

1 Towards a global interpretation of dual 2 nitrate isotopes in surface waters

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11 Abstract:

12 Modern anthropogenic activities have significantly increased nitrate (NO_3^-) concentrations in surface
13 waters. Stable isotopes ($\delta^{15}\text{N}$ and $\delta^{18}\text{O}$) in NO_3^- offer a tool to deconvolute some of the human-made
14 changes in the nitrogen cycle. They are often graphically illustrated on a template designed to identify
15 different sources of NO_3^- and denitrification. In the two decades since this template was developed,
16 $\delta^{15}\text{N}$ - and $\delta^{18}\text{O}$ - NO_3^- have been measured in a variety of ecosystems and through the nitrogen cycle.
17 However, its interpretation is often fuzzy or complex. This default is no longer helpful because it does
18 not describe surface water ecosystems well and biases researchers towards denitrification as the NO_3^-
19 removal pathway, even in well oxygenated systems where denitrification is likely to have little to no
20 influence on the nitrogen cycle. We propose a different scheme to encourage a better understanding of
21 the nitrogen cycle and interpretation of NO_3^- isotopes. We use a mechanistic understanding of NO_3^-
22 formation to place bounds on the oxygen isotope axis and provide a means to adjust for different
23 environmental water isotope values, so data from multiple sites and times of year can be appropriately
24 compared. We demonstrate that any interpretation that our data (Canada, Kenya, United Kingdom)
25 show clear evidence of denitrification or a mixture of NO_3^- sources simply because many data points
26 fall outside of arbitrary boxes which cannot be supported once the range of potential $\delta^{18}\text{O}$ - NO_3^- values
27 has been considered.

28 Highlights:

- 29 ● Interpretation of surface water $\delta^{15}\text{N}$ - and $\delta^{18}\text{O}$ - NO_3^- requires a more complex framework than
30 currently employed
- 31 ● Surface water processes alter $\delta^{15}\text{N}$ - and $\delta^{18}\text{O}$ - NO_3^- in different ways than the traditional
32 groundwater-denitrification model rendering such frameworks obsolete
- 33 ● Mechanistic understanding of NO_3^- cycling in surface waters means that the range of $\delta^{18}\text{O}$ -
34 NO_3^- is constrainable and can be made comparable between sites and across time via
35 concurrent measurements of $\delta^{18}\text{O}$ - H_2O and $\delta^{18}\text{O}$ - O_2

36 Introduction:

37 Stable isotopes ($\delta^{15}\text{N}$ and $\delta^{18}\text{O}$) in nitrate (NO_3^-) have been commonly measured for more than 4
38 decades (see Heaton (1986) and papers therein). Methods have evolved from off-line AgNO_3
39 precipitation (e.g., Chang et al. 1999; Silva et al. 2000), to chemical and microbial reduction to N_2O
40 and subsequent continuous flow – isotope ratio mass spectrometry analyses (Sigman et al. 2001;
41 McIlvin and Altabet 2005). Since NO_3^- is a very common global pollutant, contributes to
42 eutrophication of surface waters (Vitousek et al. 1997) and is the most common groundwater pollutant
43 (Spalding and Exner 1993), a key application of NO_3^- isotopes was to identify NO_3^- sources. Through
44 combining a number of individual studies, this led to publication of a $\delta^{18}\text{O}$ - NO_3^- vs $\delta^{15}\text{N}$ - NO_3^-
45 schematic biplot with suggested ranges for different ‘sources’ of NO_3^- (Kendall 1998). It has been
46 modified a few times (e.g., Kendall et al. 2008; Xue et al. 2009; Kendall et al. 2015) but the
47 fundamental concept remained the same. Its application for interpreting NO_3^- isotopes has become
48 widespread but this figure is not really fit for this purpose and is commonly over-interpreted. Here, we
49 discuss the assumptions inherent in this figure and key improvements needed for improved
50 understanding of NO_3^- isotopes in surface waters.

