- 1 Mechanical Response of Shallow Crust to Groundwater Storage Variations:
- 2 Inferences from Deformation and Seismic Observations in the Eastern Southern
- 3 Alps, Italy
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#### 15 **Key Points:**

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- Changes in terrestrial water storage modulate horizontal transient deformation
- Pressure changes in shallow fractures cause large stress changes at seismogenic depth
- Background seismicity rates are correlated with terrestrial water content

#### **Abstract**

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22 It is known that changes in continental water storage can produce vertical surface deformation, 23 induce crustal stress perturbations and modulate seismicity rates. However, the degree to which local changes in terrestrial water content influence crustal stresses and the occurrence of 24 earthquakes remains an open problem. We show how changes in terrestrial water storage, 25 26 computed for a ~1000 km<sup>2</sup> basin, focus deformation in a narrow zone, causing horizontal, nonseasonal displacements. We present results from a karstic mountain range located at the edge of 27 the Adria-Eurasia plate boundary system in northern Italy, where shortening is accommodated 28 across an active fold-and-thrust belt. The presence of geological structures with high 29 permeabilities and of deeply rooted hydrologically-active fractures focus groundwater fluxes and 30 pressure changes, generating transient horizontal deformation and perturbations of crustal stress 31 up to 25 kPa, at seismogenic depths. The background seismicity rates appear correlated, without 32 evident temporal delay, with the terrestrial water content in the hydrological basin. Being 33 independent from hydraulic diffusivity, seismicity modulation is likely affected by direct stress 34 changes on faults planes. 35

### Plain Language Summary

- Recognizing non-seasonal deformation associated with groundwater level changes is mandatory 37 for improving the accuracies of tectonic deformation rates and for detecting small tectonic 38 39 transient deformation. Here we present the results obtained from the analysis of ground GNSS displacements time-series integrated by hydrological and mechanical modeling in the Eastern 40 41 Southern Alps. We find that water convergence toward a hydrologically-conductive zone can generate mm-scale horizontal surface displacements. Furthermore, we prove that water 42 43 accumulation can generate stress changes large enough to influence the rates of the seismic events occurrence. 44
- 45 **Keywords**: GNSS; hydrology; non-tectonic deformation; stress changes; seismicity rates; Alps

#### 46 **1 Introduction**

- Constant redistribution of surface loads due to continental hydrology (van Dam et al., 2001) 47 causes measurable deformation of the Earth's surface. In particular, seasonal hydrological mass 48 movements turned out to influence tectonic deformation of the lithosphere and modulate 49 50 seismicity rates in several tectonic environments (e.g., Bettinelli et al., 2008; Craig et al., 2017; Johnson et al., 2019; Lowry, 2006). While seasonal modulation of seismicity associated with 51 vertical loading is a known process, hydrologically-driven deformation mainly acting on the 52 horizontal components have been more recently recognized. Silverii et al. (2020) describe 53 54 horizontal seasonal deformation caused by poroelastic processes related to snowmelt runoff water infiltrating into the Sierra Nevada slopes around Long Valley Caldera (USA); Oestreicher 55 (2018) shows repetitive and reversible horizontal movements of up to  $\sim$ 55mm on annual scales, 56 strongly correlated with modeled groundwater levels in Southern Alps (New Zealand); Silverii et 57 58 al. (2016) recognize transient deformation signals in GPS horizontal time series, controlled by seasonal and interannual phases of groundwater recharge/discharge of karst aquifers in the 59 Apennines (Italy); Devoti et al (2015) and Serpelloni et al. (2018) describe horizontal transient 60 deformation signals in the Southern Alps (Italy), correlated with precipitation. 61
- 62 Both in the Apennines and in the Alps, changes in groundwater levels in karst aquifers, or
- 63 fractures associated with karst systems, are considered the most likely mechanisms to explain the

observed deformation, which is characterized by larger displacements in the horizontal 64 components than in the vertical one. Since direct measurements of groundwater levels at 65 mesoscale are difficult to obtain, particularly in mountain regions, such as in the study area (see 66 Fig. 8 from Chen et al., 2018), the hydrological nature of these aforementioned deformation 67 signals has been suggested based on temporal correlation between geodetic displacements and 68 meteorological and hydrological data like precipitation, spring discharge, river flow, snow water 69 equivalent and lake levels. The Gravity Recovery and Climate Experiment (GRACE) provides 70 complementary independent observations of total water mass (Equivalent Water Thickness, 71 EWT), but its spatial resolution is lower than scales of 300 km (Famiglietti et al., 2011). As a 72 result, at spatial scales significantly lower than the GRACE resolution, when direct 73 measurements of groundwater contents are not available (e.g, because of the lack of water wells) 74 the geodetic signals are more often correlated with groundwater proxy data (mainly precipitation 75 and spring discharge). Serpelloni et al. (2018) studying the Adria-Eurasia plate boundary in Italy 76 and Slovenia showed that detected geodetic transient signals are poorly correlated with daily 77 precipitation data, but highly correlated, without temporal delay, with the history of cumulated 78 precipitations at monthly time scales. However, the physical interpretation of this monthly-scale 79 cumulative precipitation signal, in terms of hydrological cycle and groundwater changes, is not 80 straightforward. 81

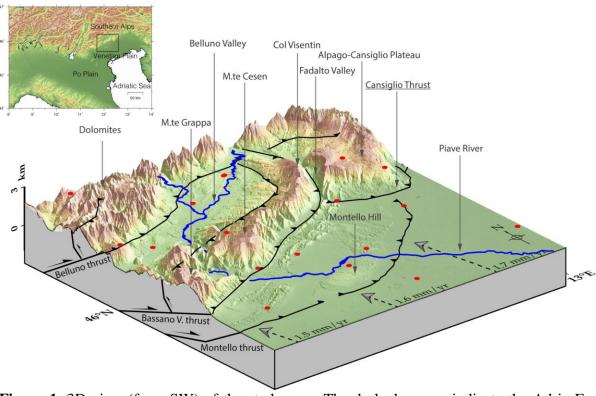
Identifying and extracting non-tectonic signals from geodetic measurements remains critical to 82 detect potential tectonic signals of small amplitude and to improve the accuracy and precision of 83 interseismic deformation estimates. Moreover, studying hydrological deformation signals can 84 provide new clues on elastic (Chanard et al., 2014; Drouin et al., 2016) and viscoelastic (Chanard 85 et al., 2018) properties of the Earth, on continental water storage fluctuations (Borsa et al., 2014; 86 Fu et al., 2013) and on the possible relationship between hydrologically-driven stress changes 87 and earthquake nucleation. Two mechanisms by which hydrology can modulate earthquake 88 89 occurrence have been suggested: variations in pore-fluid pressure at hypocentral depths (Hainzl et al., 2006) and direct stress on the fault plane (Bettinelli et al., 2008; Craig et al., 2017; 90 D'Agostino et al., 2018; Johnson et al., 2017). An effective way to discriminate between these 91 two processes is the presence of a time lag between hydrological indicators and seismicity rates. 92 In fact, while the effect of the direct stress can be considered instantaneous, hydraulic diffusivity 93 at hypocentral depth determine a time lag between hydrological and seismological indicators, if 94 pore-fluid pressure variations are the main driver of earthquake rates modulation. 95

