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3 **Pre-existing basement thrusts influence rifting in the Taranaki Basin, New**  
4 **Zealand**

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14  
15 **Abstract**

16  
17 Discrete structures (e.g. faults) or pervasive fabrics (e.g. foliation), which may occur in pre-  
18 rift sedimentary and/or crystalline basement rock, can control the growth and geometry of  
19 rift-related normal fault arrays. Previous studies examining how such structures/fabrics affect  
20 rift geometry typically rely only on plan-view correlations between the strike and dip of  
21 observed or, in some cases, inferred pre- and syn-kinematic structures. Three-dimensional  
22 relationships between and kinematic evolution of, pre-existing structures/fabrics and rift-  
23 related normal faults remains poorly constrained because: (i) outcrop patterns rarely expose  
24 both rift-related normal faults *and* the underlying rock units that could host pre-kinematic  
25 structures; (ii) discrete structures or pervasive fabrics are often poorly imaged in seismic

26 reflection data; and (iii) it is difficult to quantitatively assess how pre-kinematic  
27 structures/fabrics influence normal fault nucleation and growth. Here, we use 3D seismic  
28 reflection data from the Taranaki Basin, offshore western New Zealand to study the  
29 kinematic history of a Cenozoic, rift-related, NE-SW striking normal fault array developed  
30 above a suite of N-S striking, intra-basement reflections interpreted as Palaeozoic thrusts.  
31 Only six of the 16 mapped rift-related normal faults mirror the strike of and appear physically  
32 linked to, the basement thrusts for at least 50% of their strike length; this spatial relationship  
33 would typically be inferred to reflect reactivation and upward propagation of the basement  
34 thrusts during rifting. However, fault throw analysis reveals the normal faults nucleated in the  
35 sedimentary cover ~1–2 km above the unconformity marking the top basement. We show the  
36 rift-related normal faults propagated downwards to either intersect NE-SW striking thrust  
37 segments or twisted to become aligned with the local strike of the basement structures. We  
38 propose that the presence and subtle reactivation of basement thrusts during Cenozoic  
39 extension locally reoriented the principal stress axes within the sedimentary basin, causing  
40 rift-related normal faults to deviate from their dominant NE strike. Despite having  
41 superficially similar strikes, rift-related normal faults may not simply form due to reactivation  
42 and upward propagation of basement structures.

43

## 44 **Introduction**

45

46 Models of continental rifting commonly assume the upper crust is homogeneous, with  
47 extensional faults striking broadly perpendicular to the regional extension direction (e.g.  
48 Gupta et al., 1998; Gawthorpe and Leeder, 2000). However, several field-, seismic reflection-  
49 , and modelling-based studies indicate that pre-existing heterogeneities localised within pre-  
50 rift sedimentary or crystalline rocks underlying nascent rifts can influence the distribution,

51 scale, and kinematics of rift-related normal fault arrays (e.g. Bartholomew et al., 1993;  
52 Faccenna et al., 1995; Henza et al., 2011; Deng et al., 2017). Here, we examine how pre-  
53 existing heterogeneities within crystalline basement (i.e. meta-sedimentary, high-grade  
54 metamorphic, or igneous rocks) may affect the kinematic history of rift-related normal faults  
55 within overlying sedimentary basins. Possible intra-crystalline, basement heterogeneities  
56 include discrete faults, shear zones, changes in rock type, and igneous intrusions. These  
57 heterogeneities can act as zones of weaknesses that may reactivate during later tectonic  
58 events, promote nucleation of later faults, and/or locally modify the regional stress field (e.g.  
59 Bartholomew et al., 1993; Faccenna et al., 1995; Clemson et al., 1997; Doré et al., 1997;  
60 Morley et al., 2004; Morley, 2010; Kirkpatrick et al., 2013; Magee et al., 2014; Phillips et al.,  
61 2016; Deng et al., 2017; Phillips et al., 2017). Basement heterogeneities can thus shape the  
62 overall rift physiography, driving development of normal faults striking oblique to the  
63 regional principal stress axes. These potential impacts on fault arrays imply that fault strike  
64 cannot easily be used to infer extension direction in areas where basement heterogeneities are  
65 present (e.g. Morley et al., 2004; Reeve et al., 2014; Peace et al., 2017). Despite their  
66 importance, it is typically difficult to assess the geometric and kinematic relationships  
67 between basement heterogeneities and overlying normal faults within sedimentary basins  
68 because: (i) outcrops rarely preserve basement structures *and* overlying normal faults, or do  
69 so only at a small scale; and (ii) deeply buried basement rocks are commonly poorly imaged  
70 in seismic reflection data, meaning we typically rely on only qualitative plan-view  
71 correlations between the strike and dip of rift-related faults and inferred basement  
72 heterogeneities.

73         Although critical in some basins, pre-existing basement heterogeneities may not  
74 always influence the geometric and kinematic development of rift-related fault networks  
75 (Roberts and Holdsworth, 1999; Reeve et al., 2014; Phillips et al., 2016). For example,

76 Mesozoic rift faults in the North Sea Basin truncate, rather than reactivate, basement-  
77 involved Caledonian and Variscan structures (Roberts and Holdsworth, 1999; Reeve et al.,  
78 2014). Why the geometry and kinematics of some rift-related fault arrays are affected by pre-  
79 existing basement heterogeneities whereas others are not, remains unclear. Some studies  
80 suggest the orientation of basement heterogeneities relative to the extension direction plays a  
81 key role on the likelihood of reactivation, with those heterogeneities oriented orthogonal to  $\sigma_3$   
82 most likely to reactivate (e.g. Keep and McClay, 1997; Henza et al., 2011). Other studies  
83 show the influence of pre-existing heterogeneities is scale dependant (Kirkpatrick et al.,  
84 2013; Phillips et al., 2016). For example, the strike of rift-related faults may parallel the trend  
85 of basement heterogeneities at a regional scale but cross-cut these older structures on a local  
86 scale (e.g. Kirkpatrick et al., 2013). Furthermore, large shear zones may be more likely to  
87 reactivate than smaller ones (e.g. Phillips et al., 2016). To assess if and how basement  
88 heterogeneities control fault growth and rift development, it is key to define the 3D geometry  
89 and kinematic history of both sets of structures. 3D seismic reflection data are ideal for this  
90 type of structural geology problem; they permit 3D mapping of intra-basement structures,  
91 thereby allowing us to quantitatively analyse their geometric and kinematic relationship to  
92 later, rift-related fault networks (Reeve et al., 2014; Bird et al., 2015; Phillips et al., 2016).

93 The Taranaki Basin, offshore New Zealand's North Island (Fig. 1) provides an ideal  
94 opportunity to quantitatively assess the influence of pre-existing basement heterogeneities on  
95 the geometric and kinematic development of rift-related normal fault networks. This basin is  
96 imaged by high-quality, 3D seismic reflection data and is penetrated by several wells that  
97 constrain the age and composition of the basin fill. In our study area, the basement is  
98 relatively shallow and the overburden is layered and not geologically complex, meaning we  
99 can directly image and quantify basement and rift-related structures. Within the basement a  
100 series of enigmatic, NW- to NNW-striking reflections or reflection 'packages' are observed;



101 these have previously been interpreted as either Mesozoic faults, stratigraphic boundaries, or  
102 compressional features of unknown age or origin (Hemmings-Sykes, 2012; Reilly et al.,  
103 2015). We map and quantitatively analyse these basement structures and overlying, rift-  
104 related normal fault networks in 3D to understand the geometric and kinematic relationship  
105 between the two.

106

## 107 **Geological Setting**

108

109 The Taranaki Basin is largely located offshore the western margin of New Zealand's North  
110 Island (Fig. 1). The basin is currently situated in an extensional back-arc setting, with the  
111 Hikurangi subduction zone lying to the east, where the Pacific plate is subducting beneath the  
112 Australian plate (Fig. 1) (e.g. Giba et al., 2010).

113

## 114 ***Basement Geology***

115 The numerous basement terranes in New Zealand were accreted throughout the Palaeozoic  
116 and Mesozoic on the palaeo-Pacific margin of Gondwana, with final accretion occurring in  
117 the Early Cretaceous (Muir et al., 2000). These terranes can be broadly grouped into three  
118 'superterranes', each with distinct tectonic histories (Fig. 1) (Landis and Coombs, 1967). The  
119 Western Province comprises Palaeozoic, meta-sedimentary rocks from the Gondwana  
120 continental margin, and is intruded by Devonian, Carboniferous, and Cretaceous granitoids  
121 (Cooper and Tulloch, 1992; Muir et al., 2000). In contrast, the Eastern Province is sub-  
122 divided into a series of Late Palaeozoic-to-Mesozoic accreted arc terranes comprising meta-  
123 sedimentary and volcanic rocks (King and Thrasher, 1996; Scott, 2013). The Western and  
124 Eastern province superterranes are typically separated by the third superterrane, i.e. the  
125 Median Batholith (Fig. 1), which comprises a complex amalgamation of contiguous,

126 Cordilleran-style igneous plutons, including the Rotaroa Igneous Complex (e.g. Bradshaw,  
127 1993; King and Thrasher, 1996; Mortimer et al., 1997). Where Mesozoic volcano-  
128 sedimentary arc rocks of the Eastern Province (i.e. specifically the Drumdaun Terrance)  
129 juxtapose the Western Province, the boundary is marked by a series of ductile shear  
130 zones (Scott, 2013). The study area spans the boundary between the Western Province  
131 superterrane and Median Batholith, a boundary that is exploited by the Cape Egmont Fault  
132 (Fig. 1).

