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2	The large-scale troughs on Asteroid 4 Vesta accommodate opening-mode
3	displacement
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7	Key Points:
8	• Our observations are inconsistent with the previously proposed fault origin of the troughs
9	and point to an opening-mode fracture origin.
10	• Rock mechanical calculations reveal that jointing is the favorable fracturing mode in at
11	least the upper ~14 km of Vesta's lithosphere.
12	• The topographic expressions of large-scale troughs on Vesta are caused by opening-mode
13	displacements from jointing.
14	Abstract

The Dawn mission at Asteroid 4 Vesta revealed two sets of enormous troughs, with the 15 Divalia Fossae spanning around two-thirds of the equator and the Saturnalia Fossae located in the 16 northern hemisphere. Based on their size and shape, these troughs were interpreted as grabens 17 formed by faulting. An opening-mode fracture origin, however, was heretofore not considered. To 18 19 distinguish between those origins, we investigate the map patterns, cross-sectional geometries, and 20 variations of relief and width along the trough lengths. Relief and width are meaningful measurements that directly relate to the vertical displacement of faults and aperture of joints, 21 22 respectively; thus, they may reveal differences in fracturing behavior. We map all major troughs

on Vesta, including four as Divalia Fossae and two as Saturnalia Fossae. No map patterns 23 diagnostic for faulting and jointing were identified. The troughs are bounded by scalloped rims 24 25 and mainly show bowl-shaped cross-sectional geometries. The variations of the relief of each pair of trough-bounding scarps show maxima off-center and at different locations along the trough they 26 bound. In contrast, variations in trough width have their maxima near the trough centers. These 27 28 characteristics are inconsistent with the mechanics of graben formation but are consistent with 29 jointing and thus point to an opening-mode fracture origin. Our rock-mechanical calculations 30 reveal that jointing is the favorable fracturing mode in at least the upper ~ 14 km of Vesta's 31 lithosphere, consistent with it being a low-gravity body. Therefore, the troughs must have accommodated opening-mode displacements from jointing, which has implications for other low-32 gravity bodies. 33

34 Plain Language Summary

35 The camera on the Dawn spacecraft captured two sets of large linear depressions, or 36 troughs, on asteroid Vesta. Previous studies suggested that these troughs are fault-bounded valleys with a distinct scarp on each side that together mark the down-drop (sliding) of a block of rock. 37 38 However, rock can also crack apart and form such troughs, an origin that has not been considered 39 before. Structures formed by sliding and cracking form different map patterns, have different morphologic expressions and are controlled by different stresses acting on the rock volume. 40 Although our observations do not display any diagnostic map patterns, the morphology of the 41 42 troughs is consistent with cracking, whereby the trough is the widest at the middle and narrows 43 towards the two ends. Our calculations also show that stresses are not favorable for sliding to occur 44 within the uppermost ~14 km of Vesta's rock volume, but instead, the physics shows that rocks there are favored to crack apart. Therefore, the formation of these troughs must involve the opening 45

46 of cracks, which is also important for understanding landforms on small planetary bodies47 elsewhere in the Solar System.

48 Keywords: planetary tectonics, Vesta, normal faulting, opening-mode fracture

49 **1. Introduction**

50

1.1. Vesta's tectonics

The Dawn mission (Russell and Raymond, 2011) explored Asteroid 4 Vesta and revealed 51 the presence of two sets of large-scale linear structures (Figure 1). The Divalia Fossae vary in 52 width from several hundreds of meters up to about 20.5 km, bounded by steep scarps, and 53 54 encircling about two-thirds of the asteroid. The Saturnalia Fossae are oriented northwest-southeast and show a difference in orientation from the Divalia Fossae of approximately 30°. They are 55 exposed only in the northern hemisphere with their southern extent truncated by the Divalia Fossae. 56 57 The photomosaics and digital terrain model derived from Dawn Framing Camera (FC) images (Sierks et al., 2011) allow for detailed mapping and structural analysis of these troughs. Poles of 58 59 vertical planes defined along the Divalia and Saturnalia Fossae are found to cluster near the center of the Rheasilvia and Veneneia impact basins at the south pole, respectively, which was interpreted 60 as evidence for an impact-induced origin of these troughs (Jaumann et al., 2012; Figure 1). 61

The Divalia Fossae are observed to be flat-floored and interpreted as grabens, formed by normal faulting, with vertical displacements in excess of 5 km (Buczkowski et al. 2012). Subsequently, multiple studies have interpreted Divalia Fossa and similar troughs on Vesta as grabens, half-grabens, and horst-graben structures (Ruesch et al., 2014; Schäfer et al., 2014; Scully et al., 2014; Yingst et al., 2014). Several modeling studies have considered the specific tectonic causes for the faulting, including numerical modeling to simulate the amount of deformation associated with the formation of the Rheasilvia basin (Bowling et al., 2013), and combining laboratory and numerical experiments to model subsurface failure as a consequence of oblique impacts into a spherical target (Stickle et al., 2015). However, the rheology and brittle strength of Vesta's lithosphere have not been evaluated, and other fracture types have not been considered to explain in the tectonics of the asteroid. In particular, opening-mode fracturing, such as jointing, is a ubiquitous fracturing behavior in the upper portion of the Earth's lithosphere, and it is unknown how important they are in the formation of the troughs.

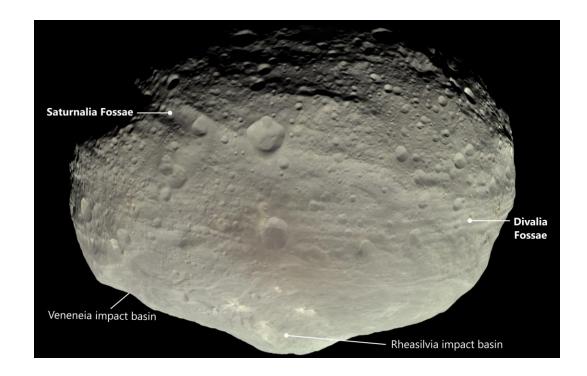


Figure 1. Image of Asteroid 4 Vesta, showing the locations of the Divalia and Saturnalia Fossae, and the Veneneia
and Rheasilvia impact basins. The image was captured by the NASA Dawn mission on 24 July 2011 with image
processing by Björn Jónsson. <1.5-column figure>

79

1.2. Normal faulting vs. Jointing

Brittle failure in an extensional tectonic regime, where the overburden pressure represents the maximum principal stress, occurs through the formation of joints or slip on normal faults. Joints are planar discontinuities in rock, where the fracture walls move perpendicularly apart from one another forming opening displacement (Pollard and Aydin, 1988), and thus they are considered opening-mode fractures along with veins and dikes. In contrast, faulting shows movement parallel to the fault plane, which is referred to as sliding-mode or tearing-mode fracturing.

Joints form when tensile stresses reach the tensile strength of the rock in a direction largely perpendicular to the fracture plane. Normal fault displacement is achieved by frictional sliding when the hanging wall, the rock mass above the fault plane, slips down relative to the footwall, the rock mass beneath the fault plane. Frictional sliding occurs only when all principal stress components are compressive, the maximum stress is oriented vertically and equal to the overburden pressure, and the minimum principal stress acts horizontally.

