

1 **Excessive seismicity over a limited source: the August 2019 earthquake**  
2 **swarm near Mt. Salak in West Java (Indonesia)**

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24 **Abstract**

25 The triggering mechanism of swarm-like seismicity in the Java Island of Indonesia is  
26 generally not well understood. Understanding earthquake swarm phenomena and monitoring  
27 in various tectonic settings can be improved by the detection of micro-earthquakes; however,  
28 such a catalog is not available due to various reasons including the existing limited seismic  
29 network and using an outdated algorithm for events detection. In this study, we analyze the  
30 seismic waveforms and explore the detection capability of small earthquakes during the  
31 August 2019 earthquake sequence near Mt. Salak (West Java) by using the known matched  
32 filter technique (MFT) and relocated events as templates. We analyze continuous waveforms  
33 from seven broadband seismic stations in a 150 km radius around the source center and for a  
34 ~1 month of data. Our derived complete catalog enables us to analyze the frequency-  
35 magnitude distribution of the sequence as well as the spatiotemporal evolution of micro-  
36 seismicity. The six largest events were hybrid-like-type volcano-tectonic earthquakes with  
37 oblique-thrust mechanisms. The relocation procedure shows that all of the events are located  
38 within a small area of  $\sim 2 \times 2$  km<sup>2</sup>, probably bounded at 9-12 km of depth. The pattern of  
39 seismicity distribution was not clear, however, focal mechanisms might indicate N/NW or  
40 E/SE-trending orientation with a steep plane. We detect 280 additional micro-earthquakes to  
41 the improved catalog. The *b-value* of the sequence is close to 1.1, typical for many volcano-  
42 tectonic events. We show that the swarm might be initiated by the fluid intrusion into the  
43 seismogenic zone while the stress changes from the largest event affected the evolution of  
44 swarm.

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46 **Keywords:** *Mt. Salak, Volcano-tectonic swarm, hypocenter relocation, matched filter, b-*  
47 *value*

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## 53 **1. Introduction**

54 The seismicity patterns of any swarm might be related to fluid intrusion into the  
55 seismogenic zone causing the temporal evolution that not characterized by a distinct  
56 mainshock and hence cannot be described by the law of aftershocks decay rate such as the  
57 modified Omori's law (Hill, 1977; Parotidis et al. 2003; Hainzl 2004). Additionally, the  
58 evolution of an earthquake swarm might also be related to stress triggering by coseismic and  
59 post-seismic stress transfer (Hainzl 2004). Hainzl (2004) demonstrated that swarm evolution  
60 can be influenced by the larger events during swarm due to their stress transfers, while the  
61 existence of high-pressure fluid in the seismogenic zone initiated the swarm activity.  
62 Vavryčuk and Hrubcová (2017) also proposed the evidence that earthquake swarm can be  
63 generated by fault compaction (i.e., fault-weakening model), which is not caused by fluid  
64 overpressure or accumulation of crustal stress. They showed the mechanism that the fault  
65 might be repeatedly eroded by fluids and compacted during the swarm activity. In general,  
66 the evolution of seismicity for most swarms may not be well understood as it differs from  
67 case to another.

68 Earthquake swarm often occurred in the land of Java in Indonesia calling the attention  
69 of the population due to the expected hazard posed by them. During August 2019, a region in  
70 the southwest of Mt. Salak (West Java, Indonesia) hosted a seismic swarm (Fig. 1). Mt. Salak  
71 is a notable stratovolcano situated in the Quaternary volcanic front of the Sunda arc in West  
72 Java. It is an andesitic dormant stratovolcano (2211 m above sea level) with its last recorded  
73 eruption in January 1938. The National Center (NC) of Badan Meteorologi, Klimatologi, dan  
74 Geofisika (BMKG) recorded 45 shallow events in the regular earthquake catalog with  
75 magnitude ranging from  $M_{LV}$  2.1 to 4.2 (Fig. 1b,d). The epicenters were located in the  
76 southwest of Bogor city, a densely populated city located south of the capital of Greater  
77 Jakarta. Checking the network catalog, we found the seismicity pattern and orientation are  
78 not very clear (Fig. 1a,c).

79 This sequence of interest includes two  $M_{LV} > 4.0$  earthquakes (Table 1, Fig. 1) that  
80 caused some light damages in the nearest village in Kecamatan Nanggung, Kabupaten Bogor.  
81 Most of the earthquakes during this sequence were felt by the population near the epicentral  
82 zone. The earthquakes' evolution in time shows a swarm-like behavior (Fig. 1b,d) but it is not  
83 clear whether the earthquakes were of volcanic origin or not. The earthquakes struck the area  
84 on the vicinity of Mount Salak, however, Pusat Vulkanologi dan Mitigasi Bencana Geologi

85 (PVMBG), reported no signature of volcano-related activities. A false warning of Mt. Salak  
86 activity had been issued in October 2018 but the Indonesian authorities clarified that an  
87 eruption had not even occurred.

88 In this study, we perform waveform analysis and mainly apply the matched filter  
89 technique (MFT) to enhance the evolution of seismicity during the August 2019 earthquake  
90 sequence near Mt. Salak. We analyze the source parameters of some largest events to argue  
91 about the possible origin and mechanism of this sequence. We find that a complete matched  
92 filter catalog enables us to analyze the frequency-magnitude distribution (FMD) of the  
93 sequence as well as the spatiotemporal evolution of micro-seismicity.

94

## 95 **2. Methods**

96 BMKG operates a permanent broadband seismic network with stations distributed  
97 over the Indonesia region. We retrieved the seismic data with a sampling rate of 40/50 Hz  
98 (SH\* channels). The nearest seismic station to the 2019 Mt. Salak swarm was SKJI station  
99 with a distance of about 26.6 km to the south. An example of seismic record at station SKJI  
100 can be seen in Fig. 2 and Figure S1. Here we apply waveform-based seismological  
101 investigation to reveal some characteristics related to the source origin of the swarm and  
102 mainly apply matched filter detection to obtain a detailed seismicity analysis. For more  
103 details about methods used to discriminate seismic swarms, we refer the reader to the study  
104 by several previous studies (e.g., Parotidis et al. 2003; Yukutake et al. 2011; Shelly et al.  
105 2013a, 2013b; Duverger et al. 2015), which is based on carrying out variously comprehensive  
106 techniques in a sequential workflow. Their series of examinations determine whether, or not,  
107 the earthquake swarms are caused by fluid-driven processes as they may occur in any area  
108 near the volcanic system.

### 109 **2.1 Spectral Analyses of Waveforms**

110 Earthquake frequency content analysis was done quantitatively following the Frequency  
111 Index (FI) definition (Buurman and West 2010). Using a set of calibration waveforms, FI  
112 values were attributed to the range of -2.9 and 0.5 in Buurman and West (2010). A negative  
113 FI means the waveform is dominated by low-frequency energy, while a positive FI  
114 demonstrates a majority of energy in the high-frequency band. We use unfiltered vertical-  
115 channel waveforms with durations of 5 s; 5.5 s to 10.5 s after the origin time of each event to

116 capture the high-frequency P-wave onset at station SKJI (Figures S2). We select SKJI station  
117 because it was the nearest station to the source in order to minimize the attenuation effects on  
118 high-frequency energy (Fig. 2). This kind of signal discrimination is less problematic for  
119 larger magnitude earthquakes thus the FI value here was computed for the six largest events  
120 (Table 1, Figures S3-S8). Concerning source-station azimuth and distance, the single-station  
121 FI is also reasonably robust, but there is a poor dependence of single-station FI with distance  
122 (Matoza et al. 2014). We define the Frequency Index (FI) as

$$123 \quad FI = \log_{10} \left( \frac{\text{mean}(A_{\text{upper}})}{\text{mean}(A_{\text{lower}})} \right)$$

124  $A_{\text{lower}}$  was attributed to spectral amplitudes in the range of 1-2 Hz (Buurman and West 2010),  
125 while  $A_{\text{upper}}$  was set to the range of 10-18 Hz. We choose this different upper range  
126 considering it should be less than the Nyquist frequency and pre-filtering at the high  
127 frequencies.

## 128 **2.2 HypoDD Relocations**

129 The National Center (NC) of BMKG documented 45 events during 1 – 31 August  
130 2019 with magnitude ranging from 2.1 to 4.2 (Fig. 1). We pick the arrival times of the P- and  
131 S-wave manually and use the waveform cross-correlation technique to obtain the travel time  
132 differences of P/S-phase. The time window for cross-correlation is within a 2 s; 0.5 s before  
133 and 1.5 s after the hand-picked P/S arrival times. The picking accuracy of the P- and S-wave  
134 arrival time is estimated to be 0.05 s and 0.125 s, respectively. We relocate the selected  
135 events using the double-difference method (Waldhauser and Ellsworth 2000) with a  
136 background 1-D IASP91 velocity model (Kennett and Engdahl 1991). The maximum  
137 hypocentral separation is 3 km, and the maximum number of neighbors per event is 5. The  
138 minimum three links are chosen for clustering. Because we work with a small dataset (< 100  
139 events), we use the singular value decomposition (SVD) to solve the system of the double-  
140 difference equation. This method provides reliable least square errors of earthquake location;  
141 however, we also re-assess the location uncertainty by performing a jackknife test. Fig. 3  
142 shows the difference between the initially network-located and the relocated hypocenters.

## 143 **2.3 Moment Tensor Inversion**

144 To better understand the origin of the earthquake swarm, we follow the procedure of  
145 moment tensor inversion by using local/regional seismic data (i.e., Yagi and Nishimura 2011)

146 to provide the focal mechanisms of the earthquakes based on a point source approximation.  
147 This method has been applied in some previous studies (e.g., Abbes et al. 2016; Badreldin  
148 2016). We obtain the moment tensor solution for the six largest earthquakes in the sequence  
149 (Table 1, Fig. 4) by using low-frequency displacement records (Figures S1, S10-S15). We  
150 select six local/regional three components seismic data (e.g., 18 channels of waveforms) for  
151 the inversion. All of the seismograms were instrument corrected. We cut the seismograms  
152 starting from 0.5 s before to 120/140 s after P-wave arrival times (Fig. 5). We applied the  
153 Butterworth bandpass filter with the corner frequency of 0.04 and 0.09 Hz and downsampled  
154 the seismograms to 1 s. The Green's function was calculated by the discrete wavenumber  
155 method using extended reflectivity approach (Yagi and Nishimura 2011) for local/regional  
156 synthetic seismograms and PREM velocity model (Dziewonski and Anderson 1981). The  
157 procedure performs a generalized least square inversion. To compute the waveform  
158 inversion, we set the source location (epicenter and depth) to the hypoDD relocation results  
159 (Table 1).

## 160 **2.4 Matched Filter Technique**

161 BMKG operates permanent broadband seismic stations with good coverage in the  
162 West Java region (Fig. 1a). The earthquake sequence near Mt. Salak on August 2019 was  
163 well recorded on the seven local seismic stations with a distance less than 150 km, i.e., SKJI  
164 (26.6 km), DBJI (33.7 km), SBJI (84.7 km), CNJI (89.4 km), CGJI (94.4 km), LEM (120.0  
165 km), and BBJI (145.7 km). These stations have 24-hours continuous records that can be used  
166 in any waveform-based seismic detection.

167 For enhancing the event detection, we perform the matched filter seismic detection (or  
168 template matching technique) (Peng and Zhao 2009; Meng et al. 2013; Meng et al. 2018) to  
169 search for additional events that have not been listed in the routine catalog of BMKG. We use  
170 the relocated earthquakes as our template events (Table S1) and utilize all seven three-  
171 component broadband seismic stations (e.g., 21 channels) in our matched filter. The  
172 seismograms then are band-pass filtered with corner frequency 1 and 15 Hz, trying to avoid  
173 low-frequency noises from other regional or teleseismic earthquakes. If the seismogram has  
174 an original sampling rate of 50 Hz, it is decimated to 40 Hz. Each template event has 8 s of  
175 length starting 1 s before to 7 s after the P-wave arrival time for the vertical channel (SHZ),  
176 and 1 s before to 7 s after the S-wave arrival time for horizontal channels (SHN and SHE) as  
177 shown as red traces in Fig. 6.

178 We only use the template events that have a signal-to-noise ratio (SNR) larger than 5.  
179 The template events will scan through 24-hours continuous waveforms with step every 0.025  
180 s which is the same as the sampling rate, by computing their correlation coefficients (CC).  
181 We set a threshold for matched filter detection equal to the sum of the median value and nine  
182 times the median absolute deviation (MAD) of the mean correlation coefficients (mean CC)  
183 calculated throughout the day of interest (24 hours). To remove duplicate detections, only the  
184 one with the highest correlation coefficient within 2 s is kept. The location of a new detected  
185 event is set as the same as the detecting template and its magnitude is calculated by using the  
186 ratio of the peak amplitude between the detected and template event following Meng et al.  
187 (2013).

## 188 **2.5 Frequency-Magnitude Distributions (FMD)**

189 We then analyze the FMD using the new complete catalog following the Gutenberg-  
190 Richter (G-R) formula:

$$191 \quad \text{Log}_{10} N = a - bM,$$

192 where  $N$  is the number of earthquakes with magnitudes exceeding or equal to the magnitude  
193  $M$ , the  $a$ -value describes productivity, the  $b$ -value characterizes the relative number of large  
194 versus small earthquakes. The  $b$ -value was computed by the maximum likelihood estimate  
195 and its uncertainty by a bootstrap approach.

## 196 **2.6 Estimation of Fluid Diffusivity**

197 This study aims to investigate the possible role of fluids in the initiation of swarm (e.g.,  
198 Passarelli et al. 2018). We here show a possibility to estimate hydraulic diffusivity of rocks  
199 forming fault zones on the basis of observation of spatiotemporal migration of hypocenters.

200 An intrusion of fluids from a high-pressure source can be described by the diffusion equation:

$$201 \quad \frac{\partial}{\partial t} P = D \frac{\partial^2}{\partial x^2} P, \text{ where } D \text{ is the hydraulic diffusivity which is generally expected to be}$$

202 between  $0.01$  and  $10 \text{ m}^2\text{s}^{-1}$  in the crust (Hainzl 2004);  $P$  is the pore pressure;  $x$  is along plane  
203 distance (spatial position); and  $t$  is time. The extension of the rupture zone can be

204 approximated by a theoretical curve  $R = \sqrt{4\pi Dt}$  describing the distance ( $R$ ) of the pressure

205 front from the fluid source (Shapiro et al. 1997). This equation describes a parabola in an  $R$ - $t$

206 plot. This parabolic spatiotemporal migration is the solution for a homogeneous and isotropic

207 linear diffusion equation. Such a parabola can be used in this study as a signature for

208 detecting earthquake swarms triggered by pore-pressure diffusion (e.g., Shapiro et al. 1997;  
209 Shelly et al. 2013b; Passarelli et al. 2018).

