

Thermo-mechanical numerical model of the transition from continental rifting to oceanic spreading: the case study of the Alpine Tethys

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Abstract – We develop a two-dimensional thermo-mechanical numerical model in which the formation of oceanic crust and serpentinite due to the hydration of the uprising mantle peridotite has been implemented, with the aim of discussing the behaviour of the lithosphere of the Alps and Northern Apennines during the transition from continental rifting to ocean spreading of the Alpine Tethys. The predictions of the model are compared with natural data related to the Permian–Triassic high-temperature – low-pressure (HT-LP) metamorphism affecting the continental lithosphere and data from the Jurassic P – T evolution of the oceanic lithosphere from the Alps and the Northern Apennines. Our analysis indicates that a thinned continental crust, an ocean–continent transition zone and an oceanic lithosphere characterize the final structure of the system in a poor magma rift pre-Alpine configuration. We also find that mantle serpentinization starts before crustal break-up and that denudation occurs before ocean spreading. The mantle denudation starts several million years before the gabbros/basalt formation, generating an ocean–continent transition zone from the passive continental margin to the oceanic lithosphere of size 160–280 km. The comparative analysis shows that the extension of a hot and weak lithosphere, which promotes the development of hyperextended Alpine margins, better agrees with the natural data. Finally, our comparative analysis supports the hypothesis that the lithospheric extension preceding the opening of the Alpine Tethys did not start in a stable continental lithosphere, but developed by recycling part of the old Variscan collisional suture.

Keywords: 2D FEM, Alps, Apennines, continental break-up, ocean–continent transition zone.

1. Introduction

The aim of the present work is to discuss the thermo-mechanical behaviour of the lithosphere of the Alps and Northern Apennines during the transition from continental rifting to oceanization of the Alpine Tethys.

After the Variscan Orogeny, the future Alpine area (Fig. 1) underwent an extensional stage leading to the break-up of the Pangaea continental lithosphere and the opening of the Alpine Tethys Ocean (Lardeaux & Spalla, 1991; Diella, Spalla & Tunesi, 1992; Dal Piaz, 1993; Bertotti *et al.* 1993; Handy *et al.* 1999; Schuster *et al.* 2001; Schuster & Stüwe, 2008; Marotta, Spalla & Gosso, 2009). The influence of the thermal, structural and compositional inheritance of the Variscan collision and collapse on the following extensional stage is still under debate (e.g. Marotta & Spalla 2007; Von Raumer *et al.* 2013; Spalla *et al.* 2014).

The thermal structure of the Alpine lithosphere before the rifting event is poorly understood. Based on petrological analyses of the Ivrea crustal section, Handy *et al.* (1999) and Smye & Stockli (2014) proposed that a series of thermal pulses after Carboniferous time affected the pre-rifting Alpine crust, potentially associ-

ated with and following the asthenosphere upwelling driven by hyperextension of the Adriatic margin during Late Triassic – Early Jurassic time. According to recent interpretations, the elevated temperatures in the deep crust of the Ivrea Zone may persist for millions of years, remaining close to the solidus for approximately 30 Ma (Klötzli *et al.* 2014) and thermally perturbing the Alpine lithosphere before the beginning of the rifting. Passive extension in the Europa-Adria system is thought to have been active during Triassic time (Handy & Zingg, 1991; Muntener, Hermann & Trommsdorf, 2000; Muntener & Hermann, 2001; Montanini, Tribuzio & Anczkiewicz, 2006) or to have started during late Palaeozoic time (Lardeaux & Spalla, 1991; Diella, Spalla & Tunesi, 1992; Dal Piaz, 1993; Marotta & Spalla, 2007; Marotta, Spalla & Gosso, 2009). In contrast, based on data from Val Malenco, Corsica, Erro-Tobbio and Voltri Massif, Piccardo, Padovano & Guarnieri (2014) proposed that the pre-rift mantle lithosphere was equilibrated along an intermediate subcontinental geothermal gradient and the Permian high-temperature event(s) was followed by isobaric cooling, lasting more than 50 Ma (Manatschal, 2004). This interpretation suggests the occurrence of a thermally equilibrated lithosphere before the rifting event (Lavie & Manatschal, 2006). This idea is

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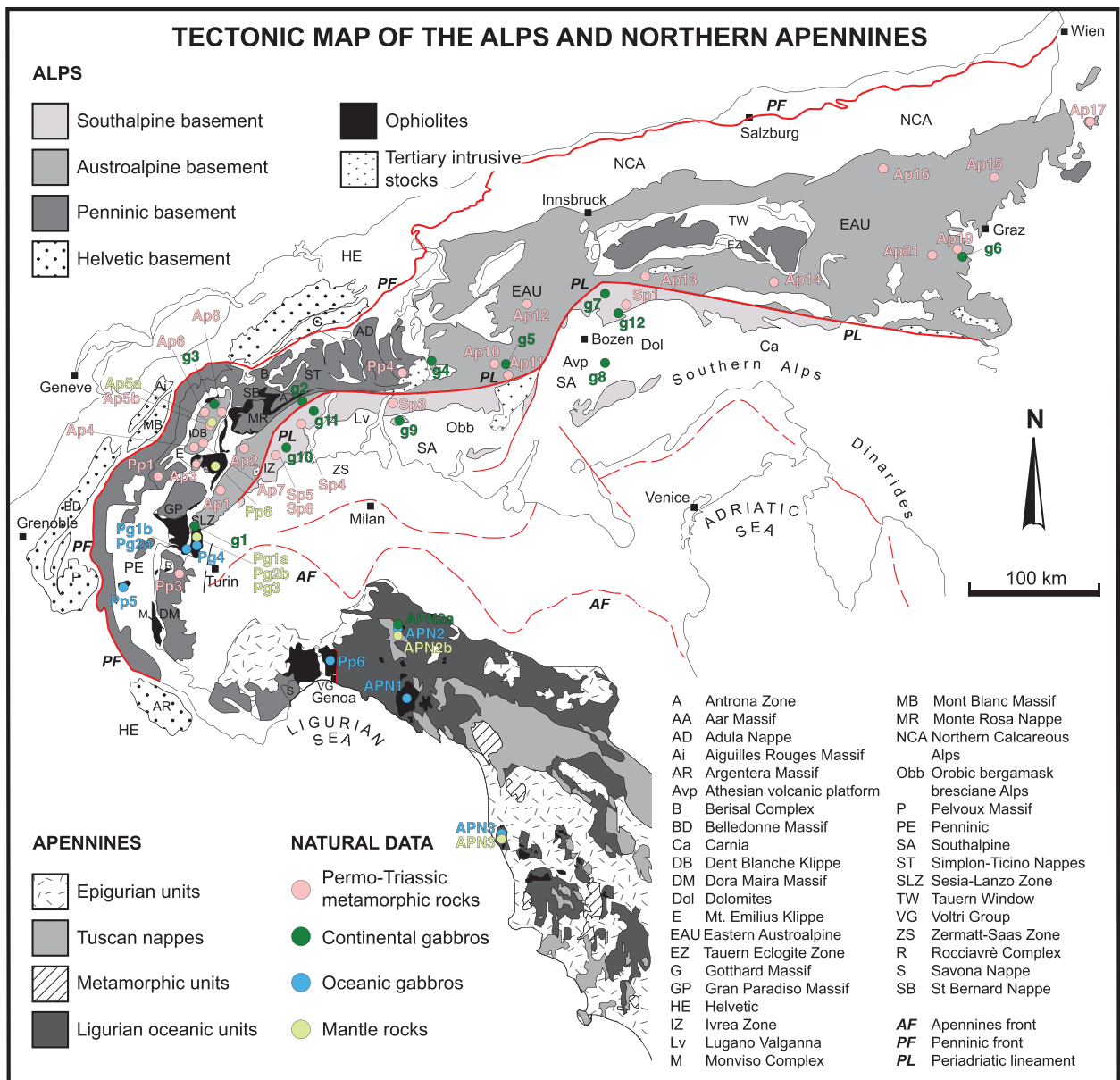


Figure 1. (Colour online) Tectonic map of the Alps and Apennines (after Marotta & Spalla, 2007; Handy *et al.* 2010) with the locations of: metamorphic rocks and main gabbro bodies of Permian–Triassic age occurring in the pre-Alpine continental crust of the Alps; and mantle rocks and oceanic gabbros from the Alps and Northern Apennines. The codes are defined in Tables 2–5.

76 supported by numerous rifting models proposed for the
 77 Alpine area (e.g. Beltrando, Rubatto & Manatschal,
 78 2010; Mohn *et al.* 2012) that were conceived based
 79 exclusively on data from the exploration of the Iberia
 80 passive margin (e.g. Boillot, Beslier & Girardeau, 1995;
 81 Hébert *et al.* 2008).

82 Numerous numerical and analogue models of continen-
 83 tal extension highlight the roles of different paramet-
 84 ers and mechanisms in dictating the final geometry and
 85 style of the rifting and continental break-up. Among
 86 others, Reston & Morgan (2004), Van Avendonk *et al.*
 87 (2009), Brune & Autin (2013) and Brune *et al.* (2014)
 88 focus on the role of the thermal state of the lithosphere;
 89 Buck (1991), Corti *et al.* (2004), Nagel & Buck (2004),
 90 Huismans, Buitter & Beaumont (2005), Huismans &
 91 Beaumont (2011, 2014), Cloetingh *et al.* (2013), Brune
 92 *et al.* (2014) and Liao & Gerya (2015) analyse the

effects of the composition, rheology and strength of
 the lower crust; Brune (2014) and Brune *et al.* (2014)
 investigate the role of the extensional velocity; Man-
 atschal, Lavier & Chenin (2015) and Naliboff & Buitter
 (2015) examine the role of structural and compositional
 inheritance of the system; and Escartín, Hirth & Evans
 (1997) and Pérez-Gussinyé *et al.* (2001, 2006) focus on
 serpentinization. However, these works are not strictly
 focused on the opening of the Alpine Tethys and do not
 perform systematic comparisons between the model
 predictions and the natural data from the continental
 and oceanic crust of the Alps and Northern Apennines,
 including P – T estimates, radiometric ages and lithologi-
 cal affinity.

This work represents an advancement of the work of
 Marotta, Spalla & Gosso (2009) in which a rifting pro-
 cess following a continental collision and ending before

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the break-up of the continental crust is modelled. They implemented a bi-dimensional numerical geodynamic model to analyse the effects of an active extension during the Permian–Triassic period (300–220 Ma), assuming that the extension developed in a lithosphere already thermally and mechanically perturbed by a previous subduction-collision phase which occurred during the Variscan age, up to 300 Ma. Marotta, Spalla & Gosso (2009)’s results supported the idea of an asymmetric rifting in which the Adriatic continental crust represented the hanging wall, although satisfactory and complete agreement with the natural geological data in terms of the coincidence of age, lithology and P – T values was only obtained at the higher rate of forced extension (2 cm a^{-1}).

Indeed, Marotta, Spalla & Gosso (2009)’s model has two main limits: (a) the model does not evolve until the continental lithosphere break-up and subsequent ocean spreading; and (b) the conditions favourable to mantle partial melting have not been considered; the timing of the beginning of the new oceanic lithosphere was therefore not predicted. For these reasons, in the present work the transition from continental rifting to ocean spreading has been investigated using a two-dimensional (2D) thermo-mechanical numerical model in which the serpentinite formation due to the hydration of the upraising peridotite has been implemented and extension occurs over a mechanically unperturbed lithosphere. The predictions of the model have been compared with natural data related to the Permian–Triassic high-temperature – low-pressure (HT-LP) metamorphism affecting the continental lithosphere and data from the pre-Alpine Jurassic P – T evolution of the oceanic crust from the Alps and the Northern Apennines.

2. Numerical model

2.a. Model set-up

To simulate the transition from rifting to oceanic spreading, a time-dependent 2D thermo-mechanical numerical model has been used in which the dynamics of the crust–mantle system have been investigated by numerical integration of the three fundamental equations of conservation of mass, momentum and energy:

$$\nabla \cdot \vec{u} = 0 \quad (1)$$

$$-\nabla P + \nabla \cdot \vec{\tau} + \rho \vec{g} = 0 \quad (2)$$

$$\rho c_p \left(\frac{\partial T}{\partial t} + \vec{u} \cdot \nabla T \right) = \nabla \cdot (K \nabla T) + \rho H_d \quad (3)$$

respectively, where \vec{u} is the velocity, P is the pressure, $\vec{\tau}$ is the deviatoric stress, ρ is the density, \vec{g} is the gravity acceleration, c_p is the specific heat at constant pressure, T is the temperature, K is the thermal conductivity and H_d is the radiogenic heat production rate per unit mass.

Equations (2) and (3) are solved using the 2D finite-elements code SubMar, which has been exhaustively described by Marotta, Spelta & Rizzetto (2006).

This numerical code uses the penalty function formulation to integrate the equation for the conservation of momentum and the streamline upwind/Petrov-Galerkin method to integrate the equation for the conservation of energy.

The marker in-cell technique has been used to compositionally differentiate crust and mantle rocks.

A viscous-plastic behaviour has been assumed for both materials. The effective viscosity is calculated as follows:

$$\mu^{\text{eff}} = \mu_{\text{viscous}} = \mu_{0,i} \exp \left[\frac{E_i}{R} \left(\frac{1}{T} - \frac{1}{T_0} \right) \right] \quad (4)$$

where $\mu_{0,i}$ and E_i are the reference viscosity at the reference temperature T_0 and the activation energy for the crust ($i = c$) and the mantle ($i = m$), respectively, with a maximum value defined by the plastic viscosity assumed equal to 10^{25} Pa s (Table 1).

We account for a brittle behaviour of the crust to define the rheological conditions for mantle serpentinization only, as better specified in Section 2.b.

The material parameters are listed in Table 1. Initially, the lithosphere is mechanically unperturbed and laterally homogeneous. The initial thickness of the continental crust is assumed to be 30 km (Fig. 2), which is in agreement with models envisaging the beginning of extension-transension onto a lithosphere characterized by a crust with a thickness of approximately 30 km (Müntener, Hermann & Trommsdorf, 2000; Manatschal, 2004).

Two thermal settings are proposed here to satisfy two contrasting pre-rifting settings of the Alpine lithosphere characterized by different depths of the 1600 K isotherm: 80 and 220 km (Fig. 2b). We refer to these simulations as the hot and cold models, respectively. The initial thermal conditions correspond to an almost conductive thermal profile from 300 K at the surface to 1600 K at the base of the lithosphere; an initial homogeneous temperature of 1600 K is assumed below the lithosphere (Fig. 2b).

Boundary conditions are defined in terms of the temperature and velocity. A temperature of 300 K is fixed at the top of the crust and throughout the air–water layer, a temperature of 1600 K is fixed at the base of the model and zero flux is assumed through the lateral sides of the model. We apply an extension rate of 1.25 cm a^{-1} at both lateral sides of the model throughout the crustal thickness, resulting in a symmetric passive rifting with a total extension rate of 2.5 cm a^{-1} , compatible with the magma-poor nature of the rift (e.g. Manatschal & Müntener, 2009). The 2D domain is closed vertically with shear-free conditions prescribed along the top and the bottom of the model domain, while both crust and lithospheric mantle are allowed to exit the model boundaries allowing the thinning of either crust and lithospheric mantle (Fig. 2a).

