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Could machine learning break the convection deadlock?

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Key Points:

- We use a global atmospheric model with embedded cloud resolving model (super-parameterization) on an aquaplanet, as a training dataset for a machine learning algorithm of convection
- The machine learning algorithm can reproduce most of the key features of the embedded cloud resolving model heating and moistening tendencies
- The machine learning algorithm is reproduce and is more computationally efficient than a super parameterization, but does not behave stochastically

18 **Abstract**

19 Modeling and representing moist convection in coarse-scale climate models remains one
20 of the main bottlenecks of current climate simulations. Many of the biases present with
21 parameterized convection are strongly reduced when convection is explicitly resolved (in cloud
22 resolving models at high spatial resolution \sim a kilometer or so). We here present a novel approach
23 to convective parameterization based on machine learning over an aquaplanet with prescribed sea
24 surface temperatures. The machine learning is trained over a superparameterized version of a
25 climate model in which convection is resolved by an embedded 2D cloud resolving models. The
26 machine learning representation of convection, called Cloud Brain (CBRAIN) replicates many of
27 the convective features of the superparameterized climate model, yet reduces its inherent
28 stochasticity. The approach presented here opens up a new possibility and a first step towards
29 better representing convection in climate models and reducing uncertainties in climate predictions.

30 **Plain Language Summary**

31 The representation of the atmospheric heating and moistening due to moist convection
32 remains a major challenge in current generation of climate models, leading to a large spread in
33 climate prediction. Here we show that machine learning techniques trained on a high resolution
34 model in which moist convection is resolved can be an appealing technique to tackle and better
35 represent moist convection in coarse resolution climate models.

36 **1 Introduction**

37 Convective parameterization remains one of the main roadblocks to weather and climate
38 prediction [*Stevens and Bony, 2013; Medeiros et al., 2014; Sherwood et al., 2014; Bony et al.,*
39 *2015*]. Most convective schemes exhibit biases in the vertical structure of heating and moistening,

40 precipitation intensity, and cloud cover [Daleu *et al.*, 2015; 2016]. These errors, in turn, feed back
41 into the larger-scale circulation so that they further inhibit the quality of general circulation model
42 (GCM) simulations and prediction skill [Bony *et al.*, 2015]. One of the main challenges in current
43 convective schemes is also to represent the transitions between different types of convection, such
44 as the transition from shallow to deep convection [Khouider *et al.*, 2003; Guichard *et al.*, 2004;
45 Khouider and Majda, 2006; Wu *et al.*, 2009; Khouider *et al.*, 2010; Dorrestijn *et al.*, 2014;
46 D'Andrea *et al.*, 2014; Rochetin *et al.*, 2014a; 2014b; Couvreux *et al.*, 2015], which is especially
47 crucial to predict both continental precipitation and modes of climate variability [Arnold *et al.*,
48 2014]. In addition, most convective parameterizations do not represent processes, such as
49 convective aggregation, that are essential to accurately predict the response of clouds and
50 precipitation to global warming, outgoing longwave radiation as well as modes of climate
51 variability [Jeevanjee and Romps, 2013; Wing and Emanuel, 2014; Arnold and Randall, 2015;
52 Bony *et al.*, 2015; Bretherton and Khairoutdinov, 2015; Coppin and Bony, 2015; Muller and Bony,
53 2015].

54 A typical challenge in convective parameterization is the specification of the plume lateral
55 entrainment [Cohen, 2000; De Rooy *et al.*, 2013; Sherwood and Hernández-Deckers, 2013; Yeo
56 and Romps, 2013; Tian and Kuang, 2016], its dependence on environmental conditions (e.g., free
57 tropospheric dryness) [Derbyshire *et al.*, 2004] and the role of subcloud layer organization (due
58 to cold pools or mesoscale heterogeneity) [Mapes and Neale, 2011; D'Andrea *et al.*, 2014].
59 Entrainment is one of the major factors controlling climate sensitivity and explains, to a large
60 extent, the intermodel spread in climate sensitivity in the tropics [Popke *et al.*, 2013]. Entrainment
61 also regulates some of the main features of tropical climate [Singh and O'Gorman, 2013] such as
62 the Inter Tropical Convergence Zone (ITCZ) [Oueslati and Bellon, 2015], or modes of climate

63 variability [*Bush et al.*, 2015] such as the El Niño or the Madden Julian Oscillation (MJO) [*Kim et*
64 *al.*, 2012; *Feng et al.*, 2015]. In addition, the representation of the transition between shallow and
65 deep convection is tightly related to changes in updraft entrainment [*Del Genio and Wu*, 2010;
66 *D'Andrea et al.*, 2014], in part due to the organization of the subcloud layer by cold pools
67 [*Khairoutdinov and Randall*, 2006; *D'Andrea et al.*, 2014]. The representation and understanding
68 of entrainment has defied a unified theory even though important progresses have been made in
69 recent years [*Khouider et al.*, 2003; *Khouider and Majda*, 2006; *Khouider et al.*, 2010; *Romps*,
70 2010; *Mapes and Neale*, 2011; *Dawe and Austin*, 2013; *De Rooy et al.*, 2013; *Sherwood and*
71 *Hernández-Deckers*, 2013; *Yeo and Romps*, 2013; *Dorrestijn et al.*, 2014; *Feng et al.*, 2015; *Lu et*
72 *al.*, 2016]. The difficulty behind the presentation of entrainment is that it is inherently a turbulent
73 process, which exhibits random fluctuations. Additionally the vertical localization of the initiation
74 of the plume as well as its properties remain a challenge. Detrainment, even if less studied, is also
75 crucial, as its vertical profile determines the structure of the cloud layer and therefore convective
76 instability [*Cohen*, 2000; *De Rooy et al.*, 2013]. In addition, the degree of convective aggregation
77 modifies some of the basic underlying assumptions behind the plume representation (e.g., lack of
78 interaction between plumes) [*Gentine et al.*, 2016].

