

1 Article

2 Landscape classification with deep neural networks.

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8 **Abstract:** The application of deep learning, specifically deep convolutional neural networks
9 (DCNNs), to the classification of remotely sensed imagery of natural landscapes has the potential
10 to greatly assist in the analysis and interpretation of geomorphic processes. However, the general
11 usefulness of deep learning applied to conventional photographic imagery at a landscape scale is,
12 at yet, largely unproven. If DCNN-based image classification is to gain wider application and
13 acceptance within the geoscience community, demonstrable successes need to be coupled with
14 accessible tools to retrain deep neural networks to discriminate landforms and land uses in
15 landscape imagery. Here, we present an efficient approach to train/apply DCNNs with/on sets of
16 photographic images, using a powerful graphical method, called a conditional random field (CRF),
17 to generate DCNN training and testing data using minimal manual supervision. We apply the
18 method to several sets of images of natural landscapes, acquired from satellites, aircraft, unmanned
19 aerial vehicles, and fixed camera installations. We synthesize our findings to examine the general
20 effectiveness of transfer learning to landscape scale image classification. Finally, we show how
21 DCNN predictions on small regions of images might be used in conjunction with a CRF for highly
22 accurate pixel-level classification of images.

23 **Keywords:** image classification; image segmentation; land use; land cover; landforms; deep
24 learning; machine learning; UAS; aerial imagery; remote sensing
25

26 1. Introduction

27 1.1. The growing use of image classification in the geosciences

28 There is a growing need for fully automated pixel-scale classification of large datasets of color
29 digital photographic imagery, to aid analysis and interpretation of natural landscapes and
30 geomorphic processes. The task of classifying natural objects and textures in images of landforms is
31 increasingly widespread in a wide variety of geomorphological research [1-7], providing impetus for
32 the development of completely automated methods to maximize speed and objectivity. The task of
33 labeling image pixels into discrete classes is called object class segmentation or semantic
34 segmentation, whereby an entire scene is parsed into object classes at a pixel level [8-9].

35 There is a growing trend in studies of coastal and fluvial systems for using automated methods
36 to extract information from time-series of imagery from fixed camera installations [10-16], UAVs [17-
37 19] and other aerial platforms [20]. Fixed camera installations are designed for generating time-series
38 of images for assessment of geomorphic change in dynamic environments. Many aerial imagery
39 datasets are collected for building digital terrain models and orthoimages using Structure-from-
40 Motion (SfM) photogrammetry [21,22]. Numerous complementary or alternative uses of such
41 imagery and elevation models for the purposes of geomorphic research include facies description
42 and grain size calculation [23,24], geomorphic and geologic mapping [25,26], vegetation structure
43 description [27,28], physical habitat quantification [29,30], and geomorphic/ecologic change detection
44 [31-33]. In this paper, we utilize and evaluate two emerging themes in computer vision research,

45 namely deep learning and structured prediction, that, when combined, are shown to be extremely
46 effective in application to pattern recognition and semantic segmentation of highly structured,
47 complex objects in images of natural scenes.

48 *1.2. Application of deep learning to landscape scale image classification*

49 Deep learning is the application of artificial neural networks with more than one hidden layer
50 to the task of learning and subsequently recognizing patterns in data [34,35]. A class of deep learning
51 algorithms called deep convolutional neural networks (DCNNs) are extremely powerful at image
52 recognition, resulting in a massive proliferation of their use [36,37], across almost all scientific
53 disciplines [38,39]. A major advantage to DCNNs over conventional machine learning approaches to
54 image classification is that they do not require so-called 'feature-engineering' or 'feature extraction',
55 which is the art of either transforming image data so that they are more amenable to a specific
56 machine learning algorithm, or providing the algorithm more data by computing derivative products
57 from the imagery, such as rasters of texture or alternative color spaces [40,6,12]. In deep learning,
58 features are automatically learned from data using a general-purpose procedure. Another reputed
59 advantage is that DCNN performance generally improves with additional data, whereas machine
60 learning performance tends to plateau [41]. For these reasons, DCNN techniques will find numerous
61 applications where automated interpretation and quantification of natural landforms and textures
62 are used to investigate geomorphological questions.

63 However, many claims about the efficacy of DCNNs for image classification are largely based
64 upon analyses of conventional photographic imagery of familiar, mostly anthropogenic objects [42,6],
65 and it has not been demonstrated that this holds true for image classification of natural textures and
66 objects. Aside from the relatively large scale, images of natural landscapes collected for
67 geomorphological objectives tend to be taken from the air or at high vantage, with a nadir (vertical)
68 or oblique perspective. In contrast, images that make up many libraries upon which DCNNs are
69 trained and evaluated tend to be taken from ground level, with a horizontal perspective. In addition,
70 variations in lighting and weather greatly affect distributions of color, contrast and brightness; certain
71 land covers change appearance due to changing seasons (such as deciduous vegetation); and
72 geomorphic processes alter the appearance of land covers and landforms causing large intra-class
73 variation, for example, still/moving, clear, turbid, and aerated water. Finally, the distinction of certain
74 objects and features may be difficult against similar backgrounds, for example groundcover between
75 vegetation canopies.

76 The most popular DCNN architectures have been designed and trained on large generic image
77 libraries such as ImageNet [43], mostly developed as a result of international computer vision
78 competitions [44] and primarily for application to close-range imagery with small spatial footprints
79 [42], but more recently have been used for landform/land use classification tasks in large spatial
80 footprint imagery such as that used in satellite remote sensing [45-49]. These applications have
81 involved design and implementation of new or modified DCNN architectures, or relatively large
82 existing DCNN architectures, and have largely been limited to satellite imagery. Though powerful,
83 DCNNs are also computationally intensive to train and deploy, very data hungry (often requiring
84 millions of examples to train from scratch), and require expert knowledge to design and optimize.
85 Collectively, these issues may impede widespread adoption of these methods within the geoscience
86 community.

87 In this contribution, a primary objective is to examine the accuracy of DCNNs for oblique and
88 nadir conventional medium-range imagery. Another objective is to evaluate the smallest, most
89 lightweight existing DCNN models, retrained for specific land use/land cover purposes, with no
90 retraining from scratch and no modification or fine-tuning to the data. We utilize a concept known
91 as 'transfer learning', where a model trained on one task is re-purposed on a second related task [35].
92 Fortunately, several open-source DCNN architectures have been designed for general applicability
93 to the task of recognizing objects and features in non-specific photographic imagery. Here, we use
94 existing pre-trained DCNN models that are designed to be transferable for generic image recognition
95 tasks, which facilitates rapid DCNN training when developing classifiers for specific image sets.

96 Training is rapid because only the final layers in the DCNN need to be retrained to classify a specific
97 set of objects.

98 1.3. Pixel-scale image classification

99 Automated classification of pixels in digital photographic images involves predicting labels, y ,
100 from observations of features, x , which are derived from relative measures of color in red, green and
101 blue spectral bands in imagery. In the geosciences, the labels of interest naturally depend on the
102 application but may be almost any type of surface land cover (such as specific sediment, landforms,
103 geological features, vegetation type and coverage, water bodies, etc) or description of land use
104 (rangeland, cultivated land, urbanized land, etc). The relationships between x and y are complex and
105 non-unique, because the labels we assign depend nonlinearly on observed features, as well as on each
106 other. For example, neighboring regions in an image tend to have similar labels (i.e. they are spatially
107 autocorrelated). Depending on the location and orientation of the camera relative to the scene, labels
108 may be preferentially located. Some pairs of labels (e.g. ocean and beach sand) are more likely to be
109 proximal than others (e.g. ocean and arable land).

