

# 1 Weakening of nonlinear ENSO under global warming

Tsubasa Kohyama<sup>1</sup>, Dennis L. Hartmann<sup>1</sup>, and David S. Battisti<sup>1</sup>

2  
3 Key points:

4 • Model experiments show that the nonlinearity of ENSO can weaken the ENSO amplitude  
5 under global warming

6 • Increased upper ocean thermal stratification inhibits thermocline depth variations and non-  
7 linear temperature responses

8 • Observations exhibit stronger thermal stratification than models, suggesting that nonlinear  
9 ENSO weakening may occur in the real world

---

Corresponding author: T. Kohyama, Department of Atmospheric Sciences, University of Wash-  
ington, 408 Atmospheric Sciences-Geophysics (ATG) Building, Box 351640, Seattle WA 98195-  
1640, USA. (kohyama@uw.edu)

<sup>1</sup>Department of Atmospheric Sciences,  
University of Washington, Seattle,  
Washington, USA.

10 **Abstract.** The ENSO amplitude response to global warming is examined  
11 in two global climate models with realistic nonlinearity of the El Niño South-  
12 ern Oscillation (ENSO). GFDL-ESM2M and MIROC5 are the two models  
13 that exhibit realistic ENSO nonlinearity. With quadrupled atmospheric car-  
14 bon dioxide, the ENSO amplitude of GFDL-ESM2M decreases by about 40%,  
15 whereas that of MIROC5 remains almost constant. Because GFDL-ESM2M  
16 exhibits stronger climatological thermal stratification than MIROC5, green-  
17 house gas forcing increases the upper ocean stability and causes the ther-  
18 mocline to be less sensitive to wind perturbations. The stiffer thermocline  
19 inhibits the nonlinear variations of subsurface temperature so that the ENSO  
20 amplitude substantially weakens. Idealized nonlinear recharge oscillator model  
21 experiments further support climatological thermal stratification as a deter-  
22 minant of the warming response. Observations exhibit stronger thermal strat-  
23 ification than both models, so the real world may terminate strong, nonlin-  
24 ear El Niños sooner than model-based projections.

25  
26 *Index terms:* 1626 Global climate models, 3339 Ocean/atmosphere interac-  
27 tions, 3373 Tropical dynamics, 4522 ENSO

28  
29 *Keywords:* Global Warming, ENSO amplitude, ENSO nonlinearity

## 1. Introduction

30 The tropical Pacific Ocean has attracted attention in physical climatology, because  
31 its variability influences the climate all over the Earth [e.g., *Horel and Wallace*, 1981;  
32 *Rasmusson et al.*, 1983]. The El Niño Southern Oscillation (ENSO) is a dominant mode  
33 of variability that explains the largest variance of tropical Pacific sea surface temperature  
34 (SST), so the response of ENSO to global warming is of great interest for the future  
35 climate [e.g., *Collins et al.*, 2010; *Christensen et al.*, 2013; *Kim et al.*, 2014]. State-of-the-  
36 art global climate models (GCM), however, have had difficulty reproducing the features  
37 of the observed ENSO, including its amplitude, irregular frequency, non-Gaussianity, and  
38 their impacts on the extratropics [e.g., *Collins et al.*, 2010; *Bellenger et al.*, 2014; *Zhang*  
39 *and Sun*, 2014]. Weaknesses in the simulation of ENSO render large uncertainty in the  
40 warming response of the entire climate system [e.g., *Yokoi and Takayabu*, 2009; *Murakami*  
41 *et al.*, 2012; *Christensen et al.*, 2013; *Kohyama and Hartmann*, 2016].

42 Despite the difficulty of simulating ENSO, it has been common to choose a subset of  
43 GCMs that reproduce a particular observed feature well, and to assume that this subset  
44 makes more reliable future projections than the multi-model mean [e.g., *Risbey et al.*,  
45 2014]. Based on this assumption, we project the future ENSO amplitude responses using  
46 two GCMs that realistically reproduce the observed ENSO nonlinearity, because of which  
47 warm anomalies tend to be larger than cold anomalies (El Niños tend to be stronger than  
48 La Niñas). Figure 1a shows the relationship between the ENSO skewness (a measure of  
49 the ENSO nonlinearity) and the zonal SST gradient change simulated by GCMs under  
50 global warming. This figure shows that the Geophysical Fluid Dynamics Laboratory

51 Earth System Model Version 2M (GFDL-ESM2M) [Dunne *et al.*, 2012, 2013] and the  
52 Model for Interdisciplinary Research on Climate version 5 (MIROC5) [Watanabe *et al.*,  
53 2010] are the two models that reproduce the observed ENSO skewness better than most of  
54 the other models that participated in the Coupled Model Intercomparison Project Phase  
55 5 (CMIP5) [Taylor *et al.*, 2012]. We analyze these two GCMs.

56 Figure 1b shows the time series of SST anomalies averaged over the Niño3 region ( $5^{\circ}\text{S}$ -  
57  $5^{\circ}\text{N}$ ,  $150^{\circ}\text{W}$ - $90^{\circ}\text{W}$ ), a common index of ENSO. The left column shows the Niño3 SST for  
58 the historical climate of the two GCMs. Though GFDL-ESM2M exhibits an excessively  
59 large ENSO variance, both models exhibit realistic ENSO nonlinearity as suggested in  
60 Fig. 1a quantitatively. The right column shows the same time series but for a warmer  
61 climate. Interestingly, compared to the historical climate, the ENSO amplitude of GFDL-  
62 ESM2M is reduced by about 40% in its standard deviation, whereas that of MIROC5  
63 remains almost constant in a warmed climate. Our motivations are to understand this  
64 difference in the amplitude responses and to make a physically reasonable projection of  
65 the future ENSO change.

66 Recent studies that link the projected change in the mean-state tropical Pacific SST to  
67 the ENSO nonlinearity further motivates us to proceed in this venue. We hereafter call a  
68 mean-state response “El Niño-like” when the eastern equatorial Pacific warms faster than  
69 the west, and the opposite response “La Niña-like” [Collins *et al.*, 2005; Held *et al.*, 2010;  
70 An *et al.*, 2012]. Despite the El Niño-like warming response projected by the majority  
71 of the CMIP5 models [e.g., Ying *et al.*, 2016; Zheng *et al.*, 2016], Kohyama *et al.* [2017]  
72 and Kohyama and Hartmann [2017] showed that, given the realistic ENSO nonlinearity,

73 a La Niña-like response also remains physically consistent. In GFDL-ESM2M, the ENSO  
74 nonlinearity is minimized under global warming, and the extreme El Niños dissipate, but  
75 La Niñas remain almost unchanged. This asymmetric weakening response can rectify the  
76 mean-state SST to become La Niña-like, and this mechanism is referred to as the nonlinear  
77 ENSO warming suppression (NEWS). *Kohyama and Hartmann* [2017] concluded that a  
78 necessary condition to simulate NEWS is realistic ENSO skewness, and the lack thereof  
79 is why most CMIP5 models exhibit El Niño-like responses.

