

A systematic study of earthquake detectability using Sentinel-1 Interferometric Wide-Swath data

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SUMMARY

The Sentinel-1 mission comprises two synthetic aperture radar satellites, each with a 12 day orbital repeat, orbiting 6 days apart within a narrow tube. The mission design promises the ability to respond quickly to earthquakes with InSAR, and to facilitate production of interferograms with good interferometric correlation globally. We report on our efforts to study global seismicity using Sentinel-1 Interferometric Wide-Swath data between April 2015 and December 2016. We select 35 potentially detectable terrestrial earthquakes in the range $5.5 \leq M_w \leq 7.8$ on the basis of their locations, depths and magnitudes, and process the first post-event interferogram with the shortest possible time-span for each using the ISCE software. We evaluate each interferogram for earthquake deformation signals by visual inspection.

We can identify deformation signals attributable to earthquakes in 18 of these interferograms (51%); a further six interferograms (17%) have ambiguous interferometric phase affected by tropospheric noise. 11 events (31%) could not be identified from their interferograms. The majority of these failed detections were due to interferogram decorrelation, particularly apparent for earthquakes that occurred between 15°N and 15°S, where climate conditions promote dense vegetation. The majority of the ambiguous interferograms are affected by tropospheric noise, suggesting that techniques to mitigate such noise could improve detection performance. The largest event we do not detect with Sentinel-1 data is a M_w 7.0 earthquake that occurred in Vanuatu in April 2016; we also fail to detect the 2016 M_w 6.2 Kurayoshi earthquake in one out

of two possible 24-day interferograms. We propose these as upper and lower estimates on the magnitude of completeness for earthquakes studied with Sentinel-1 data; to raise the magnitude of completeness we suggest that more frequent (e.g. six day) recurrence may be necessary in low latitude areas.

Key words: InSAR – Sentinel-1 – earthquake – deformation – detectability – correlation.

1 INTRODUCTION

1.1 Studying earthquakes with InSAR

Since the 1992 Landers, California was detected by successfully interfering radar images acquired on repeat passes of the ERS-1 satellite (Massonnet et al., 1993), interferometric synthetic aperture radar (InSAR) has been a viable means of studying earthquakes with observations that are independent of seismology (see Massonnet & Feigl, 1998; Bürgmann et al., 2000; Salvi et al., 2012; Elliott et al., 2016, for helpful reviews). With successive SAR satellite missions, our capabilities of detecting and studying earthquakes have improved as the capabilities of the satellites themselves have improved. We estimate, from a survey of the scientific literature, that at the time of writing there are over 200 published studies of over 130 individual earthquakes using InSAR data as constraints on models of the earthquake source.

Using InSAR data to study earthquakes has several advantages over more traditional approaches. The line-of-sight displacement measurements made with InSAR provide more accurate measures of earthquake location than teleseismic methods (e.g. Weston et al., 2011, 2012), and give a similar level of location accuracy to local seismometer networks, but do not require expensive local infrastructure. These accurate locations can be used as ground truth for evaluations of different Earth velocity models (e.g. Ferreira et al., 2011), or for anchoring relative relocations of events to events of known location (e.g. Nissen et al., 2016). Even when not used directly on constraints on models of fault slip, interferograms of earthquakes (coseismic interferograms) can be directly of help to scientists undertaking field response. For example, phase discontinuities

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in coseismic interferograms of the 2003 Bam, Iran earthquake (Talebian et al., 2004), the 2010 El Mayor-Cucapah earthquake (Gonzalez-Garcia et al., 2010) and 2014 South Napa, California earthquake (DeLong et al., 2016) were all used to guide field geologists to surface ruptures that had been initially missed during the initial response to those events.

In recent years, a new generation of SAR satellite missions has been proposed or launched with the promise to boost our observational capability through reduced revisit times, more stable orbits and longer duty cycles (ability to acquire more data in each orbit). In this study we examine in more detail one of these missions, the European Space Agency Sentinel-1 mission.

1.2 Factors that can impede earthquake detection with InSAR

While InSAR has improved our ability to measure the spatial patterns of deformation for many shallow earthquakes on or near land, it is not always successful. A principal limitation of InSAR is decorrelation, the reduction of InSAR signal quality, which can occur in certain surface or orbital conditions. Formally, decorrelation is the reduction of interferometric correlation, a measure of the coherence of the obtained interferometric phase. Interferometric correlation can be decomposed into several contributing components, including thermal noise, geometric effects, volumetric effects and temporal decorrelation (for detailed discussions, see the studies by Zebker & Villasenor, 1992; Rosen et al., 2000; Fielding et al., 2005).

Of these components, thermal noise is typically neglected, given the high signal-to-noise ratios for modern SAR instruments in most settings (e.g. Weber Hoen & Zebker, 2000; Wei & Sandwell, 2010). Geometric effects on decorrelation are a result of differences of illumination angle caused by differences of orbital position, in turn causing changes in radar reflectivity within a radar pixel on the ground. This effect is particularly profound in areas of steep topographic slopes. Therefore, we would expect decreases in interferometric correlation with increasing perpendicular baselines. Volumetric effects on interferometric correlation relate to the expected scattering of radar from a volume of material; in most tectonic applications of InSAR, the most important such scatterer is vegetation. The C-band radars used in several major SAR satellites (e.g. the European Space Agency's ERS, Envisat and Sentinel-1 missions), are sensitive to objects with a length scale of 10

mm or larger (e.g. Fielding et al., 2005), which can include leaves, branches and trunks of trees, shrubs and other plants. We would therefore expect decreases in correlation in areas with dense vegetation. Finally, temporal decorrelation is the effect of changes and/or movements of the radar scatterers within a radar pixel. This can include changes to vegetation, such as growth, movement in the wind or the shedding of leaves, plus the effects of human activity, mass movements, flooding and/or earthquake-related damage to the surface or structures. The probability of such changes increases monotonically with time, such that decreases in correlation would be expected with increase in the time span of interferograms. We would also expect larger decreases in correlation due to temporal changes in more heavily vegetated areas.

A second factor that can impede earthquake detection with InSAR is noise from the atmosphere. There are two principal atmospheric noise sources in InSAR data – the presence of charged particles in the ionosphere, that can cause wavelength-dependent refraction of microwave radiation as it passes through, and the presence of water vapour in the troposphere that can introduce a wavelength-independent delay to the propagating microwaves. Typically it is the longer wavelength radars (e.g. the L-band radars used by the ALOS and ALOS-2 satellites) that are most affected by ionospheric distortions, and we will neglect them in this study which focuses on results from shorter, C-band radars; in addition, advances in split-band SAR processing (e.g. Gomba et al., 2016) promise a means of correcting for these distortions in future. In contrast, tropospheric noise remains a significant issue with all satellite InSAR, especially when measuring small deformation signals (e.g. of a few centimetres), as the delay imparted to the propagating radar can be of the order or larger than the signal of interest, and have a similar spatial length scale (kilometres to tens of kilometres). Although methods have been proposed to mitigate tropospheric noise, such as using GPS-estimated zenith delays (e.g. Li et al., 2006), optical imagery (e.g. Li et al., 2012), high resolution meteorological reanalysis data (e.g. Jolivet et al., 2011), there are operational issues that can prevent these solutions being universally applicable or useful – most notably limited data availability (e.g. in the case of GPS and optical imagery), lack of resolution (e.g. in the case of meteorological data), and lack of availability in real time. In this study, we do not apply atmospheric

corrections routinely; instead, we assess the impact of atmospheric noise on our ability to detect earthquakes.

1.3 The Sentinel-1 mission

The Sentinel-1 satellite mission currently comprises two satellites (Sentinel-1A, launched 3 April 2014 and Sentinel-1B, launched 22 April 2016) in the same sun-synchronous polar orbit with a 12 day repeat, orbiting 6 days apart. The two satellites are identical, each carrying sufficient consumables to sustain a 12 year mission span, and each carrying the same instrumentation (see Torres et al., 2012, for details). The satellites are navigated within a narrow orbital ‘tube’ (of the order of 200 m in diameter) to minimise the effects of variable viewing geometry on interferometry. A feature of the C-band (55.5 mm wavelength) SAR antennas on the Sentinel-1 satellites is their ability to acquire wide-swath data using Terrain Observation by Progressive Scans (TOPS; De Zan. & Guarnieri, 2006) mode. Here, the radar beam sweeps backwards and forwards, with a squint angle that varies by $\pm 0.7^\circ$, in the direction of travel in a series of ‘bursts’, and also is switched sequentially from burst to burst between different subswaths each with a different incidence angle. The main benefit of TOPS mode is to allow the collection of radiometrically uniform wide-swath SAR data, absent the scalloping and variable signal-to-noise ratios in the azimuth direction that can result from other modes of wide-swath SAR, such as ScanSAR (e.g. Moore et al., 1981). In addition, a collateral benefit of TOPS mode acquisitions is that the overlap between successive bursts in each subswath enables the precise measurement of displacements in the azimuth direction in the overlap zones (e.g. Grandin et al., 2016).

The main TOPS mode used by the Sentinel-1 satellites, known as Interferometric Wide-Swath, or IW mode, has three subswaths that between them cover a swath of 250 km on the ground, with a range of incidence angles between 30 and 45 degrees. The size of an individual pixel in IW mode is approximately 20 m in azimuth vs 5 m in range, degraded from the 5 m by 5 m pixel size that can be achieved if the instrument is operated in stripmap mode, as it was in select areas during the first year of operation of Sentinel-1A (e.g. Floyd et al., 2016). Since April 2015, however, IW mode has been the primary data acquisition mode over most of the Earth’s land surface.

The mission to date has seen a gradual increase in revisit frequency over most land areas over time. During the initial phase of IW acquisitions, with only Sentinel-1A in orbit, and operational restrictions due to power and downlink capacity constraints, most areas of high tectonic strain rate were covered every 24 days in both ascending and descending orbits, 12 day repeat coverage being restricted to a few priority regions, such as continental Europe. With the launch and subsequent calibration/evaluation of Sentinel-1B completed in September 2016, along with improvements in downlink capacity, including the use of ERDS-A (European Data Relay System-A), revisit frequency is approaching 12 days in both ascending and descending modes over most land areas and 6 days in priority areas, such as Europe (Rosich et al., 2017).

1.4 Towards operational monitoring of earthquakes using Sentinel-1 data

The short revisit times of the Sentinel-1 satellites, along with the free and near-real time availability of the SLC data they produce, raise the possibility of the routine measurement of the crustal deformation due to shallow continental earthquakes globally. Not only would the short revisit times facilitate timely response to events, they should also minimise the effects of temporal decorrelation (e.g. due to vegetation or surface change); the tight orbital navigation should similarly minimise the effects of geometric decorrelation in areas of steep relief. Therefore, the expectation is that it should be possible to form successful interferometric pairs with the first post-event acquisition(s). These measurements could then be incorporated into the scientific and civil response to earthquakes (e.g. Salvi et al., 2012).

In this study we aim to evaluate these expectations in other words, to assess the viability of the Sentinel-1 mission, and IW data in particular, as a tool for the operational monitoring of shallow earthquakes that occur on land. We systematically process Sentinel-1 IW interferograms for a trial set of events in order to assess the detectability of earthquakes under quasi-operational conditions – i.e. with a single, short time-span interferogram produced with data acquired shortly after the event. In so doing, we hope to develop insights as to the strengths and weaknesses of Sentinel-1 data when used for these purposes.

2 PRODUCING INTERFEROGRAMS FOR LIKELY DETECTABLE EARTHQUAKES

2.1 Event selection

In order to evaluate the efficacy of the new Sentinel-1 mission for the detection of earthquakes, we first select a trial set of events for testing. We use the USGS global earthquake catalogue (earthquake.usgs.gov) to identify $M_w \geq 5.5$ earthquakes with epicenters located on land – either continents or islands – that occurred globally between 1 April 2015 and 1 December 2016. This time span approximately corresponds to the first 18 months of global Sentinel-1 IW mode acquisitions, although we note that IW data were acquired in some regions prior to this. We further filter these events based on their catalogue magnitudes and hypocentral depths, with the understanding that smaller events typically need to occur at shallower depths to be detectable with InSAR (e.g. Mellors et al., 2004). Specifically, we select events in the range $5.5 \leq M_w < 6.0$ with depths of 10 km or less, events in the range $6.0 \leq M_w < 7.0$ with depths of 20 km or less, and events with $M_w \geq 7.0$ with depths of 25 km or less. Finally, we exclude foreshocks and aftershocks that would appear in interferograms along with, and likely be masked by, the deformation of the corresponding mainshocks.

In total, we select 35 events (Figure 1; Table 1). These are distributed across all continents, within the low- and mid-latitudes, and span a magnitude range between $M_w 5.5$ and $M_w 7.8$.

2.2 Data selection

For each event, we select pairs of Sentinel-1 IW scenes for the track/frame combination that has (i) the shortest repeat interval, (ii) the earliest post-event acquisition date and (iii) provides sufficient areal coverage around the USGS location so as to capture the full earthquake signal. These criteria are intended to minimise the effects of temporal decorrelation and inaccurate seismic catalogue locations, respectively. Where necessary, we select additional frames along track to guarantee coverage for a larger area around the seismic location. We do not prioritise particular viewing geometries (e.g. from ascending or descending tracks), or perpendicular baselines. The image combinations used in our analysis are listed in Table 1.

2.3 Data processing

We process the selected pairs of wide-swath SLCs using the ISCE (InSAR Scientific Computing Environment) software developed by the Jet Propulsion Laboratory, Caltech and Stanford University (REF). We use version 2.0.0_20170403 of the software, made available through the Western North America InSAR Consortium (<http://winsar.unavco.org/isce.html>). All data are processed using the standard *topsApp.py* processing flow, which we describe briefly here:

The first step is burst-by-burst registration of both SLC images to the digital elevation model (DEM; the Shuttle Radar Topography Mission 1 arcsecond product of Farr et al., 2007, is used here). This is followed by coarse registration of the DEM-registered SLC bursts to each other and preliminary interferogram generation in burst overlap regions. Next, enhanced spectral diversity (ESD) matching in burst overlap regions is employed to provide precise image alignment (to one thousandth of a pixel in azimuth), followed by a further refinement of the registration of the SLCs for each burst in range, and computation of interferograms for each burst. These burst interferograms are then merged into a single interferogram, filtered using a power spectrum filter (Goldstein & Werner, 1998), and geocoded. Optionally, if it is subsequently determined that there is an earthquake signal in the interferogram, we can mask out low correlation areas and unwrap it using the snaphu algorithm (Chen & Zebker, 2002) prior to geocoding.

This procedure can operate on a single subswath or for multiple subswaths, according to need; in the latter case, the bursts from the multiple subswaths are treated separately until the burst interferogram merging step. The selection of which subswaths to process is made initially based on the seismic catalogue location, and expanded to cover a ~ 50 km radius around the catalogue location based on need (i.e. if an earthquake is not initially detected). As a post-processing step, where necessary we also make use of the water mask estimation feature in ISCE to exclude areas that are decorrelated due to bodies of water at the surface.

3 IDENTIFICATION OF EARTHQUAKE SIGNALS

The determination of whether an individual earthquake is detected by InSAR is made by visual inspection of the wrapped, geocoded interferogram in the vicinity of the USGS catalogue location.

We classify events as either detected, ambiguous or not detected, based upon their appearance, as we shall describe below:

Detected. A clear, unambiguous detection of an event typically requires multiple enclosed deformation fringes and/or a high fringe gradient, in an interferogram that usually has low decorrelation in the area of interest. In the cases of the smaller detected earthquakes, there was generally also a low level of atmospheric noise in the area of interest.

Ambiguous. Events with this classification typically have low decorrelation but significant atmospheric noise that makes it difficult to identify the earthquake. The appearance of most of these interferograms was ‘blobby’ – containing multiple areas with interferometric phase changes in the approximate area of interest, but it is not clear if any of them corresponds to the earthquake. We also used this classification for a noisy interferogram that had some visible signal, that we could not definitively attribute to an earthquake (see section 4.3 below). It may be that some of these events could ultimately be revealed by using interferogram differencing (e.g. Talebian et al., 2004), averaging (e.g. Fielding et al., 2004) or time series analysis (e.g. Grandin et al., 2017; Fielding et al., 2017) to remove or mitigate the atmospheric noise.

Not detected. We reserve this classification for events whose coseismic interferograms show significant decorrelation in the area of interest, so that no part of the earthquake deformation signal can be confidently identified. In these ‘non-detection’ cases, even if the earthquake could have generated sufficient surface deformation to be observed (in optimal conditions), the interferogram would have been unable to resolve it. We note that in our event selection process, events that would be obscured by surface water were excluded from our analysis; thus decorrelation of the land surface is responsible for the lack of a detection in these cases.

In cases where the initial subswath processing does not reveal an earthquake (in ambiguous or not detected cases), where possible we reprocess the data to include a wider area (a minimum of 50 km) around its USGS earthquake catalogue location – by processing all additional subswaths – to ensure that the lack of detection was not due to a location error.

We show representative samples of interferograms of detected, ambiguous and not detected

events in Figure 2. We show additional coseismic interferograms as case study examples in section 4 below. The remainder are provided as a set of supplementary figures (Figures S1–S35).

We find that we can identify deformation signals attributable to earthquakes in a little over half of the events tested (18 out of 35 events, 51%). A further 17% of events (6 out of 35) have ambiguous coseismic interferograms. 31% of events (11 out of 35) could not be identified from their interferograms. The largest earthquakes in our trial set, the M_w 7.8 2015 Gorkha, Nepal (Figure S1), 2016 Pedernales, Ecuador (Figure S17) and 2016 Kaikoura New Zealand (Figure S33) events, were all successfully detected. The smallest event that was successfully detected was a M_w 5.6 event from Mozambique (Figure S28).

The map pattern of successful and unsuccessful detections (Figure 1) is strongly latitudinal. The latitudinal zone with the lowest percentage of detected events (and the highest percentage of events that were not detected) is a band between 15°S and 15°N. Here, there are only two confirmed detections, versus six nondetections. This is a zone that includes tropical rainforests in Central and South America, Africa and Southeast Asia, raising the possibility that decorrelation due to the dense vegetation in those areas is a major impediment to earthquake detection. The mid-latitude bands, between 15°S and 45°S (four events detected, two events not detected), and between 15°N and 45°N (12 events detected, two event not detected), in contrast, have a majority of successful detections. These latitude ranges, although they have forest vegetation in some areas, are more likely to include plains, high mountains and deserts, areas with low vegetation density, and thus are less likely to decorrelate. We will revisit these relationships between latitude (and implied vegetation density), interferometric correlation and detectability in more detail in section 5 below.

An additional concern that we identify is that infrequent image coverage over some areas of interest are likely exacerbating problems with temporal decorrelation. Long revisit times increase the probability of changes occurring to radar scatterers on the ground, the underlying cause of temporal decorrelation. In several of our nondetection cases, the shortest interval between acquisitions was of the order of two months or longer (e.g. Ranau, Malaysia, 2015, 72 days, Figure S4; Yuto, Argentina, 2015, 72 days, Figure S10), sufficient to cause extreme decorrelation in vegetated ar-

eas. With the launch of Sentinel-1B providing additional observational capacity, however, we find that 24 days is the maximum repeat interval for events from mid-2016 onwards.

4 EARTHQUAKE DETECTION CASE STUDIES

Here we explore a few illustrative examples of earthquakes in greater detail.

4.1 The 2015 Alor, Indonesia earthquake

On 4 November 2015, a M_w 6.5 earthquake struck Alor Island (Pulau Alor), East Nusa Tenggara Province, Indonesia. The event, which occurred at 18:44 local time, damaged approximately 1500 homes and other buildings. No injuries were reported. Alor Island is located on the southern edge of the Banda Sea, a region dominated by N-S tectonic convergence driven by subduction to the north and south, primarily accommodated regionally by slip on the Wetar Thrust, a major backarc thrust structure located offshore to the north (McCaffrey, 1996). The USGS W-phase moment tensor for the event is consistent with right-lateral oblique-normal slip on an ESE-striking structure, suggesting that the fault responsible is involved in accommodating arc-parallel (and convergence-perpendicular) extension.

Despite occurring at least seven months after the commencement of large-scale Sentinel-1 IW data acquisitions over major tectonic areas, data availability for the Alor earthquake is very poor. No descending track data was acquired over Alor Island prior to the earthquake. Also, although the island of Timor, located ~ 50 km to the southeast, is covered by frequent ascending track acquisitions, in all but a handful of cases, the data takes do not extend northward beyond the southern shore of Alor. We were only able to form two ascending track interferograms that covered the catalogue location of the earthquake – an interferogram with a 48 day timespan (track 039), and another with a 450 day timespan (track 141). These interferograms are plotted in Figure 3, with details given in Table 1.

Both Sentinel-1 interferograms are noisy, showing the effects of temporal decorrelation. As expected, the longer timespan track 141 interferogram is the more severely affected of the two, with limited useable signal. However, despite the significant noise levels, both interferograms

show features that can be interpreted as coseismic deformation. Most convincingly, the track 039 interferogram shows a series of five concentric fringes, with a steep E–W fringe gradient towards the eastern edge of the interferogram, suggesting that this part of the interferogram is close to the causative fault for the earthquake. With careful examination, some of these features can also be identified in the track 141 interferogram, which covers an area further to the east, suggesting that they are robust features of the coseismic deformation. In addition, another high fringe-gradient area can be identified further to the east, potentially another feature of the deformation pattern indicating proximity to the earthquake source, although given the high noise level it is difficult to learn too much about the details of the earthquake from it, except perhaps that the earthquake source should be located somewhere between the two high-fringe gradient areas.

To confirm that the features we identify are indeed related to the Alor earthquake, we process additional interferograms from the area, using descending track SAR data acquired by the ALOS-2 satellite and the ‘insarApp.py’ processing flow in the ISCE software. We would expect that the longer, L-band (23.6 cm wavelength) SAR on ALOS-2 should be much less susceptible to temporal decorrelation than the C-band instrument on Sentinel-1. This is indeed confirmed by the best of these interferograms (Figure 3; Table 2) which shows an excellent level of interferometric correlation and a clear earthquake deformation signal, with seven concentric fringes (corresponding to ~ 70 cm of line-of-sight displacement) to the southwest of a phase discontinuity (the likely location of the fault), and three fringes to the northeast. The large area of concentric fringes seen in both Sentinel-1 interferograms is located somewhat to the west of the southwestern fringes in the ALOS-2 data, likely reflecting the greater sensitivity of the Sentinel-1 satellites to crustal deformation (four Sentinel-1 fringes being roughly equivalent to one ALOS-2 fringe, in terms of line-of-sight displacement), and also the different viewing geometries of the two sets of interferograms, with the Sentinel-1 data coming from ascending tracks and the ALOS-2 data from a descending track, meaning that the significant expected E–W deformation in the earthquake would be recorded differently in each.

4.2 The 2016 Kurayoshi, Japan earthquake

The M_w 6.2 Kurayoshi earthquake struck Tottori Prefecture (western Honshu), Japan on 21 October 2016 at 14:07 local time. Although the event caused no fatalities, there were five reported injuries in Tottori Prefecture, 2700 local residents were rendered temporarily homeless, and over 77,000 households and businesses in the region were affected by power outages. The USGS W-phase moment tensor for the event supports left-lateral strike-slip on a subvertical NNW-striking fault, consistent with the tectonic deformation style in western Honshu, where Quaternary faulting and earthquake moment tensors indicate that tectonic convergence is accommodated by conjugate strike-slip faulting (e.g. Wesnousky et al., 1982).

The earthquake occurred in a region of Japan where there was regular acquisition of SAR data on both ascending and descending tracks every 24 days, and thus the event is covered by two 24-day coseismic SAR image pairs (Figure 4). We initially processed the descending track interferogram (Sentinel-1 track 090), which had the earlier post-event image of the two possibilities (24 October, vs 5 November for the ascending track; full details given in Table 2). There is no clear indication of an earthquake in this interferogram, which we show in Figure 4a, suggesting that this event should be classified as a nondetection. In particular, there is significant decorrelation in the vicinity of the USGS catalogue location for the event, which obscures any characteristic deformation that may have occurred there. Indeed, reports from the initial earthquake response in Japan, showing both significant coseismic GPS displacements and clear deformation signals in interferograms from the ALOS-2 satellite (see <http://www.gsi.go.jp/cais/topic161027-index-e.html> for more details) in the same area covered by our interferogram, suggest that coseismic deformation ought to be detectable with Sentinel-1, if decorrelation were low.

In contrast, the ascending track (track 083) Sentinel-1 interferogram, shown in Figure 4b, shows a very different picture of the earthquake. In this case, decorrelation is lower, and a clear four-quadrant deformation pattern, consistent with strike-slip deformation on a N-S oriented fault, can be identified.

The cause for this striking difference in decorrelation between the two image pairs could potentially be related to the perpendicular baselines between their imaging positions. While the two

pairs both have the same timespan – 24 days – and thus would be expected to have similar levels of temporal decorrelation, larger perpendicular baselines could result in more significant levels of geometric (slope) decorrelation, particularly in areas of rugged terrain. The lower-decorrelation ascending track interferogram indeed has a shorter perpendicular baseline (30 m) than the higher-decorrelation descending track (86 m) suggesting that geometric decorrelation is a viable explanation for the difference between the two interferograms.

4.3 The 2016 Muisne, Ecuador earthquake

The M_w 6.7 18 May 2016 Muisne, Ecuador earthquake was an aftershock of a larger event, the M_w 7.8 Pedernales earthquake (16 April 2016; Figure S17). The event, located by the USGS 33 km southeast of the city of Muisne at an estimated depth of 16 km and striking in early hours of the morning, local time, reportedly caused one fatality and 85 injuries and disrupted classes at schools in Esmeraldas and Manabi provinces. It was followed nine hours later by a second, larger event (M_w 6.9), with an epicenter approximately 20 km to the ENE and greater estimated depth of 30 km; no additional casualties were reported. Only the earlier and shallower of the two events fulfils our event selection criteria and was thus targeted for study with InSAR.

Following the Pedernales earthquake, 12 day repeat acquisitions were made over the northwest coast of Ecuador in both descending and ascending geometries. We process each of the 12 day co-seismic pairs; both the descending (track 040) and ascending (track 018) interferograms are plotted in Figure 5 (details given in Tables 1 and 2). The epicentral region of the Muisne and Pedernales earthquakes is dominated by tropical rainforest vegetation, and thus issues with decorrelation are expected. We find that the interferograms are decorrelated to a level that is close to the limit of usability, and cannot identify unambiguously a signal that we can attribute to an earthquake. In addition, both interferograms contain far-field fringes that could be attributed to tropospheric water vapour, that additionally impair our ability to identify deformation features.