210

### 211 **3. Results: Swarm Evolution based on New Catalog**

212 The FI computation (Figures S3-S8) indicates that six largest events (range from  $M_{LV}$   
213 3.4 to 4.2) during the swarm were hybrid-like-type earthquakes following classification of  
214 Buurman and West (2010). The FI values ranges from -0.97 to -0.63 (Table 1, Fig. 2, Figure  
215 S3-S8). We relocate 39 events by using the double-difference technique with differential  
216 times constructed by manual picking and waveform cross-correlation method. The residuals  
217 between the observed and calculated travel times have been minimized and the location  
218 uncertainties reasonably decrease (Table S2). The relocation procedure placed all of the  
219 refined hypocenters at shallow depths in 9-12 km, concentrated in a small source area with a  
220 size of  $\sim 2 \times 2 \text{ km}^2$  in the southwest of Mt. Salak (Figs. 3, 4).  $M_{LV}$  4.2 event located at the  
221 southeast edge of the source area at depth 10.4 km while the  $M_{LV}$  4.1 event took place at  
222 similar depth but in the west side (Figs. 3, 4). We find that the six of the largest earthquakes  
223 consistently have oblique-thrust mechanisms, located all within the upper crust (Fig. 4). The  
224 focal mechanisms indicate a either N/NW-trending (strike  $\sim 348^\circ$  on average) or E-SE-  
225 trending structure (strike  $\sim 97^\circ$  on average) (Fig. 4). The synthetic seismograms computed  
226 from the moment tensor inversion resemble the observed data reasonably (Fig. 5). Fig. 7  
227 shows the strike-parallel and strike-perpendicular profile of seismicity versus depths for each  
228 nodal plane inverted in this study, relative to the epicenter position of the  $M_{LV}$  4.2 event.  
229 These depth profiles indicate that the seismicity did not clearly define an orientation of  
230 structure that is consistent with the nodal planes of focal mechanism with high-angle dipping.

231 BMKG reported the sequence was initially started on 9 August 2019 at 16:38 UTC  
232 (Fig. 1b) with magnitude  $M_{LV}$  2.3 (location:  $106.54^\circ\text{E}$ ,  $-6.77^\circ\text{N}$ , depth 10 km), however this  
233 event was excluded in our template library because its SNR is lower than 5.0. According to  
234 this BMKG catalog, only this single event occurred on 9-10 August 2019. The seismicity rate  
235 then increased between 19 and 23 August 2019 with 36 events out of 45 events registered in  
236 BMKG catalog, developed in this time period. Magnitude of completeness ( $M_C$ ) of this  
237 sequence is  $\sim 2.6$  with  $b\text{-value}=0.9\pm 0.1$ . These events have been reviewed by a manual quality  
238 control procedure at BMKG.  $M_C$  is computed using the best combination of maximum

239 curvature with 95% and 90% confidence interval utilizing ZMAP open-source MATLAB  
240 codes (Wiemer 2001).

241 By using 27 selected events as template library (events with at least nine channels  
242 with  $\text{SNR} > 5.0$ ), we detect additional 292 events, which is 7.1 times more events listed in  
243 BMKG catalog (Tables 2, S3). Fig. 8 shows an example of a new detected event with  
244 magnitude 2.3 on 9 August 2019 18:52 UTC. It is detected by a template event that occurred  
245 on 12 August 19:05 UTC with mean correlation coefficient 0.72. Fig. 9 shows the  
246 distribution of all events in the new catalog detected using matched filter detection with a  
247 curve shows the cumulative number of events. The magnitudes of all of the 319 events in the  
248 new catalog are ranging from 1.57 to 4.20. The magnitude of completeness ( $M_C$ ) of the  
249 catalog reduces from 2.6 to 2.2 after using the matched filter detection (Fig. 10). We also  
250 show the results of using various detection thresholds (e.g., sum of median value and  
251  $9xMAD$ ,  $12xMAD$ , and  $15xMAD$ ) to present the differences on the level of confidence of the  
252 new detected events. Template events that scanned in the continuous data should typically  
253 confirm themselves with a mean CC value of 1.0 which is referred to as perfect self-detection  
254 (Fig. 9).

255 In the new catalog, the start of the swarm activity is detected on 9 August 2019 16:19  
256 UTC. We detect 25 events that occurred during 9 August 16:19 UTC to 10 August 16:23  
257 UTC (time window number 2) with high mean CC values (e.g., more than 15 times MAD,  
258 shown as black circles in Fig. 9) and the magnitude ranges from 1.68-2.67. The *b-value* of  
259 this first stage is  $2.0 \pm 0.4$  (Fig. 10) with  $M_C=2.3$ . These events on 9-10 August 2019 located at  
260 depth of 9.7-11.1 km. A matched event, similar to the eliminated BMKG event on 9 August  
261 2019 16:38 UTC event is re-detected by 2019-08-12 19:05:20 template with a mean CC of  
262 0.64 ( $38.29 \times MAD$ ), with magnitude 2.32 (origin time 16:38:43.88). The three-component  
263 record of this event is shown in Figure S9.

264 The next stage of the swarm activity developed on 12-14 August 2019 (time window  
265 number 3). In this period of time, we detect 40 events with magnitude ranging from 1.67 to  
266 3.4. The *b-value* is  $1.4 \pm 0.4$  (Fig. 10) with  $M_C=2.3$ . These events are located at 8.7 – 11.1 km  
267 of depth. Then, the seismicity became quiescent on 15-16 August 2019 (time window number  
268 4). Only a single event with magnitude 2.0 is detected on 15 August. Two events are detected  
269 on 16 August with magnitude 1.80 and 1.85, respectively. The swarm reactivated again on 17  
270 August 2019 at 04:15 UTC to 09:43 UTC (time window number 5) with magnitude ranging

271 from 1.98 to 2.76. Within these 5.5 hours, we detect 8 events at depth 10.7 to 11.1 km. These  
272 events marked seismicity increase toward the peak of the swarm activity when the sequence  
273 became more energetic.

274 The time period of 18-21 August 2019 (time window number 6 and 7) is the peak of  
275 the swarm activity. We detect 197 earthquakes that occurred in this period with magnitude  
276 ranging from 1.57 to 4.2 and depth at 8.7 to 11.9 km. The seismicity pattern during this time  
277 span can also be divided into two distinct periods. The first is the seismicity during 18 August  
278 02:15 UTC to 20 August 16:02 UTC (96 detected events) marked as time window number 6.  
279 During these days, the magnitude ranges from 1.57 to 3.4 and the depth ranges from 9.7 to  
280 11.9 km. The *b-value* is  $1.2\pm 0.1$  (Fig. 10) with  $M_C=2.2$ . The second is the sequence occurred  
281 after the largest event ( $M_{LV}$  4.2) on 20 August 20:06 UTC, continue to 21 August 21:56 UTC  
282 (101 events), as shown as time window number 7 (Figs. 9, 10). The earthquakes are abundant  
283 with magnitude ranging from 1.70 to 4.20 and locate at depth 8.7 to 11.9 km. The *b-value*  
284 decreases to  $0.8\pm 0.1$  (Fig. 10) with  $M_C=2.0$ .

285 During 22 August 2019 (time window number 8), the seismicity became quiet again  
286 with only six detected events. The earthquakes activity reactivated again after a magnitude  
287 4.1 occurred on 23 August 04:10 UTC (Fig. 9), however it did not last long because the  
288 seismicity developed only until 17:52 UTC on the same day, to stop after 27 events took  
289 place (time window number 9). During these ~13.5 hours, the magnitude ranges from 1.75 to  
290 4.1 and the depth ranges from 9.7 to 11.9 km. The *b-value* at this stage is  $1.1\pm 0.3$  (Fig. 10)  
291 with  $M_C=2.3$ . The last, during 24 – 29 August 2019 (time window number 10), we detect a  
292 quiescence of seismicity again. We detect no small repeating earthquakes or seismic repeaters  
293 (i.e., mean CC > 0.95; Uchida and Burgmann 2019; Uchida 2019) in our matched filter  
294 catalog (Fig. 9, Table S3).

295 The spatiotemporal migration of swarm near Mt. Salak approximately bounded by a  
296 theoretical curve of fluid intrusion with hydraulic diffusivity  $D= 0.25 \text{ m}^2\text{s}^{-1}$ , that is typical  
297 value of fluid diffusion within a fault zone (Fig. 11). We assume up dip migration (along  
298 depth migration) of the pressure front from the initial source as also shown by Fig. 7 and 11.  
299 For a comparison, empirically estimation of the diffusivity within the fault zone is set to the  
300 value in the Vogtland region,  $D= 0.27 \text{ m}^2\text{s}^{-1}$  (Parotidis et al. 2003; Hainzl 2004). A low rate  
301 hypocenters migration (~0.5 km/day) is also found (Fig. 11).

302

#### 303 **4. Discussions**

304 In this study, we mainly conduct waveform cross-correlation-based seismic detection  
305 methods to enhance standard earthquake catalogs. Many smaller earthquakes are expected to  
306 be missed from the network catalog; therefore events detection can be enhanced by applying  
307 a more sophisticated method than STA/LTA technique, such as the matched filter technique  
308 (e.g., Peng and Zhao 2009; Kato et al. 2015). The matched filter approach identifies small,  
309 uncataloged earthquakes based on their waveform similarity to target events. In the region  
310 where the seismic observation is sparse, even a single-station matched filter is relevant (e.g.,  
311 van der Elst et al. 2013; Huang and Beroza 2015; Meng et al. 2018). In our case, we use  
312 multi-stations matched filter (e.g., Skoumal et al. 2019).

313 We reassess the recorded seismic data took place during one month (August 2019)  
314 with the goal of finding all possible earthquakes larger than magnitude 2.2 (Fig. 10), utilizing  
315 the matched filter catalog to understand the evolution of seismicity within this swarm near  
316 Mt. Salak. Due to the requirement in the detection procedure, the 11 August 2019 continuous  
317 data was limited and excluded in our catalog (Fig. 9). The detection greatly depends on the  
318 required available template library thus it can also fail to detect some portion of seismicity  
319 that cannot be represented by the templates (e.g., at new locations). It is worth noting that the  
320 recent advanced waveform-based seismic detections overcome this limitation with high  
321 computational efficiency and scalability such as the FAST algorithm (Yoon et al. 2015) and  
322 machine-learning-based techniques (e.g., Perol et al. 2018).

323 We interpret that this earthquake sequence is due to a volcano-tectonic activity within  
324 a seismogenic zone near Mt. Salak, which involves the high-pressure fluid to initiate the  
325 swarm. The FI analysis (Table 1, Fig. 2, Figures S3-S8) showed relatively less-high-  
326 frequency energy content (tend to be a hybrid-like-type or moderate frequency) that is often  
327 associated with fluid emplacement (Buurman and West 2010; Greenfield et al. 2019). The  
328 hybrid-like-type events may indicate the creating fractures (or opening existent fissures) due  
329 to the fluid interactions. The nodal plane of focal mechanism has a steep dip. However, there  
330 is no identified active fault in the vicinity of the epicentral zone reported before (e.g., Stimac  
331 et al. 2008; Koulali et al. 2017; Gunawan and Widiyantoro 2019). Moreover, topography  
332 around the source area doesn't indicate fault trending N/NW or E/SE (Fig. 3).

333 In this case, we find that the relatively small-scale seismogenic zone released  
334 hundreds of earthquakes that are detected by our matched filter method. The temporal

335 evolution shows general properties of volcano-tectonic (VT) earthquake swarms in the setting  
336 of andesitic volcanoes, where the maximum peak of seismicity observed at the final stage of  
337 the swarm (Zobin 2012). The swarm evolution is not very pulsating as experienced in other  
338 seismic swarm as reported in, e.g., Hainzl (2004), and Farrell et al. (2009). However, it  
339 remains questionable whether the swarm may be the precursory swarm to an impending  
340 volcanic eruption or not. The triggering mechanism of swarm-like seismicity in our study  
341 area is generally unknown.

342 The *b-value* is an important quantity to understand the characteristics of seismicity in  
343 an area. Its value maybe close to 1.0 in both tectonic and volcanic area (Zobin 2012), but the  
344 presence of fluids often increases this value (e.g., Farrell et al. 2009). The *b-value* changes  
345 are interpreted to be associated with variations in stresses accompanying the migration of  
346 magmatic and hydrothermal fluids (Farrell et al. 2009). For example, high *b-value* ( $1.3\pm 0.1$ )  
347 in a fault zone west of the Yellowstone caldera is interpreted to the transport of magmatic  
348 fluids out of the Yellowstone volcanic system (Farrell et al. 2009).

349 Therefore, earthquake swarm that is linked to magmatic intrusions often has *b-values*  
350 greater than 1. In the August 2019 earthquake swarm near Mt. Salak, the seismicity initially  
351 started with *b-value* that much greater than 1.0 (i.e.,  $2.0\pm 0.4$ ) and then gradually decrease to  
352  $1.4\pm 0.4$  and  $1.2\pm 0.1$  during the peak of swarm on 18 August 02:15 UTC to 20 August 16:02  
353 (Fig. 10). This might be due to the involvement of fluids in the initial stage of the earthquake  
354 swarm. The gradual decrease of *b-value* may indicate the reduction of fluid pressure with  
355 time and resulted in reduction of number of earthquakes with smaller magnitudes. In this  
356 case, the *b-value* might indicate a fluid emplacement, in the beginning, to end up with  
357 fractures and fluid at the end as also shown by the hybrid-like-events nature and diffusivity  
358 analysis. The *b-value* decreases to  $0.8\pm 0.1$  after the occurrence of the largest earthquake ( $M_{L_v}$   
359 4.2) and increases to  $1.1\pm 0.3$  during the last stage of the swarm. This might show the role of  
360 stress changes on crust by the magnitude 4.2. The triggering by coseismic stress transfer may  
361 play a role to the evolution in seismicity (e.g., Hainzl 2004).