80 Realistic ENSO skewness, however, is not a sufficient condition to simulate NEWS.  
81 Figures 1a and 1c show that, though both GFDL-ESM2M and MIROC5 exhibit realistic  
82 ENSO skewness, MIROC5 exhibits a strong El Niño-like response unlike GFDL-ESM2M.  
83 This difference motivates us to understand why the ENSO nonlinearity is not the only  
84 requirement for a La Niña-like response.

85 This article is organized as follows. Data and methods are described in the next sec-  
86 tion. In section 3, we show that the response of subsurface temperature to the thermocline  
87 depth anomalies is the source of the ENSO nonlinearity in these models. Then, we pro-  
88 pose a nonlinear mechanism for how the climatological upper ocean thermal stratification  
89 determines the ENSO amplitude response to warming. We also compare the observed  
90 thermal stratification with the modeled ones. Conclusions are presented in section 4.

## 2. Data and Methods

### 2.1. Data

91 The monthly surface temperature, oceanic potential temperature, and wind stress  
92 output of GFDL-ESM2M [*Dunne et al.*, 2012, 2013] are from the GFDL Data Portal

93 (<http://nomads.gfdl.noaa.gov:8080/DataPortal/cmip5.jsp>), and those of MIROC5  
94 [*Watanabe et al.*, 2010] are from the Program for Climate Model Diagnosis and Intercom-  
95 parison (<https://pcmdi.llnl.gov/projects/cmip5/>). We analyze the first ensemble  
96 member of the historical (Years 1966-2005) and abrupt4xCO<sub>2</sub> runs (Years 101-150 after  
97 the abrupt change are used). In the Abrupt4xCO<sub>2</sub> runs, Year 101 starts when 100 years  
98 have passed after the abrupt quadrupling of carbon dioxide, and the qualitative argument  
99 regarding the ENSO amplitude is not sensitive to this choice of the 50-yr time span [see  
100 also *Kohyama and Hartmann*, 2017]. At each depth, the oceanic variables are regridded  
101 using linear interpolation onto a 2.5° longitude by 2° latitude grid. To produce Fig. 1,  
102 the first ensemble member of the representative concentration pathway (RCP) 8.5 (Year  
103 2006-2100) runs are used. Detailed descriptions of the CMIP5 project are presented by  
104 *Taylor et al.* [2012].

105 The reanalysis monthly oceanic potential temperature is from the National Centers  
106 for Environmental Prediction (NCEP) Global Ocean Data Assimilation System (GO-  
107 DAS) [*Behringer and Xue*, 2004] at [http://www.esrl.noaa.gov/psd/data/gridded/](http://www.esrl.noaa.gov/psd/data/gridded/data.godas.html)  
108 [data.godas.html](http://www.esrl.noaa.gov/psd/data/gridded/data.godas.html). The horizontal resolution is 1° longitude by 1/3° latitude, and the  
109 vertical resolution is 10 m for uppermost 230 m and becomes coarser toward the deeper  
110 levels. The zonal wind field at the 10 m level and the SST are from the European Center for  
111 Medium range Weather Forecasting (ECMWF) ERA-Interim reanalysis data [*Dee et al.*,  
112 2011] at <http://apps.ecmwf.int/datasets/data/interim-full-moda/levtype=sfc/>.  
113 The resolution is 1° in both longitude and latitude. The time span used in this study is  
114 from 1980 through 2016 for all the reanalysis data.

## 2.2. Methods

### 2.2.1. Decomposing the sources of the ENSO nonlinearity

Following *An and Kim* [2017], we decompose the source of the ENSO nonlinearity into three components: (i) “SST modulates winds”, (ii) “winds excite oceanic waves”, and (iii) “oceanic waves that have propagated to the east modulate subsurface temperature”.

To measure the relative impact of these 3 sources of nonlinearity, we draw scatter plots between two area-averaged anomalies in the manner of: (i) SST (170°W-120°W, 5°S-5°N) and zonal wind stress (120°E-80°W, 5°S-5°N); (ii) zonal wind stress (120°E-80°W, 5°S-5°N) and thermocline depth (120°E-80°W, 5°S-5°N); (iii) eastern thermocline depth (170°W-120°W, 5°S-5°N) and subsurface temperature at a depth of 45 m (170°W-120°W, 5°S-5°N). These anomalies are deviations from monthly climatology calculated as the average over the full time span for each calendar month. The thermocline depth is defined as the level of maximum vertical temperature gradient. For observations, 10 m wind is used as a proxy of wind stress.

To draw each scatter plot, we first calculate the lead-lag relationship between the two variables and choose the lags with maximum correlations. The chosen lags are within a half-year difference from the results shown in *An and Kim* [2017], which are (i) zero-lag, (ii) wind stress leads the thermocline depth by 12-months, and (iii) the thermocline depth leads subsurface temperature by 3 months. For further physical explanation, readers are referred to *An and Kim* [2017].

The best-fit lines are drawn based on the standardized data. Linear regression and principle component analysis yield almost identical linear fits. In Fig. 2, following *An*

and *Kim* [2017], the asymmetry index is defined as

$$\text{Asym} = \frac{S_p - S_n}{S_p + S_n} \quad (1)$$

134 where  $S_p$  ( $S_n$ ) is the slope of the red (blue) best-fit lines calculated using the data only  
 135 with the positive (negative) values in the horizontal axis. In Fig. 3, after drawing the  
 136 best-fit lines, the original standard deviations are multiplied back so that the data have  
 137 physical units.

### 138 2.2.2. Idealized model

139 We use a modified version of the nonlinear recharge oscillator ENSO model introduced  
 140 by *Jin* [1998] and *Timmermann et al.* [2003]. This model is a simplified, two-box approx-  
 141 imation of the Cane-Zebiak model [*Zebiak and Cane*, 1987]. Detailed descriptions of the  
 142 model and our modifications are given in *Kohyama and Hartmann* [2017].

