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8 **The active and passive roles of the ocean in generating**
9 **basin-scale heat content variability**

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13 **Key Points:**

- 14 • Surface ocean heat content variability is controlled by passive processes in all basins
- 15 • Full-depth ocean heat content variability is controlled by active ocean feedbacks
16 in most basins
- 17 • Active ocean feedbacks act as a source of decadal predictability in the North At-
18 lantic

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Abstract

The role of ocean circulation in transforming surface forcing into interannual-to-multidecadal oceanic variability is an area of ongoing debate. Here, a novel method, establishing exact causal links, is used to quantitatively determine the role of ocean active and passive processes in transforming stochastic surface forcing into heat content variability. To this end, we use a global ocean model in which the dynamical response to forcing can be switched on (fully active) or off (purely passive) and consider the resulting effect on heat content variance. While the ocean passive processes mainly control the surface variance (over 92%) in all basins, most regions show the importance of active processes at depth. This role is particularly important for full-depth North Atlantic heat content, which we investigate further, highlighting signatures of the meridional overturning circulation.

Plain Language Summary

The ocean's role in climate is fundamental due to its ability to absorb significant amounts of heat relative to the other components of the Earth system. However, changes in heat can modify the ocean currents which transport it. The importance of this feedback effect remains uncertain, and so our study aims to determine how important this process is. We achieve this by alternately switching on and off the ability of simulated ocean currents to respond to changes in heat and salt driven by the atmosphere in a state-of-the-art numerical simulation of the ocean. We then compare how variable the heat content of the ocean is in both "on" and "off" cases. We show that ocean circulation changes are unimportant near the surface, but in most regions they play a key role at depth. We look in detail at the North Atlantic, the region where circulation changes have the most important effect.

1 Introduction

It is well documented that the oceanic heat reservoir has a crucial role in climate; the ocean has absorbed over 90% of the excess energy associated with anthropogenic warming (Trenberth et al., 2014), for instance. However, this single number obscures the spatiotemporal heterogeneity of ocean heat content change, which is punctuated by hiatuses and surges (e.g., Meehl et al., 2011), geographically differential warming (e.g., Drijfhout et al., 2012), and varying impacts at different depths (e.g., Balmaseda et al., 2013). The mechanisms underlying these variations are in many cases elusive and remain challenging to disentangle due to the complexity of the climate system. This is particularly relevant on interannual-to-multidecadal timescales, where natural variability and external forcing have comparable amplitude (Meehl et al., 2009). Understanding these variations is thus crucial for modelling and predicting them.

The simplest explanation of heat content anomalies in the ocean is that they originate in the atmosphere, either via external forcing or natural, internal fluctuations, are fluxed into the mixed layer, and then passively circulated around the ocean interior along its preferred ventilation pathways. In this paradigm, the anomalous heat can be considered density compensated in that the ocean circulation does not change (e.g., Mauritzen et al., 2012). This approximation is often assumed when modelling the long-term response to anthropogenic forcing (e.g., Marshall et al., 2015; Zanna et al., 2019; Newsom et al., 2020), with anomalous heat fluxes represented by a passive tracer. However, investigations of the validity of this approximation for heat uptake typically flag the North Atlantic as a region to which it is particularly ill-suited (Banks & Gregory, 2006; Xie & Vallis, 2012; Garuba & Klinger, 2016, 2018), due to the Atlantic Meridional Overturning Circulation (AMOC) and its link with heat storage in models (Kostov et al., 2014). The involvement of the AMOC in natural, interannual-to-multidecadal ocean temperature variations remains a contentious issue, however. Recent studies have argued that the predominant patterns of Atlantic Multidecadal Variability (AMV) in climate simulations featuring realistic ocean general circulation models (OGCMs) can be recreated by coupling a realistic atmosphere

70 to a time-invariant “slab” ocean (Clement et al., 2015, 2016; Cane et al., 2017), suggesting
 71 these patterns are purely passive. In this slab ocean case, common features with fully active
 72 ocean simulations can only be established statistically. On the other hand, the previously
 73 discussed passive tracer approach, by propagating a passive “temperature” tracer initially
 74 coincident with the active temperature field in a single simulation and considering their
 75 divergence, provides a more thorough decomposition. Nevertheless, statistical slab–OGCM
 76 comparisons remain the de facto standard for determining the role of the ocean in near-term
 77 regional low-frequency variability (Dommenges & Latif, 2002; Dommenges, 2010; Wang &
 78 Dommenges, 2016; Delworth et al., 2017; Zhang, 2017).

79 In this study, we present an alternative approach to the question of regional heat content
 80 variability, using an adjoint model. Unlike a conventional model, which integrates anomalies
 81 forward in time, an adjoint model describes the sensitivity of a metric of interest (here heat
 82 content) to past changes (here stochastic atmospheric forcing), establishing causes, rather
 83 than effects (Errico, 1997). This has been leveraged to attribute the sources of temporal
 84 ocean variability in response to historical atmospheric forcing (Pillar et al., 2016; Smith &
 85 Heimbach, 2019) and establish the evolution of oceanic variance in response to representative
 86 stochastic atmospheric forcing (Sévellec et al., 2018).

87 We use this approach to isolate the role of the ocean in modeled heat content variability,
 88 by projecting a realistic stochastic representation of atmospheric buoyancy and momentum
 89 fluxes onto passive and active surface adjoint sensitivity fields. In the passive case, buoyancy
 90 anomalies cannot change the circulation.

91 2 Method and diagnostics

92 To characterize low-frequency ocean variability, Hasselmann (1976) and Frankignoul
 93 and Hasselmann (1977) developed an idealized, single-variable stochastic model of ocean
 94 surface temperature in response to random heat fluxes. These atmospheric fluxes can be
 95 seen as a continuous stream of small disturbances to ocean surface temperature, which
 96 accumulate and are slowly “forgotten”. This can be represented as

$$97 \quad u(t) = \int_0^t e^{-\lambda(t-\tau)} L dW(\tau), \quad (1)$$

98 where $u(t)$ is the ocean temperature anomaly at time t ($u(0) = 0$ without loss of generality)
 99 and λ is the inverse damping timescale representing the ocean dynamics. W is a standard-
 100 normal Wiener process and L^2 describes the intensity of the stochastic fluxes (variance of
 their temperature impact per unit time).

