

1 Pre-existing normal faults have limited control on the 2 rift geometry of the northern North Sea

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17 18 **Abstract**

19 Many rifts develop in response to multiphase extension with numerical and
20 physical models suggesting that reactivation of first-phase normal faults and
21 rift-related variations in bulk crustal rheology control the evolution and final
22 geometry of subsequent rifts. However, many natural multiphase rifts are
23 deeply buried and thus poorly exposed in the field and poorly imaged in
24 seismic reflection data, making it difficult to test these models. Here we
25 integrate recent 3D seismic reflection and borehole data across the entire
26 East Shetland Basin, northern North Sea, to constrain the long-term, regional
27 development of this multiphase rift. We document the following key stages of
28 basin development: (i) pre-Triassic to earliest Triassic development of multiple
29 sub-basins controlled by widely distributed, NNW- to NE-trending, east- and
30 west-dipping faults; (ii) Triassic activity on a single major, NE-trending, west-
31 dipping fault located near the basins western margin, and formation a large

32 half-graben; and (iii) Jurassic development of a large, E-dipping, N- to NE-
33 trending half-graben near the eastern margin of the basin, which was
34 associated with rift narrowing and strain focusing in the Viking Graben. In
35 contrast to previous studies, which argue for two discrete periods of rifting
36 during the Permian-Triassic and Late Jurassic-Early Cretaceous, we find that
37 rifting in the East Shetland Basin was protracted from pre-Triassic to
38 Cretaceous. We find that, during the Jurassic, most pre-Jurassic normal faults
39 were buried and in some cases cross-cut by newly formed faults, with only a
40 few being reactivated. Previously developed faults thus had only a limited
41 control on the evolution and geometry of the later rift. We instead argue that
42 strain migration and rift narrowing was linked to the evolving thermal state of
43 the lithosphere, an interpretation supporting the predictions of lithosphere-
44 scale numerical models. Our study indicates that additional regional studies of
45 natural rifts are required to test and refine the predictions of physical and
46 numerical models, more specifically, our study suggests models not explicitly
47 recognising or including thermal or rheological effects might over emphasise
48 the role of discrete pre-existing rift structures such as normal faults.

49

50 *Keywords: multiphase rift, pre-existing fault, fault reactivation, rift narrowing,*
51 *rift geometry, East Shetland Basin*

52

53 **1. Introduction**

54 Continental extension marks the first stage of ocean basin formation, being
55 associated with normal faulting and the development of rift basins (e.g. Nagel

56 and Buck, 2007). Because continental breakup is protracted (i.e. several tens
57 of millions of years; e.g, Ziegler and Cloetingh, 2004), and the related
58 extensional forces are complex, many rifts are products of not one, but
59 multiple phases of extension (e.g., the northern North Sea, Færseth, 1996;
60 the Gulf of Thailand, Morley et al., 2004; and the Galicia rifted margin, Reston,
61 2005). Unlike polyphase rifts, in which the rheologic character changes due to
62 progressive deformation and thinning during a single extension phase (e.g.,
63 fault block rotation and locking, Reston, 2005; ductile to brittle deformation,
64 Lavier and Manatschal, 2006), multiphase rifts have been exposed to multiple
65 episodes of extension (with or without a change in extensional direction), with
66 extension phases possibly separated phases of quiescence.

67 The geometry and evolution of such multiphase rifts, especially during the
68 latter stages of their development, may thus be controlled by reactivation of
69 discrete, pre-existing, upper crustal structures, such as normal faults, or more
70 pervasive fabrics developed during earlier rift or orogenic periods (e.g.,
71 Badley et al., 1988; Strecker et al., 1990; Coward, 1993; Færseth, 1996; Keep
72 and McClay, 1996; Odinsen et al., 2000; Gawthorpe et al., 2003; Morley et al.,
73 2004; Bellahsen and Daniel, 2005; Cowie et al., 2005; Reston, 2005; Henza
74 et al., 2010, 2011; Nixon et al., 2014, Whipp et al., 2014; Duffy et al., 2015;
75 Phillips et al., 2016). However, because sedimentary basins formed during the
76 early stages of multiphase rifting are progressively buried and structurally
77 overprinted during later stages of rifting, it can be difficult to assess the role
78 pre-existing faults play in controlling subsequent rift geometry. In some cases,
79 older faults are abandoned and may in fact be cross-cut by newly formed

80 structures (e.g., Lee and Hwang, 1993; Thomas and Coward, 1995; Reston,
81 2005; Tomasso et al., 2008; Bell et al., 2014).

82 Scaled physical models provide useful insights into the geometry and
83 kinematics of upper-crustal, fault networks during multiphase rifts, predicting
84 pre-existing faults are likely to be at least partly reactivated if the stretching
85 direction changes by $<45^\circ$ between extension events (Henza et al., 2010).
86 Although powerful, the majority of these models tend to focus on relatively
87 small fault networks and do not incorporate the superimposed effects of
88 lithosphere-scale heterogeneities (e.g. rheology and temperature). Unlike
89 crustal-scale physical models, lithosphere-scale numerical models can
90 explicitly capture variations in lithosphere properties at a scale appropriate to
91 multiphase rifts associated with continental breakup. Lateral variations in
92 lithosphere rheology and temperature, which may be imposed by and
93 inherited from earlier phases of stretching, may also play a key role in
94 controlling the location and style of rifting (e.g. Buck et al, 1999; Odinsen et
95 al., 2000; Burov and Poliakov, 2001; Huismans et al., 2001; Behn et al., 2002;
96 Ziegler and Cloetingh, 2004; Cowie et al., 2005; Huismans and Beaumont,
97 2007; Nagel and Buck, 2007; Naliboff and Buiter, 2015). For example, Naliboff
98 and Buiter (2015) use finite difference models to show that, if the period of
99 tectonic quiescence between rift phases is sufficiently long, then the
100 integrated strength of the first-phase rift axis site can recover, leading to large-
101 scale rift migration and the abandonment of first-phase faults. However, most
102 lithosphere-scale models are of insufficient spatial resolution (>1 km) to allow
103 direct investigation of the impact of individual pre-existing faults on the

104 geometry and evolution of subsequent fault networks and the rift basins they
105 control.

106 Outcrop studies can reveal the geometry and kinematic development of large
107 rift-related fault arrays (i.e., a kinematically linked group of faults that are 10's
108 to 100 km of length) at a relatively high-level of spatial and temporal precision
109 (e.g., Strecker et al., 1990; Gawthorpe et al., 2003; Morley et al., 2004).
110 However, such studies are typically limited by the quantity and quality of
111 outcrop, with structures and stratigraphy associated with only one rift stage
112 being exposed. In contrast, subsurface studies utilising long (10's to 100 km),
113 widely spaced (>5 km) 2D seismic profiles allow us to define the basin-scale
114 geometry of structures associated with individual tectonic phases in
115 multiphase rifts, but these lack the spatial detail needed to investigate how
116 pre-existing faults behave on the scale of individual fault systems (i.e.,
117 kinematically linked group of faults that are 1-to several 10's of km long) (e.g.,
118 Badley et al., 1988; Coward, 1993; Thomas and Coward, 1995; Færseth,
119 1996; Reston, 2005). More insightful are subsurface studies using 3D seismic
120 reflection data (e.g., Tomasso et al., 2008; Nixon et al., 2014, Whipp et al.,
121 2014; Duffy et al., 2015). These studies are able to highlight the sometimes
122 subtle influence of pre-existing faults on subsequent fault system
123 development. However, these typically only consider a limited time-interval
124 (<50 Myr) due to the limited depth of imaging, thus do not cover the full
125 multiphase rift history. Furthermore, as individual 3D surveys typically cover
126 only ~500 km², these studies are usually too small to assess the relative
127 influence of lithospheric-scale processes.

128 In this study we combine well log-tied 2D and multiple merged 3D seismic
129 reflection surveys (~10,000 km²) from the East Shetland Basin, northern North
130 Sea (Fig. 1), to resolve the structure of the basin from pre-Triassic to the
131 present day. Using these observations we address the following questions: (i)
132 do pre-existing normal faults control rift geometry?; and (ii) does the
133 lithosphere thermal and rheological state and structure influence rift
134 geometry?. By addressing these questions, we test the predictions of physical
135 and numerical models of multiphase rifting. Moreover, unlike most previous
136 studies (see above), our extensive, high-quality dataset allows us to
137 document how pre-existing normal faults throughout a regional fault array
138 accommodate later extension.

139

140 **2. Geological setting**

141 The East Shetland Basin is located in the northern North Sea, on the western
142 flank of the North Viking Graben (Fig. 1a). The present day geometry of the
143 East Shetland Basin is dominated by structures related to the last major
144 phase of rifting during the Middle-to-Late Jurassic. These structures comprise
145 N- to NE-trending, east-dipping normal faults (Cormorant, Pelican, Heather,
146 Murchison, Osprey, Hutton, Ninian, Statfjord, Brent, Strathspey, Alwyn, and
147 Tordis faults) bounding 60–75 km long, 15–25 km wide half-grabens in the
148 middle and eastern part of the East Shetland Basin (Fig. 1c). The East
149 Shetland Platform lies along the western margin of the East Shetland Basin,
150 forming a high that is bounded by two major east-dipping faults (Hudson and
151 West Margin faults), whereas the Tern-Eider Ridge represents a prominent

152 horst block located in the NW of the East Shetland Basin that is flanked by the
153 Tern and Eider faults (Figs. 1c). The Magnus and Tern sub-basins lie to the
154 north and south of the Tern-Eider Ridge, respectively, and the Ninian sub-
155 basin is located in the southern part of the East Shetland Basin (Fig. 1c).

156 Major phases of basement-involved extension occurred in the Late
157 Palaeozoic to Mesozoic (e.g., Coward, 1990, & 1993, Platt, 1995), with most
158 authors agreeing that the northern North Sea experienced two discrete
159 phases of extension in the Permian-Triassic and Middle-to-Late Jurassic (e.g.,
160 Badley et al., 1988; Lee and Hwang, 1993; Thomas and Coward, 1995;
161 Færseth, 1996; Odinsen et al., 2000). The northern North Sea region is a
162 moderately stretched rift, with low β -values i.e. stretching-values). Both
163 extension phases were of approximately the same magnitude, reaching β -
164 values of ~1.4 across the entire width of the northern North Sea, and 1.3 and
165 1.1 across the East Shetland Basin for the Permian-Triassic and Middle-to-
166 Late Jurassic, respectively (Roberts et al., 1995; Færseth, 1996; Odinsen et
167 al., 2000).

168 Many authors suggest Late Palaeozoic to Mesozoic rift development was
169 influenced, if not directly controlled, by the inherited Caledonian and Devonian
170 structural framework, both in the East Shetland Basin (Coward, 1990 & 1993;
171 Rattey and Hayward, 1993; Platt, 1995; Thomas and Coward, 1995) and
172 elsewhere (e.g., Doré et al. 1997), although this view has recently been
173 challenged (e.g., Reeve et al., 2013). Reactivation of large Permian-Triassic
174 faults during Middle-to-Late Jurassic rifting throughout the northern North Sea
175 has been proposed (e.g., Badley et al., 1988; Færseth, 1996; Odinsen et al.,
176 2000; Cowie et al. 2005). However, in the East Shetland Basin, an alternative

177 interpretation, envisaging that Permian-Triassic faults are partly cross-cut and
178 only partly reactivated during Middle-to-Late Jurassic rifting, is suggested
179 (e.g., Lee and Hwang, 1993; Thomas and Coward, 1995; Tomasso et al.,
180 2008). For example, Tomasso et al. (2008) propose that west-dipping Triassic
181 normal faults developed in the SE of the East Shetland Basin and were
182 subsequently cross-cut by new, large-displacement, east-dipping faults during
183 Middle-to-Late Jurassic rifting. Tomasso et al. (2008) thus argue that pre-
184 existing Permian-Triassic faults did not control the Middle-to-Late Jurassic rift
185 geometry, at least in this part of the basin.

186

187 **3. Data and methods**

188 3.1 Seismic Reflection and Well Data

189 We use a regional compilation of 2D and 3D time-migrated seismic reflection
190 surveys collected between 2006 and 2012 (Fig. 1b). The 2D profiles cover the
191 entire East Shetland Basin, and four partly overlapping, now-merged 3D
192 seismic “merged-surveys” cover almost the whole western margin of the North
193 Viking Graben (Fig. 1b). The 3D seismic reflection merged-surveys image to
194 depths of 4.5 to 6.5 s TWT and have a 12.5×12.5 m or 25×25 m in-line and
195 cross-line spacing, thus enabling detailed horizon and fault interpretations
196 across much of the East Shetland Basin. The 2D profiles have a line spacing
197 of ~5 km and image to a depth of ~8 s TWT, making them suitable for regional
198 mapping and imaging deeper structures that are not always imaged by the 3D
199 surveys. Data quality ranges from excellent for some of the 3D surveys to
200 moderate for some of the 2D lines. In addition to the seismic reflection data,

201 we use 82 exploration wells (Fig. 1b). These wells contain a standard wireline
202 log suite, including gamma-ray (GR), density (RHOB), sonic (DT), checkshot,
203 chrono- and lithostratigraphic information, and final well reports. Thirty-nine
204 wells terminate in the Jurassic, 37 in the upper part of the Triassic, and six
205 penetrate the entire Triassic succession (Fig. 1b). The wells have been tied to
206 the seismic data through the construction of synthetic seismograms (Figs. 1b,
207 2).

208

209 3.2 Seismic interpretation

210 We interpreted nine key horizons across the seismic dataset (6800 km²)
211 (Figs. 2, 3). With the exception of the pre-Triassic horizons, all horizon
212 interpretations are tied to wells (Figs. 1b, 2). The three pre-Triassic horizons
213 are picked based on their continuous, high-amplitude seismic character;
214 however, because they are not tied to well data, we cannot directly constrain
215 their ages, hence they are named *Pre-Triassic 1, 2, and 3*.

216 To accurately determine the structure and evolution of the East Shetland
217 Basin, structurally complex parts of the basin were interpreted on in-lines and
218 cross-lines spaced at 250 m, and on broadly NE- and NW-trending lines with
219 625 m spacing. Structurally simpler areas were interpreted on in-lines and
220 cross-lines and/or on broadly NE- and NW-trending lines with 625 m spacing.
221 All faults (n=285) have been interpreted on at least two differently striking
222 seismic lines, one of which trends approximately perpendicular to local fault
223 strike. To improve our interpretation of the major faults (>25 km long) multiple
224 horizontal (time) slices with 300 ms TWT (vertical) spacing were used.

225

226 3.3 Time-structure and isochron maps

227 Time-structure maps of nine key horizons were used to calculate time-
228 thickness (isochron) maps of the eight key stratigraphic intervals. Time-
229 stratigraphic thickness maps are used as a proxy for syn-depositional fault
230 activity because, in rift basins, variations in sediment thickness are
231 predominantly controlled by syn-depositional normal faulting (e.g., McLeod et
232 al., 2000; Childs et al., 2003; Bell et al., 2014). Time-depth data from 79 wells
233 were used to determine an average time-depth relationship; this allowed us to
234 convert thicknesses measured in TWT to metres with an c. 7% error. The
235 principal thickness changes across faults are relatively large (>100 ms TWT
236 across a fault) and therefore the thickness trends are unlikely to change
237 significantly after depth conversion (cf. Tomasso et al., 2008). Furthermore,
238 well data located on the footwall and hanging wall of major faults suggest that
239 no underfilled basins are present in the study area: syn-kinematic sediments
240 were deposited on both the hanging and footwall side of the fault.

