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### **Per-tree leaf area index mapping of Amsterdam's unrecorded shade canopy**

Théo Alessandro Hermann<sup>1,2</sup>, Michiel van Selm<sup>1,2</sup>, Titus Venverloo<sup>1,2</sup>, Fabio Duarte<sup>1</sup>, Carlo Ratti<sup>1,3</sup>

<sup>1</sup> MIT Senseable City Lab, Massachusetts Institute of Technology, Cambridge, MA, USA

<sup>2</sup> Amsterdam Institute for Advanced Metropolitan Solutions (AMS Institute), Amsterdam, The Netherlands

<sup>3</sup> Politecnico di Milano, Milan, Italy

**Corresponding author:** Théo Alessandro Hermann (thermann@mit.edu)

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**Code and data.** Code and the per-tree dataset are released upon publication at:

- Code: <https://github.com/thrmnn/invisible-forest>
- Data: <https://huggingface.co/datasets/thrmnn/invisible-forest-amsterdam>

**Contents.** This combined PDF contains the main manuscript followed by the Supplementary Information. EarthArXiv does not host separate supplementary files; the code repository and dataset above hold the reproducible materials.

# Per-tree leaf area index mapping of Amsterdam's unrecorded shade canopy

Théo Alessandro Hermann <sup>id</sup><sup>1,2\*</sup>, Michiel van Selm<sup>1,2</sup>,  
Titus Venverloo<sup>1,2</sup>, Fabio Duarte<sup>1</sup>, Carlo Ratti<sup>1,3</sup>

<sup>1</sup>Senseable City Lab, Massachusetts Institute of Technology, 77  
Massachusetts Avenue, Cambridge, USA.

<sup>2</sup>Amsterdam Institute for Advanced Metropolitan Solutions,  
Kattenburgerstraat 5, Amsterdam, Netherlands.

<sup>3</sup>ABC Department, Politecnico di Milano, Milan, Italy.

\*Corresponding author(s). E-mail(s): [thermann@mit.edu](mailto:thermann@mit.edu);  
Contributing authors: [mvanselm@mit.edu](mailto:mvanselm@mit.edu); [tvenver@mit.edu](mailto:tvenver@mit.edu);  
[fduarte@mit.edu](mailto:fduarte@mit.edu); [ratti@mit.edu](mailto:ratti@mit.edu);

## Abstract

Most of the trees shading Amsterdam are absent from any municipal record. Using the Dutch national LiDAR survey (AHN5), we derive per-tree Leaf Area Index for nearly 850,000 trees across 243 km<sup>2</sup>. Roughly 62% of the canopy is unrecorded, and these unregistered trees carry a disproportionate share (about two-thirds) of the city's gross shade, so most of this shade-providing canopy lies outside the systems meant to manage it. Uniform-transmissivity models correctly diagnose *where* shade is scarce ( $\rho = 0.997$ ) but not *what* produces it: measured per-tree canopy transmission averages several times the single value they assume (bounded  $\approx 2-4\times$ ), and the densest genus casts 11% more shade per crown than the sparsest. Within-neighbourhood density variation adds up to 5.7 percentage points of shade (2.8 on average) that averaged models erase. Ninety-five percent of neighbourhoods fall below the 30% canopy-cover target. Comparable national LiDAR across Europe and the US suggests the method is transferable, pending validation at other point densities and canopy types.

**Keywords:** Urban Trees, Leaf Area Index, Urban Heat Island, Shade Equity, LiDAR, Urban Forest Inventory

30 Shade is the most effective passive cooling strategy available to cities confronting  
31 escalating urban heat [1]. Tree canopies reduce mean radiant temperature by up to  
32 20°C [2] and ambient air temperature by 0.5–2.0°C [3], with the magnitude of cooling  
33 depending strongly on species-specific canopy traits including leaf area density, crown  
34 architecture, and transpiration rate [4–6]. With urban heat driving thousands of excess  
35 deaths annually in Europe [7], the World Health Organization links urban green space  
36 to health [8], the widely adopted 3-30-300 rule sets a minimum 30% neighbourhood  
37 canopy cover [9], and the EU Nature Restoration Regulation now requires Member  
38 States to prevent net loss of urban tree canopy by 2030 [10]: a mandate demanding  
39 monitoring infrastructure most cities do not yet possess.

40 The spatial diagnosis of shade inequality is now well established. Environmental  
41 justice research has documented unequal distribution of urban tree cover along income  
42 lines [11–13], and Gu et al. recently quantified this pattern across nine global cities  
43 (including Amsterdam), demonstrating that shade on pedestrian surfaces is persistently  
44 and unequally distributed [14]. Yet knowing *where* shade is unequal tells cities  
45 nothing about *what* produces the inequality, whether they *know* the trees responsible,  
46 or how to design species-specific interventions that close the gap: the transition from  
47 diagnosis to prescription demands canopy resolved tree by tree. Two structural lim-  
48 itations, shared by all city-scale shade assessments to date, prevent that transition.  
49 First, every such assessment treats canopy as uniformly opaque: Gu et al. applied a  
50 uniform transmissivity of  $\tau = 0.10$  [14]; similar assumptions appear in shade decom-  
51 positions [15] and radiation models [16]. Second, these assessments rely on municipal  
52 tree registers, asset-management tools that record what the city planted on public  
53 land [17, 18], and therefore systematically exclude private gardens and self-seeded  
54 growth [19, 20].

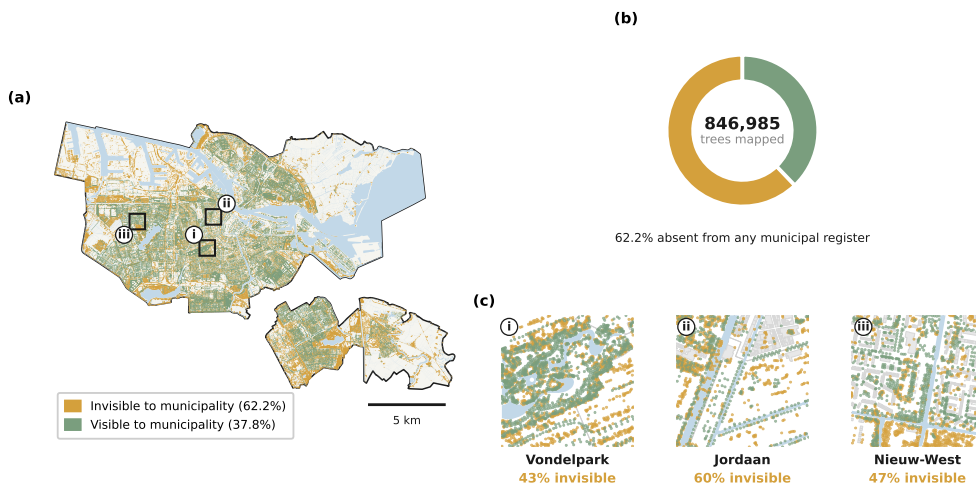
55 Both limitations have mechanistic consequences. The density of a canopy (its Leaf  
56 Area Index, LAI, defined as one-sided leaf area per unit ground area [21]) governs  
57 radiation interception through the Beer–Lambert law, and crown transmissivity varies  
58 across genera: published measurements span a 7-fold range [22]. Whether this varia-  
59 tion persists under real urban growing conditions has not been tested at city scale.  
60 A neighbourhood at 25% canopy cover with dense canopies receives different shade  
61 than one at 25% cover with open canopies, yet current metrics treat them identi-  
62 cally. The register-incompleteness limit has a parallel character: comparative studies  
63 across Nordic cities demonstrate that register completeness varies widely even among  
64 data-rich municipalities [23, 24], suggesting the visibility gap is structural rather  
65 than city-specific, a pattern recognised globally by the FAO’s Trees Outside Forests  
66 framework [25].

67 Here we address both limitations, exploiting the Netherlands’ AHN5 national air-  
68 borne LiDAR campaign to derive per-tree canopy density (LAI) for 846,985 trees  
69 across the entire 243 km<sup>2</sup> Amsterdam municipality [26, 27]. The AHN programme has  
70 been processed into national-scale ecosystem products at 10 m resolution [28], and  
71 prior work mapped grid-level LAI across Gothenburg at comparable resolution [29];  
72 our approach resolves LAI to individual crowns, enabling species-resolved transmis-  
73 sivity and per-tree shade attribution. Three contributions follow. First, 62% of the

74 urban canopy ( $n = 526,499$ ) is absent from any municipal record, yet these unregis-  
 75 tered trees deliver a disproportionate share of the city’s shade (about two-thirds of  
 76 citywide shade), revealing that the majority of Amsterdam’s shade-providing canopy  
 77 is invisible to the governance systems designed to maintain it. Second, measured per-  
 78 tree transmissivity averages about threefold the uniform value  $\tau = 0.10$  used in global  
 79 models, and within-neighbourhood LAI variance produces an additional shade con-  
 80 tribution (a Jensen gap averaging  $+2.8$  pp across 484 neighbourhoods,  $R^2 = 0.987$   
 81 against second-order theory) that any uniform-density model is mathematically blind  
 82 to regardless of its calibration. Third, the per-tree database enables species-specific  
 83 planting prescription and tree-level protection: in many neighbourhoods the top-decile  
 84 shade trees are disproportionately unregistered, identifying which existing trees merit  
 85 legal protection before development and which species to plant where: prescriptive  
 86 questions aggregate metrics cannot answer.

## 87 1 Results

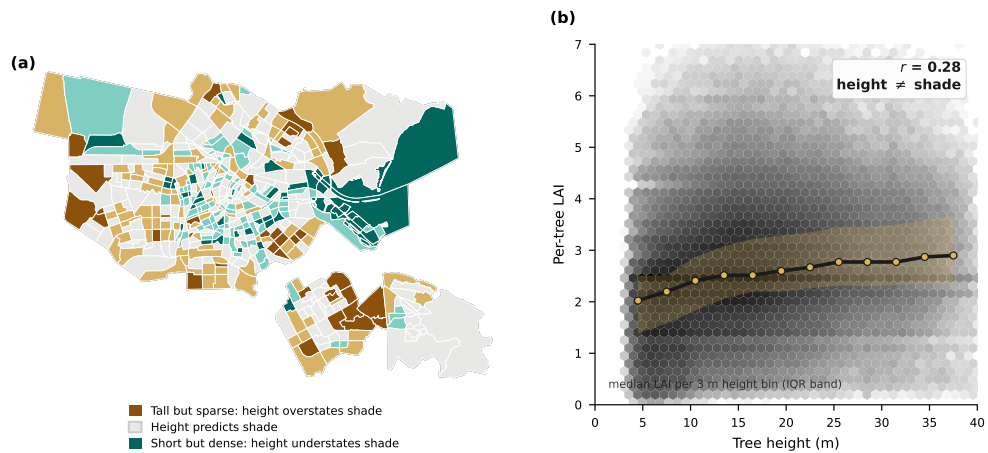
### 88 1.1 A complete urban tree database



**Fig. 1: Amsterdam’s urban forest is largely invisible to municipal monitoring.** **a**, Spatial distribution of 846,985 trees detected from AHN5 LiDAR across the 243 km<sup>2</sup> Amsterdam municipality, coloured by registration status: visible to the municipality (green, 37.8%) and absent from any municipal register (amber, 62.2%); surface water is shown in pale blue. **b**, Database composition showing that the majority of the urban canopy exists outside any municipal management system. **c**, Neighbourhood insets illustrating three contrasting spatial patterns: Vondelpark (43% invisible), Jordaan (historic centre, 60% invisible, predominantly private garden trees), and Nieuw-West (suburban mix, 47% invisible).