79 Current generation of climate models (and typical weather forecast models) with parameterized
80 convection do not capture much of the degree of organization, nor do they represent mesoscale
81 convective systems (MCS), [*Hohenegger and Stevens*, 2016] though the latter are likely essential
82 to accurate simulation and prediction of extreme rainfall events [*Houze*, 2004; *Tan et al.*, 2015].
83 Finally, another challenge is that climate sensitivity is strongly related to the interaction between
84 deep and shallow convection [*Bony et al.*, 2015], and the coupling between clouds, convection and
85 the large-scale circulation, which is currently poorly captured by parameterized convection [*Bony*

86 *et al.*, 2015; Daleu *et al.*, 2015; Hohenegger and Stevens, 2016; Nie and Sobel, 2016; Nie *et al.*,
87 2016].

88 Many of the previously mentioned problems related to the representation of convection are
89 alleviated when using convective-permitting resolutions, i.e. at horizontal grid spacing of ~2km or
90 less. Convection-permitting models thus offer a promising avenue to address several of those
91 questions. For instance, the transition between shallow and deep convection can be correctly
92 captured at convective permitting scale [*Khairoutdinov and Randall*, 2006; *Khairoutdinov et al.*,
93 2009]. Convective aggregation is observed at convective permitting scale [*Hohenegger and*
94 *Stevens*, 2016] and Cloud Resolving Models (CRMs) have been the tool of choice to understand
95 convective aggregation [*Jeevanjee and Romps*, 2013; *Wing and Emanuel*, 2014; *Arnold and*
96 *Randall*, 2015; *Bony et al.*, 2015; *Bretherton and Khairoutdinov*, 2015; *Coppin and Bony*, 2015;
97 *Muller and Bony*, 2015]. CRMs (at convective permitting scales <2km) also correctly reproduce
98 MSCs and squall lines [*Moncrieff and Liu*, 2006; *Taylor et al.*, 2009], in various conditions, as
99 well as extreme precipitation events driven by larger scale anomalies. CRMs at convective
100 permitting scale can successfully represent the diurnal cycle of precipitation over land and the
101 development of convection from shallow to deep convection [*Guichard et al.*, 2004]. Convective-
102 permitting simulations better represent modes of tropical climate variability [*Arnold et al.*, 2014],
103 and breeze and mesoscale propagation [*Hohenegger et al.*, 2015]. CRMs also correctly capture the
104 feedback between the land surface (and surface heterogeneity) and deep convective triggering
105 [*Hohenegger et al.*, 2009], as well as MCSs triggering, [*Taylor et al.*, 2013].

106 Therefore, models at convective-permitting scales really appear as “game changers” for the
107 representation of convection. It is however unfeasible at present to use convective resolving scale
108 resolution at the global scale for climate prediction given its computational requirements. To

109 alleviate this problem, one of the most interesting approaches has been to use the so-called “super
110 parameterization (SP)” approach, which computes the vertical heating and moistening profile
111 within a GCM grid by sampling a curtain of an embedded CRM at convective permitting scale
112 [*Khairoutdinov et al.*, 2005; *Goswami et al.*, 2013; *Bretherton and Khairoutdinov*, 2015]. This has
113 led to many successes such as the possibility to rectify the diurnal continental cycle, to improve
114 the representation of the MJO, and to represent both some MCS propagation and some degree of
115 aggregation, and reduce overly strong land-atmosphere coupling [*Pritchard and Somerville*, 2009;
116 *Kooperman et al.*, 2013; 2014; *Pritchard et al.*, 2014; *Benedict and Pritchard*, 2015; *Yu and*
117 *Pritchard*, 2015; *Kooperman et al.*, 2016a; 2016b; *Sun and Pritchard*, 2016].

118 In light of this ongoing deadlock, we propose to use an alternative approach to convective
119 parameterization in which convection is represented using a machine-learning algorithm based
120 on Artificial Neural Networks (ANNs),

121 , trained on cloud-resolving simulations. Clearly, parameterizing convection appears as an
122 ideal problem for the use of machine learning algorithms and especially ANNs. Indeed, machine-
123 learning algorithms have been used in many applications where a clear physically-based algorithm
124 could not be defined. Applications have included self-driving cars, board games (chess and go)
125 [*Silver et al.*, 2016], speech recognition [*Hinton et al.*, 2012], object recognition and detection,
126 medical detection of cancers [*Khan et al.*, 2001; *Zhou et al.*, 2002; *Karabatak and Ince*, 2009], and
127 genomics. There are also applications of ANNs to the geosciences, such as for rainfall prediction
128 (Precipitation Estimation from Remotely Sensed Information using Artificial Neural Networks -
129 PERSIANN - algorithm) [*Moazami et al.*, 2013; *Miao et al.*, 2015; *Tao et al.*, 2016], soil moisture
130 retrieval [*Kolassa et al.*, 2013; 2016; 2017a; 2017b], and retrievals of surface turbulent fluxes
131 [*Jimenez et al.*, 2009; *Alemohammad et al.*, 2016]. In recent years, the development of deep
132 learning and Deep Neural Networks (DNN), i.e., those with multiple hidden layers, has led to
133 important developments in many different fields such as object detection or game strategy learning
134 [*Dahl et al.*, 2011; *Hinton et al.*, 2012; *LeCun et al.*, 2015; *Silver et al.*, 2016; *Tao et al.*, 2016].
135 One of the advantages of ANNs is that, once trained, they are computationally efficient, as most
136 of the computational burden is dedicated to the training phase.

