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12	Feedbacks on zonal mean tropical precipitation shifts induced by land
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# ABSTRACT

Changes in land surface albedo and land surface evaporation modulate the 28 atmospheric energy budget by changing temperatures, water vapor, clouds, 29 snow and ice cover, and the partitioning of surface energy fluxes. Here ide-30 alized perturbations to land surface properties are imposed in a global model 31 to understand how such forcings drive shifts in zonal mean atmospheric en-32 ergy transport and zonal mean tropical precipitation. For a uniform decrease 33 in global land albedo, the albedo forcing and a positive water vapour feed-34 back contribute roughly equally to increased energy absorption at the top of 35 the atmosphere (TOA), while radiative changes due to the temperature and 36 cloud cover response provide a negative feedback and energy loss at TOA. 37 Decreasing land albedo causes a northwards shift in the zonal mean intertrop-38 ical convergence zone (ITCZ). The combined effects on ITCZ location of all 39 atmospheric feedbacks roughly cancel for the albedo forcing; the total ITCZ 40 shift is comparable to that predicted for the albedo forcing alone. For an im-41 posed increase in evaporative resistance that reduces land evaporation, low 42 cloud cover decreases in the northern mid-latitudes and more energy is ab-43 sorbed at TOA there; longwave loss due to warming provides a negative feed-44 back on the TOA energy balance and ITCZ shift. Imposed changes in land 45 albedo and evaporative resistance modulate fundamentally different aspects 46 of the surface energy budget. However, the pattern of TOA radiation changes 47 due to the water vapour and air temperature responses are highly correlated 48 for these two forcings because both forcings lead to near-surface warming. 49

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### 50 1. Introduction

Changes in land surface properties, such as those associated with changes in vegetation, modu-51 late fluxes of energy and water between land and the overlying atmosphere (Charney et al. 1975; 52 Shukla and Mintz 1982; Koster et al. 2004, 2006; Davin et al. 2010; Laguë et al. 2019). Changes 53 in land surface properties can directly modify surface temperatures by re-partitioning surface en-54 ergy fluxes between sensible and latent components (Lee et al. 2011; Devaraju et al. 2018; Laguë 55 et al. 2019). By modifying the overlying atmosphere, land surface changes can also indirectly al-56 ter local surface climate by changing radiation and surface turbulent fluxes in ways that constitute 57 feedbacks on the original land surface perturbation (Betts et al. 1996). Furthermore, land-driven 58 atmospheric changes can lead to changes in terrestrial climate both in the region of the original 59 land surface change and in regions far removed from that initial change (Charney et al. 1975; Bo-60 nan et al. 1992; Swann et al. 2012; Laguë and Swann 2016; Devaraju et al. 2018; Winckler et al. 61 2018; Laguë et al. 2019). 62

Changes in land surface properties modify climate by modulating the flux of energy between 63 land and the base of the atmosphere. Surface albedo directly influences the solar energy absorbed 64 by land, with darker land such as forests absorbing more sunlight than brighter land such as deserts 65 (Budyko 1961, 1969; Payne 1972; Bonan 2008, and references therein). The land surface has a 66 small heat capacity compared to the ocean and does not efficiently move energy laterally (Cess and 67 Goldenberg 1981; North et al. 1983; Milly and Shmakin 2002; Bonan 2008). Thus, over annual 68 timescales, changes in solar and longwave energy absorbed by land cause changes in longwave 69 radiation, sensible heat, and latent heat emitted by land; that is, the land surface energy budget is 70 closed over sufficiently long timescales such as the annual cycle (Manabe 1969; Budyko 1982). 71 Latent heat flux from land to the atmosphere is modulated not only by surface water availability 72

and atmospheric water vapor demand, but also by physical properties of the land surface (Budyko
1961, 1969). For example, vegetation can actively modify the flux of water from land to the
atmosphere by regulating transpiration through the opening and closing of stomata (leaf pores that
control gas exchange) (Sellers et al. 1996).

Changes in land surface albedo and evaporation have been demonstrated to be capable of driving 77 large-scale shifts in atmospheric circulation (Charney et al. 1977; Shukla and Mintz 1982). Davin 78 et al. (2010) explored the effects of albedo, evaporation, and roughness of a completely forested 79 vs. grass-covered world, while Swann et al. (2012) demonstrated how mid-latitude forest cover can 80 shift the location of the Intertropical Convergence Zone (ITCZ) in a global climate model. Such 81 changes in global circulation can be understood, in part, using the vertically integrated atmospheric 82 energy budget. For example, changes in surface ice cover, vegetation, or idealized energy sources 83 have been shown to modify large-scale atmospheric circulation and tropical precipitation, with the 84 zonal mean location of the ITCZ shifting towards the energy-rich hemisphere (Chiang and Bitz 85 2005; Broccoli et al. 2006) or, more precisely, toward the hemisphere containing the anomalous 86 positive energy source (Kang et al. 2008, 2009; Swann et al. 2012; Laguë and Swann 2016; Kang 87 2020; Geen et al. 2020). 88

To understand the atmospheric response to an imposed change in the climate system, it can be 89 useful to decompose the response into that produced directly by the forcing and that arising from 90 individual feedbacks. For example, increased atmospheric carbon dioxide concentrations directly 91 affect longwave radiation (the forcing) and initiate feedbacks by other aspects of the climate sys-92 tem (e.g. changes in cloud cover or sea ice extent) which further modify shortwave (SW) and 93 longwave (LW) radiation at both the top of the atmosphere (TOA) and the surface (Andrews et al. 94 2012). For low-latitude rainfall changes, these feedbacks can be large compared to the forcing 95 (Kang et al. 2009; Cvijanovic and Chiang 2013), making it difficult to understand and predict how 96

an imposed land surface change which modifies the atmospheric energy budget will alter local and
 remote surface climate.

In this study, we investigate how idealized changes in land surface properties modify large-99 scale atmospheric circulation and precipitation, both through their direct effect on fluxes of energy 100 into the atmosphere and through radiative feedbacks. We first use climate model simulations 101 to study how global-scale changes in land surface albedo and evaporative resistance modify the 102 atmospheric energy source (i.e. the net flux of energy into the atmosphere through its top and 103 bottom boundaries). While many more studies have focused on the influence of land surface albedo 104 on climate (e.g. Charney et al. 1977; Dickinson 1983; Broccoli and Manabe 1987), evaporative 105 resistance is also important (e.g. Shukla and Mintz 1982; Sellers et al. 1996; Laguë et al. 2019; 106 Zarakas et al. 2020). Evaporative resistance controls the surface latent heat flux for a given vapor 107 pressure deficit of surface air, and is a bulk proxy for many surface and vegetative processes that 108 control water vapor flux. 109

We attribute changes in the atmospheric energy source to the direct effect of the imposed land 110 surface change (in albedo or evaporative resistance) and to feedbacks resulting from (i) albedo 111 changes due to snow and ice cover, (ii) changes in atmospheric water vapour, (iii) changes in 112 temperatures, and (iv) changes in cloud cover. Each of these components of the change in the 113 atmospheric energy source can, through the vertically integrated atmospheric energy budget, be 114 directly associated with a change in atmospheric energy transport. Since, in Earth's tropics, both 115 precipitation and atmospheric energy transport are primarily accomplished by time-mean overturn-116 ing circulations, this allows us to attribute changes in tropical circulation and tropical precipitation 117 to the imposed land surface forcing and the feedbacks. 118

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#### 119 2. Methods

120 a. Model

We use a modified version of the Community Earth System Model (CESM) (Hurrell et al. 2013), 121 consisting of the Community Atmosphere Model v. 5 (CAM5) coupled to a slab ocean model, the 122 CICE5 interactive sea ice model (Bailey et al. 2018), and a simplified land model. The slab 123 ocean allows sea surface temperatures (SSTs) to change but uses prescribed ocean heat transport 124 (Neale et al. 2012); this allows atmospheric circulation more freedom to change over both land and 125 oceans than in a fixed-SST simulation. The prescribed ocean heat transport is identical across all 126 simulations. Instead of the Community Land Model (CLM) (Oleson et al. 2013; Lawrence et al. 127 2019), we use the Simple Land Interface Model (SLIM) (Laguë et al. 2019), which allows us to 128 explicitly control individual land surface properties in a way that is not possible with more complex 129 land surface models such as CLM. Simulations are run at roughly 2° horizontal resolution. 130

### 131 b. Simulations

Two land surface properties are perturbed for this study: albedo and evaporative resistance. Albedo is a measure of the fraction of incident shortwave radiation that the land surface reflects, while evaporative resistance modifies the difficulty of evaporating water from land. In the context of vegetation, albedo is modulated by leaf color, leaf angle, and leaf area; evaporative resistance is a combined result of soil moisture, root depth, leaf area, and stomatal conductance. In SLIM, both surface properties are directly controlled by the user.

<sup>138</sup> We modify the prescribed, snow-free albedo of the land surface for visible shortwave radiation <sup>139</sup> (both direct and diffuse streams). A portion of the total modelled shortwave radiation incident <sup>140</sup> upon the land surface occurs in the near-infrared (near-IR), but we hold the snow-free land surface albedo in the near-IR fixed across all simulations. We only modify the land surface albedo over
non-glaciated regions. The total land surface albedo can be modified by the presence of snow,
which masks the bare-ground albedo and results in a brighter surface; as such, the actual change
in albedo that affects radiation is smaller than the snow-free albedo change imposed on the land
surface.

