

1 **Upper Mississippi River Flow and Sediment Characteristics and Their Effect on a Harbor**

2 **Siltation Case**

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15 **Upper Mississippi River Flow and Sediment Characteristics and Their Effect on a Harbor**  
16 **Siltation Case**

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28 **Abstract**

29 Upper Mississippi River flow and sediment characteristics downstream of St. Louis, MO are  
30 presented in this study. Available and measured data were used to assess a harbor siltation case  
31 and dredging needs. Such data are also useful to researchers and engineers conducting work in  
32 the Mississippi River, and large rivers in general. Flows were characterized in terms of the mean  
33 annual hydrograph, the flow duration curve and the mean annual, dominant and effective  
34 discharges. Suspended and bed material sediments were characterized by grain size distributions  
35 (GSDs). Suspended sediment concentrations were characterized with a sediment-rating curve, a  
36 mean annual sediment-graph and a duration curve. The results of the analyses were used to  
37 assess harbor sedimentation by comparing GSDs of harbor bed samples with those observed in  
38 the river. Bathymetric surveys were used to determine rates and occurrence of sedimentation.  
39 The analyses showed that harbor siltation correlates with river conditions, and is driven by wash  
40 load in the river, which enters the harbor in suspension and deposits along the bottom due to the  
41 lack of flow-through velocities high enough to keep the fine sediments in suspension.

42 **Key words**

43 Upper Mississippi River, Wash Load, Harbor, Siltation, Dominant discharge, Effective discharge

44

45 **Introduction**

46 Sediment characteristics and loads in the Mississippi River have been the subject of numerous  
47 studies in the past decades. Their relation with land loss (e.g. Kesel 1989, 1988; van Heerden  
48 and DeRouen 1997) has been one of the key factors driving the need to better assess the  
49 sediment loads in the river and its tributaries. Some recent studies on sediment load trends in the  
50 river basin (e.g. Horowitz 2010; Meade and Moody 2010; Blevins 2006) suggest that sediment  
51 loads are declining. In spite of this, potential for building river diversions that would carry sediment  
52 to certain locations along the shoreline to prevent further land loss at the Mississippi River delta,  
53 and along coastal Louisiana, has been recognized (e.g. Paola et al. 2011; Allison and Meselhe  
54 2010). The amount of sediment diverted is a function of the flow and sediment load in the river  
55 (Dutta et al. 2017) and proper quantification of both variables is required. As a result, research  
56 has mainly focused on the Missouri and Ohio Rivers, which are responsible for the largest tributary  
57 sediment loads (Heimann et al. 2011), or on the lower Mississippi River sediment loads (e.g.  
58 Thorne et al. 2015). This study presents a characterization of the flow and sediment in the Upper  
59 Mississippi River at St. Louis, MO, to contribute to such efforts and facilitate future water and  
60 sediment diversions. In addition, the analysis and results are used to assess a siltation problem  
61 at a harbor built in 2006 on the right bank of the Upper Mississippi River close to Ste. Genevieve,  
62 MO.

63 Siltation is the process by which fine sediment particles suspended in a water body settle and  
64 deposit on the bed. Harbor siltation is a common problem throughout the world, and different  
65 sediment management strategies have been proposed in the literature to prevent it or slow it down  
66 and therefore reduce the necessity for dredging (e.g. Kirby 2011; Winterwerp 2005; van Schijndel

67 and Kranenburg 1998; Berlamont 1989). Successful implementations of such strategies have  
68 been well documented (e.g. Kuijper et al. 2005; Winterwerp et al. 1994) but in spite of these, not  
69 all harbors, and especially not all riverine harbors, are designed with the potential consequences  
70 of siltation in mind.

71 Two distinct foci were established to assess the siltation problem which began a few months after  
72 the harbor started operating in 2007, namely, (i) the harbor itself and (ii) the Upper Mississippi  
73 River between St. Louis, MO and Chester, IL. Specific tasks involved the following:

- 74 • Harbor sediment sample collection and analysis. Samples were taken to the Ven Te Chow  
75 Hydrosystems Laboratory at the University of Illinois at Urbana-Champaign for their  
76 analysis.
- 77 • Determination of siltation volumes and average siltation rates from harbor bathymetric  
78 surveys provided by the harbor's owners.
- 79 • Characterization of the Upper Mississippi River flow conditions using data from  
80 neighboring United States Geological Survey (USGS) gaging stations. The data are  
81 available through the National Water Information System (USGS 2017).
- 82 • Characterization of the material in suspension and deposited on the bed in the Upper  
83 Mississippi River. These data are also available through the National Water Information  
84 System (USGS 2017).

85 These tasks set the structure of this paper. It is divided into five sections, with this introductory  
86 section being the first. The second section describes the harbor, its geometry and location, and  
87 presents measured siltation rates and patterns and bed sediment characteristics. The third  
88 section focuses on the characteristics of the flow and sediment in the reach of the Upper  
89 Mississippi River in the vicinity of the harbor. The fourth section presents the key findings from  
90 the tasks enumerated above, and provides answers regarding the following questions. (i) What is

91 the source of the sediment responsible for siltation inside the harbor? (ii) When are these  
92 sediments most likely to be deposited? (iii) How does siltation relate to the hydraulic conditions  
93 in the river? The fifth and last section summarizes the conclusions of the analysis.

94

## 95 **Site Characteristics**

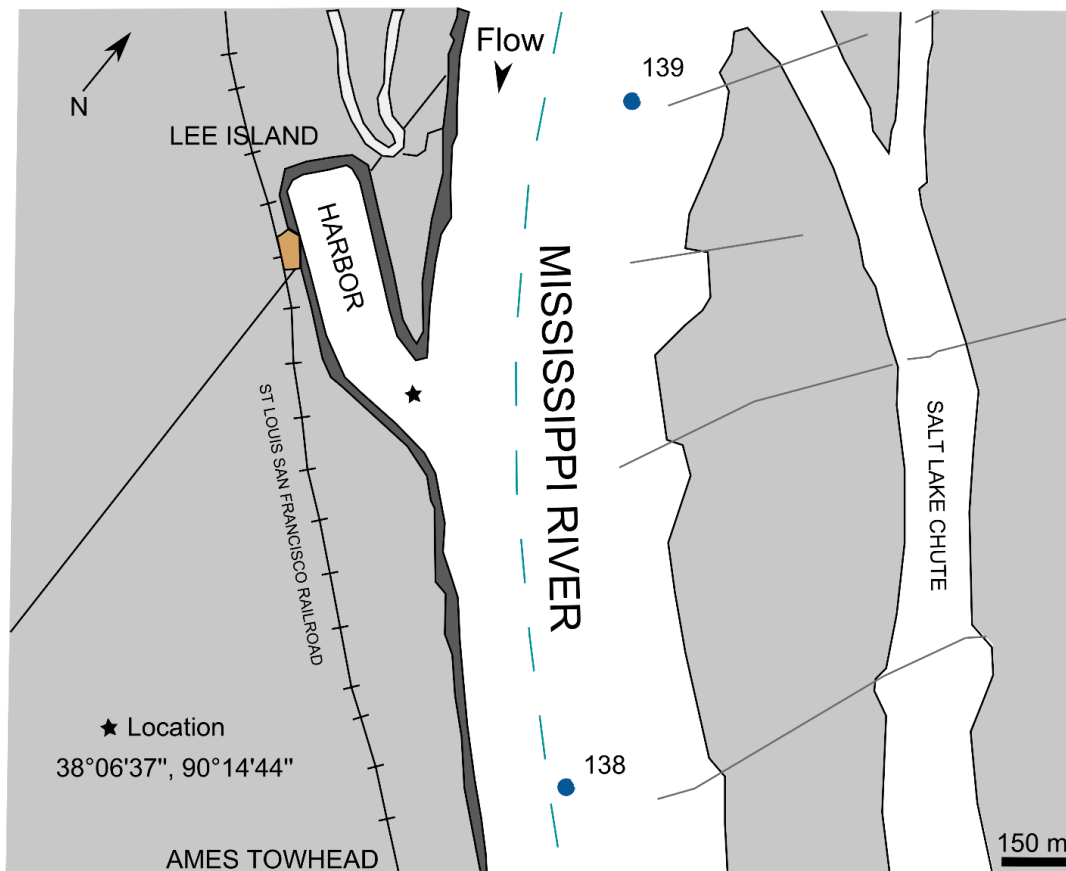
### 96 ***Harbor Location and Dimensions***

97 The harbor is located between Upper Mississippi River Miles 138 and 139 as shown in Fig. 1.

98 The site is approximately 65 km downstream of St. Louis, MO and 45 km upstream of Chester,

99 IL. With a design maximum depth of 17 m, the harbor is 500 m long and 200 m wide. The actual

100 depth and volume of water in the harbor varies with river stage.



101

102 **Fig 1.** Harbor Location. Figure prepared by the authors based on Navigation Chart No. 135 for

103

the Upper Mississippi River (US Army Corps of Engineers 2011).