Considering that in the Alpine literature the onset of rifting is proposed to occur during 220–200 Ma (Müntener, Hermann & Trommsdorf, 2000; Manatschal, 2004; Montanini, Tribuzio & Anczkiewicz,

Table 1. Material properties used in the 2-D numerical modelling

	Continental crust	Mantle	Serpentinized mantle	Air or water
Composition	66 % gneiss + 33 % granite	100 % dunite	100 % serpentinite	
Mean density (kg m^{-3})	2640 ^a	3200 ^a	3000 ^f	1180 ^a
Radiogenic heat production (10^{-6} W m^{-3})	2.5 ^b	0.002 ^b	0.002 ^b	0
Thermal conductivity ($\text{W m}^{-1} \text{ K}^{-1}$)	3.06 ^b	4.15 ^b	4.15 ^b	0.026 ^b
Rheology	Dry granite ^c	Dry dunite ^d	Hydrated dunite ^e	Air or water
Activation energy (kJ/mol)	123	444		
Reference viscosity (Pa s)	3.47×10^{21}	5.01×10^{20}	10^{19}	10^{20}
Maximum plastic viscosity (Pa s)	10^{25}	10^{25}		

^aDubois & Diamant (1997) and Best & Christiansen (2001); ^bRybach (1988); ^cRanalli & Murphy (1987); ^dChopra & Peterson (1981); ^eHonda & Saito (2003), Arcay, Tric & Doin (2005) and Roda, Marotta & Spalla (2010).

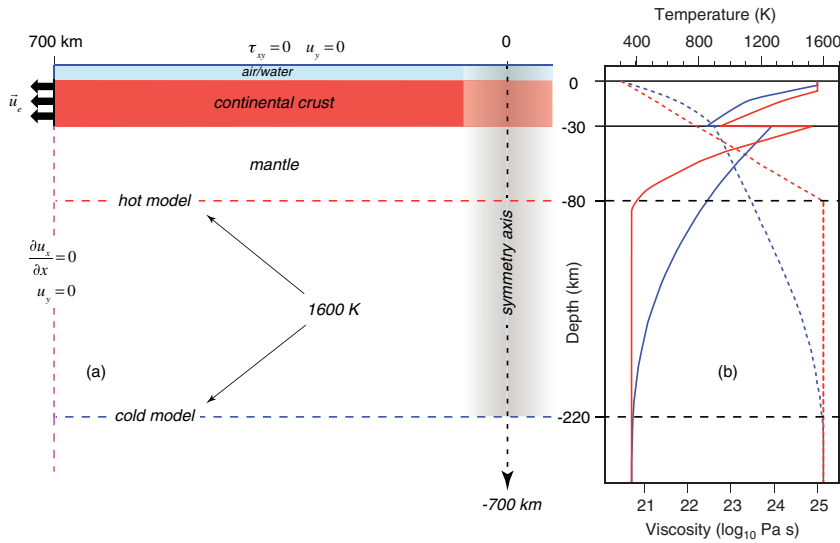


Figure 2. (Colour online) (a) 2D geometry and numerical set-up of the model. (b) Thermal and rheological profiles at the beginning of the evolution for the hot (red lines) and cold (blue lines) models. The solid lines indicate the effective viscosity profiles, corresponding to the geotherms indicated by the dashed lines.

218 2006; Piccardo, Padovano & Guarnieri, 2014) and that
 219 the oldest rocks of the ophiolitic associations belong-
 220 ing to the Liguria–Piemonte Ocean have been dated
 221 at 175–160 Ma (Tribuzio, Thirwall & Vannucci, 2004;
 222 Rossi *et al.* 2012; Kaczmarek, Müntener & Rubatto,
 223 2008; Li *et al.* 2013, 2015), we run the simulation over
 224 a time span of 60 Ma to match the time interval needed
 225 to generate the oldest gabbro intrusions in the natural
 226 system.

227 2.b. Conditions for mantle serpentinization

228 Magmatic-poor margins formed by the rifting of con-
 229 tinental crust are characterized by the occurrence of ser-
 230 pentinized peridotites within a broad continent-ocean
 231 transition (e.g. Pérez-Gussinyé *et al.* 2001; Manatschal,
 232 2004) and by the lithostratigraphy of the ophiolitic se-
 233 quences (Mevel, Caby & Kienast, 1978; Lagabrielle
 234 & Cannat, 1990; Chalot-Prat, 2005; Manatschal *et al.*
 235 2011; Li *et al.* 2013). The role of serpentinization of
 236 the lithospheric mantle during continental rifting and
 237 the transition to oceanic spreading has been extensively
 238 discussed by Pérez-Gussinyé *et al.* (2001, 2006). They
 239 assume that mantle serpentinization occurs when the

overlying crustal layer is under brittle conditions, so
 that faults can cut across the crust allowing hydrous
 fluids to penetrate the mantle, and mantle matches the
 appropriate pressure and temperature conditions for the
 stability field of serpentine.

In order to implement mantle serpentinization and
 the consequent rheological and compositional changes,
 we check whether the pressure and temperature of each
 mantle-type marker match the stability field of serpen-
 tine and the overlying crustal layer is under brittle con-
 ditions. To define whether the overlying layer is under
 brittle conditions, we use a simplified formulation of
 Byerlee’s law criterion:

$$\sigma_{\text{brittle}} = \beta \cdot y \quad \text{with} \quad \beta = 16 \text{ MPa km}^{-1} \quad (5)$$

where y is the depth, and compare the brittle strength
 σ_{brittle} with the temperature- and pressure-based plastic
 strength:

$$\text{Regime} \leftarrow \min \{ \sigma_{\text{brittle}}, \sigma_{\text{plastic}} \}. \quad (6)$$

236 2.c. Conditions for partial melting

During an active extension of a continental lithosphere,
 the temperature in the lithospheric mantle increases as

259 a consequence of the upwelling asthenospheric flow. If
 260 the pressure and temperature conditions of peridotite
 261 solidus are matched, partial melting of the lithospheric
 262 mantle occurs and gabbroic-basaltic melts form. We
 263 assume here that once the mantle partial melting oc-
 264 curs in the system, the oceanic lithosphere starts to
 265 form. This implies an instantaneous transfer of the
 266 mantle melt to the surface in agreement with the es-
 267 timates of the rate of magma ascent across the con-
 268 tinental and oceanic crust (Clague, 1987; Turner *et al.*
 269 2000).

270 In order to individuate the beginning of oceanic
 271 spreading and to identify the extension of the par-
 272 tially molten mantle region, we check the pressure
 273 and temperature conditions of each mantle-type marker
 274 during the evolution. When the P - T conditions reach
 275 the dry solidus field of peridotite (Rogers *et al.*
 276 2008):

$$P_m \leq \alpha \cdot T_m + \beta, \quad (7)$$

277 where $\alpha = 0.00789792857$ GPa K⁻¹ and $\beta = -$
 278 11.1071202 GPa, its typology is changed from mantle
 279 type into potential partially molten mantle type.

280 The extension of the partially molten mantle region
 281 is shown in Figure 3 distinguishing the oceanic litho-
 282 sphere (dark green), formed by serpentized mantle
 283 hosting gabbros and basalts that can be produced once
 284 partial melting conditions are attained, from the mantle
 285 that serpentized before the occurrence of partial melt-
 286 ing (light green).

287 In the present form, the compositional and rheolo-
 288 gical changes consequent to partial melting are not
 289 implemented.

290 3. Model predictions

291 3.a. Structural configurations

292 Figure 3 shows the structural configurations of the crust
 293 and lithosphere at different stages of the evolution of
 294 the hot model (panels a_i, left side) and the cold model
 295 (panels b_i, right side). The crustal boundaries coincide
 296 with the envelope of the crustal type markers. The base
 297 of the lithosphere is thermally defined by the 1600 K
 298 isotherm (red dashed line).

299 During the initial phase of forced extension in both
 300 models a progressive thinning of the crust is predicted,
 301 mostly concentrated around the position of the future
 302 ridge. The crustal thinning occurs very early in the
 303 cold model, approximately 1 Ma after the beginning
 304 of the extension (Fig. 3b₁), while more than 10 Ma
 305 passes before thinning becomes significant in the hot
 306 model (Fig. 3a₁). After 15.4 Ma (hot model, Fig. 3a₂)
 307 and 4.4 Ma (cold model, Fig. 3b₂), the thermal thin-
 308 ning is localized around the position of the future
 309 ridge. These times mark the beginning of mantle ser-
 310 pentinization in both models. The long time interval
 311 necessary in the hot model for the beginning of de-
 312 formation localization therefore seems related to the

313 higher thermal state at the base of the continental crust,
 314 which results in a different rheological behaviour of the
 315 shallow lithospheric mantle as discussed at the end of
 316 Section 2.c.

317 The progression of forced extension leads to the
 318 occurrence of the crustal break-up which occurs at
 319 31.4 Ma in the hot model, approximately 16 Ma after
 320 the beginning of the mantle serpentization (Fig. 3a₃),
 321 and at 7.4 Ma in the cold model, only 3 Ma after the be-
 322 ginning of the mantle serpentization (Fig. 3b₃). The
 323 occurrence of crustal break-up is followed by the ex-
 324 humation of the serpentized mantle replacing the
 325 thinned continental crust at the floor of the basin. In the
 326 hot model, the mantle serpentization progresses even
 327 at the base of the continental crust for more than 250 km
 328 away from the ridge. In contrast, in the cold model
 329 deep-seated serpentization does not occur. This is a
 330 consequence of the thinner continental crust character-
 331 izing the hot model, allowing the occurrence of mantle
 332 at shallower depths with respect to the cold model
 333 in which continental lithospheric mantle resides at
 334 greater depths and P - T conditions are inappropriate for
 335 serpentization.

336 The pressure and temperature conditions at the base
 337 of the lithosphere in the hot model are favourable
 338 for partial melting for a relatively short time after
 339 the crustal break-up (approximately 5 Ma, Fig. 3a₄).
 340 In contrast, in the cold model favourable pressure
 341 and temperature conditions are reached after a rela-
 342 tively long time from the break-up (approximately
 343 15 Ma, Fig. 3b₄). Although there are different time
 344 intervals between the different stages (thinning, ser-
 345 pentinization and partial melting) in the hot and cold
 346 models, once crustal thinning starts comparable time
 347 spans pass before the beginning of the mantle par-
 348 tial melting in both models (approximately 21 Ma and
 349 18 Ma for the hot and the cold models, respectively;
 350 Fig. 4).

351 At the beginning of the extension, the low strength of
 352 the lithosphere in the hot model reduces the efficiency
 353 of stress transmission up to shallow depths, making the
 354 localization of crustal thinning slower than that for the
 355 stronger lithosphere of the cold model. Once the crustal
 356 thinning is localized, the successive evolution is dom-
 357 inated by local thermal gradients because this stage
 358 is comparable in the two models. After their forma-
 359 tion, the mantle, which is depleted by partial melting
 360 (dark yellow markers for the partial melting area and
 361 light yellow markers for the depleted mantle in Fig. 3a₅,
 362 b₅), moves laterally below the expanding oceanic crust,
 363 forming the oceanic lithosphere (dark green markers in
 364 Fig. 3a₅, b₅).

365 Here we assume that the oceanic lithosphere forms
 366 after the beginning of the mantle partial melting, when
 367 gabbros, basalts and part of serpentized mantle con-
 368 tribute to the formation of the oceanic crust. Based
 369 on the structural configuration of the system at the
 370 time of partial melting (Fig. 3a₄, b₄) and after a given
 371 time span of 10 Ma (Fig. 3a₅ and b₅ for the hot model
 372 and the cold model, respectively), it is possible to

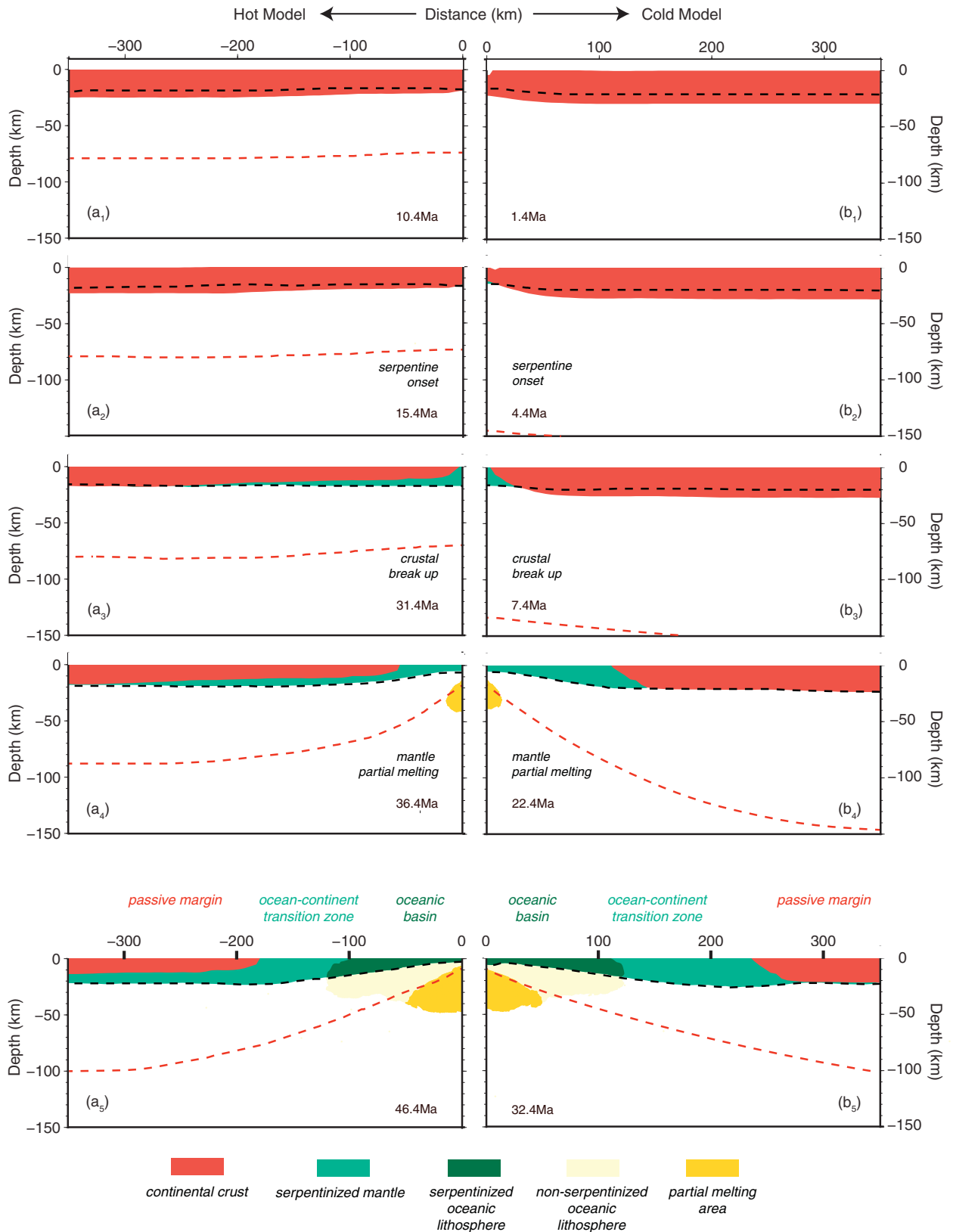


Figure 3. (Colour online) Successive stages of the tectonic evolution predicted by the hot (panels a_i) and cold (panels b_i) models at different times after the beginning of the forced extension. Black and red dashed lines correspond to 800 K and 1500 K isotherms, respectively. Ages refer to the time span from the beginning of the simulations.

