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- Preliminary assessment of shipping noise monitoring using Distributed Acoustic Sensing
 on an optical fiber telecom cable
- 3
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12 Abstract

13 Distributed acoustic sensing (DAS) is a recent instrumental approach allowing to turn fiber-14 optic cables into dense arrays of acoustic sensors. This technology is attractive in marine 15 environments where instrumentation is difficult to implement. A promising application is the 16 monitoring of environmental and anthropic noise, leveraging existing telecommunication 17 cables on the seafloor. We assess the ability of DAS to monitor such noise using a 41.5 km-18 long cable offshore Toulon, France, focusing on a known and localized source. We analyze 19 the noise emitted by the same tanker cruising above the cable, first 5.8 km offshore in 85 m 20 deep bathymetry, and then 20 km offshore, where the seafloor is at a depth of 2000 m. The 21 spectral analysis, the Doppler shift, and the apparent velocity of the acoustic waves striking 22 the fiber allow us to separate the ship radiated noise from other noise. At 85 m water depth, 23 the signal to noise ratio is high and the trajectory of the boat is recovered with beamforming 24 analysis. At 2000 m water depth, although the acoustic signal of the ship is more attenuated, 25 signals below 50 Hz are detected. These results confirm the potential of DAS applied to 26 seafloor cables for remote monitoring of acoustic noise even at intermediate depth.

27

28 I. Introduction

Ambient noise in the ocean results from natural and anthropogenic sources. The anthropogenic noise produced by maritime traffic has increased dramatically with the development of industrialization and global trading (Frisk 2012). Over the last decade, the scientific community has shown that it strongly impacts all of marine fauna, from mammals to zooplankton, including fish, sea turtles, and invertebrates (e.g. Williams et al. 2015, Mustonen et al. 2020). For example, marine mammals use sound for vital activities such as finding food, detecting predators, breeding, and navigation. There is now a strong awareness in the community of a

regional and international levels to limit the impact on marine ecosystems (Merchant 2019). 37 38 Automatic Identification System (AIS) data provide unique identification, position and 39 trajectories of vessels. From the type, size and speed of the ship reported in AIS, the radiated 40 noise source level can be compared with measurements (Wales and Heitmeyer, 2011, 41 McKenna et al. 2012) or predicted using shipping noise models (e.g. Wales and Heitmeyer, 42 2002) but oceanographic conditions, as well as ship design, can strongly modify the radiated 43 noise (e.g. McKenna et al. 2013). Furthermore, AIS is self-declarative and only involves large 44 vessels (Vespe et al. 2012). Yet in coastal areas, small vessels without AIS, such as recreational ships, can generate significantly more noise than AIS vessels (Hermannsen, et al. 2019). 45 46 Independent monitoring of ships is also crucial for key infrastructures such as harbors, power plants and for safety and security aspects. For instance, submarine telecom cables which are 47 48 very sensitive for global communications can be damaged by anchors, seabed trawlers, and 49 other types of activities (Rønnekleiv et al. 2019). Therefore, to better evaluate environmental 50 and anthropic noise-sources and their impacts on marine species, continuous monitoring of 51 acoustic noise, both on the coast and offshore, needs to be done.

need to limit man-made underwater noise, and international efforts have led to legislation at

52 Passive acoustic instruments developed to study anthropic and natural underwater noise, such as HARPs (high-frequency acoustic recording packages), can be deployed from boats 53 54 anywhere (Wiggins and Hildebrand 2017). This instrumentation provides broad band 10 Hz to 55 160 kHz omnidirectional acoustic measurements for an extended period of time (up to 300 days). Ocean Bottom Seismometers (OBS) often include a hydrophone and can provide low 56 57 frequency (<400Hz) acoustical records up to four years for using long-term autonomous 58 platforms such as MUG-OBS (Hello et al., 2019). However, though these acoustic instruments 59 can be deployed anywhere, they do not allow data to be transmitted in real time. Other solutions

5

outside academia like SOSUS (Sound Surveillance System) with horizontal arrays moored in
on the seafloor can provide real-time sound measurements but with very restricted access to
scientists (Nishimura & Conlon, 1994). Overall, measuring acoustic noise over long distances
continuously and for an extended period of time with conventional passive acoustic instruments
requires expensive installation and maintenance.

Distributed Acoustic Sensing (DAS) on existing seafloor optical cables provides remote monitoring of the acoustic noise over several tens of kilometers offshore at relatively low cost but the feasibility of monitoring acoustic waves in all oceanic domains and bandwidths remains to be assessed. Measuring acoustic noise over several tens of kilometers from the shore enables real time monitoring. However, DAS delivers huge data volumes (a terabyte per day) and relevant on-the-fly processing to ensure real-time monitoring of acoustic noise is not yet available.

72 DAS measures both elastic and acoustic waves striking optical fibers. This technology has the 73 potential to strongly impact many scientific domains and, in recent years, there has been a 74 growing interest in applications in the earth and environmental sciences. DAS exploits changes 75 in the light that is backscattered from random nano defects in the optical fiber to sense passing 76 acoustic or seismic waves. These external mechanical waves induce a longitudinal strain of the 77 fiber which can be related to a linear phase shift of the Rayleigh backscattered light. The strain 78 or strain-rate is measured from the phase changes over a distance-called the gauge length, and 79 thus corresponds to the integration of the strain or strain-rate along the gauge length. Figure 1 80 describes the principle of DAS acquisition and instrumental setup and in Appendix A we 81 express the strain and strain rate measured by DAS for acoustic waves striking the fiber. In 82 seismology, seismic waves are generally measured with a gauge length of about ten meters 83 (e.g. Jousset el al. 2018, Ajo-Franklin et al. 2019).