362 In summary, the earthquake swarm near Mt. Salak has a *b-value* of  $1.1\pm 0.1$  (Fig. 10)  
363 which is a common value for volcano-tectonic (VT) earthquakes (e.g., Farrell et al. 2009;  
364 Zobin 2012). As a comparison, a *b-value*  $\approx 1$  was observed in the Voigtland swarm that has  
365 been interpreted as a fluid driven by the degassing of  $\text{CO}_2$  (Hainzl 2004). For other instance,  
366 a fluid-induced swarm in the western Corinth rift, Greece shows a *b-value* of 1.2 (Duverger et

367 al. 2015). The presence of high-pore-fluid pressures implies to lower the normal stress in the  
368 seismogenic zone thus can yield a swarm with higher *b-values* (Farrell et al. 2009).

369 In contrast, a change of *b-value* pattern after the  $M_{LV}$  4.2 earthquake as the mechanism  
370 of stress transfer is also supported by observed seismicity that follow the modified Omori's  
371 law (Utsu and Ogata 1995). We fit the aftershocks decay rate of the  $M_{LV}$  4.2 and infer an  
372 Omori's law *p-value* about  $1.3 \pm 0.2$  which is categorized as a quick decay of an aftershock  
373 sequence (Fig. 12). The temporal behaviors during an earthquake swarm might differ from  
374 aftershocks sequences, but the aftershocks of the largest event during the swarm can follow  
375 the modified Omori's law. Both of this observation may show the signature of the  
376 involvement of stress transfer during the evolution of seismicity. Previous study have shown  
377 that fluid-induced seismicity is more susceptible to earthquake-triggering from stress changes  
378 (e.g., van der Elst et al. 2013).

379

## 380 **5. Conclusion**

381 Intraplate earthquake swarms take place nearby volcanic field area can be observed in  
382 such settings, without association of active volcanism. Here we perform waveform  
383 investigation and use the matched filter seismic detection to understand the origin and  
384 evolution of an earthquake swarm in the southwest of Mt. Salak in West Java, Indonesia  
385 during August 2019. Some of the templates detect many new events suggesting relatively  
386 high earthquake productivity occurred over small source volumes. We show here that the  
387 swarm contains hybrid-like-type earthquakes and might be initiated by fluid intrusion within  
388 a seismogenic zone while the stress changes from the largest event affected the evolution of  
389 swarm. Comprehensive analysis in the future using more (time-wise) data is needed.

390

## 391 **Acknowledgments**

392 Earthquake catalog and local/regional waveform data used in this study can be  
393 requested from Badan Meteorologi, Klimatologi, dan Geofisika (BMKG). Frequency Index  
394 (FI) is computed by using GISMO toolbox for Matlab (geosciences-community-  
395 codes.github.io/GISMO). Earthquake relocation is computed using HypoDD code  
396 (Waldhauser and Ellsworth 2000). We thank Xiaofeng Meng and Zhigang Peng for the

397 matched filter code (Meng et al. 2013). Statistics is computed by using the ZMAP package  
398 (Wiemer 2001). We thank Yuji Yagi for the moment tensor inversion code. Figures are  
399 created by using the Generic Mapping Tools v4.5.12 (Wessel et al. 2013).

400

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- 510

511 **Table 1.** Earthquake parameters of six largest events during the August 2019 earthquake  
 512 swarm near Mt. Salak based on this study. FI is the Frequency Index.

Origin time (UTC)	FI	Depth (km)	Nodal planes of double couple focal mechanisms						Mw	M <sub>Lv</sub>
			Strike1	Dip1	Rake1	Strike2	Dip2	Rake2		
			(°)	(°)	(°)	(°)	(°)	(°)		
2019-08-13 14:20:08	-0.74	10.2	347	63	140	98	55	34	3.3	3.4
2019-08-20 20:06:14	-0.63	10.4	354	69	158	92	70	23	4.0	4.2
2019-08-20 20:28:55	-0.94	9.5	348	63	144	97	59	33	3.5	3.7
2019-08-20 22:29:04	-0.85	9.7	342	58	132	102	51	43	3.4	3.7
2019-08-20 22:31:21	-0.71	11.0	346	53	139	104	58	45	3.4	3.5
2019-08-23 04:10:55	-0.97	10.2	350	69	159	88	70	23	3.9	4.1

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514

515 **Table 2.** Number of events of each stage in this study

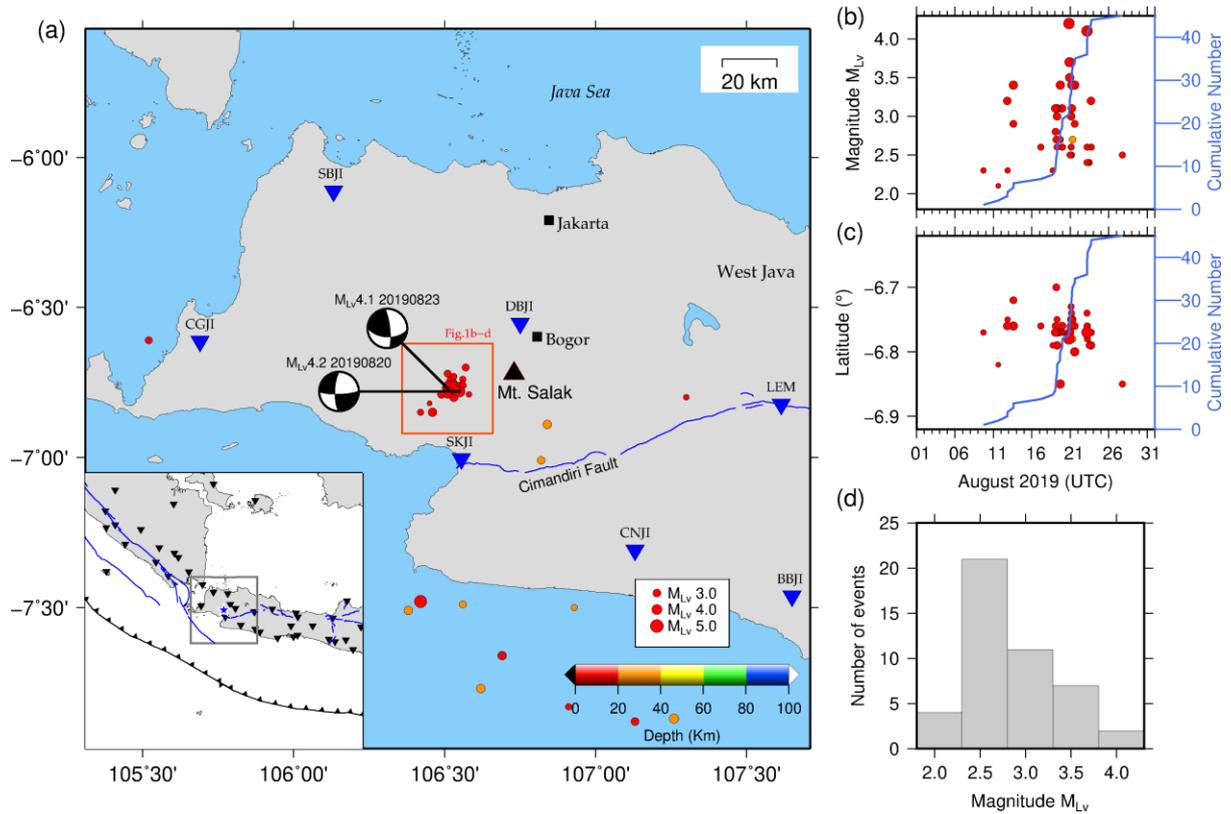
Catalog	Number of events
BMKG NC	45
HypoDD Relocated (templates candidate)	39
Templates used (SNR > 5)	27
Matched filter (9xMAD)	319
Matched filter (12xMAD)	238
Matched filter (15xMAD)	184

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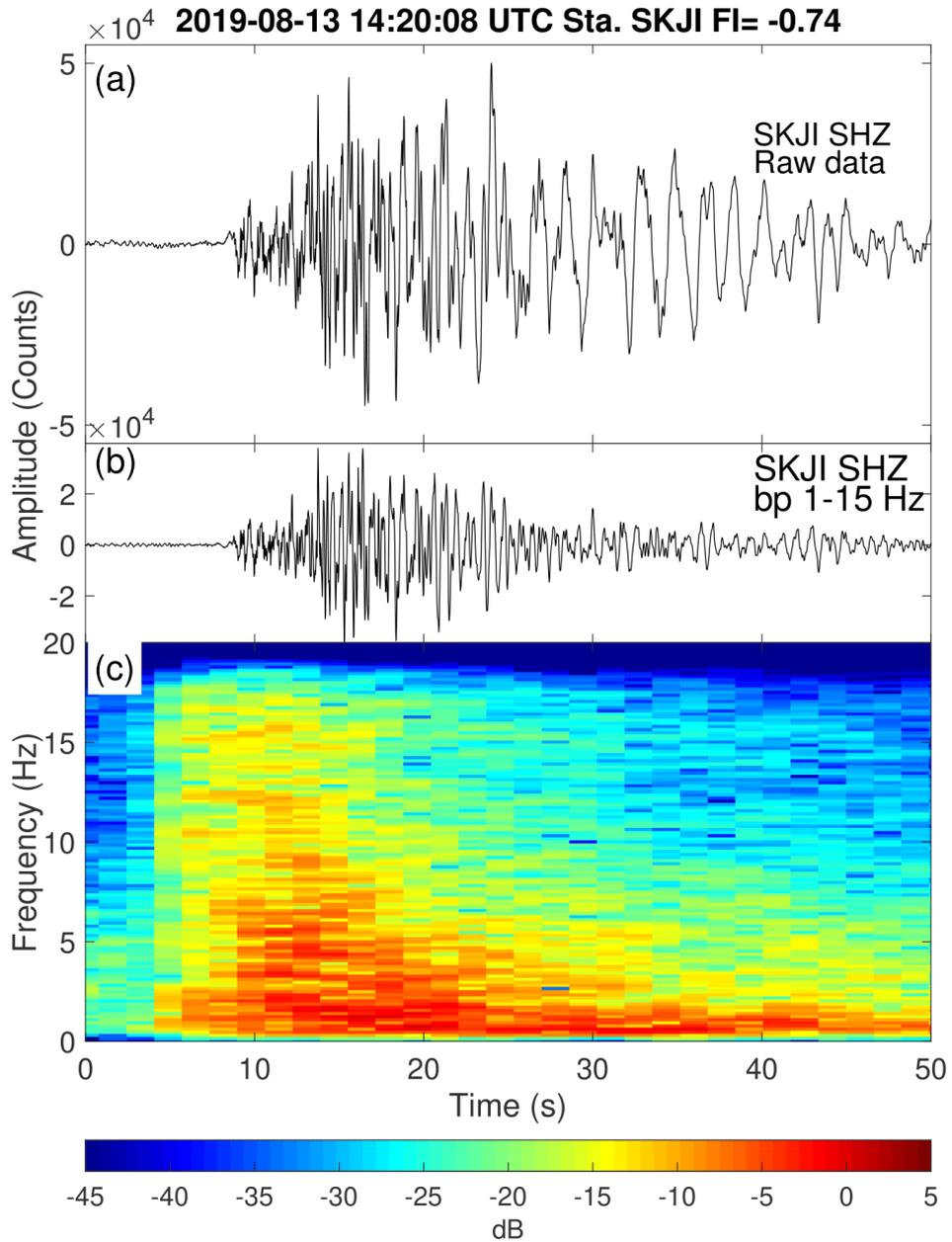
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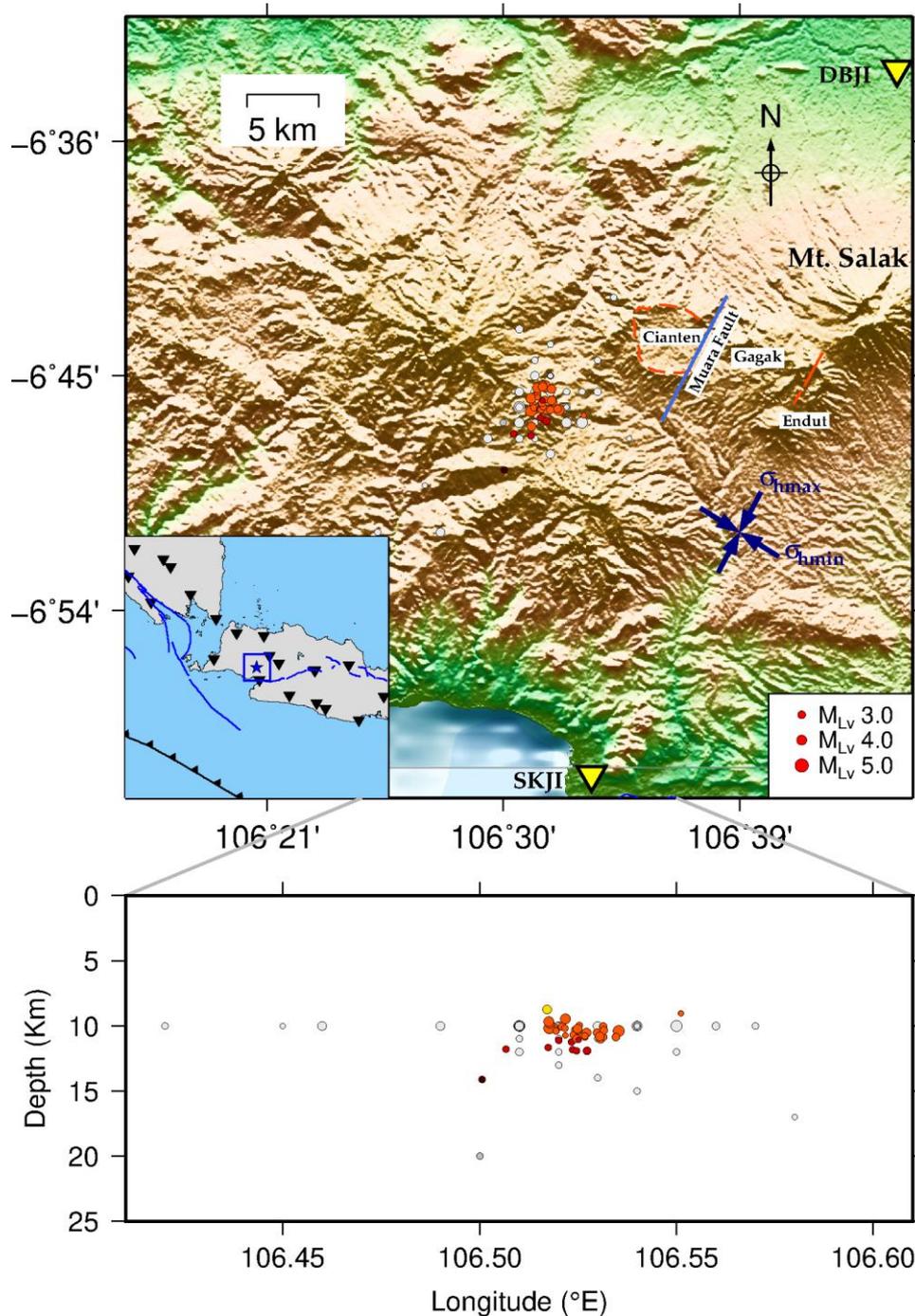
521 **Figure 1.** Location map and statistics of the August 2019 seismic swarm near Mt. Salak  
 522 based on the BMKG catalog. (a) Distribution of the epicenters located by BMKG during 1-31  
 523 August 2019 and seven broadband seismic stations used in this study (inverted blue  
 524 triangles). Colors represent the depth of the earthquakes. Also shown the focal mechanisms  
 525 of two  $M_{L_V} > 4$  events from BMKG moment tensor product (repogempa.bmkg.go.id). Blue  
 526 lines show active fault from Indonesia Earthquake Source and Hazard Map 2017. (b)  
 527 Distribution of earthquakes magnitude during the sequence and its cumulative number (blue  
 528 line). (c) Spatiotemporal N-S distribution of the events. (d) Histogram of the number of  
 529 earthquakes.