137 The goal of this paper is to explore whether short simulations extracted from convective-
138 resolving simulations, which better represent the true physics of convection, can be mined for their
139 essence to build a new class of machine learning-based parameterization. The retrieval is called
140 Cloud Brain (CBRAIN).

141 **2 Data**

142 SuperParameterized Community Atmosphere Model

143 To evaluate this idea, we use a well validated version of the SuperParameterized Community
144 Atmosphere Model (SPCAM3) in a simplified aquaplanet configuration with zonally symmetric
145 SSTs following a realistic meridional distribution [*Andersen and Kuang 2012*]. Following a 3-
146 month spinup period, we save global data at the host global model timestep frequency (every 30
147 minutes) representing the arterial inputs to (and outputs from) each of the 8,192 cloud-resolving
148 arrays embedded SPCAM. The simulations are run for 2.5 years, i.e. an equivalent of 450M time
149 instances for training and validation. The first two years are used for training and the validation is
150 performed over the last 0.5 year of the simulation. 30 vertical levels are used in the vertical and
151 the model has a one-degree resolution in both meridional and zonal directions. For the convective
152 tendencies we limited ourselves to the first 21 out of the 30 vertical levels as there was no physics
153 tendencies (beside radiation) at the highest levels. This thus avoids that the retrieval be polluted
154 by noise at higher levels.

155 **3 Methodology**

156 Neural Networks

157 In this work, we used neural networks (NN) to retrieve the physics tendency. Instead of
158 evaluating only the convective tendencies simulated by SPCAM we decided to estimate directly
159 the entire physics tendency, excluding radiation. The rational for using the full tendency is based
160 on the fact that in practice the microphysics, boundary layer and convective tendencies can be hard
161 to perfectly isolate. In addition, the embedded CRM deviates from an actual CRM in a few subtle
162 ways for seamless integration within the GCM, which strongly limit the isolation of the
163 convective-only tendencies. We thus take the approach to try and reproduce the entire physics
164 tendencies, without radiation. We note that future versions could actually include radiation as a

165 reproducible machine learning algorithm, as is done for instance at the European Centre For
166 Medium Weather Forecast (ECMWF) [*Chevallier et al.*, 2000].

167 For the training we use TensorFlow, a recent open-source machine learning framework, from
168 Google along with Keras, a high-level NN API, written in Python and interfacing with
169 TensorFlow. All codes are available on GitHub at <https://github.com/gentine/CBRAIN>. The
170 training was performed on Graphical Processing Units (NVIDIA K80 and P100) for improved
171 performance, with a performance of roughly 80 batches of size 256 (see below) per second.

172 The SPCAM dataset is split into a training and validation dataset. To avoid any potential data
173 leakage (i.e. data output inadvertently passed on to the data input), we split the data temporally
174 first, selecting the two first years of the data for training and the remaining 0.5 year for
175 validation. We did not find any dependence on the percentage used for training, as long as at
176 least a full year was used, as the SPCAM outputs are largely sufficient (see discussion below).
177 Several activation functions after the hidden layers (

178) were tested and we decided to use a Leaky Rectified Linear Unit, i.e. a Rectified Linear Unit
179 for positive input values: $y=x$ for $x>0$, but with a slight slope for negative values $y=0.03x$ so that
180 the gradients are always non-null, thus avoiding any potential trapping in non-convergent states.
181 The last activation function is a typically-used linear function.

182 During the training, the training dataset is divided into small batches of size 256 randomized
183 across latitudes, longitudes and times. Small batches have been shown to be very useful for
184 convergence as they provide a degree of stochasticity to the minimization similarly to stochastic
185 gradient descent. Changing the batch size did not change the results much, however going to 1024
186 for the batch size reduced the performance, hence why we decided to use a batch of size 256.

187 We then evaluated the impact of the learning rate and the impact of the time used to divide the
188 learning rate. A learning rate of $2.5 \cdot 10^{-4}$ showed the best performance (with a 20% improvement
189 in R^2) compared to a fast learning rate of 0.025. We then evaluated the impact of time steps needed
190 to half the learning rate and varied it between 5 to 500,000 time steps. The best performance was
191 obtained for 200,000 time steps, with an improvement in terms of R^2 of the order of 20%.

192 We used two main types of NNs. The first ones are simple feedforward NNs, with different
193 numbers of hidden layers and number of neurons. A second strategy is to use 1D convolutional
194 NNs (CNNs) (basically a filter in the vertical to reduce vertical dimensionality). CNNs have
195 showed dramatic improvements compared to feedforward NNs in many fields of applications such
196 as language translation or image processing [*Krizhevsky et al.*, 2017]. We thus varied the number
197 of hidden layers (depth) and well as the number of neurons (width) to the NNs. We selected the
198 NN with the best performance yet with the minimum number of parameters. Indeed, reducing the
199 number of parameters avoids overfitting and also improved computational efficiency for
200 implementation in full GCM. All input data were first normalized, at each vertical level. A

201 summary of the different model performances, R^2 and RMSE, is presented in Table S1 and S2.
202 Diagnostics were also evaluated at every level to assess which regions of the profiles were the
203 most predictable.

204 We tested the dependency of the results to different inputs and finally chose the following
205 inputs: temperature and water vapor mixing ratio vertical profiles, surface sensible and latent heat
206 fluxes, surface pressure and the adiabatic heating and moistening tendencies from the dynamical
207 core within a time step.