89 The merged database contains 846,985 trees, more than  $2.6\times$  the 320,486 trees in  
 90 the BOMEN 2026 municipal register (Fig. 1). This count and the invisibility fraction  
 91 depend on detection-pipeline parameters (5 m matching radius,  $8\text{ m}^2$  minimum crown,  
 92 2 m height threshold; see Methods); a wider or tighter radius shifts both by several  
 93 percentage points (detection-pipeline yield in Extended Data Fig. 1). Sixty-two per-  
 94 cent of the canopy (62.2%,  $n = 526,499$ ) is absent from any municipal inventory: trees  
 95 in private gardens and self-seeded growth. A further 25.2% ( $n = 213,438$ ) are con-  
 96 firmed by both LiDAR detection and register records, and 12.6% ( $n = 107,048$ ) appear  
 97 only in the register, predominantly recent plantings below the 2 m height detection  
 98 threshold or entries with positional errors exceeding the 5 m matching radius. Three  
 99 neighbourhood insets (Fig. 1c) illustrate how the invisible fraction stays high across  
 100 contrasting urban fabrics: 43% around the Vondelpark, 47% in suburban Nieuw-West,  
 101 and 60% in the historic Jordaan, where private gardens behind canal houses harbour  
 102 canopy that no municipal database documents. The majority of Amsterdam’s shade-  
 103 providing canopy is absent from the municipal monitoring systems designed to track  
 104 it.

## 105 1.2 City-scale Leaf Area Index



**Fig. 2: Per-tree LAI reveals what height cannot.** **a**, Height–LAI mismatch by neighbourhood (mean tree-height tercile minus mean-LAI tercile). Brown: taller than its density implies, so height overstates shade; green: denser than its height implies, so height understates shade; grey: height tracks shade. The two diverge in 58% of neighbourhoods. **b**, Per-tree LAI against tree height (grey density); the median LAI per 3 m height bin (line, with inter-quartile band) stays nearly flat ( $r \approx 0.3$ ), confirming height is a poor proxy for canopy density.

106 Citywide mean LAI is 2.54 (median 2.51, s.d. 0.92), with mean crown transmis-  
 107 sivity (the fraction of incoming sunlight that passes through the canopy) of  $\tau = 0.311$

108 under the central extinction coefficient ( $k_{\text{solar}} = 0.5$ ), indicating that roughly 69%  
 109 of incoming solar radiation is intercepted by tree canopies. These values represent  
 110 effective plant area index (PAI<sub>e</sub>); relative rankings across trees and neighbourhoods  
 111 are stable under parameter perturbations (Extended Data Figs. 2b, 5), but absolute  
 112 LAI and  $\tau$  magnitudes are model-dependent and should be interpreted as bounded  
 113 estimates (see Methods). LAI is derived directly from the AHN5 LiDAR rasters for  
 114 every detected tree (Methods). The correlation between LAI and tree height is weak  
 115 (Fig. 2b), confirming that height alone is a poor proxy for shade capacity. Mapping  
 116 this mismatch by neighbourhood (Fig. 2a) shows height overstating shade in tall-but-  
 117 sparse areas and understating it in short-but-dense ones, so height- and area-based  
 118 metrics misrank shade provision. Woody elements contribute 10–15% of total PAI<sub>e</sub> in  
 119 broadleaf leaf-on canopies [30], and genus rank order is invariant to the leaf-fraction  
 120 assumption (Extended Data Fig. 5).

### 121 1.3 The Jensen gap: within-neighbourhood variance that 122 uniform models cannot see

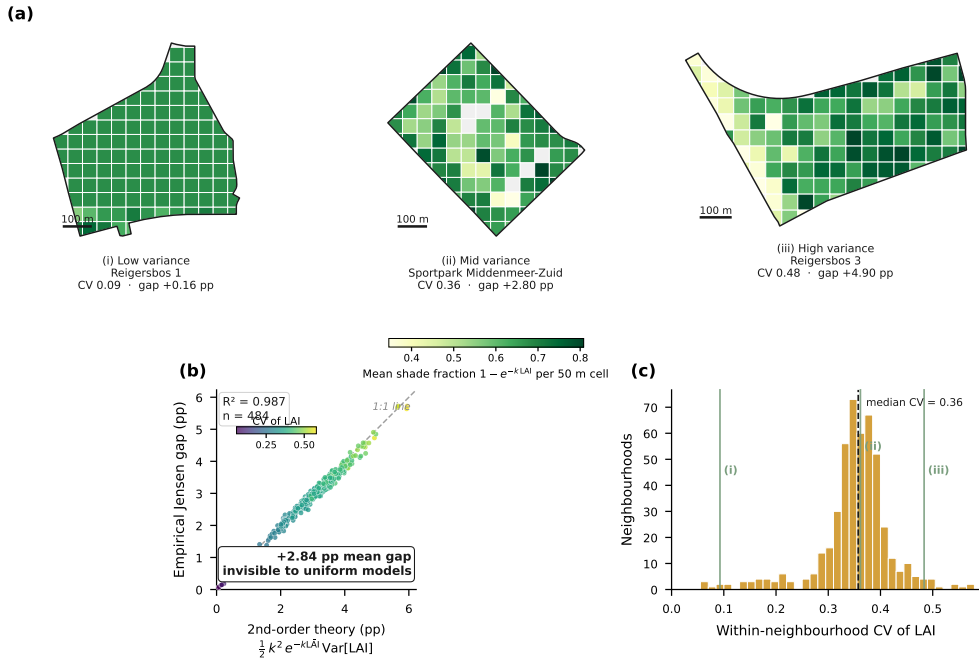
123 Uniform-transmissivity models face a more fundamental limitation than magnitude  
 124 miscalibration: they cannot see within-neighbourhood LAI variance at all. Because  
 125 the shade function is concave (each additional unit of LAI adds less shade than the  
 126 last), a neighbourhood where some trees are very dense and others are sparse produces  
 127 more total shade than one where every tree has the average density. This mathemat-  
 128 ical property (Jensen’s inequality, applied to the Beer–Lambert function  $1 - e^{-k \cdot \text{LAI}}$ )  
 129 means that LAI variance itself is a shade resource that uniform models erase. We  
 130 quantify this effect across 484 neighbourhoods containing  $\geq 50$  trees each. The empir-  
 131 ical Jensen gap (actual shade minus shade predicted from mean LAI alone) averages  
 132 +2.8 percentage points across these 484 neighbourhoods, reaching nearly 6 pp in the  
 133 highest-variance cases, and tracks within-neighbourhood LAI variance with a Pear-  
 134 son correlation of 0.88. The second-order Taylor expansion  $\frac{1}{2}k^2 e^{-k\mu} \text{Var}(\text{LAI})$  matches  
 135 the empirical gap with  $R^2 = 0.987$  (Fig. 3b), confirming the mechanism is purely a  
 136 consequence of functional concavity.

137 A matched-mean example makes the effect concrete. Three neighbourhoods at  
 138 the same mean LAI ( $\approx 2.28$ ) but rising variance, Reigersbos 1 (CV = 0.09), Sportpark  
 139 Middenmeer-Zuid (CV = 0.36), and Reigersbos 3 (CV = 0.48), deliver Jensen gaps that  
 140 climb from +0.16 to +2.80 to +4.90 pp (Fig. 3a). Uniform- $\tau$  models return the same  
 141 answer for all three; the per-tree measurement reveals materially different shade. The  
 142 effect is invisible to any model that aggregates LAI to a neighbourhood mean before  
 143 computing transmissivity, regardless of how well-calibrated the uniform  $\tau$  value is. For  
 144 planners, a mix of densities yields more shade than uniform planting at equal cover:  
 145 density diversity, not amount alone, is a shade lever.

### 146 1.4 Species-resolved shade supply

147 Per-tree LAI reveals crown transmissivity ranging from  $\tau = 0.26$  (Populus) to  $\tau = 0.33$   
 148 (Prunus) across genus means: about a 30% range. The citywide per-tree mean trans-  
 149 missivity is about three times the uniform  $\tau = 0.10$ , bounded  $\approx 2.2\text{--}4.3\times$  under joint

Three neighbourhoods at the same mean LAI ( $\approx 2.28$ ); only within-neighbourhood variance differs



**Fig. 3: Within-neighbourhood LAI variance is a shade resource that uniform-transmissivity models are mathematically blind to.** The shade fraction  $1 - e^{-k \cdot \text{LAI}}$  is a concave function of canopy density: each additional unit of LAI adds less shade than the last. By Jensen’s inequality, a neighbourhood that mixes dense and sparse trees therefore produces *more* total shade than one where every tree carries the neighbourhood-mean density: the variance itself contributes shade. The empirical Jensen gap quantifies this contribution: it is the difference between actual shade (averaged over the per-tree LAI distribution) and the shade a uniform model returns when applied to the neighbourhood mean. **a**, Three neighbourhoods matched on mean LAI ( $\approx 2.28$ ) but spanning a range of within-neighbourhood variance: Reigersbos 1 (CV = 0.09), Sportpark Middenmeer-Zuid (CV = 0.36), and Reigersbos 3 (CV = 0.48). Holding the mean fixed isolates variance as the only difference, yet the empirical Jensen gap climbs from +0.16 to +2.80 to +4.90 pp. Tile maps show per-cell shade fraction; the relationship also holds at the 50 m cell scale (Spearman  $\rho = 0.96$ ). **b**, The mechanism is purely a consequence of concavity. Across 484 neighbourhoods ( $\geq 50$  trees each), the empirical Jensen gap matches the second-order Taylor expansion  $\frac{1}{2}k^2 e^{-k\mu} \text{Var}(\text{LAI})$  at  $R^2 = 0.987$ , i.e. the variance term alone explains nearly all of the gap. **c**, Within-neighbourhood CV of LAI across the 484 qualifying neighbourhoods (median CV = 0.36); the three exemplars span the distribution’s low (0.09), middle (0.36), and high (0.48) range.

150 parameter uncertainty (absolute values model-dependent; see Methods). Applying

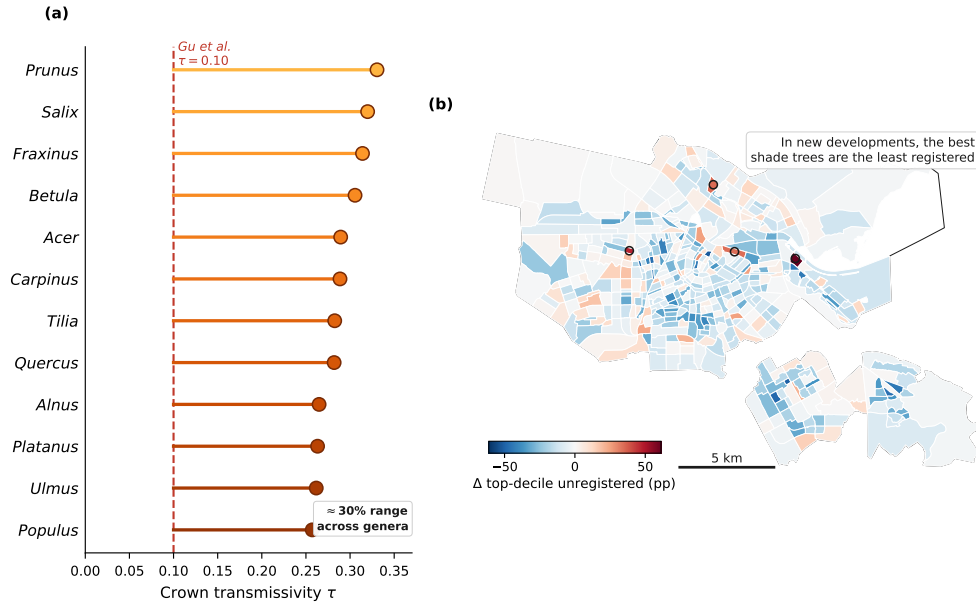
151 uniform  $\tau = 0.10$  to our database preserves neighbourhood shade rankings almost per-  
152 fectly (Spearman  $\rho = 0.997$ ) but overestimates absolute shade by 17–45% depending  
153 on the assumed extinction coefficient (Extended Data Fig. 4). Identical rankings need  
154 not imply identical prescriptions: uniform models *diagnose* which neighbourhoods  
155 are shade-deprived, but per-tree LAI is required to calibrate intervention magni-  
156 tude and to reveal the within-neighbourhood variance of Fig. 3. At equal crown  
157 area, choosing the densest available genus over the sparsest delivers 11% more shade  
158 per crown, a species-selection lever uniform models erase. Headline ESA quantities  
159 below are reported as gross shadow footprints (per-tree shadow areas summed with-  
160 out subtracting overlap with building shadows or among trees); net tree shade (the  
161 building-overlap-subtracted quantity) is reported separately below. Gross Effective  
162 Shade Area (ESA; time-integrated, irradiance-weighted shadow footprints on 3 August  
163 2024, Amsterdam’s peak urban heat-island day; see Methods) is dominated by the  
164 unregistered canopy: unregistered trees contribute about two-thirds of gross citywide  
165 ESA (Fig. 1), broadly in line with their share of the tree count. Net tree shade totals  
166 7,281 ha (54.3% of gross tree shadow; 48.3% marginal contribution beyond buildings).  
167 Shadow geometry is resolved for the LiDAR-detected canopy that casts the shade;  
168 because the unregistered fraction is itself detected canopy and we report registration  
169 *shares* rather than absolute totals, ESA-based shares are comparative across categories  
170 (see Methods).