85 DAS has proved to be efficient for monitoring sensitive infrastructures such as pipelines, 86 railways, dams, and is becoming more and more common in seismic imaging and in the 87 monitoring of oil fields and wells (e.g. Mateeva et al. 2013, Miller et al. 2016, Lellouch et al., 88 2019). Terrestrial seismology applications use either dedicated optical fiber cables dug in the 89 soil (e.g. Daley et al. 2013; Feigl et al. 2019) or telecom dark fiber (eg. Dou et al. 2017; Yu et 90 al., 2019). Academic DAS applications in the underwater environment started in 2019 (Sladen 91 et al. 2019, Lindsey et al. 2019, Williams et al., 2019) with numerous observations -small local 92 seismicity, large teleseismic earthquakes, surface gravity waves, microseismic noise- all with 93 unprecedented spatial resolution. However, these studies focused on the low-frequency content 94 of the DAS measurements, and the true potential of the approach at higher frequency than those 95 used in seismology (f > 20 Hz) still needs to be explored and quantified. Under shallow water 96 depth conditions (30m), Rønnekleiv et al. (2019) demonstrated the potential of DAS to detect and distinguish boats and trawlers. The drawbacks of DAS versus hydrophones are related to 97 98 a more limited bandwidth at high frequency (the acquisition rate is controlled by the length of 99 the fiber – the light pulse has to travel back and forth before the next pulse is emitted) and a 100 cosine square angular sensitivity that renders DAS blind to signals arriving from a direction 101 closely perpendicular to that of the optical fiber cable (Mateeva et al. 2014). Some progress 102 has been made to counteract this last point through helically wound fibers that recover energy from all directions (e.g. Hornman 2014, Kuvshinov 2016). However, these limitations are in 103 104 some way mitigated by the dense sampling in space of the waves hitting the fiber. This high 105 resolution in space leads to an improvement in the signal-to-noise (SNR) ratio by simple 106 summation of the strain rate observations and in the recovery of the azimuth and position of 107 the acoustic sources through beamforming. Here, we further explore the potential of the

- technology to monitor and recognize anthropic acoustic noise sources using a well-identified
 and localized source of acoustic noise both in a shallow and deep water.
- 110



Figure 1 DAS acquisition principle and spatial sensing setup. The DAS acquisition unit is sending a light pulse inside a dark optical fiber. Along the path, the laser pulse interacts with the molecular-scale random scatters inherently present in all telecom fibers. The phase of the refracted light is analyzed for a set of virtual receivers regularly spaced, here 6.4m. The phase difference is analyzed over a gauge length of 19.2m to derive the strain-rate variations over that segment.

118

119 **II. Method and instrumental setup**

The DAS acquisitions were made on a 41.5 km-long electro-optic telecommunication cable from Alcatel deployed offshore Toulon in the south of France. The MEUST- NUMerEnv project (Mediterranean Eurocentre for Underwater Sciences and Technologies - Neutrino Mer Environnement, Lamare 2016) is operating the cable and provides power and data transmission for numerous oceanographic studies in the framework of the EMSO program (European Multidisciplinary Seafloor Observatory, Favali & Beranzoli, 2009) and for the 126 KM3NeT/ORCA (Oscillation Research with Cosmics in the Abyss) neutrino detector (Coyle 127 et al. 2017). Crossing different oceanic domains of the Mediterranean margin, from the 128 continental shelf to the abyssal plain, the cable, which is simply resting on the seafloor, reaches 129 a depth of 2400 m on the abyssal plain (Figure 2). From 2.1km up to 17.2 km off the coast, the 130 cable armoring is Single Armoring Heavy (SAH) then Light-Weight Protection (LWP) for the 131 remaining 24 km.

The DAS interrogator, provided by Febus Optics, was used from February 19th to February
24th, 2018. In this experiment, the gauge length was fixed to 19.2 m with a spatial sampling of
6.4 meters (figure 1).

With a temporal sampling of 2kHz, waves up to 1kHz can be accurately retrieved with no 135 temporal aliasing. With this configuration, DAS covers the low frequency range of 136 137 hydrophones. When processing DAS as an array of receivers using beamforming and f-k 138 representation, we should also consider the maximum frequency for non-spatially aliased 139 signals. The Nyquist frequency f_N is related to the apparent wave velocity and the spatial 140 sampling Δx through the relation $f_N = c/(2cos(\theta)\Delta x)$ with c the velocity and θ the bearing 141 angle. For acoustic waves traveling parallel to the fiber cable (for a bearing of 0 or 180°) and having a velocity in seawater of 1500m/s, the spatial sampling allows to record a non-spatially 142 aliased acoustic signals up to 117 Hz. Above this frequency false sources can appear in 143 144 beamform analysis.

Large vessels report their position to the Automatic Identification System (AIS) database. This allowed us to identify that during the DAS acquisition, an 85m long tanker passed twice over the fiber-optic cable, first 5.8 km offshore with a N260° route at a velocity of 11 knots and, a few days later, the same tanker passed over the cable at 20km from the coast with a N83° route at a velocity of 7.8 knots, crossing the fiber at an angle of 71° (Figure 2, red bars).