241

242 **4. Sediment thickness distribution and depocentre evolution**

243 We have generated eight isochrons to illustrate temporal and spatial
244 variations in the thickness of key stratigraphic units in the East Shetland Basin
245 (Fig. 4). In addition to structural and stratigraphic geometries observed on the
246 seismic profiles (Figs. 3, 5-8), these isochrons document the pre-Triassic to
247 Cretaceous evolution of the principal rift-related depocentres.

248

249 4.1 Unit 1 (Pre-Triassic 1 – Pre-Triassic 2)

250 Within Unit 1 a number of large (~7 km long by up to 1400 m deep)
251 depocentres are observed in the Magnus, Tern and Ninian sub-basins and in
252 the hanging walls of the NE-trending, Eider and Pelican faults (Fig. 4a, 5). We
253 also observe thinner, but still substantial depocentres (up to 580 m) in the
254 eastern part of the East Shetland Basin, adjacent to the Ninian and Cormorant
255 faults (Figs. 3a, 4a).

256

257 4.2 Unit 2 (Pre-Triassic 2 – Pre-Triassic 3)

258 Depocentres that developed in the Tern sub-basin and hanging wall of the
259 Pelican Fault during the previous time-interval continued to deepen during
260 deposition of Unit 2 (Fig. 4b). The depocentre adjacent to the Ninian Fault,
261 which defined the Ninian sub-basin, became segmented into two (Figs. 3c,
262 4b, 6a). A large depocentre formed in the hanging wall of the Eider Fault,
263 burying the previously developed Magnus sub-basin (Figs. 3c, 4b). In the
264 hanging wall of the large N- to NE-trending faults located in the middle of the
265 East Shetland Basin (Cormorant, Murchison, Osprey, and Thistle faults),
266 deposits of Unit 2 are relatively thin (~580 m) and fairly isopachous. In the SE
267 of the study area, we observe eastward thickening of this unit towards the
268 crest of the Ninian footwall (Figs. 3b, c, 4b, 6a).

269

270 4.3 Teist Formation (Lower Triassic) (ca. 251-245 Ma)

271 Overall, Lower Triassic deposits are relatively thin in the East Shetland Basin,
272 gradually thickening eastward from ~170 to 520 m, with a few small
273 depocentres in the hanging walls of several of the NE-trending faults (e.g.,
274 Pelican, Tern, and Eider faults, and Tern sub-basin; Figs. 3a, 4c). A major
275 depocentre does, however, occur on the western flank of the Ninian sub-
276 basin, with strata thickening westward (up to 1740 m thick) into the immediate
277 hanging wall of the Heather Fault (Figs. 3a, 4c).

278

279 4.4 Lomvi and Lunde formations (Middle-to-Upper Triassic) (ca. 245-201 Ma)

280 There is a clear change in sediment thickness patterns in Middle-to-Upper
281 Triassic deposits described here (Fig. 4d) compared to the older seismic units
282 (Figs. 4a, b, c). For example, in the western part of the basin, rather than
283 being a broadly isopachous depocentre in the hanging wall of the Eider Fault,
284 Middle-to-Upper Triassic deposits define a single, ~840 m thick depocentre
285 towards its southern end, and thinning northward towards the Magnus sub-
286 basin (down to ~500 m) (Figs. 3a, b, 4d, 5). In the footwall of the Eider Fault,
287 Middle-to-Upper Triassic deposits thicken gradually eastward from ~75 m on
288 the Tern-Eider Ridge to ~1200 m south of the Tordis Fault (Figs. 3, 4d, 6, 7).

289

290 4.5 Statfjord Formation (uppermost Triassic-to-Lower Jurassic) (ca. 201-192 291 Ma)

292 Another major change in sediment thickness distribution occurs during the
293 deposition of the uppermost Triassic-to-Lower Jurassic deposits (Fig. 4e).

294 Rather than defining a single, large, fault-bound depocentre located on the
295 western margin of the East Shetland Basin, the uppermost Triassic-to-Lower
296 Jurassic sediments vary little in thickness, and are characterized by tabular
297 packages of sub-parallel reflections (Fig. 3, 4e, 8). We note that hanging wall
298 packages are not wedge-shaped; rather, they are tabular like, albeit thicker
299 than, their footwall counterparts resulting in step-wise, across-fault, thickness
300 changes (Fig. 3, 4e, 8). Examples of this style of seismic-stratigraphic
301 geometry occur adjacent to the Cormorant Fault (0 to ~80 m thickness
302 increase), the Ninian, Hutton, Murchison faults (~75 to ~250 m thickness
303 increase), and the Alwyn, Strathspey, Brent, and Staffjord faults ~230 to ~ 475
304 m thickness increase) (Figs. 3, 4e, 8).

305

306 4.6 Dunlin Group (Lower Jurassic) (ca. 192-175 Ma)

307 The trend of step-wise thickness changes of tabular stratigraphic packages
308 across major N- to NE-trending faults is also observed in Lower Jurassic
309 deposits of the Dunlin Group (Figs. 3, 4f). This is particularly well expressed
310 across the Cormorant Fault (0 to ~85 m thickness increase), Ninian and
311 Hutton faults (0 to ~225 m thickness increase), and Alwyn, Strathspey and
312 Brent faults (~190 to 440 m thickness increase).

313

314 4.7 Brent Group (Middle Jurassic) (ca. 175-166 Ma)

315 During deposition of the overall relatively thin (up to 350 m) Brent Group (Fig.
316 4g), depocentres not only developed in the hanging walls of most of the N- to

317 NE-trending, east-dipping faults (Cormorant, Ninian, Hutton, Alwyn,
318 Strathspey, Brent, and Staffjord faults), but also in the hanging walls of the
319 NE-trending Eider, Osprey, Murchison, and Heather faults (Figs. 3, 4g).
320 Thicknesses typically increase from ~75 m on the footwalls of these faults to
321 ~180 – 360 m in the adjacent hanging wall depocentres (Fig. 4g).

322

323 4.8 Viking Group (Middle-to-Upper Jurassic) (ca. 166-145 Ma)

324 At this time, large, 25 km long depocentres (up to 1550 m thick) developed in
325 the hanging walls of the major N- to NE-trending faults across the entire width
326 East Shetland Basin. Prominent wedge-shaped stratigraphic packages define
327 these depocentres (Figs. 3, 4h, 6-8). It should be noted that the Base
328 Cretaceous Unconformity (BCU), which is locally erosional over the footwall
329 crests, defines the top of this stratigraphic package. Calculated thicknesses,
330 thus, represent a minimum value in the footwall crests.

331

332

333 **5. Rift-related evolution of the East Shetland Basin**

334 The isochron maps allow us to document the distribution of sediment
335 depocentres through time and space (Fig. 4). In combination with the cross-
336 cutting relationships between faults observed on the seismic data (Figs. 3, 5-
337 8), these isochrons yield a detailed insight on the fault array development
338 throughout the East Shetland Basin (Fig. 9). From the pre-Triassic to Early
339 Triassic, multiple 10 – 20 km long, NNW- to NE-trending, west- and east-

340 dipping faults were active in the middle and western parts of the East
341 Shetland Basin (Figs. 4a-c, 9a-c). These faults formed the boundaries to
342 several large depocentres (~15 by 5 km). The predominant strike of the active
343 faults changed throughout this interval from NNW-SSE and N-S, to NE-SW
344 (Figs. 4a-c, 9a-c), with the latter trend possibly reflecting reactivation of the
345 NE-SW Caledonian structural grain (e.g., Coward, 1990 & 1993; Rattey and
346 Hayward, 1993; Platt, 1995; Thomas and Coward, 1995). We have no direct
347 evidence of the presence of any basement fabrics in our dataset, however.

348 During the Middle-to-Late Triassic, strain was mainly focused in the west of
349 the East Shetland Basin, being localised on the Eider Fault (Fig. 5, 9d).
350 Elsewhere, syn-depositional faulting ceased, and strata gradually thickened
351 eastward across the East Shetland Basin (Figs. 3, 4d, 6, 7), possibly due to
352 activity on a large west-dipping fault located east of the study area near the
353 axis of the North Viking Graben (e.g., Tomasso et al., 2008). An alternative
354 interpretation is that this gradual eastward thickening of Middle-to-Upper
355 Triassic sediments reflects thermal subsidence associated with Permian-
356 Triassic fault activity in the Horda Platform, which is located on the eastern
357 margin of the North Viking Graben (Fig. 4a) (e.g., Badley et al., 1988; Lee and
358 Hwang, 1993; Thomas and Coward, 1995; Færseth, 1996; Odinsen et al.
359 2001; Bell et al., 2014).

360 During the latest Triassic-to-Early Jurassic (Figs. 4e, f, 9e, f), strain mainly
361 focused in the eastern part of the East Shetland Basin on long, N- to NE-
362 trending, east-dipping faults that formed the boundaries to large, ~50 km long
363 and ~25 km wide, half-grabens. Some of these faults represent reactivated
364 pre-Jurassic normal faults (Figs. 4e, f, 6, 9e-g); however, others are new,

365 relatively steep faults, cross-cutting older inactive and buried fault systems
366 (Figs. 4e, f, 6-8, 9e-g). The change from relatively distributed faulting in the
367 centre and western parts of the East Shetland Basin during the pre-Triassic
368 and Triassic, to relatively focused faulting in the eastern part of the basin
369 during the Early-to-Middle Jurassic, documents the onset of the Late Jurassic
370 Viking Graben rift system. Long, N-trending, east-dipping fault systems
371 remain active during the Late Jurassic, and smaller faults mainly develop
372 parallel to the larger faults (Figs. 4b, 7, 9f-h).

373 Most of the Late Jurassic faults originated no earlier than the latest Triassic,
374 or were newly formed in the Late Jurassic (Fig. 4e, 9e). The main pre-Jurassic
375 faults either: (i) became inactive, were buried, and, in some cases, were
376 cross-cut by faults developed during the Jurassic (e.g., Ninian and Tern sub-
377 basins, Figs. 3b, c, 6, 9); or (ii) underwent only minor reactivation relative to
378 the newly formed major faults during the main period of Late Jurassic rifting
379 (e.g., Magnus sub-basin, Figs. 4a, h, 7, 8).

380

381 **6 Discussion**

382 **6.1 Do pre-existing normal faults control rift geometry?**

383 Physical models, and several field and subsurface-based studies, suggest
384 that structures produced by an earlier phase of extension strongly control the
385 pattern of faulting and rift geometry. In areas where dip angle, dip direction
386 and stress conditions are favourable, earlier developed faults will be prone to
387 reactivation (e.g., Keep and McClay, 1996; Morley et al., 2004; Bellahsen and

388 Daniel, 2005; Henza et al., 2010 & 2011; Whipp et al., 2014; Duffy et al.,
389 2015). However, this relationship can be complex; for example, some or only
390 parts of the earlier developed fault array may be reactivated (Lee and Hwang,
391 1993; Thomas and Coward, 1995; Tomasso et al., 2008), and/or fault
392 reactivation may be strongly diachronous (e.g., Bell et al., 2014). Our study
393 shows that many earlier developed faults in the East Shetland Basin were not
394 reactivated, but cross-cut by newly formed, relatively steep-dipping faults,
395 during subsequent extension (Figs. 4e, f, 5, 6-8), and thus played only a minor
396 role in controlling the subsequent rift geometry. This directly challenges the
397 view forwarded by most previous studies that do suggest key control of earlier
398 developed faults on subsequent rift geometry (e.g., Badley et al., 1988;
399 Coward 1990 & 1993; Lee and Hwang, 1993; Rattey and Hayward, 1993;
400 Færseth, 1996; Odinsen et al., 2000; Cowie et al., 2005; Henza et al., 2010 &
401 2011; Nixon et al. 2014; Whipp et al., 2014; Duffy et al., 2015). Rock
402 mechanics suggests that, once formed, faults typically represent a plane of
403 weakness (Sibson, 1985), with less stress being required to reactivate them
404 than to create new faults (e.g., Ranalli and Yin, 1990; Yin and Ranalli, 1992;
405 Faccenna et al., 1995). In the East Shetland Basin we note that limited
406 reactivation of pre-existing faults may reflect fault strengthening due to fluid-
407 rock reactions and fault zone diagenesis (e.g., Tenthorey and Cox, 2006;
408 Naliboff and Buitter, 2015) and/or a lower angle fault dip due to rotation of
409 several earlier developed faults as the result of burial, making the pre-existing
410 faults less favourable for reactivation (e.g., Ranalli and Yin, 1990; Yin and
411 Ranalli, 1992; Morley, 1999). The results of our study are thus broadly
412 consistent with those of Tomasso et al. (2008), who argue that fault activity

413 during a first rift phase (Triassic) was mostly focused on N-trending, west-
414 dipping faults, which subsequently were cross-cut by N-trending, east-dipping
415 faults during the a second rift phase (Middle-to-Late Jurassic). However, in
416 terms of timing, we found no convincing evidence for Triassic age, N-trending,
417 west-dipping faults in the SE of the East Shetland Basin. Using our regional
418 dataset, we demonstrate that Triassic rifting did not occur in the east of the
419 East Shetland Basin. Our observations suggest Triassic development of a
420 single large N-trending, west dipping fault on the western margin of the East
421 Shetland Basin. In the footwall of this large fault Triassic deposits gradually
422 thicken eastward, reflecting rift activity east of the East Shetland Basin, in the
423 North Viking Graben or on the Horda Platform (Figs. 3, 4d, 5-8).

424 Nevertheless, in the context of the influence of pre-existing normal faults, the
425 mechanical characteristics as a result of subsequent burial, compaction, and
426 associated rotation to lower dips are thus important, as these may make a
427 fault less favourable for reactivation. The impact of these mechanical
428 characteristics during rifting, however, are not typically directly incorporated in
429 physical models of multiphase rifting (e.g., Keep and McClay, 1996; Bellahsen
430 and Daniel, 2005; Henza et al., 2010, 2011; Agostini et al., 2011). This
431 modelling limitation is important, because it suggests such models potentially
432 overestimate the importance of fault reactivation during multiphase rifting.

433

434 6.2 Does the lithosphere thermal and rheological state and structure influence
435 rift geometry?