208 **4 Results**

209 We first evaluated the impact of the structure of the different NNs on the retrieval. A summary
210 of different statistics comparing the NN prediction and the SPCAM simulations is presented in
211 Table S1. We note that there are several ways the R^2 statistics can be computed but here we chose
212 to use and sum the variance across all levels, time samples and the variables (here with two
213 variables: the heating and moistening tendencies, where the moistening tendencies are multiplied
214 by L_v/C_p to match the units of the heating tendencies). Similarly, to geometric means, this type of
215 averaging will emphasize errors and thus low overall R^2 will be typical, as higher levels where
216 there is no convection (and thus where an R^2 per level would be close to zero) will lead to small
217 local R^2 .

218 Overall in terms of averaged statistics across levels, neither wide and shallow network (i.e.
219 many neurons but few hidden layers) nor very deeper but narrow networks (i.e. with many layers
220 and few neurons per layer) tended to perform well (Table S1). The best performances were found
221 for relatively wide networks (at least 256 neurons per layers) but with few layers (2 typically).
222 This is different to what is typically found in image processing, in which deeper layers are able to
223 retrieve specific image structures. Even though it is theoretically possible to represent any possible

224 function with a single hidden layer neural network, determining the number of nodes needed in
225 that hidden layer is difficult. Therefore, adding more layers (apart from increasing computational
226 complexity to the training and testing phases), allows for more straightforward representation of
227 the interactions within the input data, as well as allows for more abstract features to be learned and
228 used as input into the next hidden layer. Deeper networks highlight higher level of abstraction and
229 non-linear combinations between the inputs. The fact that a shallower network (yet with similar
230 degrees of freedom), works better emphasizes that the inputs at different levels and across different
231 variables have more independent impact on the outputs. This is indeed confirmed when using
232 CNNs (Table S2). Little gain is added by the CNNs in terms of performance, compared to the
233 feedforward NNs. This further emphasizes that the inputs of the physics, and in particular
234 convective scheme might be adding information at each level and across each variable used.

235 A feedforward NN with 1024 neurons in each of the 2 hidden layers was thus selected based
236 on this tradeoff between performance and reduction of the number of parameters (Table S1). It
237 takes roughly a year of samples for the NN retrieval to converge (Figure S1), considering that in
238 our training simulations there are 128 (longitudes) times 64 (latitudes) times 48 (half-hourly) this
239 is equal to 393,216 daily samples. Only marginal changes in convergence are observed with more
240 data sample (given that two full years of data are used for the training), so that less than half of our
241 training dataset is really required.

242 Investigating the structure of the training, across multiple levels, highlights important
243 differences between the boundary layer, shallow clouds, deep convection and the top of the CRM
244 domain (~ 1210 Pa) (Figure S3). The retrievals systematically yield higher R^2 in the core of the
245 deep convective region (i.e. between 700 and 200hPa), with values above 0.5 and close to 0.8
246 between 600 and 300hPa. At lower levels, in the shallow convection layer and especially in the

247 boundary layer, the retrieval is degraded with R^2 ranging from 0.25 to 0.4. At very high levels R^2
248 is negative because there is no convection and there is no predictive power of the NN. This in turn
249 degrades the global metrics across levels (see above, Table S1). It is important to note that SPCAM
250 inherently includes some degree of stochasticity {Subramanian:2017fr}. This is done mainly
251 through the fact that the internal CRM states are restarted randomly at each subtime steps and
252 therefore do not have memory of the large-scale environment except than through the boundary
253 condition forcing. Since feedforward NNs are inherently deterministic they do not reproduce the
254 stochasticity of SP-CAM. This explains that we are unable to perfectly fit the tendencies even with
255 complex NN architectures. Since most of the departure is observed at the lower levels this
256 emphasizes that most of the stochasticity of the physics, mainly through convection, is present in
257 the boundary layer and in the shallow cumulus field. The non-perfect fit also reflects the presence
258 of convective aggregation in the CRMs [*Pauluis and Schumacher, 2011; Jeevanjee and Romps,*
259 *2013; Tobin et al., 2013; Bretherton and Khairoutdinov, 2015; Muller and Bony, 2015; Holloway,*
260 *2017; Wing et al., 2017*], even though the fact that R^2 is much higher in the core of deep convection
261 (700 to 250hPA), highlights the capacity of the NN to represent some degree of aggregation. It is
262 interesting that there is so much internal variability at lower levels, given that in SPCAM surface
263 fluxes are prescribed homogeneously over the GCM grid size and thus are the same across the CRM
264 columns. In the absence of downdrafts and important mesoscale effects the CRM turbulent heat
265 flux profiles should be relatively uniform horizontally. Departure from this homogeneity thus
266 highlights the importance of mesoscale circulations and their natural stochasticity in SPCAM,
267 which cannot be captured by a deterministic NN approach. In addition, decomposing the total
268 vertically integrated R^2 between variables shows that heating tendencies are much better reproduced

269 by the NN ($R^2=$), compared to moisture ($R^2=$), likely because of wave homogenization for the
270 temperature field will smoothen the temperature field.

271 Comparing the vertical and zonal predictions of CBRAIN to SPCAM (Figure 2) shows that
272 CBRAIN correctly reproduces the positions and magnitude of the zonal and meridional average
273 vertical heating and moistening tendencies of SPCAM. In particular, the precipitation structures
274 are nicely captured by CBRAIN. The field is however smoother than the SPCAM field, especially
275 for the moistening tendencies, which tends to be patchier and localized horizontally in SPCAM
276 because of the absence of wave smoothing like in the temperature field. As a result, the difference
277 between CBRAIN and SPCAM heating tendencies are relatively small even though differences
278 appear in regions of strong localized heating. The difference between the CBRAIN and SPCAM
279 moistening tendencies is larger, especially in the tropics. The errors are larger not only near the
280 cores of precipitation but also in shallow convective regions, where the moistening tendencies is
281 large and where SPCAM also exhibits substantial stochasticity, as most of this stochasticity seems
282 to be present at lower levels, in the boundary layer and in the shallow convection field (see previous
283 section). This stochasticity in the boundary layer and shallow convection moistening tendencies in
284 SPCAM is likely due to the reset of the CRM columns at each time step and seem relatively more
285 modest in global CRMs compared to SPCAM [*Satoh et al.*, 2008; *Noda et al.*, 2010][*Seiki et al.*,
286 2015].

