1	Holocene rainfall dynamics in Central Asia
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3	George E. A. Swann <sup>1,*</sup> , Anson W. Mackay <sup>2</sup> , Elena Vologina <sup>3</sup> , Matthew D. Jones <sup>1</sup> , Virginia N. Panizzo <sup>1</sup> ,
4	Melanie J. Leng <sup>4</sup> , Hilary J. Sloane <sup>4</sup> , Andrea M. Snelling <sup>1</sup> , Michael Sturm <sup>5</sup>
5	
6	<sup>1</sup> School of Geography, Centre for Environmental Geochemistry, University of Nottingham, University Park,
7	Nottingham, NG7 2RD, UK
8	<sup>2</sup> Environmental Change Research Centre, Department of Geography, UCL, London, UK
9	<sup>3</sup> Institute of Earth's Crust, Siberian Branch of the Russian Academy of Sciences, Irkutsk, Russia
10	<sup>4</sup> NERC Isotope Geosciences Facilities, British Geological Survey, Nottingham, NG12 5GG, UK
11	<sup>5</sup> Eawag-ETH, Swiss Federal Institute of Aquatic Science and Technology, 8600, Dübendorf, Switzerland
12	
13	* corresponding author george.swann@nottingham.ac.uk
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15	Keywords: Diatom; Isotope; Lake Baikal; Paleoclimatology; Precipitation
16	
17	Abstract
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Climate models currently provide conflicting predictions of future climate change across Central Asia. With 18 19 concern over the potential for a change in water availability to impact communities and ecosystems across the 20 region, an understanding of historical trends in precipitation is required to aid future model development and 21 assess the vulnerability of the region to future changes in the hydroclimate. Here we present a record from Lake 22 Baikal, located in the southern Siberian region of central Asia close to the Mongolian border, which demonstrates a clear relationship between the oxygen isotope composition of diatom silica ( $\delta^{18}O_{diatom}$ ) and 23 precipitation to the region over the 20<sup>th</sup> and 21<sup>st</sup> century. From this, we demonstrate that rates of precipitation in 24 25 recent times are at their lowest for the past 10,000 years and identify significant long-term variations in 26 precipitation that are not detected by current modelling efforts. With decadal changes in precipitation linked to 27 the Atlantic Multidecadal Oscillation (AMO), our findings highlight the potential for 21st Century changes in 28 Central Asian precipitation amidst ongoing uncertainty over the future state of the AMO and the ability of 29 models to accurately forecast variability within it.

#### 30 1 Introduction

31 The forest-steppe ecotone of Central Asia is dominated by grassland and taiga ecosystems that are vulnerable to 32 both changes in the climate and other anthropogenic activities (Craine et al., 2012; Hijioka et al., 2014; Settele et al., 2014; Tautenhahn et al., 2016). Declines in precipitation over the past three decades have led to marked 33 34 reductions in grassland biomass across the Mongolian steppes and wider region (Endo et al., 2006; Liu et al., 35 2013; Li et al., 2015), whilst global reductions in boreal forest due to fire and forestry are second only to losses in tropical forests (Hansen et al., 2013). Ongoing work points to the continuing fragility of these ecosystems. 36 37 For example, 21st Century climate change across Central Asia is likely to lead to a northward migration of the forest-steppe ecotone with remaining forest stand height highly dependent on rates of precipitation 38 39 (Tchebakova et al., 2009; 2016). At the same time reductions in soil moisture associated with climate change are expected to accelerated grassland degradation, negatively impacting nomadic pastoralism (Liu et al., 2013; 40 Sugita et al., 2015), whilst issues of water security are likely to be exacerbated by plans for increased 41 groundwater extraction and dam construction (Karthe et al., 2015). Growth of hemi-boreal forests in the forest -42 steppe ecotone has already slowed, linked to decline soil water content due to regional warming (Wu et al. 43 2012). 44

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Changes in the central Asian hydrological cycle will also alter regional carbon cycling. The increased risk of 46 47 fires across grasslands and boreal forest will impact vegetation regeneration (Tchebakova, 2009; IPCC, 2012; Tautenhahn et al., 2016) and lead to an immediate increase in atmospheric  $CO_2$  (Randerson et al., 2006). 48 Reductions in soil moisture availability and rising temperatures will further reduce carbon terrestrial storage by 49 increasing the decomposition of organic matter in soils and lowering net carbon uptake by plants (Lu et al., 50 51 2009: Crowther et al., 2016). However, more significant are the threats posed by permafrost degradation, 52 particular in southern Siberia and northern Mongolia where permafrost is vulnerable to degradation through warming, human impacts and increased wildfires (Sharkuu, 1998; Romanovsky et al., 2010; Zhao et al., 2010; 53 54 Törnqvist et al., 2014). Combined, these processes will release carbon to the atmosphere (Schuur et al., 2015) 55 and increase organic carbon export to water bodies (Selvam et al., 2017).

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57 In order to aid model development and so improve future predictions of the Central Asian hydrological cycle 58 there is an urgent need to understand long-term changes in the climate system beyond the instrumental record.

Here we use the oxygen isotope composition of diatom silica ( $\delta^{18}O_{diatom}$ ) from Lake Baikal (Russia) to constrain 59 60 historical changes in Central Asian precipitation over the last 10,000 years, within the context of the modern day. Situated at the edge of the forest-steppe ecotone, the lake's catchment extends into northern Mongolia 61 (Fig. 1) and is highly sensitive to changes in the hydrological cycle. Future changes in the region have the 62 potential to reduce river flow around Lake Baikal, impacting the provision of water to one of the world's 63 64 greatest lakes (Törnqvist et al., 2014) as well as decreasing soil moisture content and so increasing the risk of 65 forest fires and associated carbon release (Forkel et al., 2012). Concurrently, climate change is likely to lead to further loss of permafrost across the region (Sharkuu, 1998; Törnqvist et al., 2014), potentially increasing the 66 67 flow of dissolved organic carbon into Lake Baikal (Mackay et al., 2017) and altering the microbial food web, 68 nutrient recycling and carbon processing within this ecological sensitive lake (Moore et al., 2009).

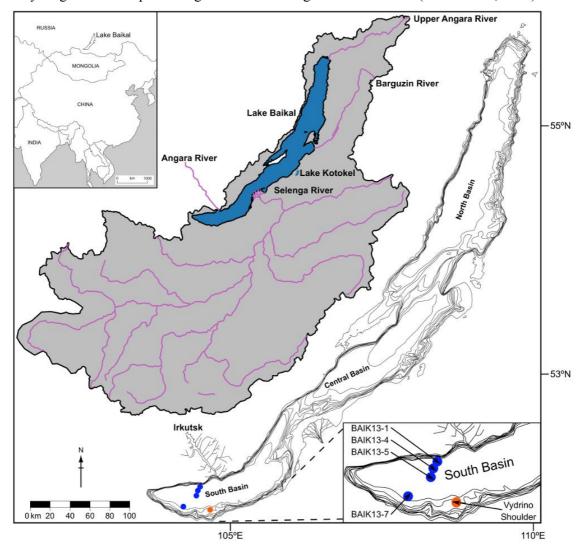


Figure 1: Location of Lake Baikal and its catchment (grey region) together with Lake Kotokel, the city of
Irktusk, major rivers, coring sites BAIK13-1, BAIK13-4, BAIK13-5, BAIK13-7 (blue circles) and Vydrino
Shoulder (orange circle).