151 Figure 2 (color online) Location of the MEUST-Numerenv optical fiber offshore of La Sevne-152 sur-Mer located in the vicinity of Toulon, south of France. The 41.5 km cable lies on the 153 continental shelf for the first 7.5 kilometers before reaching the steep continental slope. The 154 first 35 km of the cable is indicated by the red section of the cable indicated in the map. The 155 first route of the tanker at 5,8 km from the coast and the second route at 20 km from the 156 coast, known from the AIS GPS positions, are shown by the red thick line on the sea surface. 157 The projection of the tanker routes crossing the cable at 5.8 and 20 km are indicated by the 158 red lines on the sea floor. The two yellow sections of the cable indicate the portion of the 159 fiber we used to detect the acoustic waves emitted by the ship. 160

161 **III. Maritime vessel noise detection**

There is already a long history of studies modeling radiated ship noise (e.g. Gray and Greeley 162

163 1980). The variety of potential noise sources and their interactions makes the topic complex to 10

164 summarize (Fournier 2009). However, a constant feature of ship radiated noise is a spectrum characterized by two main components (e.g. Pillon 2016). The first one is a signal resulting 165 166 from multiple sources like cavitation, pumps, fans, fluid flowing in the pipes or along the shell. 167 This component is sometimes considered having a Gaussian shape with a maximum at a few 168 dozens of Hertz. The second component is a sum of narrow band signals coming from rotating 169 elements (mainly linked to propeller activity but not only) and electrical wires (50 or 60 Hz). 170 Frequencies higher than 1kHz are also characterized by narrow band signals, often with a noise 171 floor showing a decreasing slope of several dB per decade.

172 At 85m depth, strain-rate records on the fiber show both features of ship radiated noise: the 173 Fourier transform of 8 minutes of signals coming from one DAS receiver shows high amplitude 174 narrow band signals (Figure 3). The intensity recorded by the DAS system in this experiment 175 is uncalibrated, therefore we express the power relative to the maximum energy received from 176 the passing boat. These narrow bands signals, with high signal to noise ratio, appear in the 177 strain-rate time-frequency diagram, as the boat approaches the fiber (Figure 3a). At its 178 dominant frequency, around 49Hz, the power of the boat radiated noise, averaged over an 179 interval of 9 minutes, is 18 dB/Hz higher than the environmental and instrumental noise (Figure 180 3a). Considering the mean ship velocity given by the AIS system during this period (about 11 181 knots) and its route (almost perpendicularly to the fiber), it means that the 49Hz signal from 182 the ship is recorded over 1700m. And if the boat displacement is roughly symmetric across the 183 fiber, it means that the signal starts to be detected about 850m away from the fiber (Figure 4). On the strain rate time series, the ship radiated noise level increases by a factor of two in a time 184 185 window of one minute (Figure 3b).

186 At 2000m depth, the acoustic noise emitted from the boat is more attenuated and the signal to 187 noise ratio is lower (Figure 5). Hardly any significant increase of the amplitude of strain-rate

188 time series is observed when the tanker passes over the cable. Still, after time-spectral 189 analysis, we identify narrow bands with high energy and some at the same frequency as those 190 observed at shallow depth. At its dominant frequency, 49Hz, the power of the spectral line 191 reaches 8dB/Hz higher than noise on average during the 9 minutes of records. Another line 192 around 57Hz is still visible. However, spectral lines around 16Hz, 33Hz, 41Hz, 76Hz and 193 83Hz observed on the first day at shallow depth are not visible. This can be caused by 194 changes in the tanker speed, heterogeneous ground coupling along the cable, as well as the 195 greater attenuation of the acoustic waves in a the 2000m water column. Besides, at higher 196 depth, wave propagation can be affected by the velocity profile of the water column so that 197 some rays can be refracted without reaching the bottom. Nevertheless, at short range, the 198 propagation is mainly top-down so that bottom reflection can occur even if the sub-surface 199 velocity differs from the water velocity. Using a constant velocity gradient of 0.016 m.s-1 200 commonly used for Mediterranean sea bellow 200m (e.g. Salon et al. 2003) we found that the 201 different paths that reach the bottom at 2000 m depth are almost straight up to 8 km away 202 from a surface source. See Figure S1 for the ray tracing model.

203

204





207 *Figure 3* (color online) Analysis of the temporal and spectral radiated noise of the tanker

208 when passing above the optical fiber section 5.8km from the shore and 85m deep. (a) Power

209 spectrogram density of the recorded strain-rate measured on the fiber at 5.651 km from the

210 shore between 0 and 100Hz relative to the maximum power of $20 \times 10^{-6} \varepsilon^2 s^{-2}$ at the

211 *dominant frequency 49Hz, (b) the corresponding time series.*





Figure 4 Ship displacement (a) face, (b) top and (c) side views. The trajectory of the tanker B is described by the velocity vector \mathbf{v} . R_0 is the closest receiver to the axis of the tanker and R_n indicates another receiver on the fiber optic cable. Ray paths are shown by dashed lines (assuming that ray approximation is valid, i.e. for high enough frequencies). Angles φ_t and φ_s are respectively the projections on the horizontal plane xBy and on the vertical plane yBz of the angle φ between the boat trajectory and the direct path from the boat to the receiver R_n .







Figure 5 (color online) Analysis of the temporal and spectral radiated noise of the tanker when passing above the optical fiber section 20 km from the shore and 2000m deep. (a) Power spectrogram of the recorded strain-rate measured on the fiber at 19.494 km from the shore between 0 and 100Hz relative to the maximum power of $6.7 \times 10^{-6} \varepsilon^2 s^{-2}$ at the dominant frequency 49Hz (b) the corresponding time series.

229 By computing short Fourier transforms every 4 seconds for each receiver in the vicinity of the

ship, we monitor in space and time the power of spectral lines radiated by the ship as it travels 15 231 above the fiber at 85m (Figure 6). We observe that the distance range at which we can detect 232 the tanker changes as a function of the frequency. Both the signal power and the attenuation of acoustic waves depend on the frequency and therefore control the distance over which we 233 234 detect a signal. Another important feature are ring-like high-power patterns, clearly visible at 235 41Hz, 49Hz and 57Hz, related to the interference of acoustic waves reflected from the surface and the sea bottom (see Section IV). At 17Hz, the ring-like pattern is not visible probably 236 237 because the wavelength at this frequency equals the water layer thickness and is controlled by 238 a modal propagation. Using MOCTESUMA (a coupled normal-mode model, Etter 2003, 2012) 239 and taking into account four layers in the bottom, we found that 17 modes can propagate with 240 this frequency and at this channel depth.