133

### 134 *Mesozoic Rifting and Cenozoic Evolution*

135 Rift-related uplift and erosion in the Cretaceous produced a regional unconformity, which  
136 now marks the boundary between largely crystalline basement rocks and the overlying  
137 sedimentary succession (Fig. 2). Mudstone-rich, non-marine-to-marine clastic rocks dominate  
138 the syn- and post-rift sedimentary sequence, although sandstone- and carbonate-rich  
139 formations locally occur (Fig. 2) (Reilly et al., 2015; Strogon et al., 2017). Rifting initiated  
140 ~105 Ma in response to the breakup of Gondwana and opening of the Tasman Sea, with the  
141 main period of basin-forming extension, and development of N- and NE-trending half graben,  
142 occurring in the Late Cretaceous-to-earliest Eocene (~83–55 Ma; Fig. 2) (e.g. King and  
143 Thrasher, 1996; Reilly et al., 2015; Strogon et al., 2017). During the early-to-middle Eocene  
144 (~55–40 Ma), spreading in the Tasman Sea ceased and the Taranaki Basin became  
145 tectonically quiescent, with post-rift subsidence driven by lithospheric cooling, resulting in  
146 marine transgression (Fig. 2) (King and Thrasher, 1996; Strogon et al., 2017). Thermal  
147 subsidence was followed by horizontal shortening related to the onset of subduction at the  
148 Hikurangi margin (Fig. 2) (Voggenreiter, 1993; King and Thrasher, 1996). Shortening may  
149 have initiated in the late Eocene (~43–40 Ma), accelerating in the late Oligocene-to-early  
150 Miocene (~25–20 Ma), and promoting normal fault inversion (e.g. the Whitiki Fault; Fig. 2)

151 and thrust fault nucleation (Voggenreiter, 1993; King and Thrasher, 1996; Reilly et al., 2015).  
152 A period of back-arc extension at ~12 Ma prompted the onset of normal fault development in  
153 the northern and central regions of the Taranaki Basin (Giba et al., 2010). Back-arc extension  
154 migrated southwards into the southern Taranaki Basin between 12–4 Ma in response to  
155 progressive steepening of the subducting Pacific plate (Giba et al., 2010). Between ~4–2 Ma,  
156 extension ceased in the northern Taranaki Basin but continued in the southern portion of the  
157 basin (Giba et al., 2010). To accommodate Neogene extension, new normal faults formed,  
158 and Late Cretaceous-to-earliest Eocene, rift-related normal faults were reactivated (e.g. the  
159 Cape Egmont Fault) (Reilly et al., 2015).

160

## 161 **Dataset and Methodology**

162

### 163 *Seismic and borehole data*

164 We use a 3D pre-stack, time-migrated, seismic reflection dataset (Maui-3D), which was  
165 collected in 1992 and covers 1500 km<sup>2</sup> (Fig. 3). Bin spacing is 25 m and the data image down  
166 to 5000 ms two-way time (TWT). Data are displayed with SEG normal polarity, whereby a  
167 black reflection equates to an increase in acoustic impedance. We focus on normal faults  
168 developed within the footwall of the Cape Egmont Fault, which forms the south-eastern  
169 boundary of the study area (Fig. 3A).

170 We used seven exploration wells to constrain the lithology and approximate age of  
171 mapped seismic horizons in the sedimentary sequence (Fig. 3A). To determine seismic  
172 velocities for the interval of interest, checkshot data were used to plot measured depth against  
173 TWT, defining a second-order polynomial best-fit line with an R<sup>2</sup> value of 0.997 (Fig. 3B).  
174 Seismic velocities calculated from the checkshot data, coupled with an average dominant  
175 frequency of ~50 Hz in the sedimentary sequence, suggest the limits of separability and

176 visibility of the seismic reflection data increase downward from ~12 and 2 m to 25 m and 3  
177 m, respectively. Only two wells penetrate basement rocks in the study area (Fig. 3A): Maui-2  
178 intersects ~90 m of diorite, attributed to the Rotaroa Igneous Complex of the Median  
179 Batholith, and Rahi-1 intersects ~30 m of highly weathered schist and conglomerate that  
180 mark the transition from the Western Province superterrane to the overlying sedimentary  
181 sequence (Shell BP & Todd Oil Services Ltd, 1970; Shell Oil Services Ltd, 1996). Because  
182 Maui-2 likely intersects the most western limit of the Median Batholith (Shell BP & Todd Oil  
183 Services Ltd, 1970), it is probable that the majority of the study area is underlain by schist of  
184 the Western Province (Fig. 1). Given the limited vertical extent and highly weathered nature  
185 of the basement schist intersected by Rahi-1, we consider it likely its  $4.08 \text{ km s}^{-1}$  seismic  
186 velocity calculated from the checkshot data is underestimated.

187

### 188 *Seismic interpretation*

189 In addition to the top basement (TB), we mapped five horizons in the sedimentary sequence  
190 (H1-H5) (Fig. 2): H1 = near Top Turi Formation (near Eocene-Oligocene boundary, ~34  
191 Ma); H2 = Intra-Manganui/Moki (Mid Miocene, ~15 Ma); H3 = Intra-Manganui Formation  
192 (Mid-Miocene, ~11 Ma); H4 = Intra-Giant Foresets Formation (Early Pliocene, ~4 Ma); and  
193 H5 = Intra-Giant Foresets Formation (Early Pliocene, ~3 Ma). Time-structure maps were  
194 generated from these horizons to illustrate the structural style at different stratigraphic levels.  
195 Major intra-basement reflections ( $n = 13$ ) and normal faults ( $n = 16$ ) within the sedimentary  
196 basin were mapped in plan-view using variance time-slices and cross-correlated with vertical  
197 seismic profiles.

198

### 199 *Quantitative fault throw analysis*

200 For blind or surface-breaking faults that grow purely through radial tip-line propagation,  
201 areas of high-throw (i.e. a proxy for displacement) commonly occur towards the centre of the  
202 fault plane, corresponding to zones of fault nucleation and therefore more slip (e.g.  
203 Watterson, 1986; Walsh and Watterson, 1987). Large faults defined by complex throw  
204 variations are typically inferred to have grown through the coalescence of initially isolated  
205 fault segments, with low-throw regions marking zones of fault linkage (e.g. Mansfield and  
206 Cartwright, 1996; Jackson and Rotevatn, 2013). However, recent discrete numerical  
207 modelling work shows that high-throw zones may develop at points where a rift-related  
208 normal fault link to underlying, reactivated faults (Deng et al., 2017). For normal faults  
209 physically linked to reactivated structures, we cannot therefore assume that high-throw zones  
210 correlate to areas of fault nucleation (Deng et al., 2017). Regardless of where throw is  
211 accrued on a fault, the overall distribution of throw and fault-related seismic-stratigraphic  
212 relationships can still be used to determine whether the studied fault: (i) was blind throughout  
213 its history or whether it broke the depositional surface (e.g. Watterson, 1986; Walsh and  
214 Watterson, 1987); (ii) grew via linkage of initially isolated segments (Mansfield and  
215 Cartwright, 1996; Deng et al., 2017); or (iii) grew continuously or episodically, with periods  
216 of quiescence alternating with periods of subsequent reactivation and slip (e.g. Baudon and  
217 Cartwright, 2008). We mapped along-strike ( $T$ - $x$ ) and down-dip ( $T$ - $z$ ) throw variations on 15  
218 faults in our study area to determine the spatial and temporal evolution of the rift-related  
219 normal fault array (e.g. Watterson, 1986; Walsh and Watterson, 1987; Hongxing and  
220 Anderson, 2007; Baudon and Cartwright, 2008; Giba et al., 2012; Jackson and Rotevatn,  
221 2013).

222 For the  $T$ - $x$  analyses, ~20 equally spaced throw measurements were taken along the  
223 length of each normal fault; for consistency, all  $T$ - $x$  profiles were measured along H3, where  
224 fault connectivity is greatest. Throw values were obtained from vertical seismic sections

225 oriented orthogonal to the local fault-strike. For  $T$ - $z$  analysis, we extracted values from a  
226 seismic line perpendicular to fault strike, at the position where the maximum throw was  
227 observed on the corresponding  $T$ - $x$  profile; throw measurements were taken every  $\sim 100$  ms  
228 TWT vertically down the fault plane and plotted against respective hanging wall depth.  
229 Stratigraphically complex channels and clinoforms made horizon correlation over fault planes  
230 difficult between H3-H4, meaning some uncertainty in throw at these depths. Where fault-  
231 parallel folding occurs, unfolded portions of the horizon were extrapolated to the fault plane  
232 to account for ductile deformation (e.g. Mansfield and Cartwright, 1996).

233 We used expansion index (EI) analysis to identify periods of syn-tectonic  
234 sedimentation and to thus identify periods of fault slip (Hongxing and Anderson, 2007;  
235 Jackson et al., 2017); EI is the hanging wall thickness divided by footwall thickness for each  
236 depth interval. Values  $>1$  indicate across-fault thickening, highlighting that the fault was  
237 active and, more precisely, surface-breaking at the time of deposition (Jackson and Rotevatn,  
238 2013).

239

#### 240 ***Depth Conversion and Decompaction***

241 We depth-converted and decompacted a seismic line (i.e. cross-line 3151) to investigate: (i)  
242 whether the dip of imaged rift-related faults changes with depth; and (ii) the dip at which  
243 faults initiated. In particular, Horizons TB and H1–H5, as well as all the mapped faults and  
244 intra-basement reflections, were depth-converted from TWT to metres using the velocities  
245 derived from the checkshot data (Fig. 3B). These depth-converted horizons were  
246 decompacted by progressively backstripping the H5-to-seabed and H1–H5 strata; this  
247 decompaction sequence reconstructs the basin structure at the end Miocene and end Eocene,  
248 respectively. For the decompaction, we used porosity and density data for the sedimentary

249 section penetrated by the Maui-1 and -3 boreholes, and a series of fixed parameters  
250 (Supplementary Table 1).

251

## 252 **Results**

253

254 We begin this section by outlining the seismic expression, geometry, and distribution of  
255 structures identified in the basement and overlying sedimentary basin-fill, before focusing on  
256 their geometric relationship to one another. We then use throw distributions and growth strata  
257 to determine the kinematic history of rift-related structures, and to infer how basement  
258 structures may have influenced this kinematic development.

259

### 260 *Intra-basement Seismic Character and Structure*

261 Towards the north of the 3D survey, we observe a series of moderate-amplitude, sub-  
262 horizontal, semi-continuous reflections in the upper ~1.5 s TWT of the basement (Figs 4 and  
263 5A). These sub-horizontal reflections are cross-cut by 13, NW-SE to NNW-SSE striking  
264 reflections (i.e. B1-B13) that dip gently-to-moderately (~20–30°) westwards (Figs 4 and 5).  
265 The W-dipping reflections locally appear to offset the sub-horizontal reflections with a  
266 reverse sense-of-motion, although the reduced imaging quality of the intra-basement facies  
267 makes correlation of reflections across these structures difficult (Figs 4 and 5A). These W-  
268 dipping intra-basement reflections are characterised by discrete, peak-trough-peak,  
269 wavetrains of varying lateral continuity (Figs 4 and 5A). Many of the W-dipping reflections  
270 are truncated at the top of the basement (Horizon TB), with some being overlain by subtle  
271 domes along Horizon TB; these domes are overlapped by the overlying sedimentary sequence  
272 (e.g. Figs 4 and 5A). The W-dipping reflections appear to converge at depth in some regions,

273 occasionally towards a package of relatively high-amplitude, sub-horizontal reflections (e.g.  
274 Figs 4 and 5A).