### 72 <u>1.1 Lake Baikal reconstructions of the hydrological cycle</u>

73 Lake Baikal is the world's oldest, deepest and voluminous lake and, located in southern Siberia, contains c. 74 20% of the world's surface freshwater not stored within ice. The lake is divided into three basins (south, central and north) separated by the Buguldeika Saddle and the Academician Ridge, respectively (Fig. 1). Inputs of 75 water to the lake are primarily derived from direct precipitation (16.3%) and riverine inputs (83.2%) (Seal and 76 77 Shanks, 1998). Groundwater inputs are minor, believed to provide <4.5% of annual inflow (Seal and Shanks, 1998), although no systematic study has been carried out on groundwater, its residence time or isotope 78 79 composition. Whilst over 350 rivers drain an area of c. 540,000 km<sup>2</sup> into Lake Baikal, inputs are dominated by the Selenga River, extending south into Mongolia, and the Upper Angara and Barguzin Rivers, draining the 80 81 north of the catchment, which contribute c. 62%, 17% and 8% of riverine input respectively (Seal and Shanks, 82 1998) (Fig. 1).

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Once in Lake Baikal, surface waters that extend down to the mesothermal maximum (MTM) at a depth of 200-84 300 m undergo convective mixing (Shimaraev et al., 1994; Shimaraev and Domysheva, 2004) and wind forced 85 convection (Troitskaya et al., 2015). Whilst deeper waters are stratified (Shimaraev and Granin, 1991; 86 Shimaraev et al., 1994; Ravens et al., 2000), they are exchanged across the MTM through periodic upwelling 87 and downwelling episodes (Weiss et al., 1991; Shimaraev et al., 1993, 1994, 2012; Kipfer et al., 1996; 88 89 Hohmann et al., 1997). Finally, water loss from Lake Baikal is dominated by outflow through the Angara River in the south basin of Lake Baikal (c. 81%) and evaporation (c. 19%), with an additional unconstrained loss 90 from groundwater estimated at <2% of total outflow (Seal and Shanks, 1998; Shimaraev et al., 1994). 91

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93 Over the past 15 years, significant effort has been devoted towards developing and applying  $\delta^{18}O_{diatom}$  in 94 palaeoenvironmental reconstructions due to its ability to reflect the isotope composition of ambient water  $(\delta^{18}O_{water})$ . With the controls on  $\delta^{18}O_{diatom}$  similar to those for carbonates,  $\delta^{18}O_{diatom}$  represents an important source 95 of information in aquatic ecosystems such as Lake Baikal where carbonates are poorly preserved (Leng and 96 Barker, 2006). In Lake Baikal, mixing of the water column leads to uniform surface and deep  $\delta^{18}O_{water}$  of -15.8 97 98  $\pm$  0.2‰, whilst riverine inputs ( $\delta^{18}O_{river}$ ) vary latitudinally in relation to the isotopically low winter precipitation in the north ( $\delta^{18}O_p$ ) and higher summer  $\delta^{18}O_p$  in the south (Seal and Shanks, 1998; Morley et al., 2005). With 99 riverine inputs accounting for >80% of all inflow to the lake, spatial and temporal changes in  $\delta^{18}O_p$  across the 100

101 catchment have been proposed to change both  $\delta^{18}O_{river}$  and the relative balance of north versus south basin river 102 discharge to the lake, processes that in turn alter  $\delta^{18}O_{water}$  (Morley et al., 2005). On this basis, records of 103  $\delta^{18}O_{diatom}$  can be used to monitor changes in the regional Central Asian hydroclimate.

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105 To date, this interpretation has been applied to interglacial records from Lake Baikal spanning the Holocene, 106 MIS 5e and MIS 11 to constrain temporal variations in the penetration of westerlies into Central Asia and 107 regional atmospheric circulation involving the Siberian High (Mackay et al., 2008, 2011, 2013). However, no 108 empirical relationship has been demonstrated between hydroclimate variability and down-core records of 109  $\delta^{18}O_{diatom}$ . The absence of such a calibration prevents: 1) a full quantitative interpretation of the  $\delta^{18}O_{diatom}$  data 110 from Lake Baikal; 2) the integration of hydroclimate information in data-model comparisons to validate climate 111 model outputs and direct climate model development (e.g., Haywood et al., 2016); and 3) insight of how the 112 regional Central Asian climate behaved in intervals which might represent a future climate state. Here we consider point #1 through the presentation of new  $\delta^{18}O_{diatom}$  data from a series of cores from the south basin of 113 114 Lake Baikal that are compared to metrological data over the last century and then employed to constrain 115 historical changes in Central Asian precipitation through the Holocene. In demonstrating a relationship between  $\delta^{18}O_{diatom}$  and precipitation, we highlight that levels of precipitation are today at their lowest levels for the last 116 117 10,000 years (10 ka), indicating the vulnerability of the region to future changes in precipitation and its 118 associated impact on ecosystem disturbance and terrestrial carbon cycling.

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### 120 **2 Method**

# 121 **2.1 Sediment coring**

122 Four short sediment cores were collected from the south basin of Lake Baikal in March and August 2013 using a UWITEC corer with PVC-liners (Ø 63 mm) which provided complete and undisturbed recovery of the highly 123 susceptible sediment/water interface of the cores (Fig. 1). Multiple cores were collected from each of the sites 124 in March 2013 through c. 78-90 cm of ice: BAIK13-1 (51°46'04.2"N, 104°24'58.6"E, water depth = 1,360 m), 125 BAIK13-4 (51°41'33.8"N, 104°18'00.1"E, water depth = 1,360 m) and BAIK13-5 (51°39'01.9"N, 126  $104^{\circ}16'26.8''E$ , water depth = 1,350 m). Further cores were then collected from BAIK13-7 (51°34'06''N, 127  $104^{\circ}31'43''E$ , water depth = 1,080 m) in August 2013 aboard the Geolog research boat from the Institute of the 128 129 Earth's Crust/Irkutsk (Fig. 1). At each site one core (BAIK13-1C [50 cm], BAIK13-4F [33 cm], BAIK13-5C

130 [42 cm], BAIK13-7A [47.5 cm]) was sub-sampled in the field at a resolution of 0.2 cm and transported to the 131 UK for  $\delta^{18}O_{diatom}$  analysis. Parallel cores (BAIK13-1A [49.3 cm], BAIK13-4C [38.3 cm], BAIK13-5A [43.4 132 cm], BAIK13-7B [47.2 cm]) were transferred to the Institute of the Earth's Crust/Irkutsk before being cut, 133 photographed and lithologically described, based on smear slide inspection. A Bartington MS2E High 134 Resolution Surface Scanning Sensor (Bartington, 1995) was used for non-destructive measurement of magnetic 135 susceptibility (MS), with a resolution of 1 cm.