110 A natural way to represent the manner in which labels depend on each other is provided by
111 graphical models [50] where input variables (in the present case, image pixels and their associated
112 labels) are mapped onto a graph consisting of nodes, and edges between the nodes describe the
113 conditional dependence between the nodes. Whereas a discrete classifier can predict a label without
114 considering neighboring pixels, graphical models can take this spatial context into account, which
115 makes them very powerful for classifying data with large spatial structure, such as images. Much
116 work in learning with graphical models [51] has focused on generative models that explicitly attempt
117 to model a joint probability distribution $P(x,y)$ over inputs, x , and outputs, y . However, this approach
118 has important limitations for image classification where the dimensionality of x is potentially very
119 large, and the features may have complex dependencies, such as the dependencies or correlations
120 between multiple metrics derived from images. In such cases, modeling the dependencies among x
121 is difficult and leads to unmanageable models, but ignoring them can lead to poor classifications.

122 A solution to this problem is a discriminative approach, similar to that taken in classifiers such
123 as logistic regression. The conditional distribution $P(y|x)$ is modeled directly, which is all that is
124 required for classification. Dependencies that involve only variables in x play no role in $P(y|x)$, so an
125 accurate conditional model can have much simpler structure than a joint model, $P(x,y)$. The posterior
126 probabilities of each label are modeled directly, so no attempt is made to capture the distributions
127 over x , and there is no need to model the correlations between them. Therefore, there is no need to
128 specify an underlying prior statistical model, and the conditional independence assumption of a pixel
129 value given a label, commonly used by generative models, can be relaxed.

130 This is the approach taken by conditional random fields (CRFs), which are a combination of
131 classification and graphical modeling known as structured prediction [52,50]. They combine the
132 ability of graphical models to compactly model multivariate data (the continuum of land cover and
133 land use labels) with the ability of classification methods to leverage large sets of input features,
134 derived from imagery, to perform prediction. In CRFs based on 'local' connectivity, nodes connect
135 adjacent pixels in x [51,53], whereas in the fully connected definition, each node is linked to every
136 other [54,55]. CRFs have recently been used extensively for task-specific predictions such as in
137 photographic image segmentation [56,57,42] where, typically, an algorithm estimates labels for
138 sparse (i.e. non-contiguous) regions (i.e. supra-pixel) of the image. The CRF uses these labels in
139 conjunction with the underlying features (derived from a photograph), to draw decision boundaries
140 for each label, resulting in a highly accurate pixel-level labeled image [55,42].

141 1.4. Paper purpose, scope, and outline

142 In summary, this paper evaluates the utility of DCNNs for both image recognition and semantic
143 segmentation of images of natural landscapes. Whereas previous studies have demonstrated the
144 effectiveness of DCNNs for classification of features in satellite imagery, we specifically use examples
145 of high-vantage and nadir imagery that are commonly collected during geomorphic studies and in

146 response to disasters/natural hazards. In addition, whereas many previous studies have utilized
 147 relatively large DCNN architectures either specifically designed to recognize landforms, land cover
 148 or land use, or trained existing DCNN architectures from scratch using a specific dataset, the
 149 comparatively simple approach taken here is to repurpose an existing comparatively small, very fast
 150 MobileNetV2 DCNN framework to a specific task. . Further, we demonstrate how structured
 151 prediction using a fully connected CRF can be used in a semi-supervised manner to efficiently
 152 generate ground truth label imagery and DCNN training libraries. Finally, we propose a hybrid
 153 method for accurate semantic segmentation based on combining 1) the recognition capacity of
 154 DCNNs to classify small regions in imagery, and 2) the fine grained localization of fully connected
 155 CRFs for pixel-level classification.

156 The rest of the paper is organized as follows. First, we outline a workflow for efficiently creating
 157 labeled imagery, retraining DCNNs for image recognition, and semantic classification of imagery. A
 158 user-interactive tool has been developed that enables the manual delineation of exemplative regions
 159 in the input image of specific classes in conjunction with a fully connected conditional random field
 160 (CRF) to estimate the class for every the pixel within the image. The resulting label imagery can be
 161 used to train and test DCNN models. Training and evaluation data sets are created by selecting tiles
 162 from the image that contain a proportion of pixels that correspond to a given class that is greater than
 163 a given threshold. Then we detail the transfer learning approach applied to DCNN model
 164 repurposing, and describe how DCNN model predictions on small regions of an image may be used
 165 in conjunction with a CRF for semantic classification. We chose the MobileNetsV2 framework, but
 166 any one of several similar models may alternatively be used. The retrained DCNN is used to classify
 167 small spatially distributed regions of pixels in a sample image, which is used in conjunction with the
 168 same CRF method used for label image creation to estimate a class for every pixel in the image. We
 169 introduce four datasets for image classification. The first is a large satellite dataset consisting of
 170 various natural land covers and landforms, and the remaining three are from high-vantage or aerial
 171 imagery. These three are also used for semantic classification. In all cases, some data is used for
 172 training the DCNN, and some for testing classification skill (out-of-calibration validation). For each
 173 of the datasets, we evaluate the ability of the DCNN to correctly classify regions of images or whole
 174 images. We assess the skill of the semantic segmentation. Finally, we discuss the utility of our findings
 175 to broader application of these methods for geomorphic research.

176 2. Materials and Methods

177 2.1. Fully connected Conditional Random Field

178 A conditional random field (CRF) is an undirected graphical model that we use here to
 179 probabilistically predict pixel labels based on weak supervision, which could be manual label
 180 annotations or classification outputs from discrete regions of an image based on outputs from a
 181 trained DCNN. Image features x and labels y are mapped to graphs, whereby each node is connected
 182 to an edge to its neighbors according to a connectivity rule. Linking each node of the graph created
 183 from x to every other node enables modeling of the long-range spatial connections within the data
 184 by considering both proximal and distal pairs of grid nodes, resulting in refined labeling at
 185 boundaries and transitions between different label classes. We use the fully connected CRF approach
 186 detailed in [55], which is summarized briefly below. The probability of a labeling y given an image-
 187 derived feature, x , is

$$188 P(y|x, \theta) = \frac{1}{Z(x, \theta)} \exp(-E(y|x, \theta)) \quad (1)$$

189 where θ is a set of hyperparameters, Z is a normalization constant, and E is an energy function that
 is minimized, obtained by

$$E(y|x, \theta) = \sum_i \psi_i(y_i, x_i | \theta) + \sum_{i < j} \psi_{ij}(y_i, y_j, f_i, f_j | \theta) \quad (2)$$

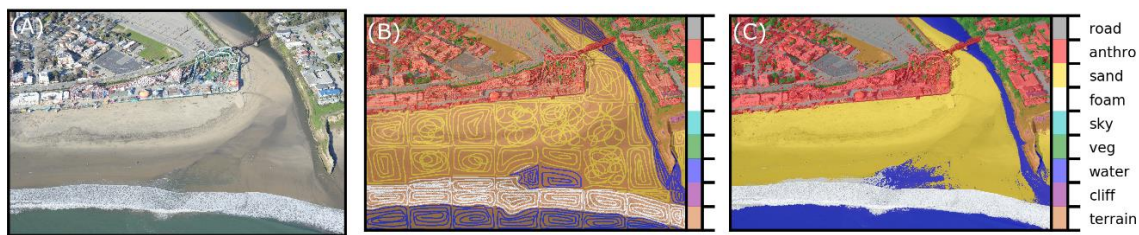
190 where i and j are pixel locations in the horizontal (row) and vertical (column) dimensions. The
 191 vectors f_i and f_j are features created from x_i and x_j and are functions of both relative position and
 192 intensity of the image pixels. Whereas ψ_i indicate so-called ‘unary potentials’, which depend on the
 193 label at a single pixel location (i) of the image, ‘pairwise potentials’, ψ_{ij} , depend on the labels at a
 194 pair of separated pixel locations (i and j) on the image. The unary potentials represent the cost of
 195 assigning label y_i to grid node i . In this paper, unary potentials are defined either through sparse
 196 manual annotation or automated classification using DCNN outputs. The pairwise potentials are the
 197 cost of simultaneously assigning label y_i to grid node i and y_j to grid node j , and are computed
 198 using image feature extraction, defined by:

$$\psi_{ij}(y_i, y_j, f_i, f_j | \theta) = \Lambda(y_i, y_j | \theta) \sum_{l=1}^L k^l(f_i^l, f_j^l) \quad (3)$$

