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More specifically, this manuscript has undergone peer-review for Nature Climate Change. It was not accepted, for not being novel / significant enough.

As of 24<sup>th</sup> October 2020, we have no intention of submitting it elsewhere.

# 1                    **Global decline of deep water formation with increasing atmospheric CO<sub>2</sub>**

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## 6                    **Abstract**

7 Deep water formation is not only the driver of the global ocean circulation; by sending heat and  
8 carbon to the deep ocean, it is also crucial for climate change mitigation. Yet its future is uncertain:  
9 will it slow down as stratification increases, emerge in polar regions as the wind starts blowing over  
10 previously ice-covered waters, or intensify with increased evaporation? Here we present the first  
11 global study of the evolution of deep water formation as atmospheric CO<sub>2</sub> concentration increases,  
12 using the latest generation of Earth System models (CMIP6). We show that open ocean deep  
13 convection stops globally shortly before 600 ppm, mostly in response to increased stratification, but  
14 that deep water formation continues under a different regime. Deep convection does not emerge in  
15 ice-freed regions. The mechanism is self-reinforcing, as less mixing also increases stratification and  
16 modifies heat fluxes, with most oceanic regions gaining even more heat.

## 18                    **Main text**

19 By establishing a direct connection between the sea surface and the ocean, deep water formation is  
20 crucial for ventilation and a driver of the global ocean circulation<sup>1,2,3</sup>. Moreover, by bringing excess  
21 anthropogenic heat and carbon to the deep ocean where they can be stored instead of staying in the  
22 atmosphere, deep water formation in fact currently mitigates climate change<sup>4,5</sup>. There are many  
23 regions where “chimneys” of deep water formation have been observed. The first such region to be  
24 monitored was in the Western Mediterranean, where strong winds blowing from the south of France  
25 in winter cause very deep mixed layers<sup>6</sup>. It has since been found to also occur in the Eastern  
26 Mediterranean, in the Adriatic and Aegean seas<sup>7</sup>. The most “famous” regions are in the North  
27 Atlantic, namely in the Labrador – Irminger seas and in the Nordic Seas<sup>8,9,10,11,12</sup>. There, deep waters  
28 regularly form as a result of the strong winds blowing from Greenland<sup>8,9</sup> and sea ice processes<sup>8,9,13</sup>.  
29 These same two processes cause deep mixing in the enclosed East Sea / Sea of Japan<sup>14</sup>. The last  
30 known location in the northern hemisphere is by Rockall Trough (north of Scotland), again in  
31 response to strong winds<sup>15</sup>. At the other end of the world in the Southern Ocean, current observations  
32 suggest that deep waters are formed mostly from shelf processes<sup>16</sup>, so that deep convection happens  
33 more seldom, in association with open ocean polynyas<sup>8,9,17,18</sup>.

34 The future of deep water formation is far from obvious. In the strongly stratified Arctic Ocean, deep  
35 water formation has hardly ever been observed<sup>12</sup>; yet, some modelling results<sup>19</sup> project that deep  
36 convection will become commonplace there, as sea ice decline means that the wind can start mixing  
37 this ocean. Observation-based results disagree and show in fact an increase in stratification and a  
38 decrease in mixed layer depth<sup>20</sup>. In the Nordic Seas, observations suggest that the necessary surface  
39 salinization may still be accomplished by enhanced evaporation if brine rejection decreases<sup>21</sup>, and  
40 deep water formation could hence continue unhindered. And in the Southern Ocean, open ocean deep  
41 convection is either expected to cease in response to surface freshening<sup>22</sup>, or to restart as part of an  
42 ongoing poorly-observed low-frequency cycle<sup>23</sup>, of which the re-opening of the Weddell Polynya in  
43 the last years<sup>24</sup> would be a sign.

44 It does not help that so far, such studies have investigated individual regions in isolation, without  
45 considering the rest of the world. Yet, deep water formation rates in the North Atlantic and Southern  
46 Ocean are linked<sup>25</sup>, and we know that signals can spread within years through deep waters  
47 globally<sup>26,27</sup>. This study was therefore initiated to answer this question: is deep water formation  
48 stopping globally, or are the volumes formed conserved while only the locations change? Here we  
49 present the first global assessment of the future of deep water formation in response to ongoing and  
50 projected increased atmospheric CO<sub>2</sub> concentrations. We are about to show that deep water formation  
51 declines dramatically quickly and globally, and changes regime shortly before 600 ppm.

## 52 **Open ocean deep convection stops, globally**

53 To explore the sensitivity of global deep water formation to sea ice, wind and stratification under  
54 anthropogenic climate change (increased CO<sub>2</sub>), we examined 30 models that have submitted their  
55 ocean, atmosphere and sea ice output to the Coupled Model Intercomparison Project phase 6  
56 (CMIP6<sup>28</sup>, models listed in supplementary Table 1). We use the “one percent CO<sub>2</sub>” experiment as a  
57 proxy for short-term climate change, as this experiment represents the range of atmospheric CO<sub>2</sub>  
58 increase that is expected throughout the 21<sup>st</sup> century<sup>29</sup> and contains output from a wide variety of  
59 models. Our approach is unique in that we present the evolution of the mixed layer depth or “MLD”  
60 as a function of atmospheric CO<sub>2</sub> levels instead of time, to determine its sensitivity.

61 The models produce deep waters at the locations that are known as sites of deep convection in the real  
62 ocean<sup>8,9</sup> (Figs 1 and 2). In the North Atlantic, all models have deep mixing in the subpolar gyre, in the  
63 so-called Nordic or GIN (Greenland, Iceland and Norwegian) seas, and by Rockall. Only one model  
64 forms deep water in the Arctic already at low CO<sub>2</sub> concentrations. As atmospheric CO<sub>2</sub> levels  
65 approach 600 ppm, i.e. by 2060<sup>29</sup>, most models stop having mixed layers that exceed 1000 m, i.e. stop  
66 deep convection, and instead stabilise around 500 m depth (thick black line in inserts, Fig. 1). Mixed  
67 layers deepen on average in the Arctic, but only the 10% most extreme models initiate occasional  
68 deep convection there (pale shading in inserts). In the Mediterranean Sea, deep water formation in the

69 models is rare; for the majority of models, MLD in the western site falls below 1000 m around current  
70 CO<sub>2</sub> levels; the eastern site continues with occasional deep convection but exhibits a decrease in its  
71 strongest models. Finally, as already pointed out by ref<sup>30</sup>, the modelled Southern Ocean exhibits open  
72 ocean deep convection over too large an area, too often, and too deep, especially in the Weddell Sea.  
73 Yet we find the same trend as in the rest of the world: no open ocean deep convection after 600 ppm,  
74 and a stabilisation of mixed layers around 500 m depth.

75 Cessation of open ocean deep convection does not mean cessation of deep water formation  
76 (supplementary Fig. 1). The volume of deep water formed as indicated by the Meridional Overturning  
77 Circulations decreases sharply until 600 ppm, as mixed layers fall below 1000 m depth, and then  
78 decrease more gently to about half their original value on average. The key result of this study is then  
79 that, globally, deep water formation switches from high volumes produced by open ocean deep  
80 convection (admittedly too often in the model version of some regions) to halved volumes, likely  
81 spanning from mixed layers hardly 500 m deep, and that this switch occurs at atmospheric CO<sub>2</sub> levels  
82 that we are expected to reach in the coming twenty to forty years. But why is deep convection  
83 stopping so soon?

#### 84 **Sea ice is gone; stratification increases globally; winds hardly change**

85 We just saw that deep water formation decreases sharply as atmospheric CO<sub>2</sub> concentration increases,  
86 globally. In the literature, deep water formation and more generally vertical mixing are the result of  
87 the interplay of up to three processes: stratification, wind, and sea ice formation<sup>8,9</sup>. As found in other  
88 CMIP6 runs, the Arctic<sup>31</sup> and Antarctic<sup>32</sup> sea ice disappears as atmospheric CO<sub>2</sub> increases. By the end  
89 of the one percent CO<sub>2</sub> run, the vast majority of models are ice-free at both poles even in winter  
90 (supplementary Fig. 2 and corresponding trends in total sea ice volume on Fig. 3).

