Local and Remote Influences on the Heat Content of the Labrador Sea: an Adjoint Sensitivity Study

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Key Points:

- We use adjoint sensitivity fields to quantify possible influences on Labrador Sea heat content
- We identify a basin-scale adjustment mechanism involving the West African and European shelves
- Non-local heat fluxes can have a considerable impact on Labrador Sea heat content

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Abstract

The Labrador Sea is one of the few regions on the planet where the interior ocean can exchange heat directly with the atmosphere via strong, localized, wintertime convection, with possible implications for the state of North Atlantic climate and global surface warming. Using an observationally-constrained ocean adjoint model, we find that annual mean Labrador Sea heat content is sensitive to temperature/salinity changes (1) along potential source water pathways (e.g. the subpolar gyre, the North Atlantic Current, the Gulf Stream) and (2) along the West African and European shelves, which are not significant source water regions for the Labrador Sea. The West African coastal/shelf adjustment mechanism, which may be excited by changes in along-shelf wind stress, involves pressure anomalies that propagate along a coastal waveguide towards Greenland, changing the across-shelf pressure gradient in the North Atlantic and altering heat convergence in the Labrador Sea. We also find that non-local (in space and time) heat fluxes (e.g. in the Irminger Sea, the seas south of Iceland) can have a strong impact on Labrador Sea heat content. Understanding and predicting the state of the Labrador Sea and its potential impacts on North Atlantic climate and global surface warming will require monitoring of oceanic and atmospheric properties at remote sites in the Irminger Sea, the subpolar gyre, and along the West African and European shelf/coast system, among others.

1 Introduction

The Labrador Sea (LS) is a semi-enclosed marginal sea of the North Atlantic Ocean flanked by the continental shelves of North America and Greenland [Figure 1(a)]. Because of its partially enclosed geometry and significant seasonal buoyancy loss, the Labrador Sea features some of the deepest mixed layers in the world ocean, reaching over 2000 m in some years and in exceptional cases covering the area of the entire subpolar gyre [*Lazier et al.*, 2002; *Spall*, 2004; *Piron et al.*, 2017]. Temperature anomalies can enter the deep interior ocean via the Labrador Sea, potentially impacting oceanic uptake and storage of heat and carbon, with implications for global and regional climate [*Pérez et al.*, 2013; *Lozier et al.*, 2017, and references therein]. For instance, an increase in heat uptake and intermediate-depth heat storage in the subpolar North Atlantic (among other regions) during the first decade of the 21st century has been connected to a hiatus in global surface warming [*Drijfhout et al.*, 2014; *Chen and Tung*, 2014]. Record low densities in the Labrador Sea have been connected to reduced northward ocean heat transport and significant cooling of the upper North Atlantic

[*Robson et al.*, 2014, 2016]. A recent high-resolution climate model study found that such negative Labrador Sea density trends appear to be followed by positive winter states of the North Atlantic Oscillation, which can ultimately reverse the sign of the density trend through multi-decadal atmosphere-ocean interactions [*Sutton et al.*, 2017; *Ortega*, 2017]. Understanding the factors that can alter Labrador Sea heat content is thus especially important for predicting the state of the North Atlantic sector and more broadly for predicting global surface warming.

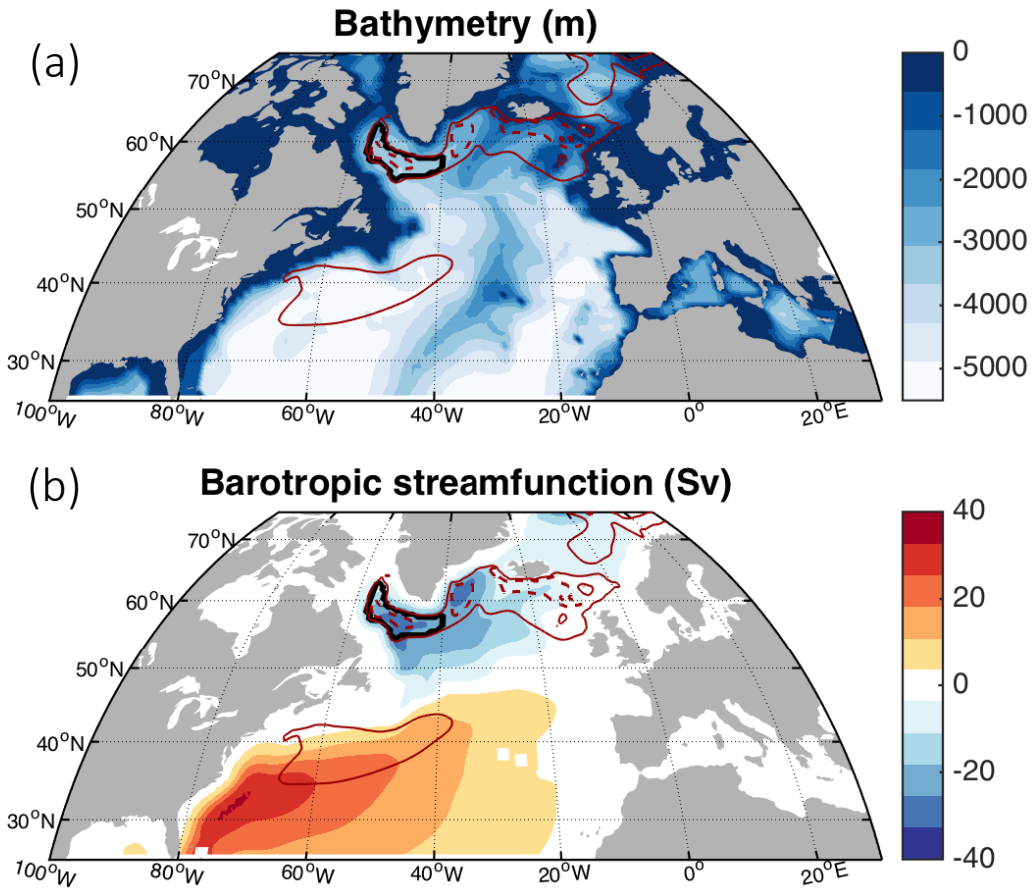


Figure 1. ECCOv4-r2 (a) bathymetry and (b) multi-year mean barotropic streamfunction for 1992-2011, constructed from annual mean streamfunctions. The thick, solid black line indicates the Labrador Sea region wherein the March-April-May (MAM) mean mixed layer depths exceed 300 m. Also shown are the 250 m (red, solid) and 500m (red, dashed) MAM mean mixed layer depth contours for 1992-2011.

In addition to hosting a major pathway between the surface and interior ocean, the Labrador Sea features strong lateral circulation as it sits within the North Atlantic subpolar gyre that flows through the Irminger Sea from the Iceland basin to the east [*Lozier et al.*,

2017] [Figure 1(b)]. The circulation of the gyre is strongly constrained by large bathymetric features such as the Reykjanes ridge that extends southwest from Iceland and the shallow bathymetry north of the Greenland-Iceland-Scotland ridge. Components of the local circulation include the Denmark Straits Overflow and East Greenland Current that flow from the north along the eastern edge of Greenland, transporting cold fresh water from the Nordic Seas at intermediate depths and feeding into the Labrador Sea [*Lozier et al.*, 2017]. The subpolar gyre is connected to the North Atlantic Current (NAC) that flows from the Gulf Stream region to the North Atlantic, allowing influences to propagate from the subtropical gyre to higher latitudes.

Like most of the global ocean, the Labrador Sea has a long memory in that it may be affected by processes and properties in remote regions across a wide range of timescales [*Robson et al.*, 2012]. For example, changes in the nearby Irminger Sea and the remote Nordic Seas can influence stratification in the Labrador Sea [*Pickart et al.*, 2003]. Understanding how both local and remote oceanic and atmospheric properties affect the Labrador Sea is important for understanding the climate system and may help guide the design of future observational/monitoring networks [*Liu and Alexander*, 2007; *Heimbach et al.*, 2011]. In this study, we aim to understand how local and remote ocean properties (e.g. potential temperature) and surface forcing can affect the heat content of the Labrador Sea. We will address the following three questions:

- What are the potential source waters of the Labrador Sea?
- What are the possible influences of local and remote ocean properties on the heat content of the Labrador Sea?
- What are the possible influences of local and remote net heat fluxes and wind stresses on the heat content of the Labrador Sea?

In order to address these questions, we will use an adjoint method to calculate the linear sensitivities of the annual mean Labrador Sea heat content to the time-evolving ocean state and surface forcing. In section 2, we describe the model setup used in this paper, introduce the general concept of adjoint sensitivity experiments, and describe the particular adjoint sensitivity experiments performed in this paper. Because adjoint methods are well described in many places, we refer the reader to these works for a more thorough and general description to adjoint modeling [*Thacker and Long*, 1988; *Marotzke et al.*, 1999; *Fukumori et al.*, 2007; *Heimbach*, 2008; *Mazloff et al.*, 2010; *Griewank and Walther*, 2012; *Verdy et al.*, 2014,

for example]. In section 3, we discuss the results of our adjoint sensitivity experiments. In particular, we identify and examine an adjustment mechanism that involves a teleconnection between the West African shelf and the Labrador Sea. In sections 4 and 5, we summarize our conclusions and offer a brief discussion on implications and possible next steps.

2 Model description and experimental design

We use the modeling setup associated with ECCOv4 (release 2, hereafter ECCOv4-r2 or just ECCOv4), an observationally-constrained ocean state estimate, to calculate sensitivity fields. The model setup and state estimation process are described in *Forget et al.* [2015a], and the model setup is available for download on Github (`https://github.com/gaelforget/ECCO_v4_r2`) as an instance of the MIT general circulation model (MITgcm, `http://mitgcm.org/`). ECCOv4-r2 is a product of the Estimating the Circulation and Climate of the Ocean (ECCO) consortium, which has produced a large variety of state estimation products that are freely available for download via `http://www.ecco-group.org/`. The adjoint model used in this work was generated using the algorithmic differentiation tool TAF [*Giering and Kaminski*, 1998, `http://www.fastopt.com/`]. Although the state estimation process is not the focus of this paper, for completeness we briefly describe in the next section how ECCOv4-r2 was constructed. Readers interested in a more detailed description are referred to *Forget et al.* [2015a], and references therein.

2.1 Description of the ECCOv4 model setup

ECCOv4 uses a Lat-Lon-Cap (LLC) grid referred to as LLC90 that covers the entire global ocean, including the Arctic Ocean. The horizontal grid size ranges from around 40-50 km in the Arctic up to 110 km at the equator. ECCOv4 solves the hydrostatic Boussinesq equations *Marshall et al.* [1997] and the vector invariant form of the momentum equation on the LLC90 grid [*Adcroft et al.*, 2004]. The vertical coordinate is the z^* rescaled height coordinate that redistributes changes in sea surface height throughout the entire water column as opposed to changing only the uppermost grid cell [*Adcroft and Campin*, 2004]. It uses a "real freshwater flux" approach [*Campin et al.*, 2004, 2008] with a nonlinear free surface. This method allows freshwater fluxes (with the atmosphere, land, or sea ice) to have an impact on the model dynamics by changing the height of the free surface.

ECCOv4 uses a staggered time-step approach, together with Adams-Bashforth 3 (AB-3) time-stepping for momentum advection, third-order Direct Space Time tracer advection (DST-3; a multi-dimensional scheme), and third-order implicit tracer vertical advection (unconditionally stable) [*Forget et al.*, 2015a]. Based on the internal wave speed stability criterion, the timestep is set to $\Delta t = 3600s$. Parameterised diffusion includes diapycnal and isopycnal components, simple convective adjustment, and the GGL mixed layer turbulence closure scheme [*Gaspar et al.*, 1990]. The along-isopycnal effect of unresolved eddies is parameterised as a bolus transport [*Gent and Mcwilliams*, 1990, hereafter GM]. In this work, we use diffusivity and GM intensity parameters that have been optimized by the ECCOv4-r2 state estimation process, all of which are time-invariant, three-dimensional fields [*Forget et al.*, 2015b].

ECCOv4 features fully interactive, dynamic sea ice, so buoyancy and mass fluxes are recalculated based on the thermodynamic balance of *Losch et al.* [2010]. Open ocean rain, evaporation and runoff simply carry (advect through the free surface) the local SST and a salinity value of zero, and runoff is provided by a monthly climatology [*Fekete et al.*, 2002]. Surface salinity restoring is *not* used here. Buoyancy, radiative, and mass fluxes are calculated using the bulk formulae of [*Large and Yeager*, 2009] using 6-hourly ERA-Interim reanalysis fields [*Dee et al.*, 2011] as a “first guess" for the forcing fields. Specifically, we use wind stress, 2 m air temperature, 2 m specific humidity, wind speed, downward longwave radiation, and downward shortwave radiation as model inputs. These fields have been iteratively adjusted by the state estimation process in order to minimize model-data misfits.

2.2 Validation of the ECCOv4 global ocean state estimate

A perennial problem in oceanography is the paucity of observations relative to the wide range of spatial and temporal scales of oceanic variability. One way to partially address this data deficit is to construct a *state estimate*, in which a numerical model is brought into consistency with observational data using an adjoint method [*Wunsch*, 2009]. In this approach, the initial conditions, boundary conditions (e.g. surface heat flux, wind stress), and other model parameters are iteratively modified to minimize a scalar measure of model-data mismatch (i.e. a *cost function*). Adjoint methods are used to calculate the sensitivity of the cost function to various control parameters (e.g. initial conditions, surface fluxes), and the control parameters are iteratively modified in order to minimize the model-data mismatch. The result consists of both (1) an observationally-constrained estimate of the time-evolving

ocean state over the period covered by observations and (2) modified initial conditions, parameters, and boundary conditions that produce the optimised ocean state.

Unlike most data assimilation products, ECCOv4 does not add artificial heat, salt, etc. sources and sinks in the ocean interior to fit data. Thus it is dynamically consistent in the sense that the ocean state evolution (e.g. temperatures and salinities in the ocean interior) remains rigorously consistent with the equations of motion and thermodynamics used in the model throughout 1992-2011. Only the January 1992 initial conditions were adjusted along with the optimization of diffusivity parameters and surface forcing fields in ECCOv4.