104 **Measured Harbor Siltation Rates and Patterns**

105 Siltation volumes inside the harbor are available for different dates due to bathymetric  
 106 measurements conducted by the dredging company that was hired by the harbor's owners. Table  
 107 1 shows the siltation volumes measured between February of 2008 and June of 2010. The  
 108 number of days between surveys and the harbor's maximum surface area (10,000 m<sup>2</sup>) were used  
 109 to compute mean siltation rates, equivalent deposit thicknesses and daily siltation depths. Siltation  
 110 periods (dredging campaigns) are reflected by an increase (a decrease) in the excess volume of  
 111 sediment reported in Table 1. This volume corresponds to the difference between a given  
 112 bathymetric survey and the harbor design geometry.

113 **Table 1.** Siltation volumes in the harbor and corresponding mean siltation rates for the period  
 114 between February 2008 and June 2010.

Date	Excess Volume of Sediment [m <sup>3</sup> ]	Volume Increase [m <sup>3</sup> ]	Time [days]	Mean Daily Siltation Rate <sup>1</sup> [m <sup>3</sup> /day]	Equivalent Deposit Thickness <sup>2</sup> [m]	Avg. Daily Siltation Depth <sup>3</sup> [cm/m <sup>2</sup> /day]
FEB 28 2008	166,444	-	-	-	-	-
AUG 13 2008	363,540	197,095	167	1,180	3.6	1.2
OCT 04 2008	337,617	0	52	0	3.4	0.0
JAN 26 2009	52,699	0	114	0	0.5	0.0
MAR 10 2009	57,271	4,572	43	106	0.6	0.1
JUN 04 2009	159,829	102,557	86	1,193	1.6	1.2
JUL 28 2009	211,052	51,224	54	949	2.1	1.0
DIC 16 2009	199,168	0	141	0	2.0	0.0
FEB 10 2010	207,347	8,178	56	146	2.1	0.2
JUN 02 2010	299,332	91,986	112	821	3.0	0.8

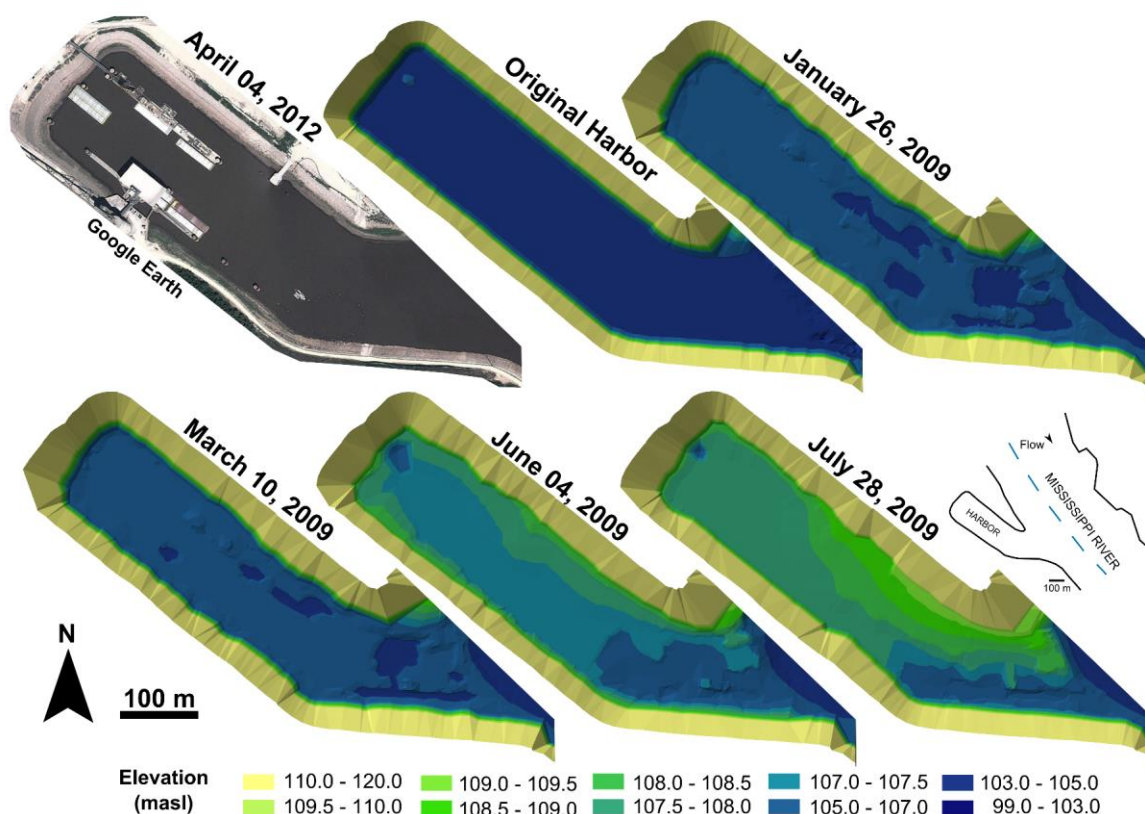
<sup>1</sup> Mean siltation rate determined by dividing the volume increase between consecutive surveys by the number of days between them.

<sup>2</sup> Equivalent deposit thickness computed by dividing the excess volume of sediment by the total harbor area (500 m by 200 m - 10,000m<sup>2</sup>) assuming it is uniformly distributed.

<sup>3</sup> Average daily siltation depth is computed by dividing the mean daily siltation rate by the total harbor area.

115  
 116 The patterns of sediment deposition inside the harbor are shown in Fig. 2. The original harbor  
 117 bathymetry is shown alongside bathymetric surveys conducted in January 26<sup>th</sup>, March 10<sup>th</sup>, June  
 118 04<sup>th</sup> and July 28<sup>th</sup> of 2009. A small insert is included to indicate the relative position of the harbor

119 with respect to the Mississippi River and the direction of flow. An aerial image of the harbor, taken  
 120 from Google Earth, is also included. The amount of sediment deposited on the bed of the harbor  
 121 in January 26<sup>th</sup>, 2009 corresponds to material that had accumulated previously and was not  
 122 removed during the dredging efforts conducted in the second semester of 2008 (see Table 1).



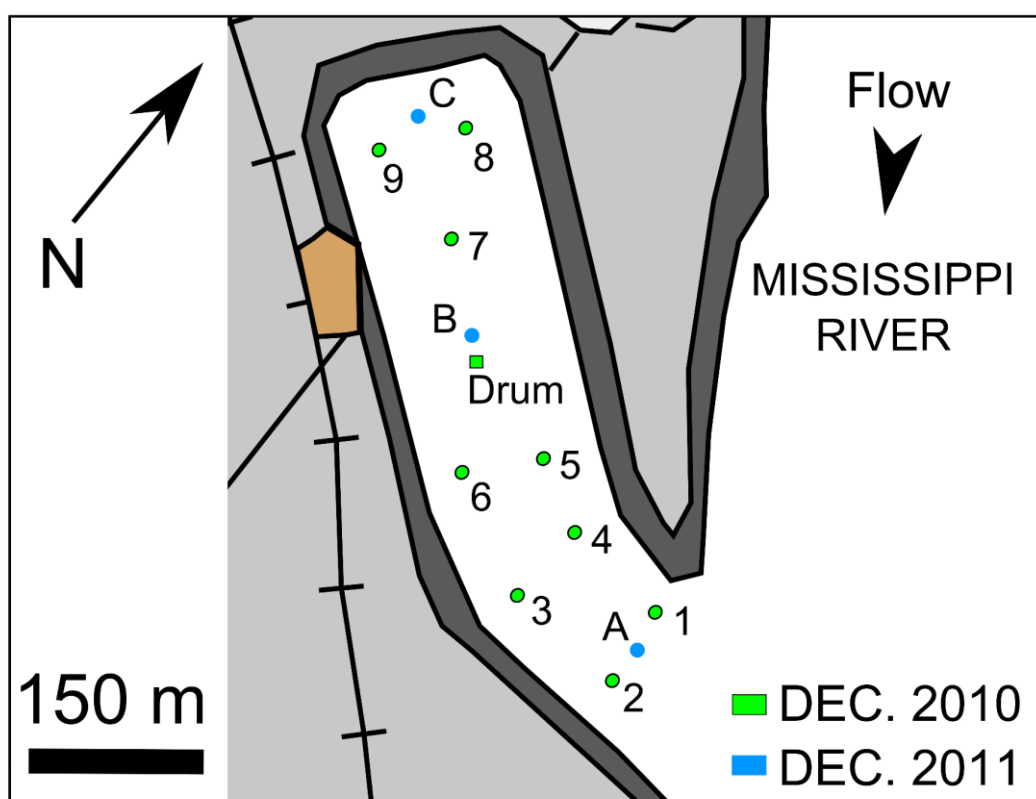
123  
 124 **Fig. 2.** Sedimentation patterns inside the harbor based on original harbor bathymetry and  
 125 bathymetric surveys at four different dates in 2009.