91 In an ice-free, CO<sub>2</sub>-rich world, stratification increases. The multi-model mean trend in stratification  
92 (see Methods) is a clear, global increase, with some models increasing their stratification by up to 2  
93 kg m<sup>-3</sup> on average around 80°N (Fig. 3). For reference, 2 kg m<sup>-3</sup> is a typical model difference in  
94 potential density between the surface and 4000 m depth averaged over 80°N at the beginning of the  
95 run (not shown). The cause of this increase in stratification depends on the location: freshening over  
96 the Arctic; combined freshening and warming in the subpolar North Atlantic and Nordic Seas; and  
97 warming stronger than the opposing trend in salinification at lower latitudes (supplementary Fig. 3).  
98 Over the Southern Ocean, the patterns are similar although even more zonal: freshening only closest  
99 to the continent, then freshening and warming at high latitudes, and finally warming opposed by a  
100 salinification north of 40°S (Polar Front, Fig. 3 and supplementary Fig. 4). The trends in salinity are  
101 consistent with local sea ice volume decrease (i.e. reduced brine rejection) and the ongoing  
102 destruction of the global ice sheets<sup>33</sup>, as well as increased evaporation at low latitudes<sup>33</sup>. Rather  
103 obviously, temperature at the top of the ocean increases as CO<sub>2</sub> levels increase.

104 Changes in surface wind in a warming world are debated. Over land, a stilling had been detected and  
105 attributed in parts to changing atmospheric circulation<sup>34</sup>, although the trend has since reversed and  
106 surface winds appear to be strengthening<sup>35</sup>. A weak increase in wind speeds has also been detected  
107 over the Arctic<sup>20</sup>, where it is expected to cause a deepening of the mixed layers as sea ice recedes<sup>19,36</sup>.  
108 We find significant regional trends in wind speeds (Fig. 3), where the winds increase the most over  
109 the ice-free Arctic and Southern Ocean, and decrease over the other areas that were not covered by  
110 sea ice (supplementary Figs. 3 and 4). Yet at their maximum, both the average and maximum wind  
111 speeds change by  $2 \text{ m s}^{-1}$  over the entire run, or approximately  $0.01 \text{ m s}^{-1}$  per year, which we argue has  
112 a negligible impact on the MLD. As highlighted by ref <sup>20</sup>, as a first approximation changes in wind  
113 speeds are proportional to changes in MLD, and in observations and models at most the constant of  
114 proportionality is 4 s. That is, our change in wind would result in a change in MLD of less than 10 m  
115 over the entire run; they represent less than 4% of the MLD trend.

116 In summary, deep water formation and overall vertical mixing in the ocean decrease worldwide as  
117 atmospheric CO<sub>2</sub> concentration increases. This decline is consistent with a global increase in upper  
118 ocean stratification, associated with climate-change induced warming and/or freshening of the upper  
119 ocean and the year-round disappearance of the sea ice cover. The trends in wind are too weak to be  
120 responsible for the projected changes in mixed layer. A decrease in MLD will in turn impact the  
121 stratification and the surface heat flux, potentially creating feedback loops. How do these various  
122 trends interplay?

### 123 **The decline in deep water formation is self-reinforcing**

124 The trends in net surface heat flux into the ocean are of opposite sign between the ice-free and  
125 always-ice-free regions, and non negligible (Fig. 3). Somewhat unexpectedly, the increase in ice-free  
126 areas as atmospheric temperatures rise results in the ocean gaining heat (or losing less) in the  
127 Southern Ocean (supp. Fig 4), as in observations<sup>37</sup>, but losing heat over the Arctic. To show that this  
128 is in fact consistent with the changes in MLD, we conducted a lagged correlation analysis of our  
129 various “CO<sub>2</sub>-series”.

130 Bear in mind that correlation does not mean causation, but it is a strong hint at a physical relationship  
131 especially when such relationship has been shown before in different contexts. Regarding the  
132 stratification first, we find that with the exception of a few models in the GIN seas and in the Arctic,  
133 the correlation between MLD and stratification is negative (Fig. 4, bottom half): the MLD decline is  
134 associated with an increase in stratification, which can lead to a further decrease in MLD. The few  
135 cases where the MLD decline are associated with a decrease in stratification (Fig. 4, top half) actually  
136 show a slight increase in the volume mixed, i.e. the model switches from very deep MLD over a few  
137 grid cells to shallower MLD over a larger area. This larger area is what causes the apparent decrease  
138 in stratification of the whole region.

139 The correlation with the heat flux out of the ocean depends on the region considered, as it depends on  
140 which water mass is upwelled by the MLD. The most common behaviour is that as stratification  
141 increases, so does the heat gained by the ocean, or more specifically, less heat is lost (Fig. 4, bottom  
142 left quadrant): the heat stays in the ocean depth below the stratification cap instead of being brought to  
143 the surface by deep MLDs and subsequently lost to the atmosphere. The same reasoning applies to the  
144 top right quadrant: MLDs are less deep, but still deep enough to reach the comparatively warm  
145 waters, which can now go through the halocline to the surface as stratification is reduced. Whether  
146 heat is gained or lost by the ocean does not depend only on MLD changes, but also on the temperature  
147 difference at the sea surface between the ocean and the atmosphere<sup>37</sup>. Thus, for the same behaviour in  
148 MLD and stratification, the ice-free GIN seas gain heat, while the higher latitude Arctic loses heat.  
149 Note that the disappearance of sea ice in itself is responsible for (latent) heat loss, from ice melt and  
150 potentially increased evaporation. Finally, a few models in the Arctic and the Southern Ocean  
151 increase stratification as the MLD decreases, but lose heat (Fig. 4, bottom right). Most of these  
152 models actually exhibit the behaviour described by ref <sup>37</sup>, whereby a decline in MLD is associated  
153 with larger heat storage but a decrease in net surface heat flux, or more simply: heat is advected away  
154 from where there used to be deep MLDs, hence the apparent surface heat loss.

155 In summary, the evolution of the signal that the ocean sends back to the atmosphere in response to  
156 increasing atmospheric CO<sub>2</sub> concentrations is complex. It depends not only on the feedback loop  
157 between MLD and stratification, but also on the underlying hydrography and circulation. At the  
158 global scale, there is no more open ocean deep convection by 500 – 600 ppm, and it does not re-  
159 emerge in the rest of the simulation. Yet some deep water formation continues. In the Southern  
160 Ocean, this deep water is most likely formed by shelf processes<sup>38</sup>, but our findings can also simply be  
161 indicative of the much longer time needed by the Southern Ocean to react to such changes<sup>37</sup>. Recent  
162 findings cast doubt on this picture though by showing that the global ocean interior is already  
163 exhibiting detectable anthropogenic changes<sup>39</sup>. A cynical reader could also interpret these results as  
164 good news: as suggested before<sup>22</sup>, with increasing atmospheric CO<sub>2</sub> concentration, models become  
165 more accurate as spurious open ocean deep convection disappears from the simulated Southern  
166 Ocean. In the North Atlantic, our findings concur with the observed weakening of the AMOC<sup>40</sup>. In  
167 fact, it is suspected that deep water formation has already started decreasing; we simply do not have  
168 long enough observational records to be certain<sup>41,42,43</sup>. In light of our results, this would not be  
169 surprising as sea ice is dramatically decreasing<sup>44</sup> and stratification is increasing in response to surface  
170 waters' warming<sup>45</sup> and freshening<sup>21</sup>.