In a lithosphere under extension, joints propagating from the surface to depth reach a 93 94 critical depth, where they reactivate as normal faults when the overburden is large enough to shift 95 tensile stresses into the compressive regime. Such fractures possess opening-mode and slidingmode displacements, i.e., they are hybrid or mixed-mode fractures. Mixed-mode fractures are 96 commonly observed associated with large rift zones on Earth, such as the Almannagiá normal fault 97 98 at the Reykjaneshryggur-Langjökull rift system of southwest Iceland (Gudmundsson, 1992, 2011), 99 Koa'e fault system associated with the Kīlauea volcano rift zone in Hawaii (Holland et al, 2006), 100 and the Wonji Fault Belt associated with the Ethiopian Rift in East Africa (Acocella et al., 2003). For Earth's gravitational acceleration and a basaltic crust, the jointing-faulting transition occurs at 101

~800 meters depth (Gudmundsson, 2011). With lower gravitational accelerations, this transition 102 should occur much deeper in the lithospheres of small bodies, such as moons and asteroids. Hence, 103 opening-mode displacement should be an important attribute of structures formed in extensional 104 tectonic regimes on small bodies. However, opening-mode or mixed-mode fractures have not 105 attracted much attention in the planetary community. Establishing the fracturing mode when 106 107 interpreting brittle structures on planetary bodies is important because it dictates our interpretations 108 of the stress regime, as well as kinematics and mechanics of the tectonics responsible for forming 109 the structures.

110 Structures formed by jointing and normal faulting can be distinguished from one another by their map patterns, cross-sectional geometries, and displacement distribution, which all have 111 been widely studied in numerous fracturing systems on Earth and other planetary bodies. Normal 112 faults commonly appear as grabens, which are narrow, negative relief structures bounded by 113 114 oppositely dipping normal faults, with dip directions toward one another, that create a down-115 dropped block in the center (Melosh and Williams Jr, 1989; Schultz et al., 2007; Fossen, 2016). Grabens display a wide range of map patterns, some of which are diagnostic for normal faulting 116 and are found on many different planetary bodies. They generally have straight to arcuate bounding 117 118 scarps and are commonly segmented, showing en échelon patterns and transfer zones between overstepping segments, also referred to as relay ramps (Peacock and Sanderson, 1994; Crider and 119 120 Pollard, 1998). Grabens may also involve multiple faulted borders and floors, which is analogous 121 to those observed in complex terrestrial rift systems (Hauber and Kronberg, 2005). In some cases, 122 pit crater chains, which are connected circular depressions that form by the collapse of material into subsurface voids, are found aligned with or superimposed within graben, such as in those 123 documented in detail in Hawaii (Okubo and Martel., 1998) and on Mars (Wyrick et al., 2004). In 124

terms of displacement, their maxima are typically centrally located along the faults tapering to zero
at the fault tips (e.g., Dawers et al., 1993). Displacements scale positively and linearly with fault
length (Schultz et al., 2006). In cross-sectional view, grabens typically appear as flat-floored
depressions, bounded by two (or more) scarps facing one another.

In contrast, joints can appear as the straight trace of a continuous single crack or segmented 129 130 and discontinuous en échelon traces of subparallel small segments in map view. Although joints 131 can occur as isolated structures, they commonly occur as sets of parallel joints. Closely spaced joints may interact with each other forming hook-shaped linkages (Pollard and Aydin, 1988). Joint 132 133 displacement, commonly referred to as aperture, is found to reach its maximum in the center of 134 the structure, tapering out symmetrically toward the tips (Vermilye and Scholz, 1995). In map view, this may appear as wide troughs that narrow toward the tips. The maximum aperture scales 135 positively and sublinearly with length (Olson, 2003). In contrast to a graben, a vertical joint can 136 137 be expected to appear as a narrow V shape in cross-section, but it can be degraded due to slope 138 instability to appear as a wide bowl or as a V-shape by secondary infilling of collapsed materials.

In this paper, we first investigate the map patterns, cross-sectional geometries, and 139 140 morphological variations of the large-scale troughs in detail to assess whether they are openingor sliding-mode fractures. Based on the previously published interior constitution and thermal 141 evolution models, we derive strength-depth profiles to characterize the rheologic structure of 142 143 Vesta's lithosphere and determine the predicted fracturing behavior in its brittle regime. Knowledge of how these large-scale structures form has implications for the tectonics and 144 145 fracturing behavior for Vesta and can also be applied to other low-gravity planetary bodies (i.e., 146 moons and asteroids).

2. Trough map patterns

We use Dawn FC images with a resolution of 60 m/pixel and the ~93 m/pixel digital terrain 148 149 model (DTM) as a basis for structural mapping. The DTM is based on the shape model of Vesta 150 derived from FC images, and this terrain model has a vertical accuracy of about 6 m (Preusker et 151 al., 2014). The two large sets of troughs and their related landforms, the bounding scarps and pit 152 crater chains, are included in our mapping. For our structural mapping, we use ESRI's ArcGIS 153 software to create hillshade images with different illumination conditions and draw topographic 154 cross-sections at ~5 km intervals across the troughs. Trough-bounding scarps are defined where a 155 sharp surface break is observed on the topographic profiles, which were traced on the hillshade 156 images. Structures identified as *certain troughs* are mapped where negative topography is bounded 157 by two facing scarps. Long depressions with only a single bounding scarp are mapped as *inferred* troughs. Troughs of any category were grouped into one structure where multiple troughs were 158 159 aligned and separated by only one or several impact craters. Pit craters and chains of pit craters are 160 included in our mapping, as they are aligned with the Divalia Fossae (Buczkowski et al., 2012; Jaumann et al., 2012) and are commonly in association with grabens on other planetary bodies 161 162 (Wyrick et al., 2004). They are mapped where there is a series of at least three aligned circular to 163 elliptical, steep-sided depressions that lack diagnostic features for impact craters or volcanic pits, including elevated rims, ejecta deposits, or lava flows. 164

Previous work mapped 86 and 7 trough lineaments of Divalia and Saturnalia Fossae, respectively. (Jaumann et al., 2012; Yingst et al., 2014), and those analyses did not consider that some of the troughs are part of the same structures. Based on our mapping criteria, we identified 55 individual trough lineaments comprising 155 bounding scarps as well as 30 pit crater chains (Figure 2). The structural map is included as shapefiles in the supplementary materials. Of the 55

troughs, 36 are classified as certain and 19 as inferred. Among those, 30 certain and 9 inferred 170 troughs form six main structures. We assigned each of them a number increasing from south to 171 172 north for further analysis and presentation. The Divalia Fossae consists of closely spaced E-W striking troughs 1 to 4, with lengths of 335-815 km and widths up to 20.5 km. Trough 1 consists 173 of at least three segments separated far apart by long inferred trough lineaments, while troughs 2 174 175 to 4 consist of certain trough lineaments superposed by few impact craters. Troughs 5 and 6 are 176 part of the Saturnalia Fossae. Trough 6 is named Saturnalia Fossa. The southern extensions of the 177 Saturnalia Fossae are truncated by the Divalia Fossae, therefore their true lengths are not preserved. 178 All troughs described are mapped as being isolated, continuous, and subparallel within their own sets (Figure 2). 179

Map patterns diagnostic for faulting, such as en échelon segmentation, relay ramps, or 180 multiple faulted borders and floors (nested graben) were not identified for any of the structures on 181 182 Vesta. Instead, trough-bounding scarps are consistently scalloped (Figure 3a). The rims are too 183 scalloped or too degraded to be interpreted as normal faults. In contrast, Matronalia Rupes and other scarps that form the rim of the Rheasilvia impact basin, which is proposed to be coeval to 184 185 the Divalia Fossae, appear remarkably fresh. Map patterns diagnostic for jointing, such as hook-186 shaped linkages and en échelon traces of subparallel openings, are also not readily apparent. Instead, we find that degradation and slope instability may have produced the current map 187 188 characteristics of the troughs.