The descending track interferogram (Figure 5a), which was the first interferogram that could be formed after the event (post-event acquisition \sim 16 hours after the earthquake) shows a pair of concentric fringes, covering an area approximately 60 km wide, to the east of the USGS epi-

center. These fringes may represent deformation, but could also be interpreted as the result of long-wavelength tropospheric noise. On the basis of this interferogram, we classify the event as ambiguous – we have reasonable doubt that the earthquake is represented in the first post-event data. If the fringes represent deformation, they would be equivalent to ~ 6 cm of range increase, consistent with subsidence and/or westward displacement.

There is no equivalent signal in the ascending track interferogram (Figure 5b; post-event acquisition 10 days after the earthquake) in this area, suggesting that the feature identified in the descending track interferogram is not a purely vertical signal. Instead, we identify approximately six concentric fringes, centered approximately 30 km to the west of the USGS epicenter, close to the coast between 0.1°N and 0.7°N , indicating approximately 17 cm of displacement with a sense of motion (range decrease) consistent with uplift and/or westward motion. These fringes overlap completely with the deformation field of the Pedernales earthquake (Figure S), raising the possibility that the fringes could represent postseismic deformation. However we do not see similar coastal fringes in the preceding 12 day ascending track interferogram, in which we would expect any postseismic signal to be larger in amplitude, given that it is closer in time to the earthquake. Our interpretation, then, is that the fringes we identify in the ascending coseismic interferogram are coseismic deformation from the Muisne event.

4.4 The 2016 Norsup, Vanuatu earthquake

On 28 April 2016, a $M_w 7.0$ earthquake struck near the village of Norsup, on Malekula Island, Malampa Province, Vanuatu. The event was felt across the Vanuatu archipelago, although there were no reports of major damage. The seismic catalogue location for the event, close to the island shore, and its reverse-faulting USGS W-phase moment tensor mechanism led to an initial local tsunami warning being issued, although no significant run-up was subsequently detected, perhaps a result of its estimated 25 km source depth limiting the vertical deformation of the sea floor.

Sentinel-1 data coverage for Malekula Island, and for Vanuatu in general, during the early phase of IW mode acquisitions is poor. Regular acquisitions did not occur over the island until October 2016, some six months after the earthquake, and the handful of SAR images that were

available before the event were acquired in October 2015, approximately six months before the earthquake. As a result, the shortest duration interferometric pair that we could form that covered the island (ascending track 154) had a duration of 366 days. The processed interferogram (Figure 6a; details in Table 1) shows almost complete decorrelation, except for some areas around the shore of the island, likely a consequence of most land areas on the island being covered with dense tropical vegetation. As a result, we cannot identify any deformation signal associated with the earthquake and classify it as a non-detection.

Despite our failure to detect this particular event with Sentinel-1 data, the question remains whether it would have been possible had there been data routinely collected at regular, short intervals around the time of the earthquake. Two conditions would need to be satisfied for this to be the case: (i) the earthquake would need to produce a detectable deformation signal; and (ii) there would be sufficient interferometric correlation in the InSAR data from the region for that deformation signal to be detected.

To address the first of these points, we process additional interferograms for the area using data from the ALOS-2 satellite – a pair of ascending track stripmap images (ALOS-2 track 101) spanning 154 days – that we process using `insarApp.py` in ISCE as with the data from the Alor, Indonesia earthquake described above. The ALOS-2 interferogram (Figure 6b; details in Table 2) shows 1.5 concentric fringes, consistent with approximately 18 cm of range decrease, immediately to the southwest of the USGS epicenter. The observed signal is consistent with the uplift expected from a moderate depth reverse-faulting earthquake under the island, as per the USGS source mechanism.

Next, in order to assess whether more rapid repeat coverage would be sufficient to avoid decorrelation in Vanuatu, we process a set of 12-day interferograms covering Malekula Island, from April–June 2017, a similar time of year to the 2016 earthquake. At present, 12-day repeat coverage is the shortest interval between acquisitions in the full Sentinel-1 IW archive over Vanuatu. These interferograms are plotted in Figure S36. We find that the level of decorrelation in 12 days is severe, rendering the interferograms unusable for the purposes of deformation study; all six have mean epicentral correlation values of ~ 0.35 , similar to the coseismic interferogram (see section

5.1 below for more explanation). In the case of earthquake detection on Malekula Island, therefore, an even shorter repeat interval (i.e. six days) may be necessary to obtain a useable level of interferometric correlation.

5 DISCUSSION AND CONCLUSIONS

5.1 Factors affecting interferometric correlation and earthquake detectability with Sentinel-1 data

Our results show that the primary control on earthquake detectability is interferometric correlation – without coherent (well-correlated) interferograms it is not possible to identify the signal produced by earthquakes. As we discuss above, there are multiple factors that can cause reductions in correlation, the most important being spatial effects (related to perpendicular baseline), and volume and temporal effects (related to vegetation density and interferogram timespan).

To investigate further the influence of these effects on interferometric correlation and earthquake detectability, we first estimate the average correlation in the vicinity of each earthquake epicenter. We extract, where possible, a square region 100 km in diameter centered on the USGS earthquake epicenter from the interferometric correlation image produced by ISCE for each event. In the cases where event epicenters are located within 50 km of the edge of the interferogram, the interferogram extent is used instead as a bound in that direction. We use the water mask produced using the ‘waterMask.py’ script in ISCE to mask out areas of known surface water, such as oceans, seas, rivers and lakes. From the remaining unmasked correlation data we calculate the mean of these correlation values, a quantity that we name the ‘mean epicentral correlation’, for each event. We plot these mean epicentral correlation values, broken down by earthquake magnitude, against interferogram timespan, perpendicular baseline and latitude in Figure 7, and tabulate them in Table 1.

We find that the detectability of earthquakes at a given level of correlation, is strongly magnitude dependent. Of the seven events with $M_w \geq 7$, six were from interferograms with mean epicentral correlation less than 0.4, and of those, five were detected. (The lone undetected $M_w \geq 7$ event, the 2016 Norsup, Vanuatu earthquake, described in Section 4.4 above, had the longest times-

pan – 1 year – and likely was subject to severe temporal decorrelation.) In comparison, of the events in the range M_w 5.5-6.0, none of the six interferograms with mean epicentral correlation of 0.4 or lower resulted in a successful event detection, five being nondetections, and one ambiguous. The events of intermediate size, with M_w 6–7 and mean epicentral correlation < 0.4 , were split between non-detections (five events) and detections/ambiguous interferograms (three events).

We suggest several reasons for these differences in event detectability at different magnitudes and correlation levels: First, larger earthquakes produce deformation with a larger spatial extent – i.e. displacement that is manifest over a larger area – than smaller events; coherent deformation signals over large areas are easier to identify than those that those over small areas, and are less likely to be obscured or hidden by areas of very high decorrelation. Second, larger earthquakes are more likely to rupture the surface, and thus cause localised decorrelation. Larger events are also more likely to cause surface damage and secondary changes to the surface that can also increase the amount of decorrelation in an area; indeed decorrelation in coseismic interferograms can be used as a proxy for building damage in urban areas (e.g. Fielding et al., 2005; Yun et al., 2015). Finally, even in cases where there is no surface rupture, such as in the cases of the M_w 7.8 Gorkha and Pedernales earthquakes, the large displacements of the ground that can be produced by large earthquakes can themselves be responsible for decorrelation. If surface displacements are a significant fraction of the pixel size, they can cause localized differences in illumination, and therefore changes in the reflectivity spectrum, and decorrelation. Thus the low correlations seen for the larger detected earthquakes, may reflect a trade-off between potential increases in the areal coverage of detectable signal and the potential decorrelation caused by the surface deformation, damage and secondary effects that those large earthquakes can cause.

In terms of the factors controlling interferometric correlation in our data set, we find that the highest mean epicentral correlations (0.5 and higher) were from interferograms with short timespans (24 days or less), and short perpendicular baselines (50 m or less), as might be expected. However, these ‘optimal’ combinations of short timespans and baselines do not predict high interferometric correlation, or indeed successful detections, alone. Out of the 16 earthquakes where such short timespan, short perpendicular baseline interferograms could be produced, only nine

had mean epicentral correlations of 0.4 or greater, resulting in eight detections. The remaining seven events with mean epicentral correlations of less than 0.4 included four nondetections. It is apparent, therefore, that additional factors besides baseline and timespan can affect correlation and earthquake detectability.

One factor to consider is latitude, which we propose is a proxy for vegetation density. Of the six undetected events with optimal baselines and timespans, five occurred within the latitude band between 15°N and 15°S. This latitude band includes much of the intertropical convergence zone (ICTZ), a feature of the atmospheric Hadley circulation marked by a global belt of clouds and a corresponding time-mean peak in latitudinal precipitation (e.g. Philander et al., 1995). These climate conditions are correlative with a belt of dense tropical vegetation on the ground in Central America, northern South America, sub-Saharan Africa and Southeast Asia. Considering all of the 11 events that occurred in this latitude range, none of the interferograms had mean epicentral correlation greater than 0.38, seven events were not detected, and two produced ambiguous interferograms. If, as we suspect, the tropical vegetation associated with the ICTZ is the cause of low interferometric correlation and poor earthquake detectability, it may be necessary to modify the Sentinel-1 mission strategy to acquire data in these regions with the shortest possible recurrence interval, i.e. every six days, in order to mitigate the temporal decorrelation and volume scattering effects of such vegetation.

5.2 The role of atmospheric noise in earthquake detectability

So far we have not dwelled on the implications of atmospheric noise in our search for earthquake detections. In our classification, earthquakes for which we produce interferograms that are sufficiently well-correlated but affected by atmospheric noise are categorised as ‘ambiguous’. Typically, these are interferograms in which there are multiple features that have the approximate dimensions (e.g. between 5 km and 20 km) and amplitudes (e.g. between 1 and 3 fringes) that might be expected for the deformation signal of an earthquake. The source of these features is typically water vapour in the troposphere, specifically the turbulent portion of the troposphere. Unlike the ‘static’ portion of the troposphere, related to the capacity of the air in the troposphere

to sustain water vapour, that can be estimated from meteorological data (i.e. surface temperature, pressure and relative humidity) or weather models, and is modulated by the topography, it is not currently possible to estimate the turbulent troposphere component without additional information. For example, if there is a network of continuous GPS stations within a SAR scene, it is possible to use zenith delays estimated at each station at each SAR acquisition time during GPS processing to estimate a line-of-sight correction for interferograms (e.g. Li et al., 2006; Houlié et al., 2016). Alternatively, several studies have demonstrated the value of time-series processing for the identification and removal of spatially-correlated atmospheric noise within coseismic interferograms, most notably in the recent case of the 2017 Pawnee, Oklahoma earthquake in the central United States (e.g. Grandin et al., 2017; Fielding et al., 2017), where it is not possible to identify the earthquake deformation otherwise. (We classify the interferogram for the Pawnee event, plotted in Figure S25, as ambiguous, being dominated by a nine-fringe atmospheric gradient that overwhelms any earthquake signal present.)

Overall, of the six earthquakes whose interferograms we classify as ambiguous, five of the events are overprinted and/or obscured by atmospheric noise. It is possible that with additional information or analysis, that some of these earthquakes could become detected events with Sentinel-1 data; however with a single interferogram this is not, in our opinion, possible.

Finally, we consider whether the significant levels of atmospheric noise seen in some cases (e.g. Figures S2, S15, S19) can be attributed to the design of the Sentinel-1 mission. Qualitatively, compared with past C-band missions such as ERS and Envisat, we identify higher levels of spatially correlated noise in these interferograms, in particular signals that mimic the topography. We suspect that this can be attributed to two factors. First, the Sentinel-1 IW mode spans a shallower range of radar incidences – between 30° and 45° – compared with the 17° to 27° range used by earlier missions (ERS and the default IM2 mode of Envisat). As a consequence, we would expect the two-way path of the radar through the troposphere to be longer for the Sentinel-1 satellites in IW mode, and thus they will be more strongly affected by path delays. Second, the shorter average timespans between acquisitions and tighter orbital control of the Sentinel-1 mission, coupled with its shallower incidence in IW mode, lead to improved correlation in areas of steep topography

compared with earlier missions. These are the areas in which differences in static troposphere delay will be most accentuated in interferograms – in other words, this could be considered a measure of the success of the mission at improving the overall coherence of interferograms! In the case of the smaller earthquakes that can be obscured by this tropospheric noise, however, we should still recognise the need to develop methods to mitigate such noise in near-real time if Sentinel-1 data are to be used operationally to monitor earthquakes.

5.3 The magnitude of completeness for shallow earthquakes studied with Sentinel-1

Our efforts to examine earthquake detectability with Sentinel-1 IW data, perhaps unsurprisingly have shown that proportionately more ‘large’ ($M_w \geq 7.0$) and ‘moderate’ ($6.0 \leq M_w < 7.0$) earthquakes were detected than small events ($5.5 \leq M_w < 6.0$). With this in mind, we attempt to estimate here a ‘magnitude of completeness’ – a quantity estimated for instrumental seismic catalogues that describes the smallest event size that is always captured in a given catalogue – for earthquakes studied using Sentinel-1 IW data, a guide as to whether an event of a given size in a given hypocentral depth range would be expected to be detectable in any conditions. We retain our hypocentral depth range bounds for each magnitude range, in order to restrict the consideration to events that ought to be detectable – i.e. those that could produce a detectable deformation signal.

At present, the largest earthquake that we have not detected is the $M_w 7.0$ Norsup, Vanuatu event, which produced a deformation signal that was detectable using ALOS-2 (Section 4.4). However, the Sentinel-1 interferogram for the Norsup event had the longest time span – 1 year – of any interferogram in our study, so it is perhaps not a safe event from which to draw generalisations. (It is also by no means certain, of course, that the current, shorter, 12-day time span would have resulted in detection, given the level of decorrelation shown in our tests; Figure S36.) We might also consider the $M_w 6.2$ Kurayoshi, Japan earthquake as a relevant event for our estimate. While the event was successfully detected in the ascending track interferogram (Figure 4b), it was not detected in the descending track interferogram (Figure 4a), which had the earlier of the two post-event acquisitions, due to decorrelation. In the case of Kurayoshi, the inability to detect with one

24-day interferogram an event that was detectable in another 24-day interferogram, might suggest an event that is close to the detection threshold.

We suggest that the Norsup and Kurayoshi events could be considered upper ($M_w 7.0$) and lower ($M_w 6.2$) bounds on the magnitude of completeness for global earthquakes studied using Sentinel-1 data. We anticipate that over the coming years of the Sentinel-1 mission, more systematic studies of earthquake detectability will help to reduce the range of estimates. In order to lower this magnitude to $M_w 6.0$ or below, given the discussion on detectability above, we suggest that more frequent acquisitions will likely be necessary in low latitude areas, to combat the effects of decorrelation in Sentinel-1 IW interferograms.

ACKNOWLEDGMENTS

Sentinel-1 InSAR data are copyrighted by the European Space Agency, and are additionally distributed by the Alaska Satellite Facility. ALOS-2 InSAR data are copyrighted by the Japanese Aerospace Exploration Agency, and were made available under RA-4 project 1356. Ruth Amey is thanked for useful discussions about the Kurayoshi earthquake. We use the GMT software (Wessel et al., 2013) to produce our figures.

Table 1. Details of Sentinel-1 Interferometric Wide-Swath coseismic interferograms processed in this study.

Event date	Location	Country	M_w^a	Long. ^a	Lat. ^a	Depth ^a	Track	Geom. ^b	Swath	Date 1	Date 2	Δt^c	B_{\perp}^d	Av. corr. ^e	Detected?
2015/04/25	Gorkha	Nepal	7.8	84.731	28.230	8.2	019	dsc	1-3	2015/04/17	2015/04/29	12	-39	0.35	yes
2015/05/04	Wanaka	New Zealand	5.6	168.883	-44.523	10.0	096	asc	3	2015/04/10	2015/05/04	24	230	0.41	ambig.
2015/05/12	Kodari	Nepal	7.3	86.066	27.809	15.0	085	asc	2-3	2015/05/03	2015/05/15	12	-89	0.37	yes
2015/06/04	Ranau	Malaysia	6.0	116.541	5.987	10.0	105	dsc	3	2015/05/16	2015/07/27	72	-44	0.35	no
2015/07/03	Pishan	China	6.4	78.154	37.459	20.0	129	asc	2-3	2015/06/11	2015/07/05	24	-39	0.52	yes
2015/08/07	Lake Kivu	D. R. Congo	5.5	28.951	-2.091	10.0	174	asc	1	2015/08/01	2015/08/25	24	25	0.34	ambig.
2015/10/23	Abepura	Indonesia	5.8	138.267	-2.166	8.0	155	asc	1-2	2015/10/11	2015/11/04	24	19	0.32	no
2015/11/04	Alor	Indonesia	6.5	124.875	-8.338	20.0	039	asc	3	2015/10/15	2015/12/02	48	17	0.37	yes
2015/11/17	Lefkada	Greece	6.5	20.600	38.670	11.0	175	asc	1	2015/11/05	2015/11/17	12	28	0.60	yes
2015/11/29	Yuto	Argentina	5.5	-64.586	-23.478	10.0	003	asc	1	2015/10/12	2015/12/23	72	-43	0.33	no
2015/12/07	Murghob	Tajikistan	7.2	72.780	38.211	22.0	005	dsc	1-2	2015/11/18	2015/12/12	24	-139	0.38	yes
2015/12/20	Tarakan	Indonesia	6.1	117.636	3.646	14.6	105	dsc	1	2015/12/18	2016/01/11	24	-40	0.33	no
2016/01/20	Hongtu	China	5.9	101.641	37.671	9.0	128	asc	2	2016/01/13	2016/02/06	24	-17	0.57	yes
2016/03/18	Vanj	Tajikistan	5.6	72.411	38.023	10.0	100	asc	1	2016/03/11	2016/04/04	24	48	0.35	no
2016/03/21	Khuzdar	Pakistan	5.6	66.096	27.768	10.0	042	dsc	1-2	2016/03/03	2016/03/27	24	-54	0.64	ambig.
2016/04/15	Kumamoto	Japan	7.0	130.754	32.791	10.0	156	asc	1-2	2016/04/08	2016/04/20	12	-66	0.37	yes
2016/04/16	Pedernales	Ecuador	7.8	-79.922	0.382	20.6	040	dsc	1-3	2016/04/12	2016/04/24	12	21	0.34	yes
2016/04/28	Norsup	Vanuatu	7.0	167.379	-16.043	24.0	154	asc	3	2015/10/23	2016/10/23	366	23	0.35	no
2016/05/13	Qila Abdullah	Pakistan	5.5	66.388	30.659	10.0	151	dsc	2-3	2016/04/20	2016/05/14	24	49	0.54	ambig.
2016/05/18	Muisne	Ecuador	6.7	-79.790	0.426	16.0	040	dsc	1-2	2016/05/06	2016/05/18	12	77	0.34	ambig.
2016/05/20	Petermann Ranges	Australia	6.0	129.884	-25.566	10.0	075	dsc	2-3	2015/10/17	2016/06/01	228	57	0.35	yes
2016/06/10	Puerto Morazan	Nicaragua	6.1	-86.963	12.832	10.0	055	dsc	1-3	2016/05/19	2016/06/12	24	-45	0.33	no
2016/06/26	Sary-Tash	Kyrgyzstan	6.4	73.339	39.479	13.6	100	asc	3	2016/06/15	2016/07/09	24	13	0.53	yes
2016/08/24	Amatrice	Italy	6.2	13.188	42.723	4.4	117	asc	3	2016/08/21	2016/08/27	6	28	0.49	yes
2016/09/03	Pawnee	USA	5.8	-96.929	36.425	5.6	034	asc	2	2016/09/03	2016/09/09	6	149	0.42	ambig.
2016/09/14	Mutata	Colombia	6.0	-76.169	7.374	18.0	142	dsc	1-3	2016/08/29	2016/09/22	24	-86	0.34	no
2016/09/15	La Paz Centro	Nicaragua	5.7	-86.642	12.440	10.0	055	dsc	1-2	2016/08/23	2016/09/16	24	50	0.32	no
2016/09/22	Machaze	Mozambique	5.6	33.411	-21.552	10.0	174	asc	1	2016/09/12	2016/09/24	12	50	0.49	yes
2016/09/28	Nagarote	Nicaragua	5.5	-86.515	12.442	7.6	055	dsc	1-2	2016/09/16	2016/10/04	18	52	0.32	no
2016/10/21	Kurayoshi	Japan	6.2	133.809	35.374	5.6	090	dsc	1-2	2016/09/30	2016/10/24	24	86	0.34	no
2016/10/26	Visso	Italy	6.1	13.067	42.956	10.0	044	asc	1	2016/10/21	2016/10/27	6	-72	0.41	yes
2016/10/30	Norcia	Italy	6.6	13.096	42.862	8.0	044	asc	1-2	2016/10/27	2016/11/02	6	-18	0.45	yes
2016/11/13	Kaikoura	New Zealand	7.8	173.054	-42.737	22.0	052	asc	1-3	2016/11/03	2016/11/15	12	10	0.44	yes
2016/11/25	Akto	China	6.6	73.978	39.273	17.0	027	asc	1-2	2016/11/13	2016/12/07	24	99	0.42	yes
2016/12/01	Huarichancara	Peru	6.3	-70.827	-15.312	10.0	149	asc	1	2016/11/15	2016/12/09	24	18	0.54	yes

^aMagnitude and hypocentral location information taken from the USGS catalogue; depths in km. ^bInterferogram viewing geometry [asc: ascending; dsc: descending]. ^cInterferogram time span in days. ^dPerpendicular baseline (m). ^eMean epicentral correlation (see Section 5.1).

Table 2. Details of supplemental coseismic interferograms processed in this study.

Event date	Location	Mission	Track	Geom. ^a	Swath	Date 1	Date 2	Δt^b	B_{\perp}^c
2015/11/04	Alor	Sentinel-1	141	asc	1	2015/09/04	2016/12/27	450	-20
2015/11/04	Alor	ALOS-2	022	dsc	SM3	2015/03/04	2016/03/02	364	-20
2016/04/28	Norsup	ALOS-2	101	asc	WD1, 2–4	2016/02/20	2016/07/23	154	141
2016/05/18	Muisne	Sentinel-1	018	asc	1–3	2016/05/16	2016/05/28	12	9
2016/10/21	Kurayoshi	Sentinel-1	083	asc	2–3	2016/10/12	2016/11/05	24	30

^aInterferogram viewing geometry [asc: ascending; dsc: descending]. ^bInterferogram time span in days.

^cPerpendicular baseline (m).

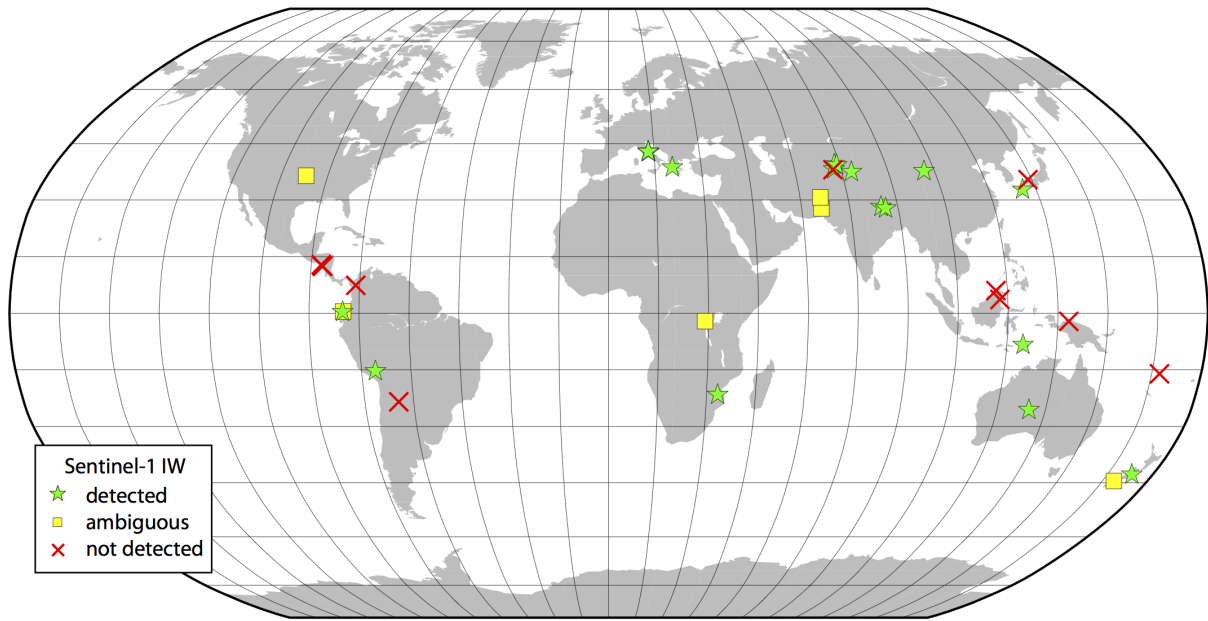


Figure 1. Map of the events for which Sentinel-1 interferograms were processed in this study. Green stars indicate that the event was detected from their interferograms; yellow squares represent ambiguous interferograms in which there is good interferometric correlation, but it is not clear which feature in the data is the earthquake; and red crosses indicate events that were not detected from their interferograms due to decorrelation.