171 Finally, although heat can be transported over large distances by the wind-driven gyres<sup>46</sup>, reduced  
172 deep water formation means reduced transport of anthropogenic heat and carbon to the deep ocean<sup>2,3</sup>,  
173 which will accelerate the increase in stratification and in atmospheric CO<sub>2</sub>, thus further accelerating  
174 the decrease in deep water formation according to our results. Paleoceanographic records show that

175 ultimately, deep mixing would restart<sup>47,48,49</sup>. But in the meantime, as deep water formation has wider  
176 impacts than those presented in this text, its sharp decline will most likely worsen the observed drop  
177 in oceanic oxygen content<sup>1,4</sup>, with potentially dire consequences for the oceanic ecosystem and coastal  
178 communities<sup>50</sup>.

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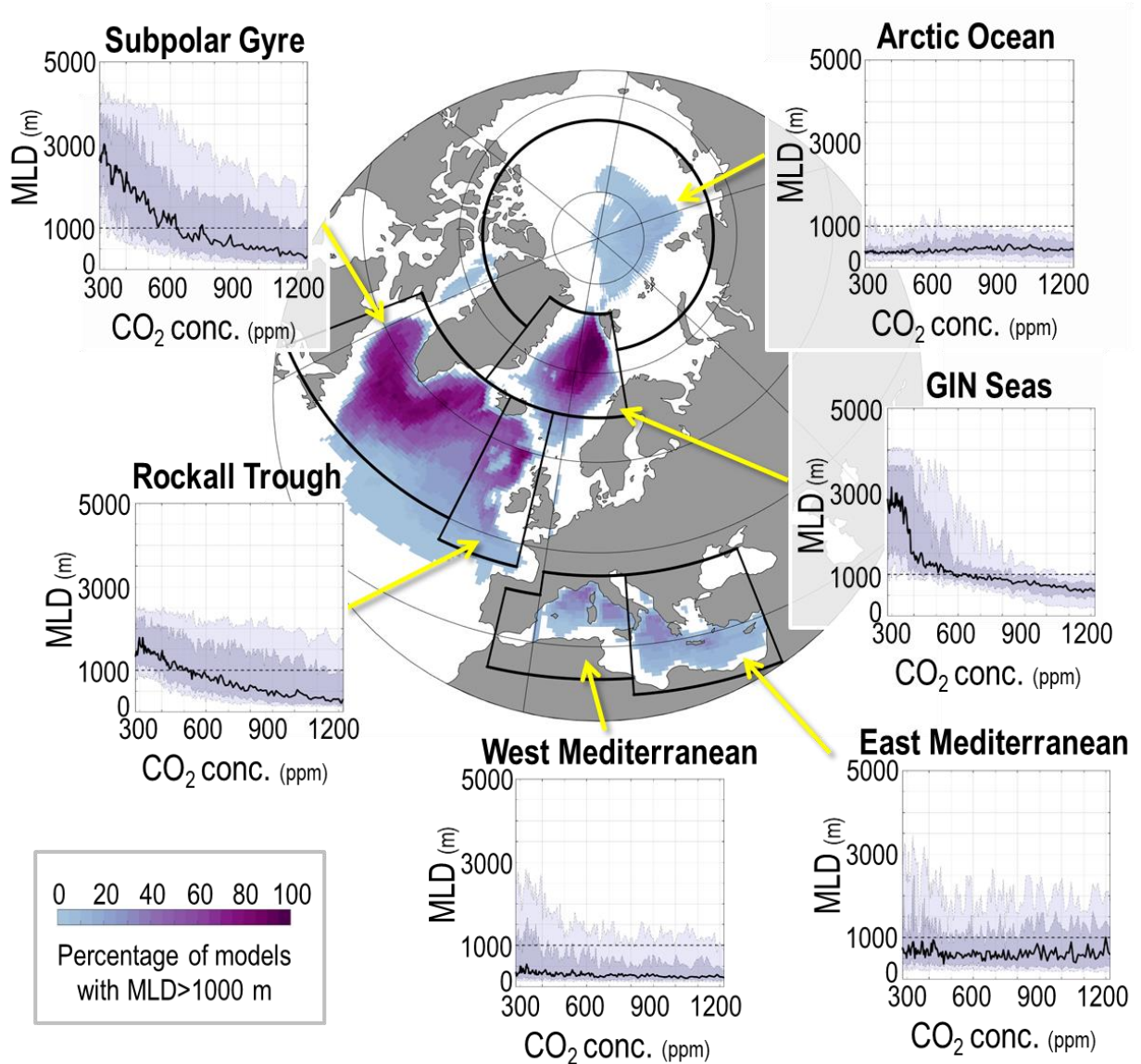
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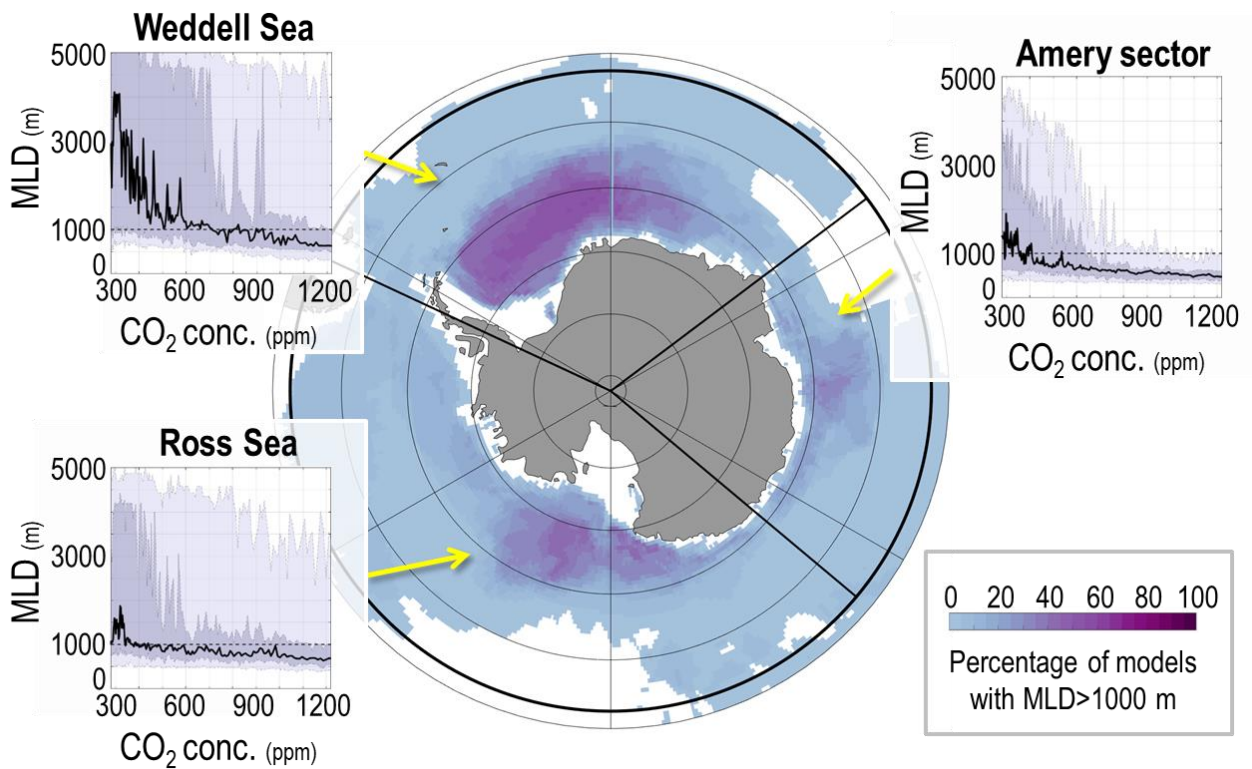