287 Similar behavior is observed when investigating the vertical and meridional structures of the
288 heating and moistening tendencies. Deep convective events are relatively well reproduced by
289 CBRAIN. However, substantial noise is present in the tropics in the moistening tendencies. The
290 noise between SPCAM and CBRAIN appears relatively random and varies between strong
291 positive and negative anomalies, especially in the strong heating and cooling regions. Again,

292 SPCAM does not exhibit systematic moistening in the shallow cloud region but rather oscillate
293 between strongly positive and strongly negative regions. Such noise is much smaller in the heating
294 tendencies (similar to the meridional and zonal results) and tend to be localized to the lower levels
295 below deep convection.

296 **4 Discussion and conclusion**

297 We have demonstrated that machine learning, and neural networks in particular, here called
298 CBRAIN, could represent many of features of convective physics for implementation in coarse-
299 grain GCMs. CBRAIN has the advantage to be computationally efficient compared to GCRMs or
300 super-parameterizations. There are, however, important steps required for full implementation of
301 CBRAIN in a GCM. The first and maybe most important limitation of neural networks is that they
302 do not preserve energy and moisture. This can be fine for implementation in a weather forecast
303 model but energy and moisture conservation are absolutely required for climate prediction.
304 Second, typically neural networks are inherently deterministic. It was here shown that the resulting
305 CBRAIN representation of heating and moistening tendencies was too smooth compared to the
306 original SPCAM field used for training, which is more stochastic especially in the lower levels of
307 the atmosphere (below 700hPa). Third, SP used for the training is not without its own unsatisfying
308 trade-offs. The typical use of 2D CRMs corrupts the physics of convective momentum transport
309 [*Khairoutdinov et al.*, 2005; *Tulich* 2015; *Woelfle et al.* 2018], which impacts the representation
310 of mesoscale convective systems [*Cheng and Xu*, 2014]; the use of limited domain extent
311 artificially throttles vertical mixing by deep convection, corrupting extremes [*Pritchard et al.*
312 *2014*]; the use of coarse vertical and horizontal resolution distorts the physics of low clouds
313 [*Parishani et al.* 2017], and the use of periodic boundary conditions limit the propagation of
314 mesoscale convective system, even though some of their features can be captured though

315 convective-wave coupling [Pritchard *et al.*, 2011]. While these issues could conceivably be
316 overcome by enhancing SP to use large, high-resolution 3D CRM domains, this faces the same
317 computational challenges that limit the utility of global CRMs today. Another issue is related to
318 the radiation scheme. In SPCAM or global CRMs the CRM columns are used to compute the
319 radiative tendencies and thus “see” the diversity in cloud cover and potential cloud aggregation.
320 This is not the case in CBRAIN, which still works like a GCM in the sense that a single tendency
321 and a single cloud cover can be computed for the GGCM pixel total domain. A final challenge is
322 related to the fact that inherently the machine learning algorithms is trained on existing data. For
323 climate prediction, the algorithm should be able to generalize correctly to situations that have not
324 have potentially not been seen such as changes in trace gas profiles, concentrations of trace gases
325 or aerosols.

326 Beside those challenges, we believe that machine learning represents a powerful alternative to
327 typical or embedded-CRM parameterizations. It is computationally efficient, even for relatively
328 large networks. For instance without specific optimization a preliminary test showed that CBRAIN
329 was 10 times faster than SPCAM. CBRAIN is also naturally fitted for data assimilation since
330 computation of the adjoint is straightforward and analytical, making it a natural candidate for
331 operational weather forecasting. Finally, CBRAIN could represent the alternative to current
332 parameterizations, which are still exhibiting too many biases for correct prediction of the future
333 hydrological cycle. As global temperature sensitivity to CO₂ is strongly linked to convective
334 entrainment, this might also improve our estimates of future temperature.

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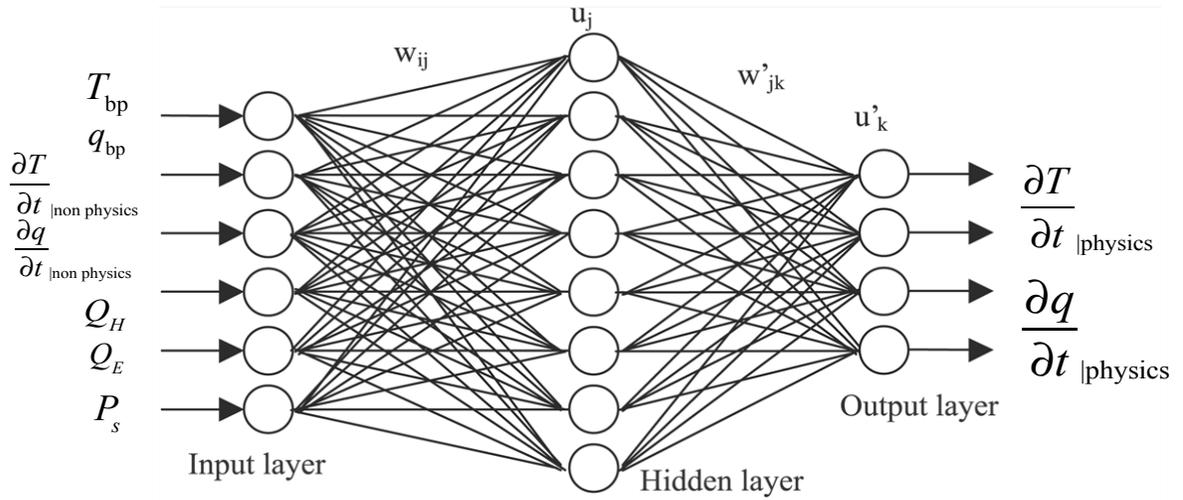
336 **Acknowledgments, Samples, and Data**

337 The codes are available on GitHub at <https://github.com/gentine/CBRAIN>. The aquaplanet data
338 used here can be requested on demand to Prof. Mike Pritchard, as it is very large (several terabytes)
339 and is hosted on a server at UC-Irvine.

