

# 1 Remote hydrological control on crustal seismicity

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18 **Keywords:** GNSS; hydrology; non-tectonic deformation; stress changes; seismicity rates;

19 Alps

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21 **Highlights:**

- 22 • Changes in terrestrial water storage modulate horizontal transient deformation
- 23 • Hydrologically-active fractures focus groundwater fluxes and pressure changes
- 24 • Pressure changes in shallow fractures cause large stress changes at seismogenic  
25 depth
- 26 • Background seismicity rates are correlated with terrestrial water content

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29 It is known that changes in continental water storage can produce vertical surface  
30 deformation, induce crustal stress perturbations and modulate seismicity rates. However, the  
31 degree to which local changes in terrestrial water content influence the occurrence of  
32 earthquakes remains an open problem. We show how changes in terrestrial water storage,  
33 computed for a  $\sim 1000$  km<sup>2</sup> basin, focus deformation in a narrow zone, causing horizontal,  
34 non-seasonal displacements and modulating crustal seismicity rates. We present results  
35 from a karstic mountain range located at the edge of the Adria-Eurasia plate boundary  
36 system in northern Italy, where slow shortening rates ( $\sim 1$  mm/yr) are accommodated across  
37 a complex fold-and-thrust belt. The presence of geological structures with high  
38 permeabilities and of deeply rooted hydrologically-active fractures focus groundwater fluxes  
39 and pressure changes, generating transient horizontal deformation and perturbations of  
40 crustal stress up to 25 kPa at seismogenic depths. The background seismicity rates are  
41 correlated, without evident temporal delay, with the terrestrial water content in the  
42 hydrological basin. Being independent from hydraulic diffusivity, seismicity modulation is  
43 likely affected by direct stress changes on faults planes.

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## 52 **1. Introduction**

53 Constant redistribution of surface loads due to continental hydrology (van Dam et al., 2001)  
54 causes measurable deformation of the Earth's surface. In particular, seasonal hydrological  
55 mass movements turned out to influence tectonic deformation of the lithosphere and  
56 modulate seismicity rates in several tectonic environments (Bettinelli et al., 2008; Craig et  
57 al., 2017). While seasonal modulation of seismicity associated with vertical loading is a  
58 known process (Bettinelli et al., 2008; Craig et al., 2017), other hydrologically-driven non-  
59 seasonal deformation, mainly acting on the horizontal components, have been more recently  
60 recognized in the peri-Adriatic region (Devoti et al., 2018; Silverii et al., 2016; Serpelloni et  
61 al., 2018). Here, dense GNSS networks and important karst aquifers are present along the  
62 seismically active Apennine and South Alpine mountain chains. The hydrological nature of  
63 these deformation signals has been suggested based on temporal correlation between  
64 geodetic displacements and precipitation or spring discharge data (Hainzl et al., 2006;  
65 D'Agostino et al., 2018). Measurements of groundwater contents are not available because  
66 of the lack of water wells in mountainous regions; however, the Gravity Recovery and  
67 Climate Experiment (GRACE) can provide complementary independent observations of total  
68 water mass, but with a coarse spatial resolution (greater than scales of 300 km; Famiglietti et  
69 al., 2011). Changes in groundwater levels in karst aquifers, or fractures associated with karst  
70 systems, are considered the most likely mechanisms to explain the observed deformation,  
71 which is characterized by larger displacements in the horizontal components than in the  
72 vertical one (Devoti et al., 2015; Serpelloni et al., 2018).

73 Identifying and extracting non-tectonic signals from geodetic measurements remains critical  
74 to detect potential tectonic signals of small amplitude and to improve the accuracy and  
75 precision of interseismic deformation estimates. Moreover, studying hydrological deformation  
76 signals can provide new clues on elastic (Chanard et al., 2014; Drouin et al., 2016) and  
77 viscoelastic (Chanard et al., 2018) properties of the Earth, on continental water storage  
78 fluctuations (Borsa et al., 2014; Fu et al., 2013) and on the possible relationship between  
79 hydrologically-driven stress changes and earthquake nucleation. Two mechanisms by which  
80 hydrology can modulate earthquake occurrence have been suggested: variations in pore-

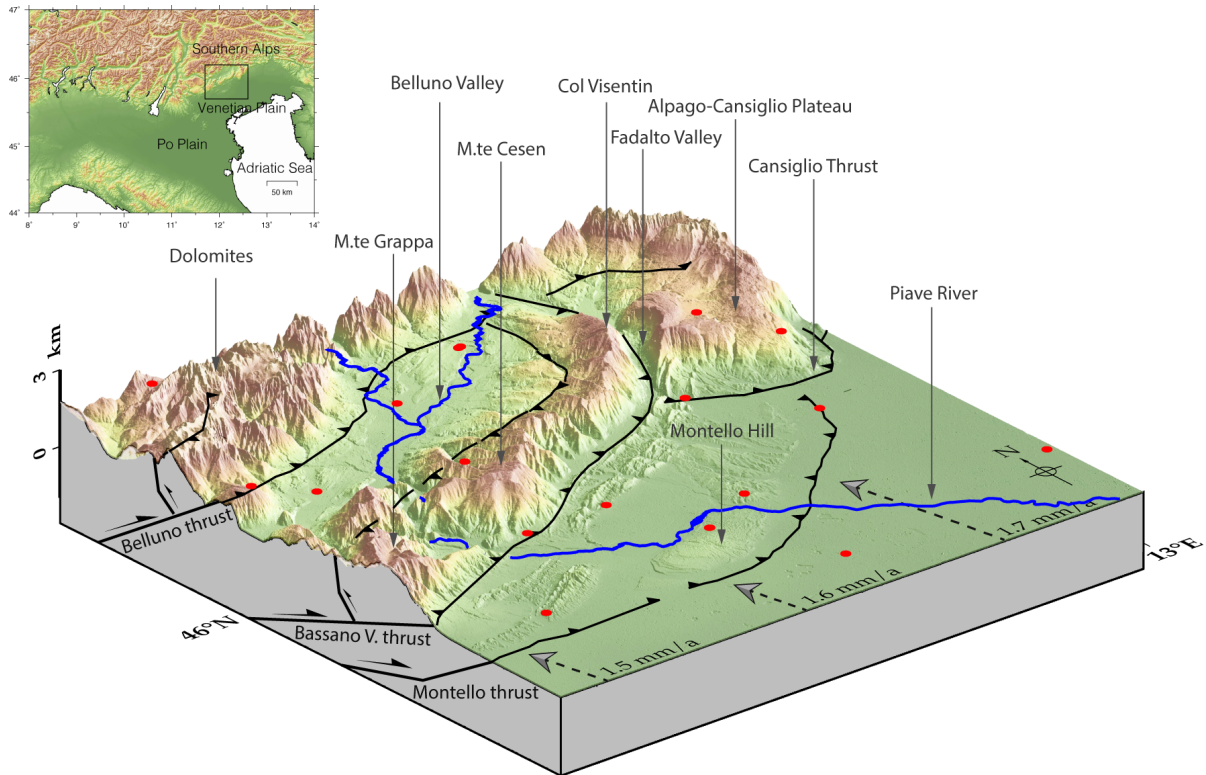
81 fluid pressure at hypocentral depths (Hainzl et al., 2006) and direct stress on the fault plane  
82 (Bettinelli et al., 2008; Craig et al., 2017; Johnson et al., 2017; D'Agostino et al., 2018). An  
83 effective way to discriminate between these two processes is the presence of a time lag  
84 between hydrological indicators and seismicity rates. In fact, while the effect of the direct  
85 stress can be considered instantaneous, hydraulic diffusivity at hypocentral depth determine  
86 a time lag between hydrological and seismological indicators, if pore-fluid pressure variations  
87 are the main driver of earthquake rates modulation.

88 In this work we study a segment of the Adria-Eurasia plate boundary in North-Eastern Italy  
89 (Fig. 1), where ~70% of the plate convergence is presently accommodated across a south-  
90 vering fold-and-thrust belt (Serpelloni et al., 2016; Anderlini et al., 2020). The main thrusts  
91 are, from the internal parts to the foreland, the Valsugana thrust, the Belluno thrust and the  
92 Bassano-Valdobbiadene thrust (BVT), the latter being associated with a morphological relief  
93 of ~1200 m above the plain, known as Pedemountain flexure (Fig. 1). The southernmost  
94 active front is now mainly buried beneath the alluvial deposits of the Venetian plain and  
95 sealed by Late Miocene to Quaternary (~7–2.5 Ma) deposits (Fantoni et al., 2002),  
96 consisting in the Montello thrust (Fantoni et al., 2002; Galadini et al., 2005). The Montello hill  
97 (Fig. 1) is generally interpreted as an actively growing ramp anticline on top of the north  
98 dipping thrust that has migrated south of the mountain into the foreland (Serpelloni et al.,  
99 2016).