Consistent with previous studies (Buczkowski et al., 2012; Jaumann et al., 2012), our mapped pit crater chains are aligned with the Divalia Fossae (Figure 2 and 3). Generally, pit crater chains are located on the floors of planetary grabens (Wyrick et al., 2004), but on Vesta, none of them are found to occur within a trough, i.e., in between the two bounding scarps. Pit crater chains

on Vesta vary widely in map pattern, but their full number and extent are unclear due to the 193 superposition of impact craters. Only trough 1 shows a direct transition from the trough into a pit 194 crater chain (Figure 3a). This trough narrows and terminates in a pit crater chain, and smaller pits 195 align with the trough farther away from the termination of the trough. One completely preserved 196 pit crater chain has larger pits in the middle and smaller pits at the two ends of the chain (Figure 197 198 3b). Following these map observations, the structures possibly represent multiple stages of trough formation where the scalloped edges of the troughs may be coalesced pits forming from collapsed 199 openings and unstable slopes. That pits are larger towards the center of the chain indicates the 200 201 opening-mode displacement profile of a joint. Therefore, the large-scale troughs could be coalesced pits that formed from collapsed joints. 202

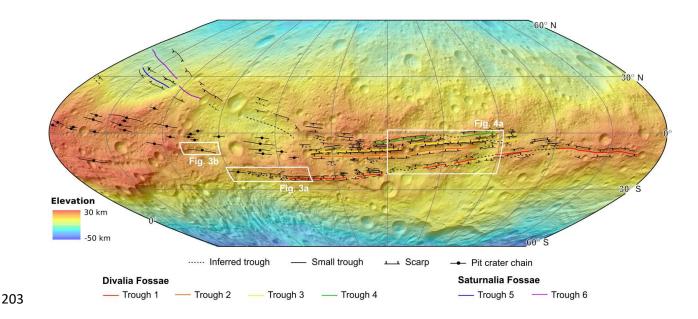
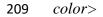


Figure 2. Digital terrain model of Vesta overlain on hillshade showing a structural map of largescale troughs and pit crater chains. The map is displayed in sinusoidal projection extending
between latitudes 60°N to 60°S and longitudes 0° to 30°W. Refer to text for the definition of
structural map units. Locations and geographic extents of subsequent maps are indicated by white

208 boxes. The reference elevation is defined to be the mean planetary radius of 262 km. <2 columns,



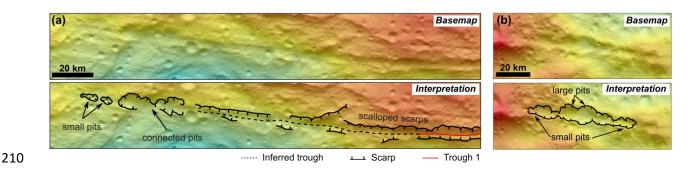


Figure 3. Base map (top) and structural interpretations (bottom) of scalloped scarps and pits and pit crater chains. (a) Trough 1 terminates into coalesced pits also showing smaller pits aligned with and beyond the termination of the trough. (b) A pit-crater chain with larger pits in the middle and smaller pits at the ends. Refer to Figure 2 for the location on Vesta. <2 column, color>

216 **3. Trough geomorphology**

Previous work showed that the troughs display a classic flat-floored shape with both walls 217 having a similar slope, which led to an interpretation for the structures as grabens (Buczkowski et 218 al., 2012). Based on that interpretation, Buczkowski et al. (2012) measured the topographic 219 differences between the rim and floor of the Divalia and Saturnalia Fossae at several locations and 220 221 related them to the vertical displacement component of graben-bounding normal faults. Here, we extract and analyze 233 topographic profiles at spacings of 5 km across six large troughs, to further 222 examine the cross-sectional geometries, relief, and width variations along the troughs (see 223 224 supplementary material).

3.1. Cross-sectional trough geometries

226 Cross-sectional shapes for the six troughs were assessed along the 233 extracted profiles. 227 The majority of profiles contain more than one trough, such that 392 individual trough geometries 228 were analyzed. All profiles were examined for topographic changes between the trough rims to identify whether the cross-sectional trough geometry is flat-floored, i.e., no major topographic 229 230 changes in the center of the trough, or whether a different geometry is observed. Trough geometries 231 lacking distinctive rims or superposed by impact craters are classified as inconclusive. One 232 representative profile of the Divalia Fossae is shown in Figure 4a, which shows four troughs with 233 troughs 1, 2, and 3 displaying a clear bowl shape, and trough 4 having a V-shape. Among all assessed topographic profiles, 207 of our 260 conclusive troughs are not flat-floored; instead, 176 234 235 are bowl-shaped, and 31 of them are V-shaped. These findings show that the vast majority of 236 troughs do not show the cross-sectional geomorphology that is typical for graben. Rima Ariadaeus on the Moon (Figure 4b) and grabens and horsts on the northeast flank of Alba Patera on Mars 237 238 (Figure 4c) are examples of typical landforms cause by normal faulting, displaying flat-floored 239 cross-sectional geometries of single of nested graben arrays, respectively.

(a) Divalia Fossae on Vesta

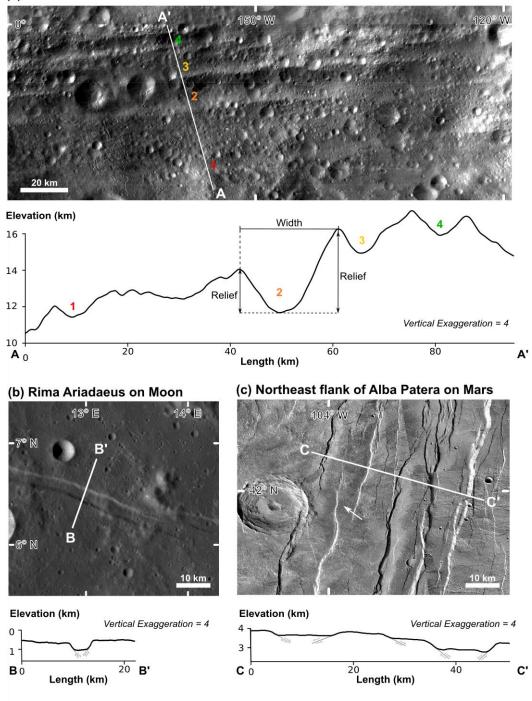




Figure 4. Typical topographic expressions of troughs on Vesta compared with grabens on Moon
and Mars. (a) Dawn FC images and topographic profile A-A' of the Divalia Fossae. Refer to
Figure 2 for the location on Vesta. The profile shows the geometries of four major troughs part of

