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**Was the January 26th, 1700 Cascadia Earthquake Part of an Event Sequence?**

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# Was the January 26th, 1700 Cascadia Earthquake Part of an Event Sequence?

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## Key Points

- We simulate 32,500 1700 Cascadia earthquake ruptures in the range M7.8-M9.6 and model the tsunamis
- We test which models match coastal subsidence estimates and the historical tsunami in Japan
- We find that the data can be explained by sequences of as many as five earthquakes

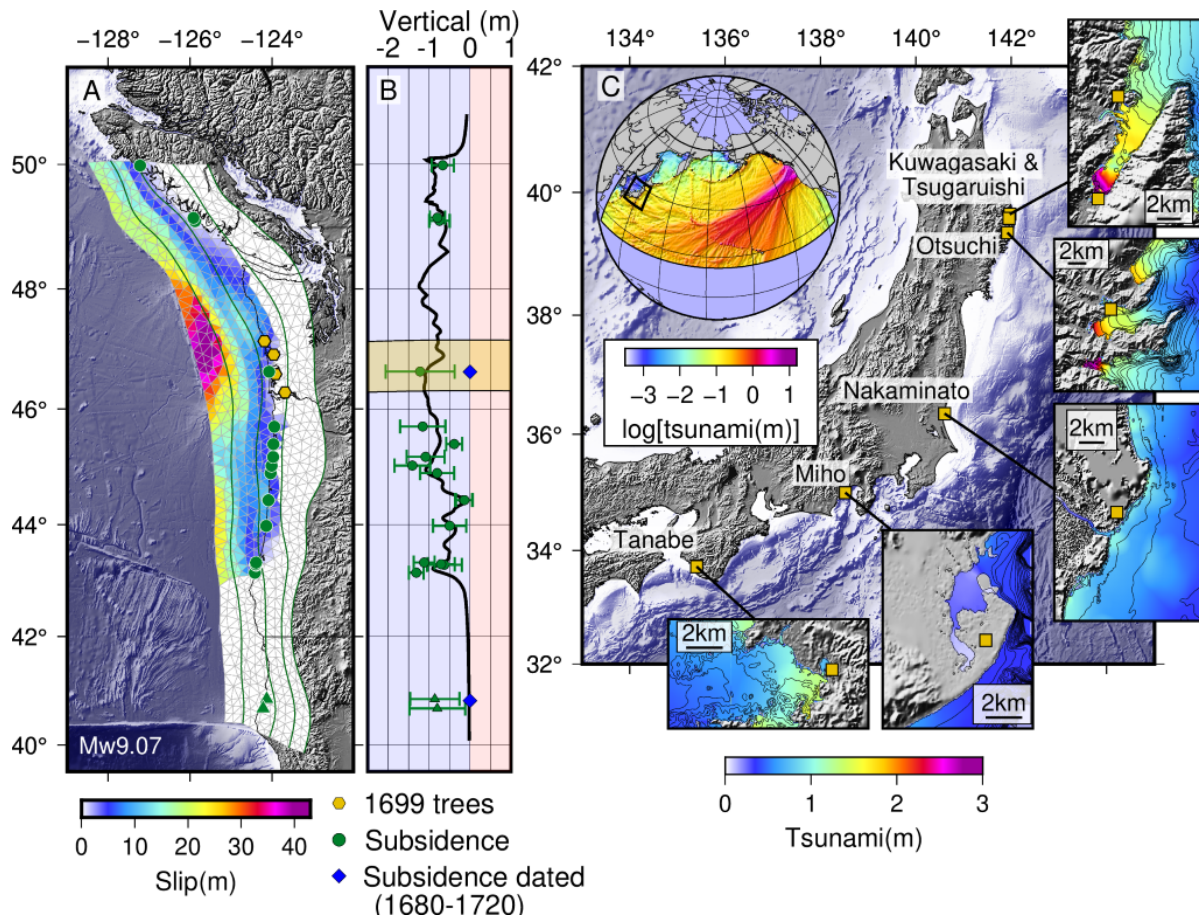
## Abstract

Coastal subsidence, dating of soil samples and tree rings, and sedimentological evidence of a tsunami point to coseismic activity on a sizable portion of the Cascadia subduction zone circa 1700. Documents from Japan reveal that on January 26th of that year there were tsunami impacts across distant locations in the country and past modeling shows that a large Cascadia earthquake is the most likely source. The prevailing hypothesis is that only a single large event rupturing the entire plate boundary can explain these observations. Here we model tens of thousands of ruptures and simulate their coastal subsidence and far-field tsunami signals and show that it is possible that the 1700 earthquake was instead part of a sequence of several earthquakes. Partial rupture of as little as ~40% of the along-strike extent of the megathrust in one large M>8.7 earthquake can explain the tsunami in Japan and a part of the coastal subsidence. As many as four more earthquakes with M<8.6 can complete the coseismic subsidence signal without their tsunamis being large enough to be recorded in Japan. Given the spatial gaps in the presently available geologic estimates of coastal subsidence data it is also possible that short segments of the megathrust have remained unbroken. The findings have significant implications for Cascadia geodynamics and how earthquake and tsunami hazards in the region are quantified.

## 1. Motivation and Background

There is significant evidence that a great earthquake took place on the Cascadia subduction zone (CSZ, Figure 1A) on January 26th, 1700 (Atwater et al., 2005; Walton et al., 2021). From northern California to Vancouver Island there is widespread occurrence of sequences of tidal wetland soil overlain by mud as a result of coseismic subsidence (Atwater et al., 1987; Nelson et al., 1996; Witter et al., 2003)(Figure 1B). Additionally, there are margin-wide abrupt contacts of sand over the mud deposits which represent deposition by a tsunami following the sudden subsidence (Atwater et al., 1995). Radiocarbon dating at many of these sites can only narrow the timing of subsidence at ~100-400 years before present (BP) (Shennan et al., 1996). Meanwhile, at particularly well-preserved locations in southern Washington and Northern California, high-precision dating of tree rings as well as of rhizomes brackets the potential interval of deformation

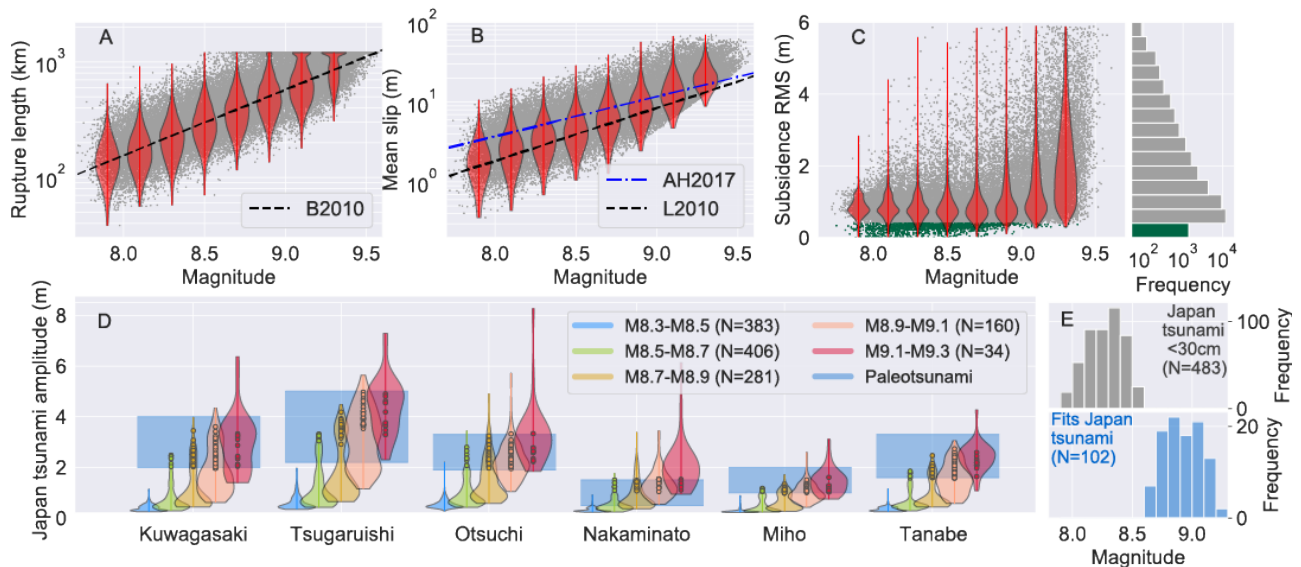
54 to the years ~1690-1720 (Atwater & Yamaguchi, 1991, Nelson et al., 1995). Similarly, offshore  
 55 observations of turbidites correlate well across the margin and suggest episodes of strong shaking  
 56 across most if not the full extent of the subduction zone with the latest occurring 260+/-120 Yrs  
 57 BP (Goldfinger et al., 2012).