243 Figure 6 (color online) Acoustic noise power of the spectral lines radiated by the ship in time and space when passing above a section of fiber-optic cable 85m deep. The distance traveled 244 245 by the boat and the time of the measurement are indicated on the right and left sides of the 246 figures, respectively. The distance traveled by the boat is estimated from its velocity of 11 247 knots. On each figure, the title indicates the central frequency of the analysis in a 1Hz 248 narrow band, as well as the maximum recorded power relative to instrumental and environmental noise measured at $191 \times 10^{-9} \varepsilon^2 s^{-2}$ in the [17 100]Hz frequency band. Note 249 that the distance along the fiber is measured from the shore. 250

17

We perform the same type of analysis for the tanker when it is cruising above a 2000m deep section of the fiber-optic cable (Figure 7). Because of the more complex bathymetry, it is harder to track the accurate position of the tanker from these maps. However, high power at different frequencies between 4 and 6 minutes and between 20 and 21 kilometers indicates a position of the boat close to the fiber and consistent with AIS records.



258 Figure 7 (color online) Acoustic noise power of the spectral lines radiated by the ship in time 259 and space when passing above a section of fiber-optic cable 2 000m deep. The distance and time traveled by the boat are indicated on the right and left sides of the figures respectively. 260 261 The distance traveled by the boat is derived from its velocity of 7,8 knots. On each figure, the 262 title indicates the central frequency of the analysis in a 1Hz narrow band, as well as the 263 maximum recorded power as well as the maximum recorded power relative to instrumental 264 and environmental noise measured at $206 \times 10^{-9} \varepsilon^2 s^{-2}$ in the [17 100]Hz frequency band. *Note that the distance along the fiber is measured from the shore.* 265

267 IV Optical fiber cable response to an acoustic point source

We model the intensity of the wavefield in time and space for an acoustic point source using plane waves following ray trajectories. We convert the acoustic wave into the longitudinal strain-rate, and compute-the fiber optic response for the specified gauge length.

We express the strain and strain rate for acoustic waves reaching the fiber and sensed by DAS in an iso-speed water profile, following the same approach as proposed by Bakku (2015) for P-waves (Appendix A). For an incoming acoustic wave with particle velocity A_{ν} , angular frequency ω , wavenumber \vec{k} and propagation velocity c, the corresponding strain induced along the x axis of the fiber can be written as:

276
$$\varepsilon_{xx} = \frac{-A_v}{c} \cos^2 \theta e^{i(\omega t - \vec{k}.\vec{r})}$$
(1)

where θ is the angle between \vec{k} and the *x* axis, and \vec{r} the vector between the source and the receiver, ω the pulsation and *t* the time. The DAS measures strain-rate averaged over the gauge length L, leading to the following response:

$$\dot{\varepsilon}_{DAS} = \dot{\varepsilon}_{xx} sinc\left(k_x \frac{L}{2}\right) \tag{2}$$

This is equivalent to the difference in particle velocities on the x axis component of two geophones separated by L (Mateeva et al 2014).

283

Assuming the source is located at 15m depth, taking into account the tanker draft, eight acoustic rays have been used to predict the radiated sound field (see Figure 4). Adding more paths did not change the intensity maps. Path 1 runs from the source directly to each receiver. Path 2 has approximately the same path but hits the surface first. Both paths are represented by dashed 288 lines in Figure 4b. Other paths are deduced by adding one, two, or more bottom-surface reflection sequences to paths 1 and 2. For example, paths 3 and 4 are represented in dashed 289 290 lines in Figure 4b. The reflection coefficient depends on the incident angle - the Fresnel 291 coefficient is computed and applied for each reflection - and on the nature of the soil, mainly composed by shales (Mascle 1971, Appendix B). In a 90m depth water channel and using 292 293 wavefield modal description, Turgut et al. 2010 showed interferences of acoustic waves 294 reflected on the sea surface for a source located at a depth down to $\lambda/4$. With a source at 15m, 295 for frequencies higher than 25Hz, such interferences should be measured on the seafloor.

296 The intensity maps have been simulated over 4 minutes of record for the 2, 4, and 8 first paths 297 at 49.4Hz (Figure 8a,b,c). Figure 4 shows paths 1 to 4. Paths 5 to 8 are the other four are the 298 next two multiples. In Figure 8a, we observe a symmetric shape with intensity reaching zero 299 when k_x equals zero, due to the null response of the fiber in the direction perpendicular to the 300 cable (broadside). The figure has only two lobes of high intensity as we only model the direct 301 path of the acoustic waves. In Figure 8b, which includes 4 paths, we observe a kind of ring 302 shape that becomes more obvious in Figure 8c with 8 paths modeled. The comparison of 303 Figures 7a,b,c allows us to understand the origin of the ring shape we observe in the real data 304 (Figure 5). Due to the ship's motion over the fiber, the multipath interferences are permanently 305 varying and create ring shapes on the 49.4Hz response.

The shape of the 8-paths model prediction is not exactly the same as the one recorded on the fiber (Figure 8d). Many reasons can explain this discrepancy: varying coupling of the fiber, varying depth for the considered area and possible fiber curvature. Besides, for the recorded data, we could not separate the two emitted frequencies close to 49.5 Hz in the intensity maps. Nevertheless, the size and spacing of the ring-like shapes are similar enough so that the signals recorded by the fiber appear to come from acoustic multipath interfering within the water channel. Underwater telecom cables, therefore, also behave as seismometers recording elastic
signals coming from the ground (e.g. Sladen et al. 2019) and pressure waves from inside the
water layer.