530

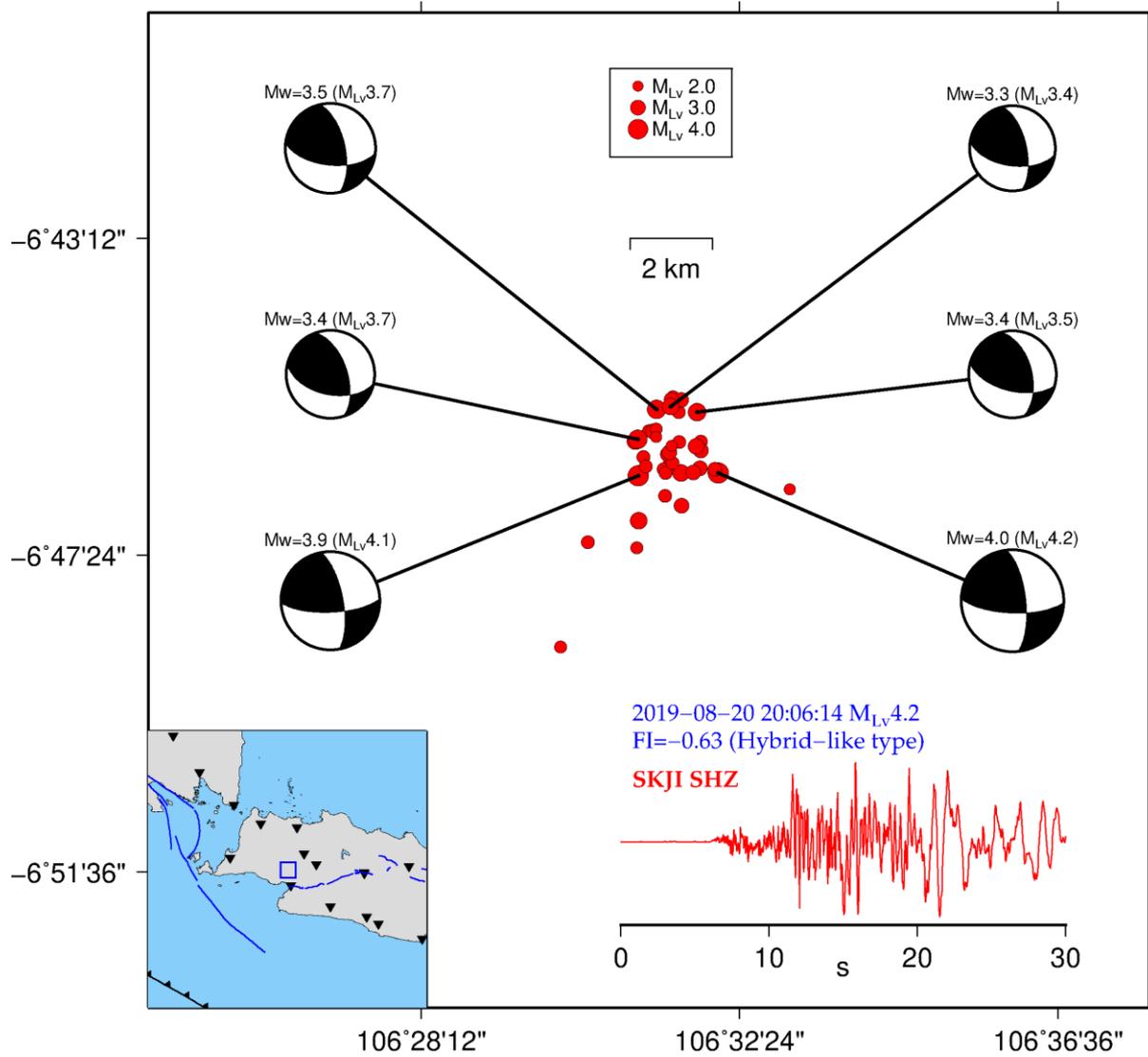
531 **Figure 2.** Waveform example of the seismic swarm near Mt. Salak. (a) Raw data recorded by  
532 the vertical channel at station SKJI. (b) 1-15 Hz bandpass filtered seismogram used in  
533 matched filter detection. (c) Spectrogram computed by using short-window Fourier  
534 transformation. FI is the 'Frequency Index'.

535



536

537 **Figure 3.** The hypoDD-based relocated hypocenters (colored circles) and the initially BMKG  
 538 original hypocenters (gray circles). The topographic map (Global Multi-Resolution  
 539 Topography – [www.gmrt.org](http://www.gmrt.org)) shows the position of Mt. Salak. The red dashed line indicates  
 540 the Cianten Caldera (Stimac et al. 2008; Harpel et al. 2019). The blue solid line shows the  
 541 Muara Fault (Stimac et al. 2008; Harpel et al. 2019). The red solid line denotes the NE-SW  
 542 dike taken from the morphometric analysis of Marliyani et al. (2020) to indicate the  $\sigma_{hmax}$  and  
 543  $\sigma_{hmin}$  directions in this zone (blue arrows). It is also shown two closest broadband seismic  
 544 stations (yellow triangles). Swarm cluster is centered in ~24 km distance from Mt. Salak.

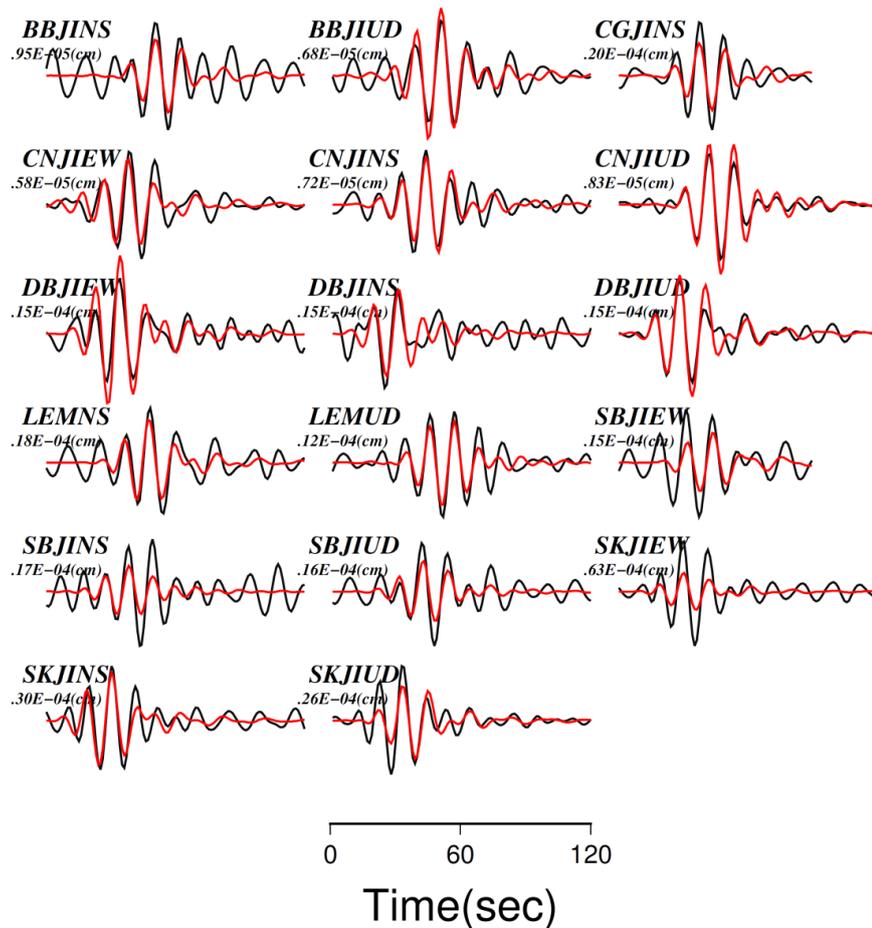
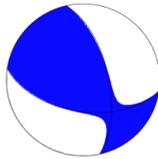


545

546 **Figure 4.** Double couple focal mechanisms from centroid moment tensor solutions (refer to  
 547 Table 1) for six largest events. The number at the brackets show the BMKG magnitude  
 548 ( $M_{LV}$ ). The right bottom inset shows the seismogram example and FI value of 2019-08-20  
 549 20:06:14  $M_{LV}$  4.2 event. FI is the ‘Frequency Index’.

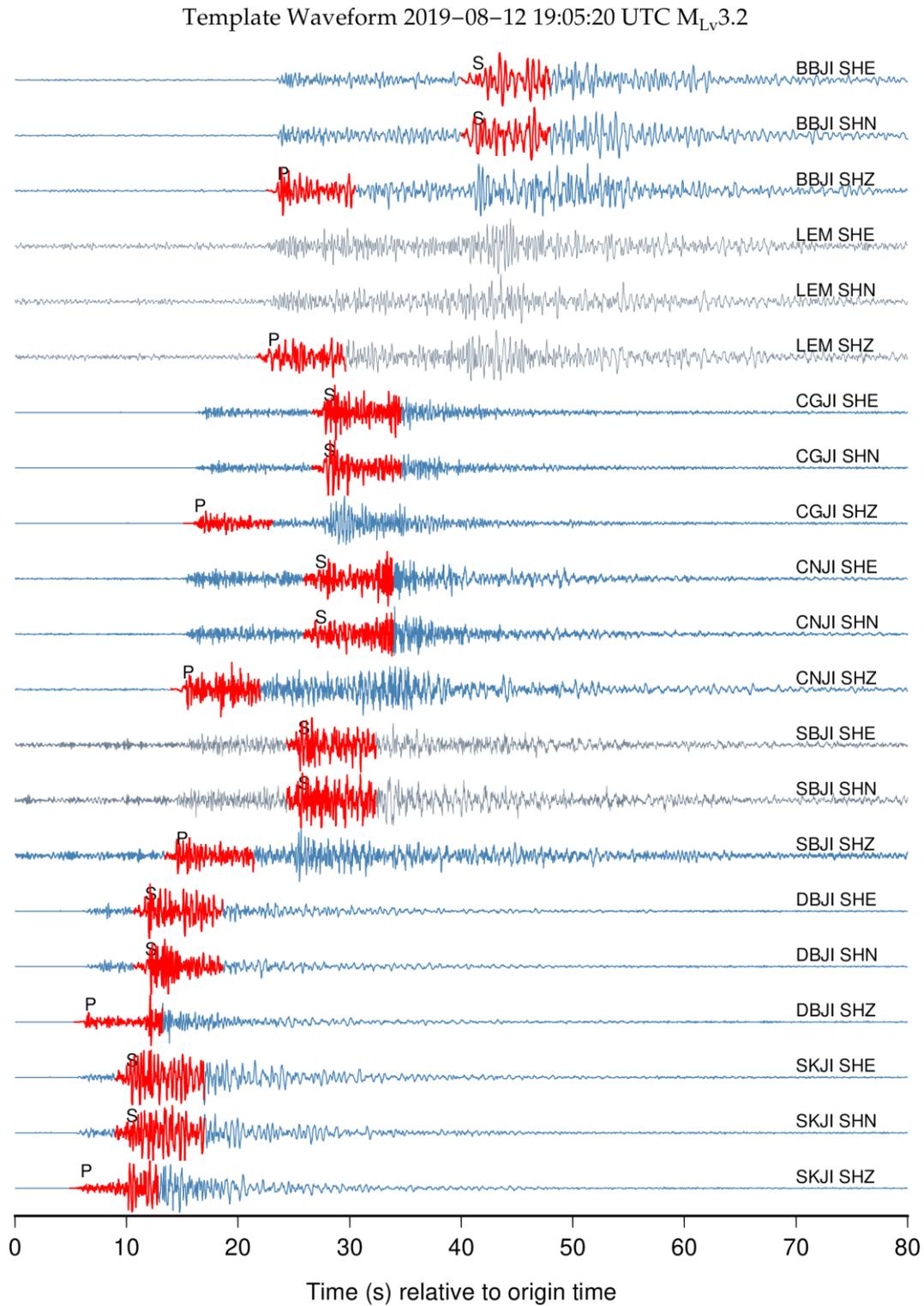
2019-08-13 14:20:08.00

Mrr, Mtt, Mff, Mrt, Mrf, Mtf  
 6.94, -4.17, -2.77, 3.13, 5.48, 9.81,  $\times 10^{13}$   
 N1:(Strike,Dip,Slip) = ( 98.2, 55.4, 33.8)  
 N2:(Strike,Dip,Slip) = (347.4, 62.8, 140.3)  
 Moment = 0.1313E+15(Nm), Mw = 3.3  
 Variance = 0.3787  
 depth = 10.2(km)