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# 137 2.2 Age models

Dried samples from BAIK13-1C, BAIK13-4F and BAIK13-7A were analysed for <sup>210</sup>Pb, <sup>226</sup>Ra, <sup>137</sup>Cs and <sup>241</sup>Am 138 139 by direct gamma assay in the Environmental Radiometric Facility at University College London, using ORTEC HPGe GWL series well-type coaxial low background intrinsic germanium detector. No dating was carried out 140 on core BAIK13-5C. Instead, results from BAIK13-5C are included for the purpose of qualitative comparisons 141 with  $\delta^{18}O_{diatom}$  data from other sites. <sup>210</sup>Pb was determined via its gamma emissions at 46.5keV and <sup>226</sup>Ra by 142 143 emissions of its daughter isotope <sup>214</sup>Pb at 295keV and 352keV following storage for three weeks in sealed containers to allow radioactive equilibration. <sup>137</sup>Cs and <sup>241</sup>Am were measured by their emissions at 662keV and 144 145 59.5keV (Appleby et al, 1986). Corrections were made for the effect of self-absorption of low energy gamma rays within the sample (Appleby et al, 1992), with the absolute efficiencies of the detector determined using 146 147 calibrated sources and sediment samples of known activity. To construct the final age-depth models a polynomial regression was fitted to the <sup>210</sup>Pb data with additional degrees added until no further improvements 148 occurred at the 95% confidence interval. 149

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### 151 **<u>2.3 Diatom oxygen isotopes</u>**

Thirty samples from cores BAIK13-1C, BAIK13-4F, BAIK13-5C, BAIK13-7A were prepared for diatom isotope analysis (see Supplementary Table 1) using a combination of reagents to remove contaminants and heavy liquid separation (Swann et al., 2013). Prior to analyses all samples were screened using a Zeiss Axiovert 40 C inverted microscope, scanning electron microscope (SEM) and X-ray fluorescence (XRF) to confirm sample purity and the absence of non-diatom contaminants. Diatoms in the analysed samples are dominated by mainly endemic species including *Aulacoseira baicalensis, Aulacoseira skvortzowii, Crateriportula inconspicua, Cyclotella minuta, Stephanodiscus meyerii* and *Synedra acus* var. *radians*. Given the functional ecology of taxa in the analysed samples, our isotope records are interpreted as recording mean annual conditions with a significant bias towards spring months when diatom productivity peaks shortly after ice break-up (Popovskaya, 2000).

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Samples were analyzed for  $\delta^{18}O_{diatom}$  using a step-wise fluorination procedure at the NERC Isotope Geosciences 163 164 Facility based at the British Geological Survey (Leng and Sloane, 2008). Isotope measurements were made on a Finnigan MAT 253 and converted to the Vienna Standard Mean Ocean Water (VSMOW) scale using the 165 within-run laboratory diatom standard BFC<sub>mod</sub> calibrated against NBS28. Where necessary, samples were 166 corrected for oxygen bearing contaminants using a geochemical mass balance approach developed for Lake 167 168 Baikal (Mackay et al., 2011). The issue of contaminants can be problematic in Lake Baikal due to aluminosilicates trapped within the cylindrical frustules of Aulacoseira species (Brewer et al., 2008). To 169 account for this, contaminants were calculated using XRF Al<sub>2</sub>O<sub>3</sub> concentrations, corrected for an assumed 170 diatom bound Al concentration of 0.3 wt%, and used to model contaminant oxygen using Lake Baikal end-171 members in which aluminosilicates contain 17.2% Al with a  $\delta^{18}$ O composition of 11.7% (Brewer et al., 2008; 172 173 Mackay et al., 2011).

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### 175 **2.4 Climatological data**

To assess the controls on  $\delta^{18}O_{diatom}$ , results were compared to climatological data from World Meteorological Organisation station 30710 (52°16'20" N, 104°18'29" E, elevation = 467 m), located in Irkutsk close to the south basin of Lake Baikal (Fig. 1) with data from 2016-1891 obtained via the KNMI Climate Explorer (<u>http://climexp.knmi.nl/</u>). Values of  $\delta^{18}O_p$  were calculated following Seal and Shanks (1998) who established a relationship ( $r^2 = 0.768$ ) between  $\delta^{18}O_p$  and surface air temperature (SAT) of:

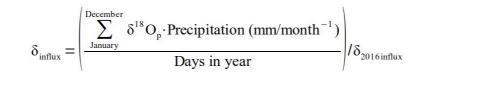
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182 
$$\delta^{18}O_p = 0.361 \cdot SAT - 16.798$$

183

(Eq. 1)

With >95.5% of water inputs to the lake originating from direct precipitation or riverine inputs (Seal and Shanks, 1998), changes in monthly isotopic inputs to Lake Baikal can be obtained by multiplying  $\delta^{18}O_p$  by the amount of monthly precipitation to account for seasonal variations in precipitation. Monthly values can then be summed to calculate annual inputs with values normalised relative to results for 2016 ( $\delta_{influx}$ ):



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- 190 3 Results

### 191 <u>3.1 Core lithology</u>

192 The deep water sediments of Lake Baikal are characterized by homogenous, fine-grained, and grey to olive-193 grey pelagic muds. They primarily consist of autochthonous biogenic material (mainly diatoms) with small 194 amounts of allochthonous, terrigenous material (including pollen grains, clayey silts and a few sand grains). 195 The entire water column of Lake Baikal is saturated throughout with oxygen, due to the regular renewal of the 196 deep waters (Shimaraev et al., 1994; Tsimitri et al., 2015), which results in the oxidation of even the deepest 197 surface sediment. Cores BAIK13-1A, BAIK13-4C, BAIK13-5A and BAIK13-7B are oxidized down to a depth of 2.0-3.6 cm, showing olive-brown, dark-brown to brownish-black colours (Fig. 2). Core BAIK13-7B 198 199 recovered closer to the southern shore of the south basin consists of slightly more coarse-grained sediments with an increased content of silt and sand (Fig. 2). The homogenous pelagic muds of the deep-water basins of 200 201 the lake are frequently intercalated by coarse turbidite layers. These graded beds are characterized by 202 allochthonous, mostly terrigenic material, higher magnetic susceptibility and a graded texture, which grades 203 upwards from a sandy base to silty-pelitic deposits with few sand admixtures and occasionally overlain at the 204 top by a thin pelitic mud layer (Vologina et al., 2007; Sturm et al., 2016).