## 171 1.5 Canopy deficit, protection gaps, and heat vulnerability

172 Across 516 neighbourhoods containing trees, 491 (95.2%) fall below the 30% canopy-  
173 cover target of the 3-30-300 rule [9] and 423 (82%) fall below 20%; mean canopy cover  
174 is 13.6% (crown-polygon area over land, excluding water; not pixel-identical with  
175 satellite products). This places Amsterdam among the many dense cities that fall short  
176 of the 30% threshold [31], reflecting historic urban form as much as planting policy.  
177 The Shade Gap Index quantifies the resulting shortwave-radiation deficit relative to  
178 an unshaded baseline (see Methods). The worst-served neighbourhoods are in the  
179 historic city centre and postwar housing estates, where dense built form and limited  
180 planting space combine to create acute shade deficits.

### 181 *Protection gap.*

182 Per-tree LAI enables a prescriptive policy question that uniform or aggregate metrics  
183 cannot answer: which *individual* trees carry the most shade, and does the municipality  
184 formally protect them? Ranking trees by shade contribution and cross-referencing  
185 registration status shows that in many neighbourhoods the highest-shade trees are  
186 disproportionately unregistered: the best shade comes from the least protected canopy  
187 (Fig. 4b). The IJ waterfront development zones in northern Amsterdam show the  
188 clearest inversion: newly built areas where registered street trees are still young, while  
189 the best shade comes from mature canopy on adjacent land the municipality has no  
190 mandate to replace if lost. This kind of analysis requires knowing both the location  
191 and the canopy density of every tree, information that no register or lookup table  
192 contains.



**Fig. 4: Per-tree resolution enables policy levers that uniform models cannot support.** **a**, Genus-mean crown transmissivity for the twelve most common genera, from Populus ( $\tau = 0.26$ ) to Prunus ( $\tau = 0.33$ ): about a 30% range that the uniform model ( $\tau = 0.10$ ; dashed line) erases. Absolute  $\tau$  is model-dependent (see Methods). **b**, Protection-gap map: per-neighbourhood deviation of the top-decile shade trees' unregistered fraction (trees ranked by gross ESA) from the citywide baseline. Red marks neighbourhoods where the top-decile trees are disproportionately *more* unregistered than the baseline; blue marks the opposite. The annotated IJ-waterfront clusters are the clearest case: in new development zones the best shade trees are the ones the city has no formal mandate to protect.

193 **Heat vulnerability and income.**

194 A three-component Heat Vulnerability Index (UHI exposure, canopy deficit, elderly  
 195 population share [32]) identifies Nieuwmarkt (HVI = 1.40), Anjelijsbuurt-Zuid  
 196 (1.34), and Harmoniehofbuurt (1.20) as the most vulnerable neighbourhoods  
 197 (Extended Data Fig. 6). These top-3 rankings are stable across alternative weighting  
 198 schemes (Spearman  $\rho = 0.74$ ); the full Q5 list (73 neighbourhoods) serves as indicative  
 199 screening rather than definitive ranking.

200 Canopy cover correlates weakly with socioeconomic status (bijstand rate  $r =$   
 201  $+0.17$ , Spearman  $\rho = +0.22$ ; housing value  $r = -0.16$ ,  $\rho = -0.31$ ; CBS income is sup-  
 202 pressed, so bijstand rate proxies poverty), in contrast to the positive income–canopy  
 203 relationship documented across US cities [11]. These proxies are censored and the  
 204 associations are weak, so we read them as a near-null income gradient rather than  
 205 positive evidence of equity. Amsterdam's shade deficit reflects built-form constraints

206 (historic-centre density, postwar housing typology) that cut across income strata (see  
207 Discussion).

## 208 **2 Discussion**

### 209 **2.1 The invisible forest as a governance visibility gap**

210 That 62% of a European capital’s shade-producing canopy is unmonitored exposes a  
211 structural governance gap, sharpened by the finding that these invisible trees deliver  
212 about two-thirds of the city’s shade. Municipal registers are asset-management tools  
213 for publicly maintained trees [18, 33], not ecological inventories of the functional  
214 canopy. The distinction between trees cities *own* and trees cities *depend on* has been  
215 noted conceptually [17] but never quantified at city scale. Unregistered trees receive no  
216 maintenance budget, no management plan, and no replacement obligation; visibility  
217 is the prerequisite for protection.

218 Amsterdam’s Bomenverordening requires a felling permit for any tree with trunk  
219 circumference  $\geq 31$  cm regardless of land ownership [34], yet over 5,000 private trees  
220 are felled annually with 92–96% of permits approved and replanting obligations weakly  
221 enforced [35]. The gap is monitoring, not regulation: the city cannot enforce what it  
222 cannot see [36].

223 Register incompleteness is structural, not city-specific: US urban forests are  
224 15–35% larger than inventory-based estimates [19], and trees outside forests are sys-  
225 tematically excluded from national accounting [20]. Our 62% figure quantifies at city  
226 scale what these studies inferred. The regulatory urgency is continental: the EU Nature  
227 Restoration Regulation mandates no net loss of urban canopy by 2030 [10] at a mon-  
228 itoring resolution most municipalities cannot provide, and register data alone will  
229 systematically misrepresent actual coverage [37]. National LiDAR programmes (AHN,  
230 UK Environment Agency, USGS 3DEP) make replication feasible where comparable  
231 data exist, pending validation at their point densities.

### 232 **2.2 What per-tree data change: from diagnosis to prescription**

233 The near-perfect rank preservation ( $\rho = 0.997$ ) between uniform and per-tree models  
234 raises a legitimate question: if both identify the same shade-deprived neighbourhoods,  
235 what does per-tree LAI change? The answer turns on two stages of shade policy.  
236 *Diagnosis*, which neighbourhoods need more shade, is tractable with uniform models,  
237 satellite canopy cover, or visual inspection. *Prescription*, how many trees of which  
238 species to plant and which to protect, is what uniform models cannot answer regardless  
239 of calibration.

240 A worked example makes this concrete (central- $k$  estimates; see Limitations).  
241 Nieuwmarkt, the most heat-vulnerable neighbourhood (HVI = 1.40,  $\sim 8\%$  canopy),  
242 needs  $\sim 4,300$  m<sup>2</sup> of new crown to halve its deficit to the 20% target. Under uni-  
243 form  $\tau = 0.10$  this canopy would block 90% of incoming radiation, but per-tree yield  
244 depends on species: *Populus* ( $\tau = 0.26$ ) delivers  $\sim 18\%$  less shade than predicted  
245 and *Prunus* ( $\tau = 0.33$ )  $\sim 26\%$  less, so planners must plant 21–35% more trees than

246 the area-based prescription suggests, a species-selection lever uniform models erase  
247 entirely.

248 Per-tree data expose a second dimension uniform models cannot see: the shade  
249 contribution of within-neighbourhood density variation. Because shade is a concave  
250 function of canopy density, mixing dense and sparse trees produces more total shade  
251 than uniform-density planting: Jensen’s inequality applied to the Beer–Lambert func-  
252 tion (Fig. 3). The gap averages +2.8 pp across 484 neighbourhoods, reaching nearly  
253 6 pp in the highest-variance cases. Uniform models therefore underestimate shade in  
254 high-variance neighbourhoods, potentially misdirecting resources toward areas that  
255 already self-mitigate. Maintaining diversity of canopy density, not just canopy cover,  
256 is itself a shade resource only per-tree measurement can see.

257 A third capacity is protection: in many neighbourhoods the top-decile shade trees  
258 are disproportionately unregistered, so the most important trees for shade are those  
259 the municipality has least authority to preserve (Fig. 4b). The IJ waterfront shows  
260 the inversion (young registered street trees beside mature, unprotected canopy), and  
261 identifying it requires per-tree location and density that no register contains.

262 For cities without LiDAR, satellite-derived canopy cover supports the diagnostic  
263 layer; per-tree resolution becomes valuable precisely when a city moves from knowing  
264 where shade is lacking to deciding what to do about it.

### 265 **2.3 Amsterdam’s shade deficit tracks built form more than** 266 **income**

267 Prescription capacity does not guarantee equitable outcomes; Amsterdam’s shade  
268 deficit appears shaped more by built form than by planting resources or income.  
269 Canopy cover correlates only weakly with socioeconomic status (bijstand rate  $r =$   
270  $+0.17$ ,  $\rho = +0.22$ ; housing value  $r = -0.16$ ,  $\rho = -0.31$ ), in contrast to the posi-  
271 tive income–canopy relationship documented across US cities [11, 12]: the historic  
272 centre combines the highest property values with the deepest shade deficits. On  
273 these censored proxies we cannot detect a strong income gradient; the deficit instead  
274 concentrates in dense built forms, suggesting intervention should prioritise those  
275 neighbourhoods irrespective of their income profile. Greening high-value, high-deficit  
276 neighbourhoods nonetheless risks “green gentrification” [38, 39], documented for Ams-  
277 terdam [40], so planting in priority neighbourhoods should be paired with affordability  
278 safeguards.

### 279 **2.4 Limitations**

#### 280 *Relative accuracy (well supported).*

281 The paper’s policy conclusions depend on relative rankings, stable across all perturba-  
282 tions examined: which neighbourhoods are shade-deprived, which genera are denser,  
283 where variance-driven gaps occur, and which trees to protect. Monte Carlo sensitiv-  
284 ity ( $n = 1,000$  simultaneous draws of LAI,  $k$ , solar elevation, and default  $\tau$ , each  
285  $\pm 20\%$ ) yields neighbourhood rank  $\rho = 0.93$ ; the  $k$ -sweep preserves genus-level rank at  
286  $\rho = 0.976$  with no line crossings; woody-fraction correction leaves genus rank invariant  
287 ( $\rho = 1.000$  across all  $\alpha$ , Extended Data Fig. 5).