51 Background

52 The schematic biplot figure was originally designed for interpreting groundwater data where NO_3^-
53 isotope values of different NO_3^- sources are preserved except by (chemo)denitrification (e.g., Böttcher
54 et al. 1990; Aravena et al. 1993; Aravena and Robertson 1998). Some researchers identified that
55 forests receiving a lot of nitrogen deposition export NO_3^- in streams and this NO_3^- does not retain the
56 atmospheric deposition isotope values (e.g., Spoelstra et al. 2001; Pardo et al. 2004). This was early
57 evidence that measured NO_3^- isotopes in surface water showed that they should be carefully used for
58 source identification because of various biological alterations along their flowpath. As method
59 improvements allowed more NO_3^- isotope data to be generated, a schematic figure that recognized
60 biotic and abiotic processing of NO_3^- between its sources and sampling point needed to be developed.
61 However, the commonly used scheme encourages researchers to think only about one process,
62 denitrification (Kendall 1998), although this process may be uncommon in well oxygenated lake
63 surfaces or streams and rivers. In this way, we need a better schematic figure that explicitly recognizes
64 the differences between NO_3^- sources and processes that produce and consume NO_3^- .

65 The “nitrogen axis” had been used as the primary differentiator between sources. However, given the
66 wide range of possible $\delta^{15}\text{N}$ values in manure/sewage and soils (e.g., 30‰ range in soil alone, Craine
67 et al. 2015), and the obvious fact that nitrogen will be biologically cycled in those systems, source
68 identification cannot be done with boxes on a figure. Measuring locally appropriate sources of
69 nitrogen as potential initial $\delta^{15}\text{N}$ values is the appropriate way to constrain this axis instead of relying
70 on the broad assumption that a single set of boxes, derived from a limited number of measurements,
71 are globally appropriate (Bateman and Kelly 2007). Without locally appropriate values, the borders
72 between NO_3^- sources become very blurred on the $\delta^{15}\text{N}$ - NO_3^- axis (Kendall et al. 2015) and this
73 provides no useful resolution in the measured surface water data and no direct ability to identify
74 sources.

75 In some cases, nitrogen from fertilizers and legumes will be mixed into the soil nitrogen pool (e.g.,
76 Oelmann et al. 2007) before NO_3^- is exported to surface waters (e.g., Deutsch et al. 2006). In such
77 cases the exported $\delta^{15}\text{N}$ - NO_3^- values will be controlled largely by the soil nitrogen pool and land-use
78 history, rather than a single year of precipitation and fertilizer input (e.g., Loo et al. 2017). In this
79 scenario the soil nitrogen averages all of its nitrogen inputs and NO_3^- subsequently exported from the
80 soil to surface water maintains this average unless there is direct input of isotopically distinct NO_3^- to
81 the surface waters.

82 The “oxygen axis” has groups that can be defined *a priori*: (i) high $\delta^{18}\text{O}$ values from NO_3^- produced in
83 the atmosphere where the $\delta^{18}\text{O}$ value depends strongly on latitude (Michalski et al. 2012); and (ii) low
84 $\delta^{18}\text{O}$ values where the $\delta^{18}\text{O}$ value depends strongly on the $\delta^{18}\text{O}$ of H_2O where the NO_3^- is formed
85 (Snider et al. 2010). The $\delta^{18}\text{O}$ value of NO_3^- produced by autotrophic and heterotrophic nitrification
86 can be bounded in two ways. First, canonical two-step nitrification (from NH_4^+ to NH_2OH to NO_2^- to
87 NO_3^-) adds one O atom from O_2 in the first step and one O atom from H_2O in each of the next two
88 steps (Hollocher et al. 1981; Andersson et al. 1983; Aleem et al. 1965; Hollocher 1984; DiSpirito and
89 Hooper 1986; Snider et al. 2010). In surface soils, the pore gas $\delta^{18}\text{O}$ - O_2 value is very likely near the
90 atmospheric value of +23.5‰ (vs SMOW). However, in productive aquatic ecosystems, the diel
91 variability of $\delta^{18}\text{O}$ - O_2 values can be large (e.g., 23‰ range in Venkiteswaran et al. 2015, 14‰ range in
92 Wassenaar et al. 2010, and 13‰ range in Parker et al. 2005) though this range can be estimated by