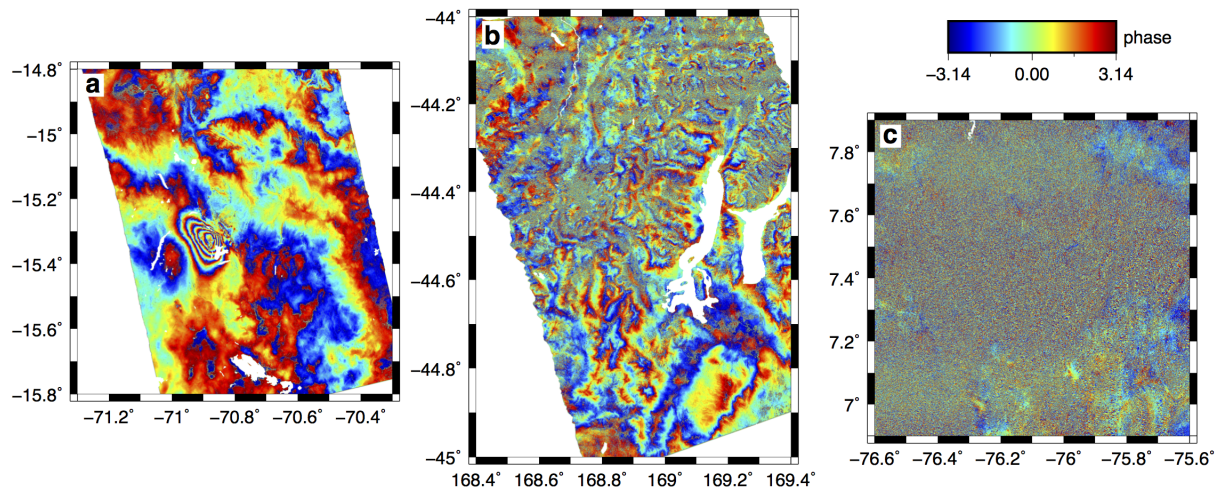


Figure 2. Examples of different categories of earthquake interferograms. (a) Interferogram showing a detected earthquake (Huarichancara, Peru, 1 December 2016, M_w 6.3). The clustered concentric fringes close to the centre of the image are consistent with the expected range increase for a normal-faulting earthquake. (b) Ambiguous interferogram – there is a reasonable level of interferometric correlation, but it is not clear whether any of the fringes represent an earthquake, rather than tropospheric noise (Wanaka, New Zealand, 4 May 2015, M_w 5.6). (c) Interferogram in which an earthquake was not detected, due to decorrelation (Mutata, Colombia, 14 September 2016, M_w 6.0). In each case, a $1^\circ \times 1^\circ$ area approximately centred on the USGS epicenter is shown. Details of the interferograms are given in Table 1.

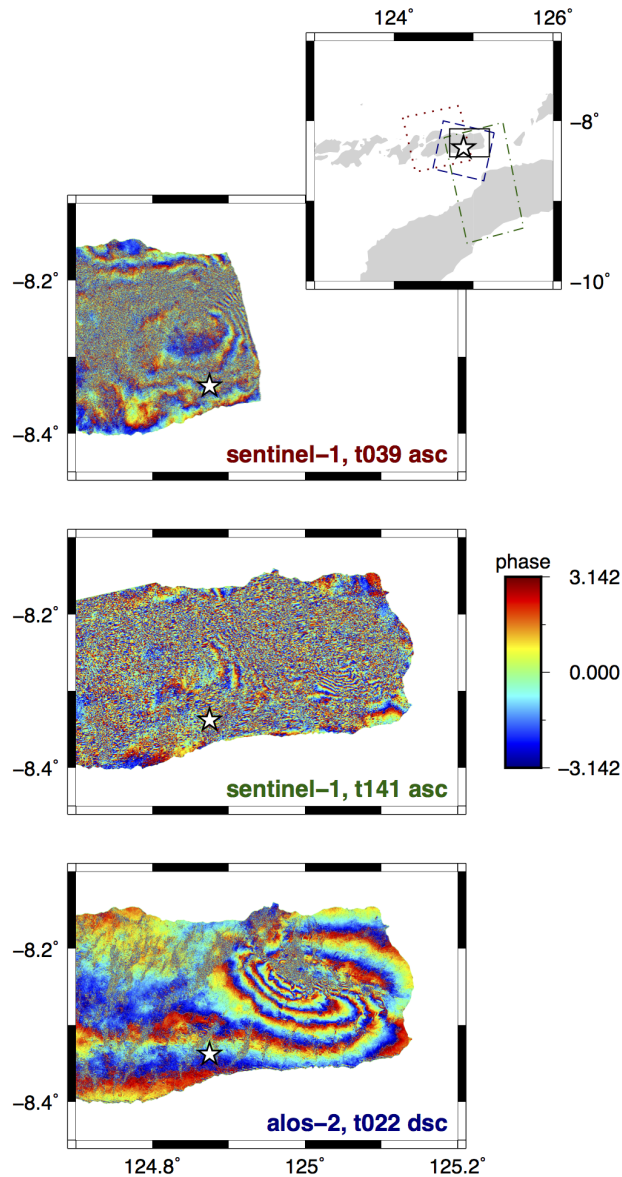


Figure 3. Interferograms of the 2015 Alor, Indonesia earthquake. *Top:* The shortest time-span Sentinel-1 interferogram (48 days), from ascending track 039. Concentric fringes located north of the USGS epicenter for this event (white star), as well as the high fringe gradient at the eastern edge of the interferogram are features of the coseismic deformation. *Middle:* Longer time-span Sentinel-1 interferogram (450 days) from the neighbouring ascending track (track 141). Some of the same features can be identified, although the amount of decorrelation is significantly worse. *Bottom:* ALOS-2 stripmap interferogram (track 022, descending) showing peak surface deformation at the location of the high fringe gradient in the top panel. The improved interferometric correlation in this interferogram reflects the longer, L-band, radar wavelength of the ALOS-2 satellite. Inset shows locations of the different interferogram frames. [Red dots: Sentinel-1 track 039; green dot-dashes: Sentinel-1 track 141; blue dashes: ALOS-2 track 022.]

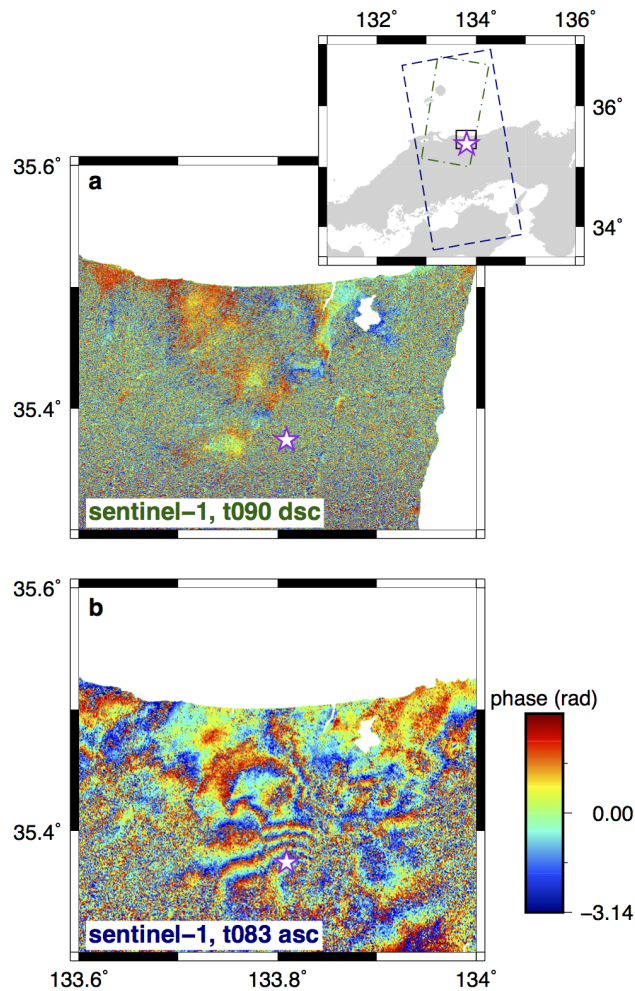


Figure 4. Comparison of two Sentinel-1 interferograms spanning the 2016 Kurayoshi, Japan earthquake, in the vicinity of the USGS epicenter (white star). (a) The first post-event interferogram (24 day time span, post-event acquisition date 2016/10/24), from descending track 090. This is a non-detection; there is insufficient detail in the correlated areas of the interferogram to identify an earthquake. (b) A later interferogram (24 day time span, post-event acquisition date 2016/11/05), from ascending track 083. This interferogram has sufficiently high correlation that deformation fringes from the earthquake can be identified. Inset shows locations of the different interferogram frames. [Green dot-dashes: Sentinel-1 track 090; blue dashes: Sentinel-1 track 083.]

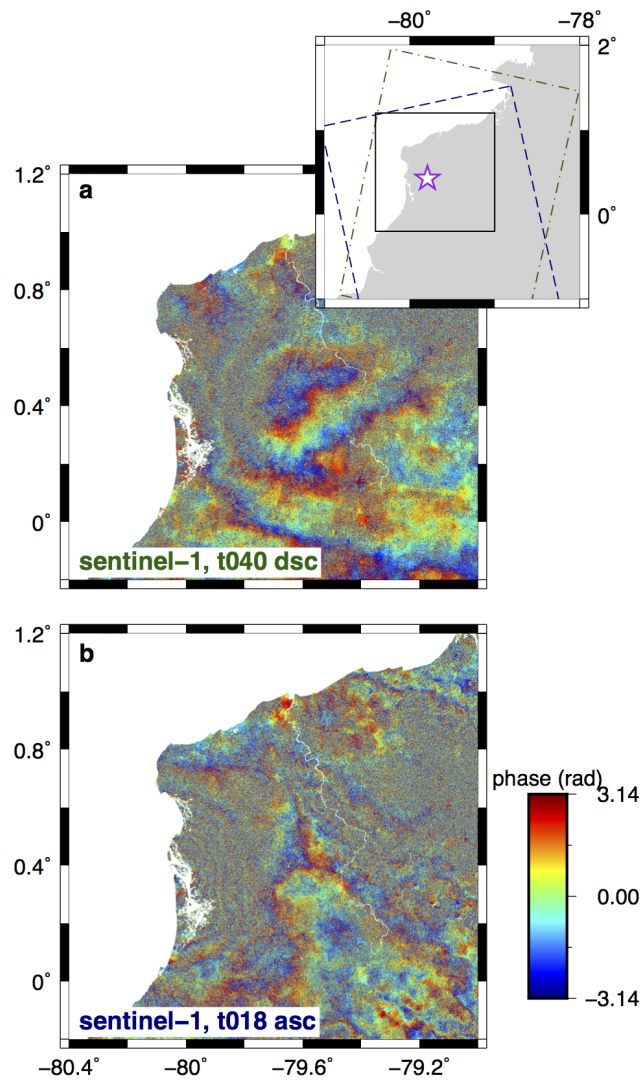


Figure 5. Sentinel-1 interferograms spanning the 2016 Muisne, Ecuador earthquake. (a) The first post-event interferogram (12 day time span, post-event acquisition date 2016/05/18), from descending track 040. This is an ambiguous interferogram, although correlation is low, there are fringes present, although it is not clear that they represent an earthquake. (b) A later interferogram (24 day time span, post-event acquisition date 2016/05/28), from ascending track 018. In this case, despite the low level of correlation, ~ 6 concentric fringes can be identified close to the shore. Inset shows location of interferogram frames. [Green dot-dashes: track 040; blue dashes: track 018; white star: USGS epicenter.]

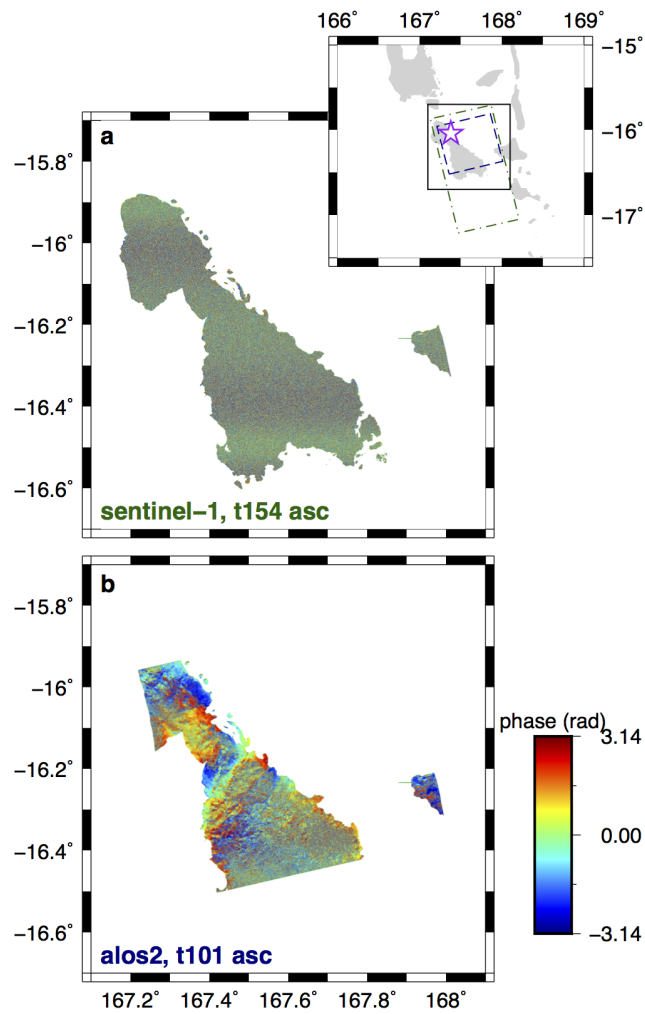


Figure 6. Interferograms spanning the 2016 Norsup earthquake. (a) Sentinel-1 interferogram (366 day time span), from ascending track 154. The interferogram is almost completely decorrelated, and the earthquake is not detected. (b) ALOS-2 interferogram (42 day time span), from track 018. There is a sufficient level of correlation in the interferogram to identify ~ 1.5 concentric fringes (~ 18 cm of range decrease), located close to the USGS epicenter (white star in inset), that could plausibly be the earthquake signal. Inset shows location of interferogram frames. [Green dot-dashes: Sentinel-1; blue dashes: ALOS-2.]

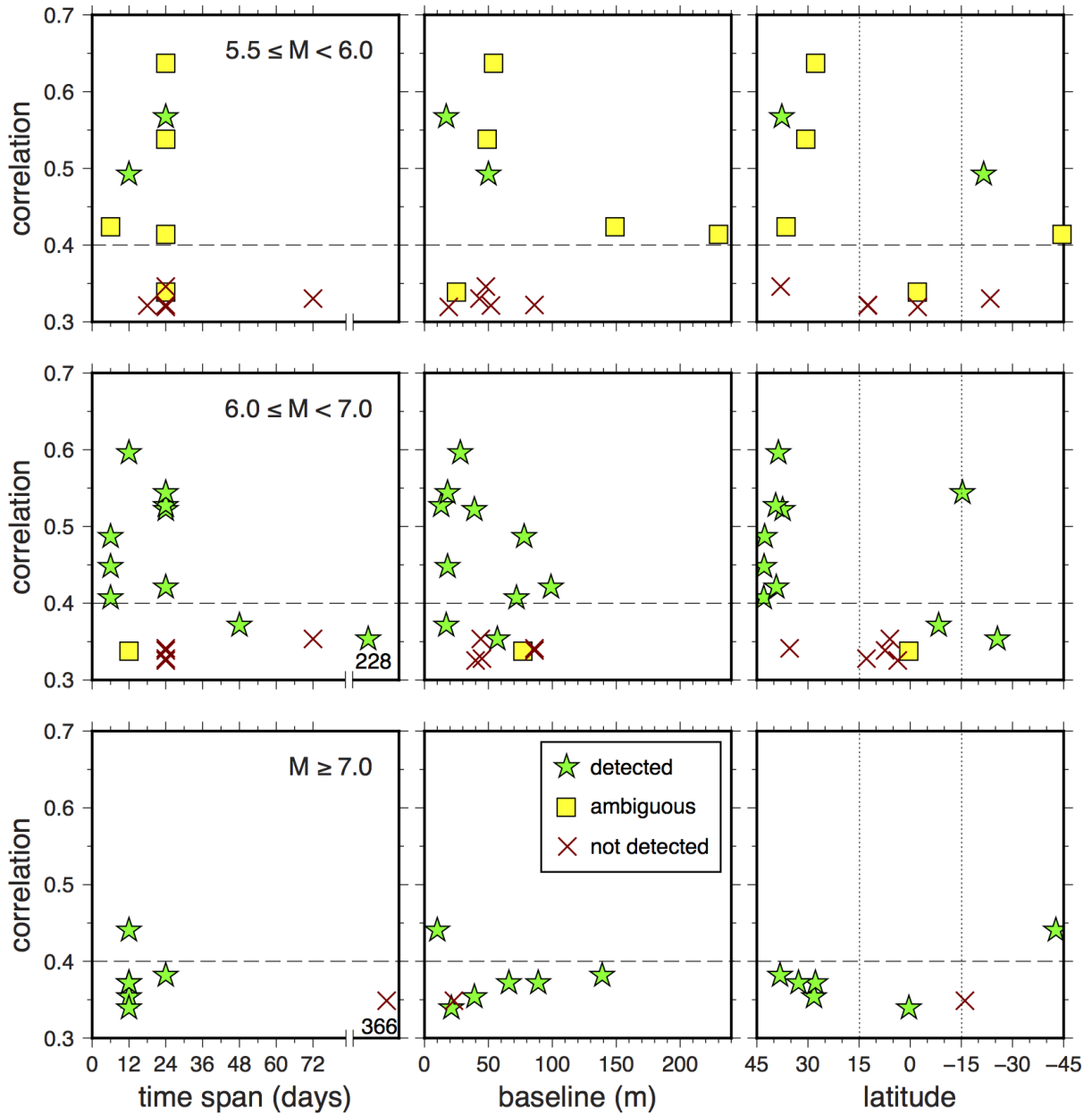


Figure 7. Exploration of factors affecting correlation and earthquake detectability in the 35 Sentinel-1 coseismic interferograms considered in this study. We divide the events into three categories by moment: $5.5 \leq M < 6.0$ (top row), $6.0 \leq M < 7.0$ (middle row), $M \geq 7.0$ (bottom row), and consider the relationship between mean epicentral correlation and interferogram time span (left column), perpendicular baseline (central column) and latitude (right column). The interferograms with the highest correlations (0.5 and higher) tend to have time spans of 24 days or less and baselines of 50 m or less. The interferograms with the lowest correlation (0.35 or less) are mostly at low latitudes (between -15° and 15°), regardless of baseline or time span. Detectability strongly depends on magnitude, with a majority of $M \geq 6.0$ events being detected, and on latitude, with most high latitude events (greater than 15° from the equator) being detected. All non-detected events have correlation less than 0.4 (a majority 0.35 or less), but most $M \geq 7.0$ events are still detectable in those conditions. [Green stars: detected events; yellow squares: events with ambiguous interferograms; red crosses: non-detected events.]

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A systematic study of earthquake detectability using Sentinel-1 Interferometric Wide-Swath data: supplementary materials

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Contents:

Figures S1–S35: Coseismic interferograms used in this study

Figure S36: Example 12-day interferograms of Melampa Island, Vanuatu

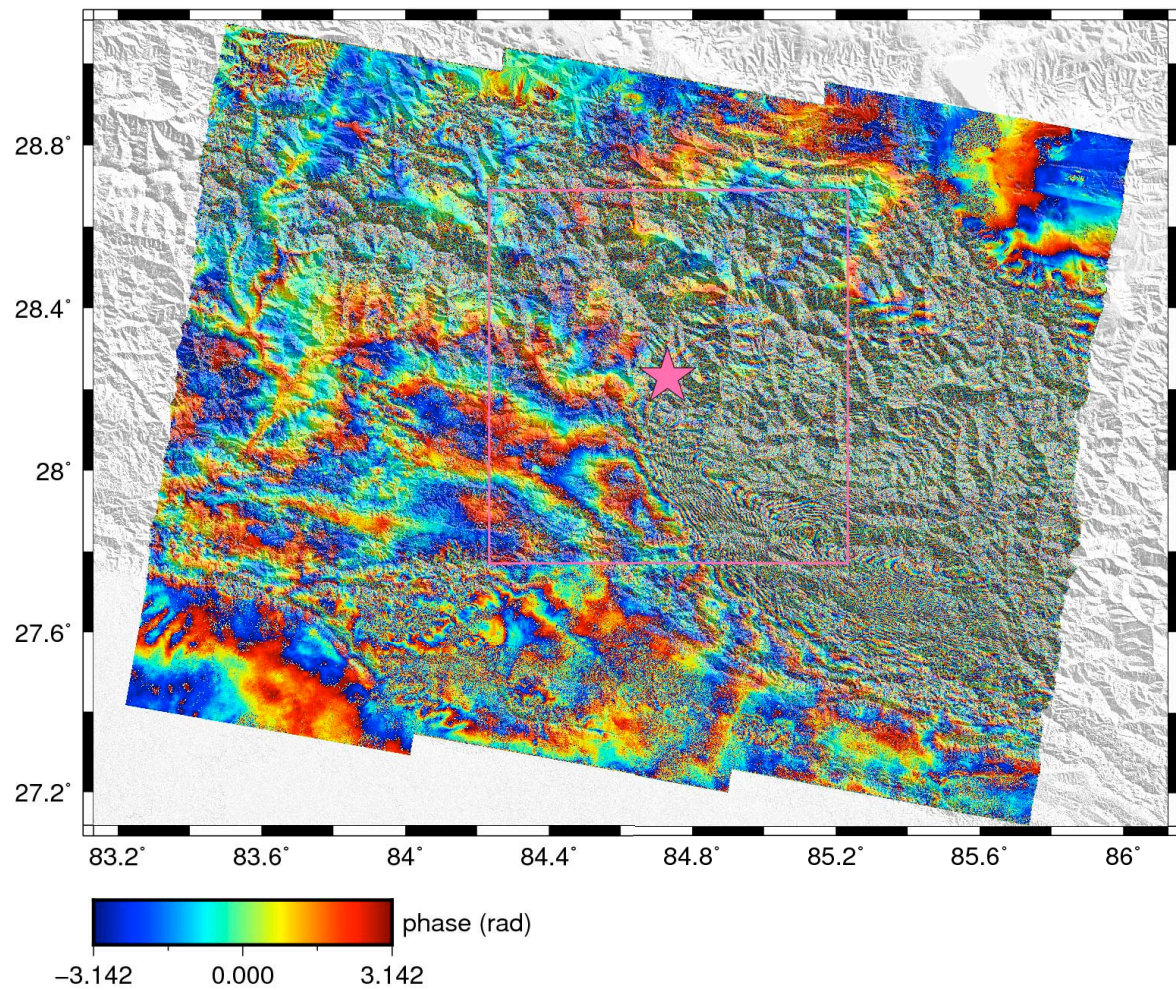


Figure S1. Coseismic interferogram for the 25 April 2015 Gorkha, Nepal earthquake. This is classified as a detected event; the eastern half of the interferogram shows concentric deformation fringes. Pink star indicates USGS epicentre for the event, pink box indicates area used to calculate average interferometric correlation. Interferogram is overlaid upon a 30 m resolution SRTM DEM (Farr et al., 2007). Details of the interferogram are given in Table 1.

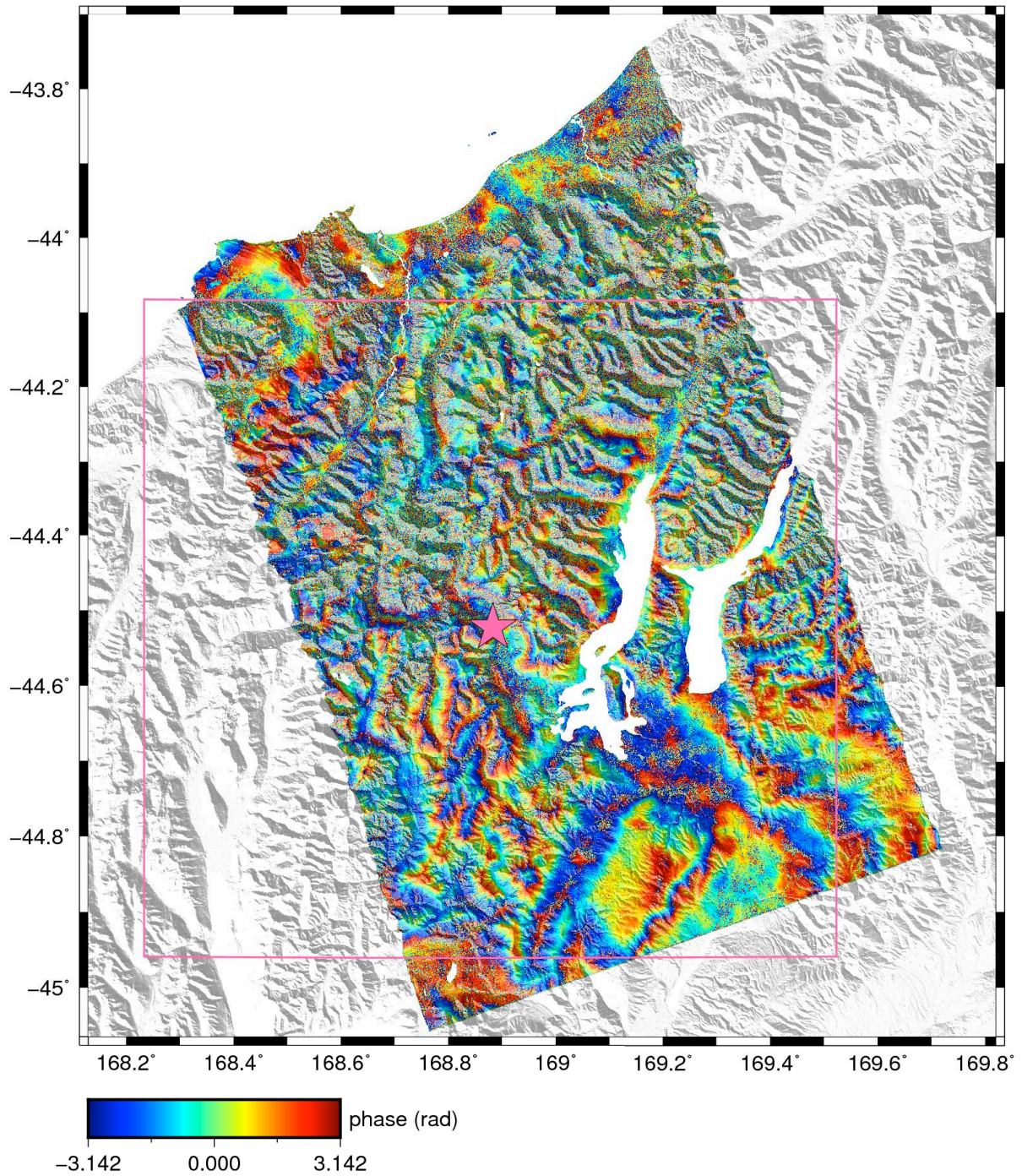


Figure S2. Coseismic interferogram for the 4 May 2015 Wanaka, New Zealand earthquake. This is classified as ambiguous; while there is a good level of interferometric correlation, it is not clear which features are earthquake deformation and which are atmospheric noise. Other details as for Figure S1.

