

1 Missing emissions from post-monsoon agricultural fires in 2 northwestern India

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13 Abstract

14 A rising source of outdoor emissions in northwestern India is crop residue burning,
15 occurring after the monsoon (*kharif*) and winter (*rabi*) crop harvests. In particular, post-monsoon
16 rice residue burning, which occurs annually from October to November and is linked to
17 increasing mechanization, coincides with meteorological conditions that enhance short-term air
18 quality degradation. Here we examine the Global Fire Emissions Database (GFED), whose
19 bottom-up emissions are based on the 500-m burned area product, MCD64A1, derived from
20 Moderate Resolution Imaging Spectroradiometer (MODIS) observations. Using a household
21 survey from 2016, we find that MCD64A1 tends to underestimate burned area in many surveyed
22 villages, leading to poor representation of small, scattered fires and consequent spatial biases in
23 model results. To more accurately allocate such small fires and resolve within-village
24 heterogeneity, we use an experimental hybrid MODIS-Landsat method (ModL2T) to map burned
25 area at 30-m spatial resolution, which results in $44 \pm 21\%$ higher burned area than MCD64A1
26 and up to $105 \pm 52\%$ increase in dry matter emissions over GFEDv4s. In our validation and
27 assessments, we find that ModL2T performs better relative to MCD64A1 in terms of bias and
28 omission error, but may introduce commission error due to conflation of burning with harvest
29 and still underestimate burned area due to Landsat's coarse temporal resolution (every 16 days).
30 We conclude that while MODIS and Landsat provide more than two decades worth of
31 observations, their spatio-temporal resolution is too coarse to overcome several region-specific
32 challenges: small median landholding size (1-3 ha), quick harvest-to-sowing turnover period,
33 prevalence of partial burning, and increasing haziness. To further constrain agricultural fire
34 emissions in northwestern India and improve model estimates of associated public health
35 impacts, integration of finer resolution imagery, as well as better understanding of the spatial
36 patterns in burn rates, burn practices, and fuel loading, is requisite.

37 1 Introduction

38 India is embracing agricultural mechanization to increase crop productivity and decrease
39 labor costs in order to feed its rapidly growing population (Mehta *et al* 2014). Agriculture in

40 India is currently only mechanized on 40-45% of cropland, below that of the United States,
41 Russia, western Europe, China, and Brazil (57-95%) (Bai 2014, Mehta *et al* 2014). India's
42 projected population surge from 1.3 billion in 2015 to 1.7 billion by 2050 demands sustainable
43 increases in crop productivity, intensity, and yield, which in turn bolsters the rise of agricultural
44 mechanization (United Nations 2015). Traditionally, farmers collect crop residue to feed
45 livestock. However, as India mechanizes, farmers are using combine harvesters, which leave
46 behind scattered crop residues that are labor intensive to remove (Vadrevu *et al* 2011, Kumar *et*
47 *al* 2015). Gupta (2012) estimates that rice residues in 90% of area harvested by combine
48 harvesters are burned in Punjab, in which emissions can severely degrade regional air quality
49 seasonally (Gupta 2012, Kumar *et al* 2015, Liu *et al* 2018). However, the air quality impacts
50 from agricultural fires remain highly uncertain due to differences in global fire emissions
51 inventories that are coupled with atmospheric transport models (Cusworth *et al* 2018). Here we
52 assess the challenges of using satellite observations to map burned area and active fires in order
53 understand where current emissions estimates are most underestimated and uncertain.

54 In this study, we focus on the post-monsoon burning season in northwestern India.
55 Previous work using satellite fire detections and HYSPLIT atmospheric back trajectories
56 suggests that pre-monsoon (April-May) wheat residue burning is of less concern to the Delhi
57 National Capital Region's air quality than post-monsoon (October-November) rice residue
58 burning due to different atmospheric transport patterns, higher ventilation from high boundary
59 layer conditions, and less overall fire intensity (Liu *et al* 2018). Smoke plumes from post-
60 monsoon crop residue burning, primarily originating from agricultural states Punjab and
61 Haryana, are transported across the densely-populated Indo-Gangetic Plain (IGP) (Figure 1). In
62 general, carbonaceous particles in smoke can be transported hundreds of kilometers in the
63 atmosphere (Sharma *et al* 2010, Kaskaoutis *et al* 2014). Besides air quality degradation and
64 public health impacts, crop residue burning also inhibits the productivity of the next cropping
65 season by reducing soil quality (Gupta *et al* 2004). However, the short timeframe to clear fields
66 of rice residue and sow winter wheat is a key limiting factor, thus leading to increased combine
67 harvester use and subsequent burning (Gupta 2012, Jain *et al* 2014). Thus, despite restrictions on
68 agricultural burning, farmers continue to burn crop residue due to the lack of viable, well-
69 incentivized, and cost-effective alternatives.

70 In this study, we first quantify the range of post-monsoon agricultural fire emissions
71 estimates from five inventories for Punjab and Haryana, from 2003-2016. Specifically, we assess
72 the region-specific challenges of estimating fire activity using satellite-derived burned area and
73 active fire products, which are used as input in emissions inventories. We then develop a hybrid
74 MODIS-Landsat method to experimentally downscale post-monsoon agricultural burned area
75 from 500-m to 30-m spatial resolution. Next, we validate burned area estimates using household
76 survey data and make further assessments using 375-m Visible Infrared Imaging Radiometer
77 Suite (VIIRS) active fire detections and MODIS aerosol optical depth (AOD). We evaluate
78 active fire products using fine-resolution (<5 m) imagery. Finally, we discuss crop residue
79 burning practices in northwestern India in the context of policy changes and increasing
80 mechanization and land fragmentation.

81 2 Data and methods

82 2.1. Overview of study area and satellite-derived datasets

83 The study area consists of two neighboring agricultural states in northwestern India,
84 Haryana and Punjab (Figures 1, S1). Punjab and Haryana are situated at the heart of India's
85 "bread basket," where most farmers predominantly follow a rice-wheat rotation.

86 Table S1 summarizes the satellite-derived surface reflectance, fire, and land cover
87 datasets, primarily from MODIS and Landsat, used in this study. We use Google Earth Engine
88 (GEE), a cost-free, petabyte-scale cloud computing platform, to retrieve datasets and for
89 geospatial analysis (Gorelick *et al* 2017). We also survey five global fire emissions inventories,
90 spanning a range of "bottom-up" burned area-based and "top-down" fire energy-based methods,
91 to evaluate differences in agricultural fire emissions over the study region: (1) Global Fire
92 Emissions Database (GFEDv4s; van der Werf *et al* 2017), (2) Fire Inventory from NCAR
93 (FINNv1.5; Wiedinmyer *et al* 2011), (3) Global Fire Assimilation System (GFASv1.2; Kaiser *et*
94 *al* 2012), (4) Quick Fire Emissions Dataset (QFEDv2.5; Darmenov and da Silva 2013), and (5)
95 Fire Energetics and Emissions Research (FEERv1.0-G1.2; Ichoku and Ellison 2014). Each
96 inventory is described in more detail in appendix S1.3.