93 one set of diel samples during the most productive part of the year and analyzed via a variety of
94 techniques (e.g., Barth et al. 2004; Wassenaar and Koehler 1999). Second, incubation experiments
95 with various levels of $\delta^{18}\text{O}\text{-H}_2\text{O}$ indicate that the contribution of $\delta^{18}\text{O}\text{-H}_2\text{O}$ values to the final $\delta^{18}\text{O}\text{-}$
96 NO_3^- value is often greater than the minimum two-thirds and sometimes close to 1. Thus the range of
97 $\delta^{18}\text{O}$ values of NO_3^- produced *in situ* can be bounded by knowledge of $\delta^{18}\text{O}\text{-O}_2$ and $\delta^{18}\text{O}\text{-H}_2\text{O}$ values: a
98 minimum of $\delta^{18}\text{O}\text{-H}_2\text{O}$ and a maximum of $\frac{1}{2} \times \delta^{18}\text{O}\text{-O}_2 + \frac{2}{3} \times \delta^{18}\text{O}\text{-H}_2\text{O}$.

99 Site descriptions:

100 To highlight the need to include nitrogen cycling in surface waters into our working interpretation of
101 NO_3^- isotopes, we selected six rivers from Canada, Kenya, and the United Kingdom each with
102 different climate regions, seasonal variation in flow, and $\delta^{18}\text{O}\text{-H}_2\text{O}$ values.

103 The Grand River, Ontario, Canada is the largest river draining into the Canadian side of Lake Erie.
104 There are five cities, 30 wastewater treatment plants, and extensive modern agriculture along the
105 300km river in its 6800km² basin (Venkiteswaran et al. 2015). Climate is humid continental with a
106 warm summer (Köppen–Geiger classification Dfb), average temperature is around 9°C and mean
107 precipitation is 915mm. Samples were collected weekly to monthly from March 2015 to March 2016
108 from three sites: two sites upstream of the first major city and first large wastewater treatment plant
109 and one below two cities and two large wastewater treatment plants. These sites offer the opportunity
110 to sample from the river largely affected by diffuse non-point sources and after two large point
111 sources. All sites are in the middle of the Grand River.

112 The Nzoia, Nyando, Sondu Rivers drain from Kenya into the east side of Lake Victoria. Kenyan
113 drainage comprises 40% of the inflows to Lake Victoria (COWI 2002) and is therefore a significant
114 source of the increasing nutrient concentrations in the lake (Juma et al. 2014). Eight sites on the Nzoia
115 River, 11 sites on the Nyando River, and five sites in the Sondu River were sampled from January to
116 April 2015. Sampling sites were selected based on access to the river and upstream land use. Climate
117 in western Kenya is tropical rainforest and tropical monsoon (Köppen–Geiger classifications Af and
118 Am).

119 The UK study sites compare nitrogen sources from peri-urban and rural river floodplains. Climate is
120 maritime (Köppen–Geiger classification Cfb). Site 1 focuses on a peri-urban section of the River
121 Thames in the vicinity of the city of Oxford in the southern UK. The mean annual flow of the Thames
122 upstream of the study area is 18.48 m³/s (Marsh and Hannaford, 2008). The baseflow index for the
123 river at this location is 0.67, reflecting the influence of influent groundwater, sourced from the
124 limestone aquifers located in the headwaters, and the extensive floodplain gravel aquifers. During the
125 summer a significant component of flow is supported by effluent from Wastewater Treatment Works
126 (WwTW) (Bowes et al., 2010). Five sites upstream and downstream of a WwTW were selected along
127 the Thames and sampled in April and September 2016 for NO_3^- isotopes. Site 2 is on the River
128 Lambourn in Berkshire. Chalk streams such as this are widespread across southern England (Allen et
129 al., 2010). They are characterised by a high baseflow index (>0.9) and a shallow hyporheic zone. The
130 primary source of nitrogen therefore comes from NO_3^- in groundwater due to fertilizer use.