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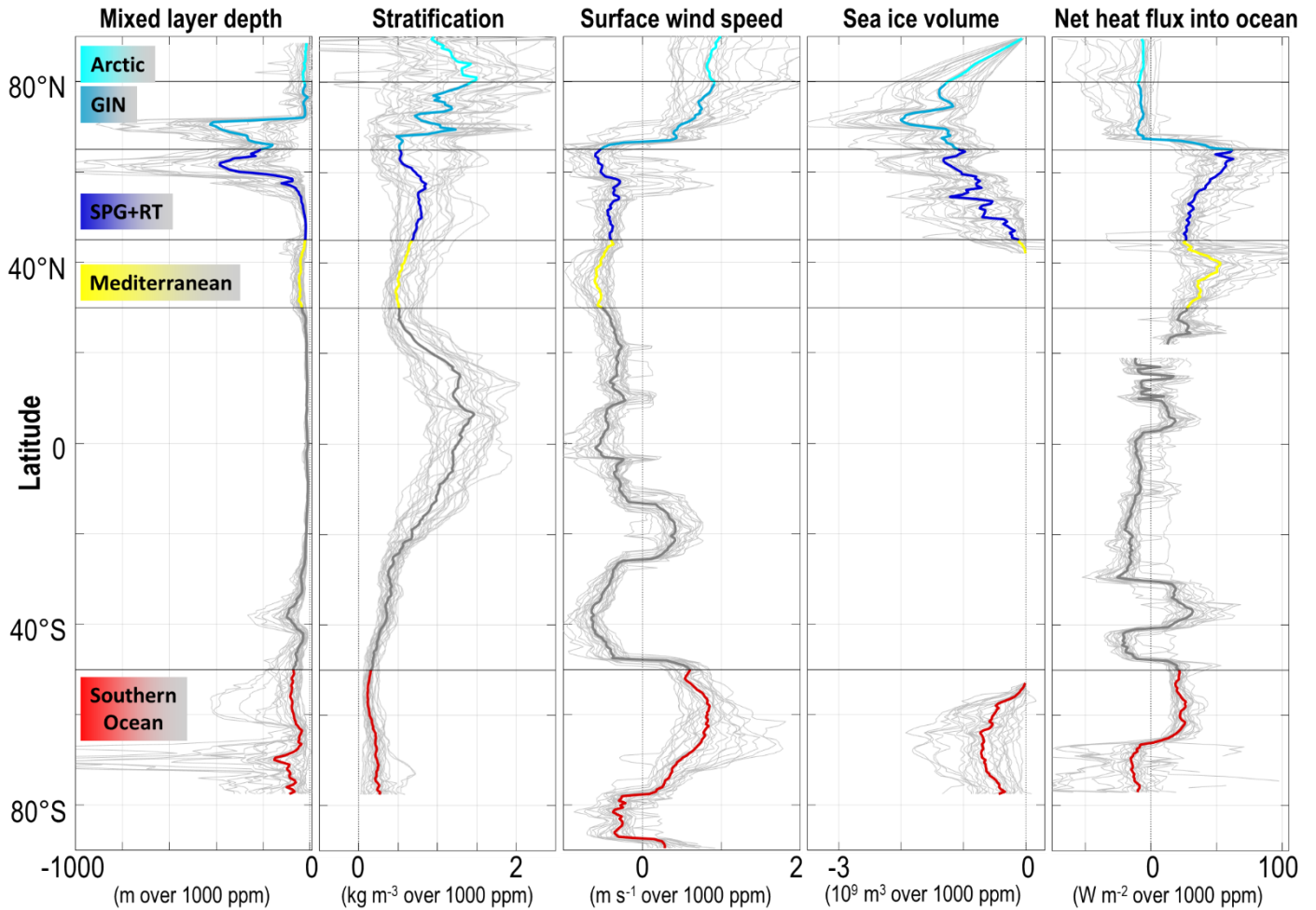
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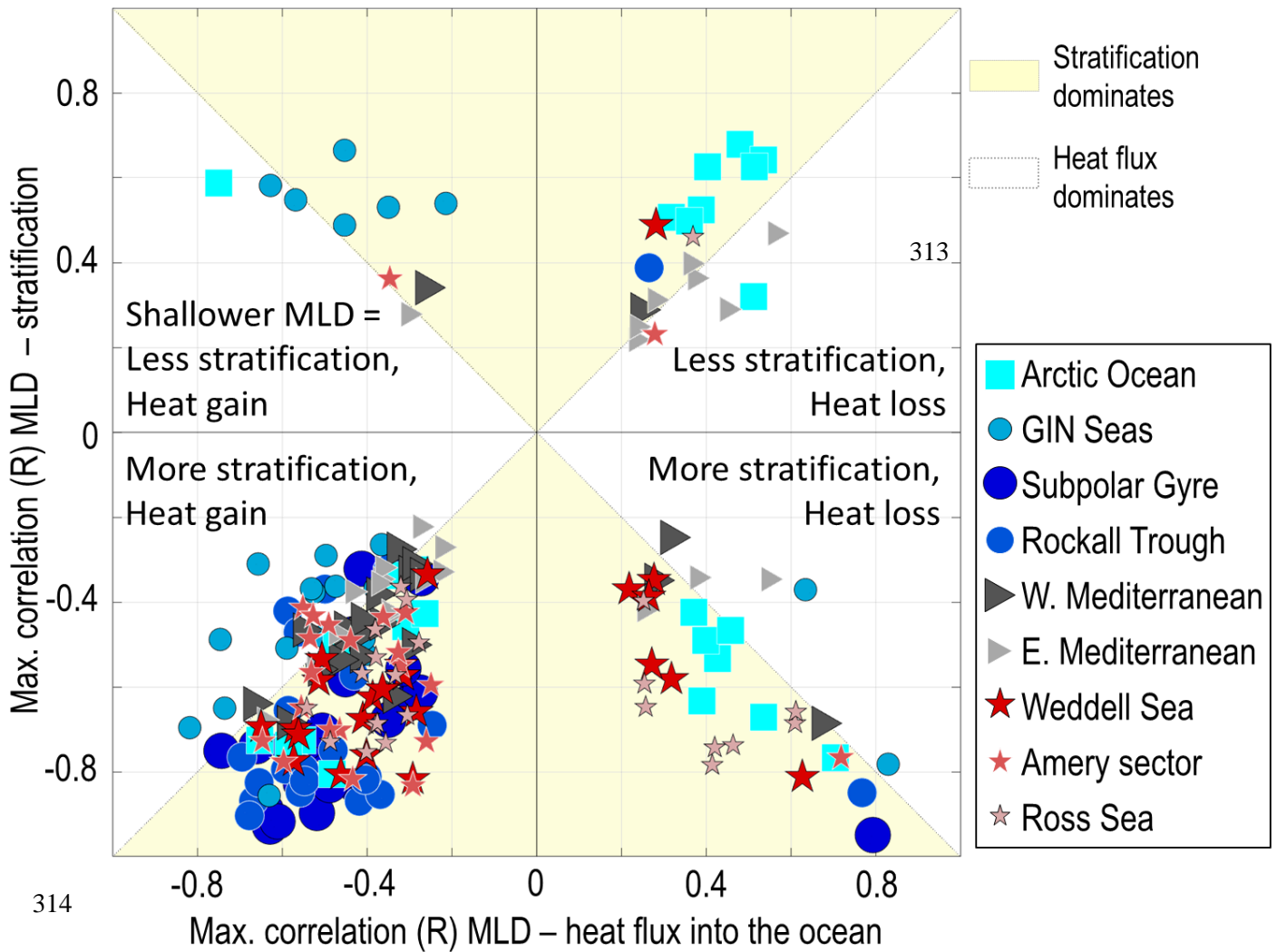
285 **Figure 1 | Hotspots of deep convection in the wider North Atlantic in CMIP6 models.** Shaded  
 286 map shows at each grid point the percentage of models that ever reach a mixed layer depth (MLD)  
 287 deeper than 1000 m. Inserts show the evolution of this mixed layer depth in each region, delimited by  
 288 black contours, as atmospheric CO<sub>2</sub> concentration increases: thick black line is the multi model  
 289 median; dark shading, where 75% of the models are found (interquartile range); light shading, where  
 290 90% of the models are found (interdecile range). The spatial pattern of deep water formation  
 291 corresponds to that observed and/or expected in the real North Atlantic and Mediterranean. For most  
 292 models, MLDs no longer exceed 1000 m after 600 ppm: deep convection ceases.