## 3. Results

### 3.1. Source of the ENSO nonlinearity

143 Figure 2a shows the observed three potential sources of ENSO nonlinearity. Among the  
 144 three, the asymmetry index is largest for (iii), so the observational ENSO nonlinearity  
 145 mainly originates from the subsurface temperature response to oceanic waves. This result  
 146 may appear inconsistent with *An and Kim* [2017] who showed that (ii) is the source of the  
 147 nonlinearity. This inconsistency, however, may originate from their method to calculate  
 148 the thermocline depth. *An and Kim* [2017] used the 17°C isotherm as a proxy of the  
 149 thermocline, and we have confirmed that a similar conclusion to their study is derived  
 150 by doing so. Nevertheless, by definition, the depth of the maximum vertical temperature  
 151 gradient is a more appropriate measure of the thermocline depth. Though the proxy of the



152 17°C isotherm works well when linearity is assumed, it is not ideal to use it for investigating  
153 nonlinearity, because the difference between the location of the 17°C isotherm and the  
154 maximum temperature gradient may yield spurious nonlinearity or cancel true nonlinear  
155 signals.

156 Figure 2b shows the same scatter plots but for the historical runs of GFDL-ESM2M  
157 and MIROC5. These two GCMs reproduce the observed relationships of (i)-(iii) well,  
158 suggesting that the source of the nonlinearity in the model is (iii). The responses to  
159 increasing CO<sub>2</sub> are different between the two GCMs, however. Figure 2c shows the same  
160 plots but for the warmer climate, where the (iii) component becomes virtually linear in  
161 GFDL-ESM2M but not in MIROC5. The asymmetry index of (iii) in GFDL-ESM2M  
162 changes from 0.97 to 0.22 with warming, whereas in MIROC5 only from 0.90 to 0.81.  
163 Though the mechanism for the ENSO nonlinearity for the historical climate is similar  
164 between the two models, the warming response of nonlinearity is different.

### 3.2. Mechanism for the different ENSO warming responses

165 *Kohyama and Hartmann* [2017] concluded that the climatological temperature differ-  
166 ence between the atmosphere near the surface and the ocean below the thermocline serves  
167 as a determinant of the nonlinear response to warming. Therefore, we first compare the  
168 climatological upper ocean temperature between the two models.

169 Figure 3a shows the equatorial climatological temperature difference between the two  
170 models. For the historical climate, temperature below the thermocline is cooler in GFDL-  
171 ESM2M than in MIROC5, whereas temperature above the thermocline is warmer (Fig.  
172 3a, top). That is, the equatorial ocean interior is more thermally stratified and stable in

173 GFDL-ESM2M than in MIROC5. This difference in the stability becomes more evident in  
 174 the warmer experiment (Fig. 3a, bottom). This intensification of the stability difference  
 175 under global warming may be due to a positive feedback as follows. If the ocean is more  
 176 stable, the warmer water in the upper ocean is less likely to be vertically mixed with the  
 177 colder water in the deeper ocean. The suppressed vertical heat exchange further stabilizes  
 178 the system.

179 If the ocean becomes more stable, the equatorial thermocline becomes less sensitive to  
 180 winds due to the following mechanism. Figure 3c shows a schematic of the equatorial  
 181 thermocline presented as a 1.5-layer model. Hydrostatic balance and no motion in the  
 182 lower layer are assumed, because in principle, no energy enters the lower layer at suffi-  
 183 ciently high frequencies. Hence, the pressure gradient at a reference level in the lower  
 184 layer is zero:

$$185 \quad \rho_1 h_1 + \rho_2 h_2 = \rho_1 h_3 + \rho_2 h_4 \quad (2)$$

186 OR

$$187 \quad \rho_1 \frac{h_1 - h_3}{L} = \rho_2 \frac{h_4 - h_2}{L} \quad (3)$$

188 where  $L$  denotes the width of the basin in the longitudinal direction,  $\rho_1$  ( $\rho_2$ ) denotes the  
 189 upper (lower) layer density, and  $h_i$  denotes the layer depth. For  $h_i$ , the index  $i$  denotes  
 190 the upper (lower) layer by  $i = 1, 3$  ( $i = 2, 4$ ), and the western (eastern) edge of the  
 191 basin by  $i = 1, 2$  ( $i = 3, 4$ ) as described in Fig. 3c. Using the definition of the slopes,  
 192  $-\alpha \equiv \{(h_3 + h_4) - (h_1 + h_2)\}/L$  and  $\beta \equiv (h_4 - h_2)/L$  where  $\alpha > 0$ ,  $\beta > 0$ , we get

$$193 \quad \rho_1(\alpha + \beta) = \rho_2\beta \quad (4)$$

194 OR

$$195 \quad \beta = \frac{\alpha}{\rho_2/\rho_1 - 1} \quad (5)$$

196 Differentiating both sides, and assuming that the easterly wind stress anomalies ( $-d\tau$ ) is  
 197 proportional to the sea level tilt anomalies ( $d\alpha \propto -d\tau$ ) [*Li and Clarke, 1994*], we get

$$198 \quad d\beta \propto -\frac{d\tau}{\rho_2/\rho_1 - 1} \quad (6)$$

This equation 6 means that the sensitivity of the thermocline tilt anomalies to wind stress, or  $1/(\rho_2/\rho_1 - 1)$ , depends upon the ratio of the densities between the two layers. Therefore, if the ocean becomes more stable as the climate warms, the denominator  $\rho_2/\rho_1 - 1$  becomes larger and the equatorial thermocline depth becomes less sensitive to winds, as schematically shown in Fig. 4d. Using the reduced gravity  $g' = g(\rho_2/\rho_1 - 1)$ , the equation (6) could be also written as

$$d\beta \propto -\frac{d\tau}{g'} \quad (7)$$

199 where the constant  $g$  is omitted. Equations 6 and 7 both indicate that the thermocline  
 200 slope is less sensitive to wind stress for a more stable ocean.

201 Based on this mechanism, the sensitivity of thermocline to winds shown in Fig. 3b  
 202 is consistent with the thermal stratification shown in Fig. 3a. For the historical cli-  
 203 mate, GFDL-ESM2M has a more stable ocean and exhibits a smaller sensitivity of the  
 204 thermocline to winds than MIROC5 by about 30 %. We could call the thermocline in  
 205 GFDL-ESM2M “stiffer” than in MIROC5. For the warmer climate, the difference in ther-  
 206 mocline sensitivity between the two models becomes larger, because the upper ocean in  
 207 GFDL-ESM2M warms faster and the stability is increased more.

208 Because the thermocline varies less in GFDL-ESM2M, equatorial waves with large am-  
209 plitudes are hard to excite, and the resultant modulations of the eastern thermocline  
210 are also minimized. Figure 3e robustly shows that, in the warmer experiment in GFDL-  
211 ESM2M, the subsurface temperature does not “swing” enough to support a large ENSO  
212 amplitude due to the lack of perturbations by waves. This small amplitude appears to  
213 be why the ENSO in GFDL-ESM2M becomes almost linear for the warmer climate. In  
214 MIROC5, however, the variations of the eastern thermocline are kept large enough to  
215 sustain the nonlinear response of subsurface temperature. Due to the weak historical  
216 thermal stratification, the thermal stratification in MIROC5 does not become stronger as  
217 rapidly as in GFDL-ESM2M. Due to the small stability, the thermocline responds strongly  
218 to winds. This more “reactive” thermocline allows larger anomalies to enter the eastern  
219 thermocline, which supports strong, nonlinear subsurface temperature variations.