Divalia Fossae. The trough width and relief of two rims are labeled for trough 2. (b) Lunar 244 Reconnaissance Orbiter Camera image (Robinson et al., 2010) with a resolution of 73.24 m/pixel 245 and topographic profile B-B' of Rima Ariadaeus on the Moon. The elevation data is based on 246 High-resolution Lunar Topography (SLDEM2015; Barker et al., 2016) with a vertical resolution 247 of ~10 cm and horizontal resolution of ~60 m/pixel. An en-echelon stepover is observed at the 248 249 eastern end of the graben. (c) Mars Reconnaissance Orbiter Context Camera (CTX; Malin et al., 2007) image with a resolution of ~5 m/pixel and topographic profile C-C' of northeast flank of 250 Alba Patera on Mars. The elevation data is based on the High Resolution Stereo Camera of Mars 251 252 Express (image h0068_0009; Gwinner et al., 2009) with a grid spacing of 125 m, and a vertical accuracy of ~20 m. Normal fault structures are observed, including fault segments forming a relay 253 ramp on the western graben (white arrow) and multiple faulted borders at the western rim of the 254 255 eastern graben. The horizontal scale, vertical scale, and vertical exaggeration are the same for the three topographic profiles. <1.5 column, color> 256

257

- **3.2. Shape variations along troughs**
- 259 **3.2.1. Trough reliefs**

Buczkowski et al. (2012) analyzed the topographic differences between the trough floor and rim of Divalia and Saturnalia Fossae and related them to the vertical displacements of faults forming grabens. We expand upon this analysis by assessing six troughs also including Divalia and Saturnalia Fossae but with a denser sampling of the topographic data (supplementary material). Structural reliefs for the trough-bounding scarps for each major trough are assessed at 5 km intervals. The shortest trough, trough 5, is 115 km long and includes 24 measurements, whereas

the longest trough, trough 1, with a length of 835 km, has 168 measurements per trough-bounding 266 scarp. The reliefs of scarps are determined by the maximum elevation differences between the 267 268 trough floor and rims (Figure 4). The measured values are plotted along the normalized length of map trace for comparison of maximum reliefs of the two trough-bounding scarps to one another 269 270 and with respect to the center of the trough length (Figure 5). A graben origin predicts the vertical 271 displacements of the bounding faults, represented here by scarp reliefs, to have their maxima 272 located centrally along the length of the trough and tapered to zero toward the tips. The shapes of 273 the two profiles per trough, one for each of the trough-bounding scarps, are expected to mimic 274 each other, considering that no fault segmentation and linkages are observed. As the southern portion of the Saturnalia Fossae is no longer preserved, their true maxima and location along the 275 trough cannot be determined; nonetheless, we analyze them to investigate if part of the relief 276 distribution profile shows characteristics typical for faults. 277

The two relief distributions for each of the six troughs are displayed in Figure 5. Trough 1 278 279 is separated into three segments by long muted troughs far from each other, which makes it difficult 280 to determine if they belong to a single structure or multiple structures; the maximum reliefs are 281 marked for each of its segments. In total, the length of trough 1 is 835km, and its maximum reliefs 282 of the northern and southern rims are 4.74 km and 2.78 km, respectively. Trough 2, Divalia Fossa, is 400 km long with maximum reliefs of 5.73 km on the northern rim and 2.96 km on the southern 283 284 rim. Its northern rim has a large relief among the Divalia Fossae, consistent with the finding by 285 Buczkowski et al. (2012). Trough 3 is 495 km long with maximum reliefs of 2.92 km and 2.82 km 286 on the northern and southern rims, respectively. Trough 4 is 345 km long with maximum reliefs of 3.22 km and 2.68 km on the northern and southern rims, respectively. Among the 8 distributions 287 of the Divalia Fossae, only the northern rim of trough 2 and southern rim of trough 3 display a 288

peaked relief distribution with a maximum relief near the center along the length of the trough and tapering toward the tips (Figure 5a), whereas the other 6 profiles show maximum reliefs located off-center or displaying multiple peaks. Our results for Divalia Fossa are also consistent with the relief distribution presented in Buczkowski et al. (2012). In addition, none of the four troughs in Divalia Fossae show symmetrical shapes and the relief distributions of the two trough-bounding scarps are dissimilar (Figure 5a).

For the Saturnalia Fossae, the traceable length of trough 5 is 115 km with the maxima of 1.29 km and 3.99 km on the two scarps, whereas the traceable length of trough 6 is 275 km and its maxima of structural relief are 4.20 km and 6.89 km. Similar to those on the Divalia Fossae, the maxima relief of the two trough-bounding scarps are not found at the same location along the distribution profiles if Saturnalia Fossae (Figure 5b).

In summary, only one of 12 relief distributions shows a maximum in its center. In addition, none of the troughs have relief distributions where both trough-bounding scarps have similar shapes, making the troughs highly asymmetric in cross section. These findings are atypical for normal faulting and thus are not consistent with the interpretation of these landforms as grabens.

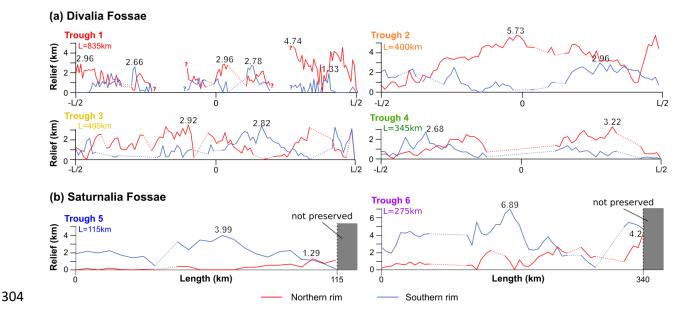


Figure 5. Relief distributions of all analyzed troughs. The reliefs of (a) Divalia Fossae are plotted along the location of the trough normalized over total trough length, L. The numerical values of maxima (in km) are labeled at their locations long the trace. Scarps bounding troughs on the north are plotted in red, and southern scarps are plotted in blue. Note that the southern extent of the Saturnalia Fossae (b) is truncated by the Divalia Fossae, and thus their total length and maximum relief are unknown. Their reliefs are plotted against the traceable length in km. <2 columns, color>

313 **3.2.2.** Trough widths

As with faults, opening-mode fractures typically have displacement distribution profiles with centrally located maxima that taper to zero at the fracture tips. As the mode of displacement differs, the aperture is formed perpendicular to the fracture surface and related to the trough widths. Trough widths are defined by the horizontal distance between the elevated portions of the two trough-bounding scarps measured perpendicular across the trough (Figure 4). We extract width measurements from the previously extracted topographic sections (supplementary material) across each of the previously analyzed troughs and plot them along the length of the trough set from west to east (Figure 6). The distributions are analyzed for the location of maxima. These individual profiles are summed to identify the cumulative widths for each of the two trough sets to explore their potential total opening-mode displacement.