The evaporative resistance that we modify in SLIM modulates the difficulty of evaporating water from land. The hydrology in SLIM is represented by a bucket at each land point. To evaporate water from the bucket, there is a combined resistance due in part to how full the bucket is (analogous to soil moisture), and in part to the imposed evaporative resistance at each point (analogous to properties such as vegetation root depth or stomatal conductance). It is this second resistance term which we modify in our simulations; the soil moisture is free to evolve.

Three primary simulations are used in this study, while two additional simulations are leveraged to calculate the relationship between ITCZ latitude and cross-equatorial atmospheric energy transport. Each simulation is run for a total of 50 years, with the first 20 years discarded to allow the model time to spin up. Note that the model simulations used in this study are a subset of the same simulations used in Laguë et al. (2019).

The first "baseline" simulation uses moderate values for land surface albedo ( $\alpha = 0.2$ ) and 157 evaporative resistance ( $r_s = 100$  s/m). The second simulation explores the effect of making land 158 darker ( $\alpha = 0.1, r_s = 100$  s/m), while the third explores the effect of making it harder to evaporate 159 water from land ( $\alpha = 0.2$ ,  $r_s = 200$  s/m). An albedo of 0.2 is roughly comparable to that of a 160 grassland, while an albedo of 0.1 is comparable to that of a forest (see Bonan 2008, and references 161 therein). A change in evaporative resistance from 200 to 100 s/m is comparable to a change in 162 the canopy-level stomatal conductance between needleleaf and broadleaf forests (Bonan 2016). 163 Two additional simulations from Laguë et al. (2019)—one with a land surface albedo of 0.3, 164

which is comparable to that of a desert, and the other with an evaporative resistance of 30 s/m, which is comparable to that of a well-watered crop—are used to calculate the relationship between annual mean cross-equatorial atmospheric energy transport  $AET_{eq}$  and annual mean ITCZ latitude as measured by the center of mass of tropical precipitation,  $\phi_p$  (see appendix for calculations of  $AET_{eq}$  and  $\phi_p$ ). These simulations each provide an additional 30 years of spun-up data for our linear fit of  $\Delta AET_{eq}$  vs.  $\Delta \phi_p$ .

All other land surface properties are identical across simulations, and across space. That is, all simulations have the same spatially uniform values for aerodynamic roughness (0.1 m), the capacity of land to hold water (200 mm), soil thermal properties, etc. Glaciated land points have thermal and radiative properties consistent with ice (Laguë et al. 2019).

### 175 c. Approach

Here, we outline the general approach used in this study. Details on specific calculations are provided in the Appendix. We modify each of the two land surface properties (albedo and evaporative resistance) in isolation. Each change in land surface property drives a change in net TOA radiation ( $TOA_{net}$ ), a change in zonal mean cross-equatorial atmospheric heat transport, and a shift in the zonal mean location of the ITCZ.

<sup>181</sup> Using a combination of model output and radiative kernels for albedo, temperature, and water <sup>182</sup> vapour, we decompose the total change in TOA radiation into the change in TOA *SW* directly due <sup>183</sup> to the imposed change in land surface albedo, the change in TOA *SW* due to changes in albedo <sup>184</sup> from changes in snow/ice cover, the change in TOA *LW* due to changes in surface temperature <sup>185</sup> and atmospheric temperatures, the changes in TOA *SW* and *LW* due to changes in column water <sup>186</sup> vapour, and the changes in TOA *SW* and *LW* due to changes in cloud cover.

We meridionally integrate TOA<sub>net</sub>, under the assumption that atmospheric energy storage is 187 negligible on annual time scales, to calculate cross-equatorial atmospheric energy transport  $AET_{eq}$ , 188 and estimate the linear relationship between  $AET_{eq}$  and the zonal-mean location of the ITCZ. 189 We measure the zonal-mean ITCZ location as the latitude  $\phi_p$  that is the center of mass of the 190 precipitation distribution between 20°S-20°N. Using the individual contribution to  $\Delta TOA_{net}$  from 191 each surface or atmospheric process resulting from the imposed change in land surface property 192 (e.g. the change in albedo from changes in snow/ice, or the change in water vapour), we determine 193 the  $\Delta AET_{eq}$  that would result from that individual component of the  $TOA_{net}$  response alone. We 194 then leverage the derived relationship between  $AET_{eq}$  and  $\phi_p$  to attribute portions of the total 195 modelled shift in the ITCZ to each individual atmospheric and surface process. The practice of 196 meridionally integrating anomalous TOA energy sources to obtain an  $AET_{eq}$  change and then an 197 ITCZ shift follows Kang et al. (2008), and using this procedure to estimate radiative feedbacks 198 follows Peterson and Boos (2020). 199

We follow the methodology of Soden et al. (2008) and Pendergrass et al. (2018) to decompose the response of TOA radiation into components associated with changes in imposed land surface albedo, changes in albedo due to changes in snow and ice, changes in water vapor, changes in surface and air temperatures, and changes in cloud cover. Details of the calculations used in this study are provided in the Appendix.

#### 205 **3. Results**

Decreasing land surface albedo and increasing land surface evaporative resistance both generate changes in the TOA energy balance with distinct spatial and seasonal patterns (figure 1). Decreasing land surface albedo results in more energy absorbed at the TOA over most land regions, particularly during local summer when insolation is high, while increasing land surface evaporative resistance modifies the TOA energy budget mostly in the northern mid-to-high latitudes during boreal summer. Decreasing land albedo and increasing land evaporative resistance
both lead to overall more energy absorbed at the TOA over the Northern Hemisphere, though for
different reasons which are explored below.

The land albedo and evaporative resistance changes also produce changes in precipitation over 214 both land and ocean throughout the globe. Past studies have demonstrated that hemispheric im-215 balances in atmospheric energy sources lead to shifts in the ITCZ towards the positive energy 216 source anomaly (e.g. Chiang and Bitz 2005; Broccoli et al. 2006; Kang et al. 2008; Swann et al. 217 2012; Laguë and Swann 2016; Kang 2020). In our simulations, changes in land surface albedo 218 and evaporative resistance both lead to northward shifts in the ITCZ (figure 2; the general pattern 219 of positive precipitation anomalies to the north of the equator and negative anomalies to the south 220 indicate a northward shift of the tropical precipitation maximum). Here, we investigate the mech-221 anisms contributing to the change in the TOA energy budget, and quantify the association between 222 changes in the TOA radiative balance and changes in the atmospheric energy transport and zonal 223 mean tropical precipitation. We focus these analyses on the annual mean. 224

### *a. Decreasing Land Surface Albedo*

The spatially uniform decrease in snow-free land albedo has a spatially non-uniform impact on  $TOA_{net}$ . Darkening land results in more *SW* being absorbed by Earth over most land areas, while over oceans and parts of the northern high-latitudes, more energy is lost by the Earth system (figure 1a). The peak anomalous energy gain resulting from the decreased land albedo is found in the tropics in the annual mean, with smaller increases in the mid-latitudes.

To understand the mechanisms through which a spatially uniform change in land surface albedo causes a spatially non-homogeneous and non-local change in TOA radiation, we decompose the response into a forcing and several feedbacks, each of which impact the TOA flux of shortwave
(SW) or longwave (LW) radiation. For our analysis of changes in TOA energy fluxes, all fluxes
(SW and LW) are defined to be positive *downwards* such that positive anomalies indicate more
energy into the Earth system.

### 237 1) ALBEDO FORCING

The imposed decrease in land surface albedo directly forces an increase in absorbed solar radia-238 tion at the surface, and in turn reduces the amount of SW leaving the atmosphere at the TOA. Using 239 the all-sky (i.e. including the effects of clouds) radiative kernel for albedo for CAM5 (Pendergrass 240 et al. 2018), we calculate how our imposed change in land surface albedo directly modifies TOA 241 SW assuming temperatures, water vapour, snow and ice cover, and cloud cover do not change. 242 The imposed decrease in land surface albedo causes an increase in net TOA SW radiation over all 243 non-glaciated land areas (that is, everywhere the albedo was directly changed; figure 3a). Within 244 snow-free land regions, the spatial pattern in the change in TOA SW radiation comes predomi-245 nantly from the spatial pattern of the radiative kernel itself, which reflects the pattern of insolation, 246 cloudiness, and clear-sky optical depth (figure S1). From the kernel, we see that the increase in 247 absorbed TOA SW for a spatially uniform decrease in land albedo is largest in low latitudes, where 248 incident solar radiation is highest and the annual mean atmospheric path length for downwelling 249 shortwave is smallest. The same albedo change imposed on regions with climatologically high 250 cloud cover (e.g. the Maritime Continent) has a smaller impact on TOA SW than regions at a 251 similar latitude with less cloud cover, as less SW reaches the surface in those regions. The direct 252 forcing of the imposed albedo change is calculated here specifically for snow-free albedo, i.e. how 253 the TOA SW would be affected in the absence of snow. However, land surface albedo in higher 254

latitudes is masked by snow for part of the year; the change in TOA radiation because of changes
 in snow and ice is captured in the albedo feedback term discussed next.