199 where $l = 1:L$ are the number of features derived from x , and where the function Λ quantifies label
 200 ‘compatibility’, by imposing a penalty for nearby similar grid nodes that are assigned different labels.
 201 Each k^l is the sum of two Gaussian kernel functions that determines the similarity between
 202 connected grid nodes by means of a given feature f^l :
 203

$$k^l(f_i^l, f_j^l) = \exp\left(-\frac{|p_j - p_i|^2}{2\theta_\alpha^2} - \frac{|x_j - x_i|^2}{2\theta_\beta^2}\right) + \exp\left(-\frac{|p_j - p_i|^2}{2\theta_\gamma^2}\right) \quad (4)$$

204 The first Gaussian kernel quantifies the observation that nearby pixels, with a distance controlled
 205 by θ_α (standard deviation for the location component of the color-dependent term), with similar
 206 color, with similarity controlled by θ_β (standard deviation for the color component of the color-
 207 dependent term), are likely to be in the same class. The second Gaussian is a ‘smoothness’ kernel that
 208 removes small isolated label regions, according to θ_γ , the standard deviation for the location
 209 component. This penalizes small, spatially isolated pieces of segmentation, thereby enforcing more
 210 spatially consistent classification. Hyperparameter θ_β controls the degree of allowable similarity in
 211 image features between CRF graph nodes. Relatively large θ_β indicates image features with
 212 relatively large differences in intensity may be assigned the same class label. Similarly, a relatively
 213 large θ_α means image pixels separated by a relatively large distance may be assigned the same class
 214 label.
 215



216 **Figure 1.** Application of the semi-supervised CRF at Seabright Beach, Santa Cruz, California for
 217 generation of DCNN training tiles and ground-truth labeled images. From left to right, (A) the input
 218 image, (B) the hand-annotated sparse labels, and (C) the resulting CRF-predicted pixelwise labeled
 219 image.

220 *2.2. Generating DCNN training libraries*

221 We developed a user-interactive program that segments an image into smaller chunks, the size
 222 of which is defined by the user. On each chunk, cycling through a pre-defined set of classes, the user
 223 is prompted to draw (using the cursor) example regions of the image that correspond to each label.
 224 Unary potentials are derived from these manual on-screen image annotations. These annotations
 225 should be exemplary, i.e. a relatively small portion of the region in the chunk that pertains to the
 226 class, rather than delimiting the entire region within the chunk that pertains to the class. Typically,

227 the CRF algorithm only requires a few example annotations for each class. For very heterogeneous
228 scenes, however, where each class occurs in several regions across the image (such as the water and
229 anthropogenic classes in Figure 1) example annotations should be provided for each class in each
230 region where that class occurs.

231 Using this information, the CRF algorithm estimates the class of each pixel in the image (Figure
232 1). Finally, the image is divided up into tiles of a specified size, T . If the proportion of pixels within
233 the tile is greater than a specified amount, P_{class} , then the tile is written to a file in a folder denoting its
234 class. This simultaneously and efficiently generates both ground-truth label imagery (to evaluate
235 classification performance) and sets of data suitable for training a DCNN. A single photograph
236 typically takes 5-30 minutes to process with this method, so all the data required to retrain a DCNN
237 (see section below) may take only up to a few hours to generate. CRF inference time depends
238 primarily on image complexity and size, but also secondarily affected by the number and spatial
239 heterogeneity of class labels.

240 2.3. Retraining a deep neural network (transfer learning)

241 The training library that consists of image tiles each labeled according to set of classes, whose
242 generation are described in section 2.2., is used to retrain an existing DCNN architecture to classify
243 similar unseen image tiles. Among many suitable popular and open-source frameworks for image
244 classification using deep convolutional neural networks, we chose MobileNetV2 [58] because it is
245 relatively small and efficient (computationally faster to train and execute) compared to many
246 competing architectures designed to be transferable for generic image recognition tasks, such as
247 Inception [59], Resnet [60], and NASnet [61], and it is smaller and more accurate than MobileNetV1
248 [62]. It also is pretrained for various tile sizes (image windows with horizontal and vertical
249 dimensions of 96, 128, 192, and 224 pixels) which allows us to evaluate that effect on classifications.
250 However, all of the aforementioned models are implemented within TensorFlow-Hub [63], which is
251 a library specifically designed for reusing pre-trained TensorFlow [64] models for new tasks. Like
252 MobileNetV1 [62], MobileNetV2 uses depthwise separable convolutions where, instead of doing a
253 2D convolution with a kernel, the same result is achieved by doing two 1D convolutions with two
254 kernels, k_1 and k_2 , where $k = k_1 \cdot k_2$. This requires far fewer parameters, so the model is very small and
255 efficient compared to a model with the same depth using 2D convolution. However, V2 introduces
256 two new features to the architecture: 1) shortcut connections between the bottlenecks called inverted
257 residual layers, and 2) linear bottlenecks between the layers. A bottleneck layer contains few nodes
258 compared to the previous layers, used to obtain a representation of the input with reduced
259 dimensionality [59], leading to large savings in computational cost. Residual layers connect the
260 beginning and end of a convolutional layers with a skip connection, which gives the network access
261 to earlier activations that weren't modified in the convolutional layers, and make very deep networks
262 without commensurate increases in parameters. Inverted residuals are a type of residual layer that
263 has fewer parameters, which leads to greater computational efficiency. A 'linear' bottleneck is where
264 the last convolution of a residual layer has a linear output before it is added to the initial activations.
265 According to [58], this preserves more information than the more-traditional non-linear bottlenecks,
266 which leads to greater accuracy.

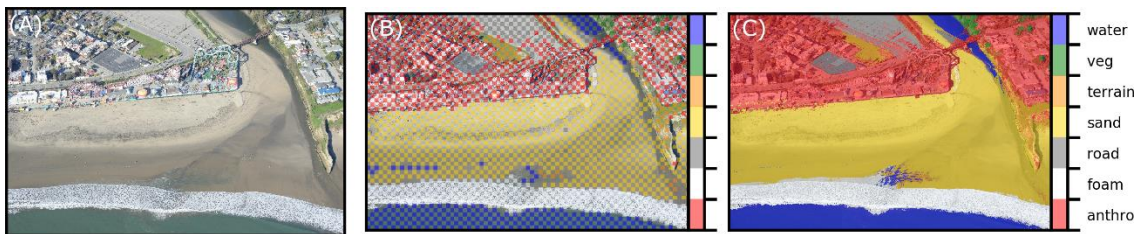
267 For all datasets, we only used tiles (in the training and evaluation) where 90% of the tile pixels
268 were classified as a single class (that is, $P_{class} > 0.9$). This avoided including tiles depicting mixed land
269 cover/use classes. We chose tile sizes of $T = 96 \times 96$ pixels and $T = 224 \times 224$ pixels, which is the full range
270 available for MobileNets, in order to compare the effect of tile size. All model training was carried
271 out in Python using TensorFlow library version 1.7.0 and TensorFlow-hub version 0.1.0. For each
272 dataset, model training hyperparameters (1000 training epochs, a batch size of 100 images, and a
273 learning rate of 0.01) were kept constant, but not necessarily optimal. For most datasets, there are
274 relatively small numbers of very general classes (water, vegetation, etc.), which in some ways creates
275 a more difficult classification task, owing to the greater expected within-class variability associated
276 with broadly defined categories, than datasets with many more specific classes.