58  
 59 **Figure 1.** (A) The Cascadia subduction zone. Shown is an example synthetic slip model. The 3D  
 60 megathrust geometry (Hayes et al., 2018) is shown with depth contours at 10km intervals. Green  
 61 symbols are the locations of coseismic coastal subsidence estimates. Yellow hexagons are the  
 62 locations of the 1699 western red cedar trees. (B) Paleogeodetic subsidence from a combination  
 63 dataset (Leonard et al., 2010; Kemp et al., 2018). Symbols are the the estimates with error bars.  
 64 The thick black line is the coastal subsidence from the coseismic slip model in (A). Shaded region  
 65 is the location of the 1699 trees and blue diamonds locations where subsidence is dated with  
 66 high-resolution. (C) Tsunami produced by the slip model in (A). The inset shows the open ocean  
 67 amplitudes across the Pacific Ocean in the modeled domain. Shown as well are the amplitudes  
 68 at five locations in Japan where estimates of tsunami inundation are available from historical  
 69 documents (Satake et al., 2003). Black lines are the contours of the high-resolution bathymetry  
 70 at 10m intervals.

71 While compelling, these data do not narrow down the timing of the last great earthquake to a  
 72 specific year or day. This constrain is from two other key observations. The first is of seven  
 73 western red cedar trees along an ~100km stretch of Southern Washington (Figure 1A) which

74 clearly show that they last generated bark sometime in the year 1699 and before the spring of  
 75 1700 before being killed by rapid submergence into intertidal waters (Yamaguchi et al., 1997).  
 76 Precise dating of these “1699 trees” was possible by correlation of the ring patterns to nearby  
 77 living “witness” trees and has not been carried out elsewhere in the subduction zone. The second  
 78 observation arises from the discovery of written documents in Japan (Satake et al, 1996; 2003)  
 79 that detail observations of a tsunami which caused widespread damage at locations as far as  
 80 ~800km apart on the main island of Honshu (Figure 1C). Because the tsunami was not  
 81 accompanied by any documented shaking, the event is often named the “orphan” tsunami and is  
 82 inferred to have its origin elsewhere in the Pacific basin. Its timing does not correlate to any known  
 83 large earthquake in Kamchatka, the Aleutians, or South America (Satake et al., 2003). The  
 84 amplitudes of the inundation in Japan (Figure 1C,2) have been deduced from the written accounts  
 85 and corrected for the tides and post-1700 land-level changes and hydrodynamic modeling has  
 86 shown that an M8.7-M9.2 earthquake at the CSZ could satisfy them. Based on the tsunami travel  
 87 times this places the origin of the earthquake somewhere on the 26th of January 1700.  
 88



89  
 90 **Figure 2.** (A) Rupture length as a function of magnitude for the 32,500 models. Grey dots are  
 91 individual events. The red violins show estimates of the length distributions at 0.2 unit bins. The  
 92 dashed line labeled B2010 are the mean lengths expected from a scaling law (16). (B) Same as  
 93 (A) but showing mean slip as a function of magnitude. The lines labeled AH2017 and L2010 are  
 94 the expected values from scaling laws (Allen & Hayes, 2017; Leonard, 2010). (C) RMS misfit  
 95 between the predicted coastal subsidence and the paleogeodetic estimates in Fig 1B. The green  
 96 dots are the 1,034 ruptures with RMS<0.4 used for tsunami modeling. (D) Tsunami modeling  
 97 results for the 1,034 ruptures with RMS<0.4. Shown are the amplitudes at five locations in Japan  
 98 (Figure 1C) as a function of magnitude. The violins show the distribution for all events, the dots  
 99 show 102 ruptures which fit the observations at all sites. Shaded blue regions are the inferred  
 100 amplitudes from historical records (Satake et al., 2003). (E) Histograms of the magnitude  
 101 distribution of 102 events that fit the Japan tsunami data and 483 events that are considered to  
 102 produce amplitudes that are too small (<30cm) to have been recorded on historical documents.



103 Was the 1700 earthquake a single event or was it a sequence of progressive failures of the  
104 megathrust in more modestly sized (~M8) earthquakes over several decades? This is  
105 fundamental to understanding the long term seismogenic behavior of the CSZ and for quantifying  
106 future hazards potentials. A sequence of events can explain the paleogeodetic coastal  
107 subsidence (Nelson et al., 1995; McCaffrey & Goldfinger, 1995). However, the subsequent  
108 unearthing of the historical documents in Japan, and the inferred tsunami inundation amplitudes  
109 have been interpreted to rule this out. While coastal subsidence can be explained by numerous  
110 smaller events, tsunami modeling with quasi-homogenous slip sources was used to argue that ~M8  
111 earthquakes produce amplitudes in Japan that are an order of magnitude too small (Satake et al.,  
112 2003). This, conjoined with the location of the precisely dated 1699 trees, roughly in the  
113 geographic middle of the subduction zone, has been interpreted as definitive evidence that only  
114 a single plate-boundary-wide large magnitude event could explain all data simultaneously  
115 (Atwater et al., 2005). To date this single-event model is the dominant view (Walton et al., 2021)  
116 and almost taken as axiomatic.

117 For earthquakes before 1700 there is abundant onshore evidence of smaller ~M8 ruptures,  
118 particularly in southern Oregon (Witter et al., 2003; Kelsey et al., 2002; Walton et al., 2021). This  
119 is supported by the turbidite record offshore (Goldfinger et al., 2012) as well. This has led to the  
120 perspective that, over many earthquake cycles, the plate boundary has more than one mode of  
121 failure. In addition to margin-scale events it can also rupture in sequences of smaller earthquakes  
122 spanning a few decades (Nelson et al., 2006). In this view, the two modes of failure are  
123 independent of each other and formal hazards assessments for the region conceptualize them as  
124 two distinct possibilities (Frankel et al., 2015) when considering future ruptures.

125 When the 1700 tsunami was first modeled, only four different fault dimensions were considered  
126 and only one-dimensional distributions of slip, symmetric along the strike of the fault, were used.  
127 However, over the last decade our perspective on large earthquake sources has sharpened.  
128 Routine inversion of geodetic and seismological data has allowed us to build databases of  
129 earthquake source parameters. From these, source scaling laws have been defined that describe  
130 empirical probability density functions of the expected areal extent of earthquakes of a certain  
131 magnitude (Blaser et al., 2010; Leonard et al., 2010, Allen & Hayes, 2017). Not all events of a  
132 given magnitude have equal rupture dimensions. For example, the 2010 M8.8 Maule earthquake  
133 ruptured for 500km, the 2011 M9.0 Tohoku-oki ruptured 400km, and the 2004 M9.2 Sumatra  
134 earthquake ruptured 1,400km.

135 Additionally, we now have models of the heterogenous slip distributions for most large  
136 earthquakes since 1990 (Ye et al., 2016; Hayes, 2017) and the variability in how slip is distributed  
137 over the faults is significant. For tsunami modeling this is important. The initial tsunami potential  
138 energy directly controls the inundation amplitudes and depends non-linearly on slip (Nosov et al.,  
139 2014). As a result, even if the rupture dimensions and magnitude are held fixed, the tsunami  
140 amplitudes produced by homogenous or near-homogeneous slip distributions, such as those  
141 previously used to study the 1700 earthquake, and those produced by heterogenous slip  
142 distributions can vary substantially (Melgar et al., 2019). It has been found that systematically  
143 larger tsunamis result when considering heterogenous over homogenous slip.

144 This new perspective on the heterogeneities of large earthquakes has also led to advances in  
145 generating synthetic earthquake rupture models (LeVeque et al., 2016; Frankel et al., 2018). It is  
146 now commonplace for both seismic and tsunami source and hazards studies to generate  
147 “stochastic” ruptures. These are constrained by known quantities, such as the fault geometry, and  
148 an Earth structure model, but are allowed randomly to vary in area, and slip distribution based on  
149 assumed probability density functions for these parameters.

150 Given the progress in the observation of large earthquakes and in modeling ruptures and  
151 tsunamis it is pertinent to revisit the January 26<sup>th</sup> 1700 Cascadia earthquake. Here we will use  
152 these new advances and model 32,500 ruptures on the 3D slab geometry of the CSZ in the M7.8  
153 - M9.6 range. We will also carry out hydrodynamic modeling of their resulting tsunamis with high-  
154 resolution bathymetry. Our findings will be used to argue that the coastal subsidence data and  
155 the far-field tsunami amplitudes in Japan can be explained with a mixed mode sequence of  
156 earthquakes. Such a sequence would be comprised of one large ( $M > 8.7$ ) earthquake, the  
157 mainshock, that ruptures only part of the plate boundary and is preceded or followed by one or  
158 many smaller events in the  $\sim M8$ - $M8.6$  range. Our findings do not rule out the single event  
159 hypothesis and we will show examples of single-earthquake plate boundary spanning events that  
160 explain the observations. However, we find strong evidence, that the prevailing view, that the  
161 geological and far-field tsunami data definitively rule out partial rupture of the CSZ in 1700, is  
162 overly simplistic and cannot at present be justified. That the January 26<sup>th</sup> 1700 event is part of a  
163 longer-lived sequence of earthquakes, potentially spanning many decades, needs to be  
164 considered as a hypothesis that is at least equally likely.