### 257 2) ALBEDO FEEDBACK

We define albedo feedbacks as changes in TOA SW radiation due to changes in snow and ice 258 cover, which themselves result from changes to the climate system *driven* by our imposed change 259 in land surface property. Decreasing land surface albedo leads to warming near the land surface 260 (see Laguë et al. 2019), causing sea ice loss and changes in snow cover in the high latitudes 261 (supplemental figures S2, S3). Using the radiative kernel for albedo, we can quantify the effect of 262 albedo changes resulting from changes in snow and ice on TOA SW. The albedo feedback on the 263 imposed decrease in snow-free land albedo is positive (i.e. more SW absorbed at the TOA) over 264 regions of snow and sea ice loss, with most of the changes occurring in the northern high latitudes 265 (with some loss of sea ice along the ice edge of Antarctica; figure 3b). 266

#### 267 3) WATER VAPOUR FEEDBACKS

Decreased land surface albedo can modify atmospheric water vapour both by modulating evapo-268 ration from the land surface and by modulating the winds that transport water vapour. Decreasing 269 land albedo leads to more water vapour over tropical land in our model, with atmospheric tem-270 peratures and specific humidities both generally increasing over land. There is also a meridional 271 dipole pattern in precipitable water over tropical oceans reflecting a northwards shift in the ITCZ 272 and a change in the humidity of the subtropical dry zones (figure S4). In idealized aquaplanet 273 models, the relative humidity of the subtropical dry zones increases in the hemisphere in which a 274 positive energy source is imposed and decreases in the subtropical dry zones on the other side of 275 the equator, amplifying the more traditional fixed-relative humidity water vapor feedback (Peter-276

son and Boos 2020); this also seems to occur in our model in response to land albedo changes. The 277 only statistically significant changes in SW at the TOA due to water vapour changes in response to 278 decrease land albedo occur over the Sahara and Arabian Peninsula, where the response is positive 279 (i.e. more SW absorbed by the enhanced water content; figure 3c). The LW effects of water vapour 280 changes are also positive, but are much more far reaching, spreading over most land and ocean 281 regions of the NH (figure 3d). Averaged globally, the LW effects of changes in atmospheric water 282 vapour are as large as the direct effect of both the albedo forcing and ice-albedo feedback on TOA 283 SW, with both contributing an extra 2  $W/m^2$  of energy to the Earth system at the TOA (table 1). 284

### 285 4) TEMPERATURE FEEDBACKS

Temperature feedbacks are changes in TOA LW due to changes in surface temperature,  $T_s$ , and 286 temperatures through the atmospheric column. These combine the Planck and lapse rate feed-287 backs, with the latter typically having a magnitude that is about one-third that of the former in 288 the global mean (Soden and Held 2006). Using the radiative kernel for temperature, we see that 289 temperature feedbacks produce an increase in outgoing LW that opposes the SW forcing, as ex-290 pected for negative feedbacks. Changes in  $T_s$  drive an increase in outgoing LW mostly over NH 291 land and the Arctic ocean (figure S5). In contrast, changes in atmospheric temperatures result in 292 more outgoing LW over most land and ocean regions, due to large-scale atmospheric warming as 293 a result of decreasing land albedo (figure 3e). Changes in TOA LW in response to decreased land 294 albedo provide the strongest globally averaged change in the TOA energy budget, yielding a global 295 average of 2.8 W/m<sup>2</sup> of energy loss at the TOA (table 1). This is expected for the negative Planck 296 and lapse rate feedbacks, which balance the sum of the forcing and the positive water vapor and 297 albedo feedbacks to achieve TOA energy balance in the new steady state. 298

### 299 5) CLOUD FEEDBACKS

Cloud feedbacks are changes to net TOA SW and LW as a result of changes in cloud cover. 300 Changes in cloud radiative forcing that occur in the absence of any changes in cloud cover are 301 not included in this definition of cloud feedbacks, as detailed in the Appendix and discussed by 302 Soden et al. (2008). We consider cloud feedbacks to be positive if the change in cloud cover leads 303 to an increase in net energy absorbed at the TOA. Globally, the combined SW and LW effect of 304 changes in cloud cover in response to decrease land albedo is a net loss of energy from the Earth 305 system (figure 3f). Over most land regions, a decrease in land albedo results in an increase in cloud 306 cover that accompanies the precipitation increase (e.g. figure 2a), producing greater reflection of 307 TOA SW (figure 3g) and enhanced LW trapping over land (figure 3h). Some reductions in cloud 308 cover occur over ocean, with reduced SW reflection and reduced LW trapping by clouds being 309 especially prominent where reduced rainfall south of the equator accompanies the northward shift 310 of the ITCZ (cf. figures 2a and 3g, h). The SW and LW effects of cloud changes nearly cancel in 311 regions where high cloud changes accompany ITCZ shifts, while the SW effects of cloud changes 312 dominate in regions where low clouds change (e.g. the upwelling zones in eastern ocean basins). 313 However, in the global mean the effects of cloud changes are negative in both the LW and SW, 314 which contribute roughly equally to the global mean cloud feedback (table 1). 315

### <sup>316</sup> b. Increasing Land Surface Evaporative Resistance

<sup>317</sup> Unlike decreasing land albedo, which causes more *SW* energy to be absorbed by land, chang-<sup>318</sup> ing the evaporative resistance of land does not directly modify the total energy absorbed by land. <sup>319</sup> Increasing evaporative resistance drives a repartitioning of surface energy fluxes, where energy <sup>320</sup> previously used to evaporate water is instead partitioned into sensible heat flux or emitted long-<sup>321</sup> wave radiation, both of which result from the increase in surface temperature that is driven by the reduced evaporative cooling. Changes in evaporative resistance can only modify latent heat flux from the surface to the atmosphere in regions where there is water stored on the land surface; there is little to no effect of changing this surface property over desert regions.

Here we discuss the net response to the evaporative resistance forcing, and briefly summarize all 325 of the individual components of that response. In contrast to the response of  $TOA_{net}$  to decreasing 326 land albedo, increasing the evaporative resistance of land results in an increase in  $TOA_{net}$  that 327 is strongest in the northern mid-latitudes during June-August (figure 1b, d). As stated above, 328 changing the evaporative resistance of land has no *direct* impact on the total energy absorbed by 329 land, so there is no "forcing" in the context used for the albedo simulations. However, we can still 330 decompose changes in the TOA energy budget into components due to snow/ice changes, water 331 vapour, temperatures, and clouds. 332

Increasing the evaporative resistance of land leads to warming by suppressing latent cooling 333 of the land surface, which causes a reduction of snow and sea-ice (figure S3). This reduces the 334 surface albedo and leads to an increase in absorbed SW at the TOA, mostly in the northern high 335 latitudes during boreal summer (figure 1d, 4b; note the change in color scale in figure 4). There are 336 no statistically significant changes in TOA SW due to changes in atmospheric water vapour, while 337 the LW effects of water vapour changes lead to a slight increase in energy absorbed by Earth at 338 the TOA over parts of the low latitude ocean (figure 4c, d). We note that total column water vapor 339 actually increases over most of the Northern Hemisphere, which has the largest land area (figure 340 4b). That is, increased land resistance leads to decreased land evaporation and less low cloud 341 cover, which drives warming which itself results in more atmospheric water vapor, particularly 342 over the oceans, resulting from suppressed terrestrial evaporation. Increased surface temperatures 343 in the Arctic lead to more TOA LW loss, while atmospheric warming in the northern mid- to 344 high-latitudes also increases TOA LW loss (figure 4e). 345

The largest change to TOA radiation as a result of increasing the evaporative resistance of land comes from the *SW* effects of changes in cloud cover (figure 4f,g). Loss of cloud cover over southeastern North America and western Eurasia results in an increase in *SW* absorption by Earth. This signal is strongest during NH summer, but persists with weaker magnitude over southeastern North America during NH winter (figure 1d,f). Averaged globally, the *SW* and *LW* effects of cloud cover changes on  $TOA_{net}$ , resulting from increased land surface evaporative resistance, largely cancel (table 1).

#### 353 c. Cloud Forcing vs. Feedback

In the previous two sections we quantified the cloud feedback, which results from a change in 354 cloud cover and is distinct from a change in the net radiative effects of clouds (which, in turn, 355 is often referred to as the cloud forcing; Soden et al. 2008). This distinction is important for 356 our imposed change in land surface albedo because the surface albedo change modifies the effect 357 of a fixed cloud distribution on the TOA SW flux, thus driving a change in SW cloud forcing 358 independent of any change in cloud cover. That is, changes in SW cloud forcing (figure S6). occur 359 both because of changes in cloud cover (the SW cloud feedback described above) and because of 360 changes in surface SW fluxes driven by the change in albedo independent of any changes in cloud 361 cover. The global mean SW cloud forcing is more than twice as large as the global mean cloud 362 feedback for the albedo forcing (-1.2 W/m2 vs -0.5 W/m2). However, the SW cloud forcing and 363 SW cloud feedback are very similar for an increase in land evaporative resistance, because in that 364 case nearly all of the change in SW cloud forcing comes directly from a change in cloud cover. 365 The same physical process is thus captured by the SW cloud feedback and SW cloud forcing for 366 changes in evaporative resistance (figure S7). 367