100 The main geomorphological feature of the area is the presence of the NE-SW oriented  
101 Belluno Valley, where the Piave river flows, bounded to the north by the Dolomites and to the  
102 south by the Monte Grappa massif, the Monte Cesen-Col Visentin (MCCV) mountain chain  
103 and the Alpago-Cansiglio plateau (see Fig. 1). The MCCV is the morphological expression of  
104 an anticline associated with the BVT and back-thrust system, and it is crossed by the Piave  
105 river that flows to the southeast reaching the Montello hill. Highly productive fissured,  
106 hydrologically independent, karst aquifers are present in the area (Fig. 3; Filippini et al.,  
107 2018): in the Dolomites, one associated with the MCCV and one with the Alpago-Cansiglio  
108 plateau.

109 We find a strong temporal correlation between groundwater level changes in the Belluno

110 Valley, estimated from hydrological modeling, geodetic transient horizontal displacements  
111 and seismicity rates. We link hydrology to crustal deformation and geological structures by  
112 adopting physically-based models constrained by precipitation, temperature and river flow  
113 data and subsurface geological information; then, we show how water collected in a ~1000  
114 km<sup>2</sup> basin focuses groundwater fluxes and pressure changes in a relatively narrow  
115 geological structure, generating transient horizontal deformation and perturbations of crustal  
116 stress of up to 25 kPa at seismogenic depths, modulating seismicity.



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119 **Figure 1.** 3D view (from SW) of the study area. The dashed arrows indicate the Adria-  
120 Eurasia convergence rate and direction, predicted from a GNSS-derived rotation pole  
121 (Serpelloni et al., 2016). The digital elevation model, with topographic exaggeration, is  
122 obtained from ALOS Global Digital Surface Model data. The black lines represent the major  
123 fault lines. The red dots indicate the position of the GPS stations.

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## 128 **2. GNSS data and time-series analysis**

129 Displacement time-series from GNSS stations in the 2010.0-2019.3 time span (Fig. 2 and  
130 Supplementary Figure S1.1), obtained following the procedures described in the  
131 Supplementary material (S1.1), have been analyzed with a blind source separation algorithm  
132 based on variational Bayesian Independent Component Analysis (vbICA; Gualandi et al.,  
133 2016). This approach, which uses a generative model to recreate the observations, allows  
134 extracting the spatiotemporal information of independent sources of deformation without  
135 imposing any specific spatial distribution or temporal function but extracting them directly  
136 from the observations, and it has been successfully used to extract hydrological and tectonic  
137 transient signals from GNSS displacements time series (Gualandi et al., 2017a; Gualandi et  
138 al., 2017b; Serpelloni et al., 2018).

139 The output of this analysis is the definition of a limited number of sources, or components,  
140 characterized by a specific spatial distribution ( $U$ ) and following a specific temporal evolution  
141 ( $V$ ). A weight coefficient  $S$  (in mm) is necessary to rescale their contribution in explaining the  
142 original data. Each independent component (IC) is described by a mix of Gaussians, which  
143 allows for more flexibility in the description of the sources with respect to classical  
144 independent component analysis (ICA) techniques. It allows to consistently take into account  
145 missing data in the data set (Chan et al., 2003) and provides an estimate of the uncertainty  
146 associated with each IC. The displacement time series at a given station can be  
147 reconstructed by linearly summing up the contributions from all the ICs, each of which is  
148 obtained by multiplying the specific spatial distribution by the associated weight times the  
149 temporal evolution.

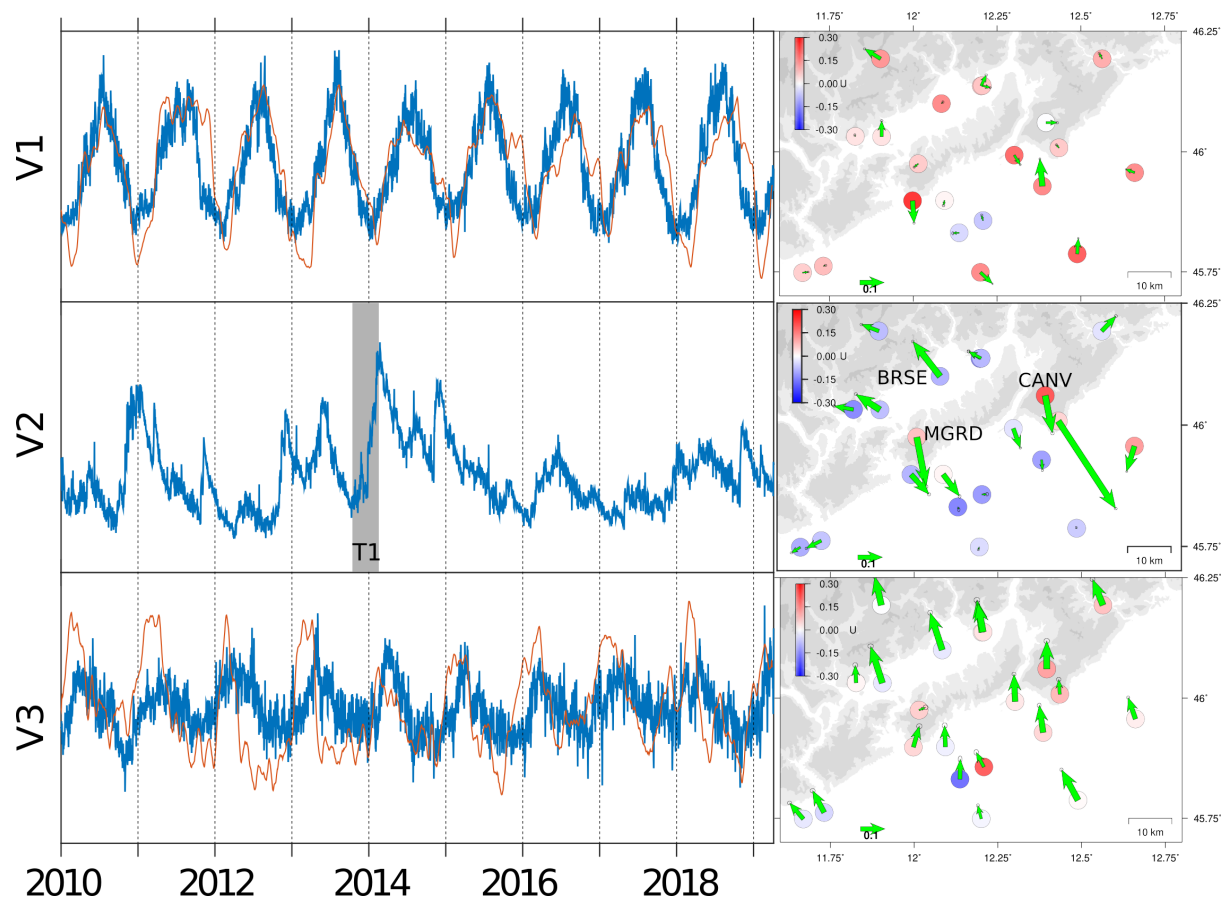
150 With the goal of reducing the correlation of the dataset, making the search of the IC direction  
151 easier (Gualandi et al., 2016), the original time series are initially detrended. Differently from  
152 previous works using this approach, the trend of each GPS station is estimated in a  
153 multivariate statistical manner, by applying a vbICA analysis on displacement-time series  
154 realized in a Adria-fixed reference frame, as described in the Supplementary material S1.2.  
155 This approach is effective in removing the linear trend in case of strong non-linear signals  
156 and short time-series.

157 Once detrended, according to the F-test, 3 ICs are necessary to satisfactorily reconstruct the  
158 observed displacements. The temporal evolution (V) and spatial responses (U) of the three  
159 ICs are shown in Fig. 2. Seasonal annual displacements in the vertical and NS directions  
160 (IC1 and IC3) occur in response to surface hydrological mass loading (Serpelloni et al.,  
161 2018). A non-seasonal, horizontal transient deformation signal (IC2, Fig. 2), characterized by  
162 spatially variable amplitudes and directions, causes GNSS stations to reverse the sense of  
163 movement with time, resulting in a sequence of dilatational and compressional deformation  
164 oriented about normal to the mountain front.

165 Serpelloni et al. (2018) found that the temporal evolution of this signal correlates, somehow,  
166 with the history of cumulated precipitations at monthly timescales. Nonetheless, the link  
167 between surface deformation and changes in groundwater content remains difficult to find,  
168 because of the lack of water wells in the mountainous area and because of the limited  
169 spatial extent of the area affected by this transient deformation. Equivalent water content  
170 estimated from GRACE can provide only coarse spatial (Famiglietti et al., 2011) and  
171 temporal resolution for this area (Supplementary material S2.4).

172 In the next section we will use a lumped parameter hydrological mode to estimate daily  
173 changes of continental water content to be compared with the temporal evolution of IC2.