126 **Harbor Bed Sediment Characteristics**

127 *Grain Size Distributions*

128 Samples from the harbor bed were extracted during two separate campaigns conducted in  
 129 December of 2010 and December of 2011. Fig. 3 shows the sampling locations from both  
 130 campaigns, and Table 2 indicates the size of the samples. Grain size distribution analyses for  
 131 samples 1 and 5 were conducted according to the “Standard Test Method for Particle-Size

132 Analysis of Soils” (ASTM 2002), referred to herein as the hydrometer method, and with the LISST-  
 133 ST settling tube (Pedocchi and Garcia 2006) for comparison. Results from both methods  
 134 compared well (Fernández et al. 2012) and therefore samples 1-9 were analyzed only with the  
 135 LISST-ST for simplicity. Samples from the 2011 campaign and from a drum collected in 2010  
 136 were analyzed with the hydrometer method. To assess the role of flocculation in the harbor, the  
 137 analyses were conducted on samples dispersed with sodium hexametaphosphate ( $\text{NaPO}_3$ )<sub>6</sub>, as  
 138 well as on non-dispersed samples. Results are shown in Fig. 4.



139  
 140 **Fig. 3.** Sediment sampling locations. Samples 1-8 and the drum were extracted in December of  
 141 2010. Samples A, B and C were extracted in December of 2011.

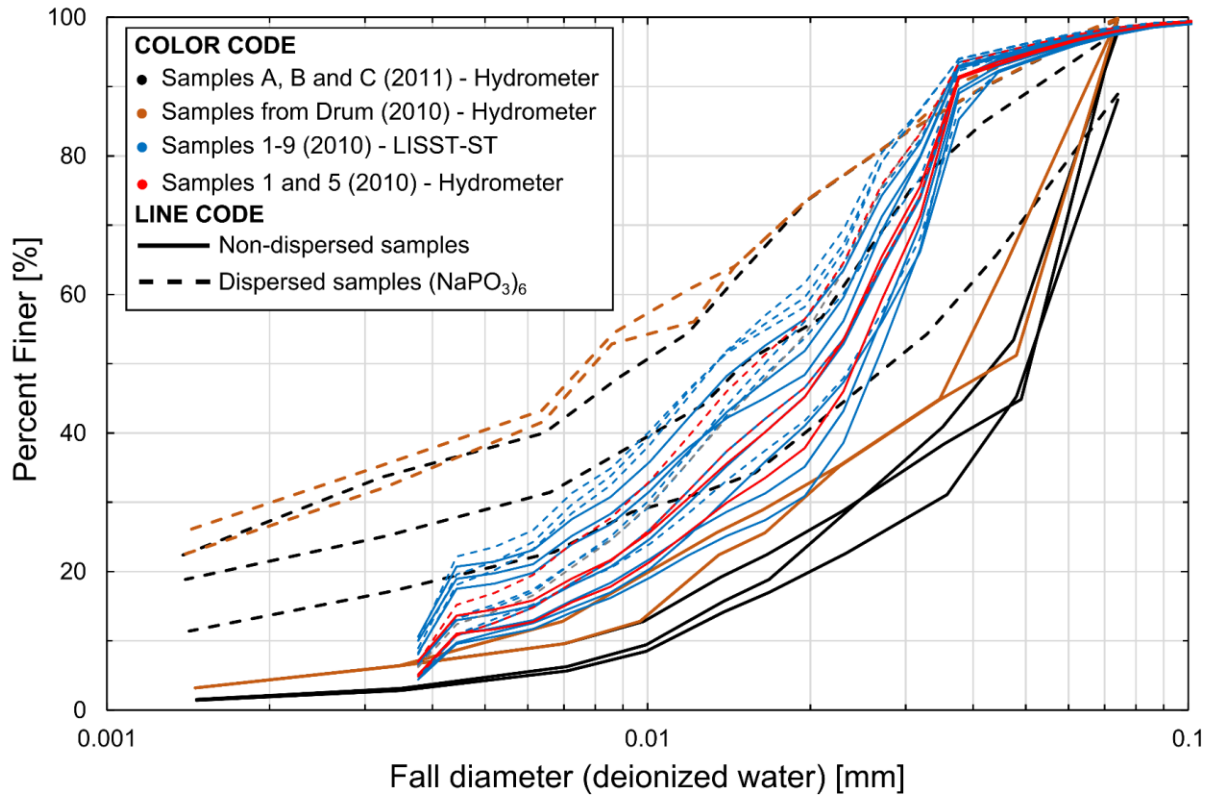
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143

**Table 2.** Harbor bed sediment sample dates and volumes.

Date	Dec. 2010		Dec. 2011
Sample(s)	Drum	1-9	A-C
Volume	189 L	1.9 L	3.8 L





144

145

**Fig. 4.** Grain size distributions of harbor bed samples.

146 *Settling Velocities*

147 Results from the grain size distribution analyses on dispersed and non-dispersed samples  
 148 suggest that flocculation occurs inside the harbor. Flocculation may lead to enhanced siltation  
 149 rates through faster settling of material. The settling velocity of the flocs depends on the size,  
 150 density and shape, which in turn are governed by inter-particle collision frequency (Mehta and  
 151 McAnally 2008). Krone (1962) suggested that since particle collision frequency depends on the  
 152 concentration of particles in suspension, suspended particle concentration may be used as a  
 153 surrogate to estimate the floc settling velocity. Wolanski et al. (1989) proposed dividing the settling  
 154 process into four zones; empirical relations have been developed to express settling velocity in  
 155 each zone as a function of suspension concentration. Table 3 shows the different zones; an  
 156 empirical relation proposed by Hwang (1989) to estimate settling velocities in each zone is given  
 157 by Eq. 1.

$$w_s = \begin{cases} w_{sf} & C < C_1 \\ a_w \frac{C^{n_w}}{(C^2 + b_w^2)^{m_w}} & C_1 < C < C_2 \\ & C_2 < C < C_3 \\ \sim \text{negligible} & C_3 < C \end{cases} \quad Eq. 1$$

159 where

160  $w_{sf}$  = free settling velocity;

161  $C$  = volume suspension concentration;

162  $a_w$  = velocity scaling coefficient;

163  $n_w$  = flocculation settling exponent;

164  $b_w$  = hindered settling coefficient;

165  $m_w$  = hindered settling exponent;

166  $C_1 - C_3$  = zone concentration limits as defined in Table 3;

167 **Table 3.** Settling process zones

Zone 1	Zone 2	Zone 3	Zone 4
Free settling	Flocculation settling	Hindered Settling	Consolidation
$C < C_1$	$C_1 < C < C_2$	$C_2 < C < C_3$	$C_3 < C$
$w_s = w_{sf}$	$w_s = w_s(C)$	$w_s = w_s(C)$	$w_s \rightarrow 0$

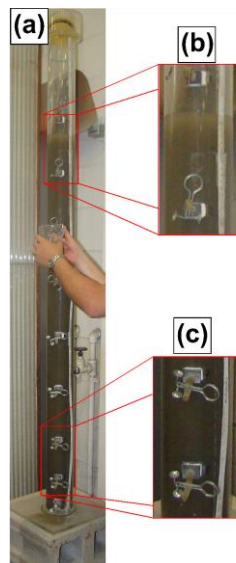
168 When the suspension concentration is below a value  $C_1$ , free settling occurs and the settling  
 169 velocity corresponds with the one each particle would have in the absence of other particles.  
 170 Particles are far away from each other and no flocculation occurs. As concentrations increase,  
 171 flocculation begins to occur and therefore the original grains begin to form flocs which have higher  
 172 settling velocities. This process of flocculation settling continues up to a concentration  $C_2$ , which  
 173 corresponds with the maximum settling velocity ( $w_{sm}$ ). Above  $C_2$ , the concentration becomes so  
 174 high that the flocs have trouble settling and begin to collide with each other. Settling becomes  
 175 hindered and could be thought of as a condition where water is trying to escape the pore space  
 176 as sediment settles down. If concentration continues to increase and reaches a value  $C_3$ , the  
 177 process turns into a consolidation process rather than a settling one. Zone concentration limits

178 and coefficients are not universal and depend on the sediment type and grain size distribution, as  
 179 well as the environmental conditions in which the settling process takes place, such as salinity  
 180 and turbulence or the lack thereof.