131 Methods:

132 Canadian samples for NO_3^- isotopes were collected in HDPE bottles and filtered in the field to

133 0.45 μ m. Samples were kept cold and dark until returned to the lab where they were frozen until
134 analysed. Samples for H₂O isotopes were collected in HDPE bottles without headspace. Canadian
135 analyses were performed at the Environmental Isotope Laboratory at the University of Waterloo. NO₃⁻
136 isotope samples were analysed via the chemical denitrifier method where NO₃⁻ is reduced to N₂O with
137 cadmium and sodium azide (McIlvin and Altabet 2005). The resultant N₂O gas was analysed on an
138 IsoPrime continuous flow isotope ratio mass spectrometer (now Elementar, Cheadle Hulme, UK) with
139 a precision of $\pm 0.3\%$ for $\delta^{15}\text{N-NO}_3^-$ and $\pm 0.5\%$ for $\delta^{18}\text{O-NO}_3^-$. Water isotopes were measured on a
140 Los Gatos (Los Gatos Research, San Jose, USA) water isotope analyser with a precision of $\pm 0.2\%$ for
141 $\delta^{18}\text{O-H}_2\text{O}$.

142 Kenyan samples were filtered to 0.45 μ m and stored below 4°C in 1L HDPE bottles. Kenyan analyses
143 were performed at the Ghent University Stable Isotope Facility (UGent-SIF). NO₃⁻ isotopes were
144 analysed by the bacterial denitrification method (Xue et al., 2009) and the resulting N₂O gas analyzed
145 with a SerCon trace gas preparation unit coupled to a SerCon 20-20 isotope ratio mass spectrometer
146 (SerCon, Crewe, UK).

147 Isotope preparation and analysis for UK samples was carried out at the NERC Isotope Geosciences
148 Laboratory (Keyworth, UK). NO₃⁻ was separated on anion resins and prepared as AgNO₃ using the
149 method of Silva et al. (2000) and $\delta^{15}\text{N}$ analysed by combustion in a Flash EA coupled to a Delta Plus
150 XL mass spectrometer (ThermoFinnigan, Bremen, Germany) with precision (1 SD) typically $< 0.8\%$.
151 $\delta^{18}\text{O}$ was analysed by thermal conversion to CO gas at 1400°C in a TC-EA online to a Delta Plus XL
152 mass spectrometer with precision (1 SD) typically $< 1.2\%$.

153 Results and Discussion:

154 On the traditional biplot, our data from Canada, Kenya, and the United Kingdom fall in a wide swath
155 (Figure 1A). Data from each country has a wider range of $\delta^{15}\text{N-NO}_3^-$ values than $\delta^{18}\text{O-NO}_3^-$ values.
156 Additionally, data from each country has a positive relationship between $\delta^{18}\text{O-NO}_3^-$ and $\delta^{15}\text{N-NO}_3^-$ (2-
157 tailed parametric $p < 0.006$ for each country). But this relationship also contains seasonal changes in
158 ambient $\delta^{18}\text{O-H}_2\text{O}$ values, temperature, and nitrogen sources and processes that confound direct
159 comparison of the data.

160 This means that without additional independent information, there are several possible explanations
161 for the data that are more complex than simply assigning a source of NO₃⁻ based on the $\delta^{15}\text{N}$ values or
162 assigning a single process based on a simplistic pattern in the $\delta^{18}\text{O-}$ vs $\delta^{15}\text{N-NO}_3^-$ values. For
163 example, varying contributions of the $\delta^{18}\text{O-H}_2\text{O}$ values, two or more sources of nitrogen, uptake and
164 release of varying amounts of ammonium and NO₃⁻, and denitrification in varying combinations may
165 have produced the observed patterns in our data. It is critical to avoid wrongly invoking denitrification
166 as the primary explanation for individual points on the traditional biplot as this risks suggesting
167 nitrogen removal from the ecosystem when other explanations for the data need to be considered.