373 estimate the variation in time of the width of the oceanic
 374 lithosphere, characterized by a gabbro-basalt-bearing
 375 crust, and of the serpentinized mantle denuded before
 376 melt generation. In particular, 10 Ma after the onset of
 377 the mantle partial melting our results indicate a total

378 basin width ranging over 360–480 km as a function
 379 of the initial thermal state of the lithosphere (hot or
 380 cold models, respectively). In both models the oceanic
 381 lithosphere extends for approximately 200 km, while
 382 the denuded serpentinized mantle covers a width of

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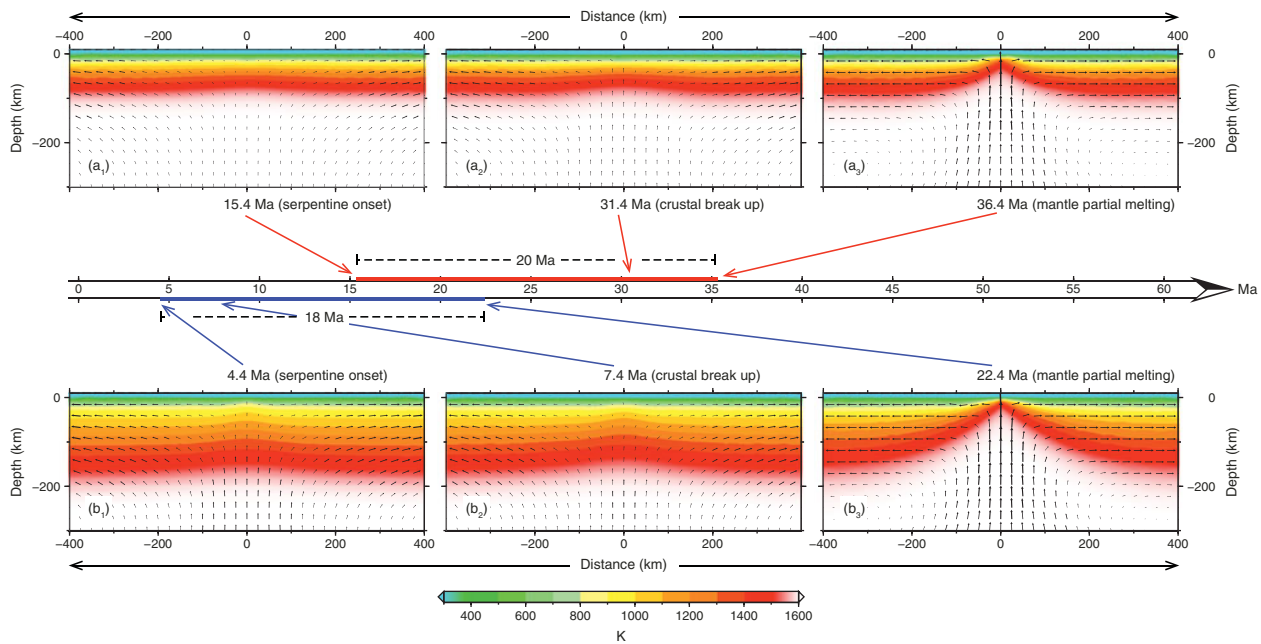


Figure 4. (Colour online) Thermal and velocity fields of the system at different times after the beginning of the forced extension for the hot (panels a_i) and cold (panels b_i) models. Time scale in the centre of the figure represents the simulation duration for both models, on which the onset of serpentinization, crustal break-up and partial melting are located by arrows (red for the hot and blue for the cold models). Time intervals between serpentinization and partial melting onset are indicated by dashed lines.

383 approximately 160 km in the hot model and 280 km in
384 the cold one.

385 3.b. Thermo-mechanical evolution

386 The structural configuration discussed above can be
387 better understood if the thermo-mechanical evolution
388 of the system is analysed. Figure 4 shows the thermal
389 and velocity fields of the system at different stages of
390 the evolution for the hot and the cold models (Fig. 4a_i
391 and b_i, respectively). During the early stages of the
392 evolution of both models, the velocity field is controlled
393 by the far-field traction driving a predominantly hori-
394 zontal velocity pattern though the lithosphere, being
395 the intensity of the mantle upwelling below the future
396 ridge lower than half the intensity of the far-field for
397 the cold model (panel b₁) and even negligible for the
398 hot model (panel a₁). Mantle upwelling increases dur-
399 ing the evolution (Fig. 4a₂) and reaches a magnitude
400 comparable to or higher than that of the far-field trac-
401 tion only after the onset of the mantle partial melting
402 (Fig. 4a₃). For both models, the thermal thinning of the
403 lithosphere localizes at the future ridge position when
404 the mantle serpentinization conditions are matched, at
405 approximately 15 Ma (Fig. 4a₁) and 4.4 Ma (Fig. 4b₁)
406 after the beginning of the forced extension for the
407 hot and cold models, respectively. Thermal thinning
408 achieves its maximum when the pressure and temper-
409 ature conditions are favourable for the mantle partial
410 melting at 36.4 Ma (Fig. 4a₃) and 22.4 Ma (Fig. 4b₃)
411 for the hot and cold models, respectively. The thermal
412 thinning in the two models therefore differs only in
413 the initial stages and, once the thermal destabilization

414 starts, the time span needed to reach the maximum thin-
415 ning is approximately independent of the initial thermal
416 state (thick red and blue lines along the time scale in
417 Fig. 4).

418 The different behaviours of the cold and hot models
419 during the initial deformation phase and their similar
420 behaviours at longer times can be ascribed to the dif-
421 ferences in the lithosphere strength (Fig. 2b). During
422 the initial phase, the lower strength (in terms of the
423 lower effective viscosities of the lithospheric mantle
424 levels) that characterizes the hot model (solid red line
425 in Fig. 2b) may attenuate the transmission of the far-
426 field traction up to the future ridge position, making
427 the lithosphere thinning a very slow process compared
428 with the cold model.

429 3.c. Velocity configuration

430 A more detailed analysis of the surface crustal
431 horizontal velocity (Fig. 5a, b) indicates that both
432 models are characterized by an initial phase during
433 which the mean surface crustal velocity is stationary
434 and increases linearly from the future ridge outwards
435 until it reaches, at the boundary of the model, the
436 velocity value constrained by the far-field traction. This
437 stationary pattern lasts a short time (approximately
438 5 Ma) in the cold model (Fig. 5a) and a long time
439 (approximately 30 Ma) in the hot model (Fig. 5b),
440 ending when the crustal break-up occurs. This initial
441 phase is followed by a transition phase lasting approx-
442 imately 9 Ma and 3 Ma for the cold and hot models,
443 respectively, during which the far-field and upwelling
444 flow concur to the extension rate and the mean surface

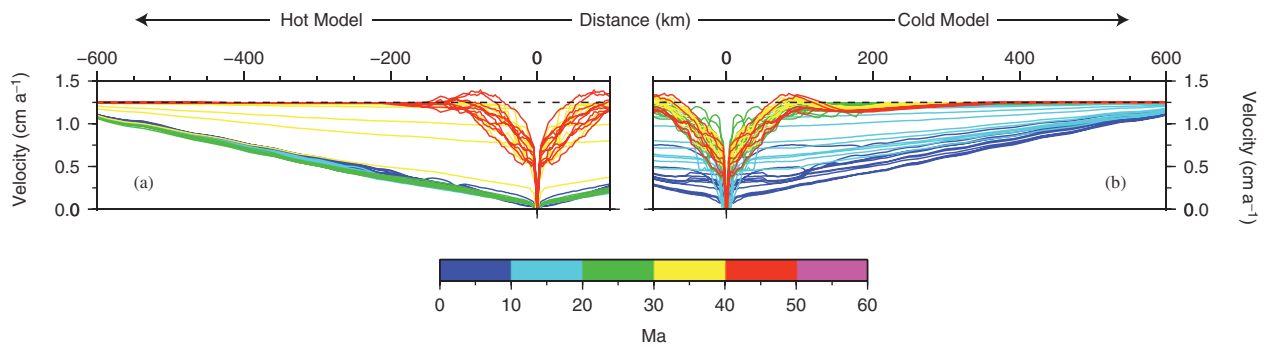


Figure 5. (Colour online) Surface horizontal crustal velocity predicted by the hot (a) and cold (b) models at different times after the beginning of the forced extension.

445 crustal horizontal velocities progressively increase
 446 until they reach the value of the far-field traction ve-
 447 locity. At the advanced stages of evolution the surface
 448 crustal horizontal velocity remains almost constant
 449 from the ridge to the margins for both models, with
 450 the exception of a 150–200 km wide region around
 451 the ridge where the mean surface horizontal velocity
 452 of the crust is mainly controlled by the very intense
 453 mantle upwelling flow and may overcome the far-field
 454 value.

455 The transmission of the spreading rate towards
 456 the periphery of the domain is likely limited by the
 457 fixed far-field prescribed velocity at the boundaries of
 458 the model producing, at first sight, an artificial local
 459 shortening.

460 Figure 6 shows the maps of the velocity modules
 461 through the lithospheric thickness at different time
 462 steps of the evolution, for the hot (Fig. 6a₁) and cold
 463 (Fig. 6b₁) models. Until crustal break-up occurs, the
 464 linear increase from the ridge outwards observed in
 465 the mean surface crustal velocity (Fig. 5) characterizes
 466 the entire lithosphere thickness (Fig. 6a₁, a₂ for the hot
 467 model and Fig. 6b₁, b₂ for the cold model). A small in-
 468 crease in the velocity is evident within the mantle where
 469 a decrease in the viscosity occurs because of the ser-
 470 pentinization. After the crustal break-up, the strongest
 471 velocity gradients localize within 200 km around the
 472 ridge and are associated with the increase in the mag-
 473 nitude of the upwelling mantle flow (Fig. 4). In the
 474 proximity of the ridge, in both models the extension
 475 rate at the base of the crust increases by a factor of
 476 2–4 since the continental break-up to oceanic spread-
 477 ing (compare Fig. 6a₂, b₂ to Fig. 6a₃, b₃). Further away,
 478 the velocity gradients decrease significantly until they
 479 disappear, and the entire continental lithosphere moves
 480 as a rigid plate at a rate equal to the traction velocity
 481 after the onset of the mantle partial melting. Significant
 482 velocity gradients persist within the part of the basin
 483 floored by the serpentinized mantle and at the deep
 484 lithosphere levels below the ridge, where the intensity
 485 of the asthenosphere upwelling overcomes the far-field
 486 traction velocity (compare Fig. 4a₃, b₃ to Fig. 6a₄, b₄).
 487 At the advanced stages of the evolution after the on-
 488 set of the mantle partial melting, as already observed

489 in Figure 5 for the mean surface horizontal velocity,
 490 even the lithosphere velocity overcomes the far-field
 491 traction velocity up to a maximum value of 1.4 cm a⁻¹
 492 compared with the prescribed 1.25 cm a⁻¹.

4. Natural data

493 The natural data, compared with the model predictions
 494 in the following discussion, are derived from continen-
 495 tal and oceanic rocks from the Alps and Apennines
 496 and include:
 497

- 498 1. lithotypes: useful for inferring the continental,
 499 oceanic, crustal or mantellic provenance of each con-
 500 sidered rock type as well as the raw characterization of
 501 the associable lithostratigraphic setting;
- 502 2. P – T conditions: useful for individuating potential
 503 provenance regions along the model-predicted litho-
 504 spheric cross-sections based on the occurrence of com-
 505 patible thermal states; and
- 506 3. geochronological data: useful for checking the
 507 time correspondences of the mineral assemblage devel-
 508 opments with the predicted sequence of thermal states
 509 from rifting to oceanic spreading.

510 The kinematics of the natural structures supported
 511 by mineral assemblages, which are used to infer the
 512 considered P – T estimates, are also reported as a supple-
 513 mentary check for compatibility with the investigated
 514 lithospheric extensional regime.

515 The rock types, mineral assemblages and climax
 516 metamorphic conditions are described in Tables 2–5.
 517 In the pre-Mesozoic continental lithosphere of the Alps
 518 and Apennines, the metamorphic T_{\max} imprints and ig-
 519 neous activity compatible with the high thermal re-
 520 gime induced by mantle upwelling during lithospheric
 521 thinning have Permian–Triassic ages. These estimated
 522 conditions have therefore been selected for comparison
 523 with model predictions. On the contrary, the age data
 524 from the oceanic lithosphere selected for comparison
 525 with model predictions are concentrated around Middle
 526 Jurassic ages and are referred to gabbro emplacement
 527 and metamorphic re-equilibration at the ocean floor.

528 A synthetic geological outline is given to help readers
 529 who are not familiar with the Alps and Apennines to

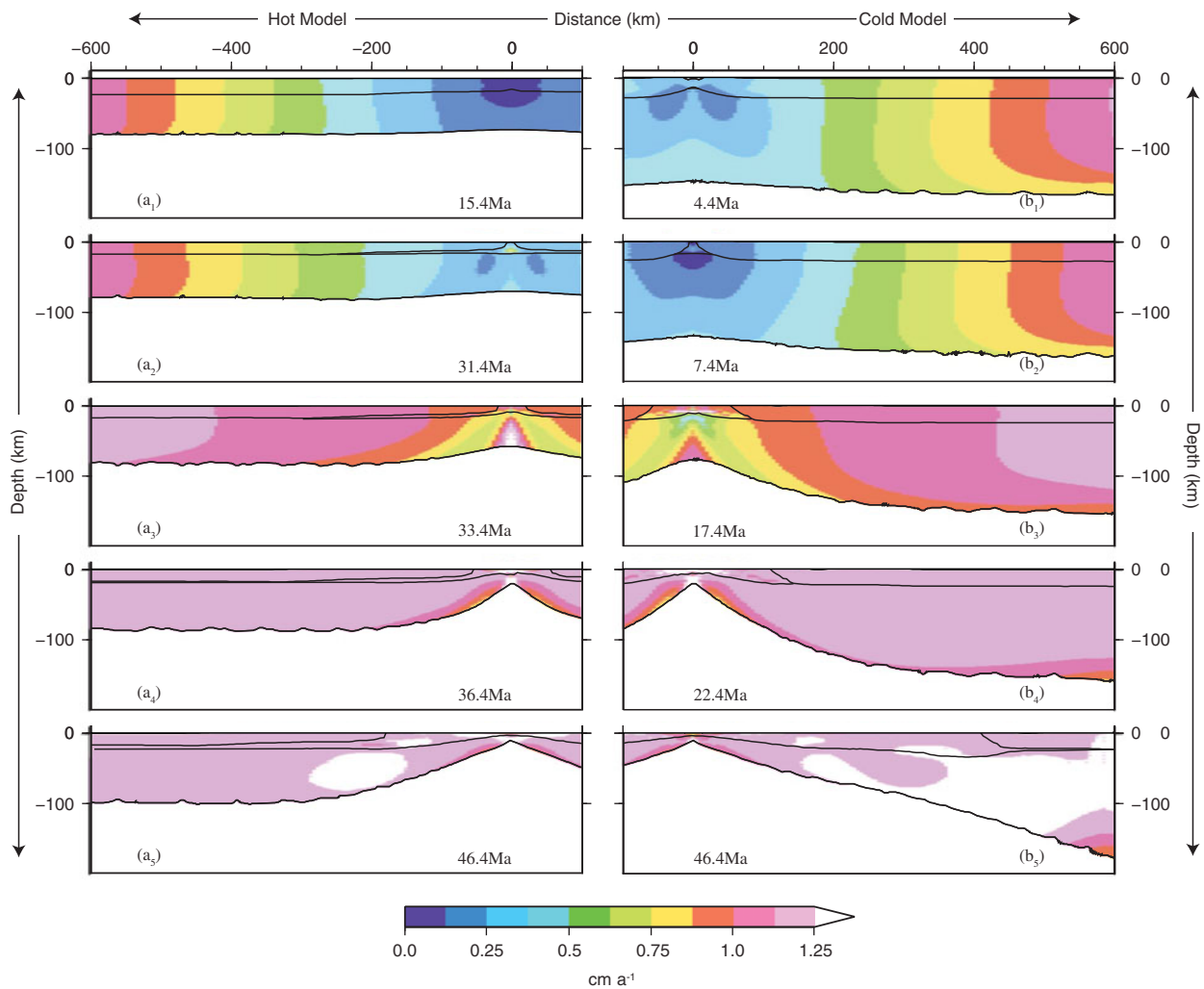


Figure 6. (Colour online) Maps of the velocity modules throughout the lithosphere at different times after the beginning of the forced extension for the hot (panels a_i) and cold (panels b_i) models.

530 place the samples that have provided the natural data in
531 an adequate tectonic frame.