277 Model retraining (sometimes called ‘fine-tuning’) consists of tuning the parameters in just the
 278 final layer rather than all the weights within all of the network’s layers. Model retraining consists of
 279 first using the model, up to the final classifying layer, to generate image feature vectors for each input
 280 tile, then retraining only the final, so-called fully connected, model layer that actually does the
 281 classification. For each training epoch, 100 feature vectors from tiles chosen at random from the
 282 training set, and feeds them into the final layer to get predict the class. Those class predictions are
 283 then compared against the actual labels, which is used to update the final layer's weights through
 284 back-propagation.

285 Each training and testing image tile was normalized against varying illumination and contrast,
 286 which greatly aids transferability of the trained DCNN model. We calculated a normalized image
 287 (X') from a non-normalized image (X) using

$$X' = \frac{X - \mu}{\sigma} \quad (5)$$

where μ and σ are mean and standard deviation, respectively [47]. We chose to scale every tile
 by a maximum possible standard deviation (for an 8-bit image) by using $\sigma=255$. For each tile, μ
 was chosen as the mean across all three bands for that tile. This procedure could be optimized
 for a given dataset but in our study the effects of varying values of σ were minimal.



288 **Figure 2.** Application of the unsupervised CRF for pixelwise classification, based on unary potentials
 289 of regions of the image classified using a DCNN. From left to right, (A) the input image, (B) the
 290 DCNN-estimated sparse labels, and (C) the resulting CRF-predicted pixelwise labeled image.
 291 Example image as in Figure 1.

292 2.4. CRF-based semantic segmentation

293 For pixel-scale semantic segmentation of imagery, we have developed a method that harnesses
 294 the classification power of the DCNN, with the discriminative capabilities of the CRF. An input image
 295 is windowed into small regions of pixels, the size of which is dictated by the size of the tile used in
 296 the DCNN training (here, $T=96 \times 96$ or $T=224 \times 224$ pixels). Some windows, ideally with an even spatial
 297 distribution across the image, are classified with a trained DCNN. Collectively, these predictions
 298 serve as unary potentials (known labels) for a CRF to build a probabilistic model for pixelwise
 299 classification given the known labels and the underlying image (Figure 2).

300 Adjustable parameters are: 1) the proportion of the image to estimate unary potentials for
 301 (controlled by both T and the number/spacing of tiles), and 2) a threshold probability, P_{thres} , larger
 302 than which a DCNN classification was used in the CRF. Across each dataset, we found that using
 303 50% of the image as unary potentials, and $P_{thres} = 0.5$, resulted in good performance. CRF
 304 hyperparameters were also held constant across all datasets. We found that good performance across
 305 all datasets was achieved using $\theta_\alpha = 60$, $\theta_\beta = 5$, and $\theta_\gamma = 60$. Holding all of these parameters constant
 306 facilitates comparison of the general success of the proposed method. However, it should be noted
 307 that accuracy could be further improved for individual datasets by optimizing the parameters for
 308 those specific data. This could be achieved by minimizing the discrepancy between ground truth
 309 label images and model-generated estimates using a validation dataset.

310 2.5. Metrics to assess classification skill

311 Standard metrics of precision, P , recall, R , accuracy, A , and F1 score, F , are used to assess
312 classification of image regions and pixels. Where TP , TN , FP , and FN are, respectively, the frequencies
313 of true positives, true negatives, false positives, and false negatives:

$$P = \frac{TP}{TP + FP} \quad (6)$$

314

$$R = \frac{TP}{TP + FN} \quad (7)$$

315

$$A = \frac{TP + TN}{TP + TN + FP + FN} \quad (8)$$

316

$$F = 2 \cdot \frac{P \cdot R}{P + R} \quad (9)$$

317

318 True positives are image regions/pixels correctly classified as belonging to a certain class by the
319 model, while true negatives are correctly classified as not belonging to a certain class. False negatives
320 are regions/pixels incorrectly classified as not belonging to a certain class, and false positives are those
321 regions/pixels incorrectly classified as belonging to a certain class. Precision and recall are useful
322 where the number of observations belonging to one class is significantly lower than those belonging
323 to the other classes. These metrics are therefore used in evaluation of pixelwise segmentations, where
324 the number of pixels corresponding to each class vary considerably. The F1 score is an equal
325 weighting of the recall and precision and quantifies how well the model performs in general. Recall
326 is a measure of the ability to detect the occurrence of a class, which is a given landform, land use or
327 land cover.

328 A 'confusion matrix', which is the matrix of normalized correspondences between true and
329 estimated labels, is a convenient way to visualize model skill. A perfect correspondence between true
330 and estimated labels is scored 1.0 along the diagonal elements of the matrix. Misclassifications are
331 readily identified as off-diagonal elements. Systematic misclassifications are recognized as off-
332 diagonal elements with large magnitudes. Full confusion matrices for each test and dataset are
333 provided as Supplemental Data 2.

334



335 **Figure 3.** Example tiles from NWPU dataset. Classes, in columns, from left to right, are beach,
336 chaparral, desert, forest, island, lake, meadow, mountain, river, sea ice, and wetland.

337 2.6. Data

338 The chosen datasets encompass a variety of collection platforms (oblique stationary cameras,
339 oblique aircraft, nadir UAV, and nadir satellite) and landforms/land covers, including several
340 shoreline environments (coastal, fluvial and lacustrine).

341 2.6.1. NWPU-RESISC45

342 To evaluate the MobileNetV2 DCNN with a conventional satellite-derived land use/land cover
343 dataset, we chose the NWPU-RESISC45, which is a publicly available benchmark for REmote Sensing
344 Image Scene Classification (RESISC), created by Northwestern Polytechnical University (NWPU).
345 The entire dataset, described by [6], contains 31,500 high-resolution images from Google Earth
346 imagery, in 45 scene classes with 700 images in each class. The majority of those classes are
347 urban/anthropogenic. We chose to use a subset of 11 classes corresponding to natural landforms and
348 land cover (Figure 3), namely: beach, chaparral, desert, forest, island, lake, meadow, mountain, river,
349 sea ice, and wetland. All images are 256x256 pixels. We randomly chose 350 images from each class
350 for DCNN training, and 350 for testing.
351



352 **Figure 4.** Example tiles from Seabright beach. Classes, in columns, from left to right, are
353 anthropogenic/buildings, foam, road/pavement, sand, other natural terrain, vegetation, and water.

354 2.6.2. Seabright beach, CA.

355 The dataset consists of 13 images of the shorefront at Seabright State Beach, Santa Cruz, CA.
356 Images were collected from a fixed-wing aircraft in February 2016, of which a random subset of seven
357 were used for training, and six for testing (Supplemental data S1A and S1B). Training and testing
358 tiles were generated for seven classes (Table A1 and Figures 2, 3, and 4).