168 Certainly, any interpretation that our data show clear evidence of denitrification or a mixture of NO₃⁻
169 sources because many data points fall outside of arbitrary boxes with the traditional $\delta^{18}\text{O}$ axis (Fig.
170 1A) cannot be supported once the range of potential $\delta^{18}\text{O-NO}_3^-$ values has been considered (Fig. 1B).
171 Moreover, almost all measured $\delta^{18}\text{O-NO}_3^-$ values fall within the range of expected $\delta^{18}\text{O-NO}_3^-$ values
172 based on nitrification with variable amount of H₂O exchange (Fig. 1B). Thus, the theoretical range of
173 $\delta^{18}\text{O-NO}_3^-$ values should be generated for each field site rather than a single catch-all approach.
174 Globally, $\delta^{18}\text{O-H}_2\text{O}$ values of surface water vary widely along a meteoric water line, but they can be

175 predicted by latitude and databases such as waterisotopes.org though direct measurement is much
176 simpler than NO_3^- isotopes. Additionally, to make $\delta^{18}\text{O}-\text{NO}_3^-$ data comparable between seasons and
177 sites, $\delta^{18}\text{O}-\text{NO}_3^-$ data should be displayed vs the $\delta^{18}\text{O}-\text{H}_2\text{O}$ value from the same sample (i.e., same
178 location and time) rather than vs SMOW. This is akin to the way $\delta^{18}\text{O}-\text{PO}_4^{3-}$ values are plotted relative
179 to their temperature-specific equilibrium point with $\delta^{18}\text{O}-\text{H}_2\text{O}$ (e.g., Davies et al. 2014, Paytan et al.
180 2002) in order to remove the influence of difference $\delta^{18}\text{O}-\text{H}_2\text{O}$ values (Figure 1B). Here the
181 differences in $\delta^{18}\text{O}-\text{NO}_3^-$ values between countries is much reduced and most $\delta^{18}\text{O}-\text{NO}_3^-$ values are
182 near the upper-end of the $\delta^{18}\text{O}-\text{NO}_3^-$ values predicted from microbial transformation of nitrogen. There
183 is a positive relationship between $\delta^{18}\text{O}-\text{NO}_3^-$ and $\delta^{15}\text{N}-\text{NO}_3^-$ in the Kenya and UK data ($p < 10^{-4}$) but not
184 Canada ($p > 0.4$).

185 Therefore, increases in $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$ values, which are often interpreted as evidence of
186 denitrification with closed-system assumptions (e.g., Böttcher et al. 1990), cannot be uniquely
187 separated from multiple processes that recycle nitrogen in surface waters. Necessarily, this requires us
188 to move beyond looking only for denitrification in our $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$ data and towards how
189 multiple processes and sources interact to produce the values measured in surface waters. Likely, this
190 will ultimately require development of process-based NO_3^- isotope models for surface waters and will
191 be informed by measurements of other nitrogen species, transformation processes and associated
192 isotope enrichment factors (e.g., Venkiteswaran et al. 2018).