### 368 d. Pattern Correlation

The pattern of the total TOA radiative response to a change in albedo or evaporative resistance 369 differs substantially (compare figure 1 a/b), with the two having a pattern correlation coefficient 370 of only 0.3 (table 2). However, for particular components of the TOA energy budget decompo-371 sition explored above, the pattern is very similar for both forcings. Despite the two land surface 372 properties modifying fundamentally different aspects of the surface energy budget, the pattern of 373 the TOA response due to changes in water vapour, surface temperature, and air temperature are 374 similar for changes in albedo and evaporative resistance (compare individual panels of figure 3) 375 to those in 4). Indeed, the pattern of the TOA response due to changes in water vapour, surface 376 temperature, and air temperature are strongly correlated for a change in land surface albedo and 377 land surface evaporative resistance (pattern correlation coefficients range from 0.7 to 0.9; table 378 2). This is because both the water vapour and temperature components of the TOA energy budget 379 decomposition are directly related to warming, and both decreasing the land surface albedo and 380 increasing land surface evaporative resistance lead to large-scale warming of the Earth system. 381 The mechanisms responsible for the surface warming are different; in the case of albedo, warming 382 is the direct result of increased SW absorption at the surface, while in the case of evaporative re-383 sistance warming is the result of suppressed evaporative cooling and increased SW absorption due 384 to regional loss of cloud cover. However, in both cases, warming at the surface is accompanied by 385 warming aloft and an increase in atmospheric water vapour over large parts of the northern hemi-386 sphere remote from the forcings (figure S8), presumably due to homogenization of atmospheric 387 temperature and moisture by basic state winds. 388

#### e. Attribution of Zonal Mean ITCZ Shift

In response to both decreased land surface albedo and increased land surface evaporative resis-390 tance, there is a northwards shift in the ITCZ (figure 2a,b). Previous studies identified a strong 391 linear relationship between hemispheric energy imbalances, cross-equatorial atmospheric energy 392 transport, and the location of the ITCZ, both in models and in observations (Donohoe et al. 2013), 393 with the ITCZ shifting towards the hemisphere with the positive anomaly of net energy input 394 (Chiang and Bitz 2005; Kang et al. 2008; Swann et al. 2012; Laguë and Swann 2016; Kang 2020). 395 When land albedo is decreased, the Northern Hemisphere becomes the site of an anomalously 396 positive energy source as a result of increased absorption of SW by the larger land area in the 397 Northern Hemisphere. When land evaporative resistance is increased, loss of low cloud cover 398 in the northern mid-latitudes allows more sunlight to reach the surface over portions of northern 399 mid-latitude land, also resulting in an anomalously positive energy source in the Northern Hemi-400 sphere. In both cases, the vertically integrated atmospheric energy budget balanced by a time-401 mean decrease in atmospheric energy transport from the Southern Hemisphere into the Northern 402 Hemisphere, and a corresponding northwards shift in the zonal mean location of the ITCZ (figure 403 2). 404

The relationship between annual mean cross-equatorial atmospheric energy transport and the zonal mean ITCZ latitude  $\phi_p$  is strongly linear in our simulations (figure 5). We find a -4.4° shift in the ITCZ per 1 PW increase in annual mean northwards cross-equatorial atmospheric energy transport (figure 5). This slope is slightly larger in magnitude than that found by Donohoe et al. (2013) across CMIP5 models (-2.4°PW) and from observations of the seasonal cycle in presentday climate (-2.7°/PW).

The relationship between the zonal mean ITCZ location,  $\phi_p$ , and cross-equatorial atmospheric 411 energy transport,  $AET_{eq}$ , in response to perturbed land surface properties is also tightly correlated 412 during Northern Hemisphere summer (figure 5a, c). However, we wish to decompose the ITCZ 413 shift into components associated with individual feedbacks (e.g. water vapor and Planck feed-414 backs), which requires meridionally integrating the anomalous TOA energy flux due to each feed-415 back to obtain its contribution to the net cross-equatorial energy transport (e.g. Kang et al. 2008; 416 Peterson and Boos 2020); this can only be done exactly in the annual mean, when the transient 417 atmospheric storage term is zero in a steady state climate. In order to leverage our decomposition 418 of the TOA energy budget, we thus focus our analysis of shifts in the ITCZ on the annual mean. 419

For each component of the TOA energy budget response to changes in land surface albedo and 420 evaporative resistance, we calculate the anomalous cross-equatorial energy flux needed to bal-421 ance the specific pattern and magnitude of TOA SW and LW change comprising that component. 422 Then, using the linear relationship between cross-equatorial energy transport and  $\phi_p$ , we quantify 423 how much of a shift in the ITCZ we would expect from each individual component of the TOA 424 energy budget response (figure S9 provides a heuristic illustration). Reducing albedo and increas-425 ing evaporative resistance both drive northward shifts in cross-equatorial energy transport and the 426 ITCZ (figure 6, dark grey bars), but the processes responsible for these changes differ for the two 427 surface forcings. Since our primary interest is in the relative magnitudes of different feedbacks on 428 a given forcing, we rescale the net ITCZ shift produced by each imposed change in land surface 429 property so that it has a value of  $+1^{\circ}$  (figure 6, dark gray bars). 430

<sup>431</sup> Decreasing land albedo drives a northwards shift in the ITCZ as a result of the direct effect of <sup>432</sup> the imposed change in albedo, with positive (northward) contributions from the albedo feedback <sup>433</sup> due to changes in snow and ice, the *SW* and *LW* water vapour feedbacks, and the *LW* cloud <sup>434</sup> feedback (figure 6). It is notable that the *LW* cloud effects provide a negative feedback on the

global mean TOA energy balance response to the albedo forcing (Table 1) but a positive feedback 435 on the ITCZ response; this is the result of the specific pattern of the LW cloud feedback. Changes 436 in surface temperature, air temperature, and the SW effects of cloud cover changes all act as 437 negative feedbacks that reduce the northward shift of the ITCZ. Of all the feedbacks on the albedo 438 forcing, the Planck feedback is largest, consistent with global mean feedbacks on the CO<sub>2</sub> forcing 439 of global mean temperature; water vapor feedbacks are about an order of magnitude larger than 440 the net cloud feedback. The cloud feedbacks seem to be dominated by tropical cloud changes 441 (figure 3f,g,h) and exhibit strong cancellation between SW and LW components. The effect of all 442 of the feedbacks on the imposed change in land surface albedo largely cancel, such that the actual 443 modelled shift in the ITCZ is comparable to the shift in the ITCZ that would be realized by the SW 444 effects of the imposed change in land surface albedo alone. A similar cancellation of all feedbacks 445 was seen in the one-dimensional energy balance model of Peterson and Boos (2020), although that 446 model used an entirely oceanic lower boundary and did not examine land surface forcings. 447

Increasing the evaporative resistance of land reduces terrestrial evaporation and leads to warm-448 ing. There is no directly imposed change in TOA radiation that can be viewed as an imposed 449 forcing, but we are nevertheless able to quantify the contribution of each feedback to the total 450 ITCZ shift. The dominant positive contributors to the northwards shift of the ITCZ in response 451 to increased evaporative resistance are the change in TOA SW due to changes in cloud cover and 452 the change in TOA LW due to changes in water vapor. The water vapor-induced LW changes are 453 interesting because they result primarily from increases in humidity over the low-latitude oceans, 454 contrasting with the reduction in land humidity expected to result from an increase in land evapo-455 rative resistance. The component that comes closest to constituting a forcing, from the perspective 456 of the energy budget, is the loss of low cloud cover in the northern midlatitudes, which results in a 457 hemispheric energy imbalance with more energy being added to the NH than the SH in response 458

to decreased land evaporation. Unlike in the case of albedo, the LW effects of changes in cloud 459 cover act in the same direction as the SW effects, although the LW cloud contribution is relatively 460 small. While changes in tropical clouds dominate the cloud feedbacks in response to a change 461 in land albedo, extra-tropical clouds dominate the cloud feedback in response to changes in land 462 evaporative resistance, with SW cloud effects greatly exceeding any cancellation from LW cloud 463 effects. Changes in TOA SW due to changes in cloud cover alone would result in a roughly  $1.6^{\circ}$ 464 northwards shift in the ITCZ, and the LW effect of changes in water vapor would drive an addi-465 tioanl  $1.7^{\circ}$  northwards shift, but this northwards shift is damped by a strong  $3.0^{\circ}$  southward shift 466 resulting from LW feedbacks driven by combined surface and atmospheric warming. While there 467 is a contribution to a northward ITCZ shift from loss of high-latitude snow and ice resulting from 468 warming, this contribution is smaller than the contributions from temperatures, water vapour, and 469 SW cloud feedbacks, and is not statistically significant. 470

The ITCZ shift predicted by the sum of the feedbacks is larger than the modelled ITCZ shift, 471 more so for evaporative resistance than for albedo (light gray bars in figure 6). This disagreement 472 is the result of the linear fit used to predict the ITCZ shift associated with a given change in cross-473 equatorial energy transport not perfectly intersecting the interannual mean of the three model 474 simulations (compare dashed line to large markers in figure 5a). However, we note that since 475 these are re-scaled values and the net zonal-mean, model-simulated ITCZ shift for the evaporative 476 resistance forcing is only about  $0.3^{\circ}$  in a model with a horizontal grid spacing of about  $2^{\circ}$ , this 477 non-linearity may be negligible compared to discretization and other numerical uncertainties. 478

#### **479 4. Summary and Discussion**

Both albedo and evaporative resistance of the land surface can drive large changes in the TOA radiation balance. However, the pathways through which these land surface properties modify the TOA radiative budget differ. This study provides a breakdown of the impact of individual land surface property changes on TOA radiation, zonally averaged  $AET_{eq}$ , and zonal mean ITCZ location. We leverage atmospheric radiative kernels to decompose the effect of decreasing land surface albedo and increasing land surface evaporative resistance on the TOA energy balance.