101 Remarkably, this principle can be generalised to high-dimensional linear models, fea-
 102 turing multiple interacting variables and locations (represented by a single anomaly state
 103 vector, $|\mathbf{u}\rangle$) and more involved linear processes than simple exponential decay (representable
 104 by the propagator, Ψ , of the ocean model). This reads:

$$105 \quad |\mathbf{u}(t)\rangle = \int_0^t \Psi(t, \tau) \mathbf{L} d|\mathbf{W}(\tau)\rangle, \quad (2)$$

106 where $|\mathbf{W}\rangle$ is a vector of independent standard-normal Wiener processes and $\Sigma = \mathbf{L}\mathbf{L}^\dagger$ is a
 107 covariance matrix (describing the stochastic flux intensity and spatial coherence). As before,
 $|\mathbf{u}(0)\rangle$ is assumed zero-valued.

108 From this formula, one can obtain the outcome of a metric of interest $\langle \mathbf{F}|\mathbf{u}\rangle$, such as heat
 109 content, in a fully active ($\Psi_{\mathbf{A}}$) or purely passive ocean ($\Psi_{\mathbf{P}}$) model. While the heat content
 110 variation in a fully active model is a classical problem of modern ocean physics, it is impor-
 111 tant to explicitly describe the routes by which its purely passive component can exhibit heat
 112 content variations. The first is the fluxing of heat content anomalies from the atmosphere
 113 which then propagate through the ocean by mean advection and diffusion. The second is

114 the introduction of circulation anomalies by the wind. Although buoyancy anomalies can-
 115 not modify the circulation in the purely passive case, momentum fluxes may still create an
 116 anomalous circulation. This can then create heat content variations by redistributing the
 117 mean underlying mean ocean temperature field.

118 Given the metric of interest $\langle \mathbf{F} | \mathbf{u} \rangle$, one can also compute its variance from (2). The co-
 119 variance (σ_{AP}) between outcomes in the two configurations (fully active and purely passive)
 120 of the model can similarly be calculated to determine their common components. Using the
 121 defining property of the adjoint $\langle \mathbf{a} | \mathbf{X} | \mathbf{b} \rangle = \langle \mathbf{b} | \mathbf{X}^\dagger | \mathbf{a} \rangle$ (where $|\mathbf{a}\rangle$ and $|\mathbf{b}\rangle$ are two state vectors,
 122 \mathbf{X} and \mathbf{X}^\dagger are an operator and its adjoint, and $\langle \mathbf{a} | \mathbf{b} \rangle$ is the Euclidean inner product) and
 123 following a multi-dimensional generalization of Itô's isometry (e.g., Section 3.6 of Duan &
 124 Wang, 2014), the covariance at time t reads

$$\begin{aligned} \sigma_{AP}(t) &= \text{Cov}(\langle \mathbf{F} | \mathbf{u}_A(t) \rangle, \langle \mathbf{F} | \mathbf{u}_P(t) \rangle) \\ &= \text{E} \left[\langle \mathbf{F} | \int_0^t \boldsymbol{\Psi}_A(t, \zeta) \mathbf{L} d\mathbf{W}(\zeta) \rangle \langle \mathbf{F} | \int_0^t \boldsymbol{\Psi}_P(t, \gamma) \mathbf{L} d\mathbf{W}(\gamma) \rangle \right] \\ &= \int_0^t \langle \mathbf{F} | \boldsymbol{\Psi}_A(t, \tau) \boldsymbol{\Sigma} \boldsymbol{\Psi}_P^\dagger(\tau, t) | \mathbf{F} \rangle d\tau, \end{aligned} \quad (3)$$

125 where σ_{AP} is the covariance between fully active and purely passive version of the model
 126 denoted by $\boldsymbol{\Psi}_A$ and $\boldsymbol{\Psi}_P$, respectively, and $\text{E}[\cdot]$ is the expectation of a stochastic Itô process.
 127 This leads to expressions for the variance of the fully active and purely passive component
 128 at time t :

$$\begin{aligned} \sigma_A^2(t) &= \text{Var}(\langle \mathbf{F} | \mathbf{u}_A(t) \rangle) = \int_0^t \langle \mathbf{F} | \boldsymbol{\Psi}_A(t, \tau) \boldsymbol{\Sigma} \boldsymbol{\Psi}_A^\dagger(\tau, t) | \mathbf{F} \rangle d\tau; \\ \sigma_P^2(t) &= \text{Var}(\langle \mathbf{F} | \mathbf{u}_P(t) \rangle) = \int_0^t \langle \mathbf{F} | \boldsymbol{\Psi}_P(t, \tau) \boldsymbol{\Sigma} \boldsymbol{\Psi}_P^\dagger(\tau, t) | \mathbf{F} \rangle d\tau. \end{aligned} \quad (4)$$

129 These equations describe the level of variance of the ocean heat content obtained after a
 130 time t in response to stochastic forcing starting from rest in the fully active (σ_A^2) and purely
 131 passive (σ_P^2) cases. These will asymptotically tend towards their associated climatological
 132 heat content variance. The covariance describes how much of this variance is common to
 133 both, and can be normalised to give a Level of Agreement (LoA) between the purely passive
 134 and fully active cases, which we define as $\text{LoA}(t) = \frac{\sigma_{AP}(t)}{\sigma_A(t)\sigma_P(t)}$. If the LoA is unity at a given
 135 time, it is taken that anomalous heat content variation in the fully active ocean has been
 136 entirely controlled by purely passive processes.