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Several turbidites at different core depths and various thicknesses between 1.8 cm and 9.0 cm were observed in the cores, with two turbidites in BAIK13-1A, three in BAIK13-4C and six in BAIK13-5A (Fig. 2). The uppermost turbidites occur at 15.0–21.0 cm (BAIK13-1A), 2.0–5.3 cm (BAIK13-4C) and 3.5–9.0 cm (BAIK13-5A). There are layers of sand (21.8–22.5 cm) and sandy sediments (37.0–41.5 cm, 42.5–47.2 cm) without graded texture within sediment core BAIK13-7B. Lithological descriptions and MS-results were used to aid sampling of pelagic biogenic sediments (MS-values of up to  $30x10^{-6}$  SI units) and avoid both turbidites and sandy layers (MS-values of up to  $99x10^{-6}$  SI units).

(Eq. 2)

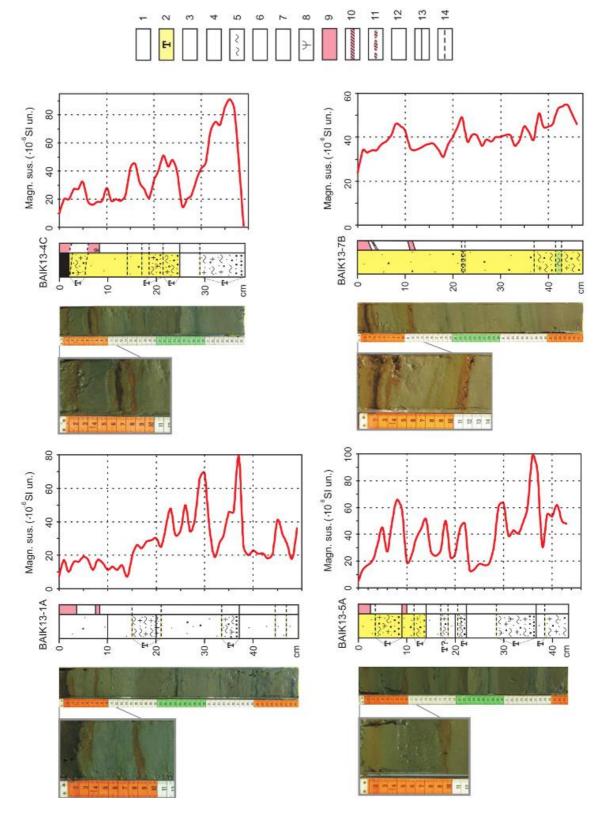
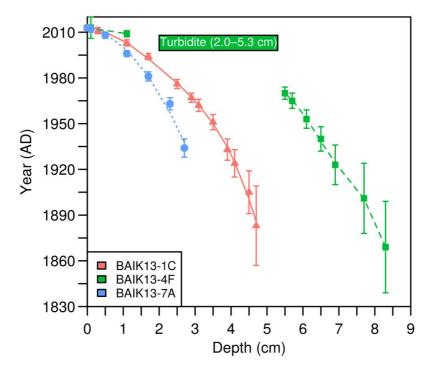


Figure 2: Photos, lithology and magnetic susceptibility of sediment cores BAIK13-1A, BAIK13-4C, BAIK13-A and BAIK13-7B from the south basin of Lake Baikal. Lithology (left column): 1 - pelagic mud, 2 turbidite, 3 - sandy sediment, 4 - diatoms, 5 - clay, 6 - silt, 7 - sand, 8 - land plant remains. Right column: 9 oxidized sediment, 10 - Fe/Mn crust, 11 - fragments of Fe/Mn crust,  $12 - O_2$  reduced sediment. Boundaries between layers: 13 - distinct boundaries between layers, 14 - indistinct boundaries between layers.

# 218 **3.2**<sup>210</sup>Pb age models

Total <sup>210</sup>Pb activity reaches equilibrium with supported <sup>210</sup>Pb at a depth of c. 5 cm (BAIK13-1C), 9 cm 219 (BAIK13-4F) and 4 cm (BAIK13-7A). At sites BAIK13-1C and BAIK13-4F well resolved peaks of <sup>137</sup>Cs at 3.1 220 221 cm and 5.5-5.7 cm respectively likely relate to peak atmospheric testing of nuclear weapons 1963 AD. At all sites, non-monotonic variation in unsupported <sup>210</sup>Pb prevented the use of the constant initial concentration (CIC) 222 dating model. Instead, <sup>210</sup>Pb dates were calculated using the constant rate of <sup>210</sup>Pb supply (CRS) model 223 (Appleby and Oldfield, 1978). At BAIK13-1C and BAK13-4F depths of 3.1 cm and 5.7 cm are dated to 224 1962/1963 AD respectively, both in agreement with the <sup>137</sup>Cs record. An absence of clear peaks in either <sup>137</sup>Cs 225 or <sup>241</sup>Am at BAIK13-7A prevents validation of the <sup>210</sup>Pb dates. For all sites the final age-depth model shows a 226 good fit to the <sup>210</sup>Pb dates (BAIK13-1C Adjusted  $R^2 > 0.99$ ; BAIK13-4F Adjusted  $R^2 = > 0.99$ ; BAK13-7A 227 Adjusted  $R^2 > 0.99$ ) (Fig. 3). Mean uncertainty in the individual <sup>210</sup>Pb dates across all three cores is 6.8 years 228 (BAIK13-1C:  $\bar{x} = 7$ , range = 2-26; BAIK13-4F:  $\bar{x} = 8$ , range = 2-30; BAIK13-7A: = 36, range = 2-6) (Fig. 3). 229



230 Figure 3: <sup>210</sup>Pb age-depth models for cores BAIK13-1C, BAIK13-4F and BAIK13-7A.

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232 <u>3.3 δ<sup>18</sup>O<sub>diatom</sub></u>

Analysed samples from the four sediment cores cover the interval from c. 2010-1850 AD with raw  $\delta^{18}O_{diatom}$ varying from +23.2‰ to +28.1‰ and replicate analyses of sample material indicating an analytical reproducibility (1 $\sigma$ ) of 0.2‰ (Fig. 4a). Results from BAIK13-5C, which does not have an age model, display

similar values and variations to those in BAIK13-4F and BAIK13-7C, although values at BAIK13-1C are 236 notably higher at +25.5‰ to +27.2‰. Levels of contamination were minimal for cores BAIK13-1C ( $\bar{x} = 0\%$ 237 contamination), BAIK13-4F ( $\bar{x} = 1.7\%$  contamination) and BAIK13-5C ( $\bar{x} = 3.9\%$  contamination) with Al/Si 238 ratios of <0.02. At BAIK13-7C Si/Al ratios increase to 0.018-0.027 ( $\bar{x} = 0.023$ ) indicating the need to account 239 240 for aluminosilicates. Following correction for contaminants on samples at all sites,  $\delta^{18}O_{diatom}$  ranges from +23.3‰ to +27.2‰ ( $\bar{x} = +24.5\%$ ,  $1\sigma = 1.0\%$ ) (Fig. 4b) with the propagation of error associated with the 241 correction increasing the analytical uncertainty for individual samples to 0.25-0.28‰. The two samples without 242 XRF data are not considered further in this manuscript and all further mention of  $\delta^{18}O_{diatom}$  refers to the 243 244 corrected  $\delta^{18}O_{diatom}$  dataset (Supplementary Table 1).