ECCOv4 is constrained by a global set of observations and represents the Labrador Sea and more generally the North Atlantic at sufficient accuracy for our purposes. ECCOv4-r2 captures the annual cycle and interannual variability of Labrador Sea deep convection, as seen by comparison with the gridded Argo product of [*Roemmich and Gilson*, 2009, RG09, Figure 2]. Even though RG09 shows more high-frequency variability than ECCOv4, the two products are in good agreement at seasonal and interannual time scales.

In Figure 3(a)-(d), we compare individual, non-gridded Argo profiles with ECCOv4 “profiles" taken at the locations and times of the Argo profiles in the Labrador Sea as indicated in Figure 1. Using this approach offers a direct comparison with observations at specific locations and times, thus it is a particularly stringent test of the validity of the ECCOv4 solution. At 100 m and 750 m during the Argo period, the mean ECCOv4 temperature and salinity lie within roughly 5% of the mean Argo values, although individual profiles may feature much larger differences (for temperatures, the 95% misfit interval is typically around 20% and up to roughly 50% of the Argo mean value in extreme cases). The influence of deep convection from 1992-1996 can be seen in the ECCOv4 temperature and salinity profiles. ECCOv4-r2 also captures the seasonal cycle, interannual variability, and long-term trend in sea level height as measured by altimetry (Figure 3(e), for more details see [*Forget and Ponte*, 2015]). Labrador Sea bottom pressure is somewhat noisier, with a correlation of approximately 0.45 between ECCOv4-r2 and the GRACE-mascons product of *Watkins et al.* [2015]. ECCOv4-r2 is also in good agreement with sea surface temperatures from the HadISST 1.1 product [*Rayner et al.*, 2003], with a correlation of 0.95 (Figure S1), although ECCOv4-r2 sea surface temperatures are consistently colder than HadISST 1.1 in the winter. Because some of the same data (e.g. Argo, altimetry) have been used to constrain ECCOv4 and the other products, good agreement between them is perhaps not surprising. The pre-

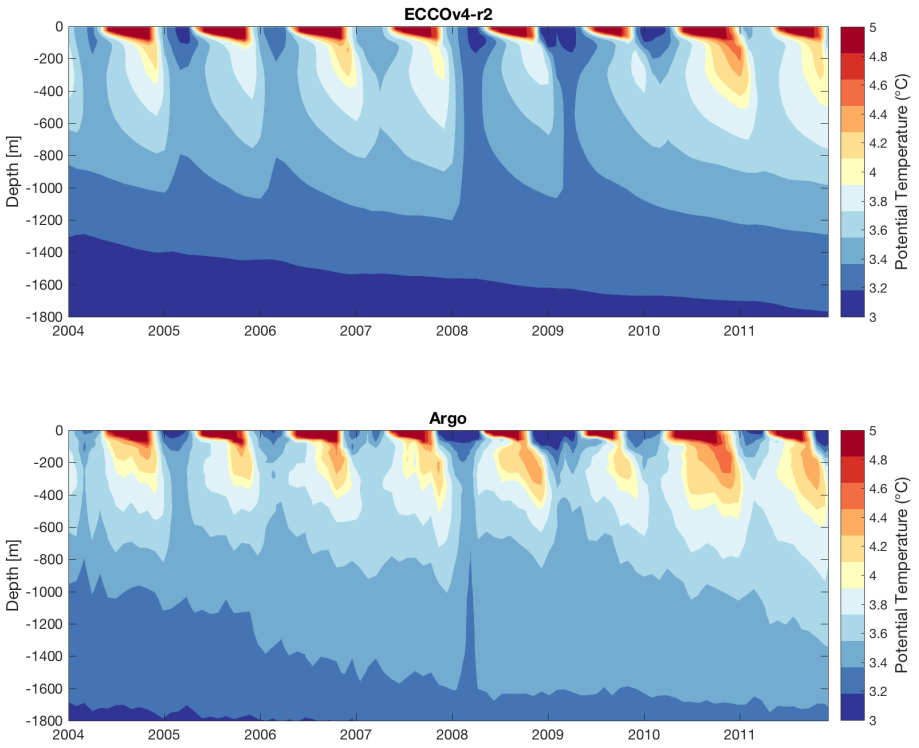


Figure 2. Comparison of ECCOv4-r2 and Argo potential temperatures (°C), averaged between 55-50°W and 55-60°N. Argo data taken from Scripps gridded product [*Roemmich and Gilson*, 2009, `http://www.argo.ucsd.edu/Gridded_fields.html`]

sented comparisons, however, provide confirmation that the Labrador Sea and the broader North Atlantic are both well represented in ECCOv4-r2, giving us realistic circulation and hydrography well-suited for adjoint sensitivity experiments.

2.3 Trends in the Labrador Sea in ECCOv4

In the ECCOv4-r2 solution, which covers the time period 1 January 1992 to 31 December 2011, the Labrador Sea transitioned from a state dominated by weak stratification and mixed layers reaching over 1500 m, to a more stratified state with shallower mixed layers (Figure 4). The interior ocean (between roughly 100m and 2400 m) became warmer, saltier, and less stratified gradually from 1996 onwards. Temperatures and salinities stayed nearly constant in the deep portion of the Labrador Sea water column (below roughly 2400 m, the depth of the shallowest bathymetry relative to the sea surface in the Labrador Sea as defined in Figure 1), with only a slight increase in stratification. The subpolar gyre weakened over this same period (Figure S2).

The observed changes in the Labrador Sea may be partially explained by a change in the phase of the North Atlantic Oscillation (NAO) [*Sutton et al.*, 2017]. The early 1990s featured a positive NAO phase, with associated exceptionally cold winters leading to strong preconditioning and weak stratification [*Curry et al.*, 1998; *Lazier et al.*, 2002; *Latif and Keenlyside*, 2011; *Kieke and Yashayaev*, 2015]. Between 1993-1995, the mixed layer reached a maximum of 2300-2400 m [*Kieke and Yashayaev*, 2015]. High heat loss to the atmosphere produced mixed layers deeper than 2000 m and the formation of large volumes of weakly stratified water as seen in ECCOv4 (Figure 4). In the late 1990s and throughout most of the 2000s, the NAO switched to a negative phase associated with milder winters, weaker ocean heat loss, shallower mixed layers (ranging from 500-1500 m, except for 2008 and 2014, when they reached 1850 m and 1700 m, respectively), and a change in the depth and density structure of newly formed Labrador Sea Water [*Vage et al.*, 2008; *Yashayaev and Loder*, 2009; *Sutton et al.*, 2017]. From the late 1990s onward, the layer of dense Labrador Sea Water (between $27.74\sigma_1$ and $27.8\sigma_1$) thinned as a layer of relatively shallow Labrador Sea Water (between $27.68\sigma_1$ and $27.74\sigma_1$) thickened (Figure 4). The mode of Labrador Sea Water that formed in the early 1990s is notable in the modern observational record [*Kieke and Yashayaev*, 2015]. Wintertime convection in the Labrador Sea has deepened since 2012, the end of the ECCOv4-r2 period, with possible implications for the formation of Labrador Sea Water [*Piron et al.*, 2017; *Yashayaev and Loder*, 2017]. Over the historical period 1900-

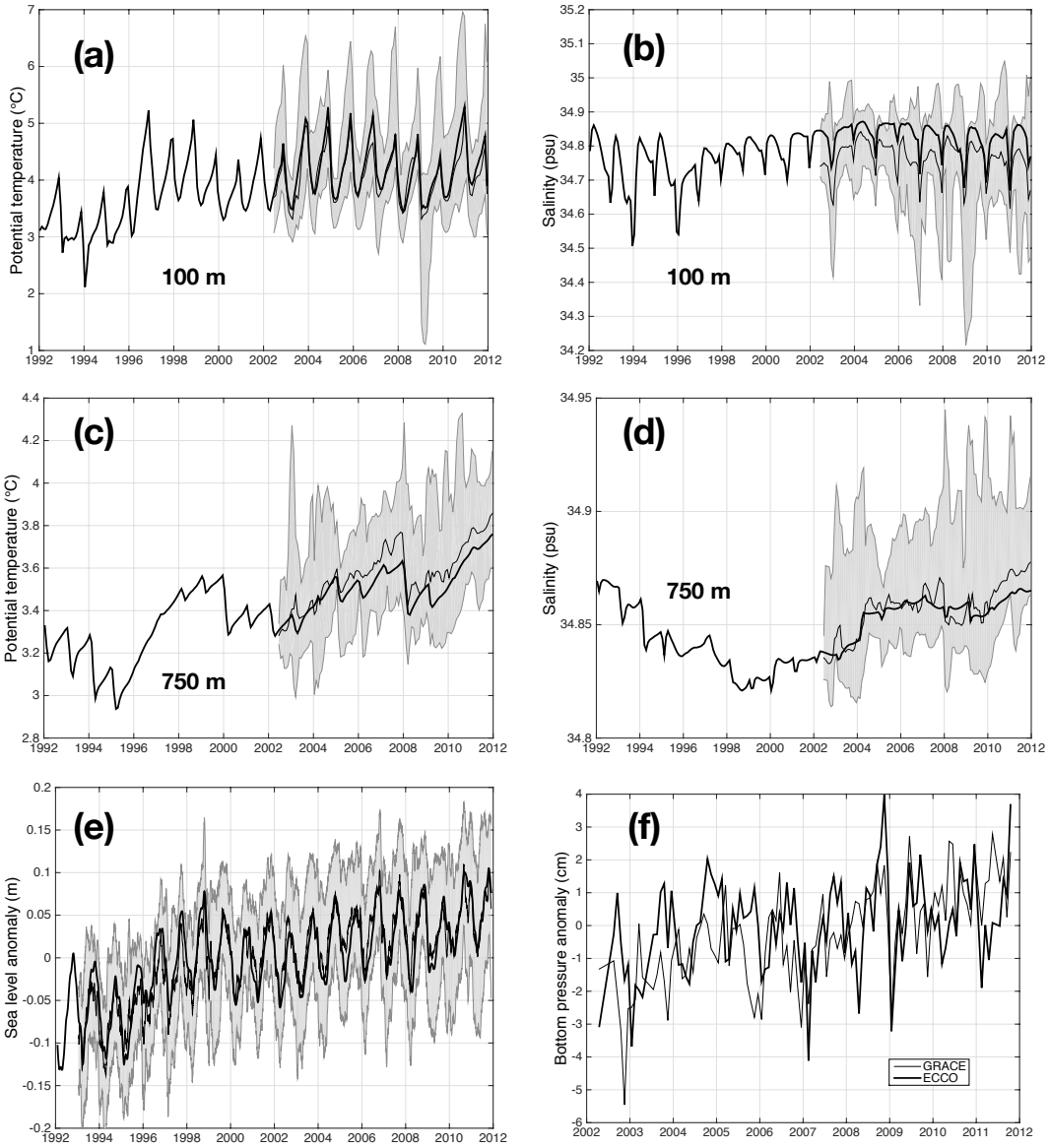
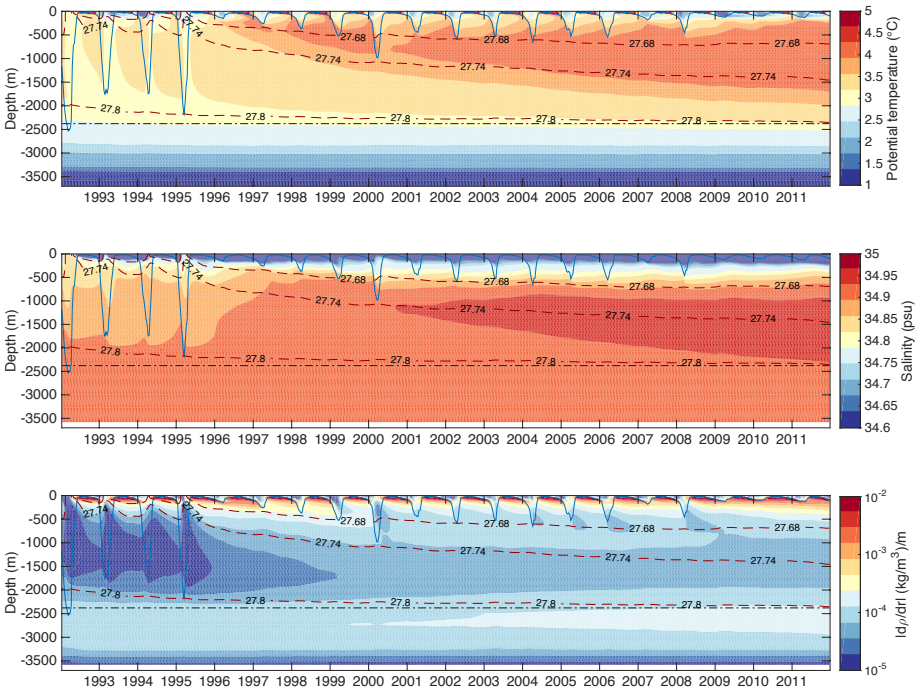


Figure 3. Validation of ECCOv4-r2 with observational data in the Labrador Sea region indicated in Figure 1. Comparison of ECCOv4-r2 and Argo (a),(c) temperature profiles and (b),(d) salinity profiles. Mean ECCOv4-r2 values are shown as thick black lines. Argo-ECCOv4 misfits are calculated as $m_i = (a_i - e_i) + \overline{e_i}$, where a_i is the Argo value, e_i is the corresponding ECCOv4-r2 value, and $\overline{e_i}$ is the mean ECCOv4 value. Median values of m_i are shown as thin black lines, and the shading indicates the 95% interval for m_i, i.e. between the 2.5th and 97.5th quantiles. Comparisons are shown at 100 m and 750 m. (e) Comparison of ECCOv4 (thick black line) sea level anomaly with Topex-Poseidon-Jason family of altimeters (mean is thin black line, shading shows 95% misfit interval [*Forget and Ponte*, 2015]). (f) Comparison of ECCOv4 (thick black line) bottom pressure with GRACE/mascons data (thin black line), downloaded from `http://grace.jpl.nasa.gov/data/get-data/jpl_global_mascons/`.



211 **Figure 4.** Labrador Sea potential temperature (top), salinity (middle), and potential vorticity (bottom) in
212 ECCOv4-r2, shown together with mixed layer depth (solid blue lines) and σ_1 density surfaces (dashed lines,
213 units [kg/m^3]). The height of the shallowest Labrador Sea bathymetry is shown as a dashed-dotted line.