293 **Figure 2 | Hotspots of deep convection in the Southern Ocean in CMIP6 models.** Shaded map  
 294 shows at each grid point the percentage of models that ever reach a mixed layer depth (MLD) deeper  
 295 than 1000 m. Inserts show the evolution of this mixed layer in each region, delimited by black  
 296 contours, as atmospheric CO<sub>2</sub> concentration increases: thick black line is the multi model median;  
 297 dark shading, where 75% of the models are found (interquartile range); light shading, where 90% of  
 298 the models are found (interdecile range). At historical levels of CO<sub>2</sub>, CMIP6 models exhibit spurious  
 299 open ocean deep convection too often, over too large areas<sup>30</sup>. Mixed layers drastically shoal with  
 300 increasing CO<sub>2</sub>, so for concentrations higher than 400 ppm in the Amery and Ross sectors, and 600  
 301 ppm in the Weddell Sea, there is no more (spurious) open ocean deep convection.



302 **Figure 3 | Trends in mixed layer depth, stratification, wind, sea ice and heat flux.** Zonally-  
 303 averaged trend for each model (thin grey line) and multi-model mean trend (thick, coloured) in mixed  
 304 layer depth, upper 200 m ocean stratification, surface wind speed, sea ice volume, and net heat flux  
 305 into the ocean (negative: ocean loses heat; positive, ocean gains heat) as a function of the atmospheric  
 306 CO<sub>2</sub> concentration in parts per million. Over the length of the run used in this study, the CO<sub>2</sub>  
 307 concentration increases from 275 ppm to 1225 ppm. Trends are averaged over all longitudes, hence  
 308 the low values; we indicate the regions of Figs 1 and 2 for comparison purposes only. As seen on Figs  
 309 1 and 2, mixed layer depths decrease globally. Stratification increases globally. The trends in wind are  
 310 too weak to significantly impact the MLD. The combination of changing MLD and sea ice  
 311 disappearance has region-specific effects on the heat flux – see Main text. See also the corresponding  
 312 maps in supplementary Figs 3 and 4.



314

315

316 **Figure 4 | The shoaling of mixed layer depths is self-reinforcing.** Allowing for a lag of up to 300  
 317 ppm (see Methods), maximum correlation between mixed layer depths (MLD) and heat flux into the  
 318 ocean (x-axis) or stratification (y-axis), with MLD coming first, where each symbol represents a  
 319 different region and each point a model with a significant correlation in that region. For each  
 320 quadrant, we reformulate these pairs of correlations in terms on their possible association with the  
 321 dramatic decrease in MLD showed by all previous figures.



## Online-only methods for

### “Global decline of deep water formation with increasing atmospheric CO<sub>2</sub>”

#### CMIP6 models:

We used 30 models that submitted their monthly output of:

- ocean salinity (‘so’);
- ocean potential temperature (‘theta’);
- sea ice volume per surface area (‘sivol’) or sea ice mass per surface area (‘simass’) or sea ice thickness and area fraction (‘sithick’ and ‘siconc’);
- and surface wind speed (‘sfcWind’) or eastward and northward components of the surface wind speed (‘uas’ and ‘vas’);

for the so-called 1pctCO<sub>2</sub> run of the Climate Model Intercomparison Project phase 6 (CMIP6<sup>1</sup>), listed in supplementary Table 1. We used one ensemble member, r1i1p1f1, as it was the only one for which all models had provided data. If available, we also obtained their mixed layer depth ‘mldst’; otherwise, we computed it as detailed later. The net heat flux into the ocean ‘hfds’ was obtained when available but not computed otherwise.

In the 1pctCO<sub>2</sub> run, the atmospheric CO<sub>2</sub> concentration increases by 1% every year over 150 years from its 1850 value of 275 ppm, reaching over 1200 ppm at the end<sup>1</sup>. As the accuracy of these models with respects to global deep water formation has recently been determined by ref <sup>2</sup>, we provide no such assessment here and instead concentrate on the relationship between deep water formation and rising atmospheric CO<sub>2</sub> concentrations, and link the results to projected CO<sub>2</sub> concentrations for the 21st century<sup>1,3</sup>. In the core of the manuscript, for clarity, we refer to the 1pctCO<sub>2</sub> run as “one percent CO<sub>2</sub>”.

#### The nine regions studied here:

As studies on previous generations of CMIPs have shown that CMIP models regularly exhibit deep water formation in the vicinity and/or over a larger area than in observations<sup>4,5,6,7</sup>, we base our region definition here on the multimodel maximum mixed layer depth, and name each region after its equivalent in observations. We obtained ten wide regions, where at least one CMIP6 model maximum MLD ever reaches more than 1000 m depth: the nine regions shown on Figs. 1 and 2, and the East Sea / Sea of Japan in the North Pacific. As this last region is connected to the rest of the world ocean by narrow straits shallower than 200 m depth, and to keep the overall story simple, we chose to exclude it from this study.



35 **Mixed layers and deep water formation:**

36 In the literature, one considers that deep water formation is occurring if the mixed layer depth (MLD)  
37 exceeds a critical depth, usually 1000 m<sup>8,9</sup> or even 2000 m in the Southern Ocean<sup>10</sup>. Such binary  
38 definition is problematic for two reasons:

- 39 1. what happens to the MLD after it becomes shallower than 1000 m is still interesting, as the  
40 maximum depth has large climatic impacts depending on the water mass that is reached;
- 41 2. several deep water masses are formed by cascading<sup>11</sup>, i.e. they do not require very deep mixed  
42 layers at one location.

43 Instead, we computed and present for each year and each region the maximum MLD with no  
44 threshold criterion. We also computed the yearly maximum mixed volume for each region as the sum  
45 of the mixed layer depth multiplied by the grid cell area for each grid cell of the region. By doing so,  
46 we can verify whether the region changes from a few grid cells with very deep MLD to a larger area  
47 with shallower MLD.

48 For robustness, we also computed the global volumes of deep and bottom water produced from the  
49 models' meridional ocean velocity 'vo'. Supplementary Figure 1 shows the Atlantic Meridional  
50 Overturning Circulation (AMOC) at 35°N as in refs <sup>2,12</sup> and the sum of the Southern Meridional  
51 Overturning Circulations at 30°S into the Atlantic, Indian and Pacific oceans as in ref <sup>2</sup>. We present  
52 these in Sverdrups, where 1 Sv = 1 million m<sup>3</sup> s<sup>-1</sup>. Finally, we computed the Atlantic Ocean and global  
53 meridional overturning streamfunctions in density coordinates and similarly obtained the volumes of  
54 North Atlantic Deep Water and Antarctic Bottom Water as the dense maxima north of 20°N and south  
55 of 60°S, respectively.

56 Note that in this manuscript, in line with previous publications on this topic<sup>4,6,9,10</sup>, we make no  
57 distinction between deep mixed layers, deep mixing and deep convection.

58

59 **Derived variables: volumes, stratification, and properties of the mixed layer**

60 For each model, for each month and each grid cell, we also computed:

- 61 – when 'mldst' was not available, the mixed layer depth using the same definition as for  
62 'mldst': the depth where  $\sigma_\theta$  differs by more than 0.125 kg m<sup>-3</sup> than that at 10 m depth, and  
63 where  $\sigma_\theta$  was obtained from 'so' and 'thetao';
- 64 – the sea ice volume in m<sup>3</sup>, defined as the sea ice volume per surface area 'sivol', multiplied by  
65 the grid cell area. If 'sivol' was not available, we either computed it from 'simass' by dividing  
66 it by the ice density used by CMIP6 (900 kg m<sup>-3</sup>), or by multiplying the sea ice thickness  
67 'sithick' by the sea ice concentration 'siconc';
- 68 – the potential temperature and salinity of the mixed layer, defined as the median from the  
69 ocean surface to the MLD of the ocean potential temperature 'thetao' and ocean salinity 'so'

70            respectively. Throughout the manuscript, we will refer to the potential temperature as “the  
71            temperature” only;

72            – the ocean stratification.