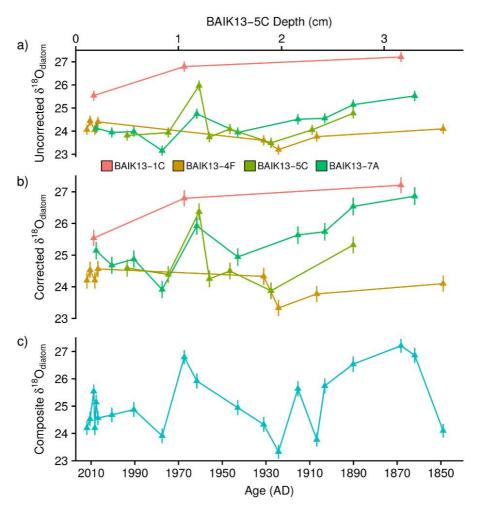


Figure 4:  $\delta^{18}O_{diatom}$  from the south basin of Lake Baikal. Raw (uncorrected) (A) and corrected (B)  $\delta^{18}O_{diatom}$ together with the composite south basin  $\delta^{18}O_{diatom}$  record (C). All samples plotted against age expect for BAIK13-5C, which are plotted against depth and not used in the final composite  $\delta^{18}O_{diatom}$  record. Error bars for uncorrected  $\delta^{18}O_{diatom}$  data are the 1 $\sigma$  analytical reproducibility (0.2‰) with error bars for the corrected  $\delta^{18}O_{diatom}$ data reflecting the propagation of error associated with the correction for contaminants (range = 0.25-0.28‰).

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On the basis of homogeneity in  $\delta^{18}O_{water}$  across the modern lake and through the water column (Seal and 251 252 Shanks, 1998; Morley et al., 2005),  $\delta^{18}O_{diatom}$  data from sites BAIK13-1C, BAIK13-4F and BAIK13-7C are combined to create a composite record of south basin  $\delta^{18}O_{diatom}$  ranging from +23.3% to +27.2% ( $\bar{x} = +25.1$ %), 253 254  $1\sigma = 1.1$ ) (Fig. 4c). After c. 1850 (+24.1‰),  $\delta^{18}O_{\text{diatom}}$  increases in the second half of the 19<sup>th</sup> century to higher values of +25.1‰ to +27.2‰. Through the 20<sup>th</sup> century  $\delta^{18}O_{diatom}$  is variable ( $\bar{x} = +24.2\%$ ,  $1\sigma = 1.1\%$ ), 255 particularly from 1960-1970 when  $\delta^{18}O_{diatom}$  reaches a minimum of +23.2‰ by the end of the 1970's and a peak 256 257 of +26.8‰ in the late 1960's. Values of  $\delta^{18}O_{diatom}$  in the decade before the cores were collected in 2013 vary from +24.1‰ to +25.5‰ ( $\bar{x} = +24.5\%$ ,  $1\sigma = 0.6\%$ ). 258

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#### 260 <u>**3.4** δ<sub>influx</sub></u>

Mean annual precipitation in Irkutsk is 450 mm/yr with c. 75% of precipitation falling in the extended summer 261 262 period from May to September, and only <10% falling in winter (DJF) (Fig. 5a). Surface air temperatures show similar seasonal variations from -20°C in January to +18°C in July (Fig. 5b). No systematic change in 263 precipitation is apparent for recent decades, although precipitation from 2016-1926 ( $\bar{x} = 466 \text{ mm/yr}$ ) is notably 264 higher than 1925-1891 ( $\bar{x} = 410$  mm/yr, p < 0.001) after the step change in 1926 (Fig. 5c). In line with global 265 records, SAT at Irkutsk show a prolonged warming trend over the monitoring record with marked increases 266 267 from c. 1950 and c. 1990 onwards that are predominantly associated with increases in winter SAT (Fig. 5d). Annual and seasonal trends in precipitation and SAT from Irkutsk are similar to data from other sites around 268 Lake Baikal, with similar trends observed in records of water inflow to the lake (Shimaraev et al., 2002; 269 270 Frolova et al., 2017). As such, the meteorological data from Irkutsk can be regarded as being representative of 271 the wider region.

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Values of  $\delta_{influx}$  shows mean inter-annual variations of c. 0.17 from 2016-1891 (Fig. 5e). On decadal timescales, from 1923-1891  $\delta_{influx}$  varies by c. 0.58 ( $\bar{x} = 0.79\%$ , 1 $\sigma = 0.13$ ) before a long-term increase to the maxima in 1938 of 1.50, caused by exceptionally high June 1938 rainfall of 318 mm. Thereafter, values reveal a long-term decline from mean 1970-1950 values of c. 0.9 to mean values of 0.77 since the year 2000.

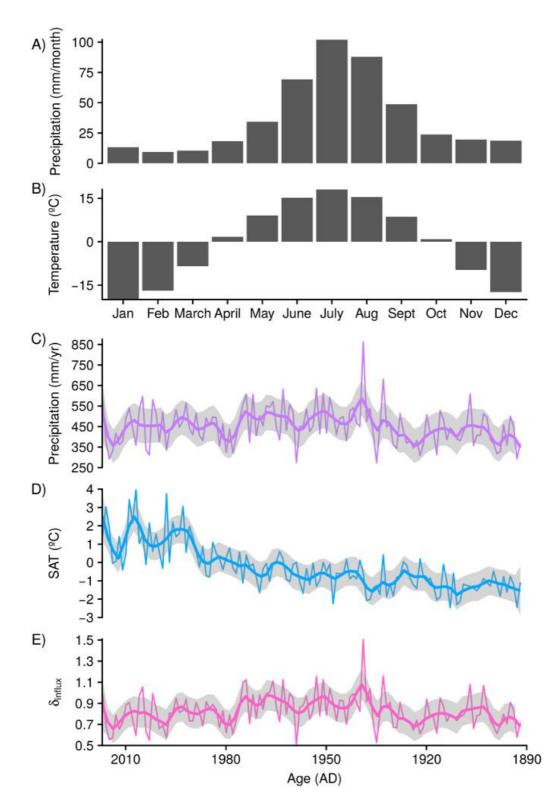


Figure 5: Metrological data from Irkutsk (World Meteorological Organisation station 30710) showing the (A) monthly distribution of precipitation and (B) surface air temperature (SAT) alongside (C) temporal changes in precipitation and (D) surface air temperature from 2016-1891. Values of  $\delta_{influx}$  (E) are calculated following Equations 1 and 2 with all values normalised relative to a value of 1 for 2016 AD. Thicker lines for panels C-E show locally weighted smoothing (loess) with shaded regions representing the 95% confidence interval on the fitted values.