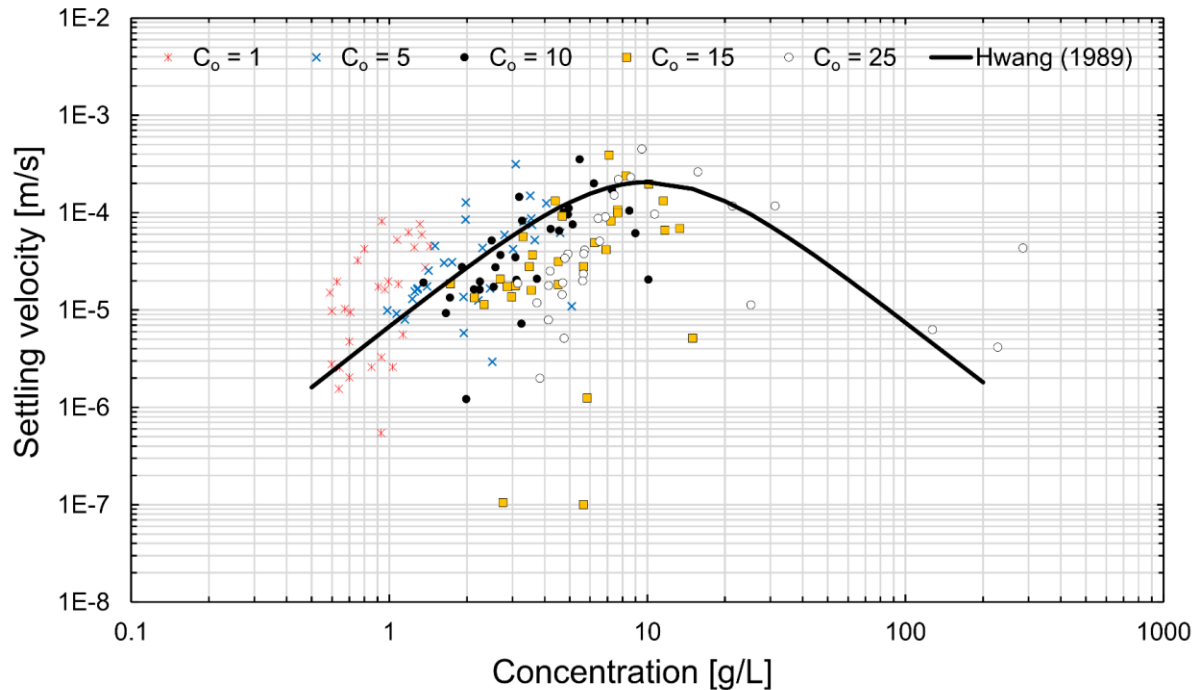
181 The settling velocity of the material found in the harbor was determined by conducting the settling  
 182 column experiments first described by McLaughlin (1959) and later improved by Ross (1988). Fig.  
 183 5 shows the settling column used. It is 0.10 m in diameter and 1.9 m high, and has 5 mm sampling  
 184 tubes located at the following elevations above the bed: 0.06 m, 0.16 m, 0.31 m, 0.51 m, 0.72 m,  
 185 0.93 m, 1.13 m, 1.33 m and 1.54 m. The design of the column is based on the one developed by  
 186 Lott (1987), and the experimental procedure followed the one described by Ross (1988). The  
 187 following five different initial concentration conditions:  $C_o = 1 \text{ g/L}$ ,  $5 \text{ g/L}$ ,  $10 \text{ g/L}$ ,  $15 \text{ g/L}$ , and  $25 \text{ g/L}$   
 188 were used. Results from the experiments are shown in Fig. 6 along with a curve fit with Eq.1; the  
 189 resulting coefficients are shown in Table 4.

190 **Table 4.** Coefficients used in Eq. 1 to fit the measured harbor sediment settling velocities.

Coefficient	$a_w$	$n_w$	$b_w$	$m_w$	$C_2 \text{ [g/L]}$	$w_{sm} \text{ [m/s]}$
Value	0.1	2.1	10	2.08	10.1	$2.1e-4$



191  
 192 **Fig. 5.** Picture taken during a settling column test. The left image shows the full column for a  
 193 test with a high initial concentration. Note how the concentration varied between the top and the  
 194 bottom of the settling column as shown in the right-most panes.



195

196

**Fig. 6.** Harbor bed sediment settling velocities.

197

### **Upper Mississippi River Characteristics in the Near-Harbor Area**

198

#### ***Available Data***

199

Data available at USGS gaging stations 07010000 at St. Louis, MO and 07020500 at Chester, IL

200

were used to characterize the Mississippi River in the vicinity of the harbor. A summary of the

201

data is shown in Table 5. Given that no significant tributaries flow into the Mississippi River

202

between St. Louis, MO and Chester, IL a preliminary analysis showed that for the matching period

203

of record July 1942 – November 2011 the flow conditions, on average, differ by less than 1%

204

(Fernández et al. 2012). Therefore, all analyses related to river data presented hereafter only

205

used the information recorded at St. Louis, MO.

206

207

#### ***Flow Discharge and Suspended Sediment Concentrations***

208

Flow discharge and sediment concentrations in the Mississippi River at St. Louis, MO for the

209

period October 1<sup>st</sup>, 1980 to September 30<sup>th</sup>, 2011 are shown in Fig. 7. Historic mean flows at St.

210 Louis, MO computed for different periods are shown in Table 6. Values reported therein indicate  
 211 that the mean flow in the Mississippi River for the period beginning when the harbor started  
 212 operation (2007) and ending in 2011 has been approximately 56% larger than what it had been  
 213 over the period beginning in 1861 and ending in 2011 and 33% larger than what it had been over  
 214 the period beginning in 1980 and ending in 2011.

215 A suspended sediment concentration rating curve determined from the 11,285 measurements  
 216 available for the 30 year period is shown in Fig. 8. A power law curve shown in Eq. 2 and on the  
 217 lower right of Fig. 8 was fit to the data (solid line) and envelopes indicating concentration values  
 218 equal to 0.2, 0.5, 2.0 and 5.0 times the values estimated with the power curve fit to the data are  
 219 indicated with dashed lines. Although the data shows scatter, 80.4% (99.3%) of the data lie inside  
 220 the envelopes for 0.5-2.0 (0.2-5.0) times the value obtained with the power law relation.

221 
$$C = 1.022e^{-05}Q^{1.1641} \qquad \text{Eq. 2}$$

222 where

223  $C$  = suspended sediment concentration [g/L]; and

224  $Q$  = flow discharge [m<sup>3</sup>/s].

225

226 **Table 5.** Summary of available data from USGS gaging stations at St. Louis, MO and Chester,  
 227 IL that were used in the study.

<b>USGS 07010000 Mississippi River at St. Louis, MO</b>		
Daily Data	Begin Date	End Date
Discharge	01/01/1861	09/30/2011
Suspended sediment	10/01/1980	09/30/2011
Field/lab water-quality samples	01/31/1953	09/30/2011
<b>USGS 07020500 Mississippi River at Chester, IL</b>		
Daily Data	Begin Date	End Date
Discharge	07/01/1942	09/30/2011
Suspended sediment concentration	10/01/1982	09/30/2011
Field/lab water-quality samples	10/14/1970	09/30/2011

228

229 **Table 6.** Historic mean flows for Mississippi River at St. Louis, MO. Periods indicated  
 230 correspond to hydrologic years.

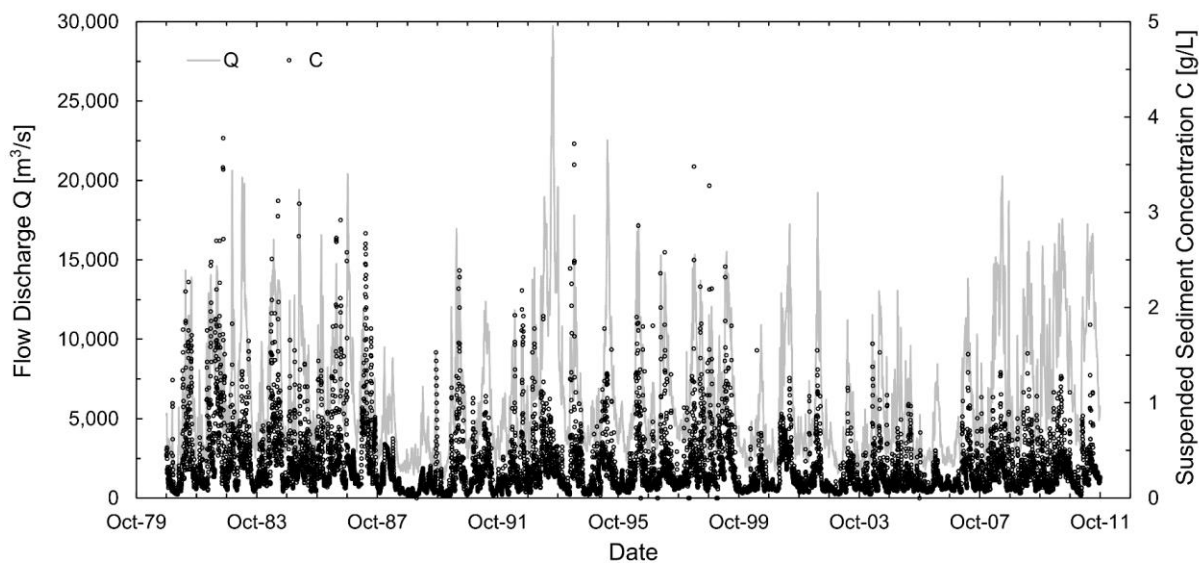
Period	[years]	1861-2011 <sup>a</sup>	1941-2011 <sup>b</sup>	1981-2011 <sup>c</sup>	2007-2011 <sup>d</sup>
Mean Flow	[m <sup>3</sup> /s]	5,265	5,665	6,175	8,210

<sup>a</sup> Complete period of record for Mississippi River discharge at St. Louis, MO.