359 **Figure 5.** Example tiles from Lake Ontario shoreline. Classes, in columns, from left to right, are
360 anthropogenic/buildings, sediment, other natural terrain, vegetation, and water.

361 2.6.3. Lake Ontario, NY.

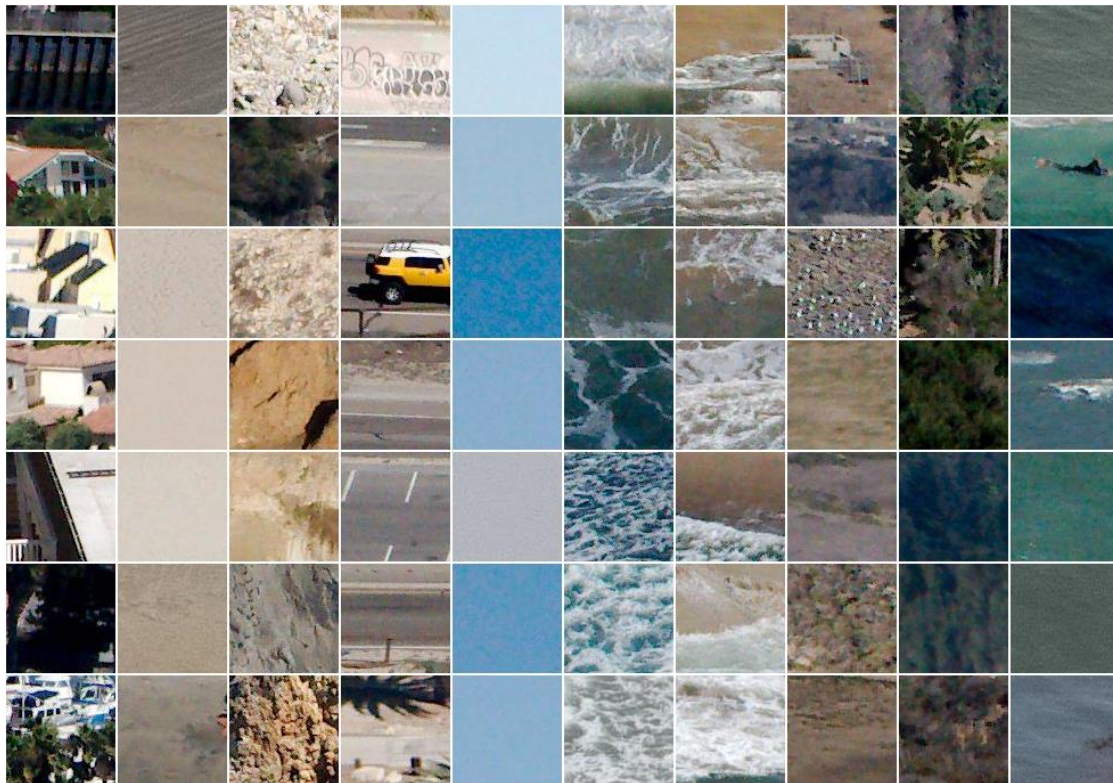
362 The dataset consists of 48 images obtained in July 2017 from a Ricoh GRII camera mounted to a
363 3DR Solo quadcopter, a small unmanned aerial system (UAS), flying 80–100 m above ground level in
364 the vicinity of Braddock Bay, New York, on the shores of southern Lake Ontario [65]. A random
365 subset of 24 were used for training, and 24 for testing (Supplemental data S1C and S1D). Training
366 and testing tiles were generated for five classes (Table A2 and Figure 5).



367 **Figure 6.** Example tiles from Grand Canyon. Classes, in columns, from left to right, are rock/scree,
368 sand, vegetation, and water.

369 2.6.4. Grand Canyon, AZ.

370 The dataset consists of 14 images collected from a stationary autonomous camera systems
371 monitoring eddy sandbars along the Colorado River in Grand Canyon. The camera system, sites and
372 imagery is described in [16]. Imagery came from various seasons and river flow levels, and sites differ
373 considerably in terms of bedrock geology, riparian vegetation, sunlight/shade, and water turbidity.
374 One image from each of seven sites were used for training, and one from each those of same seven
375 sites were used for testing (Supplemental data S1E and S1F). Training and testing tiles were generated
376 for four classes (Table A3 and Figure 6).



377 **Figure 7.** Example tiles from CCRP dataset. Classes, in columns, from left to right, are
 378 buildings/anthropogenic, beach, cliff, road, sky, surf/foam, swash, other natural terrain, vegetation,
 379 and water.

380 2.6.5. California Coastal Records (CCRP).

381 The dataset consists of a sample of 75 images from the California Coastal Records Project (CCRP)
 382 [66], of which 45 were used for training, and 30 for testing (Supplemental data S1G and S1H). The
 383 photographs were taken over several years and times of the year, from sites all along the California
 384 coast, with a handheld digital single-lens reflex camera from a helicopter flying at approximately 50–
 385 600 m elevation [20]. The set includes a very wide range of coastal environments, at very oblique
 386 angles, with a corresponding very large horizontal footprint. Training and testing tiles were
 387 generated for ten classes (Table A4 and Figure 7).

388 **Table 1.** Whole tile classification accuracies and F1 scores for each dataset and tile size, using the
 389 test tile set not used to train the model.

	<i>T</i> = 96		<i>T</i> = 224	
Dataset	Mean accuracy	Mean F1 score	Mean accuracy	Mean F1 score
1. NWPU	87%	93%	89%	94%
2. Seabright	94%	97%	96%	97%
3. Ontario	83%	91%	96%	98%
4. Grand Canyon	92%	96%	94%	97%
5. CCRP	79%	88%	84%	91%

390 **3. Results**

391 **Table 2.** Mean whole tile classification accuracies (%), per class, for each of the non-satellite datasets
 392 (*T*=96 / *T*=224), using the test tile set not used to train the model.

	Seabright	Ontario	Grand Canyon	CCRP
Sediment/sand	93 / 98	76 / 93	94 / 89	91 / 89
Terrain/rock	91 / 91	78 / 91	89 / 95	84 / 78
Cliff				69 / 86
Vegetation	89 / 95	96 / 98	94 / 90	49 / 74
Water	99 / 98	94 / 97	92 / 99	92 / 91
Anthropogenic	95 / 98	72 / 94		79 / 85
Foam/Surf	97 / 96			72 / 81
Swash				79 / 79
Road	96 / 98			85 / 83
Sky				90 / 97

393

394 *3.1. Whole image tile classification accuracy*

395 For each image set, classes are already available for all image tiles used for testing, so the DCNN
396 model is simply retrained against the pre-defined classes for each data set. This results in five separate
397 retrained models, one for each of the five datasets. With no fine tuning of model hyperparameters (of
398 which the most important are number of training epochs, learning rate, and batch size), we achieved
399 average classification accuracies of between 91 and 98% (F1 scores) across five datasets with $T=224$ tiles,
400 and between 88% and 97% with $T=96$ tiles (Table 1). Over 26 individual land cover/use classes (Table 2) in
401 four datasets, average classification accuracies ranged between 49 and 99%. Confusion matrices
402 (Supplemental 2, Figures S2A through S2E) for all classes reveal that most mis-classifications occur
403 between similar groupings, for example swash and surf, and roads and buildings/anthropogenic. If the
404 model systematically fails to distinguish between certain very similar classes, confusion matrices provide
405 the means with which to identify which classes to group (or, by the same token, split), if necessary, to
406 achieve even greater overall classification accuracies. In most cases, however, the accuracy over all of the
407 classes is less important than adequate prediction skill for each class, in which case fine-tuning of model
408 hyperparameters should be undertaken to improve differentiation between similar classes. Only for
409 certain data and classes did the distinction between $T=96$ and $T=224$ tiles make a significant difference,
410 particularly for the Lake Ontario data where classifications were systematically better using $T=224$.