340 **Figures**

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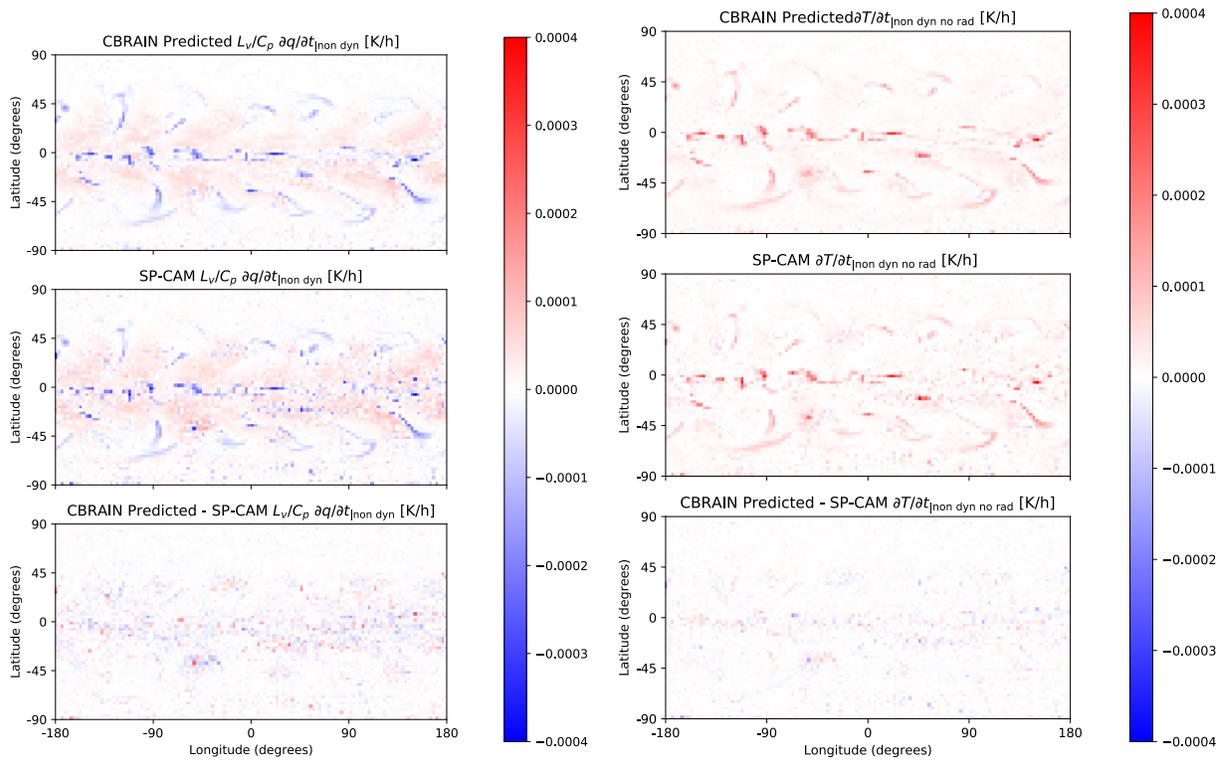
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344 Figure 1: Presentation of a feedforward neural network architecture with one hidden layer and

345 the inputs used as well as the predicted tendencies

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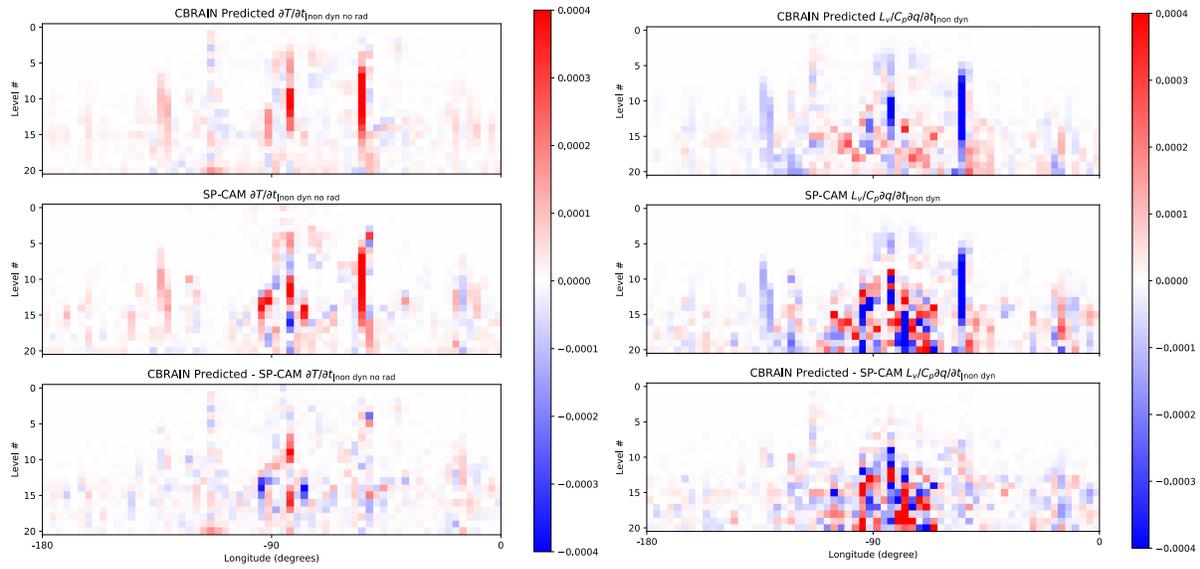
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Figure 2: (left) Snapshot (Year 2, January 1, 0:00AM) of meridional and vertical comparison of convective heating rate predicted by CBRAIN (top) compared to SP-CAM (middle) and their difference (bottom); (right) same but for moistening tendencies.