2000, the climatological core of deep convection was located west of 52°W and south of 59°N, with the deep convection area extending to 48°W and 60°N in the early 1990s and retreating westward in the early 2000s [*Pickart et al.*, 2003; *Vage et al.*, 2008].

2.4 Designing adjoint sensitivity experiments

Adjoint methods allow for sensitivity calculations that would be extremely impractical by more conventional means. In a typical “forward" perturbation experiment, the input of a numerical model (e.g. net heat flux) is perturbed by a chosen finite amount at a particular set of locations and times, and the effects are observed in various output fields (e.g. sea surface temperature). The effects propagate away from the perturbation site at a range of speeds, expressing the timescales of various adjustment processes. By contrast, in an adjoint sensitivity experiment, one defines a single quantity of interest (which may be an integral over some chosen region and time period), and the adjoint method simultaneously calculates the sensitivities to *every* selected input at *all* locations and times that are included in the numerical model. Thus a single adjoint sensitivity run calculates sensitivities that would otherwise require an unfeasibly large number of forward perturbation experiments.

One possible caveat is that adjoint sensitivities are linearized about a reference state, which is a sufficiently accurate approach for some applications but not necessarily for others. The linear approximation is generally expected to hold for sufficiently small perturbations and short time scales. In this work, we use objective functions that are averaged over one year and over the entire water column in part to ensure that the linear approximation is suitable - the response of spatially and temporally averaged objective functions tends to be more linear than that of more localized and/or instantaneous quantities. The suitability of the linear approximation will be confirmed in section 2.4.3.

If we think of time in the usual way, i.e. progressing from the past to the future, then adjoint sensitivity fields appear to propagate from afar, typically getting stronger with time and converging on the location of interest. However, if we mentally reverse the arrow of time and progress from the future into the past, then adjoint sensitivity fields appear to propagate outwards from the region of interest with a range of speeds reflecting different adjustment mechanisms (see Figure 5). This backwards-in-time view is convenient for interpreting adjoint sensitivity fields, as done in this paper.

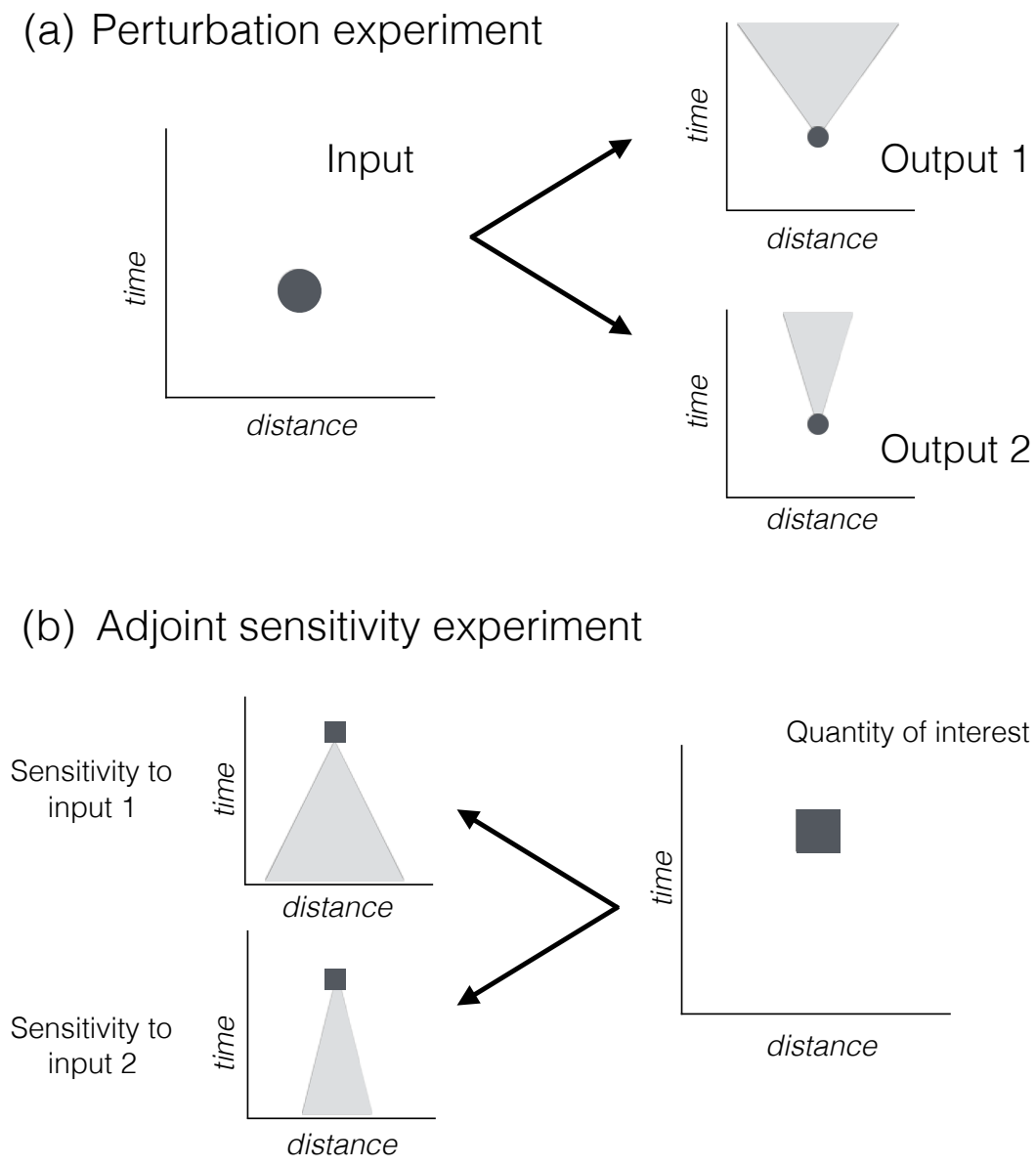


Figure 5. Schematic of (a) a traditional forward perturbation experiment and (b) an adjoint sensitivity experiment. The output of the forward perturbation experiment is a set of perturbed fields (Δy), whereas the output of an adjoint sensitivity experiment is a collection of gradients (i.e. sensitivities of the form $\partial J/\partial x$, where x is an input variable.)

It is also worth noting that adjoint sensitivity fields are not simply correlations between variables. Adjoint sensitivity fields indicate causal relationships contained in the model equations, whereas correlations describe how two variables change together, irrespective of whether or not they are causally related. Of course, the causal relationships highlighted by adjoint methods are those of the model, which are only approximations of real processes.

2.4.1 Defining the objective function

First we construct an objective function (i.e. a quantity of interest) for our adjoint sensitivity study, which will help us (1) identify the potential source waters of the Labrador Sea and (2) understand the sensitivity of Labrador Sea heat content to local and remote forcing. We use a "box mean" average heat content over a control volume V and time interval $\Delta t = t_2 - t_1$:

$$J = \frac{1}{V\Delta t}\int_V \int_{\Delta t} H(\mathbf{r}, t)dtdV, \tag{1}$$

where H is the heat content $H = \rho_0 c_p[\theta(\mathbf{r}, t) - \theta_0]$, $\theta(\mathbf{r}, t)$ is the potential temperature, $\mathbf{r}$ is the position vector, and t is time. The reference density is set as $\rho_0 = 1027$ kg/m^3 and the heat capacity is $c_p = 3850$ J/(kg K). The reference potential temperature θ_0 is a constant which does not affect the sensitivities since the derivative of a constant is zero. The averaging volume V covers the entire Labrador Sea water column, delineated by the 300 m March-April-May mixed layer depth contour (averaged over 1992-2011) in the Labrador Sea as shown in Figure 1. The time integral covers a one year period from 1 January to 31 December. We analyze a 10-member ensemble of 11-year adjoint sensitivity runs, with the objective function covering the last year of the run, specifically from 2002 to 2011. The ensemble approach allows us to describe the sensitivity fields in terms of ensemble means and standard deviations about the mean that reflect interannual variability over 2002-2011. Transient error growth calculations suggest that ocean heat content in the North Atlantic is characterised by a predictability barrier of about 10 years, justifying our decision to limit our attention to 10-year adjoint sensitivity estimates (i.e. 10 years prior to the start of the objective function integration) [*Sévellec and Fedorov*, 2017].

2.4.2 Using linear sensitivities

Adjoint methods calculate the linear sensitivities of the objective function J to a set of independent variables x. For a selected independent variable x, an adjoint model calculates a

296 set of time-evolving sensitivity fields:

$$\frac{\partial J}{\partial x}(\mathbf{r}, t) \tag{2}$$

297 The objective function J is a scalar, but the sensitivity field $\partial_x J$ may have rich spatial and
298 temporal structure. Throughout this work, we use 14-day averaged sensitivity fields for anal-
299 ysis. Adjoint sensitivity fields can be scaled in various ways depending on the question at
300 hand [*Heimbach et al.*, 2011; *Verdy et al.*, 2014]. One choice is to scale by a value of the
301 standard deviation. For an independent variable x, we compute

$$dJ_x(\mathbf{r}, t) = \left[\frac{\partial J}{\partial x}(\mathbf{r}, t)\right] \sigma_x(\mathbf{r}), \tag{3}$$

302 where $\sigma_x(\mathbf{r})$ is the spatially-varying standard deviation in time (relative to 14-day averages)
303 after the seasonal cycle has been removed (Figure S3). This choice means that we are us-
304 ing an interannual standard deviation together with an annual mean objective function. Al-
305 though this approach is expected to produce linear responses of reasonable magnitude, the
306 spatial structure in $\sigma(\mathbf{r})$ may mask the spatial structure of the sensitivity field in a way that
307 can make interpretation difficult. For instance, using this scaling we cannot determine if a
308 small dJ is due to (1) weak linear sensitivity, (2) low temporal variability, or (3) a combina-
309 tion of both. We therefore sometimes prefer to use a spatially uniform standard deviation σ_x
310 even though it may over-represent the importance of low-variability regions in the ocean to
311 the linear response. Still another approach is to present the sensitivity fields in their "raw"
312 (i.e. unscaled) form, which allows a variety of scaling approaches to be applied afterwards.

313 For the purpose of plotting three-dimensional sensitivity fields (e.g. $\partial J/\partial T$), it is sen-
314 sible to scale the sensitivity fields by the thickness of the depth level Δz. In that case, the
315 linear response takes the form:

$$\frac{1}{\Delta z}\frac{\partial J}{\partial T}, \tag{4}$$

316 which has units of $1/m$. This scaling prevents the relatively large grid boxes in the deep
317 ocean interior from dominating the sensitivity [*Heimbach et al.*, 2011]. In general, different
318 approaches to scaling adjoint sensitivity patterns are appropriate for different fields and re-
319 sponse metrics. In each section we explicitly describe the type of scaling applied for each
320 type of analysis. Since ECCOv4 does not feature an adjoint representation of the sea ice
321 model, sensitivities to air-sea fluxes are corrected by a factor of $1 - f$, where f is the frac-
322 tional coverage of sea ice area in each model grid cell. For instance, the sensitivity of Labrador
323 Sea heat content to air-sea heat fluxes in a completely ice-covered grid cell ($f = 1$) is set to
324 zero $(1 - f)$.

2.4.3 Examining the validity of the linearity approximation

Here we test the accuracy of the linear approximation for “typical" perturbation sizes using the forward, nonlinear ECCOv4-r2 model setup. To this end, we separate the linear and non-linear responses of a given quantity by imposing positive and negative perturbations of the same magnitude in two different model runs [*Verdy et al.*, 2014]. Given a perturbation $\Delta Q = Q - Q_0$, in a quantity Q, then the response of a variable H can be approximated by Taylor series expansions as:

$$\Delta H = H - H_0 = \frac{\partial H}{\partial Q}(Q - Q_0) + \frac{1}{2}\frac{\partial^2 H}{\partial Q^2}(Q - Q_0)^2 + \cdots, \tag{5}$$

where H_0 and Q_0 are reference values about which the partial derivatives are evaluated. We denote the response to a positive perturbation $Q > Q_0$ as ΔH_+ and the response to a negative perturbation $Q < Q_0$ as ΔH_-. We then estimate the linear response by the difference $(\Delta H_+ - \Delta H_-)/2 \approx (\partial_Q H)(Q - Q_0)$ and the non-linear response by the sum $(\Delta H_+ + \Delta H_-)/2 \approx 0.5(\partial_{QQ} H)(Q - Q_0)^2$. This approach is expected to work well if the response function in question can be well represented by a Taylor series expansion and if the first two non-constant terms capture the majority of the variability of that response function.

We impose positive and negative perturbations of magnitude 10 W/m^2 and 40 W/m^2 over the Labrador Sea for the first three months (JFM) of both 1993 and 2003, a total of four different perturbation experiments. We chose these years because they represent end members for the stratification of the background state and deep convection, as 1993 features exceptionally weak stratification and deep mixing, while 2003 features relatively strong stratification and a relatively shallow winter mixed layer. Using 1993 and 2003 also allowed us to run the perturbation experiments for at least 9 years and exploit almost all of the ECCOv4 period (1993-2011). When scaled by the magnitude of the perturbations (i.e. 10 and 40 W/m^2), we find that the linear component of the response behaves nearly identically for both perturbation magnitudes, suggesting a high degree of linearity with respect to the magnitude of the perturbation, at least in the 10-40 W/m^2 range (Figure 6). In all cases, the non-linear component of the response is small, becoming significant only when the total response itself becomes negligible. For the perturbations applied in 1993, the non-linear response is small for at least 10-11 years, and for the perturbations applied in 2003 the linear response dominates for about 7 years, after which time the total response is small. Based on these results, we conclude that the linear approximation is suitably accurate on timescales of roughly 7 years for the problem of response to local air-sea heat flux. Notably, the responses show sig-

nificant differences when comparing 1993 and 2003, suggesting that the ocean/climate background state does affect the sensitivity of the column-integrated heat content to net heat flux at the surface. In 1993, the potential temperature anomaly created by the change in heat flux penetrates much further into the interior ocean (down to roughly 2000 m) due to deep convection. In 2003, the potential temperature anomaly induced by the perturbation stays confined to a relatively narrow depth range (roughly between 0-800 m). This contrast in mixed layer depth is consistent with the behavior of the observed ocean, in that heat loss of similar magnitudes can still lead to dramatically different mixed layers, highlighting the importance of preconditioning and stratification for deep mixing [*Piron et al.*, 2017].

