| 1 | Increased | air | pollution | exposure | among | the | Chinese | population | during | the |
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- 22 Short title: The COVID-19 quarantine increased PM_{2.5} exposure in China.
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25

27 Abstract

28 The COVID-19 quarantine in China is thought to have been beneficial for reducing the population 29 exposure to ambient air pollution. The overall exposure also depends, however, on indoor air quality 30 and human mobility and activities, which also changed during the pandemic. Here we integrate real-31 time mobility data, questionnaire survey on during-pandemic human activity patterns, advanced air 32 quality modeling techniques, and an indoor exposure model. We first show a decrease of 16.7 µg·m⁻ 33 ³ in the national average population-weighted ambient $PM_{2.5}$ during the quarantine (i.e., the one 34 month following the start of the Spring Festival holiday). The total population-weighted exposure 35 (PWE) to PM_{2.5} considering both indoor and outdoor environments, however, increased by 5.7 µg·m⁻ ³. The increase in PWE was mainly due to the nationwide population migration from urban to rural 36 37 areas before the Spring Festival coupled with the freezing of the migration backward due to the 38 quarantine (+10.8 μ g·m⁻³), which increased household energy consumption and the fraction of 39 people exposed to rural household air pollution (HAP) indoors. The changes in PWE due to the 40 quarantine were -14.0 and +19.2 ug·m⁻³ among urban and rural populations, respectively, and ranged 41 from -9.1 ug·m⁻³ in the provinces with the highest per-capita income to 7.1 ug·m⁻³ in the provinces with the lowest. HAP contributed 82% of PWE during this period, which was likely more severe 42 43 than any period in recent years. Our analysis reveals an increased inequality of air pollution exposure 44 during the COVID-19 quarantine and highlights the importance of HAP for population health in 45 China.

46

48 Introduction

49 Due to the outbreak of COVID-19, China activated the First Level Public Health Emergency 50 Response (FLPHER, here called a quarantine), which required local governments to carry out strict 51 restrictions on travel (1, 2). The entire country was under this quarantine, which lasted for one month 52 and was arguably unprecedented regarding its spatial coverage, duration, strictness, and effectiveness for preventing the spread of COVID-19 (2). There was an observed improvement in 53 54 ambient air quality during the quarantine likely due to the limited industrial and transportation 55 activities coupled with favorable meteorological conditions (3-8). Some expected that the air quality 56 improvement may have reduced the exposure of the population to air pollutants, such as NO_2 (6, 7, 57 9). If coupled with reductions in the ambient levels of fine particulate matter with a diameter smaller 58 than 2.5 μ m (PM_{2.5}) for which the best information is available on health impacts, the quarantine 59 may have yielded an inadvertent health benefit during the COVID-19 pandemic. How actual 60 population exposure changed, however, depends not only on the ambient air quality but also on the 61 air quality indoors, and the mobility and daily activity patterns of individuals, such as the time spent 62 in different locations (10-13).

63

64 The quarantine triggered by the outbreak started from Jan. 25, 2020, which coincided with the start 65 of the 2020 Spring Festival. Just before the start, reportedly 125 million migrant workers had moved 66 from urban to rural areas to reunite with their families (14). Normally, they would have returned at 67 most one month after the start of the Festival (15). Such a nationwide returning-to-work tide, 68 however, was frozen by travel restrictions under the quarantine (16, 17). Thus, an extra 9% of the 69 Chinese population were kept in rural areas longer because of the COVID-19 outbreak, where 70 household air pollution (HAP) is more severe due to the prevalent use of solid fuels (i.e., coal and 71 biomass) for cooking (13, 18). Also, during that season, there was still significant space heating in 72 households over much of the country, which is even more likely to be done with solid fuels than 73 cooking (19).

74

The question we ask is how the overall $PM_{2.5}$ exposure of the Chinese population changed during

76 the COVID-19 quarantine, taking into account the changes in indoor and outdoor concentrations, 77 time spent indoors and outdoors, and large-scale migration patterns. Such an assessment is of 78 interest because of the health impacts of short-term exposure to $PM_{2.5}$ (20-22) and the reported 79 associations between $PM_{2.5}$ and the spread and severity of the COVID-19 infection (23, 24). Here 80 we use real-time migration data, during-pandemic activity survey data, national census data, 81 advanced air quality modeling techniques, and an indoor exposure model to track the dynamic 82 changes in the population exposure to PM2.5 across China before and during the nationwide 83 quarantine (Materials and Methods).