## 165 **2. Data and Methods**

### 166 2.1 Rupture modeling

167 The process for generating the stochastic rupture models is described in great detail in Melgar et  
168 al., (2016), Goldberg & Melgar (2020) and Small & Melgar (2021). Here we summarize the most  
169 important aspects. We use the 3D megathrust for the CSZ from Slab2.0 (Figure 1A, Hayes et al.,  
170 2018) and discretize it into a triangular mesh using a finite element meshing software. We use  
171 the slab model only to a maximum depth of 40km. This is well into the slow slip region (Bartlow,  
172 2020) but does not necessarily mean slip is allowed to extend to this depth, as will be discussed  
173 in a moment. Given an assumed magnitude, the length and width of the fault is determined by  
174 making a random draw from the lognormal distributions of Blaser et al. (2010). This ensure that  
175 not all events of similar magnitudes have the same fault dimensions. The stochastic slip  
176 distribution is generated using the well-known result that slip can be conceptualized as a spatially  
177 random field defined with a VonKarman auto-correlation function (VK-ACF, Mai & Beroza, 2002)  
178 and a slip standard deviation (Melgar & Hayes, 2019). The VK-ACF is completely defined by three  
179 critical parameters. The Hurst exponent and the along-strike and along-dip correlation lengths.  
180 These later two control the predominant size of the asperities in the resulting slip pattern while  
181 the Hurst exponent controls the amount of short wavelength structure in between the larger  
182 asperities. Scaling laws for the correlation lengths have been determined by measuring them from  
183 databases of slip models (Mai & Beroza, 2002; Melgar & Hayes, 2019) where it has been found

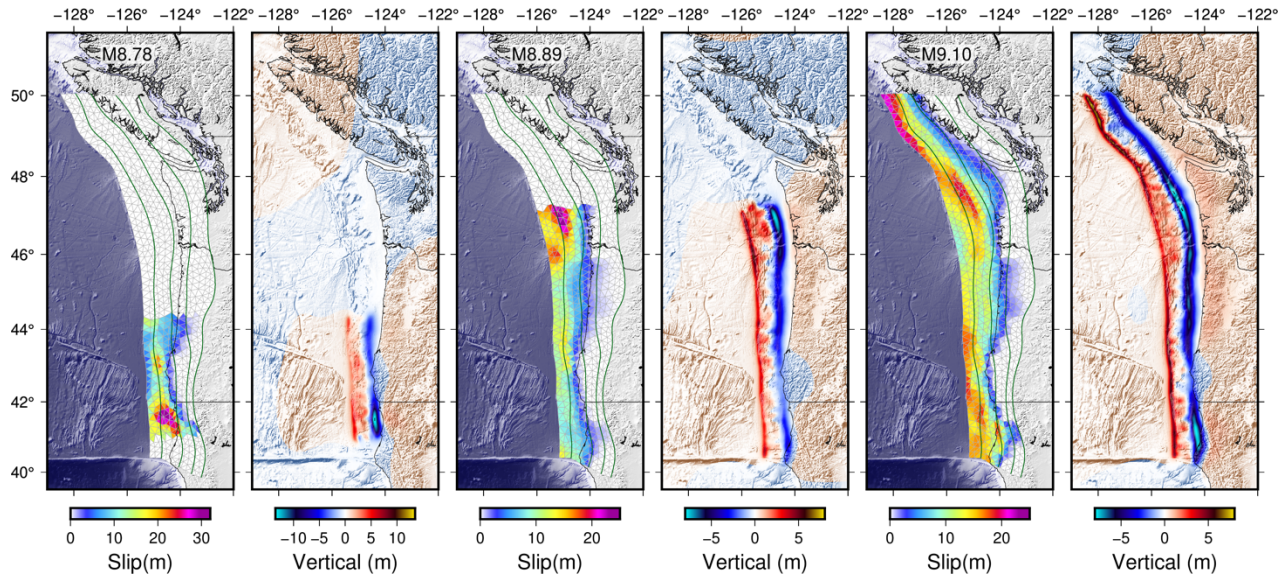
184 that they correlate strongly to length and width of the causative earthquake. Here we use the  
 185 scaling laws defined by [Melgar & Hayes \(2019\)](#) measured from the US Geological Survey's  
 186 database of large earthquake slip models. The Hurst exponent meanwhile has a very weak or no  
 187 correlation with magnitude, length, or width and is typically assumed constant. Here we use the  
 188 value  $H = 0.4$  also obtained from the analysis of [Melgar & Hayes \(2019\)](#).

189 Once all the parameters of the correlation matrix are defined, the stochastic slip pattern can be  
 190 obtained. This is most commonly done in the wavenumber domain (e.g. [Graves & Pitarka, 2010](#)).  
 191 However, here we do it directly in the spatial domain using the Karhunen-Loeve (KL) expansion  
 192 approach proposed by [LeVeque et al. \(2016\)](#). The stochastic vector,  $s$ , containing the slip values  
 193 at each subfault (the slip distribution) is obtained from

194  
 195 
$$\bar{s} = \bar{\mu} + \sum_{k=1}^N z_k \lambda_k \bar{v}_k . \quad (1)$$

196  $\bar{\mu}$ , is the mean of  $\bar{s}$  and the desired statistics are enforced by the eigenvalues,  $\lambda_k$  and eigenvectors,  
 197  $\bar{v}_k$ , of the assumed VonKarman ACF.  $z_k$  are normally distributed random numbers with a mean of  
 198 0 and a standard deviation of 1 which introduce the desired stochastic variability.  $N$  is the number  
 199 of eigenmodes which corresponds to the number of subfaults or elements of  $s$ . Figures 1A,3 and  
 200 Figures S1-S4 are example of ruptures generated with this approach.

201



202  
 203 **Figure 3.** Three examples of ruptures and their resulting vertical deformation of the seafloor used  
 204 as the tsunami initial condition. The green lines are the 10km slab depth contours. The three  
 205 events shown here are part of the set of events that match the tsunami amplitudes in Japan.

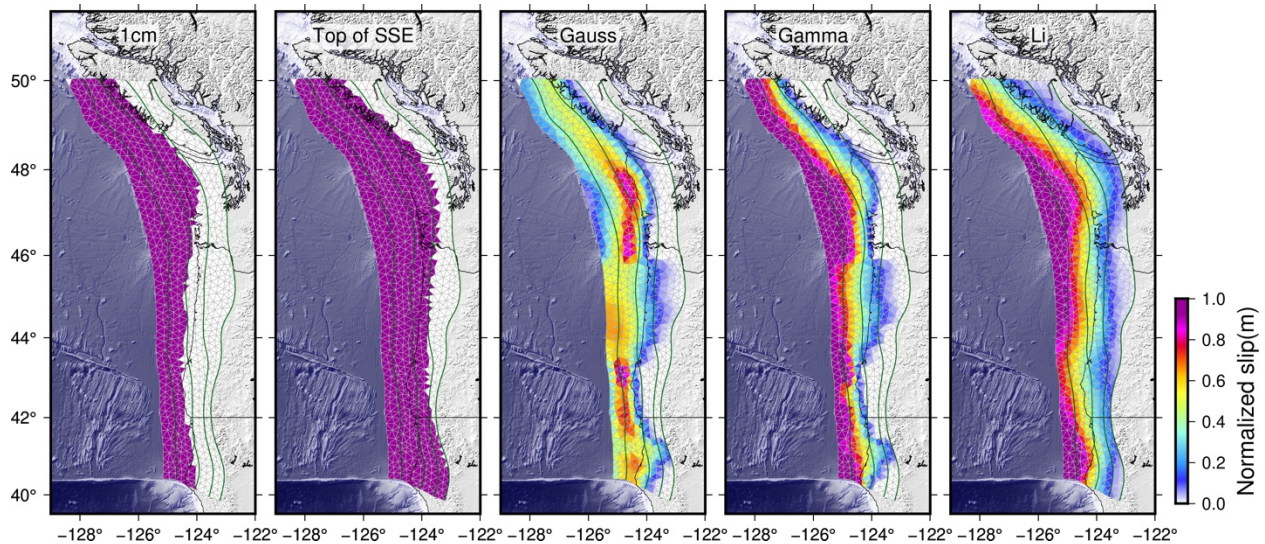
206 The mean slip model,  $\bar{\mu}$ , is a critical parameter when using the KL expansion approach. Usually  
 207 this is assumed to be a homogenous slip model with enough slip to match the desired target