### 3.3. Idealized model experiments

220 To verify the mechanism by numerical simulations, we have performed two idealized  
221 model experiments with different stability. In the “More Stable” experiment (Fig. 4a,  
222 top), the temperature difference between the atmosphere near the surface and the ocean  
223 below the thermocline ( $T_a - T_o$ ) is initially set to be 13.5 °C, and the  $T_a - T_o$  is increased  
224 with the rate of 0.7 °C / century, expressing that the atmosphere warms faster than  
225 the ocean due to the different heat capacity. In the “Less Stable” experiment (Fig. 4a,  
226 bottom),  $T_a - T_o$  is initially set to be 12.5 °C, and the  $T_a - T_o$  is increased with the rate of  
227 0.4 °C / century. The  $T_a - T_o$  is increased more rapidly in the “More Stable” experiment  
228 to incorporate the effect of the suppressed vertical heat exchange.

229 Figure 4a shows the SST time series in the two experiments. In the “More Stable”  
230 experiment, which is designed to imitate GFDL-ESM2M, strong El Niños are terminated  
231 at the threshold of  $T_a - T_o \sim 14.2^\circ\text{C}$ . This termination is because the “stiff” thermocline  
232 cannot recharge the heat in the equatorial upper ocean to yield a strong El Niño [*Kohyama*  
233 *and Hartmann, 2017*]. By contrast, in the “Less Stable” experiment, which is designed to  
234 imitate MIROC5, strong El Niños are not terminated because  $T_a - T_o$  does not reach the  
235 threshold of  $\sim 14.2^\circ\text{C}$  even after the two-century run. Rather, because of the warming  
236 western Pacific, which serves as the upper bound of the ENSO intensity [*An and Jin,*  
237 *2004*], the ENSO amplitude strengthens by about 10% during the two centuries. This  
238 difference in the existence of the nonlinearity termination between the two experiments is  
239 consistent with the mechanism explained in the previous subsection.

### 3.4. Comparison with observations

240 We also compare the two models with the observations to project the future ENSO  
241 change. Figure 4b shows the same temperature plot as in Fig. 3a but for observations  
242 relative to the two models. The observed equatorial upper ocean is more stable than  
243 the GFDL-ESM2M, which is more stable than MIROC5. This observed strong stability  
244 is more favorable for  $T_a - T_o$  to reach the threshold that terminates strong El Niño  
245 events than in the two models. Though this conclusion is derived only from the two  
246 GCMs and idealized model experiments, it makes physical sense to project that, based on  
247 the observations and the available models with realistic nonlinearity, ENSO may weaken  
248 nonlinearly sooner than the model-based projections.

## 4. Conclusions

### 4.1. The ENSO nonlinearity matters to the ENSO and mean-state responses to global warming

249 Under global warming, the ENSO amplitude in GFDL-ESM2M weakens, but that in  
250 MIROC5 remains almost constant (Fig. 1b). Decomposing the potential source of the  
251 ENSO nonlinearity into three components, we have demonstrated that the difference in  
252 the ENSO amplitude responses between the two models is associated with the nonlinear  
253 subsurface temperature response to oceanic waves, rather than the wind response to SST  
254 or the oceanic wave response to winds (Figs. 2 and 3e).

255 Many GCMs show strengthening of ENSO in response to warming [*Collins et al.*, 2010],  
256 but they do not reproduce the ENSO nonlinearity as realistically as GFDL-ESM2M and  
257 MIROC5 (Fig. 1a). Our preliminary analysis suggests that many CMIP5 models do  
258 not reproduce the nonlinear subsurface temperature response to waves. Without the  
259 possibility of the nonlinear regime shift, one might project that the ENSO amplitude will  
260 strengthen. We should, however, pay more attention to the GCMs that reproduce the  
261 realistic ENSO nonlinearity, because ENSO in the real world is nonlinear.

262 Based on the NEWS mechanism proposed by *Kohyama and Hartmann* [2017], the non-  
263 linear ENSO response to global warming can rectify the mean-state SST. Therefore, the  
264 difference of the nonlinear ENSO response between GFDL-ESM2M and MIROC5 could  
265 have an important implication for whether the response will be El Niño-like or La Niña-  
266 like (Fig. 1). Considering the scientific and societal impacts, the ENSO nonlinearity is a  
267 key characteristic and should not be considered to be a minor, higher-order correction of  
268 the linear ENSO.

## 4.2. An urgent task is to improve the reproducibility of the thermal stratification in GCMs because it determines the nonlinear ENSO response

269 With strong climatological thermal stratification in the upper ocean, ENSO may weaken  
270 nonlinearly in response to warming. The mechanism is explained as follows. If the ther-  
271 mal stratification becomes stronger, weaker thermocline variations can keep the ocean in  
272 hydrostatic balance (Figs. 3c, d and Equation 6). The resultant “stiffer” thermocline  
273 depth is less sensitive to winds (Fig. 3b), which minimizes the nonlinear response of the  
274 eastern subsurface temperature. Importantly, despite the small difference in thermocline  
275 sensitivity, the nonlinearity produces a huge difference in the amplitude of the subsurface  
276 temperature (Fig. 3e).

277 The idealized model confirms that the climatological temperature difference between the  
278 atmosphere near the surface and the ocean below the thermocline ( $T_a - T_o$ ) is an important  
279 parameter (Fig. 4a). Here,  $T_a - T_o$  could be regarded as the first order approximation of  
280 the climatological thermal stratification. Once  $T_a - T_o$  reaches a certain threshold value,  
281 strong El Niños become terminated [see also *Kohyama and Hartmann, 2017*]. This sudden  
282 loss of strong El Niños is consistent with the two GCMs. In GFDL-ESM2M, because the  
283 thermal stratification is strong, ENSO becomes almost linear. By contrast, ENSO keeps  
284 its amplitude in MIROC5, because the weak thermal stratification is unfavorable to reach  
285 the threshold for the ENSO to weaken. It might be interesting to warm MIROC5 more  
286 and check whether the ENSO in MIROC5 can be weakened.