137 These diagnostics have three requirements. Firstly, a linearized ocean general circulation
 138 model (OGCM) is needed to provide the propagator $\boldsymbol{\Psi}_A$ and its adjoint $\boldsymbol{\Psi}_A^\dagger$. Secondly,
 139 this propagator requires an isolated purely passive component $\boldsymbol{\Psi}_P$ and its adjoint $\boldsymbol{\Psi}_P^\dagger$. The
 140 model, its adjoint, and the purely passive configuration are described in Section 3.1. Lastly,
 141 we require a stochastic representation $\boldsymbol{\Sigma}$ of surface fluxes. We diagnose this from a coupled
 142 climate model (also described in Section 3.1). In particular, we assume that buoyancy and
 143 momentum flux anomalies from the coupled simulation climatology follow a band-limited
 144 (therefore finite power), spatially covarying Gaussian white noise. At each location, the
 145 power spectral density (PSD) of the flux anomalies is therefore assumed constant up to a
 146 few days, and zero at higher frequency. The cutoff is determined by the e-folding decorre-
 147 lation timescales of the fluxes (Figure 1, contours). We also have an implicit low-frequency
 148 limit imposed by the 20-year length of the coupled simulation. The elements of $\boldsymbol{\Sigma}$ are then
 149 given by the (effectively constant) PSD averaged over this band.

150 It is important to remark on linearity and independence, which allow for further de-
 151 composition of the above diagnostics. As the model propagators are linear, we can con-
 152 sider the fully active model $\boldsymbol{\Psi}_A$ to be the sum of the purely passive model $\boldsymbol{\Psi}_P$ and a
 153 dynamical-only component $\boldsymbol{\Psi}_D$, encompassing just the feedback terms. Furthermore, the
 154 propagation of multiple metrics is equal to the propagation of their sum by linearity:
 155 $\boldsymbol{\Psi}^\dagger(|\mathbf{F}_1\rangle + |\mathbf{F}_2\rangle) = \boldsymbol{\Psi}^\dagger|\mathbf{F}_1\rangle + \boldsymbol{\Psi}^\dagger|\mathbf{F}_2\rangle$.

156 We additionally take surface buoyancy fluxes (described by $\mathbf{\Sigma}_B$) and momentum fluxes
 157 (described by $\mathbf{\Sigma}_M$) to be independent, and so the response to each can be determined sep-
 158 arately, with $\mathbf{\Sigma} = \mathbf{\Sigma}_B + \mathbf{\Sigma}_M$. We emphasize that the covariance between the buoyancy
 159 components (heat and freshwater fluxes) and between the momentum components (zonal
 160 and meridional fluxes) remain fully acknowledged. Using this to calculate σ_{AP} separately
 161 in response to buoyancy only and momentum only allows the LoA to be partitioned accord-
 162 ingly, by modifying its numerator while retaining the denominator. Finally, although the
 163 diagnostics of σ_A^2 , σ_P^2 and σ_{AP} are scalar values, they can be computed elementwise without
 164 summation, such that the contribution of each variable at each location to the total can be
 165 isolated. Similarly, the time integral can be decomposed to obtain the contribution of any
 166 time interval. This permits us to see the surface distribution and timing of sources leading
 167 to the resulting (scalar) heat content variance.

168 **3 Application to an OGCM**

169 **3.1 Model description**

170 Our stochastic representation is constructed from thermal, haline, and zonal and merid-
 171 ional momentum fluxes diagnosed from a coupled climate model (Figure 1). Specifically, a
 172 twenty year simulation using the IPSL-CM5A-LR coupled model was run in its CMIP5 pre-
 173 industrial control configuration (cf. Dufresne et al., 2013) with daily average output. The
 174 model was chosen as its ocean component is NEMO (v3.2) with its ORCA2 global configura-
 175 tion (2° nominal resolution with 31 vertical levels), similarly to our linearized ocean model
 176 (described below). The atmospheric component is the LMDZ5a model, with $3.75^\circ \times 1.9^\circ$
 177 horizontal resolution and 39 vertical levels (Hourdin et al., 2013).

178 The linear ocean model which we use to diagnose oceanic variability in the fully active
 179 case is NEMOTAM (Vidard et al., 2015), which is derived from NEMO v3.4 (Madec, 2012)
 180 and is used in its ORCA2-LIM configuration. The model configuration is similar to that
 181 detailed in Stephenson et al. (2020), which also discusses the implementation of the purely
 182 passive configuration in detail. The nonlinear model, which provides the simulation about
 183 which NEMOTAM is linearized, is forced by a single representative year (CORE normal
 184 year forcing; Large & Yeager, 2004).

185 **3.2 Results**

186 We now apply the derivations of Section 2 to attribute the generation of heat content
 187 variance in the fully active simulation to its different sources. We evaluate heat content over
 188 three depth ranges (10 m, 1500 m, and full-depth) which effectively correspond to sea surface
 189 temperature, heat content in the upper ocean, and the total heat content, respectively. We
 190 also consider both the global ocean and a seven-region partition of it (Figure 2, black
 191 lines). These regions are the Arctic Ocean ($>70^\circ\text{N}$), the North ($[35,70]^\circ\text{N}$) and intertropical
 192 ($[-35,35]^\circ\text{N}$) Atlantic and Pacific, the Indian Ocean ($> -35^\circ\text{N}$), and the Southern Ocean
 193 ($< -35^\circ\text{N}$).

194 Our analysis reveals that the Level of Agreement between purely passive and fully active
 195 heat content variance after 60 years varies significantly depending on the depth extent and
 196 geographical region (Figure 2, bars). The LoA is extremely high for sea surface temperature
 197 variance in all regions. This ranges from 92.0% in the intertropical Pacific to 99.5% in the
 198 Southern Ocean, with a majority stimulated by buoyancy forcing. This implies that the
 199 purely passive uptake of heat controls temperature variability at the surface. There is a
 200 dramatic reduction in agreement when heat content is computed over a thicker layer. For
 201 the upper-1500 m heat content, variance common to both the purely passive and fully active
 202 simulations accounts for as little as 30.9% in the case of the Indian Ocean, and just over half
 203 (52.0%) globally. The nature of stimulation of the purely passive component also changes

204 over this depth-range, shifting to a primarily wind-driven regime for all regions except the
 205 Arctic Ocean.