193 Only once the appropriate range of initial $\delta^{18}\text{O}-\text{NO}_3^-$ values has been determined, can processes such
194 nitrification, denitrification, and NO_3^- assimilation be considered. Here, the $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$
195 values in the environment will be pulled in multiple directions at the same time. The magnitude of
196 change depends on multiple factors that are difficult or impossible to statically display in a biplot: (1)
197 mineralization of organic nitrogen and subsequent nitrification may decrease $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$
198 values depending on if there is a difference between the $\delta^{15}\text{N}$ value of organic nitrogen and NO_3^- and
199 the $\delta^{18}\text{O}$ contributions of O_2 and H_2O ; (2) ammonia and NO_3^- uptake and release by riverine
200 periphyton and macrophytes may have differing impacts since isotope fractionation during ammonia
201 uptake is non-linearly dependant on concentration (Fogel and Cifuentes 1993; Hoch et al. 1992) and
202 denitrification in riparian zones and anoxic river and lake sediments may increase $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$
203 values if there is residual NO_3^- to measure. In all cases, changes in the $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$ values are
204 more complex than a single arrow for denitrification suggests (Kendall 1998). A recent review has
205 summarised the modelling approaches and isotope fractionation factors necessary to interpret
206 measured $\delta^{15}\text{N}-$ and $\delta^{18}\text{O}-\text{NO}_3^-$ values in soils (Denk et al. 2017). With this process-based
207 understanding it is clear that a single vector or slope on a biplot for denitrification is inappropriate for
208 surface waters.

209 Summary and Conclusions:

210 In order to move beyond the simple source apportionment assumptions commonly made in NO_3^-
211 isotope biplots and to explicitly acknowledge that there are a variety of processes that alter the $\delta^{15}\text{N}-$
212 and $\delta^{18}\text{O}-\text{NO}_3^-$ values *in situ* we therefore recommend:

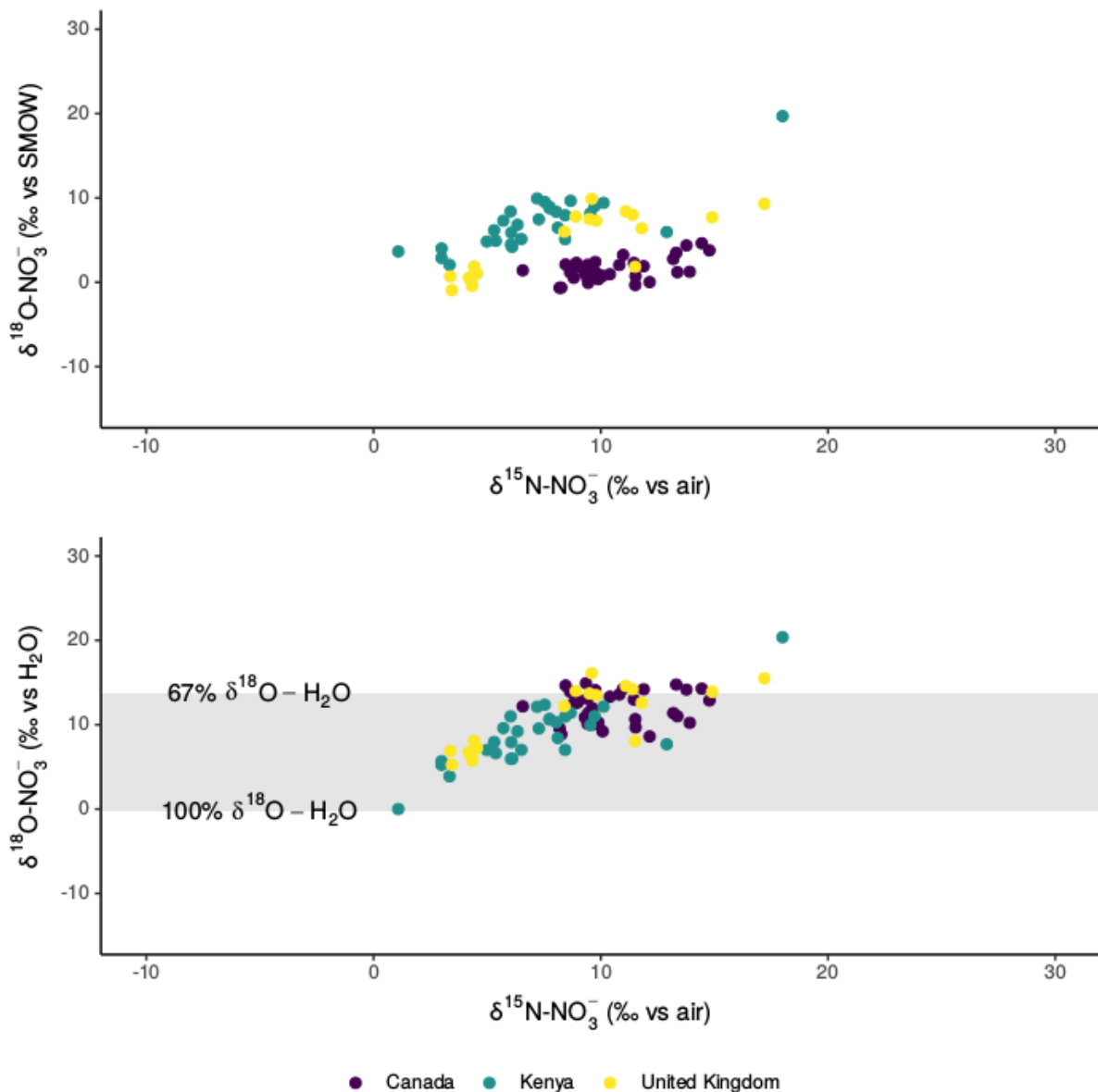
- 213 ● Measuring $\delta^{18}\text{O}-\text{H}_2\text{O}$ values at the same time as $\delta^{18}\text{O}-\text{NO}_3^-$ values and report $\delta^{18}\text{O}-\text{NO}_3^-$ values
214 vs $\delta^{18}\text{O}-\text{H}_2\text{O}$ instead of V-SMOW to make appropriate comparisons with time and across
215 sites;
- 216 ● Combining $\delta^{18}\text{O}-\text{H}_2\text{O}$ and $\delta^{18}\text{O}-\text{O}_2$ values to develop appropriate site-specific ranges of $\delta^{18}\text{O}-$
217 NO_3^- produced *in situ*; and