84

85 **Results and Discussion**

Overall change in PM_{2.5} exposure before and after the COVID-19 quarantine. We focus our 86 87 comparison on two periods—P1, one month preceding the Spring Festival spanning from Dec. 25, 88 2019 to Jan. 24, 2020, and P2, one month following the start of the Spring Festival, i.e., the 89 quarantine period, spanning from Jan. 25, 2019 to Feb. 25, 2020 (Figure 1). Using surveys on time-90 activity patterns of the Chinese population both in normal days and during the quarantine, 91 population time use is parsed between indoors and outdoors (Materials and Methods). Data fusion 92 using an ensemble deep learning method to integrate the ground-level measurements of the national 93 monitoring network with the outputs of a chemical transport model (25) (Materials and Methods) 94 shows a decrease of 16.7 ug·m⁻³ (15.3–18.2 ug·m⁻³, uncertainty is expressed as 95% confidence 95 interval throughout) in the population-weighted average of ambient (outdoor) PM_{2.5} concentrations between P1 (64, 58–69 ug·m⁻³) and P2 (47, 43–51 ug·m⁻³). In contrast, the population-weighted 96 97 exposure (PWE) that considers both indoor and ambient concentrations shows an increase of 5.7 98 ug·m⁻³ (4.2–8.2 ug·m⁻³) in P2 (101, 84–122 ug·m⁻³) compared to P1 (95, 79–114 ug·m⁻³) (Figure 1), 99 suggesting important roles of other factors, including population migration and the time spent 100 indoors, in the PWE change under the quarantine. Decomposition analysis, by changing the factors severally, attributes the changes of -10.5 (-11.0--9,3), 10.8 (7.4-15.0), and 5.4 (4.3-6.9) ug·m⁻³ in 101 102 PWE to the changes in ambient PM_{2.5}, population migration, and time spent indoors, respectively 103 (Figure 2). Note that changes in ambient PM_{2.5} affect indoor concentration through infiltration,

107



108 Figure 1. Daily trends of PWE in the real case and under different counterfactual scenarios 109 during the study period. The dark and light shaded areas represent the inter-quartile range and the 110 95% confidence interval of the real-case time series, respectively. Compared to the real case, the 111 "2019 migration" scenario assumes that there was no COVID-19 outbreak such that the migration 112 followed the pattern of the 2019 Spring Festival (instead of 2020) and the time spent indoors was 113 not affected by the quarantine. The "no migration" scenario assumes no COVID-19 outbreak and 114 no Spring Festival migration. Ambient PM_{2.5} levels remain the same across the scenarios. The difference between the real case and the "2019 migration" scenario reflects the impacts of the 115 116 quarantine-induced freezing of the migration and the change in time spent indoors on PWE. The 117 difference between the "2019 migration" and "no migration" scenarios reflects the impact of the 118 Spring Festival migration on PWE in normal year.

119

The effects of migration on PWE. The dynamic cross-province migration dataset we established is based on the national census data (26) and official reports (14) and is temporally allocated using the Baidu real-time mobility data (27) (Materials and Methods). The direction of the migration is characterized on a province-to-province basis and further divided into four categories: 1) urban-torural, 2) urban-to-urban, 3) rural-to-rural, 4) rural-to-urban. The migration started about 25 days before the Spring Festival and had two phases with opposite directions—one occurred in P1, the 126 other in P2. Before the Spring Festival (P1), there were estimated 236 million people returning to their hometowns, accounting for one sixth of the total population. Urban-to-rural migration 127 128 contributed 53% of the total, of which the majority were reportedly rural migrant workers (14). 129 Urban-to-urban migration contributed 34%, and other two types of migration were relatively minor (10% for rural-to-rural, 3% for rural-to-urban). After the Spring Festival (P2) when people would 130 131 normally move back to cities, however, the nation was under quarantine in response to the outbreak 132 of COVID-19, and the migration froze. The effect of the quarantine on the migration in P2 is clearly 133 illustrated by the day-by-day comparison in the migration intensity in 2020 with the previous year 134 (Figure 3). The migration in P2 was close to completion within 25 days after the 2019 Spring 135 Festival, by which time this year the migration was only 18% complete (Figure 3).

136



137

138 Figure 2. Decomposition analysis of the PWE change between P1 and P2. The overall change 139 in the PWE of the Chinese population is decomposed into the changes in PWE due to the changes 140 in ambient air quality, population relocation, household energy consumption, and time spent indoors. 141 Note that the migration had two phases with opposite directions—the first one (during P1) preceded the Spring Festival when people returned to their hometowns, the second (during P2) followed the 142 143 first as people traveled back to work. The quarantine froze the second phase of the migration, leading 144 to a net difference in the migration impact on PWEs between P1 and P2, as marked in the figure. 145 The impact of the quarantine-induced freezing of the migration in response to COVID-19 in P2 are 146 evaluated by comparing with the PWE under 2019 migration pattern and are also marked in the 147 figure. PWEs are in $\mu g \cdot m^{-3}$.

The migration led to a shift in the fraction of population residing in rural areas. The fraction reached its maximum of 47.6% during the Spring Festival as did in normal years but decreased at a pace one seventh the pace of normal years afterwards due to the quarantine (0.05% per day in 2020 vs. 0.34% per day in 2019) (Figure 3).