411 *3.2. Pixel classification accuracy*

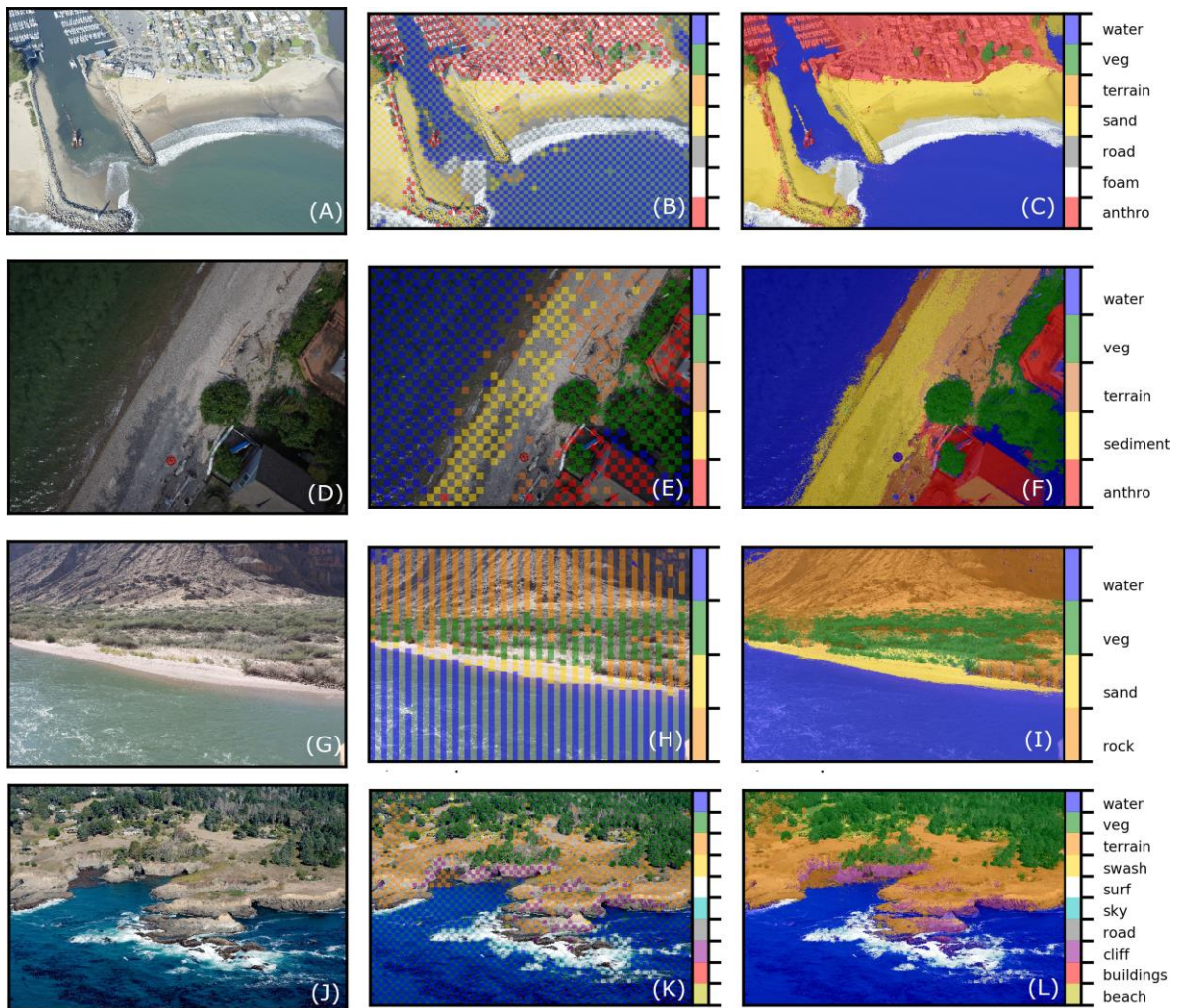
412 With no fine tuning of model hyperparameters, we achieved average pixelwise classification
413 accuracies of between 70 and 78% (F1 scores, Table 3) across four datasets, based on CRF modeling
414 of sparse DCNN predictions with $T=96$ tiles (Figure 8). Classification accuracy for a given feature was
415 strongly related to size of that feature (Figure 9). For those land cover/uses that are much greater in
416 size than a 96×96 pixel tile, average pixelwise F scores were much higher, ranging from 86 to 90 %.
417 Confusion matrices (Supplemental A, Figures S2F through S2I) again show how mis-classifications only
418 systematically tend to occur between pairs of the most similar classes.

419 **Table 3.** Mean P/R/F/A (all %) per class for pixelwise classifications using each of the non-satellite
420 datasets ($T=96$), using the test set of label images.

	Seabright	Ontario	Grand Canyon	CCRP
Sediment/sand	98/92/95/92	72/72/74/67	76/79/80/78	84/90/86/78
Terrain/rock	44/51/46/50	32/32/30/41	80/97/87/96	47/86/54/75
Cliff				72/91/66/74
Vegetation	63/41/48/42	90/93/89/91	92/31/46/43	94/40/48/26

Water	95/92/93/91	95/95/95/89	94/92/93/94	93/88/86/79
Anthropogenic	87/95/90/94	78/59/64/55		85/70/76/71
Foam/Surf	87/93/90/94			93/74/73/70
Swash				42/40/48/27
Road	86/81/83/79			35/70/35/64
Sky				95/97/94/82
Average:	80/78/78/77	73/70/70/69	86/75/77/78	74/75/67/65

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Figure 8. Example images (left column), DCNN-derived unary potentials (middle column), and CRF-derived pixelwise semantic segmentation (right column) for each of the four datasets, from top to bottom, Seabright, Lake Ontario, Grand Canyon, and CCRP.

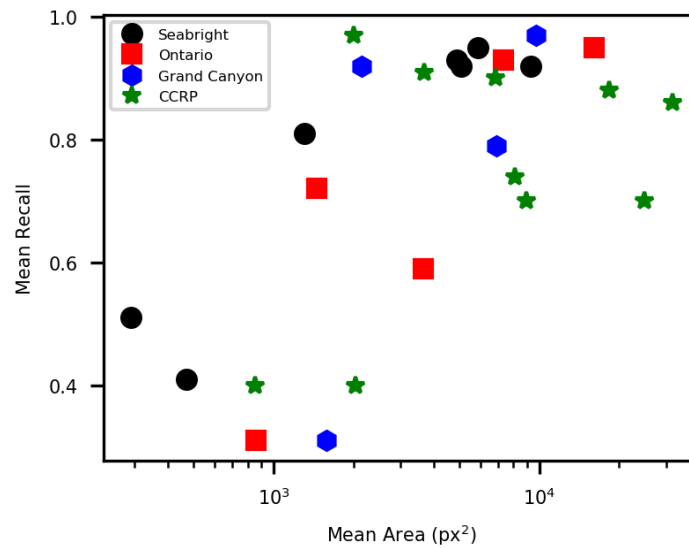


Figure 9. Average recall versus average area (in square pixels) of classes.