532 4.a. Geological outline

533 The Alps and Apennines developed during the closure
534 of the Mesozoic Tethys along two opposite sub-
535 duction zones during different time intervals, during
536 Cretaceous–Oligocene time for the Alpine system and
537 from Eocene time to the present day for the Apen-
538 nines system (e.g. Dal Piaz, 2010; Handy *et al.* 2010;
539 Carminati & Doglioni, 2012). Different erosion per-
540 centages and exhumation efficiencies (Carminati &
541 Doglioni, 2012) allowed the exposure of deep struc-
542 tural levels in the axial zone of the Alpine nappe; how-
543 ever, these levels are still buried in the Apennines. In
544 contrast to the Apennines, denudation of the axial part
545 of the belt makes the Alps an important site where it is
546 possible to explore continuous sections of deep and in-
547 termediate Alpine and pre-Alpine continental crust and
548 to investigate the metamorphic and igneous effects of
549 the lithospheric-thinning-induced high thermal regime
550 preceding Alpine convergence.

The Alps spread out from the Gulf of Genova to
the Vienna Basin; the chain was truncated during the
Neogenic opening of the Ligurian–Provençal–Algerian
and Tyrrhenian basins (e.g. Bozzo *et al.* 1992; Séranne,
1999; Federico *et al.* 2009; Turco *et al.* 2012). Alpine
subduction-collision is responsible for the distribution
of the continental pre-Alpine and Mesozoic oceanic
rocks in four tectonic domains, individuated and ex-
plored at the lithospheric scale after the seismic in-
tegrated geophysical prospection projects of the whole
belt (e.g. Polino, Dal Piaz & Gosso, 1990; Schmid *et al.*
2004; Cassinis, 2006). From the internal to the external
part of the belt, they are the Southalpine, Austroalpine,
Penninic and Helvetic domains (Fig. 1).

The Southalpine domain consists of a south-verging
thrust system that has been active since Cretaceous
time, involving Palaeozoic continental basement and
Permian–Cenozoic cover units, both only locally af-
fected by very-low-grade Alpine metamorphism. The
Austroalpine and Penninic domains have been deeply
involved in the Alpine subduction and collision sys-
tem, as accounted for by the high-pressure meta-
morphic imprints associated with the dominant Alpine

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Table 2. Permian–Triassic metamorphic rocks from the continental crust of the Alps. Labels listed in the code column are reported in [Figures 1 and 9–11](#) to indicate rock positions and duration of thermal fitting

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Penninic Briancon basement, Ruitor	Metapelites	Ab-bearing metapelites	450–550	0.1–0.3	Permian (295–245)	Rb–Sr K–Ar	Bocquet <i>et al.</i> 1974; Desmons, 1992	Pp1
Dora Maira	Metapelites	Grt, Sil, Bt, Pl, Qtz	650–750	0.4–0.7	Permian? (295–245)		Bouffette <i>et al.</i> 1993	Pp3
Gruf	Acid granulites	Qtz, Pl, Ksp, Bt, Opx, Grt, Amph, Zrc, Rt, Ilm, Op, \pm Spl	920–940	0.85–0.95	Permian (290–260)	U–Pb	Galli <i>et al.</i> 2012	Pp4
Austroalpine Sesia Lanzo Zone lower element	Basic granulites	Opx, Pl, Grt, Qtz, Amph	650–800	0.7–0.9	Permian? (295–245)		Lardeaux & Spalla, 1991	Ap1a
Sesia Lanzo Zone lower element	Acidic granulites	Sill, Bt, Cd, Pl, Qtz	650–750	0.6–0.8	Permian? (295–245)		Lardeaux & Spalla, 1991	Ap1b
Sesia Lanzo Zone upper element	Basic and acidic granulites	Opx, Pl, Grt, Qtz, Amph Sill, Bt, Pl, Qtz	650–750	0.6–0.7	Permian? (295–245)		Lardeaux, 1981; Vuichard, 1987; Biagini <i>et al.</i> 1995	Ap2
Mt Emilius Klippe	Metabasics	Amph, Pl, Qtz	550–650	0.3–0.45	Permian? (280?)		Dal Piaz, Lombardo & Gosso, 1983	Ap3
Mont Mary Nappe	Metapelites	Grt, Sil, Bt, Pl, Ms	510–580	0.25–0.45	Permian? (295–245)		Pennacchioni & Cesare, 1997	Ap4
Dent Blanche Nappe (Valpelline)	Basic and acidic granulites	Opx, Pl, Grt, Qtz, Amph Sill, Bt, Cd, Pl, Qtz	750–800	0.5–0.7	Jurassic? (\geq 180)	K–Ar	Nicot, 1977; Hunziker, Desmon & Hurford, 1992; Gardien, Reusser & Marquer, 1994	Ap5b
Dent Blanche	Metapelites	Qtz, Kfs, Pl, Grt \pm Crd	814 \pm 40	0.6–0.8	Permian (268–272)	U–Pb	Manzotti & Zucali, 2013	Ap7
Dent Blanche	Metapelites	Bt, Kfs, Qz, Sil, Grt	750 \pm 50	0.4–0.5	Permian (287–291)	U–Pb	Manzotti & Zucali, 2013	Ap8
Languard–Campo	Granulites	Sil, Opx, Kfs, Bt, Qtz	570–750	0.4–0.6	Permian (288–292)	SmNd	Giacomini <i>et al.</i> 1999; Tribuzio, Thirwall & Messiga, 1999; Schuster <i>et al.</i> 2001	Ap10
Languard–Campo	Metapelites and metabasics	Sill, Bt, Grt, Cd, Pl, Qtz Amph, Grt, Cpx, Pl, Qtz	650–750	0.4 – 0.5	Permian (260–280)	Rb–Sr	Spalla, Messiga & Gosso, 1995; Zucali, 2001	Ap11
Matsch Nappe	Metapelites	Grt, Sil/And, Bt, Pl, Qtz \pm Crd	570–640	0.3–0.55	Permian (290 \pm 17)	Rb–Sr	Gregnanin, 1980; Haas, 1985	Ap12
Uttenheim Ahrntal	Metapelites	Grt, Bt, Sil, Pl, Qtz, L	620–680	0.5–0.7	Permo–Trias (262 \pm 7 253 \pm 7)	Rb–Sr Sm/Nd	Borsi <i>et al.</i> 1968; Stöckhert, 1987; Schuster <i>et al.</i> 2001	Ap13
Strieden Kreuzeck-gruppe	Metapelites	Sil, Bt, Pl, Qtz, L	600–750	0.3–0.5	Permo–Trias (261 \pm 3 229 \pm 3)	Sm/Nd K–Ar	Hoke, 1990; Schuster <i>et al.</i> 2001	Ap14
Woelz Complex	Gneiss	Grt, Chl, Ms/Pg, Ab, Qtz, \pm Bt, \pm Mrg	440–520	0.2–0.4	Permo–Trias (220–260)	Rb–Sr	Schuster & Frank, 1999	Ap15
Woelz Complex	Metapelites	Grt, Bt, Ms, Ilm/Rt, Pl, Qtz	515–555	0.35–0.45	Permo–Trias (295–245)		Gaidies <i>et al.</i> 2006	Ap16
Sopron	Metapelites	Bt, And/Sill, Qtz, Pl	575–700	0.18–0.38	Permian? (300 \pm 40)	Th–U–total Pb	Nagy <i>et al.</i> 2002	Ap17
Saualpe–Koralpe	Metapelites and pegmatites	Grt-bearing micaschists	550–560	0.52–0.71	Permo–Trias (240–290)	Rb–Sr U–Pb Sm/Nd	Thöni & Miller, 2000	Ap19a
Saualpe–Koralpe	Metapelites	Grt, Qtz, Pl, Bt, Ms, \pm Sil	575–620	0.3–0.5	Triassic (249 \pm 3)	Sm/Nd	Habler & Thöni, 2001	Ap19b
Saualpe–Koralpe	Metapelites	Grt, Qtz, Pl, Bt, Ms, \pm Sil	650–670	0.4–0.9	Permo–Trias (290–245)		Tenczer, Powell & Stuwe, 2006	Ap19c

Table 2. Continued

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Saualpe–Koralpe (Plankogel)	Micaschists	Ky, St, Grt, Qtz, Wm, Bi, Chl, Ru, Ilm	550 ± 50	0.36–0.56	Permian (269.6 ± 6.2)	Sm/Nd	Thöni & Miller, 2009	Ap21
Southalpine Eisacktal	Metapelites– contact meta-morphism	Crd, Sil, Bt	500–630	0.1–0.26	Permian (282)		Visonà, 1995; Benciolini <i>et al.</i> 2006	Sp1
Dervio–Olgiasca Zone	Metapelites metabasics	Bt, Sil, Pl, Qtz, ±Grt, ±Kfs Amph, Pl, Qtz, ±Cpx, ±Bt	650–750	0.4–0.5	Triassic (224–227)	Rb–Sr	Diella, Spalla & Tunesi, 1992; Bertotti <i>et al.</i> 1993; Sanders, Bertotti & Tommasini, 1996; di Paola & Spalla, 2000	Sp3
Strona–Ceneri Zone	Metapelites	Sil, Ad, Crd			Permo–Trias (288 ± 99)	Rb–Sr best–fit	Boriani & Burlini, 1995; Pinarelli & Boriani, 2007	Sp4
Ivrea Zone	Metapelites	Sill, Bt, Grt, Cd, Pl, Qtz	680–780	0.45–0.65	Permian (250–290)	Rb–Sr U–Pb	Hunziker & Zingg, 1980; Brodie <i>et al.</i> 1989; Quick <i>et al.</i> 1992; Vavra <i>et al.</i> 1996; Colombo & Tunesi, 1999	Sp5a
Ivrea Zone	Metabasics	Grt, Opx, Amph, Pl, Qtz	750–950	0.8–0.9	Permian (273–296)		Henk <i>et al.</i> 1997; Vavra <i>et al.</i> 1996; Colombo & Tunesi, 1999	Sp5b
Ivrea Zone	Granulites	Grt, Sil, Pl, Qtz, Rt	760–810	0.45–1.05	Permian (288 ± 4)	U–Pb	Smye & Stockli, 2014	Sp5
Ivrea Zone	Metapelites	Gt, Sil, Rt, Qtz, Fsp, Pl, Bt	900–1000	0.75–1.25	Permian? (316 ± 3)	U–Pb	Ewing, Hermann & Rubatto, 2013	Sp5
Ivrea Zone	Metapelites	Gt, Sil, Rt, Qtz, Fsp, Pl, Bt	700–800 810–870	0.35–1.15	Permian (276 ± 4 258 ± 3)	U–Pb	Ewing, Hermann & Rubatto, 2013	Sp5
Ivrea Zone	Metapelites	Amph, CPx, Pl, ±Qtz, ±Bt, ±Gt, ±Sill			Permian (284 ± 14)	U–Th–Pb	Langone & Tiepolo, 2015	Sp6
Ivrea Zone	Metapelites	Amph, CPx, Pl, ±Qtz, ±Bt, ±Gt, ±Sill			Triassic (234 ± 8)	U–Th–Pb	Langone & Tiepolo, 2015	Sp6
Ivrea Zone	Metapelites	Amph, CPx, Pl, ±Qtz, ±Bt, ±Gt, ±Sill			Jurassic (154 ± 12)	U–Th–Pb	Langone & Tiepolo, 2015	Sp6

Table 3. Permian–Triassic gabbros emplaced in the pre-Alpine continental crust of the Alps and Apennines. Labels listed in the code column are reported in [Figures 1 and 9–11](#) to indicate rock positions and duration of thermal fitting

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Austroalpine								
Sesia Lanzo, Corio and Monastero	Gabbro-norite	Cpx, Opx, Pl, Amph, Ilm, Ap	780–920	0.6–0.9	Permian?	Geolog. evidence	Rebay & Spalla, 2001	g1
Sesia Lanzo, Sermenza	Gabbro	Pl, Amph, Cpx, Mt, Zo, \pm Wm, Chl			Permian (288 \pm 2/–4)	U–Pb	Bussy <i>et al.</i> 1998	g2
Dent Blanche, Matterhorn Collon	Gabbro	Ol, Opx, Sp, Cpx, Pl, Amph, Phl			Triassic (250 \pm 5)	K–Ar Rb–Sr	Dal Piaz, De Vecchi & Hunziker, 1977	g3
Dent Blanche, Matterhorn Collon	Gabbro	Ol, Cpx, Pl, Opx, Amph, Ilm, Mt	1070–1120	0.5–0.7	Permian (284 \pm 0.6)	U–Pb	Monjoie, 2004; Monjoie <i>et al.</i> 2005	g3
Dent Blanche, Mont Collon Dents de Bertol	Mafic dykes (alkaline lamprophyres)	Amph, Cpx, Pl, Ilm, Mt			Permian (<i>c.</i> 260)	Ar–Ar	Monjoie, 2004; Monjoie <i>et al.</i> 2005	g3
Fedoza, Braccia	Gabbro	Ol, Cpx, Pl, Opx, Amph, Ilm	1150–1250	1.0–1.2	Permian (266–276)	U–Pb	Muentener, Hermann & Trommsdorf, 2000	g4
Fedoza, Braccia	Gabbro	Ol, Cpx, Pl, Opx, Amph, Ilm, Mt			Permian (281 \pm 19, 281 \pm 2)	U–Pb	Hansmann, Muntener & Hermann, 2001; Hermann & Rubatto, 2003	g4
Sondalo	Gabbro	Pl, Ol, Cpx	800–900	0.4–0.6	Permian (267 \pm 13)	Rb–Sr	Boriani, Colombo & Macera, 1985; Tribuzio, Thirwall & Messiga, 1999	g5
Sondalo	Troctolite	Pl, Cpx, Amph, Bt, Opx		0.3–0.7	Permian (266 \pm 10, 300 \pm 12)	Rb–Sr Sm–Nd	Tribuzio, Thirwall & Messiga, 1999	g5
Sondalo	Norite	Pl, Opx, Cpx, Amph, Ilm, Bt			Permian (269 \pm 16, 280 \pm 10)	Rb–Sr Sm–Nd	Tribuzio, Thirwall & Messiga, 1999	g5
Baerofen	Gabbro	Pl, Cpx, Ol, Opx			Permian (275 \pm 18)	Sm–Nd	Thöni & Jagoutz, 1992	g6
Baerofen	Gabbro	Pl, Cpx, Ol, Opx			Permo–Trias (261 \pm 10)	Sm–Nd	Thöni & Jagoutz, 1992	g6
Baerofen and Gressenberg	Eclogitic gabbro	Pl, Cpx, Ol	1100	0.25	Permo–Trias (247 \pm 16, 255 \pm 9)	Sm–Nd	Miller & Thöni, 1997	g6
Southalpine								
Bressanone, Chiusa dioritic belt	Gabbro-norite	Cpx, Pl, Amph, Opx, Bt, Mt, Ilm, Ol, Qtz	900–1200	0.1–0.3	Permian (276 \pm 4)	Rb–Sr	Del Moro & Visonà, 1982; Visonà, 1995	g7
Monzoni	Gabbro	Pl, Cpx, Bt, kfsp, Ol	960–990	0.1	Triassic (234–225)	Rb–Sr	Borsi <i>et al.</i> 1968; Spicker and Huckenholz, 1986; Povoden, Horacek & Abart, 2002	g8
Predazzo	Gabbro, diorite	Cpx, Opx, Amph, Pl, Bt, Mt, Ilm, Sph, Ap, Ol			Triassic (238–232)	U–Pb Ar–Ar	Mundil, Brack & Laurenzi, 1996; Visonà, 1997; Ferry <i>et al.</i> 2002	g8
Val Biandino	Gabbro, diorite	Pl, Bt, Amph, Qtz, Zirc, Op, Apa	700–825	0.25–0.4	Permian (279 \pm 5)	Rb–Sr	Thöni <i>et al.</i> 1992; De Capitani, Carnevale & Fumagalli, 2007	g9
Ivrea, Upper Mafic Complex, Val Mastallone	Diorite	Cpx, Opx, Pl, Amph, Bt, Grt, Qtz, K-Fld			Permian (285 \pm 7/–5)	U–Pb	Pin, 1986	g10
Ivrea, Upper Mafic Complex, Val Sesia, Val Strona	Diorite	Qtz, Fld, Grt, Bt, Opx			Permian (274 \pm 17)	Rb–Sr	Buerger & Kloetzli, 1990	g10
Ivrea, Upper Mafic Complex	Gabbro, diorite	Amph, Ol, Opx, Cpx, Phl, Pl			Permian (287 \pm 3, 292 \pm 4)	U–Pb	Garuti <i>et al.</i> 2001	g10
Ivrea, Upper Mafic Complex, Val Mastallone and Val Sesia	Diorite and gabbro	Pl, Opx, Cpx, Bt, Amph			Permian (288 \pm 3)	U–Pb	Peressini <i>et al.</i> 2007	g10