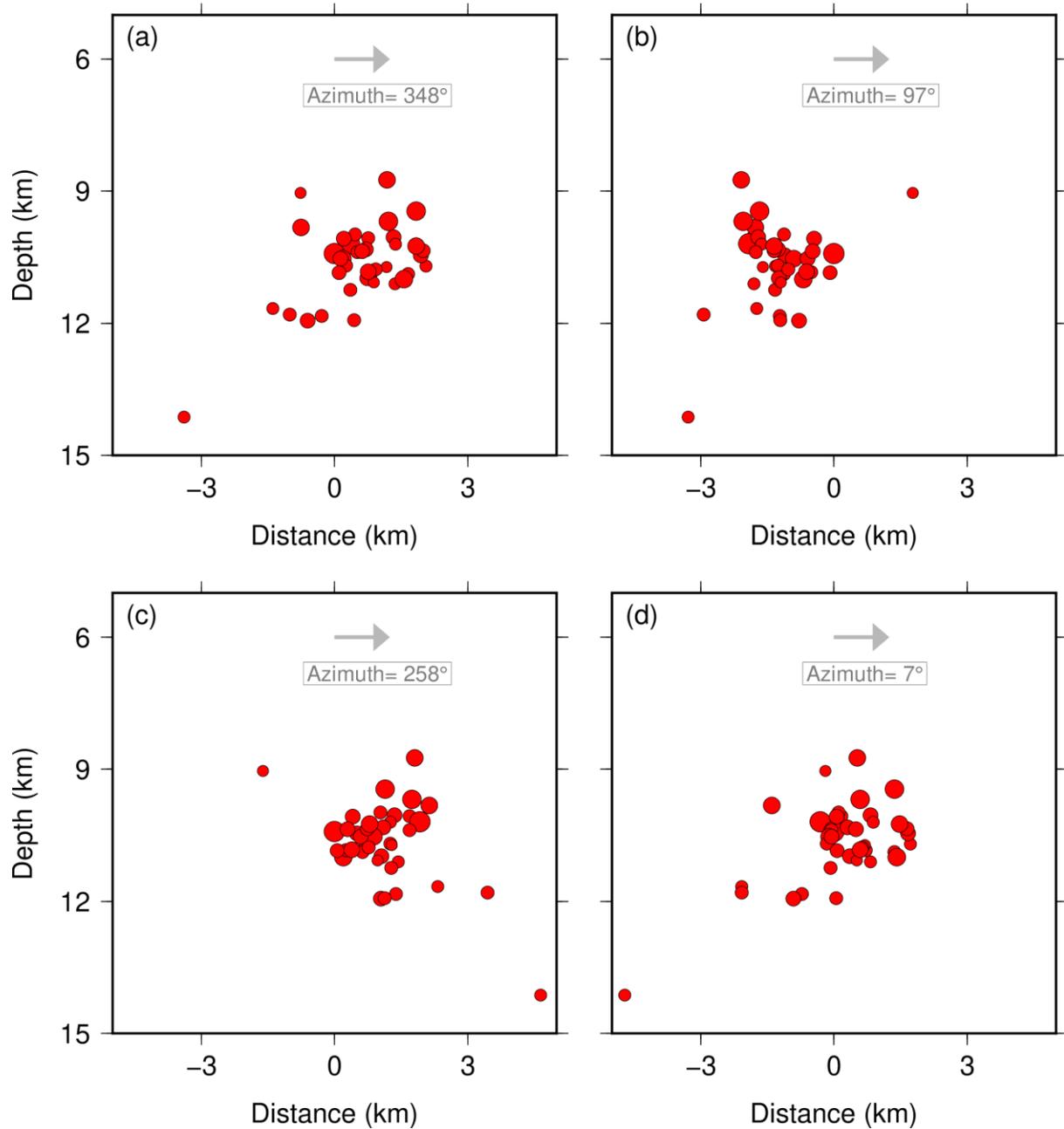


550

551 **Figure 5.** Waveform fits example with selected traces from moment tensor inversion of the  
 552 2019-08-13 14:20:08 UTC event. The complete suite of waveform inversions is provided in  
 553 the supplementary material. Refer to Fig. 1 for the station locations. N1 and N2 are the first  
 554 and second nodal plane of the best fitting double-couple solution. The black lines are  
 555 displacement filtered observed data while the red lines are synthetic. Station code and  
 556 maximum amplitude in cm are indicated on the left of each trace. UD, NS, EW following the  
 557 station code shows the vertical, North-South, and East-West component of seismograms,  
 558 respectively. Refer to Yagi and Nishimura (2011) for explanations of other parameters.



560 **Figure 6.** Example of the waveforms of a template event. Origin time 2019-08-12 19:05:20  
561 UTC, magnitude 3.2  $M_{LV}$ , depth 10.8 km. Labels at the end of each trace represent the station  
562 code and waveform channel. Red traces are the time window of waveforms in the matched  
563 filter seismic detection. Gray traces are the signals with  $SNR < 5.0$ .

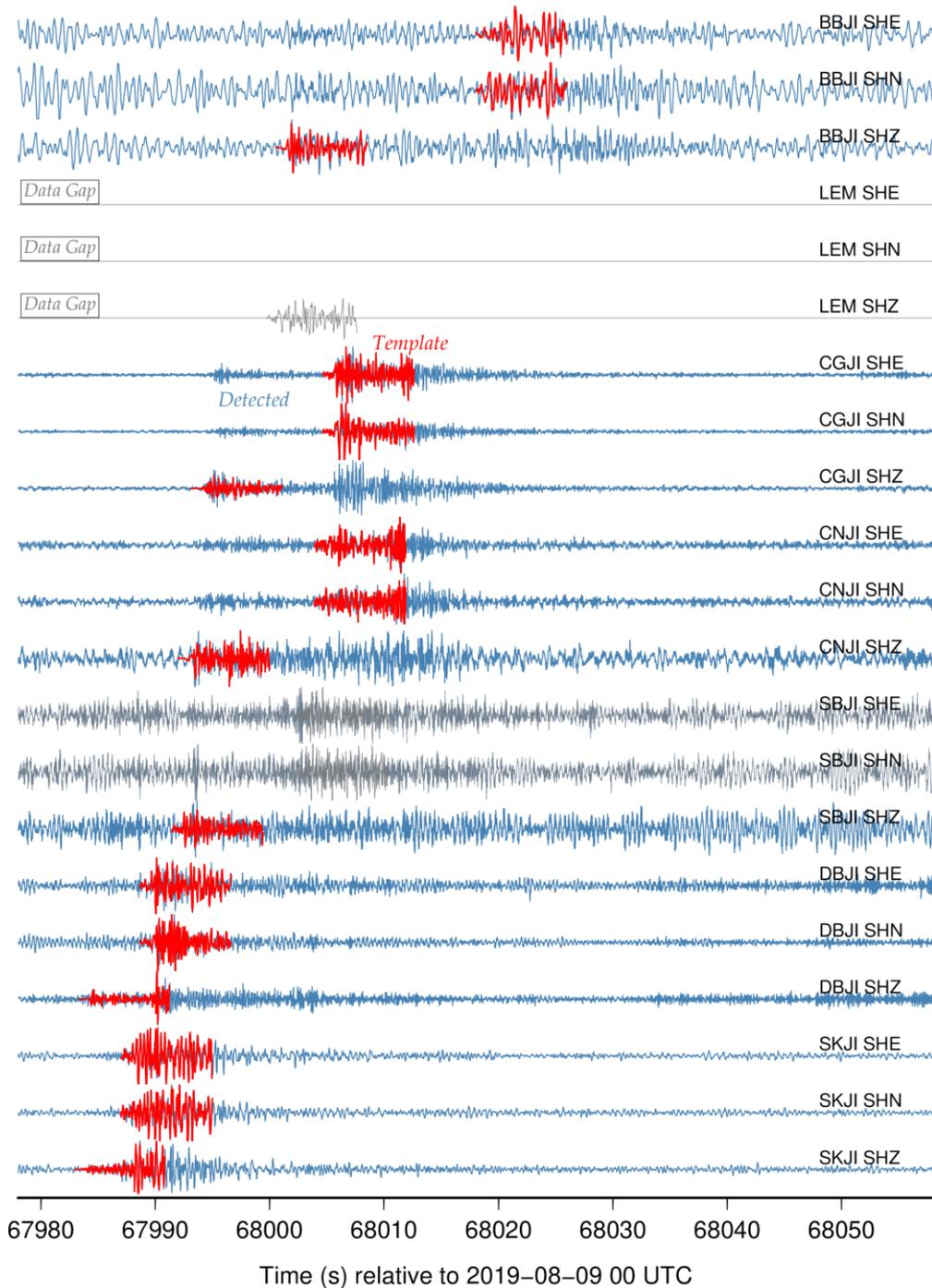


564

565 **Figure 7.** Strike-parallel and strike-perpendicular depth profiles of relocated seismicity (red  
566 circles). The azimuth directions are indicated on each panel. **(a)** Along strike 348° (Nodal  
567 Plane 1 of focal mechanism; refer to Table 1). **(b)** Along strike 97° (Nodal Plane 2 of focal  
568 mechanism; refer to Table 1). **(c)** Along strike-perpendicular to Nodal Plane 1. **(d)** Along  
569 strike-perpendicular to Nodal Plane 2.

570

Detected: 2019-08-09 18:52:58 UTC,  $M_{L_v}$  2.3, Mean CC= 0.72



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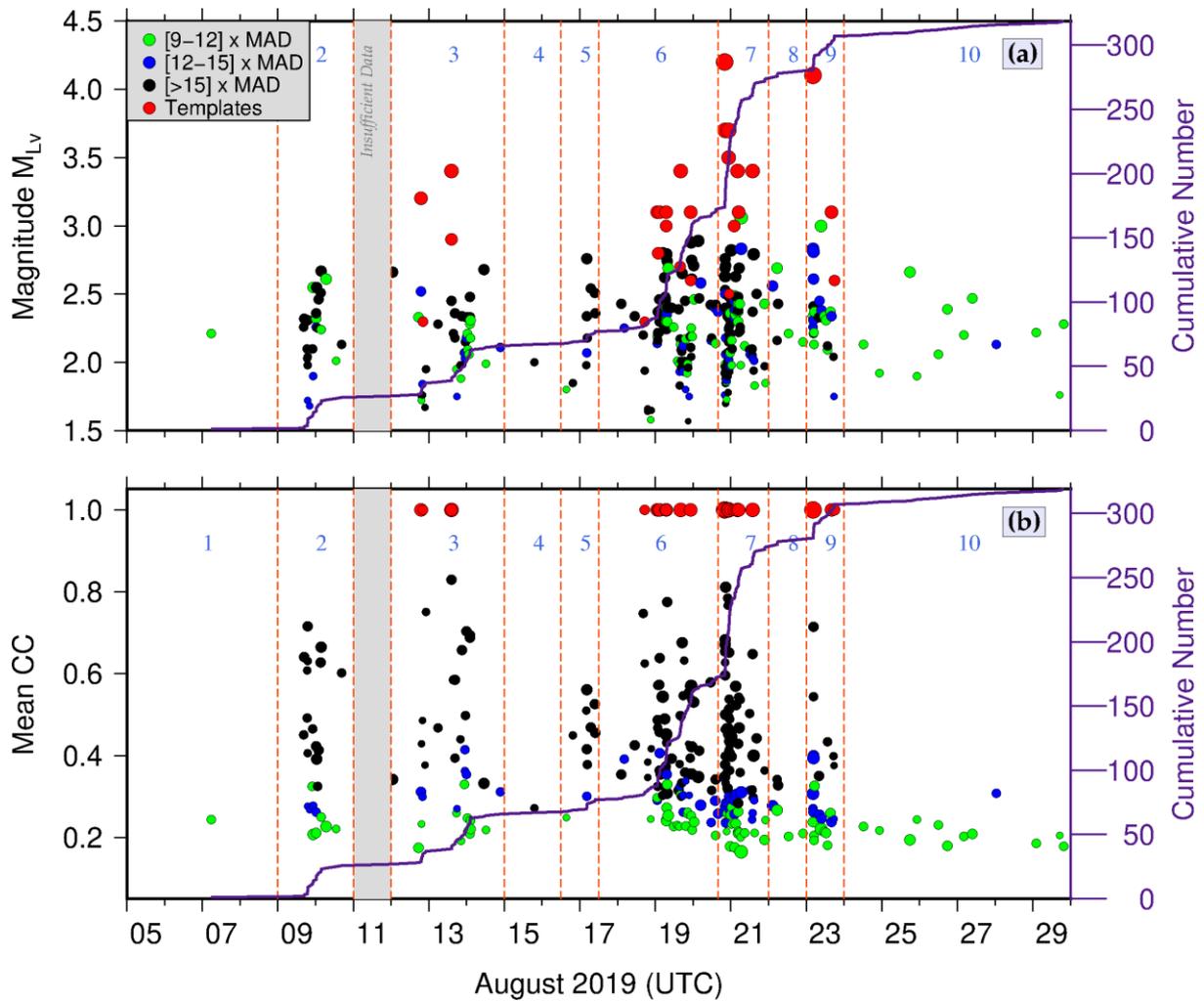
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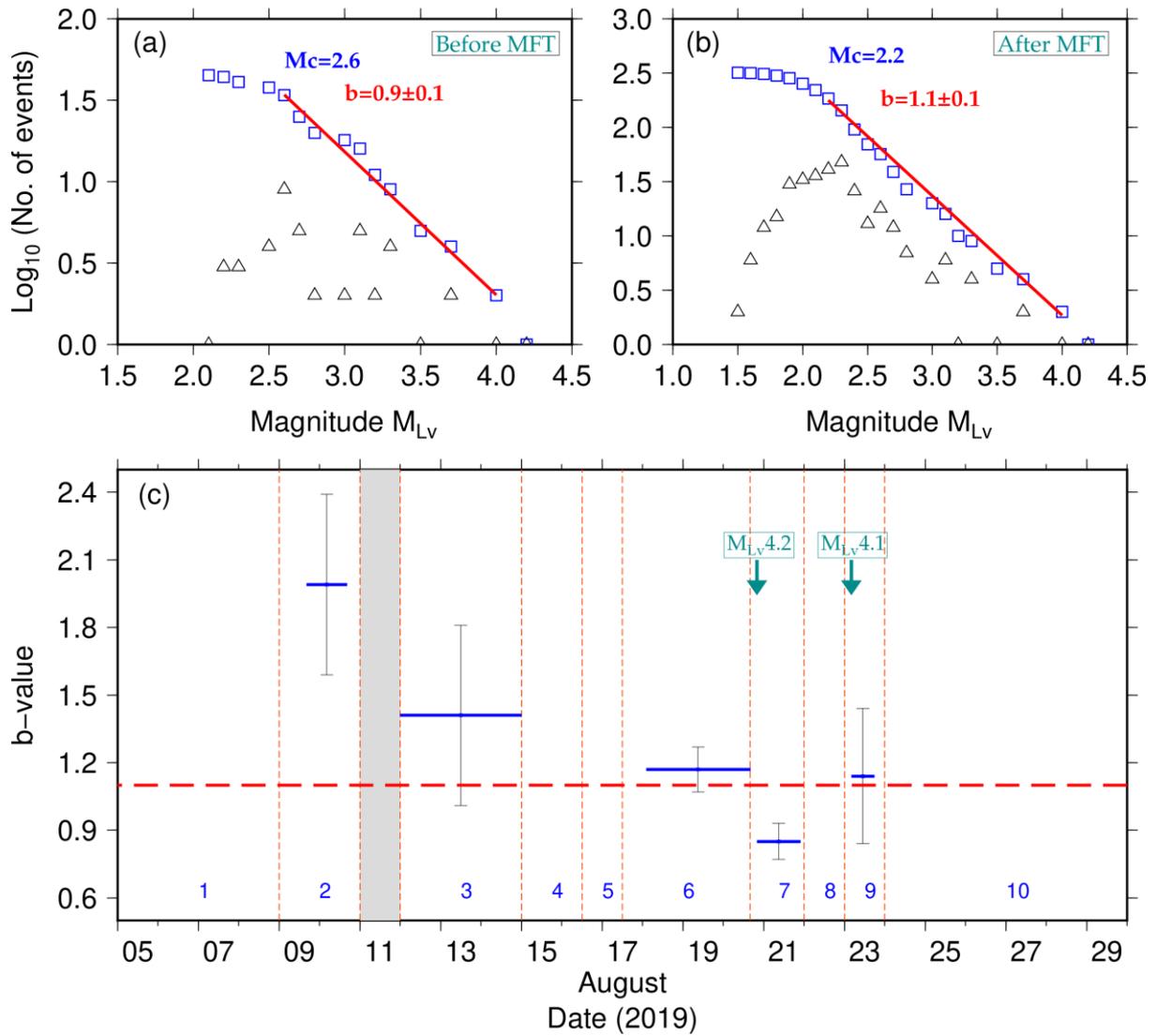
**Figure 8.** Example of matched filter detection. Waveform comparison between a detected event (blue traces) and its corresponding detecting template (red traces). Detected event: origin time 2019-08-09 18:52:58 UTC, starting time 67978.02 s, magnitude 2.3  $M_{L_v}$ , mean correlation coefficient 0.72 (43.04 x MAD), template event 20190812190520. Labels are the same as Fig. 6. Black traces are not used in the matched filter detection (i.e., SNR < 5.0).