73            There are at least two definitions for the stratification in the literature: the difference in potential  
74            density between 1) the surface and 200 m depth<sup>13</sup>, or 2) the last depth level inside the mixed layer and  
75            the first level outside of it<sup>14</sup>. We computed both. For all the models and all the regions, both  
76            definitions yielded similar trends and similar correlations (not shown). For consistency among all the  
77            models, among all the regions, and throughout the run, but also to improve the readability, we chose  
78            to present only the stratification based on the fixed depth level of 200 m.

79            We computed region averages of these properties, the wind speed ‘sfcWind’ and the net heat flux  
80            ‘hfds’ using 1) only the individual grid cells where the maximum MLD over the entire run exceeds  
81            1000 m; and 2) all the grid cells in a region. Again, both options yielded similar results, but in order to  
82            present consistent comparisons between the models, regions and CO<sub>2</sub> concentrations, we show only  
83            the values obtained with option 2 (all the grid cells of a given region).

84

#### 85            **Trends:**

86            To determine the (potential) relationship between an increase in atmospheric CO<sub>2</sub> and changes in deep  
87            water formation, as well as relationships with the suspected drivers of deep water formation and its  
88            impact, linear and logarithmic trends as a function of the CO<sub>2</sub> concentration were determined for each  
89            parameter. The significance of each trend at the 95% confidence level was verified with both a  
90            Student’s t-test and Pearson correlations. We present the results of the second method only as the  
91            number of degrees of freedom for the Student’s t-test, i.e. possible autocorrelations within each  
92            parameter series, is model-, region- and even parameter-dependent. Finally, each trend was also  
93            visually validated.

94            We present only the linear trends for three reasons: 1) the linear and logarithmic trends are similar  
95            (not shown); 2) the values of the linear trends are more intuitive to understand than the logarithmic  
96            ones; 3) from visual comparison, the linear trends actually are conservative estimates of the dramatic  
97            declines.

98            In the core of the text, we present only the multi model average of the significant trends along with  
99            the models’ agreement regarding the sign of these trends. These averages are not weighted.

100

#### 101            **Lagged correlations:**

102            To try and find potential relationships between changes in deep water formation and changes in wind  
103            speed, sea ice volume, stratification or heat flux, we computed the lagged correlation between these  
104            parameters with a lag in time of up to 50 years both ways, and a lag in atmospheric CO<sub>2</sub> concentration  
105            of up to 300 ppm, which in both cases corresponds to roughly 1/3 of the signal length. Only  
106            correlations that are significant at the 95% level are considered.

107 **Data availability:**

108 The CMIP6 datasets analysed during the current study are publicly available online through the Earth  
109 System Grid Federation (ESGF). We mostly used the data made available on the Lawrence Livermore  
110 National Laboratory node: <https://esgf-node.llnl.gov>, occasionally completed by the Institut Pierre  
111 Simon Laplace node: <https://esgf-node.ipsl.upmc.fr>.

113 **Code availability:**

114 The codes written for the current study are available on request.

116 **References for the methods:**

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**Supplementary material for**  
**“Global decline of deep water formation with increasing atmospheric CO<sub>2</sub>”**

**Page 2:** Supplementary Table 1 | The 30 models used for this study.

**Page 3:** Supplementary Figure 1 | Deep water formation is at least halved.

**Page 4:** Supplementary Figure 2 | Winter sea ice has disappeared at the end of the simulation.

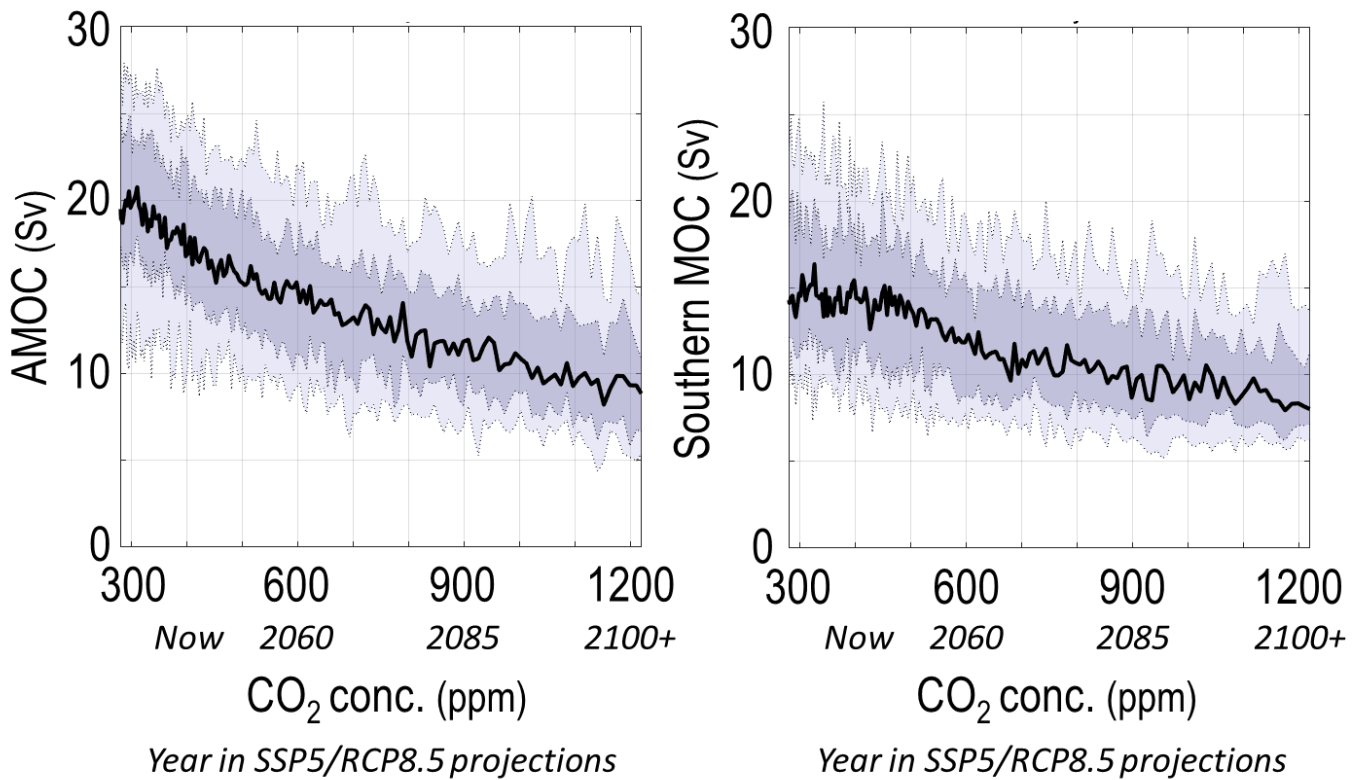
**Page 5:** Supplementary Figure 3 | In the North Atlantic, the trends depend on the region considered.