324 The width profiles for each of the four troughs within the Divalia Fossae are displayed in Figure 6a, and the cumulative width profile is shown in Figure 6b. As with our relief analysis, 325 trough 1 (Figure 6a) has three segments, and it is difficult to determine if it is a single structure or 326 327 multiple structures. We have marked the maximum reliefs for each of its segments. The profile 328 indicates that trough 1 generally widens toward the east with the widest measurement of 20.4 km 329 occurring near the eastern tip. Troughs 2, 3, and 4 have maximum widths of 20.5 km, 15.8 km, and 17.3 km, respectively. The width maxima of troughs 2 to 4 occur at a similar longitudinal 330 331 position on Vesta, centrally located along the length extent of the trough set (Figure 6a). These 332 maxima are all skewed toward the east. The distribution of cumulative width summed across the strike of the Divalia Fossae shows a ~800 km long profile with one general maximum of 60.8 km 333 at the center of the overall length trace, tapering toward the tips (Figure 6b). 334

For the Saturnalia Fossae, the preserved portions show that troughs 5 and 6 have a maximum width of 18.9 and 42.0 km, respectively (Figure 6c). As an unknown portion of the southern extent of this trough set is not preserved, the full extent of their width distribution cannot be determined. Hence, the relative location of their maxima along their full length and profile skewness is undetermined. The cumulative width distribution of the Saturnalia Fossae shows a ~280 km long profile with one maximum of 56.2 km near 110 km, tapering towards the western end while the eastern end is not preserved (Figure 6d). The systematic changes and shapes of the

individual and cumulative profiles for both trough sets are consistent with the mechanics ofopening-mode fractures, and we thus consider them as support of an opening-mode fracture origin.

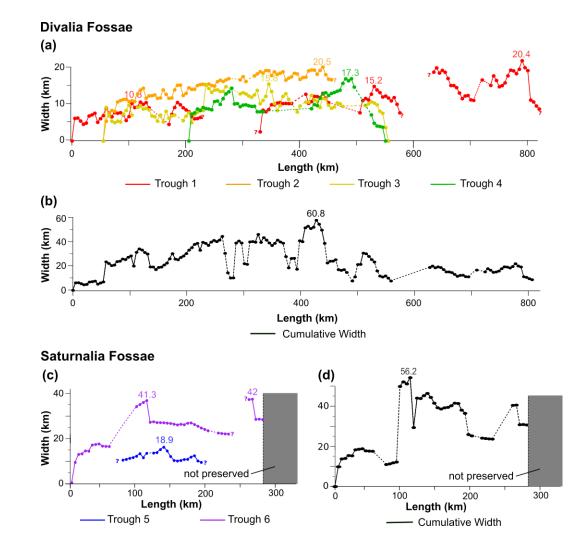


Figure 6. Width distributions of all analyzed troughs. (a) Individual and (b) cumulative width profiles of the Divalia Fossae of troughs 1 to 4. (c) Individual and (d) cumulative width profiles of the Saturnalia Fossae of trough 5 and 6. The numeric values of width maxima are labeled (in km) at their locations long the trace. Note that the true extent of the Saturnalia Fossae (b) is not preserved and thus the true length and maximum width are unknown. <1.5 column, color>

350

4. Lithospheric strength and fracturing behavior

Strength-depth profiles are used to characterize the rheologic structure of Vesta's 352 353 lithosphere and determine the predicted fracturing behavior in its brittle regime. On a low-gravity 354 body, such as Vesta, the thickness of the total lithosphere, the gravitational acceleration-dependent lithostatic pressure-depth function, and the transition from brittle to ductile behavior are all 355 356 important factors that need to be accounted for when assessing large fractures. Thus, the first step is to derive the gravitational acceleration profile for Vesta based on previously published interior 357 358 models. Vesta is a differentiated asteroid, and previous studies assessed the thicknesses, 359 compositions, and densities of core, mantle, and crust using geophysical and spectral data from the Dawn mission and howardite-eucrite-diogenite (HED) meteorites, for which Vesta is widely 360 believed to be the parent body. Vesta has an iron core with a radius of ~108 km and density of 361 ~7850 kg m⁻³ (Ermakov et al., 2014; Russell et al., 2012; Ruzicka et al., 1997), a ~118 km thick 362 (Ermakov et al., 2014) olivine-rich mantle with a density of ~3400 kg m⁻³ (Russell et al., 2012; 363 Ruzicka et al., 1997; Zuber et al., 2011), and a ~36 km thick basaltic crust with a density of 2900 364 kg m⁻³ (Russell et al., 2012; Ruzicka et al., 1997; Zuber et al., 2011). Using this three-layer interior 365 structure model (Figure 6a), we calculate the gravity acceleration profile of Vesta using: 366

$$\frac{\delta g}{\delta r} = 4\pi G \rho_r r - 2\frac{g}{r},\tag{1}$$

where *g* is gravitational acceleration, *r* is the radius of the body, *G* is the gravitational constant, and ρ_r is local material density. Our calculated surface gravitational acceleration is 0.26 m/s² (Figure 7b) and is consistent with the values measured by the Dawn spacecraft, which range from 0.23 to 0.27 m/s² (Ermakov et al., 2014). The acceleration due to gravity gradually decreases with depth to the lower mantle at ~120 km, where it increases until it reaches the core-mantle boundary. The gravity acceleration then drops linearly to zero at the center of the core (Figure 7b). The result is consistent with the calculation by Stickle et al. (2015).

375 Next, we utilize our calculated gravitational acceleration profile to assess how overburden
376 pressure, *P*, changes with depth, *z*, as given by:

$$P(z) = -\rho_z z g. \tag{2}$$

The resulting pressure profile is shown in Figure 7c. Overburden pressures increases with depth in a roughly linear fashion from 0 MPa at the surface to ~120 MPa at the core-mantle boundary and then increases more rapidly in the core.

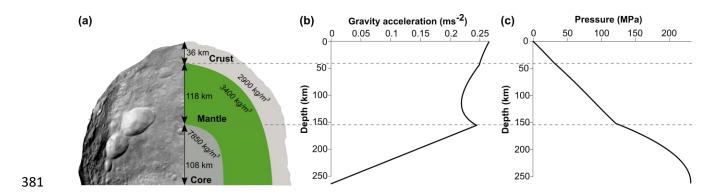


Figure 7. (a) A three layer-model of Vesta's interior was used to compute gravitational acceleration-, and pressure-depth profiles. (b) Gravitational acceleration-depth profile. (c) Pressure-depth profile. Dashed lines across the three diagrams indicate crust-mantle and mantlecore boundaries. <2 columns, color>

386

The overburden pressure plays an important role in defining lithospheric strength. Lithospheres are controlled by brittle and ductile properties of their constitutive rock, and their strength is determined by the weakest rheology at a certain depth for a given stress. The upper portion of a solid surface body with low temperature and confining pressure is controlled by brittle behavior (Byerlee, 1978) with the strength increasing linearly with depth, independent of the rock type and surface condition (Byerlee, 1978). We follow the geologic sign convention in our calculations, where tensile stresses are negative and compressive stresses are positive. The rock strength can be determined by the equations:

395
$$\frac{\sigma_1}{\sigma_3} = \frac{S_v}{S_h} = (\sqrt{\mu^2 + 1} + \mu)^2 \text{ for extension}$$
(3)

396 and

397
$$\frac{\sigma_1}{\sigma_3} = \frac{s_H}{s_v} = (\sqrt{\mu^2 + 1} + \mu)^2 \text{ for shortening,}$$
(4)

assuming that *P* in Equation (2) corresponds to either the maximum (σ_1) or minimum (σ_3) principal stress component with zero pore fluid pressure, where S_v is the vertical stress, S_h and S_H are the minimum and maximum horizontal stresses, respectively. The coefficient of friction, μ , is a measure of the amount of friction existing between two sliding surfaces, and it can be determined by analyses of the orientation of surfaces along which gravity sliding takes place on natural rock surfaces. We consider a value of $\mu = 0.6$ for crust and mantle, which is a good representation of rock regardless of the rock type (Byerlee, 1978).