153



154

Figure 3. The population migration around the Spring Festivals of 2019 and 2020. The shaded areas illustrate the temporal trend of the number of people migrating each day. The solid lines show the temporal trends of the fraction of rural population (i.e., the population residing in rural areas) in the total population. The black dashed line marks the Spring Festival. The x-axis represents the calendar date in 2020.

160

161 Two main consequences of such a change in the migration for population exposure were 1) an larger 162 fraction of people exposed for a longer time to HAP in rural households which is usually more 163 severe than in urban households (13, 18) and 2) increased rural energy consumption to meet the 164 demand of the immigrants, both of which further worsened HAP. Based on a recently conducted 165 national survey on rural household energy consumption (19) and the indoor exposure model (10, 12) 166 (Materials and Methods), we estimate that by increasing the fraction of rural population, the migration enhanced the nationwide PWE by 3.1 and 7.7 ug m⁻³ in P1 and P2, respectively, compared 167 168 to a baseline scenario assuming no migration (Figure 2), while by increasing the household energy 169 consumption, the migration further increased the PWE by 3.6 and 9.7 ug m⁻³, respectively, in P1 170 and P2 (Figure 2). This amounts to the total increases of 6.6 and 17.4 ug·m⁻³ in PWE in P1 and P2, 171 respectively. To isolate the impact of the COVID-19-induced freezing of the migration on PWE, we

substitute 2019's migration for that experienced in 2020 while keeping all other factors equal (i.e.,
outdoor air quality, time spent indoors, baseline energy mix, etc.). The results show a comparable
increase in PWE in P1 (6.5 ug·m⁻³ in 2019 vs. 6.6 ug·m⁻³ in 2020) but a much smaller increase in
P2 (7.2 vs. 17.4 ug·m⁻³), suggesting an enhancement of 10.2 ug·m⁻³ (17.4 minus 7.2) in PWE due to
the freezing of the migration under the national quarantine.

177

178 The contribution of HAP on PWE and the inequality of the PWE change. Focusing on the 179 quarantine period (P2), we consider the changes in HAP and other sectors (i.e., transportation, 180 industry, and power generation) and assess the overall impacts of the quarantine on indoor and 181 ambient air quality and on PWE. Our assessment shows an estimated decrease of 15.6 (8.6-22.6) 182 ug·m⁻³ in the population-weighted average ambient $PM_{2,5}$ due to the quarantine, which is similar in 183 magnitude to the PM_{2.5} reduction before and after the COVID-19 outbreak (16.7 ug·m⁻³) (Figure 4). 184 We note, however, that unlike our fused PM_{2.5} field which is the best guess of the real-world PM_{2.5} 185 concentrations, our impact assessment on ambient PM2.5 using chemical transport model is limited 186 by the uncertainty in the estimation of quarantine-induced emission reduction (Material and 187 Methods) and the capability of the model to reproduce the actual $PM_{2.5}$ change in response to the 188 emission reduction (28), both of which warrant further investigation. The indoor PM_{2.5} 189 concentration is estimated to increase by 3.1 (2.4–3.8) ug·m⁻³ due to the quarantine (Figure 4B) which is a result of the competition between the exacerbation of HAP (12.2 ug·m⁻³) and the 190 191 improvement in ambient PM2.5 that infiltrates indoors (-9.1 ug·m⁻³). Incorporating the changes in 192 indoor and ambient PM2.5 with population migration and human activities, we estimate that the 193 COVID-19 quarantine led to a net increase of 5.9 (4.5-7.3) ug·m⁻³ in PWE (Figure 4B).



Figure 4. The impacts of the responses to COVID-19 on PWEs and the use of solid fuels as a driving factor. The PWEs in the real case and in the no-COVID-19 scenario (A), the changes in PWEs due to the responses to COVID-19 (B), and the shares of solid fuel use in household energy mix (C) in China, in indoor/outdoor environments, in urban/rural areas, in heating/non-heating regions, and in provinces with different per-capita income levels. The shares of solid fuel use in household energy mix in indoor/outdoor environments are the same as in national total, and thus are not shown in C.

195

We calculate the contribution of HAP on PWE, which includes the direct contributions to indoor and outdoor $PM_{2.5}$ and the indirect contribution of outdoor HAP to indoor $PM_{2.5}$ through infiltration (Figure S1). HAP dominated the PWE in P2 regardless of whether there was a quarantine, whereas the COVID-19-induced quarantine increased the HAP contribution on PWE from 74% (no quarantine or no COVID-19) to 82% (in the real case) (Figure S2).

209

The contribution of HAP to PWE during this period was higher than that before the COVID-19 quarantine (68%), or in a counterfactual scenario where there was no migration (70%), or for annual average (62%) (Figure S2). The leading cause of HAP is the use of solid fuels (e.g., coals and biomass) for cooking and heating, which is much more prevalent in rural areas (67.5% as the share of solid fuels in the household energy mix) than in urban areas (4.7%) (Figure 4C). Further investigation shows a clear tendency toward a stronger positive effect of the quarantine on PWE as the share of solid fuel use increased (Figure 4B and C and Figure S3) and that the PWE in rural areas was estimated to increase by 19.2 ug·m⁻³ due to the quarantine, while the urban PWE decreased by 14.0 ug·m⁻³.