208 magnitude. Recently it has been shown that a heterogenous mean model can be used to  
209 introduce prior assumptions about the causative fault (Goldberg & Melgar, 2020). Extending this  
210 idea Small & Melgar (2020) have shown that it is possible to use assumptions about the geodetic  
211 locking in this way to condition the resulting ruptures. For the rupture simulations generated in  
212 this work we introduce five different assumptions (Figure 4) to capture all the proposed variability  
213 in the literature with respect to the down-dip edge of slip and the along-strike changes in fault  
214 locking of the CSZ. The “1cm” model reflects a common assumption that slip can only extend to  
215 the 1cm/yr slip deficit contour with no coseismic slip below that (Frankel et al., 2014, Wirth et al.,  
216 2018). This boundary is roughly at the coast or at the 15-20km slab depth contour. A second  
217 commonly assumed model is that slip can extend to the top of the slow slip region (Wirth et al.,  
218 2018). This is shown as the “Top SSE” mean model in Figure 4 and corresponds to depths that  
219 can reach ~30-40km. These two assumed mean models have no along-strike variability. As a  
220 result slip in the stochastic realizations is equally probable everywhere where  $\mu \neq 0$ . For the three  
221 other mean models we rely on known geodetic locking estimates for the CSZ. Two of them are  
222 the “Gamma” and “Gauss” models (Schmalzle et al., 2014) obtained from elastic block modeling.  
223 The third one we refer to as the “Li” model and was obtained from viscoelastic modeling (Li et al.,  
224 2018). These models have variable along-strike maximum depth, and also along-strike and along-  
225 dip variability in the strength of locking. As detailed by Small & Melgar (2020), by using the locking  
226 as the background mean model slip is not forced to have exactly the same pattern as the locking.  
227 Rather, areas with high locking will more frequently have high slip. Conversely areas with low  
228 locking will have large slip more infrequently. Utilization of these latter three mean models has  
229 the underlying assumption that the patten of locking prior to the 1700 earthquake, or sequence of  
230 earthquakes, was at least similar to what is seen today. The veracity of this assumption cannot  
231 be tested at present. For this reason we also include the 1cm and top of SSE models which  
232 minimize assumptions about the along-strike pattern of pre-1700 locking. In the end, the rupture  
233 models will be judged by their ability to fit the presumptive 1700 subsidence data.

234 For each of the 5 possible choices of mean model we generate 500 events for 0.1 magnitude unit  
235 bins between M8 and M9.5. This yields a total of 7,500 earthquakes for each of the assumed  
236 mean models and a total of 32,500 ruptures. We use a 1D layered Earth model for the CSZ  
237 (Melgar et al., 2016), so that the magnitude of each earthquake is affected by depth-dependent  
238 rigidity. It is important to note that we are not making a probabilistic hazard assessment so we do  
239 not follow Guttenberg-Richter statistics when deciding how many ruptures to generate at each  
240 magnitude bin. We are interested simply in exploring as much of the possible variability in  
241 behaviors, so we generate the same number of earthquakes for each bin. We use a mean rake  
242 of 90 corresponding to pure thrust but allow for small stochastic perturbations around this mean  
243 value (Graves & Pitarka, 2010). This yields small amounts of strike slip motion but the overall  
244 sense of motion remains pure thrust. In the stochastic rupture generation process it is not  
245 uncommon for the final magnitude to be slightly different from the design magnitude (Melgar et  
246 al., 2016). It is possible to rescale the slip to fit the target magnitude exactly. We have not done  
247 so here since we are not interested in exact magnitude values. As a result the final range of  
248 magnitudes in the 32,500 ruptures spans M7.8-M9.6 (Figure 2). An example of the resulting  
249 ruptures is shown in Figures 1 and 3. Figures S1-S4 also show 102 of the resulting ruptures



250 across a wide variety of magnitudes. All the rupture models have been archived and can be  
251 downloaded for use. The ruptures are generated with a Python implementation of this stochastic  
252 approach which can also be obtained (see Data Availability Statement).

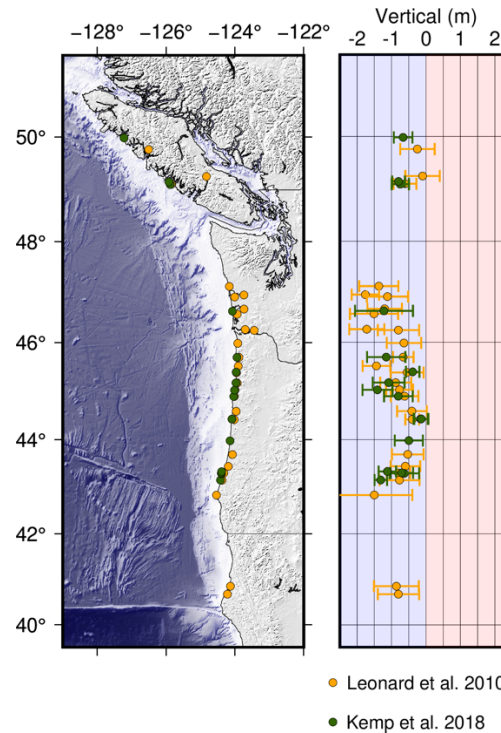


253  
254 **Figure 4.** The five mean models used for stochastic rupture generation. The green lines denote  
255 the 10km interval depth contours to the slab. The heterogeneous models are the Gamma, Gauss  
256 and Li (Schmalzle et al, 2014, Li et al., 2018). The 1cm, and Top of SSE models assume  
257 homogenous locking with a hard down-dip cutoff depth (Wirth & Frankel, 2018)

258 In a final step for each of the ruptures we calculate the coseismic deformation across a large  
259 regional domain (Figure 3). This is later used as the initial condition for tsunami modeling. We  
260 also calculate the vertical deformation at the coast at 2 km intervals (e.g. Figure 1B) and use it to  
261 estimate whether a specific rupture fits the geologic estimates of coastal subsidence or not.

## 262 2.2 Paleogeodetic subsidence estimates

263 There are three somewhat recent compilations of paleogeodetic subsidence estimates for the  
264 CSZ. Leonard et al. (2010) (Figure 5) compiled subsidence estimates from a wide variety of  
265 sources published between 1988 and 2008 which are of highly variable quality. They include both  
266 stratigraphic and microfossil-based estimates of subsidence and overall have a larger uncertainty.  
267 Following that work improvements introduced mainly from paleoclimate techniques have allowed  
268 for better reconstructions of relative sea level rise (RSL) from foraminiferal transfer functions. This  
269 new approach was used to produce the database of Wang et al. (2013) which has overall lower  
270 uncertainty. Further statistical improvements in microfossil-based techniques has led to the  
271 Bayesian Transfer Function (BTF) approach which allows for the introduction of prior information  
272 into the RSL reconstructions and better modeling of the complexities of foraminifera species along  
273 the intertidal gradient. This newer approach was used by Kemp et al. (2018) (Figure 5) who  
274 reanalyzed the data from Wang et al. (2013) .



275

276 **Figure 5.** *Paleogeodetic subsidence estimates and uncertainties from Leonard (2010) and Kemp*  
 277 *et al. (2018)*

278 Given these improvements we consider the BTF Kemp et al. (2018) data to be authoritative over  
 279 older estimates. However, although all the databases overlap significantly in terms of the locations  
 280 they cover, the lower uncertainty Kemp et al. (2018) dataset has no subsidence estimates in  
 281 Northern California. As a result, we have kept the two larger uncertainty Northern California  
 282 estimates from Leonard et al. (2010) and aggregated them into larger set of data points (Figure  
 283 1B).

284 Not all of the paleogeodetic points are exactly at the coastline. Many are a few kilometers inland  
 285 in marshes and bays. While we calculate the coastal subsidence for each rupture model at 2 km  
 286 intervals along the coast and use that for plotting, we also calculate the subsidence at the formal  
 287 location of each geologic estimate. This latter set of points that is used when evaluating the ability  
 288 of a particular rupture model to fit the data. As a measure of this misfit we use the root mean  
 289 square (RMS) between modeled subsidence and geological observations.

290 It is important to note that we have assumed, as is commonly done, that the paleogeodetic  
 291 estimates represent the coseismic subsidence strictly. However, rapid post-seismic motion  
 292 immediately following an earthquake, whether in the form of afterslip on the same fault or  
 293 viscoelastic relaxation of the mantle is common. It generally, although not always, follows the  
 294 same sign as the coseismic offset, i.e. it would increase the subsidence or uplift signal, typically  
 295 by ~10%. As a result, while the BTF methodology reduces the overall uncertainty of the  
 296 subsidence estimations, an unknown amount of epistemic uncertainty from potential post-seismic

297 processes remains. There is at present no way to separate the microfossil response from any  
298 potential post-seismic land level change from the strictly coseismic one.