436 The recognition that reactivation of earlier developed faults was limited
437 throughout the East Shetland Basin, indicates that the presence of pre-
438 existing faults is not always a major control on rift geometry development.
439 Heterogeneity in the mechanical characteristics of earlier developed faults
440 (see section 6.1), and moreover, the observed migration of strain throughout
441 the development of the East Shetland Basin (see section 5) suggests that rift
442 geometry is likely affected by processes other than crustal-scale
443 heterogeneity. Lithosphere-scale numerical models suggest that the thermal
444 evolution and structure of the asthenosphere and subcrustal lithosphere
445 affects rift-related crustal deformation (e.g., Buck et al, 1999; Odinsen et al.,
446 2000; Huisman et al., 2001; Behn et al., 2002; Cowie et al., 2005; Nagel and
447 Buck, 2007). For example, using a finite element model Behn et al. (2002)
448 predict that, when no regional temperature gradient is imposed on the part of
449 the crust being stretched, deformation will be distributed between several
450 conjugate fault systems forming a relatively wide rift. In contrast, in the
451 presence of a horizontally varying temperature field, perhaps imposed by an
452 earlier rift event, rift-related faulting focuses where the lithosphere is thinnest
453 (Behn et al., 2002). Cowie et al. (2005) expand on this numerical model and
454 link this prediction to the eastern part of the East Shetland Basin. They
455 demonstrate a gradual change from distributed faulting to localised faulting on
456 large, N-trending, east-dipping faults, and finally to large-scale strain migration
457 into the Viking Graben during Middle-Late Jurassic rifting (Fig. 1a). Based on
458 numerical modelling, Cowie et al. (2005) suggest that the strain migration is a
459 result of horizontal variations in the lithospheric temperature field. We observe
460 a broadly similar style of large-scale strain migration throughout the entire

461 East Shetland Basin (Fig. 10). However, Cowie et al. (2005) suggest that the
462 strain migration occurs during the Middle Jurassic-to-earliest Cretaceous
463 reactivating Permian-Triassic faults, while we show that, rather than two
464 discrete phases of extension separated by a period of tectonic quiescence,
465 the East Shetland Basin developed in response to a single, somewhat
466 protracted phase of rifting (~150 Myr) from pre-Triassic to Cretaceous with
467 limited reactivation (Fig. 10). Nevertheless we draw a similar conclusion to
468 Cowie et al., (2005), that the Middle-to-Late Jurassic geometry of the northern
469 North Sea is strongly influenced by the evolving thermal structure of the
470 lithosphere, leading to strain localization in the upper crust.

471 Although our study and that of Cowie et al. (2005) are limited to the western
472 margin of the northern North Sea rift, our results support predictions of the
473 tectonostratigraphic forward model of Odinsen et al. (2000). They suggest the
474 thermal structure of the lithosphere across the whole northern North Sea rift
475 reflects differences between the Permian-Triassic and Jurassic extension: a
476 wide thermal perturbation during the Permian-Triassic, and a narrow thermal
477 perturbation, focused under the North Viking Graben, during the Jurassic (Fig.
478 10). Moreover, our results are consistent with those of Bell et al. (2014), who
479 show that faulting patterns on the Norwegian margin of the North Viking
480 Graben are not solely controlled by reactivation of underlying, Permian-
481 Triassic faults. Bell et al. (2014), also speculate that the larger rift geometry
482 was primarily affected by the thermal and rheological evolution of the
483 lithosphere and variations in the regional stress field.

484 Rifting is typically described using two end-members, where *passive* rifting is
485 driven by far-field extensional stresses and the space created by lithosphere

486 thinning is passively filled by the atmosphere, and *active* rifting is driven by
487 active mantle plume impingement on the base of the lithosphere (e.g.,
488 Huisman et al. 2001). However, multiple studies based on 2D plain-strain
489 thermo-mechanical finite-element models, describe *rift narrowing* during
490 symmetrical continental rifting, whereby a change from wide, passive
491 extension to narrow, active extension might take place during the late syn-rift
492 and or post-rift (e.g., Huisman et al., 2001; Huisman and Beaumont 2007;
493 Nagel and Buck, 2007). Rift narrowing, thus, involves an evolving thermal and
494 rheological lithosphere during rifting. We therefore argue that the pre-Triassic
495 to Cretaceous eastward strain migration we document in the East Shetland
496 Basin demonstrates a natural example of rift narrowing. Even though the time
497 interval of the numerical models (e.g., 40 Myr full rift, Huisman et al., 2001) is
498 smaller than the northern North Sea rift phase (~150 Myr failed rift, Færseth,
499 1996), the results of Huisman et al. (2001), Huisman and Beaumont (2007),
500 and Nagel and Buck (2007), arguably demonstrate a progressive change from
501 a wide to narrower rift, as observed by previous studies (e.g., Færseth, 1996;
502 Odinsen et al., 2000; Cowie et al., 2005; Bell et al., 2014) and our study (Figs.
503 4, 10). We draw on the predictions of the previous numerical models to
504 suggest that in the northern North Sea the observed gradual change in rift
505 style from wide to narrow is more likely to be the result of the lithospheric
506 thermal and rheological evolution prior to the Late Jurassic rift maximum
507 phase, rather than the interaction of pre-Jurassic and Jurassic rift structures
508 as suggested by previous work (e.g., Badley et al., 1988; Coward, 1993;
509 Færseth, 1996). We interpret that narrowing of the rift is associated with the
510 evolving thermal and rheological structure of the lithosphere. Although pre-

511 existing structures are able to influence subsequent rift-related structures, the
512 larger lithosphere-scale thermal and rheologic heterogeneity may serve to
513 dilute their control on rift geometry.

514

515 **7. Conclusion**

516 Our observations in the East Shetland Basin, northern North Sea,
517 demonstrate that pre-existing rift related faults may have a much more limited
518 control on rift geometry and evolution in multiphase rifts than previously
519 believed. Using a regional, high quality, subsurface dataset, we document
520 how only few pre-existing faults reactivate, while most are buried and/or
521 cross-cut by younger rift-related faults during a protracted, pre-Triassic to
522 Cretaceous rift phase. We argue that limited reactivation may reflect fault
523 strengthening and/or fault dip rotation due to the burial and compaction.
524 Moreover, we suggest that the upper crustal strain migration and rift
525 narrowing is a result of the evolving lithosphere, which is in accordance with
526 predictions of lithosphere-scale numerical models of continental break-up and
527 rifting. Although the control of pre-existing faults is clearly observed in natural
528 examples on the scale of a fault system (e.g., Whipp et al., 2014; Duffy et al.,
529 2015), we propose that on a rift scale this influence might be overestimated
530 and less important than lithosphere-scale variations thermal and rheological
531 characteristics as predicted by lithosphere-scale numerical models (e.g.,
532 Huismans et al., 2001; Huismans and Beaumont 2007; Nagel and Buck,
533 2007). We, therefore, caution against the application of predictions from

534 analogue models, which do not include the role of lithospheric thermal and
535 rheological evolution.

536

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552

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731

732

733 **Figure captions**

734 **Figure 1:** a) Major tectonic elements of the northern North Sea (after
735 Færseth, 1996; Bell et al., 2014). b) Outlines of dataset used for this study. All
736 wells are tied to the seismic data and contain stratigraphic data for the
737 Jurassic (blue), Jurassic and Top Triassic (purple), and Jurassic and Triassic
738 (red). c) Time-structure map of the Top Lunde Formation with major structural
739 elements and faults: Alw = Alwyn Fault, Bre = Brent Fault, Cor = Cormorant
740 Fault, Eid = Eider Fault, ESP = East Shetland Platform, Hea = Heather Fault,
741 Hud = Hudson Fault, Hut = Hutton Fault, MSB = Magnus sub-basin, Mur =
742 Murchison Fault, Nin = Ninian, NSB = Ninian sub-basin, Osp = Osprey Fault,
743 Pel = Pelican Fault, Sta = Staffjord Fault, Str = Strathspey, TER = Tern-Eider
744 Ridge, Ter = Tern Fault, TSB = Tern sub-basin, Thi = Thistle Fault, Tor =
745 Tordis Fault, W-M = West Margin Fault. The faults and structural features are
746 named after the adjacent hydro-carbon bearing fields.

747

748 **Figure 2:** Stratigraphic column of the East Shetland Basin (modified after
749 Færseth, 1996). Showing the interpreted horizons and synthetic well ties
750 Proposed sequence stratigraphy is based on Rattey and Hayward (1993).
751 See Figure 5.1 for well locations. Depth = TVD, GR = Gamma Ray, RHOB =
752 Density, DT = Sonic, RC = Reflection Coefficient, AI = Acoustic Impedance.

753

754 **Figure 3:** Three interpreted 2D Time-migrated seismic reflection profiles
755 crossing the study area in the a) north, b) centre, and c) south. The seismic
756 profiles including well penetrations and major faults and structural features.
757 See Figures 1b for locations.

758

759 **Figure 4:** Isochrons overlain by fault polygons that offset the top surface (left)
760 with line drawing of faults over outline of 3D seismic data coverage (grey
761 polygons) (right) of a) Unit 1, b) Unit 2, c) Teist Formation, d) Lomvi and
762 Lunde formations, e) Statford Formation, f) Dunlin Group, g) Brent Group h)
763 Viking Group. Colours are based on the maximum and minimum thickness
764 value in ms TWT per isochron. Contour interval on all the isochrons is 100 ms
765 TWT. Hatched areas show locations where the top horizon is eroded. See
766 caption of Figure 1 for abbreviated fault and structural features names. See
767 Figure 1c for location.

768

769 **Figure 5:** Seismic section crossing the west-dipping Eider Fault, showing
770 periods of fault growth. For location see Figure 1c, and for horizon
771 abbreviations see Figure 3.

772

773 **Figure 6:** a) Seismic section crossing the Ninian sub-basin and the
774 reactivated Ninian Fault showing an example of the burial of older structures.

775 b) Seismic section crossing the Ninian sub-basin and reactivated Ninian Fault
776 showing an older fault cross-cut by a younger fault. Growth periods are
777 marked by white lines, and the eastward Triassic thickening direction is
778 marked by the white dashed arrows. For location see Figure 1c, and for
779 horizon abbreviations see Figure 3.

780

781 **Figure 7:** Seismic section crossing the Cormorant and Hutton faults showing
782 an older fault cross-cut by a younger fault and burial of older structures.
783 Growth periods are marked by white lines, and the eastward Triassic
784 thickening direction is marked by the white dashed arrows. For location see
785 Figure 1c, and for horizon abbreviations see Figure 3.

786

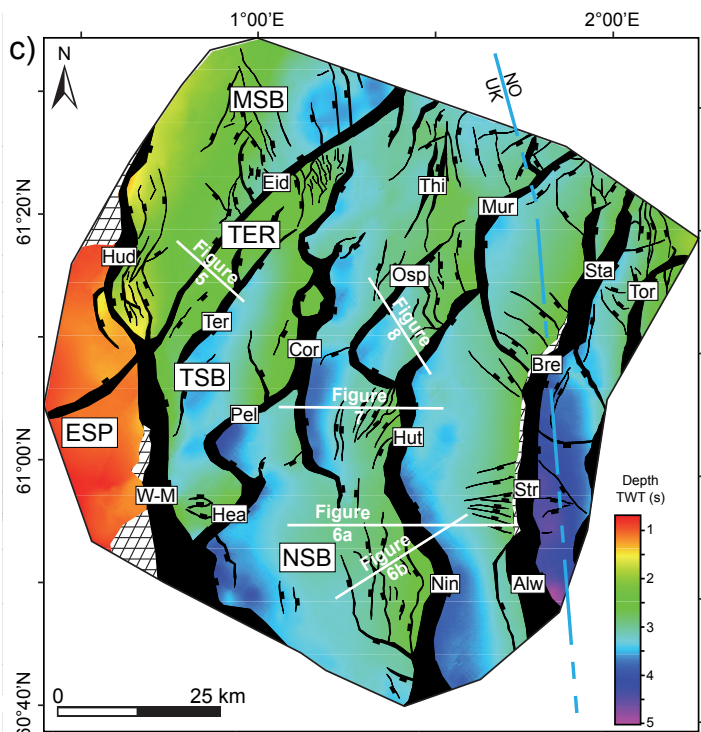
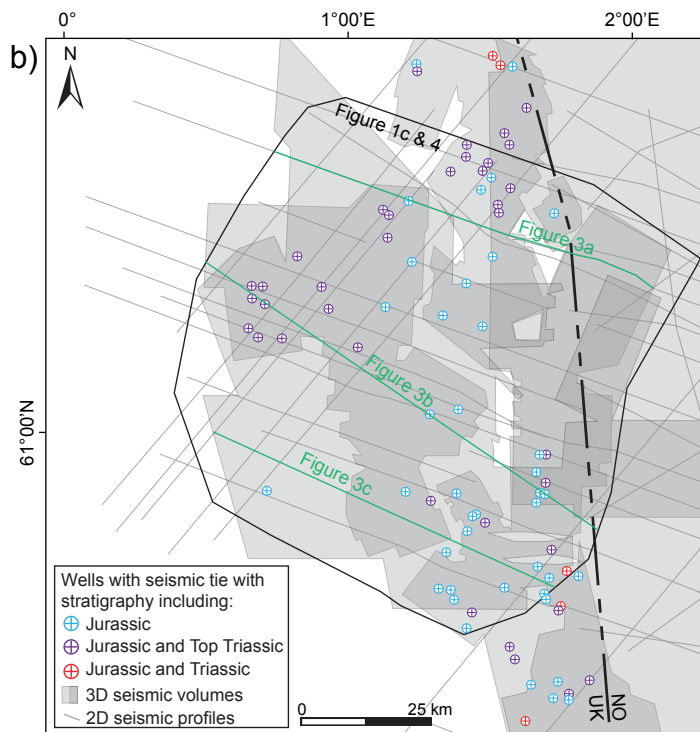
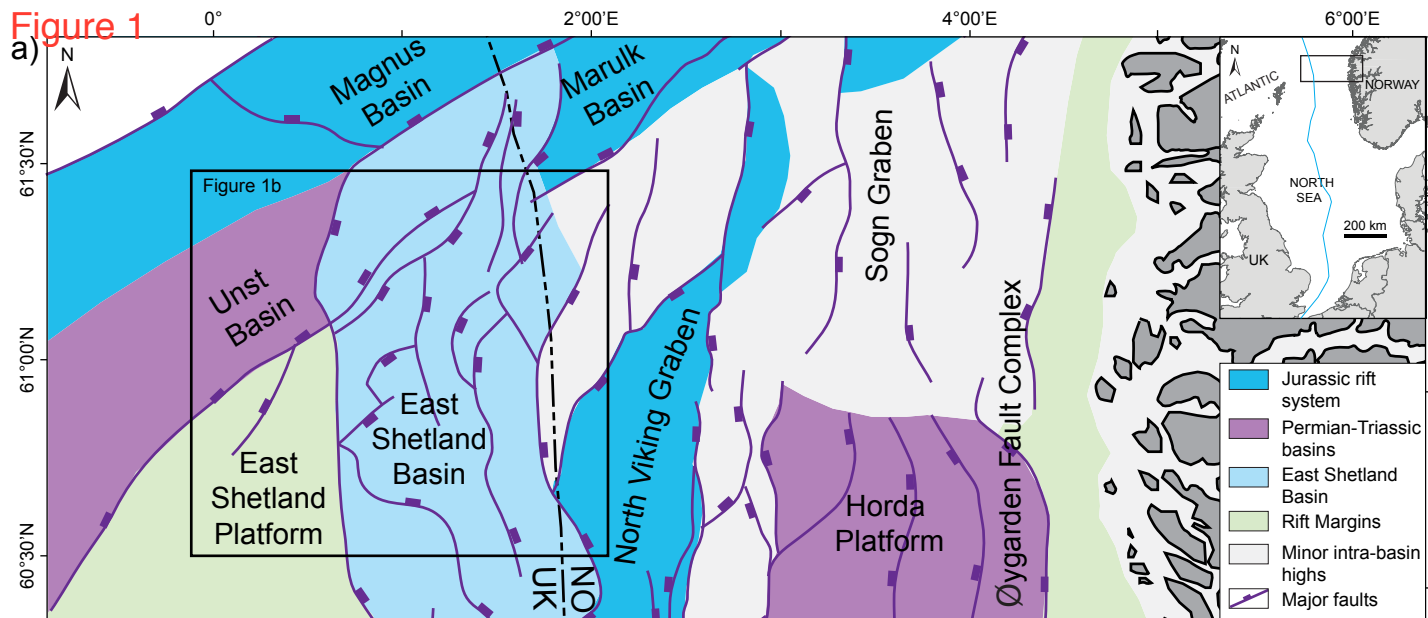
787 **Figure 8:** Seismic section crossing the Osprey and Hutton faults showing an
788 older fault cross-cut by a younger fault. Growth periods are marked by white
789 lines. For location see Figure 1c, and for horizon abbreviations see Figure 3.