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175 2010 2012 2014 2016 2018

176 **Figure 2.** Temporal evolution (V; in blue) of the three ICs defined from the vbICA analysis  
 177 and the corresponding spatial response in the horizontal (green arrows) and vertical  
 178 (coloured circles) components, respectively. The gray area indicates the time interval (T1 =  
 179 October 10th, 2013 - February 22nd, 2014) for which ground displacements have been  
 180 computed and shown in Fig. 3. The red lines superimposed to V1 and V3 represent the  
 181 mean vertical and N-S displacements caused by surface mass loading, respectively,  
 182 estimated from the ERA-interim (European Centre for Medium-Range Weather Forecasts,  
 183 ECMWF reanalysis) model and provided by <http://loading.u-strasbg.fr> (Gegout et al., 2010).

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### 191 3. Surface deformation and link with hydrology

192 Water redistribution on the continents implies several processes that cover a wide range of  
193 spatial and temporal scales. At scales larger than several hundreds of kilometers, GRACE  
194 satellite observations or land surface models, such as the GLDAS modeling platform (Rodell  
195 et al., 2004), can provide a fair estimate of total water storage (TWS) changes and are  
196 typically used to compute surface displacements (Craig et al., 2017). At local scale, ground  
197 observations such as soil moisture and groundwater head can describe storage and pore-  
198 pressure changes, but their spatial representativity is limited. At regional/meso-scale, water  
199 storage observations are rare. River discharge, for example, is representative over the  
200 drained area (i.e. catchment), but only represents one flux contributing to storage changes.  
201 In this work, we consider a modeling approach to define meso-scale water storage changes,  
202 which is driven by meteorological river discharge observations.

203 Water storage changes in a downstream sub-catchment (see Fig. S2.1 in the Supplementary  
204 material) can be estimated based on the mass balance equation:

$$205 \quad dS/dt = P + Q_{in} - E - Q_{out} - Q_{gw} \quad (1)$$

206 where  $P$ ,  $E$ ,  $Q_{in}$ ,  $Q_{out}$ ,  $Q_{gw}$  are respectively precipitation, actual evapotranspiration,  
207 incoming river inflow, outgoing river discharge, and potential groundwater import/export in  
208 a surrounding basin. Among the different water fluxes,  $P$ ,  $Q_{in}$  and  $Q_{out}$  can be measured,  
209 while actual evapotranspiration and  $Q_{gw}$  should be estimated with a model. It is worth noting  
210 that at regional scales ( $<100$  km), lateral water fluxes could be significant, especially in a  
211 mountainous region, where the convergence of water from steep basins to valleys with  
212 gentle slopes favour transient accumulation of large amount of water. Such lateral flow  
213 processes are hardly modeled within large-scale hydrological models.

214 The tool we use to estimate the right side factors of eq. 1 is the lumped parameter  
215 hydrological model GR5J (Pushpalatha et al., 2011), which finally allow us to quantify daily  
216 TWS changes at the scale of single hydrological basins (Fig. 3). The GR5J rainfall-runoff  
217 model is based on two storage compartments, which mimic the typical response of soils and  
218 groundwater to antecedent precipitation. This model is forced with precipitation, temperature  
219 and potential evapotranspiration and computes actual river discharge. It is typically

220 calibrated on observed river discharge to define the eight mathematical parameters defining  
221 the dynamics of the two stores and their relations. The best set of parameters values is then  
222 defined by a Marquard-Levenberg least squares regression analysis using root mean square  
223 error on the logarithm of discharge as an objective function. As discharge vary over two  
224 orders of magnitude, calibrating the model on the logarithm of the discharge is preferred to  
225 ensure that both high and low flows have a similar weight. In the end, total water storage  
226 changes is computed as the sum of both stores.  
227 Since the GR5J inputs are a daily value of precipitation, temperature and potential  
228 evapotranspiration, we estimate the precipitation and temperature value from January 1st,  
229 2010 to March 31st, 2019 by computing a daily weighted mean of in-situ observations  
230 managed by ARPAV (<http://www.arpa.veneto.it/bollettini/storico>), using the Thiessen polygon  
231 method (Supplementary material S2.1). Potential evapotranspiration has been evaluated by  
232 using the Jensen-Haise method (Jensen et al., 1990; Supplementary material S2.2).  
233 In the study area we define three hydrological basins by using the drainage direction maps  
234 available on [www.hydrosheds.org/page/availability](http://www.hydrosheds.org/page/availability) and watershed outlets located at the river  
235 discharge measurements on the Piave river at Belluno, Segusino and of the Cordevole river  
236 at Ponte Mas (see Fig. 3). The region of interest, though, is limited to a portion of a  
237 watershed located in the Belluno Valley. Considering the availability of river discharge data  
238 upstream and downstream this region, the model is calibrated and water storage changes  
239 computed on each of the watershed (Supplementary material S2.3). The final  $TWS_{res}$  is set  
240 as the storage difference between the largest basin (Piave at Segusino) and its subbasins  
241 (Cordevole at Ponte Mas and the Piave at Belluno) as

$$242 \quad TWS_{res} = TWS_{seg} - (TWS_{cor} + TWS_{bel}) \quad (2)$$

243 Where  $TWS_{seg}$ ,  $TWS_{cor}$ ,  $TWS_{bel}$  indicate the TWS computed in the Piave at Segusino,  
244 Cordevole at Ponte Mas and Piave at Belluno watersheds, respectively.

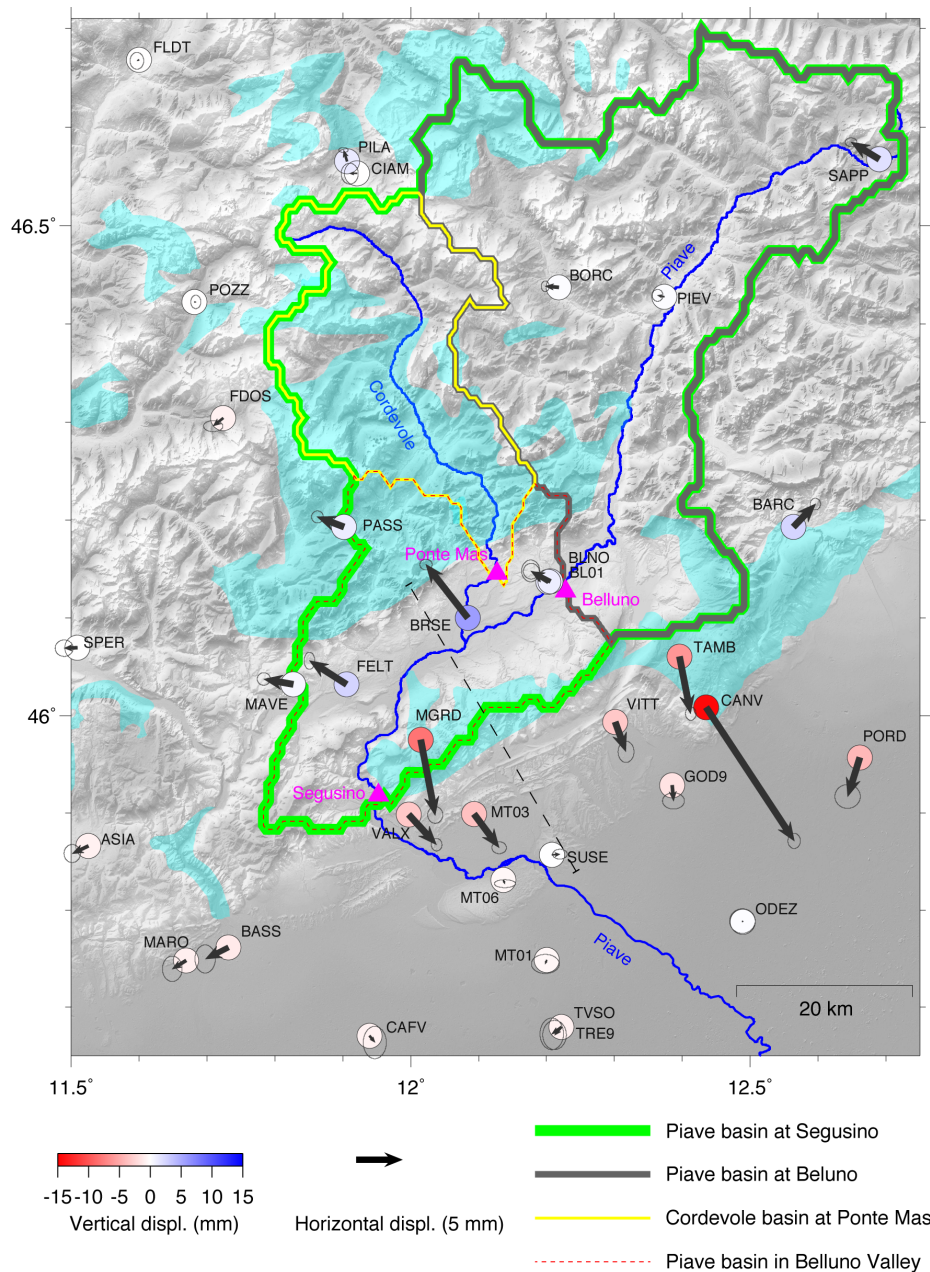
245 Fig. 4 shows that the normalized temporal evolution of the non-seasonal deformation signal  
246 (V2) and  $TWS_{res}$  are clearly correlated (Pearson correlation coefficient = 0.83),  
247 demonstrating that this transient deformation component is driven by changes in

248 groundwater contents. The agreement is good both during (rapid)  $TWS_{res}$  increase and  
249 (slower)  $TWS_{res}$  decrease, either when small and/or slow  $TWS_{res}$  changes happen and during  
250 extreme events. This process is also displayed in the Supplementary material V1.