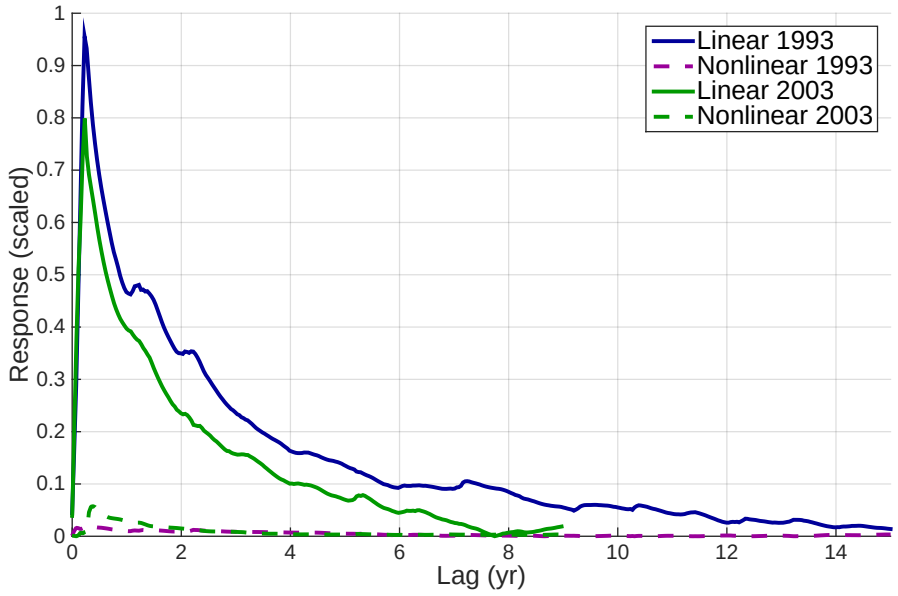


Figure 6. Normalized linear (solid lines) and non-linear (dashed lines) responses of the depth-integrated potential temperature of the Labrador Sea to perturbations in net heat flux. The perturbations are applied over the entire Labrador Sea with magnitude 10 W/m^2 (not shown) and 40 W/m^2 (shown) in both 1993 (blue) and 2003 (green). When scaled by the magnitude of the heat flux perturbations, responses to the 10 W/m^2 and 40 W/m^2 are very nearly identical.

3 Results: adjoint pathways and processes

In this section, we examine sensitivity fields from a 10-member ensemble of 11-year adjoint sensitivity experiments, with one experiment for each objective function year in the 2002-2011 range, in order to quantify the predicted response of the Labrador Sea heat con-

tent to local and remote influences. We begin by decomposing the sensitivity fields into kinematic and dynamic components following *Marotzke et al.* [1999]. This allows us to distinguish between sensitivities to changes that propagate along isopycnals (i.e. kinematic) with sensitivities to changing density structures (i.e. dynamic). Formulating the annual- and volume-mean heat content as a function of density and temperature $J = J[\rho(T, S), T]$ allows us to write the sensitivity of the heat content to temperature variations at constant salinity as follows:

$$\left(\frac{\partial J}{\partial T}\right)_S = \left(\frac{\partial J}{\partial \rho}\right)_T \left(\frac{\partial \rho}{\partial T}\right)_S + \left(\frac{\partial J}{\partial T}\right)_\rho . \tag{6}$$

The first term on the right-hand side of equation 6 is the “dynamic" component of the sensitivity (i.e. sensitivity to changes in density), and the second term on the right-hand side is the “kinematic" component (i.e. dynamically-inactive sensitivities to temperature anomalies). Using the coefficient of thermal expansion α and coefficient of haline contraction β, defined as

$$\alpha \equiv -\frac{1}{\rho}\left(\frac{\partial \rho}{\partial T}\right)_S \text{ and } \beta \equiv \frac{1}{\rho}\left(\frac{\partial \rho}{\partial S}\right)_T , \tag{7}$$

we can write

$$\left(\frac{\partial J}{\partial S}\right)_T = \left(\frac{\partial J}{\partial \rho}\right)_T \left(\frac{\partial \rho}{\partial S}\right)_T = \beta\rho \left(\frac{\partial J}{\partial \rho}\right)_T , \tag{8}$$

and the dynamic sensitivity becomes:

$$F_{dyn} = \left(\frac{\partial J}{\partial \rho}\right)_T \left(\frac{\partial \rho}{\partial T}\right)_S = \frac{1}{\beta\rho}\left(\frac{\partial J}{\partial S}\right)_T \left(\frac{\partial \rho}{\partial T}\right)_S = -\frac{\alpha}{\beta}\left(\frac{\partial J}{\partial S}\right)_T . \tag{9}$$

The kinematic sensitivity can also be written as a function of sensitivities to temperatures and salinities,

$$F_{kin} = \left(\frac{\partial J}{\partial T}\right)_S + \frac{\alpha}{\beta}\left(\frac{\partial J}{\partial S}\right)_T . \tag{10}$$

In these forms, the dynamic and kinematic sensitivities can be calculated directly from standard MITgcm adjoint model output, which includes sensitivities to potential temperature and salinity throughout the entire model run. We use monthly 1992-2011 averaged, three-dimensional α/β fields derived from ECCOv4-r2 potential temperatures and salinities using the TEOS-10 toolbox [*McDougall and Barker*, 2011].

Sensitivity fields (e.g. F_{kin}, F_{dyn}, more generally written $\partial J/\partial x$) can be converted into impacts ΔJ by multiplying by perturbations Δx, i.e. $\Delta J = (\partial J/\partial x)\Delta x$. When examining kinematic and dynamic sensitivity fields, we impose unit perturbations in order to preserve the structure of the sensitivity fields themselves. Physically, applying a unit increase of $\Delta T = 1°\text{C}$ to a dynamic sensitivity field F_{dyn} can be interpreted as instead imposing a

density-equivalent decrease in salinity ($\Delta S = -\Delta T\alpha/\beta$) due to the presence of the factor $-\alpha/\beta$ in equation 9. Here the phrase "density-equivalent" refers to the fact that if the condition $\alpha\Delta T = -\beta\Delta S$ is satisfied, then the small perturbations ΔT and ΔS have the same impact on the density via the linear equation of state for seawater, i.e. $\rho = \rho_0(1 - \alpha\Delta T + \beta\Delta S)$. In contrast, applying a perturbation of $\Delta T = 1°$C to a kinematic sensitivity field F_{kin} can be interpreted as simultaneously imposing both a $\Delta T = 1°$C change in potential temperature and a change in salinity given by $\Delta S = \Delta T\alpha/\beta$ (see equation 10). The combination of these changes ensures that the density remains constant, i.e. the perturbation is carried out along a density surface in T/S space.

3.1 Sensitivity to changes at constant density

Positive kinematic sensitivities indicate potential "source regions" for a given control volume of interest (e.g. the Labrador Sea) by quantifying the extent to which potential temperature anomalies may directly get transported into the region of interest at constant density. Any selected region of the global ocean integrates influences from increasingly remote regions as we consider increasingly distant times in the past. Thus, the volume covered by non-zero values of kinematic sensitivity tends to increase with longer lags, reflecting the action of adjoint advection, diffusion, and mixing at constant density (Figure 7).

For short lags (−0.8 yr in Figure 7, right-hand column), the sensitivities are concentrated in the Labrador Sea and the wider subpolar gyre, with varying lateral influences at different depths. In the upper 500 m, sensitivity signals propagate along the eastern coast of Greenland via the East Greenland Current and the Denmark Strait Overflow, the cold and fresh currents underneath that connect the Irminger Sea and the Nordic Seas via the Denmark Strait. Below 500 m, the sensitivities are confined to the Irminger Sea and the Iceland Basin, as the shallow bathymetry of the Denmark Strait and the ridge to the east of Iceland prevent exchange with the Nordic Seas. At lag -3.9 yr (Figure 7, middle column), the influence of the subpolar gyre is apparent from the surface down to roughly 1000 m. The imprint of the North Atlantic Current is especially visible at 477 m. At lag -7.9 years, we find sensitivities in the Gulf Stream concentrated in the upper 900 m. At 477 m we see the broadest sensitivity pattern, with non-zero values stretching from the Gulf of Mexico to the Nordic Seas and pushing into the Arctic. In contrast, for all lags considered, the deep ocean sensitivities remain largely confined to the Labrador Sea and Irminger Sea, highlighting the

vastly different circulation timescales and pathways found in the upper, intermediate, and deep zones of the North Atlantic.

Although the kinematic sensitivity field is positive nearly everywhere, we find small negative sensitivities in the near-surface Mediterranean Sea (see Figure 7(a) and (b)), which is a region of anomalously high salinity relative to the North Atlantic. The predicted linear response of LS heat content to an increase in Mediterranean Sea temperature, together with the simultaneous decrease in salinity required to keep the density constant, is a decrease in LS heat content. Although this potential adjustment pathway is interesting, we do not investigate it further here. Animations of the kinematic sensitivity field at various depths are available as supplemental information (Movie S1).

3.2 Sensitivity to changes in density

A change in buoyancy in any region of the global ocean can potentially influence Labrador Sea heat content via re-arrangements in hydrostatic pressure fields and geostrophy, even if that region is not a “source" of water for the Labrador Sea. Changes in temperature can thus influence the dynamics of the ocean in various ways, for example by changing the tilt of density surfaces and associated geostrophic transports, and/or by exciting barotropic and baroclinic motions with characteristics similar to Kelvin waves and Rossby waves modified by the presence of bottom topography. These mechanisms can potentially affect heat convergence and thereby heat content in the LS. Like the kinematic fields, the dynamic sensitivity fields are four-dimensional (three spatial dimensions, one time dimension) and thus contain a tremendous amount of information.

At short lags (-0.8 yr, Figure 8, right column) below 100 m, we see a positive sensitivity anomaly along the entire eastern boundary of the subtropical and subpolar North Atlantic, extending from the coast/shelf of West Africa to the coast/shelf of Iceland. For lags longer than about 5 years, the sensitivity field becomes increasingly baroclinic, with variations between positive and negative values with depth (Figure 8, left column). This coastal/shelf sensitivity field reflects a complex superposition of mechanisms that can potentially act to change the basin-wide meridional pressure gradient, thereby altering the associated circulation and ultimately heat convergence in the LS. Even though the eastern subtropical Atlantic is not a source water region for the Labrador Sea, it can influence the Labrador Sea dynamically. The kinematic sensitivities all along the African and most of the European shelf are

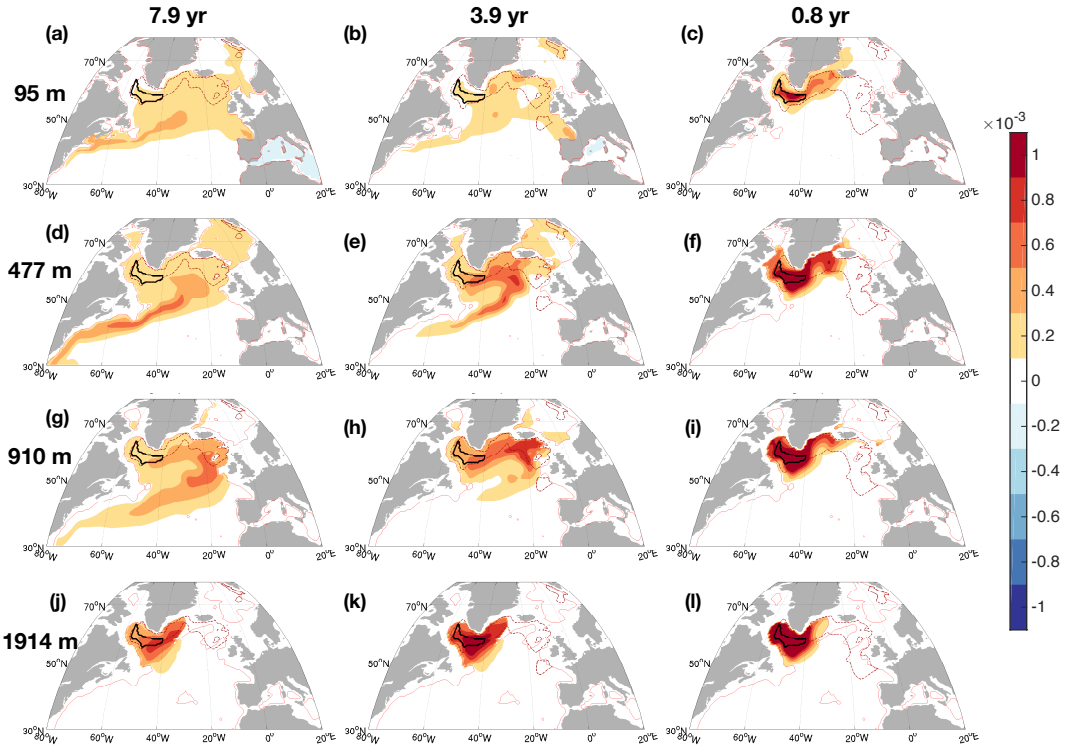


Figure 7. Ensemble mean kinematic sensitivities for the annual- and column-mean heat content in the Labrador Sea, shown at three different lags (-7.9 years, -3.9 years, and -0.8 years) and at four different depth levels. The objective function is defined as an average over the entire LS water column, and these plots show cuts of the sensitivity fields at 95 m, 477 m, 910 m, and 1914 m. The fields are scaled as $\partial_{\theta_n} J/(J_0 \Delta z)$, where J is the annual mean Labrador Sea heat content, θ_n is the potential temperature, J_0 is the ensemble mean annual heat content 7.9×10^6 J/m^3, and Δz is the thickness of the vertical level. The fields are scaled such that in a region with sensitivity $1 \times 10^{-3} [m^\circ C]^{-1}$, a unit perturbation of $\Delta T = 1^\circ$C, together with the simultaneous salinity perturbation $\Delta S = \Delta T \alpha / \beta$ required to keep the density constant, applied over a 14-day period in a single grid cell with 1 m thickness will induce a linear perturbation in the annual mean heat content of roughly $1.3 \times 10^{-10} J_0$.

negligibly small compared with the sensitivities in the subpolar gyre, NAC, and Gulf Stream, but the dynamic sensitivities are relatively large.