219

The change in exposure associated with solid fuel use and the contrary changes in rural and urban PWEs are due primarily to the interaction between HAP and the human activities: the longer time spent indoors during the pandemic increased the time length for people being exposed to HAP and thus increased the PWE among rural residents; the freezing of the migration in the meanwhile increased the rural household energy consumption and subsequently increased the severity of HAP. On the other hand, in urban areas where indoor air quality is often better than outdoor (29), the increase in the time spent indoors reduced PWE.

227



228

Figure 5. The spatial distribution of the changes in PWE among the Chinese population due to responses to COVID-19. Changes in ambient and indoor air quality, population migration, and time spent indoors are considered. The PWE changes are illustrated by county. The white line marks China's Qinling Mountains-Huai River Line (Qin-Huai Line) Qin-Huai Line divides China into two regions that differ in climate and is commonly used as a reference line in policy making to determine the heating (northern) and non-heating (southern) regions (30).

236 The association between PWE and HAP led to the spatial heterogeneity (Figure 5) and population inequality in the quarantine-induced changes in PWE (Figure 4C) which ranged from -19.0 ug·m⁻ 237 ³ in Tianjin to 32.5 ug·m⁻³ in Inner Mongolia and from -9.5 ug·m⁻³ in the provinces with average 238 239 per-capita incomes higher than 5000 USD to 6.5 ug·m⁻³ in the provinces with average per-capita 240 incomes lower than 3000 USD, suggesting inequal changes in PWE by income group. The 241 inequality in the PWE changes is further confirmed by the significant negative correlation between 242 the PWE changes and provincial per-capita income levels ($p = 2 \times 10^{-4}$) and survives the assessments using county-level data or focusing on the rural population exclusively (Figure S4A and B). 243

244

The urban population does not show significant inequality (Figure S4C) likely due to the much less dependence on solid fuels and therefore being less affected by HAP than their rural counterparts. Regression analysis reveals a significant interaction between the per-capita income and the epidemic severity in the model to predict the quarantine-induced changes in PWE and suggests that regions with more severe epidemic situation are associated with greater inequality. In Hubei, every 20% reduction in income is estimated to be associated with a 6.7 ug·m⁻³ increase in PWE due to the quarantine, which is almost twice the increase (3.4 ug·m⁻³) for the national average (Figure S4A).

252

253 The effect of Clean Heating Plan on the PWE changes. Despite the heterogeneity and inequality, 254 the quarantine-induced increases in PWE in the heating (north) and non-heating (south) regions are found to be comparable (6.2 and 5.9 ug·m⁻³ in heating and non-heating regions, respectively) (Figure 255 256 4B and Figure 5). We find that a recently implemented campaign called "Clean Winter Heating Plan 257 in Northern China" ("Clean Heating Plan" for short), played an important role in balancing the PWE 258 increases between heating and non-heating regions. Clean Heating Plan was launched by the 259 Chinese central government in 2017 and set stringent and differentiate goals through 2021 toward 260 a high rate of clean heating (i.e., the rate of clean energy used for heating) in the northern region, 261 with the rates ranging from 40% in rural areas to 100% in some major cities (31). This campaign, if successfully implemented, would reduce the amount of annual coal consumption by 150 Tg, and 262 recent progress has shown much success in the implementation of this campaign such that it is 263

266 We estimate that Clean Heating Plan had phased out 44.4% of the solid fuels used in households in the Northern provinces by the end of 2019. If there was no such a campaign, we estimate that the 267 268 COVID-19-induced increase in PWE would be almost doubled in the heating region (12.0 ug·m⁻³). 269 In addition, the population inequality in the PWE increase, measured by the increase in PWE per 270 20% reduction in income, would be 30.1% higher than is estimated in the real case (4.6 vs. 3.5 ug·m⁻ ³) in the heating region (Materials and Methods). In an ideal case where Clean Heating Plan was 271 272 fully phased in, the quarantine would only lead to an increase of 2.3 ug·m⁻³ in PWE in the heating 273 region, with the inequality decreased by 15.6%. Our analysis thus reveals that Clean Heating Plan 274 moderated the quarantine-induced increases in PWE in the heating region, reduced the inequality of 275 the PWE increases among different income groups of people, and put the PWE increases of the 276 heating and non-heating regions in the balance. Still, the PWE in the heating region (137 ug·m⁻³) 277 was 61% higher than was in the non-heating region (85 $ug \cdot m^{-3}$), and the quarantine-induced increase in rural PWE in the heating region (24.4 ug·m⁻³) was 31% higher than was in the non-heating region 278 279 $(18.6 \text{ ug} \cdot \text{m}^{-3}).$