251 On October 29th, 2018, storm Vaia, with >300 mm of cumulative precipitation in 72 hours  
252 and wind gusts exceeding 200 km/h, hit north-eastern Italy, causing the loss of 8 million  
253 cubic meters of standing trees. This extreme event is well recorded as a rapid increase of  
254  $TWS_{res}$  (dashed line in Fig. 4) corresponding to extensional deformation recorded by the  
255 GNSS network, with the largest offsets at MGRD (~5 mm toward SE) and BRSE (~2.5 mm  
256 toward NW).

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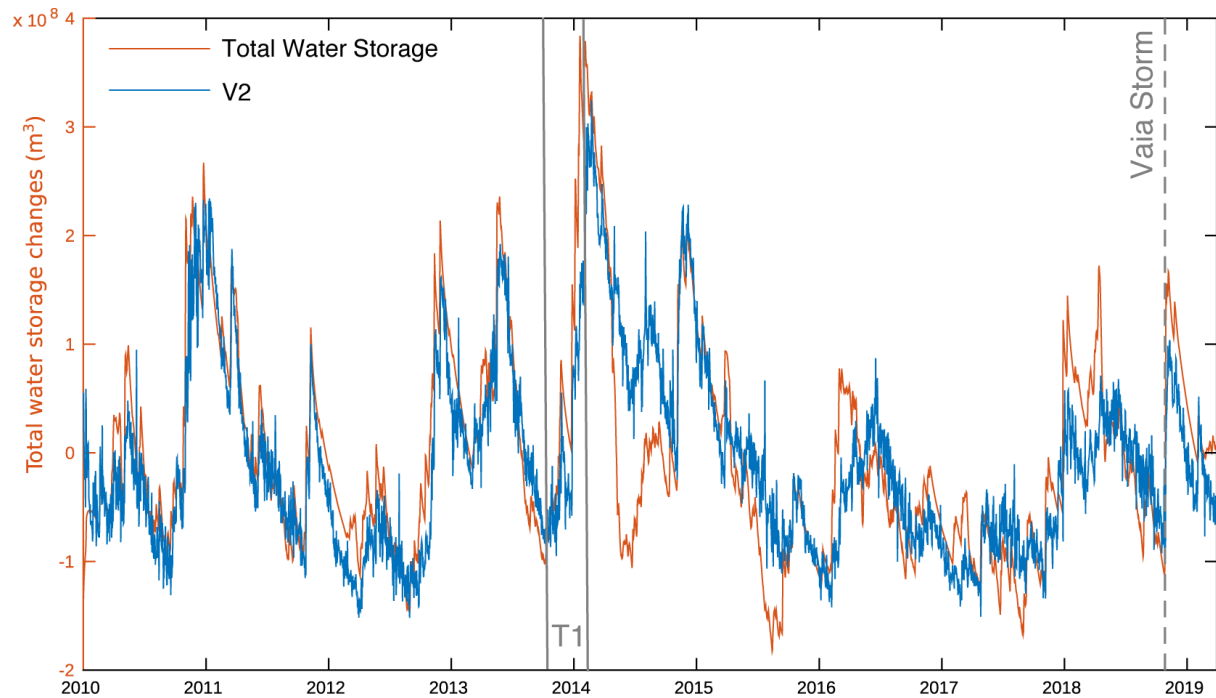


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260 **Figure 3.** Hydrological map and geodetic displacements in the study region. Piave and  
 261 Cordevole rivers (in blue) are gauged at three locations (purple triangles), defining three  
 262 watersheds (yellow, green and grey) and the 883 km<sup>2</sup> region in-between (Belluno Valley, red  
 263 dashed line) where water storage changes are modeled. Highly productive fissured karst  
 264 aquifers are highlighted in cyan from the International Hydrogeological Map of Europe  
 265 1:1,500,000 (<http://www.bgr.bund.de/ihme1500>). Regional horizontal (black arrows) and  
 266 vertical displacements (color dots), described by the second source of independent  
 267 component analysis (IC2) on 67 GNSS stations during T1 period (winter 2013-2014) are  
 268 superimposed (see also Fig. 2). The dashed black line show the trace of the geological cross  
 269 section of Fig. 5.

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273 **Figure 4.** Temporal evolution of the modeled water storage changes in the Belluno Valley

274 (orange, left axis) and the geodetic IC2 (blue). The vertical black lines indicate the T1 period

275 and the epoch of the intense Vaia storm (dashed).

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#### 279 **4. Surface deformation and link with geology**

280 Transient displacements in the Alps have been interpreted as due to pressure changes

281 associated with water level variations in vertical karst fractures (Devoti et al., 2015;

282 Serpelloni et al., 2018). In this work we develop a two-dimensional finite-element model

283 (FEM) with the goal of testing different sources of deformation potentially able to

284 “accommodate” groundwater level changes in the Belluno Valley, comparing model results

285 with the ground displacement pattern associated with the hydrological deformation

286 component (Fig. 2). We use the “Solid Mechanics” physics module of the COMSOL software

287 (Supplementary material S3.1), considering the problem as quasi-static at daily time scales

288 and resolving the model as “stationary”. We built the 2D model on the basis of the

289 geological cross-section proposed by Galadini et al. (2005)  
290 (the trace of the cross section is shown in Fig. 3), which is constrained by geological and  
291 geophysical information, and is in agreement with local seismicity (Danesi et al., 2015;  
292 Romano et al., 2019) and seismic prospections (Fantoni et al., 2002). The cross section is  
293 normal to the strike of the MCCV mountain range, that is about parallel to the directions of  
294 geodetic displacements associated with IC2 (Fig. 2). We considered the GNSS stations  
295 located within 20 km from that cross section (considering a length of ~40 km of the Belluno  
296 Valley), whose positions and displacements are projected along the direction of the profile  
297 (Fig. 5). We focus on a specific time interval (October 10th, 2013 - February 22nd, 2014; T1  
298 in Fig. 2 and Fig. 4), corresponding with a period of rapid increase of  $TWS_{res}$  and extensional  
299 deformation (Fig. 2).

300 The FEM model allows us to account for topography and subsurface geological features of  
301 the area, in particular the presence of faults and the different mechanical properties of the  
302 rock layers. The rock mechanical parameters used (Supplementary Table S3.1), in particular  
303 the Young modulus and Poisson's ratio, are taken from Anselmi et al. (2011).

304 The different models we tested to describe the relation between  $TWS_{res}$  changes and the  
305 deformation associated with IC2 (Fig. 6) are based on the assumption that the pressure  
306 variations caused by the accumulation of water are directly proportional to the  $TWS_{res}$   
307 changes. We consider two main families of water pressure distribution:

308 1) models where pressure is distributed horizontally and applied vertically on the elastic  
309 domain: the loading caused by water storage changes in an unconfined aquifer, hosted by  
310 the Belluno Basin Units, cause a downward pressure on the aquiclude (impermeable layer,  
311 here the Igne Formation) at the base of the aquifer (Model 1). We also take into account the  
312 possible role of the Bassano-Valdobbiadene backthrust (BVBT) and BVT faults as lateral  
313 aquiclude (Model 2). In Model 3 we represent the surface loading on the Belluno Valley,  
314 assuming storage changes in a very shallow water reservoir, localized along the Piave river  
315 bed.

316 2) models where pressure is distributed vertically along sub-vertical structures and applied  
317 orthogonally in the modeled domain: Model 4, 5 mimic the impact of pressure changes in a

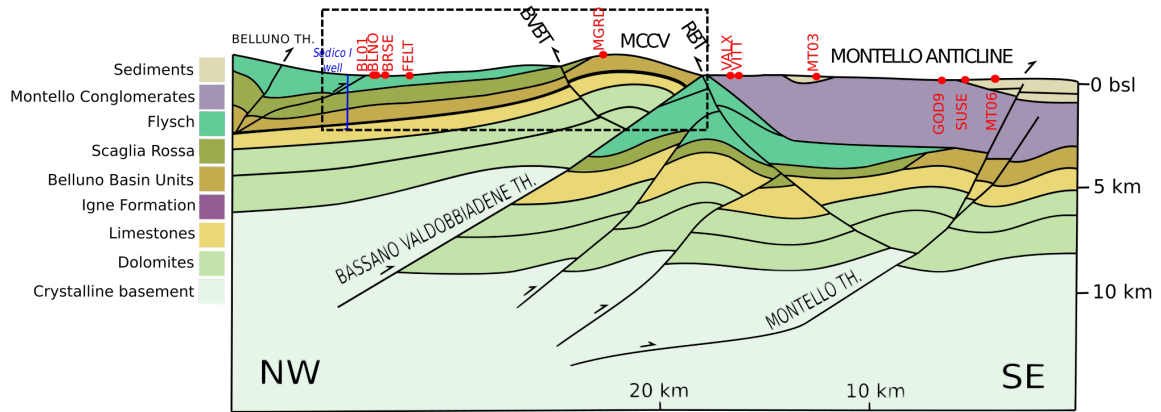
318 single open fracture reaching the surface, which represents the network of fractured rocks in  
319 the damage-zone associated with the BVT and the BVBT faults, respectively. Fault damage  
320 zones in the carbonate rocks, in fact, are often host to open fractures (karst), demonstrating  
321 that they can also be conductive to fluid flow (Torabi et al., 2019). Transient pressure  
322 changes are applied on the whole fracture, following Longuevergne et al. (2009). Such  
323 behavior has been validated in fractured karstic systems in Lesparre et al. (2017).