### 4.3. Observational thermal stratification suggests that the ENSO amplitude might weaken nonlinearly, and the regime shift might happen sooner than the GCM-based projections

287 GFDL-ESM2M and MIROC5 suggests that, if  $T_a - T_o$  is large for the historical climate,  
288  $T_a - T_o$  will increase rapidly under a warming climate (Fig. 3a). This intensification  
289 of  $T_a - T_o$  makes physical sense, because the suppressed vertical mixing will inhibit the  
290 vertical heat exchange. As shown in Fig. 4b, the observed  $T_a - T_o$  is larger than the  
291 modeled ones for the historical climate. Therefore, the observed strong  $T_a - T_o$  may  
292 support a rapid increase of  $T_a - T_o$  that terminates strong El Niños. GFDL-ESM2M  
293 exhibits the termination of strong El Niños in Year 2070 for the RCP8.5 scenario [*Kohyama*  
294 *and Hartmann, 2017*], so the observed strong thermal stratification leads us to speculate  
295 that the regime shift might happen in a couple of decades.

296 **Acknowledgments.** This study is based on data from the GFDL Data Portal (<http://nomads.gfdl.noaa.gov:8080/DataPortal/cmip5.jsp>), the Program for Climate  
297 Model Diagnosis and Intercomparison website (<https://pcmdi.llnl.gov/projects/cmip5/>), and the GODAS dataset (<http://www.esrl.noaa.gov/psd/data/gridded/data.godas.html>). This work was supported by the National Science Foundation (NSF)  
298 under grant AGS-1549579 and Takenaka Scholarship Foundation. The third author was  
299 funded by the Tamaki foundation. We would like to thank Michiya Hayashi for his useful  
300 advice.  
301  
302  
303



## References

- 304 An, S.-I., and F.-F. Jin (2004), Nonlinearity and asymmetry of ENSO, *J. Climate*, *17*(12),  
305 2399–2412.
- 306 An, S.-I., and J.-W. Kim (2017), Role of nonlinear ocean dynamic response to wind on the  
307 asymmetrical transition of El Niño and La Niña, *Geophys. Res. Lett.*, *44*(1), 393–400.
- 308 An, S.-I., J.-W. Kim, S.-H. Im, B.-M. Kim, and J.-H. Park (2012), Recent and future  
309 sea surface temperature trends in tropical Pacific warm pool and cold tongue regions,  
310 *Climate Dyn.*, *39*(6), 1373–1383.
- 311 Behringer, D., and Y. Xue (2004), Evaluation of the global ocean data assimilation sys-  
312 tem at NCEP: The Pacific Ocean, in *Proc. Eighth Symp. on Integrated Observing and*  
313 *Assimilation Systems for Atmosphere, Oceans, and Land Surface*.
- 314 Bellenger, H., É. Guilyardi, J. Leloup, M. Lengaigne, and J. Vialard (2014), ENSO repre-  
315 sentation in climate models: from CMIP3 to CMIP5, *Climate Dyn.*, *42*(7-8), 1999–2018.
- 316 Christensen, J., K. Krishna Kumar, E. Aldrian, S.-I. An, I. Cavalcanti, M. de Castro,  
317 W. Dong, P. Goswami, A. Hall, J. Kanyanga, A. Kitoh, J. Kossin, N.-C. Lau, J. Ren-  
318 wick, D. Stephenson, S.-P. Xie, and T. Zhou (2013), *Climate Phenomena and their*  
319 *Relevance for Future Regional Climate Change*, book section 14, pp. 1217–1308, Cam-  
320 bridge University Press, Cambridge, United Kingdom and New York, NY, USA, doi:  
321 10.1017/CBO9781107415324.028.
- 322 Collins, M., S.-I. An, W. Cai, A. Ganachaud, E. Guilyardi, F.-F. Jin, M. Jochum,  
323 M. Lengaigne, S. Power, A. Timmermann, et al. (2010), The impact of global warming  
324 on the tropical Pacific Ocean and El Niño, *Nature Geosci.*, *3*(6), 391–397.

325 Collins, M., et al. (2005), El Niño-or La Niña-like climate change?, *Climate Dyn.*, *24*(1),  
326 89–104.

327 Dee, D. P., S. M. Uppala, A. J. Simmons, P. Berrisford, P. Poli, S. Kobayashi, U. Andrae,  
328 M. Balmaseda, G. Balsamo, P. Bauer, P. Bechtold, A. C. M. Beljaars, L. van de Berg,  
329 J. Bidlot, N. Bormann, C. Delsol, R. Dragani, M. Fuentes, A. J. Geer, L. Haimberger,  
330 S. B. Healy, H. Hersbach, E. V. Hólm, L. Isaksen, P. Kållberg, M. Köhler, M. Ma-  
331 tricardi, A. P. McNally, B. M. Monge-Sanz, J.-J. Morcrette, B.-K. Park, C. Peubey,  
332 P. de Rosnay, C. Tavolato, J.-N. Thépaut, and F. Vitart (2011), The ERA-interim re-  
333 analysis: configuration and performance of the data assimilation system, *Quart. J. Roy.*  
334 *Meteor. Soc.*, *137*, 553,597, doi:10.1002/qj.828.

335 Dunne, J. P., J. G. John, A. J. Adcroft, S. M. Griffies, R. W. Hallberg, E. Shevliakova,  
336 R. J. Stouffer, W. Cooke, K. A. Dunne, M. J. Harrison, et al. (2012), GFDL’s ESM2  
337 global coupled climate-carbon Earth System Models. Part I: Physical formulation and  
338 baseline simulation characteristics, *J. Climate*, *25*(19), 6646–6665.

339 Dunne, J. P., J. G. John, E. Shevliakova, R. J. Stouffer, J. P. Krasting, S. L. Malyshev,  
340 P. Milly, L. T. Sentman, A. J. Adcroft, W. Cooke, et al. (2013), GFDL ’s ESM2 global  
341 coupled climate–Carbon Earth System Models. Part II: Carbon system formulation and  
342 baseline simulation characteristics, *J. Climate*, *26*(7), 2247–2267.

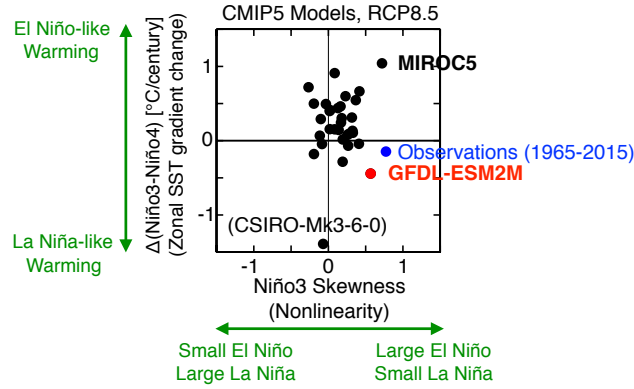
343 Held, I. M., M. Winton, K. Takahashi, T. Delworth, F. Zeng, and G. K. Vallis (2010),  
344 Probing the fast and slow components of global warming by returning abruptly to  
345 preindustrial forcing, *J. Climate*, *23*(9), 2418–2427.