In this work we study a segment of the Adria-Eurasia plate boundary in North-Eastern Italy (Fig. 96 1), hit by strong historical earthquakes (e.g., the Mw 6.5, 1695 Asolo earthquake; Rovida et al., 97 2020) and where the larger part of plate convergence is presently accommodated across a south-98 vering fold-and-thrust belt (Anderlini et al., 2020; Serpelloni et al., 2016). The main thrusts are, 99 from the internal parts to the foreland, the Valsugana thrust, the Belluno thrust and the Bassano-100 Valdobbiadene thrust (BVT), the latter being associated with a morphological relief of ~1200 m 101 above the plain, known as Pedemountain flexure (Fig. 1). The southernmost active front is now 102 mainly buried beneath the alluvial deposits of the Venetian plain and sealed by Late Miocene to 103 Quaternary (~7-2.5 Ma) deposits (Fantoni et al., 2002), consisting in the Montello thrust 104 (Fantoni et al., 2002; Galadini et al., 2005). The Montello hill (Fig. 1) is generally interpreted as 105 an actively growing ramp anticline on top of the north dipping thrust that has migrated south of 106 the mountain into the foreland (Serpelloni et al., 2016). 107

The main geomorphological feature of the area is the presence of the NE-SW oriented Belluno Valley, where the Piave river flows, bounded to the north by the Dolomites and to the south by

the Monte Grappa massif, the Monte Cesen-Col Visentin (MCCV) mountain chain and the Alpago-Cansiglio plateau (see Fig. 1). The MCCV is the morphological expression of an anticline associated with the BVT and back thrust system, and it is crossed by the Piave river that flows to the southeast reaching the Montello hill. Highly productive fissured, hydrologically independent, karst aquifers are present in the area (Fig. 3; Filippini et al., 2018): in the Dolomites, one associated with the MCCV and one with the Alpago-Cansiglio plateau.

In this work we link hydrology to crustal deformation and geological structures by adopting physically-based models constrained by precipitation, temperature and river flow data and subsurface geological information. We show how water collected in a ~1000 km² basin focuses groundwater fluxes and pressure changes in a relatively narrow geological structure, generating transient horizontal deformation and perturbations of crustal stress of up to 25 kPa at seismogenic depths. Finally, we study the correlation between total water storage (TWS) changes and seismicity rates through a statistical approach.



**Figure 1**. 3D view (from SW) of the study area. The dashed arrows indicate the Adria-Eurasia convergence rate and direction, predicted from a GNSS-derived rotation pole (Serpelloni et al., 2016). The digital elevation model, with topographic exaggeration, is obtained from ALOS Global Digital Surface Model data. The black lines represent the major fault lines (fault names are reported in the vertical section or with underlined text). The red dots indicate the position of the GPS stations.

#### 2 GNSS data and time-series analysis

In this work we extended the analysis carried out by Serpelloni et al. (2018), including more stations and a longer time-span, focusing on one specific sector (Fig. 1). The displacement time-series from GNSS stations in the 2010.0-2019.3 time span (Fig. 2 and Supplementary Fig. S1), obtained following the procedures described in the Supplementary Text S1, have been analyzed

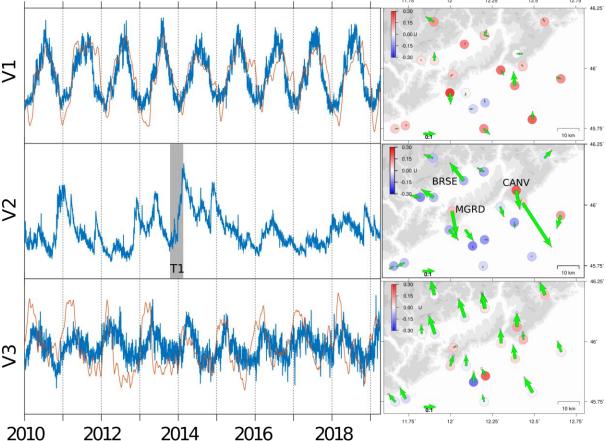
with a blind source separation algorithm based on variational Bayesian Independent Component Analysis (vbICA; Gualandi et al., 2016). This method has been successfully used to extract hydrological and tectonic transient signals from GNSS displacements time series (Gualandi et al., 2017a; Gualandi et al., 2017b); it uses a generative model to recreate the observations and allows extracting the spatiotemporal information of independent sources of deformation directly

from the observations, without imposing any specific spatial distribution or temporal function. 142 The output of this analysis is the definition of a limited number of sources, or components, 143 characterized by a specific spatial distribution (U) and following a specific temporal evolution 144 (V). A weight coefficient S (in mm) is necessary to rescale their contribution in explaining the 145 original data. Each independent component (IC) is described by a mix of Gaussians, which 146 allows for more flexibility in the description of the sources with respect to classical independent 147 component analysis (ICA) techniques. It allows to consistently take into account missing data in 148 the data set (Chan et al., 2003) and provides an estimate of the uncertainty associated with each 149 IC. The displacement time series at a given station can be reconstructed by linearly summing up 150 the contributions from all the ICs, each of which is obtained by multiplying the specific spatial 151 distribution by the associated weight times the temporal evolution. 152

With the goal of reducing the correlation of the data set, the original time series are initially detrended, which results in a more efficient search of the IC direction (Gualandi et al., 2016). Differently from Serpelloni et al. (2018), the trend of each GPS station is estimated in a multivariate statistical manner, by applying a vbICA analysis on displacement-time series realized in a Adria-fixed reference frame, as described in the Supplementary Text S1.2. This approach is effective in removing the linear trend in case of strong non-linear signals and short time-series.

Once detrended, according to the F-test, 3 ICs are necessary to satisfactorily reconstruct the 160 observed displacements. The temporal evolution (V) and spatial responses (U) of the three ICs 161 are shown in Fig. 2. Seasonal annual displacements in the vertical and NS directions (IC1 and 162 IC3) occur in response to surface hydrological mass loading (Serpelloni et al., 2018). A non-163 seasonal, horizontal transient deformation signal (IC2, Fig. 2), characterized by spatially variable 164 amplitudes and directions, causes GNSS stations to reverse the sense of movement with time, 165 resulting in a sequence of dilatational and compressional deformation oriented about normal to 166 the mountain front. 167

Serpelloni et al. (2018) found that the temporal evolution of this signal somehow correlates with 168 the history of cumulated precipitations at monthly timescales. Nonetheless, the link between 169 surface deformation and changes in groundwater content remains difficult to find, because of the 170 lack of water wells in the mountainous areas and because of the limited spatial extent of the area 171 affected by this transient geodetic deformation signal. EWT values estimated from GRACE have 172 a spatial resolution larger than 300 km (Famiglietti et al., 2011), with a monthly temporal 173 resolution. In the next section we use a lumped parameter hydrological model to estimate daily 174 changes of continental water content to be compared with the temporal evolution of IC2, 175 studying the link between changes in groundwater level, geological structures and local 176 seismicity rates. 177



**Figure 2**. Temporal evolution (V; in blue) of the three ICs defined from the vbICA analysis and the corresponding spatial response in the horizontal (green arrows) and vertical (coloured circles) components, respectively. The gray area indicates the time interval (T1 = 10 October 2013 to 22 February 2014) for which ground displacements have been computed and shown in Fig. 3. The red lines superimposed to V1 and V3 represent the mean vertical and N-S displacements caused by surface mass loading, respectively, estimated from the ERA-interim (European Centre for Medium-Range Weather Forecasts, ECMWF reanalysis) model and provided by http://loading.u-strasbg.fr (Gegout et al., 2010).