288 ***Absolute accuracy (bounded but uncertain).***

289 Absolute LAI and  $\tau$  magnitudes carry systematic uncertainty that constrains absolute  
290 shade estimates without affecting comparative conclusions. The LiDAR-derived metric  
291 is effective plant area index (PAI<sub>e</sub>), in which woody elements contribute 10–15% in  
292 broadleaf leaf-on canopies [30]; raster-derived PAI<sub>e</sub> sits  $\sim 2.4$  units below published  
293 species references (Extended Data Fig. 2a), an approximately additive offset that  
294 preserves genus rank. Hemispherical photography on five *Ulmus* trees (RMSE = 0.86)  
295 confirms physical plausibility but is limited to a single genus and season, within the  
296 inter-method variability (CV > 30%) documented for isolated urban trees [41]. Field  
297 campaigns across genera and seasons, and empirical  $k$  from multi-angular LiDAR [42],  
298 would bracket absolute values more tightly.

299 ***Gross vs net shade attribution.***

300 The headline ESA share, the 67% from unregistered trees, is a *gross* per-tree shadow  
301 footprint, not subtracting overlap with building shadows; net tree shade, which does,  
302 totals 7,281 ha. On a net basis the unregistered share would fall below 67%, because  
303 unregistered trees concentrate in the dense-shadowed historic centre and inner dis-  
304 tricts, so more of their gross shadow falls on already-shaded ground. We report gross  
305 ESA because it compares cleanly across registration categories; the comparative claim,  
306 that unregistered trees deliver a disproportionate share of canopy shade, holds either  
307 way, and only absolute magnitudes shift.

308 ***Other caveats.***

309 The Beer–Lambert framework omits transpirational cooling (10–30% of total tree cool-  
310 ing) [43]; our shade results address radiation interception only. The ESA model sums  
311 per-tree shadows independently, so inter-tree mutual shading is not subtracted, mod-  
312 estly overestimating shade in dense stands. AHN5 captures a single leaf-on state, so we  
313 restrict ESA reporting to one peak-summer date (3 August 2024) and leave deciduous  
314 dynamics uncharacterised. Amsterdam’s flat terrain, temperate broadleaf canopy, and  
315 high-density LiDAR (25 pts/m<sup>2</sup>) are favourable conditions; absolute-accuracy bounds  
316 require local validation elsewhere.

317 **3 Methods**

318 **3.1 Study area and data**

319 The study covers the entire Amsterdam municipality (52.37°N, 4.90°E) using fourteen  
320 Kadaster tiles (each 5 × 6.25 km), together spanning the full 243 km<sup>2</sup> municipal area.

321 Airborne LiDAR data were obtained from the AHN5 national campaign (Actueel  
322 Hoogtebestand Nederland, acquired 2020–2022) at a mean density of 25 pts/m<sup>2</sup>. The  
323 AHN programme has previously been processed into national-scale ecosystem struc-  
324 ture products at 10 m resolution [28]; our pipeline extends this to individual-tree  
325 resolution. Canopy height models (CHM) at 0.5 m resolution were derived by sub-  
326 tracting the digital terrain model from the digital surface model, both distributed by  
327 PDOK. LAI rasters at matching 0.5 m resolution were computed via Beer–Lambert

328 inversion of LiDAR penetration ratios (see Leaf Area Index Extraction). Aerial  
329 imagery from the Kadaster (0.25 m, four-band RGB and colour-infrared) was used to  
330 compute NDVI for vegetative filtering.

331 The municipal BOMEN register (2026 release) provides 320,486 public trees with  
332 species identification, height class, crown diameter, and planting year. CBS neighbour-  
333 hood boundaries define 519 statistical areas, of which 516 contain at least one detected  
334 tree. UHI data were obtained from RIVM at 10 m resolution. 3D building models from  
335 the 3D BAG (3D register of all buildings in the Netherlands, LOD2 detail) provide  
336 roof heights for shadow computation. All data were projected to EPSG:28992 and  
337 clipped to the Amsterdam municipality boundary.

338 Temporal alignment spans approximately two to three years (LiDAR 2020–2022,  
339 aerial imagery July 2023, BOMEN register cumulative). For mature urban trees,  
340 annual crown growth of 0.1–0.3 m represents less than 2% of mean crown diameter,  
341 and the large sample size ( $n = 846,985$ ) ensures that individual tree turnover has  
342 negligible impact on neighbourhood-level statistics.

### 343 **3.2 Individual tree detection and quality filtering**

344 Individual tree crowns were delineated via marker-controlled watershed segmentation  
345 of the inverted CHM, among the most widely benchmarked approaches for individual  
346 tree delineation from airborne LiDAR [44]. Local maxima (minimum height 2 m, min-  
347 imum inter-peak distance 1.0 m) serve as markers, producing non-overlapping crown  
348 polygons suited to urban canopies where trees are often separated by streets and build-  
349 ings [45], though the method systematically under-segments densely packed crowns  
350 (addressed in Dense Canopy Analysis below).

351 A seven-step quality filtering pipeline ensures label quality:

- 352 1. **Boundary clipping** to the municipality polygon (~27% removal).
- 353 2. **Height capping** at 40 m (~1% removal).
- 354 3. **Minimum crown area** of 8 m<sup>2</sup> (~15% removal), optimized for 92% false-positive  
355 reduction at 8% true-positive cost.
- 356 4. **NDVI filtering** below 0.2 (~5% removal).
- 357 5. **Spatial join with BOMEN register** at 5 m centroid distance (enrichment; no  
358 removal).
- 359 6. **Allometric flagging** of multi-tree suspects (flags only; see below).
- 360 7. **NMS deduplication** at IoU > 0.5 (~3% removal).

#### 361 *Dense canopy analysis.*

362 Watershed segmentation under-segments adjacent crowns when inter-tree saddle  
363 points are shallow. A two-tier approach quantifies rather than ignores this: Tier 1 flags  
364 polygons where observed crown diameter exceeds allometric expectations ( $d_{\text{expected}} =$   
365  $0.5 \cdot h^{0.8}$ ), and Tier 2 counts local maxima within each flagged polygon. Overall, 135,571  
366 polygons (16.0%) are flagged: 48.9% confirmed single-tree, 46.5% likely multi-tree,  
367 4.6% fragments. Flagged polygons are retained with diagnostic columns (Extended  
368 Data Fig. 3); recomputing key metrics after exclusion shifts mean LAI by <0.06 and  
369 neighbourhood rankings by Spearman  $\rho = 0.988$ .

### 3.3 Leaf Area Index extraction

LAI in this study represents effective plant area index ( $\text{PAI}_e$ ), derived from canopy gap fraction. AHN5-derived LAI rasters at 0.5 m resolution were sampled at each crown polygon centroid for every detected tree. Raster values are computed as:

$$\text{LAI}_{\text{LiDAR}} = -\frac{\ln(P_{\text{gap}})}{k_{\text{LiDAR}}} \quad (1)$$

where  $P_{\text{gap}}$  is the canopy gap fraction and  $k_{\text{LiDAR}} = 0.3$  is the extinction coefficient for hemispherical canopy closure [26].  $\text{PAI}_e$  includes woody elements (10–15% of total for broadleaf species in leaf-on condition [27, 30]). We use “LAI” as conventional shorthand for  $\text{PAI}_e$  throughout, following standard practice in urban LiDAR studies.

#### *k-normalization for shade modeling.*

The Beer–Lambert inversion requires an extinction coefficient  $k$  that encodes the relationship between canopy geometry and radiation interception. For LiDAR gap-fraction retrieval,  $k$  depends on scanner viewing geometry; for shade modeling,  $k$  depends on the solar beam path. These are different quantities and must be distinguished [46].

*Why  $k_{\text{LiDAR}} = 0.3$ .* The AHN5 campaign employs near-nadir airborne laser scanning with scan angles typically  $< 20^\circ$  from vertical. At near-nadir incidence, the laser beam traverses the canopy primarily along the vertical axis, sampling projected foliage area from above. The effective extinction coefficient for this geometry is  $k_{\text{LiDAR}} = 0.3$ , following the LiDAR gap-fraction retrieval framework of Richardson et al. [26].

*Why  $k_{\text{solar}} = 0.5$ .* Shade modeling requires transmissivity along the solar beam path, which traverses the canopy at oblique angles determined by solar elevation. The standard value  $k_{\text{solar}} = 0.5$  assumes spherical leaf angle distribution for broadleaf canopies [27]. This sits within the meta-analytic broadleaf range (mean  $k = 0.59$ ) across 88 terrestrial ecosystems [47].

*Why the normalization.* Because LiDAR-derived  $\text{PAI}_e$  is calibrated to nadir viewing ( $k_{\text{LiDAR}} = 0.3$ ), using it directly with  $k_{\text{solar}} = 0.5$  would overestimate canopy opacity. The normalization

$$\begin{aligned} \text{LAI}_{\text{solar}} &= K_{\text{ratio}} \text{LAI}_{\text{LiDAR}}, & K_{\text{ratio}} &= \frac{k_{\text{LiDAR}}}{k_{\text{solar}}} = 0.6, \\ \tau &= \exp(-k_{\text{solar}} \text{LAI}_{\text{solar}}), \end{aligned} \quad (2)$$

separates the sensor-dependent retrieval from the application-dependent extinction [46]. Because  $K_{\text{ratio}} = k_{\text{LiDAR}}/k_{\text{solar}}$ , this normalization makes  $\tau$  reduce algebraically to the near-vertical LiDAR gap fraction  $P_{\text{gap}}$ ; the reported  $\tau$  is therefore best interpreted as a canopy-openness (gap-fraction) transmissivity rather than an independently calibrated oblique-beam value, and its comparison to uniform-model transmissivities is indicative. A physically resolved oblique solar-path transmissivity is left to future work.

*Sensitivity bounds.* The  $K$  coefficient affects absolute LAI magnitudes but not relative rankings: Almeida et al. demonstrated Spearman rank correlation of 1.0 across

405 pulse density classes and 0.95 across grain sizes  $\leq 10$  m in tropical broadleaf forest [27].  
 406 Varying the LAI-to-transmissivity conversion at fixed retrieved leaf area over  $k \in$   
 407  $[0.2, 0.6]$  moves citywide mean  $\tau$  from 0.13 to 0.46, while genus-level rank correlation  
 408 is preserved (Spearman  $\rho = 0.976$ ); no genus lines cross across the full sweep. Urban  
 409 trees with isolated, pruned crowns may exhibit different effective  $k$  due to non-random  
 410 gap distributions [48], but this affects absolute magnitudes, not comparative rankings.  
 411 Empirical  $k$  retrieval from multi-angular LiDAR [42] would bracket absolute values  
 412 more tightly.

### 413 3.4 Effective Shade Area modeling

414 The ESA framework computes time-integrated, irradiance-weighted shadow footprints  
 415 for each tree.

#### 416 *Solar geometry.*

417 At each 30-minute time step between 09:00 and 17:00 local solar time (17 steps),  
 418 solar azimuth and elevation are computed for Amsterdam on 3 August 2024 ( $\gamma_{\max} =$   
 419  $56.8^\circ$ ), the peak urban heat-island day in the RIVM record. Reporting is restricted to  
 420 this single date to avoid relying on the constant-LAI assumption beyond the leaf-on  
 421 summer window.

#### 422 *Shadow projection.*

423 Each tree is modeled as an ellipsoidal crown at height  $h_{\text{crown}} = h_{\text{tree}} - r_c$ . The shadow  
 424 centroid is displaced by  $d = h_{\text{crown}} / \tan(\gamma)$ ; the footprint is an ellipse with  $a =$   
 425  $r_c / \sin(\gamma)$  and  $b = r_c$ .

#### 426 *Irradiance weighting and transmissivity.*

427 Direct normal irradiance provides an irradiance weight  $w_t$ . Per-tree transmissivity  $\tau_i$   
 428 modulates the effective shade:

$$\text{ESA}_{i,t} = A_{\text{shadow},i,t} \cdot (1 - \tau_i) \cdot w_t \quad (3)$$

429 Total per-tree ESA is the sum across time steps.

#### 430 *Building shadows and net tree shade.*

431 Building shadows are computed from 3D BAG models using identical solar geometry.  
 432 Net tree shade is defined as the tree shadow footprint minus its overlap with building  
 433 shadows [15].