280

281 Conclusion. In this study, we integrate multiple data sources and modeling techniques to 282 dynamically track the changes in PWE due to the national quarantine. We first show that the national 283 population-weighted exposure to ambient PM_{2.5} reduced by 16.7 ug·m⁻³. This is approximately a 26% 284 drop compared to the 40-60% drop reported widely (4, 8, 33, 34) for ambient NO₂ levels (not populations-weighted) measured by ground-level monitors and satellites. This difference is 285 286 apparently due to the different emission source characteristics of the two pollutants, with NO₂ 287 coming mainly from vehicles and industry (35), which were substantially curtailed during the 288 quarantine (28). A much greater proportion of PM2.5, on the other hand (36), comes from household 289 fuels use of which probably grew during the quarantine.

290

291 We show that, the average PWE of the population is estimated to increase despite a decrease in

ambient $PM_{2.5}$, which is mainly due to the worsened HAP and a higher opportunity for people to be exposed to HAP during the pandemic. Changes to the actual dose of $PM_{2.5}$ to the population of course, will also depend on changes in use and effectiveness of facemasks during the period.

295

296 With respect to the distribution of PWE, our assessment reveals an increase in the environmental 297 inequality of air pollution exposure in response to the COVID-19 crisis. While the high-income 298 group benefited from the reduction of PWE, the low-income group suffered a significant increase 299 in PWE. Such inequality would be even higher if Clean Heating Plan that targets HAP in the 300 northern China was not implemented. In addition, given the reported association between short-term 301 exposure to air pollution and the transmission of COVID-19 (23), this analysis shows how the 302 COVID-19 pandemic itself as well as the quarantine may have deepened health inequalities. Our 303 assessment highlights the importance of mitigating HAP for reducing the environmental inequality 304 and protecting human health. If society is to confine people to their homes for their protection, it is 305 far better that they are clean to start with.

306

307 Methods and Materials

308 Household energy consumption

Provincial-level household energy consumption data were collected and compiled based on a representative national survey (19) and China Statistical Yearbook (43). The data were downscaled to county level and extrapolated to 2020 (the study year) based on the fuel-type-specific empirical models developed by Shen et al. (38). Following a previous study (10), the clean heating targets set by Clean Heating Plan were incorporated into the energy trends in the heating region.

314 Migration data

We derived detailed origin and destination information from the 6th National Census (26) to characterize population migration on the county level (38). The census data classified the migrants into four groups—rural-to-urban, urban-to-urban, rural-to-rural, and urban-to-rural, and are representative of the migration pattern in 2010. The census data showed a total of 138 million migrant workers in 2010, noting that not all the migrants intended to return home during the Spring 320 Festival holidays. The Ministry of Human Resources and Social Security reported 125 million migrant workers returning home in 2020 (14). Therefore, the census data was scaled down by a 321 322 factor of 0.9 to represent the migration pattern in 2020. We assumed that all the back-home 323 migrations were achieved before the second day of the Spring Festival holidays, and that the 324 returning-to-work migration started from the first day of the Spring Festival holidays. The migration 325 flows (i.e., the number of migrants) were temporally allocated using the daily cross-province 326 mobility intensities reported by the Baidu real-time mobility monitoring platform as a surrogate (27). 327 For the 2019 Spring Festival of which the detailed provincial-level Baidu mobility data were not 328 available, the national-level mobility intensities were used to scale the 2020 migration pattern to 329 2019, assuming that the relative difference in the migration flows across provinces remained 330 unchanged between 2019 and 2020.

331 Survey on human activity pattern

332 The information on the daily time spent indoors and in different indoor compartments (i.e., kitchen, 333 living room, and bedroom) in wintertime were derived from Exposure Factors Handbook of Chinese 334 Population (39), as summarized by Chen et al. (12), and were used in this study to represent the 335 time-activity pattern when there was no COVID-19. The time-activity pattern during the pandemic 336 were derived from an online questionnaire survey (https://www.wjx.cn/m/59666734.aspx) which 337 collected information on the frequencies of going out during the quarantine. This survey adopted 338 strict quality control measures during data processing and analysis. The questionnaires with missing 339 values, logical errors and data format errors were excluded. Two groups of personnel independently 340 derived the data and completed the comparison to ensure the accuracy of the results. 8330 341 questionnaires were distributed with a recovery rate of 100%. A total of 7784 valid questionnaires 342 were obtained, covering 31 provinces in China. The survey showed that the more severe the 343 epidemic, the less frequently people went out each day. The frequency data were translated into the 344 time length of outdoor stay by assuming time lengths for each going-out event ranging from 200 345 minutes per time in the provinces that were the least affected by the COVID-19 outbreak (i.e., 346 Qinghai and Tibet) to 120 minutes per time in Hubei where the outbreak was the most severe. The 347 uncertainty induced by this assumption was considered in the uncertainty analysis specified in 348 following section. The average time spent indoors by province before and during the pandemic was 349 summarized in Table S1.