**Page 6:** Supplementary Figure 4 | In the Southern Ocean, the trends are mostly zonal.

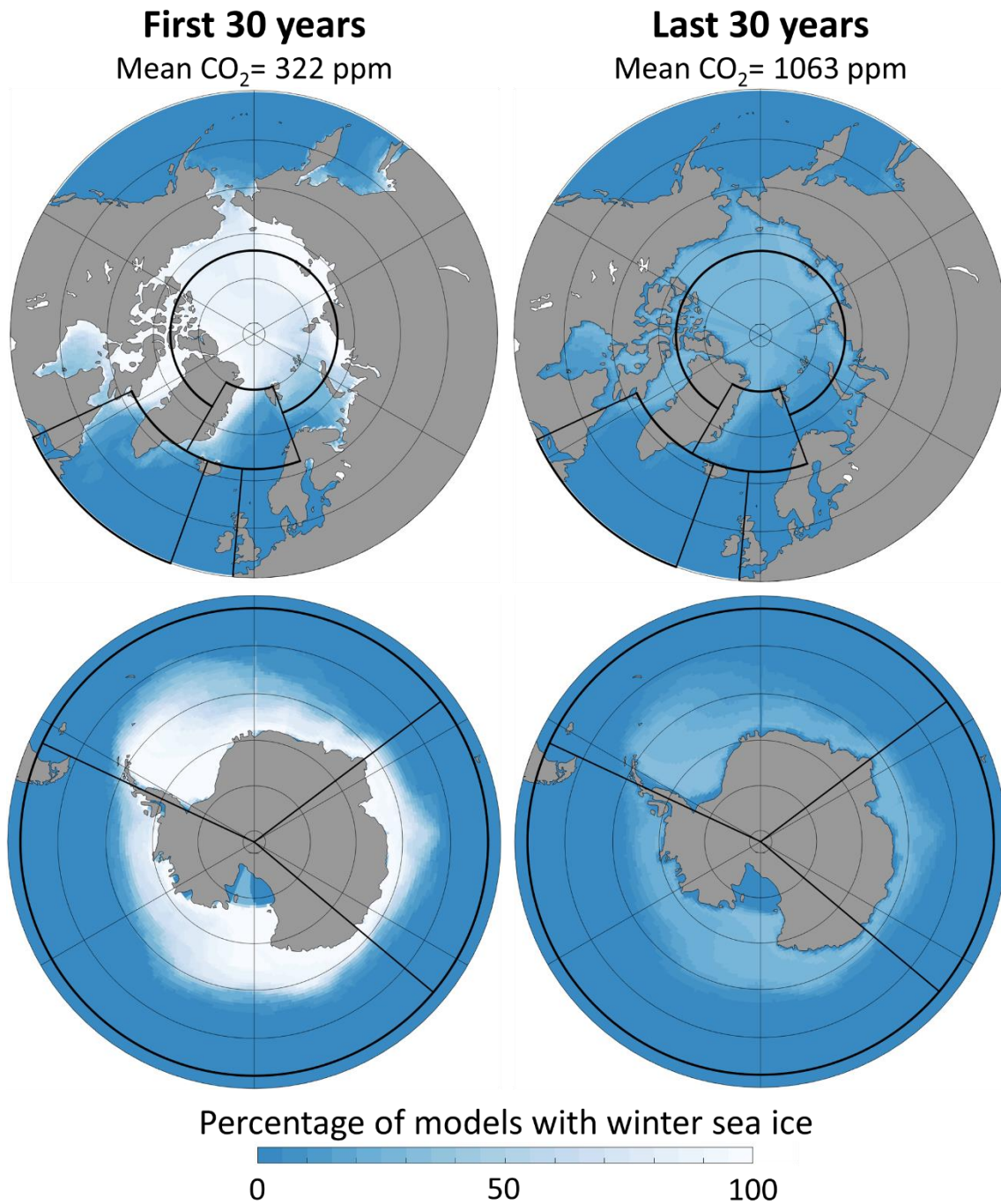
**Pages 7-9:** Supplementary references

Model name	MLD	Sea ice	Wind	hfds	Ref.
ACCESS-CM2	mlotst	sivol	sfcWind	x	N/A
ACCESS-ESM1-5	mlotst	sivol	sfcWind	x	1
BCC-CSM2-MR	mlotst	sivol	sfcWind		2
BCC-ESM1	mlotst	sivol	sfcWind		2
CAMS-CSM1-0	mlotst	sivol	sfcWind	x	3
CanESM5	mlotst	simass	sfcWind	x	4
CESM2	mlotst	sivol	sfcWind	x	5
CESM2-WACCM	mlotst	sivol	sfcWind	x	5
CMCC-CM2-SR5	mlotst	sivol	sfcWind	x	6
CNRM-CM6-1	mlotst	sivol	sfcWind	x	7
CNRM-ESM2-1	mlotst	sivol	sfcWind	x	8
EC-Earth3-Veg	mlotst	sivol	sfcWind	x	9
GFDL-CM4	we compute	sivol	sfcWind	x	10
GFDL-ESM4	we compute	sivol	sfcWind	x	N/A
GISS-E2-1-G	mlotst	sivol	sfcWind	x	11
GISS-E2-1-H	we compute	sivol	sfcWind	x	11
GISS-E2-2-G	mlotst	sivol	sfcWind	x	12
HadGEM3-GC31-LL	mlotst	sivol	sfcWind	x	13
IPSL-CM6A-LR	mlotst	sivol	sfcWind	x	14
MCM-UA-1-0	we compute	sithick	uas+vas	x	N/A
MIROC-ES2L	we compute	sithick+siconc	sfcWind		15
MIROC6	we compute	simass	sfcWind		16
MPI-ESM1-2-HR	mlotst	sivol	sfcWind	x	17
MPI-ESM1-2-LR	mlotst	sivol	sfcWind	x	18
MRI-ESM2-0	we compute	sivol	sfcWind	x	19
NESM3	mlotst	sithick+siconc	uas+vas	x	20
NorESM2-LM	mlotst	sivol	sfcWind	x	21
NorESM2-MM	mlotst	sivol	sfcWind	x	21
SAM0-UNICON	we compute	sivol	sfcWind	x	22
UKESM1-0-LL	mlotst	sivol	sfcWind	x	23

**Supplementary Table 1 | The 30 models used for this study.** For each model, we indicate their CMIP6 name; whether the mixed layer depth was available as the output 'mlotst' or we had to compute it from the ocean temperature and salinity; which sea ice output was available ('sivol' is the sea ice volume divided by cell area; 'simass', the mass; 'sithick', the thickness; and 'siconc', the concentration); which wind output was available ('sfcWind' the wind speed at the sea surface; 'uas' and 'vas' the sea surface zonal and meridional wind components respectively); whether the net heat flux output 'hfds' was available; and the corresponding reference when available, provided at the end of this document.



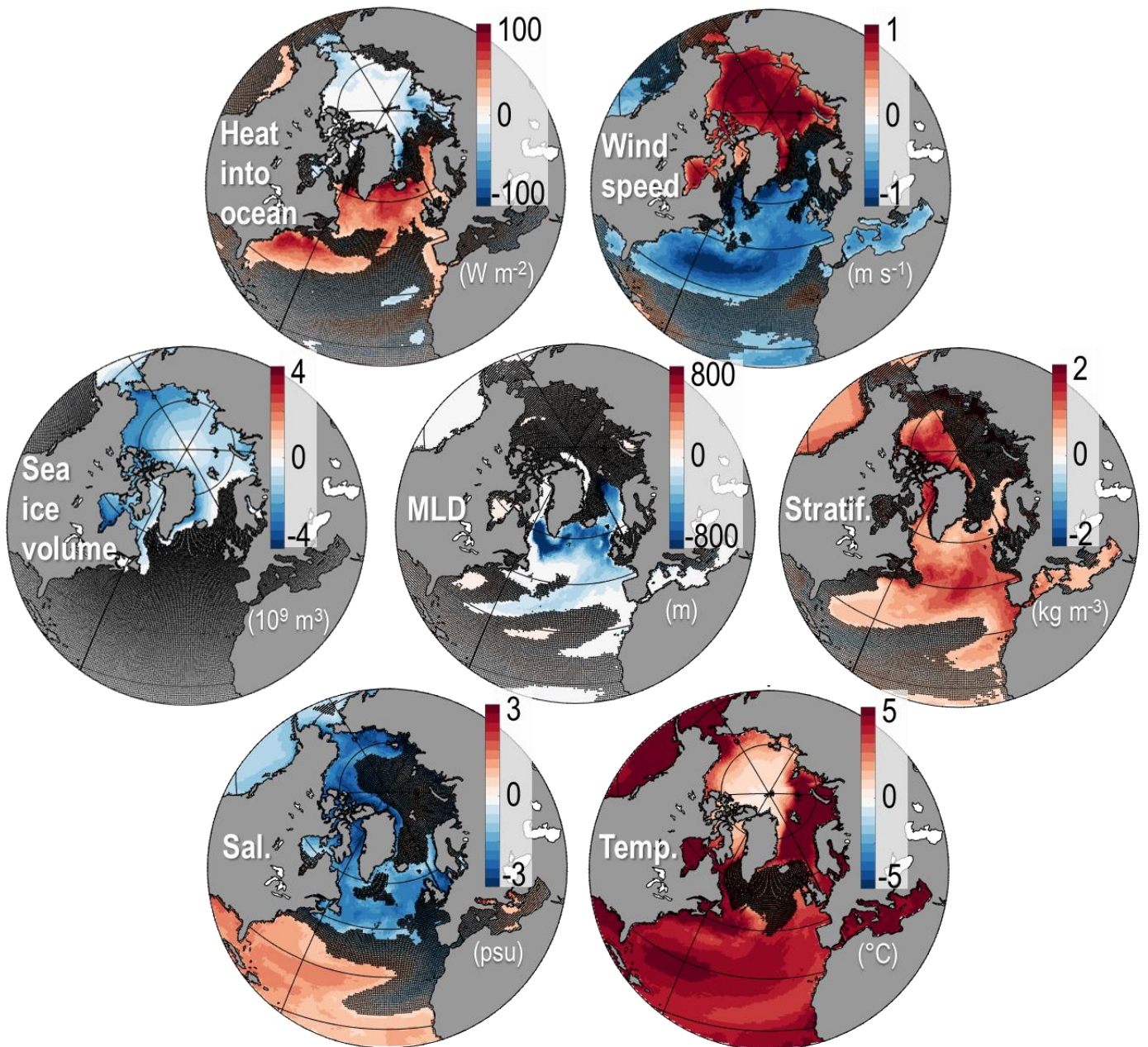
**Supplementary Figure 1 | Deep water formation is at least halved.** Weakening of the Atlantic Meridional Overturning Circulation (AMOC) at 35°N and the Southern MOC at 30°S (computed as in ref <sup>24</sup>, in Sverdrups where 1 Sv = 10<sup>6</sup> m<sup>3</sup> s<sup>-1</sup>) in response to increasing atmospheric CO<sub>2</sub> concentrations. These series are consistent with the strong decrease in mixed layers in both the North Atlantic and Southern Ocean regions (Figs 1 and 2). Similar results were obtained from the overturning streamfunction in density coordinates (not shown).