218 ● Measuring locally relevant $\delta^{15}\text{N}$ source values to significantly reduce the range of $\delta^{15}\text{N}$ values
219 of nitrogen input to aquatic systems.

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225 Figure



226 *Figure 1 (a):* Nitrate isotope biplot of data from three sites in the middle of the Grand River, Ontario,
227 Canada; 11 sites in the Nyando River, Kenya; eight sites in the Nzoia River, Kenya; five sites in the
228 Sondu River, Kenya; eight sites in the River Lambourn near Boxford, United Kingdom; and 11 sites
229 in the River Thames near Oxford, United Kingdom. Comparisons are difficult between seasons at one

230 site and still more difficult between sites because of the variability in $\delta^{18}\text{O}\text{-H}_2\text{O}$ since the $\delta^{18}\text{O}\text{-NO}_3^-$
231 axis is reported relative to the typical standard SMOW.
232 (b): Nitrate isotope biplot of the same data where the $\delta^{18}\text{O}\text{-NO}_3^-$ axis is reported relative to the ambient
233 $\delta^{18}\text{O}\text{-H}_2\text{O}$ values in the river at the time of sampling, as per recommendation A. The grey panel
234 indicates NO_3^- produced with the maximum range of $\delta^{18}\text{O}\text{-NO}_3^-$ values based on a mixture of $\delta^{18}\text{O}\text{-O}_2$
235 and $\delta^{18}\text{O}\text{-H}_2\text{O}$ values. Here, data are more clearly expressed relative to the appropriate environmental
236 conditions that recognize that nitrogen is biologically cycled and will be largely imprinted with the
237 ambient $\delta^{18}\text{O}\text{-H}_2\text{O}$ value. A parsimonious interpretation here is that many data from Kenya and the
238 UK exhibit the range of known contributions of the $\delta^{18}\text{O}\text{-H}_2\text{O}$ values, i.e., from two-thirds to one.
239 Most Canadian and some Kenyan and UK data approach the theoretical maximum $\delta^{18}\text{O}\text{-NO}_3^-$ before a
240 requirement of denitrification must be considered.

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