97 2.2. Burned area and active fires: validation and assessments

98 2.2.1. Burned area

99 Previous studies on high-resolution agricultural burned area estimation in northwestern
100 India span 1-2 years of study (PRSC 2015, Yadav *et al* 2014a, 2014b). Here we use GEE to
101 expand the study time period to 14 years, from 2003-2016, and estimate the total extent of post-
102 monsoon agricultural burned area at 30-m spatial resolution, improving on "baseline" 500-m
103 MODIS MCD64A1 burned area with better spatial allocation of small fires. Here we primarily
104 focus on burned area, because Landsat has no active fire product. Our hybrid MODIS-Landsat
105 method is a simplified version of the MCD64A1 global burn mapping algorithm and GFEDv4s
106 small fires boost approach of integrating active fires as training data (Giglio *et al* 2009,
107 Randerson *et al* 2012). MODIS 1-km active fire locations represent endmembers of larger
108 clusters of small fires from which we can obtain the spectral signature and apply to Landsat at
109 higher resolution. ModL2T is described in more detail in appendix S2. Figure S3 describes the
110 workflow for the ModL2T algorithm, which can be summarized as follows: (1) pre-process
111 individual scenes; (2) composite cloud-free scenes in pre-fire and post-fire collections; (3) define
112 thresholds based on the quantile intersection of normalized burn ratio (NBR), a metric used
113 extensively in burn scar mapping, in burned and unburned agricultural areas; (4) separately
114 derive MODIS and Landsat burned area using NBR thresholds; and (5) merge Landsat and
115 MODIS classifications and apply an agricultural mask.

116 We independently validate burned area by using a 2016 household survey on farm
117 management practices across the IGP. The survey asks participants whether crop residue is
118 burned before planting wheat. Because the survey responses inherently distinguish between
119 burned and unburned fields, this validation addresses the conflation of burning with harvest. We
120 use 1112 responses from farmers in 30 Punjab and 32 Haryana villages, spanning eight districts.
121 Because the GPS coordinates associated with each response are not located in-field, we cannot
122 match responses to individual fields. We thus group responses by village name and match mean

123 GPS coordinates with an accuracy <10 m to village shapefiles. On average, 18 ± 5 households
124 were surveyed per village. We normalize the % households that burned crop residue by
125 approximate operated landholding area. We do not account for partial burns and assume a field is
126 entirely burned if a farmer affirms crop residue burning. For comparison, we estimate the %
127 BA_{ModL2T} and $BA_{MCD64A1}$ of total village cultivated area based on 30-m GlobeLand30 and 500-m
128 MODIS MCD12Q1 land cover, respectively. Due to these normalized approximations spurred by
129 data limitations, the two metrics of % burning per village are not directly comparable on a 1:1
130 basis. We further assess $BA_{MCD64A1}$ and BA_{ModL2T} with simple checks using: (1) higher
131 resolution active fire locations from VIIRS (pixel-level), (2) previous burned area estimates
132 (district-level) and (3) satellite AOD (region-level). These assessments and their caveats are
133 described in detail in [appendix S3.4](#).

134 We next estimate the maximum relative increase in GFEDv4s agricultural dry matter
135 (DM) emissions by using ModL2T and MCD64A1 C6 burned area; DM emissions can be
136 converted to other chemical species (e.g. CO_2 , CO, OC, BC) using emissions factors (Akagi *et al*
137 2011). While DM emissions are often calculated using estimates of fuel loading and combustion
138 completeness in addition to burned area in bottom-up approaches, we exploit the highly linear
139 GFEDv4s DM/BA slope for each $0.25^\circ \times 0.25^\circ$ grid cell to directly scale BA to DM ([appendix](#)
140 [S4](#)).

141 2.2.2. Active fires

142 We use Google Earth's sparse collection of fine-resolution (<5 m) historical imagery
143 (DigitalGlobe and CNES/Airbus) to validate the MxD14A1 active fire product. Using all
144 publicly available DigitalGlobe and CNES/Airbus imagery, we estimate omission error
145 using >500 identified ignition hotspots, spanning >400 1-km pixels, for 34 different days over
146 Oct-Nov, 2010-2016; we can pinpoint these active fires by tracing smoke plumes back to
147 individual fields. We also categorize each ignition as a complete or partial burn to assess
148 variations in satellite detection of fires related to the method of burning. We define complete
149 burns as burn scars that extend across entire fields in which both intact and loose residues are
150 burned and partial burns as circular or ring-shaped burn scars often located in the center of fields,
151 where loose residues are stacked. We can then assign a date to the in-progress fires based on the
152 scene acquisition date, adjusted to local time, and determine whether MODIS indeed detected
153 these fires on the same day.

154 Finally, we assess the capability of INSAT-3D, a geostationary satellite that provides
155 active fire counts every 30 minutes since October 2013, to map post-monsoon agricultural fires
156 despite its coarse 4-km spatial resolution.

157 2.3. Landholdings and mechanization

158 We consider ancillary data on landholdings and combine harvester use to assess trends in
159 land fragmentation and mechanization. The Indian Department of Agriculture, Cooperation, and
160 Farmers Welfare conducts the agricultural census and provides two online quinquennial
161 databases: Agricultural Census and Input Survey. The Agricultural Census database, which is
162 based on census and input sample survey data, includes detailed data on landholdings in India
163 from 1995-96 to 2010-11 (<http://agcensus.dacnet.nic.in/>); the Input Survey database contains
164 information on agricultural implements and machinery, including combine harvesters, from
165 1996-97 to 2011-12 (<http://inputsurvey.dacnet.nic.in/>). In addition, the 2016 household survey

166 asks participants about rice harvesting methods. Response choices include: fully mechanical (e.g.
167 combine harvester), partially mechanical (e.g. thresher), and manually. We exclude 140
168 responses from farmers who never harvested rice.

169 **3 Results**

170 *3.1. Spatio-temporal distributions in fire activity*

171 Following Vadrevu *et al* (2011), we use the 1-km combined MODIS/Terra and Aqua
172 active fire counts (MCD14ML) to show average annual timing of pre-monsoon (April-May) and
173 post-monsoon (October-November) fire activity, from 2003-2016 (Figure 2a). We put this in
174 context of the rice-wheat rotation in northwestern India, which we show as variations in
175 greenness estimated from MODIS MOD09A1 8-day composite NBR. Whereas high NBR
176 represents peak growth of the monsoon crop during late February to early March and winter crop
177 during late August to early September, low NBR is associated with bare soil and burn scars post-
178 harvest and after crop residue burning. MCD64A1 burn frequency shows repeated post-monsoon
179 fire activity from 2003-2016, particularly in southern-central Punjab (Figure 2b), where fires
180 occur later in the fire season than in northern Punjab (Figure 2c). In addition, Aqua (1:30 pm
181 local time, daytime overpass) averages $647 \pm 293\%$ higher in fire counts than Terra (10:30 am)
182 during the 2003-2016 post-monsoon burning seasons, which is consistent with the early to late
183 afternoon peak fire energy (Figure S6, appendix S3.2).