In the lower hotter portions of the lithosphere, ductile behavior dominates by several microstructural deformation mechanisms, which are combined under the term *creep*. Here, the strength sharply decreases with increasing temperature and is defined by a thermally activated power law (Burov and Diament, 1992; Mackwell et al., 1990; Ranalli and Murphy, 1987):

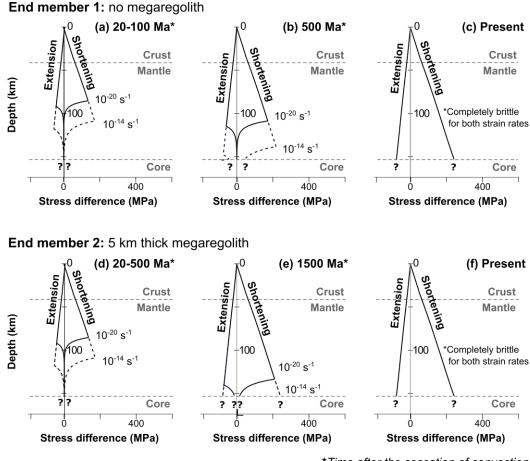
409
$$(\sigma_1 - \sigma_3)_d = \sqrt[n]{\frac{\epsilon}{A}} \exp\left(\frac{Q}{n_{RT}}\right), \tag{5}$$

where $\dot{\epsilon}$ is the strain rate, A and n are material constants, Q is the activation energy of creep, R is 410 the gas constant (8.31447 J mol⁻¹ K⁻¹). T is temperature. For our calculations we consider strain 411 rates bracketed by 10^{-14} and 10^{-20} s⁻¹. The faster strain rate of 10^{-14} s⁻¹ represents active 412 deformation, such as at orogenic belts (Pfiffner and Ramsay, 1982), whereas the slower strain rate 413 of 10⁻²⁰ s⁻¹ is representative of deformation found on one-plate, stagnant lid bodies, such as 414 Mercury (Crane and Klimczak, 2017), which is one to two orders of magnitude slower than that 415 found at intra-plate continental tectonic settings (Gordon, 1998). For creep parameters, we take 416 the widely-used properties of Maryland diabase (n = 3, $A = 6.3 \times 10^{-2}$ MPa⁻ⁿs⁻¹, Q = 276 kJ mol⁻¹) 417 for the crust (Caristan, 1982), and dry olivine (n = 3, $A = 1 \times 10^4$ MPa⁻ⁿs⁻¹, Q = 510 kJ mol⁻¹) for 418 the mantle (Goetze and Evans, 1979). We apply the simulated thermal evolution from Fu et al. 419 (2014), which considered three time steps for each of their two end-member models: one with no 420 megaregolith and the other with a 5-km-thick megaregolith layer. 421

422 We calculated the possible lithospheric structures of Vesta (Figure 8) for the end-member cases of no megaregolith (top row) and with megaregolith layer (bottom row) at different times in 423 Vesta's thermal evolution (Fu et al., 2014). The ages refer to time after the cessation of convection, 424 which has been estimated to be short (<10 Ma) after asteroid accretion (Sterenborg and Crowley, 425 2013). All strength envelopes are plotted for the crust and mantle with a total thickness of 154 km. 426 427 Strength in the core is not calculated as thermal structure and other necessary material properties are unknown. Any brittle behavior in the core, if present, especially with present thermal 428 conditions, should mimic the shape of the curve of the overburden pressure profile (Figure 6c). 429

Our results of Vesta's lithospheric structure indicate that the crust and upper mantle to
~100 km depth are entirely brittle for every combination of our parameter space, while the lower
mantle is in the ductile regime only early in Vesta's history and only the lower bracket of

433 considered strain rates. For the case without megaregolith, the brittle-ductile transition (BDT) 434 occurs at a depth of ~100 km at 20–100 Ma (Figure 8a). At 500 Ma, the BDT migrated deeper to ~110 km for a lower strain rate and ~135 km for a higher strain rate. (Figure 8b). At present, crust 435 436 and mantle are completely brittle for our considered strain rates (Figure 8c). For the case with 437 megaregolith, this 5-km-thick layer slows the cooling process of the asteroid by its low thermal conductivity. In Vesta's early history, the strength envelopes with insulating megaregolith layer 438 (Figure 8d) are nearly indistinguishable from the one without regolith (Figure 8a), but the lower 439 mantle remains in the ductile regime for much longer, potentially until 1500 Ma after accretion for 440 441 the slow strain rates, while the crust and mantle would have been entirely brittle for fast strain rates at that point in time (Figure 8e). Crust and mantle are brittle for both strain rates at present (Figure 442 443 8f).





*Time after the cessation of convection

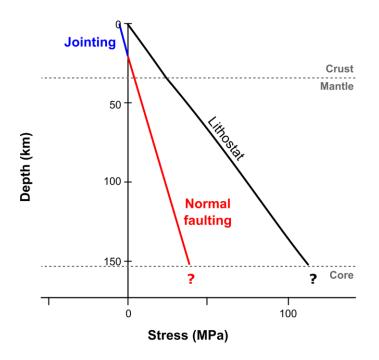
Figure 8. Strength envelopes and evolution for lithospheres under extension and shortening calculated for scenarios where Vesta has no megaregolith (top row) or with 5 km thick megaregolith (bottom row). Lithospheric structures with no megaregolith at (a) 20–100 Ma, (b) 500 Ma, and (c) present. Lithospheric structures with insulating megaregolith at (d) 20–500 Ma, (e) 1500 Ma, and (f) present. The time steps and end-members are based on the thermal evolution simulated by Fu et al. (2014). The ages refer to time after the cessation of convection, which has been estimated to be <10 Ma after asteroid accretion. <1.5 column, black and white>

Our results establish that Vesta's lithosphere is thick and that the crust and much of the 453 mantle were in the brittle regime throughout Vesta's history for every combination of plausible 454 455 parameters. The deformation behavior in the lithosphere can thus be further assessed with a brittle failure criterion. We employ the Coulomb criterion to assess the stress magnitudes needed for 456 overcoming the resistance to frictional sliding of two fracture surfaces in contact to produce faults. 457 458 For tectonics on Vesta, we find the Coulomb criterion most suitable, because of its simplicity, relying on only a few assumptions, but allowing to include the universally applicable cohesive and 459 460 frictional properties of rock. The principal stress form of the Coulomb criterion, which allows 461 studying faulting, as a function of depth, is given by:

462
$$\sigma_1 = \sigma_c + \sigma_3(\sqrt{\mu^2 + 1} + \mu)^2, \qquad (6)$$

where σ_c refers to the unconfined (or uniaxial) compressive strength that replaces the cohesion 463 term of the Coulomb criterion in Mohr space. As the surface of Vesta is highly fractured by impacts, 464 465 we used the value for the uniaxial compressive strength of basaltic regolith of 10 MPa (Schultz, 1995). Using the value of the uniaxial compressive strength of a highly fractured rock mass will 466 467 yield the minimum strength of Vesta's lithosphere for faults to form. Again, we consider a value of $\mu = 0.6$ for crust and mantle regardless of the rock type (Byerlee, 1978). For extensional 468 tectonic regimes, the overburden pressure, P, acts vertically as the maximum principal stress, σ_1 . 469 We substitute our overburden pressure profile (Figure 7c) for σ_1 in Equation (6) to calculate the 470 minimum principal stress, σ_3 , needed for faulting in an extensional tectonic regime and plot it as 471 472 a function of depth (Figure 9).