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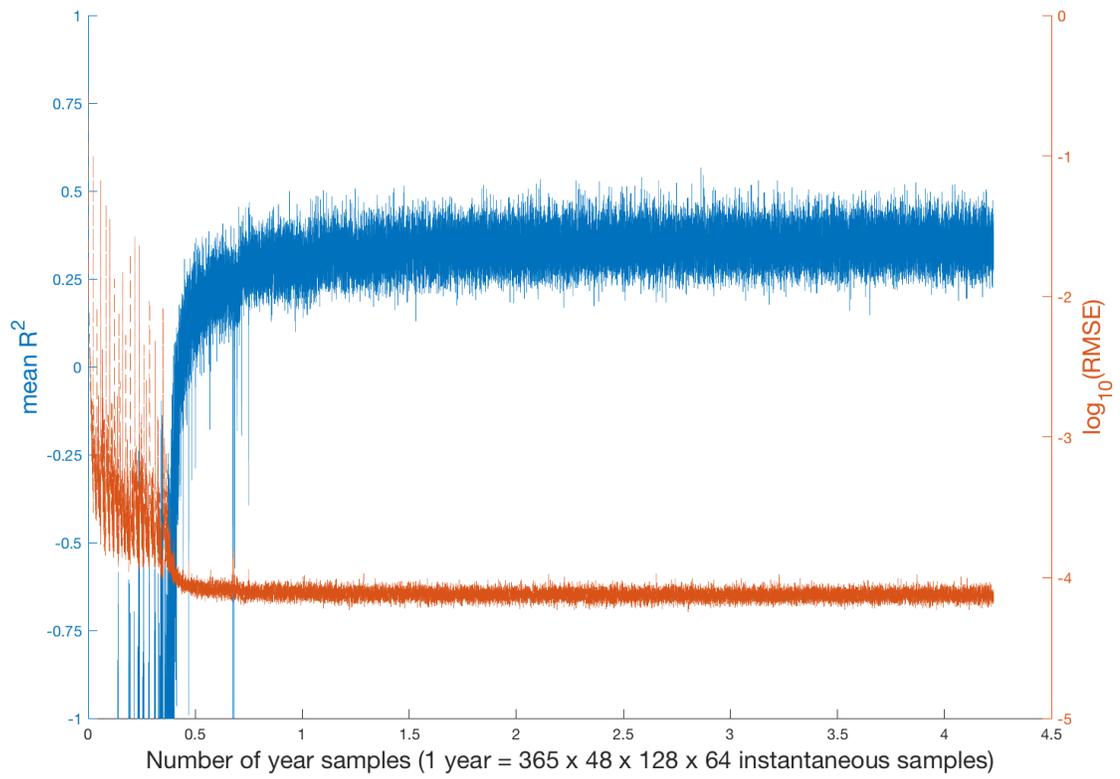
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Figure 3: (left) Snapshot (Year 2, January 1, 0:00AM) of vertical and meridional comparison of convective heating rate predicted by CBRAIN (top) compared to SP-CAM (middle) and their difference (bottom); (right) same but for moistening tendencies.

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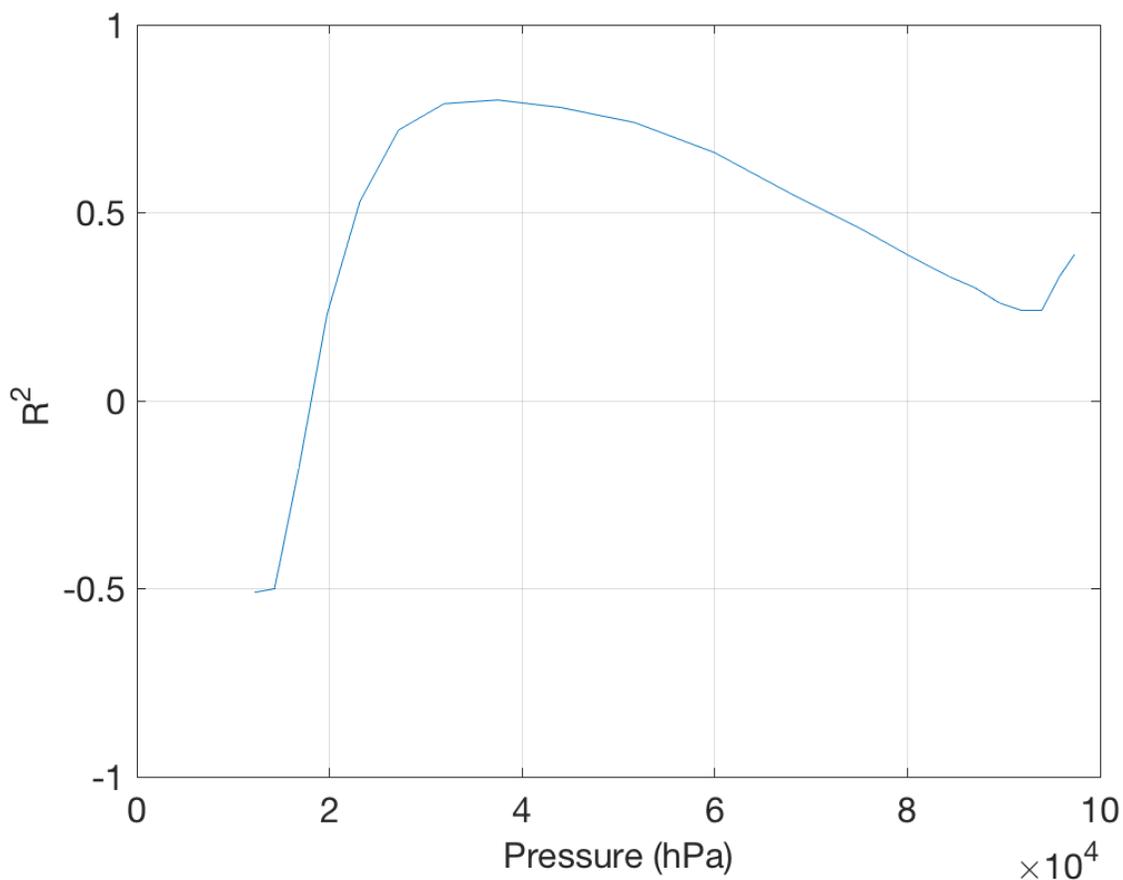