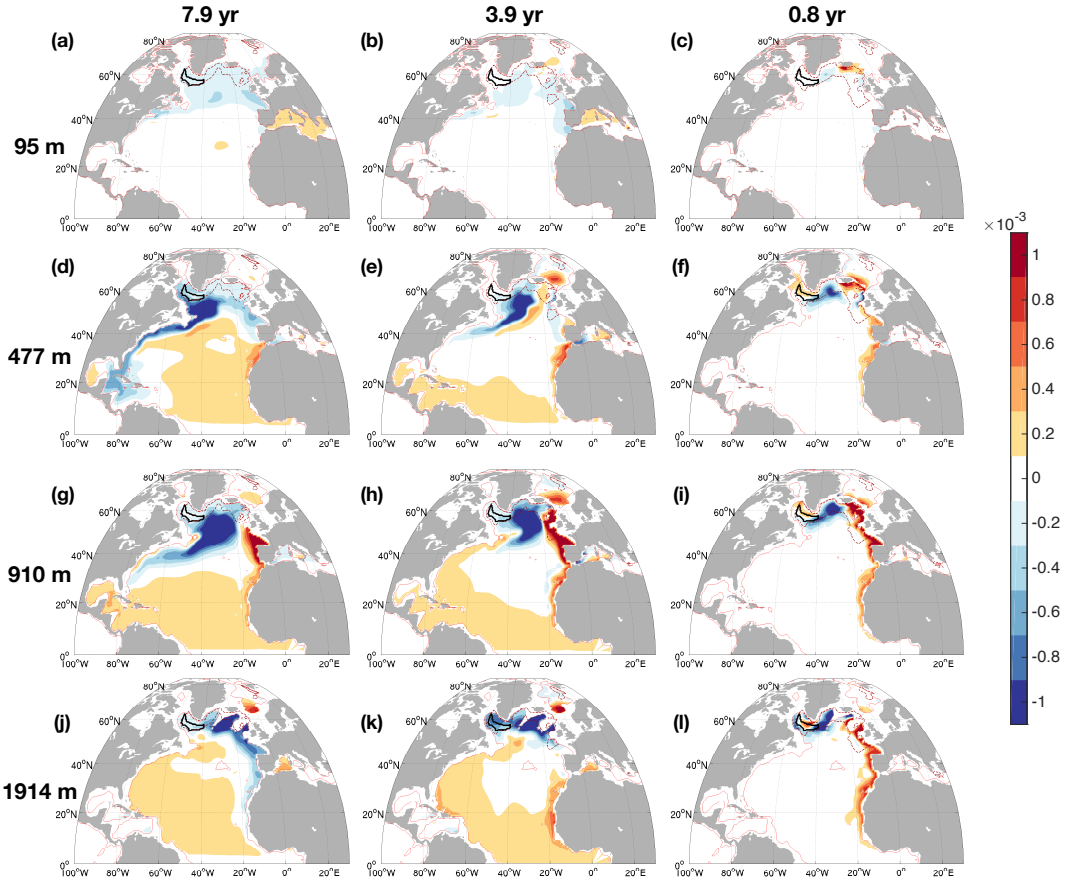


Figure 8. Ensemble mean dynamic sensitivities for the annual- and column-mean heat content in the Labrador Sea, shown at three different lags (-7.9 years, -3.9 years, and -0.8 years) and at four different depth levels. The fields are scaled in the same fashion as Figure 7.

Positive-negative dipoles in the dynamic sensitivity fields can indicate locations where changes in temperature can alter stratification, the tilt of density surfaces, and the associated transport (Figure 8). The dipoles seen at 477 m and 910 m across all lags tend to straddle the regions of maximum kinematic sensitivities, both of which are broadly oriented along large-scale circulation features (e.g. the eastern edge of the subpolar gyre, the NAC, the Gulf Stream). Increasing potential temperature in the region of positive sensitivity and/or decreasing potential temperature in the region of negative sensitivity leads to an *increase* in Labrador Sea heat content by changing the transport and convergence of heat. The response of the heat content is the product of the sensitivity and an anomaly, i.e. $\Delta J = (\partial_x J)\Delta x$,

so to understand the sign of the response we must consider both the sign of the sensitivity and the sign of the anomaly in the independent variable x. This is broadly consistent with a transport-driven mechanism identified by *Williams et al.* [2015] in which an increase in Labrador Sea density *enhances* overturning and produces stronger heat convergence in the subpolar gyre. Heat content variability in the subpolar gyre is dominated by diffusion and bolus transport, which connects increased overturning with heat convergence in the subpolar gyre on monthly to interannual timescales [*Buckley et al.*, 2014]. Animations of the dynamic sensitivity (Movies S2, S3, and S4), and ensemble standard deviations (Figure S4) are available as supplemental information.

3.3 Sensitivity to changes in different regions

Labrador Sea heat content is influenced by increasingly remote regions as we consider more negative lags (i.e. as we look further back in time). By dividing the North Atlantic and Arctic Oceans into different regions based on geographic and dynamic considerations, we can quantify the timescales over which these regions can contribute to variability in the Labrador Sea. In Figure 9(a), we show the 9 analysis regions that we will use for the rest of this paper. Regions 1, 2, and 3 are the Labrador Sea, Irminger Sea, and broader subpolar gyre (which does not include regions 1 and 2), respectively. Region 4 includes Hudson Bay, Baffin Bay, and part of the Northwest Passage. Region 5 consists of the Nordic Seas, with a southern boundary delineated by relatively shallow bathymetric features, and Region 6 is the Arctic Ocean, which is only partially shown in the chosen map projection. The subtropical gyre is divided into three regions based approximately on the structure of the barotropic streamfunction shown in Figure 1. Region 7 contains the Gulf Stream, the Caribbean Sea, and the Gulf of Mexico, with an eastern boundary that coincides with the maximum eastward extent of the 30 Sv contour of the subpolar gyre. Region 8 is the central subtropics, with a maximum eastward boundary that coincides with the 5 Sv contour of the barotropic streamfunction. Region 9 includes the Eastern Subtropics and the Mediterranean Sea, so it will be affected by the along-shelf propagating wave signals discussed in previous sections. The boundary between region 3 and the subtropical regions (7, 8, and 9) is the 0 Sv contour of the barotropic streamfunction shown in Figure 1.

515 In each region, the response of the objective function J to a perturbation Δx at time t is
516 generally written:

$$R_{pos}(t) = \sum_{i,j,k} \left(\frac{\partial J}{\partial x}\right)_{i,j,k,n} \Delta x, \tag{11}$$

517 where the sum is over grid cell indices within the selected region, and we choose $\Delta x = 1°C$.
518 For convenience, we will refer to this as the “pos" response, which is the response to a uni-
519 form positive perturbation Δx. Here we use two-week averaged sensitivities, so the response
520 function indicates the change in annual mean Labrador Sea heat content brought about by the
521 linear response to a change in the 14-day averaged ocean state, which in this instance is taken
522 to be a unit perturbation in potential temperature ΔT (and/or its density-equivalent perturba-
523 tion in salinity $\Delta S = \Delta T(\alpha/\beta)$). The results are shown in Figure 9.

533 We start by analyzing the regional kinematic sensitivities. Local kinematic sensitivities
534 (i.e. sensitivities to region 1) can be described by two-term exponential decay with a fast
535 decay rate of 1.0 ± 0.1 yr and a slow decay rate of 12 ± 0.6 yr (ensemble mean and ensemble
536 standard deviation). Peak sensitivity to the Irminger Sea has some spread across the model
537 ensemble, with the maximum occurring at lag -2.1 ± 0.4 yr. Maximum sensitivity to the
538 subpolar gyre occurs at lag -1.0 ± 0.8 yr; for longer lags it decreases roughly linearly at a
539 rate of 1.2 ± 0.2 %/yr. The Nordic Seas sensitivity peaks at -4.7 ± 0.7 yr. The contribution of
540 the Hudson remains negligible, probably due to its small size and its relatively inaccessible
541 geography. On the short, 10-yr timescale of these experiments, the Arctic Ocean makes a
542 small contribution to the response, but for lags longer than -1.8 yr, the kinematic sensitivity
543 increases roughly linearly at a rate of 0.2 ± 0.02 %/yr.

544 The Gulf Stream region (region 7, which also includes the Gulf of Mexico) is not a
545 major source region for the LS on 10-year timescales. In terms of the kinematic sensitivity,
546 it reaches a relative value of 1% at lag -5.3 ± 0.4 yr. The small value of the relative contri-
547 bution may be an artefact of the choice of region decomposition, but the time at which this
548 maximum is reached is not sensitive to the value of the maximum. The kinematic sensitivity
549 fields do show the imprint of the Gulf Stream at lag -7.9 yr at a depth of 477 m in Figure 7,
550 although by this time the core of the sensitivity has not yet reached the Gulf Stream itself, as
551 it is still located in the range of the NAC. The central subtropics shows zero sensitivity for
552 lags shorter than -2 yr, and going further back in time it increases at a rate of 1.6 ± 0.1 %/yr.
553 The Eastern Subtropics is not a source region for the Labrador Sea, with sensitivities well be-

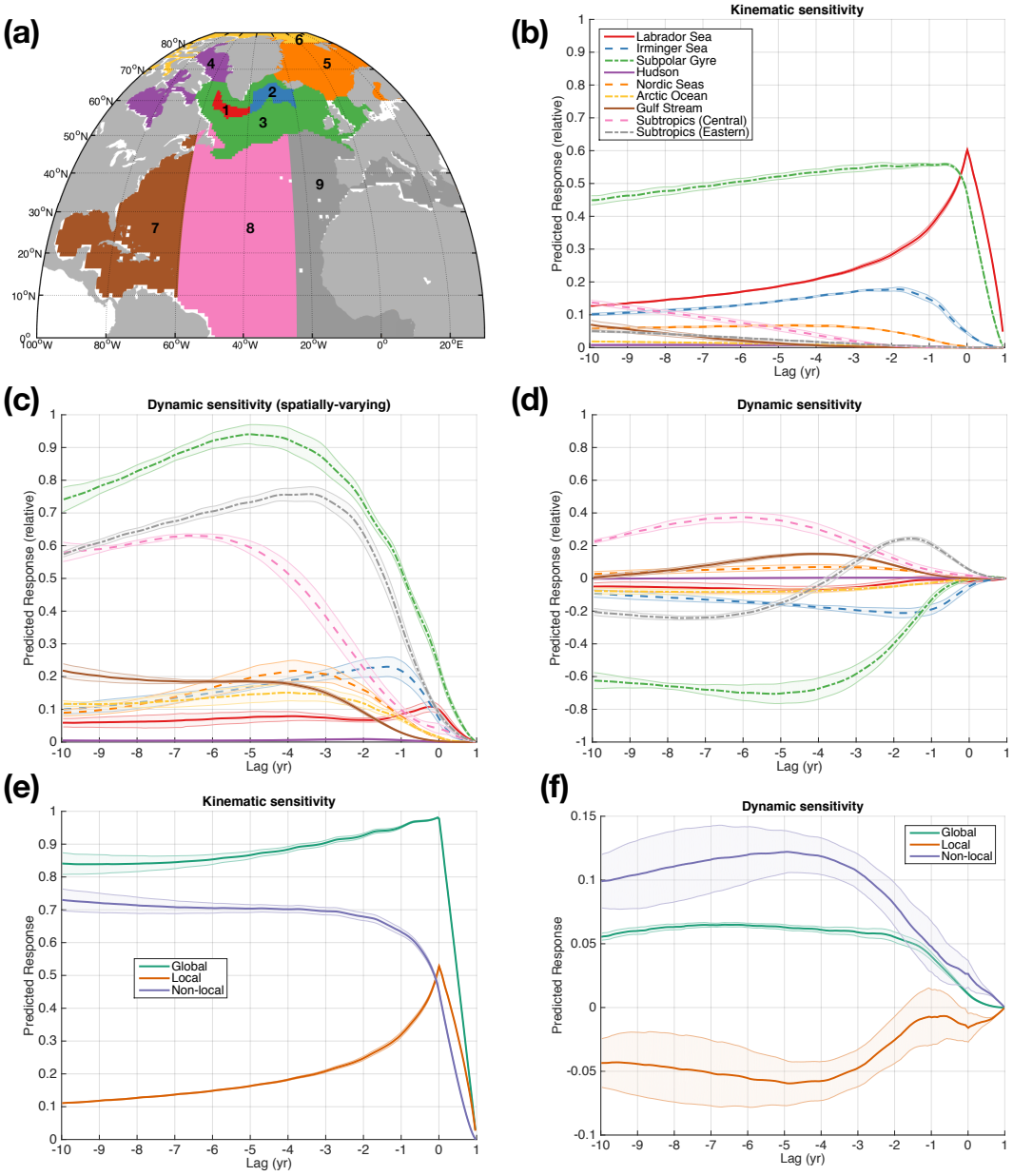


Figure 9. Regional sensitivity time series for the areas indicated in panel (a). The lines indicate ensemble means, and the shading indicates the standard deviation across ensemble members. Shown are the response functions for (b) the kinematic sensitivity (R_{pos}), (c) the dynamic sensitivity (R_{var}), and (d) the dynamic sensitivity (R_{pos}). All the time series have been scaled by the same constant and so are directly comparable. Responses are total impacts of a given region, i.e. they are not scaled by the size of each region. The objective function is a year-long integral starting at lag 0. Also shown are simplified time series plots with local (i.e. in the Labrador Sea), non-local, and global (local plus non-local) predicted responses R_{pos} for the (e) kinematic sensitivity and (f) dynamic sensitivity. Note that panel (f) features a different vertical scale than the other panels.

low 5% for the entire 10-year experiment. For lags longer than -2 yr, the sensitivity increases at a rate of 0.4 ± 0.03 %/yr.

The dynamic sensitivity time series show a very different picture. We display the sensitivity in two different fashions. In Figure 9(d), we preserve the sign of the dynamic sensitivity, which can be either positive or negative; the response function is calculated as shown in equation 11, so the sign of the response of each grid cell comes from the sign of the sensitivity. To put it another way, equation 11 is the response to a perturbation that is uniform everywhere. As the responses are summed up in space, this approach may lead to cancellations within a region that contains positive and negative responses. In Figure 9(c), we instead sum up the absolute value of the dynamic sensitivity, i.e.:

$$R_{var}(t) = \sum_{i,j,k} \left| \frac{\partial J}{\partial x} \Delta x \right|_{i,j,k}, \tag{12}$$

where again we take $\Delta x = 1$. Conceptually, this is equivalent to performing a convolution between the sensitivity field and an anomaly field wherein the anomalies have uniform magnitude and the same sign as the sensitivities. As this is extremely unlikely to be realised in any particular evolution of the ocean state, one should consider the spatially-varying sensitivity an upper bound (the largest possible impact, in terms of the positive/negative structure of the response).