### 299 2.3 Tsunami modeling and historical observations from Japan

300 For tsunami modeling we use the vertical coseismic deformation from each rupture as the initial  
301 condition (Figure 3) for propagation modeling. We disregard secondary deformation sources such  
302 as submarine landslides, splay faulting, or plastic deformation at the shallow wedge. Where, and  
303 how frequently these extra sources of tsunami energy would contribute during a CSZ earthquake  
304 is not known so it is very challenging to systematically model them. However, they would all serve  
305 to increase tsunami amplitudes (Gao et al., 2018, Ma & Nie, 2019). As a result, including any of  
306 these extra sources of tsunamigenesis would buttress our findings further by allowing even  
307 smaller magnitude events to replicate the tsunami amplitudes in Japan.

308 Following definition of the seafloor initial condition, we use the finite volume depth-averaged code  
309 GeoClaw (Berger et al., 2011). This solves the two-dimensional non-linear shallow water  
310 equations on a sphere and can deal with discontinuities in the solution, such as turbulent bore  
311 formation, by shock capturing. It employs adaptive mesh refinement (AMR) such that regions of  
312 larger tsunami complexity are automatically refined to higher discretization levels according to  
313 heuristics prescribed by the user.

314 The trans-Pacific model domain is shown in Figure 1C and Animation S1. We use three  
315 bathymetry grids that span six levels of refinement (Table S1). For propagation in the open ocean  
316 in deep water we use the ETOPO1 1 arcmin grid (Amante et al., 2001) which is used for AMR  
317 levels 1-3 and spans the entire domain. Around the Japan archipelago we use SRTM15+ grid,  
318 which includes both topography and bathymetry and is sampled at 15 arcsec for AMR level 4  
319 (Tozer et al., 2019). For the five historical locations where high resolution modeling is required we  
320 combine the M7000 multibeam gridded bathymetry purchased from the Japanese Hydrographic  
321 Association (Figure 1C) and the SRTM1 1 arcmin digital elevation model (Farr et al., 2007). For  
322 each of the five locations in Japan where there are historical estimates of tsunami amplitude  
323 (Satake et al., 2003) we specify a 20x20km box around each site and allow refinement down to  
324 AMR level 5 (5 arcsecs) and AMR level 6 (1 arcsec) using these finer grids.

325 We ran the propagation models for 20 hrs of model time. Time-stepping is variable and numerical  
326 stability is ensured using the Courant-Friedrichs-Lewy condition which was set at 0.75. Geoclaw  
327 is suitable for near shore inundation analysis. It employs a Manning-type law for bottom friction  
328 (we held the coefficient fixed at 0.025) and has a moving sea/land boundary condition that allows  
329 cells to be wetted or dried as the simulation progresses. It also has a non-reflecting outflow  
330 boundary condition at the edges of the model domain.

331 Since rupture propagation velocities are much faster than tsunami wave velocities, we assume  
332 instantaneous coseismic deformation as the initial condition for tsunami modeling. This  
333 assumption has a negligible effect on near-source modeling but can, in special cases, such as  
334 when ruptures are very long and extremely unilateral, rotate the main beam of tsunami radiation  
335 in the direction of rupture propagation (Williamson et al., 2019). Accounting for this finite duration

336 in the tsunami initial condition is possible at the expense of a significant slow-down in the  
337 computation times because it requires very short time steps to be taken as the rupture propagates.  
338 it is possible that for a particular rupture this can rotate more tsunami energy towards or away  
339 from Japan. However since we are relying on the aggregate results of tens of hundreds of tsunami  
340 simulations we assume that over the ensemble of simulations this effect will average out. After  
341 all, strongly unilateral ruptures are less common than bilateral ones (Melgar & Hayes, 2019). As  
342 a test of this Figure S5 shows a tsunami modeled with an instantaneous source and considering  
343 south to north and north to south finite duration sources. We find no evidence of a strong effect  
344 in Japan.

345 GeoClaw includes Coriolis forcing, which can have a small impact at transoceanic distances, and  
346 it is not at present capable of modeling the dispersive nature of tsunami propagation. Dispersion  
347 can have a measurable effect in the estimated arrival times of tsunami waves at trans-oceanic  
348 distances. However, for inundation and coastal amplitudes the non-linear propagation, especially  
349 in shallow water with complex bathymetry (which GeoClaw can model well), is of far larger  
350 importance (Glimsdal et al., 2013).

351 In order to assess if a specific tsunami model reflects the historical observations we use the  
352 dataset of tsunami amplitudes in Japan from Satake et al. (2003) (shaded regions in Figure 2D,  
353 Table S2). These include corrections for tides and land-level changes. The values represent the  
354 expected amplitude of the tsunami at the shoreline, not inland where damage was reported or  
355 observed. To obtain these reconstructed values a number of different assumptions can be made  
356 and which yield a range of possible tsunami amplitudes. For example, the lower amplitude values  
357 assume that water depth at inland locations, where damage was observed, are the same as the  
358 amplitude at the coastline. This is generally considered a conservative approach. Meanwhile if  
359 the tsunami is assumed to have a larger amplitude at the coastline than at inland sites it is  
360 necessary to tailor the correction to each site. This was done by using the 1960 Chile earthquake  
361 as a calibration event. This second set of amplitudes generally yields larger amplitudes than the  
362 more conservative one. For each of the five historical locations we extracted tsunami output on  
363 regular grids spanning 5km around the point of interest and, similar to what was done originally  
364 by Satake et al. (2003) we kept the coastal amplitude with the largest value within 1 km of each  
365 site as the tsunami amplitude at that location and for that particular event. We note that at the  
366 Nakaminato site only a single amplitude value is reported as ~1m with no specified range. We  
367 use an uncertainty of +/- 0.5m at this location based on a similar range of values at Miho, the  
368 other low amplitude location.

### 369 **3. Results**

#### 370 3.1 Rupture models and fits to the coastal subsidence data

371 Figures 2A,2B. shows the statistics of the rupture dimensions and slip for all the earthquakes.  
372 The mean values follow known scaling laws, but variability is allowed; two events of the same  
373 magnitude can and will have different dimensions and slip distributions. For example M9 ruptures  
374 as short as ~300km are uncommon but possible and they can also extend to saturate the entire



375 length of the plate boundary. Further examples of this variability can be seen in Figure 3 and S1-  
376 S4.

377 For each of the 32,500 ruptures we calculate the root mean square (RMS) misfit between the  
378 predicted coastal deformation and the paleogeodetic subsidence observations constructed by  
379 aggregating two databases of measurements as described in Section 2.2. To obtain the RMS we  
380 only consider paleogeodetic points within 50km of the surface projection of the polygon that  
381 circumscribes each rupture. For instance, for the rupture in Figure 1A, the two southernmost  
382 paleogeodetic measurements do not contribute to the misfit since they are further than 50km from  
383 the part of the megathrust that slips. The idea is to evaluate an event's ability to replicate its "local"  
384 pattern and not penalize it for not fitting the margin-scale distribution. Earthquakes that rupture  
385 only a portion of the plate boundary will have near-zero deformation at large distances which  
386 would unnecessarily increase the RMS misfit. This is done in anticipation that it might be possible  
387 to fit the entire margin-scale distribution by summing the contributions from many ruptures.

388 Figure 1C shows the distribution of RMS misfits as a function of magnitude with this 50 km rule  
389 applied. We set an RMS threshold cutoff of 0.4 m and keep 1,635 ruptures that fit this criterion.  
390 We consider this subset of events for later tsunami modeling. Any RMS cutoff will be arbitrary,  
391 but this threshold is equivalent to the mean uncertainty in the coastal subsidence estimates  
392 (Figure 1B) and segregates out ~5% of the ruptures from the dataset as "high-quality" models.  
393 The ruptures in Figures 1A,3 and S1-S4 are all examples from this high quality subset of events.

### 394 3.2 Rupture models that match the far field tsunami

395 For the subset of 1,635 events described in the previous section, we also calculate regional  
396 seafloor deformation (Figure 3) and use it as the initial condition for trans-Pacific hydrodynamic  
397 modeling (Section 2.3). Figure 1C shows an example tsunami model from the rupture in Figure  
398 1A. The inset shows the maximum amplitudes across the Pacific. It is interesting to note that  
399 tsunami energy is not predominantly directed towards Japan but rather towards Hawaii and the  
400 Emperor islands. This is a common feature of all Cascadia tsunami models and suggests Hawaii  
401 would more effectively record the paleotsunami history of the region. We are not aware of any  
402 observations there. Animation S1 however shows that significant tsunami energy can make it to  
403 Japan from complex propagation paths such as reflections of the Hawaiian islands and through  
404 wave channeling across the Alaskan and Kurile trenches. Indeed, this latter path through the deep  
405 water of the subduction trenches seems to consistently provide the first arrivals to Japan.