<sup>486</sup> Decreasing land surface albedo leads to an overall increase in energy absorbed at the TOA over <sup>487</sup> land regions, and a compensating increase in energy lost from the TOA over ocean regions. The <sup>488</sup> surface warming caused by the imposed reduction in surface albedo leads to reduced snow and <sup>489</sup> ice cover that, in turn, cause even more *SW* to be absorbed by the Earth system. The *LW* effects <sup>490</sup> of changes in atmospheric water vapor driven by the reduction in land surface albedo also lead to <sup>491</sup> an increase in energy absorbed at the TOA, while warming of surface and air temperatures and <sup>492</sup> changes in cloud cover lead to energy loss from the TOA.

Changes in land surface albedo are strongly attenuated by the atmosphere. That is, for a given 493 change in surface albedo, the change in planetary albedo (the fraction of insolation not absorbed by 494 the climate system) is much smaller (Donohoe and Battisti 2011). Nonetheless, we have demon-495 strated that changes in land surface albedo can modify TOA net radiation not only directly by 496 modifying the net flux of SW radiation, but also indirectly by modifying atmospheric tempera-497 tures, water vapor content, cloud cover, etc. Furthermore, land albedo changes can produce shifts 498 in atmospheric circulations and rainfall, even if their influence on global mean planetary albedo is 499 modest. 500

Increasing land surface evaporative resistance primarily impacts the TOA radiative budget over northern mid-latitude land regions. The *SW* effect of changes in cloud cover is the most direct effect of the imposed increase in evaporative resistance, presumably resulting from reductions in cloud cover caused by reduced humidity in the region of the forcing. Planck and water vapor feedbacks act on this forcing in a similar way as for the albedo forcing; these feedbacks are geo-

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graphically remote and have patterns of TOA energy flux change that are highly correlated for the
 two forcings.

We use the relationship between cross-equatorial energy transport, as diagnosed from TOA en-508 ergy fluxes, and the zonal mean location of the ITCZ to attribute northward shifts in precipitation 509 to individual surface and atmospheric responses to imposed land surface changes. The combined 510 effect of all atmospheric feedbacks on an imposed change in land surface albedo largely cancel, 511 and the resulting northward shift in the ITCZ is the same shift you would expect from the SW 512 effects of the imposed change in albedo alone. For the imposed increase in evaporative resistance, 513 the SW effect of clouds, combined with albedo changes due to reduced snow and ice cover as 514 a result of warming, results in a net northward shift in the ITCZ. For the evaporative resistance 515 forcing, the SW effect of clouds on ITCZ location is in the opposite direction as the SW effect of 516 clouds for the albedo forcing. 517

The idealized nature of these simulations necessarily presents some limitations. The perturba-518 tions made to land surface albedo and evaporative resistance were applied to all non-glaciated land 519 surfaces, and as such the hemispheric imbalance in response to these land surface perturbations is 520 largely a result of the hemispherically asymmetric distribution of the continents in their present-521 day configuration; other patterns of land surface change would yield their own specific patterns 522 of TOA energy flux changes and individual forcing/feedback terms. The radiative kernel we use 523 to decompose the TOA energy budget response into its components was generated with the same 524 atmospheric model as we use in this study (CAM5). However, any differences in the mean state of 525 atmospheric temperatures, humidity, and cloud cover between the CLM-CAM5 simulation used 526 for the kernels and the baseline SLIM-CAM5 simulation used in this study could introduce errors 527 in the kernel-predicted change in TOA radiation. Furthermore, because we do not have an explicit 528 radiative kernel for cloud fraction, any residuals that may exist in our calculations are lumped in 529

with the impact of clouds on TOA SW and LW, by virtue of the methods we use to decompose 530 the TOA energy balance (see Appendix). However, we expect these residuals to be small for two 531 reasons: (a) the mean state of SLIM-CAM5 is similar to the mean state of CLM-CAM5 (Laguë 532 et al. 2019, see) and (b) the patterns of  $\Delta SW_{cloud}$  and  $\Delta LW_{cloud}$  strongly resemble the change in 533 cloud fraction in our simulations, supporting the idea that they indeed result from changes in cloud 534 cover. Another important caveat is that we use a single atmospheric model and a single radiative 535 kernel in this study. While the direct effect of surface albedo on TOA SW radiation under clear-sky 536 conditions is similar across radiative kernels from multiple models (Soden et al. 2008; Shell et al. 537 2008; Flanner et al. 2011; Pendergrass et al. 2018), the response of cloud cover to a perturbation 538 can vary widely across models (Stocker et al. 2013; Zelinka et al. 2017). Particularly for the evap-539 orative resistance forcing, for which cloud changes are the dominant driver of changes in the TOA 540 radiative budget, other atmospheric models could generate different patterns of TOA SW and LW 541 response. Finally, we focused on changes in zonal mean tropical rainfall, and it is known that 542 zonal mean changes are not generally representative of regional precipitation change (Byrne and 543 O'Gorman 2015; Kooperman et al. 2018; Atwood et al. 2020); we leave a detailed exploration of 544 the zonally resolved response for separate work. 545

<sup>546</sup> Despite these caveats, the method we present here allows us to understand the mechanisms <sup>547</sup> through which changes in the land surface drive changes in zonal mean atmospheric circulation and <sup>548</sup> tropical precipitation. Understanding these mechanisms is critical to understanding how changes <sup>549</sup> in the land surface—both historical and in the future—impact climate locally and globally.

#### **550 5. Data Availability**

<sup>551</sup> The data presented in this paper will be archived on Dryad and the link added here upon accep-<sup>552</sup> tance of this manuscript. The source code for the models used in this study are publicly available on github at https://escomp.github.io/CESM/release-cesm2/downloading\_cesm.html for CESM, and https://github.com/marysa/SimpleLand for SLIM.

Acknowledgments. MML acknowledges postdoctoral funding support from the James S. Mc-555 Donnell Foundation Postdoctoral Fellowship in Dynamic and Multiscale Systems and NSERC 556 Grant PGSD3-487470- 2016. MML and ALSS acknowledge support from NSF Grant 1553715 557 to the University of Washington. WRB acknowledges support from the U.S. Department 558 of Energy, Office of Science, Office of Biological and Environmental Research, Climate 559 and Environmental Sciences Division, Regional and Global Model Analysis Program, under 560 Award DE-SC0019367. We acknowledge high-performance computing support from Cheyenne 561 (doi:10.5065/D6RX99HX) provided by NCAR's Computational and Information Systems Lab-562 oratory, sponsored by the National Science Foundation. We acknowledge additional comput-563 ing resources from the National Energy Research Scientific Computing Center (NERSC), a U.S. 564 Department of Energy Office of Science User Facility operated under Contract No. DE-AC02-565 05CH11231. 566

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## APPENDIX

#### 568 a. TOA Energy Budget

<sup>569</sup> Decreasing land surface albedo or increasing land surface evaporative resistance modifies the <sup>570</sup> energy balance at the surface (*SFC<sub>net</sub>*) and top of atmosphere (*TOA<sub>net</sub>*) (equations A1-A2).

$$TOA_{net} = SW_{TOA}^{\downarrow} - SW_{TOA}^{\uparrow} - LW_{TOA}^{\uparrow}$$

$$SFC_{net} = SW_{SFC}^{\downarrow} - SW_{SFC}^{\uparrow} + LW_{SFC}^{\downarrow} - LW_{SFC}^{\uparrow}$$

$$-SH_{SFC} - LH_{SFC}$$
(A1)
(A1)

At the TOA, the energy balance is between incoming shortwave (SW) radiation, reflected SW 571 radiation, and outgoing longwave radiation (LW). At the surface, the balance is between the net 572 flux of SW and LW radiation, and the turblent fluxes of sensible heat (SH) and latent heat (LH). 573 The sign convention in equations A1-A2 is such that  $SFC_{net} > 0$  means more energy absorbed 574 by the surface (land or ocean). More energy is absorbed by the Earth system in regions with 575  $TOA_{net} > 0$ , while more energy is lost by the Earth system in regions with  $TOA_{net} < 0$ . On land 576 over sufficiently long timescales (e.g. the annual mean, which we examine here), the surface 577 energy budget balances, such that  $SFC_{net} = 0$ . The slab ocean model used in these simulations has 578 the same prescribed heat transport across all simulations;  $SFC_{net} > 0$  in regions where the ocean 579 takes up atmospheric energy, and  $SFC_{net} < 0$  in regions where the ocean releases energy to the 580 atmosphere. 581

Independent of any atmospheric feedbacks, a decrease in land albedo results in more shortwave 582 energy absorbed at the land surface, with a corresponding increase in the upwards surface energy 583 fluxes. In contrast, an increase in land evaporative resistance does not directly change the total 584 amount of energy absorbed or emitted by the land surface; rather, increasing evaporative resistance 585 reduces evaporation (i.e. reduces the latent heat flux), while sensible heat and upwards longwave 586 radiation increase to balance the surface energy budget. However, atmospheric responses to land 587 surface changes can modify both the downward fluxes of SW and LW at the surface, and the 588 outgoing fluxes of SW and LW at the TOA. 589

### <sup>590</sup> b. Atmospheric Energy Transport

<sup>591</sup> We can calculate changes in atmospheric energy transport at the equator using two separate <sup>592</sup> approaches. In the annual mean only , we use changes in  $TOA_{net}$  and  $SFC_{net}$  (equation A3) <sup>593</sup> (Lintner et al. 2004).