### 283 **<u>3.5 Comparison of \delta^{18}O\_{\text{diatom}} and \delta\_{\text{influx}}</u>**

284 To account for uncertainty in the age-model and with analysed samples containing diatoms that accumulated over multiple years, a locally-weighted polynomial regression (lowess) was applied to  $\delta_{influx}$  with a span of 10 285 years in order to enable robust comparisons with  $\delta^{18}O_{diatom}$ . From c. 2010-1900 change in  $\delta^{18}O_{diatom}$  are 286 287 significantly correlated to  $\delta_{influx}$  ( $r = 0.72 \ p = 0.001$ ) with a linear regression revealing a significant relationship between the two variables (Adjusted  $R^2 = 0.48$ , p = 0.001) (Fig. 6a). Whilst the residence time of water in the 288 south basin is closer to 80-90 years (Shimaraev et al., 1994), the age of surface waters down to the mesothermal 289 290 maximum (200-300 m water depth) are likely to be less, given reduced rates of mixing with deep/bottom 291 waters (Weiss et al., 1991). The duration of vertical exchanges across the lake is limited to a short timeframe 292 each year, with rates varying spatially across individual basins and between coastal and non-coastal sites 293 (Weiss et al., 1991; Shimaraev et al., 1994; Ravens et al., 2000; Shimaraev et al., 2012; Troitskaya et al., 2015). 294 With the mechanisms and extent of vertical mixing across Lake Baikal therefore remaining relatively 295 unconstrained, it becomes impossible to accurately model the age of the ambient water in which the analysed 296 diatoms photosynthesised. The span of 10 year employed in the regression of  $\delta_{influx}$  is considered to be an appropriate estimate for this, given that surface  $\delta^{18}O_{water}$  is likely to be significantly weighted towards more 297 298 recent inputs to the lake.

299

300 Variance partitioning of  $\delta_{influx}$  against surface air temperature and precipitation data from Irkutsk reveals 94% of 301 the variability in  $\delta_{influx}$  is related to changes in precipitation. This is confirmed by the significant linear relationship between  $\delta_{influx}$  and annual precipitation at Irkutsk from 2016-1891 AD (Adjusted R<sup>2</sup> = 0.93, p < 302 0.001) and hence between decadal smoothed annual precipitation (span = 10 years) and  $\delta^{18}O_{diatom}$  (Adjusted R<sup>2</sup> = 303 0.48, p = 0.001, SE = 26.9 mm/yr) (Fig. 6 b,c). From this relationship, quantitative reconstructions of decadal 304 averaged annual precipitation can be made from  $\delta^{18}O_{diatom}$  with results, when applied to the south basin 305 306 composite record, ranging from c. 400-520 mm/yr with variations between samples of up to 80 mm (Fig. 6d). 307 These reconstructed estimates of precipitation are offset from actual measured levels of precipitation at Irkutsk 308 by 5-45 mm/yr ( $\bar{x} = 22.6$  mm/yr) (Fig. 6d).

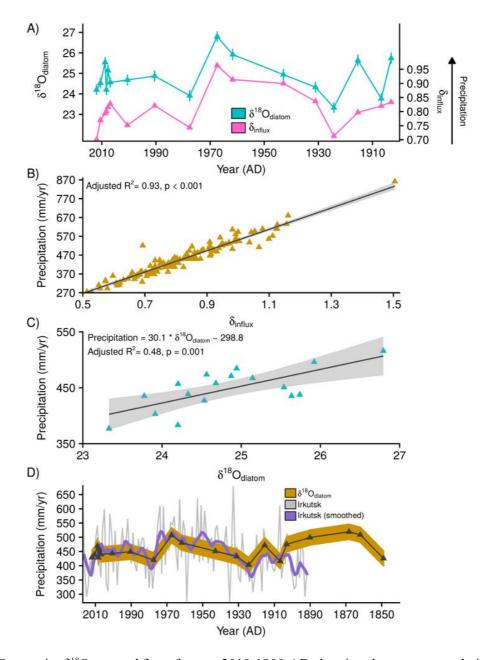


Figure 6: A) Composite  $\delta^{18}O_{diatom}$  and  $\delta_{influx}$  from c. 2010-1900 AD showing the strong correlation (r = 0.72 p =309 0.001) and linear relationship (Adjusted  $R^2 = 0.48$ , p = 0.001) between the two variables. Displayed values of 310  $\delta_{influx}$  are obtained from a locally weighted smoothing (span = 10 years) of the raw  $\delta_{influx}$  data to account for 311 312 uncertainty in the <sup>210</sup>Pb age model and accumulation of diatoms in the sediment record over multiple years. B) 313 Linear relationship between raw  $\delta_{influx}$  and Irkutsk annual precipitation from 2016-1891. C) Linear relationship 314 between  $\delta^{18}O_{diatom}$  and locally weighted Irkutsk precipitation (span = 10 years). D) Decadal annual precipitation 315 reconstructed from  $\delta^{18}O_{diatom}$  (brown region/black line) together with Irkutsk annual precipitation (grey) and locally weighted (span = 10 years) Irkutsk precipitation (purple). Shaded region for reconstructed precipitation 316 is the standard error (26.9 mm/yr) of the regression model between  $\delta^{18}O_{diatom}$  and Irkutsk precipitation (Fig. 6c). 317 For clarity the y-axis has been scaled to not show the extreme Irkutsk precipitation of 861.9 mm<sup>-1</sup> in 1938 AD. 318

#### 319 4 Discussion

## 320 <u>4.1 δ<sup>18</sup>O<sub>diatom</sub> as an indicator of Central Asian precipitation</u>

Both  $\delta^{18}O_p$  and  $\delta^{18}O_{river}$  in the Lake Baikal catchment fall on or close to the global meteoric water line (Seal and 321 Shanks, 1998) with evaporation believed to not significantly impact  $\delta^{18}O_{water}$  (Morley et al., 2005). With 322 changes in the amount of precipitation dominating variations in  $\delta_{influx}$  (Fig. 6b),  $\delta_{influx}$  can be interpreted as 323 324 primarily reflecting decadal changes in annual precipitation and in particular summer precipitation which accounts for 70-90% of annual precipitation to the region (Fig. 5b; Afanasjev, 1976; Shimaraev et al., 1994). 325 As  $\delta^{18}O_{diatom}$  reflects the isotope composition of ambient water in Lake Baikal, sedimentary records of  $\delta^{18}O_{diatom}$ 326 should also reflect changes in regional Central Asian precipitation. This is supported by the strong correlation 327 328 and relationship between  $\delta_{influx}$  and  $\delta^{18}O_{diatom}$ , with increases (decrease) in  $\delta^{18}O_{diatom}$  associated with higher (lower)  $\delta_{influx}$  and an increase (decrease) in precipitation (Fig. 6a), as well as by the linear relationship between 329 330  $\delta^{18}O_{diatom}$  and decadal smoothed annual precipitation (Fig. 6c).