The Irminger Sea displays a negative response for all lags considered, i.e. an increase in temperature here would dynamically decrease the Labrador Sea heat content. The minimum R_{pos} occurs at lag -1.7 ± 0.3 yr, and the maximum R_{var} occurs at lag -1.6 ± 0.5 yr. For the broader subpolar gyre, the extremum R_{pos} occurs at lag -6.0 ± 2.0 yr. The predicted response indicates a relatively strong dynamic sensitivity to the state of the subpolar gyre in 1992 and 1993, which were years of exceptionally strong mixed layer depth and subpolar gyre circulation within the 20-year ECCOv4 period. The Central Subtropics predicted response peaks at lag -6.1 ± 0.3 yr (R_{pos}) and lag -6.8 ± 1.2 yr (R_{var}).

The Nordic Seas maximum dynamic response occurs at lag -3.6 ± 0.5 yr (R_{pos}) and -3.7 ± 0.3 yr (R_{var}). The Arctic Ocean has only a weak predicted response, peaking at lag -7.1 ± 1.3 yr (R_{pos}) and -5.2 ± 2.5 yr (R_{var}). As discussed above, the Eastern Subtropics impact the sensitivity via dynamics, although it is not a strong source region for the Labrador Sea. The R_{pos} peak occurs at lag -1.5 ± 0.1 yr, whereas the R_{var} peak occurs at lag -3.6 ± 0.4 yr. This contrast indicates that there are cancellations that may occur when the dynamic

sensitivity is forced uniformly. Note that these timescales represent the total effect of many different processes,

In Figure 9(e) and 9(f), we present simplified time series showing the predicted response R_{pos} to local (in the Labrador Sea), non-local (everywhere except the Labrador Sea), and global perturbations (the sum of local and non-local). The non-local kinematic sensitivity exceeds the local kinematic sensitivity for lags longer than about 1 month, but as discussed above, the local sensitivity decays somewhat slowly with lag, remaining above 10% of the maximum global value for all lags considered. The global kinematic sensitivity also decays with lag, described empirically on the interval [-10,0] by two-term exponential decay with timescales $\tau_1 = 8.4$ yr and $\tau_2 = 22$ yr. For dynamic sensitivities (Figure 9), the predicted response to non-local density anomalies is always positive and larger in magnitude than the negative response to local density anomalies, thus the global response is always positive.

3.4 Sensitivity to surface forcing

The heat content of the Labrador Sea can be affected by local and remote surface fluxes, such as zonal and meridional wind stress and net heat flux. In Figure 10, we examine the 14-day mean sensitivity fields associated with these processes at the sea surface. Since our numerical model is an ocean-only model with imposed atmospheric forcing, sensitivities are relative to the imposed surface forcing, as opposed to a dynamic air-sea coupling. Ensemble standard deviations (Figure S5) and animations of the sensitivity fields are available as supplemental information (Movies S5 through S7).

3.4.1 Net heat flux

By convention, a positive heat flux *decreases* ocean temperature, i.e. ocean heat *loss* is positive. Large, negative sensitivities in the Labrador Sea at short lags thus indicate, as one would expect, that local heat gain increases heat content at short lags. At 3.9 year lag, the largest negative sensitivities are found south of Iceland and in the Nordic Seas (Figure 10). Anomalies in this region can get advected via the subpolar gyre into the LS. There is also a region of positive sensitivity along the European continental shelf. At 7.9 year lag, the sensitivity of Labrador Sea heat content to local heat flux has changed sign to positive values, indicating that the linear, time-delayed response to a local increase in heat loss is in fact

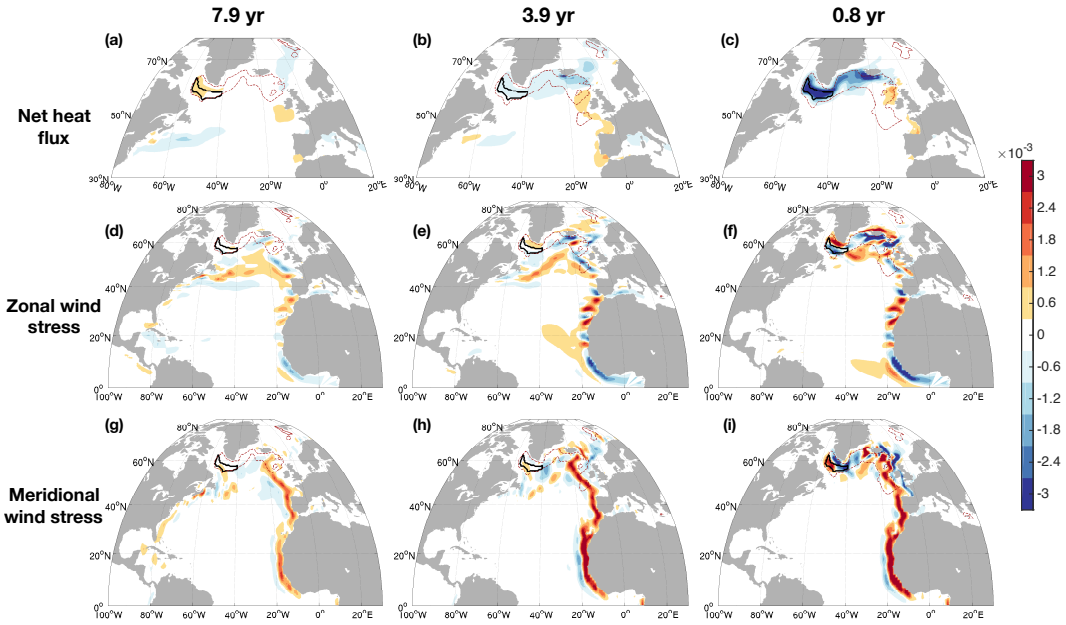


Figure 10. Ensemble mean sensitivities of the annual- and column-mean Labrador Sea heat content for objective function years 2002-2011, shown at three different lags (-7.9 years, -3.9 years, and -0.8 years). The fields have been scaled as $J_0^{-1}(\partial_x J)\Delta x$, where x is the independent variable and J_0 is the scaling constant $\rho_0 c_p(2.0^\circ\mathrm{C})$. The result is a dimensionless measure of the predicted response of LS heat content to a positive perturbation Δx applied at one grid point for two weeks, with $\Delta Q_{net} = 60$ W/m^2 and $\Delta\tau_E = \Delta\tau_N = 0.06$ N/m^2.

an *increase* in Labrador Sea heat content. This counterintuitive result is broadly consistent with a mechanism identified by [*Williams et al.*, 2015], in which increasing the density of the Labrador Sea (e.g. through increased heat loss) accelerates the overturning and increases heat convergence in the subpolar gyre. However, these positive sensitivity values are much smaller than negative sensitivity at lag 0.

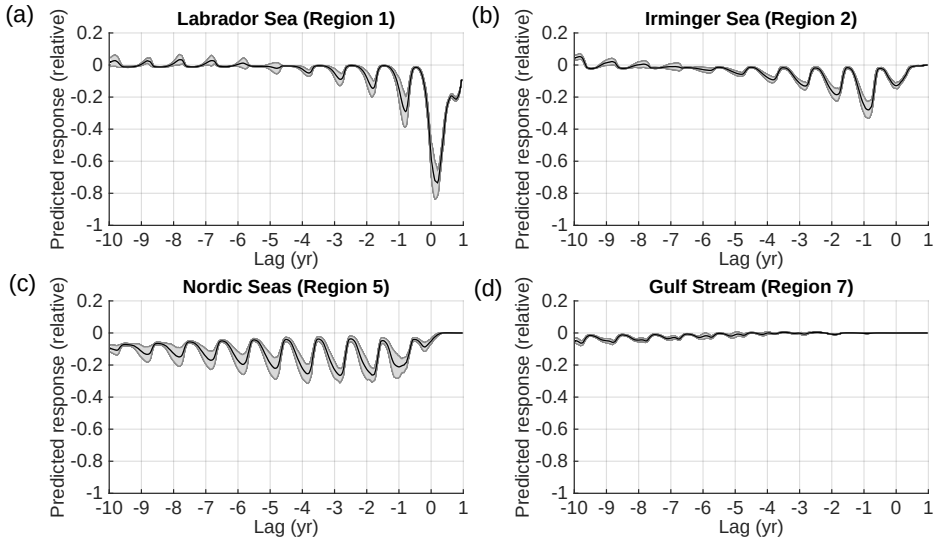
For a two-dimensional surface forcing field like net heat flux, the predicted response metric R_{pos} takes the form:

$$R_{pos,Q}(t) = \sum_{i,j} \left(\frac{\partial J}{\partial x} \right)_{i,j,n} \sigma_Q(\mathbf{r}), \tag{13}$$

where $\sigma_Q(\mathbf{r})$ is the two-dimensional, time-independent, deasonalized standard deviation in net heat flux. The predicted response varies with region and timescale, and an annual cycle is present in each time series, with extrema in late winter to early spring (see Figure 11).

To compare the timing of the predicted response extrema and mixed layer depth, we construct a mean seasonal cycle for the monthly mean predicted response $R_{pos,Q}$ and mixed layer depth and calculate various lag correlations between the seasonal cycles. In each region considered, the monthly mean predicted response leads the monthly mean mixed layer depth by about one month, so forcing anomalies that occur roughly one month before maximum mixed layer depth tend to produce the largest linear predicted responses in annual mean LS heat content. At this lag (-1 month), correlations between predicted responses and mixed layer depth are very high, explaining over 80% of the variance independently of the region.

Considering the full time series again, we see that Labrador Sea heat content is most sensitive to heat fluxes during the year over which the objective function is defined (Figure 11(a)). The maximum magnitude response occurs at 2.2 ± 0.8 months (positive lag), which is between February and April in the year over which the objective function is calculated. Strong vertical mixing over this period enables heat flux anomalies to mix over the largest possible fraction of the water column, thereby increasing the storage of heat in the relatively quiescent deep interior Labrador Sea. The heat content is still sensitive to heat fluxes from the previous 3-4 years, highlighting the importance of preconditioning from previous years in encouraging deep convection. After roughly 5-7 years, the local sensitivity switches sign, but it has a much smaller magnitude than the sensitivity to the target year (i.e. the year on the lag interval [0,1]).



649 **Figure 11.** Predicted response $R_{pos,Q}$ of the Labrador Sea heat content to a uniformly signed perturbation
650 in the past for the (a) Labrador Sea, (b) Irminger Sea, (c) Nordic Seas, and (d) the Gulf Stream. The objec-
651 tive function is the annual-and column-mean Labrador Sea heat content for objective function years in the
652 2002-2011 range. The thick lines indicate ensemble means, and the shading indicates one standard deviation
653 across the ensemble members. Each sensitivity field has been multiplied by the time-independent, spatially-
654 varying interannual standard deviation σ_x in the forcing field (Figure S3) and divided by a scaling constant
655 $J_0 = \rho_0 c_p (2.0°\mathrm{C})$ such that the predicted response is depicted as the non-dimensional form $J_0^{-1}(\partial_x J)\sigma_x(\mathbf{r})$.
656 The fields are further scaled by the maximum predicted dimensionless response of 1.5×10^{-4}. We use the
657 convention that positive net heat fluxes *decrease* ocean surface potential temperatures, so a negative predicted
658 response indicates LS heat loss/gain due to increased/decreased (more positive/negative) air-sea heat flux.

The most negative sensitivities to the Irminger sea heat flux occur around lag -10 ± 0.8 months, which is roughly the previous February-March (Figure 11(b)). $R_{pos,Q}$ for the Irminger Sea is larger than $R_{pos,Q}$ for the Labrador Sea for lags longer than about 10 months. Sensitivities to fluxes in the broader subpolar gyre (not shown) are non-zero for nearly the entire 10-year integration period, with decreasing effect each previous year. Sensitivities to heat fluxes in the Nordic Seas have their greatest magnitudes between lags -4 and -2 years, although there is considerable spread in the ensemble in winter (Figure 11(c)). Sensitivities to fluxes in the Gulf Stream region display a complex, double-peaked annual cycle, although for more negative lags a clearer seasonal signal emerges. By lag -10 years, the Gulf Stream region predicted responses reach roughly 10% of the local, target year (i.e. between lag 0 and 1) predicted responses to heat fluxes in the Labrador Sea (Figure 11(d)). These results are broadly consistent with oscillating adjoint sensitivity patterns of the AMOC to changes in the Labrador Sea region, as reported by *Czeschel et al.* [2010]. Sensitivities to other regions are small (not shown).

3.4.2 Wind stress

Both the zonal and meridional wind stress sensitivity patterns feature numerous positive/negative sensitivity dipoles (Figure 10). For wind stress, these dipoles indicate regions where a change in wind position and/or wind stress curl can induce changes in transport via Ekman pumping/suction. The meridional sensitivity fields feature strong, coastally-guided, somewhat stationary signals along the eastern edge of the Atlantic basin. Considering the meridional and zonal sensitivity fields together, we see that the sensitivity pattern roughly aligns with the local coastline and shelf bathymetry, suggesting that alongshore winds are important for the predicted response. Although the eastern Atlantic basin is not a strong source region for the Labrador Sea, changes in these locations can alter dynamics and heat/salt convergence. This region of positive sensitivity extends from the west coast of North Africa all the way up to the seas south of Iceland. The adjoint sensitivity fields suggest that if this region is forced by an increase in northward wind stress, the associated enhanced coastal downwelling will ultimately induce an *increase* in LS heat and salt content (a positive anomaly acting on a positive sensitivity region will increase the objective function) and vice versa.

In order to test the hypothesis that an increase in wind stress along the West African shelf will eventually increase the LS heat content, as suggested by the adjoint model, we

perform a 10-year step response experiment using the ECCOv4 setup. After a 10-year spin up under control conditions, we impose a permanent step change in wind stress along the coast with a sign structure that matches the sensitivity field and a maximum magnitude of 0.1 N/m^2 (Figure 12(a)). The change in wind stress along the West African shelf induces a change in Ekman transport across the bathymetry that enhances downwelling of warm surface waters along the coast, creating an across-bathymetry pressure anomaly.