350 Emissions and air quality modeling

We used AiMa emission inventory (41, 42) as the emission input to conduct the air quality modeling for ambient $PM_{2.5}$ assessment. The emission inventory has been compiled by integrating a variety of inventories and activity data (42) and has undergone continuous updates. This inventory is currently used by an online operational system (called "AiMa" system) that provides air quality forecast for government and public (http://www.aimayubao.com/). The base year of the latest version of AiMa inventory is 2017.

357 The ambient PM_{2.5} concentrations were obtained by combining hourly ground-level observations reported by the China National Urban Air Quality Real-time Publishing Platform (5) with model 358 359 predictions by the Community Multiscale Air Quality (CMAQ) model (44) using an ensemble deep learning data fusion approach (25). Meteorological variables were derived from the AiMa system, 360 361 which were generated by the Weather Research Forecasting (WRF) model version 3.4.1 (45) driven 362 by the 0.5-degree global weather forecast products produced by the National Centers for 363 Environmental Prediction Global Forecast System (46). The downscaled meteorology together with 364 the AiMa emission inventory was used to drive CMAQ simulation which was conducted to cover the mainland China on a horizontal resolution of 12 km with 13 vertical layers extending up to ~ 16 365 km above ground. The model output was fused with observations to get the final ambient PM2.5 366 367 concentration fields across China on a daily resolution over the study period (i.e., from Dec. 25, 368 2019 to Mar. 25, 2020). More details about the emission inventory, the model configuration, the 369 data fusion approach and its performance can be found in a previous study (25).

We conducted adjoint analysis to decompose the contributions of various emission sources to outdoor PM_{2.5} concentrations. The emission sources, as categorized in the AiMa inventory, included power generation, industry, residential (i.e., household), transportation, agriculture, solvent usage, fugitive dust, and fires. CMAQ-Adjoint version 5.0 (40) was applied to calculate the adjoint sensitivities. The adjoint analysis provides location- and time-specific gradients (i.e., adjoint sensitivities) and can be used in applications such as backward sensitivity analysis, source attribution, optimal pollution control, data assimilation and inverse modeling (40). The CMAQ- Adjoint version 5.0 is the most up-to-date version of CMAQ-Adjoint. Discrete adjoint is implemented for gas-phase chemistry, aerosol formation, cloud chemistry and dynamics, and diffusion. Continuous adjoint is implemented for advection. The model performance has been comprehensively evaluated in the previous study (40), showing good agreements with the results given by forward sensitivity analysis.

In this study, the cost function of the adjoint analysis was defined as the ambient population weighted average $PM_{2.5}$ concentration over the study period across China. The adjoint model thus provided sensitivities of this cost function to per-unit emissions of various species in each model grid cell. Using the source-specific emission information, we evaluated the source contributions of household (i.e., residential) energy consumption and other sectors on ambient air pollution by province. Details about the principle equations, development, and evaluation of CMAQ-Adjoint can be found in previous studies (40, 47).

Using the adjoint sensitivities, we further evaluated the changes in the population-weighted concentration in response to the emission reduction during the quarantine. Following previous study (28), we assumed a reduction of 10% in power plant emissions, 30% in industrial emissions, and 70% in mobile emissions. The changes in residential emissions due to population migration were evaluated using the procedures as specified in our previous studies (37, 38).

394 Indoor exposure model

We employed an indoor exposure model developed by Chen et al. (12) to quantify the indoor $PM_{2.5}$ levels. The model was modified to take into account the change in the amount of household energy consumption and outdoor infiltration and to unify the estimation approach for urban and rural household conditions as follows,

$$399 C_{in} = C_{in,add} + C_{out,add} (1)$$

400 where C_{in} is the indoor PM_{2.5} concentration in μ g·m⁻³, $C_{in,add}$ is the C_{in} component contributed by 401 indoor sources, and $C_{out,add}$ is the C_{in} component contributed by outdoor infiltration. $C_{in,add}$ was 402 calculated by the following equation,

403
$$C_{in,add} = \frac{\sum E_f \cdot C_{f,k} \cdot T_k}{\overline{E} \cdot \sum T_k}$$
(2)