The Coulomb criterion for extensional tectonic regimes of basaltic regolith on Vesta predicts negative stresses above ~14 km and positive below that (Figure 9). As per our sign 475 convention, negative stresses are tensile, which do not produce faults but instead form joints. This indicates that jointing is the preferred fracturing mechanism in the upper ~14 km of the lithosphere, 476 with stresses favorable for frictional sliding, and thus normal faulting only below that. If Vesta's 477 lithosphere is a rock mass of better quality than regolith, then jointing as the preferred fracturing 478 mechanism would extend even deeper than 14 km. Therefore, extensional tectonics in Vesta's 479 480 lithosphere are likely dominated by opening-mode fractures at least in the upper 14 km. Only fractures deeper than at least 14 km would be of sufficient size for the overburden to be large 481 enough to allow for frictional sliding to trigger normal faulting. Hence, even if any normal faulting 482 483 occurred at depth, it should have been preceded or accompanied by large opening-mode fractures at the surface. 484



486 *Figure 9.* Solutions to the Coulomb criterion for a lithosphere under extension on Vesta, using 487 rock mass properties of a basaltic regolith ($\sigma_c = 10$ MPa). Stresses that predict jointing are 488 marked in blue and those predicting normal faults are indicated in red. <1 column, color>

490 **5. Discussion**

We investigated map patterns and the geomorphology of the large-scale troughs on Vesta, as well as the lithospheric fracturing behavior to assess possible fracturing behaviors that could have formed these troughs. Map patterns, geomorphology, and rock mechanical assessments individually and together have important implications for the tectonics on Vesta.

First, we observed that all large-scale troughs are isolated, continuous, and subparallel 495 496 among their own sets with no diagnostic map patterns for faulting and jointing. The rims of the 497 troughs are scalloped (Figure 3a) and that these troughs mostly resemble bowl-shaped cross-498 sectional geometries (Figure 4, supplementary materials). In contrast to the finding in previous 499 studies (Buczkowski et al., 2012; Schäfer et al., 2014; Scully et al., 2014; Yingst et al., 2014), a distinct flat floor is not commonly observed on the vast majority of the troughs. Some grabens 500 501 preserve their flat-floor geometry over billions of years on terrestrial bodies, such as on the Moon (Figure 4b; Lucchitta and Watkins, 1978), Mars (Figure 4c; Kneissl et al., 2015; Ruj et al., 2019), 502 503 or Mercury (Klimczak et al., 2013; Cunje and Ghent, 2016). However, considering the trough 504 geometry of a graben- or joint-origin could all be degraded into a bowl-shape by impact shaking 505 and mass wasting, our findings show only that the troughs are heavily degraded and no diagnostic 506 evidence for fault traces of graben or joint could be identified.

507 Although the map pattern of troughs does not distinguish faulting and jointing, pit-crater 508 chains associated with the troughs may reveal distinctive patterns related to the origin of troughs. 509 Only one trough shows a direct transition to a pit-crater chain, which narrows toward the tips and 510 directly transitions into a pit-crater chain, which then aligns with smaller pits farther away from

the end of the trough (Figure 3a). Another well-preserved pit chain was found to have larger pits 511 in the middle and smaller pits at the two ends (Figure 3b). These patterns were also observed 512 513 associated with grabens on Mars (Wyrick et al., 2004). There, pit crater chains have larger pits in the center of the chain and smaller pits at the ends representing different stages of formation 514 (Wyrick et al., 2004). However, the Martian pits are frequently found to be located on the floor of 515 516 grabens (Wyrick et al., 2004), which we do not observe on Vesta. An opening-mode fracture origin may be an explanation of the absence of fault-bordered pits. Additionally, the pit craters are 517 518 circular depressions that form by the collapse of material into subsurface voids, which may 519 represent subsurface fractures that did not propagate to the surface. In this case, the preserved pit crater chain with larger pits in the center of the chain and smaller pits at the end (Figure 3b) is 520 consistent with joints, in that the maximum aperture is commonly found at the center of the length 521 522 with smaller apertures near the tips (e.g., Vermilye and Scholz, 1995).

The relief and width of the troughs have implications for the graben and opening-mode 523 524 fracture interpretation by relating them to the corresponding structural components. Trough reliefs 525 were associated with the vertical displacements of graben components by the analysis from Buczkowski et al. (2012). We collected measurements of elevation differences between the trough 526 527 floor and rims along the trough length and observed that the relief distributions do not show a general peak near the center and taper toward the tips of the troughs and that the maximum reliefs 528 529 are located at different locations along the bounding scarps of every structure investigated (Figure 530 5). Following observations of the mechanics of fault growth (e.g., Dawers et al., 1993; Cartwright 531 et al., 1996), our observations for the troughs would imply that the proposed graben-bounding faults originated at different positions but then grew toward one another to interact and form 532 grabens. However, none of the patterns of faulting interaction, such as segmentation and linkage 533

is observed in the mapping. Such fault growth and mismatch in locations of maximumdisplacements are atypical for graben and therefore rule out a primarily graben origin.

536 Trough widths may serve as a measure for apertures of opening-mode fractures. Three out 537 of four mapped Divalia Fossae have their maximum width occurring at a similar position along 538 the trough set (Figure 6) and the cumulative width distribution shows a roughly symmetric profile 539 with one general maximum at the center of the overall length trace, tapering toward the tips. These characteristics are consistent with observations of opening-mode fracture mechanics, where the 540 541 displacement distribution profiles display centrally located displacement maxima that taper to zero 542 at the fracture tips for individual joints (e.g., Vermilye and Scholz, 1995). The cumulative profile also suggests that the troughs in the same set belong to one population, which formed under the 543 544 same opening event, and thus the troughs may be part of a large set of parallel joints. Such openingmode fracture patterns and aperture distributions are commonly observed for Earth, such as the 545 546 parallel joints at Arches National Park, Utah (Cruikshank and Aydin, 1994), veins in the Culpeper 547 Quarry, Virginia (Vermilye and Scholz, 1995), and the Ship Rock dikes in New Mexico (Delaney and Pollard, 1981), and on Mars, such as joints in west Candor Chasma and dikes in Coprates 548 Chasma (Okubo, 2010). 549

The calculated lithospheric strength shows that Vesta's crust and upper mantle are completely brittle for every combination of plausible parameters applicable to the asteroid. Coulomb criterion predicts that in an extensional tectonic regime on Vesta, normal faulting is only possible at substantial depth. The transition from opening to sliding-mode fracturing is predicted by the Coulomb criterion to occur at a minimum of 14 km depth, but is likely deeper depending on the degree of fracturing in Vesta's lithosphere. If Vesta's lithosphere possessed a moderate to low degree of fracturing, jointing would be favored possibly down to the core-mantle boundary.