331

The continental interior location of Lake Baikal results in intra-annual variations in precipitation being a 332 333 function of summer westerlies and the winter Siberian High (Lydolph, 1977). In spring the intensification of 334 zonal circulation leads to the westerly progression of cyclones to the region, a process that intensifies in summer as low-pressure systems continue to develop along the Asiatic polar front (Lydolph, 1977; Chen et al., 335 336 1991; Shahgedanova 2002). With summer precipitation and inter-annual variations within it closely linked to eastward-propagating Rossby waves along the Asian Polar Front Jet (Iwao and Ttakahashi 2006, 2008), 337 338 variations in summer Siberian precipitation have been linked to the Atlantic Multidecadal Oscillation (AMO) (Sun et al., 2015). Related to sea surface temperatures (SST) in the North Atlantic Ocean, the warm SST 339 340 associated with a positive phase of the AMO are proposed to enhance precipitation across Siberia through a northerly shift in Rossby waves. Records of  $\delta^{18}O_{diatom}$  from Lake Baikal can therefore now be employed to 341 342 investigate long-term, decadal to centennial, controls on summer precipitation including the link between 343 precipitation and the AMO. Debate exists over the extent to which the AMO will change in the future beyond 344 natural fluctuations. Results from the IPCC AR5 report suggest that the AMO is unlikely to change its 345 behaviour in a warmer climate state (Christensen et al., 2013). However, comparisons have shown the complexity in ensuring models adequately capture the characteristic of the AMO (Kavvada et al., 2013) whilst 346 evidence exists for an amplification of the AMO at the onset of industrial-era warming (Moore et al., 2017) and 347

348 so the potential for further modifications with increased SST.

349

350 On the basis of our composite  $\delta^{18}O_{diatom}$  record from the south basin of Lake Baikal and the link to  $\delta_{influx}$  and  $\delta^{18}O_p$  from 2011-1901 (Fig. 6a-c) we propose that  $\delta^{18}O_{diatom}$  can be used to constrain annual precipitation and, 351 352 given the seasonal distribution of precipitation, the summer position of the Asiatic polar front and associated jet system (Fig. 5b). This interpretation of  $\delta^{18}O_{diatom}$  does not contradict previous records from Lake Baikal which 353 related changes in  $\delta^{18}O_{diatom}$  to the balance of north and south basin river inputs in Lake Baikal and so the wider 354 355 hydroclimate of the region (Mackay et al., 2008, 2011, 2013). Instead, the relationship observed here now permits an enhanced understanding of the palaeoclimatology of the region by disentangling the dominant 356 357 environmental controls on  $\delta^{18}O_{diatom}$ , precipitation and lake water temperature, allowing the quantification of 358 past changes in Central Asia precipitation.

359

#### 360 **<u>4.2 Holocene reconstruction of Central Asian precipitation</u>**

Precipitation data from Irkutsk is not available prior to 1891. Using the relationship between  $\delta^{18}O_{diatom}$  and 361 precipitation from c. 2010-1900 (Fig. 6c) we quantify decadal changes in annual precipitation for Central Asia 362 from our composite south basin  $\delta^{18}O_{diatom}$  record, which extends back to c. 1850 AD (Fig. 6d). Results show that 363 the degree of variability in 21<sup>st</sup> and 20<sup>th</sup> century precipitation also prevailed through the late 19<sup>th</sup> century (426-364 365 519 mm/yr) with significantly lower levels of precipitation in c. 1850 relative to 1860-1890. Within the constraints of this low-resolution record and the regression standard error of 26.9 mm/yr, the results suggest 366 that decadal annual precipitation in Central Asia has not notably changed in response to increased 367 global/regional air temperature over the last c. 160 years (Fig. 6d). Observed reductions in Central Asian 368 369 precipitation and river flow over recent decades (Liu et al., 2013; Li et al., 2015; Frolova et al., 2017) may 370 therefore represent natural variability rather than an anthropogenic driven change.

371

Tree ring records from Mongolia currently provide regional hydroclimate data for the last 500 years (Pederson et al., 2001; Davi et al., 2006, 2009, 2010). However, longer precipitation records are needed, particularly over abrupt climate transitions and from geological analogues for the future to fully assess trends in Central Asian precipitation and possible links to the North Atlantic region. To place the results of the composite south basin core over the last c. 200 years within the context of natural variability, long-term changes in Central Asian

precipitation are reconstructed from a previously published  $\delta^{18}O_{diatom}$  record from Vydrino Shoulder (51.588N, 377 104.858E, Fig. 1) located off the southern shoreline of Lake Baikal (Mackay et al., 2011) (Fig. 7). From 0-10 378 ka annual precipitation ranges from c. 420-770 mm/yr ( $\bar{x} = 570$  mm/yr,  $1\sigma = 65$  mm/yr) with precipitation for 379 most of the interval higher than that recorded at Irkutsk during the 21<sup>st</sup> and 20<sup>th</sup> Century ( $\bar{x} = 450$  mm/yr) (Fig. 380 381 5a, 7). No decline in precipitation occurs through the neoglacial from c. 4.0-0.2 ka, but significant variability is apparent through the mid-Holocene warm interval from 5-9 ka ( $\bar{x} = 558 \text{ mm/yr}$ ,  $1\sigma = 41 \text{ mm/yr}$ ). As such, with 382 levels of Holocene precipitation only comparable to the mean modern day conditions at 5.7 ka and 10.1-10.2 ka 383 384 (Fig. 7), the results indicate the potential for further changes in precipitation as the region responds to a future 385 climate state.