Figure 8 (color online) Simulated signal intensity recorded by a fiber-optic cable, 85m deep, 317 318 for a 49.4Hz source at the surface moving almost perpendicular to the fiber (80-degree 319 bearing) with a constant velocity of 11 knots. From left to right, the subset figures correspond 320 to simulations acknowledging more reverberations: (a) 2 paths, (b) 4 paths, and (c) 8 paths 321 (see Figure 4b for a schematic description). Figures are created from simulations computed 322 every 4 seconds. The received signal is computed at every time sample for a constant velocity 323 of 1500m/s in the water layer. The reflection coefficient for each ray takes into account the 324 incidence angle and the nature of the seabed (shale). The model takes into account the fiber 325 response – square cosine of the bearing - and geometrical spreading for amplitude. 326

In this section, we have illustrated the DAS capability of recording acoustic waves in underwater environment at both shallow and intermediate depths. We have also demonstrated that it is possible to explain the seemingly complex signal patterns recorded by DAS by taking into account the acoustic wave reverberations and the specific broadside response of the fiber.

We now explore the possibility to record and model the Doppler shift caused by the tankermotion.

333

334 V. Doppler estimation

Let's consider a single tonal at frequency f_0 radiated by a ship moving at constant velocity v. For a given receiver R_n , the angle between the boat trajectory and the vector going from the boat to R_n (direct path) is noted φ . This angle is described in 3D using figures 4b and 4c. The instantaneous frequency of the signal received on a given receiver at time *t* is:

$$f(t) = f_0 + \Delta f(t) \tag{3}$$

340 with:

$$\Delta f = f_0 \cdot v \cdot \frac{\cos\varphi}{c} \tag{4}$$

where *c* is the sound speed in the medium and *v* the velocity of the tanker. Considering a boat moving in a direction perpendicular toward the fiber, as depicted in Figure 4c, φ is minimum when the boat is far away from the fiber and the Doppler frequency shift is maximum. The Doppler frequency shift then decreases when the boat gets closer to the fiber and reaches a minimum value when the boat crosses the fiber. Finally, it increases while the boat travels away from the fiber.

In the case of multipathed rays, and assuming a relatively plane sea bottom, we consider reflections at the bottom and the surface. For multipath 3 and 4 (Figure 4c), with one reflection at the bottom, we call φ ' the angle between the boat trajectory and the vector going from the boat to a given receiver R_n. We observe that φ ' is closer to 90° than φ . If we consider multipathing with additional surface-bottom reflections, the angle φ ' will always increase and get closer to 90°. As a consequence, when ray theory applies and for a relatively plane bottom, the maximum Doppler frequency shift will be reached for the direct path. Since we performed a f-k analysis on a subset of the DAS array centered on the plumb line of the boat when the boat crosses the fiber (subset 1 in Figure 4a), the emitted signal is seen with positive and negative wavenumbers. We expect, on the one hand, a maximum shift of the Doppler frequency when the boat moves away from the fiber in a direction perpendicular to the fiber (i.e. a k-wavenumber close to zero on subset 1) and, on the other hand, a measured frequency close to the frequency emitted when the boat crosses the fiber, i.e. when the absolute wavenumber reaches its maximum at both ends of subset 1.

362 At 85m depth, according to Section III, the ship starts to be detected around 49Hz about 850m 363 away from the fiber. At this time and for the closest receiver on the fiber cable R₀ to the axis of the tanker (Figure 4b), we can estimate φ using $tan(\varphi) \approx \frac{85}{850} = 0.1$. This leads to an 364 apparent velocity roughly equal to the tanker velocity for all the receivers in the vicinity of R_0 . 365 This also implies that the Doppler variation tends to its maximum possible value $\Delta f = f_0 \cdot \frac{v}{c}$. In 366 367 the same way, when the 49Hz record ends 5 minutes later, the distance of the fiber is about 368 850m and the Doppler variation tends to its maximum value but with opposite sign (Figure 4b). 369 Between those two times, considering that the tanker velocity vector is approximatively perpendicular to the fiber, the tanker will cross the fiber with a minimum Doppler variation for 370 the portion of the cable close to R_0 . 371

Using 2D FFT in time and spatial on the recorded DAS data, we represent 8 minutes-long strain-rate signal - including the 5 minutes 49Hz detection described before - measured along 3rd 3km and 5km of fiber in the frequency-wavenumber (f-k) domain when the tanker was passing at 5.8km and 20km offshore respectively (Figure 9). Note that the wavenumber k represented on the x-axis has been divided by 2π so that k = f/c instead of k = ω/c . Then, for any point in the f-k domain, the slope is an estimation of the apparent velocity. When the boat is cruising close to the coast, we observe two ellipses of energy centered around 49.2Hz and 49.4Hz for

 $k_x = 0$. These frequencies are shifted by the Doppler effect. Following the interpretation 379 380 presented above, the two frequencies - 49.2Hz and 49.4Hz around the center of the ellipses -381 are the ones with minimum Doppler variations, i.e. two frequencies emitted by the tanker that can be recorded vertically above the fiber. For each ellipse, we measure a Doppler shift Δf of 382 about 0.18Hz from the center. Using equation 3, with $f_0 = 49.4$ Hz, and c = 1500 m/s, it leads 383 to a velocity of 5.5 m/s, which is very close to the 5.6 m/s computed from AIS data. When 384 385 shifted toward the higher or lower frequencies - the tanker traveling toward or away from the 386 fiber - the wavenumber increases or decreases accordingly, assuming the wave velocity is 387 constant.