206 When heat content is defined over the full depth, it generally follows similar patterns to
 207 upper-1500 m heat content, with notable exceptions in the North Atlantic and Arctic oceans.
 208 For those basins, another dramatic reduction in correspondence between the purely passive
 209 and fully active simulations occurs, with the LoA reducing to 27.3% and 25.0%, respectively.
 210 More subtle reductions can be seen elsewhere, and only in the North Pacific and Southern
 211 Ocean does the purely passive component still dominate the fully active simulation at full
 212 depth. It is worth noting the substantial impact (>50%) of purely passive wind effects in
 213 these regions.

214 While the LoA provides a useful quantification of the ultimate role of the purely passive
 215 component of the ocean, it does not describe in detail the differences between the purely
 216 passive and fully active simulations (e.g., the timing of the variance growth or its source
 217 location). To tackle this question, we consider the time-evolving variance growth for each,
 218 along with its components (Figure 3). We focus on the full-depth case, where these differ-
 219 ences between these components are greatest. Similar decompositions have been considered
 220 for surface (Figure S1) and upper ocean (Figure S2) cases, and exhibit similar (but less
 221 significant) behaviour.

222 The temporal evolution of the variability reveals that the purely passive and fully
 223 active simulations differ in both magnitude and timing. As discussed in Section 2, linearity
 224 permits the decomposition of the fully active model into the sum of the purely passive
 225 component and a remaining dynamical-only component. The difference between evolving
 226 variance in the fully active model and the purely passive model (Figure 3, solid and dotted
 227 lines, respectively) can thus be attributed to internal ocean feedbacks within this dynamical-
 228 only component, which are not always constructive. Indeed, the variance in the fully active
 229 simulation is often weaker than that of its purely passive counterpart. This suggests that
 230 certain behavior is possible only in the purely passive case, and is cancelled out by the
 231 dynamical-only term in the fully active simulation. This is particularly visible for heat
 232 content variance in the Indian and Southern Oceans (dominated by wind stress). There,
 233 after two decades, most of the variance growth of the purely passive component stimulated
 234 by wind stress is cancelled by the dynamical-only component. A possible example of such
 235 behavior is provided by Cronin and Tozuka (2016), who demonstrate that Ekman transport
 236 is determined not purely by wind stress and latitude (as in the classical analysis of Ekman,
 237 1905), but also local geostrophic shear. In this perspective, Ekman transport has both a
 238 purely passive and dynamical-only component, which can act against each other.

239 As a measure of the rate at which the climatological variance is approached, we consider
 240 the time taken for the full-depth variance in each simulation to reach half of its final (60
 241 year) value (Figure 3, stars). Following on from the previous discussion, the dynamical-
 242 only momentum component in the Indian Ocean acts to accelerate variance evolution, with
 243 $\sigma_A^2(t)$ reaching $0.5\sigma_A^2(60 \text{ years})$ in 19 years in the purely passive simulation, as opposed to
 244 only 7 years in the fully active simulation. At the opposite extreme, for the Arctic and
 245 North Atlantic, the dynamical-only contribution slows the variance evolution substantially.
 246 Indeed, in the North Atlantic, half of the final value is reached in only 3 years in the purely
 247 passive simulation, compared with 21 years in the fully active case.

248 The source of this continued growth in the active North Atlantic, even after the purely
 249 passive component appears to have saturated, corresponds to a regime change of the fully
 250 active simulation in its response to buoyancy stimulation after 10 years. To determine the
 251 origin of this, we consider separately the surface distribution of the variance accumulated
 252 during the first 10 years (Figure 4a,b,c,d) and from 10 to 60 years (Figure 4e,f,g,h). This
 253 is determined from the elementwise computation of the variance, prior to summation, as
 254 outlined in Section 2.

255 In the first decade, the passive and active simulations maintain a high Level of Agree-
 256 ment (above 75%) and their spatial patterns are similar. Focusing on buoyancy forcing,
 257 the relatively focused region reflects the model’s deep water formation site, as described
 258 in the passive tracer study of Stephenson et al. (2020). The difference between the fully
 259 active and purely passive distributions (contours) is the dynamical-only contribution. This
 260 corresponds to a large-scale dipole. The negative peak of the dipole overlies the positive
 261 contribution by the purely passive component, having a slight compensating effect (Figure
 262 4a). On decadal timescales, positive contributions to variance growth in both the purely
 263 passive and dynamical-only components coincide in location, and so the two components
 264 acts constructively (Figure 4e,g).

265 The primary difference between stimulation by wind for the fully active and purely
 266 passive components in the first decade is the intensity of the induced variance (Figure
 267 4b,d). Both components are dominated by Ekman transport across a zonal band defining
 268 the region’s boundary (35°N), but the addition of the dynamical-only component reduces
 269 the intensity of this pattern. Also notable in the fully active case is a seemingly persistent
 270 (Figure 4b,f) stimulation of variance at the subtropical-subpolar gyre interface, as well as
 271 stimulation (both positive and negative) in coastal regions of the eastern North Atlantic
 272 and Greenland Sea.

273 4 Discussion and conclusions

274 We have considered the stimulation of variance in ocean heat content by surface at-
 275 mospheric noise. We evaluated heat content over a range of different regions and depths
 276 in a linearized global ocean model, comparing purely passive and fully active realisations
 277 of the ocean model. In the purely passive framework, temperature anomalies either arise
 278 due to random surface heat fluxes (and can be passively transported by the mean flow), or
 279 due to random surface momentum fluxes (which redistribute existing heat). However, these
 280 resulting temperature anomalies are unable to modify the ocean circulation.