<sup>b</sup> Period of record matching the discharge data available at Chester, IL.

<sup>c</sup> Period of record matching the suspended sediment concentration measurements at St. Louis, MO

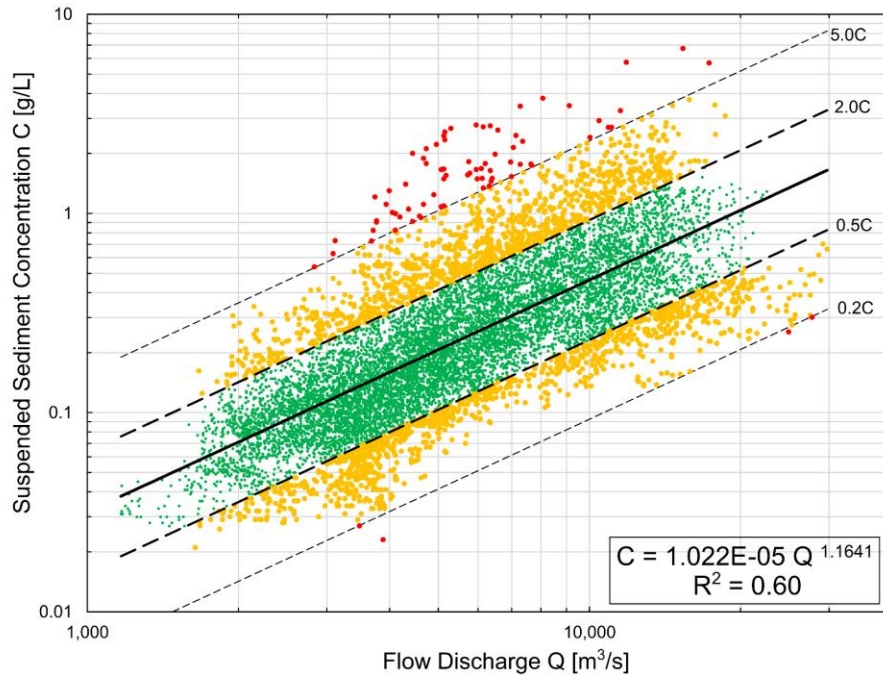
<sup>d</sup> Period of record beginning in the year when the harbor started operating (2007).



231  
 232 **Fig. 7.** Flow discharge and suspended sediment concentrations in the Mississippi River at St.  
 233 Louis, MO for the period Oct. 01, 1980 to Sep. 30, 2011.

234 ***Suspended and Bed Material Sediment Characteristics***

235 Grain size distributions for the sediments in the Mississippi River at St. Louis, MO were available  
 236 as part of U S Geological Survey field/lab water quality samples (Table 5). Fig. 9 shows a total of  
 237 108 grain size distributions of the material traveling as suspended load and Fig. 10 shows a total  
 238 of 114 grain size distributions for the material found on the bed of the Mississippi River at St.  
 239 Louis, MO. The solid black line represents the median grain size distribution curve, and the  
 240 dashed lines correspond to the 75<sup>th</sup> and 25<sup>th</sup> percentiles. The sediment size for which 50% of the  
 241 grains are smaller is 0.008 mm for the material traveling as suspended load and 0.44mm for the  
 242 material found on the bed of the river.



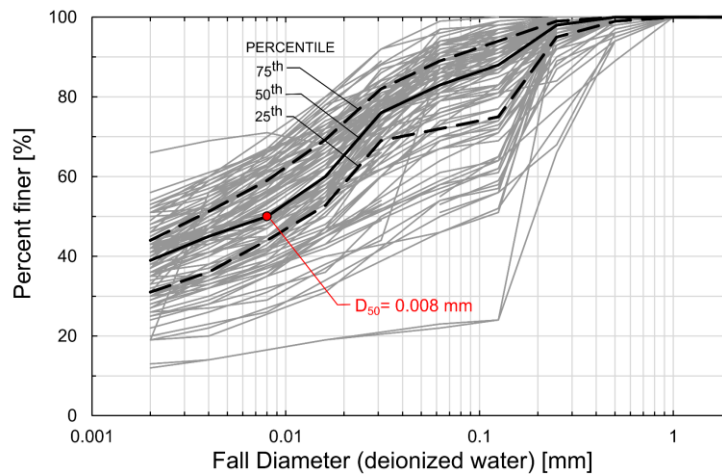
243

244 **Fig. 8.** Suspended sediment concentration rating curve for the Mississippi River at St. Louis,

245 MO. The dashed lines indicate envelopes for values equal to 0.2, 0.5, 2.0, and 5.0 times the

246 concentration values estimated with the power relation fit to the data shown in the lower right of

247 the figure.



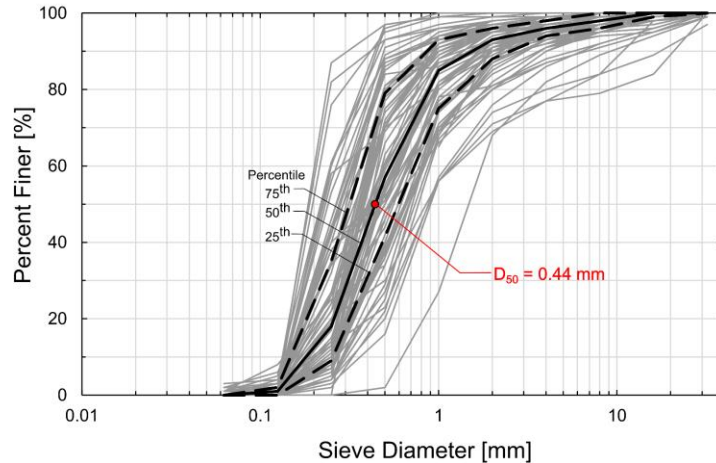
248

249 **Fig. 9.** Grain size distributions for the material in suspension in the Mississippi River at St.

250 Louis, MO. The solid gray lines correspond to the 108 available measurements; the solid black

251 line corresponds to the median grain size distribution and the dashed lines represent the 75<sup>th</sup>

252 and 25<sup>th</sup> percentiles. The bulk  $D_{50}$  for the material is 0.008 mm.



253

254 **Fig. 10.** Grain size distributions for the bed material in the Mississippi River at St. Louis, MO.

255 The solid gray lines correspond to the 114 available measurements; the solid black line  
 256 corresponds to the median grain size distribution and the dashed lines represent the 75<sup>th</sup> and  
 257 25<sup>th</sup> percentiles. The bulk D<sub>50</sub> for the material is 0.44 mm.

258

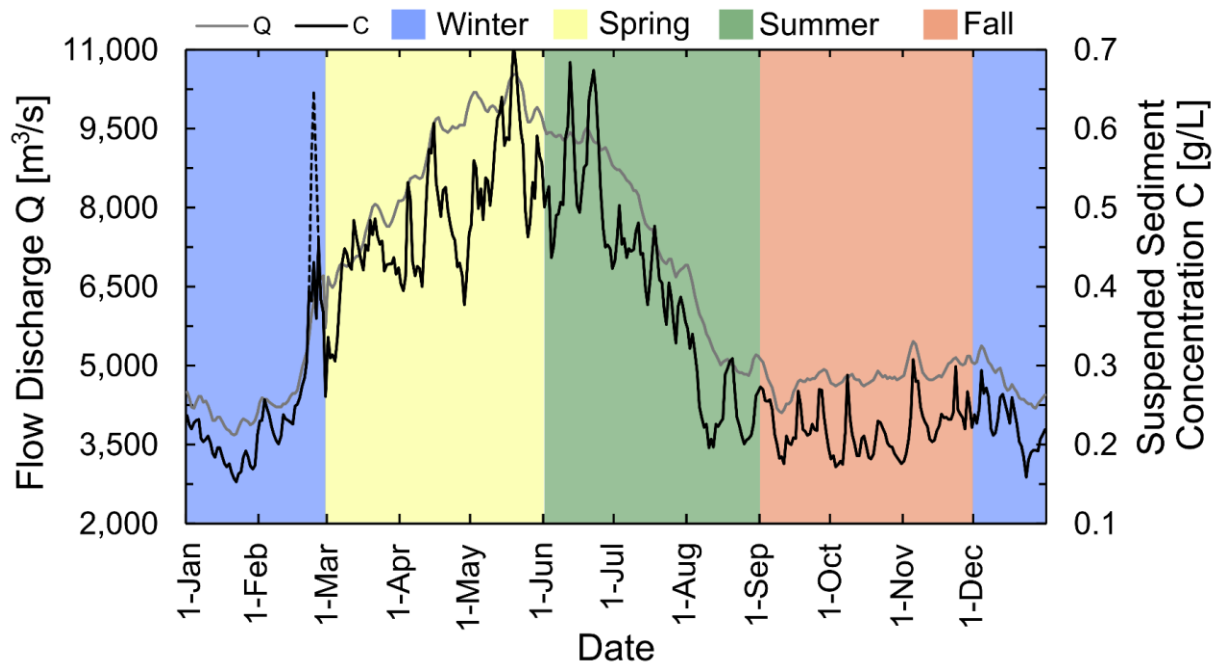
259 ***Mean Annual Hydrograph, and Suspended Sediment Concentrations and Duration Curves***

260 The mean annual flow hydrograph and mean annual sediment concentrations are shown in Fig.  
 261 11. A black dashed line spike can be seen in the sediment concentration hydrograph during late  
 262 February. That line corresponds to the 30-year daily average concentrations but it is significantly  
 263 biased by an extreme event that occurred in February of 1985, as shown in Table 7. If the values  
 264 for those days are not included in the averaging process, the curve takes the shape of the solid  
 265 line, which was taken as the representative mean annual sediment concentration curve herein.