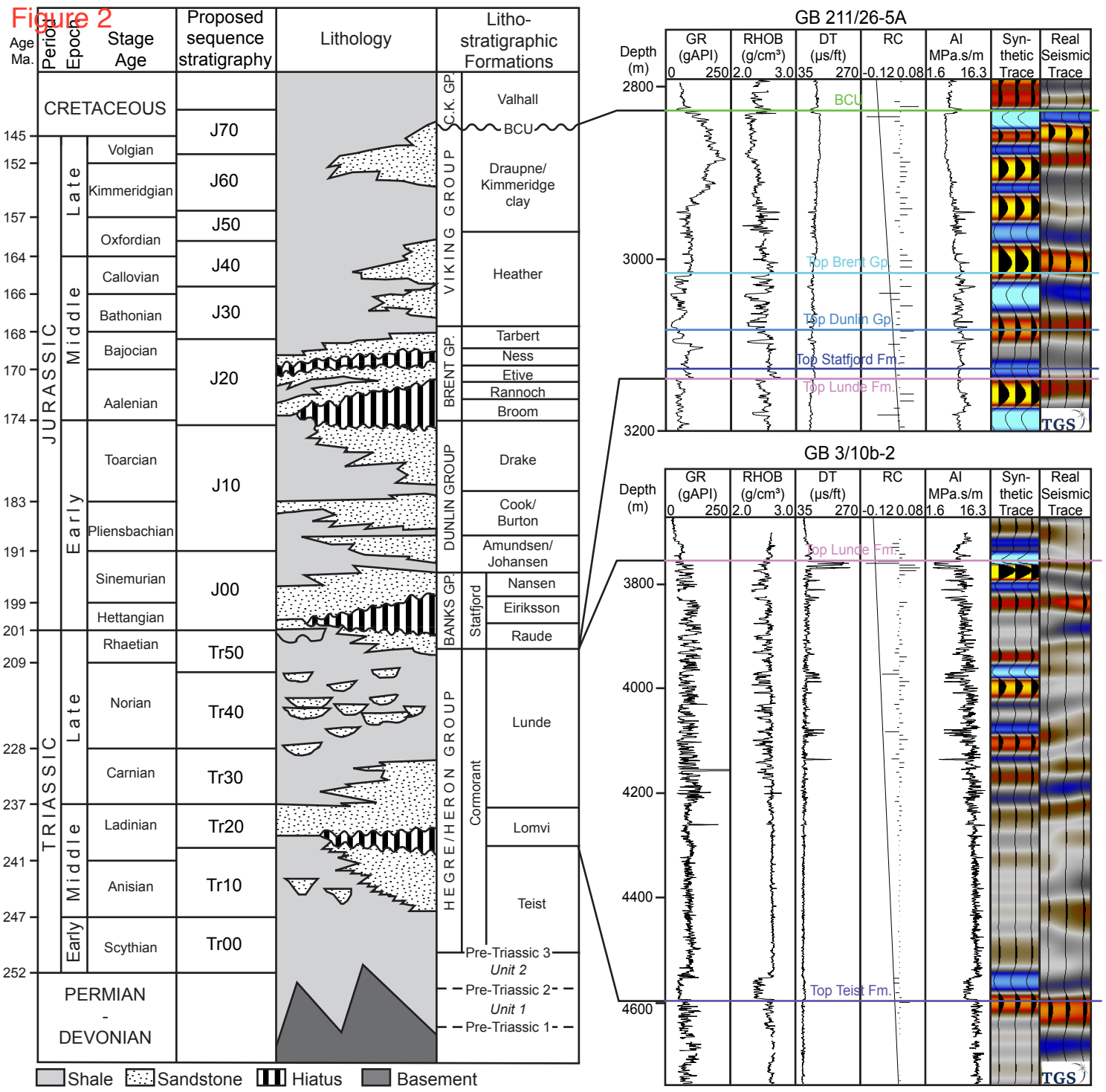
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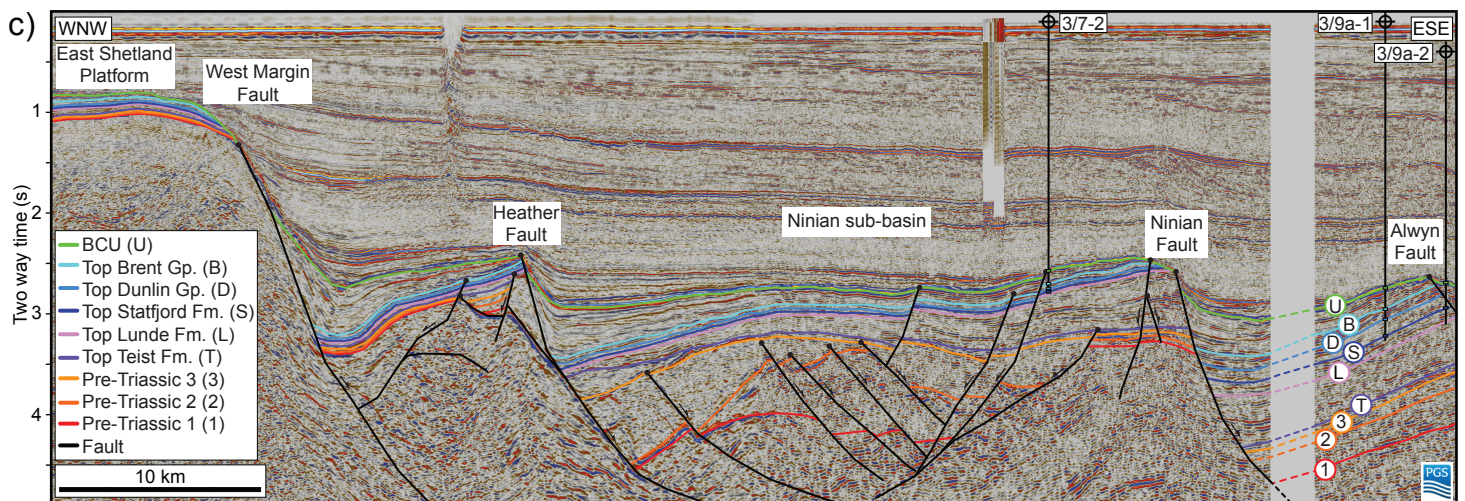
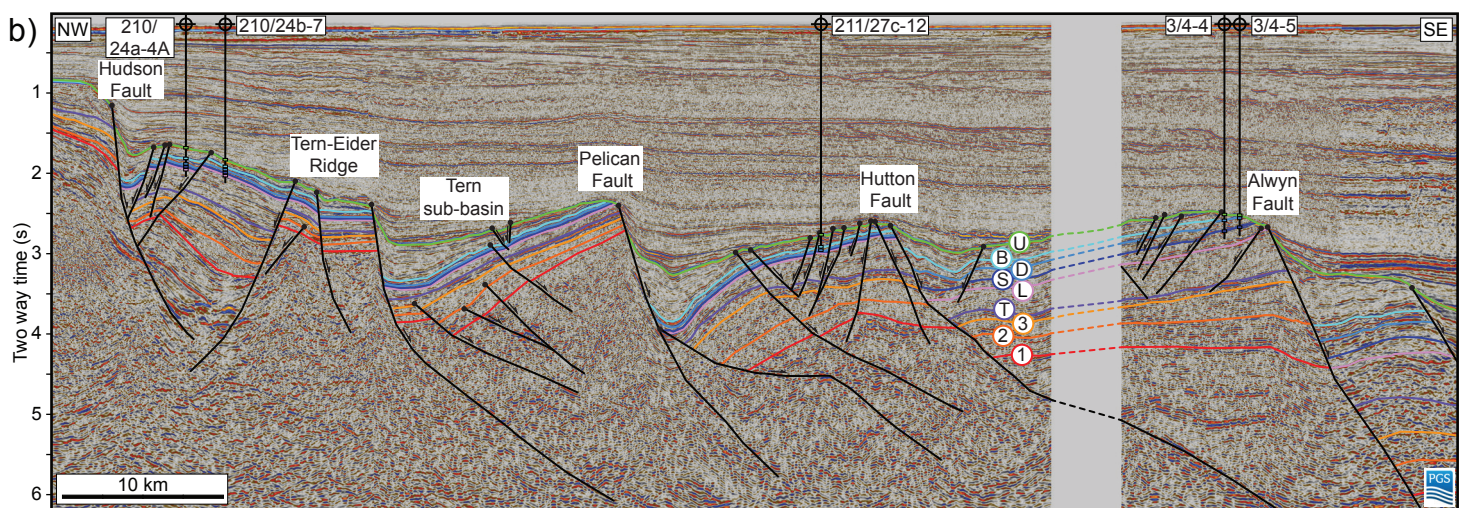
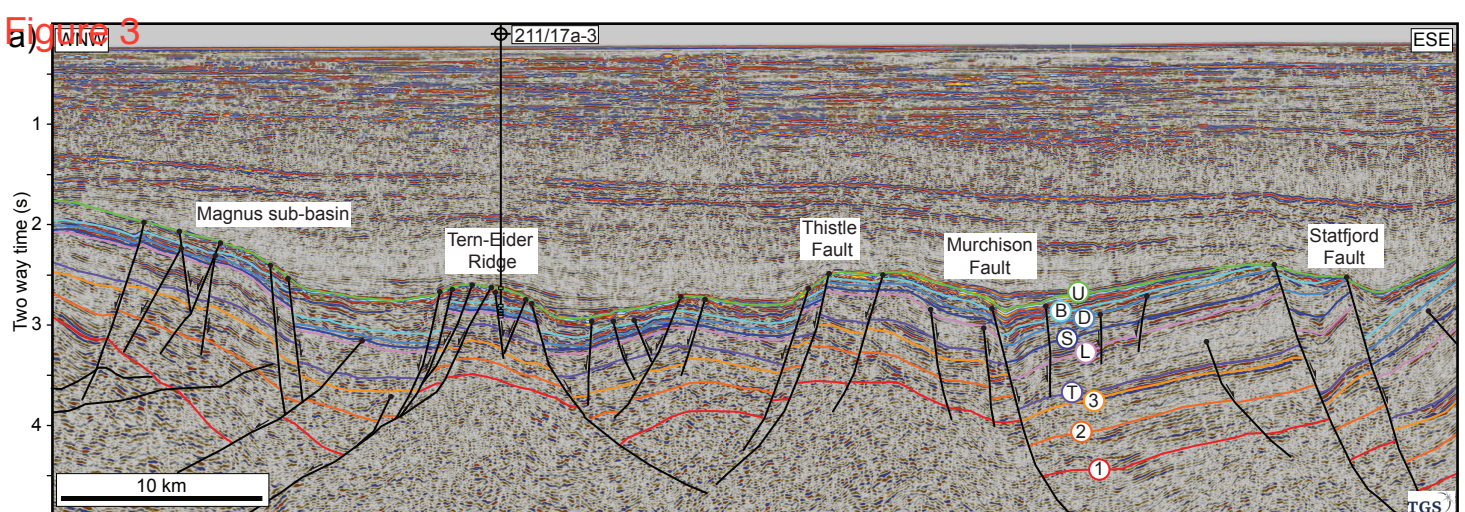
791 **Figure 9:** Schematic block diagrams showing the evolution of the East
792 Shetland Basin. From Pre-Triassic to Middle Triassic, strain is distributed
793 across the whole basin (a-c). During the Middle-to-Late Triassic, strain is
794 focussed on the western part of the basin (d). Strain switches to the eastern
795 part of the basin during Latest Triassic-to-Middle Jurassic (e). Strain remains
796 focussed on the eastern part of the basin throughout the Jurassic (f-h) Faults
797 are coloured according to subsequent development of the basin.