### 3 Surface deformation and link with hydrology

Water redistribution on the continents implies several processes that cover a wide range of spatial and temporal scales. At scales larger than several hundreds of kilometers, GRACE satellite observations or land surface models, such as the GLDAS modeling platform (Rodell, 2016), can provide a fair estimate of TWS changes and are typically used to compute surface displacements (e.g, Craig et al., 2017). At local scale, ground-based observations such as soil moisture and groundwater head can describe storage and pore-pressure changes, but their spatial representativity is limited. At regional/meso-scale, water storage observations are rare. River discharge, for example, is representative over the drained area (i.e. catchment), but only represents one flux contributing to storage changes. In this work, we model meso-scale water storage changes using a model that is driven by meteorological river discharge observations.

Water storage changes in a downstream sub-catchment (see Supplementary Fig. S3) can be estimated based on the mass balance equation:

$$202 dS/dt = P + Qin - E - Qout - Qgw (1)$$

where P, E, Qin, Qout, Qgw are respectively precipitation, actual evapotranspiration, incoming 203 river inflow, outcoming river discharge, and potential groundwater import/export in a 204 surrounding basin. Among the different water fluxes, P, Qin and Qout can be measured, whereas 205 actual evapotranspiration and Qgw should be estimated with a model. It is worth noting that at 206 regional scales (<100 km), lateral water fluxes could be significant, especially in a mountainous 207 region, where the convergence of water from steep basins to valleys with gentle slopes favour 208 transient accumulation of a large amount of water. Such lateral flow processes are hardly 209 modeled within large-scale hydrological models. 210

The tool we use to estimate the right side factors of eq. 1 is the lumped parameter hydrological 211 212 model GR5J (Pushpalatha et al., 2011), which allow us to quantify daily TWS changes at the scale of single hydrological basins (Fig. 3). The GR5J rainfall-runoff model is based on two 213 storage compartments, which mimic the typical response of soils and groundwater to antecedent 214 This model is forced with precipitation, temperature and potential 215 precipitation. evapotranspiration and computes actual river discharge. It is typically calibrated on observed 216 river discharge to define the eight mathematical parameters defining the dynamics of the two 217 stores and their relations. The best set of parameters values is then defined by a Marquard-218 Levenberg least squares regression analysis using root mean square error on the logarithm of 219 discharge as an objective function. As discharge vary over two orders of magnitude, calibrating 220 221 the model on the logarithm of the discharge is preferred to ensure that both high and low flows have a similar weight. Finally, total water storage changes are computed as the sum of both 222 223 stores.

- The GR5J inputs are a daily value of precipitation, temperature and potential evapotranspiration; 224 therefore, we estimate the precipitation and temperature value form 1 January 2010 to 31 March 225 31 2019 by computing a daily weighted mean of in-situ measurements provided by the Veneto 226 227 Regional Agency for Environmental Prevention and Protection (ARPAV, http://www.arpa.veneto.it/bollettini/storico), using the Thiessen polygon method (Supplementary 228 Text S2.1). Potential evapotranspiration has been evaluated by using the Jensen-Haise method 229 (Jensen et al., 1990; Supplementary Text S2.2). 230
- In the study area we define three hydrological basins by using the drainage direction maps 231 (available on www.hydrosheds.org/page/availability) and watershed outlets located at the river 232 discharge measurements on the Piave river at Belluno, Segusino and of the Cordevole river at 233 234 Ponte Mas (see Fig. 3). The region of interest, though, is limited to a portion of a watershed located in the Belluno Valley. Considering the availability of river discharge data upstream and 235 downstream this region, the model is calibrated and water storage changes computed on each of 236 the watershed (Supplementary Text S2.3). The final TWS<sub>res</sub> is set as the storage difference 237 between the largest basin (Piave at Segusino) and its subbasins (Cordevole at Ponte Mas and the 238 Piave at Belluno) as 239

$$240 \quad TWS_{res} = TWS_{seg} - (TWS_{cor} + TWS_{bel})$$
 (2)

Where TWS<sub>seg</sub>, TWS<sub>cor</sub>, TWS<sub>bel</sub> indicate the TWS computed in the Piave at Segusino, Cordevole at Ponte Mas and Piave at Belluno watersheds, respectively.

Fig. 4 shows that the normalized temporal evolution of the non-seasonal deformation signal (V2)

244 and TWS<sub>res</sub> are clearly correlated (Pearson correlation coefficient = 0.83), demonstrating that this

transient deformation component is driven by changes in groundwater contents. The agreement

246 is good both during (rapid)  $TWS_{res}$  increase and (slower)  $TWS_{res}$  decrease, either when small

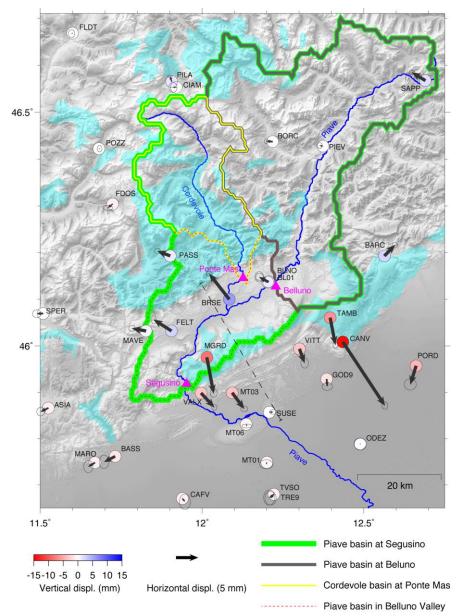
247 and/or slow TWS<sub>res</sub> changes happen and during extreme events. This process is also displayed in

248 Supplementary Movie S1.

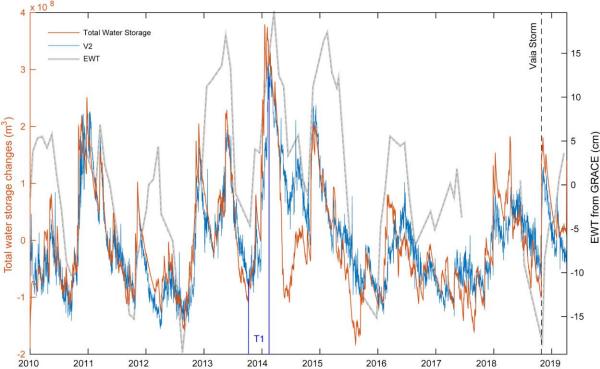
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On October 29th, 2018, storm Vaia, with >300 mm of cumulative precipitation in 72 hours and wind gusts exceeding 200 km/h, hit north-eastern Italy, causing the loss of 8 million cubic meters of standing trees. This extreme event is well recorded as a rapid increase of TWS<sub>res</sub> (dashed line in Fig. 4) corresponding to extensional deformation recorded by the GNSS network, with the largest offsets at MGRD ( $\sim$ 5 mm toward SE) and BRSE ( $\sim$ 2.5 mm toward NW).