- 346 Horel, J. D., and J. M. Wallace (1981), Planetary-scale atmospheric phenomena associated  
347 with the southern oscillation, *Mon. Wea. Rev.*, *109*(4), 813–829.
- 348 Jin, F.-F. (1998), A simple model for the pacific cold tongue and ENSO, *J. Atmos. Sci.*,  
349 *55*(14), 2458–2469.
- 350 Kim, S. T., W. Cai, F.-F. Jin, A. Santoso, L. Wu, E. Guilyardi, and S.-I. An (2014),  
351 Response of El Niño sea surface temperature variability to greenhouse warming, *Nature*  
352 *Climate Change*, *4*(9), 786–790.
- 353 Kohyama, T., and D. L. Hartmann (2016), Antarctic sea ice response to weather and  
354 climate modes of variability, *J. Climate*, *29*(2), 721–741.
- 355 Kohyama, T., and D. L. Hartmann (2017), Nonlinear ENSO warming suppression  
356 (NEWS), *J. Climate*, *30*(11), 4227–4251.
- 357 Kohyama, T., D. L. Hartmann, and D. S. Battisti (2017), La niña-like mean-state response  
358 to global warming and potential oceanic roles, *J. Climate*, *30*(11), 4207–4225.
- 359 Li, B., and A. J. Clarke (1994), An examination of some enso mechanisms using interan-  
360 nual sea level at the eastern and western equatorial boundaries and the zonally averaged  
361 equatorial wind, *J. Phys. Oceanogr.*, *24*(3), 681–690.
- 362 Murakami, H., R. Mizuta, and E. Shindo (2012), Future changes in tropical cyclone  
363 activity projected by multi-physics and multi-sst ensemble experiments using the 60-  
364 km-mesh mri-agcm, *Climate Dyn.*, *39*(9-10), 2569–2584.
- 365 Rasmusson, E. M., J. M. Wallace, et al. (1983), Meteorological aspects of the el  
366 nino/southern oscillation, *Science*, *222*(4629), 1195–1202.

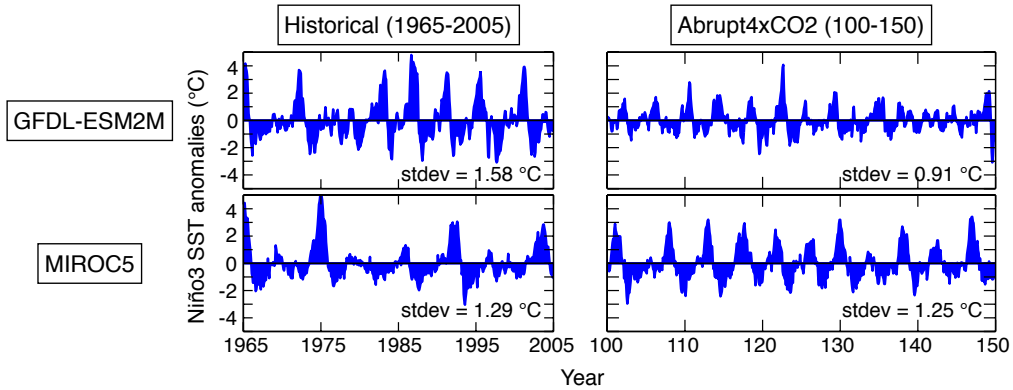
- 367 Risbey, J. S., S. Lewandowsky, C. Langlais, D. P. Monselesan, T. J. O 'Kane, and  
368 N. Oreskes (2014), Well-estimated global surface warming in climate projections se-  
369 lected for enso phase, *Nature Climate Change*, *4*(9), 835–840.
- 370 Taylor, K. E., R. J. Stouffer, and G. A. Meehl (2012), An overview of CMIP5 and the  
371 experiment design, *Bull. Amer. Meteor. Soc.*, *93*(4), 485–498.
- 372 Timmermann, A., F.-F. Jin, and J. Abshagen (2003), A nonlinear theory for El Niño  
373 bursting, *J. Atmos. Sci.*, *60*(1), 152–165.
- 374 Watanabe, M., T. Suzuki, R. O 'ishi, Y. Komuro, S. Watanabe, S. Emori, T. Takemura,  
375 M. Chikira, T. Ogura, M. Sekiguchi, et al. (2010), Improved climate simulation by  
376 MIROC5: mean states, variability, and climate sensitivity, *J. Climate*, *23*(23), 6312–  
377 6335.
- 378 Ying, J., P. Huang, and R. Huang (2016), Evaluating the formation mechanisms of the  
379 equatorial Pacific SST warming pattern in CMIP5 models, *Adv. Atmos. Sci.*, *33*(4),  
380 433–441.
- 381 Yokoi, S., and Y. N. Takayabu (2009), Multi-model projection of global warming impact  
382 on tropical cyclone genesis frequency over the western north pacific, *J. Meteor. Soc.*  
383 *Japan*, *87*(3), 525–538, doi:10.2151/jmsj.87.525.
- 384 Zebiak, S. E., and M. A. Cane (1987), A model El Niño-Southern Oscillation, *Mon. Wea.*  
385 *Rev.*, *115*(10), 2262–2278.
- 386 Zhang, T., and D.-Z. Sun (2014), Enso asymmetry in cmip5 models, *J. Climate*, *27*(11),  
387 4070–4093.

388 Zheng, X.-T., S.-P. Xie, L.-H. Lv, and Z.-Q. Zhou (2016), Inter-model uncertainty in  
389 ENSO amplitude change tied to Pacific ocean warming pattern, *J. Climate, in press*.