798

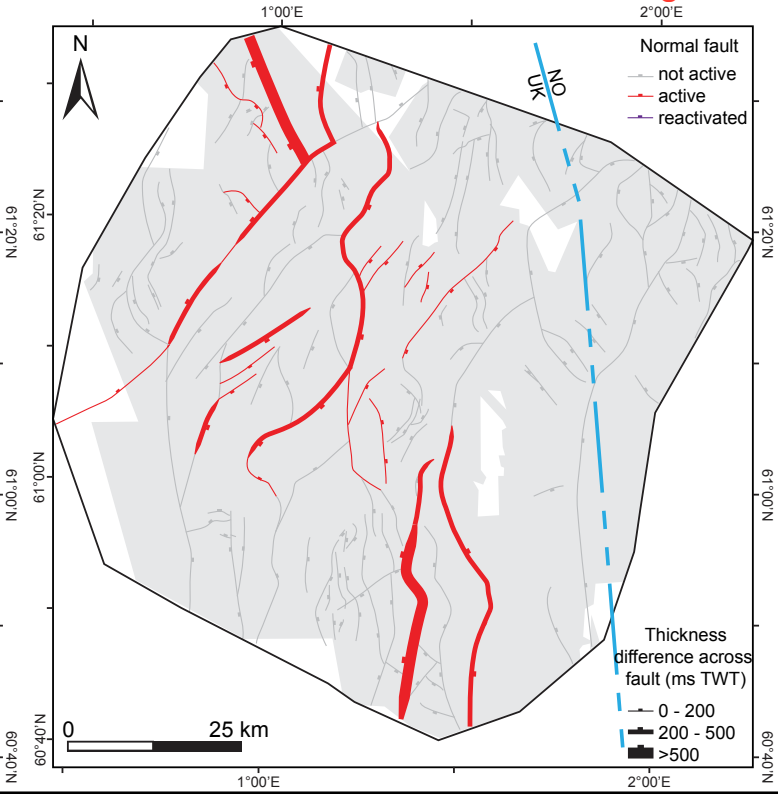
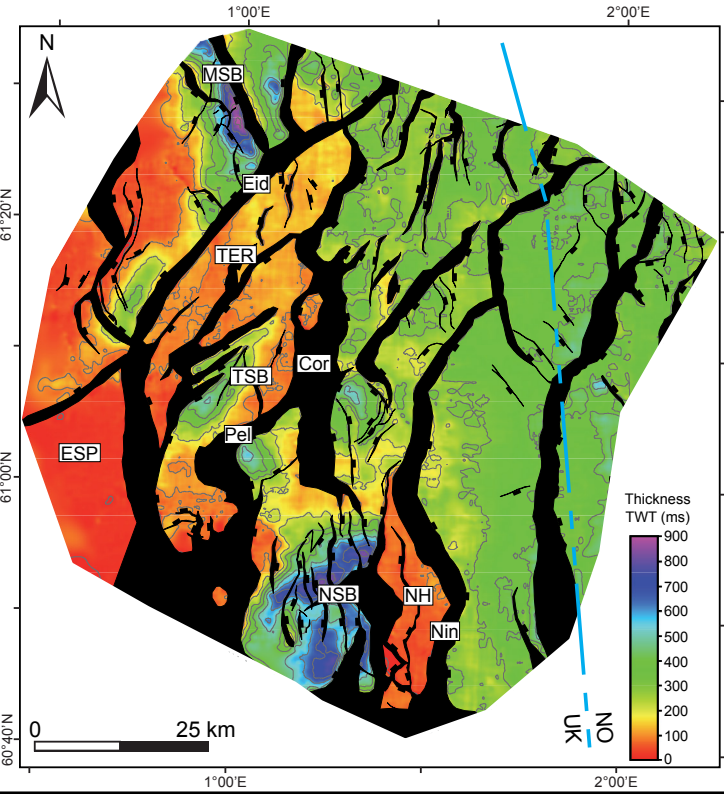
799 **Figure 10:** Diagram showing the crustal evolution of the East Shetland Basin
800 (colour) and the proposed evolution of the underlying lithosphere across the
801 northern North Sea (grey scale) showing the transition from wide to narrow
802 rifting (modified after Nagel and Buck, 1997). Strain migration through the
803 East Shetland Basin is marked by the grey scale above the coloured zoom-ins
804 per stage: darker grey indicates the location of relative high strain. a) Wide rift
805 with strain distributed across the whole northern North Sea leading to faulting
806 throughout the entire East Shetland Basin. b) Wide rift with possible focus
807 below the Horda Platform. In the East Shetland Basin, strain is concentrated
808 in the western part, reactivating the Eider Fault, while deposits in its footwall
809 are thickening towards the east. c) Rifting is narrowing with its rift axis below
810 the Viking Graben east of the East Shetland Basin. Strain switches to the
811 eastern part of the East Shetland Basin where new faults initiate and some
812 older faults reactivate near the rift axis. d) Rift maximum stage during narrow
813 rifting with rift axis below the Viking Graben. In the East Shetland Basin, strain
814 is increasing towards the eastern part, faults are reactivating, while new fault
815 are initiating, sometimes burying or cross-cutting older pre-existing normal
816 faults.



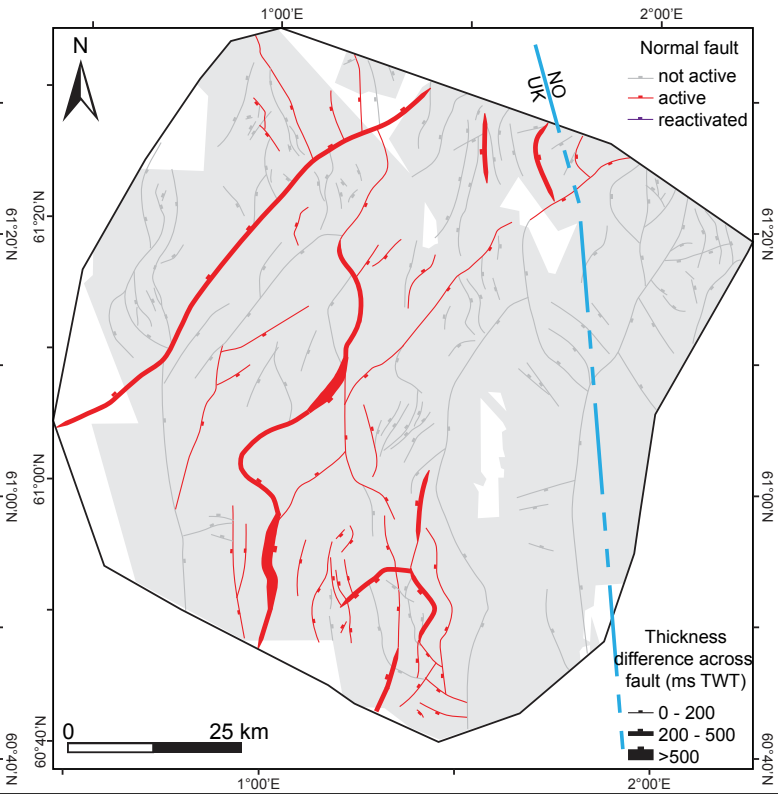
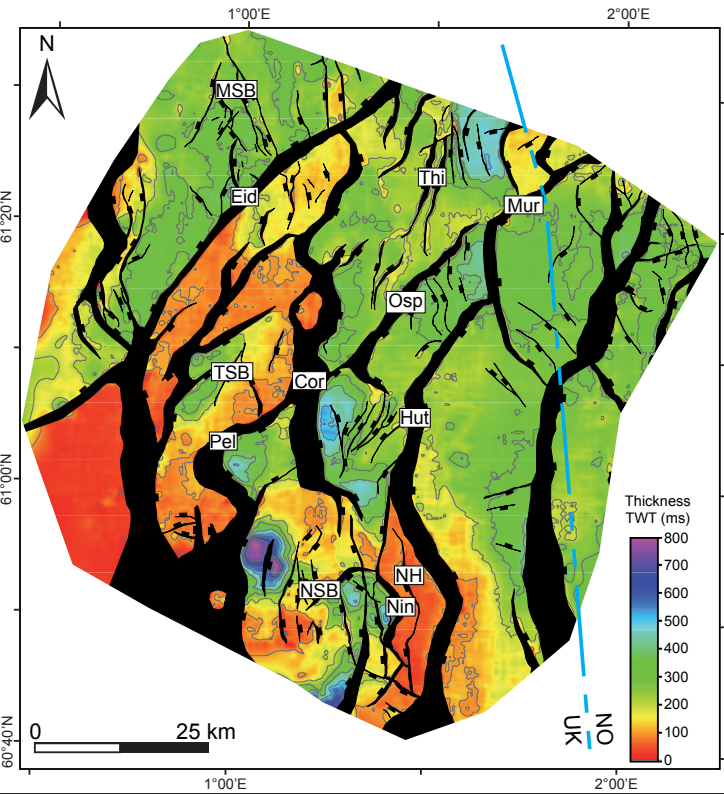




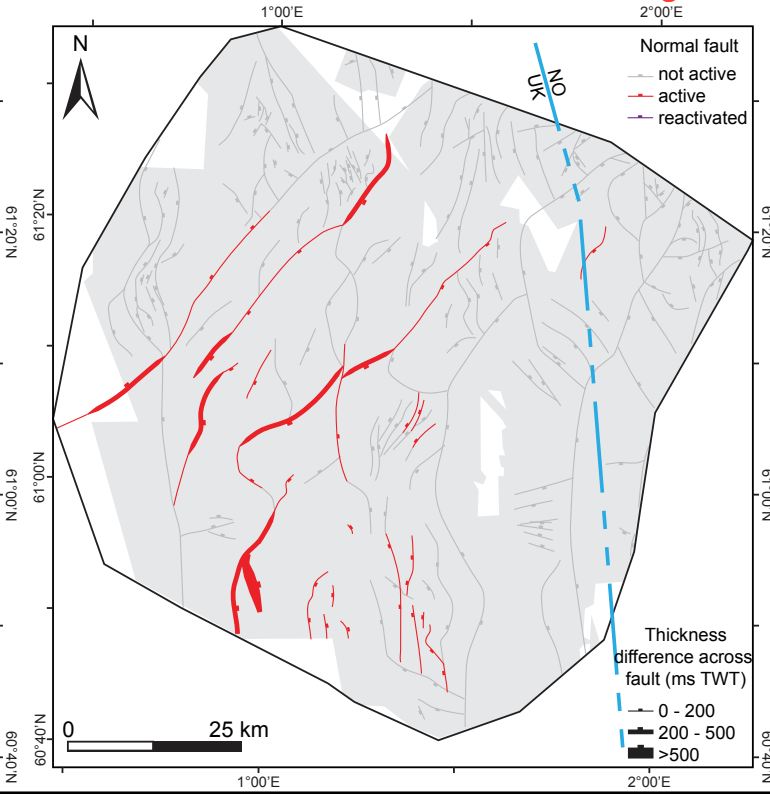
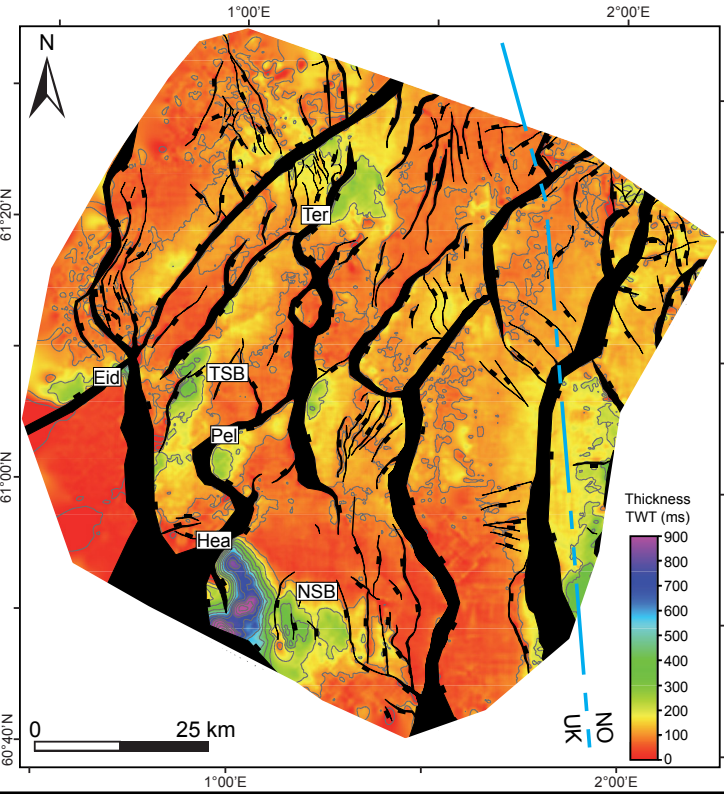
a) Unit 1 (Pre-Triassic 2 – Pre-Triassic 1)



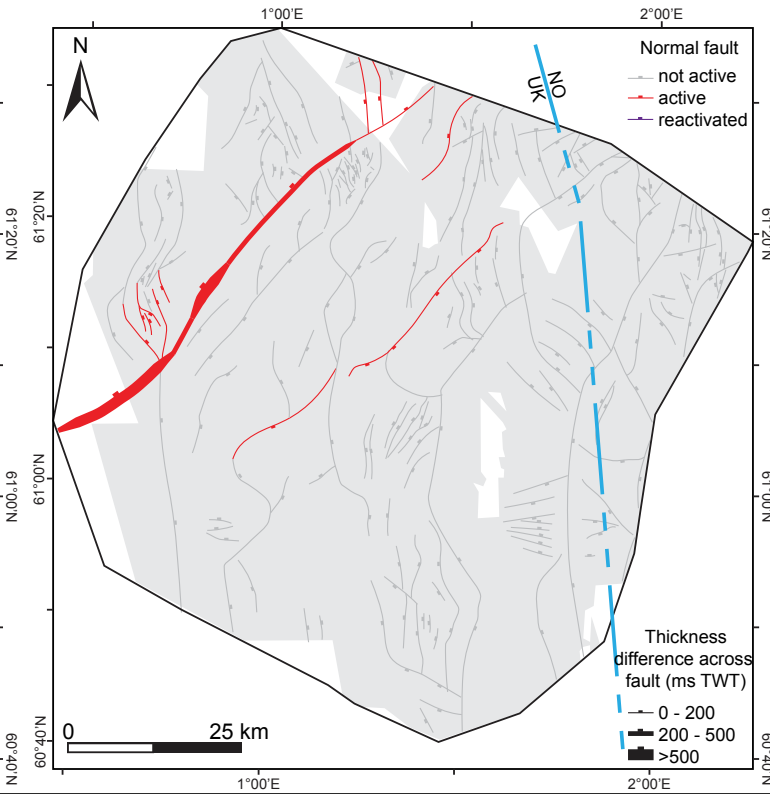
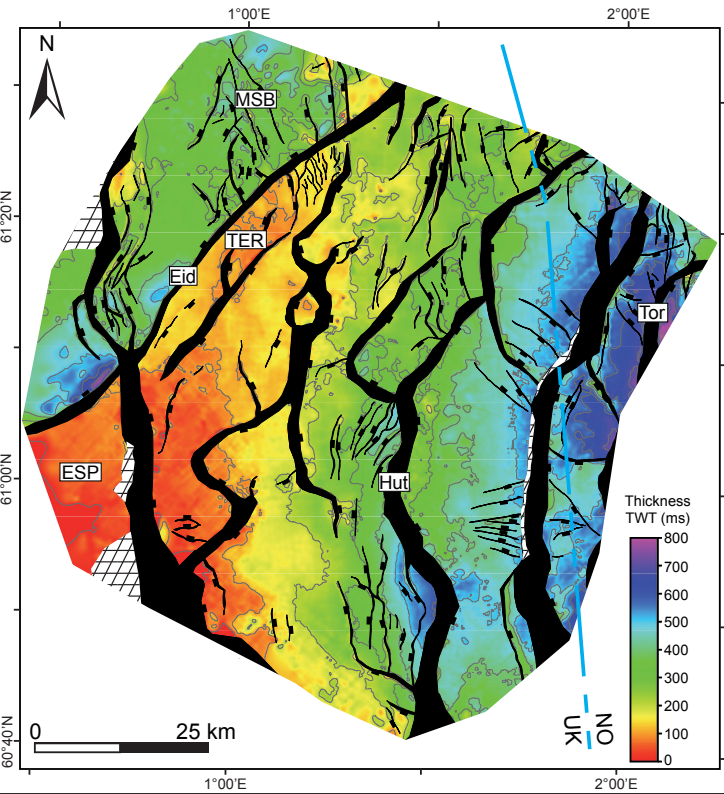
b) Unit 2 (Pre-Triassic 3 – Pre-Triassic 2)