Figure 4 shows how EWT from GRACE and GRACE-FO measurements, processed at JPL using 254 the Mascon approach (Version2/RL06, Watkins et al., 2015) is, as expected, overall less accurate 255 in describing water storage changes at the scale of the hydrological basin studied in this work. As 256 for TWS<sub>res</sub>, EWT has ben detrended (linear trend= -1.04 cm/yr). Due to the sparse temporal 257 sampling, EWT from GRACE misses most of the higher frequencies changes, resulting in a 258 prevalent annual signal. Most importantly, it appears to be overall less accurate in representing 259 the fast dynamics of a karst system in terms of changes in groundwater levels, as detected by the 260 local hydrological model. This is likely due to the spatial resolution of GRACE measurements, 261 which are prone to large errors when considering areas below 30,000 km<sup>2</sup> (Doumbia et al., 2019). 262 As a consequence, GRACE data do not allow to identify rapid and localized water storage 263 variations at the scale of the study area, being however useful to study hydrological processes at 264 scales of mountain belts (Chen et al. 2018; Silverii et al., 2016). 265



**Figure 3**. Hydrological map and geodetic displacements in the study region. Piave and Cordevole rivers (in blue) are gauged at three locations (purple triangles), defining three watersheds (yellow, green and grey) and the 883 km² region in-between (Belluno Valley, red dashed line) where water storage changes are modeled. Highly productive fissured karst aquifers are highlighted in cyan from the International Hydrogeological Map of Europe 1:1,500,000 (http://www.bgr.bund.de/ihme1500). Regional horizontal (black arrows) and vertical displacements (color dots), described by the second source of independent component analysis (IC2) on 67 GNSS stations during T1 period (winter 2013-2014) are superimposed (see also Fig. 2). The dashed black line show the trace of the geological cross section of Fig. 5.



**Figure 4**. Temporal evolution of the modeled water storage changes in the Belluno Valley (orange, left axis), the geodetic IC2 (blue) and the EWT from GRACE and GRACE-FO measurements (gray). The linear trend of each curve has been removed (see Section 3). The blue vertical black lines indicate the T1 period and the vertical dashed line shows the epoch of the intense Vaia storm.

#### 4 Surface deformation and link with geology

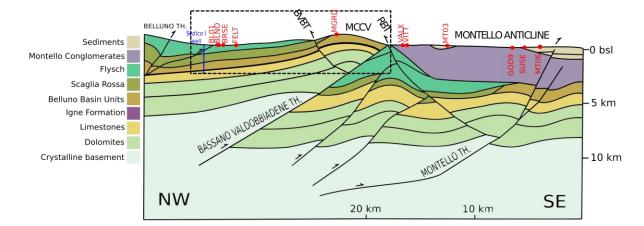
 Transient displacements in the Alps have been interpreted as due to pressure changes associated with water level variations in vertical karst fractures (Devoti et al., 2015; Serpelloni et al., 2018). For the study area, Serpelloni et al. (2018) used a vertical tensile dislocation, in a homogeneous and uniform half space, showing that a similar structure is required to explain the horizontal displacements. However, the model proposed in Serpelloni et al. (2018) has limited relationships with the geology and hydrology of the area

In this work we develop a two-dimensional finite-element model (FEM) with the goal of testing different sources of deformation potentially able to accommodate groundwater level changes in the Belluno Valley, by comparing model results with the ground displacement pattern associated with IC2 (Fig. 2). The 2D model (the trace of the profile is shown as a discontinuous line in Fig. 3) is defined on the basis of the geological cross-section proposed by Galadini et al. (2005). We use the "Solid Mechanics" physics module of the COMSOL software (Supplementary Text S3.1), considering the problem as quasi-static at daily time scales and resolving the model as "stationary". The model is constrained by geological and geophysical information and in agreement with both local seismicity (Danesi et al., 2015; Romano et al., 2019) and seismic prospections (Fantoni et al., 2002). The cross section is normal to the strike of the MCCV mountain range, that is almost parallel to the directions of geodetic displacements associated with IC2 (Fig. 2). We use data from the GNSS stations located within a distance of 20 km from the cross section (considering a length of ~40 km of the Belluno Valley), whose positions and

- displacements are projected along the direction of the profile (Fig. 5). This analysis is focused
- on a specific time interval (10 October 2013 to 22 February 2014; T1 in Fig. 2 and Fig. 4),
- 307 corresponding with a period of rapid increase of TWS<sub>res</sub> and extensional deformation (Fig. 2).
- The FEM model allows us to account for topography and subsurface geological features of the
- area, like the presence of faults and the different mechanical properties of the rock layers. The
- 310 rock mechanical parameters used (Supplementary Table S2), in particular the Young modulus
- and Poisson's ratio, are taken from Anselmi et al. (2011).
- 312 Assuming that the pressure variations caused by the accumulation of water are directly
- proportional to the TWS<sub>res</sub> changes, we test different models to describe the relation between
- 314 TWS<sub>res</sub> changes and the deformation associated with IC2 (Fig. 6). We consider two main
- families of water pressure distribution:
- 1) Models where pressure is distributed horizontally and applied vertically on the elastic domain:
- under this hypothesis, three models are tested: (i) the loading caused by water storage changes in
- an unconfined aquifer, hosted by the Belluno Basin Units, cause a downward pressure on the
- aquiclude (impermeable layer, here the Igne Formation) at the base of the aquifer (Model 1); (ii)
- We also take into account the possible role of the Bassano-Valdobbiadene back thrust (BVBT)
- and BVT faults as lateral aquiclude (Model 2); and (iii) we represent the surface loading on the
- 322 Belluno Valley, assuming storage changes in a very shallow water reservoir, localized along the
- 323 Piave river bed (Model 3).
- 324 2) Models where pressure is distributed vertically along sub-vertical structures and applied
- orthogonally in the modeled domain: under this hypothesis we test two models that mimic the
- 326 impact of pressure changes in a single open fracture reaching the surface, which represents the
- 327 network of fractured rocks in the damage-zone associated with the BVT (Model 4) and the
- 328 BVBT (Model 5) faults. Fault damage zones in the carbonate rocks, in fact, often host open
- 329 fractures (karst), demonstrating that they can also be conductive to fluid flow (Torabi et al.,
- 2019). Transient pressure changes are applied on the whole fracture, following Longuevergne et
- al. (2009). Such behavior has been validated in fractured karstic systems in Lesparre et al.
- 332 (2017).
- We use two criteria to evaluate how well a model reproduce the displacements pattern associated
- with IC2 (Supplementary Fig. S10): the first is the ratio between vertical and horizontal
- displacement at each GNSS station, which should not significantly exceed 1; the second is the
- number of stations with the horizontal displacements pattern in agreement in sign with IC2.
- 337 According to these criteria, the displacements pattern associated with IC2 is better reproduced by
- 338 the models where pressure is distributed vertically than the ones where pressure is distributed
- horizontally. In fact the vertical displacements generated by the Models 1, 2 and 3 are too large
- 340 compared to the horizontal ones, and the horizontal displacements pattern shows significant
- disagreement with the one associated with IC2 (Fig. 2). A detailed analysis of each tested model,
- in terms of fit of the horizontal and vertical displacements, can be found in the Supplementary
- 343 Text S3.2.
- The model that best reproduces the horizontal and vertical displacements is Model 5 (Fig. 6).
- 345 Here the fracture is considered hydrologically conductive (Faulkner et al., 2010) down to 0 m
- a.s.l where it intersects an impermeable formation (the Igne Formation, see section 6.1 for
- 347 details).
- We finally analyse the influence of the topography and of the rock stratification on the result
- obtained by using Model 5. This test is performed by considering both the case where the
- 350 topography is included but the domain is homogeneous (Model 5a), and the case of a