The direct effect of the change in wind stress is largely local, i.e. the warming signal detected in the vicinity of the wind stress perturbation is not connected to the Labrador Sea, as West Africa is not a source region for the Labrador Sea on the timescales considered here (Figure 12(b)). It is instead the across-bathymetry pressure anomaly, which excites a combination of barotropic and baroclinic motions, that ultimately induces a change in Labrador Sea heat content. An initial, rapid bottom pressure anomaly roughly follows f/H contours along the Atlantic side of the Greenland-Iceland-Scotland ridge, reaching the Labrador sea in less than two weeks. In the following 2-3 months, the pressure anomaly makes its way over the Greenland-Iceland-Scotland Ridge, spreading rapidly across the Nordic Seas and the broader Arctic Ocean. The pressure change propagates southwards through the Denmark Strait, setting up an across-bathymetry pressure gradient anomaly along the entire northern boundary of the Atlantic Ocean (Figure 12(c)). This basin-wide, across-bathymetry pressure anomaly adjusts for 2-3 years after the step change. The change in basin-scale pressure gradient across the North Atlantic speeds up the circulation of the subpolar gyre (Figure 12(d)). Diffusive heat convergence and advective heat convergence into the LS both increase as the gyre spins up (Figure 12(e)). The net increase in LS heat convergence is strongly offset by an increase in ocean heat loss to the atmosphere, which is likely to encourage convection into the deep ocean and the resulting increase in LS heat storage (Figure 12(f)).

The response of the Labrador Sea heat content to this imposed change in wind stress is well approximated by the linear approach used in the adjoint model. We verified this by examining results from four different step response experiments, with maximum values of $\pm$0.1 N/m^2 and $\pm$0.4 N/m^2. For the 0.1 N/m^2 step response, the non-linear component of the response remains small (less than 5% of the maximum linear response for the duration of the model run). For the 0.4 N/m^2 step response experiment, the non-linear component is larger (less than 20% of the maximum linear response). Thus, it appears the linear approximation works well for modest wind stress perturbations, but it starts to break down for large values of wind stress, as one may expect.

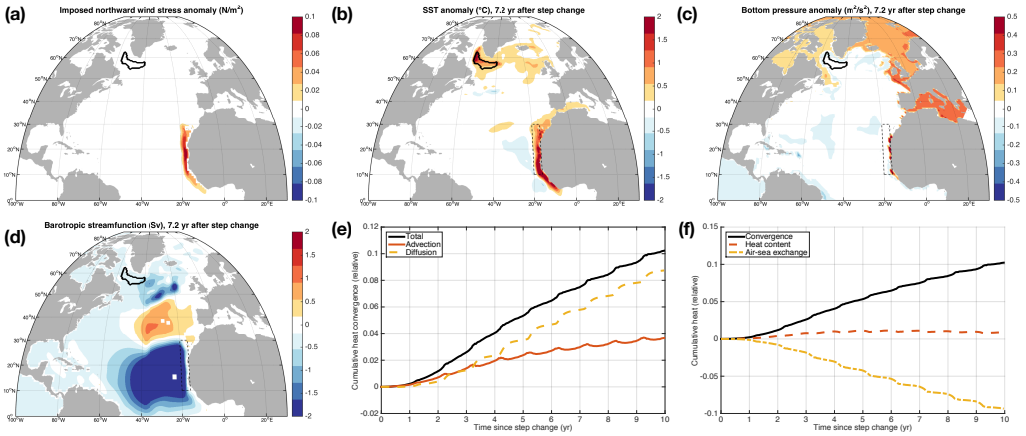


Figure 12. Results of a northward wind stress step response experiment. (a) Spatial pattern of the imposed change in northward wind stress. Anomalies relative to a control run, 7.2 years after the step change is imposed, are shown for (b) sea surface temperature, (c) bottom pressure, and (d) barotropic streamfunction (negative values indicate counterclockwise rotation). The Labrador Sea region is indicated by a thick black line, and the approximate region of the wind stress perturbation is shown by a thin dashed line. (e) Time series of cumulative heat convergence relative to the control run, split into advective and diffusive flux convergence components. (f) Time series of cumulative heat convergence, cumulative heat exchange with the atmosphere, and heat storage relative to the control run. Time series (e) and (f) are scaled by the decadal-mean Labrador Sea heat content, 7.9×10^6 J.

3.4.3 Relative importance of heat flux and wind stress

In order to summarize the complex spatiotemporal information contained in the adjoint sensitivity fields, we use two different formulations of the response function following *Verdy et al.* [2014]. The response of the Labrador Sea heat content to a uniformly-signed perturbation (positive everywhere) in each of the three surface forcing fields is:

$$R_{mean,F}(t) = \left|\left\langle \frac{\partial J}{\partial Q_{net}} \sigma_{Q_{net}} \right\rangle\right| + \left|\left\langle \frac{\partial J}{\partial \tau_E} \sigma_{\tau_E} \right\rangle\right| + \left|\left\langle \frac{\partial J}{\partial \tau_N} \sigma_{\tau_N} \right\rangle\right|, \tag{14}$$

where $\sigma_x = \sigma_x(\mathbf{r})$, the sensitivity fields are functions of space and time, and the angular brackets represent sums of the impacts $(\partial_x J)\sigma_x(\mathbf{r})$ over chosen areas. Each term in equation 14 represents the impact of one particular surface forcing variable, either the net heat flux Q_{net}, eastward wind stress τ_E, or northward wind stress τ_N. In this metric, positive and negative impacts may offset each other in the spatial sum. For example, suppose that net heat flux Q_{net} becomes more positive everywhere in the selected ocean basin. Locations and times with positive sensitivities $\partial_Q J > 0$ contribute to an *increase* in J, whereas locations and times with negative sensitivities $\partial_Q J < 0$ contribute to a *decrease* in J. The metric $R_{mean,F}$ may also be interpreted as the impact of basin-scale changes in forcing [*Verdy et al.*, 2014].

The metric $R_{mean,F}$ represents one extreme on the spectrum of possible responses. The other extreme is the very unlikely case in which the sign of the perturbations exactly match the signs of the sensitivity field, such that the impacts are always positive:

$$R_{var,F}(t) = \left\langle \left| \frac{\partial J}{\partial Q_{net}} \sigma_{Q_{net}} \right| \right\rangle + \left\langle \left| \frac{\partial J}{\partial \tau_E} \sigma_{\tau_E} \right| \right\rangle + \left\langle \left| \frac{\partial J}{\partial \tau_N} \sigma_{\tau_N} \right| \right\rangle, \tag{15}$$

In this metric, there are no cancellations of differently-signed impacts. Locations and times with positive sensitivities contribute to an *increase* in J, and locations and times with negative sensitivities *also* contribute to a *increase* in J. In order for J to respond in this way, the imposed perturbation must have some spatial structure on scales smaller than basin-scale. Note that equation equation 15 is a variant of equation 12, in that equation 15 uses standard deviations for the anomalies and includes multiple terms.

Considered together, the two components of wind stress make a much larger relative contribution to cumulative $R_{var,F}$ (89%) than to $R_{mean,F}$ (49%), highlighting the importance of spatial structure in the wind-driven response of LS heat content (Table 1). Spatially-varying wind forcing that matches the sign structure of the sensitivity fields drives a much larger heat content response than a basin-wide change in wind forcing. This is consistent

771 **Table 1.** Cumulative $R_{mean,F}$ and $R_{var,F}$ for each variable, summed over the entire 11-year duration of the
772 adjoint sensitivity experiments. Values are displayed as ensemble means and ensemble standard deviations for
773 each variable, scaled by the total $R_{mean,F}$ and $R_{var,F}$ including all three variables.

Variable	Cumulative $R_{mean,F}$	Cumulative $R_{var,F}$
Net heat flux	51% ± 3%	12% ± 0.3%
Zonal wind stress	25% ± 6%	47% ± 1%
Meridional wind stress	24% ± 2%	41% ± 1%

762 with the large number of dipoles present in the adjoint sensitivity fields. Under a change in
763 basin-scale forcing (measured by $R_{mean,F}$), the predicted response from a dipole (with equal
764 magnitudes) is zero, whereas under a change in forcing that matches the sign structure (mea-
765 sured by $R_{var,F}$), the response from a dipole is additive. Although the exact partitioning of
766 the predicted response between zonal wind stress and meridional wind stress is a result of the
767 decomposition of the wind stress vector into zonal and meridional components, the total pre-
768 dicted response from the wind stress is independent of the rotation of the wind stress vector.
769 The ensemble standard deviations for cumulative $R_{mean,F}$ and $R_{var,F}$ are all less than 10%,
770 so by this measure the sensitivity fields are fairly stationary for years in the range 2002-2011.

774 ### *3.4.4 Local versus non-local sensitivity to surface forcing*

775 In Figure 13, we quantify the local and non-local contributions of three surface forcing
776 fields, as well as kinematic and dynamic sensitivities, to the response function $R_{pos,F}$. The
777 largest response is to net heat flux, particularly to local perturbations in the target year (i.e.
778 on the lag interval [0,1] yr). In the target year, the predicted response to local forcing is larger
779 than the predicted response to non-local forcing, but this situation quickly reverses for nega-
780 tive lags. The responses to zonal and meridional wind stress display a complex range of re-
781 sponses and timescales, including a strong seasonal cycle and a slow, multi-year adjustment
782 that reflects the sensitivity of the circulation field to aspects of the wind stress (e.g. gyres
783 responding to wind stress curl).

791 The cumulative response (summing responses from lag +1 year to more negative lags)
792 can be used to quantify when cumulative non-local effects exceed cumulative local effects.
793 This local-to-remote transition timescale t_{LN} offers a simple measure of the relative re-

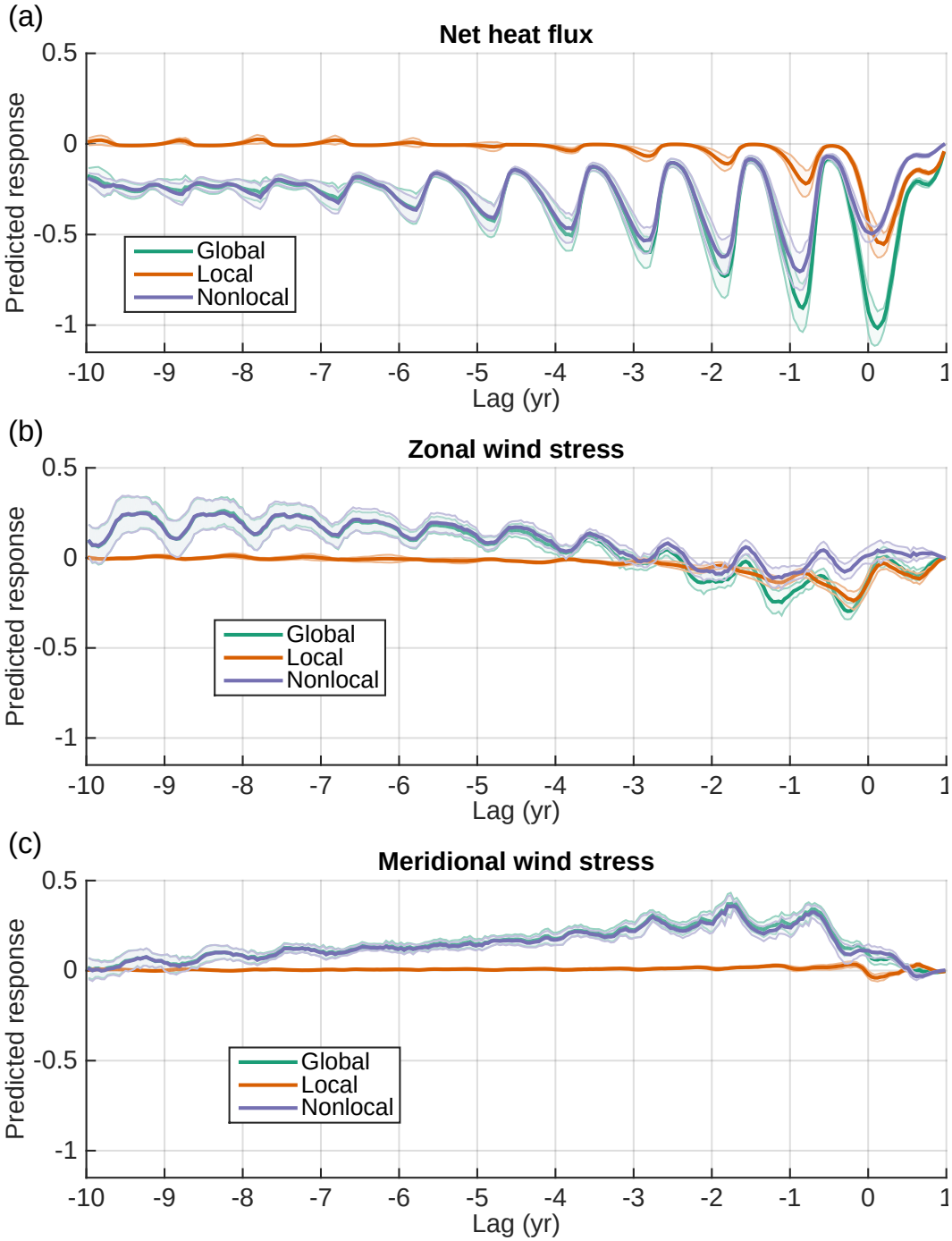


Figure 13. Responses $R_{pos,F}$ of the Labrador Sea heat content to local and non-local surface forcing, scaled by the maximum magnitude response to surface forcing. “Local" is defined as within the Labrador Sea region shown in Figure 1, and “non-local" is the rest of the global ocean. The sum of the two is denoted by the response to the “global" forcing. To calculate the response function, the sensitivities are multiplied by a spatially-varying standard deviation $\sigma_x(\mathbf{r})$ as described in the text and plotted in Figure S3. The lines indicate ensemble means across 2002-2012, and the shading indicates one standard deviation across ensemble members. Results are shown relative to the maximum value of the ensemble mean response to net heat flux.

sponses of the LS heat content to local and non-local forcing. We estimate the transition timescale by using cumulative sums of both R_{mean} and R_{var} and report the result as an ordered pair (R_{mean}, R_{var}). For net heat flux, the transition timescale is (-0.69,-0.60) yr, which is 7.2-8.3 months before the start of the objective function integral at lag 0. For lags that are more negative than (-0.69, -0.60) yr, the cumulative response to non-local changes in net heat flux exceeds the cumulative response to local changes in net heat flux. There is a sharp difference between the cumulative response to zonal wind stress and the cumulative response to meridional wind stress. The transition timescale for meridional (northward) wind stress is short and positive, (0.9 yr, 1.0 yr), whereas the transition timescale for the zonal (eastward) wind stress spans a much larger range (-4.8 yr, 1.0 yr). The non-local effect of the meridional wind stress is rapid, dominated by the across-shelf pressure gradient adjustment mechanism discussed in section 3.4.2. But the zonal wind stress response contains many positive-negative dipoles that partially cancel each other when measured by R_{mean}.