275

### 276 *Seismic Character and Structure of the Sedimentary Cover Sequence*

277 The sedimentary cover comprises a ~3.5 km thick package of Late Cretaceous-to-Recent  
278 strata, with clinofolds occurring between horizons H3 and H4 (i.e. Giant Foresets Formation;  
279 Figs 2 and 4). Time-structure maps of the interpreted seismic horizons all display a broad  
280 dome-like morphology corresponding to the footwall of the Cape Egmont Fault (Fig. 6). In  
281 the N of the study area, strata are offset by 16 low-throw (i.e. <109 ms TWT; ~143 m), rift-  
282 related normal faults (F1-F16). These normal faults are ~4.3–14.5 km long, strike broadly  
283 NE-SW to NNE-SSW, dip westwards at ~60° (i.e. except for F4 and F16, which dip  
284 eastwards), and occasionally associated with minor antithetic normal faults (Figs 4, 5A, and  
285 6; Supplementary Table 2). Several normal fault segments locally display NW-SE strikes  
286 (e.g. F5; Fig. 6). Normal fault connectivity is greatest between H2 and H3, with many of the  
287 normal faults tipping out downwards within the sedimentary sequence, although some extend  
288 to and terminate at Horizon TB (Figs 4, 5A, and 6). No normal faults appear to extend  
289 downward into the basement (e.g. Figs 4 and 5). In cross-section the normal faults appear  
290 planar or listric (Figs. 4, 5, and 7). Whilst depth-conversion and decompaction slightly  
291 reduces the down-dip curvature of apparently listric faults, their calculated End Miocene  
292 geometry remains non-planar (Fig. 7). In the depth-converted and decompacted section, the  
293 lower portions of some rift-related normal faults appear to curve towards and may connect to  
294 the intersection between the intra-basement reflections and Horizon TB.

295

### 296 *Basement Structure-Normal Fault Plan-view Relationship*

297 There is a broad spatial correlation between the location and extent of the major normal faults  
298 and that of the west-dipping, intra-basement reflections (Fig. 8). Of the 16 normal faults, 14



299 appear physically connected to the intra-basement structures at their lower tip for at least  
300 some of their strike length (Figs 4, 5A, and 8; Supplementary Table 2). Six normal faults (i.e.  
301 F2, F4-6, F10, and F11) are physically connected to intra-basement structures for  $\geq 50\%$  of  
302 their strike length (Fig. 8 and Supplementary Table 2). These connections typically occur  
303 where the basement structures locally trend N or NE, similar to the predominant strike of the  
304 overlying normal fault array (Fig. 8). However, we also observe two, entire NW-SE striking  
305 normal faults (i.e. F6 and F9) and three NW-SE striking segments of longer faults (i.e. parts  
306 of F5 and F13) that connect to basement structures (Fig. 8). Four of the 13 west-dipping,  
307 intra-basement reflections that terminate at the basement-cover interface (i.e. B4, B9, B10,  
308 and B11) are not overlain by normal faults (Fig. 8). Decompression to the End Eocene  
309 indicates an abrupt change in dip between the normal faults ( $\sim 60^\circ$ ) and the basement  
310 structures ( $20\text{--}30^\circ$ ) (Fig. 7).

311

### 312 ***Quantitative Fault Throw Analysis***

#### 313 *Throw-length (T-x) profiles*

314 We recognise two types of *T-x* plots (Fig. 9): (i) those characterised by simple bell-shaped  
315 profiles (i.e. F1, F4, F10, and F12), where maximum throw occurs roughly at the fault centre  
316 and decreases relatively smoothly towards the lateral fault tips; and (ii) those (i.e. 12 of 16)  
317 displaying a segmented profile defined by multiple throw minima. Of the 26 throw maxima  
318 identified across all *T-x* plots, 13 occur on a fault segment physically connected to an intra-  
319 basement reflection (Fig. 9).

320

#### 321 *Throw-depth (T-z) profiles*

322 We also recognise two types of *T-z* plot (Fig. 10): (i) those (i.e. 7 of 16) displaying ‘D’-  
323 shaped profiles, where maximum throw occurs in the sedimentary section between  $\sim 1.2\text{--}2.3$  s  
324 TWT (i.e.  $\sim H_2\text{--}H_4$ ), decreasing gradually upwards and downwards before abruptly

325 decreasing at the fault tips; and (ii) those (i.e. 9 of 16) characterised by ‘B’-shaped profiles, in  
326 which two or more throw maxima, at variable depths, are separated by pronounced throw  
327 minima. For example, F10 displays a ‘B’-shaped  $T$ - $z$  profile defined by two throw maxima,  
328 located just below H1 (44 ms TWT) and between H3–H4 (50 ms TWT), separated by a throw  
329 minimum of 30 ms TWT between H1–H2. For some faults (i.e. F3, F5, F7, F10, and F13),  
330 throw decreases to negative values (down to -24 ms TWT); this is indicative of reverse  
331 displacements (Fig. 10). Where physically connected to intra-basement reflections, normal  
332 faults show little (<20 ms TWT), if any, offset of Horizon TB (e.g. Figs 4, 5A, and 10).

333 To investigate whether the morphology of the  $T$ - $z$  plots vary depending on whether  
334 faults or fault segments are physically connected to an intra-basement reflection, we  
335 undertook a more detailed analysis of F5. F5 was selected because: (i) its southern segment  
336 displays an abrupt change in strike, from NE to NW, where it connects to B3; (ii) its middle,  
337 NE-SW striking segment tips out above Horizon TB, and is thus disconnected from an intra-  
338 basement structure; and (iii) its northern segment, which is NW-SE striking, connects to B1  
339 (Figs 8 and 9). The southern (connected) and central (disconnected) portions of F5 display  
340 ‘D’-shaped  $T$ - $z$  profiles, with maximum throw of 42 ms TWT and 45 ms TWT occurring at -  
341 1862 ms TWT and -1756 ms TWT, respectively (Fig. 10). In contrast, the northern  
342 (connected) segment of F5 is defined by a B-shaped  $T$ - $z$  profile containing two throw maxima  
343 of 49 ms TWT and 43 ms TWT, separated by a throw minima of 30 ms TWT; the throw  
344 maxima occur at -1560 ms TWT and -2142 ms TWT, whereas the throw minima occurs at -  
345 1719 ms TWT (Fig. 10). There thus appears to be no systematic correlation between  $T$ - $z$   
346 profile morphology and whether F5 is connected or disconnected to an intra-basement  
347 reflection (Fig. 10). Similarly, there appears to be no relationship between the type of  $T$ - $z$   
348 profiles (i.e. D- or B-shaped) displayed for other faults that are or are not connected to intra-  
349 basement reflections (Fig. 9).

350

### 351 ***Expansion Indices***

352 Expansion indices are close to 1 for all faults (Fig. 10). However, near the upper fault tips,  
353 values tend to be  $>1$ ; e.g. up to a maximum value of 1.7 for fault F13 (Fig. 10). There is a  
354 second region of relatively high values ( $\sim 1.5$ ) between -1618 and -1893 ms TWT for faults  
355 F2, F4, F6, F9, and F14 (Fig. 10). Whether these five values are anomalous is uncertain, as  
356 this region corresponds to an interval where the faults offset stratigraphically complex,  
357 clinoform bearing strata, which makes it difficult to correlate across faults (e.g. Figs 4 and  
358 5A). Finally, a region of values  $<1$ , where stratal units in the footwall are thicker than those  
359 in the hanging wall, occurs slightly above the basement-cover interface (Fig. 10).

360

### 361 **Interpretation and Discussion**

362

#### 363 ***Origin of Intra-basement Reflections***

364 We define two types of intra-basement reflections within the Western Province superterrane  
365 (Figs 4 and 5A): (i) sub-horizontal, semi-continuous reflections, which we attribute to  
366 bedding and/or foliations within the meta-sedimentary schistose basement rock; and (ii) N-  
367 striking, gently to moderately inclined, W-dipping reflections that cross-cut and occasionally  
368 offset the sub-horizontal reflections. The peak-trough-peak wavetrain characterising the W-  
369 dipping intra-basement reflections is more complex than a single trough or peak (Figs 4 and  
370 5A), suggesting they represent an amalgamation of reflections emanating from closely spaced  
371 interfaces (i.e. they are tuned reflection packages; Brown, 2004). Similar intra-basement  
372 reflection geometries and wavetrain configurations have been observed in the North Sea,  
373 where they have been attributed to layered mylonite zones (Reeve et al., 2014; Magee et al.,  
374 2016). We suggest the W-dipping intra-basement reflections observed here likely correspond

375 to thrust faults, which may be marked by a layered mylonite core. Our interpretation of the  
376 W-dipping intra-basement reflections as thrust faults is based on their: (i) consistent  $\sim 20\text{--}30^\circ$   
377 dip; (ii) minor reverse offset of sub-horizontal intra-basement reflections (e.g. B3 and B7;  
378 Figs 4 and 5A); and (iii) apparent down-dip convergence and flattening towards sub-  
379 horizontal intra-basement reflections that could represent a floor thrust (Figs 4 and 5A). The  
380 inferred intra-basement thrusts are truncated at their tops by an unconformity ( $\sim 95$  Ma)  
381 marking the basement-cover interface, indicating thrusting occurred before the Late  
382 Cretaceous (Figs 2, 4, and 5A). We tentatively suggest thrusting occurred during the Early  
383 Cretaceous ( $\sim 128\text{--}110$  Ma), in conjunction with shortening and shearing related to terrane  
384 accretion along the subducting palaeo-Pacific margin of Gondwana and emplacement of  
385 Median Batholith components (Fig. 11) (Scott, 2013). Palaeogeographic reconstructions  
386 support our inference that the study area overlies a region of Early Cretaceous shortening,  
387 showing that the strike of the inferred basement thrusts was sub-parallel to the trend of the  
388 Palaeo-Pacific subduction zone (Fig. 11).