404 where subscripts f and k denote the type of fuel (i.e., wood, straw, coal, and cleaner energy) and 405 indoor compartment (i.e., kitchen, living room, and bedroom), respectively; E_f is the per-household 406 daily consumption of fuel type f in terms of thermal energy amount (i.e., the amount of energy 407 consumption after thermal efficiency conversion); \overline{E} is the average per-household daily thermal 408 energy required for cooking and heating; $C_{f,k}$ is the $C_{in,add}$ in indoor compartment k when $E_f = \overline{E}$ and 409 the household consumes fuel f solely; T_k is the time spent daily in indoor compartment k. Following 410 a previous study (48), the thermal efficiencies of biomass, coal, gas, and electricity are 0.154, 0.244, 411 0.555, and 0.84, respectively. \vec{E} values 40 MJ·day⁻¹·household⁻¹ which was calculated as the national average daily household thermal energy consumption for cooking and heating in winter. $C_{f,k}$ values 412 were adopted from a previous study (12) in which the means and variations of C_{fk} were determined 413 414 by mete-analysis through literature review. The mean heating-season $C_{f,k}$ in kithen/living room are 415 283, 434, and 547 µg·m⁻³ for coal, crop, and wood, respectively, and in bedroom are 211, 267, 359 416 $\mu g \cdot m^{-3}$ for coal, crop, and wood, respectively. Cleaner energy was assumed to cause little addition 417 to indoor PM_{2.5}, and thus the $C_{f,k}$ for cleaner energy was set to be 0. Equation (2) assumes that with 418 all others equal, Cin,add is proportional to the thermal amount of daily energy consumption of the 419 household. This assumption was testified and supported by sensitivity tests using a single-box model 420 (49), as recommended in World Health Organization's indoor air quality guidelines (50), to predict 421 Cin,add based on varying amounts of energy consumption. Cout,add was calculated by multiplying 422 ambient PM_{2.5} concentrations with region-specific infiltration factors following Xiang et al.'s 423 method (51). The $PM_{2.5}$ exposure of individuals at a specific location was calculated as the average 424 of the indoor and outdoor PM2.5 concentrations weighted by the time fractions of indoor and outdoor 425 stays. The PWE in a region was calculated as the population-weighted average of the individuals' 426 exposure within this region. The same approach to calculate PWE has been adopted in previous 427 studies (10, 11).

428 **Regression analysis**

429 We conducted regression analysis to predict the county-level quarantine-induced changes in PWE.

430 The regression showed significant interaction between per-capita income and the epidemic severity.

431 The regression equation is as follows,

432
$$dPWE = -31.9 \times \ln(INC_{per}) - 0.69 \times SEV \times \ln(INC_{per}) + 124.6$$
 (3)

where dPWE denotes the change in PWE due to the COVID-19 induced quarantine, in ug·m⁻³; *INC*_{per} is per-capita annual income, in USD; *SEV* is the epidemic severity determined by the confirmed cases in the provinces (Table S1), ranging from 1 in Qinghai and Tibet (the least severe) to 5 in Hubei (the most severe).

437 Uncertainty analysis

The uncertainty in the PWE estimates stemmed from various sources, including the uncertainties in the modeled ambient and indoor concentrations, population migration, and time-activity patterns. We conducted Monte Carlo simulation to propagate the uncertainties from the input variables to PWE. For most input variables (e.g., concentration, migration intensity, time spent indoors, etc.), we assumed log-normal distributions to avoid negative values and used geometric coefficient of variation (GCV) (52) to measure the uncertainty. GCV is defined as follows,

$$444 \qquad GCV = e^{\sigma} - 1 \tag{4}$$

445 where e^{σ} is the geometric standard deviation (53). According to the performance of the data fusion 446 approach evaluated in a previous study which showed good agreement with an independent 447 observation dataset (25), the GCV of the population-weighted average of the fused $PM_{2.5}$ 448 concentrations was derived as 4.4%. Given the large uncertainty in the estimated emission reduction 449 due to the responses to COVID-19, the GCV for the emission reduction was set to be 40%. The 450 GCVs of the population migration intensity and the time spent indoors during the quarantine were 451 assumed to be 20% and 10%, respectively. For the time spent indoors in normal days, GCV of 5% was used based on the method of Chen et al. (12). For E, we assumed a uniform distribution with a 452 453 variation interval of 20% which is usually applied to reflect the uncertainty in the statistics of 454 household solid use (37, 54). The CVs of the infiltration factors in indoor/outdoor air exchange was 455 set to be 12.5% following Shi et al. (55). The uncertainties in indoor $PM_{2.5}$ concentrations in 456 households using solid fuels were derived by Chen et al. based on 1821 observations collected from 457 the literature (12). Monte Carlo simulations were performed 1,000,000 times to propagate the 458 uncertainties in these input variables into the uncertainty in PWE.

459 Data availability

The population distribution data, the daily cross-province migration data, the daily ground-level PM_{2.5} fusion data, and all data used to generate the figures in the main text are openly available on Open Science Framework at https://osf.io/x46tb/.

463 **Code availability**

The CMAQ source code can be accessed at https://www.epa.gov/cmaq/how-cite-cmaq. Upon
completion of expanded user testing, the CMAQ Adjoint code will be hosted and distributed by U.S.
EPA.