324 We use two criteria to evaluate how well a model reproduce the displacements pattern  
325 associated with IC2 (Figure S3.3). The first is the ratio between vertical and horizontal  
326 displacement at each GNSS station, which should not significantly exceed 1; the second is  
327 the number of stations with the horizontal displacements pattern in agreement in sign with  
328 IC2. According to these criteria, the displacements pattern associated with IC2 is better  
329 reproduced by the models where pressure is distributed vertically than the ones where  
330 pressure is distributed horizontally. In fact the vertical displacements generated by the  
331 Models 1, 2 and 3 are too large compared to the horizontal ones, and the horizontal  
332 displacements pattern shows significant disagreement with the one associated with IC2 (Fig.  
333 2). A detailed analysis of each tested model, in terms of fit of the horizontal and vertical  
334 displacements, can be found in the Supplementary material S3.2.

335 The model that best reproduces the horizontal and vertical displacements is Model 5 in Fig,  
336 6. Here the fracture is considered hydrologically conductive (Faulkner et al., 2010) down to 0  
337 m a.s.l where it intersects an impermeable formation (the Igne Formation), as we will discuss  
338 in section 6.1.

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342 **Figure 5.** Geological cross section of the study area, modified from (Galadini et al., 2005);

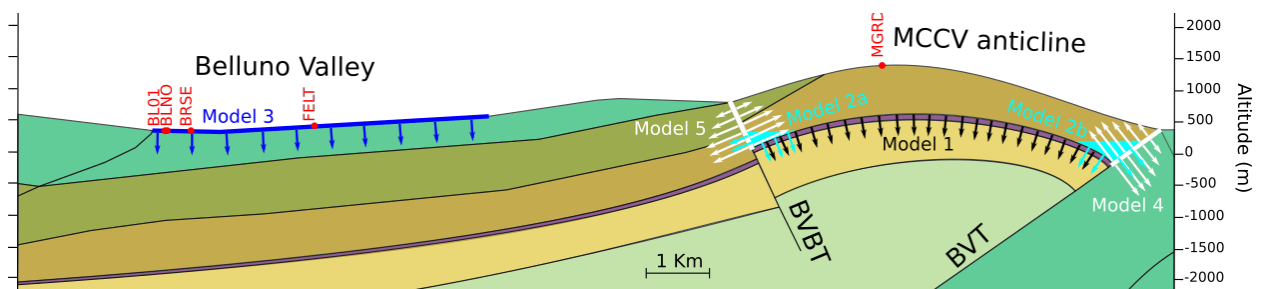
343 red dots: position of the GPS stations projected along this profile. RBT: Revine backthrust;

344 BVBT: Bassano-Valdobbiadene back thrust. The dashed rectangle represents the area

345 shown in Fig. 6.

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349 **Figure 6.** Zoom on the 2D model cross-section of Fig. 5, showing a schematic

350 representation of the tested models used to explain the horizontal displacements

351 reconstructed by IC2. MCCV: Mount Cesen-Col Visentin anticline; BVT: Bassano-

352 Valdobbiadene thrust; BVBT: Bassano-Valdobbiadene backthrust. Rock formations are

353 shown with the same legend of Fig. 5.

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## 360 **5. Hydrological control of seismicity**

361 In Section 3 we demonstrate the link between hydrology and surface deformation (Fig. 3)  
362 and in Section 4 we provide a physical model explaining this process. In this section we  
363 investigate and test possible relationships between changes in  $TWS_{res}$  and seismicity rates.  
364 We use the local earthquake catalogue from Romano et al. (2019), which contains high-  
365 resolution relocations of events with magnitudes ranging from -0.8 to 4.5, in the period  
366 January 2012 to October 2017. This catalog was produced using data from the Collalto  
367 Seismic Network (Priolo et al., 2015).

368 Before exploring any possible link between seismicity rates and hydrological data, we  
369 identify and remove the aftershock events that are more likely associated with earthquake  
370 stress triggering processes. This analysis is performed by declustering the catalog in the  
371 time domain using the epidemic-type aftershock sequences model ETAS (Ogata, 1998). The  
372 resulting partition between background seismicity and aftershocks is presented in Fig. 7a.  
373 More details of this process are presented in the Supplementary material S4.1.

374 It is worth noting that in the ETAS model the background seismicity is assumed to be  
375 generated by a homogeneous Poisson process and is physically associated with a constant-  
376 rate tectonic loading process. However, the ETAS-based declustering process does not  
377 guarantee that the resulting background seismicity is actually stationary (Console et al.,  
378 2010), as a result it is actually possible to observe temporal fluctuations in the background  
379 seismicity obtained after the temporal declustering process. This departure from stationarity  
380 is supposed to be caused by the temporal activation or quiescence of seismic sources  
381 forced as a result of processes having a physical cause outside the stationary tectonic  
382 loading assumed by ETAS (Zhuang et al., 2002).

383 In this paper we explore possible correlations between temporal variations in hydrological  
384 data and the background seismicity. With this aim, we adopt the covariate model proposed  
385 by Garcia-Aristizabal (2018), which allows us to perform a robust statistical evaluation of  
386 possible relationships between  $TWS_{res}$  changes ( $x_{TWS}$ ) and background seismicity rates.  
387 According to this model, when the forcing process generating the seismicity in a given zone  
388 is stationary in time (as e.g., a constant tectonic loading), the background seismicity rates

389 can be stochastically modelled using a homogeneous Poisson process; it implies that  
 390 seismicity rates follow the Poisson distribution and, consequently, the times between  
 391 consecutive events (inter-event times,  $t_{IET}$ ) follow the exponential distribution. However, if  
 392 the forcing process is non-stationary, and if it is possible to identify measurable parameters  
 393 as *proxies* of the processes driving such non stationary behavior, then it is possible to model  
 394 correlations between changes in seismicity rates and changes in the proxy parameters by  
 395 linking them as covariates of the stochastic model parameters. In order to explore this  
 396 possibility we set the exponential distribution as the basic template function for modelling the  
 397 distribution of  $t_{IET}$ :

$$398 \quad f(t_{IET}|\mu(x_{TWS})) = \frac{1}{\mu(x_{TWS})} \exp\left(\frac{-t_{IET}}{\mu(x_{TWS})}\right) \quad (3)$$

400 and the possible dependencies on hydrological data (in this case  $x_{TWS}$ ) are modelled writing  
 401 the  $\mu$  parameter of the exponential distribution in terms of deterministic functions of  $x_{TWS}$  of  
 402 the explanatory covariate (Supplementary material S4.3).  $x_{TWS}$  is measured respect to a  
 403 reference  $TWS_{res}$  assumed to be the minimum value reached by this parameter in the  
 404 analysed period. In practice, we test polynomial functions relating  $\log(\mu)$  and  $x_{TWS}$  as  
 405 follows:

$$406 \quad \log[\mu(x_{TWS})] = \sum_{j=0}^n \alpha_j (x_{TWS})^j \quad (4)$$

408 where  $\alpha_j = (\alpha_1, \alpha_2, \dots, \alpha_n)$  is a vector of coefficients of the polynomial function relating the  $\mu$   
 409 parameter of the exponential distribution with the selected covariate  $x_{TWS}$ . We study in  
 410 particular two competing models: the case  $n=0$  represents a stationary model (i.e., non  
 411 dependence on  $x_{TWS}$ ), whereas the case  $n=1$  represents a log-linear relationship (that is, an  
 412 exponential relationship between  $\mu$  and  $x_{TWS}$ ). The input data are pairs of  $t_{IET}$  and the  
 413 respective  $x_{TWS}$  averaged in a  $\Delta t$  time window (for which we test different values ranging

414 from days to weeks). The inference of model parameter values is performed using a Markov  
415 chain Monte Carlo method, and the selection of the preferred model is performed calculating  
416 the Bayes factor (Garcia-Aristizabal, 2018). A more detailed description of this model is  
417 presented in the Supplementary material S4.3.