266 Fig. 12 shows the flow duration curve and the suspended sediment concentration duration curve  
 267 based on the mean annual data in Fig. 11. Flows (concentrations) are lower than 5,000 m<sup>3</sup>/s (0.27  
 268 g/L) for half of the year and higher than 8,000 m<sup>3</sup>/s (0.43 g/L) for 30% of the year. The remaining  
 269 20% of the time covers the periods in which flows and suspended sediment concentrations  
 270 increase (decrease) rapidly between mid-February and mid-March (mid-July and mid-August).

271



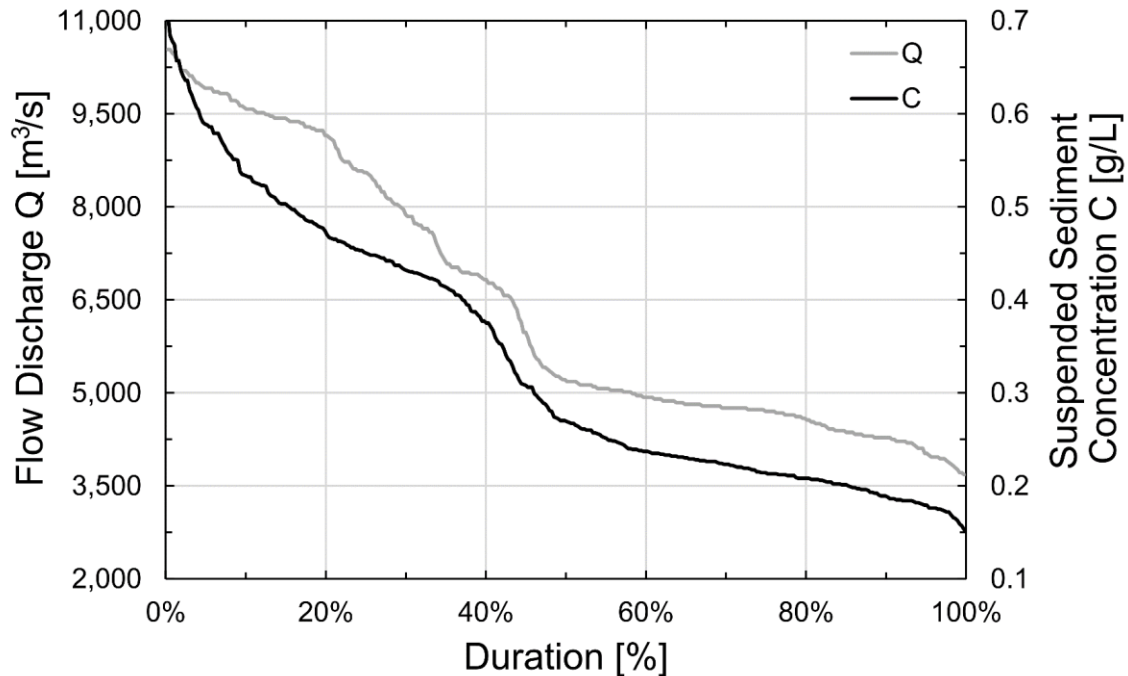


272  
 273 **Fig. 11.** Mean annual hydrograph and suspended sediment concentrations for Mississippi River  
 274 at St. Louis, MO. The dashed black line indicates the mean annual concentration values when  
 275 including the values observed in the period Feb. 22<sup>nd</sup> - 26<sup>th</sup>, 1985.

276  
 277 **Table 7.** Suspended sediment concentration values measured in 1985 and associated flow  
 278 discharges.

Date	Flow Discharge Q [m <sup>3</sup> /s]	Suspended Sediment Concentration C [g/L] <sup>a</sup>
Feb. 22 1985	6,343	2.75
Feb. 23 1985	11,836	5.74
Feb. 24 1985	15,348	6.72
Feb. 25 1985	17,302	5.69
Feb. 26 1985	18,632	3.09

<sup>a</sup> The 99<sup>th</sup> percentile for concentrations measured in the period Oct. 1<sup>st</sup> 1980 to Sep. 30<sup>th</sup> 2011 is 1.78 g/L. Within that time period, only 3 (12, 30) values exceeded 4g/L (3 g/L, 2.5 g/L) corresponding to 0.03% (0.11%, 0.27%) of the data.



279

280 **Fig. 12.** Mean annual flow (Q) duration curve and mean annual suspended sediment

281 concentration (C) duration curve for the Mississippi River at St. Louis, MO.

282 ***Characteristic Flow Discharges***

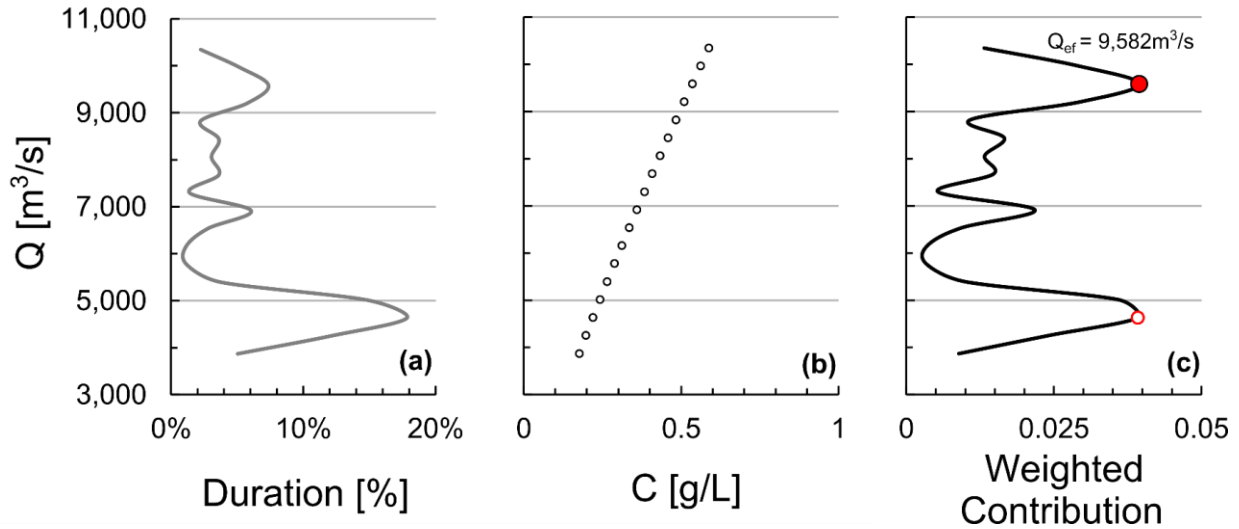
283 Different definitions of a constant characteristic discharge that would be capable of producing the  
 284 same channel morphologies observed in a river under varying flow conditions have been  
 285 proposed in the literature. Some of these definitions are related to channel equilibrium conditions  
 286 (e.g. Inglis 1947), meander wavelengths (e.g. Ackers and Charlton 1970), stream bankfull  
 287 geometry (e.g. Wilkerson and Parker 2011; Nixon 1959), exceedance probability (e.g. Blench  
 288 1956; Leopold and Maddock 1953) or sediment transport capabilities (e.g. Terrell and Borland  
 289 1958). These concepts are typically applied in relation to geomorphic processes and their effect  
 290 on channel geometry.

291 In this study, the concept of characteristic discharges is adapted to assess the flows responsible  
 292 for the sediment loads in the Upper Mississippi River. Specifically, the concepts of dominant and  
 293 effective discharge are used due to their relation with sediment loads in the river without

294 consideration for morphological implications. The dominant discharge is defined here as the flow  
295 that, if sustained throughout a period of time, would produce the same mean sediment discharge  
296 observed during that period under varying flow conditions. The effective discharge is defined here  
297 as the one carrying the largest volume of sediment in the river. This definition is based on the  
298 bed-generative discharge concept first proposed by Schaffernak (1916, 1922), and its  
299 computation follows the approach described by Biedenharn et al. (2000). The method has been  
300 used and described by different authors (e.g. Garde and Ranga Raju 1977; Gandolfo 1940) but  
301 other authors refer to it as the dominant discharge (e.g. Thomas and Benson 1966). It is not the  
302 objective of this study to provide clarification and comparison between available definitions; the  
303 reader is referred to Soar and Thorne (2011) for a recent review on the subject.