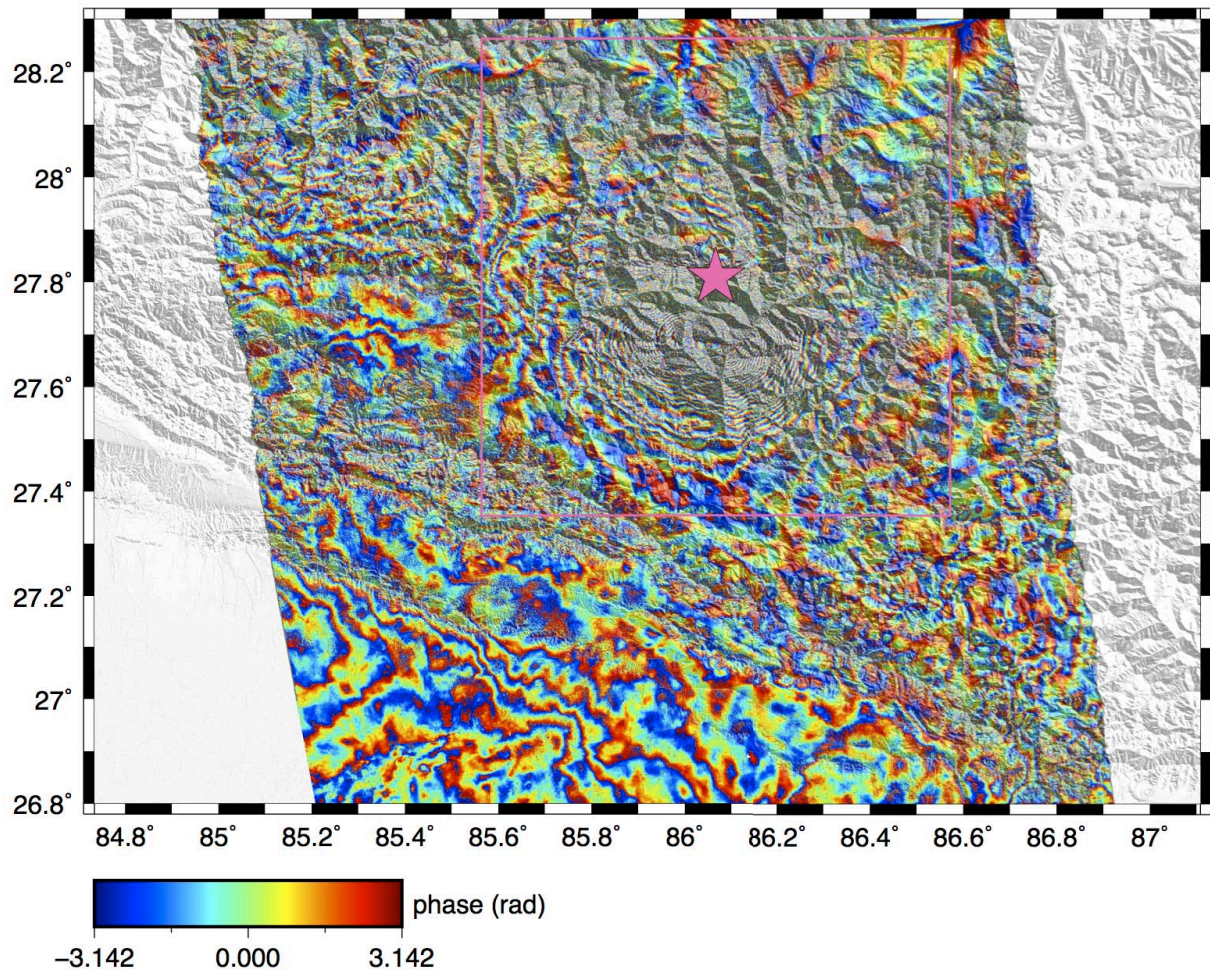


Figure S3. Coseismic interferogram for the 12 May 2015 Kodari, Nepal earthquake. This is classified as a detected event; concentric deformation fringes can be seen in the centre of the interferogram. Other details as for Figure S1.

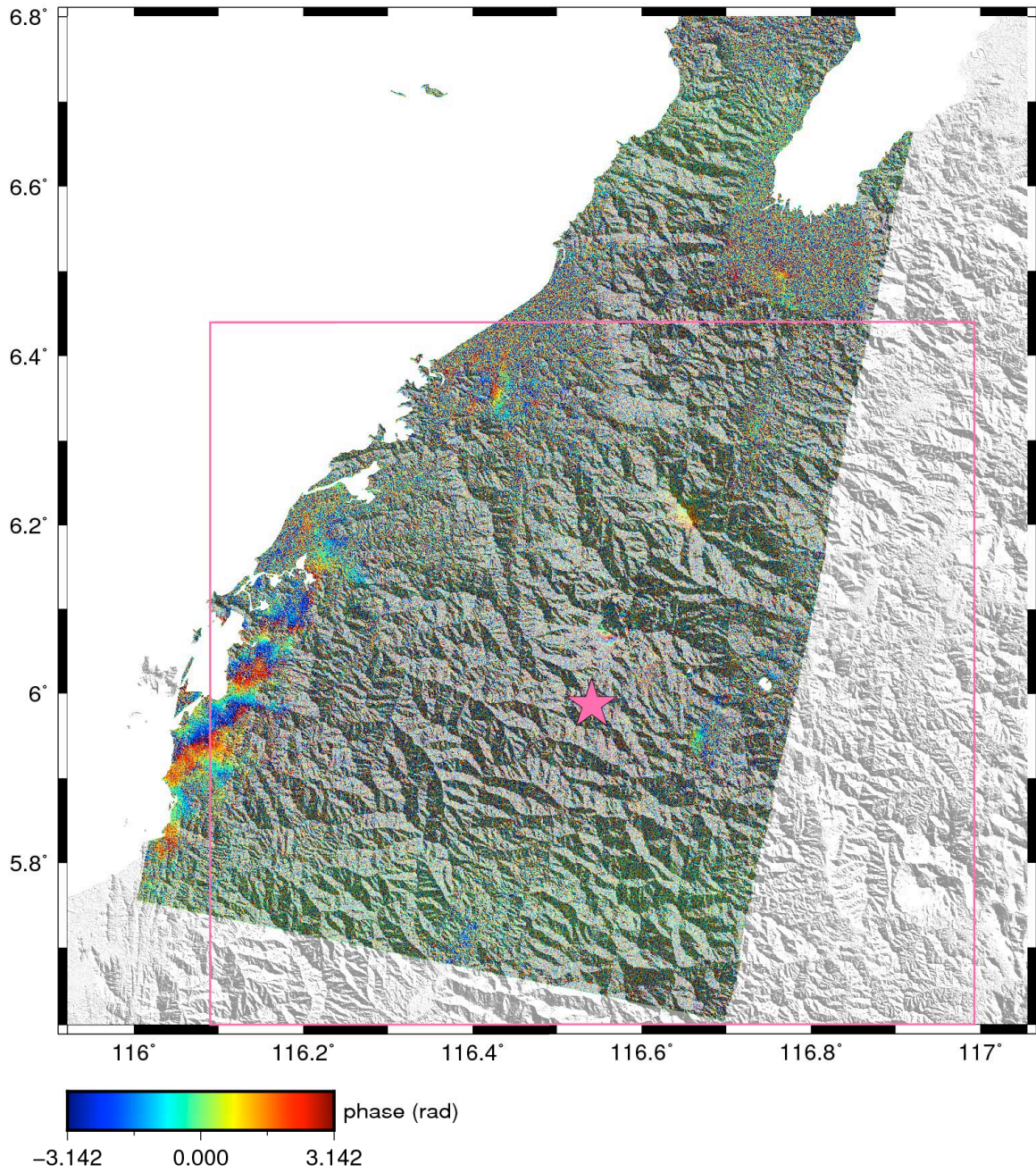


Figure S4. Coseismic interferogram for the 4 June 2015 Ranau, Malaysia earthquake. This event is classified as not detected; decorrelation obscures any earthquake features. Other details as for Figure S1.

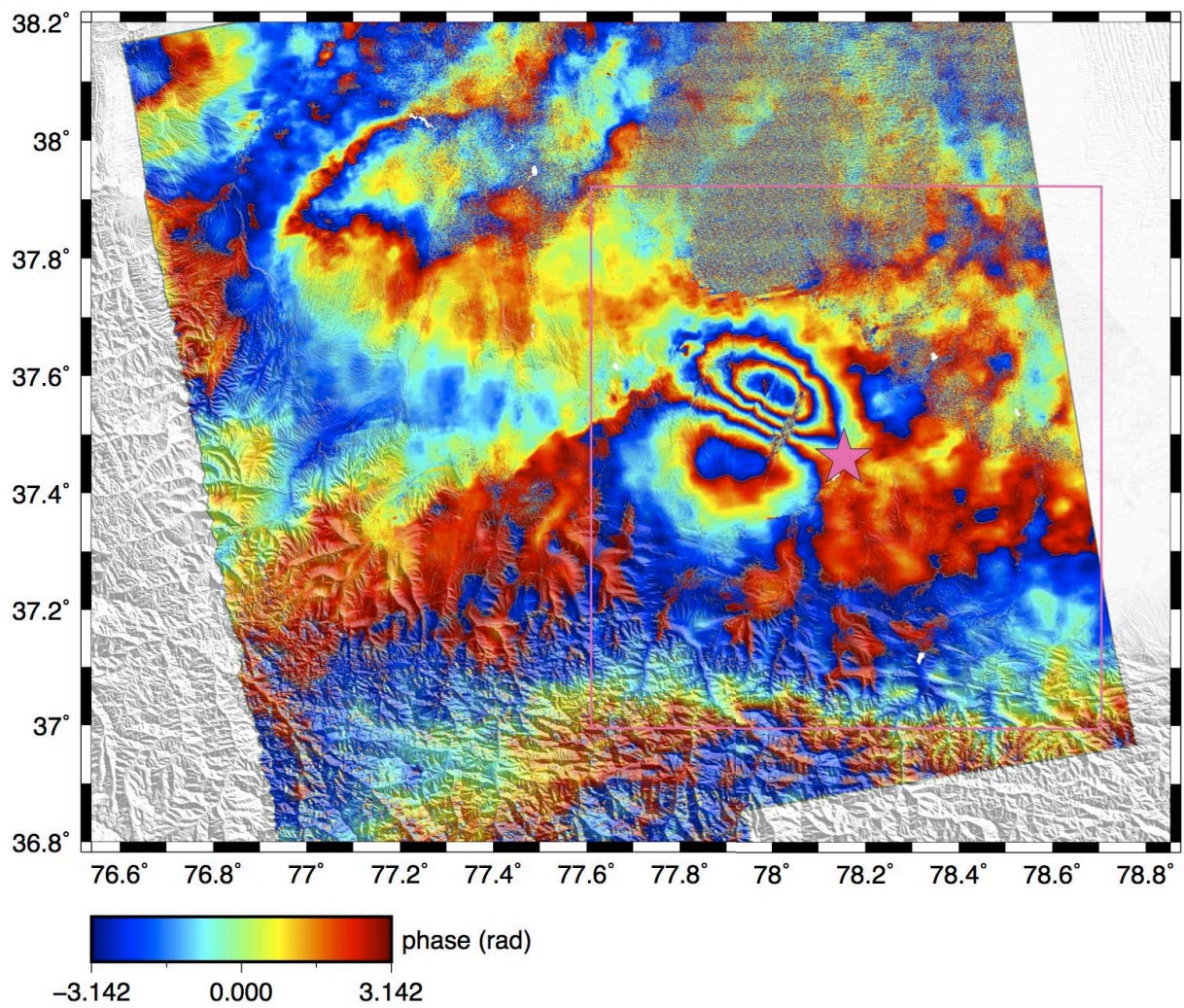


Figure S5. Coseismic interferogram for the 3 July 2015 Pishan, China earthquake. This event is classified as detected; concentric deformation fringes can be seen in the west of the interferogram. Other details as for Figure S1.

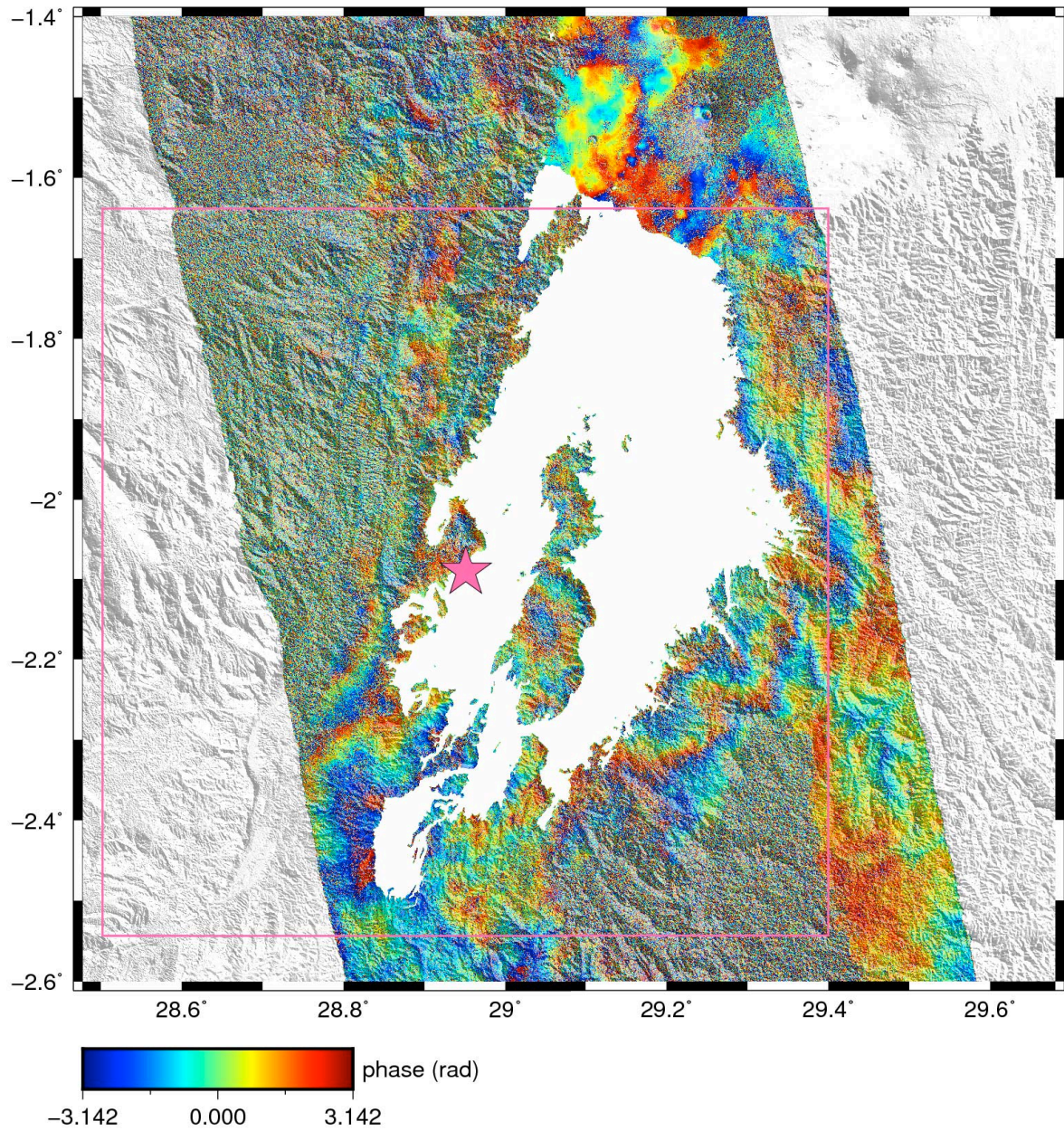


Figure S6. Coseismic interferogram for the 7 August 2015 Lake Kivu, Democratic Republic of Congo earthquake. This is classified as ambiguous; fringes can be identified on the shores of the lake, but it is not clear if any of them represent the earthquake. Other details as for Figure S1.

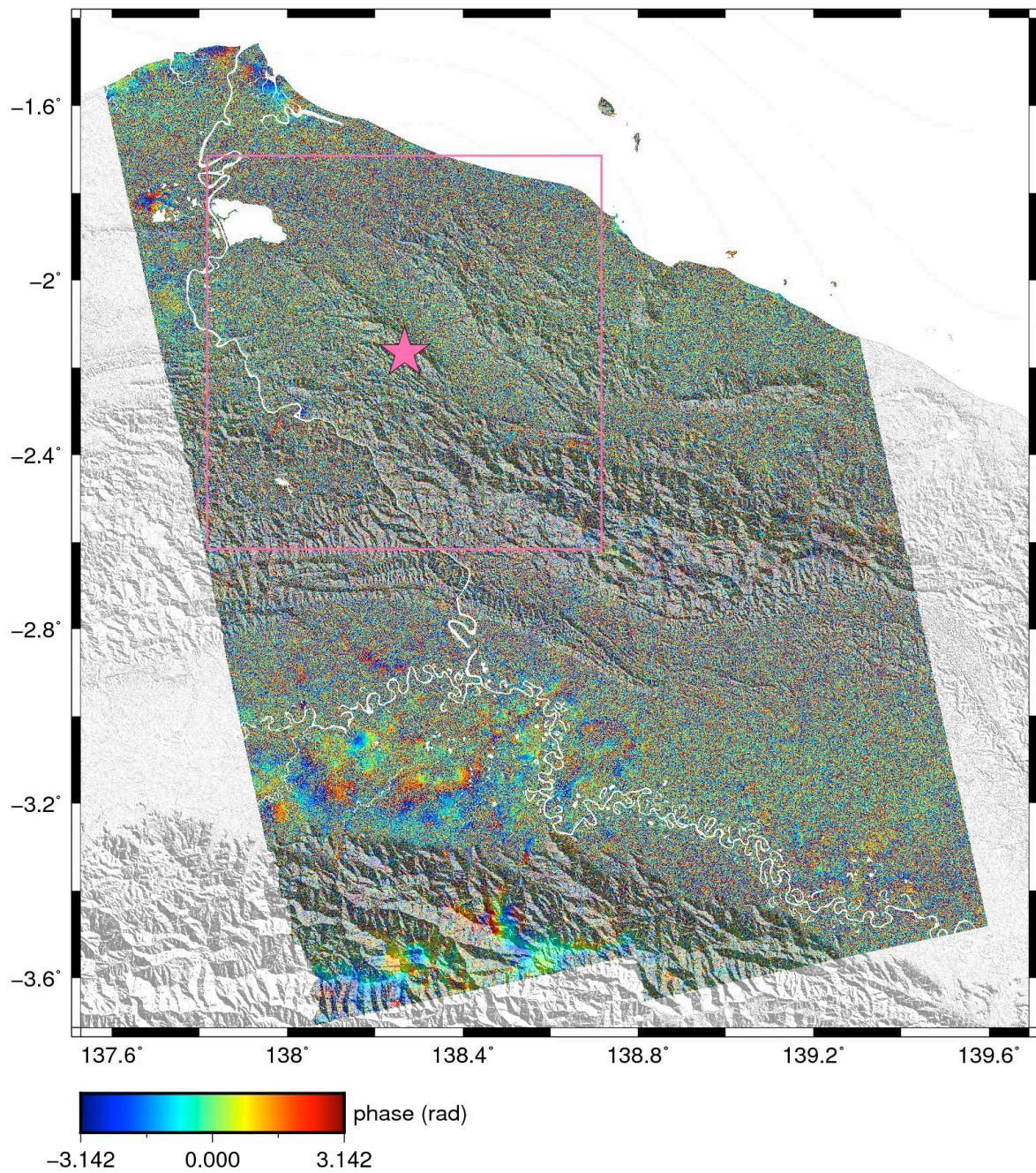


Figure S7. Coseismic interferogram for the 23 October 2015 Abepura, Indonesia earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

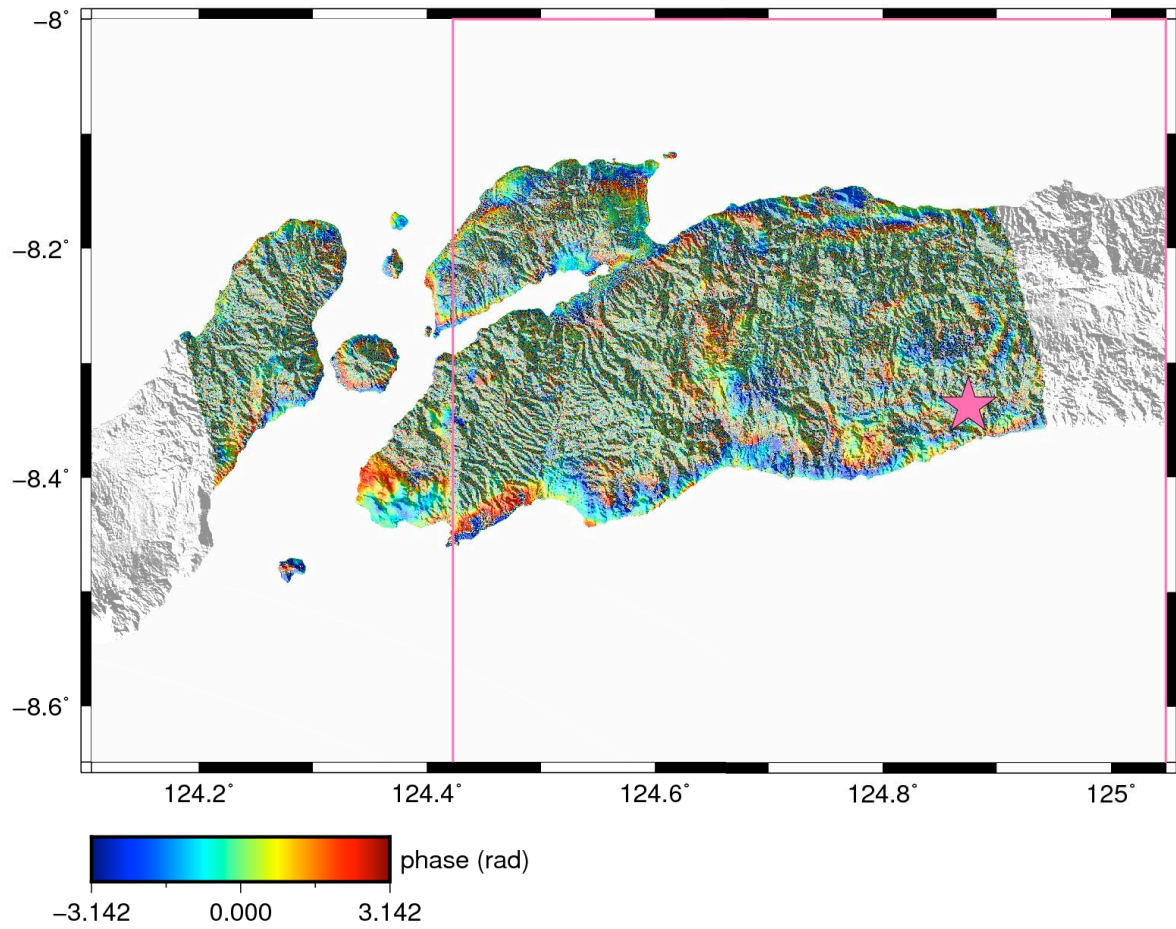


Figure S8. Coseismic interferogram for the 4 November 2015 Alor, Indonesia earthquake. This is classified as a detected event; the eastern portion of the interferogram contains fringes consistent with an earthquake (see section 4.1 for more details). Other details as for Figure S1.

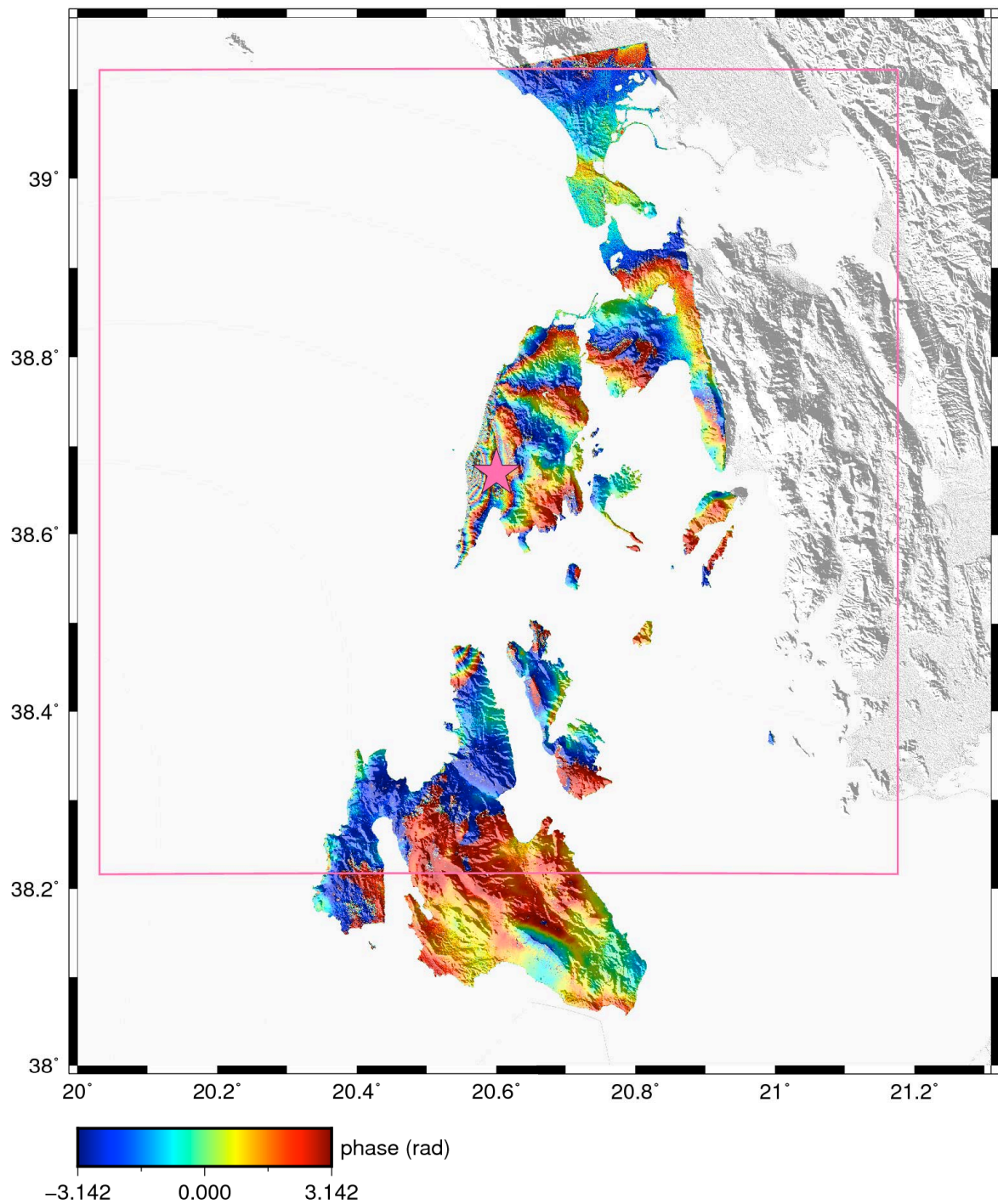


Figure S9. Coseismic interferogram for the 17 November 2015 Lefkada, Greece earthquake. This is classified as a detected event; despite the land masses being a series of discontinuous islands, coherent, continuous fringes can be identified between them. Other details as for Figure S1.