184 *3.2. Quantification of post-monsoon fire activity*

185 Current inventory estimates of post-monsoon fire emissions over Punjab and Haryana are
186 highly variable at 74-107% in coefficient of variation and range from 12-119 Tg OC+BC (Table
187 1). The order-of-magnitude higher emissions from FEERv1.0-G1.2 is influenced by its top-down
188 calculation of aerosol emissions using smoke AOD observations, whereas bottom-up inventories,
189 such as GFEDv4s and FINNv1.5, depend heavily on satellite fire observations (Ichoku and
190 Ellison 2014, van der Werf *et al* 2017, Wiedinmyer *et al* 2011). While the two other top-down
191 inventories, GFASv1.2 and QFEDv2.5, adjust for unobserved fires obscured by clouds, both
192 calibrate emissions using GFEDv4s, which may explain the low bias in these two inventories
193 (Kaiser *et al* 2012, Darmenov and da Silva 2013).

194 *3.2.1. Validation and assessments of MCD64A1 and ModL2T burned area*

195 Post-monsoon BA_{ModL2T} is on average $44 \pm 21\%$ higher than $BA_{MCD64A1}$ in Punjab and
196 Haryana from 2003-2016 (Figure 3, Table S4). We estimate 45-72% of BA_{ModL2T} with good
197 confidence (score ≥ 3) and 16-36% for experimental Landsat-only BA_{ModL2T} boost (score = 2)
198 (Figure S5). Proportionally, $BA_{MCD64A1}$ in Haryana constitutes a smaller fraction ($14 \pm 3\%$) of
199 total burned area in the study region than BA_{ModL2T} ($26 \pm 3\%$). This indicates that the increase in
200 burned area from ModL2T over MCD64A1 is driven by additional burn scar detections in
201 Haryana. Using the strongly linear relationship between GFEDv4s BA and agricultural dry
202 matter (DM) emissions, we estimate that using C6 MCD64A1 and ModL2T burned area
203 increases post-monsoon GFEDv4s DM emissions by $44 \pm 22\%$ and $105 \pm 52\%$, respectively,
204 from 2003-2016 (Figure S13).

205 We independently validate burned area with household survey data from 2016. We
206 compare post-monsoon village-level survey crop residue burning rates, normalized by total

207 landholding area, with $BA_{MCD64A1}$ and BA_{ModL2T} expressed as a fraction of cropland area. The
208 village-level fraction of surveyed households that burn crop residue is moderately correlated with
209 fractional BA_{ModL2T} ($r = 0.67$, $p < 0.05$) (Figures 3c, 4a). ModL2T underestimates burn rates for
210 villages with high fractional burn rates (0.9-1), which may be partly due to partial burning and
211 uncertainties in agricultural area mapped by GlobeLand30 (Figure 4b). $BA_{MCD64A1}$ achieves a
212 weaker correlation of $r = 0.6$ ($p < 0.05$) with higher normalized mean bias and severe
213 underestimates in burned area for many villages, skewing its distribution toward low fractional
214 burn rates (Figure S7).

215 We assess omission and maximum commission errors based on the co-location of VIIRS
216 active fire detections with $BA_{MCD64A1}$ and BA_{ModL2T} , from 2012-2016. With a higher spatial
217 resolution (375 m) than MODIS/Terra and Aqua (1 km), VIIRS more consistently detects
218 smaller and cooler fires (Figure S8). We find that BA_{ModL2T} yields a lower omission error (1-8%)
219 than $BA_{MCD64A1}$ (33-46%) (Table S4). The maximum commission error is much higher for
220 BA_{ModL2T} (43-55%) than $BA_{MCD64A1}$ (10-19%), but may reflect undetected active fires outside
221 VIIRS overpasses or those obscured by thick haze or clouds. In particular, $BA_{MCD64A1}$ is often
222 unable to detect active fire hotspots in regions with prevalent partial burning, such as in central
223 Haryana and northern Punjab (Figures 3b, 4, S8). Over the 5-year period from 2012-2016, VIIRS
224 detected active fires in 68% of the 0.02° grid cells in Punjab and Haryana, while MODIS only
225 detected active fires in 54% of the area (Figure S4c). In addition, VIIRS detected that 41% of
226 grid cells burned consecutively from 2012-2016, while MODIS detected only 14% of grid cells
227 by this criterion.

228 Next, we compare district-level burned area with previous estimates (PRSC 2015; Yadav
229 *et al* (2014a; 2014b)). Overall, total Punjab BA_{ModL2T} is 6% lower and 7% higher than that of
230 PRSC (2015) in 2014 and 2015, respectively. In contrast, Punjab $BA_{MCD64A1}$ is lower than PRSC
231 (2015) burned area estimates in both 2014 and 2015 by 19% and 2%, respectively (Figure S9).
232 For northern Haryana districts, both ModL2T and MCD64A1 tend to overestimate burned area
233 relative to Yadav *et al* (2014a; 2014b). District-level BA_{ModL2T} and $BA_{MCD64A1}$ are strongly
234 correlated ($r = 0.87-0.88$, $p < 0.05$) with previous burned area estimates. In terms of mean
235 absolute error, ModL2T (251 km²) outperforms MCD64A1 (282 km²). However, MCD64A1
236 (slope = 1.04 ± 0.08) shows less overall bias than ModL2T (slope = 0.89 ± 0.07).

237 Finally, we assess detrended interannual variations in mean post-monsoon MODIS AOD
238 and BA_{ModL2T} . Similar to daily FRP-AOD relationship quantified in Liu *et al* (2018), we find that
239 regional BA_{ModL2T} is weakly positively correlated with mean regional AOD ($r = 0.46$, $p = 0.1$),
240 but not statistically significant (Figure S10a). Comparatively, $BA_{MCD64A1}$ is unexpectedly anti-
241 correlated with AOD ($r = -0.54$, $p < 0.05$) (Figure S10b).

242 3.3. Validation of active fires with fine-resolution imagery: two burning practices

243 Two main crop residue burning practices are observed in Punjab and Haryana: complete
244 and partial burns (Gupta 2012, Kumar *et al* 2015). Although farmers employ a mixture of the
245 two practices, mapped active ignitions from available fine-resolution imagery show that
246 complete burns are widespread in Punjab and northern Haryana, while partial burns are more
247 pervasive in central and southeast Haryana (Figure 3b). Complete burns induce dark scarring
248 over entire fields such that adjoining fields burned in this way within days of each other are
249 starkly contrasted against the surrounding unburned landscape (Figure 5a). Partial burns leave
250 small, circular or ring-shaped scarring in the center of fields; only $\sim 1/9$ of the field area is scarred

251 (Figure 5b). We find that the MODIS active fire product poorly matches in-progress fires
252 identified from available fine-resolution imagery. Same-day omission error is 95%, with all co-
253 locations from complete burns (Table 2). Same-season omission error decreases to 75%,
254 suggesting active fires within the same 1-km pixel were detected on other days.