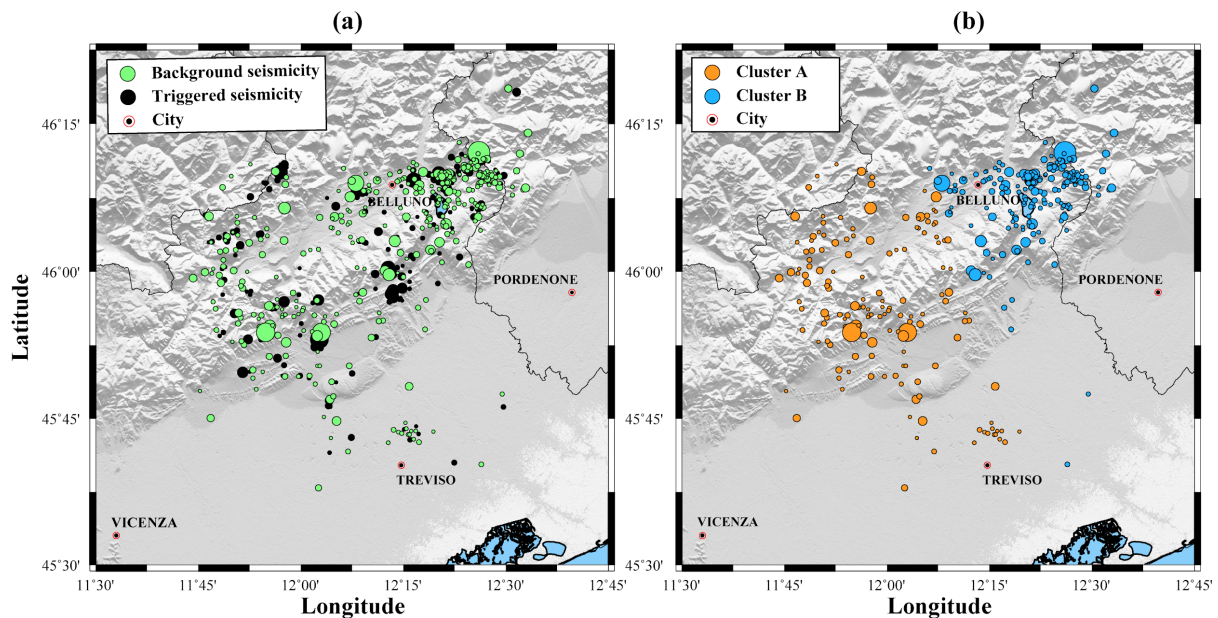
418 When considering the whole catalog of background seismicity (Supplementary Figure S4.2),  
419 the Bayes factor indicates that there is not significant evidence to support a non stationary  
420 model (Supplementary Table S4.2 and S4.3). However, the area covered by the earthquake  
421 catalogue is characterized by different active faults systems and we hypothesize that these  
422 fault systems could exhibit different responses to eventual stress perturbations related to  
423 hydrology. A visual inspection of the earthquake locations (Fig. 7a) allows us to note a high  
424 concentration of event locations in the NE part of the domain, whereas the seismicity  
425 towards the SW part of the domain tends to be more evenly distributed.

426 To quantitatively identify possible spatial sets of seismicity we implement a cluster analysis in  
427 the spatial domain (Supplementary material S4.2) using the k-means algorithm (MacQueen,  
428 1967); the optimum cluster partition is selected using the Silhouette approach (Rousseeuw,  
429 1987). We find that the background seismicity can be partitioned into two main clusters (Fig.  
430 7b): (i) cluster A (orange points), located in the SW part of the domain, where earthquakes  
431 can be associated with the Montello thrust and the BVT faults (Danesi et al., 2015); (ii)  
432 cluster B (blue points), located in the NE part of the domain, in which most of the seismicity  
433 can be associated with the N-dipping Cansiglio thrust fault (Galadini et al., 2005; Fig. 1b).  
434 This preferential cluster partitioning roughly reflects the two main features that we observed  
435 in the spatial distribution of the seismicity: a set of events mostly grouped in the NE part, and  
436 a more evenly distributed seismicity towards the SW.

437 The correlation analysis using the covariate approach is then performed using the data from  
438 each spatial cluster of background seismicity. Comparing plots of the moving average of  
439 both  $TWS_{res}$  and the rate of seismic events (calculated in 90-days length time windows  
440 sliding at increments of 1 day) for cluster A (Fig. 8a) and cluster B (Fig. 8b), we observe that  
441 only the seismicity rate in cluster A tends to change in agreement with the changes in the  
442  $TWS_{res}$ . This observation is quantitatively confirmed by the covariate analysis

443 (Supplementary material S4.3), with the Bayes factor indicating that only for cluster A the  
444 non stationary model performs better than the alternative stationary solution (Supplementary  
445 Table S4.3). In fact, for the cluster A (i.e., the seismicity associated with the Montello thrust  
446 and the BVT faults) there is positive evidence supporting a log-linear relationship between  
447 the seismicity rate (modelled through the distribution of inter-event times,  $t_{IET}$ ) and the  $TWS_{res}$   
448 changes, in contrast to a stationary reference model (Fig. 8c). On the other hand, for cluster  
449 B the evidence supports the stationary model (Fig. 8d), indicating a not significant link  
450 between seismicity rates in the Cansiglio thrust fault zone and  $TWS_{res}$  changes in the Belluno  
451 Valley. The parameter values of the fitted models are summarized in the Supplementary  
452 Table S4.4.

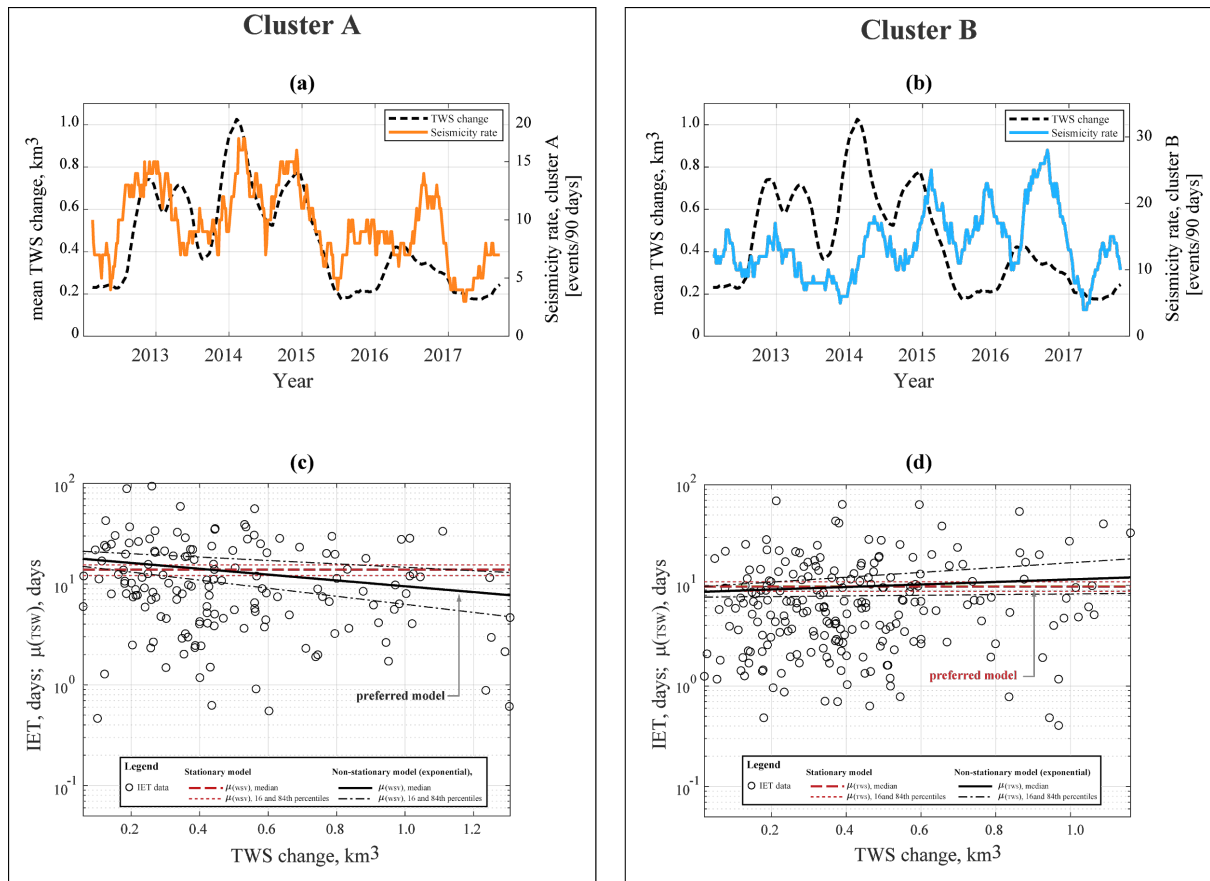
453



454 **Figure 7. (a)** Seismicity in the study area, separated as background (green circles) and  
455 triggered (black circles) seismicity according to the ETAS model. **(b)** Clusters (A and B) of  
456 background seismicity identified using spatial cluster analysis.