577

578 **Figure 9.** Results of the matched filter technique. **(a)** Distribution of magnitudes of the newly  
 579 detected events. Color represents the different detection threshold (see the legend). Red dots  
 580 show the template events (mean CC=1.0 or self-detection). **(b)** Distribution of the mean CC  
 581 values of the newly detected events. Gray area shows the time window with a lack of  
 582 required waveforms (9 channels minimum) during the matched filter detection. Purple lines  
 583 show the cumulative number of the detected events.

584



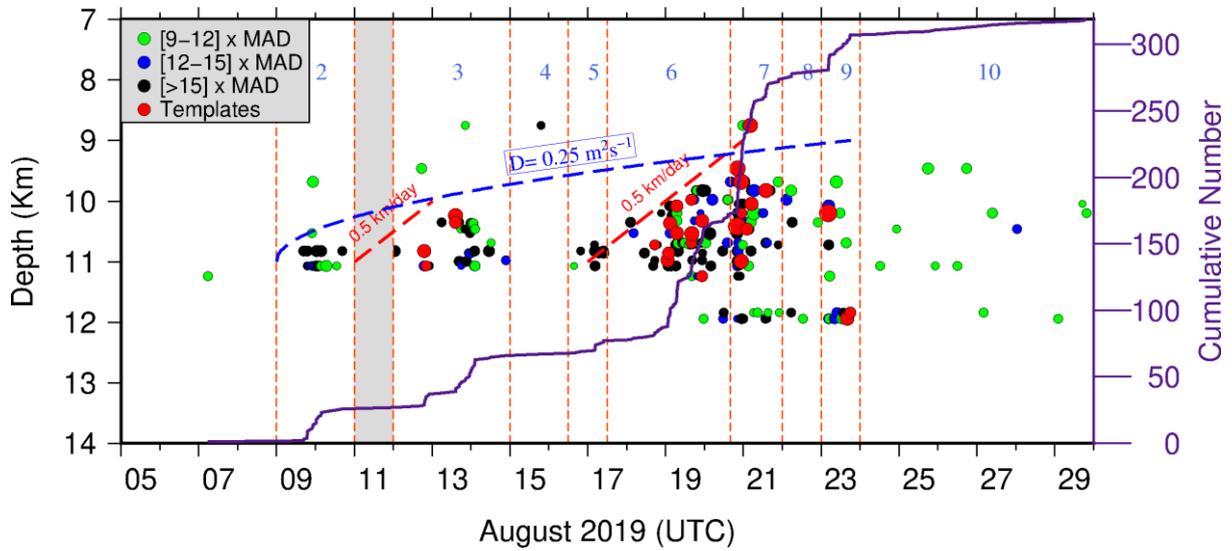
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586 **Figure 10.** (a) Frequency-magnitude distribution (FMD) for the original BMKG catalog  
 587 (before applying matched filter detection). Black triangles are non-cumulative distribution  
 588 and blue squares are the cumulative numbers of events.  $M_C$  is the magnitude of completeness.  
 589  $b$  is the b-value of FMD. The  $M_C$  is computed by using the best combination of maximum  
 590 curvature (MAXC) method and 95% and 90% confidence interval in the ZMAP package  
 591 (Wiemer 2001). (b) FMD for the matched filter catalog resulted in this study. (c) Variation of  
 592 b-value with time (blue solid lines). The red dashed line is the b-value for all of the detected  
 593 seismicity in this study. The gray lines are the error bars computed by using the bootstrap  
 594 approach. Arrows indicate the time of  $M_{LV} 4.2$  and  $M_{LV} 4.1$  event (refer to Table 1).

595

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598

599 **Figure 11.** Spatiotemporal depth distribution and evolution of hypocenters during the August  
 600 2019 earthquake sequence near Mt. Salak (refer to Fig. 9 for the event detection). The blue  
 601 dashed line represents the fluid diffusion curve (Shapiro et al. 1997) assuming a hydraulic  
 602 diffusivity of  $0.25 \text{ m}^2/\text{s}$ . Red dashed lines indicate along-depth hypocenter migration with rate  
 603  $0.5 \text{ km/day}$ .