#### 434 *Sensitivity analysis.*

435 A Monte Carlo simulation ( $n = 1,000$  draws) simultaneously perturbs LAI ( $\pm 20\%$ ),  
 436  $k$  ( $\pm 20\%$ ), solar elevation ( $\pm 2^\circ$ ), and default transmissivity ( $\pm 20\%$ ). The 90% confi-  
 437 dence interval on citywide ESA is 0.49% of the mean, and neighbourhood Spearman  
 438 rank correlation is  $\rho = 0.9296 \pm 0.0009$  across all draws (Extended Data Fig. 2b).

439 **Woody-fraction sensitivity.**

440 The LiDAR-derived metric is effective plant area index ( $\text{PAI}_e$ ), which includes woody  
441 elements (bark, branches) in addition to leaves. To test whether the leaf-versus-plant  
442 distinction affects comparative conclusions, we apply a leaf-fraction scaling  $\text{LAI}_{\text{leaf}} =$   
443  $\alpha \cdot \text{PAI}_e$  with  $\alpha \in \{0.85, 0.90, 0.95, 1.00\}$ , spanning the plausible range for broadleaf  
444 species in leaf-on condition, and recompute genus-mean transmissivity at each value.  
445 Genus rank order is invariant across all  $\alpha$  (Spearman  $\rho = 1.000$ , Kendall  $\tau = 1.000$ ;  
446 Extended Data Fig. 5). Absolute magnitudes scale with  $\alpha$ : citywide mean genus  $\tau$   
447 increases from 0.288 at  $\alpha = 1.00$  to 0.344 at  $\alpha = 0.85$ , corresponding to  $2.88\times$  and  
448  $3.44\times$  the uniform  $\tau = 0.10$  baseline respectively. The  $\sim 3\times$  ratio over uniform models  
449 is stable across any plausible leaf-fraction assumption, and no comparative conclusion  
450 in this paper depends on the choice of  $\alpha$ .

451 **Jensen gap analysis.**

452 To quantify the shade contribution of within-neighbourhood LAI variance, for each  
453 neighbourhood with  $\geq 50$  trees ( $n = 484$ ) we computed the empirical mean shade  
454 fraction  $\bar{S}_{\text{emp}} = \overline{1 - e^{-k \text{LAI}_i}}$  (average across all trees in the neighbourhood) and the  
455 mean-aggregated prediction  $S_{\text{agg}} = 1 - e^{-k \overline{\text{LAI}}}$  (shade computed from the neighbour-  
456 hood mean LAI). The Jensen gap is  $\Delta = \bar{S}_{\text{emp}} - S_{\text{agg}}$ , expressed in percentage points.  
457 The second-order Taylor expansion of the concave function  $f(x) = 1 - e^{-kx}$  around  
458 the neighbourhood mean predicts  $\Delta_{\text{theory}} = \frac{1}{2}k^2 e^{-k\mu} \text{Var}(\text{LAI})$ ; we compare empirical  
459 and theoretical gaps via linear regression across the 484 qualifying neighbourhoods.

460 **3.5 Neighbourhood analysis and vulnerability index**

461 Trees were spatially joined to the 519 CBS BUURT neighbourhoods: 516 contain  
462 at least one detected tree, 512 contain  $\geq 50$  trees, and 484 of those also meet the  
463  $\text{CV} \geq 0.05$  within-neighbourhood variance threshold and qualify for the Jensen anal-  
464 ysis. The Heat Vulnerability Index is reported for the 366 neighbourhoods with  
465 complete component data; the socioeconomic correlations use the 426 neighbourhoods  
466 with a non-suppressed mean housing value and the 452 with a computable bijs-  
467 tand rate (CBS suppresses income statistics at this level). Per-neighbourhood canopy  
468 cover is the ratio of total crown area to land area (excluding water). The Shade Gap  
469 Index quantifies per-neighbourhood shade deficit relative to the 30% canopy-cover  
470 benchmark of the 3-30-300 rule [9].

471 The Heat Vulnerability Index is a normalized composite of three equally weighted  
472 components, each min-max scaled to  $[0, 1]$ : UHI exposure (RIVM, 10 m), canopy  
473 deficit (inverse ESA-derived shade supply), and elderly population share (% aged 65+,  
474 CBS 2023). Because the socioeconomic and health components of a full vulnerability  
475 index [32] are suppressed at this resolution, this composite is an exposure-plus-age  
476 screen rather than a complete vulnerability measure. The 73 neighbourhoods in its  
477 top quintile serve as an indicative screen for prioritisation, not a definitive ranking.

### 3.6 PAI<sub>e</sub> consistency assessment

Three independent tests confirm that relative rankings are stable under parameter uncertainty. First, the extinction-coefficient sweep ( $k_{\text{LiDAR}} \in [0.2, 0.6]$ ) preserves genus-level transmissivity rank at Spearman  $\rho = 0.976$  with no genus lines crossing. Second, Monte Carlo sensitivity ( $n = 1,000$  draws with  $\pm 20\%$  simultaneous perturbation of LAI,  $k$ , solar elevation, and default  $\tau$ ) yields a 90% confidence interval width of 0.49% of mean ESA and neighbourhood rank  $\rho = 0.9296 \pm 0.0009$ . Third, woody-fraction correction ( $\alpha \in \{0.85, 0.90, 0.95, 1.00\}$ ) leaves genus rank invariant ( $\rho = 1.000$  at all  $\alpha$ ; Extended Data Fig. 5). Propagating the LiDAR and solar extinction coefficients and the woody fraction *jointly* (credible priors  $k_{\text{LiDAR}} \in [0.25, 0.40]$ ,  $\alpha \in [0.85, 1.0]$ ;  $k_{\text{solar}}$  cancels in the normalization) bounds the citywide per-tree transmissivity at  $\approx 2.2\text{--}4.3\times$  the uniform  $\tau = 0.10$  (central  $\approx 3.1\times$ ), with  $k_{\text{LiDAR}}$  the dominant source of spread. Excluding all 135,571 flagged multi-tree polygons shifts mean LAI by  $< 0.06$  and neighbourhood rankings by  $\rho = 0.988$  (Extended Data Fig. 3). Recomputing the Jensen gap on the 701,192 unflagged trees alone leaves it essentially unchanged (mean  $+2.74$  pp vs  $+2.84$  pp;  $R^2 = 0.987$ ;  $n = 482$ ), confirming that crown under-segmentation does not materially inflate or deflate the within-neighbourhood variance term.

Raster-derived PAI<sub>e</sub> values are systematically lower than published species-specific references by  $\sim 2.4$  units across all genera examined (Extended Data Fig. 2a). The offset is approximately additive (constant across genera and tree sizes) rather than multiplicative, which preserves genus rank order regardless of the absolute calibration level. Because an additive LAI offset shifts every genus-mean transmissivity by a common multiplicative factor, it leaves the *ratios* between genera unchanged: the observed 1.3-fold genus range is therefore a property of the measured canopy, not an artifact of the offset. That this range is far narrower than the  $\sim 7$ -fold span reported for unconstrained single-tree specimens [22] is itself substantive: urban growing conditions (pruning, restricted rooting volume, competition for light) homogenize crown density across genera relative to forest-grown trees, so species selection operates over a real but compressed range. This offset is expected: literature values represent isolated, fully foliated specimens, whereas LiDAR-derived PAI<sub>e</sub> captures actual canopy conditions including gaps and urban growing constraints. Five *Ulmus* trees measured via hemispherical photography (RMSE =  $0.86 \text{ m}^2/\text{m}^2$  versus LiDAR estimates) confirm physical plausibility; this discrepancy lies within the inter-method variability (CV  $> 30\%$ ) documented for hemispherical photography of isolated urban trees [41]. This spot-check is limited to a single genus and season; dedicated field campaigns remain a priority.

Absolute PAI<sub>e</sub> magnitudes and derived transmissivity values should be interpreted as bounded estimates pending dedicated field validation; the comparative rankings that drive this paper's conclusions are stable across all parameter perturbations examined.

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524 **Competing Interests** The authors declare no competing interests.

525 **Data Availability** The complete Amsterdam per-tree urban-forest dataset will be  
526 released publicly on Hugging Face at [https://huggingface.co/datasets/thrmnn/invis-](https://huggingface.co/datasets/thrmnn/invisible-forest-amsterdam)  
527 [ible-forest-amsterdam](https://huggingface.co/datasets/thrmnn/invisible-forest-amsterdam) upon peer-reviewed publication; a preprint of this manuscript  
528 is posted on EarthArXiv. The analysis draws on the following public inputs: the  
529 Amsterdam BOMEN municipal tree register (2026 release), AHN5 national LiDAR  
530 and PDOK DSM/DTM (Kadaster/PDOK), Kadaster aerial RGB and colour-infrared  
531 imagery, CBS neighbourhood boundaries and demographics, RIVM urban-heat-island  
532 data, and 3D BAG LOD2 building models.

533 **Code Availability** The full processing pipeline and trained models will be released  
534 at <https://github.com/thrmnn/invisible-forest> upon peer-reviewed publication;  
535 documentation is available there now.