281 In contrast to the established techniques of using a passive tracer (e.g., Banks & Gre-
 282 gory, 2006; Xie & Vallis, 2012; Marshall et al., 2015; Garuba & Klinger, 2016, 2018) or a
 283 slab ocean model (e.g., Dommengeset & Latif, 2002; Dommengeset, 2010; Clement et al., 2015;
 284 Wang & Dommengeset, 2016) to investigate the role of the ocean, we have utilised a novel
 285 adjoint-based approach (Sévellec et al., 2018). The use of an adjoint model has uniquely
 286 allowed us to causally attribute heat content variance to different variables, times, and
 287 locations at the surface, by projecting onto surface sensitivity fields a realistic stochastic
 288 representation of atmospheric fluxes diagnosed from a coupled climate model.

289 Our findings for the surface ocean (i.e., sea surface temperature) are that at least 92%
 290 of the variance in the fully active simulation is in agreement with its purely passive compo-
 291 nent. This is consistent with studies which suggest that oceanic dynamics are not needed
 292 to generate surface decadal variability (e.g., Clement et al., 2015, 2016; Cane et al., 2017).
 293 However, while variance patterns in both simulations may express a high (normalised) Level
 294 of Agreement, a purely passive model could greatly over-estimate the amplitude of the vari-
 295 ance, as the purely passive component can be partially compensated by the corresponding
 296 dynamical-only component in a fully active ocean.

297 The dynamical redistribution of existing heat by currents arising from buoyancy anom-
 298 alies has been shown in past studies to substantially impact heat uptake (e.g., Banks &
 299 Gregory, 2006; Xie & Vallis, 2012), particularly in the North Atlantic. However, we have
 300 shown that the passive redistribution of the existing heat reservoir by wind anomalies is
 301 often more important in the context of heat content variability, leading to a driving role
 302 for the passive component over several regions and depths. Nevertheless, the deep North
 303 Atlantic also stands out here as a region with an important role for ocean feedbacks, with
 304 the dynamical-only component acting to slow the growth of heat content variance. We

305 considered the time taken to reach 50% of the variance at the end of the simulation, and
306 found that the fully active model takes 7 times longer (21 years) to reach this point than
307 the purely passive simulation (3 years) in this region. This has potential consequences for
308 climate predictability, as the variance growth can also be seen as the accumulation of error
309 following model initialisation (Sévellec et al., 2018). The time taken to reach half of the
310 climatological variability is often taken as a measure of the upper limit of predictability, be-
311 yond which noise dominates the predictable signal (e.g., Griffies & Bryan, 1997; Grötzner et
312 al., 1999). The reason for this delay in the fully active North Atlantic is a regime shift in the
313 response to buoyancy forcing. On sub-decadal timescales, the dynamical-only component
314 slows variance growth, before sustaining it on timescales greater than ten years, resulting in
315 an “S”-shaped growth curve. In exploring the spatial distribution of the components of the
316 fully active simulation, we have observed a basin-scale dipole pattern in the North Atlantic.
317 These patterns echo earlier sensitivity studies of the region in predecessors of our model
318 (e.g., Sévellec & Fedorov, 2017). These studies relate North Atlantic heat content sensitiv-
319 ity to an ocean-only mode of variability in which heat content and AMOC anomalies feed
320 back on each other via basin-scale thermal Rossby wave propagation (Sévellec & Fedorov,
321 2013) consistently with observations of the AMV (Frankcombe et al., 2009).

322 There are a number of considerations which are not accounted for in our approach.
323 Firstly, our conclusions are likely oversimplified by our use of atmospheric variability sources
324 alone in a linear, laminar model. In a recent ensemble study at eddy-permitting resolution,
325 Sérazin et al. (2017) suggested that a substantial portion of ocean heat content variability
326 is intrinsic, generated by chaotic, nonlinear processes within the ocean. This suggests that
327 we underestimate the role of the dynamical-only component by restricting it to large-scale,
328 laminar feedbacks. This will be addressed in a separate study. In addition, the role of
329 coupling in the stimulation of interdecadal variability is an entire field of research on its own
330 (cf. the review of Liu, 2012). Here, our model uses an uncoupled ocean and a stochastic
331 representation of the atmosphere. This limits the conclusions of our work, in particular
332 for sea surface temperature (where the surface boundary conditions have more impact).
333 Furthermore, our stochastic representation is of limited bandwidth, effectively averaging the
334 power spectrum of a two-decade coupled simulation. The result is a stationary (although
335 globally coherent) white noise representation of daily-to-bidecadal atmospheric variability.
336 We emphasize, however, that these simplifications have allowed us to use an adjoint ocean
337 model to causally attribute the surface sources of heat content variability exactly, and with
338 limited computational expense, an approach which offers several unique advantages of its
339 own.

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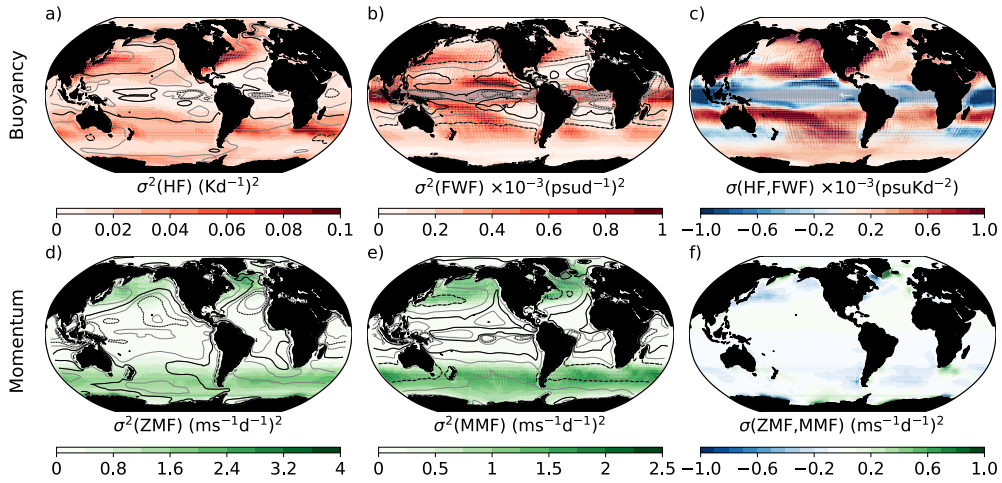