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428 4. Discussion

429 Deep learning has revolutionized the field of image classification in recent years [36-39,42-49].
 430 However, the general usefulness of deep learning applied to conventional photographic imagery at
 431 a landscape scale is, at yet, largely unproven. Here, consistent with previous studies that have
 432 demonstrated the ability of DCNNs for classification of land use/cover in long-range remotely sensed
 433 imagery from satellites [6,9,45-49], we demonstrated that DCNNs are powerful tools for classifying
 434 landforms and land cover in medium-range imagery acquired from UAS, aerial, and ground-based
 435 platforms. Further, we found that the smallest and most computationally efficient widely available
 436 DCNN architecture, MobilenetsV2, classifies land use/cover with comparable accuracies to larger,
 437 slower, DCNN models such as AlexNet [67,45,6], VGGNet [68,45,6], GoogLeNet [6,69,70], or custom-
 438 designed DCNNs [9,46,47]. Although we deliberately chose a standard set of model parameters, and
 439 achieved reasonable pixel-scale classifications across all classes, even greater accuracy is likely
 440 attainable with a model fine-tuned to a particular dataset [6]. Here, reported pixel-scale classification
 441 accuracies are only estimates because they do not take into account potential errors in the ground
 442 truth data (label images) which could have arisen due to human error and/or imperfect CRF pixel
 443 classification. A more rigorous quantification of classification accuracy would require painstaking
 444 pixel-level classification of imagery using a fully manual approach, which would take hours to days
 445 for each image, possibly in conjunction with field measurements to verify land cover represented in
 446 imagery.

447 In remote sensing, the acquisition of pixel-level reference/label data is time-consuming and
 448 limiting [46], so acquiring a suitably large dataset for training DCNN is often a significant challenge.
 449 Therefore most studies that use pixel-level classifications only use a few hundred reference points
 450 [71,72]. We suggest a new method for generating pixel-level labeled imagery for use in developing
 451 and evaluating classifications (DCNN-based and others), based on manual on-screen annotations in
 452 combination with a fully connected conditional random field (CRF, Figure 1). As stated in section
 453 2.2., the CRF model will typically only require a few example annotations for each class as priors, so
 454 for efficiency's sake annotations should be more exemplary than exhaustive, i.e. relatively small
 455 portions of the regions of the image associated with each class. However, the optimal number and
 456 extent of annotations depends on the scene and the (number of) classes, and therefore learning an
 457 optimal annotating process for a given set of images is highly experiential.

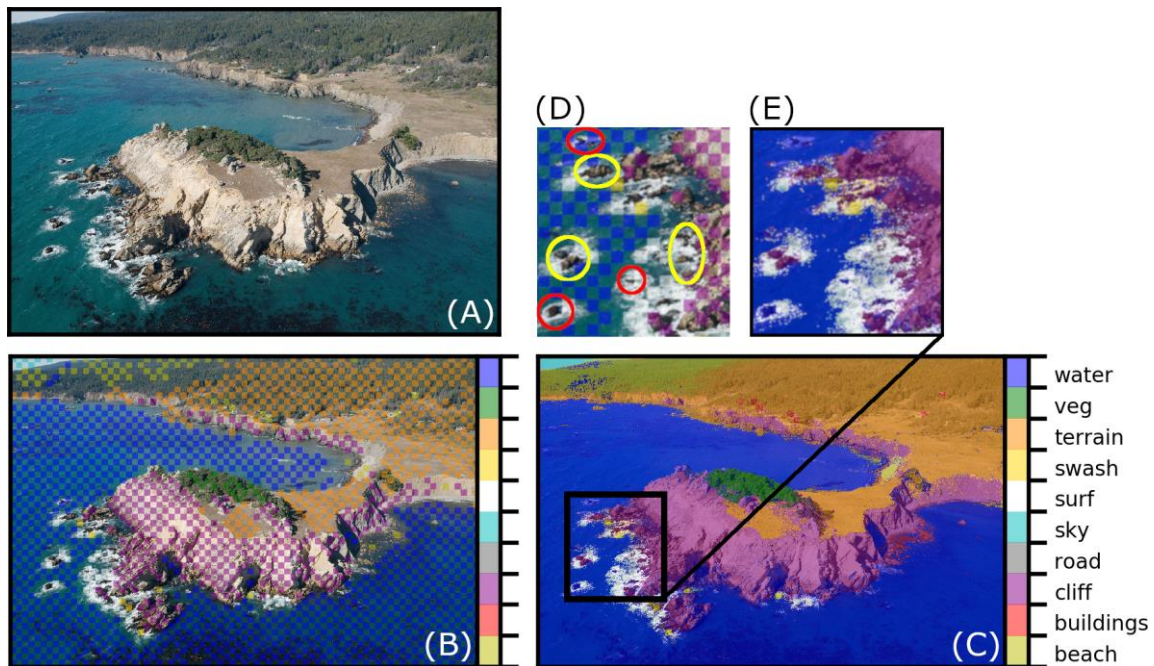
458 This method for generating label imagery will find general utility for training and testing any
459 algorithm for pixelwise image classification. We show that in conjunction with transfer learning and
460 small, efficient DCNNs, it provides the means to rapidly train a DCNN with a small dataset. In turn,
461 this facilitates the rapid assessment of the general utility of DCNN architectures for a given
462 classification problem, and provides the means to fine-tune a feature class or classes iteratively based
463 on classification mismatches. The workflow presented here can be used to quickly assess the potential
464 of a small DCNN like MobilenetV2 for a specific classification task. This ‘prototyping’ stage can also
465 be used to assess classes that should be grouped, or split, depending on analysis of confusion matrices
466 such as presented in Supplemental 2, Figures S2A through S2E. If promising, larger models such as
467 Resnet [60] or NASnet [61] could be used, within the same framework provided by Tensorflow Hub,
468 for even greater classification accuracy.

469 Recognizing the capabilities of the CRF as a discriminative classification algorithm given a set
470 of sparse labels, we propose a pixel-wise semantic segmentation algorithm based upon DCNN-
471 estimated regions of images in combination with the fully-connected CRF. We offer this hybrid
472 DCNN-CRF approach to semantic segmentation as a simpler alternative to so-called ‘fully
473 convolutional’ DCNNs [8,39,73] which, in order to achieve accurate pixel level classifications, require
474 much larger, more sophisticated DCNN architectures [37], which are often computationally more
475 demanding to train. Since pooling within the DCNN results in a significant loss of spatial resolution,
476 these architectures require an additional set of convolutional layers that learn the ‘upscaling’ between
477 the last pooling layer, which will be significantly smaller than the input image, and the pixelwise
478 labelling at the required finer resolution. This process is imperfect, therefore label images appear
479 coarse at object/label boundaries [73] and some post-processing algorithm, such as a CRF or similar
480 approach, is required to refine predictions. Because of this, we also suggest that our hybrid approach
481 might be a simpler approach to semantic segmentation, especially for rapid prototyping (as discussed
482 above) and in the cases where the scales of spatially continuous features are larger than the tile size
483 used in the DCNN (Figure 9). However, for spatially isolated features, especially those that exist
484 throughout small spatially contiguous areas, the more complicated fully convolutional approach to
485 pixelwise classification might be necessary.