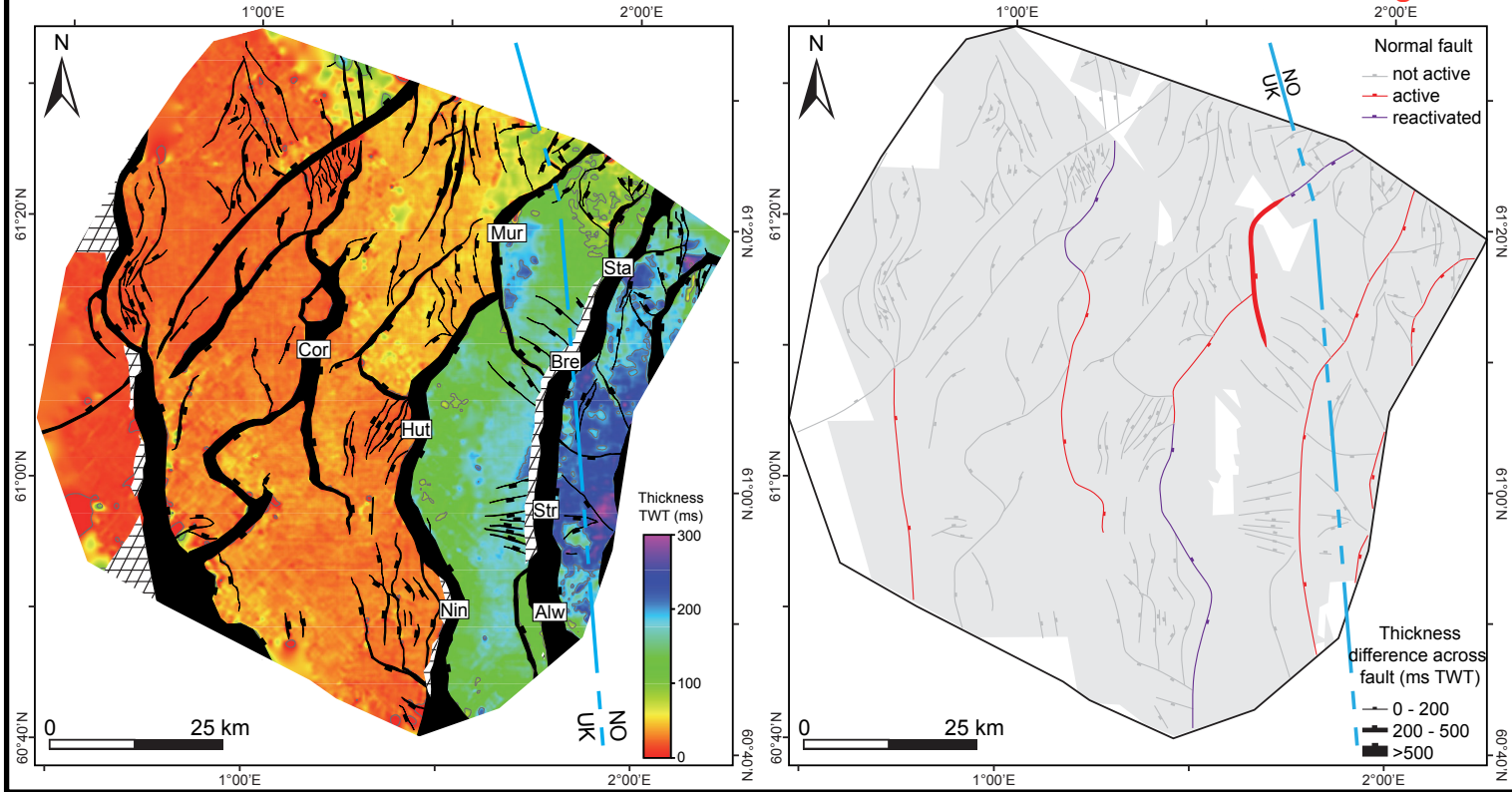
c) Teist Formation (*Early-to-Middle Triassic*)



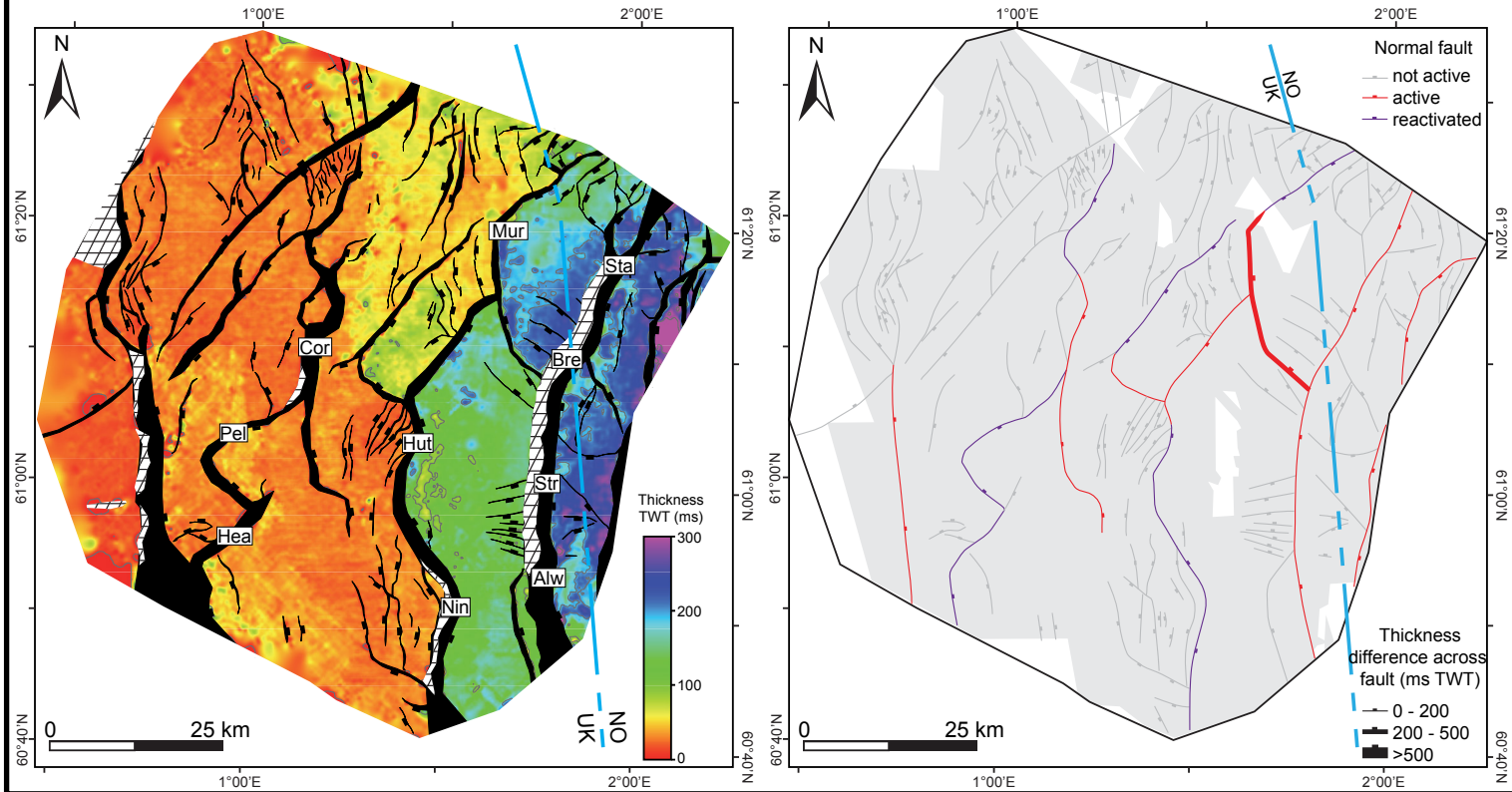
d) Lomvi and Lunde formations (*Middle-to-Late Triassic*)



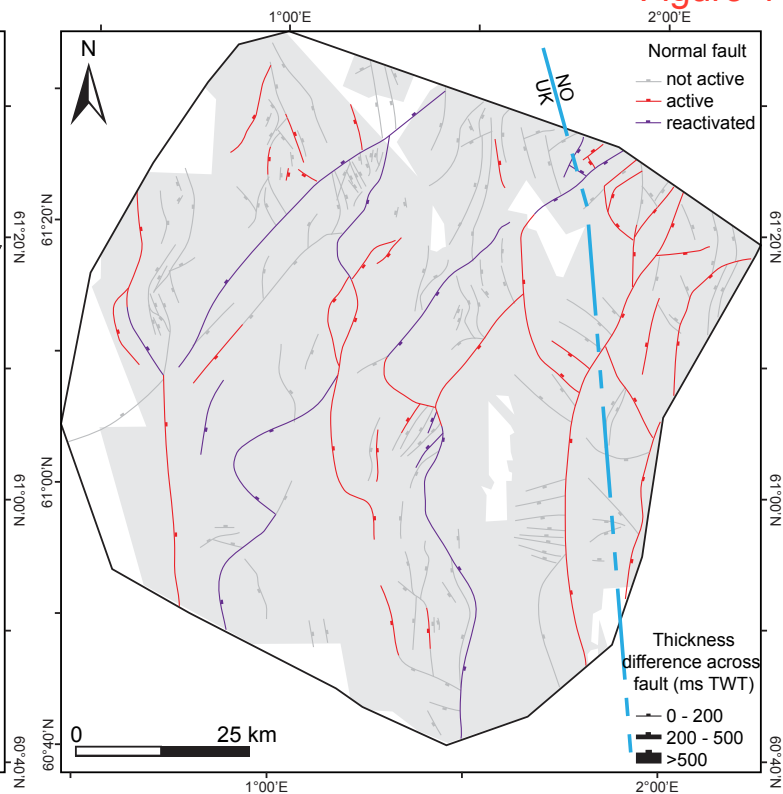
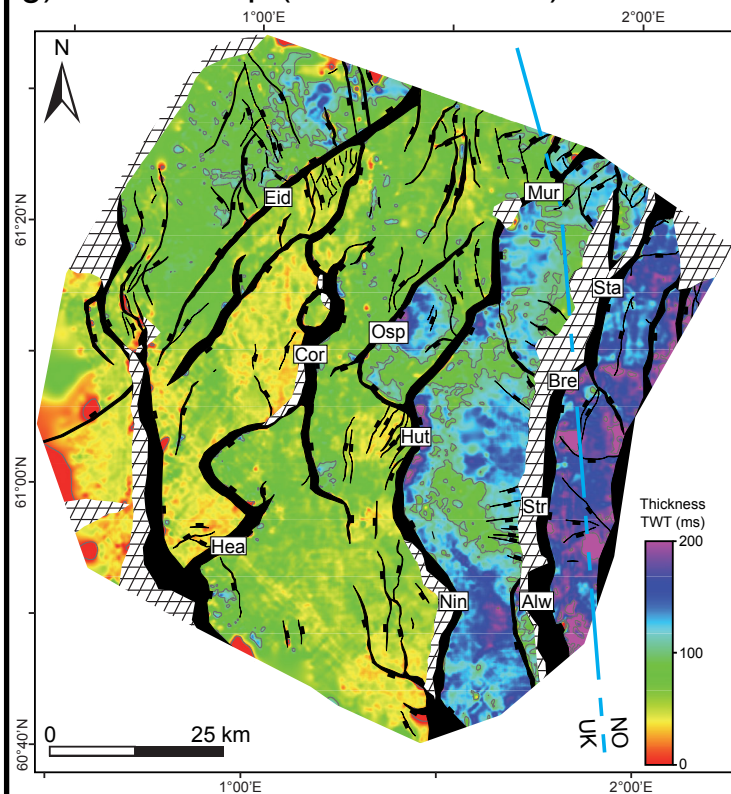
e) Staffjord Formation (Latest Triassic-to-Early Jurassic)



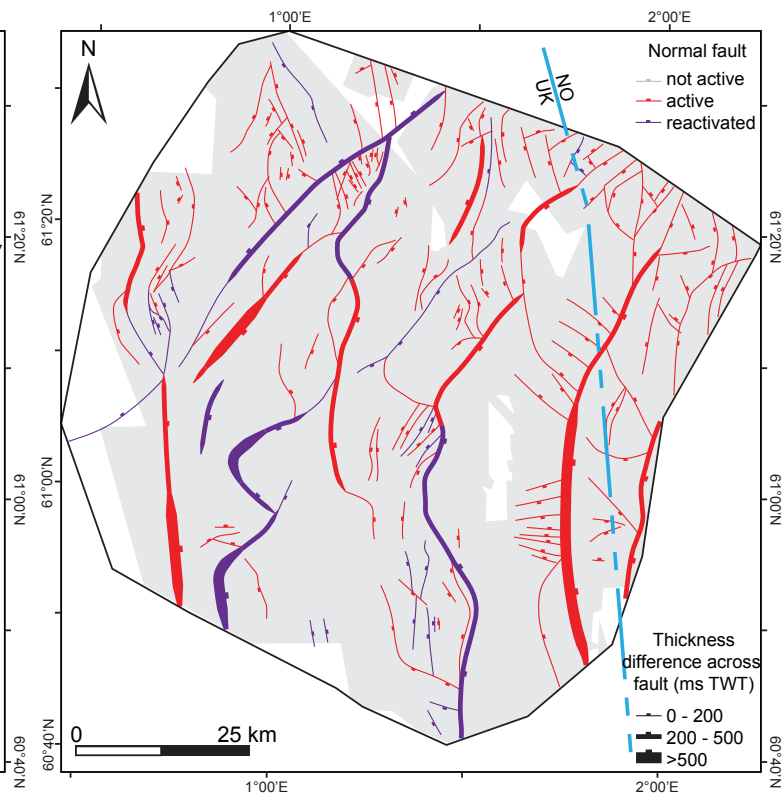
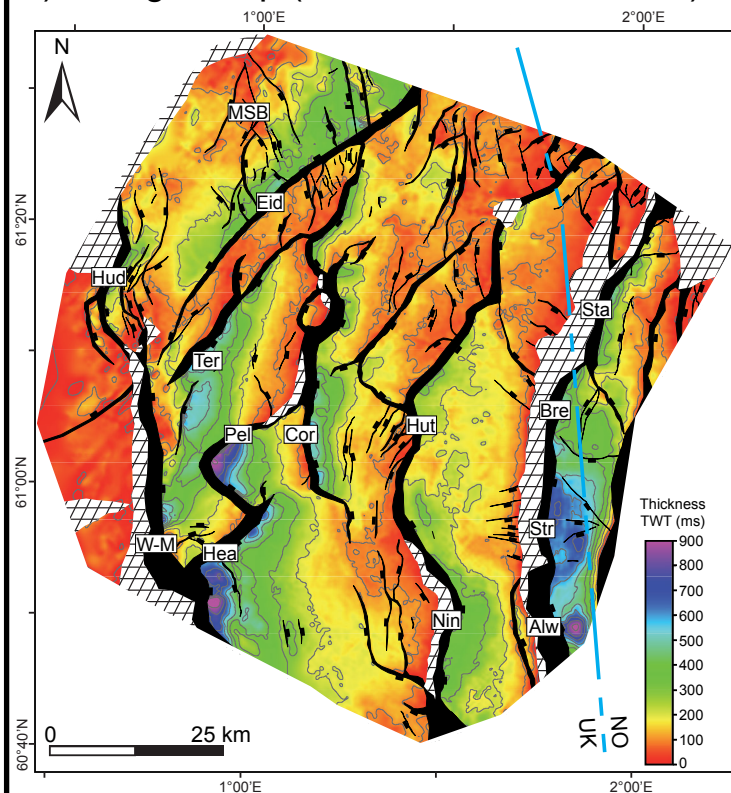
f) Dunlin Group (Early Jurassic)