Table 3. Continued

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Ivrea, Upper Mafic Complex, Valbella	Gabbro	Pl, Cpx, Opx, Amph			Permo-Trias (271 ± 22)	Sm–Nd	Voshage <i>et al.</i> 1987	g10
Ivrea, Upper Mafic Complex, Sassiglioni	Gabbro	Pl, Cpx Opx, Amph	1000–1200	0.55–0.75	Triassic (248 ± 8)	Sm–Nd	Voshage <i>et al.</i> 1987	g10
Ivrea, Lower Mafic Complex, Val Sesia	Gabbro	Pl, Amph, Cpx, Opx	>750	0.8	Permian (274 ± 11)	Sm–Nd	Mayer, Mezger & Sinigoi, 2000	g10
Ivrea, Upper Mafic Complex, Val Sessera	Gabbro	Pl, Amph, Cpx Opx, Bt, Ap, Zrc, Spl, Ilm			Permo–Trias (267 ± 21)	Sm–Nd	Mayer, Mezger & Sinigoi, 2000	g10
Ivrea, Upper Mafic Complex	Metabasics	Qtz, Pl, Grt, Bt, Sil, Opx			Permian (293 ± 12)	U–Pb	Vavra, Schmid & Gebauer, 1999	g10
Ivrea, Verbanò	Gabbro				Permian (287 ± 2 285 ± 4)	U–Pb	Quick <i>et al.</i> 2002	g10
Ivrea, Finero mafic ultramafic body	Gabbro	Grt, Cpx, Pl, Amph			Triassic (231 ± 21 223 ± 10)	Sm–Nd	Lu <i>et al.</i> 1997	g11
Ivrea, Finero mafic ultramafic body	Gabbro	Grt, Cpx, Pl, Amph			Triassic (215 ± 15)	Sm–Nd	Lu <i>et al.</i> 1997	g11
Ivrea, Finero mafic ultramafic body	Gabbro	Grt, Cpx, Pl, Amp	850–1100	0.9–1	Triassic (231 ± 21, 223 ± 10)	Sm–Nd	Lu <i>et al.</i> 1997	g11
Ivrea, Finero mafic ultramafic body	Gabbro	Grt, Cpx, Pl, Amp			Triassic (215 ± 15)	Sm–Nd	Lu <i>et al.</i> 1997	g11
Ponte Gardena, Waidbruck (Isarco, Eisack valley)	Basaltic andesite	Pl, Px, Bt			Permian (290.7 ± 3)	U–Pb	Visonà <i>et al.</i> 2007	g12
M dei Ginepri (Eores, Aferer valley)	Andesitic necks	Pl, Px, Bt, Mag, Grt			Permian (279.9 ± 3.3)	U–Pb	Visonà <i>et al.</i> 2007	g12
Col Quaternà (western Comelico)	Andesitic necks	Pl, Px, Amph			Permian (278.6 ± 3.1)	U–Pb	Visonà <i>et al.</i> 2007	g12
Apennine External Liguride Northern Apennine	Granulitic gabbro	Ol, Pl, Cpx, Opx, Par, Sp	810–920	0.7–0.8	Permian (291 ± 9)	Sm–Nd	Marroni and Tribuzio, 1996; Marroni <i>et al.</i> 1998	APN2a

Table 4. Subcontinental and oceanic mantle peridotites from the Alps and Apennines. Labels listed in the code column are reported in Figures 1 and 9–11 to indicate rock positions and duration of thermal fitting

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Penninic Mt Avic	Serpentinized peridotite	TiChu, Atg, Di, Mag	380–520	0.25–0.35	Jurassic (<180)		Fontana, Panseri & Tartarotti, 2008	Pp6
		Ol, Opx, Cpx, Pl, Sp	1050–1200	0.5–2.0	Permian? (299–252)		Pognante, Rösli & Toscani, 1985	Pg2b
N-Lanzo Massif	Peridotite	Ol, Opx, Cpx, Amph	985–1015	0.35–0.55	Permian? (299–252)		Compagnoni, di Brozolo & Sandrone, 1984	Pg1a
Austroalpine N-Lanzo Massif N-Lanzo Massif N-Lanzo Massif Dent Blanche Nappe (Valpelline)	Peridotite	Ol, Pl, Cpx	950–1050	1.7–2.4	Permian? (299–252)		Wogelius & Finley, 1989	Pg3a
		Ol, Pl, Cpx	725–950	0.75–0.85	Permo-Trias? (299–201)		Wogelius & Finley, 1989	Pg3b
		Ol, Pl, Cpx	700–450	0.3–0.8	Jurassic? (201–145)		Wogelius & Finley, 1989	Pg3c
		Ol, Opx, Cpx, Sp, Amph	800–1000	0.5–1.5			Nicot, 1977	Ap5a
Apennine Internal Liguride Northern Apennine	Serpentinized peridotite	Ol, OPx, Cpx, Sp, Serp	200–300	0.1–0.2	Jurassic (164–153)		Donatio, Marroni & Rocchi, 2013; Marroni & Pandolfi, 2007	APN3
External Liguride Northern Apennine	Peridotite	Cpx, Amph	1000–1050		Jurassic (164 ± 20)	Sm–Nd	Marroni <i>et al.</i> 1998	APN2b

fabrics. The Penninic domain consists of mingled crustal slices deriving from both pre-Alpine continental and Mesozoic oceanic lithosphere, the latter tectonically sampled from the subducted Tethys Ocean (e.g. Platt 1986; Polino, Dal Piaz & Gosso, 1990; Stöckhert & Gerya, 2005; Malatesta *et al.* 2012; Roda, Spalla & Marotta, 2012; Malatesta *et al.* 2013). In contrast, the Austroalpine domain does not contain Mesozoic ophiolites but is infolded within them and the related Mesozoic sediments all along its external boundary. Finally, the Helvetic domain consists of a Europe-verging thrust system that includes basement and cover slices structured during the late stages of the Alpine continental collision since Tertiary. Due to its shallow-level Alpine tectonic history, the Helvetic and Southalpine units (Fig. 1) broadly preserve pre-Alpine metamorphic, structural and stratigraphic imprints (Fig. 7), whereas Cretaceous–Paleocene Alpine high-pressure rocks are confined within the axial part of the chain in a rootless crustal prism consisting of Penninic and Austroalpine units. These latter are bounded by the Penninic frontal thrust (PF, Fig. 1) towards the European foreland and by the Periadriatic lineament (PL, Fig. 1) towards the Adriatic hinterland (Handy & Oberhänsli, 2004; Thöni *et al.* 2008; Roda, Spalla & Marotta, 2012). According to many authors the wide range of radiometric ages of high-pressure metamorphic imprints (Bousquet *et al.* 2004; Goffé *et al.* 2004; Handy *et al.* 2010; Lardeaux, 2014) suggests that they were buried and widely exhumed during subduction of the oceanic lithosphere (European lower plate), accompanied by tectonic erosion of the upper continental plate (Adria) before the onset of continental collision (e.g. Platt 1986; Polino, Dal Piaz & Gosso, 1990; Spalla *et al.* 1996; Gerya & Stöckhert 2005; Roda, Marotta & Spalla, 2010; Roda, Spalla & Marotta, 2012; Rubatto *et al.* 2011). Here, pre-Alpine structural, metamorphic and igneous relics are also preserved even if pervasive Alpine structural and metamorphic reworking shapes them into small-sized and scattered lenses, inhibiting the correlation of pre-Alpine structures at the regional scale.

4.b. Lithology, structures, P – T conditions and ages

Asthenospheric upwelling associated with lithospheric thinning causes high thermal regimes in the thinned continental lithosphere (e.g. Thompson, 1981; England & Thompson, 1984; Thompson & England, 1984; Sandiford & Powell, 1986; Spear & Peacock, 1989; Beardsmore & Cull, 2001), and in the Alps the only igneous and metamorphic effects indicating the occurrence of such a thermal state before the Alpine convergence are of Permian–Triassic age. They are detectable along the whole belt, from the Ligurian Sea to the Pannonian Basin, even in domains strongly reworked by the Alpine tectonics and metamorphism. These records consist of a widespread emplacement of Permian–Triassic basic to acidic igneous activity and huge gabbro bodies (Tables 2 and 3; Fig. 1) associated with regional

Table 5. Ophiolitic gabbros from the Alps, Apennines and Corsica. The lack of P – T estimates for Corsica gabbros inhibits their comparison with the model predictions. Labels listed in the code column are reported in [Figures 1](#) and [9–11](#) to indicate rock positions and duration of thermal fitting

Tectonic unit, location	Lithology	Assemblage	T (°C)	P (GPa)	Age (Ma)	Method	References	Code
Penninic Chennaillet	Troctolite	Pl, Cpx, Ol, Amph	700–800	0.1–0.4	Jurassic (166–158)	U–Pb	Mevel, Caby & Kienast, 1978 ; Li <i>et al.</i> 2013	Pp5b
Chennaillet	Troctolite	Pl, Cpx, Ol, Amph	710–940	0.1–0.4	Jurassic (166–158)	U–Pb	Mevel, Caby & Kienast, 1978 ; Li <i>et al.</i> 2013	Pp5a
S-Lanzo Massif	Gabbro-mantle	Ol, Opx, Cpx, Amph	985–1015	0.35–0.55	Jurassic (201–145)		Compagnoni, do Brozolo & Sandrone, 1984	Pg1b
S-Lanzo Massif Lanzo Massif (whole)	Gabbro Gabbro	Pl, Ol, Cpx Pl, Cpx, Ol, Amph	750–900 800–850	0.1–0.3	Jurassic (201–145) Jurassic (164–156)	U–Pb	Pognante, Rösli & Toscani, 1985 Kaczmarek, Müntener & Rubatto, 2008	Pg2a Pg4
Voltri Massif	Gabbro	Ol, Pl, CPx			Jurassic (182 ± 19)	Sm–Nd	Rampone <i>et al.</i> 2014	Pp6
Apennine East Ligurian ophiolites, Northern Apennines	Gabbro	Pl, Ol, Cpx, Ilm, Amph, Opx	800–950	0.3–0.6	Jurassic (185–161)	ft Zrn	Tribuzio, Riccardi & Ottolini, 1995	APN1a
Apennine	Gabbro	Pl, CPx, Ox, Amph	800–970	0.3–0.6	Jurassic (<180)		Riccardi, Tribuzio & Caucia, 1994	APN1b
Apennine	Gabbro	Pl, CPx, Ox, Amph	730–660	0.1–0.3	Jurassic (<160)		Riccardi, Tribuzio & Caucia, 1994 ; Tribuzio, Riccardi & Messiga, 1997 ; Rebay, Riccardi & Spalla, 2015	APN1c
Apennine	Gabbro	Pl, CPx, Ox, Amph	200–300	0.1–0.2	Jurassic (<160)		Riccardi, Tribuzio & Caucia, 1994 ; Tribuzio, Riccardi & Messiga, 1997 ; Rebay, Riccardi & Spalla, 2015	APN1d
Northern Apennine	Gabbro	Ol, Pl, CPx			Jurassic (188–170)	Sm–Nd	Tribuzio, Thirwall & Vannucci, 2004	APN2
Cecina Valley	Gabbro	Ol, Pl, CPx			Jurassic (183–157)	SmNd	Tribuzio, Thirwall & Vannucci, 2004	APN3
Corsica								
Balagne upper nappe	Gabbro	Pl, Cpx, Amph, Ap, Mag, Zr			Jurassic (159 ± 2)	U–Pb	Li <i>et al.</i> 2015	C1
Balagne upper nappe	Gabbro	Pl, Cpx, Amph, Ap, Mag, Zr			Jurassic (169 ± 3)	U–Pb	Rossi <i>et al.</i> 2002	C1
Inzecca	Albitite	Pl, Qtz, Cpx, Amph			Jurassic (161 ± 3)	U–Pb	Ohnenstetter <i>et al.</i> 1981	C2
Monte Maggiore	Gabbro	Pl, Cpx, Ol, Opx			Jurassic (172–149)	Sm–Nd	Rampone, Hofmann & Raczek, 2009	C3

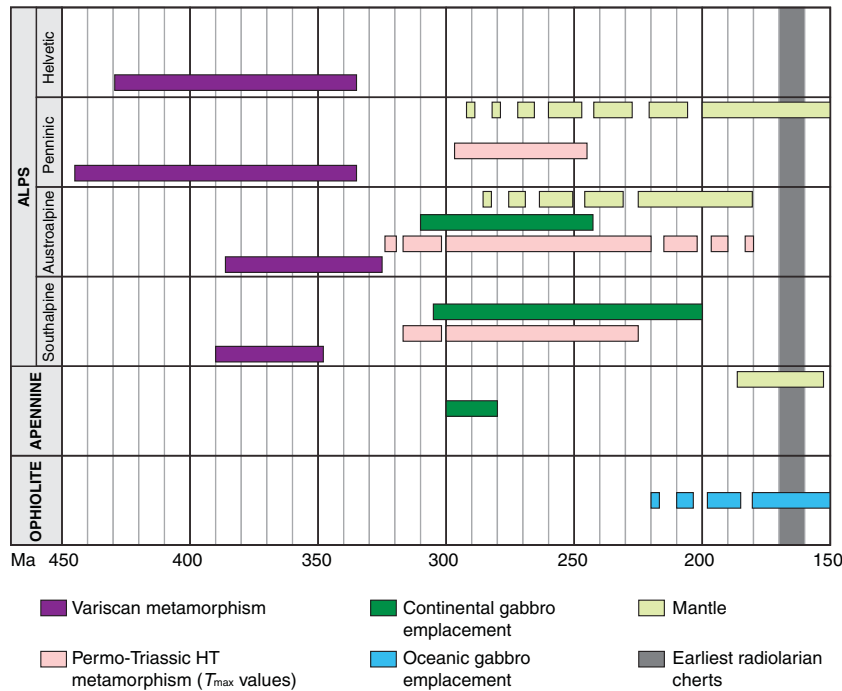


Figure 7. (Colour online) Timing of the metamorphic and magmatic events from Variscan to Jurassic time along the Alpine belt. Radiometric ages are plotted including analytical uncertainty intervals (dashed horizontal bars). Variscan evolutions are synthesized by data in Table 2. Permian–Triassic metamorphic and igneous data (continental gabbros) are synthesized by data in Table 3 and represented with their error margin. Ophiolites and mantle age data are listed in Tables 4 and 5. The grey stripe indicates the age of the earliest radiolarian cherts related to Alpine ophiolites (Cordey & Bailly, 2007).