$$AET_{eq} = \int_0^{2\pi} \int_{-\frac{\pi}{2}}^0 2\pi a^2 \cos\phi \left(TOA_{net} - SFC_{net}\right) d\phi d\lambda$$

$$= -\int_0^{2\pi} \int_0^{\frac{\pi}{2}} 2\pi a^2 \cos\phi \left(TOA_{net} - SFC_{net}\right) d\phi d\lambda$$
(A3)

<sup>594</sup>  $AET_{eq} > 0$  means positive energy transport by the atmosphere from the Southern to Northern <sup>595</sup> Hemisphere. Cross-equatorial atmospheric heat transport can also be calculated directly from the <sup>596</sup> meridional transport of of moist static energy within the atmosphere evaluated at the equator  $\langle vh \rangle_0$ <sup>597</sup> (equation A4).

$$\langle vh \rangle_0 = \left(\frac{1}{g} \int_{sfc}^{TOA} vh\right) \bigg|_{lat=0}$$
 (A4)

$$h = c_p T + L_v Q + gZ \tag{A5}$$

where v is the meridional wind and h is the moist static energy. vh is calculated from the heat 598 capacity of dry air  $c_p$ , the latent heat of vapourization  $L_v$ , the meridional atmospheric transport of 599 heat vT, the meridional atmospheric transport of moisture vQ, and the meridional transport of po-600 tential energy vZ. In the annual mean,  $AET_{eq}$  calculated from the TOA energy budget is identical 601 to  $\langle vh \rangle_0$  calculated from vertically integrated atmospheric energy and winds. Both methods give 602 a strongly linear relationship, with roughly 4.2 PW of southwards atmospheric energy transport 603 (as calculated by  $\langle vh \rangle_0$ ) corresponding to a 1° northwards shift in the ITCZ, and with roughly 4.4 604 PW of southwards atmospheric energy transport (as calculated from the TOA energy budget) cor-605 responding to a 1° northwards shift in the ITCZ. However, at sub-annual timescales, heat storage 606 within the surface and the atmosphere cause AET (implied from the TOA energy budget) to dif-607 fer substantially from  $\langle vh \rangle$  (actual/explicitly calculated atmospheric energy transport). Thus, the 608 relationship between  $AET_{eq}$  and  $\phi_p$  is only valid at annual mean timescales, while the relationship 609 between  $\langle vh \rangle_0$  and  $\phi_p$  is valid on seasonal timescales as well (figure 5). However, we focus on an-610 nual mean  $AET_{eq}$  in this study in order to make use of changes in TOA radiation driven by specific 611

atmospheric and surface processes. Each of the individual forcing and feedback terms explored in this study modify the TOA energy imbalance. Using the contribution of each term to  $TOA_{net}$ , we leverage equation A3 to quantify the contribution of each forcing and feedback to  $AET_{eq}$ .

#### 615 *c. Radiative kernel calculations*

<sup>616</sup> We use a radiative kernel to diagnose the change in  $TOA_{net}$  resulting from the imposed change <sup>617</sup> in surface albedo, the change in surface albedo resulting from changes in snow and ice, the change <sup>618</sup> in surface temperature, the change in the vertical profile of air temperatures, and the change in <sup>619</sup> the vertical profile of atmospheric water vapour (Soden et al. 2008; Pendergrass et al. 2018). <sup>620</sup> Specifically, we leverage the radiative kernel from Pendergrass et al. (2018), which uses the same <sup>621</sup> atmospheric model (CAM5) as this study.

The kernel K gives the change in surface and TOA net SW and/or LW radiation resulting from a 622 1% change in surface albedo, a 1K change in surface temperature  $T_s$ , a 1K change in air tempera-623 ture T at every vertical model level, and a change in water vapour q at every vertical model level 624 equivalent to a 1K increase in air temperature while maintaining constant relative humidity. The 625 kernel provides calculations for both "full sky" and "clear sky" conditions. The full sky kernel 626 gives the change in radiative fluxes resulting from each perturbation assuming cloud cover does 627 not change (but still allowing for the effects of climatological cloud cover). The clear sky kernel 628 gives the change in radiative fluxes resulting from each perturbation assuming there are no clouds 629 present. For our calculations, we focus on (a) the full sky radiative kernel and (b) the response of 630 TOA (not surface) SW and LW fluxes. 631

<sup>632</sup> We use the following notation when referring to calculations using the radiative kernel. The <sup>633</sup> change in net TOA *SW* as a result of a 1% change in surface albedo is given by  $K_{\alpha}$ . The change <sup>634</sup> in net TOA *LW* resulting from a 1K increase in surface temperature is given by  $K_{T_s}$ . The change in TOA *LW* resulting from a 1K increase in air temperature vertically through the atmosphere is given by  $K_T$ . The change in TOA *SW* and *LW* resulting from the imposed change in water vapour are given by  $K_{q,SW}$  and  $K_{q,LW}$ , respectively.

<sup>638</sup> We impose a change in snow-free albedo  $\Delta \alpha_i$  on the land surface. Using  $\Delta \alpha_i$ , we can quantify <sup>639</sup> the change in top of atmosphere *SW* radiation directly attributable to the imposed change in surface <sup>640</sup> albedo  $\Delta SW_{\alpha_i}$  (equation A6), where  $\Delta \alpha_i$  is multiplied by 100 to convert it to a percent value.

$$\Delta SW_{\alpha_i} = K_{\alpha} \times 100 \times \Delta \alpha_i \tag{A6}$$

The total modeled change in albedo includes both our imposed snow-free change in albedo as well as albedo changes due to snow and ice responses. We can calculate the change in albedo due to snow and ice changes ( $\alpha_s$ ) by subtracting the imposed change in albedo  $\alpha_i$  from the actual modeled change in albedo  $\alpha_m$  (figure S2; see also supplemental section **??**). The change in albedo resulting from changes in snow and ice  $\alpha_s$  is then multiplied by the radiative kernel to get the change in net TOA *SW* radiation resulting from albedo changes from snow and ice,  $\Delta SW_{\alpha_s}$  (equation A7).

$$\Delta SW_{\alpha_s} = K_{\alpha} \times 100 \times \Delta \alpha_s \tag{A7}$$

<sup>647</sup> Changes in surface temperature impact net TOA *LW* radiation; we determine how the specific <sup>648</sup> surface temperature response to each land surface property change impacts TOA *LW* ( $\Delta LW_{T_s}$ ) using <sup>649</sup> the radiative kernel for surface temperature (equation A8).

$$\Delta LW_{T_s} = K_{T_s} \times \Delta T_s \tag{A8}$$

<sup>650</sup> Changes in air temperature throughout the atmospheric column modify both the upwards and <sup>651</sup> downwards flux of *LW* radiation through the atmosphere. Here, we are specifically interested <sup>652</sup> in how changes in air temperature throughout the atmospheric column modify *LW* at the TOA <sup>653</sup> ( $\Delta LW_T$ ). We multiply the radiative kernel for temperature by the change in temperature, then sum <sup>654</sup> over the atmospheric column to get the total effect of the air temperature changes at all vertical <sup>655</sup> levels on TOA *LW* (equation A9).

$$\Delta LW_{\Delta T} = \sum_{SFC}^{TOA} K_T \times \Delta T \tag{A9}$$

Changes in atmospheric water vapour q modulate both SW and LW radiation. As with changes 656 in T, we are interested in the vertical sum of the effect of  $\Delta q$  on TOA SW and LW. The raw 657 kernel for water vapour  $K_q$  gives the change in radiative fluxes for the change in q associated 658 with a 1K temperature change at constant relative humidity, while our simulations provide us with 659 a  $\Delta q$ . Thus, we follow the methodology presented by Pendergrass et al. (2018) to calculate an 660 intermediate kernel  $K_q^* = K_q / \frac{\delta q}{\Delta T}$ , where  $\delta T$  is the modelled change in air temperature and  $\delta q$  is 661 the change in specific humidity that would have resulted from  $\Delta T$  given constant relative humidity. 662 Then, we can use  $K_q^*$  to determine the change in TOA SW and LW attributable to the modelled 663 change in specific humidity  $\Delta q$  (equations A10-A11). 664

$$\Delta SW_{\Delta q} = \sum_{SFC}^{TOA} K_{q,SW}^* \times \Delta q \tag{A10}$$

$$\Delta LW_{\Delta q} = \sum_{SFC}^{TOA} K_{q,LW}^* \times \Delta q \tag{A11}$$

### 665 d. Clouds

To determine the effect of changes in cloud cover on  $TOA_{net}$ , we do not use a radiative kernel for cloud cover. Rather, we determine how much the modelled change in cloud fraction impacts *SW* and *LW* at the TOA, by calculating the total modelled response of  $TOA_{net}$  then subtract the change in  $TOA_{net}$  due to the combined effects of albedo, temperature, and water vapour (equations 670 A12-A13).

$$\Delta SW_{cloud} = \Delta SW_{model} - K_{\alpha} \times \Delta \alpha_i$$

$$-K_{\alpha} \times \Delta \alpha_s - \sum_{sfc}^{toa} K_{q,SW} \times \Delta q$$
(A12)

671

$$\Delta LW_{cloud} = \Delta LW_{model} - K_{T_s} \times \Delta T_s$$

$$-\sum_{sfc}^{toa} K_T \times \Delta T - \sum_{sfc}^{toa} K_{q,LW} \times \Delta q$$
(A13)

Because we do not diagnose  $\Delta LW_{cloud}$  or  $\Delta SW_{cloud}$  directly from a cloud kernel, the  $\Delta LW_{cloud}$  or  $\Delta SW_{cloud}$  terms necessarily also include any potential residual terms associated with the kernel. That is, if the actual direct response of TOA *SW* to  $\Delta \alpha_i$  in our simulations differs from the  $\Delta SW_{\alpha_i}$ predicted by  $K_{\alpha}$  because, for example, the mean state of cloud cover in our SLIM-CAM5 simulations differs substantially from the mean state of cloud cover in the CLM-CAM5 model, that difference would necessarily be included in the  $\Delta SW_{cloud}$  and  $\Delta LW_{cloud}$  terms here.