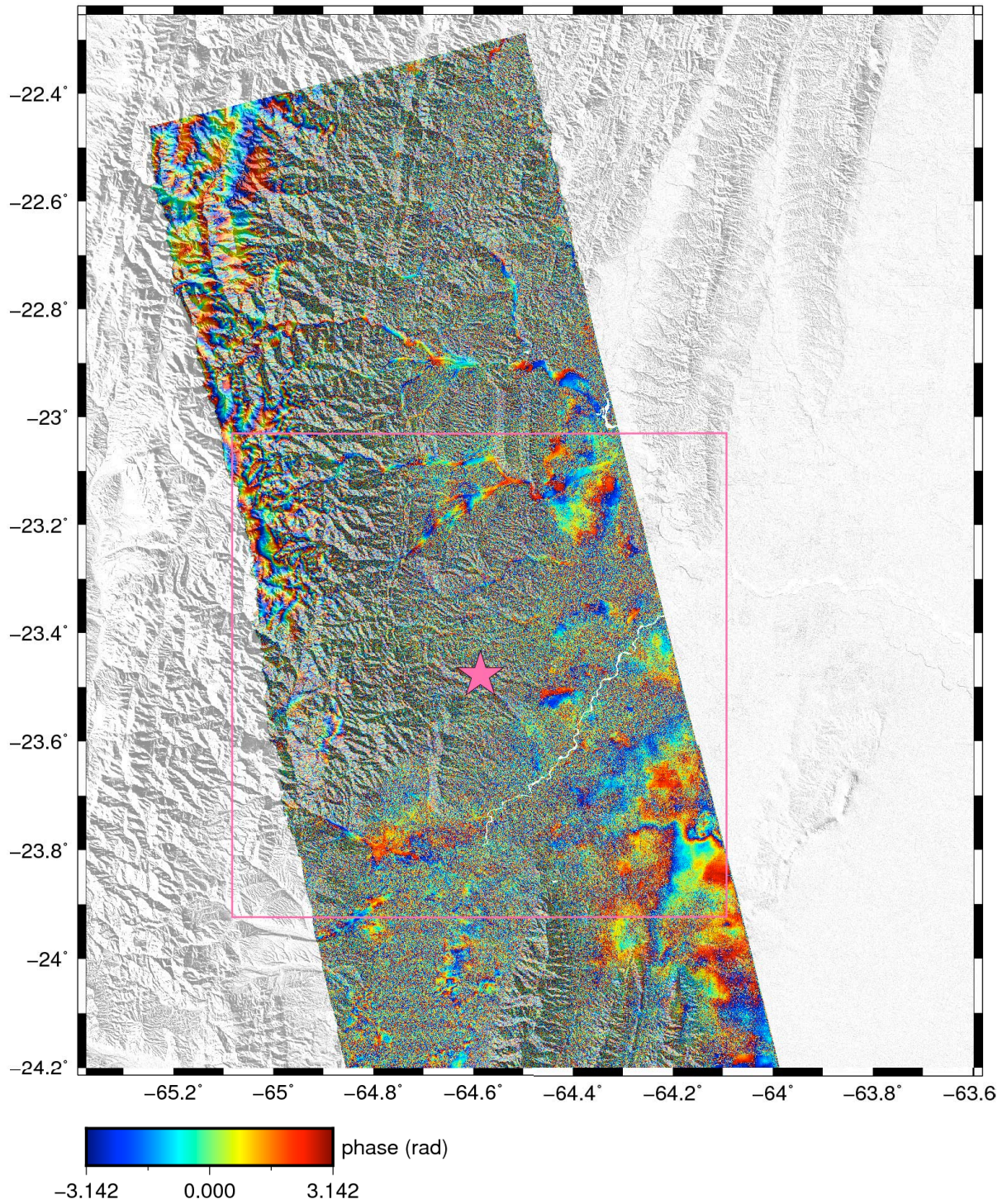


Figure S10. Coseismic interferogram for the 29 November 2015 Yuto, Argentina earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

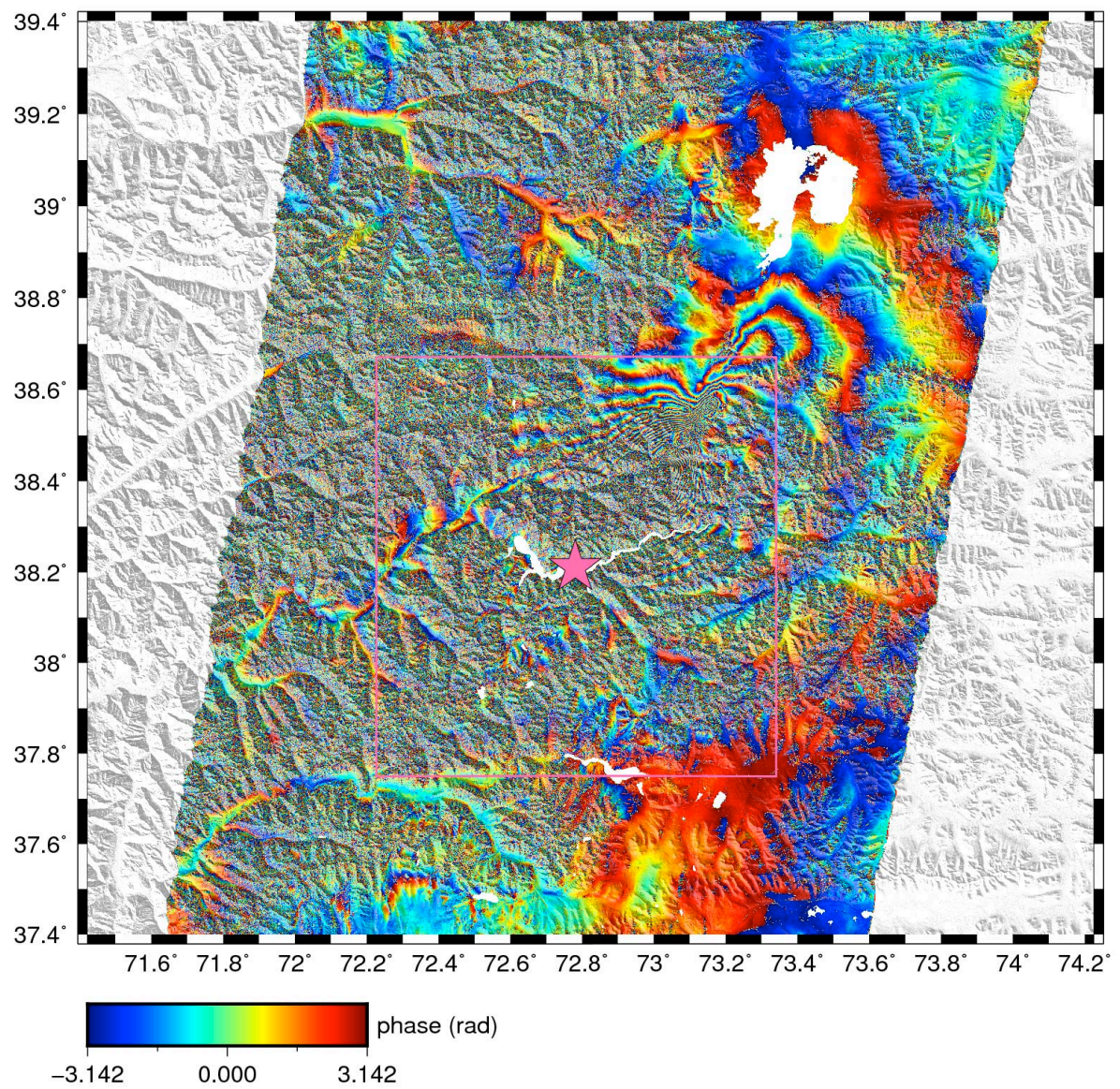


Figure S11. Coseismic interferogram for the 7 December 2015 Murghob, Tajikistan earthquake. This is classified as a detected event; diagonal concentric bands of deformation fringes can be identified across the centre of the interferogram. Other details as for Figure S1.

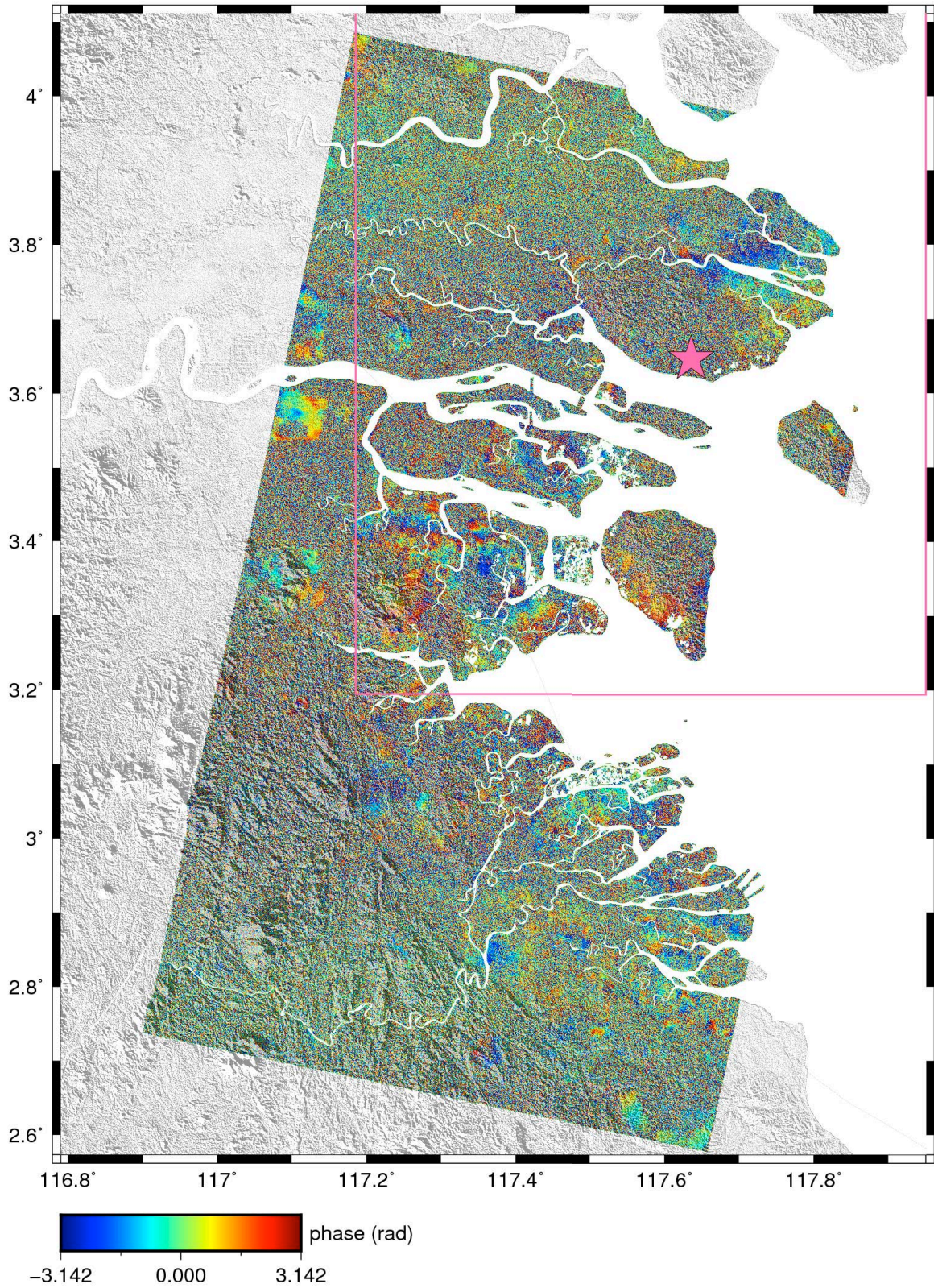


Figure S12. Coseismic interferogram for the 20 December 2015 Tarakan, Indonesia earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

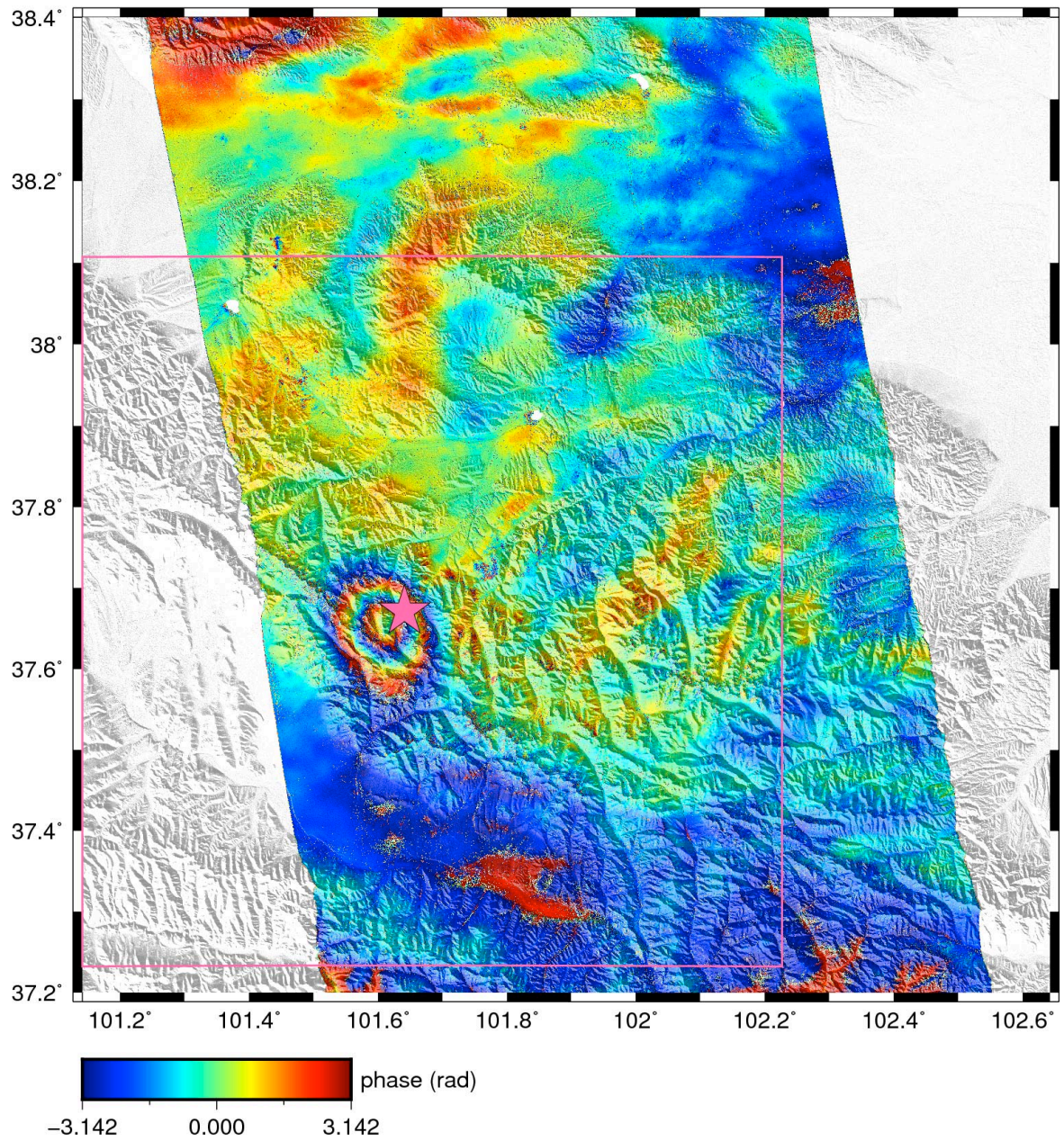


Figure S13. Coseismic interferogram for the 20 January 2016 Hongtu, China earthquake. This is classified as a detected event; two concentric deformation fringes can be identified in the west of the interferogram. Other details as for Figure S1.

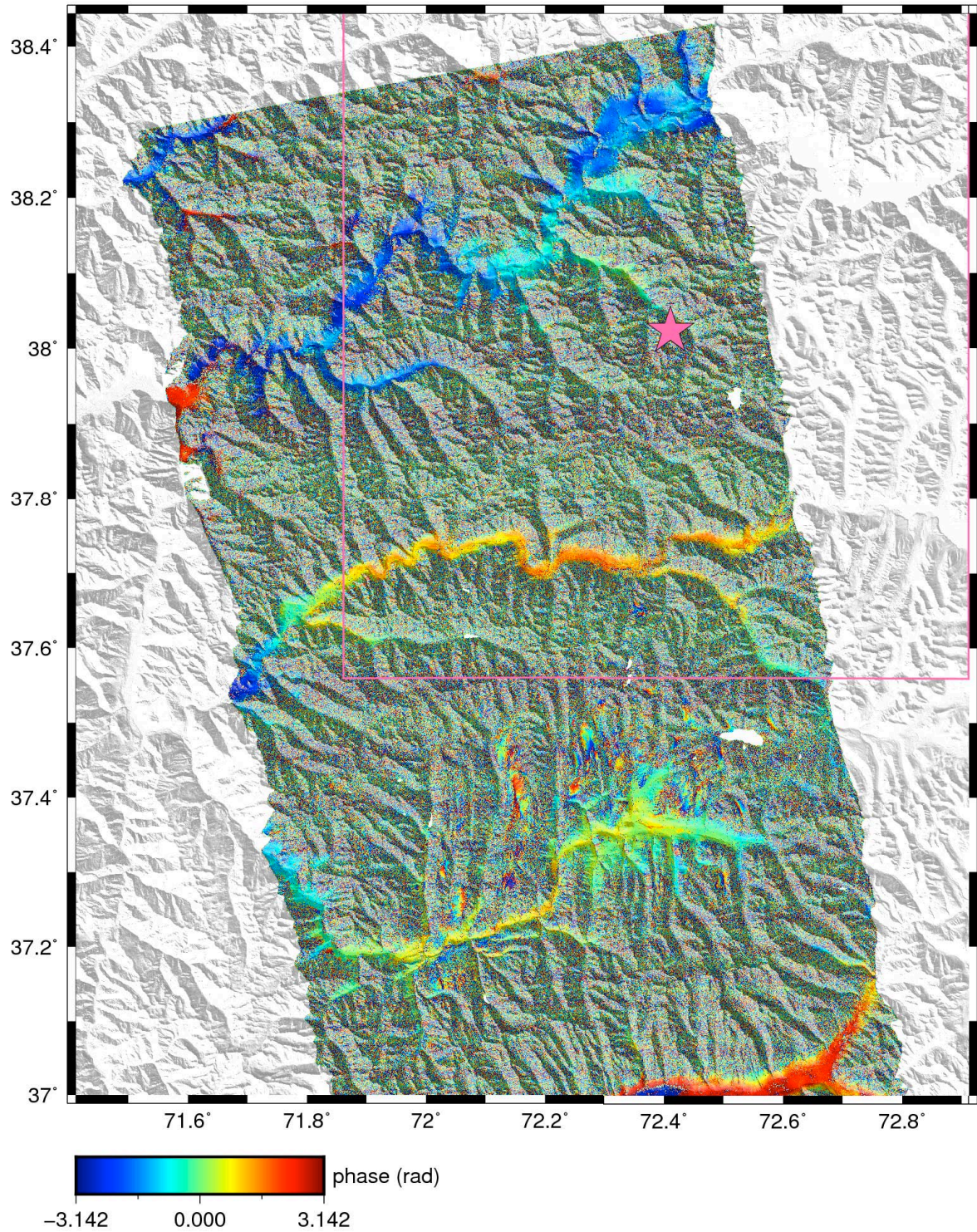


Figure S14. Coseismic interferogram for the 18 March 2016 Vanj, Tajikistan earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

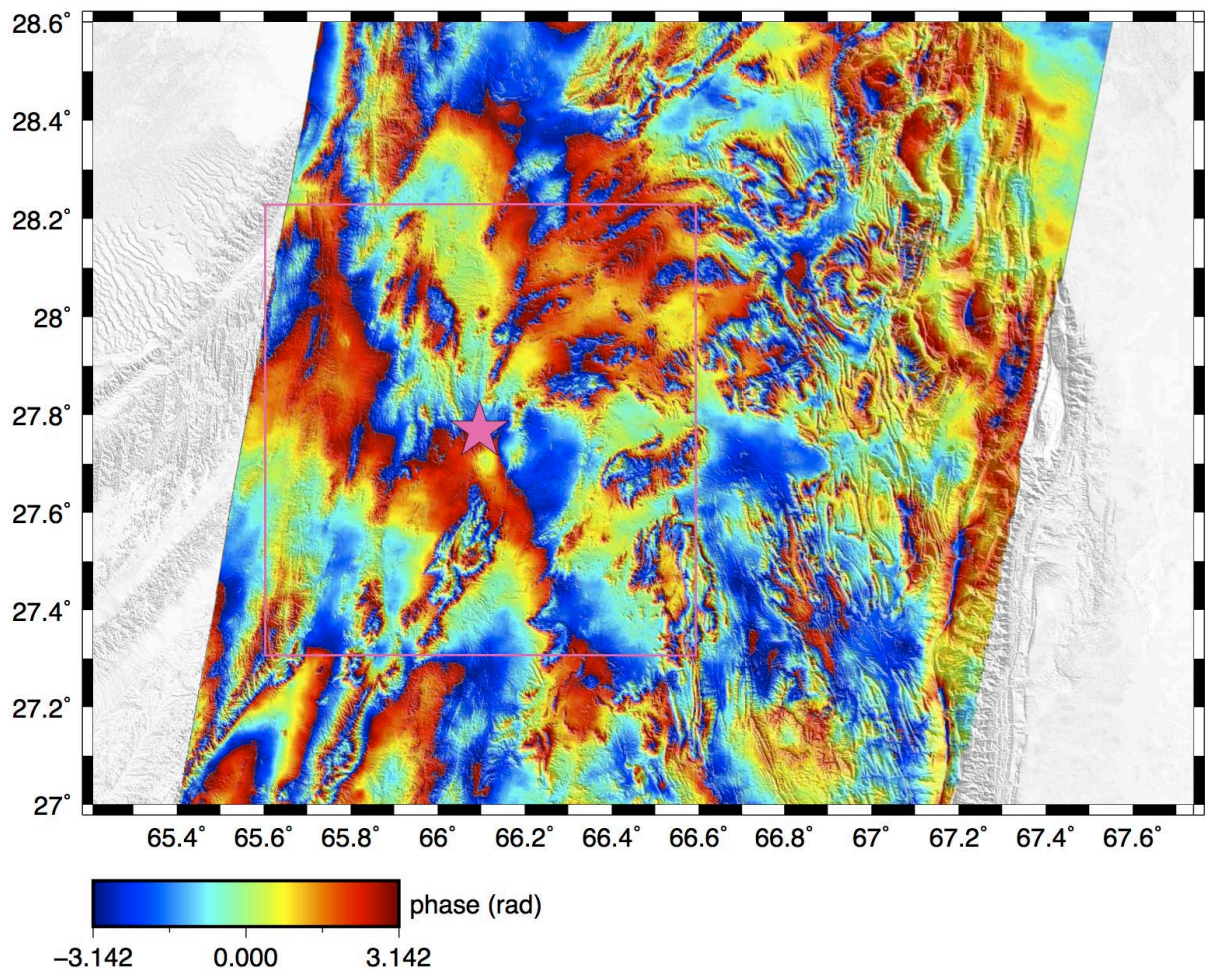


Figure S15. Coseismic interferogram for the 21 March 2016 Khuzdar, Pakistan earthquake. This is classified as ambiguous; there is a good level of interferometric correlation and fringes can be identified throughout, but it is not clear if any of them represent the earthquake. Other details as for Figure S1.

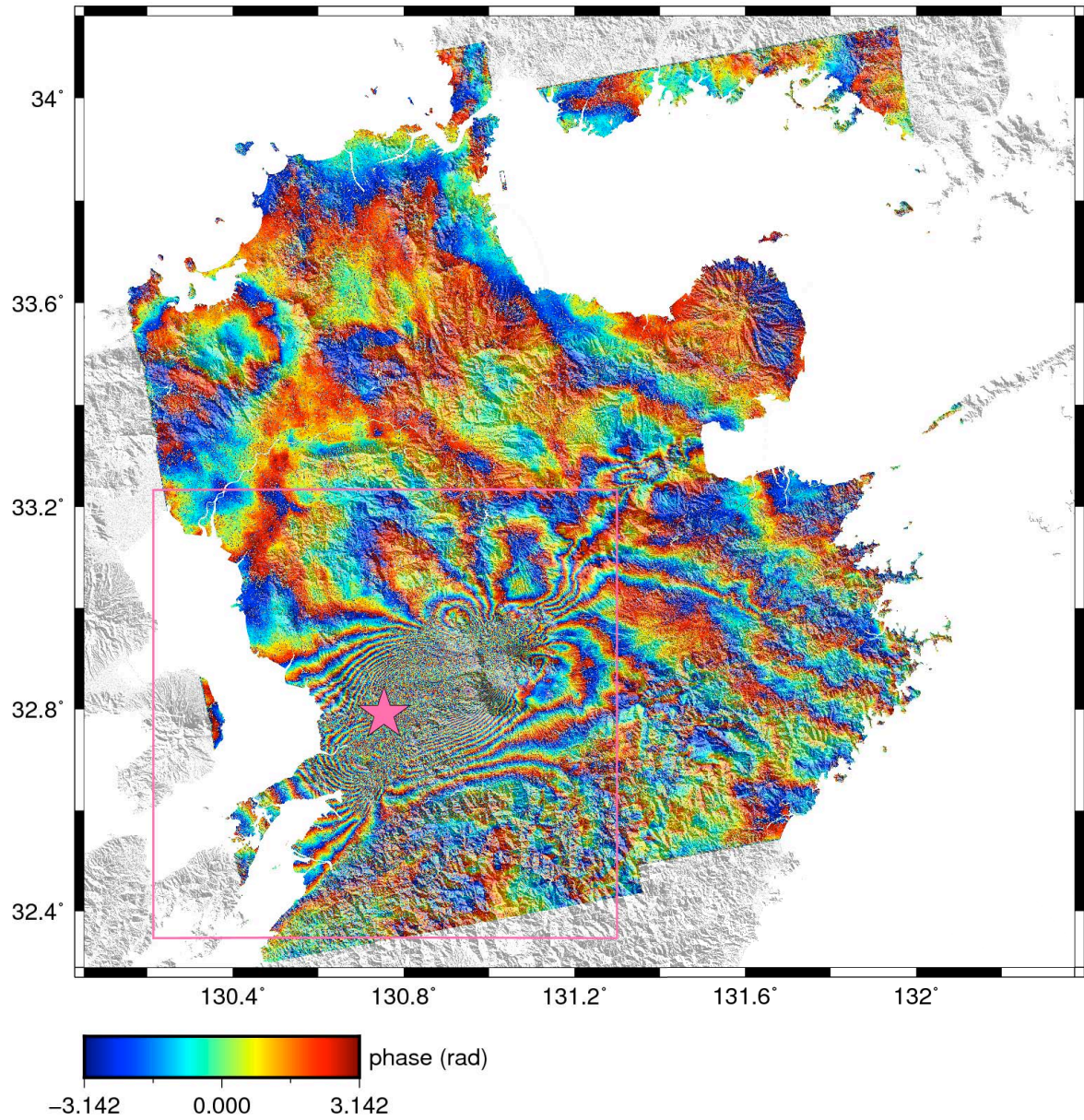


Figure S16. Coseismic interferogram for the 15 April 2016 Kumamoto, Japan earthquake. This is classified as a detected event; concentric deformation fringes extend diagonally across the southwest of the interferogram. Other details as for Figure S1.