255 3.4. Trends in landholding size, combine harvesters, and agricultural burning

256 The median landholding size in Haryana (1-2 ha) is smaller than that in Punjab (2-3 ha)
257 (Figure S14). After some consolidation of small landholdings from 1995-96 to 2000-01,
258 landholdings became increasingly fragmented from 2000-01 to 2010-11. From 1996-97 to 2011-
259 12, the number of combine harvesters increased over 20-fold from 14,664 to 297,132 in Haryana
260 and almost 3-fold from 93,191 to 256,162 in Punjab. Based on the 2016 household survey, 72%
261 of farmers using a combine harvester to harvest rice subsequently burned the crop residue in
262 preparation for sowing wheat in Punjab and Haryana, compared to manual harvesting (8%)
263 (Table 3). Mechanization is less strongly linked to burning in Haryana, where only 32% of
264 farmers using combine harvesters burn rice residue, compared to 87% in Punjab. Overall, of
265 those who burned rice residue, 98% had used fully or partially mechanical methods of
266 harvesting.

267 Overall, BA_{ModL2T} increased by $966 \pm 179 \text{ km}^2 \text{ yr}^{-1}$ ($p < 0.05$), or 82% in total, from
268 2003-2016 (Figure S10c). While increased Landsat scene availability (Figure S2) may account
269 for the some of the upward trend in BA_{ModL2T} , the upward trend in $BA_{MCD64A1}$, which has no
270 dependency on Landsat, is higher at $974 \pm 85 \text{ km}^2 \text{ yr}^{-1}$ ($p < 0.05$), or 142% in total. Over the
271 same 14-year time period, mean Oct-Nov satellite AOD increased by 39% in total, or $0.017 \pm$
272 0.003 yr^{-1} ($p < 0.05$) (Figure S10d); increased aerosol loading during the post-monsoon is also
273 apparent from ground-based column AOD measurements from the Aerosol Robotic Network
274 (AERONET) site at Lahore (in the neighboring Pakistan province of Punjab) (Figure S11).

275 4 Discussion

276 4.1. MCD64A1 and ModL2T burned area: validation, assessments, and uncertainties

277 In northwestern India, increasing rates of post-monsoon agricultural burning enhance
278 downwind air quality degradation and are linked to more widespread use of mechanized
279 harvesting methods. Emissions estimates for agricultural fires in northwestern India are poorly
280 constrained, on average ranging from 12-119 Tg OC+BC over the post-monsoon burning period
281 among five inventories. In this study, we target the MODIS-derived burned area estimates used
282 as input in GFEDv4s. MCD64A1, a primary input in GFEDv4s, is known to perform poorly in
283 various agricultural regions (Giglio 2015, Hall *et al* 2016, Zhu *et al* 2017, Lasko *et al* 2017,
284 Fornacca *et al* 2017). We combine MODIS and Landsat imagery to experimentally improve the
285 spatial allocation of post-monsoon agricultural burned area in northwestern India for 14 years
286 from 2003-2016. Use of Landsat imagery has been primarily limited by: (1) its low temporal
287 resolution (16 days) and (2) storage and computing power. To minimize these limitations, we
288 implement a hybrid MODIS-Landsat approach in GEE to rapidly process large collections of
289 MODIS and Landsat imagery and expand the spatio-temporal range of study. Our simplified
290 methodology is subject to several limitations, such as inconsistent Landsat availability, averaging
291 of NBR across Landsat platforms, region-averaged NBR thresholds, and assumption that timing
292 of the crop cycle is relatively homogeneous. Nevertheless, we find that incorporating Landsat

293 imagery can improve the spatial allocation of small fires in northwestern India, which is
294 important for modeling studies in which small fire emissions in close proximity to population
295 centers can significantly impact local air quality estimates.

296 In comparison to MCD64A1, the ModL2T algorithm estimates on average $44 \pm 21\%$
297 higher burned area in Haryana and Punjab during post-monsoon, from 2003-2016. ModL2T
298 allocates burned area for partial burns in Haryana that are largely unaccounted for in MCD64A1.
299 Validation of burned area with household survey data in 2016 suggests that the ModL2T
300 algorithm can estimate burned area with increased accuracy ($r = 0.67$, $NMB = -25.7\%$),
301 compared to MCD64A1 ($r = 0.6$, $NMB = -28.6\%$). In additional assessments, we find that
302 BA_{ModL2T} improves on $BA_{MCD64A1}$ in terms of omission error, comparison with previous
303 estimates of burned area, and relationship with satellite AOD, but may introduce commission
304 errors (appendix S3.4).

305 4.2. Limitations of burned area and active fire algorithms in northwestern India

306 We identify several key limitations that make the spatio-temporal resolution of MODIS,
307 Landsat, VIIRS, and INSAT-3D insufficient for detecting active fires and accurately mapping
308 cropland burned area in northwestern India: (1) prevalence of partial burning, (2) small
309 landholding sizes and increasing fragmentation, (3) short duration of fires, (4) possible
310 commission error from conflation of burning with harvest, (5) quick harvest-to-sowing period
311 lead to missed fires, and (6) increasing haziness that limits satellite observing area.

312 Based on the two dominant types of burning practices in Punjab and Haryana, partial
313 burning, which is prevalent in central and southern Haryana, may be more difficult to detect due
314 to sub-landholding size fires. This difficulty is compounded by small median landholding sizes
315 in Haryana (1-2 ha) and Punjab (2-3 ha). The inability of MODIS to readily detect partial burns
316 and its tendency to homogenize over clusters of fields means that GFED4s grid cells with mostly
317 partial burning are likely to contain a small sample of small fires, or none. This implies that the
318 potential of the GFEDv4s small fires boost is limited in these areas in particular, and that the
319 spatial allocation of these small fires is also not well-represented.

320 The GFEDv4s small fire boost relies on active fire hotspots and dNBR-based ratios from
321 16-day MODIS surface reflectance composites (Randerson *et al* 2012). This methodology
322 assumes a linear correlation of burn severity with burned area. However, unlike wildfires, whose
323 burn severity and burned area extent can vary greatly, cropland fires are generally controlled in
324 burn rate, time, and area, thus limiting the upper bound of burn severity and burned area extent
325 per fire. For cropland fires, dNBR has been used more as a threshold for burned area
326 classification rather than a proxy for burn severity (e.g. McCarty *et al* 2008, 2009, Oliva and
327 Schroeder 2015, Zhu *et al* 2017, Zhang *et al* 2018). However, the decline in NBR at the end of
328 the growing season is influenced by both harvest and burning (Hall *et al* 2016). Clearly
329 attributing decreases in NBR to burning remains challenging due to noise in the daily NBR
330 timeseries. Further, the limited harvest-to-sowing turnaround period during post-monsoon means
331 that burning may immediately follow harvest (Kumar *et al* 2015); we find that burn scars can
332 disappear as soon as within several days. The low temporal availability of Landsat further
333 increases its susceptibility to low pixel availability from haze and clouds, possibly leading to
334 large mismatches in the satellite acquisition date between neighboring scenes. We conclude that
335 both Landsat and MODIS surface reflectance products (8-day and 16-day) are fundamentally too
336 temporally coarse to accurately classify burned area.