Figure 1. Local (co)variance (shading) and decorrelation time (λ^{-1} , contours) of surface fluxes in the coupled model. (a) Variance in rate of temperature change due to heat flux (HF). (b) Variance in rate of salinity change due to freshwater flux (FWF). (c) Covariance between rate of temperature and salinity change. (d and e) Variance in rate of zonal and meridional velocity change due to zonal and momentum fluxes (ZMF and MMF), respectively. (f) Covariance between rate of zonal and meridional velocity change. Thick dashed, solid, and dotted black contours indicate decorrelation time (λ^{-1}) of one, two, and three days, respectively. Thin gray contours are intermediate values, separated by half a day.

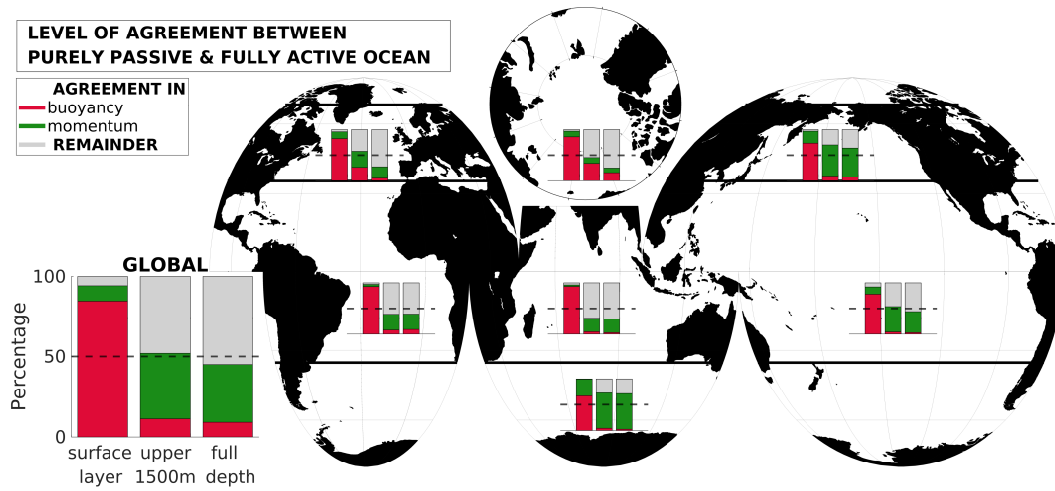


Figure 2. Level of Agreement (LoA) between purely passive and fully active simulations in generating the accumulated final (60-year) heat content variance due to buoyancy (red) and momentum (green) surface stochastic fluxes, determined by calculating σ_{AP} in response to each. LoA is shown for the three cases (surface layer – corresponding to SST, upper 1500 m, and full-depth heat content). Largest bar plot shows the case for the total global ocean heat content variance, smaller inner plots show regional values. Thinner dashed black lines signify a LoA of 50%. Black solid lines on the map mark the boundaries of the regions in our definitions.