467

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1 Supplementary Figures and Tables



4 Figure S1. HAP contributions on PWE through the direct impact on indoor air quality

- 5 (directly to indoor), outdoor infiltration (indirectly to indoor), and the direct impact on
- 6 outdoor air quality in China, and in urban and rural areas.
- 7
- 8
- *2*
- 9



- 11 Figure S2. The contributions of HAP and other sources on national PWE during the
- 12 quarantine, the same period in 2019 (P2 in 2019), one month before the quarantine (P1 in
- 13 real case), the same period but with no COVID-19 outbreak (P2 no COVID-19), and for
- **annual average.**



21 Figure S3. The relationship between the share of solid fuel in household energy use and the

- 22 change in PWE due to the COVID-19-induced quarantine.



Figure S4. The relationship between per-capita income and PWE change in China (A) and in
rural (B) and urban (C) areas separately. In panel A, the trend in Hubei is also illustrated.



32

33 Figure S5. The relationship between per-capita income and the quarantine-induced changes

34 in PWE in the heating region in the "no clean plan" case (A), the real case (B), and the "full

- 35 **phase in" case (C).** In the "no clean plan" case (A), it is assumed that there was no "Clean
- 36 Heating Plan", where the energy mix was mainly driven by per-capita income. In the "full phase
- 37 in" case (C), the "Clean Heating Plan" is assumed to be fully phased in as in 2021 which is the
- 38 ending year of the various targets set in the plan.
- 39
- 40

| | Correntaria | Before | | | During | |
|--------------|-------------|--------|-------|------|--------|-------|
| Province | Seventy | Urban | Rural | | Urban | Rural |
| Anhui | 4 | 21.3 | 20.5 | 23.2 | 23.0 | 21.3 |
| Beijing | 3 | 21.0 | 20.6 | 23.0 | 22.9 | 21.0 |
| Fujian | 3 | 21.3 | 20.5 | 23.1 | 22.8 | 21.3 |
| Gansu | 2 | 21.1 | 20.3 | 22.8 | 22.5 | 21.1 |
| Guangdong | 4 | 20.5 | 20.1 | 23.0 | 22.9 | 20.5 |
| Guangxi | 3 | 20.5 | 20.1 | 22.8 | 22.7 | 20.5 |
| Guizhou | 2 | 20.3 | 20.0 | 22.5 | 22.4 | 20.3 |
| Hainan | 2 | 20.5 | 20.1 | 22.6 | 22.4 | 20.5 |
| Hebei | 3 | 21.0 | 20.6 | 23.0 | 22.9 | 21.0 |
| Henan | 4 | 21.0 | 20.6 | 23.1 | 23.0 | 21.0 |
| Heilongjiang | 3 | 22.5 | 22.2 | 23.5 | 23.4 | 22.5 |
| Hubei | 5 | 20.5 | 20.1 | 23.5 | 23.5 | 20.5 |
| Hunan | 4 | 20.5 | 20.1 | 23.0 | 22.9 | 20.5 |
| Jilin | 2 | 22.5 | 22.2 | 23.4 | 23.3 | 22.5 |
| Jiangxi | 4 | 21.3 | 20.5 | 23.2 | 23.0 | 21.3 |
| Jiangsu | 3 | 21.3 | 20.5 | 23.1 | 22.8 | 21.3 |
| Liaoning | 2 | 22.5 | 22.2 | 23.4 | 23.3 | 22.5 |
| Nei Mongol | 2 | 21.0 | 20.6 | 22.8 | 22.6 | 21.0 |
| Ningxia | 2 | 21.1 | 20.3 | 22.8 | 22.5 | 21.1 |
| Qinghai | 1 | 21.1 | 20.3 | 21.9 | 21.3 | 21.1 |
| Sichuan | 3 | 20.3 | 20.0 | 22.8 | 22.7 | 20.3 |
| Shaanxi | 3 | 21.1 | 20.3 | 23.0 | 22.8 | 21.1 |
| Shandong | 3 | 21.3 | 20.5 | 23.1 | 22.8 | 21.3 |
| Shanghai | 3 | 21.3 | 20.5 | 23.1 | 22.8 | 21.3 |
| Shanxi | 2 | 21.0 | 20.6 | 22.8 | 22.6 | 21.0 |
| Tianjin | 2 | 21.0 | 20.6 | 22.8 | 22.6 | 21.0 |
| Xinjiang | 2 | 21.1 | 20.3 | 22.8 | 22.5 | 21.1 |
| Tibet | 1 | 21.1 | 20.3 | 21.9 | 21.3 | 21.1 |
| Yunnan | 2 | 20.3 | 20.0 | 22.5 | 22.4 | 20.3 |
| Zhejiang | 4 | 21.3 | 20.5 | 23.2 | 23.0 | 21.3 |
| Chongqing | 3 | 20.3 | 20.0 | 22.8 | 22.7 | 20.3 |

41 Table S1. Time spent indoors by province before and during the quarantine, in hour per day.

42 ^a "Severity" denotes the epidemic severity of the province, ranging from 1 (the least severe) to 5

43 (the most severe).

44