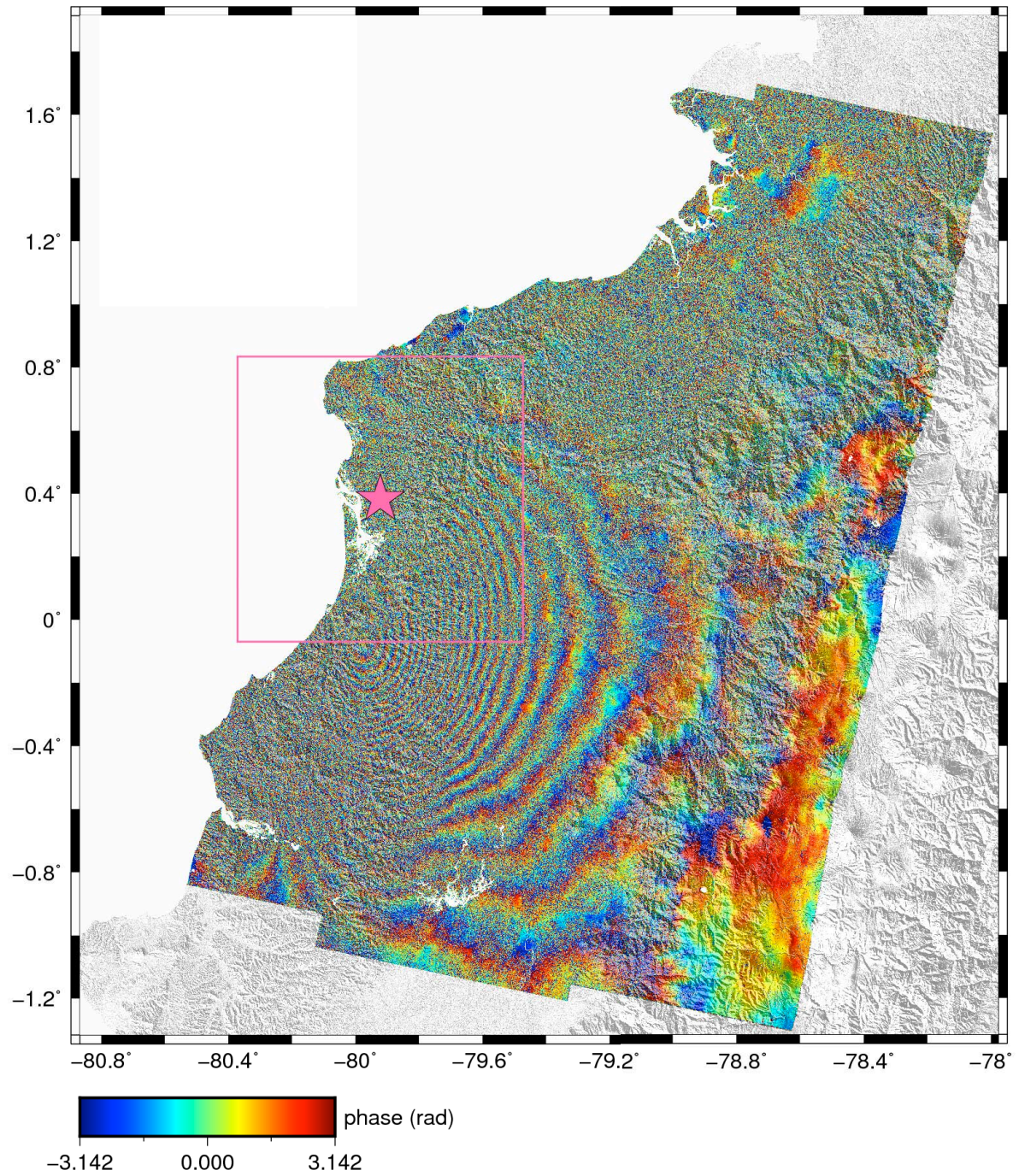


Figure S17. Coseismic interferogram for the 16 April 2016 Pedernales, Ecuador earthquake. This is classified as a detected event; despite a low level of interferometric correlation, continuous, concentric deformation fringes extend across the southern half of the interferogram. Other details as for Figure S1.

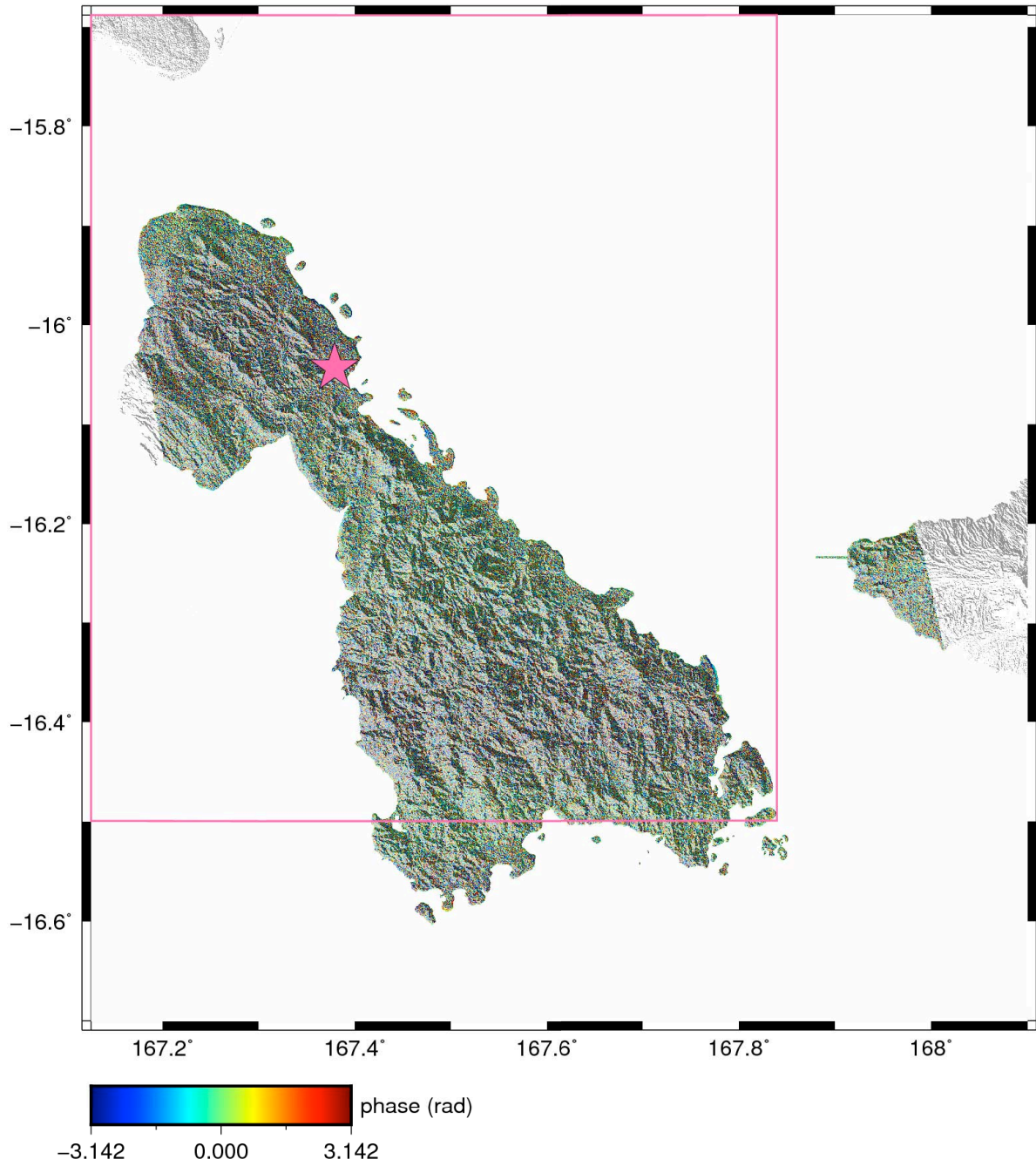


Figure S18. Coseismic interferogram for the 28 April 2016 Norsup, Vanuatu earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal (see section 4.4 for more details). Other details as for Figure S1.

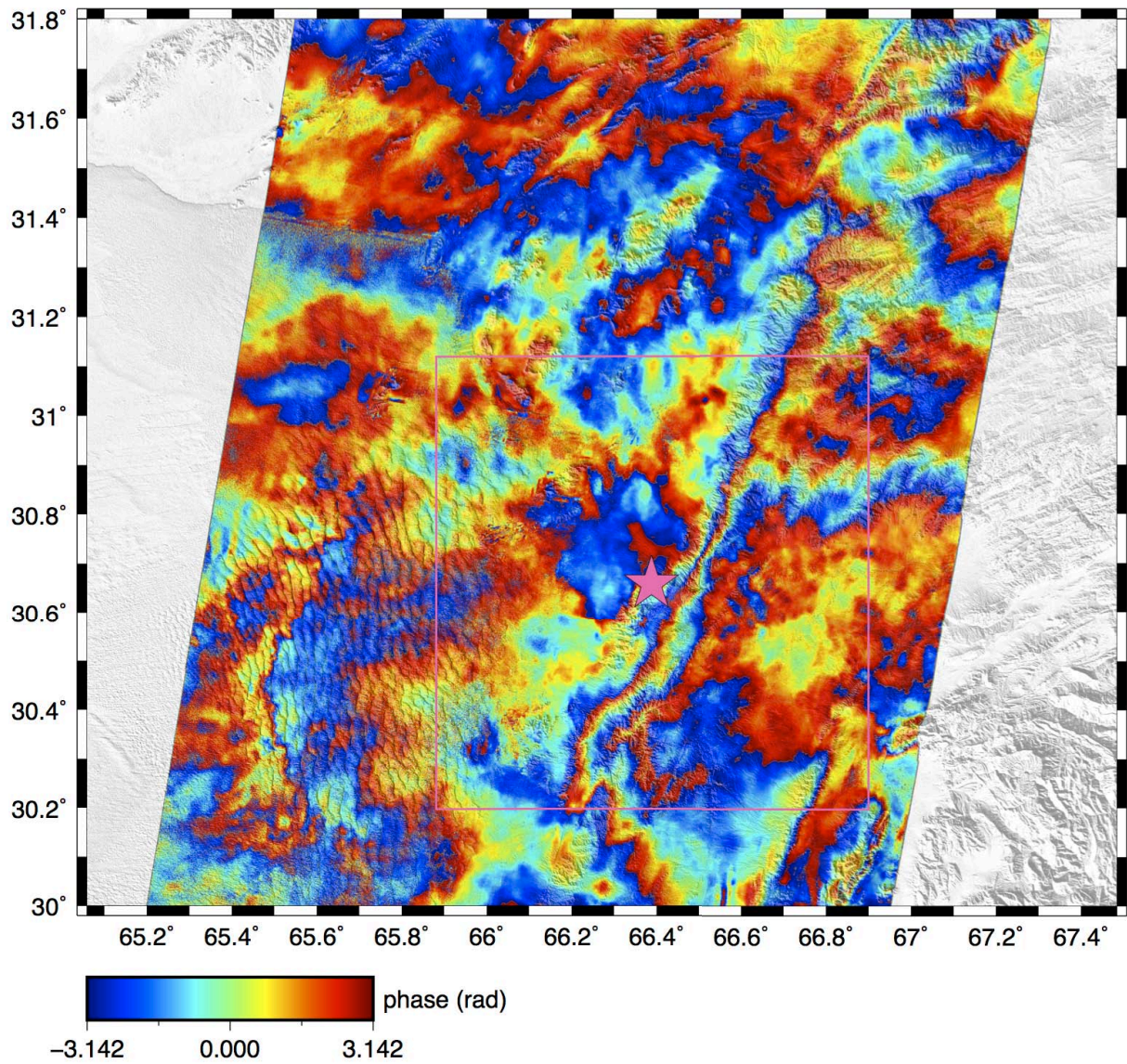


Figure S19. Coseismic interferogram for the 13 May 2016 Qila Abdullah, Pakistan earthquake. This is classified as ambiguous; there is a good level of interferometric correlation and fringes can be identified throughout, but it is not clear if any of them represent the earthquake. Other details as for Figure S1.

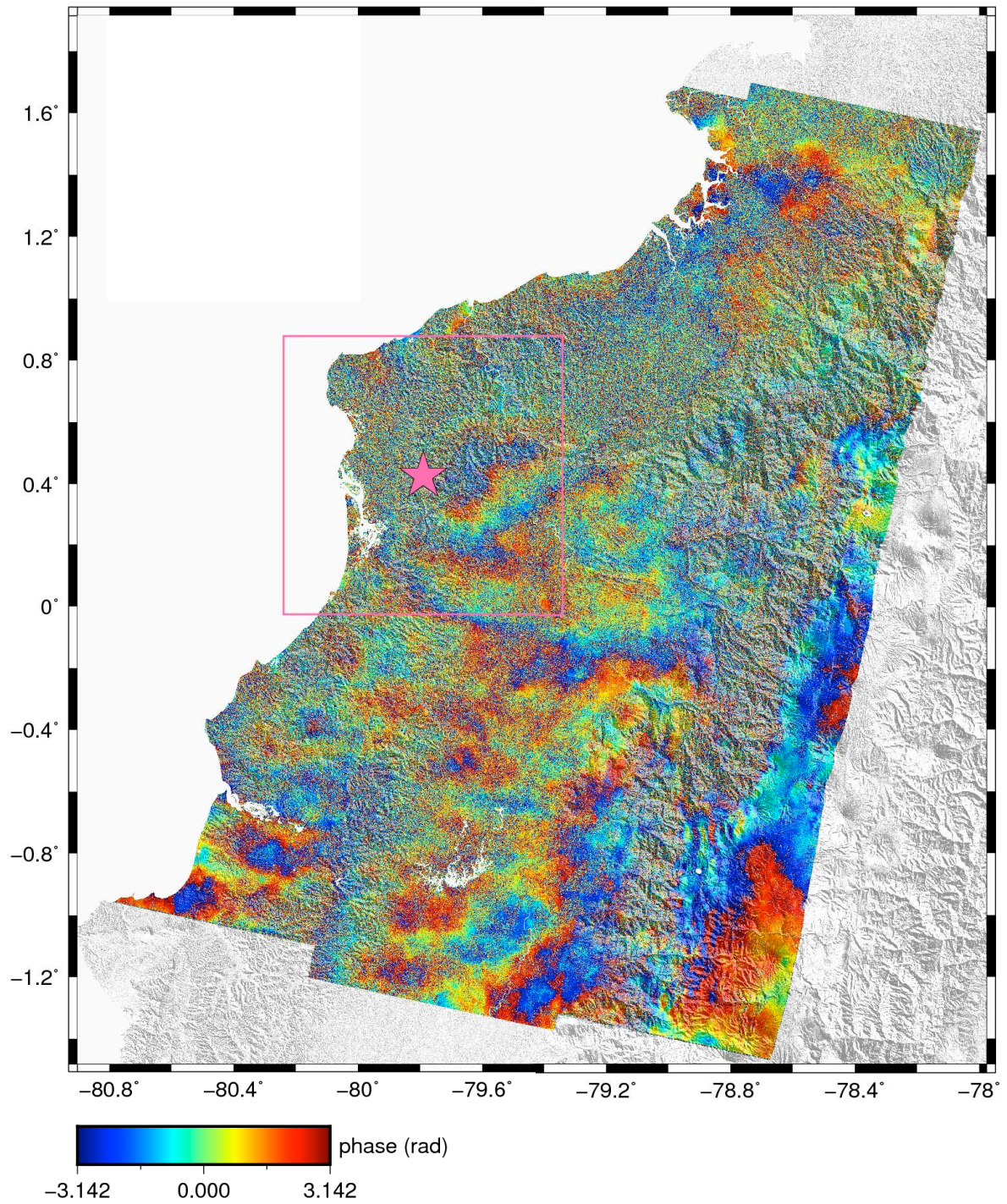


Figure S20. Coseismic interferogram for the 18 May 2016 Muisine, Ecuador earthquake, from descending track 040. This is classified as ambiguous. Other details as for Figure S1.

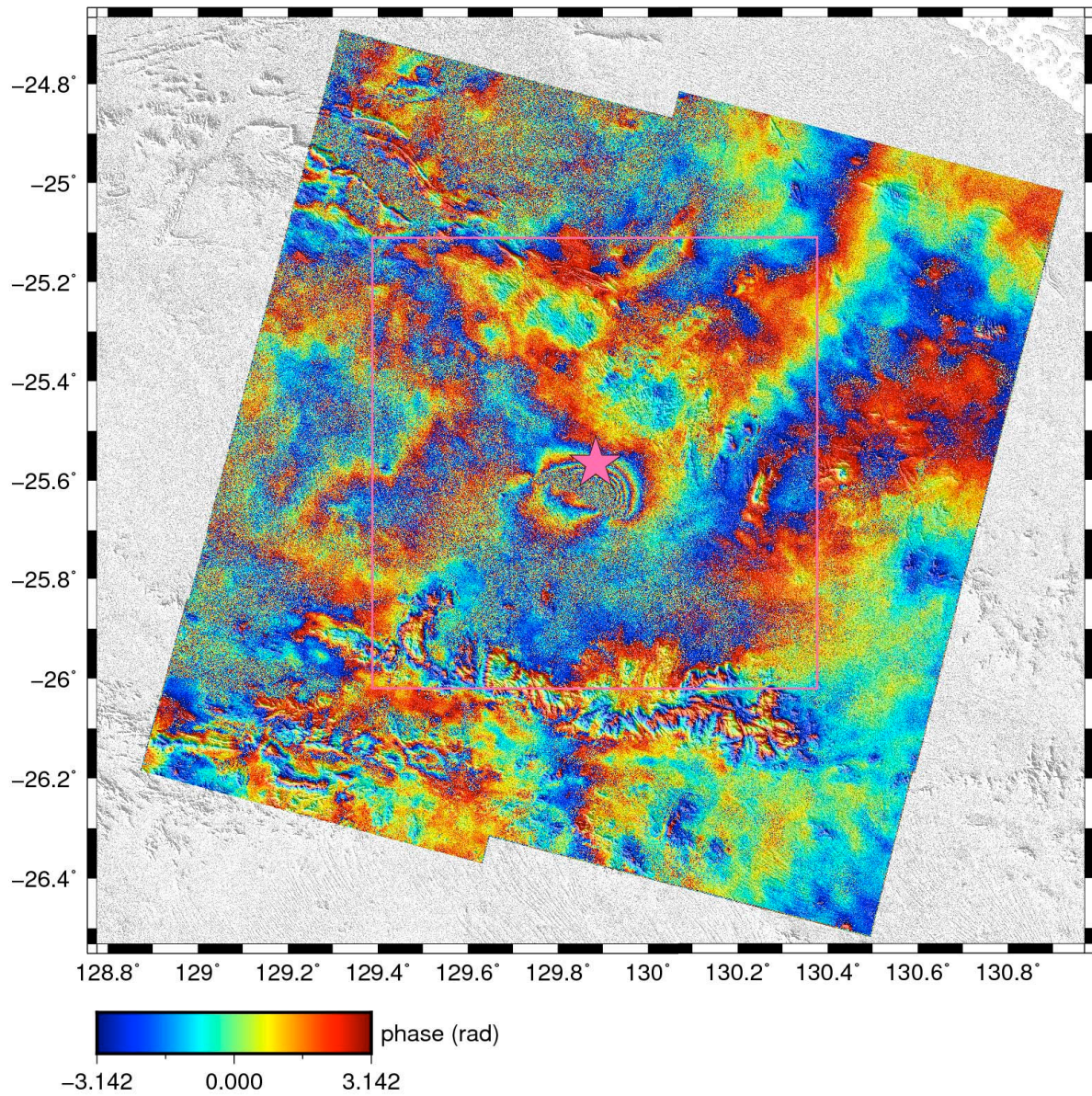


Figure S21. Coseismic interferogram for the 20 May 2016 Petermann Ranges, Australia earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the centre of the interferogram. Other details as for Figure S1.

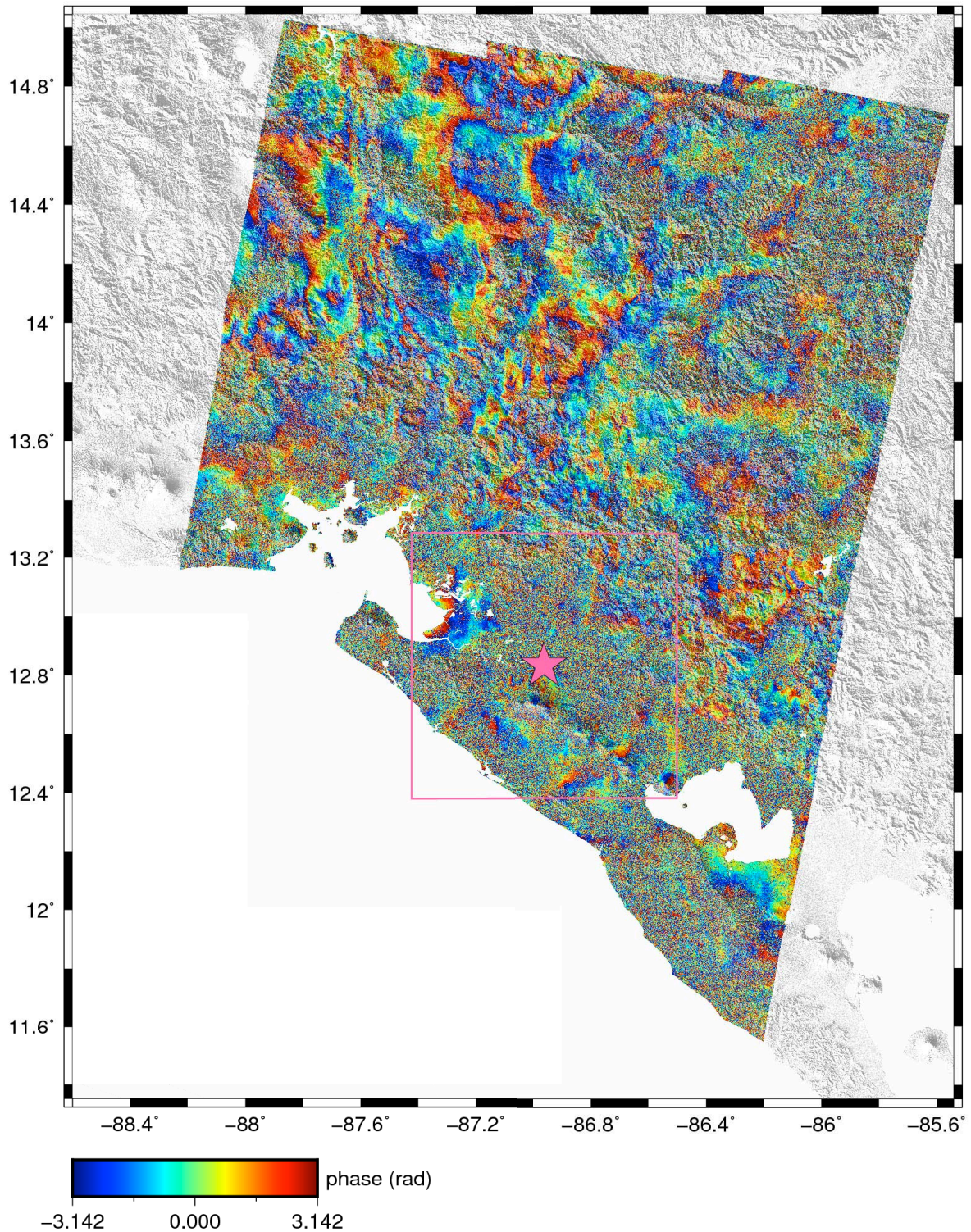


Figure S22. Coseismic interferogram for the 10 June 2016 Puerto Morazan, Nicaragua earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

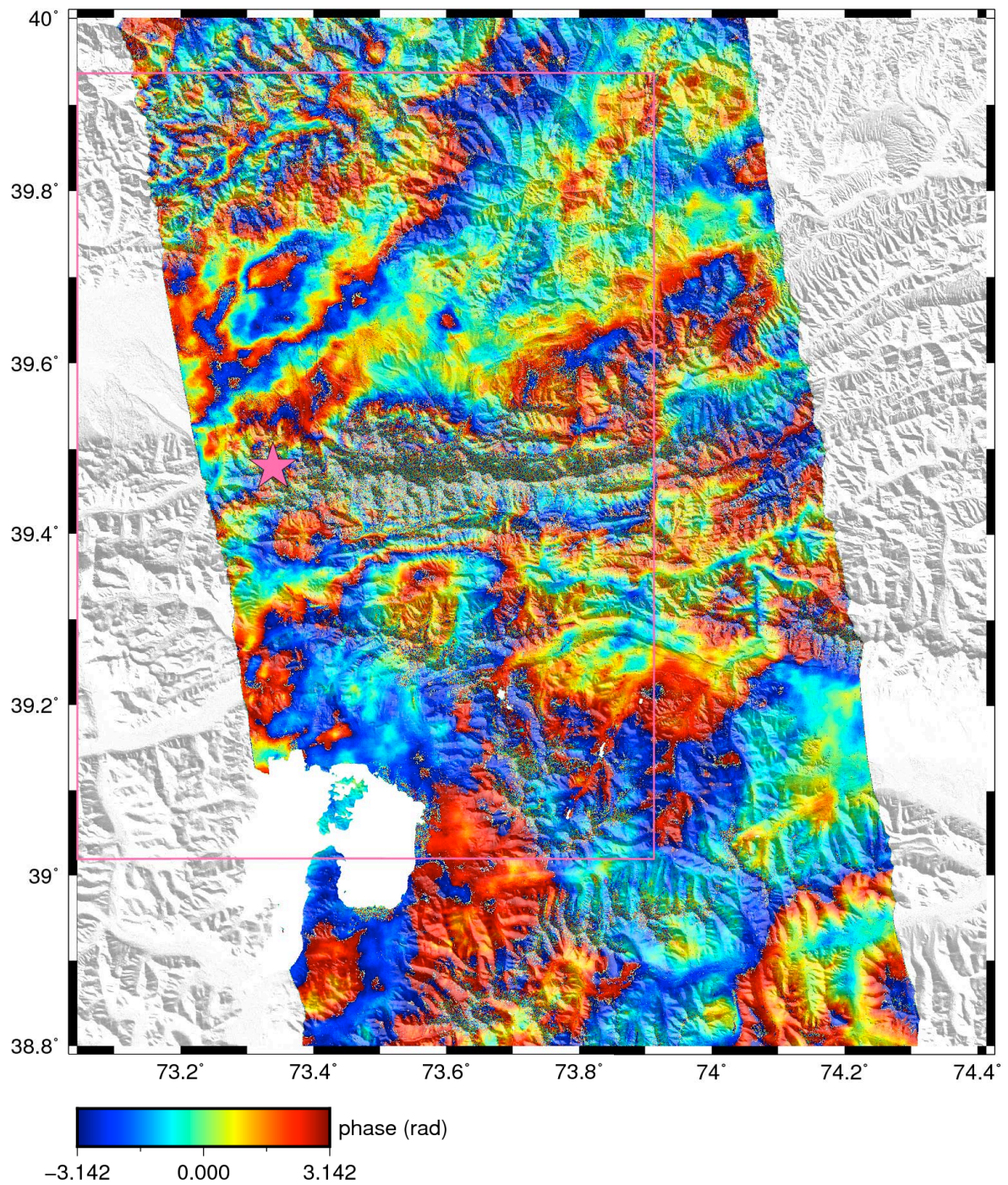


Figure S23. Coseismic interferogram for the 26 June 2016 Sary-Tash, Kyrgyzstan earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the centre of the interferogram. Other details as for Figure S1.