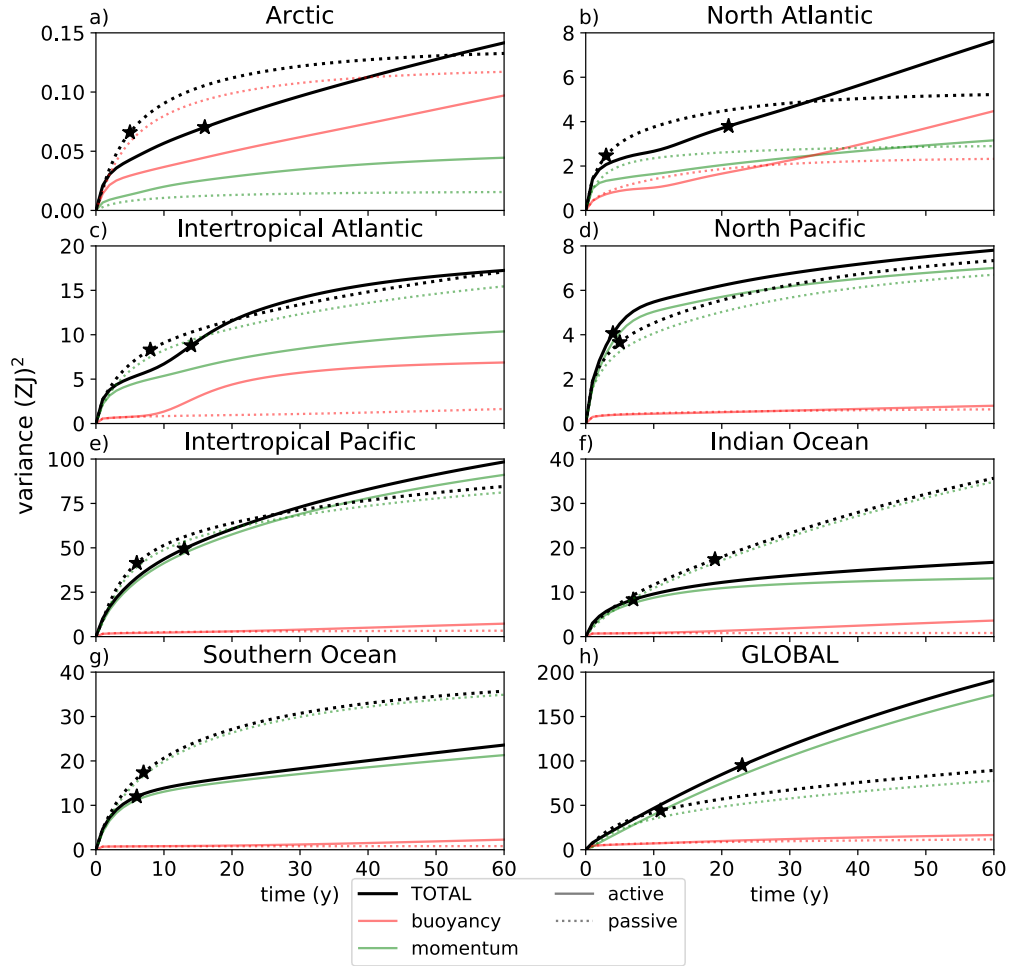


Figure 3. Evolution of full-depth heat content variance in response to stochastic surface forcing in the purely passive (dotted lines) and fully active (solid lines) simulations. The difference between these lines is linked to the dynamical-only component, which may act destructively (passive>active) or constructively (active>passive). Thinner lines show separately the buoyancy-forced (red) and wind-driven (green) components. Stars mark the point at which 50% of the final (60-year) variance is reached.

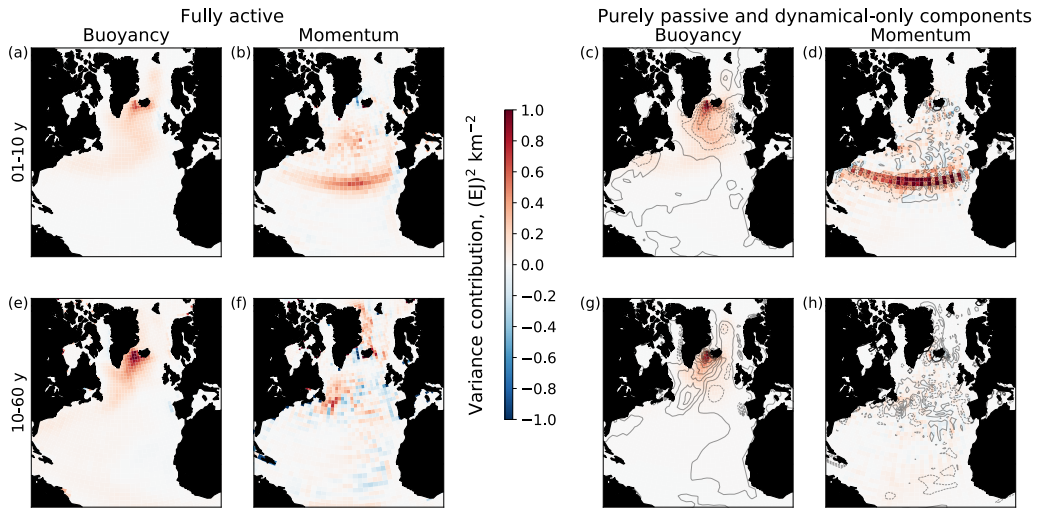


Figure 4. Surface sources of (a,c,e,g) buoyancy- and (b,d,f,h) wind-stimulated full-depth heat content variance in the North Atlantic, integrated over years 0-10 (upper panels) and 10-60 (lower panels) in the (a,b,e,f) fully active and (shading in c,d,g,h) purely passive simulations, and (contours in c,d,g,h) in the dynamical-only diagnosed component. The global integrals of the fully active and purely passive fields for the upper panels produce the values shown in Figure 3 at 10 years. With the addition of the global integral of the fields from the lower panels, it provides the values shown in Figure 3 at 60 years. The dynamical-only component is defined as the difference between the fully active and purely passive simulations. Solid and dashed contours indicate positive and negative values, respectively, with contour intervals of $0.05 \text{ (EJ)}^2 \text{ km}^{-2}$ for buoyancy, and of $0.2 \text{ (EJ)}^2 \text{ km}^{-2}$ for momentum.

This is in accompaniment to a preprint version of a manuscript which has not completed peer review. Future versions may differ in their content.

Supporting Information for “The active and passive roles of the ocean in generating basin-scale heat content variability”

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1. Figures S1 and S2

Introduction This file contains Figures S1 and S2 cited in the main article

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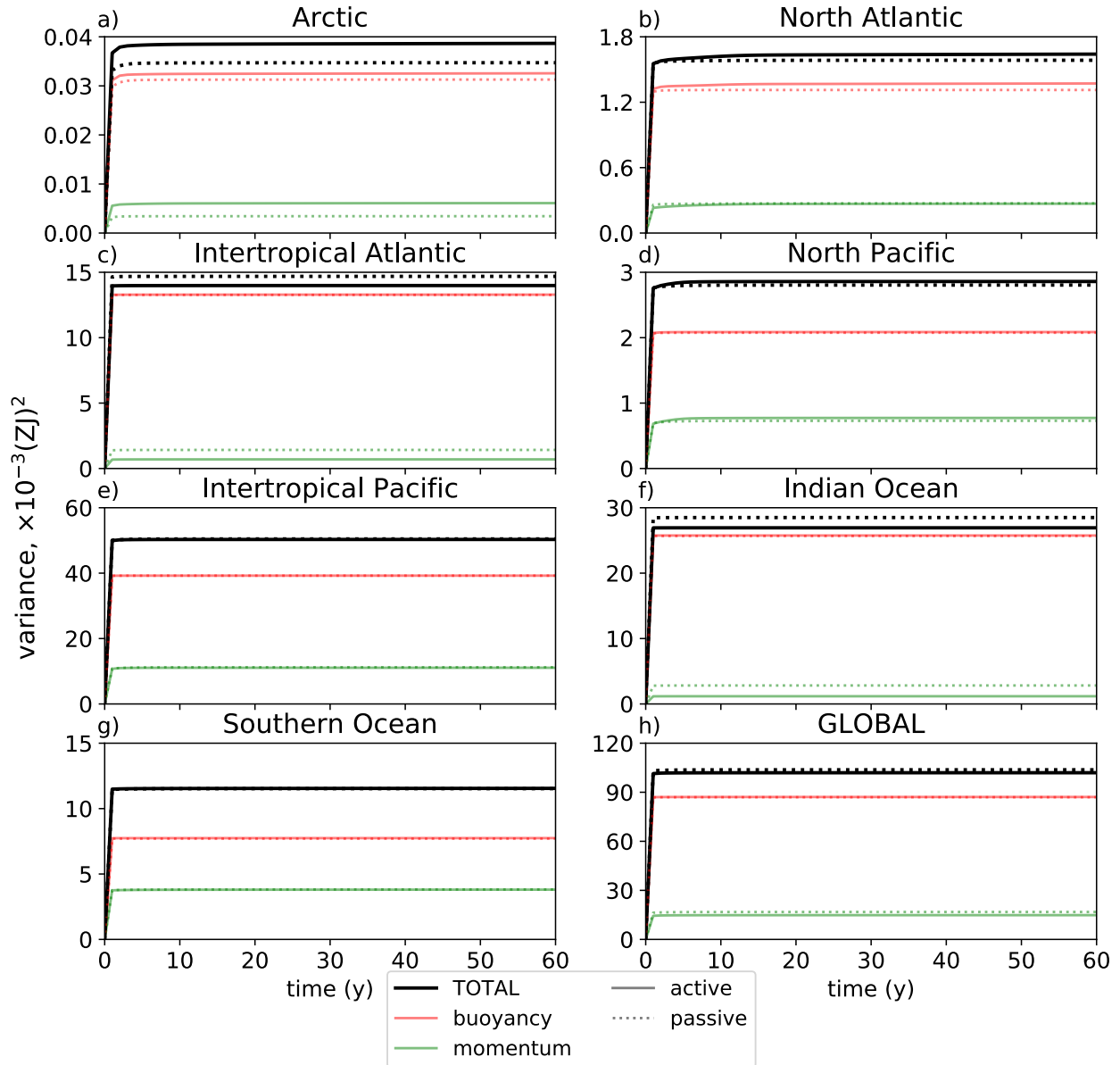