632 high-temperature – low-pressure (HT-LP) metamorph- 664
 633 ism, which postdate structures and metamorphic im- 665
 634 prints widely developed during the Variscan subduc- 666
 635 tion and collision (Fig. 7). These features are frequ- 667
 636 ently associated with subcontinental peridotites (Table 4) 668
 637 and are mainly confined to the Austroalpine and 669
 638 Southalpine domains (e.g. Lardeaux & Spalla, 1991; 670
 639 Bonin *et al.* 1993; Bussy *et al.* 1998; Rottura *et al.* 671
 640 1998; Schuster *et al.* 2001; Stahle *et al.* 2001; Rebay 672
 641 & Spalla, 2001; Rampone, 2002; Peressini *et al.* 2007; 673
 642 Marotta, Spalla & Gosso, 2009; Spalla *et al.* 2014). 674

643 Metamorphic Permian–Triassic imprints are widely 675
 644 recorded in the lower, intermediate and upper contin- 676
 645 ental crust of the Austroalpine and Southalpine do- 677
 646 mains; only a few records have been recognized in 678
 647 the upper and intermediate Penninic crust of West- 679
 648 ern Alps, and they are never detected in the Hel- 680
 649 vetic domain (Fig. 1). In the Penninic domain, HT 681
 650 assemblages mainly developed in sillimanite-bearing 682
 651 metapelites and metaintrusives. They are generally 683
 652 derived from re-equilibration under low-pressure con- 684
 653 ditions and interpreted as Permian in age (Bouffette, 685
 654 Lardeaux & Caron, 1993; Table 2). Sapphirine-bearing 686
 655 HT- to UHT-IP granulites of the Gruf Complex have 687
 656 been recently petrologically and chronologically invest- 688
 657 igated, revealing an age of 260–290 Ma for T_{max} con- 689
 658 ditions (Galli *et al.* 2011). The exhumation of Penninic 690
 659 HT Permian–Triassic rocks was generally associated 691
 660 both with cooling and heating (Desmons, 1992; Bouf- 692
 661 fette, Lardeaux & Caron, 1993) and occurred before 693
 662 the Alpine convergence, whereas the exhumation of 694
 663 UHT Gruf granulites occurred at the end of the Alpine 695

664 convergence from the base of the internal European 665
 666 passive margin where they lay since the Permian litho- 667
 668 spheric thinning (Galli *et al.* 2011). In the Austroalpine 669
 670 domain, Permian–Triassic HT metamorphism mainly 671
 672 developed in sillimanite- and biotite-bearing gneisses; 673
 674 it is associated with minor mafic granulites, amphibol- 675
 676 ites and high-grade marbles (Table 2). HT minerals lo- 677
 678 cally mark mylonitic fabrics within discrete shear zones 678
 679 (Lardeaux & Spalla 1991; Spalla *et al.* 1991), and ex- 679
 680 humation paths can be characterized by cooling, heat- 680
 681 ing or isothermal decompression (e.g. Dal Piaz, Lom- 681
 682 bardo & Gosso, 1983; Stöckhert, 1987; Vuichard, 1987; 682
 683 Lardeaux & Spalla, 1991; Spalla, Messiga & Gosso, 683
 684 1995; Schuster *et al.* 2001; Manzotti & Zucali, 2013). 684
 685 Locally, the exhumation was accomplished up to very 685
 686 shallow structural levels (e.g. Rebay & Spalla, 2001), 686
 687 suggesting that some Austroalpine units belonged to 687
 688 a thinned continental crust before being subducted 688
 689 during Cretaceous convergence. In the Southalpine 689
 690 basement, Permian–Triassic HT metamorphism devel- 690
 691 oped in metapelites, mafic granulites, amphibolites 691
 692 and high-grade marbles and mainly re-equilibrated un- 692
 693 der granulite-amphibolite-facies conditions (Table 2). 693
 694 HT paragenesis marks a pervasive foliation that is lo- 694
 695 cally mylonitic within up to kilometre-thick discrete 695
 shear zones that are often steepened by Alpine thrusting (e.g. Bertotti *et al.* 1993; Gosso, Siletto & Spalla, 1997). Mylonitic belts developed under upper amphibolite-granulite- to greenschist-facies conditions are widespread in the central Southalpine domain, accounting for regional-scale pervasive extensional tectonics (Brodie, Rex & Rutter, 1989; Diella, Spalla & Tunesi,

1992; Bertotti *et al.* 1993) and widely interpreted as related to regional-scale normal faults responsible for the exhumation of HT-LP complexes (e.g. Brodie, Rex & Rutter, 1989; Handy *et al.* 1999) during a continuous evolution from Permian to Triassic or Jurassic time (e.g. Bertotti *et al.* 1993). Intrusive stocks emplaced at shallow levels during Permian – Early Triassic time are associated with metamorphic aureoles (Povoden, Horacek & Abart, 2002; Benciolini *et al.* 2006; Gallien, Abart & Wyhlidal, 2007). Exhumation paths were characterized by cooling or by increasing temperature during decompression (e.g. Brodie, Rex & Rutter, 1989; di Paola & Spalla 2000) and were generally accomplished under a high thermal regime. HT Permian–Triassic mineral associations have never been detected in the pebbles of Permian conglomerates from the Orobic Alps, suggesting that HT rocks were not yet exposed in their Variscan source areas before the late Permian – Triassic period (Spalla *et al.* 2009; Zanoni, Spalla & Gosso, 2010).

Permian–Triassic continental gabbros have been detected in the Austroalpine and Southalpine domains of the Alps (Bonin *et al.* 1993; Rottura *et al.* 1998; Stahle *et al.* 2001; Spiess *et al.* 2010; Spalla *et al.* 2014). The mafic products of the widespread Permian–Triassic igneous activity mainly consist of gabbroic bodies with subcontinental peridotites (Brodie, Rex & Rutter, 1989; Bonin *et al.* 1993; Schuster *et al.* 2001; Stahle *et al.* 2001; Rampone 2002; Spalla *et al.* 2014). They occurred at different structural levels, and the country rocks vary from high-temperature – intermediate-pressure metamorphics to Triassic carbonatic sediments (Sills 1984; Handy & Zingg 1991; Lardeaux & Spalla 1991; Gallien, Abart & Wyhlidal, 2007; Miller *et al.* 2011; Spalla *et al.* 2014). From a geochemical point of view most of the gabbros have a tholeiitic to alkaline signature, although they are generally considered to be generated from variably contaminated mantle sources in an extensional tectonic regime under a high thermal state associated with lithospheric thinning and rifting (Spalla *et al.* 2014 and references in Table 3). The ages of these gabbroic intrusions in the Austroalpine domain cluster around Permian (Table 3). The main Triassic magmatic signal is recorded in the Southalpine domain by Predazzo and Monzoni intrusives and in the Ivrea Zone by alkaline rocks (Table 3). Stahle *et al.* (2001) interpret this igneous activity as the result of a long-lasting process of active rifting.

Few Permian–Triassic continental mantle slices have been found in the Alps (Table 4). In particular, the North Lanzo body in the Penninic domain is characterized by subcontinental lithospheric mantle protoliths and underwent progressive exhumation during pre-oceanic lithosphere extension and rifting. The South Lanzo body shows impregnation by mid-ocean-ridge basalt (MORB) melts rising from the underlying molten asthenosphere during the rifting stage of the Liguria–Piemonte Ocean (Piccardo & Guarnieri 2010). The Lanzo peridotites would therefore represent a lithosphere changing from a thinned continental plate to an

ocean–continent transition zone (OCTZ) (Piccardo & Guarnieri 2010). In the Austroalpine domain, a small peridotite body has been detected in the Dent–Blanche Nappe (Nicot, 1977). Although no radiometric age is available for this mantle rock, its structural relation with continental rocks of the Valpelline Series and the analogy with similar rocks of the Ivrea Zone suggest a Permian age and therefore a continental affinity for this peridotite.

In the Alps, the transition from rifting to oceanic spreading is indicated by the deposition of post-rift sediments (172–165 Ma, Baumgartner *et al.* 1995; Stampfli *et al.* 1998; Bill *et al.* 2001; Handy *et al.* 2010) postdating syn-rift Triassic deposits (e.g. Gillcrist, Coward & Mugnier, 1987). This transition involved the exhumation and serpentinization of the subcontinental mantle at the Liguria–Piemonte Ocean margins (e.g. Desmurs, Manatschal & Bernoulli, 2001; Manatschal, 2004; Manatschal & Müntener, 2009). The radiometric ages of the ophiolitic gabbros (Fig. 7, Table 5) clustering around approximately 160 Ma (Mevel, Caby & Kienast, 1978; Li *et al.* 2013), with older values of 166–183 Ma from the Apennines, Corsica and Erro–Tobbio ophiolitic units (e.g. Tribuzio, Thirwall & Vannucci, 2004; Rampone *et al.* 2014; Li *et al.* 2015), confine the beginning of the spreading of the Liguria–Piemonte Ocean. Ages of 198 ± 22 (Sm–Nd on gabbro WR) have been obtained by Costa & Caby (2001) in ophiolites from the Western Alps (Chenaillet) and interpreted by the authors as the signature of lithospheric extension announcing the oceanic spreading. Oceanic gabbros exclusively occur in the ophiolitic sequences of the Penninic domain (Fig. 1). They generally have a MORB affinity and are usually associated with serpentinized mantle but sometimes to volcanic sequences, such as lava flows, pillow basalts and pillow breccias, and oceanic sediments (e.g. Mevel, Caby & Kienast, 1978; Ohnenstetter *et al.* 1981; Riccardi, Tribuzio & Caucia, 1994; Martin, Tartarotti & Dal Piaz Giorgio, 1994).

Oceanic mantle rocks coming from the Penninic domain (Table 4) are variably serpentinized peridotites. Based on the relict texture, mineralogy and structural relations with gabbros and rodingites, the serpentinized peridotite of Mt Avic (Table 4) is considered to have an oceanic affinity (Fontana, Panseri & Tartarotti, 2008). Although the gabbroic bodies of the Lanzo Massif are considered to have originated during the opening of the Jurassic–Piedmont Ligurian ocean (Lagabrielle, Fudral & Kienast, 1989; Pognante, Rösli & Toscani, 1985) or during the earliest stages of the formation of the embryonic oceanic crust (Kaczmarek, Müntener & Rubatto, 2008), the associated peridotites show a more complex affinity as already discussed. The Mg-rich gabbroic rocks of Erro–Tobbio complex in Voltri Massif (Ligurian Alps) is considered as representative of syn-rift melt intrusions in thinned lithospheric mantle exhumed at ocean–continent transition domains (Rampone *et al.* 2014). They represent the oldest gabbroic bodies of the Alpine Tethys (201–163 Ma, Rampone *et al.* 2014). Because rocks from the Alps

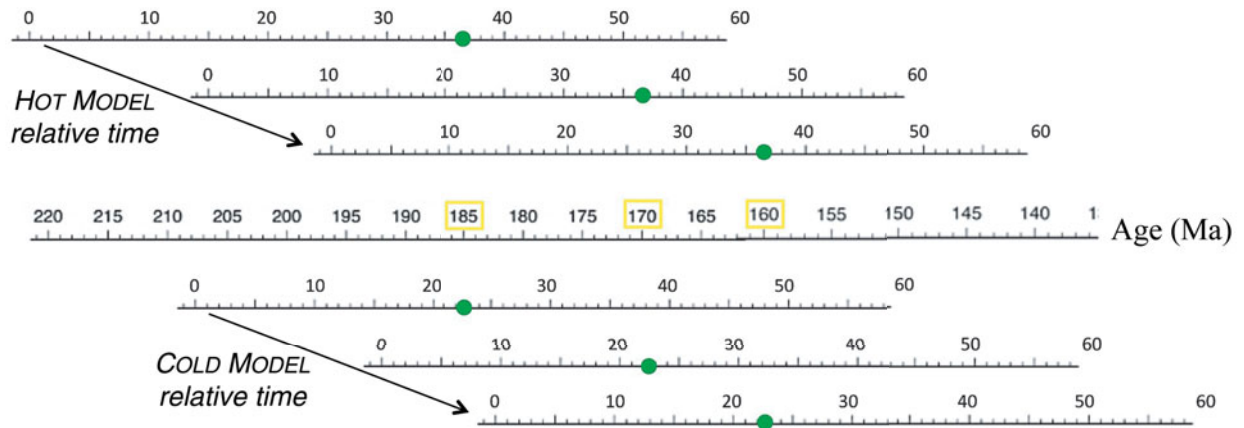


Figure 8. (Colour online) Time references from the hot and cold models with respect to the age of the oldest gabbros (160 Ma, 170 Ma and 185 Ma), based on the literature for the Northern Apennines and Western Alps (Tribuzio, Riccardi & Ottolini, 1995; Li *et al.* 2013; Rampone *et al.* 2014). Green circles identify the times when the mantle partial melting occurs in the hot model (36.4 Ma) and in the cold model (22.4 Ma).

816 are widely deformed and metamorphosed during
 817 Alpine subduction and collision, we add ophiolites
 818 from the Northern Apennines that escaped pervasive
 819 subduction-related metamorphic re-equilibration and
 820 the unique case of mafic granulite from the continental
 821 crust to the collection of natural data (Tables 3–5). The
 822 Northern Apennines are characterized by oceanic and
 823 continental units (Fig. 1). Oceanic units are divided
 824 into two different groups of thrust nappes – the
 825 Internal and External Ligurian units (e.g. Marroni &
 826 Pandolfi, 2007) – strongly deformed under low-grade
 827 metamorphic conditions (Marroni & Pandolfi, 2007;
 828 Donatio, Marroni & Rocchi, 2013). An ophiolitic se-
 829 quence of Jurassic age and a sedimentary cover ranging
 830 in age from Late Jurassic to Paleocene characterize the
 831 Internal Ligurian units (Marroni & Pandolfi, 2007). In
 832 the External Ligurian units, Late Cretaceous sediment-
 833 ary melanges containing slide-blocks of ophiolites
 834 occur at the base of the Upper Cretaceous carbonate
 835 turbidites (Helminthoid Flysch; Marroni & Pandolfi,
 836 2007). The Ligurian units were thrust onto the Tuscan
 837 nappes during the Oligo-Miocene post-collisional con-
 838 vergence. The successions of the Tuscan units belong
 839 to the Adria passive continental margin, recording
 840 in sequence rifting-, cooling- and subsidence-related
 841 imprints during the opening of the Liguria–Piemonte
 842 Ocean (Marroni & Pandolfi, 2007). Underlying Ter-
 843 tiary metamorphic units are exposed in rare tectonic
 844 windows (Fig. 1).

845 Granulitic gabbros of the External Liguride Unit as-
 846 sociated with felsic granulites locally intrude mantle
 847 peridotites (Marroni & Tribuzio, 1996; Marroni *et al.*
 848 1998). The emplacement of the gabbroic protoliths oc-
 849 curs at deep crustal levels of late Carboniferous – early
 850 Permian age (approximately 290 Ma), and their coun-
 851 try rocks are felsic granulites of the lower continental
 852 crust. Most likely in association with the subcontin-
 853 ental mantle, mafic and felsic granulites underwent
 854 a multistage exhumation beginning during Permian–
 855 Triassic time and ending during Late Triassic – Middle

Jurassic time, when they were finally exhumed to shal-
 low levels by extensive brittle faulting (Marroni *et al.*
 1998). The External Liguride Unit is interpreted as an
 ocean–continent transition zone (Marroni *et al.* 1998).

Some Jurassic oceanic gabbros have been detected in
 the Apennines (Table 5; Riccardi, Tribuzio & Caucia,
 1994; Tribuzio, Riccardi & Ottolini, 1995; Tribuzio,
 Riccardi & Messiga, 1997; Rebay, Riccardi & Spalla,
 2015). The oldest gabbro bodies (169–179 Ma) belong
 to the External Liguride Unit in the Northern Apen-
 nines. Despite their N-MORB affinity, Tribuzio, Thir-
 wall & Vannucci (2004) interpreted these gabbros as
 having developed during an intermediate stage of the
 rifting process that led to the opening of the Ligurian
 Tethys and not strictly related to the oceanic spreading.

The peridotite of the External Liguride Unit has been
 interpreted as the mantle of an ocean–continent transi-
 tion zone (Marroni *et al.* 1998), while the serpentinized
 peridotite of the Internal Liguride Unit is suggested to
 be representative of the Jurassic oceanic lithosphere
 of the Liguria–Piemonte Ocean (Marroni & Pandolfi
 2007; Donatio, Marroni & Rocchi, 2013).