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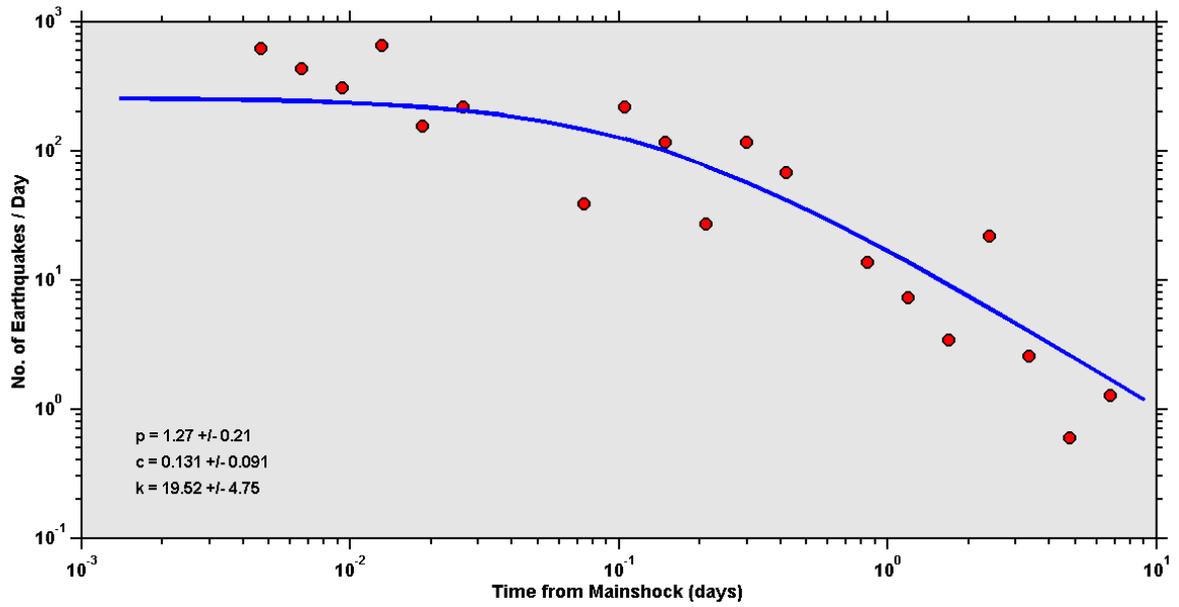
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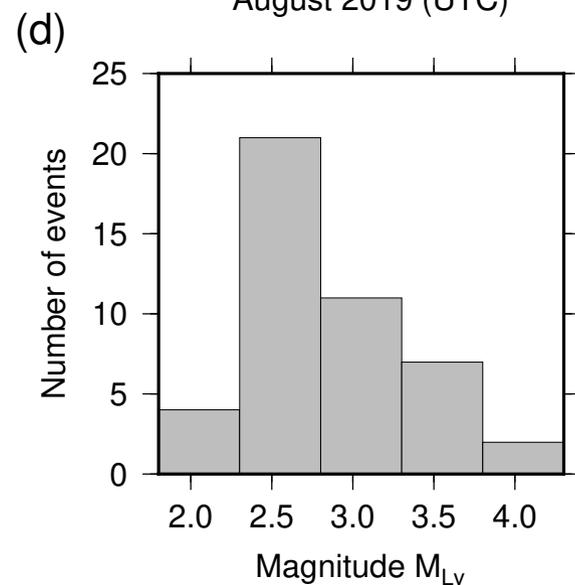
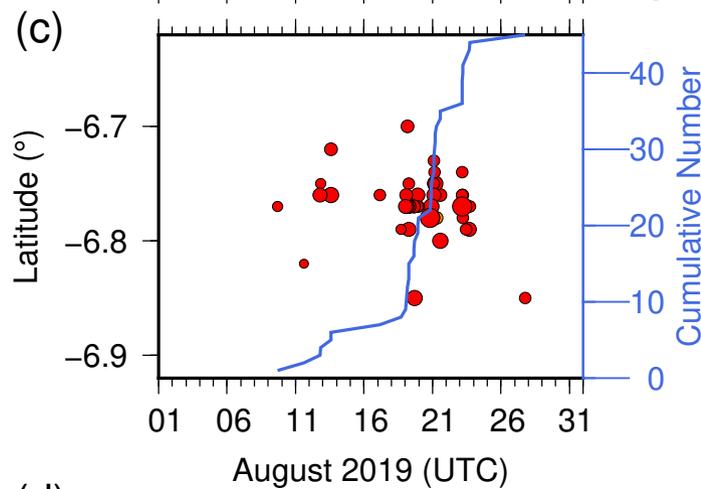
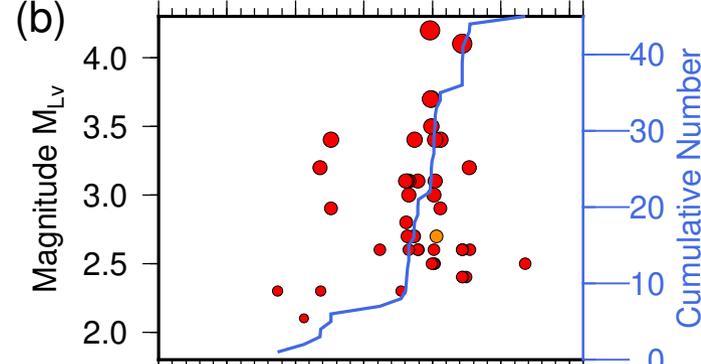
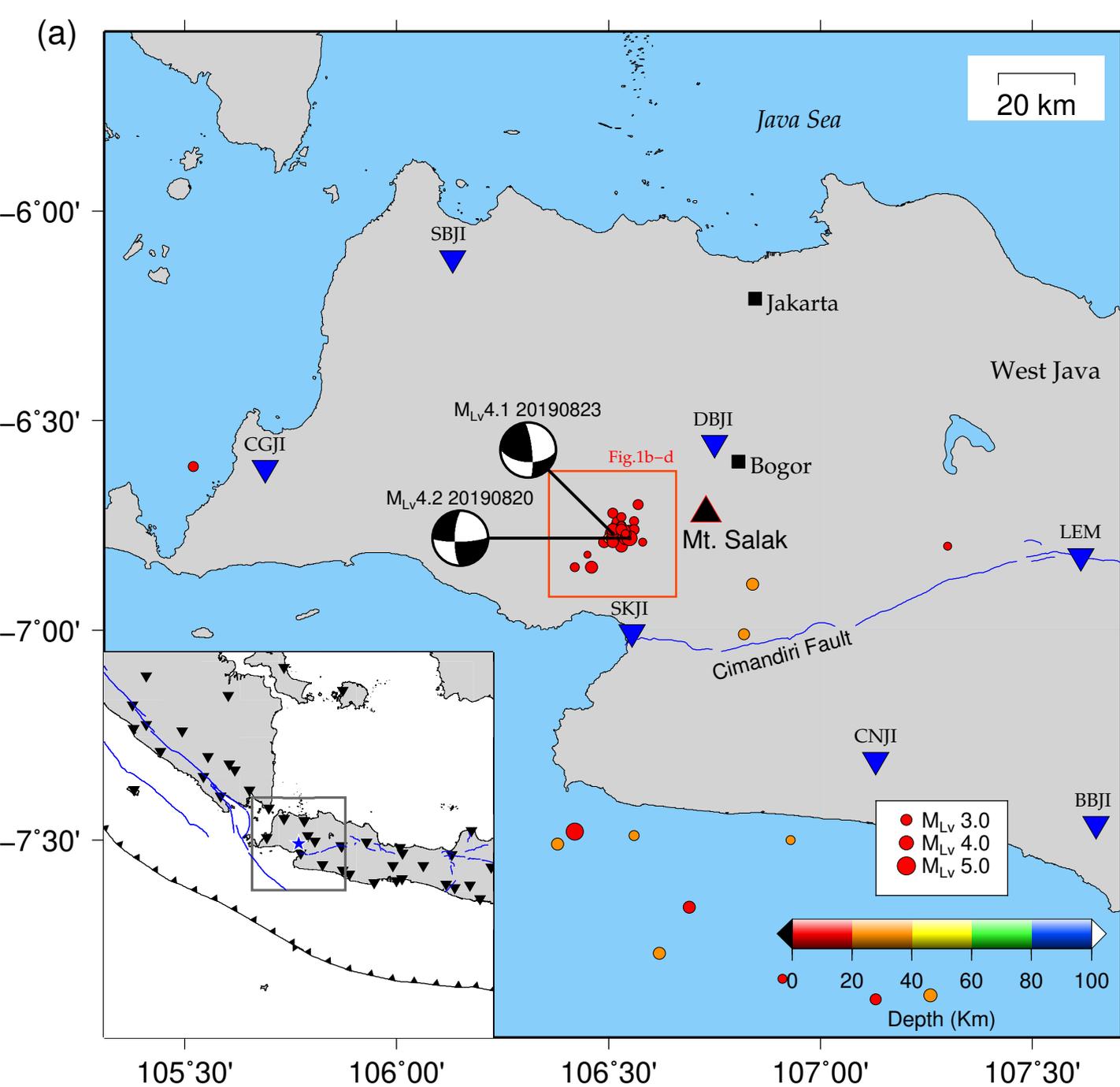
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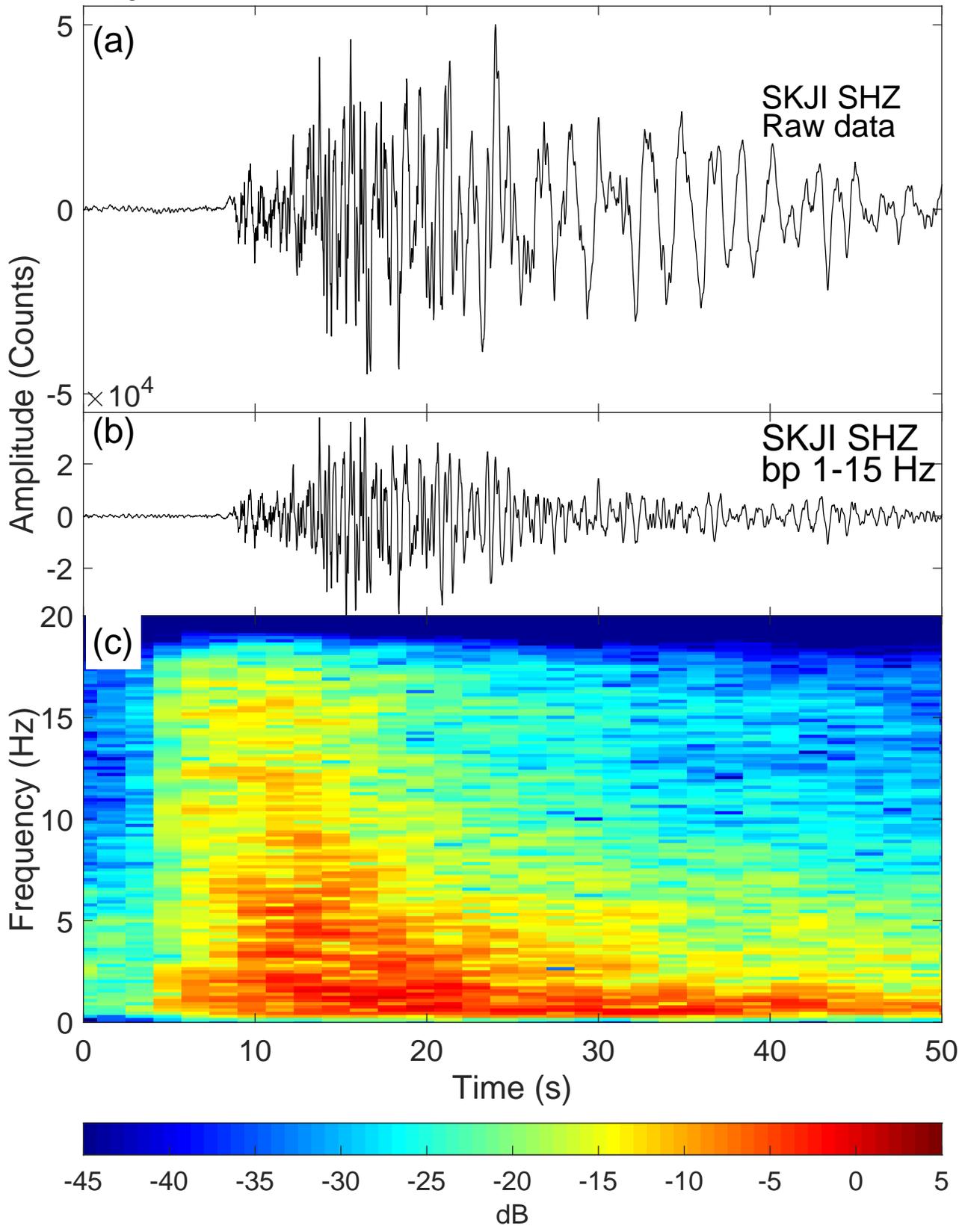
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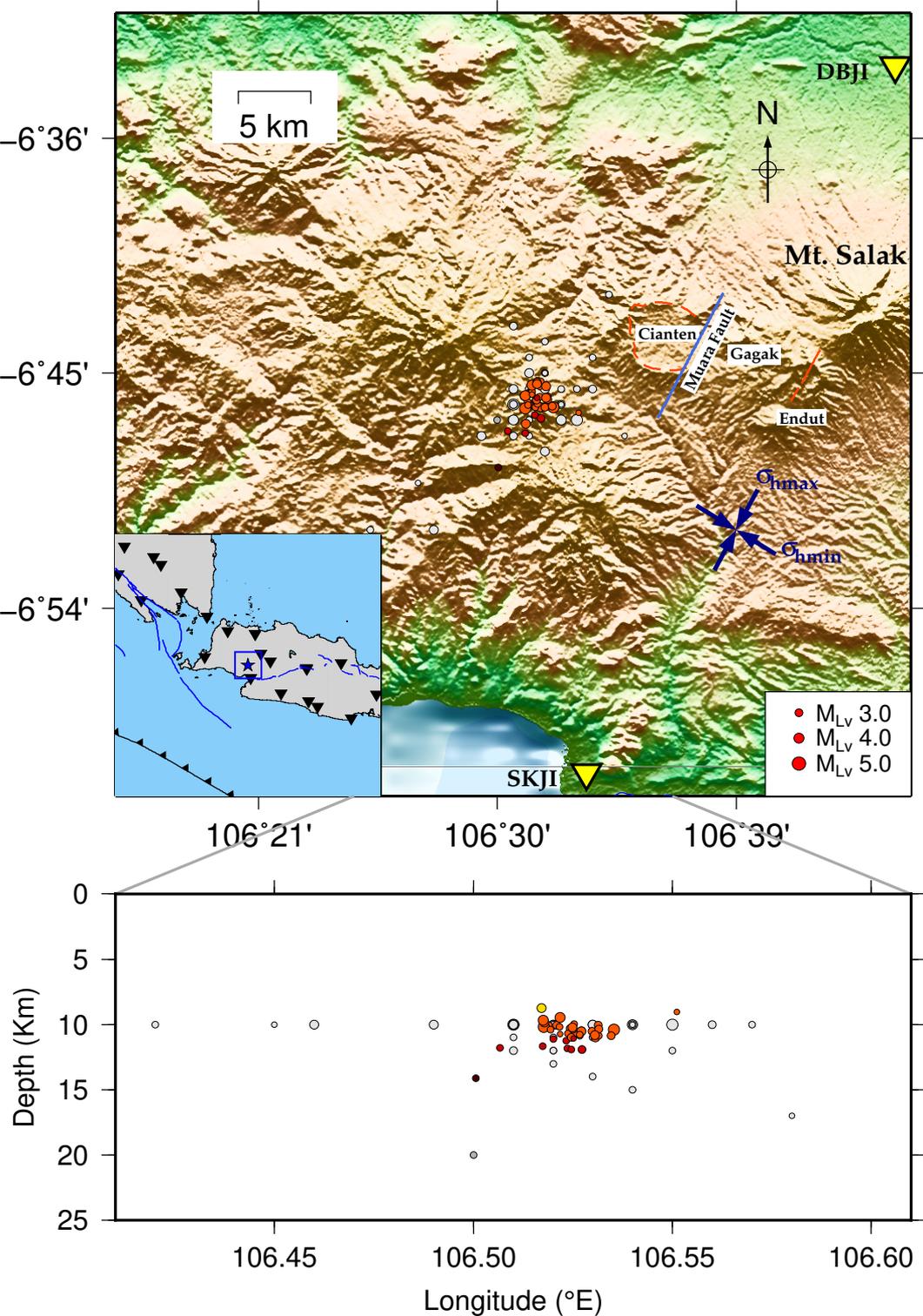
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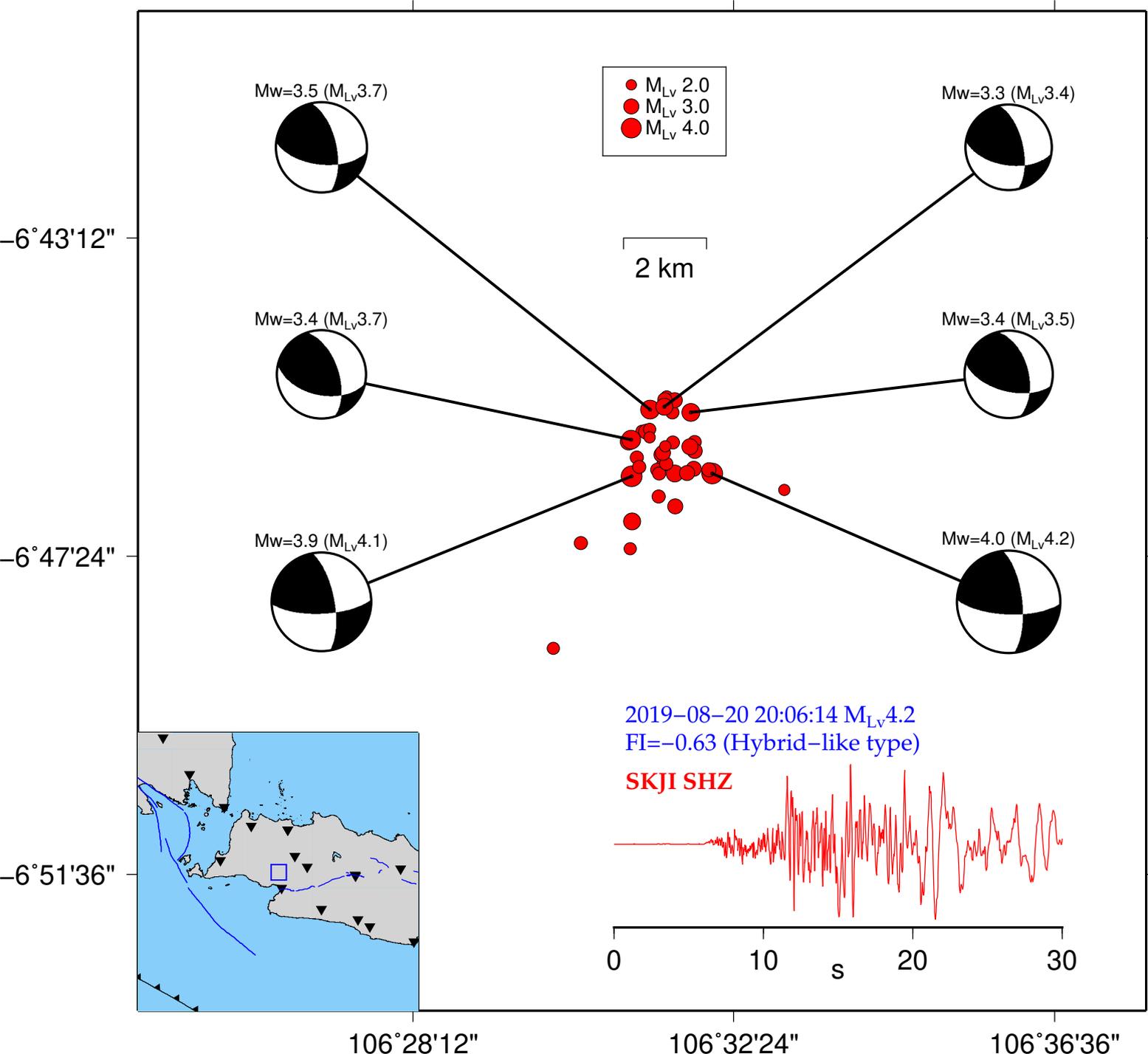