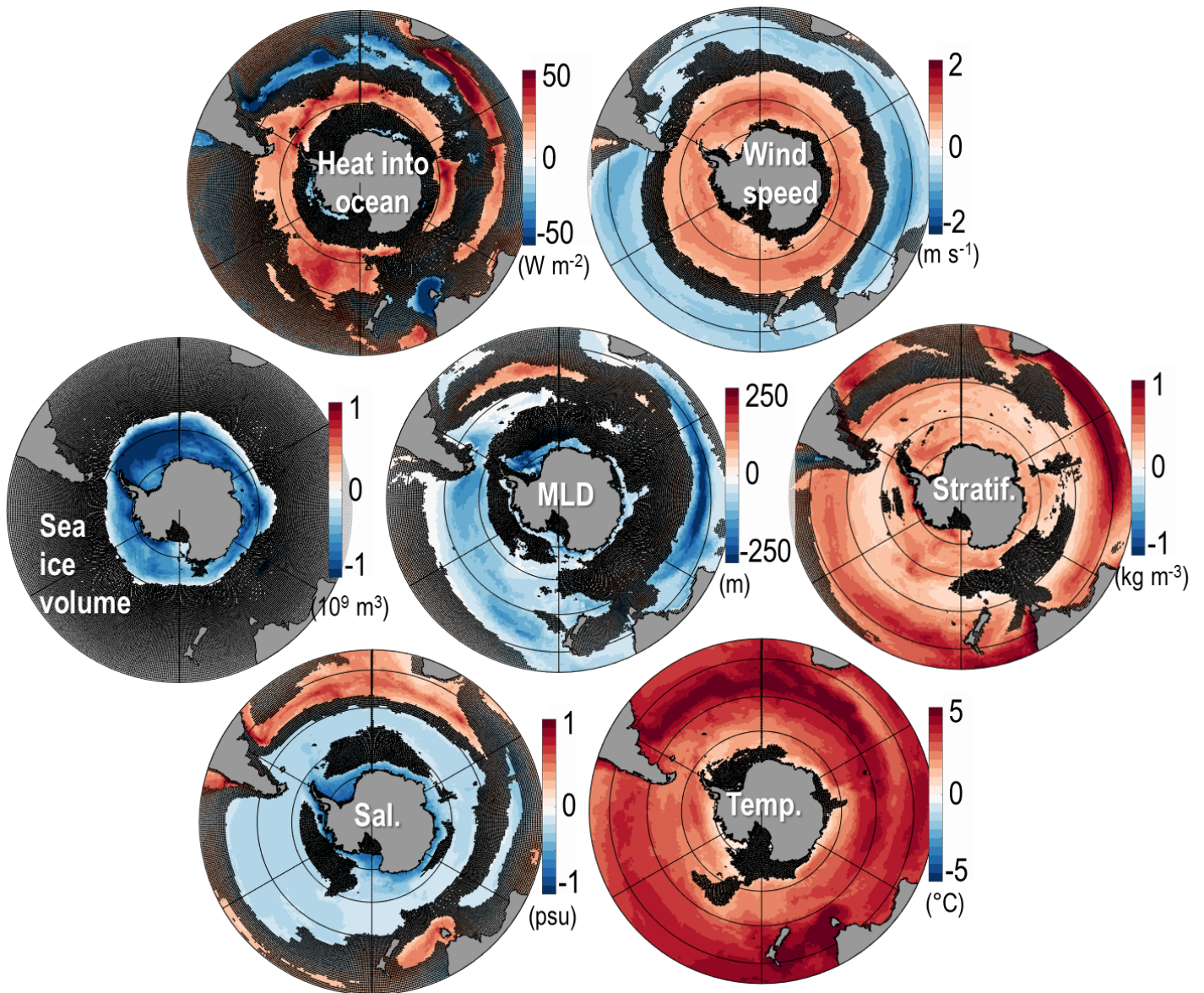
**Supplementary Figure 2 | Winter sea ice has disappeared at the end of the simulation.**

Percentage of models with a non-zero winter sea ice volume for each grid cell for the first thirty years of the one percent CO<sub>2</sub> simulation (left, mean of 322 ppm) and the last thirty years (right, mean of 1063 ppm) in the northern and southern hemispheres. Black lines are identical to those of Figs 1 and 2 and highlight the region definition. Most models have no more sea ice even in winter at high CO<sub>2</sub> levels (corresponding to the end of the 21<sup>st</sup> century).





**Supplementary Figure 3 | In the North Atlantic, the trends depend on the region considered.** Multimodel median linear trends in net heat flux into the ocean (positive means heat gained / less heat lost by the ocean), surface wind speed, sea ice volume, mixed layer depth (MLD), upper 200 m ocean stratification (Stratif.), and salinity (Sal.) and temperature (Temp.) of the mixed layer, as a function of the atmospheric CO<sub>2</sub> concentration in parts per million (ppm). Over the length of the run used in this study, the CO<sub>2</sub> concentration increases from 275 ppm to 1225 ppm, so as per Fig. 3 we here give the trend over 1000 ppm. Hatching indicates that less than 66% of the models agree on the sign of the trend. Heat flux, wind and temperature differ between the regions that are losing their ice and those that always were ice free. The salinity increases at low latitude but decreases elsewhere. MLD decreases and stratification increases overall.



**Supplementary Figure 4 | In the Southern Ocean, the trends are mostly zonal.** Same legend as supplementary Figure 3. In the Southern Ocean, trends are zonal and differ most on either side of the Polar Front, with an increase in heat and wind, and decrease in MLD and salinity south of it (roughly south of  $40^{\circ}\text{S}$ ), and a decrease in heat and wind but strong increase in stratification, salinity and temperature north of it. The lack of multimodel agreement close to the continent reflects the diversity of the models' locations of open ocean deep convection.

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