388 At 2000m depth (20km offshore), the f-k processing shows higher energy at two different 389 frequencies and on a narrow range of positive and negative wavenumbers. The two different 390 frequencies are due to two distinct frequencies emitted by the boat around 49.5Hz and are also 391 responsible for the two ellipses observed at shallow depth (Figure 9a). Here, the Doppler shift 392 is less pronounced indicating a slower velocity of the tanker seen by the DAS (Figure 9b). On the abyssal plain, the geometrical spreading is larger, hence a smaller portion of the cable 393 394 senses the acoustic wave and signals are detected for a small range of angles. Besides, for a given array, the section of the cable receiving signal close to 90° increases with depth, the 395 396 source being further away. This is the reason why the range of wavenumbers excited is smaller 397 for a boat cruising a deep sea and that null energy around k=0 is more pronounced at greater 398 depth.

The energy peaks around $k = \pm 0.015 \text{ m}^{-1}$ come from sections of the cable that detect waves reaching the array with apparent velocity $c = c_0 \cos(\varphi) = f/k = 49.4/0.015 =$

- 401 3293 m/s, according to our convention for k. It therefore corresponds to an angle of 63°, i.e.
- 402 about 900m from the plumb line of the ship if ray curvature is neglected for this take-off

403 angle. Because the boat crosses the fiber with a nearly perpendicular route around the middle 404 point of the selected portion of the fiber, the same energy is observed traveling landward 405 (positive wavenumber) and seaward (negative wavenumber). The different coherent bands of 406 energy with smaller frequency variations and smaller wavenumbers are related to acoustics 407 waves reflected in the water column. To estimate the Doppler variation, we use an f-k 408 representation of a 1.3 minute-long strain-rate signal (Figure 10). We estimate a maximum 409 shift of 0.12Hz at 49.05 Hz, corresponding to a velocity of 3.7m/s - versus 4.0m/s estimated 410 from AIS positions.

411 To outline the main features of the f-k representation, we perform a simple modeling of the 412 Doppler variation for a 49.4 Hz source travelling perpendicular to the cable at 5.65 m/s and 413 measured on a 2880 m long cable section at 80 m and then at 2000 m depth. See Figure S2 414 for the f-k representation of the synthetic signals. Although the model is simple, the main 415 feature of the observed f-k representation are visible on the modeled data: (1) the frequency 416 at the center of the ellipse is equal to the emitted frequency and is the same for all paths, (2) 417 the size of the ellipse decreases as the number of bottom reflections increases and (3) at 418 2000m depth, only signals with a wavenumber around k = +/-0.015 m⁻¹ reach the fiber.

419

The f-k representation of underwater DAS records allows us to discriminate environmental signals efficiently from the instrumental noise of the DAS and acquisition system and could be used to detect acoustic signals in a systematic way. Properly modeling of the acoustic propagation in the water column and the Doppler effect, taking into account the bathymetry and the fiber response, we find that it is possible to recover the position of the ship and its noise pattern both at shallow depth and in intermediate water depth.

426



428Figure 9 (color online) Frequency-wavenumber (f-k) decomposition of a 8 minute long429strain-rate signal recorded when the tanker is passing above (a) a shallow (85m) section of430the cable and (b) a deep section (2000m). The signal is analyzed between 48.9 and 50.1 Hz431and decomposed into seaward ($k_x < 0$) and landward ($k_x > 0$) propagating wavefield432components. For the shallow section, the signal is averaged between kilometers 4.5 and 7.5433and for the deep section, the signal is averaged between kilometers 17 and 22 of the cable.



434

435 **Figure 10** (color online) Frequency-wavenumber (f-k) decomposition of the strain-rate 436 windowed on a 1.3 minutes long signal between 48.9 and 49.6 Hz, for seaward (k<0) and 437 landward (k>0) components for the 17-22km sections of the fiber when the tanker passed 438 2000m above the cable.

440 VI Assessing the ability to recover the azimuth from a known source

To detect and localize acoustic sources, arrays of sensors use various – and often multidimensional - algorithms such as beamforming, with narrow- or wide-band capabilities. DAS provides arrays of receivers that can be treated as an antenna, to accurately retrieve the azimuth of acoustics sources in the water column. In seismology, beamforming analysis of the DAS signal was successfully used (Lindsey et al. 2017, 2019).

446 From a linear section of the fiber between 5613m and 5869m, we track the bearing of the tanker 447 using the tonal radiated in the 50Hz frequency band. In theory, a longer array could be used. 448 But, since the cable is not perfectly straight and due to a possible phase shift in the recorded 449 data, we do not investigate longer arrays. We compare the bearing measured through 450 beamforming with a simple synthetic case (Figure 11). For DAS data, the beamforming is 451 computed in the time domain every 5s and the center of the antenna is 59m from the plumb-452 line from the ship when crossing the fiber according to AIS data. Subset 2 in Figure 4a indicates the position of the selected section of the fiber. In these conditions, the ship is seen 453 454 approximately at a 90° bearing from the center of subset 2 when the ship is away from the fiber. 455 The ship should deviate from this bearing until she crosses the fiber and then comes back to as 456 she moves away. At 50Hz and for a 256m long antenna, the angular resolution at 3dB is 6°. 457 For the synthetic case, we consider a ship crossing the x-axis at an angle of 85° and a speed of 458 11 knots. With the channel at a depth of 80 m, we assume that the main source of noise radiated 459 by the boat is 65 m above the seafloor. We compute the bearing angle of the ship from a point 460 on the x-axis 60 m away of the point where the ship crosses the x-axis. Figure 11, we observe 461 close agreement between the measured points (red triangles) and the simulated data (blue line). 462 As expected, when the ship gets closer to the fiber, we observe a deviation from the initial 463 bearing of 90°,-between roughly 60 and 100 s before returning to it in a similar way.