4 Conclusions

Using a realistic, observationally-constrained ocean model in adjoint mode [*Forget et al.*, 2015a], we examined the sensitivity of the column-averaged, annual mean heat content of the Labrador Sea to (1) changes in potential temperature at constant density, (2) changes in density, and (3) changes in net heat fluxes and wind stresses on 10-year timescales. We presented key aspects of these complex, temporally- and spatially-varying sensitivity fields and examined some of the adjustment mechanisms highlighted by the sensitivity fields. By decomposing the sensitivity fields into kinematic and dynamic components, we tracked potential source waters for the Labrador Sea and identified both local and remote regions in which density changes can alter circulation and ultimately change Labrador Sea heat convergence.

Positive kinematic sensitivity fields indicate pathways along which potential temperature changes can affect LS heat content for a fixed circulation pattern. In this way, calculating positive kinematic sensitivities is conceptually similar to performing "reverse passive tracer experiments" in which a tracer is allowed to propagate backwards in time following a fixed pattern of circulation and mixing. In this sense, the kinematic sensitivity fields can also be thought of as highlighting the “source waters" of the Labrador Sea [*Marotzke et al.*, 1999; *Song et al.*, 2016]. Our source water calculations indicate that potential Labrador Sea source regions include the broader subpolar gyre, the Nordic Seas, the North Atlantic Current, and the Gulf Stream, although the structure of the sensitivity patterns changes considerably with

826 depth and timescale. The difference in the areal extent of the sensitivity fields reflects differ-
827 ences in circulation, e.g. the influence of perturbations spreads more rapidly in the upper 500
828 m of the North Atlantic than at 2000 m.

829 By contrast, dynamic sensitivities indicate the linear perturbations that will result in
830 the largest possible changes in LS heat content via changes in density, the associated wave
831 field, and circulation. In the upper 100 m, we find mostly negative sensitivities in the sub-
832 polar gyre, indicating that an increase in upper ocean temperature can *reduce* the depth-
833 averaged heat content by decreasing surface density. In the interior ocean, we find negative-
834 positive dipoles in dynamic sensitivity that are coincident with regions of high kinematic
835 sensitivity, indicating an underlying sensitivity to changes in the across-streamline tilt of den-
836 sity surfaces and the associated geostrophic transport. For example, cooling the Labrador
837 Sea will ultimately *increase* LS heat content via a change in Gulf Stream heat transport and
838 LS heat convergence. This is consistent with a heat convergence adjustment mechanism
839 identified in historical temperature and salinity data as well as in idealized numerical experi-
840 ments [*Klöwer et al.*, 2014; *Williams et al.*, 2015].

841 We also find relatively large dynamic sensitivities along the coast/shelf system of West
842 Africa and Western Europe. This region of dynamic sensitivity is *not* a source region for
843 the LS, i.e. kinematic sensitivities in this region are negligibly small. A similar pattern is
844 also found in the sensitivity to meridional wind stress, indicating an adjustment mode re-
845 lated to changes in pressure. Perturbations in near-coastal, along-bathymetry wind stress in-
846 duce cross-shelf pressure gradients by Ekman transport, and the resulting pressure anomalies
847 propagate northwards along the shelf. This mechanism eventually alters the pressure on the
848 shelf all along the North Atlantic and into the Arctic Ocean, resulting in a change in subpolar
849 gyre circulation and an associated increase/decrease in Labrador Sea heat convergence [*Bell*,
850 2011, and references therein]. A similar adjustment pathway has been documented for Arc-
851 tic Ocean bottom pressure, albeit for much faster barotropic Kelvin waves [*Fukumori et al.*,
852 2015].

853 In terms of surface forcing, LS heat content is most sensitive to local (in space and
854 time) heat fluxes, though other non-local locations/lags make significant contributions to
855 the predicted response, highlighting the importance of preconditioning and advection of up-
856 stream temperature anomalies. Wind stress sensitivity patterns largely reinforce the pressure

wave adjustment mechanism discussed above, as they feature significant positive alongshore sensitivities.

In Figure 14, we summarize some of the dominant adjoint adjustment pathways revealed by the sensitivity fields. On short (less than 1 year) timescales, Labrador Sea heat content is most sensitive to perturbations in the Labrador Sea, Irminger Sea, the Greenland coast/shelf, and the eastern boundary of the Atlantic Ocean via pressure gradient adjustments (pathways A and B, Figure 14). On longer timescales, the LS becomes most sensitive to perturbations in the NAC and the Nordic Seas (pathways C and D). On the longest timescales considered in this study (5-10 years), we find increasingly large sensitivities in the Gulf Stream region, mainly in the top 500 m (pathway E). Although Figure 14 is a simplified representation, it provides a clear conceptual framework for understanding the adjustment pathways of LS heat content.

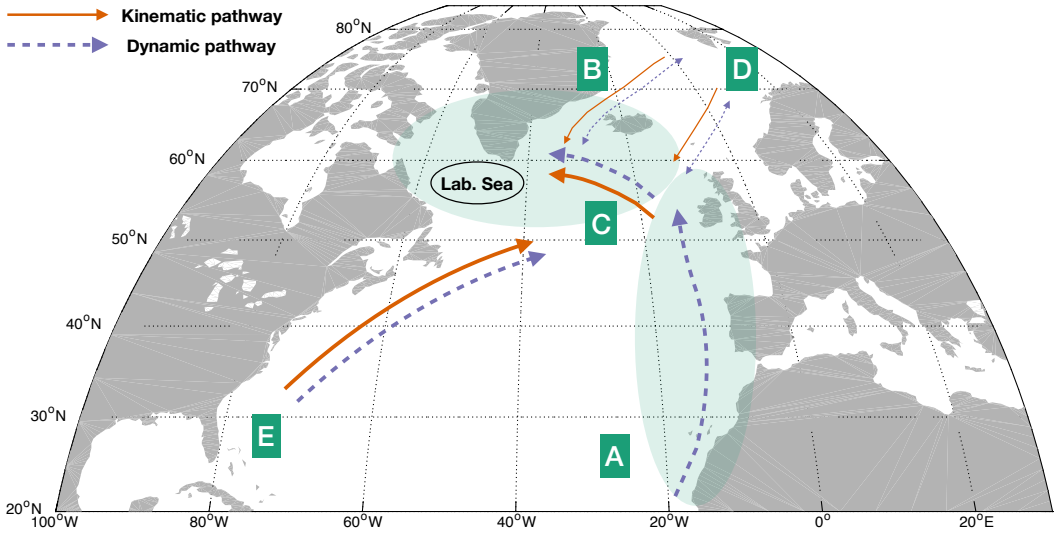


Figure 14. Schematic of major kinematic (solid red arrows) and dynamic (dashed green arrows) adjustment pathways for annual mean Labrador Sea heat content. The pathways include (A) adjustment along the eastern boundary of the North Atlantic Ocean (dynamic only), which can affect the basin-wide pressure gradient and the associated circulation, (B) the coastal circulation of the East Greenland Current, (C) the circulation of the subpolar gyre, (D) exchanges with the Nordic Seas over the Greenland-Iceland-Scotland Ridge, and (E) circulation of the Gulf Stream and North Atlantic Current. The light green shading indicates regions that can affect LS heat content on timescales shorter than roughly one year. Changes in the unshaded regions will take longer than one year to affect LS heat content.

5 Discussion

The sensitivity fields presented in this work can be used to guide further studies on the adjustment of the Labrador Sea to (1) temperature/salinity/density changes and (2) surface forcing perturbations, in part by highlighting optimal locations/times for non-linear forward perturbation experiments. The decomposition of sensitivity fields into kinematic and dynamic components may highlight especially rich areas for future study. For instance, if a unit perturbation in potential temperature is applied just north of the core of the NAC kinematic sensitivities, at a depth of 477 m and a lag of roughly 8 years (see Figure 7 and 8), then the kinematic and dynamic effects would partially cancel each other. In a region of positive kinematic sensitivity, an increase in potential temperature will ultimately get advected into the LS and increase its heat content. However, in a region of negative dynamic sensitivity, an increase in potential temperature will induce a change in density that ultimately decreases LS heat content. The ratio of the kinematic and dynamic responses would depend on how the perturbation is applied, but nevertheless, the presence of opposing kinematic and dynamic sensitivities highlight the presence of potentially complex adjustment mechanisms.

Although we have not explicitly considered the Atlantic Meridional Overturning Circulation (AMOC) in this study, our results may be relevant for understanding connections between the AMOC and Labrador Sea. Deep convection in the LS connects the upper and lower branches of the AMOC, linking the warm, near-surface, northward-flowing waters of the upper branch with the relatively cold, deep, southward-flowing waters of the lower branch [*Schmitz and McCartney*, 1993; *Talley*, 2013; *Buckley and Marshall*, 2016]. Many studies have examined the sensitivity of the Meridional Overturning Circulation to changes in surface forcing [*Köhl*, 2005; *Sévellec et al.*, 2017]. For example, in a coupled ocean-atmosphere adjoint model, *Bugnion et al.* [2006] detected high sensitivities of the MOC to air-sea heat flux in the Labrador Sea. *Czeschel et al.* [2010] report oscillating sensitivities of the AMOC to net heat flux in the Labrador Sea using an ocean-only model. The sensitivity pattern features a strong seasonal cycle and an increasing sensitivity maximum over a 10-year period. *Pillar et al.* [2016] found that local wind forcing dominates AMOC sensitivity at 27°N on short timescales (in consistency with *Evans et al.* [2017]), while buoyancy (heat and freshwater) fluxes dominates on decadal timescales. *Pillar et al.* [2016] also find sensitivity to meridional wind stress over the West African shelf, in consistency with our suggestion that wind stress perturbations in this region affect the across-slope pressure gradient over the entire North Atlantic, thereby altering large-scale gyre circulation and transport. As the MOC

and LS heat content are related but not identical, further work is needed to understand how the MOC results relate to the LS sensitivities presented here. Adjoint sensitivity experiments in higher resolution models, covering longer time periods, and coupled ocean-atmosphere configurations would provide a natural extension to this body of work.

Overturning rates in the subpolar gyre are related to the formation and outflow of a cold, fresh, and weakly stratified (i.e. low potential vorticity) mid-depth water mass known as Labrador Sea Water (LSW) [*Lazier*, 1973; *McCartney and Talley*, 1982; *Talley and McCartney*, 1982; *McCartney*, 1992; *Smethie Jr. et al.*, 2000]. Maximum overturning occurs in the spring as the newly formed LSW spreads southwards [*Holte and Straneo*, 2017]. LSW is the lightest component of the North Atlantic Deep Water (NADW), and it is characterized by high concentrations of dissolved oxygen and transient tracers (e.g. anthropogenic carbon, chlorofluorocarbons) [*Sy et al.*, 1997; *Steinfeldt et al.*, 2009; *Yashayaev and Loder*, 2016]. The multi-annual to decadal variability in the AMOC is connected to the variability in LSW formation and thus is relevant for regional and global climate [*Robson et al.*, 2016; *Rhein et al.*, 2017, and references therein]. Labrador Sea Water also connects the subpolar gyre to the broader North Atlantic via the Deep Western Boundary Current (DWBC), either through direct formation of LSW in the DWBC, eddy fluxes of LSW into the DWBC, and advection by a convergent mean flow [*Palter et al.*, 2008; *Haine et al.*, 2008; *Hodson and Sutton*, 2012]. Dynamically, the potential vorticity signature of Labrador Sea Water is connected to the stability of the entire Gulf Stream system, as the stratification of the LSW can affect the amplitude of internal oscillations in the DWBC system [*Spall*, 1996]. It may be instructive for future adjoint sensitivity studies to use the mean heat/salt content of the entire Labrador Sea Water system as an objective function.

We have shown that adjoint sensitivity fields can be used to highlight and quantify potential adjustment pathways for heat content in a region of deep convection. We also examined the relative impact of net heat flux and wind stress on LS heat content. These sensitivity estimates can be used to inform future non-linear forward perturbation experiments in both ocean-only and coupled models, which allow for a more thorough investigation of the mechanisms involved in each response pathway. In addition, the adjoint sensitivity fields presented here may also be used to inform the design of future observational networks [*Heimbach et al.*, 2011]. For instance, LS heat content is sensitive to net wintertime air-sea heat fluxes in the Irminger Sea and Nordic Seas over 10-year timescales, so long-term monitoring of fluxes and hydrography in these regions is needed to understand and predict the behavior

of the Labrador Sea. Monitoring of wind stress along the West African and European shelf may also be important for projecting LS behavior, as it has an impact on the basin-scale pressure gradient of the entire ocean basin. Our results highlight the numerous processes that control the climatically important heat content and the associated heat uptake in a critical region of the North Atlantic Ocean.

Acronyms

AMOC Atlantic Meridional Overturning Circulation

DWBC Deep Western Boundary Current

ECCOv4-r2 Estimating the Circulation and Climate of the Ocean (version 4, release 2)

LS Labrador Sea

LSW Labrador Sea Water

MITgcm Massachusetts Institute of Technology general circulation model

NAC North Atlantic Current

NADW North Atlantic Deep Water

MAM March-April-May time period

TAF Transformation of Algorithms in Fortran (by FastOpt GmbH)

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maintained by FastOpt GmbH [`http://www.fastopt.com/`]. Argo float data is available via `http://www.argo.ucsd.edu/`. The authors wish to thank Ric Williams, Chris Wilson, Yavor Kostov, Maike Sonnewald, Mike Bell, Florian Sevellec, and Nora Loose for conversations that greatly improved the quality of this paper.

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