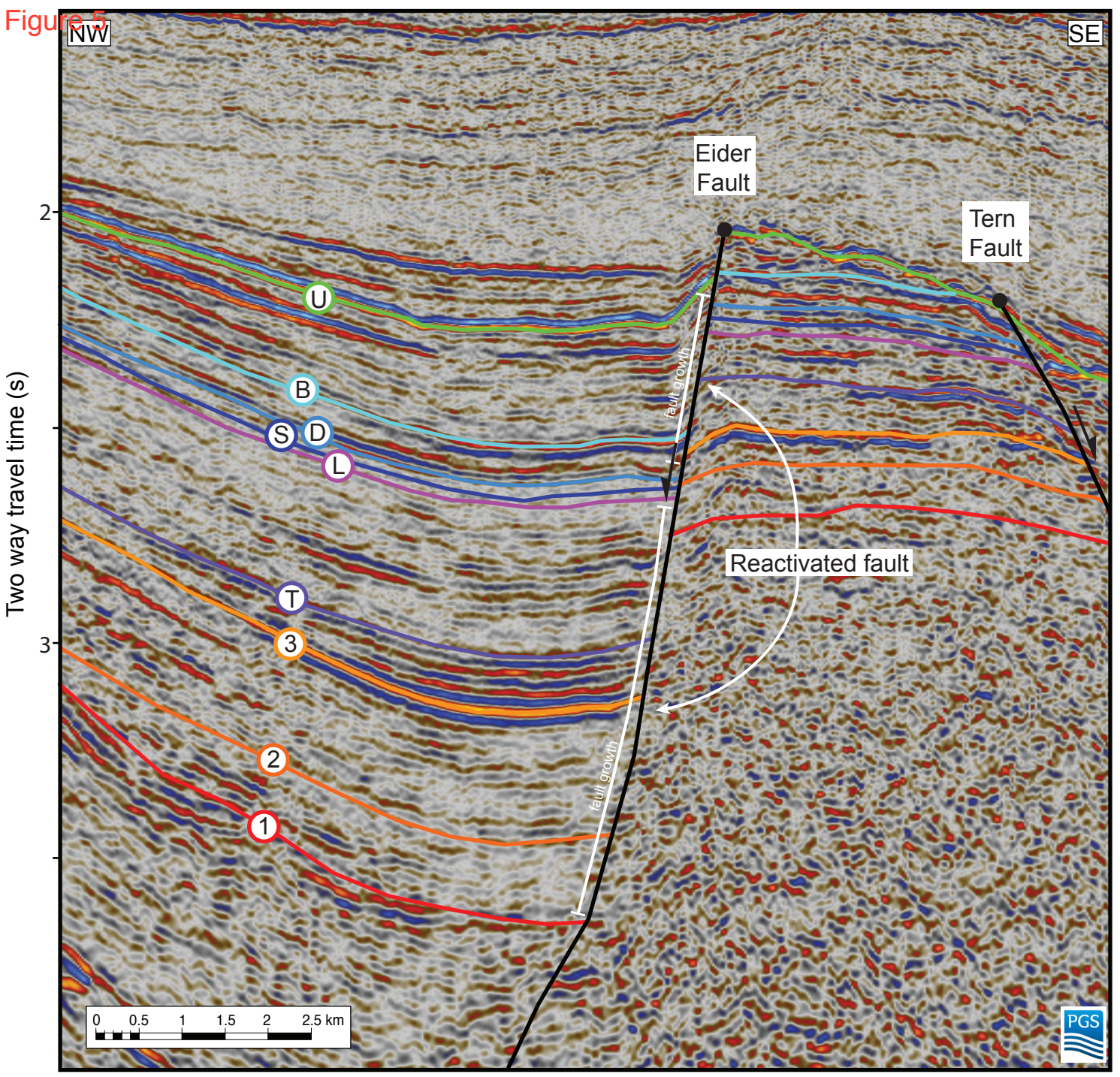


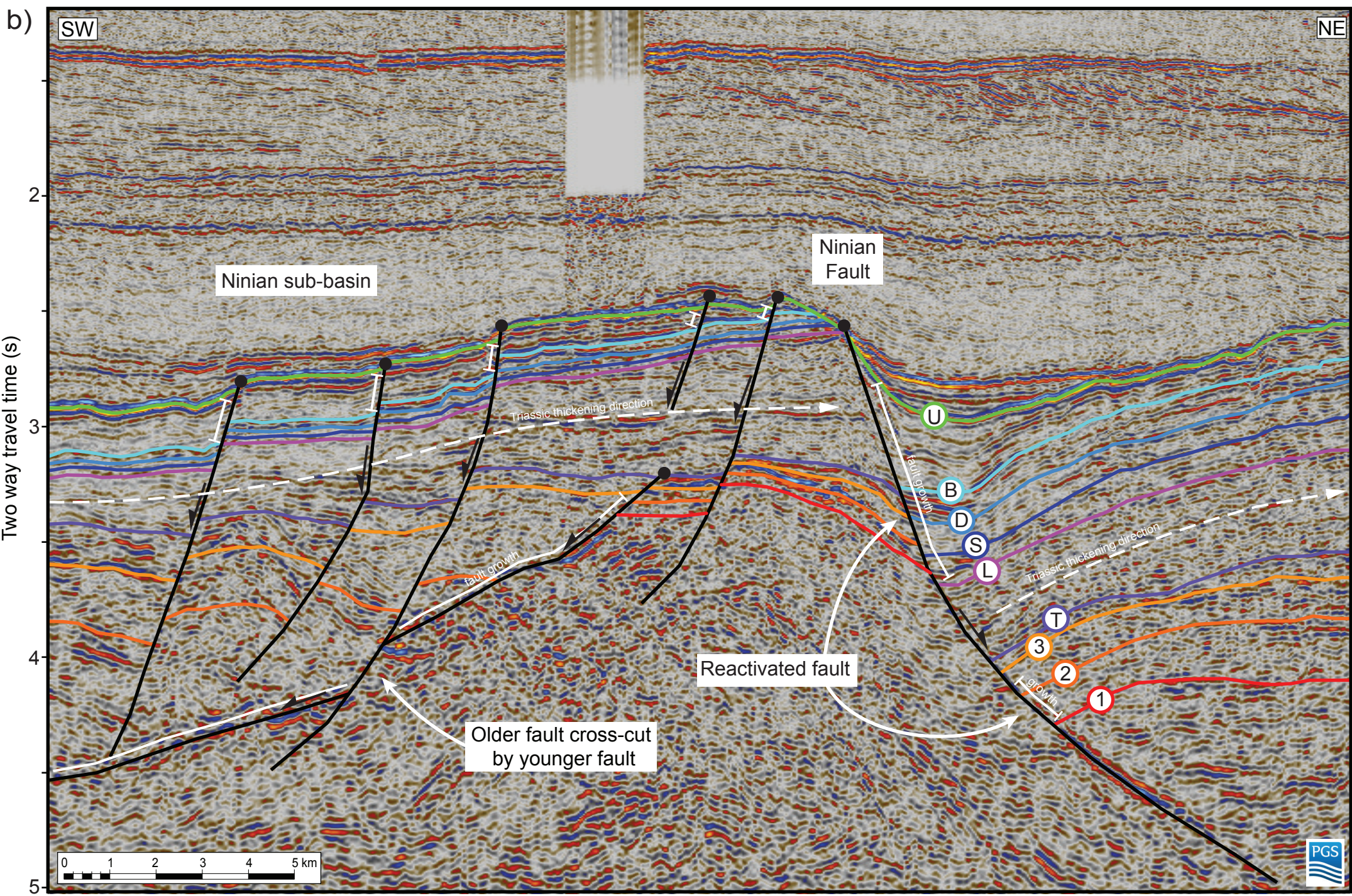
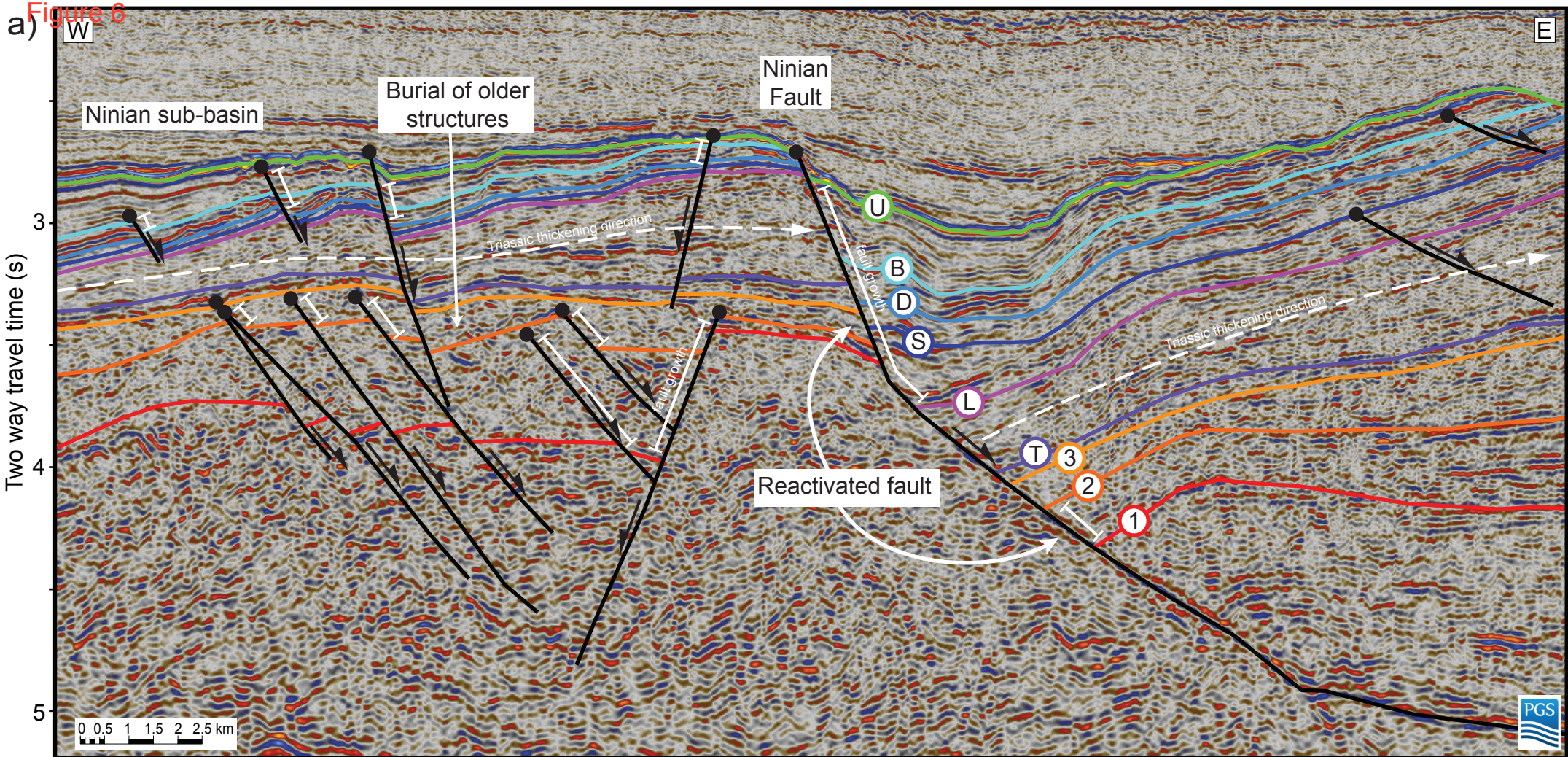
g) Brent Group (Middle Jurassic)

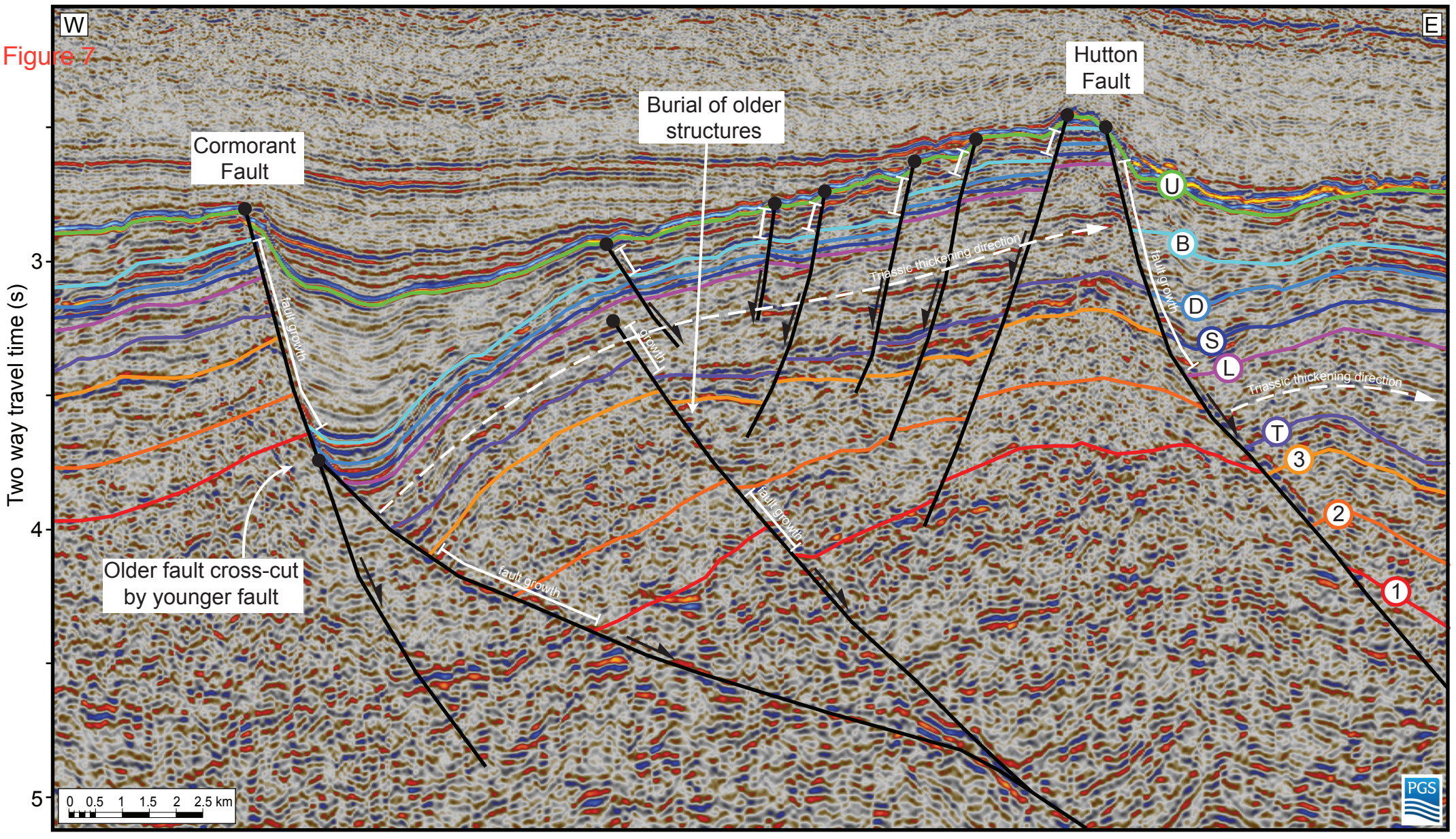


h) Viking Group (Middle-to-Late Jurassic)