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Figure S1: Impact of size of the training data

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Figure S2: Final NN R² statistics, as a function of model level pressure

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layers	RMSE	Log10(RMSE)	mse	loss	Abs(loss)	R2	mape
4096,4096	7.47E-05	-4.13	5.60E-09	5.60E-09	2.88E-05	0.36	726.04
2048,2048	7.53E-05	-4.12	5.69E-09	5.69E-09	2.87E-05	0.35	556.42
1024,1024	7.56E-05	-4.12	5.75E-09	5.75E-09	2.89E-05	0.33	528.85
2048,2048, 2048,2048	7.68E-05	-4.12	5.93E-09	5.93E-09	2.96E-05	0.32	685.66
256,256	7.70E-05	-4.11	5.97E-09	5.97E-09	2.98E-05	0.32	522.73
512,512	7.68E-05	-4.12	5.92E-09	5.92E-09	2.96E-05	0.32	513.54
2048,2048, 2048,2048, 2048,2048	7.76E-05	-4.11	6.06E-09	6.06E-09	3.06E-05	0.31	956.90
1024,1024, 1024,1024	7.66E-05	-4.12	5.89E-09	5.89E-09	2.97E-05	0.31	631.32

4096,4096, 4096,4096	7.81E-05	-4.11	6.12E-09	6.12E-09	3.36E-05	0.31	1797.01
512,512, 512,512	7.79E-05	-4.11	6.11E-09	6.11E-09	3.04E-05	0.30	666.19
128,128	7.88E-05	-4.11	6.24E-09	6.24E-09	3.05E-05	0.29	542.96
1024,1024,10 24,1024,1024, 1024	7.86E-05	-4.11	6.21E-09	6.21E-09	3.05E-05	0.29	806.71
256,256, 256,256	7.85E-05	-4.11	6.19E-09	6.19E-09	3.05E-05	0.27	675.93
512,512,512, 512,512,512	7.92E-05	-4.10	6.30E-09	6.30E-09	3.08E-05	0.27	765.12
4096,4096, 4096,4096, 4096,4096	8.01E-05	-4.10	6.44E-09	6.44E-09	3.83E-05	0.27	3436.63
100000	7.87E-05	-4.10	6.21E-09	6.21E-09	3.31E-05	0.26	1152.85
64,64	8.14E-05	-4.09	6.66E-09	6.66E-09	3.20E-05	0.25	812.46
128,128, 128,128	8.10E-05	-4.09	6.60E-09	6.60E-09	3.18E-05	0.25	742.87
256,256,256, 256,256,256	8.09E-05	-4.09	6.58E-09	6.58E-09	3.15E-05	0.24	759.46
32,32	8.22E-05	-4.09	6.80E-09	6.80E-09	3.26E-05	0.22	837.81
64,64,64,64	8.18E-05	-4.09	6.72E-09	6.72E-09	3.21E-05	0.22	809.17
32,32,32,32	8.33E-05	-4.08	6.97E-09	6.97E-09	3.31E-05	0.21	1068.68
128,128,128, 128,128,128	8.27E-05	-4.08	6.88E-09	6.88E-09	3.21E-05	0.21	752.63
64,64,64,64, 64,64	8.28E-05	-4.08	6.89E-09	6.89E-09	3.22E-05	0.20	839.99
32,32,32,32	8.21E-05	-4.09	6.76E-09	6.76E-09	3.24E-05	0.20	890.69
32,32,32,32, 32,32	8.40E-05	-4.08	7.09E-09	7.09E-09	3.29E-05	0.19	928.60
16,16	8.46E-05	-4.07	7.19E-09	7.19E-09	3.30E-05	0.19	669.42
32,32,32,32, 32,32	8.39E-05	-4.08	7.06E-09	7.06E-09	3.29E-05	0.18	950.57
16,16,16,16	8.52E-05	-4.07	7.30E-09	7.30E-09	3.40E-05	0.17	991.54
16,16,16,16, 16,16	8.50E-05	-4.07	7.27E-09	7.27E-09	3.35E-05	0.16	1028.93
10000,10000	4.40E-04	-3.45	3.78E-07	3.78E-07	3.36E-04	-41.73	76964.35
1 000 000	1.09E-01	-2.00	1.62E-01	1.62E-01	7.67E-02	-18904102.85	17001926.50

Table S 1: Statistics of the different feedforward neural networks with various configurations. ranked in descending R squared

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