5. Comparison between the model predictions and the natural data

Before proceeding with the comparison between the
 model predictions and the natural data, it is necessary
 to reference the relative time of the numerical simula-
 tion with respect to the natural ages. To achieve this
 goal, we decided to match the beginning of the mantle
 partial melting in the model with the ages of the gab-
 bros available in the literature (Table 5). In particular,
 we chose the three absolute ages of 160 Ma, 170 Ma
 and 185 Ma interpreted by different authors (Tribuzio,
 Riccardi & Ottolini, 1995; Tribuzio, Thirwall & Van-
 nucci, 2004; Li *et al.* 2013, 2015) as the oldest gabbro
 ages of Liguria–Piemonte Ocean; they can therefore be
 considered the temporal markers of the early oceanic
 spreading. Figure 8 depicts the time referencing of

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894 both models with respect to the three chosen absolute
895 ages.

896 The data from the continental crust (Tables 2, 3)
897 are compared with the continental markers; the data
898 from the mantle (Table 4) are compared with the dry
899 or serpentinized mantle according to the estimated
900 P – T conditions and rock assemblages. In the follow-
901 ing, the process starting from the exhumation of ser-
902 pentinized mantle and proceeding through the oceanic
903 spreading is referred to as ‘oceanization’. The oceanic
904 spreading leading to the formation of the Liguria–
905 Piemonte Ocean starts when the conditions favourable
906 for mantle partial melting are attained in the system.
907 We compare the pressure–temperature values predicted
908 for markers belonging to the oceanic lithosphere with
909 the P – T estimates available for gabbros belonging to
910 Alpine and Apennine ophiolitic complexes (Table 5),
911 as well as those available for the oceanic mantle
912 (Table 4).

913 To verify if the simulated geodynamic context can
914 reproduce a thermal state that is compatible with that re-
915 corded by the lithosphere during the Permian–Triassic
916 period, in Figure 9 we show the duration of the agree-
917 ment between the predictions and the natural data for
918 the three different absolute ophiolite ages in terms of
919 lithology and coincident P – T values compared to the
920 radiometric (black thick segments) and geologic (grey
921 thick segments) ages of the natural data. In the follow-
922 ing discussion, we refer to a ‘complete fit’ when there
923 is agreement between the model predictions and the
924 natural data in the lithology, P – T values and ages. The
925 fit is considered ‘partial’ if age coincidence is lacking.
926 The comparative analysis takes into account the natural
927 data with their error margins (Tables 2–5).

928 The predictions of both the hot and cold models show
929 complete fits with the same data, although the number
930 of fitting markers is in general lower in the cold model
931 than in the hot model. Complete fits are realized with
932 a maximum of 13 data points out of the available 44:
933 10 are of the oceanic lithosphere type (Pp5a, Pp5b,
934 Pp6, Pg1b and Pg2a, Penninic domain; and APN1a,
935 APN1b, APN1c, APN1d and APN3, Apennine do-
936 main), and 2 are of the pre-oceanic lithosphere type
937 (Ap5a, Pg3b and Pg3c, Austroalpine domain). Good
938 agreement is obtained for all of the oceanic lithosphere
939 type markers with the natural data from the Alpine and
940 Apennine ophiolites for all of the ages proposed in the
941 literature.

942 The predictions do not show a complete fit with the
943 continental crust data. Nevertheless, the hot model re-
944 produces thermo-barometric conditions that are com-
945 patible with those of most of the continental crust
946 data in the interval during 220–150 Ma, according
947 to the time of the oldest ophiolitic ages. A similar
948 situation holds for the cold model, although with a
949 very low number of markers. However, the radiomet-
950 ric ages of these data are older than the oldest model
951 predictions.

952 Some data, that is, Sp1 (Eisacktal), Sp3 (Dervio-
953 Olgiasca) and Sp5a and Sp5b (Ivrea) which are

954 from the Southalpine domain, Ap17 (Sopron) and
955 Ap7 and Ap8 (Valpelline) which are from the Aus-
956 troalpine domain and Pp4 (Gruf) which is from
957 the Penninic domain, do not show any agree-
958 ment, even thermo-barometric, because the estimat-
959 ed temperatures are higher than the predicted
960 temperatures.

961 Figures 10 and 11 depict the spatial distributions of
962 the markers that exhibit complete fits with the data
963 for the hot and the cold models, respectively. With the
964 exception of the Pg3c and Ap5a (continental mantle,
965 Austroalpine domain) data, the P – T conditions that are
966 compatible with those of the other natural data are pre-
967 dicted only in a 200 km wide region centred at the
968 ridge and only after the formation of the oceanic litho-
969 sphere (Figs 10d, e, 11d, e). The Pg3c datum fits the
970 mantle-type markers at shallow structural levels only
971 after a significant thermo-mechanical thinning of the
972 lithosphere. This occurs after approximately 5.4 Ma in
973 the hot model, affecting a large amount of the contin-
974 ental lithospheric mantle (Fig. 10b). In the cold model,
975 the fitting starts very early and affects only a 60 km
976 wide area around the future ridge, concurrently with
977 the thermal thinning localization. For both models, the
978 fitting persists until the late stages of the evolution and
979 affects the shallow structural levels of the entire non-
980 serpentinized lithosphere. The hot model predicts P – T
981 conditions that are compatible with the Ap5a datum
982 (continental mantle, Austroalpine domain) starting at
983 the beginning of the simulation (Fig. 10a), while in
984 the cold model the favourable conditions occur only
985 after significant heating of the system (Fig. 11b). This
986 result supports the idea that Ap5a is a representative
987 slice of the continental mantle under the perturbed P – T
988 conditions rather than under the thermal regime of a
989 stable lithosphere. Pg1b and Pg2a, both of which be-
990 long to the gabbroic rocks of the Lanzo Massif for
991 both the hot and cold models, exhibit a complete fit
992 with markers of the oceanic lithosphere type but at
993 different structural levels. Pg1b agrees at deeper struc-
994 tural levels (Figs 10d, 11d), consistent with a gabbroic
995 melt impregnation of the lithosphere (Compagnoni, di
996 Brozolo & Sandrone, 1984). Instead, Pg2a agrees at
997 shallower levels (Figs 10d, 11d), in agreement with a
998 decompression stage of an older subcontinental litho-
999 spheric mantle under hydrated conditions (Pognante,
1000 Rösli & Toscani, 1985). Fits with Pp6 (Mont Avic ser-
1001 pentinized peridotite), APN1a (gabbros of North Apen-
1002 nines ophiolites) and APN1b (gabbros of Apennines
1003 Ophiolites) data start at the beginning of the oceaniza-
1004 tion (Figs 10d, 11d) and can be compatible with either
1005 the oceanic lithosphere or the ocean–continent transi-
1006 tion zone. During oceanic spreading, the complete fit
1007 localizes at the marginal portions of the oceanic basin
1008 (Figs 10d, e, 11d, e). Finally, the complete fit with the
1009 APN1c, APN1d and APN3 (gabbros and mantle of
1010 Apennines ophiolites) and Pp5a and Pp5b (Chenaillet)
1011 data occurs during oceanic spreading and at the appro-
1012 priate litho-structural levels of the oceanic lithosphere
1013 (Figs 10e, 11e).

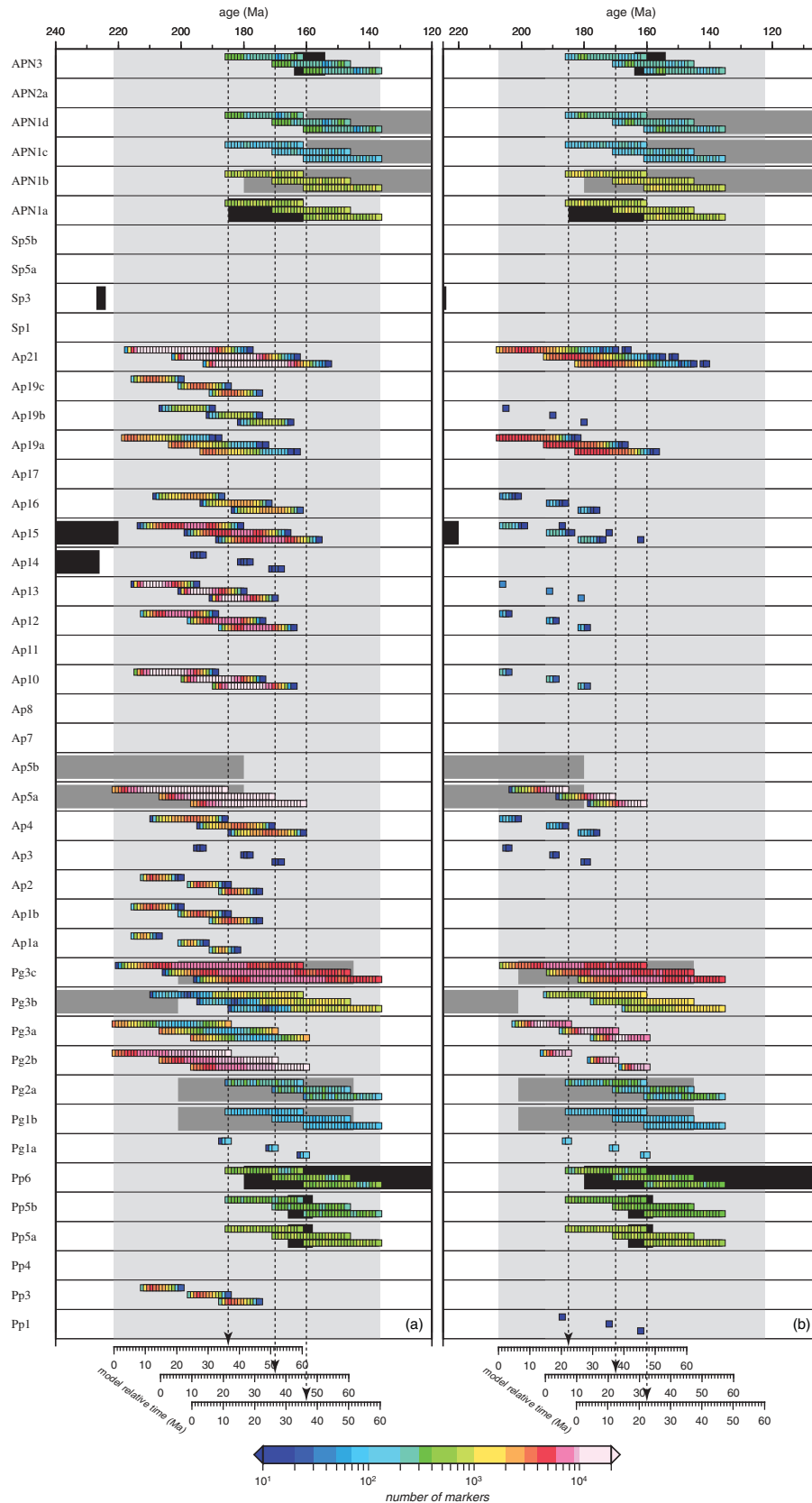


Figure 9. (Colour online) Duration of the agreement between the predictions and the natural data as well as number of fitting markers (colours) for the three different ages of the oldest gabbros (160 Ma, 170 Ma and 185 Ma, dashed black lines) in terms of lithological affinity and coincident P - T values compared to the radiometric (black thick segments) and geologic (grey thick segments) ages of the natural data. In the text, we refer to the result as a ‘complete fit’ when the model predictions and the natural data of the lithological affinity, P - T values and ages agree. The fit is considered ‘partial’ if age agreement is lacking. Panel (a) refers to the hot model, whereas panel (b) refers to the cold model. The light grey area represents the duration of the numerical simulation. The codes are defined in Tables 2–5.

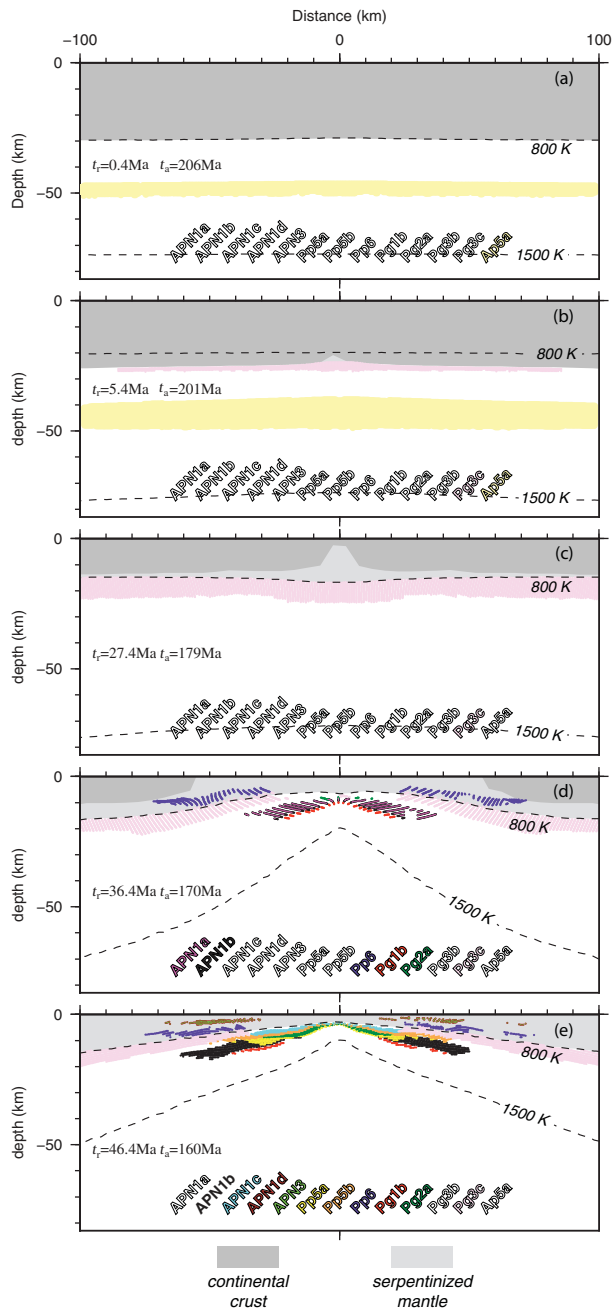


Figure 10. (Colour online) Spatial distribution of the markers that guarantee a complete fit of the data for the hot model at different stages of the evolution. The dashed black lines indicate the 800 K and 1500 K isotherms. The colours identify the markers showing a complete fit with the natural data as specified in the legend; t_r indicates the time span from the beginning of the simulation and t_a indicates the absolute age constrained choosing the oldest gabbro age at 170 Ma (see discussion in the text). The codes are defined in Tables 2–5.