389

### 390 ***Normal Fault Evolution***

#### 391 *Nucleation and Growth of the Normal Faults*

392 All  $T$ - $z$  plots show the same broad pattern, with a throw maxima within the sedimentary  
393 sequence that decreases downwards towards the basement and upwards towards the seabed  
394 (Fig. 10). Importantly, there is very little offset of Horizon TB, and throw maxima are not  
395 observed at the base of  $T$ - $z$  profiles where normal faults connect to intra-basement thrusts  
396 (Figs 4, 5A, and 10). Furthermore, decompaction suggests there is a marked difference  
397 between the dip of the normal faults ( $\sim 60^\circ$ ) and that of the inferred thrusts (i.e.  $\sim 20\text{--}30^\circ$ ),  
398 although the former at times do appear to curve towards the latter (Fig. 7). These observed  
399 throw distributions and fault geometries suggest: (i) basement thrusts did not reactivate as

400 normal faults and propagate upwards into the sedimentary sequence during rifting (Faccenna  
401 et al., 1995); and (ii) it is unlikely zones of high-throw observed on the normal faults can be  
402 attributed to linkage to basement structures (cf. Deng et al., 2017). We consider it likely that,  
403 during NW-directed rifting (e.g. King and Thrasher, 1996; Reilly et al., 2015; Strogon et al.,  
404 2017), these broadly NW-SE striking, gentle-to-moderate dipping (i.e. 20–30°) basement  
405 thrusts were not favourably oriented with respect to the prevailing stress field, and were thus  
406 not significantly reactivated. Instead, throw distribution patterns imply the rift-related faults  
407 nucleated within the sedimentary succession and propagated both downwards and upwards.  
408 We interpret that the D-shaped  $T$ - $z$  profiles represent nucleation between ~H2–H4, with  
409 growth dominated by continuous, radial tip-line propagation (Fig. 10). We consider the B-  
410 shaped profiles reflect dip linkage, via intervening throw minima and related relays, between  
411 two or more initially isolated fault segments that nucleated at various stratigraphic levels  
412 (Fig. 10). In addition to the constraints on vertical fault growth provided by the  $T$ - $z$  profiles,  
413 the  $T$ - $x$  plots suggest that, at the level of inspection, lateral growth of the normal faults  
414 involved either continuous, radial tip-line propagation (i.e. bell-shaped profiles) or the  
415 coalescence of initially isolated segments that linked along-strike (i.e. segmented profiles)  
416 (Fig. 9).

417         Although throw distributions can be used to identify *where* nucleation occurred, it is  
418 commonly difficult to assess *when* nucleation occurred; all we can infer from  $T$ - $z$  and  $T$ - $x$   
419 analyses is that nucleation occurred *after* deposition of the strata hosting the throw maxima.  
420 For example, the stratigraphically lowest throw maxima we identify occur just below H1 (e.g.  
421 F10), implying all normal faults are post-Cretaceous (Figs 2 and 10). However, dip-linked  
422 faults display throw maxima at various stratigraphic levels (e.g. F10 displays throw maxima  
423 below H1 and between H3–H4; Fig. 10), which could be explained by either of the following  
424 end-members scenarios: (i) nucleation of the lower fault segment and subsequent nucleation

425 of the upper fault segment after a period of tectonic quiescence; or (ii) synchronous  
426 nucleation of both the lower and upper faults segments after deposition of strata hosting the  
427 uppermost throw maxima. Importantly, negative throw values are observed towards the base  
428 of some dip-linked faults (i.e. F3 and F10; Fig. 10), indicating they were inverted during the  
429 Miocene shortening (Fig. 2) (Reilly et al., 2015); this suggests that at least the lower  
430 segments of F3 and F10 formed *before* Miocene inversion. We attribute this early normal  
431 fault formation to the Late Cretaceous-to-Early Eocene rifting event identified in the Taranaki  
432 Basin (e.g. Giba et al., 2010; Hemmings-Sykes, 2012; Reilly et al., 2015; Strogon et al.,  
433 2017). Our observations also imply that the upper segments of F3 and F10, which likely grew  
434 in response to dip-linkage and display throw maxima in strata between H3–H4 (Fig. 10),  
435 nucleated after inversion. This later phase of normal faulting is supported by the steep throw  
436 gradients and increase in EI >1.5 observed at the top of most *T-z* profiles, which indicate  
437 deposition of H4–H5 (6.6–2.6 Ma) strata was syn-kinematic (Fig. 10). We suggest Miocene-  
438 Pleistocene back-arc extension prompted this late-stage normal faulting (Giba et al., 2010;  
439 Reilly et al., 2015).

440

#### 441 *Influence of basement heterogeneities on normal faulting*

442 Having established that the nucleation and growth history of the observed normal faults likely  
443 cannot be attributed to the reactivation and upward propagation of pre-existing basement  
444 heterogeneities (i.e. thrust faults), we can now assess how, if at all, the inferred basement  
445 thrusts influenced Cenozoic extension. Plan-view observations indicate the broadly NE-SW  
446 striking normal faults physically connect to subjacent basement thrusts primarily when the  
447 latter deviate from their dominant NW-SE to NNW-SSE strike to instead strike NE-SW (Fig.  
448 8). In particular, 10 of the 14 NE-SW striking normal faults connect to NE-SW striking  
449 portions of basement thrusts for up to ~80% of their length (Fig. 8 and Supplementary Table

2); for the remaining faults, F8 and F16 strike NE-SW but do not connect to basement thrusts, F6 and F9 are NW-SE striking and connect to NW-SE striking basement thrusts, and only NW-SE striking segments of F5 and F13 connect to basement thrusts (Fig. 8). Observed connections between most of the rift-perpendicular normal faults and NE-SW striking portions of basement thrusts suggests the latter influenced fault nucleation and growth. We hypothesise that, during NW-directed rifting, the NE-SW striking segments of the intra-basement thrusts were favourably oriented relative to the regional stress field and thus accumulated stress. Whilst it does not appear that these basement thrust segments slipped significantly during rifting (i.e. they did not propagate upwards into the overlying sedimentary rocks), such a local build-up of stress may have augmented extension within sedimentary cover and thus promoted the nucleation of normal faults that, through growth, would link to the basement thrusts (e.g. Jackson and Rotevatn, 2013). In some places, accumulation of stress on NW-SE striking basement thrusts may have generated local stress fields that prompted either: (i) nucleation and growth of NW-SE striking normal faults (e.g. F6 and F9; Fig. 8); or (ii) rotation of NE-striking, downward propagating normal fault segments to assume NW strikes (e.g. F5 and F13; Fig. 8). Importantly, our results show that that rift-related faults (e.g. F8 and F16) can also form independent of apparently favourably oriented basement structures.

468

## 469 **Conclusions**

470 We describe a series of enigmatic, NW-SE to NNW-SSE striking, W-dipping, intra-basement  
471 seismic reflections from the meta-sedimentary Western Province superterrane located  
472 offshore western New Zealand in the Taranaki Basin. We interpret these W-dipping intra-  
473 basement reflections as thrust faults that probably developed during terrane accretion along  
474 the subducting palaeo-Pacific margin of Gondwana in the Early Cretaceous. Within the

475 sedimentary sequence above the basement, we map 16, NE-SW striking normal faults. The  
476 spatial distribution of the intra-basement thrusts and overlying normal faults is similar, with  
477 14 of the latter physically connecting to the former for at least part of their strike length. Fault  
478 throw analysis reveals that the normal faults nucleated within the sedimentary sequence and  
479 grew through radial tip-line propagation and/or linkage between fault segments, both along-  
480 strike and down-dip. Inversion of the normal faults in the Miocene indicates that some fault  
481 segments nucleated during Late Cretaceous-to-earliest Eocene extension, whilst a later phase  
482 of Miocene-to-Recent back-arc extension has promoted the nucleation and growth of new  
483 faults that link to pre-existing faults. Linkage between the normal faults and pre-existing  
484 basement thrusts typically occurs where the former are locally oriented NE-SW, parallel to  
485 the overall strike of the normal faults. Some normal faults also appear to bend towards NW-  
486 SE striking basement thrusts in plan-view, deviating from their predominant NE-SW strike.  
487 These observations imply that basement-hosted structures partly influenced the geometry and  
488 kinematics of later, rift-related faulting, but this control is not as significant as documented in  
489 other studies. The cause for this variability between locations is unclear, but it may reflect the  
490 fact that, in the Taranaki Basin: (i) pre-existing structures have low dips and may thus be hard  
491 to reactivate in extension; and (ii) the principal NW-SE strike of the basement thrusts were  
492 not favourably oriented during Cenozoic, NE-SW extension. We conclude that simple 2D,  
493 plan-view observations alone may not reveal the true geometric and kinematic relationships  
494 between basement and cover structures.

495

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501

## 502 **Figure captions**

503 Figure 1: Map of the study area highlighting the distribution of the three superterranes (i.e.  
504 the Western Province, Median Batholith, and Eastern Province) and major tectonic faults,  
505 including: TF = Taranaki Fault; CEF = Cape Egmont Fault; MaF = Manaia Fault; MoF =  
506 Motumate Fault; MF = Maari Fault; and WF = Whitiki Fault. The location of the Maui-3D  
507 seismic reflection survey is also shown. Inset map shows the Taranaki Basin relative to the  
508 superterranes that comprise the basement across New Zealand. AP is the Australian Plate and  
509 PP is the Pacific Plate. Redrawn from Muir et al. (2000).

510

511 Figure 2: Tectonic and chronostratigraphic chart for the southern Taranaki Basin. Modified  
512 from King and Thrasher (1996), Kroeger et al. (2013), and Reilly et al. (2015).

513

514 Figure 3: (A) Map highlighting the 3D seismic survey and distribution of wells in the study  
515 area. (B) Time-depth plot of checkshot data for the seven wells used.

516

517 Figure 4: Uninterpreted and interpreted seismic section showing the W-dipping, intra-  
518 basement reflections and rift-related normal faults in the overlying sedimentary basin-fill.  
519 The intra-basement reflections appear to converge at depth and, in places, show minor  
520 evidence of reverse motion.

521

522 Figure 5: (A) Uninterpreted and interpreted seismic section showing the W-dipping, intra-  
523 basement reflections and rift-related normal faults in the overlying sedimentary basin-fill. (B)

524 Map of the intra-basement reflections (B1–B13). (C) Rose diagram plotting the average strike  
525 of each intra-basement reflection.

526

527 Figure 6: Time-structure maps for horizons TB and H1–H5. Boreholes (white circles) and  
528 rift-related fault polygons are shown; the trace of the WF is also depicted. Inset within the  
529 Horizon H2 map is a rose diagram plotting the average strike of each rift-related fault (F1–  
530 F16).

531

532 Figure 7: Depth-conversion of present day time data and decompaction to the End Miocene.  
533 See text for discussion.

534

535 Figure 8: Map showing the plan-view correlation of the intra-basement reflections and rift-  
536 related normal faults. Zones of physical linkage between rift-related normal faults and  
537 underlying intra-basement reflections are highlighted and the average strike of each zone  
538 plotted on the inset rose diagram.

539

540 Figure 9:  $T$ - $x$  plots for F1–F16. Yellow areas correspond to portions of the faults physically  
541 linked to underlying intra-basement reflections. Each measurement is shown with a 10%  
542 error bar. See text for discussion.

543

544 Figure 10:  $T$ - $z$  and expansion index plots for F1–F16. See Figure 9 for profile locations (red  
545 dashed lines). See text for discussion.

546

547 Figure 11: Palaeogeographic reconstruction of New Zealand ~110 Myr, showing the study  
548 rea position relative to the zone of Cretaceous shortening between the Western and Eastern  
549 Province superterrane. Modified from Scott (2013).