464 Considering a water column of 85m, we estimate that the boat crossed the fiber at a distance 465 of 5821m from the coast, whereas the real distance is closer to 5800m according to the AIS 466 positioning. This difference, which is about the width of the tanker, could be linked to depth 467 approximation or AIS bias. Besides, model used for the beamforming supposes a perfect 468 alignment of the receiver, which is not proven. By reducing the array length to 128m, the 469 impact of a potential curvature of the fiber is reduced and the newfound position becomes 470 5806m instead of 5800m.



471

472 Figure 11 (color online) Bearing measurements performed using beamforming over a linear 473 antenna of 256m length and centered at 5741m from the fiber extremity, i.e. 59m away of the 474 point where the ship crosses the fiber (red) compared with the theoretical bearing computed 475 for a point 60m away from the point where the ship crosses the fiber 65m above the seafloor 476 (blue). The beamforming is performed every 5 seconds using the recorded signal filtered in the 477 [48, 51] Hz band.

479 **Discussion**

480 Our analysis demonstrates that it is possible to perform continuous and distributed monitoring 481 of acoustic noise sources over several tens of kilometers using DAS and seafloor optical fiber 482 cables. Acoustic waves traveling in the water layer interact directly with the fiber or penetrate 483 the subsurface in case the cable is slightly buried in the sediments.

We detect and track a tanker in water up to 2000 m deep from the acoustic waves it produces. The appearance of frequency narrow bands in the time-frequency spectrum, over a given distance, and at a given time, and a Doppler shift of the frequency with time are the characteristics of the noise emitted by a ship and are therefore quite easy to distinguish from environmental and DAS self-noise.

489

490 We model the principal features of the tanker acoustic signal using an analytical model. First-491 order features like the ring shape interference pattern and its energy decay right below the boat 492 are well explained by the model, acknowledging reflections of the acoustic waves in the water 493 column, and the DAS broadside sensitivity. However, for more accurate modeling of the 494 intensity of the acoustic field in time and space, numerical models taking into account the 495 wavefront curvature, the full system response, the Doppler effect, the impedance of the 496 sediments, and a non-constant water velocity profile should be used. Modeling of noise emitted 497 by ships could also help to estimate the coupling of the cable with the ground, a critical step to 498 calibrate the response of the cable and study non-anthropogenic signals - earthquakes, 499 landslide, acoustic waves. More detailed analyses would probably require experiments with 500 calibrated instruments, such as hydrophones.

Beamforming takes advantage of the distributed sensing capability of DAS to allow precise
positioning of maritime vessels both in time and space. The example given in section VI shows

503 the impact of fiber positioning. Additional calibration (using e.g. calibrated sources) to better 504 estimate the fiber response and position should also improve the result of the algorithm. Future 505 beamforms should also integrate this azimuthal response of the fiber. The acoustic noise of 506 vessels cruising in deeper waters needs to be further explored. The attenuation of the acoustic 507 wave with depth strongly depends on the celerity profile of the sea, and therefore could limit 508 the range of depth in which we can detect signals with frequency higher than 100Hz. Few 509 existing fiber-optic cables can provide some insight about the feasibility to measure acoustic 510 noise at depth larger than 2000m because the repeaters limit the DAS sensing range to 30-511 50km in general. However, on the first 30-50 km of cable the depth is rarely deeper that 2000m, 512 and it already offers a remarkable coverage.

513 We demonstrate the reliability of measuring accurately high-frequency signal (up to 100Hz) 514 on a telecom cable, which allows exploring other sources of acoustic signals in addition to 515 seismic waves. The noise mapping that we presented confirms the multidisciplinary potential 516 for DAS on seafloor cables.

517

518 Conclusion

519 We demonstrate the feasibility to record noise emitted by a boat at both shallow (85m) and in 520 the deep sea (2000m) using DAS on a standard underwater telecom cable and we confirmed our inferences by comparison with AIS data. Using f-k representation, it is possible to 521 522 discriminate between moving sources, static sources and noise, and to assess the speed of the moving sources by measuring the Doppler shift. Monitoring anthropogenic noise over a wide 523 524 range of depths can help the scientific community to estimate its impact on marine life. Also, 525 DAS telecom cables are very long underwater antennas - tens of kilometers - and very dense every meter - that can serve to retrieve precisely the azimuth of noise sources, such as maritime 526

vessels. If equipped with repeaters allowing the back-scattered light to reach the DAS
interrogator, worldwide telecom cables can serve as distributed environmental sensors
measuring anthropogenic and environmental noise and maritime traffic.

530

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538 APPENDIX

539 Appendix A : DAS sensitivity to an incident acoustic waves on the fiber optic.

Acoustic-waves that propagate in an 3D infinite homogenous fluid medium are pressure
fluctuations that can be modeled by the linear wave equation as described in (Kinsler et al.
1999)

543
$$\nabla^2 p - \frac{1}{c^2} \frac{\partial^2 p}{\partial t} = 0 \tag{A1}$$

with *p* the acoustic pressure at a given position defined by the vector position $\vec{r} = (x,y,z), \nabla^2$ the Laplace operator and c the acoustic wave velocity. We can express this acoustic-wave in terms of a particle velocity \boldsymbol{v} that is also governed by the linear wave equation.

547
$$\nabla^2 \boldsymbol{v} - \frac{1}{c^2} \frac{\partial^2 \boldsymbol{v}}{\partial t} = 0 \tag{A2}$$

548 Considering a monochromatic plane wave travelling in a direction defined by the wave vector 549 \vec{k} , the solution of equation (A2) is given by

550
$$v = A_v \hat{e}_k e^{i(\omega t - \vec{k}.\vec{r})} \quad (A3)$$

551 with ω the pulsation, and $\hat{e}_k = \frac{\vec{k}}{\|\vec{k}\|}$ the unit vector in the direction of propagation with *k* the 552 wavenumber and A_v the amplitude of the planar wavefield of the particle velocity in the 553 direction of propagation.