1014 **6. Discussion**

1015 The model of crustal extension presented here, char-
 1016 acterized by a weak lower crust and mantle serpentini-
 1017 zation, results in symmetric rifting of the continental
 1018 lithosphere and exhumation of a serpentinized litho-
 1019 spheric mantle. Our results support the idea that the
 1020 occurrence of serpentinization of the mantle can fa-
 1021 vour the exhumation of the lithospheric mantle before

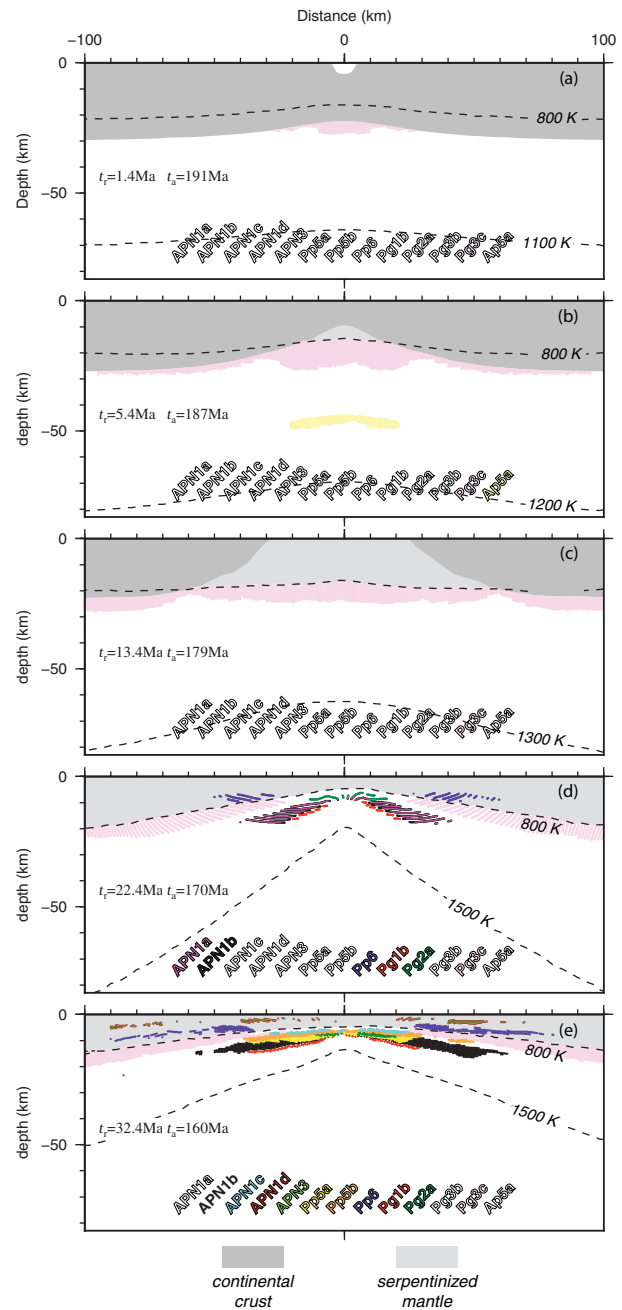


Figure 11. (Colour online) Spatial distribution of the markers that guarantee a complete fit of the data for the cold model at different stages of the evolution. The dashed black lines indicate the 800 K and 1500 K isotherms. The colours identify the markers showing a complete fit with the natural data as specified in the legend; t_r indicates the time span from the beginning of the simulation and t_a indicates the absolute age constrained, choosing the oldest gabbro age at 170 Ma (see discussion in the text). The codes are defined in Tables 2–5.

the oceanic spreading in agreement with Lagabrielle & Cannat (1990) and Perez-Gussinyé *et al.* (2006).

The onset of lithospheric thinning localized around the future ridge strongly depends on the initial lithosphere thermal state: for a cold and strong lithosphere, the thinning is very rapid (after approximately 4.4 Ma) with respect to a hot and weak lithosphere (after approximately 15.4 Ma). Similarly, the time span between

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1030 the onset of thinning and the occurrence of crustal
 1031 break-up is shorter for a cold lithosphere (approxim- 1090
 1032 ately 3 Ma) than for a hot lithosphere (approximately 1091
 1033 16 Ma). These dynamics are attributable to the concur- 1092
 1034 rent roles of the prescribed far-field traction and mantle 1093
 1035 upwelling flow. In the hot model, the contribution of 1094
 1036 the upwelling mantle flow to the lithosphere extension 1095
 1037 becomes efficient only in the advanced stages of the 1096
 1038 evolution, after the onset of the mantle partial melting. 1097
 1039 In contrast, for the cold model, both forces concur to the 1098
 1040 extension dynamics from the early stages of the evolu- 1099
 1041 tion. These results agree with the models by Brune & 1100
 1042 Autin (2013) and Manatschal, Lavier & Chenin (2015) 1101
 1043 in which the break-up of a hotter and weaker lithosphere 1102
 1044 occurs later than in a colder and stronger lithosphere. 1103
 1045 In the case of a higher thermal state of the pre-rifting 1104
 1046 lithosphere, the viscous crustal layer is thicker than the 1105
 1047 brittle portion; consequently, the brittle strain soften- 1106
 1048 ing is less efficient at focusing the deformation into 1107
 1049 discrete shear zones (Brune & Autin, 2013). Lavier & 1108
 1050 Manatschal (2006) and van Avendonk *et al.* (2009) sug- 1109
 1051 gest the opposite behaviour when the strong gabbroic 1110
 1052 lower crust is taken into account. In their models, a 1111
 1053 cold and strong lithosphere results in a longer rifting 1112
 1054 duration. On the other hand, the occurrence of a strong 1113
 1055 lower crust for the Alpine pre-rifting lithosphere is in 1114
 1056 contrast to the lithostratigraphy of the pre-Alpine con- 1115
 1057 tinental crust. Given the number and size of Permian– 1116
 1058 Triassic gabbroic intrusions in the Alpine crust, the 1117
 1059 amount of detectable gabbroic rocks is less than 5% 1118
 1060 of the total pre-Alpine lower continental crust actually 1119
 1061 exposed along the whole Alpine belt, which can be es- 1120
 1062 timated from the tectonic map of the Alps (Bigi *et al.* 1121
 1063 1990; Schmid *et al.* 2004) taking into account both units 1122
 1064 deeply involved in or escaping the Alpine subduction. 1123
 1065 Even considering a lower crust of the pristine passive 1124
 1066 margin that is richer in gabbroic rocks, it is reason- 1125
 1067 able to predict that, during the Alpine convergence, a 1126
 1068 selective tectonic sampling of the gabbro-poor lower 1127
 1069 crust does not occur. A coherent gabbroic lower crust 1128
 1070 for the Alpine pre-rifting lithosphere therefore seems 1129
 1071 unlikely. 1130

1072 For both chosen initial thermal configurations of the 1131
 1073 lithosphere, the exhumation of the serpentized mantle 1132
 1074 starts before the oceanic spreading and the mantle 1133
 1075 partial melting (considered in our study coincident 1134
 1076 with gabbros formation), making the model compatible 1135
 1077 with the magma-poor rifting suggested for the Alpine 1136
 1078 case (e.g. Lavier & Manatschal, 2006; Pérez-Gussinyé 1137
 1079 *et al.* 2006; Manatschal & Müntener, 2009; Manatschal, 1138
 1080 Lavier & Chenin 2015), developing an ocean–continent 1139
 1081 transition zone similar to Galizia margin (e.g. Boil- 1140
 1082 lot, Girardeau & Kornprobst, 1989; Manatschal, 2004; 1141
 1083 Hébert *et al.* 2008). The exhumation of a serpentized 1142
 1084 lithospheric mantle before the oceanic spreading of the 1143
 1085 Liguria–Piemonte Ocean is also suggested based on the 1144
 1086 geochemical analysis of the syn-rift Alpine crust and 1145
 1087 sediments (Pinto *et al.* 2015). 1146

1088 For both the hot and cold models, the mantle par- 1147
 1089 tial melting does not appear immediately after the 1148
 1149

1090 crustal break-up but after approximately 5 Ma in the 1091
 1092 hot model and after approximately 15 Ma in the cold 1093
 1094 model. This result is dependent on the thermal field pre- 1094
 1095 dicted when the crustal break-up occurs. The hot model 1095
 1096 predicts more suitable thermo-barometric conditions 1096
 1097 for mantle melting a few million years after the crustal 1097
 1098 break-up. In contrast, in the cold model a long time 1098
 1099 is required to increase the thermal state to satisfy the 1099
 1100 pressure–temperature conditions that are suitable for 1100
 1101 mantle melting. Despite the different partial timings of 1101
 1102 each stage in the two models, once the serpentinization 1102
 1103 starts and the deformation localizes around the future 1103
 1104 ridge, the time required for the mantle partial melting 1104
 1105 and the beginning of oceanic spreading is comparable 1105
 1106 in the two models: 18 Ma for the cold model and 21 Ma 1106
 1107 for the hot model. 1107

1108 The continental crust thickness sensibly decreases 1108
 1109 during the extension but with different rates. In the hot 1109
 1110 model, the crustal thickness decreases from 30 km to 1110
 1111 approximately 18 km at the margin and to approximat- 1111
 1112 ely 5 km close to the OCTZ within 31.4 Ma after 1112
 1113 the beginning of the evolution. In the cold model, 1113
 1114 it decreases from 30 km to approximately 22 km at 1114
 1115 the margin and to approximately 20 km close to the 1115
 1116 OCTZ within 21.4 Ma after the beginning of the evolu- 1116
 1117 tion. Afterwards, in both models no further signific- 1117
 1118 ant thinning occurs within the continental crust. The 1118
 1119 hyperextended margin envisaged by the hot model sat- 1119
 1120 isfies the model of an Alpine Tethys hyperextended 1120
 1121 system (Manatschal, Lavier & Chenin, 2015). Our res- 1121
 1122 ults indicate that in the proximity of the ridge, for both 1122
 1123 models, the extension rate at the base of the crust in- 1123
 1124 creases by a factor of 2–4 from the continental break-up 1124
 1125 to oceanization, in agreement with Whitmarsh, Man- 1125
 1126 atschal & Minshull (2001). Furthermore, at the ad- 1126
 1127 vanced stages of the extension after the beginning of 1127
 1128 oceanic spreading, the extension rate may overcome 1128
 1129 the far-field traction. 1129

1130 A thinned continental crust (passive margin), an 1130
 1131 ocean–continent transition zone and an oceanic litho- 1131
 1132 sphere characterize the final structure of the system 1132
 1133 from the periphery to the centre of the model domain 1133
 1134 for both thermal states. Our results show that the form- 1134
 1135 ation of the OCTZ starts 5–15 Ma before the partial 1135
 1136 melting of the mantle and develops with a size rang- 1136
 1137 ing over 160–280 km (according to the initial thermal 1137
 1138 configuration of the lithosphere), which is compatible 1138
 1139 with the observations of Galicia Margin (e.g. Boillot, 1139
 1140 Beslier & Girardeau, 1995; Hébert *et al.* 2008) and 1140
 1141 similar to the interpretation for the Alpine rift (Man- 1141
 1142 atschal & Müntener, 2009). This suggests that if the 1142
 1143 estimate of the oceanic basin width is based simply on 1143
 1144 the coincidence between the continental crust break- 1144
 1145 up and the onset of gabbros emplacement, as stated in 1145
 1146 several models proposed for the Alpine domain (e.g. 1146
 1147 Li *et al.* 2013), the effective basin width will be un- 1147
 1148 derestimated. In particular, Li *et al.* (2013) estimated 1148
 1149 a basin width of 300 km after 10 Ma of extension at a 1149
 1150 full spreading rate of 3 cm a⁻¹. In contrast, our model 1150
 1151 indicates that the extension of the basin would range 1151

1150 over 360–480 km after the same time of 10 Ma for a
1151 full extension rate of 2.5 cm a^{-1} .

1152 The comparison between the natural data and the
1153 model predictions shows good agreement with all of
1154 the oceanic data for both the hot and cold models. In
1155 contrast, the comparison with the data from the con-
1156 tinental crust lacks a complete fit because ages are
1157 not coincident. The lithological and thermal fits pre-
1158 dicted by the hot and cold models with significant
1159 delays (from the Permian–Triassic to the Late Triassic
1160 – Late Jurassic periods; Figs 7, 9) suggest that, accord-
1161 ing to both models, the effects of a positive thermal
1162 anomaly should be recorded in the continental crust
1163 of both passive margins at 220–150 Ma. These effects
1164 have not yet been detected in the pre-Alpine contin-
1165 ental crust of the Alps and Apennines. Similarly, the
1166 mantle partial melting occurs in both models 36.4 Ma
1167 and 22.4 Ma after the beginning of the extension in the
1168 hot and cold models, respectively, that would corres-
1169 pond to the early gabbros at 185 Ma, which is signifi-
1170 cantly younger than the Permian–Triassic continental
1171 gabbro emplacements. This time misfit supports the
1172 interpretation predicting a thermo-mechanical pertur-
1173 bation of the continental lithosphere in this portion of
1174 the Tethys due to the Variscan collision and the late
1175 orogenic extension (Spalla *et al.* 2014). In addition, the
1176 more favourable thermal regime predicted by the hot
1177 model could be due to a previously perturbed system
1178 either by the Variscan orogenic collapse or by an already
1179 thermally eroded and softened lithosphere. However,
1180 the sole orogenic collapse mechanism is not sufficient
1181 to reproduce the thermo-barometric conditions of the
1182 HT-LP Permian–Triassic metamorphism and intense
1183 igneous activity recorded in the continental lithosphere
1184 (Marotta & Spalla, 2007; Marotta, Spalla & Gosso,
1185 2009).

1186 Finally, although the symmetry of the predicted
1187 thermal anomaly around the future ridge, HT nat-
1188 ural parageneses from the Permian–Triassic contin-
1189 ental lithosphere of the Alps are concentrated in the
1190 Austroalpine and Southalpine domains, while they are
1191 totally lacking in the Helvetic domain. This distribu-
1192 tion of HT-LP metamorphic assemblages supports the
1193 interpretation of an asymmetric rifting (e.g. Lardeaux
1194 & Spalla, 1991; Marotta, Spalla & Gosso, 2009).

1195 7. Conclusions

1196 We developed a 2D thermo-mechanical numerical
1197 model of passive rifting to investigate the evolution
1198 of the lithosphere of the Alps and the Northern Apen-
1199 nines during the transition from continental rifting to
1200 oceanic spreading of the Alpine Tethys. The model ac-
1201 counts for the crustal extension of a weak lower crust
1202 and mantle serpentinization, and results in symmetric
1203 rifting and denudation of the serpentinized lithospheric
1204 mantle.

1205 A thinned continental crust (passive margin), an
1206 ocean–continent transition zone and an oceanic litho-
1207 sphere characterize the final structure of the system.

The thickness of the passive margin decreases over
1208 time from 30 km to 18 km (hot model) or 22 km (cold
1209 model) at the model boundaries and to 5 km (hot model,
1210 hyperextended margin configuration) or 20 km (cold
1211 model) close to the ocean–continent transition zone.
1212

The mantle serpentinization starts before the crustal
1213 break-up, and the denudation occurs before the oceanic
1214 spreading. In addition, a hot and weak lithosphere
1215 evolves to oceanization slower than a cold and strong
1216 lithosphere, with a comparable time interval after the
1217 onset of serpentinization.
1218

Our results indicate that, if the estimated basin width
1219 is based simply on the coincidence between the con-
1220 tinental crust break-up and the onset of the gabbros
1221 emplacement, the effective width of the basin domain
1222 will be underestimated. The mantle denudation starts
1223 several million years before partial melting, generating
1224 an ocean–continent transition zone from the passive
1225 continental margin to the oceanic lithosphere with a
1226 size ranging over 160–280 km in a magma-poor rift
1227 pre-Alpine configuration.
1228

The thermo-barometric predictions with their mod-
1229 elled timing were compared with the natural data de-
1230 rived from continental and oceanic rocks from the Alps
1231 and Apennines of Permian–Jurassic age, and the pre-
1232 dictions from the hot model, which also promotes the
1233 development of hyperextended Alpine margins, agree
1234 with natural data better.
1235

Our results support the idea that the Tethyan rifting
1236 should begin in a perturbed continental lithosphere,
1237 likely ascribable to the previous Variscan subduction-
1238 collision, as widely supported by the occurrence of HP
1239 metamorphic relics in the different Alpine structural
1240 domains. In fact, if rifting developed in a stable litho-
1241 sphere, Triassic–Jurassic HT-LP metamorphism is pre-
1242 dicted together with gabbro-basalt production younger
1243 than 185 Ma instead of the observed Permian–Triassic
1244 metamorphic and igneous records. Indeed, this has
1245 never been detected in the Alpine continental crust.
1246

In addition, the distributions of the Permian–Triassic
1247 continental gabbros and the high-temperature meta-
1248 morphism in the Austroalpine and Southalpine do-
1249 mains support the idea that it was asymmetric rift-
1250 ing in which the lithospheric signature of the Variscan
1251 subduction-collision can be a constraining inheritance
1252 for the successive geometry.
1253

These ideas could be further confirmed by a new
1254 model that accounts for the previous history and the
1255 thermo-rheological consequences of Variscan Orogeny
1256 as initial configuration (as in Marotta, Spalla & Gosso,
1257 2009) and evolves through continental break-up and the
1258 successive oceanization as in the present study.
1259

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