550

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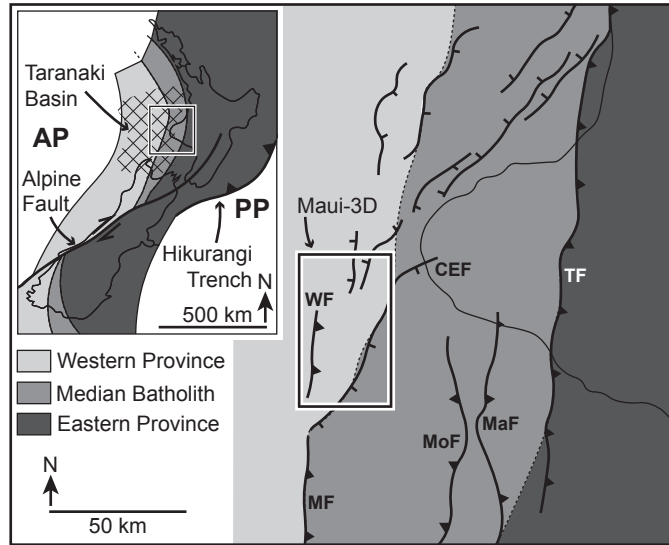
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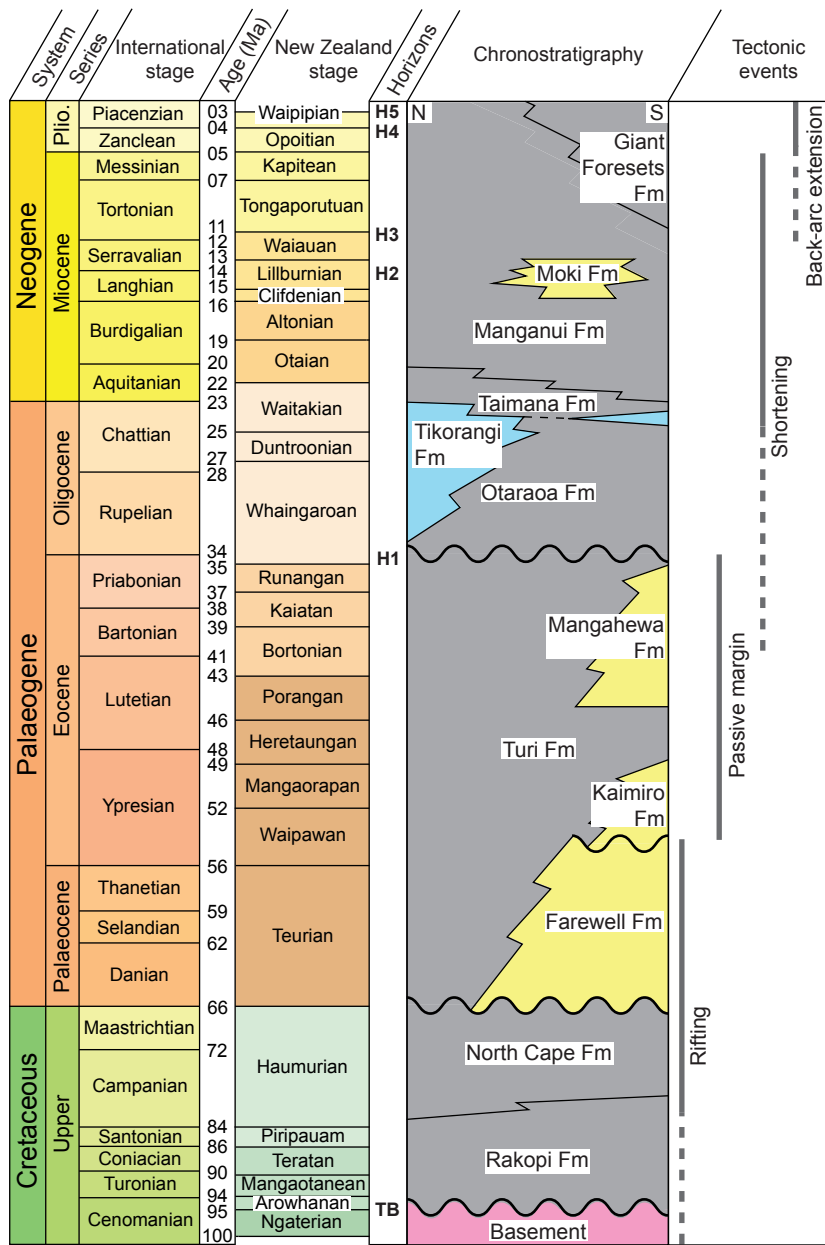
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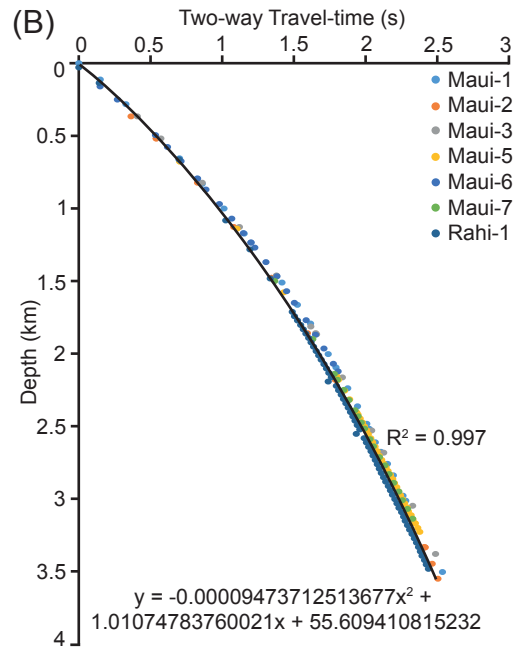
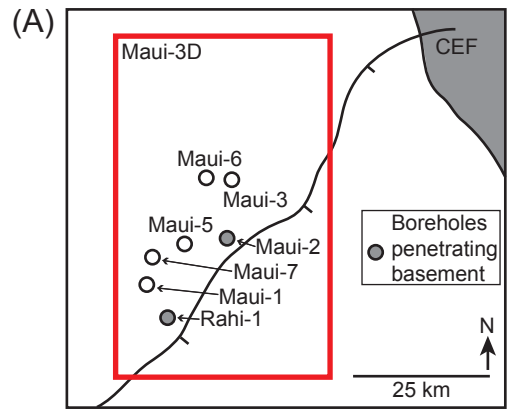
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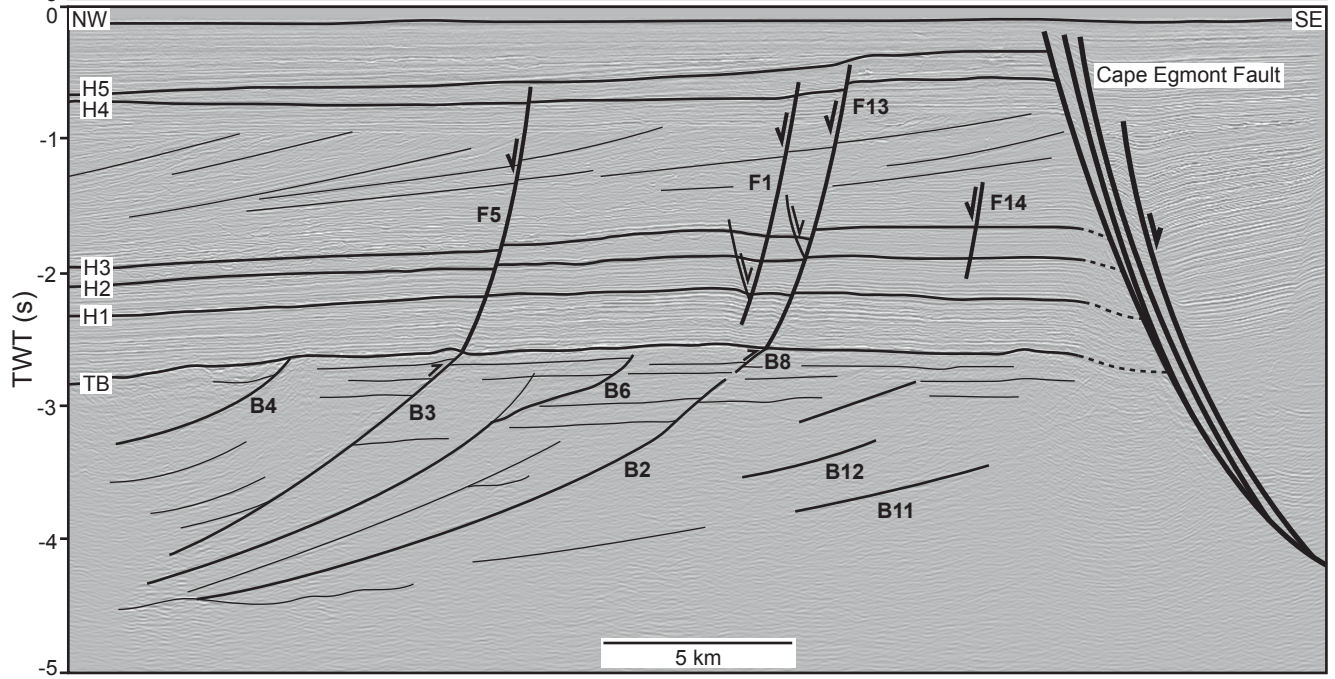
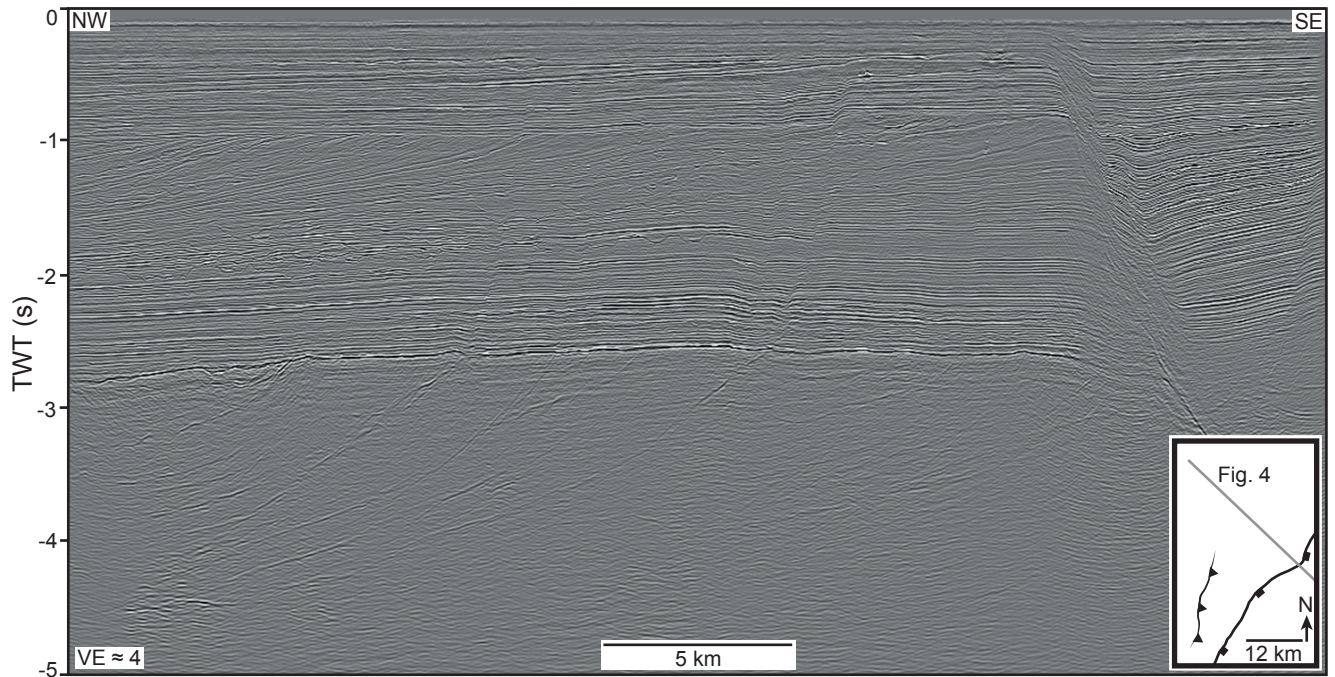