337 Unlike burned area, active fires are derived from thermal anomalies and thus not
338 susceptible to conflation of burning with harvest. However, detection of small, short-lasting fires
339 is greatly hindered by coarse spatio-temporal resolution. In India, agricultural fires typically last
340 no more than half an hour (Thumaty *et al* 2015). We find an omission error of >90% by the
341 MODIS active fires product. VIIRS, at a higher 375-m spatial resolution, detected ~20% more
342 0.02° grid cells with active fires than MODIS/Terra and Aqua, from 2012-2016. Even so, VIIRS
343 would not be able detect fires obscured by haze and those outside of its overpass time. Li *et al*
344 (2018) showed that even slight differences in VIIRS and MODIS/Aqua overpasses of ~15
345 minutes can lead to large discrepancies in active fire detections over Punjab. In addition, cloud
346 cover and increasing haziness, indicated by AOD, can limit retrieved scenes that are usable and
347 block active fires from satellite detection. The short return time (30 minutes) of INSAT-3D
348 makes it ideal for capturing short-lasting agricultural fires, but its coarse 4-km spatial resolution
349 makes INSAT-3D unable to detect such fires (Figure S12).

350 4.3. Future directions for improving agricultural fire emissions

351 The recent proliferation of finer resolution satellites, such as S-NPP (375 m and 750 m,
352 daily, post-2012), Sentinel-2 (10-20 m, every 5 days, post-2015) and Planet (<5 m, daily, post-
353 2016), offers added potential for active fire and burn scar detection (Drusch *et al* 2012, Strauss
354 2017). For more recent years of study, these higher resolution imagery can help constrain the
355 spatial and temporal variability of agricultural fire emissions in northwestern India. In particular,
356 partial burns are difficult to detect at MODIS and Landsat resolution, but discernable with fine-
357 resolution imagery. In addition, the present inability of moderate-resolution sensors to detect
358 partial burns also raises the question of how end-users of fire emissions inventories should
359 account for these missing emissions. More detailed on-the-ground knowledge of the amount of
360 crop residues generated, as well as burn rates and practices, is needed to inform inventories
361 retroactively. Differences in burn scar area from complete and partial burns also imply that
362 separate fuel loading estimates are needed for bottom-up approaches. Additional uncertainty in
363 post-monsoon smoke OC+BC emissions, which differ by an order of magnitude among five
364 widely-used inventories, signals a need to evaluate not only the satellite fire input products used,
365 but also differences in statistical boosts applied, emissions factors, and fuel consumption
366 estimates for croplands in northwestern India. Based on the underestimation of emissions from
367 agricultural fires in this region, it is likely that atmospheric models using available active fire and
368 burned area products considerably underestimate smoke exposure and public health impacts
369 from these fires. Future collaborations to incorporate accurate estimates of emissions can provide
370 more robust input for policy decisions.