304 Using the data available for the 1981-2011 period, the mean annual suspended sediment  
305 concentration was determined and the dominant discharge was back calculated with the  
306 sediment-rating curve shown in Fig. 8 and Eq. 2. The values obtained are 0.337g/L for the mean  
307 concentration and 7,608 m<sup>3</sup>/s for the dominant discharge.

308 The effective discharge computation is shown in Fig. 13. The resulting value is 9,582 m<sup>3</sup>/s, which  
309 corresponds to the maximum value of the curve of weighted contributions (right panel) obtained  
310 from the product of the flow frequency curve (left panel) and the sediment rating curve (middle  
311 panel). Other local maxima may be seen in the curve. These represent the discharges responsible  
312 for carrying large sediment volumes. As is often the case, the result obtained has two distinctive  
313 peaks, indicating that a frequent discharge carrying a relatively small sediment load for a long  
314 time is almost as effective as an infrequent discharge carrying a large amount of sediment over a  
315 shorter period of time. Using the rating curve in Fig. 8, the suspended sediment concentration  
316 associated with the effective discharge was obtained. The resulting value was 0.441 g/L.



317

318 **Fig. 13.** Effective discharge analysis plots and results. The left panel shows the flow frequency  
 319 curve; the middle panel shows the sediment rating curve; and the right panel shows the  
 320 weighted contributions and effective discharge.

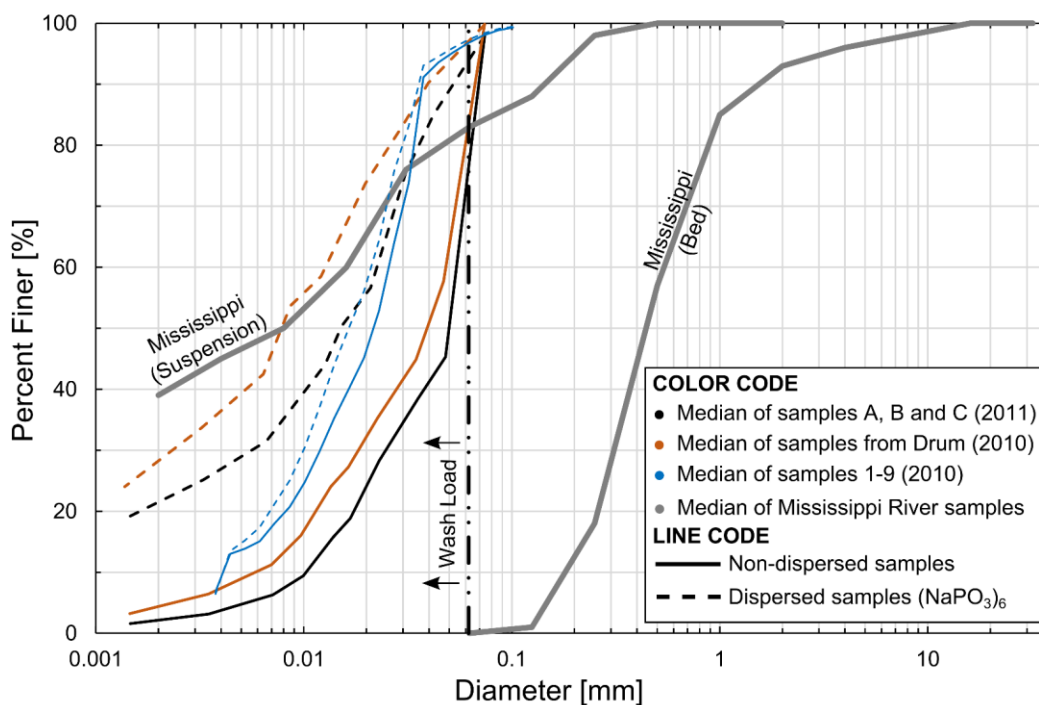
321 **Key Findings and Discussion**

322 ***What is the source of the sediment responsible for siltation inside the harbor?***

323 *Origin based on grain size distributions and sedimentation patterns*

324 The sediment size analyses from the river and the harbor are summarized in Fig. 14; median  $D_{50}$   
 325 values are shown in Table 8. Harbor bed sediments are slightly coarser than the material that is  
 326 carried in suspension by the Upper Mississippi River at St. Louis, MO but are significantly finer  
 327 than the material in the bed of the river, suggesting that the sediment source is likely to be the  
 328 suspended sediment in the river. The sedimentation patterns inside the harbor also shed light on  
 329 the origin of the sediment. As shown in Fig. 2, siltation blankets the entire bed of the harbor. The  
 330 relatively uniform thickness of the deposited sediment observed in the March and June  
 331 bathymetries is due to a combination of two factors: the fine-grained nature of the deposited  
 332 sediment, and barge traffic (approximately 20 barges per day), which can under some conditions  
 333 cause resuspension and redistribution due to propeller wash (Garcia et al. 1999). Although

334 coarser materials were found close to the entrance, all sediments were significantly finer than the  
 335 Upper Mississippi River bed material.



336  
 337 **Fig. 14.** Comparison of Harbor and Upper Mississippi River grain size distributions.

338 **Table 8.** Median  $D_{50}$  values for Harbor and Upper Mississippi River sediment grain size  
 339 distributions.

Sediment source		Median $D_{50}$ [mm]	
		Dispersed	Non-dispersed
Harbor	Drum	0.008	0.040
	S1-9	0.017	0.022
	A-C	0.015	0.050
River	Suspended		0.008
	Bed		0.440

340  
 341 *Suspended sediment dynamics within the harbor*  
 342 The sediment that enters the harbor in suspension is deposited first on the perimeter of the harbor  
 343 where the flow velocities and shear stresses, even in the presence of barge traffic, approach zero.  
 344 Sediment deposits preferentially along these zones and then builds up uniformly from the edges

345 towards the middle of the harbor. The siltation patterns shown in Fig. 2 show some zones that are  
346 lower in elevation in the south section close to the entrance. These areas have likely been scoured  
347 due to barge traffic going in and out of the harbor.

348 The settling velocities determined in the experiments (Fig. 5) and shown in Fig. 6 range between  
349  $1e-6$  to  $1e-3$  m/s, with the largest values associated with larger suspended sediment  
350 concentrations at which flocculation occurs. Although the concentrations in the Mississippi River  
351 rarely exceed 2g/L (Fig. 8), it is possible that concentrations may exceed this value inside the  
352 harbor as the sediment settles to the bottom. This is most likely to prevail during periods when  
353 the harbor is not operating at full capacity. The presence of a bar-like feature on the east side of  
354 the harbor on the July 29<sup>th</sup> bathymetry is also thought to be related to barge traffic redistribution  
355 of sediments, since most of the barge traffic occurs through the southern part of the harbor and  
356 towards the west and north west sections.

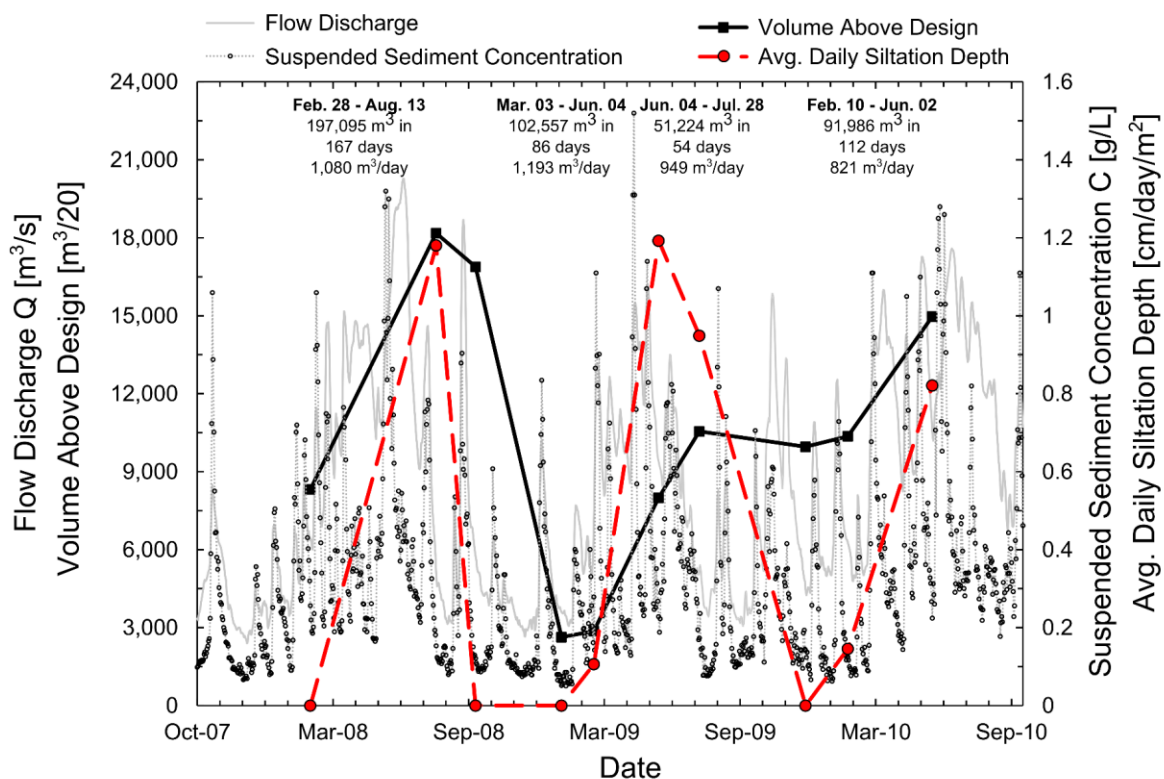
#### 357 ***When are the sediments most likely to be deposited in the harbor?***