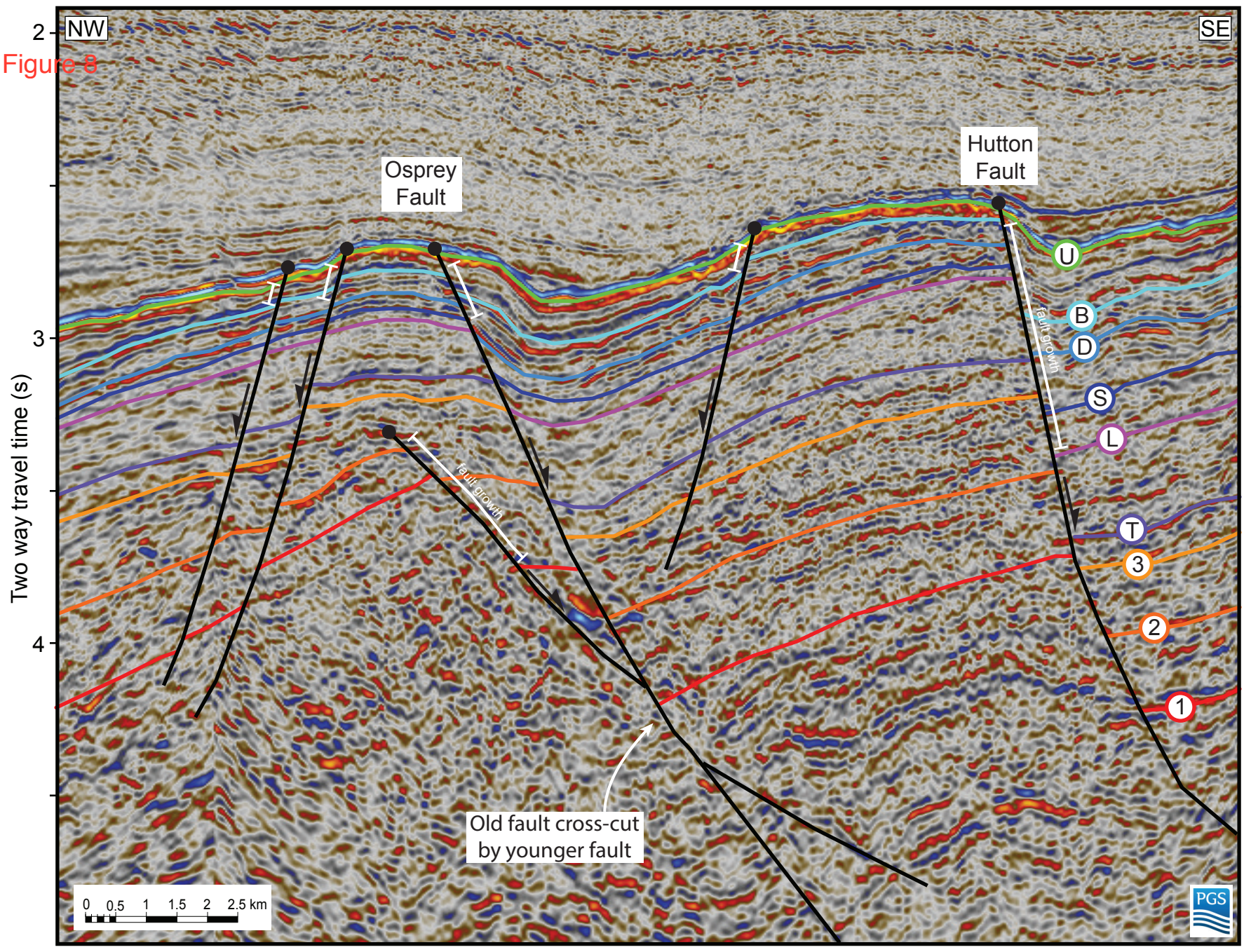
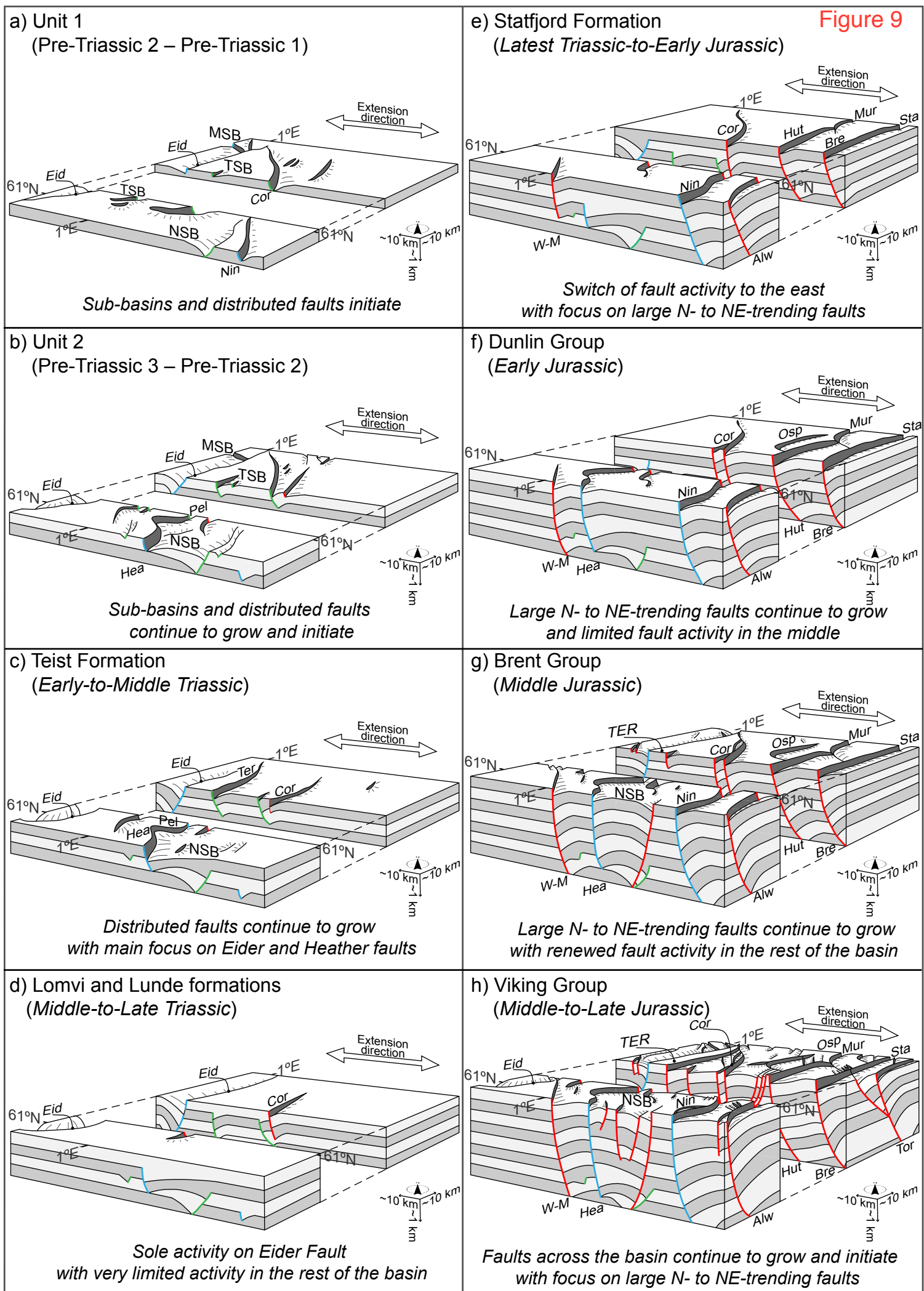
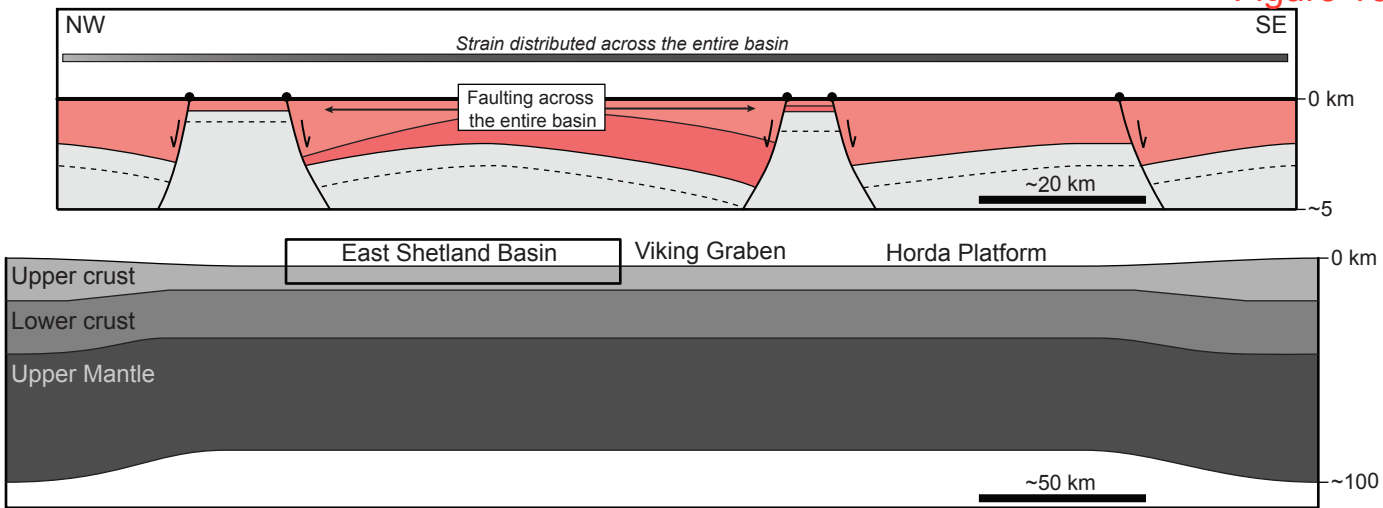


Figure 8

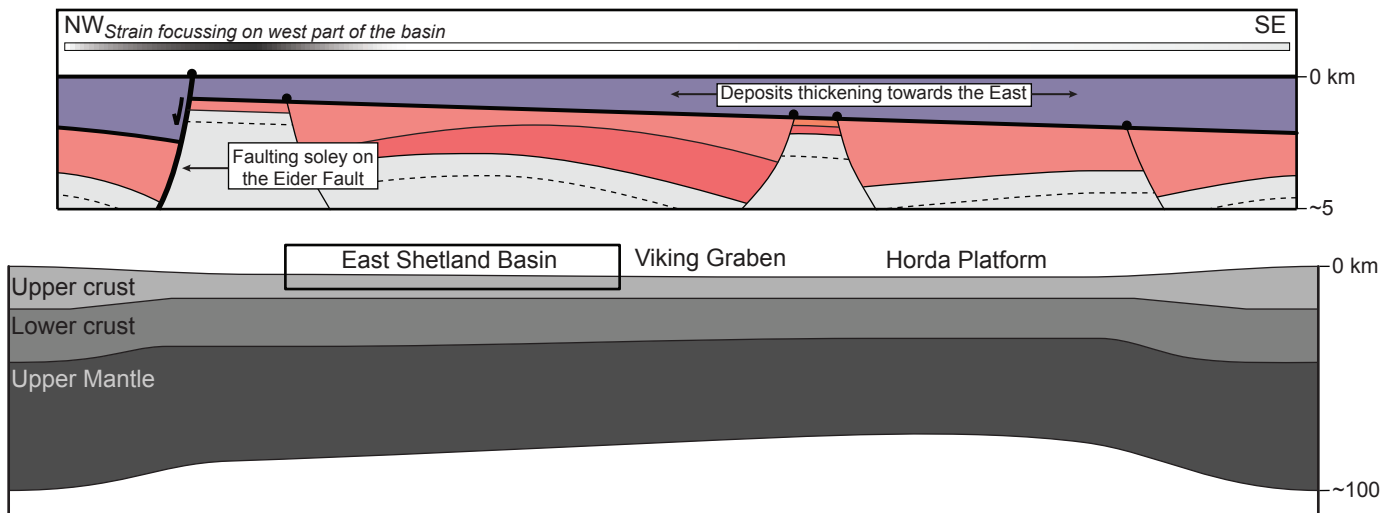


— Fault — Fault (later reactivated) — Fault (later buried/abandoned/cross-cut)

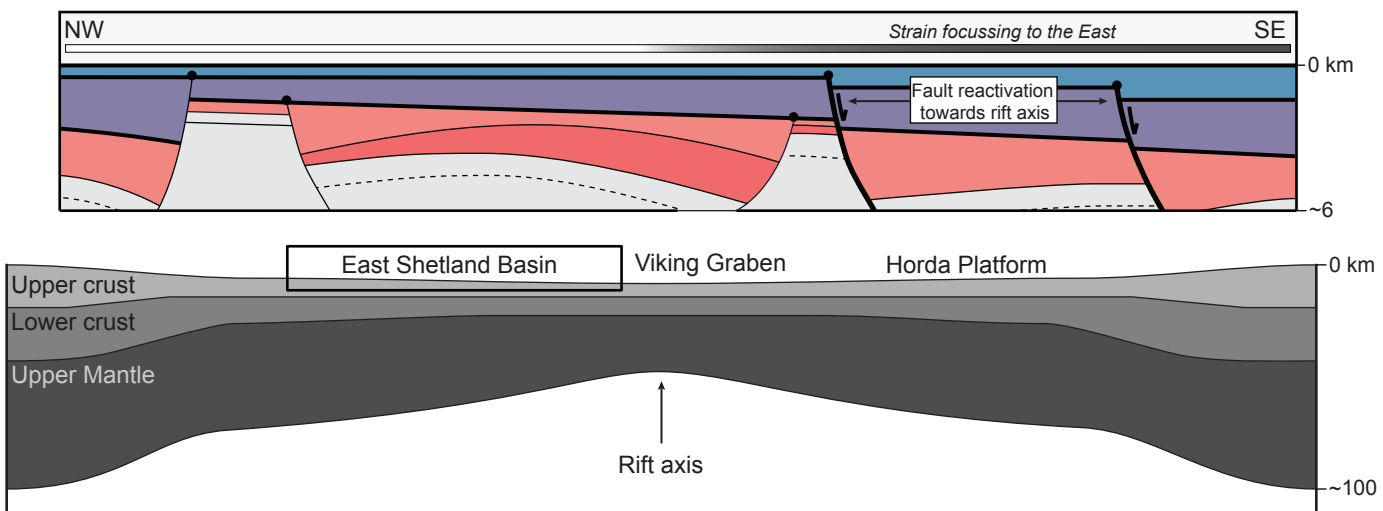
a) Wide rifting across the northern North Sea (Pre-Triassic-to-Early Triassic)



b) Wide rifting with possible focus below the Horda Platform (Middle-to-Late Triassic)



c) Rift narrowing with rift axis below the Viking Graben (Latest Triassic-to-Middle Jurassic)



d) Narrow rifting with rift axis below the Viking Graben (Late Jurassic)