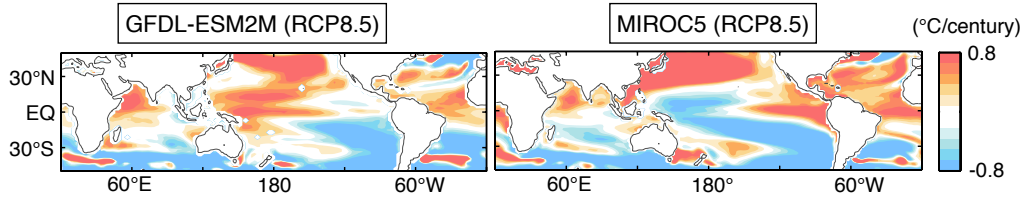
a) ENSO nonlinearity and zonal SST gradient changes (2006-2100) in the CMIP5 models



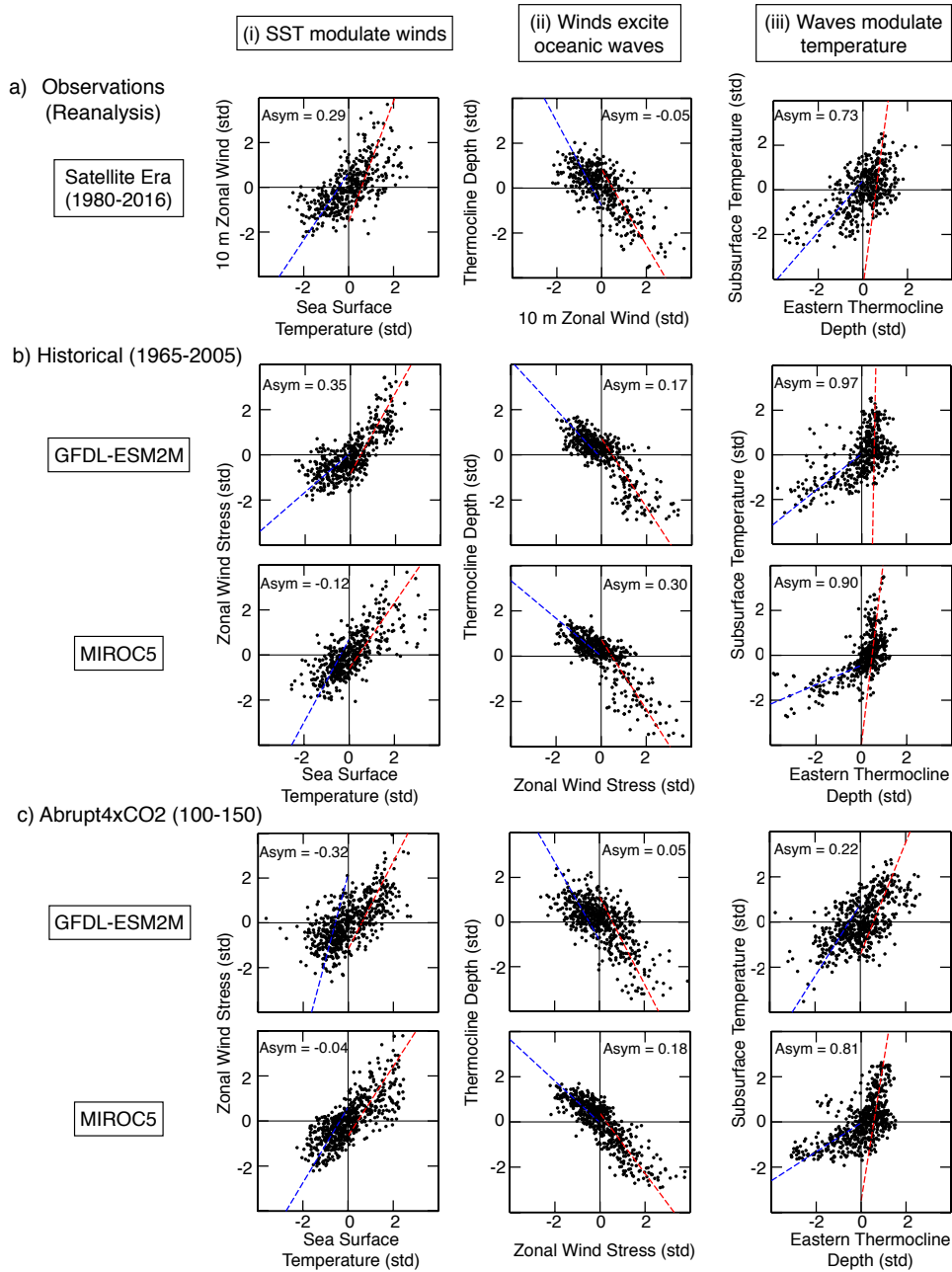
b) ENSO amplitude changes



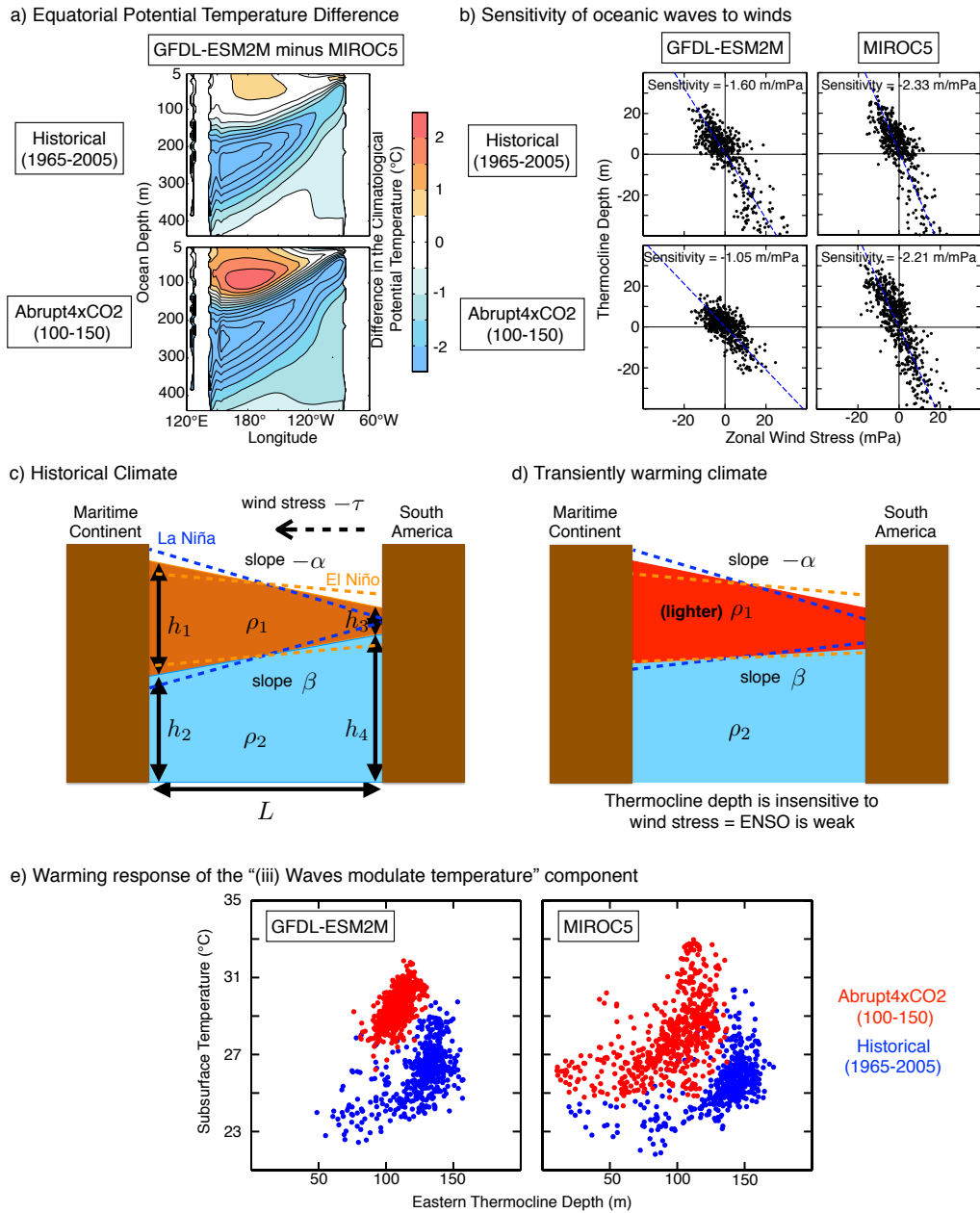
c) Mean-state SST trends relative to the global mean (2006-2100)