536 **Author Contributions** T.H. conceived the study, developed the methodology, per-  
537 formed all analyses, wrote the original draft, and created all visualizations. M.v.S.  
538 contributed to the investigation and provided writing, review & editing. T.V. super-  
539 vised the research locally and provided resources, facilitating data access at the  
540 Amsterdam Institute for Advanced Metropolitan Solutions. F.D. contributed to con-  
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542 supervision, resources, funding acquisition, and project administration. All authors  
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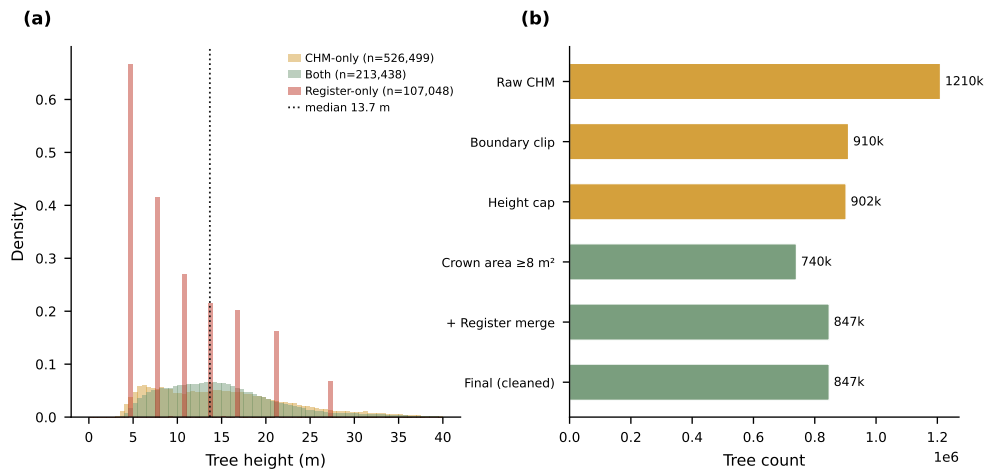
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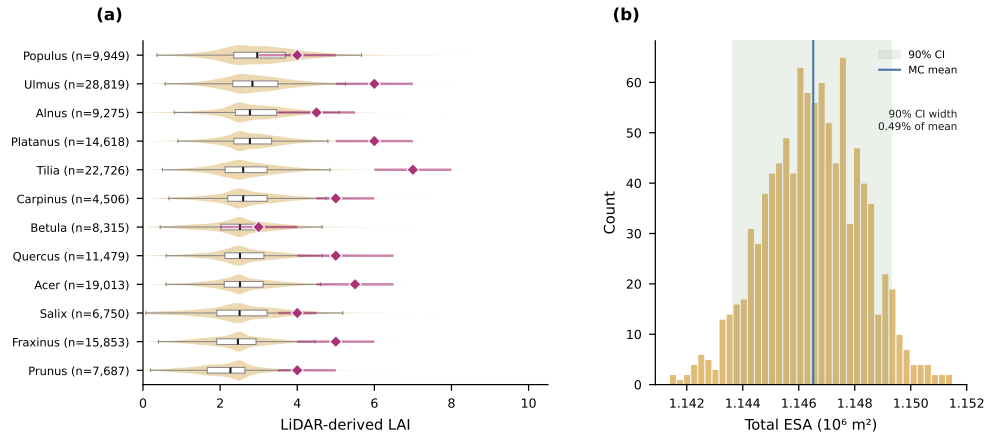
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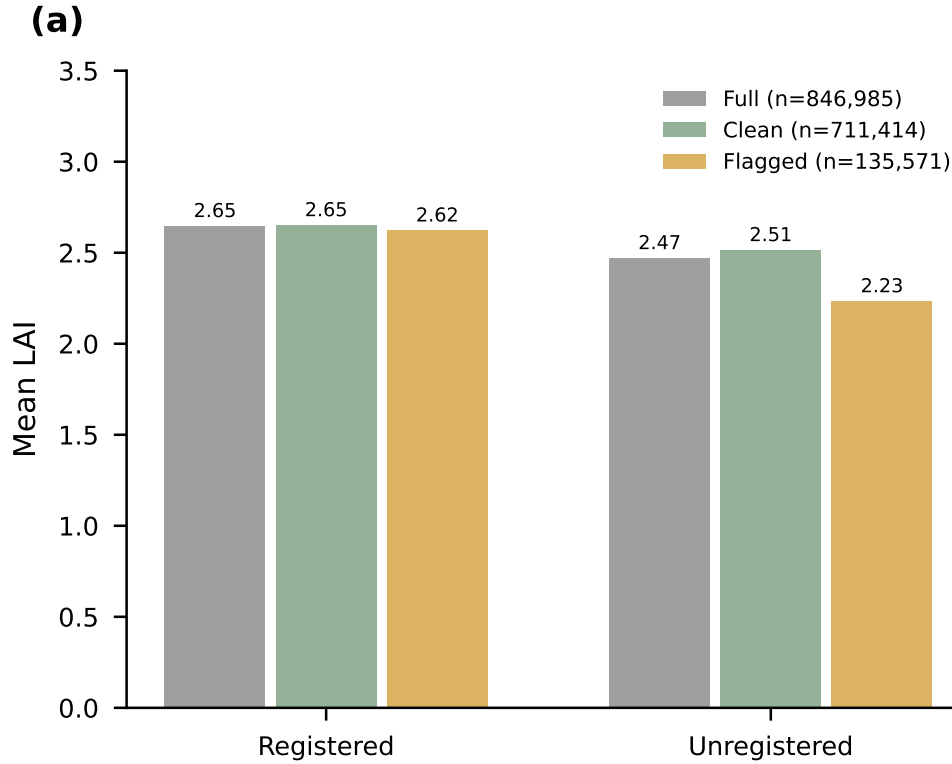
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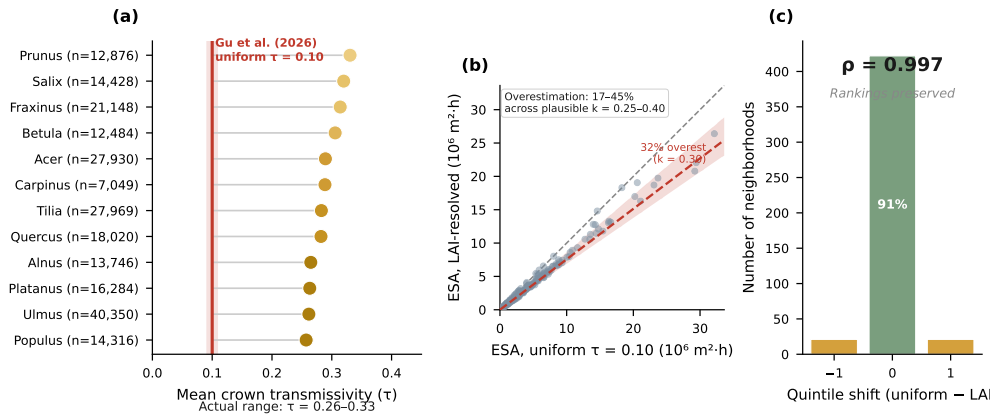
**Extended Data Fig. 1: Tree detection pipeline and canopy height.** **a**, Height distribution by source: CHM-only ( $n = 526,499$ ), both sources ( $n = 213,438$ ), and register-only ( $n = 107,048$ ). Median height 13.7 m. **b**, Detection pipeline yield from  $\sim 1.21$  M raw CHM detections to 846,985 merged labels after BOMEN 2026 re-join.



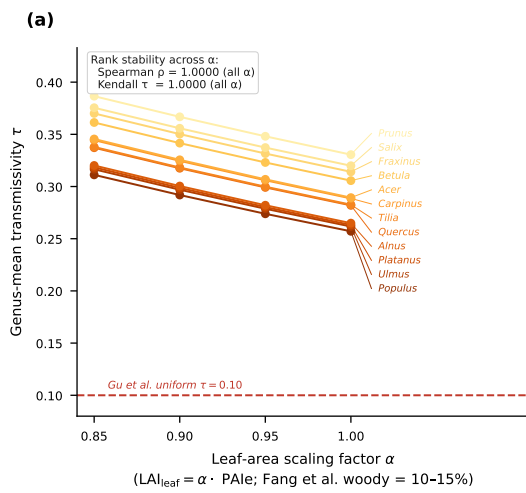
**Extended Data Fig. 2: LAI validation suite.** **a**, Species-specific LAI distributions from LiDAR raster extraction (violin plots, per-genus  $n$  shown) compared with published reference values (diamonds) for 12 genera. A consistent offset of  $\sim 2.4$  units reflects the difference between effective  $\text{PAI}_e$  and literature LAI; the offset is approximately additive (constant across genera) rather than multiplicative, preserving genus rank order regardless of absolute calibration. **b**, Monte Carlo sensitivity: distribution of citywide total ESA across 1,000 joint-perturbation draws, with a 90% confidence-interval width of 0.49% of the mean.



**Extended Data Fig. 3: Multi-tree polygon sensitivity.** Mean LAI by registration status: the full inventory versus excluding the 135,571 flagged multi-tree polygons. Mean LAI shifts  $<0.06$  and neighbourhood rankings by Spearman  $\rho = 0.988$ .



**Extended Data Fig. 4: Uniform vs per-tree shade.** Neighbourhood ESA under uniform  $\tau = 0.10$  vs LAI-resolved  $\tau$ : uniform model overestimates shade by  $\sim 21\%$  ( $\rho = 0.997$ , 91% same quintile).  $k$ -sweep uncertainty band spans 17–45% overestimation.



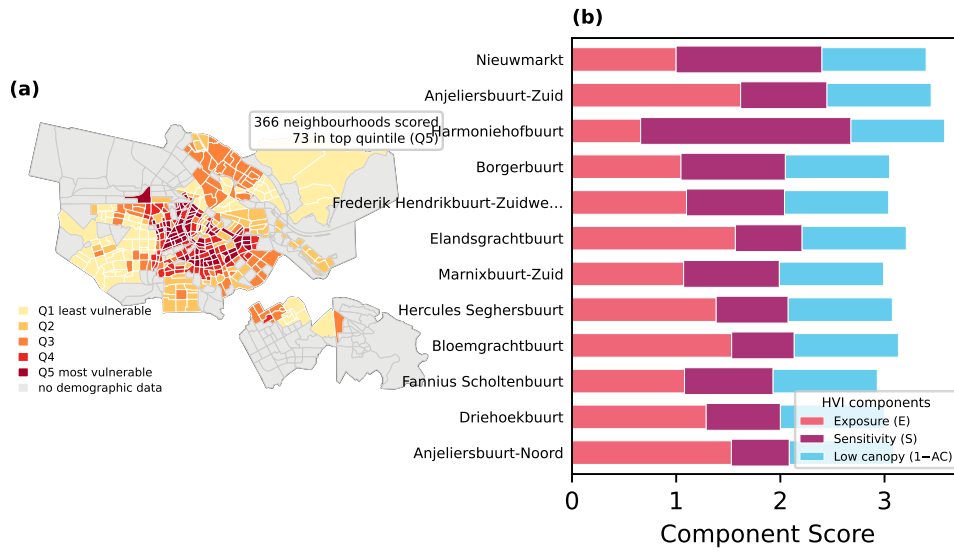
**(b)**

**Magnitude shift summary**  
Mean across top 12 genera,  
weighted by tree count.

$\alpha$	mean $\tau$	x Gu et al. uniform
0.85	0.344	3.44 ×
0.90	0.324	3.24 ×
0.95	0.306	3.06 ×
1.00	0.288	2.88 ×

Across  $\alpha = 1.00 \rightarrow 0.85$  the genus-mean transmissivity remains 2.88-3.44× the Gu et al. uniform  $\tau = 0.10$ ; genus rankings are unchanged at every  $\alpha$ .

**Extended Data Fig. 5: Woody-fraction sensitivity.**  $PAI_e$  scaled by  $\alpha \in \{0.85, 0.90, 0.95, 1.00\}$ : genus rank invariant ( $\rho = 1.000$  at all  $\alpha$ ). Magnitude shifts 2.88× ( $\alpha = 1.00$ ) to 3.44× ( $\alpha = 0.85$ ) relative to uniform  $\tau = 0.10$ .



**Extended Data Fig. 6: Heat Vulnerability Index.** **a**, HVI quintiles across the 366 neighbourhoods with complete demographic data; the 153 without CBS demographics are shown in grey. **b**, The twelve highest-HVI neighbourhoods, decomposed into exposure, sensitivity, and low-canopy components. HVI sums three equally weighted components, each min-max scaled to [0, 1] (UHI exposure, canopy deficit, elderly population share). The top three (Nieuwmarkt = 1.40, Anjelijsbuurt-Zuid 1.34, Harmoniehofbuurt 1.20) are stable across alternative weighting schemes (Spearman  $\rho = 0.74$ ); the full top-quintile list (73 neighbourhoods) is indicative screening, not a definitive ranking. The canopy-deficit component derives from the shadow-modelled shade layer, which is comparative and will be refreshed against the full canopy inventory in revision.

# Supplementary Information

## Per-tree leaf area index mapping of Amsterdam’s unrecorded shade canopy

Théo Alessandro Hermann, Michiel van Selm, Titus Venverloo, Fabio Duarte, Carlo Ratti

### S1 PAI<sub>e</sub> vs LAI: Terminology and Implications

The LiDAR-derived values reported in this study represent effective plant area index (PAI<sub>e</sub>), not classical leaf-only LAI. PAI<sub>e</sub> includes all canopy elements intercepting LiDAR pulses: leaves, bark, branches, and epiphytes. For broadleaf species in full leaf-on condition (as captured by the AHN5 summer acquisitions), woody elements typically contribute 10–15% of total PAI<sub>e</sub> [1].

For the shade modeling application in this study, PAI<sub>e</sub> is arguably more appropriate than classical LAI: radiation interception depends on all canopy elements encountered along the beam path, not only leaves. The Beer-Lambert transmissivity  $\tau = \exp(-k \cdot \text{PAI}_e)$  therefore captures the actual radiation attenuation more faithfully than a leaf-only metric would. The consistent 2.4-unit offset between our raster-derived values and published species-specific LAI references (Extended Data Fig. 2a) is largely attributable to this distinction, plus the difference between field-measured maximum LAI (isolated, fully foliated specimens) and LiDAR-observed effective PAI<sub>e</sub> (actual urban canopy conditions with gaps, asymmetry, and management effects).