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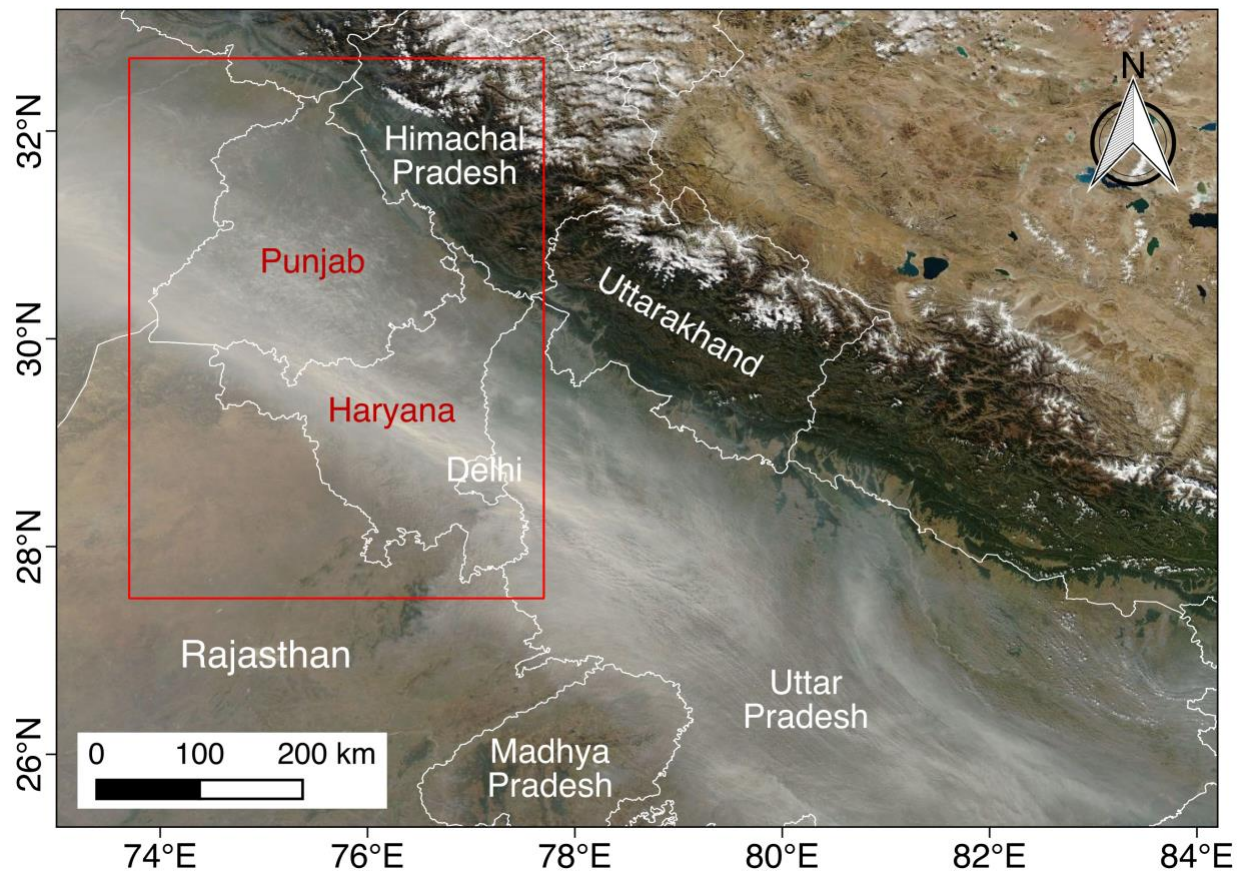
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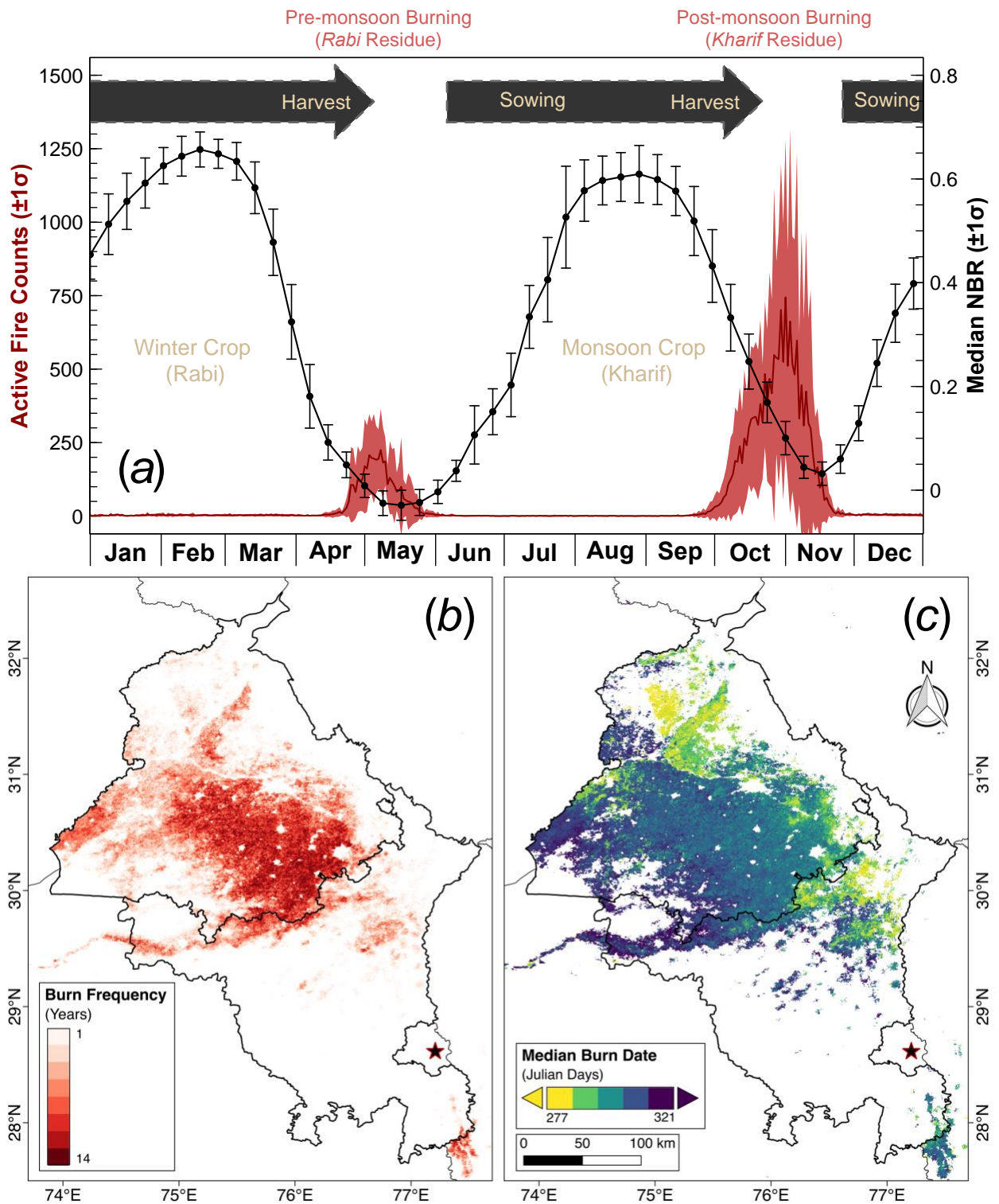
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502 **Figure 1. Example of thick haze over northern India during the post-monsoon burning**
503 **season:** True color MODIS/Aqua on November 6, 2016 (NASA Worldview). The study area,
504 which consists of two agricultural states Punjab and Haryana, is bounded by a red box.



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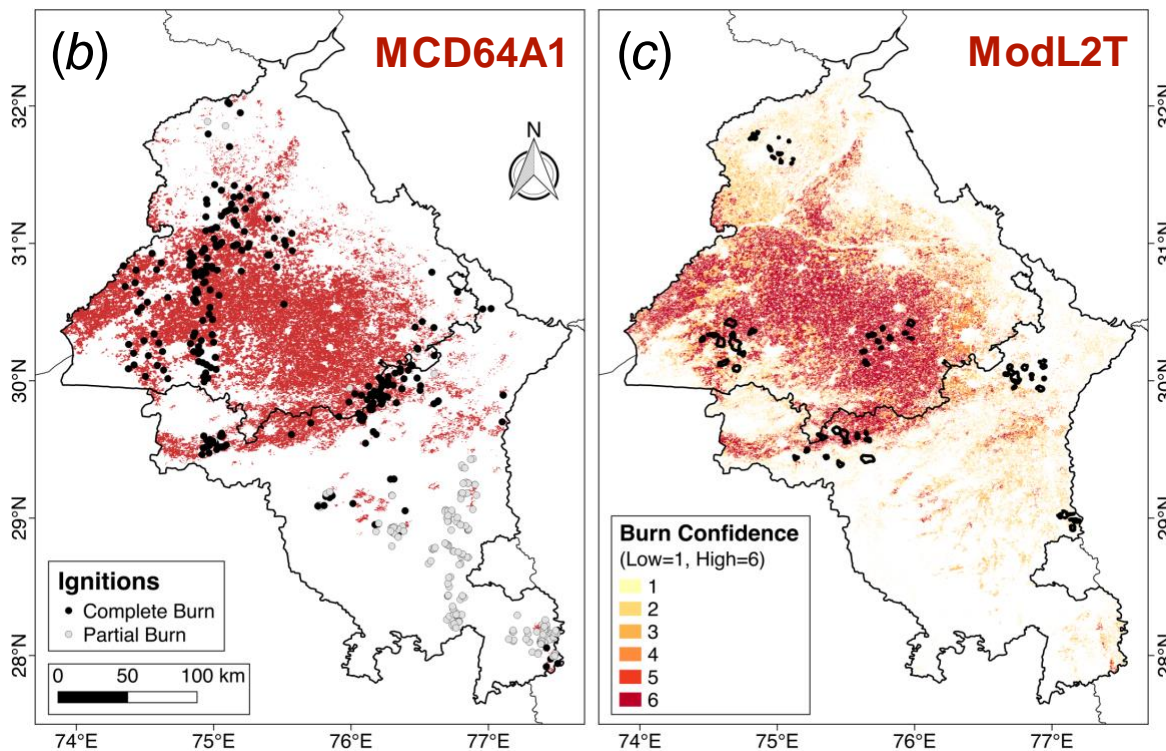
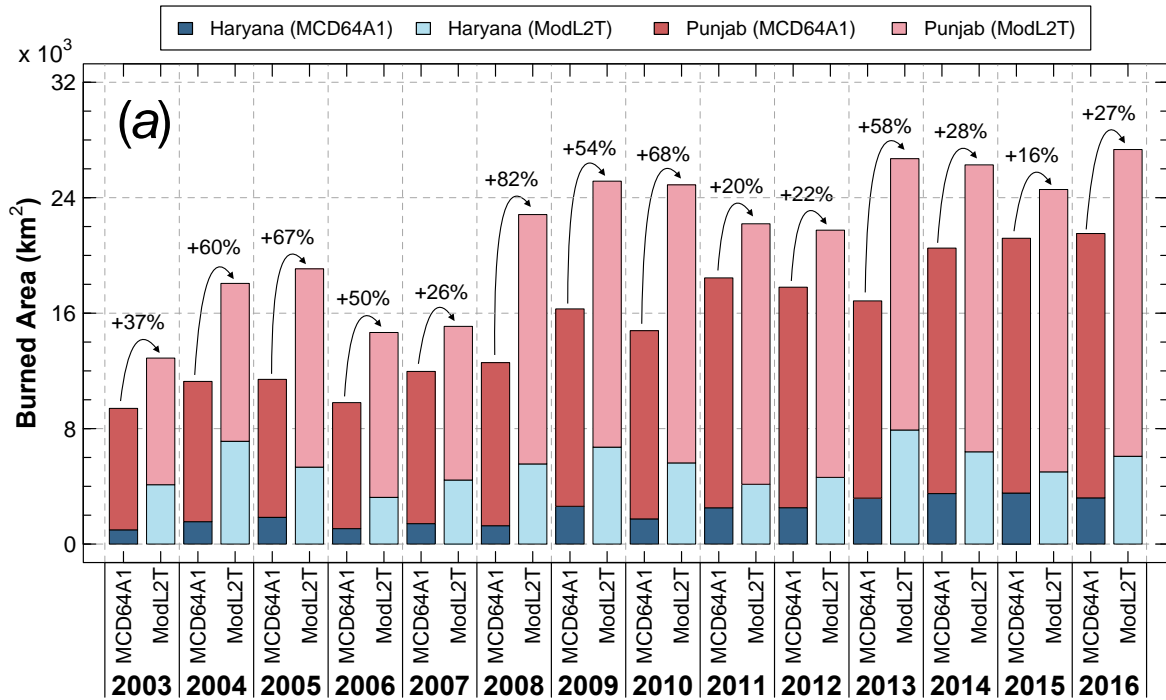
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Figure 2. Spatio-temporal overview of agricultural burning in northwestern India: (a) The double crop-fire cycle, following Vadrevu et al. (2011), using daily MODIS fire counts and 8-day composite median NBR, with $\pm 1\sigma$ envelopes, in Punjab and Haryana, 2003-2016. Post-monsoon (October-November) (b) burn frequency and (c) median burn date based on BAMCD64A1. The star denotes the location of New Delhi.