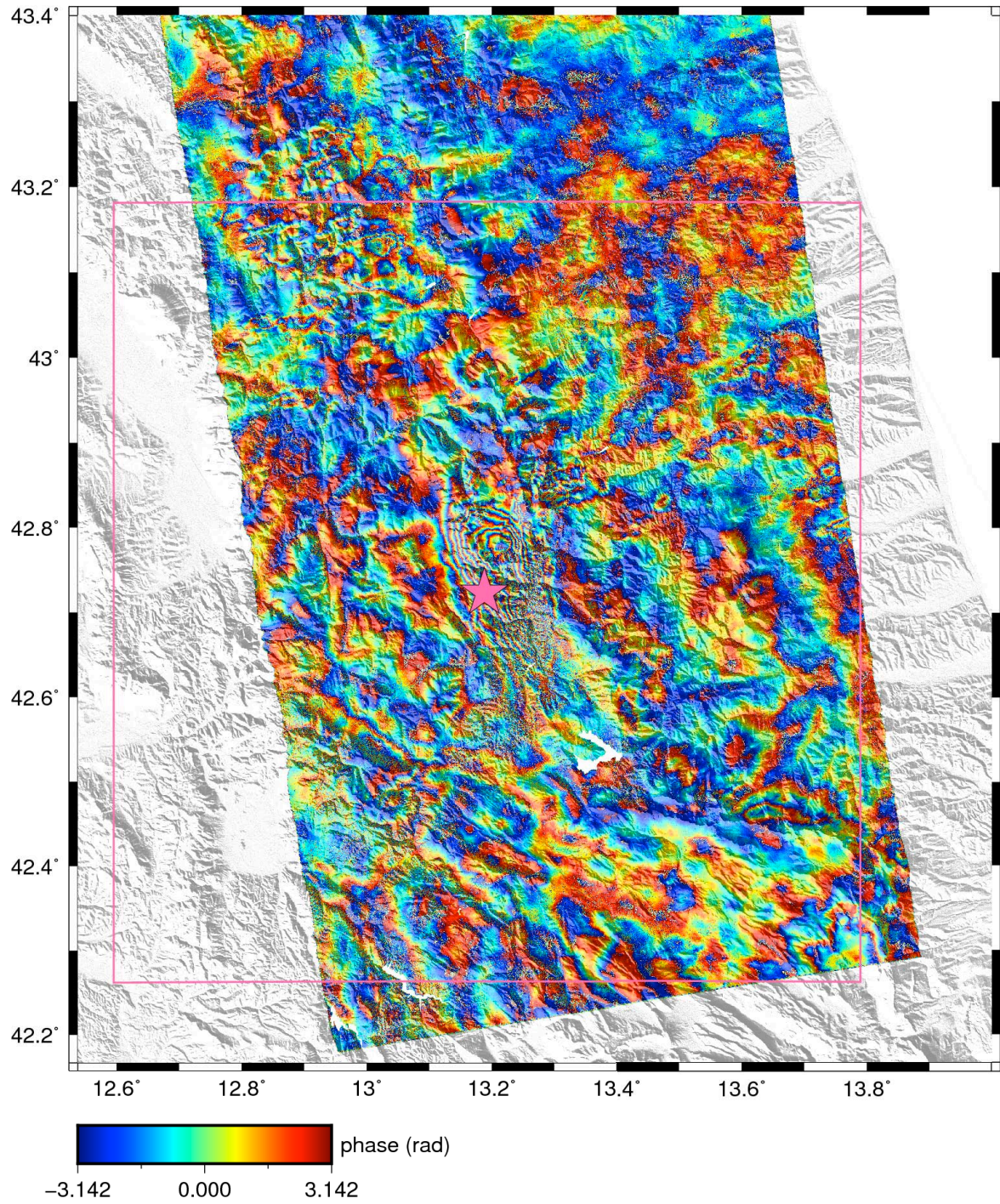


Figure S24. Coseismic interferogram for the 24 August 2016 Amatrice, Italy earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the southern portion of the interferogram. Other details as for Figure S1.

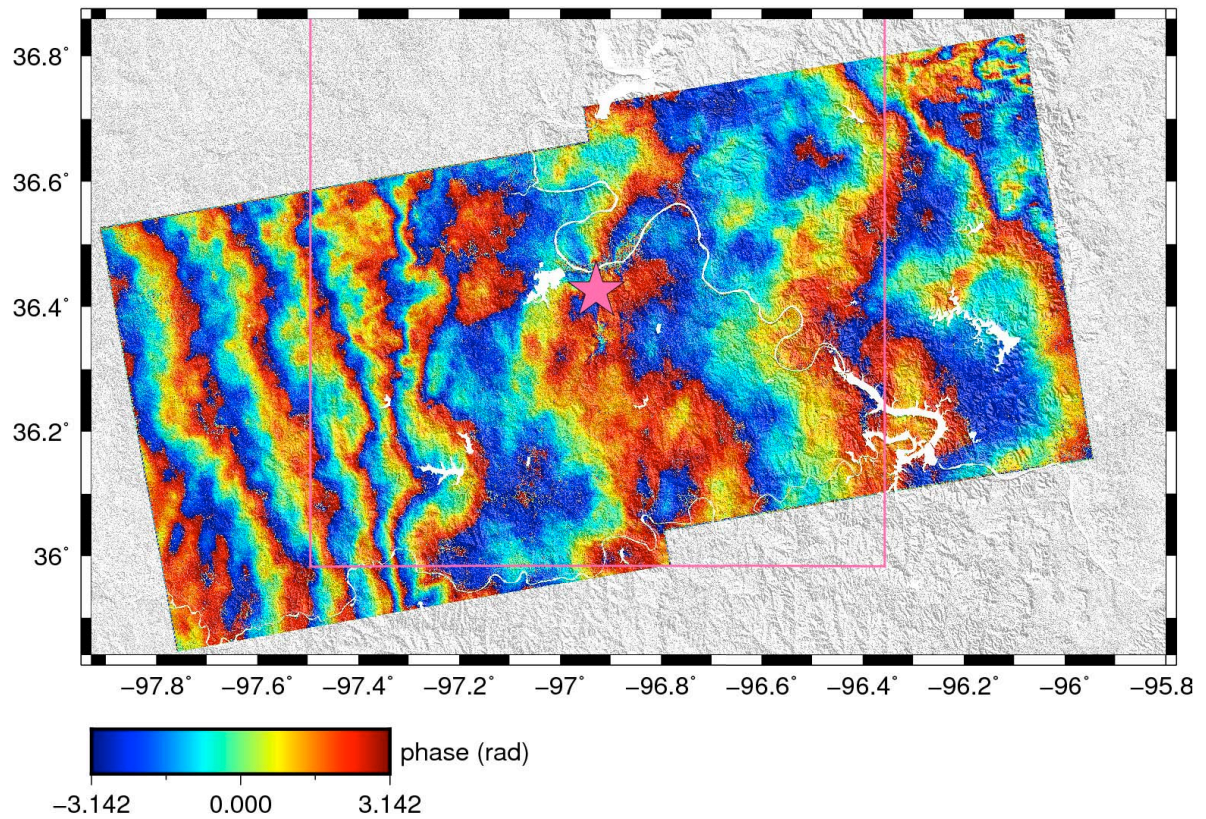


Figure S25. Coseismic interferogram for the 3 September 2016 Pawnee, Oklahoma, USA earthquake. This is classified as ambiguous. Other details as for Figure S1.

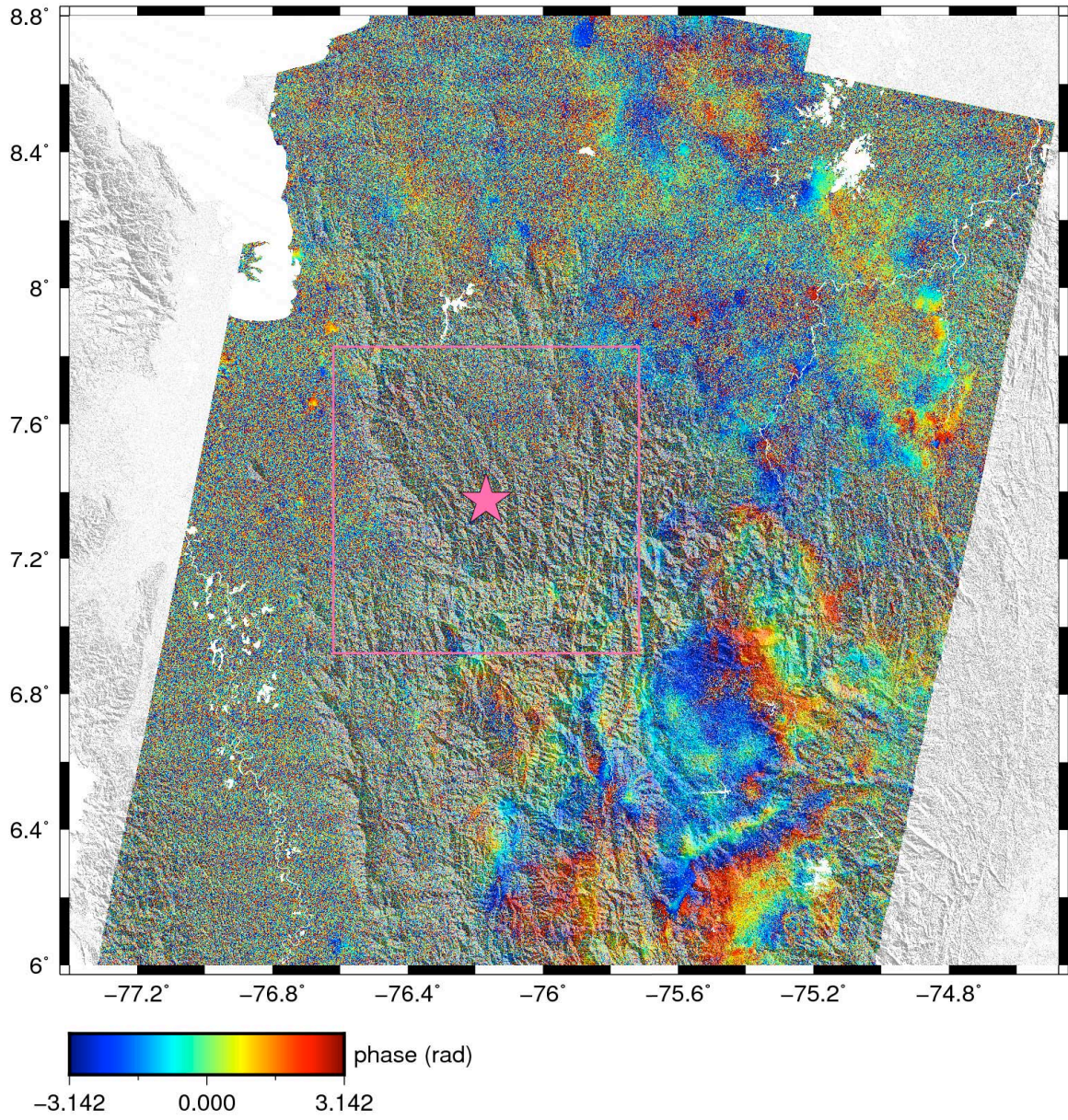


Figure S26. Coseismic interferogram for the 14 September 2016 Mutata, Colombia earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

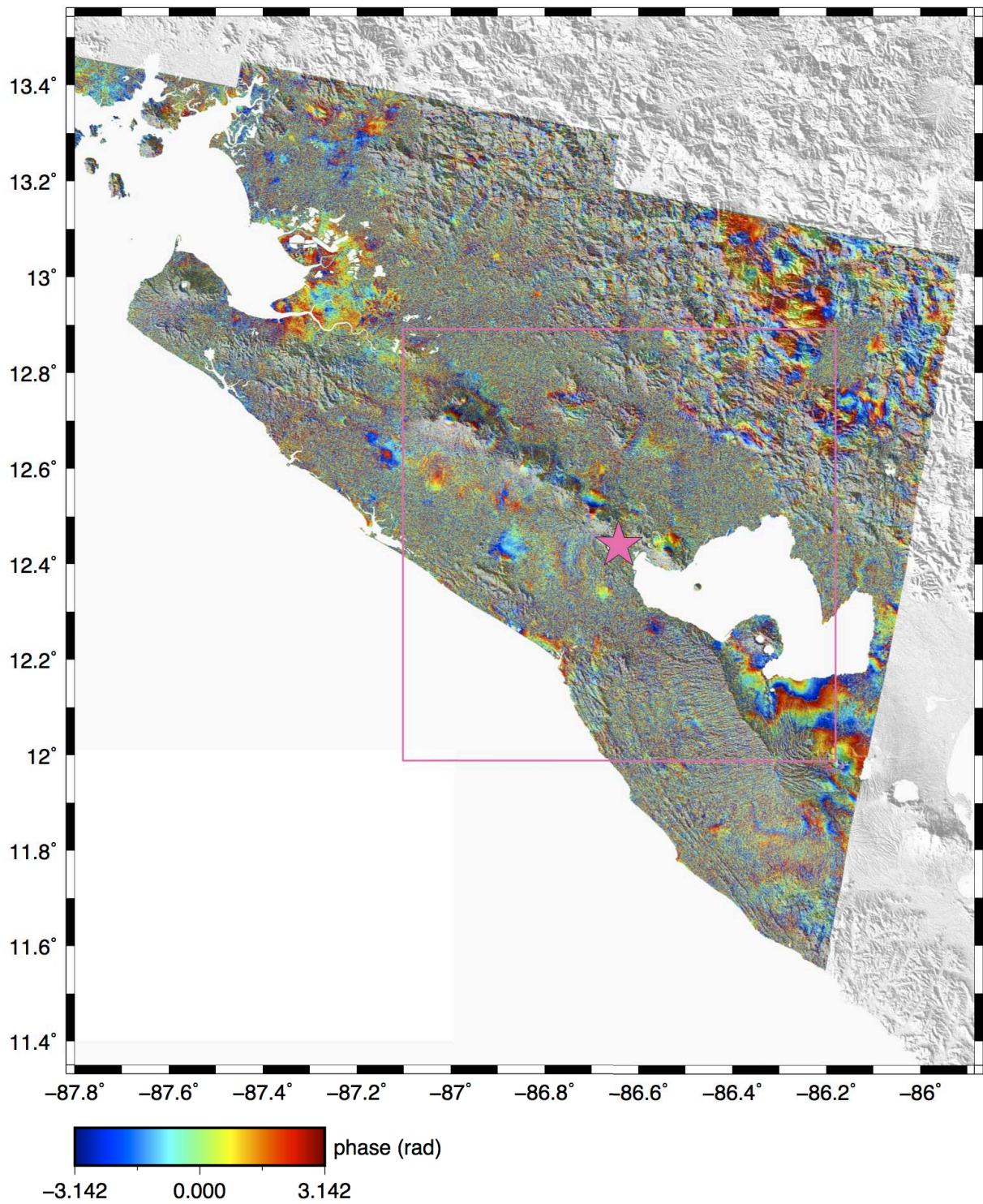


Figure S27. Coseismic interferogram for the 15 September 2016 La Paz Centro, Nicaragua earthquake. This is classified as not detected. Other details as for Figure S1.

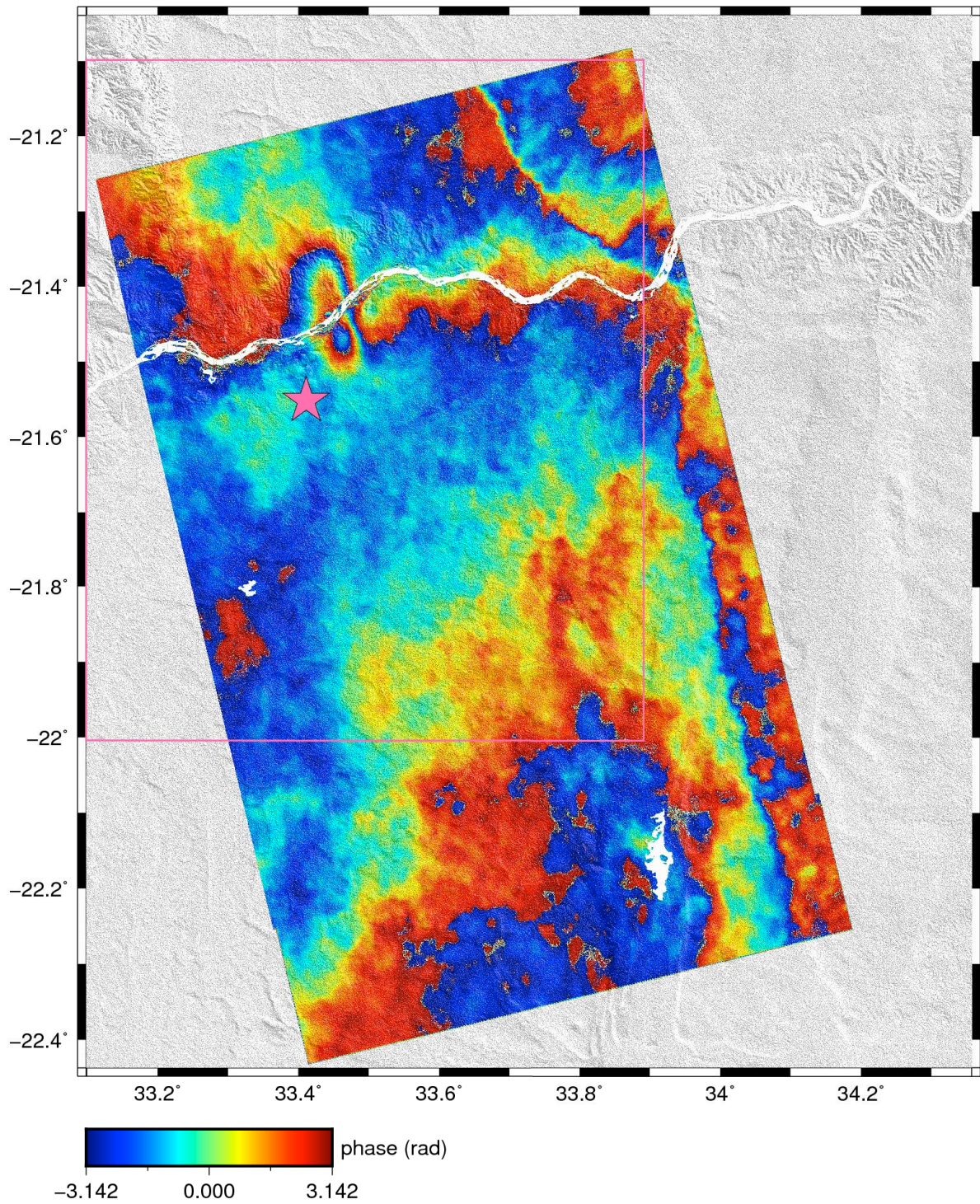


Figure S28. Coseismic interferogram for the 22 September 2016 Machaze, Mozambique earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the northwest portion of the interferogram. Other details as for Figure S1.

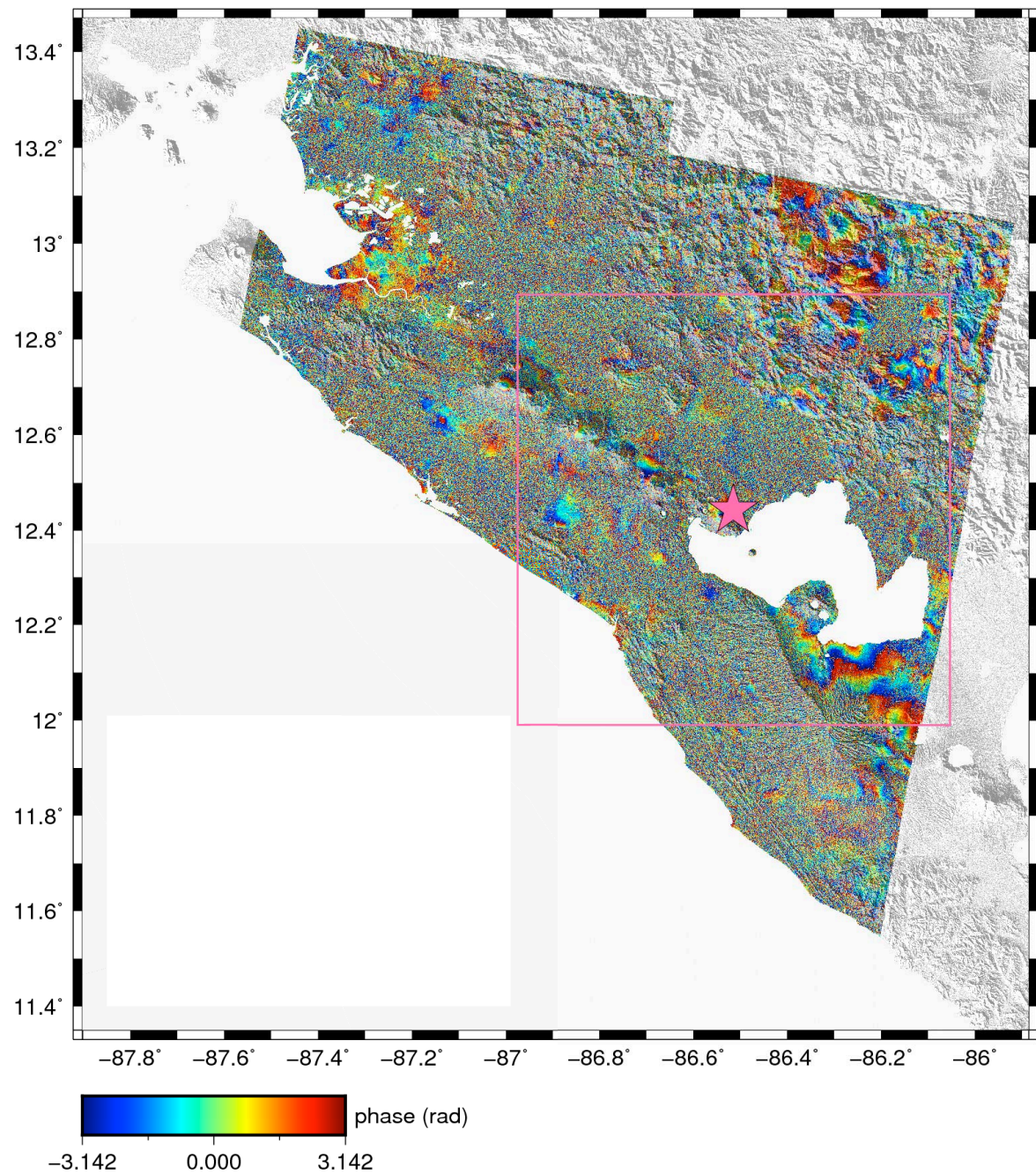


Figure S29. Coseismic interferogram for the 28 September 2016 Nagarote, Nicaragua earthquake. This event is classified as not detected; decorrelation obscures any earthquake signal. Other details as for Figure S1.