406 Shown in Figure 1C as well are examples of the maximum tsunami amplitudes in Japan at all 6  
407 historical locations (note that two are very close to each other, Kuwagasaki and Tsugaruishi). The  
408 detailed bathymetry contours and topography are shown as well. We generally observe that  
409 amplitudes decay from North to South across Honshu, this is readily explained because the great  
410 circle path between Cascadia and Japan places the southern reaches of the island further away  
411 from the CSZ. The exception is Tanabe which is further south but consistently has slightly larger  
412 amplitudes than Miho. Likely from some contribution of local amplification.

413 Figure 2D shows a summary of the distributions of amplitudes at each location from the 1,635  
414 high quality ruptures disaggregated into 5 magnitude bins. We consider that a tsunami model for  
415 a particular earthquake “fits” the historical data when the amplitudes at all 5 locations are within  
416 the range of values inferred to have occurred in 1700 by [Satake et al. \(2003\)](#). 102 events (shown  
417 in Figures S1-S4) fit this criterion. The histogram in Figure 1E shows that these are all in the M8.7  
418 to M9.2 range. We also find 483 events in the M7.8 to M8.6 range that have amplitudes smaller  
419 than 30 cm at all the five locations. Minor tsunami damage typically begins at > 0.5 m amplitudes  
420 and quickly escalates in intensity after 1.5 m ([Whitmore et al., 2008](#)). Very rarely, if ever, is  
421 damage observed for amplitudes below 30 cm. As a result these 483 events represent  
422 earthquakes that fit their local subsidence pattern in Cascadia but can be reasonably assumed  
423 as generating tsunamis that are very unlikely to be of sufficient import to be in the historical record  
424 from Japan.

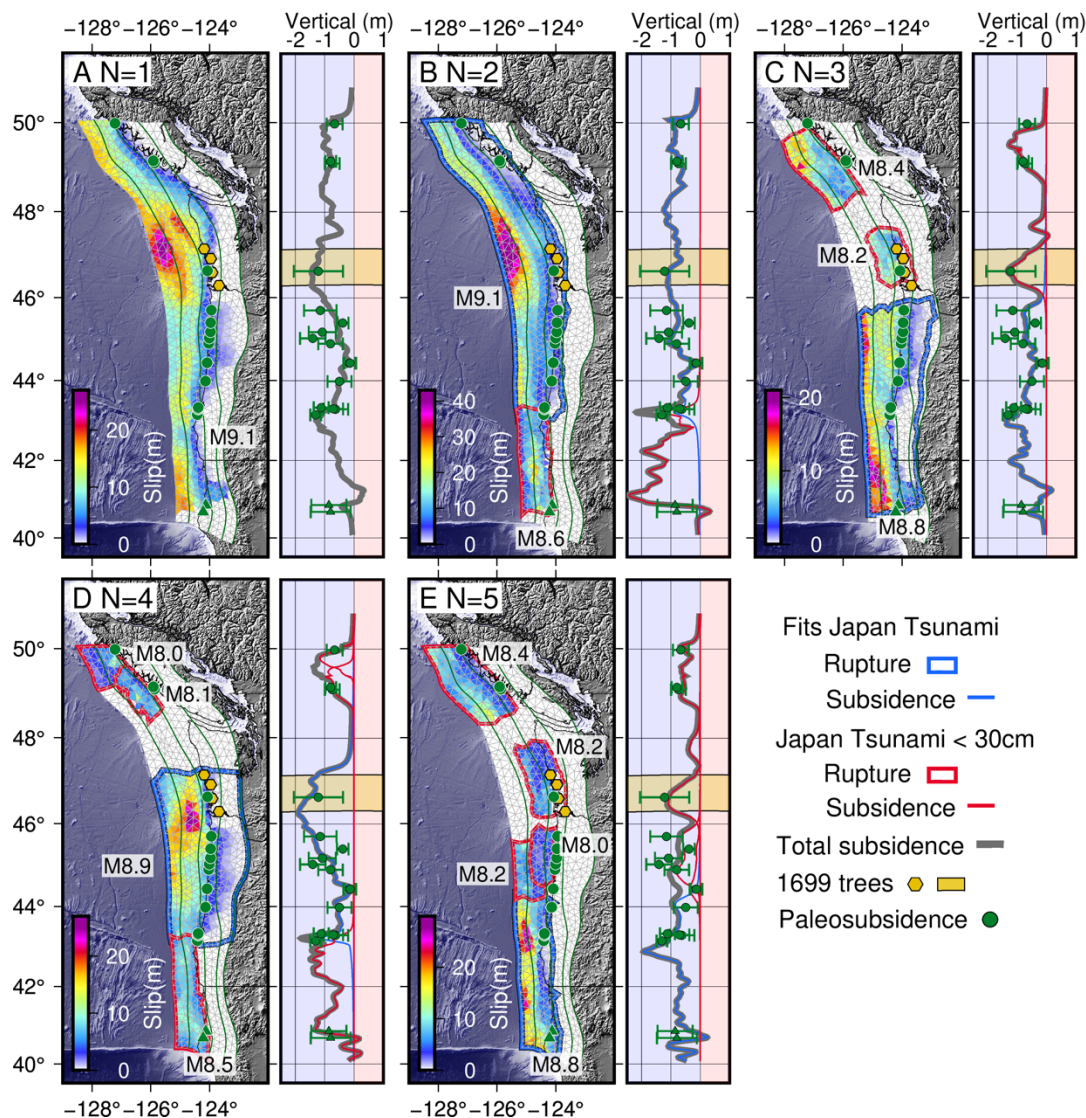
### 425 3.3 Clustering ruptures into event sequences

426 The process outlined above yields two sets of earthquakes. Both fit their local coseismic  
427 subsidence patterns in the CSZ, while only one of them generates a tsunami big enough to be  
428 likely in Japanese historical records. Previous work disregarded earthquake models that did not  
429 simultaneously fit the tsunami and the paleogeodetic data across the entire margin (e.g. [Satake et](#)  
430 [al., 2003](#)). Here we relax this assumption and pose the simple question: is it possible to combine  
431 earthquakes in such a way that one event from the large tsunami event set and one or many from  
432 the small tsunami event set can simultaneously explain all the observations? To answer this we  
433 systematically explore all combinations of events subject to the following restrictions (i) only one  
434 event from the set that fits the tsunami in Japan can be used (ii) between 0 and 4 events from the  
435 set that has a tsunami <30 cm in Japan can be used (iii) there can be no more than 10% overlap  
436 in terms of the rupture area between all the events and (iv) the combined coastal subsidence  
437 pattern between all events must fit the margin-wide paleogeodetic data to the same RMS < 0.4  
438 m level.

439 As a result of these heuristics we can form an event sequence with only one event (N=1) or as  
440 many as five total earthquakes (N=5). Figure 6 shows specific examples of ruptures for sequences  
441 for this range of possibilities. Figure 6A, shows a single M9.1 event that spans the entire plate  
442 boundary and can still be invoked to fit all the data. However, Figures 6B-E show that a sequence  
443 with many events can fit the data to the specified RMS misfit as well. A mainshock that spans less  
444 than half the length of the subduction zone, and generates the tsunami in Japan is possible (more  
445 examples are in Figures S1-S4), and a wide variety of other behaviors occur. Figure 6B shows  
446 that indeed the full plate boundary, with no gaps, can be filled with just two events. But, because  
447 there are spatial voids in the paleogeodetic data, it is also possible to leave portions of the  
448 megathrust unbroken and match the subsidence pattern (Figures 6C-E). Given that at present  
449 high locking is estimated everywhere on the megathrust ([Schamzle et al., 2016](#), [Li et al., 2018](#))  
450 this is a previously unconsidered and worrying proposition for CSZ hazards. Our modeling  
451 suggests it is possible that some smaller segment or segments of the megathrust did not break  
452 in the last event or sequence of events and have been accumulating a slip deficit for at least two

453 earthquake cycles. Most strikingly, it is also possible (Figures 6C and 6D) that the subsidence at  
 454 the locations of the 1699 trees, previously used as hard proof of a single plate-boundary event  
 455 (Yamaguchi et al., 1997, Atwater et al., 2005) not even be associated with the mainshock at all  
 456 but be produced by a smaller event instead.

457



458

459 **Figure 6.** Example earthquake sequences with one (A) or many (B)-(E) earthquakes. Each panel  
 460 shows the slip distributions and predicted coseismic subsidence patterns for the individual  
 461 earthquakes as well as from the combination of them. The green lines denote the 10km depth  
 462 contours for the slab geometry. Shown as well are the locations and values of paleogeodetic  
 463 subsidence estimates as well as the locations of the trees inferred to have subsided between  
 464 1699 and 1700.