Taking the x-axis of the coordinate system colinear to the fiber optic cable, the wave vector \vec{k} forms an angle θ with the x-axis (also called the bearing angle). The projection of \boldsymbol{v} along the x-axis is

557
$$v_x = A_v \cos\theta e^{i(\omega t - \vec{k}.\vec{r})}$$
(A4)

558 The resulting strain ε_{xx} which is a change in length Δl per unit of the original length l559 produced along the fiber is given by

560
$$\varepsilon_{xx} = \frac{\partial u_x}{\partial x}$$
 (A5)

561 The particle velocity being the time derivative of the displacement, the displacement vector is 562 given by $u_x = \frac{1}{i\omega}v_x$. We then expresses the strain as a function of the particle velocity,

563
$$\varepsilon_{xx} = \frac{-k_x}{\omega} v_x$$
, where $k_x = k \cos \theta$

564
$$\frac{-kA_v}{\omega}\cos^2\theta e^{i(\omega t - \vec{k}.\vec{r})}, c = \frac{\omega}{k}$$

565
$$\frac{-A_v}{c}\cos^2\theta e^{i\left(\omega t - \vec{k}.\vec{r}\right)} \quad (A6)$$

As shown in equation (A6), for acoustic waves, the measured strain along the x-axis shows a
square cosine dependency on the bearing angle. This dependency is the same for elastic Pwaves (Mateeva et al. 2014).

569 In DAS sensing, the strain along the fiber is measured over a gauge-length *L*. The underlying 570 principles of DAS sensing are reported in Masoudi & Newson (2016), Daley et al. (2016) and 571 Jousset et al. (2017). Following Bakku (2015), the expression of ε_{xx}^{DAS} is given by

572
$$\varepsilon_{xx}^{DAS} = \int_{z-\frac{L}{2}}^{z+\frac{L}{2}} \frac{\varepsilon_{xx}}{L} dx' = \frac{-A_{v}}{c} \cos^{2}\theta e^{i(\omega t - k_{y}y - k_{z}z)} \int_{z-\frac{L}{2}}^{z+\frac{L}{2}} e^{-ik_{x}x'} dx'$$

573
$$\frac{A_v}{cL}\cos^2\theta \frac{e^{i(\omega t - k_y y - k_z z)}}{ik_x} \left[e^{-ik_x x'}\right]_{x - \frac{L}{2}}^{x + \frac{L}{2}}$$

574
$$\frac{A_{\nu}}{cL}\cos^2\theta \,\frac{e^{i(\omega t - k_y y - k_z z)}}{ik_x} e^{-ik_x x} \left(-2isin\left(\frac{k_x L}{2}\right)\right)$$

575
$$\frac{-A_{v}}{c}\cos^{2}\theta e^{i(\omega t - k_{x}x - k_{y}y - ik_{z}z)}\frac{\sin\left(\frac{k_{x}L}{2}\right)}{\frac{k_{x}L}{2}}$$

576
$$\varepsilon_{xx}^{DAS} = \varepsilon_{xx} \operatorname{sinc}\left(\frac{k_x L}{2}\right)$$
 (A7)

577 Equation A7 shows a sinc dependency on gauge length L of the strain measured using DAS.
578 For a DAS that measures strain-rate, i.e. change in strain with respect to time, the sinc
579 dependency still holds

580
$$\dot{\varepsilon}_{xx}^{DAS} = \dot{\varepsilon}_{xx} \operatorname{sinc}\left(\frac{k_x L}{2}\right). \tag{A8}$$

581

582 Appendix B : Modeling of acoustic signals measured using DAS

The synthetic data are simulated using ray theory. For each receiver at time t_r , the emitted time t_e and the distance traveled r are computed using 2nd order equation using a constant velocity. We neglect attenuation and assume that the amplitude is only influenced by geometrical spreading and possibly reflection coefficients. The DAS square cosine response is applied with regard to the bearing angle θ considering a fiber along the x-axis and the sinc dependency with gauge length L is added.

589 Then, for an emitted signal at frequency f_0 we get:

590

591
$$u(t_r) = \frac{1}{r} \cos^2\theta \operatorname{sinc}\left(\frac{k_x L}{2}\right) \operatorname{sin}\left(2\pi f t_e\right)$$

592 with $k_x = 2\pi f \cos \theta / c$

593 In addition, for multipathed signals, two coefficients are added:

594 - 1.0 in the case of surface reflection

595 - Fresnel coefficient $\left|\frac{Z_2 cos\theta_2 - Z_1 cos\theta_1}{Z_2 cos\theta_2 + Z_1 cos\theta_1}\right|$ in case of bottom reflection, θ_1 and θ_2 being 596 respectively the incidence angle and the transmitted angle and Z_1 and Z_2 the acoustic 597 impedance of the water and of the first bottom layer. The impedance is equals to the 598 density times the P wave velocity $Z = \rho V_P$. For the bottom layer impedance we used a 599 V_P value of 4400m/s and a density of 2500 kg/m^3 for the shale (Mascle 1971).

600

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Figure S1 Reflection in a constant gradient $g=0.01ms^{-1}$ for a source located at 15m depth.



Figure S2 f-k representation of synthetic signal emitted by a moving source at 49.4 Hz travelling perpendicular to the cable at 5.56 m/s and recorded by a 2880 m long array, for a 85m channel depth (top) and a 2000m channel depth (bottom). The direct ray path (a,e), the path with a single bottom reflection (b,f), the path with two bottom reflexion (c,g) are modeled individually, then the first eight acoustic rays are modeled (d,h).