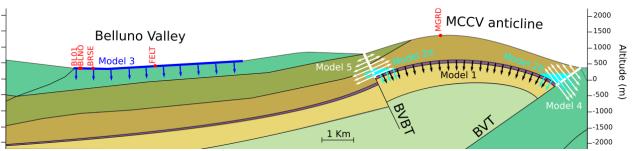
homogeneous domain with a flat free surface. We computed the horizontal and vertical displacements of the three models (Model 5b, Supplementary Fig. S11). We find that there is no significant difference among the three models (i.e., 5, 5a, and 5b) in reproducing the horizontal displacements. The vertical displacements generated by the two models in which the topography is included are very similar (Models 5 and 5a), but they differ from the results obtained using a model with a flat free surface (Model 5b). Nonetheless, since the noise level is higher in the vertical component than the horizontal one, the horizontal is considered as the most informative, as discussed in the Supplementary Text S3.2. Then, topography and layering do not significantly affect the horizontal displacements, while the vertical ones are more affected by the inclusion of the topography than the rock stratification.





**Figure 5.** Geological cross section of the study area, modified from (Galadini et al., 2005); red dots: position of the GPS stations projected along this profile. RBT: Revine back thrust; BVBT: Bassano-Valdobbiadene back thrust. The dashed rectangle represents the area shown in Fig. 6.





**Figure 6.** Zoom on the 2D model cross-section of Fig. 5, showing a schematic representation of the tested models used to explain the horizontal displacements reconstructed by IC2. MCCV: Mount Cesen-Col Visentin anticline; BVT: Bassano-Valdobbiadene thrust; BVBT: Bassano-Valdobbiadene back thrust. Rock formations are shown with the same legend of Fig. 5.

# 5 Studying the link between hydrology and seismicity

In Section 3 we demonstrate the link between changes in groundwater storage and surface deformation (Fig. 3) and in Section 4 we provide a physical model explaining this process, linked to the local geological features. In this section we investigate and test possible

relationships between changes in TWS<sub>res</sub> and seismicity rates. We use the local earthquake catalogue from Romano et al. (2019), which contains high-resolution relocations of 1609 events with magnitudes ranging from -0.8 to 4.5, in the period January 2012 to October 2017. This catalog was produced using data from the Collalto Seismic Network (Priolo et al., 2015) and represents one of the most accurate, high quality, earthquake catalogue for this sector of the Southern Alps.

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Before exploring any possible link between seismicity rates and hydrological observations, first we calculate the completeness magnitude (Mc), obtaining a value Mc=0.7 for the full data set; the number of events above Mc is 731 (for details see Supplementary Text S4.1). Afterwards, we identify and remove the aftershock events that are more likely associated with earthquake stresstriggering processes. This analysis is performed by declustering the catalog in the time domain using the epidemic-type aftershock sequences model ETAS (Ogata, 1998). The resulting partition between background seismicity (372 events) and aftershocks (359 events) is presented in Fig. 7a. More details of this process are presented in the Supplementary Text S4.1. It is worth noting that, in the ETAS model, the background seismicity is assumed to be generated by a homogeneous Poisson process that is physically associated with a constant-rate tectonic loading process. However, the ETAS-based declustering process does not guarantee that the resulting background seismicity is actually stationary (Console et al., 2010); as a result, it is actually possible to observe temporal fluctuations in the background seismicity obtained after the temporal declustering process. This departure from stationarity is supposed to be caused by the temporal activation or quiescence of seismic sources forced by processes having a physical cause outside the stationary tectonic loading assumed by ETAS (Zhuang et al., 2002).

In this article we explore the presence of possible correlations between temporal variations in water storage observations, deformation and the background seismicity. With this aim, we adopt the covariate model proposed by Garcia-Aristizabal (2018), which allows us to perform a robust statistical evaluation of possible relationships between TWS<sub>res</sub> changes ( $x_{TWS}$ ) and background seismicity rates. This model has been previously used to study seismicity triggered by underground anthropogenic activity (as e.g., pressurized fluid injections, Garcia-Aristizabal 2018). The work presented in this article is the first application of this model to study correlations between seismicity and natural processes. According to Garcia-Aristizabal (2018), when the forcing process generating the seismicity in a given zone is stationary in time (as e.g., a constant tectonic loading), the background seismicity rates can be stochastically modelled using a homogeneous Poisson process; it implies that seismicity rates follow the Poisson distribution and, consequently, the times between consecutive events (inter-event times,  $t_{IFT}$ ) follow the exponential distribution. However, if the forcing process is non-stationary, and if it is possible to identify measurable parameters as *proxies* of the processes driving such non stationary behavior, then it is possible to model correlations between changes in seismicity rates and changes in the proxy parameters by linking them as covariates of the stochastic model parameters. In order to explore this possibility we set the exponential distribution as the basic template function for modelling the distribution of  $t_{IET}$ :