465 Figure 6 shows specific examples of event sequences but there are thousands of potential  
466 combinations and the complexity in how earthquakes can be combined increases rapidly with the  
467 number of allowed earthquakes. Figure 7 shows a summary of all the possible combinations when  
468 applying the event selection heuristics. We find that 9 plate-boundary scale earthquakes with  
469 M8.9 to M9.2 fit all the possible observations at the CSZ and in Japan without needing to involve  
470 events from the small tsunami dataset (N=1). However, because there are many large (M>8.7)  
471 earthquakes that only rupture a portion of the plate boundary (Figure 3,6,S1-S4) it is possible to  
472 fit the data, given the restrictions outlined above, with multiple ruptures as well. While the lower  
473 bound of the “mainshock” is never less than M8.8, for most of the permutations of event groupings  
474 that fit all the observations, as the number of earthquakes increases to two (N=2) or more, the  
475 higher magnitude events are less favored. Similarly, as the number of events in the sequence  
476 increases, lower magnitude events are required. For N=4 and N=5, events with magnitudes as  
477 low as M8 become quite common. It is possible to continue exploring sequences with more than  
478 5 earthquakes, as we have done here, however, the number of permutations of events grows  
479 rapidly and this becomes a computationally intensive task. With just the two sets of earthquakes  
480 we have used here, by the time N=5 there are  $5 \times 10^{12}$  possible combinations that need to be  
481 examined of which over 1 million fit both the paleogeodetic data and the tsunami in Japan.

## 482 **Discussion**

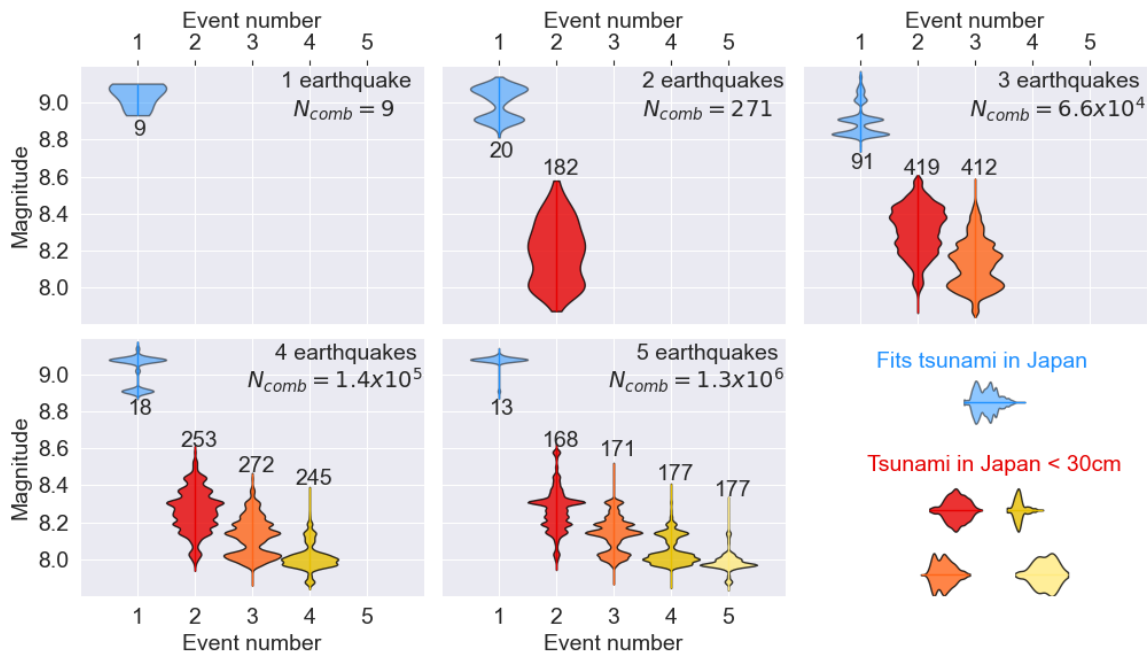
### 483 4.1 Characteristics and implications of the event sequences

484 We have defined the “mainshock” as the larger magnitude event that is potentially responsible for  
485 the tsunami in Japan. In none of the sequences (Figures 6,7) is the mainshock ever smaller than  
486 the secondary earthquakes. In order to explain the tsunami in Japan we still require a large (M8.7-  
487 M9.2) event to occur on the CSZ on January 26th 1700. However, this requirement is now only  
488 strongly constrained by the historical documents from Japan (Satake et al., 2003) and not  
489 necessarily by the 1699 trees, as has commonly been argued (e.g. Atwater et al., 2005). In  
490 Section 3.3 we showed it is possible for the mainshock to produce no coseismic deformation at  
491 the location of the 1699 trees. This is the only location with very tight age constraints on coseismic  
492 deformation. Age estimates of subsidence (Figure 1) at other locations along the margin have  
493 uncertainties that are at best several decades and more frequently several centuries. This is still  
494 too coarse to favor the hypothesis that a single event produces the paleogeodetic subsidence  
495 over the multi-event one. With this in mind, the view that the CSZ has two distinct modes (Frankel  
496 et al., 2014) where it either fails in a single large ~M9 event or in many smaller events is also  
497 likely incomplete. As we have shown, these modes are not mutually exclusive, following known  
498 earthquake scaling laws, it is possible to have an ~M9 rupture on only a portion of the plate  
499 boundary. This mainshock can then be either preceded or followed by one or many ruptures in  
500 the ~M8.0-M8.6 range.

501 The timing of individual events in the potential sequences is difficult to ascertain at present. High  
502 resolution C14 dates that place the subsidence in the 1690 to 1720 interval only exist for Northern  
503 California and Southern Washington, and the locations of the 1699 trees is limited to a ~100km  
504 stretch of southern Washington. C14 dating of bulk peat samples elsewhere (Oregon and British



505 Columbia) provide only lower resolution estimates for the subsidence. So, while it is more likely  
 506 that an event sequence that includes the 1700 mainshock spans just a few decades around that  
 507 date, that it spans as much as a century, especially before 1700, cannot be ruled out. Further  
 508 efforts to fill paleogeodetic data gaps and to date, with high resolution, the timing of subsidence  
 509 will do much to elucidate the likely sequencing of the events or prove conclusively that a single  
 510 through-going earthquake is needed. There are significant challenges for this. It is difficult to  
 511 identify locations at the present paleogeodetic data gaps (Figure 1A) where salt marshes exist  
 512 that have reliable microfossil records where the BTF approach can be applied (Walton et al.,  
 513 2021). Additionally, the kind of dendrochronological work that allows dating with resolution of one  
 514 year is made challenging by the habitat distribution of western red cedar trees. Also, it requires a  
 515 fortunate set of circumstances were a living witness tree, unaffected by the subsidence and  
 516 tsunami, can be found close to a deceased tree that retains enough unweathered material for  
 517 dating (Atwater et al., 2005). Nonetheless the results shown here argue efforts on both of these  
 518 fronts, as well as applying new techniques, should be renewed.



519

520 **Figure 7.** Violin plots showing the distributions of potential earthquake sequences that together  
 521 fit both the CSZ subsidence data and the tsunami in Japan. Each panel shows the possible  
 522 sequences that group between one and five earthquakes. The blue violins indicate the magnitude  
 523 distribution of events that fit the tsunami in Japan, the red to yellow violins show the magnitude  
 524 distribution of events that produce tsunamis smaller than 30cm in Japan. For each event grouping  
 525 the RMS misfit to the CSZ subsidence is less than 0.4 m.  $N_{comb}$  is the total number of combinations  
 526 of events that satisfy the heuristics for forming a sequence. The number above or below each  
 527 violin shows the number of unique earthquakes for that distribution.

528

529 Faced with this potential complexity it is tempting to invoke Occam's razor in favor of the single  
530 event model. However, it has been shown that, even though they are possible, large throughgoing  
531 ruptures are less likely at megathrusts, like the CSZ, with significant along-strike curvature  
532 (Bletery et al., 2016). Additionally, there are recent examples of large swaths of a megathrust  
533 failing in a sequence spanning years to decades. The 2004 M9.2 Sumatra earthquake was quickly  
534 followed by the 2005 M8.7 Nias and 2007 M8.5 Bengkulu earthquakes immediately south of it  
535 (Banerjee et al., 2007; Gusman et al., 2010). Similarly, the slip distribution for the great 1960 M9.5  
536 Valdivia, Chile earthquake abuts, and might even have some limited overlap, with the 2010 M8.8  
537 Maule event (Lorito et al., 2010). This 50 yr separation between events is similar to what is  
538 possible in the CSZ given present dating estimates of coastal subsidence. Counterexamples exist  
539 of course, as the 2011 M9 Tohoku-oki earthquake (Ozawa et al., 2011) has not yet been followed  
540 by another large M8+ rupture elsewhere on the megathrust.

