occurred between 45 and 25 Ma (Handy et al.,  
2010, and references therein). Our experiments suggest that slab breakoff might  
have triggered the subduction polarity reversal in the Alkapeka arc-continent

collision west of the Alpine collision (Handy et al., 2010). By  $\sim 30$  Ma, sufficient shortening had been induced in the arc to initiate slab rollback and the formation  
195 of the western Mediterranean basins (Faccenna et al., 2001; Van Hinsbergen et al., 2014).

#### 4.2. *Minimum rate of propagation*

Exhumation has been previously linked to an increase in the downward pull force, causing a reduction in the interplate pressure (Boutelier and Chemenda,  
200 2008; Li and Gerya, 2009). Our experiments suggest that the downward pull force increases during slab break-off propagation. As the length of the detached slab increases, it causes the excess downward pull ahead of the slab tear to increase as well. This is in agreement with the simulations of Guillaume et al. (2010), showing that the detaching segment of a slab causes a transient excess  
205 downward pull, which leads to a transient trench rollback if the trench is free to move (i.e. the arc is already rifted and a backarc spreading centre has formed). However, exhumation does not occur in our experiments where (or when) the pull is the largest but where slab breakoff propagation is the slowest, which is where the detachment initiates. Precisely, exhumation occurred within 5 cm  
210 from the model edge and the scaled-up rate of slab breakoff propagation was  $> \sim 33$  cm/yr.

First-order constraints from petrological and geochronological data allow for an independent estimate of maximum slab breakoff propagation rate. With exhumation rates of 1 to 3 cm/year and exhumation from 100 to 50 to  $\sim 20$  km-  
215 depth (Chopin, 2003), the excess downward pull must last a minimum of 2.6 to 13 Myr for the entire exhumation process to occur before the slab detachment has passed. Since the along-strike length of the area experiencing the downward pull is equivalent to  $\sim 350$  km in our models, the slab break-off propagation rate must be lower than 3.5 to 11.5 cm/year.

220 However, exhumation of continental rocks from 100 to 150 km-depth may be the result of two successive processes (Fig. 3). Exhumation may be driven by buoyancy when the area undergoes the transient excess downward pull as-

sociated with the passage of the slab tear. However, the exhumed units may only have ascended to depths of 50 to 70 km. The second stage of exhumation  
225 may occur immediately after the passage of the slab breakoff, when the lower plate is pushed up due to a combination of buoyancy force and flexural rigidity, squeezing the rising UHP units upward within the subduction channel (Boutelier et al., 2002). In this scenario, the duration of the pull only needs to be 1 to 10 Ma and the slab breakoff propagation rate is required to be lower than 3.5 to  
230 35 cm/year. It is not possible to visualize the two stages of exhumation in our three-dimensional analogue experiments but a similar sequence of exhumation within the interplate zone has been previously observed and monitored in 2D analogue experiments (Boutelier et al., 2002) and numerical simulations (Li and Gerya, 2009).

235 The maximum propagation rate observed in our experiments (scaled up to  $\sim 100$  cm/year) are significantly greater than our estimates for maximum slab breakoff propagation rates allowing exhumation of deeply subducted continental crust ( $< 35$  cm/year). This explains why the deformation of the subducted crust and associated exhumation only occurs where the slab breakoff initiated. From  
240 its initiation point, the slab detachment propagates laterally with increasing rate (Fig. 2d). About 5 cm (equivalent to 175 km) from the slab breakoff initiation point, the propagation rate has reached a threshold value precluding buoyancy-driven exhumation. However, if slab detachment propagation rate was closer to the lowest estimates derived from geology (3 to 45 cm/year) (Meulenkamp et al.,  
245 1996; van der Meulen et al., 1998; Ferrari, 2004; Jolivet et al., 2015) or previous modelling studies (8 to 100 cm/year) (Regard et al., 2005; van Hunen and Allen, 2011), then the buoyancy-driven exhumation could occur over a longer length of the plate boundary, yielding larger HP or UHP terranes.

## 5. Conclusions

250 Three-dimensional thermo-mechanical laboratory experiments of continent-continent and arc-continent collision with diachronous slab breakoff reveal that

deeply subducted units are deformed and exhumed when the rate of propagation of slab breakoff is low. Slab detachment causes a transient excess downward pull in front of the propagating slab tear, which reduces the interplate pressure, allowing buoyancy-driven exhumation of the subducted continental crust. This process does not occur where the transient pull force is the strongest, but where it acts over the longest period of time, which is where the slab breakoff propagation rate is lowest.

Following slab breakoff, deformation in the upper plate is characterized by bivergent thrusts where the upper plate is continental or a new subduction zone localized within the arc where the upper plate is oceanic. High-pressure rocks exhumed in between the plates during the slab detachment may then be further squeezed up to shallower depths.

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