Throughout the main text, we use “LAI” as the conventional shorthand, noting the PAI<sub>e</sub> distinction in the Methods and here.

### S2 Quality Filtering Pipeline

Supplementary Table S1 details the seven-step quality filtering pipeline applied to watershed-segmented crown polygons. Steps are ordered for computational efficiency: inexpensive geometric filters precede raster-based operations. The pipeline was developed iteratively using BOMEN register matches as ground truth for false-positive assessment.

The 8 m<sup>2</sup> minimum crown area threshold (Step 3) was selected by evaluating the trade-off between false-positive removal and true-positive retention across the range 4–20 m<sup>2</sup>, using BOMEN register matches (5 m centroid distance) as positive labels. At 8 m<sup>2</sup>, the filter achieves 92% reduction in false positives (non-vegetated small polygons) at 8% cost to true positives (legitimate small trees). The threshold preferentially removes rooftop features, antenna bases, and segmentation fragments while retaining the vast majority of actual tree crowns.

### S3 Dense Canopy Analysis

Watershed segmentation produces a single polygon for adjacent crowns when the inter-tree saddle point in the CHM is shallow relative to the canopy peaks. This under-segmentation is not random: it concentrates in parks, dense residential gardens, and canal-side corridors, precisely where unregistered trees cluster and where accurate shade accounting is most important.

The two-tier flagging system quantifies this issue without discarding data:

Table S1: Seven-step quality filtering pipeline. Removal percentages are cumulative from the raw segmentation output.

Step	Filter	Purpose	Parameter	Removal (%)
1	Boundary clip	Remove out-of-municipality detections	Amsterdam polygon	~27
2	Height cap	Remove non-tree artifacts (cranes, LiDAR errors)	40 m	~1
3	Crown area	Remove sub-tree fragments	8 m <sup>2</sup> min	~15
4	NDVI filter	Remove non-vegetated elevated features	NDVI < 0.2	~5
5	Register join	Enrich with species, height, planting year	5 m match	0 (enrichment)
6	Allometric flag	Identify potential multi-tree merges	See §S3	0 (flag only)
7	NMS dedup	Remove tile-boundary duplicates	IoU > 0.5	~3

36 **Tier 1 (Allometric anomaly detection)** flags polygons where observed crown dimensions  
 37 exceed allometric expectations. Expected crown diameter is modelled as  $d_{\text{expected}} = 0.5 \cdot h^{0.8}$ ,  
 38 following established urban tree allometric relationships. A polygon is flagged if any condition is  
 39 met: (a) observed diameter  $> 2 \times d_{\text{expected}}$ , (b) circularity  $< 0.2$  and area  $> 50 \text{ m}^2$ , or (c) elongation  
 40  $> 0.75$  and area  $> 50 \text{ m}^2$ .

41 **Tier 2 (Local maxima counting)** performs CHM peak detection within each flagged polygon  
 42 (minimum inter-peak distance: 1.0 m). Polygons with  $n_{\text{peaks}} \geq 2$  are classified as likely multi-tree  
 43 merges. The resulting classification: 48.9% confirmed single-tree, 46.5% likely multi-tree, 4.6%  
 44 fragments. Supplementary Figure S2 shows representative examples, the classification distribution,  
 45 and the spatial concentration of flagged polygons in parks and gardens.

46 All 135,571 flagged polygons (16.0% of the database) are retained with diagnostic columns  
 47 (allometric ratio, circularity, elongation, peak count, estimated tree count), enabling users to apply  
 48 custom filtering thresholds for their specific applications.

## 49 S4 Species LAI Reference Values

Table S2: Genus-specific LAI reference values used for the 3.4% of trees that carry a species label but no LiDAR raster coverage (a subset of the 12.6% gap-filled fraction). Values represent published leaf-on maxima for mature urban specimens.

Genus	Reference LAI (m <sup>2</sup> /m <sup>2</sup> )	Primary Source
Tilia	7.0	Konarska et al. 2014
Platanus	6.0	Peper et al. 2001
Quercus	5.5	Breuer et al. 2003
Acer	5.0	Breuer et al. 2003
Ulmus	5.0	Nowak 1996
Broadleaf default	5.0	Multi-source
Fraxinus	4.0	Breuer et al. 2003
Betula	3.5	Konarska et al. 2014

50 These values represent published maxima for isolated, fully foliated, mature specimens and are  
 51 systematically higher than the LiDAR-derived PAI<sub>e</sub> values (mean offset ~2.4 units; see Extended  
 52 Data Fig. 2a and §S1). The consistent cross-genus offset supports the use of these literature values  
 53 as an upper-bound tier for trees lacking raster coverage, while the LiDAR-derived values reflect  
 54 actual in-situ canopy conditions.

## 55 S5 Effective Shade Area: Extended Methodology

### 56 Shadow geometry derivation

57 At each time step  $t$ , the solar position is defined by azimuth  $\alpha_t$  (compass bearing of the sun) and  
 58 elevation  $\gamma_t$  (angle above the horizon). For a tree modelled as an ellipsoidal crown of radius  $r_c$   
 59 centred at height  $h_{\text{crown}}$ :

- 60 • **Shadow displacement:** The shadow centroid is offset from the trunk base by distance  
 61  $d_t = h_{\text{crown}} / \tan(\gamma_t)$  in the direction  $\alpha_t + 180^\circ$ .
- 62 • **Shadow elongation:** The shadow footprint is an ellipse with semi-major axis  $a_t = r_c / \sin(\gamma_t)$   
 63 (elongated along the solar bearing) and semi-minor axis  $b_t = r_c$  (perpendicular).
- 64 • **Shadow area:**  $A_{\text{shadow},t} = \pi \cdot a_t \cdot b_t = \pi r_c^2 / \sin(\gamma_t)$ .

65 At low solar elevations ( $\gamma < 15^\circ$ ), shadows become unrealistically elongated. We apply a solar  
 66 elevation floor of  $\gamma_{\text{min}} = 10^\circ$  to avoid numerical instabilities; time steps with  $\gamma < \gamma_{\text{min}}$  are excluded  
 67 from the integration.

### 68 Irradiance weighting

Direct normal irradiance  $I_t$  is estimated from solar elevation via a simplified clear-sky atmospheric  
 model:

$$I_t = I_0 \cdot \exp\left(-\frac{0.14}{\sin(\gamma_t)}\right)$$

69 where  $I_0 = 1361 \text{ W/m}^2$  is the solar constant. The irradiance weight  $w_t = I_t / \sum_t I_t$  normalizes  
 70 contributions across time steps, ensuring that midday shadows (high irradiance) contribute more to  
 71 the integrated ESA than early-morning or late-afternoon shadows.

### 72 Per-tree ESA integration

The Effective Shade Area for tree  $i$  on a given date is:

$$\text{ESA}_i = \sum_{t=1}^T A_{\text{shadow},i,t} \cdot (1 - \tau_i) \cdot w_t \cdot \Delta t$$

73 where  $T = 17$  time steps (09:00–17:00 at 30-minute intervals),  $\tau_i$  is the per-tree transmissivity from  
 74 Equation 2 in the main text, and  $\Delta t = 0.5 \text{ h}$ . The units are  $\text{m}^2 \text{ h}$ : irradiance-weighted shade area  
 75 accumulated over the diurnal cycle.

## 76 **Protection gap: top-decile shade trees by registration**

77 Ranking trees by gross ESA and cross-referencing registration status maps the neighbourhoods  
78 where the highest-shade trees are the least protected (main text Fig. 4b). Because this ranking  
79 is computed on the shadow-modelled tree set, which predates the final register re-join, the per-  
80 neighbourhood top-decile splits are reported comparatively rather than as absolute counts, and will  
81 be refreshed against the full canopy inventory in revision.

## 82 **S6 Sensitivity Analysis**

### 83 **One-at-a-time perturbation**

84 Each parameter is independently varied by  $\pm 20\%$  from its baseline value while holding all others  
85 constant. The resulting change in citywide ESA is expressed as a percentage of the baseline,  
86 producing a tornado chart that ranks parameters by influence.

### 87 **Monte Carlo joint perturbation**

88 All parameters are simultaneously drawn from independent uniform distributions:  $k_{\text{solar}} \sim U(0.4, 0.6)$ ,  
89 LAI scaling factor  $\sim U(0.8, 1.2)$ , solar elevation offset  $\sim U(-2^\circ, +2^\circ)$ , default transmissivity  $\sim$   
90  $U(0.08, 0.12)$ . For each of  $n = 1,000$  draws, the full ESA computation is repeated and neighborhood-  
91 level shade rankings are compared to the baseline via Spearman  $\rho$ .

92 The 90% confidence interval on citywide ESA is 0.49% of the baseline mean. Neighborhood rank  
93 correlation exceeds  $\rho = 0.93$  across all draws, confirming that the comparative findings central to  
94 the policy recommendations are robust to the joint parameter uncertainties considered.

95 The figures below document validation and quality-control procedures referenced in the main text:  
 96 hemispherical-photography validation of the LiDAR-derived LAI on five Ulmus trees (Supplemen-  
 97 tary Fig. S1, Table S3).

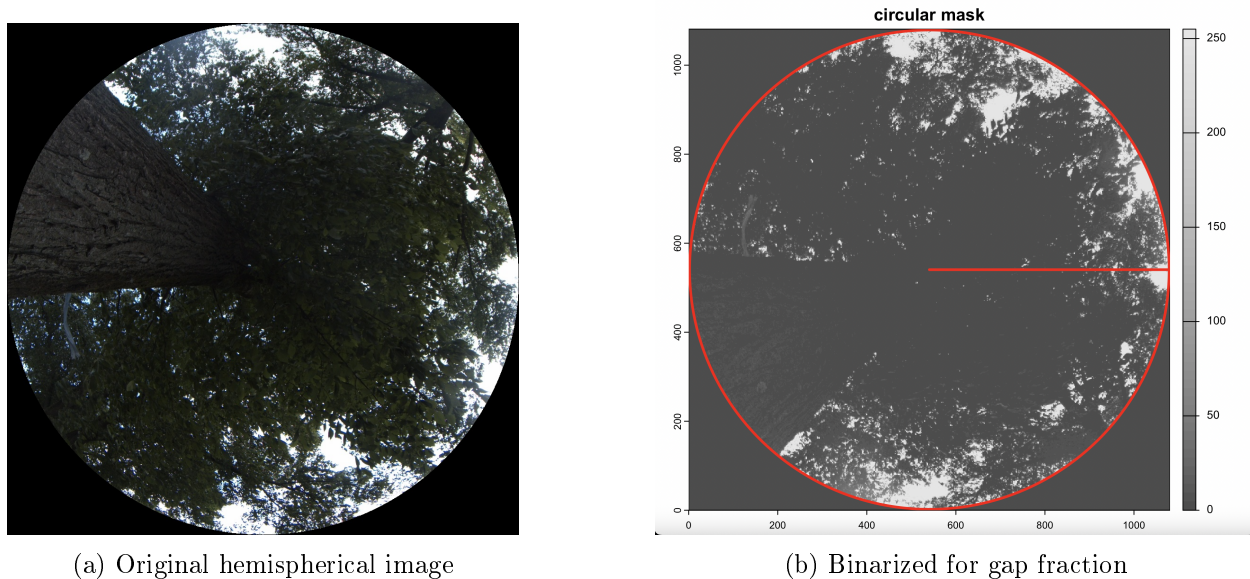


Figure S1: **Hemispherical photography validation.** (a) Upward-looking hemispherical fisheye image capturing canopy gap structure beneath an Ulmus tree. (b) Binarized image for gap fraction analysis. Five Ulmus trees measured via this method produced LAI values of 1.98–6.70  $\text{m}^2/\text{m}^2$  (RMSE = 0.86 vs LiDAR-derived estimates), confirming physical plausibility.