358 Harbor siltation volumes and rates are shown in Fig. 15. The black solid line corresponds with the  
359 volumes of sediment above the design conditions of the harbor. The values are divided by 20 so  
360 as to plot this variable using the same axis limits as the flow discharge, and to clearly present the  
361 salient trends. In the three cases where the volume of sediment in the harbor increases, the period  
362 corresponds to late February or early March to late July or early August. (Decreases are caused  
363 almost solely by dredging.) This timeframe corresponds to the spring and early summer months;  
364 siltation rates within this period can be as high as  $1.2 \text{ cm/m}^2/\text{day}$ , as indicated by the red dashed  
365 line.

#### 366 ***Applicability of the dominant and effective discharge concepts***

367 Typically, the dominant and effective discharge concepts are not meant to be used in rivers where  
368 the majority of the material transported corresponds to silt and clay sizes (i.e. wash load). The  
369 main reason for this is that wash load does not correlate with flow discharge and therefore, as  
370 long as the sediment is available, the river will transport it regardless of the flow magnitude. Fig.

371 14 shows that more than 80% of the material traveling in suspension in the Upper Mississippi  
 372 River corresponds to wash load. However, Fig. 8 and Fig. 11 show that wash load in the  
 373 Mississippi River, as defined using e.g. the 62.5  $\mu\text{m}$  cutoff criterion (River Research Council,  
 374 2007), does indeed positively correlate with discharge to a surprising degree. The trends shown  
 375 by both variables in Fig. 11 are remarkably similar, and more than 80% of the suspended sediment  
 376 concentration data shown in Fig. 8 lies within envelopes of 0.5-2.0 times the value estimated with  
 377 the sediment rating curve. A possible explanation for this behavior is given below.



378  
 379 **Fig. 15.** Flow and suspended sediment concentration conditions in the Upper Mississippi River  
 380 at St. Louis, MO for hydrology years 2008-2010, as well as harbor siltation volumes and rates.

381  
 382 During late February and early March, snowmelt takes place and river flows increase. At the same  
 383 time, fine sediment from bare agricultural land is carried by runoff into the river and transported  
 384 as wash load. This phenomenon is sustained throughout the growing season, and is enhanced

385 by rainfall in the spring and early summer. Once the crops are established and precipitation  
386 diminishes (late summer), fine sediment availability is reduced and both the flows and suspended  
387 sediment concentrations in the river return to their base flow patterns. The mean annual  
388 hydrograph shown in Fig. 11 reflects these processes.

389 Snowmelt followed by spring and early summer precipitation contribute to the flow magnitude and  
390 the availability of sediment due to bare agricultural land in the Upper Mississippi River basin, thus  
391 creating conditions in which fine sediment availability matches the period of high flows. High flows  
392 do not necessarily cause larger sediment transport, but are correlated due to the characteristics  
393 of the river basin. The dominant and effective discharge concepts may be applied in this and other  
394 river basins where sediment availability matches the period of high flows even though the relation  
395 between the two variables is not strictly causal.

396 ***How does siltation relate to the hydraulic conditions in the river?***

397 Table 9 summarizes the results obtained for the characteristic discharges, the number of days for  
398 which they are exceeded and the associated suspended sediment concentrations. Comparison  
399 of the characteristic discharges with the mean annual hydrograph and mean annual suspended  
400 sediment concentrations shown in Fig. 11 suggest that the Mississippi River carries larger  
401 sediment volumes between the end of February and early August than otherwise.

402 The dominant discharge is exceeded for 120 days between mid-March and mid-July, and the  
403 effective discharge is exceeded only for a few days in April and all of May. Siltation volumes and  
404 siltation rates are shown in Table 1 and Fig. 15; they are greatest in periods including these  
405 months. Although bathymetric survey dates allow assessment of the silting process over the  
406 period between February and August, lack of data for the months of April and May impede  
407 determining if harbor siltation occurs mostly during early or late spring, summer or both.  
408 Nonetheless, the process of siltation is clearly related to flow conditions in the river. The data  
409 show that whenever suspended sediment concentrations at St. Louis, MO are above 0.44 g/L,



410 large siltation volumes inside the harbor are possible. According to Fig. 12, these concentrations  
411 are met during 30% of the year.

412

413 **Table 9.** Upper Mississippi River at St. Louis, MO characteristic discharges, exceedance and  
414 associated suspended sediment concentrations for hydrologic years 1981-2011.

Discharge Type	Discharge Value Q [m <sup>3</sup> /s]	Exceedance [days - %]	Associated Suspended Sediment Concentration C [g/L]
Mean	6,170	162 – 44%	0.264
Dominant	7,608	120 – 33%	0.337
Effective	9,582	36 – 10%	0.441

415

#### 416 ***Potential Effect of Barge Traffic and Towboat Operations on Harbor Siltation***

417 Studies on the effect of towboat navigation and barge tows under typical conditions of Upper  
418 Mississippi River traffic have shown that bed shear stresses under such conditions deviate from  
419 those expected under steady-uniform flow. More specifically, higher shear stresses are  
420 associated with the passage of the tow and the stern of the barge tow (Rodriguez et al. 2002;  
421 Garcia et al. 1999, 1998). Barge traffic in and out of the harbor plays an important role in sediment  
422 resuspension. The harbor is directly open to the Mississippi River, but has no through-flow  
423 discharge and thus acts as a sediment trap. Towboats and barges that enter for loading and  
424 unloading operations resuspend the sediment in the harbor, but even with the small settling  
425 velocities measured in the laboratory and reported in Fig. 6, such resuspension does not seem to  
426 contribute substantially toward keeping sediment from settling inside the harbor. As shown in  
427 Table 1 and Fig. 15, between the months of July and December of 2009, the excess volume of  
428 sediment in the harbor decreased and no dredging efforts took place. This suggests that in those  
429 months in which Upper Mississippi River flow discharge and suspended sediment concentrations  
430 return to base levels, sediment resuspended by towboats and barges may leave the harbor. This  
431 observed decrease, however, corresponds to only an insignificant amount of sediment compared  
432 to the amount that comes into the harbor during the spring and summer months.

433 **Conclusions**

434 Flow and sediments in the Upper Mississippi River were characterized with information available  
435 at the USGS gaging station in St. Louis, MO. The most relevant results of our analysis are as  
436 follows.

- 437 1. The correlation between wash load and flow discharge in the Upper Mississippi River is  
438 due to the characteristics of the basin, namely, snowmelt followed by spring and early  
439 summer precipitation over bare agricultural land that create conditions in which fine  
440 sediment availability matches the period of high flows.
- 441 2. The dominant and effective discharge concepts may be applied to the Upper Mississippi  
442 River and similar basins where these conditions are met.
- 443 3. The  $D_{50}$  for the material carried in suspension by the Mississippi River at St. Louis, MO is  
444 0.008 mm and for the material found on the bed it is 0.44 mm.
- 445 4. Settling velocities for the material carried in suspension by the Mississippi River in St.  
446 Louis, MO are between  $1e-6$  to  $5e-4$  m/s with the largest values associated with larger  
447 suspended sediment concentrations where flocculation is possible.

448 Comparison of the Upper Mississippi River data with laboratory results of harbor bed samples  
449 and bathymetric survey data leads to the following findings:

- 450 5. Sediment deposited in the harbor is wash load from the Upper Mississippi River that enters  
451 the harbor in suspension and deposits due to the lack of flow-through inside;
- 452 6. Towboat and barge operations resuspend sediment, but their effect on preventing siltation  
453 is negligible in spite of the small settling velocities;
- 454 7. Flow conditions in the Upper Mississippi River in the period between Mid-March and Mid-  
455 July correlate with high siltation rates inside the harbor; the analysis suggest (but in the  
456 absence of specific bathymetric data does not prove) that large siltation rates are possible  
457 in the month of May when the effective discharge in the Mississippi River is exceeded.

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463

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