Figure S1. As in Figure 3, but for surface-layer (0-10 m) ocean heat content

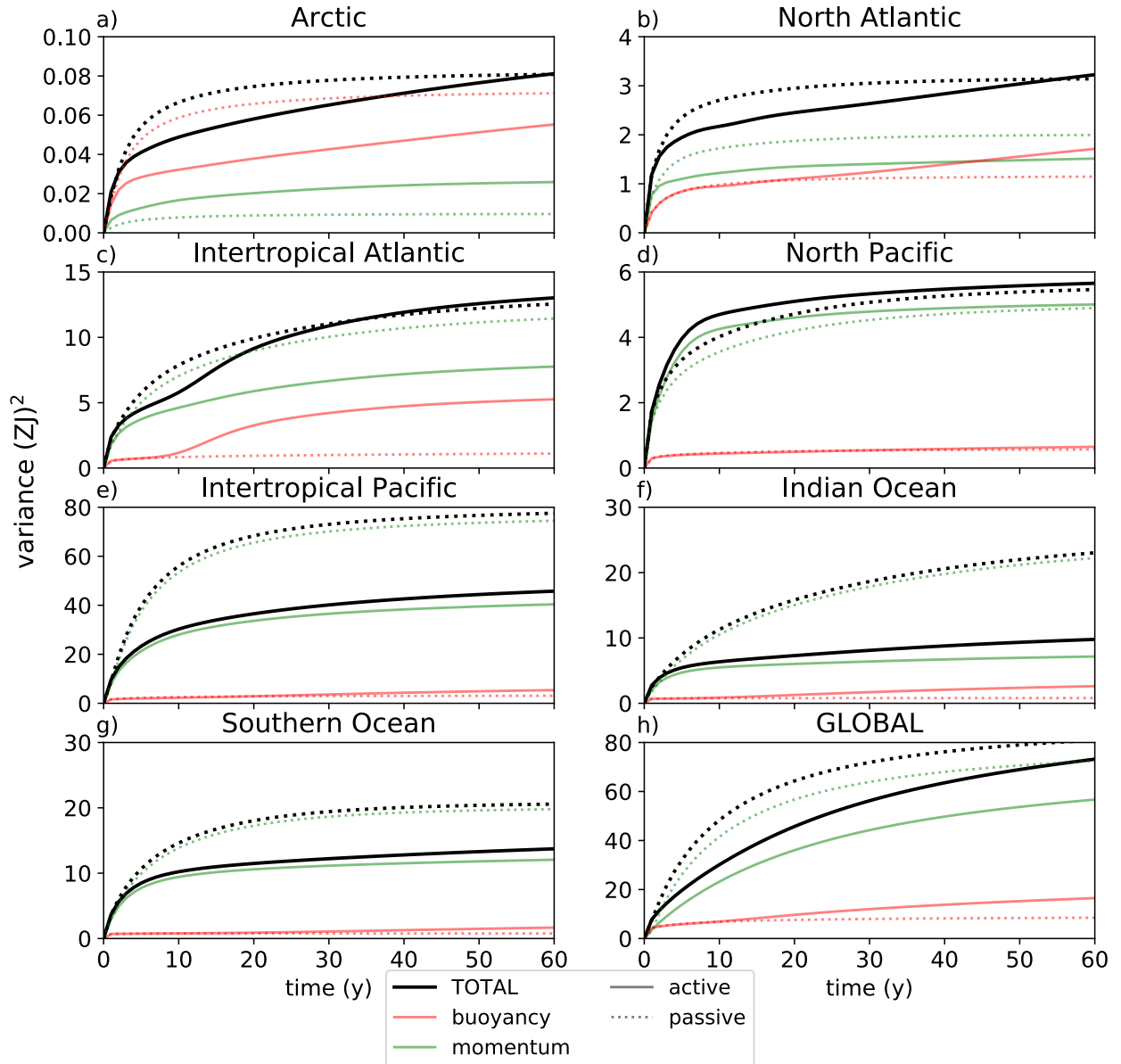


Figure S2. As in Figure 3, but for upper-ocean (0-1500 m) ocean heat content