Table S3: Hemispherical photography LAI measurements for five Ulmus trees in Amsterdam.

Tree ID	Genus	Effective LAI ( $\text{m}^2/\text{m}^2$ )
1	Ulmus	6.70
2	Ulmus	4.33
3	Ulmus	3.19
4	Ulmus	2.92
5	Ulmus	1.98

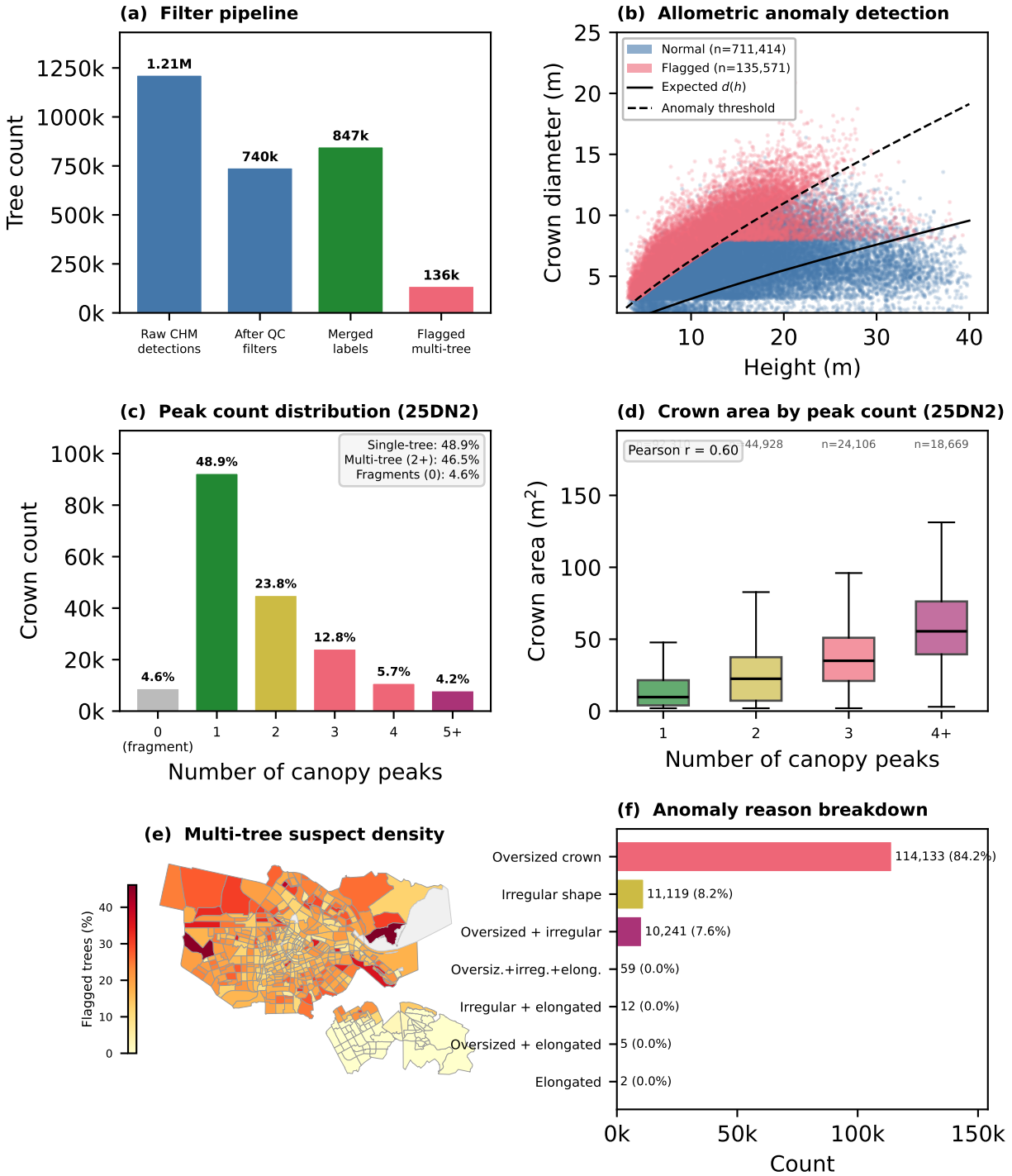


Figure S2: **Dense canopy analysis.** (a) Detection-pipeline funnel:  $\sim 1.21$  M raw CHM detections filter to 739,937 detected crowns, and the BOMEN register re-join adds 107,048 register-only entries for 846,985 merged labels, of which 135,571 are flagged as multi-tree suspects. (b) Allometric anomaly flagging: crown diameter versus height for normal ( $n = 711,414$ ) and flagged ( $n = 135,571$ ) crowns, with the expected allometry  $d = 0.5 h^{0.8}$  and the  $2\times$  anomaly threshold. (c) Tier-2 peak-count distribution on the illustrative tile 25DN2 (48.9% single-tree, 46.5% multi-tree, 4.6% fragments). (d) Crown area by peak count (25DN2). (e) Spatial distribution of flagged multi-tree suspects per neighbourhood. (f) Anomaly-reason breakdown for flagged polygons.

98 **S7 Additional Supporting Figures**

99 Figure S3 shows the distribution of effective LAI by registration status; unregistered (CHM-only)  
100 trees are slightly less dense on average than register-matched trees, consistent with the prevalence  
101 of younger self-seeded and private-garden growth. Figure S4 reports the RGB-only DeepForest  
102 detector evaluated as a transfer baseline for cities without LiDAR; its parameter sweep is given in  
103 Table S4.

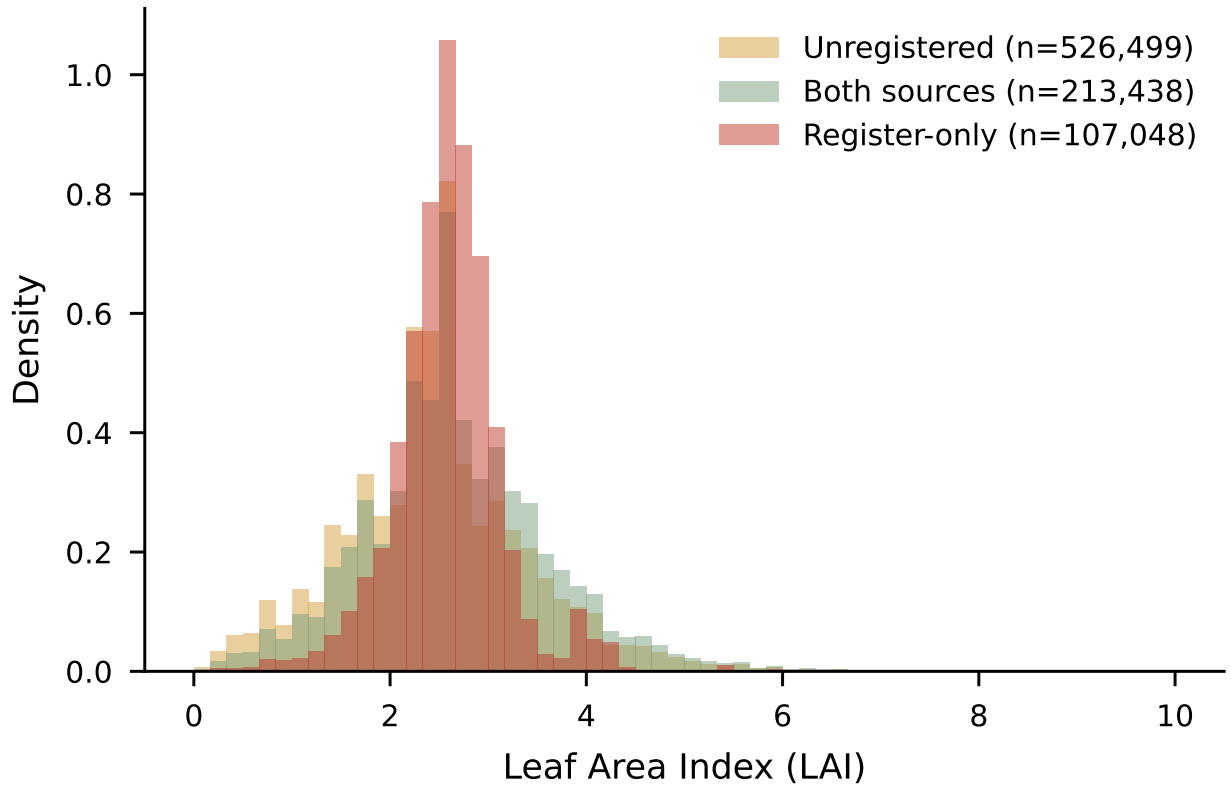


Figure S3: **LAI distribution by registration status.** LAI distribution by registration status: unregistered (mean 2.47), both-source (mean 2.67), register-only (mean 2.59). Distributions are computed from raster-derived  $PAI_e$  for every detected tree.

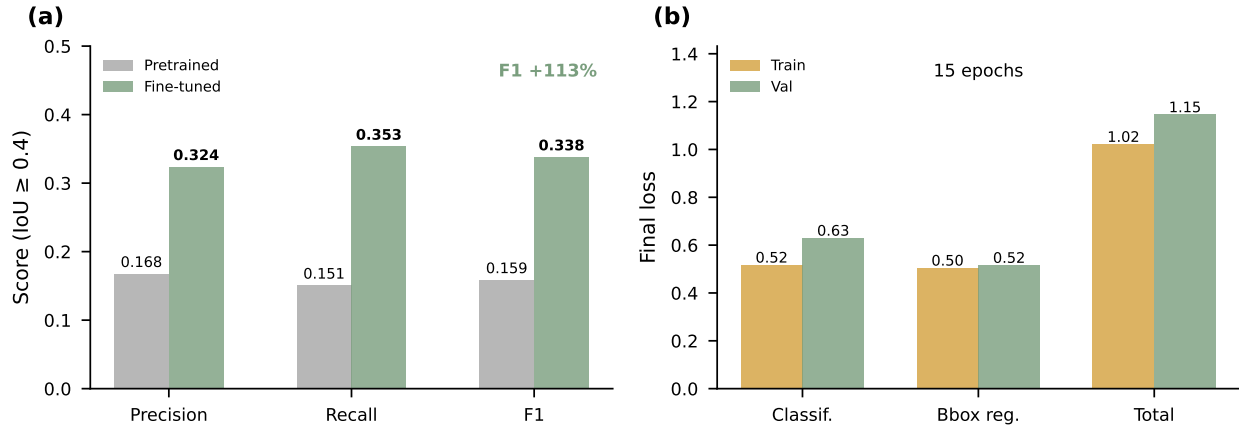


Figure S4: **DeepForest detection.**  $F_1 = 0.159$  (pretrained)  $\rightarrow 0.338$  (fine-tuned), +113% relative. RGB-only fallback for cities without LiDAR; substantially below LiDAR-based watershed performance.

Table S4: DeepForest parameter tuning results. Patch size  $\times$  overlap sweep on the Amsterdam dataset using spatial train/validation/test splits (70/15/15% by tile area). IoU threshold = 0.4. Best configuration highlighted.

Patch Size (px)	Overlap	Precision	Recall	F1	Notes
256	0.1	0.29	0.31	0.30	
256	0.3	0.31	0.33	0.32	
256	0.5	0.32	0.35	<b>0.338</b>	Best
384	0.1	0.28	0.30	0.29	
384	0.3	0.30	0.32	0.31	
384	0.5	0.31	0.33	0.32	
512	0.1	0.26	0.28	0.27	
512	0.3	0.28	0.30	0.29	
512	0.5	0.29	0.31	0.30	

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