2019-08-13 14:20:08 UTC Sta. SKJI FI= -0.74







2019-08-13 14:20:08.00

Mrr, Mtt, Mff, Mrt, Mrf, Mtf

6.94, -4.17, -2.77, 3.13, 5.48, 9.81,  $\times 10^{13}$

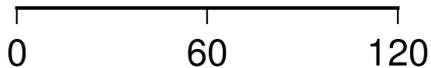
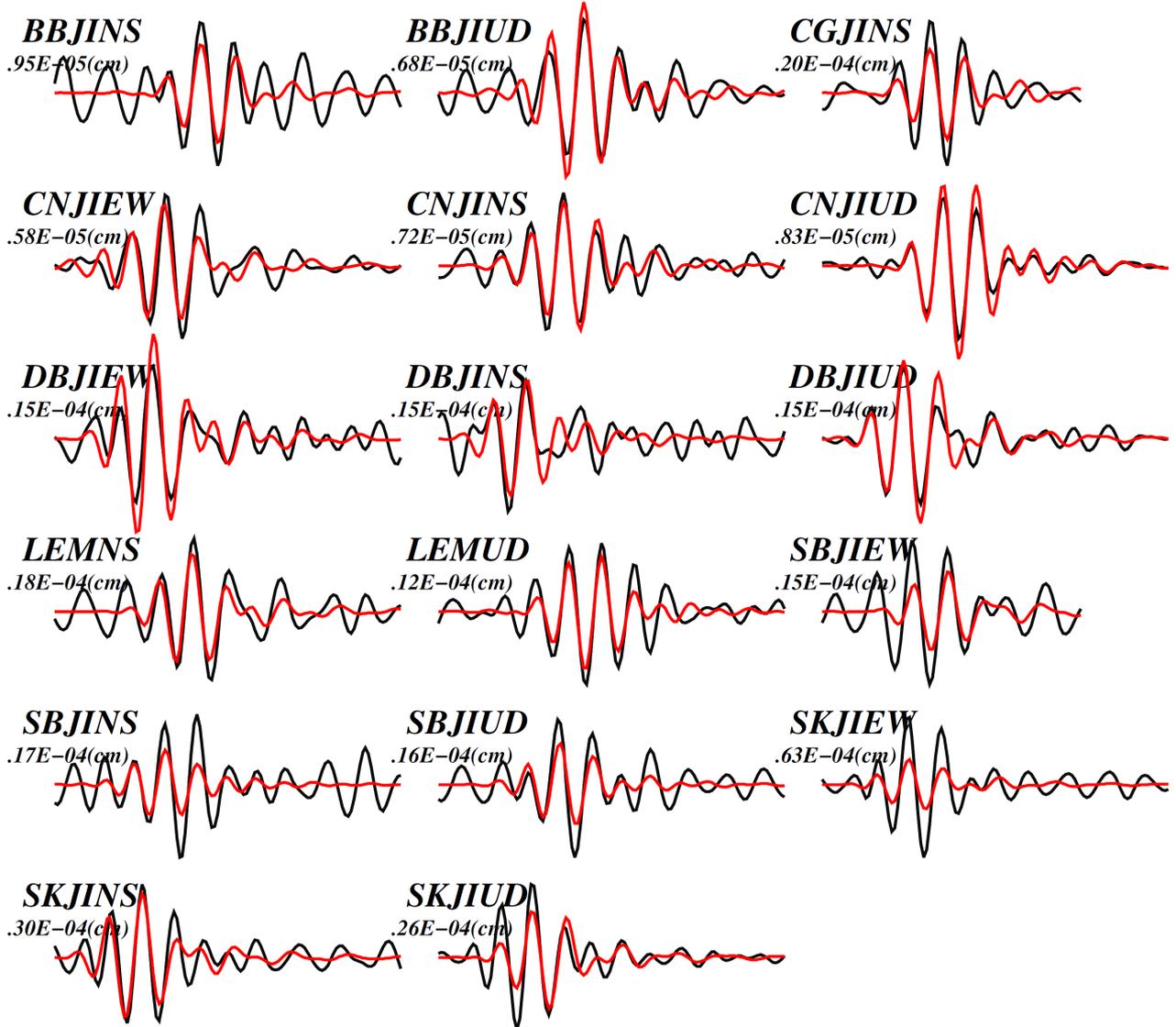
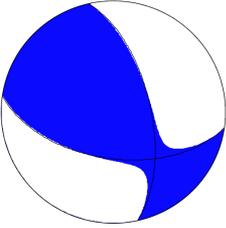
N1:(Strike,Dip,Slip) = ( 98.2, 55.4, 33.8)

N2:(Strike,Dip,Slip) = (347.4, 62.8, 140.3)

Moment = 0.1313E+15(Nm), Mw = 3.3

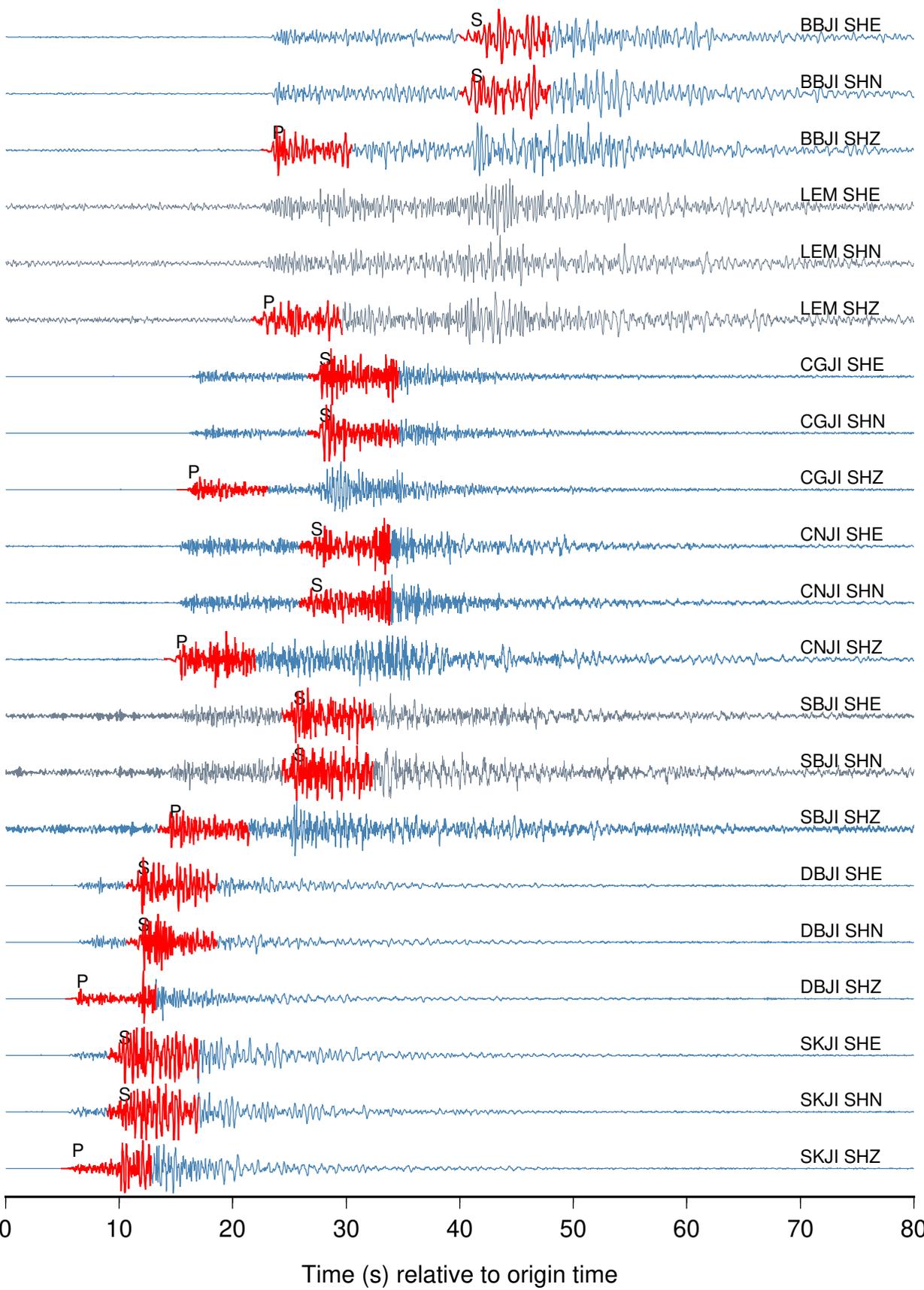
Variance = 0.3787

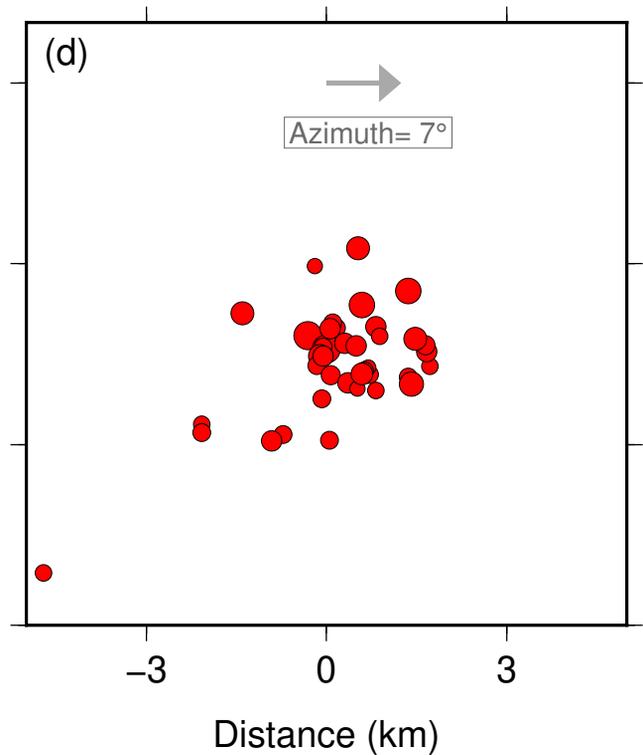
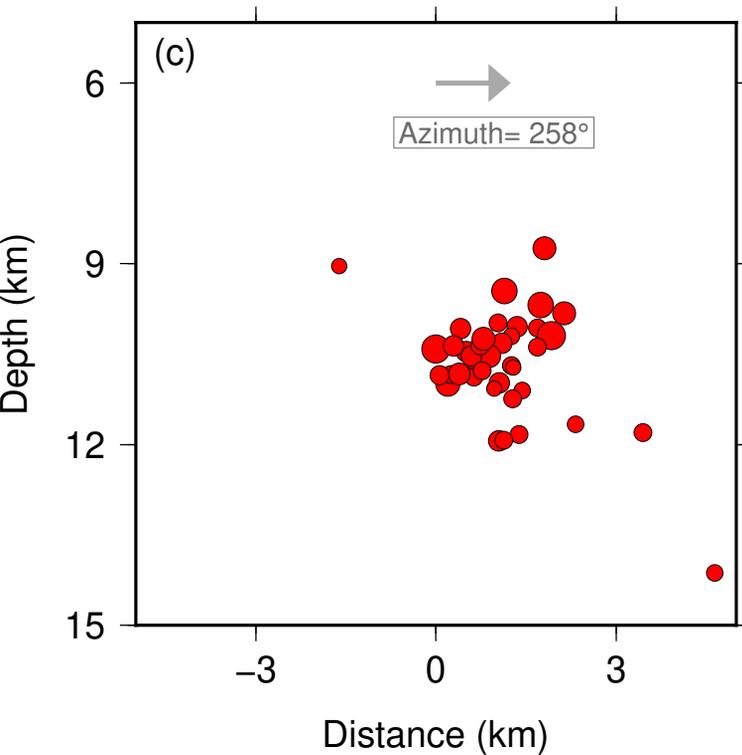
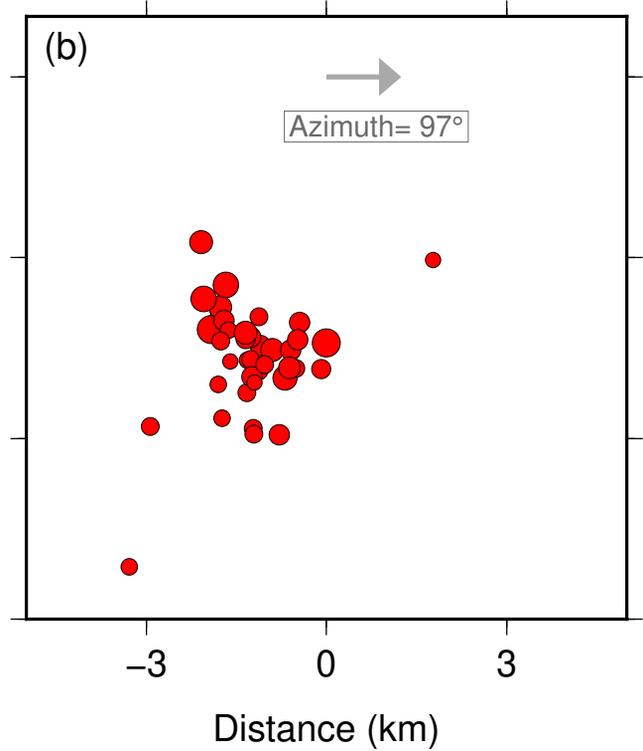
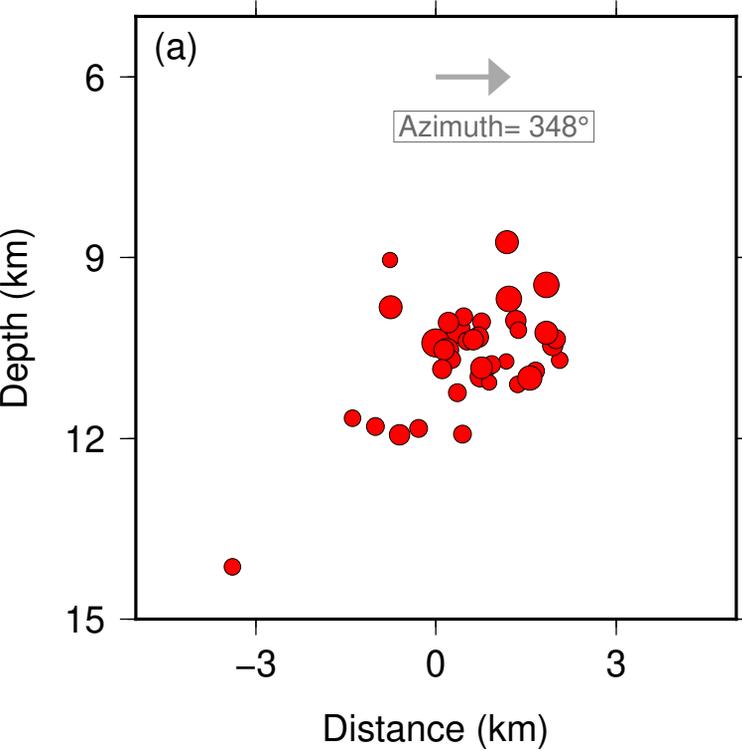
depth = 10.2(km)



Time(sec)

Template Waveform 2019-08-12 19:05:20 UTC  $M_L$  3.2





Detected: 2019-08-09 18:52:58 UTC,  $M_L$  2.3, Mean CC= 0.72