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$$f\left(t_{IET} \vee \mu(x_{TWS})\right) = \frac{1}{\mu(x_{TWS})} exp\left(\frac{-t_{IET}}{\mu(x_{TWS})}\right)$$
420 (3)

and the possible dependencies on hydrological data (in this case  $x_{TWS}$ ) are modelled writing the  $\mu$  parameter of the exponential distribution in terms of deterministic functions of  $x_{TWS}$  of the explanatory covariate (Supplementary Text S4.3).  $x_{TWS}$  is measured respect to a reference TWS<sub>res</sub>

assumed to be the minimum value reached by this parameter in the analysed period. We hypothesize that seismicity rates observed in this zone may be the result of the superposition of a constant rate due to tectonic loading and a seismicity rate perturbation caused by hydrologically-driven stress changes. With this aim we test polynomial functions relating  $log(\mu)$  and  $x_{TWS}$  as follows:

$$429 \quad log[\mu(x_{TWS})] = \sum_{i=0}^{n} \alpha_i (x_{TWS})^j \tag{4}$$

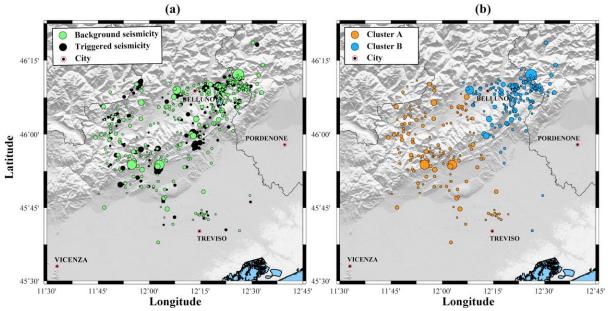
where  $\alpha_i = (\alpha_1, \alpha_2, ..., \alpha_n)$  is a vector of coefficients of the polynomial function relating the  $\mu$ parameter of the exponential distribution with the selected covariate  $x_{TWS}$ . We study in particular two competing models: 1) the case n = 0, representing a stationary model (i.e., non dependence on  $x_{TWS}$ , and therefore the seismicity rates mainly associated to tectonic loading), and 2) the case n = 1, representing a log-linear relationship (that is, an exponential relationship between  $\mu$  and  $x_{TWS}$ ), which represents the case of seismicity rate resulting from constant tectonic loading modulated by the hydrological processes described by  $x_{TWS}$ . The input data are pairs of  $t_{IET}$  and the respective  $x_{TWS}$  averaged in a  $\Delta t$  time window (for which we test different values ranging from days to weeks). The inference of model parameter values is performed using a Markov chain Monte Carlo method, and the selection of the preferred model is performed calculating the Bayes factor,  $B_{KL}$  (Garcia-Aristizabal, 2018).  $B_{KL}$  summarizes the evidence provided by the data in favour of one specific model (K) as opposed to another (L), i.e.  $B_{KL} < 1$ supports the reference model (L), whereas  $B_{KL} > 1$  supports the K competing model (the higher the value, the more evidence in favor of K). Reference values for interpreting  $B_{KL}$  have been provided by Jeffreys (1961) and Raftery (1995) and are summarized in Supplementary Table S4 in the Supplementary Text S4.3.

The seismicity in the study area is mainly generated as a result of the Adria-Eurasia plate convergence process, accommodated by different, sub-parallel, thrust faults (Fig. 1). In order to quantitatively identify possible spatial sets of seismicity (possibly related to different seismotectonic features) we implement a cluster analysis in the spatial domain (Supplementary Text S4.2) using the k-means algorithm (MacQueen, 1967); the optimum partition is selected using the Silhouette approach (Rousseeuw, 1987). We find that the background seismicity can be partitioned into two main clusters (Fig. 7b): (i) cluster A (orange points), composed by 154 events located in the SW part of the domain, where earthquakes can be associated with the Montello thrust and the BVT faults (Danesi et al., 2015); (ii) cluster B (blue points), composed by 218 events located in the NE part of the domain, in which most of the seismicity can be associated with the N-dipping Cansiglio thrust fault (Galadini et al., 2005; Fig. 1b). This preferential cluster partitioning roughly reflects the two main features that we observe in the spatial distribution of the seismicity (Fig. 7a): a set of events mostly grouped in the NE part, and a more evenly distributed seismicity towards the SW.

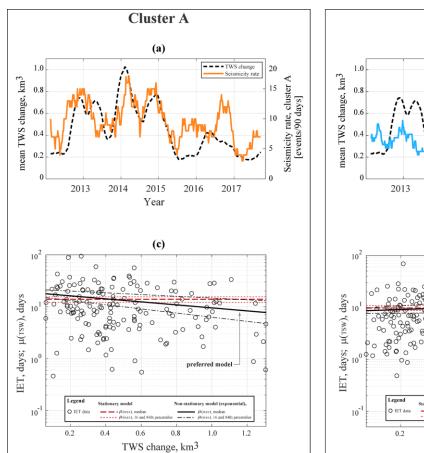
The correlation analysis using the covariate approach is then performed using the data from each spatial cluster of background seismicity. The parameter values of the fitted models are summarized in the Supplementary Table S6. Comparing plots of the moving average of both TWS<sub>res</sub> and the rate of seismic events (calculated in 90-days length time windows sliding at increments of 1 day) for cluster A (Fig. 8a) and cluster B (Fig. 8b), we observe that only the seismicity rate in cluster A tends to change in agreement with the changes in the TWS<sub>res</sub>. This observation is quantitatively confirmed by the covariate analysis (Supplementary Text S4.3), with the  $B_{KL}$  indicating that only for cluster A the non stationary model performs better than the alternative stationary solution (Supplementary Table S5). In fact, for cluster A (i.e., the

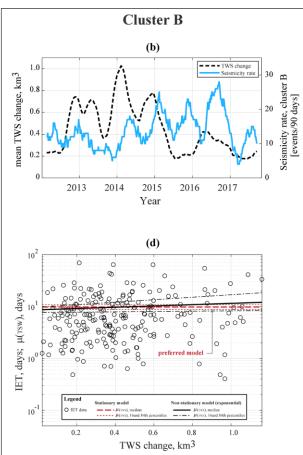
seismicity associated with the Montello thrust and the BVT faults) there is positive evidence  $(B_{KL} = 5.24)$  supporting a log-linear relationship between the seismicity rate (modelled through the distribution of inter-event times,  $t_{IET}$ ) and the TWS<sub>res</sub> changes, in contrast to a stationary reference model (Fig. 8c). For cluster B, the evidence supports the stationary model ( $B_{KL} = 0.57$ , Fig. 8d), indicating a not significant (or not identifiable) link between seismicity rates in the Cansiglio thrust fault zone and TWS<sub>res</sub> changes in the Belluno Valley. It is worth noting that our analysis do not identify a link between TWS<sub>res</sub> and the seismicity rates in cluster B. We cannot discern whether this result is related to an actual missing link between these two processes or a consequence of limitations in both methods and available data. In our opinion, both possibilities are plausible. A reasonable explanation for an eventual missing link between these two processes is that cluster B is located relatively far from the modeled source of deformation; consequently, the magnitude of the stress perturbation for this area (caused by the modeled deformation source) is smaller than the stress perturbation in the zone where cluster A is located (which is closer to the deformation source).