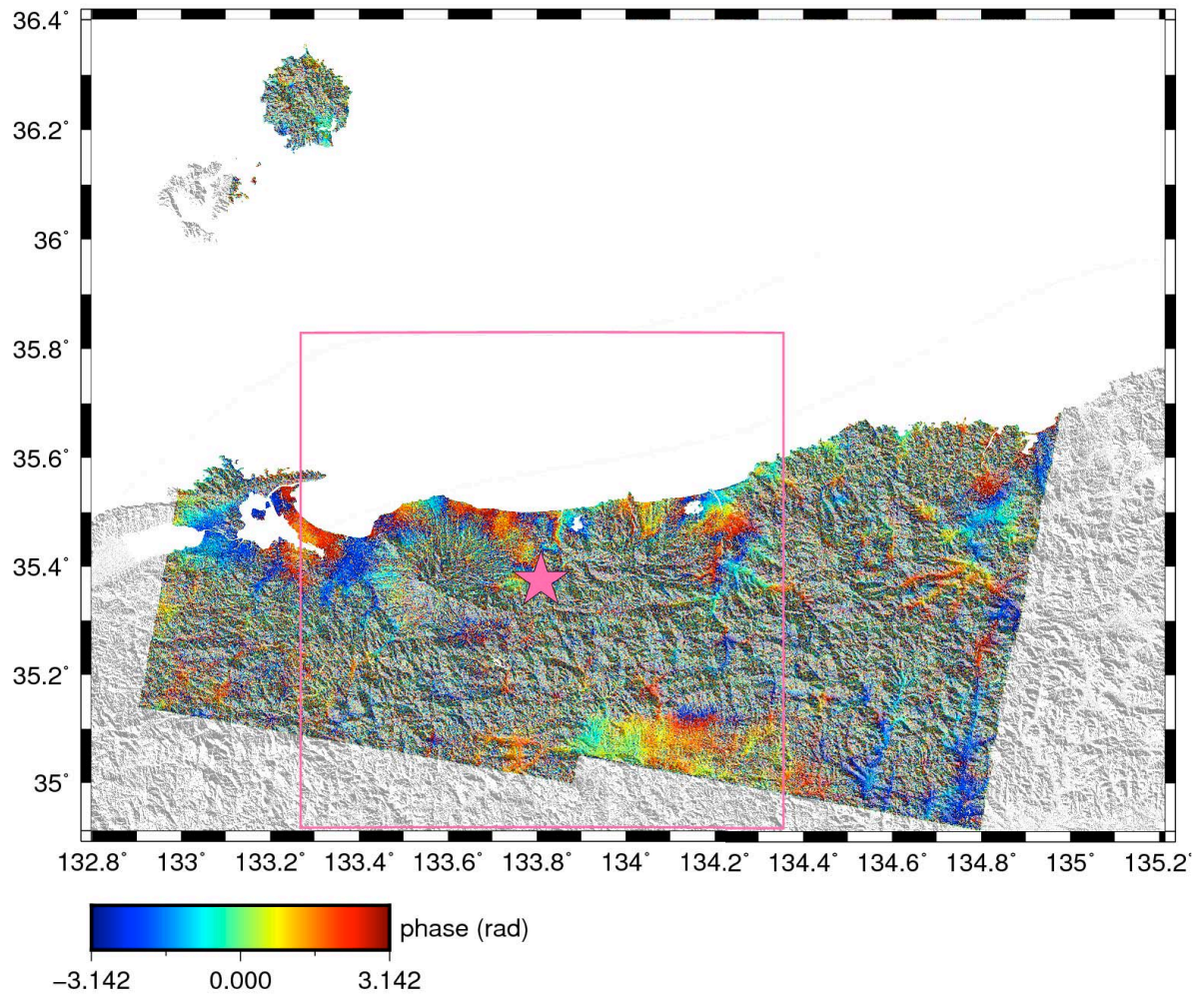


Figure S30. Coseismic interferogram for the 21 October 2016 Kurayoshi, Japan earthquake, from descending track 090. It is not possible to detect the earthquake from this interferogram; decorrelation obscures any earthquake signal (see section 4.2 for details). Other details as for Figure S1.

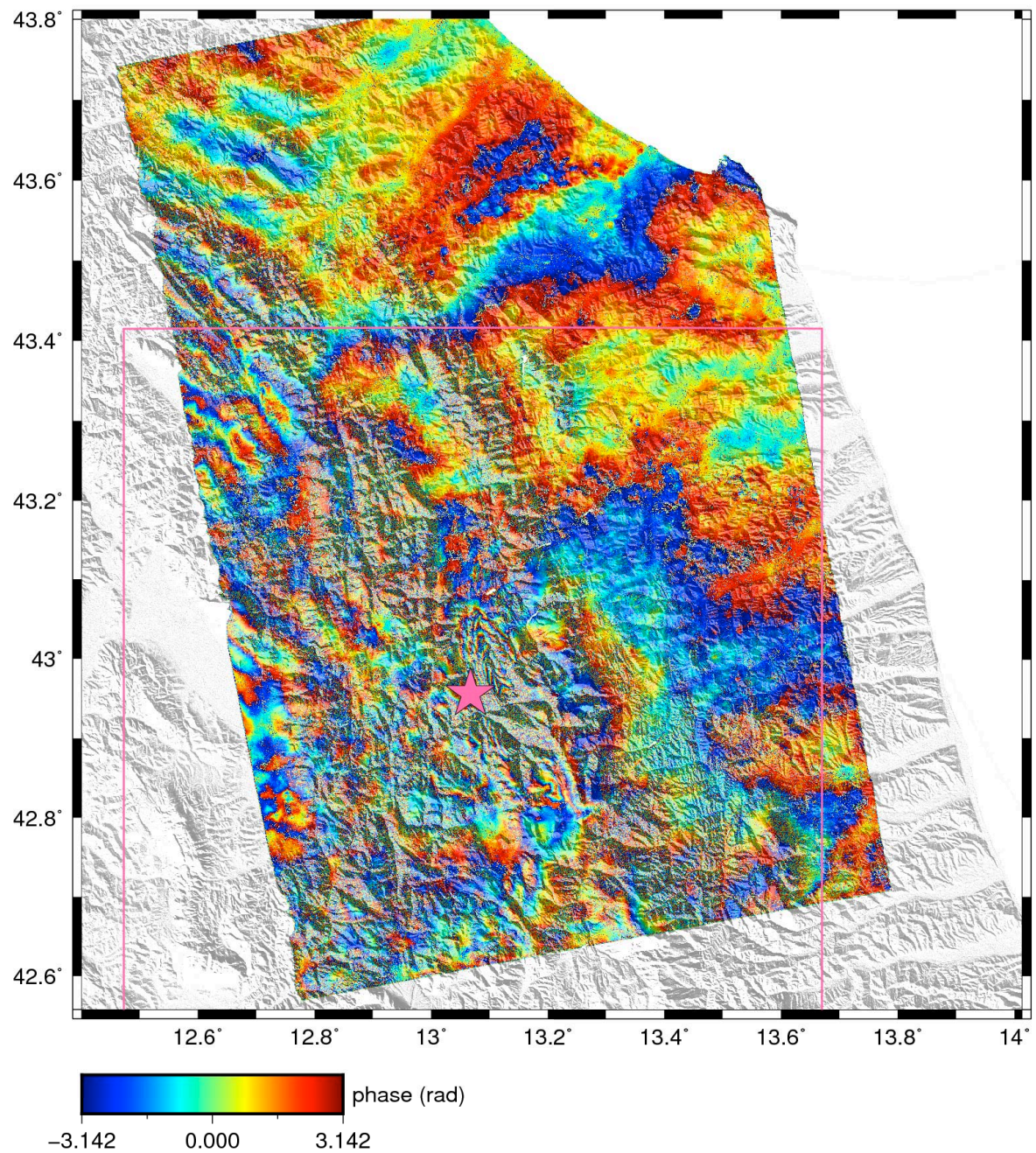


Figure S31. Coseismic interferogram for the 26 October 2016 Visso, Italy earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the southern portion of the interferogram. Other details as for Figure S1.

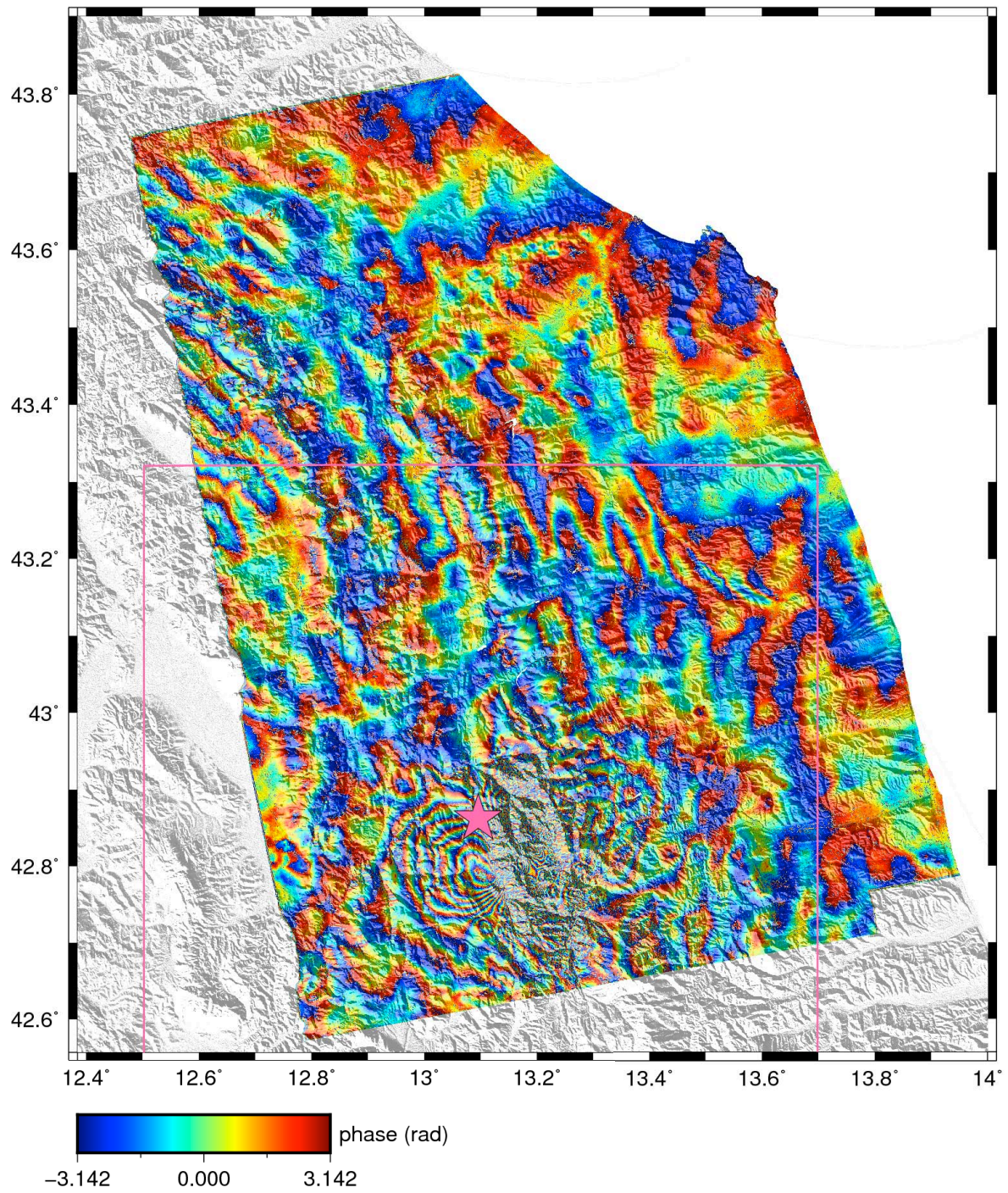


Figure S32. Coseismic interferogram for the 30 October 2016 Norcia, Italy earthquake. This is classified as a detected event; concentric deformation fringes can be identified in the southern portion of the interferogram. Other details as for Figure S1.

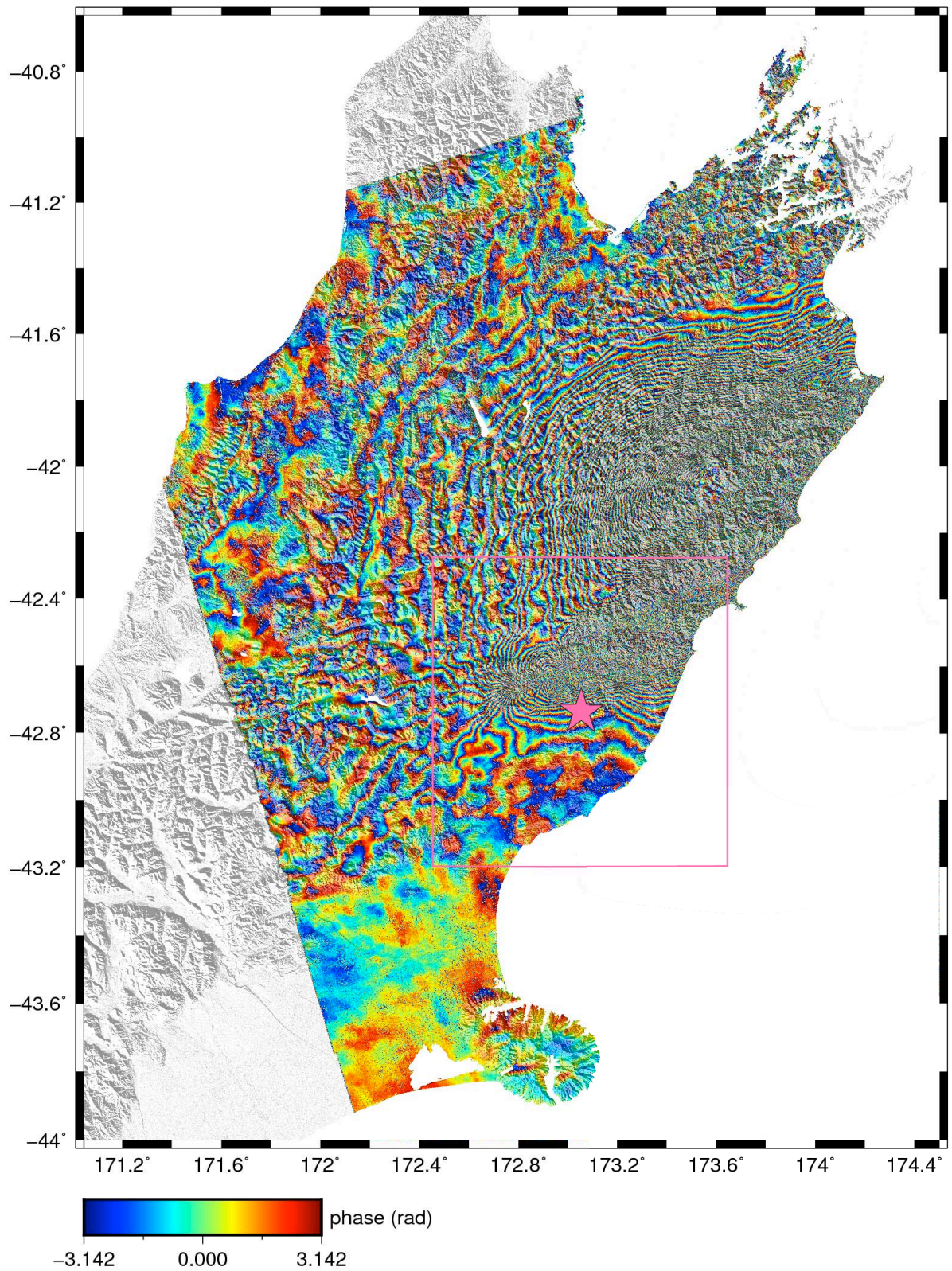


Figure S33. Coseismic interferogram for the 13 November 2016 Kaikoura, New Zealand earthquake. This is classified as a detected event; concentric deformation fringes can be identified aligned parallel to the coast. Other details as for Figure S1.

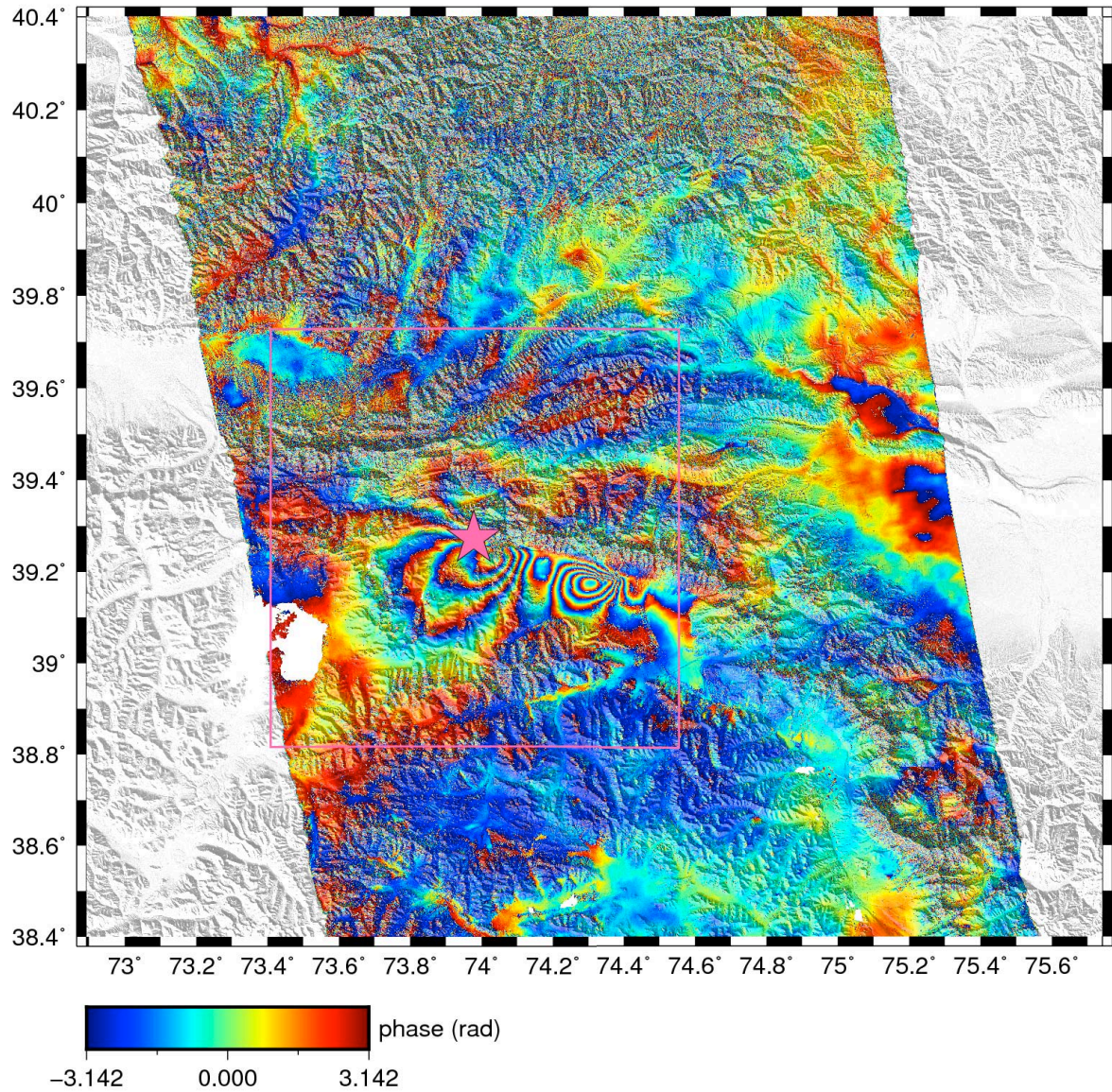


Figure S34. Coseismic interferogram for the 25 November 2016 Akto, China earthquake. This is classified as a detected event; a four-quadrant deformation pattern indicates the earthquake. Other details as for Figure S1.

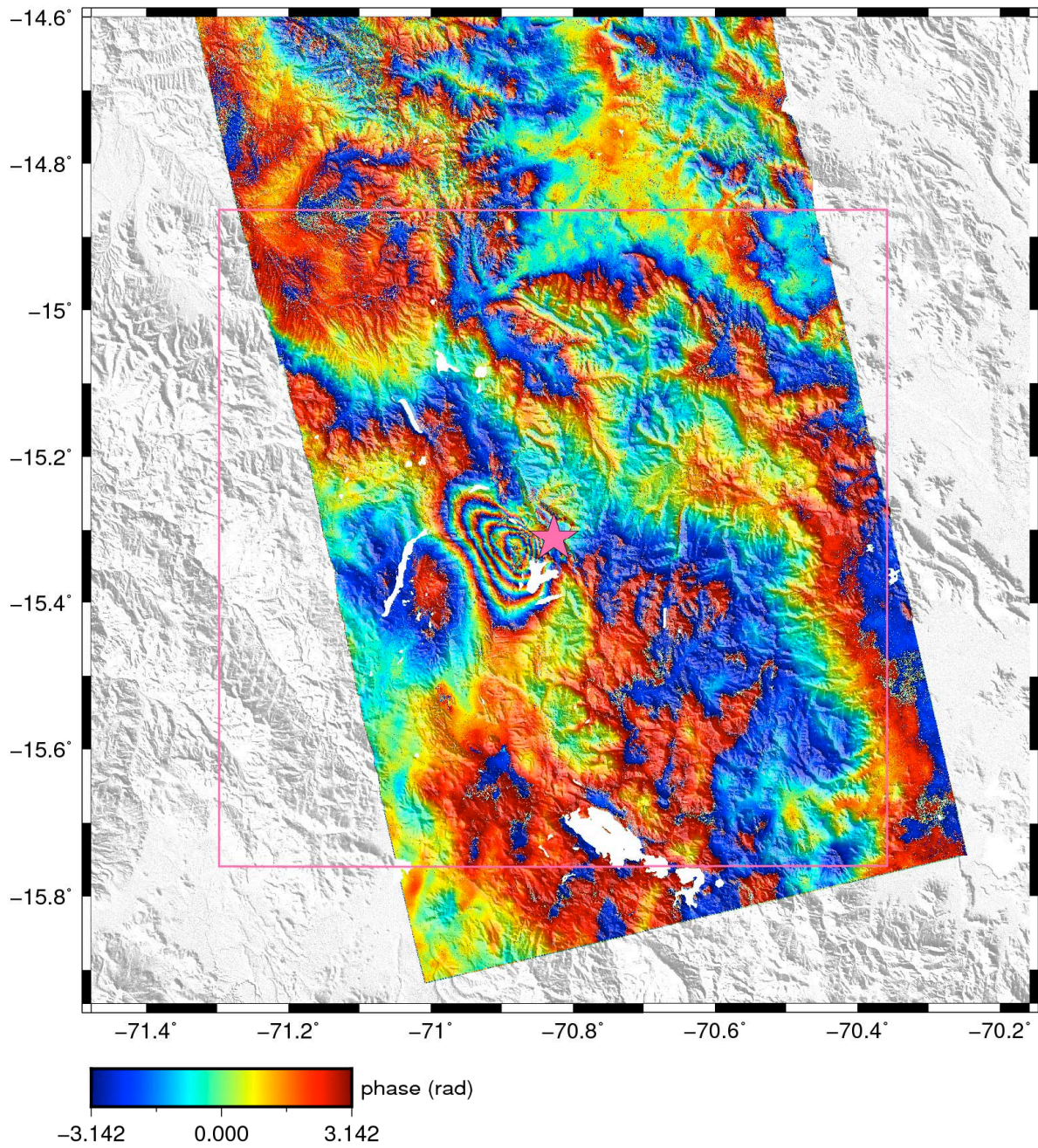


Figure S35. Coseismic interferogram for the 1 December 2016 Huarichancara, Peru earthquake. This is classified as a detected event; concentric deformation fringes in the center of the interferogram indicate the earthquake. Other details as for Figure S1.

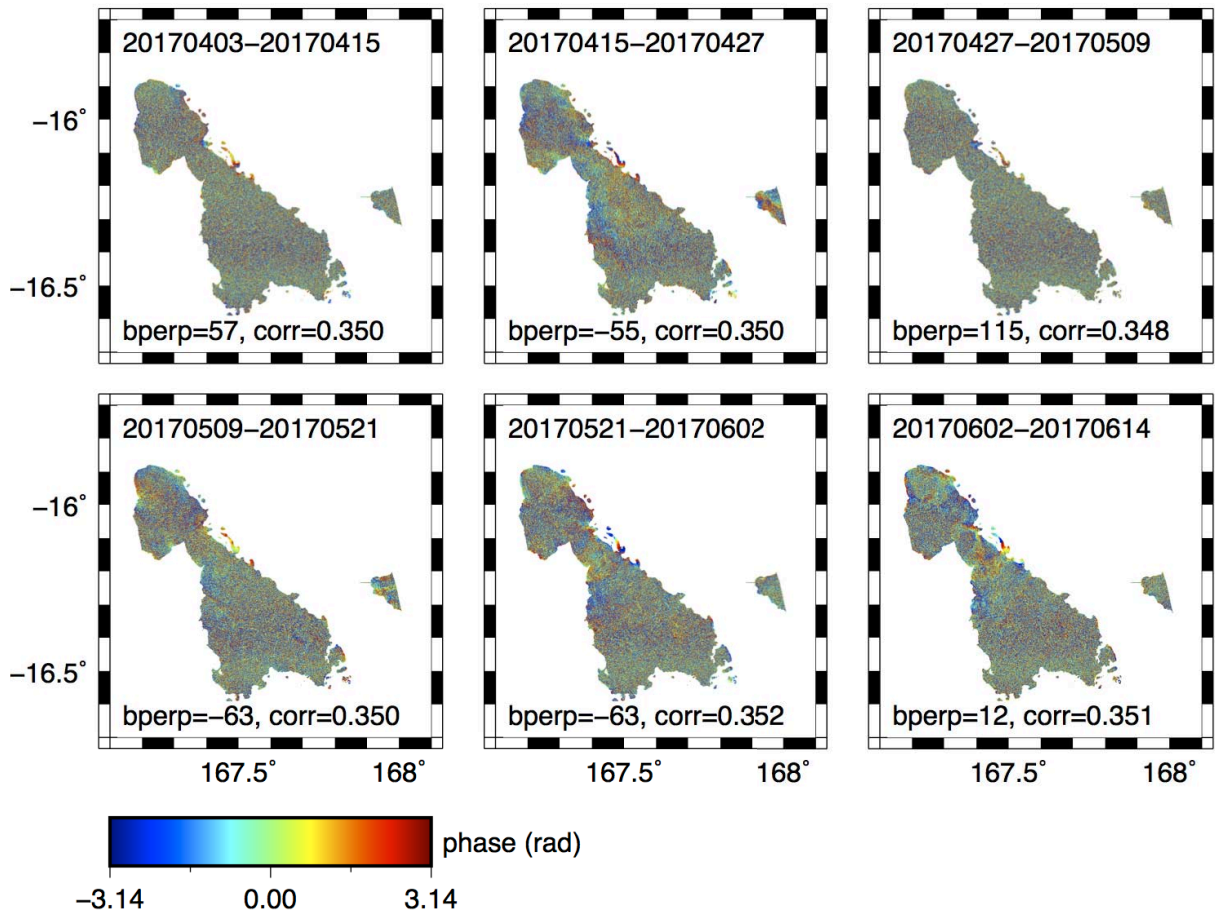


Figure S36. 12-day interferograms of Melampa Island, Vanuatu, the epicentral region of the 2016 Norsup, Vanuatu earthquake. Each interferogram is labelled with its acquisition dates, perpendicular baseline (bperp) and mean epicentral correlation (corr). All interferograms are noisy, have low mean epicentral correlation, and would be unlikely to record earthquake deformation, suggesting that a 12 day repeat interval is insufficient for successful earthquake studies in this region.