486 The CRF is designed to classify (or in some instances, where some unary potentials are
487 considered improbable by the CRF model, reclassify) pixels based on both the color/brightness and
488 the proximity of nearby pixels with the same label. When DCNN predictions are used as unary
489 potentials, we found that, typically, the CRF algorithm requires DCNN-derived unary potentials,
490 regularly spaced, for at least one quarter of pixels in relatively simple scenes and about one half in
491 relatively complicated scenes (e.g. Figure 10B) for satisfactory pixelwise classifications (e.g. Figure
492 10C). With standardized parameter values that were not fine-tuned to individual images or datasets,
493 CRF performance was mixed, especially for relatively small objects/features (Table 3). This is
494 exemplified by Figure 10, where several small outcropping rocks whose pixel labels were not
495 included as CRF unary potentials, were either correctly or incorrectly labeled by the CRF, despite the
496 similarity in their location, size, color, and their relative proximity to correctly labeled unary
497 potentials. Dark shadows on cliffs were sometimes misclassified as water, most likely because the
498 water class contains examples of shallow kelp beds, which are also almost black. A separate ‘shadow’
499 or ‘kelp’ class might have ameliorated this issue. We found that optimizing CRF parameters to reduce
500 such misclassifications could be done for an individual image, but not in a systematic way that would
501 improve similar misclassifications in other images. Whereas here we have used RGB imagery, the
502 CRF would work in much the same way with larger multivariate datasets such as multispectral or
503 hyperspectral imagery, or other raster stacks consisting of information on coincident spatial grids.

504 If DCNN-based image classification is to gain wider application and acceptance within the
505 geoscience community, similar demonstrable examples, need to be coupled with accessible tools and
506 datasets to develop deep neural network architectures that better discriminate landforms and land
507 uses in landscape imagery. To that end, we invite interested readers to use our data and code (see
508 Acknowledgements) to explore variation in classifications among multiple DCNN architectures, and

509 to use our extensive pixel-level label dataset to evaluate and facilitate in the development of custom
 510 DCNN models for specific classification tasks in the geosciences.
 511



512

513 **Figure 10.** Classification of a typical CCR image: (A) Original image; (B) DCNN predictions; (C) CRF
 514 predictions; (D) and (E) show the same region (magnification $\times 2$) from the DCNN and CRF labels,
 515 respectively. The colored ellipses in (D) indicate small rocky areas either misclassified (red ellipses)
 516 or correctly classified (yellow ellipses).

517 5. Conclusions

518 In summary, we have developed a workflow for efficiently creating labeled imagery, retraining
 519 DCNNs for image recognition, and semantic classification of imagery. A user-interactive tool has
 520 been developed that enables the manual delineation of exemplary regions in the input image of
 521 specific classes in conjunction with a fully connected conditional random field (CRF) to estimate the
 522 class for every the pixel within the image. The resulting label imagery can be used to train and test
 523 DCNN models. Training and evaluation data sets are created by selecting tiles from the image that
 524 contain a proportion of pixels that correspond to a given class that is greater than a given threshold.
 525 The training tiles are then used to retrain a DCNN. We chose the MobileNetsV2 framework, but any
 526 one of several similar models may alternatively be used. The retrained DCNN is used to classify small
 527 spatially distributed regions of pixels in a sample image, which is used in conjunction with the same
 528 CRF method used for label image creation to estimate a class for every pixel in the image.

529 Our work demonstrates the general effectiveness of a repurposed, small, very fast, existing
 530 DCNN framework (MobileNetV2) for classification of landforms, land use, and land cover features
 531 in both satellite and high-vantage, oblique and nadir imagery collected using planes, UAVs and static
 532 monitoring cameras. With no fine tuning of model parameters, we achieve average classification
 533 accuracies of between 91 and 98% (F1 scores) across five disparate datasets, ranging between 71 and
 534 99% accuracies over 26 individual land cover/use classes across four datasets. Further, we
 535 demonstrate how structured prediction using a fully connected CRF can be used in a semi-supervised
 536 manner to very efficiently generate ground truth label imagery and DCNN training libraries. Finally,
 537 we propose a hybrid method for accurate semantic segmentation of imagery of natural landscapes
 538 based on combining 1) the recognition capacity of DCNNs to classify small regions in imagery, and
 539 2) the fine grained localization of fully connected CRFs for pixel-level classification. Where land
 540 cover/uses that are typically much greater in size than a 96×96 pixel tile, average pixelwise F1 scores
 541 range from 86 to 90%. Smaller, and more isolated features have greater pixelwise accuracies. This is

542 in part due to our usage of a common set of model parameters for all data sets, however further
 543 refinement of this technique may be required to classify features that are much smaller than a 96x96
 544 pixel tile with similar accuracies as larger features and land covers.

545 These techniques should find numerous application in the classification of remotely sensed
 546 imagery for geomorphic and natural hazards studies, especially for rapidly evaluating the general
 547 utility of DCNNs for a specific classification task, and especially for relatively large and spatially
 548 extensive land cover types. All of our data, trained models, and processing scripts are available at
 549 https://github.com/dbuscombe-usgs/dl_landscapes_paper.

550 **Supplementary Materials:** The following are available online at www.mdpi.com/xxx/s1, Figure S1: Datasets,
 551 Figure S2: Confusion matrices.

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 553 Validation, D.B.; Formal Analysis, D.B.; Data Curation, D.B.; Writing-Original Draft Preparation, D.B. and A.R.;
 554 Writing-Review & Editing, D.B. and A.R.; Visualization, D.B.; Funding Acquisition, D.B.

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 560 www.Californiacoastline.org. Any use of trade, firm, or product names is for descriptive purposes only and does
 561 not imply endorsement by the U.S. Government.

562 **Conflicts of Interest:** The authors declare no conflict of interest.

563 Appendix A

564 **Table A1.** Classes and number of tiles used for the Seabright dataset.

Class	Number of training tiles (T=96/224)	Number of evaluation tiles (T=96/224)
Anthropogenic	23,566 / 4,548	15,575 / 3,031
Road and pavement	314 / 60	525 / 103
Sand	38,250 / 6,887	25,318 / 5,802
Vegetation	386 / 76	240 / 38
Other terrain	77 / 24	117 / 22
Water	11,394 / 1,723	14,360 / 2,251
Foam	5,076 / 735	5,139 / 843
Total:	76,063 / 14,053	61,274 / 12,090

565

566 **Table A2.** Classes and number of tiles used for the Lake Ontario dataset.

Class	Number of training tiles (T=96/224)	Number of evaluation tiles (T=96/224)
Anthropogenic/buildings	467 / 219	3,216 / 333
Sediment	2,856 / 289	3,758 / 407
Vegetation	33,871 / 5,139	33,421 / 5,001
Other terrain	1,596 / 157	1,094 / 92
Water	80,304 / 13,332	77,571 / 12,950

Total:	119,094 / 19,136	119,060 / 18,783
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Table A3. Classes and number of tiles used for the Grand Canyon dataset.

Class	Number of training tiles (T=96/224)	Number of evaluation tiles (T=96/224)
Rock/scree/terrain	15,059 / 2,405	12,151 / 1,999
Sand	751 / 39	1,069 / 91
Riparian vegetation	2,971 / 408	2,158 / 305
Water	8,568 / 1,462	5,277 / 1,130
Total:	27,349 / 4,314	20,655 / 3,525

569

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Table A4. Classes and number of tiles used for the California Coastal Records dataset.

Class	Number of training tiles (T=96/224)	Number of evaluation tiles (T=96/224)
Beach	39,206 / 6,460	42,616 / 7,438
Anthropogenic/buildings	34,585 / 6,904	45,831 / 8,452
Cliff	29,844 / 4,666	17,488 / 3,108
Road	6,000 / 705	3,782 / 440
Sky	41,139 / 6,694	26,240 / 4,267
Surf/foam	18,775 / 2,745	25,025 / 3,549
Swash	5,825 / 1,280	4,535 / 552
Other terrain	87,632 / 18,517	50,254 / 8,647
Vegetation	81,896 / 19,346	46,097 / 7,639
Water	121,684 / 17,123	49,427 / 11,019
Total:	466,586 / 84,440	311,295 / 55,111

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