457

458



459

460 **Figure 8.** Moving average TWS (discontinuous black) and rate of seismic events in (a)  
 461 cluster A (continuous orange) and (b) cluster B (continuous blue), calculated in 90-days  
 462 length time windows sliding at increments of 1 day. Plot of inter-event times in (c) cluster A  
 463 and (d) cluster B against TWS changes, and the results for the two tested models: stationary  
 464 model (red) and Log-linear (black). Preferred models are indicated with the arrow in (c) (d).

465

466

467

## 468 6. Discussion

### 469 6.1 Hydromechanical coupling

470 In Sections 3 and 4 we described the link between hydrological processes and solid Earth  
 471 deformation by the joint interpretation of hydrological and mechanical models results,  
 472 constrained by geodetic, hydrological, meteorological observations and  
 473 geological/geophysical information on subsurface structural and tectonic settings. We  
 474 propose a possible mechanism able to explain water accumulation in a narrow, subvertical,  
 475 geological structure and reproduce the horizontal anisotropic extensional deformation

476 observed during a phase of large water storage increase. The same mechanism is assumed  
477 to be able to explain smaller deformation associated with phases of smaller  $TWS_{res}$  increase,  
478 and, with inverted sign, to explain the observed compressional deformation during phases of  
479  $TWS_{res}$  decrease, responding to the fast dynamics of karst systems.

480 In our interpretation, we make the assumption that water level variations in rock fractures, or  
481 faults, are directly linked to the amount of water stored in the subsurface, which includes  
482 also water stored in the soil (i.e, soil moisture). However, the correlation between V2 and soil  
483 moisture values, as calculated from GLDAS Noah in the Piave at Segusino basin, is much  
484 lower (Pearson correlation coefficient = 0.18; see Figure S2.4 in the Supporting material)  
485 than the correlation between V2 and  $TWS_{res}$  (Pearson correlation coefficient = 0.83),  
486 suggesting that the greatest contribution to the measured transient geodetic displacements  
487 comes from groundwater, stored in karst rocks.

488 We assume that the network of damage-zone faults, which we model as a single fracture  
489 associated with BVBT in Model 5, are well connected and water-saturated; the water level  
490 varies as the  $TWS_{res}$ , causing pressure changes orthogonal to fracture walls. It is likely that  
491 the water feeding the fracture mainly comes from the top of the MCCV mountain chain: the  
492 higher fracture density at the hinge zone of the anticline (Feng and Gu, 2017) and the well-  
493 developed epikarst in the exposed rock formations (Maiolica and Rosso Ammonitico)  
494 suggest the presence of an epikarst circulation on the top of MCCV chain (Klimchouk and  
495 Sauro, 1996). The combined effect of the epikarst and the presence of a shallow, low  
496 permeable layer (the Fonzaso formation, located at ~200 m of depth from the surface)  
497 facilitates the rapid infiltration of precipitation water and its flow toward the backthrust,  
498 following the northward inclination of rock layers and stratification; this hypothesis is  
499 supported by the observed lack of a time-delay, at the daily time scale, between  $TWS_{res}$  and  
500 the geodetic deformation signal (Fig. 4). However, we can not exclude that water can flow  
501 southward, toward the BVT, which might behave similarly to the backthrust as an  
502 hydrologically active structure (Supplementary material S3.2, Model 4). Nonetheless, the site  
503 MGRD (Fig. 1a) moves toward the BVT and away from the backthrust when  $TWS_{res}$   
504 increases, implying that an hydrologically active BVT is likely to have a secondary effect with

505 respect to its backthrust.

506 A more precise description of the source of deformation, which includes the identification of  
507 both the fracture bottom position and the water level rise inside it, is not straightforward  
508 because of the trade-off between fracture width and its opening (e.g., Silverii et al., 2016;  
509 Devoti et al., 2015). Nonetheless, because of the lack of evidences of aquifers reaching  
510 depths that are hundreds of meters below the sea level surface, and since the maximum  
511 water level variation measured in a similar karst system is ~300 m (Milanovic, 2005), we  
512 assume the bottom position of the fracture at 0 m above sea level, at the interface between  
513 the Vajont limestone and the more impermeable Igne formation (see Fig. 6). Once set the  
514 bottom position of the fracture, the water level rise that provides the best match between  
515 modeled and observed displacements is 100 m (Fig. S3.3), with the water level inside the  
516 fracture located at about 10 m below the free surface when V2 reaches its maximum during  
517 the analyzed time-period (i.e., January, 2014). Furthermore, we analyze the effect of the  
518 initial opening of the fracture when applying the same pressure values on its walls; we have  
519 found that assuming different initial opening values does not impact significantly the resulting  
520 displacements (Fig. S3.4). It follows that it is not possible to quantify the volumes of water  
521 involved, since the only quantity affecting the displacements is the water level variation,  
522 while the initial fracture opening does not play a key role.

523 Although the 2D numerical model used is an acceptable simplification, given the spatial  
524 distribution and density of available geodetic data, we are aware of its limitations. We are  
525 assuming that geological features (including for example outcropping formations, fracture  
526 spacing, strike of faults and fractures, topography) are constant along the SW-NE direction,  
527 for about 40 km, which is not necessarily true. A 2D model cannot take into account the fact  
528 the the MCCV mountain chain and associated thrust and back thrust faults curve north,  
529 going into the Fadalto valley (see Fig. 1). More importantly, changes in water level along the  
530 backthrust are implicitly assumed to be uniform along its strike in a 2D model, but an  
531 heterogeneous change in water level can cause more localized deformation signals, which  
532 would be however difficult to detect with the present GNSS network configuration. Moreover,  
533 effects associated with similar processes occurring at nearby karst systems cannot be taken

534 into consideration. Hydrological deformation in the Cansiglio plateau, in fact (Devoti et al.,  
535 2015; Serpelloni et al., 2018) may affect GNSS sites VITT and GOD9 (Fig. 1). Additional  
536 GNSS stations will be necessary to overcome these problems.

537

## 538 **6.2 Seismotectonic implications**

539 Two main mechanisms have been suggested to explain hydrological modulation of  
540 seismicity: variations in pore-fluid pressure at hypocentral depths (Hainzl et al., 2006) and  
541 direct stress on the fault plane (Bettinelli et al., 2008; Craig et al., 2017; Johnson et al., 2017;  
542 D'Agostino et al., 2018). In the latter case, there is usually a little or no time delay between  
543 hydrological indicators and seismicity rate. In the former, seismicity rate variations are  
544 usually delayed with respect to hydrological observations by a time lag, which is strictly  
545 dependent on the earthquake nucleation depth and on the hydraulic diffusivity of the material  
546 between the surface and the seismicity source. The lack of temporal delay between the  
547 seismicity rate and the  $TWS_{res}$  (Fig. 4) excludes an important role for poroelastic  
548 contributions, making direct effect of stress changes at seismogenic depths the most likely  
549 process linking hydrology and seismicity.

550 In case of seasonal stress perturbations, seismicity rates can correlate either with the stress  
551 values or with stress rates, depending if the period of the stress perturbation ( $T_p$ ) is smaller  
552 or larger than a critical period ( $T_d$ ), which in turn is controlled by the loading plate velocity  
553 (Ader et al., 2014). The period that dominates the temporal evolution of stress in the study  
554 area is 1 yr (Supplementary Figure S2.5), which is a value that  $T_d$  reaches only in rapidly  
555 deforming regions (Bettinelli et al., 2008). In slowly deforming regions, as the Southern Alps,  
556  $T_d$  usually assumes larger values. This observation is consistent with our findings, implying  
557 that stress changes are proportional to the magnitude of the  $TWS_{res}$  and not to its time  
558 derivative (which represents whether  $TWS_{res}$  is in an increasing or decreasing phase).