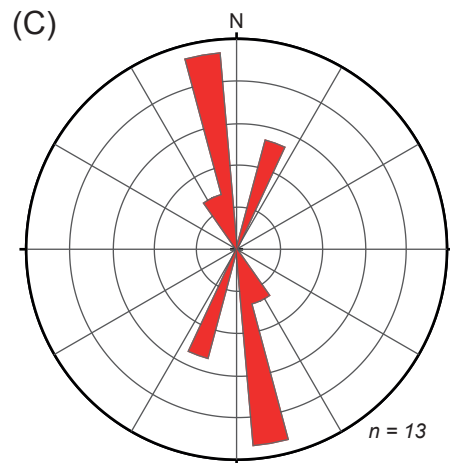
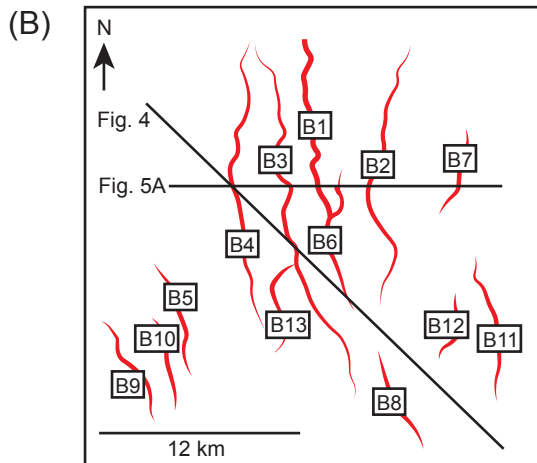
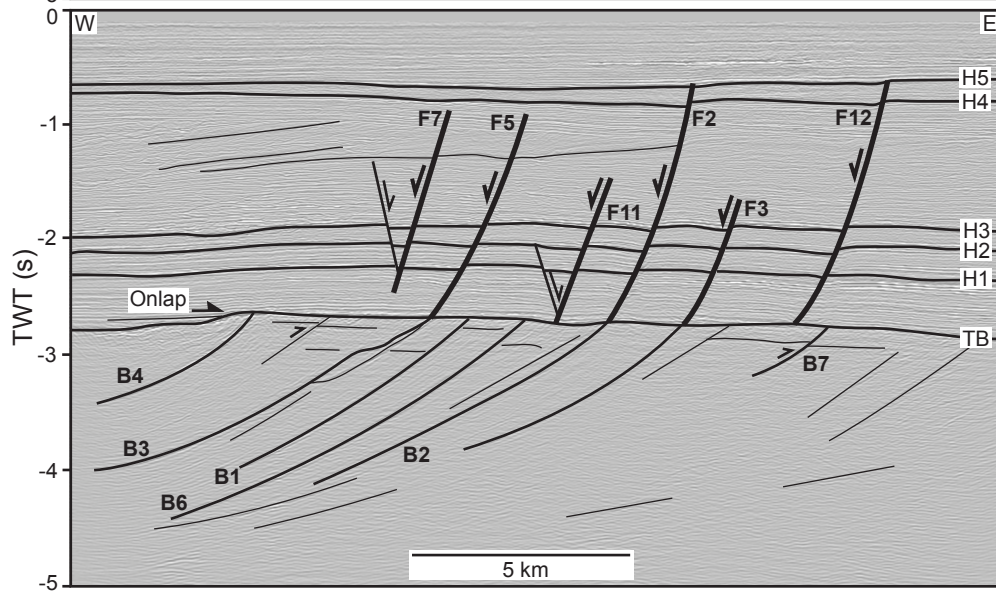
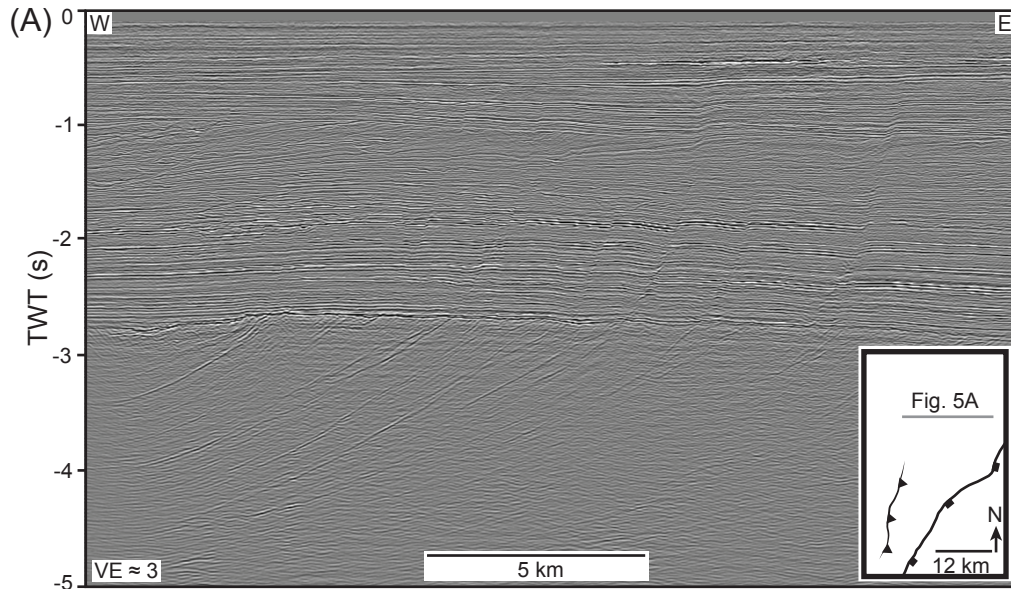
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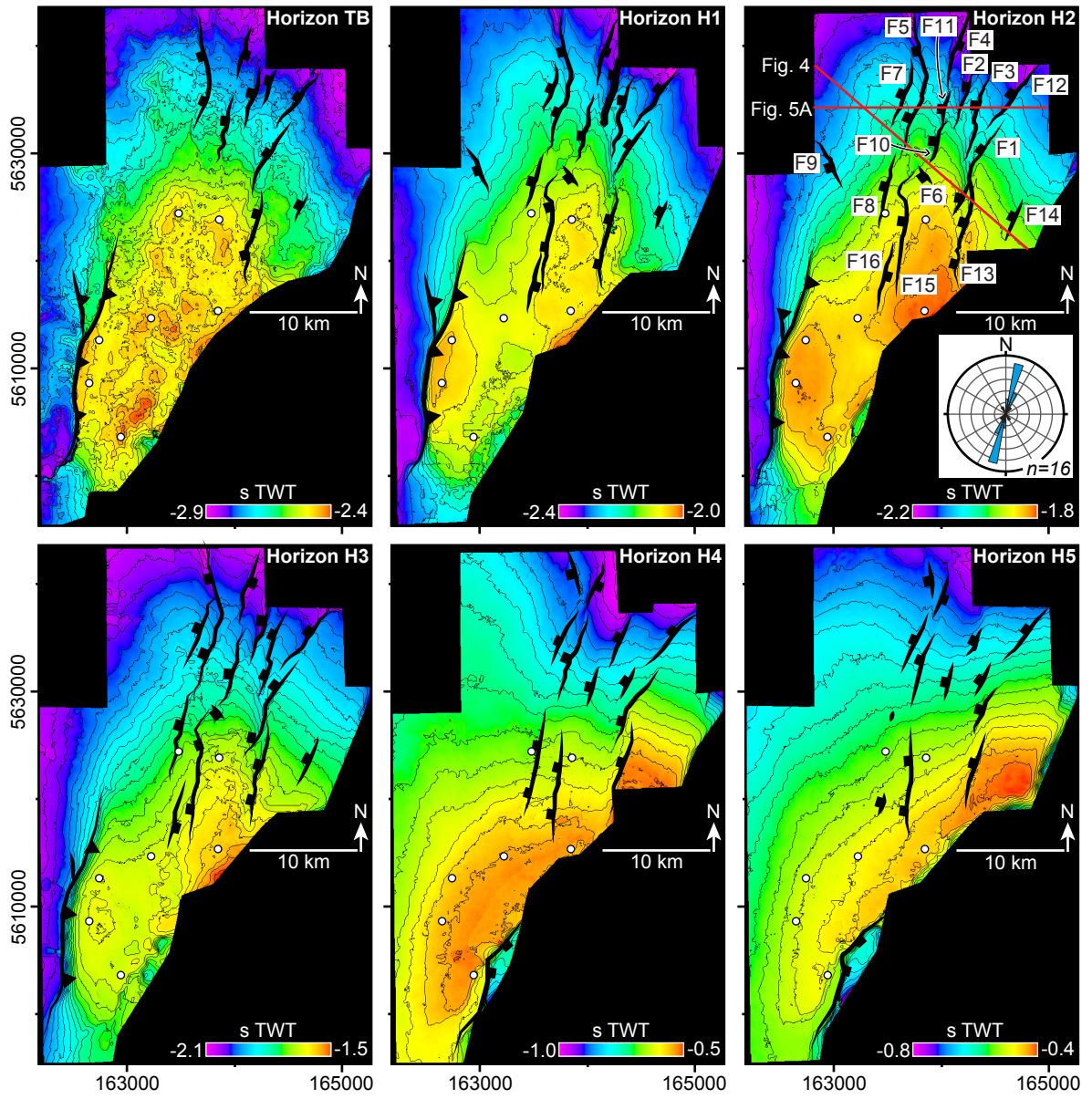