**Figure 7.** (a) Seismicity in the study area, separated as background (green circles) and triggered (black circles) seismicity according to the ETAS model. (b) Clusters (A and B) of background seismicity identified using spatial cluster analysis.





**Figure 8.** Moving average TWS (discontinuous black) and rate of seismic events in (a) cluster A (continuous orange) and (b) cluster B (continuous blue), calculated in 90-days length time windows sliding at increments of 1 day. Plot of inter-event times in (c) cluster A and (d) cluster B against TWS changes, and the results for the two tested models: stationary model (red) and Log-linear (black). Preferred models are indicated with the arrow in (c) (d).

#### **6 Discussion**

## 6.1 Hydromechanical coupling

In Sections 3 and 4 we describe the link between hydrological processes and solid Earth deformation by the joint interpretation of results from hydrological and mechanical models constrained by geodetic, hydrological, meteorological observations and geological/geophysical information on subsurface structural and tectonic settings. The high ratio between horizontal and vertical displacements (Supplementary Fig. S10), suggests that the most likely mechanism able to produce the horizontal, anisotropic, extensional deformation, observed during a phase of large water storage increase, is the water accumulation in narrows, subvertical, geological structures. The same mechanism is assumed to be able to explain smaller deformation associated with phases of smaller TWS<sub>res</sub> increase, and, with inverted sign, to explain the observed compressional deformation during phases of TWS<sub>res</sub> decrease, responding to the fast dynamics of karst systems, including extreme events (e.g., the 2018 Vaia storm).

We identify the back thrust associated with the Bassano-Valdobbiadene thrust fault as the main source of deformation. We assume that the network of damage-zone faults, which is modeled as a single fracture associated with BVBT (i.e., Model 5), are well connected and water-saturated

and the water level varies as the TWS<sub>res</sub>, causing pressure changes orthogonal to fracture walls. It 513 is likely that the water feeding the fracture mainly comes from the top of the MCCV mountain 514 chain: the higher fracture density at the hinge zone of the anticline (e.g., Feng and Gu, 2017) and 515 the well-developed epikarst in the exposed rock formations (Maiolica and Rosso Ammonitico) 516 suggest the presence of an epikarst circulation on the top of MCCV chain (Klimchouk and Sauro, 517 1996). The combined effect of the epikarst and the presence of a shallow, low permeable layer 518 (the Fonzaso formation, located at ~200 m of depth from the surface) facilitates the rapid 519 infiltration of precipitation water and its flow toward the back thrust, following the northward 520 inclination of rock layers and stratification. This hypothesis is supported by the observed lack of 521 a time-delay, at the daily time scale, between TWS<sub>res</sub> and the geodetic deformation signal (Fig. 522 4). However, we can not exclude that water can flow southward, toward the BVT, which might 523 behave similarly to the back thrust as an hydrologically active structure, at lower dip 524 (Supplementary Text S3.2, Model 4). Nonetheless, the site MGRD (Fig. 1a) moves toward the 525 BVT and away from the back thrust when TWS<sub>res</sub> increases, implying that the role of the 30° 526 dipping BVT, as hydrologically active structure, is likely to have a secondary effect with respect 527 to its back thrust. 528

529 A more precise description of the source of deformation, which includes the identification of both the fracture bottom position and the water level rise inside it, is not straightforward because 530 of the trade-off between fracture width and its opening (e.g., Devoti et al., 2015; Silverii et al., 531 532 2016). Because of the lack of evidences of aquifers reaching depths that are hundreds of meters below the sea level surface, and since the maximum water level variation measured in a similar 533 karst system is ~300 m (Milanovic, 2005), we assume the bottom position of the fracture at 0 m 534 above sea level, at the interface between the Vajont limestone and the more impermeable Igne 535 formation (see Fig. 6). Once set the bottom position of the fracture, the water level rise providing 536 the best match between modeled and observed displacements is 100 m (Supplementary Fig. 537 S10); this water level inside the fracture is located at about 10 m below the free surface when V2 538 reaches its maximum during the analyzed time-period (i.e., January, 2014). Furthermore, we 539 analyze the effect of the initial opening of the fracture when applying the same pressure values 540 on its walls, finding that assuming different initial opening values does not impact significantly 541 the resulting displacements (Supplementary Fig. S12). As a consequence, the volumes of water 542 involved cannot be quantified, since the only quantity affecting the displacements is the water 543 level variation, while the initial fracture opening does not play a key role. 544

Given the spatial distribution and density of available geodetic data, the 2D numerical model used in this work can be considered as an acceptable simplification. However, we are aware of its limitations. We are assuming that geological features (including, for example, outcropping formations, fracture spacing, strike of faults and fractures, topography) are constant along the SW-NE direction, for about 40 km, which is not necessarily true. A 2D model cannot take into account the fact the the MCCV mountain chain and associated thrust and back thrust faults curve north, going into the N-S trending Fadalto valley (see Fig. 1). More importantly, changes in water level along the back thrust are implicitly assumed to be uniform along its strike in a 2D model, but an heterogeneous change in water level can cause more localized deformation signals, which would be however difficult to detect with the present GNSS network configuration. Moreover, effects associated with similar processes occurring at nearby karst systems cannot be taken into consideration. Hydrological deformation in the Cansiglio plateau, in fact (Devoti et al., 2015; Serpelloni et al., 2018) may affect GNSS sites VITT and GOD9 (Fig. 1).

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#### 6.2 Seismotectonic implications

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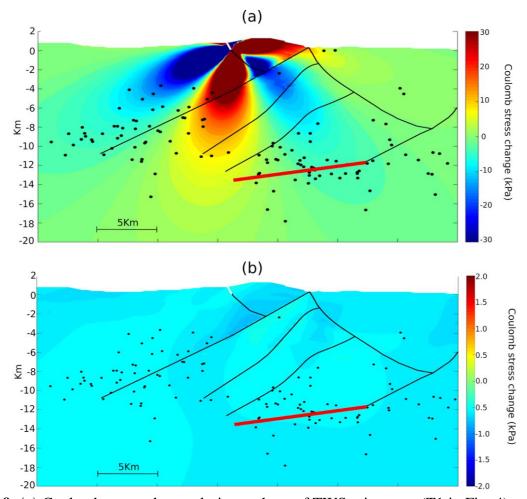
603 604 Two main mechanisms have been suggested to explain hydrological modulation of seismicity: variations in pore-fluid pressure at hypocentral depths (Hainzl et al., 2006) and direct stress on the fault plane (Bettinelli et al., 2008; Craig et al., 2017; D'Agostino et al., 2018; Johnson et al., 2017). In the latter case, there is usually a little or no time delay between hydrological indicators and seismicity rate. In the former, seismicity rate variations are usually delayed with respect to hydrological observations by a time lag, which is strictly dependent on the earthquake nucleation depth and on the hydraulic diffusivity of the material between the surface and the seismicity source. The lack of temporal delay between the seismicity rate and the TWS<sub>res</sub> (Fig. 8a) excludes an important role for poroelastic contributions, making the direct effect of stress changes at seismogenic depths the most likely process linking hydrology and seismicity.