Thus, normal faulting on Vesta is not required to explain the troughs, but even if any normal faulting occurred at depth, it should have been preceded or accompanied by large opening-mode fractures at the surface. Our strength calculation does not include the effect of centrifugal acceleration that results from Vesta's fast rotation. The centrifugal acceleration counters the overburden pressure, and hence, may even increase the depth of the jointing-faulting transition in the equatorial region.

Large openings triggered by normal faulting are also observed on Earth. One prominent 563 analogue on Earth is the Almannagiá normal fault, forming the western boundary of the Pingvellir 564 565 Graben at the Reykjaneshryggur-Langjökull rift system of southwest Iceland. The fault is accompanied by joints, or fissures, which opened up by up to 60 m before the fractures were large 566 and deep enough for them to be reactivated as normal faults that then produced a vertical 567 displacement of 40 m (Gudmundsson, 1992, 2011). The troughs on Vesta may seem to be too large 568 for joints as we know them on Earth. However, the scale of jointing is dependent on the 569 570 gravitational acceleration, which is much lower on Vesta and thus it is expected to have larger joints than Earth or any other planet. Trough widths on Vesta are widened due to degradation and 571 572 the volume of slumping is unknown; thus, trough width does not represent the original aperture of 573 the joint.

Our geological observations are inconsistent with the graben interpretation but instead point to an opening-mode fracture origin for Vesta's large-scale troughs. We propose that the large-scale troughs are topographic expressions of opening-mode displacement mainly from jointing and the various geometry is likely to represent degradations (Figure 10). Extension could have formed joints in Vesta's surface and subsurface (Figure 10a). If the joint propagated deep enough, exceeding the jointing-faulting transition, they have the potential to be reactivated as

normal faults at that depth forming mixed-mode fractures. In this case, the width of the trough
would consist of mainly the aperture from jointing but also have a dilational component from
normal faulting.

583 During degradation of the troughs, the slope material on the wall of the surface opening collapsed into the void, widening the initial sharp and narrow V-shaped joints and forming 584 585 scalloped rims (Figure 10b). Collapse above subsurface openings formed pit crater chains (Figure 10b). Over time, slumping and degradation due to potential slope failure and impact shaking likely 586 587 further widened the troughs and pit crater chains coalesced into linear troughs (Figure 10c). This 588 process produced the various observed cross-sectional geometry of flat-floored- (n=53), widened V-shaped- (n=31), and predominantly bowl-shaped trough geometries (n=176), regardless of 589 590 whether the joint initially formed at the surface or subsurface, as shown in Figure 10a. While 591 normal faulting could take part in the formation of these troughs, the topographic expression of the trough should mostly accommodate opening-mode displacement from jointing (Figure 10a) 592 593 with a jointing-faulting transition at or below 14 km on Vesta (Figure 9).

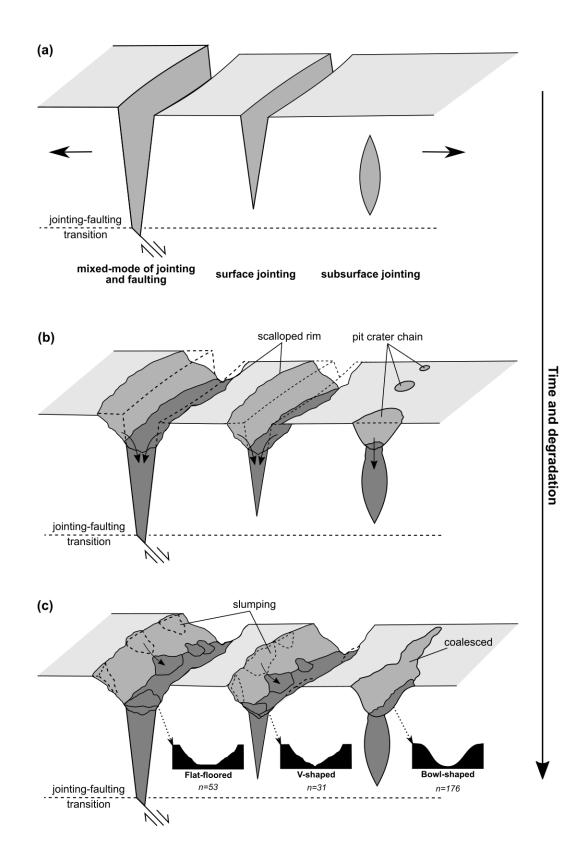


Figure 10. Diagram showing the geomorphologic evolution for opening-mode fractures on Vesta.
(a) Large-scale jointing occurs on the surface and in the subsurface. If the joint propagates deep
enough, it develops into normal faulting at that depth. (b) Steep joint walls collapse into the void
for the surface joints, whereas the collapse of subsurface openings forms pit crater chains. (c)
Slumping and degradation further widen troughs to form and coalesce the pit crater chains to
for m continuous troughs. Degradation shapes the troughs into various geometries in cross section,
regardless of their origin shown in (a). <1.5 column, black and white>

602

603 6. Conclusions

We investigated the fracture origin for the large-scale troughs on Vesta by analyzing their map patterns, cross-sectional geometries, and shape variations along the lengths of the structures. Our observations of scalloped rims that bound V- and bowl-shaped troughs, as well as the relieflength variations along the troughs, are inconsistent with grabens and are better explained by an opening-mode fracture origin. The measured individual and cumulative width-length variations and observations of pit crater chains are consistent with those of opening-mode fracture populations. We therefore conclude that the troughs on Vesta represent large joints.

This conclusion is corroborated by our calculations of Vesta's lithospheric strength and fracturing behavior. Based on the end-member thermal models from Fu et al. (2014), the solutions of strength envelopes suggest that Vesta's lithosphere displays mostly a brittle behavior throughout its geologic history. Using the Coulomb criterion for the brittle lithosphere then predicts that even for highly fractured basaltic rock masses, frictional sliding and thus normal faulting can take place only at a depth below 14 km, and requires the formation of joints in the rock column above that

617 depth. While normal faulting could take part in the formation of these troughs at depth, the 618 topographic expression of the trough should mostly accommodate opening-mode displacement 619 from jointing. We, therefore, further conclude that the observed relief of the trough was not 620 primarily produced by faulting and should not be considered as vertical displacement.

In sum, we find multiple lines of geological evidence, including map patterns, trough geomorphologies, and considerations of fracture- and rock mechanics, that are, in most cases, inconsistent with the previously assumed fault origin of the troughs. Instead, observations overwhelmingly point to an opening-mode fracture origin of the troughs. Our findings and proposed evolution of large-scale joints are important for an understanding of the tectonic history of Vesta and may even help provide insight into the identification of the type and formation of sizeable fractures on other small, low-gravity planetary bodies.

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