**Figure 1.** (a): Relationship between the Niño3 SST skewness and the zonal SST gradient change defined as the linear trend of “Niño3 minus Niño4” SST. The black and red dots represent models and the blue dot represents observations. Reproduced from *Kohyama and Hartmann* [2017]. Copyright belongs to the American Meteorological Society. (b): Monthly Niño3 SST anomalies. Standard deviations are shown at the bottom right. (c): SST warming trends calculated at each grid relative to the tropical Pacific mean trend (30°S-30°N, 90°E-60°W). Blue colors denote a warming slower than the tropical Pacific mean, not necessarily a cooling.

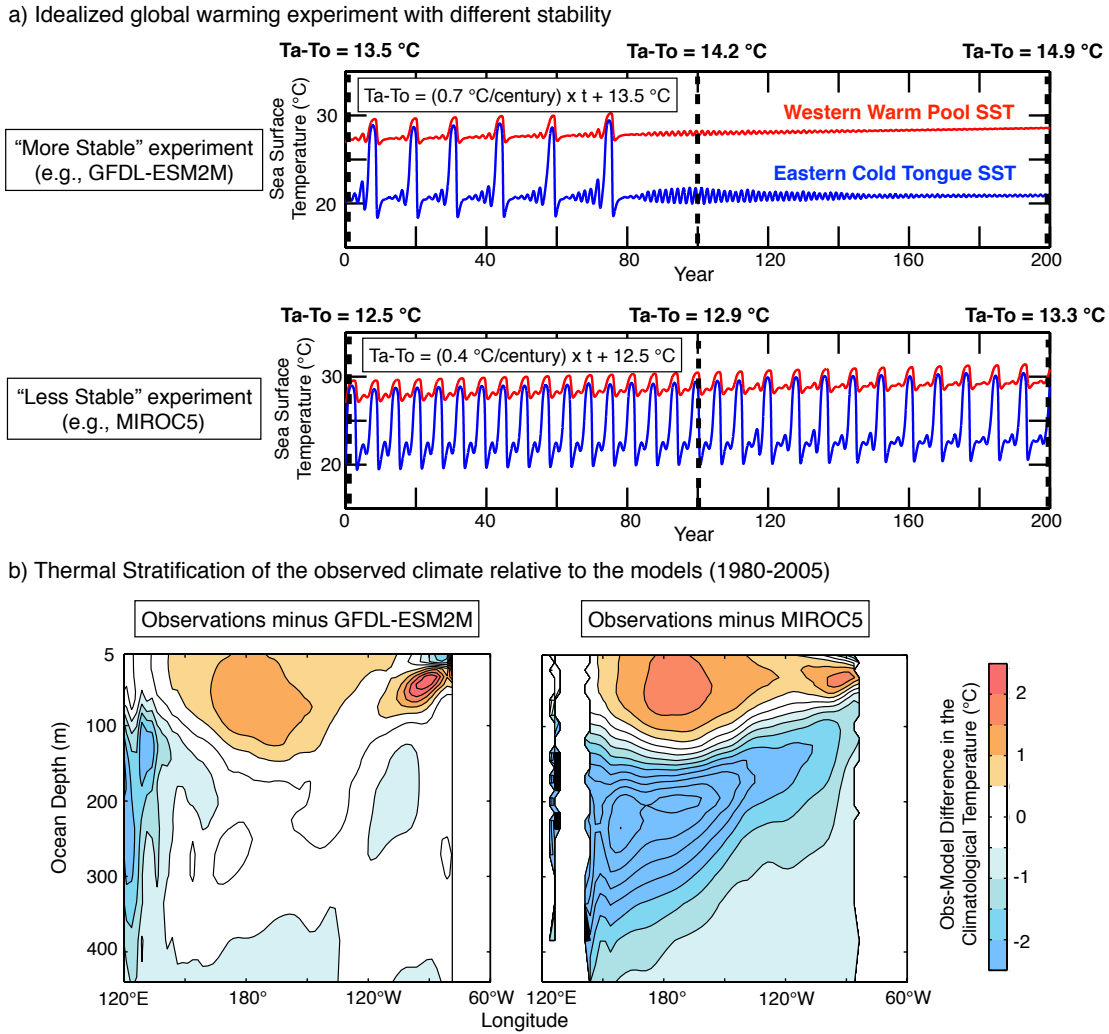


**Figure 2.** (a): Three potential sources of the ENSO nonlinearity presented as the observed, lagged relationships between monthly area-averaged standardized anomalies described in the axis labels. Lags are chosen to realize the maximum correlations as described in section 2.2. The values of the asymmetry index are shown at the top. The red (blue) best-fit lines are calculated using the data only with the positive (negative) values in the horizontal axis. (b): As in (a), but for models for the historical climate. (c): As in (b), but for the warmer climate.



**Figure 3.** (a): Difference in climatological oceanic potential temperature averaged over 5°S-5°N between the two models. (b): As in the middle column of Figs. 2b and 2c, but with physical units. The best-fit lines are calculated using the entire data, and the slopes are shown at the top. (c): Schematic showing the relationship between the slope of the ocean surface and thermocline for the historical climate. (d): As in (c), but for the transiently warming climate. (e): As in the right column of Figs. 2b and 2c, but with physical units. The historical and warmer experiments are shown in the same plot.





**Figure 4.** (a): Idealized model experiments that simulate the western (red) and eastern (blue) SST variability. The climatological reservoir temperature difference between the atmosphere near the surface and the ocean below the thermocline ( $T_a - T_o$ ) is gradually increased with the rate shown at the top left. (b): As in Fig. 3a, but the difference between observations and models in the late historical period (1980-2005).