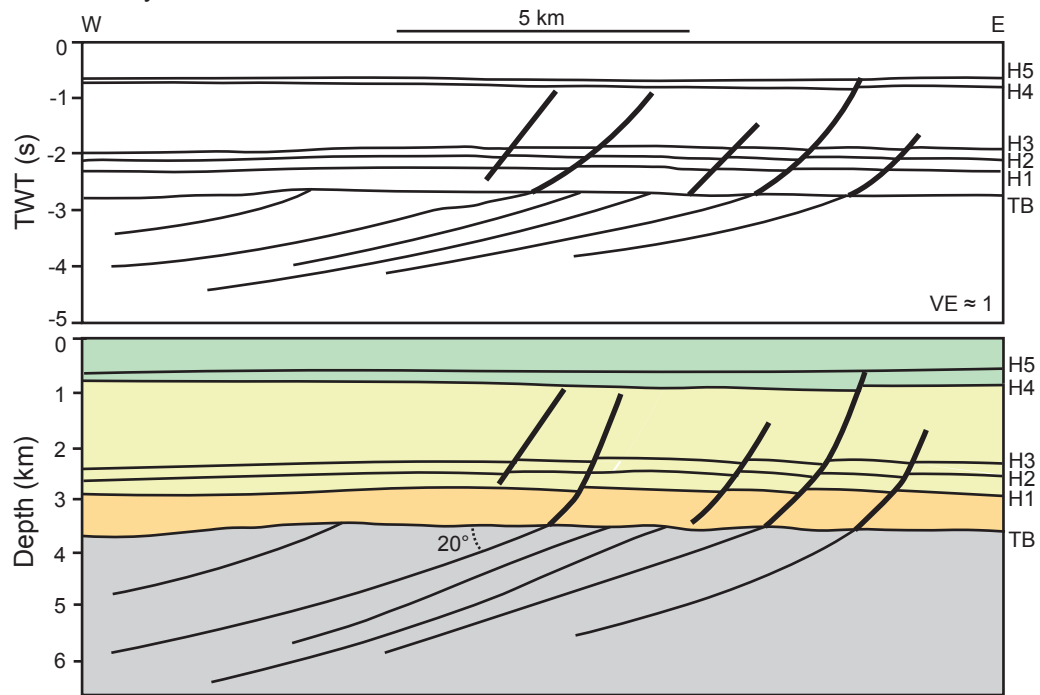




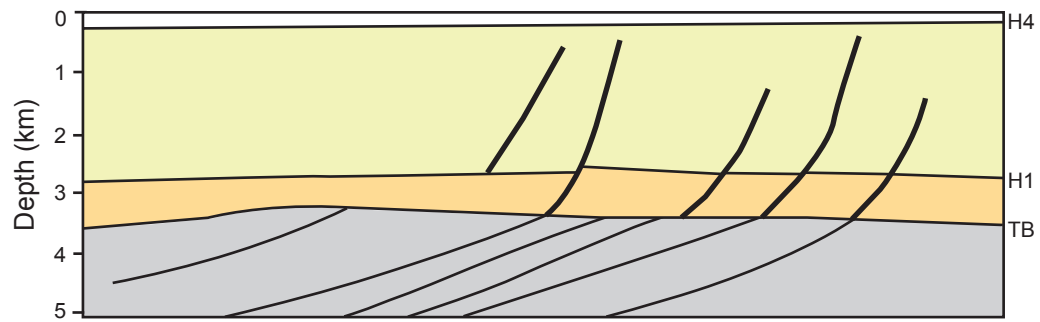


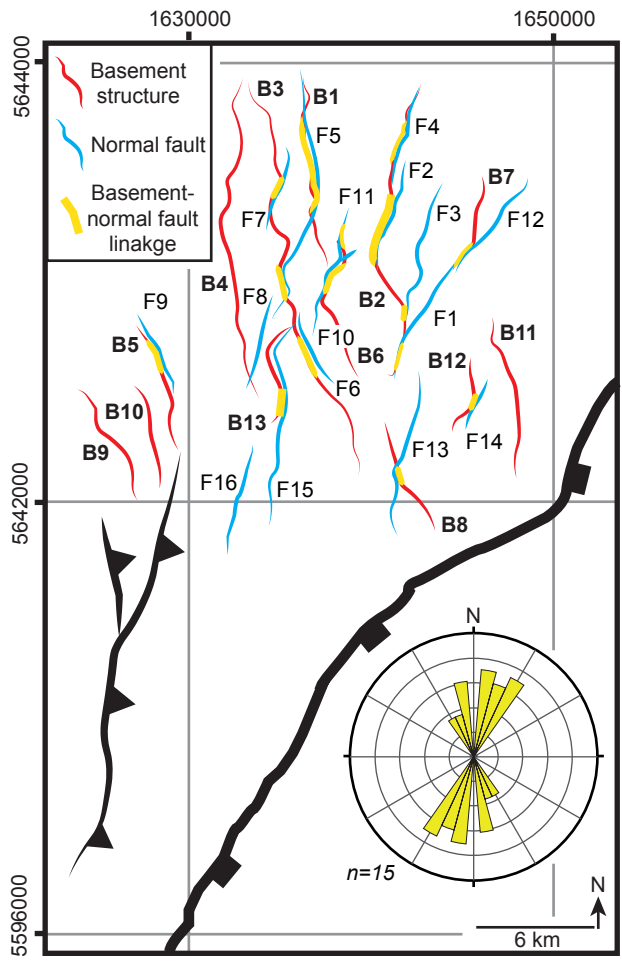


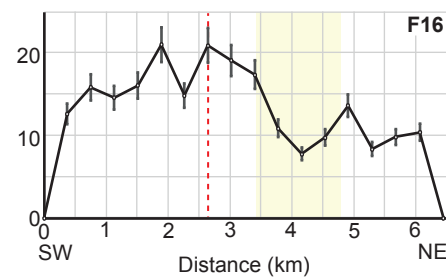
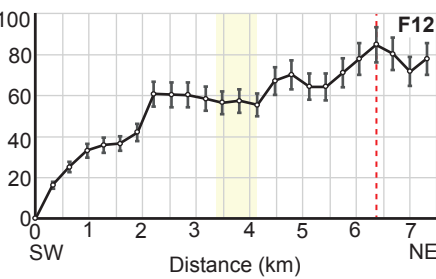
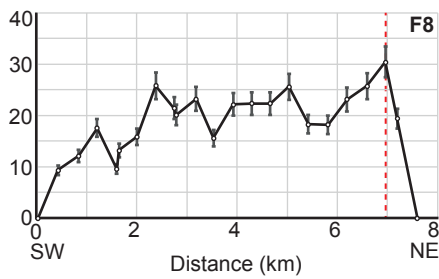
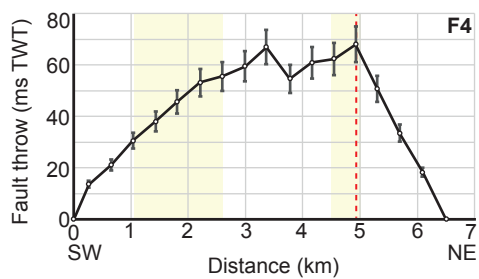
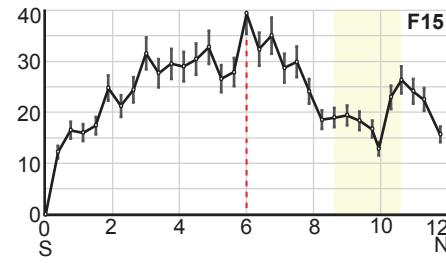
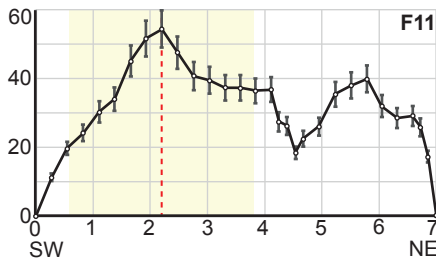
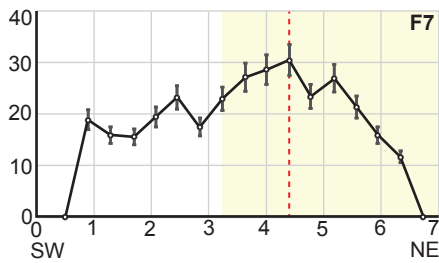
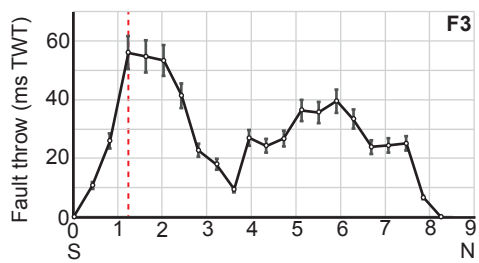
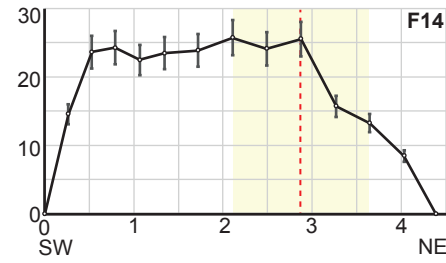
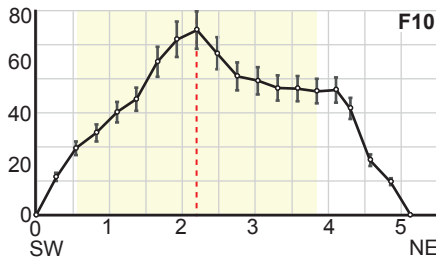
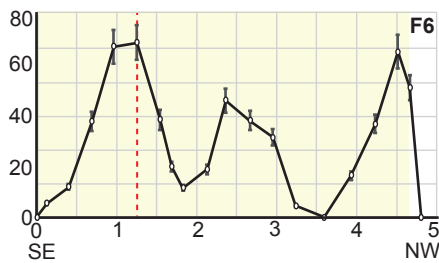
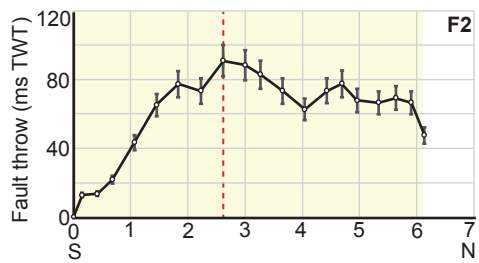
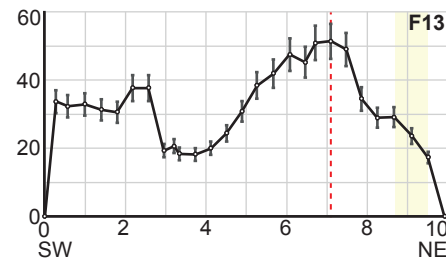
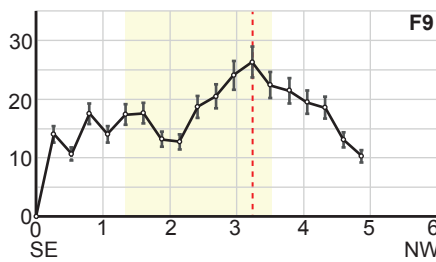
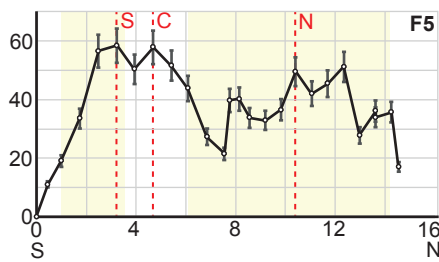
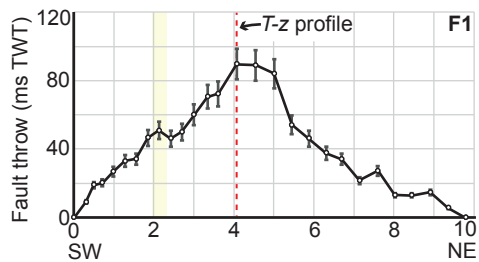
Present Day