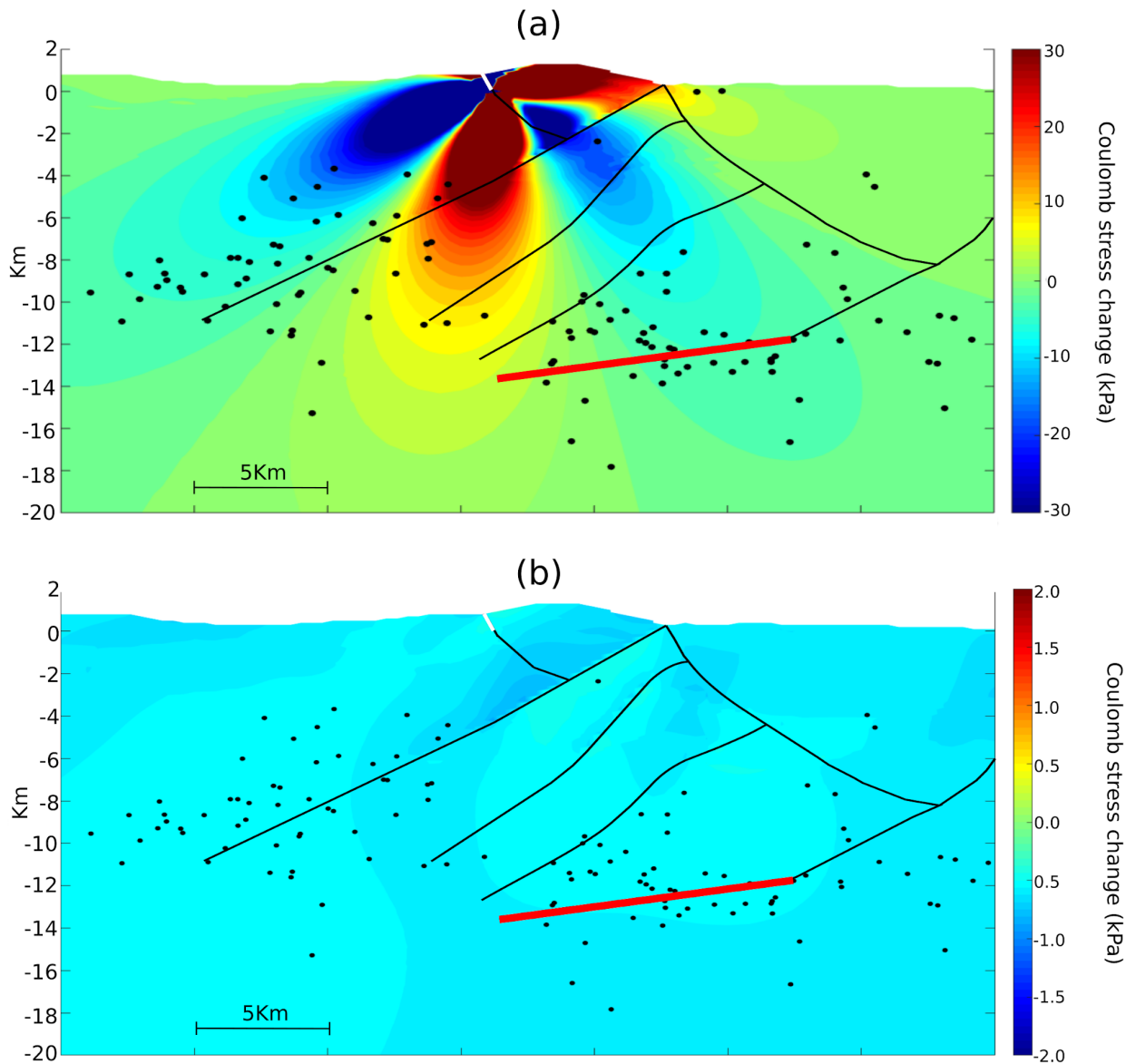
559 We estimate the stress change associated with the deformation caused by the water  
560 pressure increase (T1 time window in Fig. 4) in the hypothesized fracture source. In practice,  
561 we calculate the Coulomb failure function (CFF, Supplementary material S3.3) on receiving



562 planes oriented in agreement with the compressional tectonic regime of the area. Fig. 9a  
563 shows CFF values assuming a shallow-dipping ( $10^\circ$ ) decollement (i.e. the Montello flat) as  
564 receiving source, showing that in the depth interval where most of the seismicity associated  
565 with cluster A (see Fig. 7b) is located (4-14 km), positive stress changes are up to 25 KPa.  
566 These stress changes are larger than stressing rates from tectonic loading, which are  
567 expected to be of the order of 1-3 KPa (Caporali et al., 2018). Similar values are obtained,  
568 but with different spatial patterns, assuming different thrust-receiving sources; however, a  
569 correlation between areas of stress increase and seismicity is not evident. Unfortunately, the  
570 faulting mechanisms of the background seismicity are not well constrained, and the focal  
571 mechanisms available for other events in the catalogue (Romano et al., 2019) show a large  
572 range of mechanisms, including normal, thrust and strike-slip faulting on different planes. So,  
573 while a clear spatial correlation between seismicity and regions of positive stress increase is  
574 not apparent, it is likely that the highly deformed upper crust, inherited by the complex  
575 tectonic evolution of the Southern Alps (Castellarin and Cantelli, 2000), provides  
576 heterogeneous response to the hydrologically-modulated stress changes.

577 It is however important to note that the amplitude of the CFF field generated by the  $TWS_{res}$   
578 increase in hydrologically active fracture is much larger than the one generated by the  
579 annual surface hydrological mass loading (Fig. 9b), which actually is considered as the main  
580 mechanisms that modulate seismicity rates in other regions (Hainzl et al., 2014; Johnson et  
581 al., 2017), where, however, much greater annual vertical displacements, and consequently  
582 greater seasonal stress perturbations than those observed in the Alps, are present.

583



584

585 **Figure 9. (a)** Coulomb stress change during a phase of  $TWS_{res}$  increase (T1 in Fig. 4)  
 586 caused by a source of deformation as in Model 5 (see Supplementary material S3.2),  
 587 considering planes parallel to the Montello decollement (dip angle= $10^\circ$ ), highlighted in red.  
 588 **(b)** Coulomb stress change calculated on the same dipping planes considering as source of  
 589 deformation a 1 kPa uniform load on the free surface. This value causes a subsidence of  
 590  $\sim 3.8$  mm, which is consistent with the amplitude of the vertical displacements caused by the  
 591 large scale superficial loading in the time interval that goes from summer to winter (see Fig.  
 592 2) and inhibits thrust faulting (negative CFF values in all the domain). The black dots  
 593 represent the background seismicity of cluster A.

594

595

## 596 **7. Conclusions**

597 Using geodetic, hydrological and meteorological data, integrated into hydrological and  
598 mechanical models, we show how water converging from a large drainage area (~1000 km<sup>2</sup>)  
599 toward a specific zone, can generate horizontal surface displacements that are  
600 superimposed to surface hydrological and tectonic loading. Our results demonstrate that  
601 hydrologically-active and seismically-active faults can be totally disconnected, and that  
602 stress transfer is a critical mechanism for triggering seismicity at depths reaching more than  
603 10 km below the surface. We show that hydraulic pressure changes in a shallow fracture (<1  
604 km) can generate large shears (~10 kPa) in faults oriented orthogonally and at distances of  
605 the order of ~10 km (horizontally and vertically). In such a context, the link between  
606 hydrology and seismicity is favoured by 1) the existence of a (shallow) hydrologically-active  
607 fracture connected to the surface; 2) the existence of properly oriented (orthogonal),  
608 seismically active structures (such as a classical thrust/backthrust couple), and 3) water  
609 convergence from a watershed/river basin towards the hydrologically active structure,  
610 leading to large water storage (and therefore water pressure) changes. In such contexts,  
611 horizontal deformation is best suited to highlight physical links between surface deformation  
612 and hydro-mechanical processes occurring at depth.

613

614

615

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619

## 620 **Author contributions**

621 F.P. conceived and led the paper and performed numerical and hydrological modeling. E.S.  
622 coordinated the study and analyzed GNSS data. L.L. supervised hydrological modeling and  
623 interpretation. A.G.A and L.F. performed the analysis of the earthquake catalogue. M.E.B.

624 cross-examined the results and supervised F.P. PhD. A.G. supervised the analysis of GNSS  
625 displacements. L.D. supported hydro-geological interpretation. F.P., E.S., L.L., A.G.A. and  
626 L.F wrote the paper. All the authors discussed the content of the paper and shared the  
627 writing.

628

## 629 **Competing interests**

630 The authors declare no competing interests.

631

## 632 **Data availability**

633 Precipitation, temperature and river flow data are provided by “Agenzia Regionale per la  
634 Prevenzione e Protezione Ambientale del Veneto” (ARPAV):  
635 [https://www.arpa.veneto.it/bollettini/storico/Mappa\\_2019\\_TEMP.htm](https://www.arpa.veneto.it/bollettini/storico/Mappa_2019_TEMP.htm).

636 Extraterrestrial irradiance data are available from [http://www.soda-pro.com/web-](http://www.soda-pro.com/web-services/radiation/extraterrestrial-irradiance-and-toa)  
637 [services/radiation/extraterrestrial-irradiance-and-toa](http://www.soda-pro.com/web-services/radiation/extraterrestrial-irradiance-and-toa).

638 Drainage direction maps used to define river basins are available on  
639 [www.hydrosheds.org/page/availability](http://www.hydrosheds.org/page/availability).

640 The analyzed seismic catalog is available in the supplementary material of Romano et al.  
641 (2019).

642 We use publicly available raw GNSS data. However, RINEX data can be requested to E.S.,  
643 if not yet available on the original repositories.

644 Raw GPS time series are available on <https://doi.org/10.1594/PANGAEA.912895>

645 The Collalto Seismic Network data are available on <https://doi.org/10.7914/SN/EV>.

## 646 **Code availability**

647 The MATLAB code for TWS estimation and vbICA decomposition are available from the  
648 corresponding author on request.

649

## 650 Founding source

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653

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