<sup>678</sup> We also consider changes in the shortwave cloud forcing (*SWCF*) and longwave cloud forcing <sup>679</sup> (*LWCF*). This is a different quantity than  $\Delta SW_{cloud}$  and  $\Delta LW_{cloud}$  (see, for example, figure 11 in <sup>680</sup> Soden et al. 2008).  $\Delta SW_{cloud}$  and  $\Delta LW_{cloud}$  are the change in TOA *SW* and *LW* radiation due to <sup>681</sup> the change in cloud cover resulting from our imposed land surface property change. In contrast, <sup>682</sup> the *SWCF* and *LWCF* quantify the difference in TOA *SW* and *LW* radiation between cloudy (full <sup>683</sup> sky) and cloud-free (clear sky) conditions (equation A14-A15).

$$SWCF = SW_{clearsky} - SW_{fullsky} \tag{A14}$$

$$LWCF = LW_{fullsky} - LW_{clearsky} \tag{A15}$$

Note the different order of the full sky and clear sky terms for SWCF vs. LWCF. This is beause TOA SW (LW) fluxes are, by convention, positive downwards (upwards). This definition of SWCFand LWCF is such that positive values indicate more energy into the system as a result of cloud <sup>687</sup> cover. Over land, *SWCF* is usually negative because clouds reflect sunlight, while *LWCF* is usu-<sup>688</sup> ally positive because cloud tops tend to radiate at cooler temperatures than the ground below them. <sup>689</sup> The *change* in *SWCF* and *LWCF* as a result of changes in land surface properties can occur with-<sup>690</sup> out any change in cloud cover (e.g. changing land surface albedo modifies  $SW_{clearsky}$  and thus <sup>691</sup> *SWCF*), but can also occur as a result of changes in cloud cover.

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# 846 LIST OF TABLES

847 848 849 850 851	Table 1.	Table of the globally averaged annual mean (and standard deviation) of the components of the TOA energy budget breakdown. Mean values are bold where they exceed the standard deviation. All fluxes in this table are considered positive downwards, such that a positive (negative) value means a net gain (loss) of energy at the TOA due to each component.
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853		forcing and feedback term, calculated using the area-weighted Pearson-r cor-
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855		pattern of the TOA response to each surface property, and not the intensity, and
856		(b) the imposed albedo change is zero everywhere for a change in land surface
857		evaporative resistance

	Decrease in land albedo:										
	dT OA <sub>net</sub>	dSW <sub>TOA,net</sub>	dLW <sub>TOA,net</sub>	$dSW_{\alpha_i}$	$dSW_{\alpha_s}$	$dSW_q$	$dLW_q$	$dLW_{T_s}$	$dLW_T$	dSW <sub>clouds</sub>	dLW <sub>clouds</sub>
mean	0.08	2.03	-1.95	1.60	0.52	0.42	2.03	-0.72	-2.77	-0.51	-0.49
std	0.65	0.38	0.39	0.04	0.10	0.05	0.26	0.06	0.55	0.37	0.21
	Increase in land evaporative resistance										
	dTOA <sub>net</sub>	dSW <sub>model</sub>	dLW <sub>model</sub>	$dSW_{\alpha_i}$	$dSW_{\alpha_s}$	$dSW_q$	$dLW_q$	$dLW_{T_s}$	$dLW_T$	dSW <sub>clouds</sub>	dLW <sub>clouds</sub>
mean	0.04	0.85	-0.81	0	0.15	0.18	0.97	-0.27	-0.8	0.52	-0.70
std	0.62	0.4	0.37	0	0.08	0.05	0.26	0.06	0.53	0.41	0.19

TABLE 1. Table of the globally averaged annual mean (and standard deviation) of the components of the TOA energy budget breakdown. Mean values are bold where they exceed the standard deviation. All fluxes in this table are considered positive downwards, such that a positive (negative) value means a net gain (loss) of energy at the TOA due to each component.

TOA Breakdown Term	Pattern Correlation
Albedo (Snow/Ice)	0.38
SW Water Vapour	0.87
LW Water Vapour	0.89
LW from Surface Temperature	0.73
LW from Column Air Temperature	0.87
SW Cloud Effects	0.37
LW Cloud Effect	0.52
Total TOA SW Response	0.48
Total TOA LW Response	0.52
Total TOA net Response	0.33

TABLE 2. Pattern correlation between the TOA energy budget response to each individual forcing and feedback term, calculated using the area-weighted Pearson-r correlation coefficient. Note that (a)this only accounts for correlation between the *pattern* of the TOA response to each surface property, and not the intensity, and (b) the imposed albedo change is zero everywhere for a change in land surface evaporative resistance.

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875 876 877 878 879 880 881 882 883 884 885 886	Fig. 3.	Change in annual mean net top of atmosphere energy fluxes $[W/m^2]$ as a result of decreasing land surface albedo. All fluxes (SW and LW) are shown positive down such that red colours indicate more energy absorbed by the Earth system, while blue colours indicate more energy lost by the Earth system. (a) shows the change in TOA net SW radiation from the imposed change in albedo. (b) shows change in TOA net SW radiation from albedo changes to do changes in snow and ice. (c) and (d) show, respectively, changes in TOA SW and LW radi- ation from changes in column water vapour. (e) shows change in TOA LW from combined changes in the surface radiative temperature and changes in air temperature. (f) shows the total change in TOA SW + LW from changes in cloud cover. The effect of cloud cover is separated into its impact on TOA SW in (g) and TOA LW in (h). The area-weighted global mean value for each term is shown to the lower left of each map. Only values that differ with $p < 0.05$ in a students' t-test are shown.	47
887 888	Fig. 4.	Same as figure 3, but for an increase in land surface evaporative resistance. Note that in this case, there is no imposed change in land surface albedo.	48
889 890 891 892 893 894 895 896 897 898 899 900 901 902 903	Fig. 5.	Relationship between the zonal-mean latitude of the ITCZ (measured as the center of mass of tropical precipitation $\phi_p$ ) and the magnitude of cross-equatorial energy flux (in PW). The relationship is shown for (a) the annual mean, (b) December/January/February, and (c) June/July/August. Each small dot represents the annual average of a single year from 5 30-year model runs: a "baseline" simulation with a global land albedo of $\alpha = 0.2$ and evaporative resistance of $r_s = 100$ s/m, a dark land simulation with $\alpha = 0.1$ , a bright land simulation with $\alpha = 0.3$ , a high evaporative resistance run with $r_s = 200$ s/m, and a low evaporative resistance run with $r_s = 30$ s/m. The large grey circle shows the multi-year average of the baseline ( $\alpha = 0.2$ , $r_s = 100$ s/m) simulation, while the black square and red triangle show the multi-year average of the dark ( $\alpha = 0.1$ ) and high evaporative resistance ( $r_s = 200$ s/m) simulations, respectively. The slope of the linear relationship between cross- equatorial atmospheric energy transport calculated using the TOA energy imbalance and the ITCZ location is noted in the upper right of each panel, while the same relationship calculated using vertically integrated moist static energy and meridional winds is noted in brackets.	49
904 905 906 907 908 909 910 911 912	Fig. 6.	The breakdown of the change in the zonally averaged annual mean location the ITCZ (mea- sured by $\phi_p$ ) resulting from each component, re-scaled to a 1° total northwards shift. Solid (hatched) bars show the change in the zonal mean ITCZ location for a uniform decrease of land surface albedo (increase of evaporative resistance). From left to right, bars show: the total modelled change (dark grey); the change due to the sum of all of the individual components (light gray); the change attributable to the imposed change in albedo (oragne), the change in albedo due to changes in snow and ice (yellow), <i>LW</i> effects due to changes in surface temperature (dark purple), <i>LW</i> effects to due vertical changes in the atmospheric temperature profile (lilac), <i>SW</i> changes due to changes in water vapour (light green), <i>LW</i>	

913	changes due to changes in water vapour (dark green), SW changes due to changes in cloud
914	cover (light blue), and LW changes due to changes in cloud cover (dark blue). The magni-
915	tude of the ITCZ shift is noted above each bar, as well as the p value taken from a students'
916	t-test, where $p < 0.05$ indicates a significant shift from the baseline simulation

#### Net TOA SW + LW

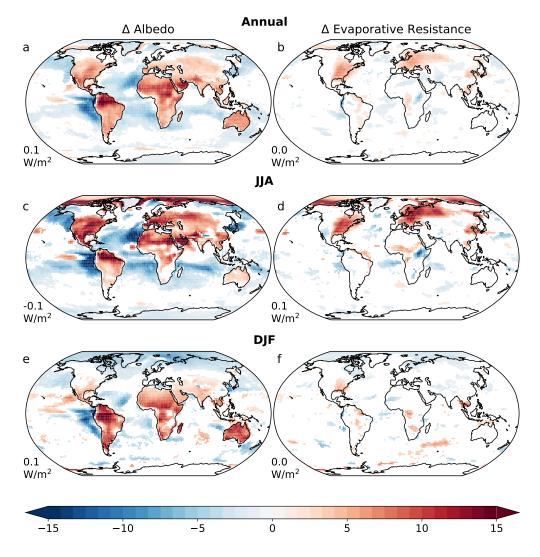


FIG. 1. Total change in net TOA SW + LW as a result of decreasing land albedo (left column) and increasing land evaporative resistance (right column) for (a) the annual mean, (b) June-July-August, and (c) December-January-February. The global mean value [W/m<sup>2</sup>] of the change in net TOA radiation is noted to the lower left of each panel. Only values that differ with p < 0.05 in a students' t-test are shown.