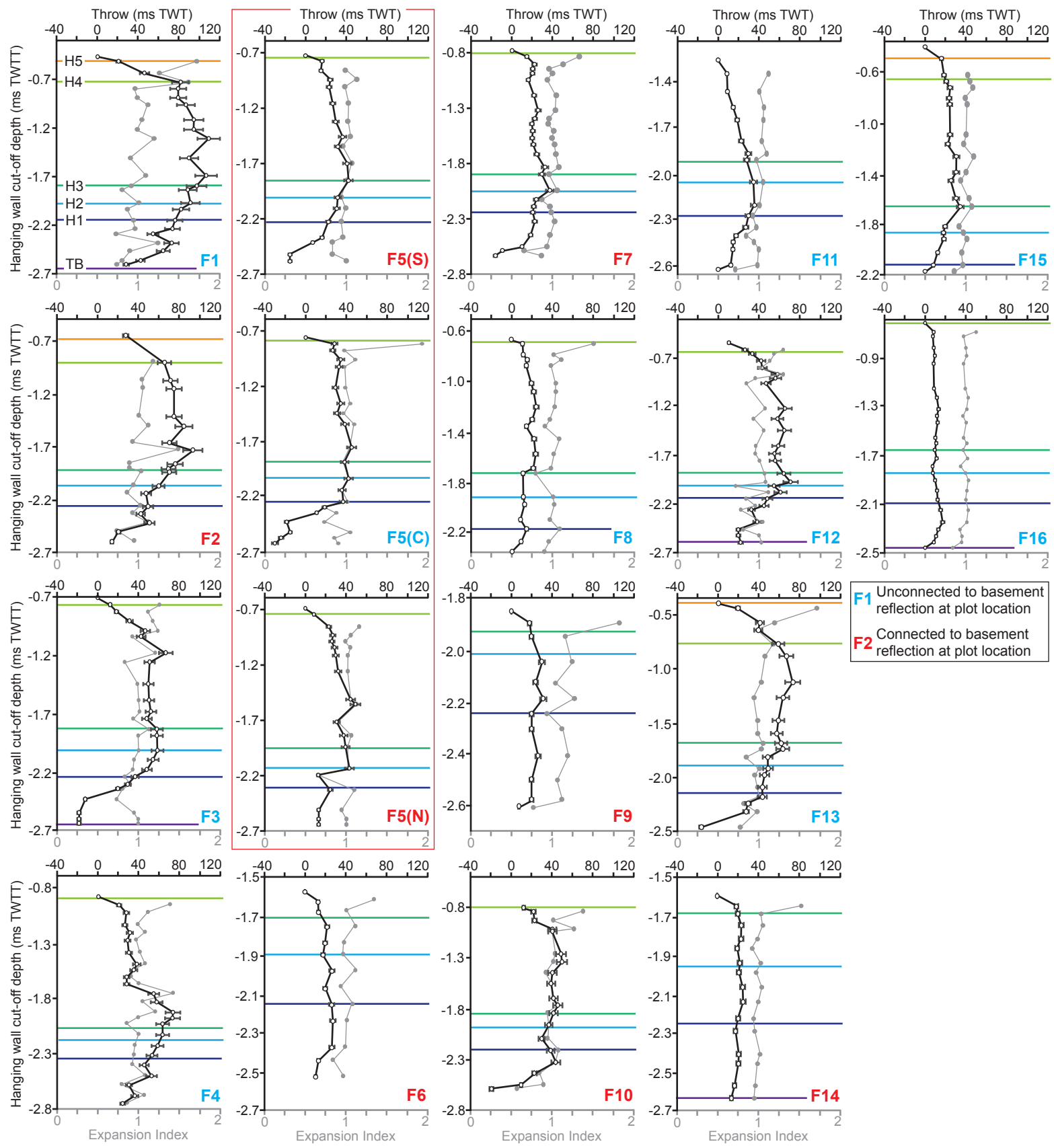


End Miocene



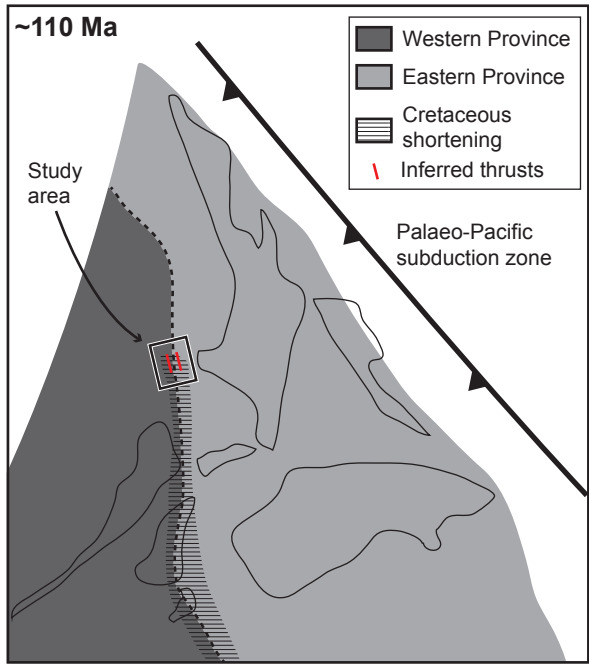






**F1** Unconnected to basement reflection at plot location  
**F2** Connected to basement reflection at plot location







### Supplementary Table 1: Decompaction Parameters

| <b>Obtained from Maui-1 and Maui-3 boreholes</b> |                 |                                   |                  |
|--|-----------------|-----------------------------------|------------------|
| <i>Unit</i>                                      | <i>Porosity</i> | <i>Density (kg m<sup>3</sup>)</i> | <i>Lithology</i> |
| Pleistocene-to-Recent                            | 0.22            | 2.2                               | Sandy-shale      |
| Miocene  | 0.13            | 2.3                               | Sandy-shale      |
| Palaeocene-to-Eocene                             | 0.11            | 2.4                               | Sandy-shale      |

| <b>Fixed parameters</b> |                         |
|-------------------------|-------------------------|
| Depth coefficient       | 0.39                    |
| v0 (km s) (velocity)    | 2.2                     |
| K (at-surface porosity) | 0.5                     |
| Grainsize (mm)          | 0.21                    |
| Youngs modulus          | 23500                   |
| Poisson ratio           | 0.3                     |
| Isostatic method        | Airy Isostasy           |
| Compaction curve        | Sclater-Christie (1980) |

### References

Sclater, J. G., and P. A. Christie, 1980. Continental stretching: An explanation of the post-Mid-Cretaceous subsidence of the central North Sea Basin: *Journal of Geophysical Research: Solid Earth*, **85**(B7), 3711-3739.

**Supplementary Table 2: Rift-related fault parameters**

| Name | Length | Strike | Dip<br>direction | Maximum<br>throw | Connection to<br>intra-basement<br>reflection | Length of intra-<br>basement<br>reflection<br>connection | Proportion of rift-<br>related fault length in<br>contact with intra-<br>basement reflection |
|------|--------|--------|------------------|------------------|---|--|--|
|      | (km)   | (°)    | (°)              | (ms TWT)         |   | (km)   | (%)  |
| C1   | 09.79  | 044.3  | 314.3            | 109.3            | B2  | 2.11   | 021.5  |
| C2   | 06.14  | 015.4  | 285.4            | 093.7            | B2  | 6.14   | 100.0  |
| C3   | 08.22  | 015.9  | 285.9            | 067.0            | B2  | 0.80   | 009.7  |
| C4   | 06.50  | 017.5  | 107.5            | 073.6            | B2  | 5.51   | 084.7  |
| C5   | 14.54  | 004.7  | 274.7            | 049.2            | B1<br>B3                                      | 8.90<br>3.90   | 088.0  |
| C6   | 04.81  | 324.5  | 234.5            | 027.1            | B3  | 3.92   | 081.4  |
| C7   | 06.24  | 020.0  | 290.0            | 037.0            | B3  | 2.73   | 043.7  |
| C8   | 07.57  | 016.1  | 286.1            | 024.4            | N/A   | N/A  | N/A  |
| C9   | 04.87  | 333.9  | 243.9            | 030.7            | B5  | 2.04   | 041.9  |
| C10  | 05.12  | 023.8  | 293.8            | 050.3            | B6  | 2.74   | 053.5  |
| C11  | 07.00  | 011.5  | 281.5            | 054.3            | B6  | 3.99   | 057.0  |
| C12  | 07.32  | 037.3  | 307.3            | 084.8            | B7  | 1.88   | 025.7  |
| C13  | 09.92  | 017.0  | 287.0            | 072.5            | B3<br>B8                                      | 0.88<br>1.13   | 020.2  |
| C14  | 04.39  | 025.0  | 295.0            | 025.4            | B12   | 1.35   | 030.8  |
| C15  | 12.26  | 006.8  | 276.8            | 034.5            | B13   | 4.40   | 035.9  |
| C16  | 06.44  | 013.3  | 103.3            | 021.0            | N/A   | N/A  | N/A  |