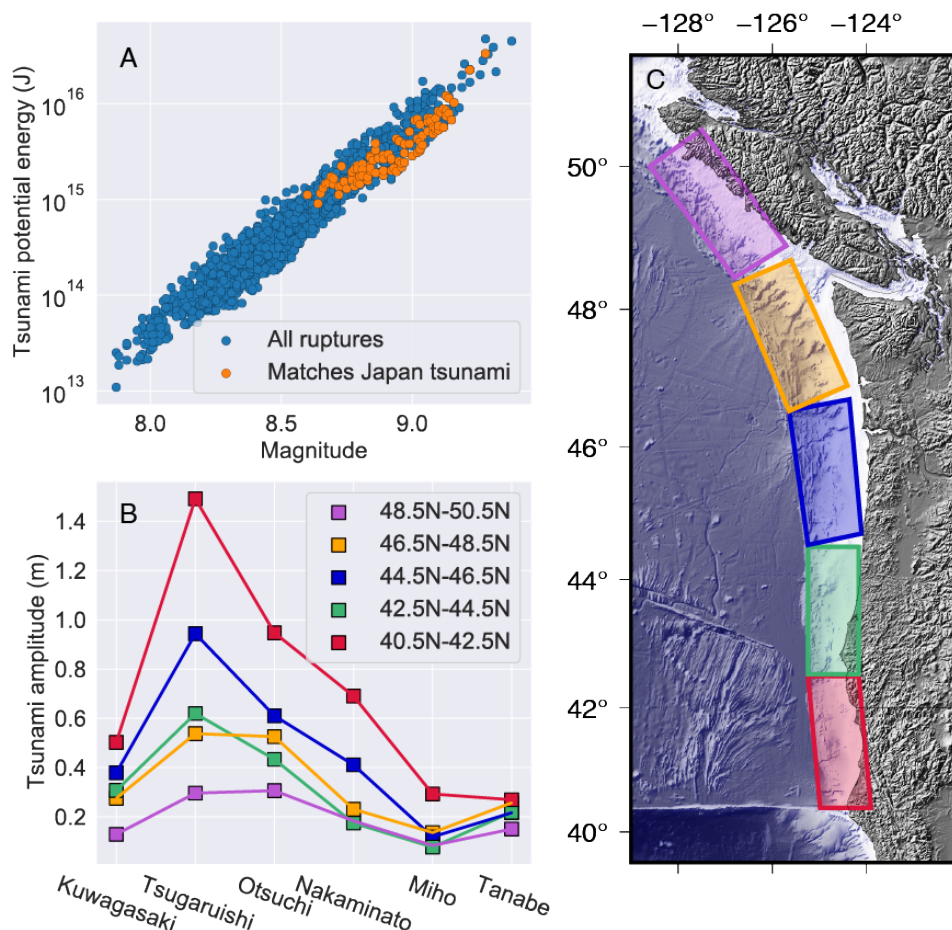
#### 541 4.2 Why were multi-event sequences considered unlikely before?

542 One of the interesting findings from the models is that ruptures that are surprisingly short and  
543 span as little as ~40% of the plate boundary (Figures 3, S1-S4) can still generate a tsunami of  
544 sufficient amplitude in Japan. This is in stark contrast to previous modeling results from Satake et  
545 al. (2003) who found that only ruptures approximating plate-boundary length generated significant  
546 enough tsunami. That finding has been used extensively in support of the single event model  
547 (Walton et al., 2021). This discrepancy warrants a brief discussion.

548 The slip distributions tested by Satake et al. (2003) are not quite homogenous but they have very  
549 limited variability. They have almost constant slip along dip with only a gentle taper with depth.  
550 This simple along-dip distribution is then extended along-strike. Slip heterogeneity observed in  
551 inversions is much more drastic than this (e.g. Hayes, 2017). Melgar et al. (2019) compared the  
552 tsunamigenic potential of homogenous and heterogenous slip models while holding the fault  
553 dimensions, Earth structure model, and earthquake magnitude fixed. The comparison showed  
554 that the simple act of redistributing slip from homogenous into heterogenous produces as much  
555 as half an order of magnitude difference in the tsunami potential energy. This is by virtue of the  
556 non-linear relationship between slip and energy. High-slip asperities end up having an outsized  
557 contribution to the energy budget that is not offset by energy losses from low-slip regions. This  
558 likely contributes to the reduced dimension models from Satake et al. (2003) not having enough  
559 amplitude in Japan.

560 However, this is not the complete explanation. Figure 8A shows the tsunami potential energy for  
561 both the ruptures that match the tsunami amplitudes in Japan and those that do not. We find that  
562 there is a 1.5 order of magnitude range of possible energies, and yet the range of amplitudes in  
563 Japan (Figure 2D) varies by at most a factor of 2. How can this large energy variation produce  
564 only modest changes in the far-field tsunami? The answer is that due to the complex propagation  
565 path there is a large variability in how efficiently tsunami energy from different parts of the CSZ  
566 travels to Japan. Figure 8B,C shows homogenous slip M8.6 earthquakes located at different  
567 positions along-strike and their resulting tsunami amplitudes. Tsunamis generated in the southern  
568 half of Cascadia produce amplitudes almost five times as large in Japan than tsunamis generated

569 offshore Vancouver Island. Indeed Figures (S1-S4) show that short length events that replicate  
 570 the tsunami in Japan are almost exclusively located south of 46°N. These two factors, slip  
 571 heterogeneity, and varying along-strike sensitivity of the far-field tsunami, can explain why  
 572 simplified slip models tested previously were ruled out.



573  
 574 **Figure 8.** (A) Initial tsunami potential energy for the 1,635 modeled tsunamis (blue) and for the  
 575 102 tsunami that match the historical amplitudes from Japan (orange). (B) Tsunami amplitudes in  
 576 Japan as a function of location of slip on the CSZ. (C) Locations of five different M8.6 earthquakes  
 577 all with homogenous slip used for tsunami propagation to obtain the values in (B)

578 4.3 Other geological constraints

579 There are other geological observations which could potentially contribute to distinguishing  
 580 between the single event and the multi-earthquake sequence hypothesis. One of them is turbidite  
 581 deposits identified in offshore cores. The challenge there is that the dating if the deposits is still  
 582 quite coarse and of the order of a few centuries (Goldfinger et al., 2012). Additionally, what level  
 583 of shaking is required to trigger a turbidity current is at present not well understood. There is  
 584 evidence that weak shaking can be enough to trigger some turbidites (e.g. Johnson et al., 2017).  
 585 So while correlation across long distances of turbidities can suggest margin-scale failures, here  
 586 too, that they are produced by smaller magnitude events spanning decades cannot be ruled out.

587 Perhaps one promising avenue is to use the locations of tsunami sand sheets identified at coastal  
588 locations (Atwater et al., 1995; Walton et al., 2021). These are often inside bays and estuaries  
589 many kilometers from the coast. Using high-resolution bathymetry, topography, and  
590 hydrodynamic modeling they could potentially be used to discriminate between potential rupture  
591 models. It is not energetically favorable for a tsunami to travel long distances in very shallow  
592 water. So, tsunami deposits with long run-up distances are thought to correlate with large  
593 magnitude or high shallow slip events (e.g. Ramirez-Herrera et al., 2020).

#### 594 **4. Conclusions**

595 The notion that only a single plate-boundary spanning earthquake occurring in January 26<sup>th</sup>, 1700  
596 can explain the set of geological and historical observations is the prevailing view in CSZ science  
597 (Walton et al., 2021). Here we have revisited this issue using modern rupture and tsunami  
598 propagation modeling approaches. We find that indeed, it is possible to invoke a single event to  
599 explain the observations. However, we also show that a multi-event sequence explains the data  
600 just as well. In the multi-earthquake sequence model, a mainshock with  $M > 8.7$  occurring on  
601 January 26<sup>th</sup> 1700 is still required to explain the tsunami in Japan. However, we have also shown  
602 that this mainshock could rupture as little as ~40% of the plate boundary. As many as four more  
603 smaller ( $M < 8.6$ ) events can then be invoked to fill in most of the megathrust and explain the  
604 margin-scale subsidence signal. These smaller events do not make a tsunami large enough to be  
605 recorded in Japan. We also find that due to the gaps in coastal subsidence observations it is  
606 possible for some segments of the megathrust to have remained unbroken for at least 2  
607 earthquake cycles. We cannot at present say much about the timing of earthquakes in such a  
608 sequence due to the uncertainties in the age estimates of coastal subsidence. Our findings argue  
609 strongly that the prevailing single-event model cannot be thought of as better justified by the  
610 observations than the multi-earthquake sequence model. This has important implications for  
611 Cascadia geodynamics and for how earthquakes hazards for the region are quantified.

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#### 620 **Data Availability Statement**

621 The 32,500 rupture models are available on Zenodo (Melgar, 2020a). The stochastic rupture  
622 modeling code is on Zenodo (Melgar 2020b) and available on GitHub



623 (<https://github.com/dmelgarm/MudPy>). GeoClaw, the tsunami modeling code is archived at  
624 Zenodo (Mandli et al., 2017) and available at <http://clawpack.org>

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