511 **Table 1.** Post-monsoon CO₂, CO, OC, and BC emissions over Punjab and Haryana from
 512 2003-2016 for five global fire emissions inventories. Agricultural-only emissions are denoted
 513 in italics.

Inventory		CO ₂	CO	OC	BC	%
		<i>Gg (±1σ)</i>				<i>Punjab</i>
<i>Bottom-up</i> (derived from burned area)	GFEDv4s	6325 (2204)	406 (142)	9 (3)	3 (1)	77-85
		<i>6397 (2201)</i>	<i>405 (142)</i>	<i>9 (3)</i>	<i>3 (1)</i>	<i>77-85</i>
	FINNv1.5	14950 (2841)	1061 (198)	32 (6)	6.6 (1.4)	76-86
		<i>14442 (2648)</i>	<i>1043 (191)</i>	<i>31 (6)</i>	<i>6.5 (1.2)</i>	<i>76-85</i>
<i>Top-down</i> (derived from fire energy)	GFASv1.2	3460 (671)	243 (47)	11 (2)	1.1 (0.2)	82-89
	QFEDv2.5r1	7757 (1486)	313 (60)	29 (6)	4.2 (0.8)	81-89
	FEERv1.0-G1.2	38257 (7342)	2473 (473)	108 (21)	11 (2.1)	78-87
CV (%)		100	104	107	74	