In case of seasonal stress perturbations, seismicity rates can correlate either with the stress values or with stress rates, depending if the period of the stress perturbation ( $T_p$ ) is smaller or larger than a critical period ( $T_a$ ), which in turn is controlled by the loading plate velocity (Ader et al., 2014). The period that dominates the temporal evolution of stress in the study area is 1 yr (Supplementary Fig. S7), which is a value that  $T_a$  reaches only in rapidly deforming regions (Bettinelli et al., 2008). In slowly deforming regions, such as the Southern Alps,  $T_a$  usually assumes larger values. This observation is consistent with our findings, implying that stress changes are proportional to the magnitude of the TWS<sub>res</sub> and not to its time derivative (which represents whether TWS<sub>res</sub> is in an increasing or decreasing phase).

We estimate the stress change associated with the deformation caused by the water pressure increase (T1 time window in Fig. 4) in the hypothesized fracture source. In practice, we calculate the Coulomb failure function (CFF, Supplementary Text S3.3) on receiving planes oriented in agreement with the compressional tectonic regime of the area. Fig. 9a shows CFF values obtained assuming a shallow-dipping (10°) decollement (i.e. the Montello flat) as receiving source, showing that in the depth interval where most of the seismicity associated with cluster A (see Fig. 7b) is located (4-14 km), positive stress changes may be as large as 25 kPa. These stress changes are larger than stressing rates from tectonic loading, which are expected to be of the order of 1-3 kPa/yr (Caporali et al., 2018). Similar values are obtained, but with different spatial patterns, assuming different thrust-receiving sources; however, a spatial correlation between areas of stress increase and background seismicity is not evident. However, it is worth considering that the faulting mechanisms of the background seismicity are not well constrained, and the focal mechanisms available for the events in the catalogue of Romano et al. (2019), or other studies (e.g., Anselmi et al., 2011), show a large range of mechanisms, including normal, thrust and strike-slip faulting on different planes. Therefore, while a clear spatial correlation between seismicity and regions of positive stress increase is not apparent, it is likely that the highly deformed upper crust, inherited by the complex tectonic evolution of the Southern Alps (Castellarin and Cantelli, 2000), provides heterogeneous response to the hydrologicallymodulated stress changes. Another important factor possibly playing a role in the apparent missing fit between seismicity locations and stress increase are the uncertainties in earthquake locations. Uncertainties in the location of small earthquakes are usually large; the formal errors reported with earthquake locations are mostly based on uncertainties on seismic-phase arrival time measurements that in general give unrealistic small mislocation errors respect to what is observed when other sources of uncertainty (as e.g. modelling errors related to travel time calculations and the nonlinearity of the location problem) are considered (see e.g., Garcia-Aristizabal et al. 2020).

It is however important to note that the amplitude of the CFF field generated by the TWS<sub>res</sub> increase in hydrologically active fracture is much larger than the one generated by the annual surface hydrological mass loading (Fig. 9b), which actually is considered as the main mechanisms that modulate seismicity rates in other regions (Hainzl et al., 2014; Johnson et al., 2017), where much greater annual vertical displacements, and consequently greater seasonal stress perturbations than those observed in the Alps, are present. We finally point out that, as shown in Supplementary Fig. S13, the topography and geological features of the domain do not significantly affect the CFF patterns.





**Figure 9.** (a) Coulomb stress change during a phase of TWS<sub>res</sub> increase (T1 in Fig. 4) caused by a source of deformation as in Model 5 (see Supplementary Text S3.2), considering planes parallel to the Montello decollement (dip angle= $10^{\circ}$ ), highlighted in red. (b) Coulomb stress change calculated on the same dipping planes considering as source of deformation a 1 kPa uniform load on the free surface. This value causes a subsidence of ~3.8 mm, which is consistent with the amplitude of the vertical displacements caused by the large scale superficial loading in the time interval that goes from summer to winter (see Fig. 2) and inhibits thrust faulting (negative CFF values in all the domain). The black dots represent the background seismicity of cluster A.

#### 7 Conclusions

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Using geodetic and environmental data, integrated into hydrological and mechanical models, we 627 show how water convergence toward a specific zone, can generate horizontal surface 628 629 displacements that are superimposed to annual surface hydrological loading and horizontal, linear, tectonic loading. We find that the background seismicity of a spatial cluster distributed 630 across the fold-and-thrust belt is correlated with the temporal evolution of water storage changes. 631 Although the limited spatial and temporal extent of the earthquake catalogue will require future 632 analyses to support this conclusion. Hydraulic pressure changes in a shallow hydrologically 633 active fracture (<1 km) can generate large shears (~10 kPa) in faults oriented orthogonally and at 634 distances of the order of ~10 km (horizontally and vertically). A highly deformed upper crust 635 may be responsible for an heterogeneous response to the hydrologically-modulated stress 636 changes. The link between hydrology, deformation and seismicity may be favoured by 1) the 637 existence of a (shallow) hydrologically-active fracture connected to the surface, 2) water 638 convergence from a watershed/river basin towards the hydrologically active structure, leading to 639 large water storage (and therefore water pressure) changes and 3) the existence of properly 640 oriented (orthogonal), seismically active structures (such as a classical thrust/back thrust couple). 641 In such contexts, horizontal deformation is best suited to highlight physical links between surface 642 deformation and hydro-mechanical processes occurring at depth. 643

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## 648 Competing interests

The authors declare no competing interests.

## 650 Data availability

- Precipitation, temperature and river flow data are provided by "Agenzia Regionale per la
- 652 Prevenzione e Protezione Ambientale del Veneto" (ARPAV):
- 653 https://www.arpa.veneto.it/bollettini/storico/Mappa\_2019\_TEMP.htm.
- 654 Extraterrestrial irradiance data are available from http://www.soda-pro.com/web-
- 655 services/radiation/extraterrestrial-irradiance-and-toa.
- 656 Drainage direction maps used to define river basins are available on
- 657 www.hydrosheds.org/page/availability.
- 658 The analyzed seismic catalog is available in the supplementary material of Romano et al. (2019).
- We use publicly available raw GNSS data. However, RINEX data can be requested to E.S., if not
- yet available on the original repositories.
- Raw GPS time series are available on https://doi.org/10.1594/PANGAEA.912895
- 662 The Collato Seismic Network data are available on https://doi.org/10.7914/SN/EV.
- 663 GRACE EWT data: D. N. Wiese, D.-N. Yuan, C. Boening, F. W. Landerer, M. M. Watkins.
- 664 2019. JPL GRACE and GRACE-FO Mascon Ocean, Ice, and Hydrology Equivalent Water

- 665 Height JPL Release 06 Version 02. Ver. 2. PO.DAAC, CA, USA. Data set accessed
- 666 [2020-04-29] at https://doi.org/10.5067/TEMSC-3MJ62.
- 667 Code availability
- 668 The MATLAB code for TWS estimation and vbICA decomposition are available from the
- 669 corresponding author on request.
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