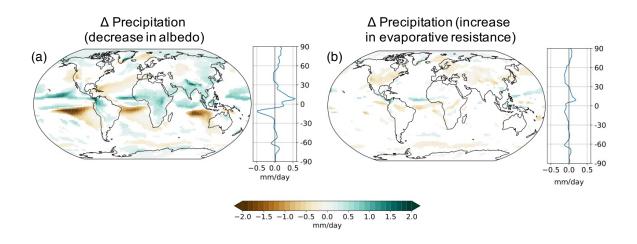


FIG. 2. Map (and zonal average) of the change in annual mean precipitation for (a) a 0.1 decrease in land surface albedo and (b) a 100 s/m increase in land surface evaporative resistance. Only values with p < 0.05 in a student's t-test are shown for the maps.

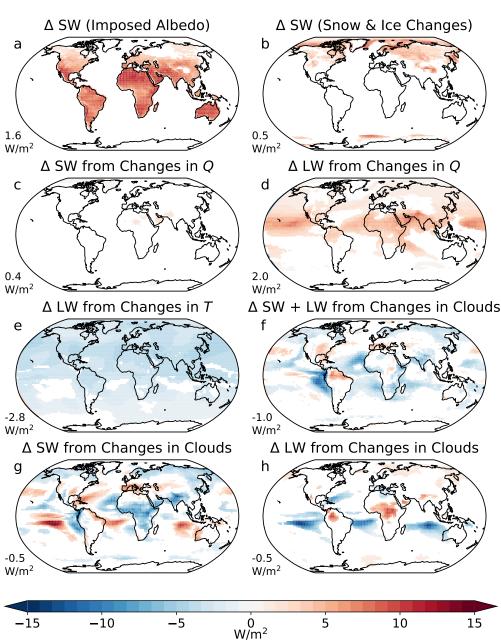
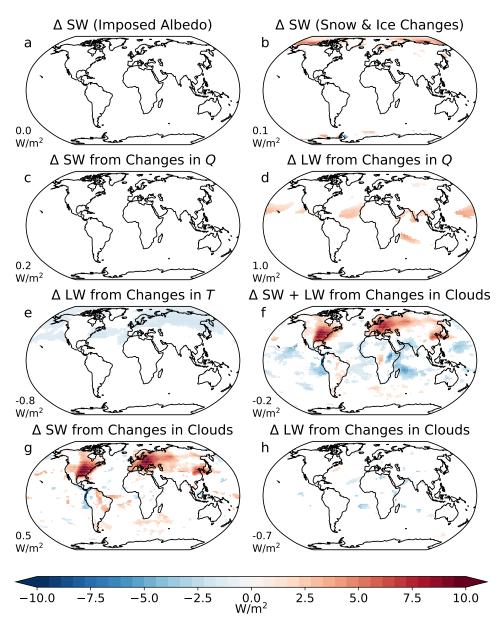


FIG. 3. Change in annual mean net top of atmosphere energy fluxes [W/m<sup>2</sup>] as a result of decreasing land 924 surface albedo. All fluxes (SW and LW) are shown positive down such that red colours indicate more energy 925 absorbed by the Earth system, while blue colours indicate more energy lost by the Earth system. (a) shows the 926 change in TOA net SW radiation from the imposed change in albedo. (b) shows change in TOA net SW radiation 927 from albedo changes to do changes in snow and ice. (c) and (d) show, respectively, changes in TOA SW and LW 928 radiation from changes in column water vapour. (e) shows change in TOA LW from combined changes in the 929 surface radiative temperature and changes in air temperature. (f) shows the total change in TOA SW + LW from 930 48 changes in cloud cover. The effect of cloud cover is separated into its impact on TOA SW in (g) and TOA LW 931 in (h). The area-weighted global mean value for each term is shown to the lower left of each map. Only values 932

### Net TOA flux breakdown, decrease in land albedo ANN



Net TOA flux breakdown, increase in land evaporative resistance (ANN)

FIG. 4. Same as figure 3, but for an increase in land surface evaporative resistance. Note that in this case, there is no imposed change in land surface albedo.

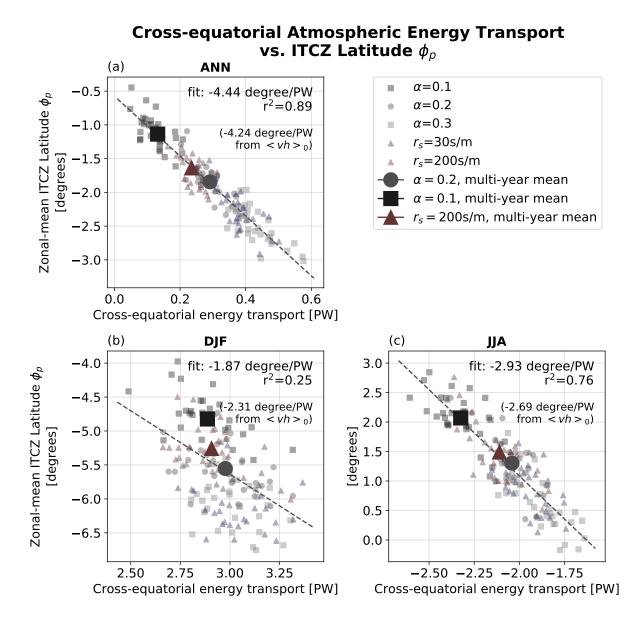
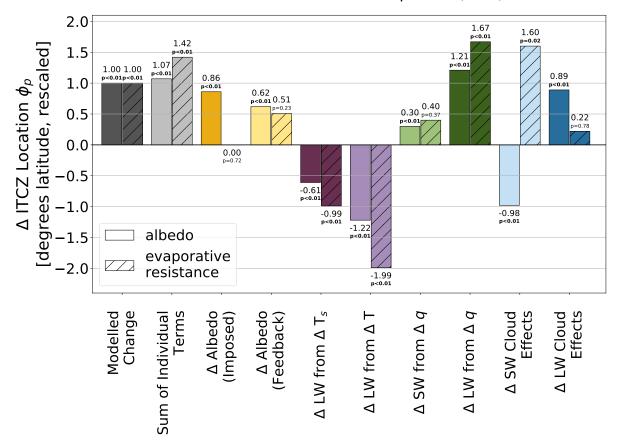


FIG. 5. Relationship between the zonal-mean latitude of the ITCZ (measured as the center of mass of tropical 936 precipitation  $\phi_p$ ) and the magnitude of cross-equatorial energy flux (in PW). The relationship is shown for (a) the 937 annual mean, (b) December/January/February, and (c) June/July/August. Each small dot represents the annual 938 average of a single year from 5 30-year model runs: a "baseline" simulation with a global land albedo of  $\alpha = 0.2$ 939 and evaporative resistance of  $r_s = 100$  s/m, a dark land simulation with  $\alpha = 0.1$ , a bright land simulation with 940  $\alpha = 0.3$ , a high evaporative resistance run with  $r_s = 200$  s/m, and a low evaporative resistance run with  $r_s = 30$ 941 s/m. The large grey circle shows the multi-year average of the baseline ( $\alpha = 0.2$ ,  $r_s = 100$ s/m) simulation, 942 while the black square and red triangle show the multi-year average of the dark ( $\alpha = 0.1$ ) and high evaporative 943 resistance ( $r_s = 200$  s/m) simulations, respectively. The slope of the linear relationship between cross-equatorial 944 atmospheric energy transport calculated using the TOA energy imbalance and the ITCZ location is noted in the 945 upper right of each panel, while the same relationship calculated using vertically integrated moist static energy 946 50 and meridional winds is noted in brackets. 947



### Attribution of $\Delta$ ITCZ Location $\phi_p$ from Each TOA Breakdown Component (ANN)

FIG. 6. The breakdown of the change in the zonally averaged annual mean location the ITCZ (measured 948 by  $\phi_p$ ) resulting from each component, re-scaled to a 1° total northwards shift. Solid (hatched) bars show the 949 change in the zonal mean ITCZ location for a uniform decrease of land surface albedo (increase of evaporative 950 resistance). From left to right, bars show: the total modelled change (dark grey); the change due to the sum of 951 all of the individual components (light gray); the change attributable to the imposed change in albedo (oragne), 952 the change in albedo due to changes in snow and ice (yellow), LW effects due to changes in surface temperature 953 (dark purple), LW effects to due vertical changes in the atmospheric temperature profile (lilac), SW changes due 954 to changes in water vapour (light green), LW changes due to changes in water vapour (dark green), SW changes 955 due to changes in cloud cover (light blue), and LW changes due to changes in cloud cover (dark blue). The 956 magnitude of the ITCZ shift is noted above each bar, as well as the p value taken from a students' t-test, where 957 p < 0.05 indicates a significant shift from the baseline simulation. 958