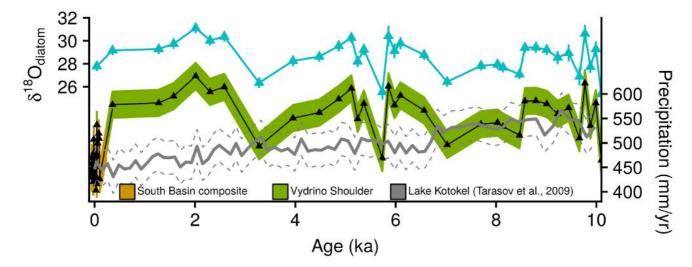


Figure 7: Holocene  $\delta^{18}O_{diatom}$  from Vydrino Shoulder (51.588N, 104.858E, Fig. 1) located off the southern 386 387 shoreline of Lake Baikal (Mackay et al., 2011) together with reconstructed precipitation at Vydrino Shoulder (green) and in the south basin composite record (brown) displayed in Figure 6d. One sample from the Vydrino 388 Shoulder core (0.04 ka / 1907 AD) overlaps with the composite record in Figure 6. Shaded region shows range 389 390 of reconstructed precipitation based on the standard error (26.9 mm/vr) of the regression model between  $\delta^{18}O_{diatom}$  and Irkutsk precipitation (Fig. 6c). Also shown is the pollen inferred precipitation record from Lake 391 392 Kotokel (solid grey line) (Tarasov et al., 2009) and the associated root mean square error of prediction (RMSE) 393 of 34 mm/yr (Solovieva et al. 2005).

394

Reconstructed values of Holocene precipitation at Vydrino Shoulder are broadly comparable to patterns of effective summer precipitation obtained from a low-resolution general circulation model for the region (Bush, 2005). Existing quantitative reconstructions of precipitation for the region immediately around Lake Baikal

have been derived from pollen records from Lake Baikal itself and nearby Lake Kotokel (Fig. 1), both showing 398 399 similar trends to one another through the Holocene (Tarasov et al., 2007, 2009). However, whereas levels of precipitation at Lake Kotokel (pollen) and Vydrino Shoulder ( $\delta^{18}O_{diatom}$ ) are similar through the early Holocene, 400 after c. 7 ka pollen inferred precipitation decreases by c. 10% with no corresponding change in the  $\delta^{18}O_{diatom}$ 401 402 derived record (Fig. 7). This decline in pollen derived precipitation in/around Lake Baikal contrasts with records from the northern Mongolian Plateau which, similar to the  $\delta^{18}O_{diatom}$  reconstruction, shows high rates of 403 404 precipitation in both the early and late Holocene (Wang and Feng, 2013). Whilst records on the northern 405 Mongolian plateau show a degree of spatial variability, no long-term decline in precipitation is apparent from c. 7 ka (Wang and Feng, 2013). Given the size of Lake Baikal's catchment (540,000 km<sup>2</sup>) and with 83% of 406 407 riverine inflow originating from the Selenga River and its tributaries, which extend into Mongolia, or the Upper Angara and Barguzin Rivers, which drain the region immediately to the east and north of Lake Baikal (Fig. 1), 408 we suggest that our  $\delta^{18}O_{diatom}$  precipitation record from Lake Baikal reflects an amalgamated average of 409 410 conditions across the wider Central Asian region rather than localised site-specific changes in precipitation. As 411 such, whilst pollen records in and around Lake Baikal suggest reduced precipitation in the late Holocene (Tarasov et al., 2007, 2009), the higher  $\delta^{18}O_{diatom}$  reconstructed precipitation at Lake Baikal reflects the fact that 412 413 rates of precipitation in north Mongolia did not decline between the early/late Holocene period.

414

415 With a relationship established between  $\delta^{18}O_{diatom}$  and Central Asian precipitation, records of precipitation from 416 Lake Baikal have the potential to aid the development of future forecasts for the region. Models in the Coupled 417 Model Intercomparison Project (CMIP5) are currently not able to confidently predict future changes in Central Asian precipitation (Christensen et al., 2013), but together with regional models they indicate the potential for 418 419 decreases in precipitation for northern Mongolia and the Lake Baikal catchment, leading to associated 420 reductions in soil moisture and increased vulnerability to drought and fire (Sato et al., 2007; Törnqvist et al., 421 2014). Data-model comparisons under the Paleoclimate Modelling Intercomparison Project (PMIP) highlight 422 the complexities in generating accurate simulation of precipitation for the mid-Holocene (Bartlein et al., 2010; 423 Braconnot et al., 2012). Whereas PMIP3 simulations suggest no notable change between 6 ka and pre-industrial 424 conditions, our results show that regional precipitation at 6 ka was c. 25% higher than modern day precipitation (450 mm/yr) and c. 30% higher than the reconstructed precipitation of 430 mm/yr in pre-industrial conditions at 425 c. 1850 AD (Fig. 7). Consequently, integration of  $\delta^{18}O_{diatom}$  precipitation data from Lake Baikal within ongoing 426

427 modelling efforts should aid future model validation for Central Asia.

428

#### 429 5 Conclusions

There is uncertainty over the potential for future changes in Central Asian precipitation under a warmer climate 430 state, changes which have severe implications for the grassland-taiga ecotone and carbon cycling in the region. 431 432 By comparing records of  $\delta^{18}O_{diatom}$  to local meteorological data for the last 100 years we demonstrate an empirical relationship in Lake Baikal between  $\delta^{18}O_{diatom}$  and Central Asian precipitation, providing an 433 434 opportunity to study the long-term variability of regional precipitation together with the position of the Asiatic 435 polar front and link to the AMO. Accordingly, records from Lake Baikal are able to aid future climate predictions by investigating geological intervals that might represent an analogue of a future climate state and 436 437 through data-model comparisons with the PMIP community. In contrast to current models, results from  $\delta^{18}O_{diatom}$  show that precipitation has varied significantly over the last 10 ka, indicating the region's potential 438 439 sensitivity to a perturbation in the climate system. With levels of precipitation over the past c. 160 years either at or close to their lowest levels of the last 10 ka, the potential exists for further reductions to significantly 440 441 impact water availability and societies across Central Asia.

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### 639 Acknowledgements

- 640 This work was supported by Natural Environment Research Council grants NE/J00829X/1, NE/J010227/1, and
- 641 NE/J007765/1). The authors are indebted to the assistance of Nikolaj M. Budnev (Irkutsk State University), the
- 642 captain and crew of the Geolog research boat together with Dmitry Gladkochub (IEC) in facilitating and
- 643 organizing all Russian fieldwork. A final thanks is owed to Neil Rose and Handong Yang who carried out the
- <sup>210</sup>Pb dating at the UCL Environmental Radiometric Facility.
- 645

#### 646 Supplementary Information

- 647 Supplementary Table 1: Diatom oxygen isotope ( $\delta^{18}O_{diatom}$ ) and reconstructed precipitation for south basin
- sediment cores BAIK13-1C, BAIK13-4F and BAIK13-7A used in the composite  $\delta^{18}O_{diatom}$  record.
- 649
- 650 Supplementary Table 2: Holocene  $\delta^{18}O_{diatom}$  from Vydrino Shoulder (Lake Baikal) (Mackay et al., 2011) and
- 651 reconstructed precipitation.