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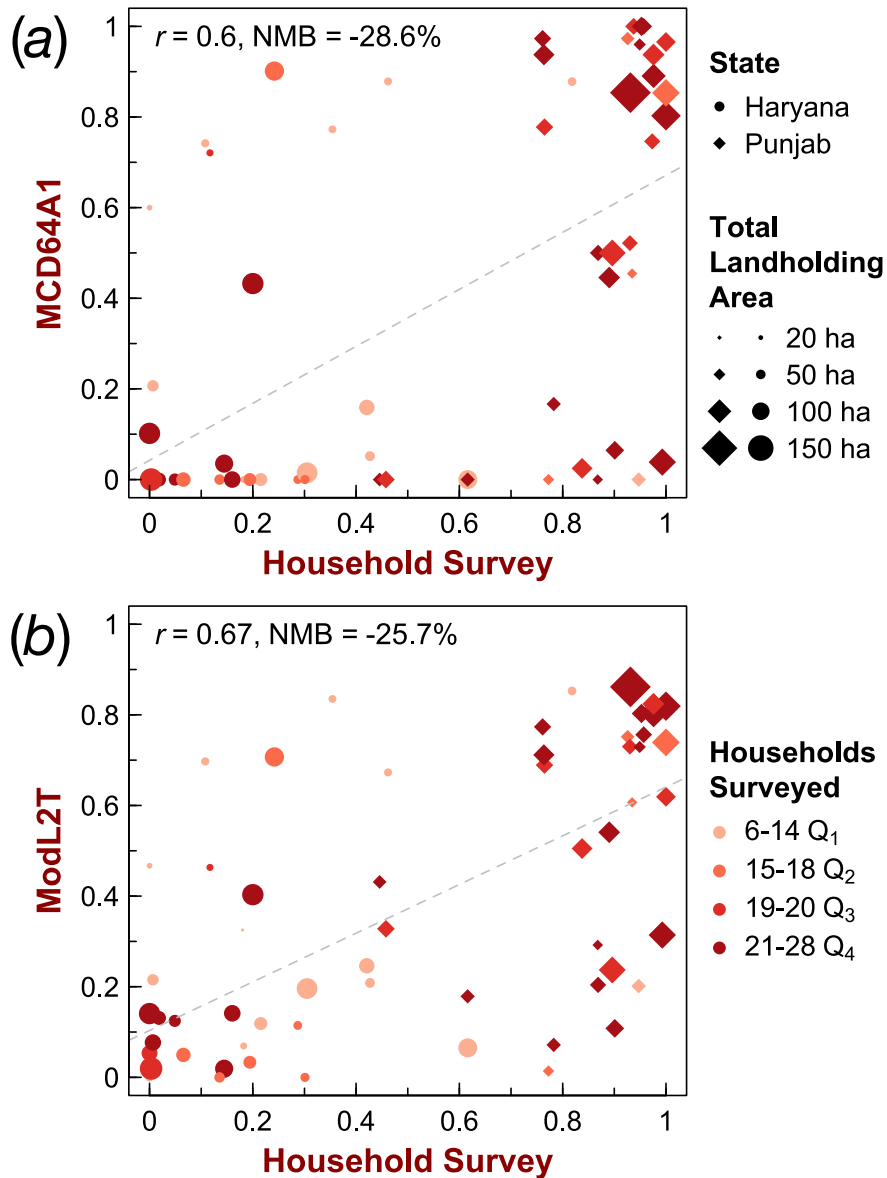
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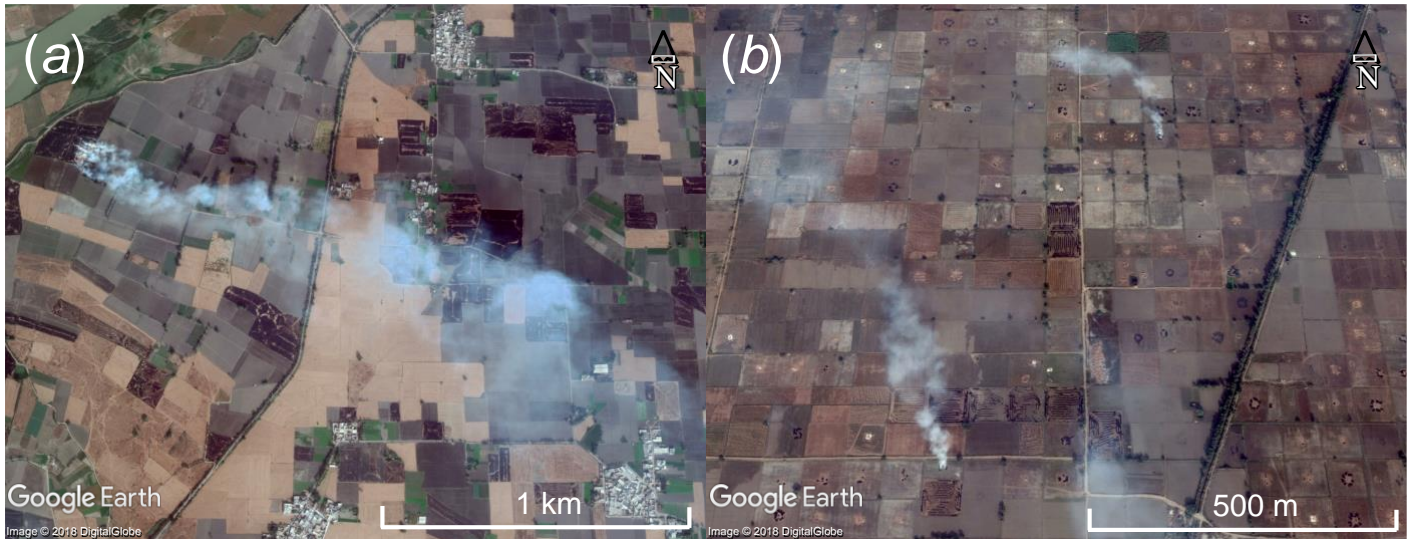
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Figure 3. MCD64A1 and ModL2T burned area: (a) $BA_{MCD64A1}$ and BA_{ModL2T} in Punjab (red shades) and Haryana (blue shades) during post-monsoon (October-November), 2003-2016. The ModL2T algorithm estimates $44 \pm 21\%$ higher post-monsoon burned area in Punjab and Haryana than MCD64A1. The curved arrows denote the relative boost in burned area mapped by ModL2T compared to MCD64A1. (b) $BA_{MCD64A1}$ and (c) classification confidence (Low = 1, High = 6) for BA_{ModL2T} in Haryana and Punjab, post-monsoon (October-November) in 2016. Ignitions identified from fine-resolution imagery, from 2010-2016 are denoted as black (complete burns) and gray (partial burns) circles in (b). The locations of the villages surveyed in Punjab and Haryana in 2016 are shown as black polygons in (c). The star denotes the location of New Delhi.



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Figure 4. Validation of satellite-derived burned area using household surveys: comparison of % burning activity, normalized by landholding size, and % burned area from (a) MCD64A1 and (b) ModL2T in 30 Punjab (diamonds) and 32 Haryana (circles) villages during post-monsoon (October-November) in 2016. Inset shows the correlation coefficient ($p < 0.05$), weighted by total landholding area from the household survey, and normalized mean bias (NMB). The size of the markers denotes the total landholding area (in hectares), and the color denotes the quartile of the number of households surveyed per village. The locations of the 62 surveyed villages are shown in Figure 3c.



536 **Figure 5. Two crop residue burning practices:** Fine-resolution (<5 m) Google Earth
 537 DigitalGlobe historical imagery of smoke and burn scars from crop residue burning in (a)
 538 central-northern Punjab (complete burns) and (b) central Haryana (primarily partial burns) in
 539 November 2016.

540 **Table 2.** Validation of MODIS MxD14A1 active fires using geolocations of ignitions
 541 identified from fine-resolution imagery. The spatial distribution of the ignitions is shown in
 542 Figure 3b.

Year	Ignitions			MxD14A1, co-location with ignition pixels					
	<i>total ignitions (1-km pixels)</i>			<i>same day, %</i>			<i>same season, %</i>		
	Partial	Complete	Total	Partial	Complete	Total	Partial	Complete	Total
2010	78 (55)	15 (12)	93 (67)	0	0	0	0	50	9
2011	52 (39)	15 (11)	67 (50)	0	45	10	0	64	14
2012	9 (7)	2 (1)	11 (8)	0	0	0	0	100	12
2013	3 (2)	63 (58)	66 (60)	0	2	2	0	38	37
2014	6 (3)	86 (72)	92 (75)	0	11	11	0	42	40
2015	32 (27)	25 (19)	57 (46)	0	11	4	4	32	15
2016	42 (30)	96 (77)	138 (107)	0	5	4	10	36	29
All	222 (163)	302 (250)	524 (413)	0	8	5	2	40	25

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544 **Table 3.** Crop residue burning related to methods of rice harvesting across eight districts in
 545 Punjab and Haryana from household survey data in 2016.

State	Districts	Crop residue burning				<i>n</i>
		<i>Combine Harvester</i>	<i>Partially Mechanical</i>	<i>Manual</i>	<i>Both Manual and Mechanical</i>	
Punjab	Amritsar, Bathinda, Muktsar, Sangrur	466 (87%)	3 (43%)	7 (30%)	19 (54%)	601
Haryana	Fatehabad, Sirsa, Kurukshetra, Sonapat	62 (32%)	1 (25%)	7 (5%)	4 (15%)	371
Total		528 (72%)	4 (36%)	14 (8%)	23 (38%)	972

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